



# Design and Implementation of a Newtonian Relaxation Scheme in the NOAA GFDL Sea Ice Model (SIS2)

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**Abstract.** Regional sea ice models often do not cover the full extent of polar ice and instead include open ocean boundaries that are not ice-free year-round. This necessitates the specification of lateral boundary conditions for sea ice, an inherently challenging task for most sea ice models. Although this issue is less critical for pan-polar domains, the interior ice state still needs to be constrained for many applications. In this study, we present the design and evaluation of a Newtonian relaxation algorithm for sea ice, implemented in the NOAA Geophysical Fluid Dynamics Laboratory (GFDL) Sea Ice Simulator (SIS2). The algorithm can be applied both at the lateral boundaries to impose open boundary conditions and within the interior domain to constrain sea ice thickness and concentration toward prescribed target fields. The method is flexible and can be applied anywhere in the domain, making it especially well-suited for regional applications of sea ice models with variable ice cover along their boundaries. The method is evaluated within a regional forecasting system based on the NOAA GFDL ocean model (MOM6) coupled with sea ice model (SIS2) for two regional configurations: the Northeast Pacific and the Arctic Ocean. Sensitivity experiments spanning a range of relaxation time scales and nudging strengths demonstrate that the method substantially improves the representation of sea ice and associated ocean surface fields, offering a practical solution for both boundary and interior constraints in regional sea ice modeling.

## 1 Introduction

Regional applications of sea ice models are often challenged by two closely related issues: the specification of appropriate lateral open boundary conditions (LBCs) and the need to constrain the interior ice state. The former is less problematic when the lateral boundaries lie within ice-free ocean regions, but becomes critical when lateral boundaries intersect areas of variable ice cover. In such scenarios, the model solution depends critically on specification of LBCs for sea ice state



variables. Even for domains with well-defined LBCs, constraining the interior ice state is essential for applications such as forecasting and reanalysis, where errors in initial or boundary conditions can propagate throughout the domain.

Application of LBCs in sea ice models remains an area of active research, with limited detailed information available in the scientific literature. Most regional applications rely on a combination of relaxation and radiation schemes, or on prescribed Dirichlet or Neumann boundary conditions (Smedsrud et al., 2006; Lemieux et al., 2008; Hunke et al., 2015; Rousset et al., 2015; Duarte et al., 2022). For example, Rousset et al. (2015) implemented a flow relaxation scheme in the Louvain-La-Neuve sea ice model (LIM3.6), in which ice state variables are relaxed toward interior or external values depending on flow direction. Similarly, the Los Alamos Sea Ice CodE (CICE6; Hunke et al., 2024) supports time-varying LBCs through relaxation toward prescribed values in halo cells and adjacent interior grid cells (Duarte et al., 2022).

While these methods are relatively simple to implement, more advanced open boundary condition schemes remain difficult due to both numerical and physical challenges. Sea ice fields often exhibit sharp spatial gradients, which are physically consistent with the underlying dynamics, thermodynamics, and ocean-atmosphere forcing. Moreover, the presence of sea ice rheology implies that LBCs must be dynamically consistent with the internal ice stress field, whose influence can extend far into the interior of the ice pack. However, if LBCs introduce discontinuities that are not dynamically balanced with the interior ice momentum or internal stress fields, they can lead to unrealistic or unstable model behavior. In addition, mismatches between inflowing sea ice properties and the local oceanic or atmospheric conditions may result in excessive melting and unphysical freshwater input along the boundary. These challenges motivate approaches that not only prescribe boundary conditions but also constrain the interior solution to reduce sensitivity to boundary errors.

When lateral boundaries are close to the forecast region, boundary-imposed signals can penetrate the interior. As a result, biases and errors in the LBCs may propagate inward and corrupt the interior solution (Nicolis, 2007). One strategy to mitigate this effect is to extend relaxation beyond the boundaries and nudge the interior ice state toward prescribed target fields (Lindsay and Zhang, 2006). Relaxation is commonly employed to improve sea ice initial conditions through the gradual assimilation of observational data into the model fields.

Although advanced data assimilation techniques for sea ice are available (e.g., Fritzner et al., 2019), relaxation-based nudging provides a practical, computationally efficient, and robust alternative for regional modeling applications (Tietsche et al., 2013; Hunke et al., 2015; Prasad et al., 2021). Simple direct nudging approaches have been shown to effectively constrain ice fields (Audette and Kushner, 2022). The classical Newtonian relaxation method discussed here falls into the category of "direct sea ice nudging" (Smith et al., 2017).

This paper presents the design and implementation of sea ice relaxation in the Geophysical Fluid Dynamics Laboratory (GFDL) Sea Ice Simulator version 2 (SIS2; Adcroft et al., 2019). The development is motivated by the increasing application of SIS2 to regional polar and subpolar domains, where realistic LBCs and interior constraints are essential. The primary objective of the developed relaxation algorithm is to improve sea ice initial conditions for high-latitude marine forecasts, thereby enhancing prediction skill on short-term to seasonal timescales (Dirkson et al., 2019), without precluding the development of more sophisticated sea-ice initialization approaches in future forecast systems.

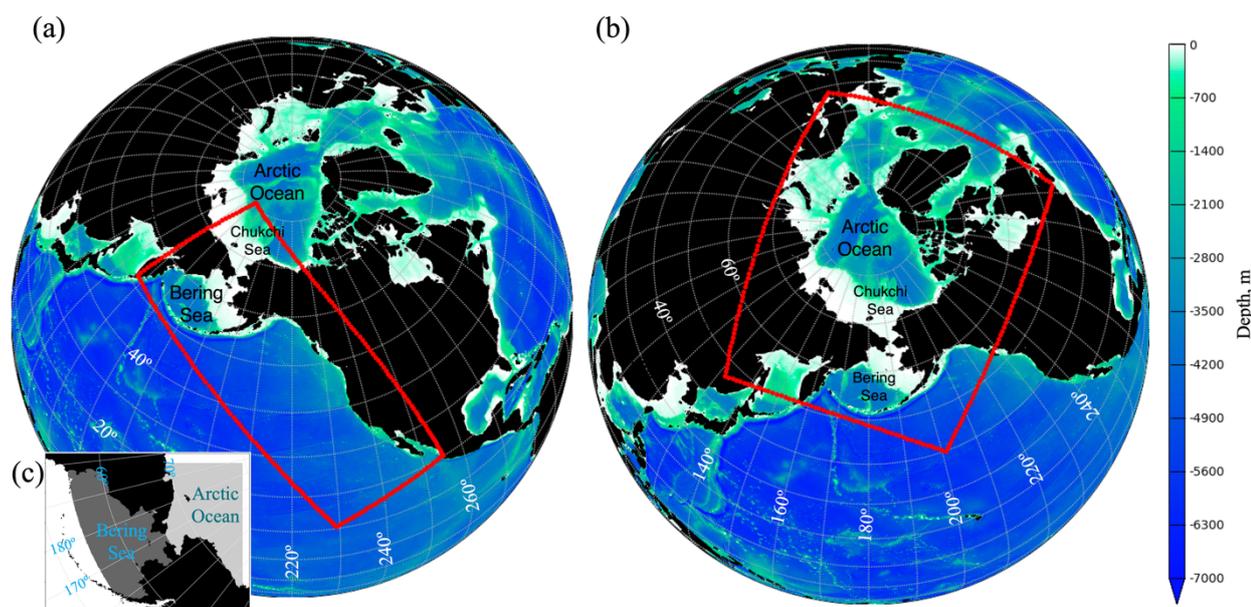


The primary goals of this study are to evaluate the performance of the implemented sea ice relaxation approach and to assess its impact on sea ice and ocean surface fields. The methodology is outlined and the algorithm is evaluated within the coupled SIS2 modeling framework in two regional applications: the Northeast Pacific and the Arctic Ocean. These domains differ in their sensitivity to lateral boundary conditions and interior constraints. Together, these applications provide a complementary assessment of the effectiveness of relaxation for both boundary forcing and interior state correction.

## 2 Northeast Pacific and Arctic Ocean regional configurations

Performance and testing of the ice relaxation algorithm is demonstrated with two regional forecasting systems set up for the Northeast Pacific (NEP10k) region (Fig. 1a) and the Arctic Ocean (ARC10k, Fig. 1b). Both systems employ the GFDL ocean model MOM6 and sea ice model SIS2 (Adcroft et al., 2019), coupled with Carbon, Ocean Biogeochemistry and Lower Trophics biogeochemical model (COBALTv3) (Stock et al., 2025). The ocean model parameters used in the two configurations are largely similar, whereas the sea ice parameters have several differences, as discussed in the following sections. Descriptions of the model components are provided in Adcroft et al. (2019), with configuration details for regional MOM6 applications documented in Ross et al. (2023) and Drenkard et al. (2025). Here we summarize the key configuration details and physical parameters of the ocean model used in the numerical experiments.

80



85 **Figure 1: Computational domains. (a) Bottom topography and the Northeast Pacific (NEP10k) domain. The red box delineates the domain boundaries. (b) Bottom topography and the Arctic Ocean (ARC10k) domain, also outlined in red. (c) The northern portion of the NEP10k domain. Shaded regions indicate areas used for validation in the NEP10k experiments. For the ARC10k domain, validation is performed within the relaxation region located north of 60°N.**



## 2.1 Modeling domains

The NEP10k domain follows the western coast of North America, extending from the tropical Pacific to the Bering and Chukchi Seas (Fig. 1a). The computational grid is an orthogonal curvilinear Arakawa C grid with spatially varying horizontal resolution of approximately  $1/12^\circ$ , yielding nearly homogenous 10-km spacing over the region of interest.

90 The study focuses on the northern portion of the NEP10k domain encompassing the Bering and Chukchi Seas, which exhibit contrasting sensitivities to LBCs. In the Bering Sea, sea ice primarily forms over the continental shelf during winter with minimal advection from the Arctic Ocean (Wang et al., 2009), implying weak sensitivity to LBCs. In contrast, ice conditions in the Chukchi Sea are strongly influenced by oceanic heat flux through the Bering Strait and by ice advection from the Arctic Ocean (Serreze et al., 2016), making this region more sensitive to prescribed LBCs.

95 ARC10k covers the Arctic and subarctic regions (Fig. 1b) and employs a horizontal grid spacing of approximately  $1/12^\circ$  ( $\sim 10$  km). In this configuration, most ice-covered regions are far removed from the lateral boundaries, such that the influence of LBCs on the interior solution is expected to be minimal. Instead, the primary challenge is constraining the interior sea ice state. Given well-documented model biases in Arctic sea ice thickness, concentration, and drift (Johnson et al., 2012; Schweiger and Zhang, 2015; Bouchat et al., 2022; Hutter et al., 2022; Frankignoul et al., 2024), particularly in the marginal  
100 ice zone (MIZ; Fritzner et al., 2019; Dumont, 2022), ARC10k serves as a useful testbed for evaluating the effectiveness of interior relaxation in improving simulated ice conditions.

## 2.2 Physical ocean model

The main configuration choices used in the physical ocean model (MOM6) follow those described in Ross et al. (2023) and Drenkard et al. (2025). Key MOM6 parameters for both configurations are summarized in Table 1. These particular MOM6  
105 configurations employ a  $z^*$  vertical coordinate system (Adcroft and Campin, 2004) with partial bottom cells and 75 vertical layers, offering fine resolution ( $\sim 2$  m) in the upper 30 meters, gradually increasing to 250 m in the deep ocean, similar to the setup in Adcroft et al. (2019). The model employs split-explicit time stepping (Hallberg and Adcroft, 2009; Griffies et al., 2020), with a baroclinic time step ( $\Delta t_{bcl}$ ) and a time-varying barotropic time step ( $\Delta t_{btrp}$ ). Thermodynamics and biogeochemistry are updated at a time step of 1200 seconds.

110 The simulations include tidal forcing, imposed both at the lateral boundaries and through the astronomical tidal potential. The choice of lateral boundary conditions is consistent with Ross et al. (2023). Ocean lateral boundary conditions are derived from the Copernicus Global  $1/12^\circ$  Oceanic and Sea Ice Reanalysis (GLORYS12; Lellouche et al., 2021). Atmospheric forcing fields (momentum, heat, precipitation, and radiation fluxes) are derived from the hourly European Centre for Medium-Range Weather Forecasts (ECMWF) Reanalysis 5 (ERA5; Hersbach et al., 2020).

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**Table 1. Major parameters used in the physical ocean component (MOM6)**

Parameter	Value and reference
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	NEP10k	ARC10k
Horizontal grid spacing (mean ± st. dev)	9.7 ± 0.46 km	11.0 ± 0.42 km
Grid dimension (i x j)	342 x 816	540 x 696
Vertical grid	75 z* levels (Adcroft and Campin, 2004)	
Baroclinic time step	400 s	600 s
Barotropic time step	$\Delta t_{btrp} = \max \left\{ \frac{\Delta t_{bcl}}{n} \mid n \in \mathbb{Z}^+, \frac{\Delta t_{bcl}}{n} < 0.9 \Delta t_{max} \right\}$ , where $\Delta t_{max}$ is the maximum stable time step	
Thermodynamics and BGC time step	1200 s	1800 s
Barotropic lateral BCs	Flather scheme (Flather, 1976)	
Baroclinic lateral BCs: velocity	Radiation and nudging scheme (Marchisello et al., 2001; Orlanski, 1976)	
Baroclinic lateral BCs: tracers	Reservoirs with 9 km length scales	Reservoirs with 3 km length scales
Lateral boundary forcing	GLORYS12 (Lellouche et al., 2021)	
Planetary boundary layers	ePBL (Reichl and Hallberg, 2018)	
Submesoscale mixing and restratification	Bodner et al., 2023	
Tides, OBs and local tidal potential	10 constituents: Semidiurnal (M2, S2, N2, K2), diurnal (K1, O1, P1, Q1), long-period (Mm, Mf); amplitudes and phases from the Global tidal elevation and transport atlas version 9 (TPXO, Egbert and Erofeeva, 2002).	
Background kinematic viscosity	0.0 m <sup>2</sup> s <sup>-1</sup>	
Background diapycnal diffusivity	1.0 x 10 <sup>-6</sup> m <sup>2</sup> s <sup>-1</sup>	
Smagorinsky coefficient	0.015	0.06
Shear-driven turbulence	Jackson et al. (2008)	
Opacity scheme	Three-band with chlorophyll (Manizza, 2005)	
River runoff	GloFAS reanalysis version 4 (Harrigan et al., 2020; Grimaldi et al., 2022), runoff in the Gulf of Alaska (Beamer et al., 2017; Hill, 2023)	

### 2.3 Sea ice model

120 The main characteristics of the sea ice model SIS2 are summarized in Table 2. SIS2 employs a horizontal C-grid collocated with the ocean grid and simulates the evolution of the ice thickness distribution through ice dynamics, thermodynamic growth and melt, and mechanical redistribution. Ice column thermodynamics follow Bitz and Lipscomb (1999), and



shortwave radiative transfer is based on Briegleb and Light (2007), as implemented in CICE version 4.1 (Hunke and Lipscomb, 2010). Mechanical redistribution due to ridging is represented using a standard redistribution scheme (Rothrock, 1975; Thorndike et al., 1975; Hibler, 1980), and ice rheology is described by the elastic–viscous–plastic (EVP) formulation (Bouillon et al., 2009).

Sea ice is partitioned into a specified number of thickness categories ( $N_c$ ). Five ice thickness categories in NEP10k are chosen to provide better resolution for thin ice. In ARC10k, ice is partitioned into 10 ice categories offering a sufficiently fine representation of the range of ice thicknesses in the Arctic. For each thickness category, sea ice has 4 vertical layers ( $N_i$ ) and 1 snow layer ( $N_s$ ). In both configurations, the sea ice lateral boundaries are closed. In SIS2, closed boundaries are modeled as land points where the normal component of ice velocity is constrained to zero, and a no-slip condition is applied to the tangential component of the ice velocity. Ice stress at these boundaries is treated implicitly, naturally vanishing and ensuring zero flux across the land or closed boundary faces. The dynamic and thermodynamic processes are time-split, with dynamics integrated using a shorter dynamic time step, followed by thermodynamic updates applied at a longer thermodynamic time step.

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**Table 2. Major parameters used in the sea ice component (SIS2)**

Parameter	Value and reference	
	NEP10k	ARC10k
Thickness categories,	5	10
thickness boundary values	{0,0.1, 0.3, 0.7, 1.1, $\infty$ } m	{0, 0.1, 0.3, 0.7, 1.1, 1.5, 2.0, 2.5, 3.0, 3.5, $\infty$ } m
Dynamic time step	300 s	900 s
Thermodynamic time step	3600 s	1800 s
Rheology time step	0.7 s	2.1 s
Heat conductivity in snow	0.31 W m <sup>-1</sup> K <sup>-1</sup>	0.248 W m <sup>-1</sup> K <sup>-1</sup>
Thickness advection scheme	Directionally split piecewise constant	Piecewise parabolic Huyhn 3 <sup>rd</sup> order
Continuity scheme	Directionally split piecewise constant	Positive definite PPM with 2 <sup>nd</sup> order edge values
Ice vertical layers	4	
Snow vertical layers	1	
Spatial grid	C-grid	
Sea ice mean density	905 kg m <sup>-3</sup>	
Heat conductivity in ice	2.03 W m <sup>-1</sup> K <sup>-1</sup>	
Tracer advection scheme	Piecewise parabolic Huyhn 3 <sup>rd</sup> order	



Lateral boundary conditions	Closed (zero normal and tangential velocities; no mass or tracer flux)
Thermodynamics	Bitz and Lipscomb (1999) with minor modifications (Adcroft et al., 2019)
Radiation scheme	Delta-Eddington (Briegleb and Light, 2007)
Ice rheology	EVP (Bouillon et al., 2009)
Mechanical redistribution	Rothrock, 1975; Thorndike et al., 1975; Hibler, 1980

### 3 Validation methodology and relaxation target fields

#### 3.1 Sea ice data used for nudging

140 The sea ice relaxation algorithm nudges ice concentration and thickness toward prescribed target values. Although the algorithm does not require these two fields to be physically consistent, its performance improves when they are aligned. For the gridded products considered, target fields for sea ice concentration and thickness can be derived from satellite derived estimates and sea ice reanalysis products. Satellite observations provide broad coverage of sea ice concentration, but the use of satellite-derived ice thickness for nudging remains challenging due to limited temporal coverage, data gaps, retrieval  
145 uncertainties, and seasonal biases, particularly during melt conditions (e.g., Laxon et al., 2013; Labe et al., 2018; Tilling et al., 2018). In addition, inconsistencies between independently derived concentration and thickness products may introduce unphysical behavior during relaxation (Zygmuntowska et al., 2014).

In this study, sea ice concentration and thickness are relaxed toward fields from version 2.1 of the Pan-Arctic Ice Ocean Modeling and Assimilation System (PIOMAS) reanalysis. PIOMAS is a coupled data-assimilative modeling system that  
150 includes a multicategory thickness and enthalpy distribution (TED) sea ice model (Zhang and Rothrock, 2001; 2003) and the Parallel Ocean Program (POP) ocean model (Smith et al., 1992). The model spans the pan-Arctic region with open boundaries at 45° N and has a spatial resolution of 22 km. Atmospheric forcing (surface wind, surface air temperature, cloud cover) is derived from the NCEP/NCAR Reanalysis 1 (Kalnay et al., 1996).

PIOMAS assimilates sea ice concentration from the NSIDC Near-Real-Time product and sea ice velocity (Lindsay and  
155 Zhang, 2006), but does not assimilate sea ice thickness. Despite this limitation, previous studies have shown that PIOMAS reproduces observed patterns of sea ice thickness, volume, and motion with reasonable fidelity (Schweiger et al., 2011; Stroeve et al., 2014; Wang et al., 2016; Labe et al., 2018; Petty et al., 2022; Schweiger and Zhang, 2015).

#### 3.2 Model validation metrics

160 The effectiveness of the relaxation algorithm in correcting simulated sea ice concentration and thickness is evaluated against the PIOMAS reanalysis. PIOMAS is used as the target field for nudging, making it a natural choice for reference. Comparing



the model output to PIOMAS highlights the impact of the relaxation algorithm and enables comparison between nudging and non-nudging simulations.

165 For the NEP10k domain, assessment is carried out separately for two validation regions ( $\Omega$ ) shown in Fig. 1c: the Bering Sea and the Arctic portion of the domain encompassing the Chukchi Sea (referred to as the “Arctic Ocean”), which are analyzed independently due to their distinct sea ice conditions. Furthermore, the relaxation rates applied in these two regions differ (Sect. 4.3). For the ARC10k experiments, evaluation is performed within the relaxation zone north of 60°N.

The following metrics are used to evaluate the performance of the NEP10k and ARC10k numerical experiments with sea ice relaxation:

170 • Ice area:

$$A_{ice} = \iint_{\Omega} a_i dA, \quad (1)$$

where  $a_i$  is the aggregated ice partial area (concentration) in a grid cell, and  $\Omega$  is the validation region.

• Ice volume:

$$V_{ice} = \iint_{\Omega} \hat{h}_i dA, \quad (2)$$

175 where  $\hat{h}_i$  is grid cell-mean ice thickness.

• Root Mean Square Error (RMSE):

$$\varepsilon_{rms} = \left[ \frac{1}{A_{\Omega}} \sum_{i=1}^{N_p} w_i (x_i - \tilde{x}_i)^2 \right]^{1/2}, \quad (3)$$

where  $A_{\Omega} = \iint_{\Omega} dA$  is the total area of the validation region,  $w_i$  is area of the  $i^{\text{th}}$  grid cell,  $\{x_i \in \Omega \mid i = 1, 2, \dots, N_p\}$  is the set of simulated data points within the validation region,  $\{\tilde{x}_i\}$  is the corresponding set of target values from the relaxation fields.

180 • Bias:

$$\varepsilon_{bias} = \frac{1}{A_{\Omega}} \sum_{i=1}^{N_p} w_i (x_i - \tilde{x}_i). \quad (4)$$

The position of the sea ice edge is a key characteristic of the ice cover, influencing physical and biogeochemical processes in the MIZ (Niebauer, 1991; Bitz et al., 2005). Model skill in reproducing the ice edge is evaluated using the Modified Hausdorff Distance (MHD), following Dukhovskoy et al. (2015). MHD quantifies the similarity between two sets of points; 185 in our case, these correspond to ice edge contours defined by the 0.15 sea ice concentration. Specifically, the MHD quantifies the largest of the average shortest distances from points on the simulated ice edge contour to the reference contour and from points on the reference contour to the simulated contour. For both the NEP10k and ARC10k simulations, the 0.15 sea ice concentration contour is extracted from model output and from PIOMAS monthly fields, and the MHD is computed between the simulated and reference contours. Lower MHD values indicate closer agreement, with zero representing a 190 perfect match.



## 4 Design and implementation of sea ice relaxation in SIS2

### 4.1 Newtonian relaxation framework

Sea ice relaxation is implemented by adding a Newtonian relaxation term to the model's prognostic variables. For each ice thickness category  $n$ , both the ice partial area ( $a_{in}$ ) and the ice mass per unit grid cell area ( $M_{in}$ ) are adjusted using

$$195 \quad \frac{dx}{dt} = -\frac{1}{\tau}(x - x_T), \quad (5)$$

where  $x$  denotes the nudged variable,  $x_T$  is the target value,  $\tau$  is the relaxation time scale, and  $t$  is time.

In SIS2, the relaxation is discretized using a backward Euler scheme, which is unconditionally stable (used here in the sense of absolute stability as defined in Quarteroni et al. (2000)). The resulting equation is

$$x^{k+1} = \left(1 + \frac{\Delta t}{\tau}\right)^{-1} \left(x^k + \frac{\Delta t}{\tau} x_T\right). \quad (6)$$

200 where  $k$  is the time index and  $\Delta t$  is the model time step.

### 4.2 Implementation of sea ice relaxation in SIS2

#### 4.2.1 Conversion of the target variables

The relaxation algorithm consists of two main parts: initialization and application. The initialization routines are executed during the model setup phase, prior to the start of time integration. These routines identify ocean grid points where nudging  
205 will be applied, based on the specified relaxation rates, where the rate is greater than zero.

In the application step, the input ice concentration and thickness from external fields are first converted into the model-defined state variables before relaxation is applied. The target fields represent cell-mean ice concentration and thickness, whereas SIS2 defines variables per ice category. Therefore, the target ice variables must be distributed across the model's ice thickness categories in a way that conserves total ice mass.

210 The relationship between the target ice concentration ( $a_T$ ) and the model ice concentration for thickness category  $n$  ( $a_{in}$ ) is as follows

$$a_T \equiv a_i = \sum_{n=1}^{N_c} a_{in}, \quad (7)$$

where  $a_i$  is the aggregated (grid-cell mean) ice concentration and  $N_c$  is the total number of ice thickness categories.

Similarly, the relationship between the target ice thickness ( $h_T$ ) and the model categorical ice thickness values ( $h_{in}$ ) is  
215 expressed in terms of ice volume per unit grid-cell area as

$$h_T \equiv v_i = \sum_{n=1}^{N_c} a_{in} h_{in}, \quad (8)$$

where  $v_i$  is the total ice volume per unit grid-cell area ( $\text{m}^3 \text{m}^{-2}$ ), equivalent to the cell-mean ice thickness provided in the target fields, and  $h_{in}$  denotes the mean ice thickness averaged over the ice-covered area of category  $n$ . Once the target thickness is distributed across the categories, it is converted to the SIS2 units of mass per unit area ( $\text{kg m}^{-2}$ ) for each category

220 as



$$M_{in} = \rho_{ice} h_{in}, \quad (9)$$

where  $\rho_{ice}$  is sea ice density (Table 2).

#### 4.2.2 Distribution of ice input variables by thickness categories

To ensure physical consistency of the prescribed target state, the target ice concentration and thickness are first remapped onto the SIS2 thickness categories in a manner that conserves total ice area and volume (Eqs. 7, 8). At each grid cell where both the relaxation time scale and the target ice concentration are nonzero, the target ice state is distributed across the thickness categories ( $H_{cat}$ ) using the procedure outlined below.

##### (i) Ice sufficiency constraint

Redistribution is performed only when the target ice state is non-negligible, such that

$$h_T a_T \geq \varepsilon_0, \quad (10)$$

where  $h_T$  and  $a_T$  denote the target ice thickness and concentration defined in (Eq. 7) and (Eq. 8), respectively. The threshold  $\varepsilon_0 = 1 \cdot 10^{-10} \text{ m}^3 \text{ m}^{-2}$  (equivalent to meters) excludes infinitesimal ice states.

##### (ii) Identification of the primary thickness category

The thickness category  $k_0$  corresponding to the target ice thickness is identified as

$$h_{min}(k_0) \leq \frac{h_T}{a_T} < h_{max}(k_0), \quad (11)$$

where  $h_{min}(k) = H_{cat}(k)$  and  $h_{max}(k) = H_{cat}(k + 1)$ , i.e. the lower and upper bounds of the  $k$ -th ice thickness category.

##### (iii) Initial assignment to the primary category

The entire target ice thickness and concentration are initially assigned to category  $k_0$  by

$$h_i(k_0) = \frac{h_T}{a_T}, \quad (12)$$

$$a_i(k_0) = a_T. \quad (13)$$

At this step, both ice volume and concentration are exactly conserved. The ice volume in category  $k_0$  is  $v_i(k_0) = h_i(k_0) \cdot$

$a_i(k_0)$ .

##### (iv) Redistribution to thinner categories

To populate thinner ice categories while maintaining conservation, a portion of ice volume ( $\Delta v_i$ ) is sequentially redistributed from category  $k_0$  to categories  $k = 1, \dots, k_0 - 1$ , starting from the thinnest category. A minimum ice concentration threshold  $\alpha_{min}$  is introduced to prevent artificial population of excessively thin or vanishing categories and is defined as

$$\alpha_{min} = \min \left\{ \alpha_C, \frac{a_T}{k_0} \right\}. \quad (14)$$

Here,  $\alpha_c$  is a tunable parameter satisfying  $\alpha_c < \frac{1}{N_c}$ , where  $N_c$  is the total number of ice thickness categories. In the experiments presented here,  $\alpha_c=0.01$ . Smaller values of  $\alpha_c$  restrict redistribution into thinner categories. For each category  $k$ , a fixed ice volume

$$255 \quad \Delta v_i = \alpha_{min} h_0, \quad (15)$$

is transferred from category  $k_0$ . Here,  $h_0 = H_{cat}(k) + \varepsilon_0$ , where  $\varepsilon_0$  is as defined in Eq. (10) and is used here as a thickness offset to ensure that the redistributed ice thickness remains within category bounds. The ice state in category  $k$  is updated as  $h_i(k) = h_0$ , and  $a_i(k) = \alpha_{min}$ . The ice state in category  $k_0$  is adjusted to conserve volume and concentration as

$$a_i(k_0) = \max \{a_i(k_0) - a_i(k), \alpha_{min}\}, \quad (16)$$

$$260 \quad v_i(k_0) = v_i(k_0) - \Delta v_i, \quad (17)$$

$$h_i(k_0) = \frac{v_i(k_0)}{a_i(k_0)}. \quad (18)$$

Redistribution proceeds sequentially until either all thinner categories up to  $(k_0-1)$  have been populated or the remaining ice concentration in category  $k_0$  reaches the minimum threshold  $\alpha_{min}$ , at which point redistribution is terminated.

#### 265 (v) Conservation verification

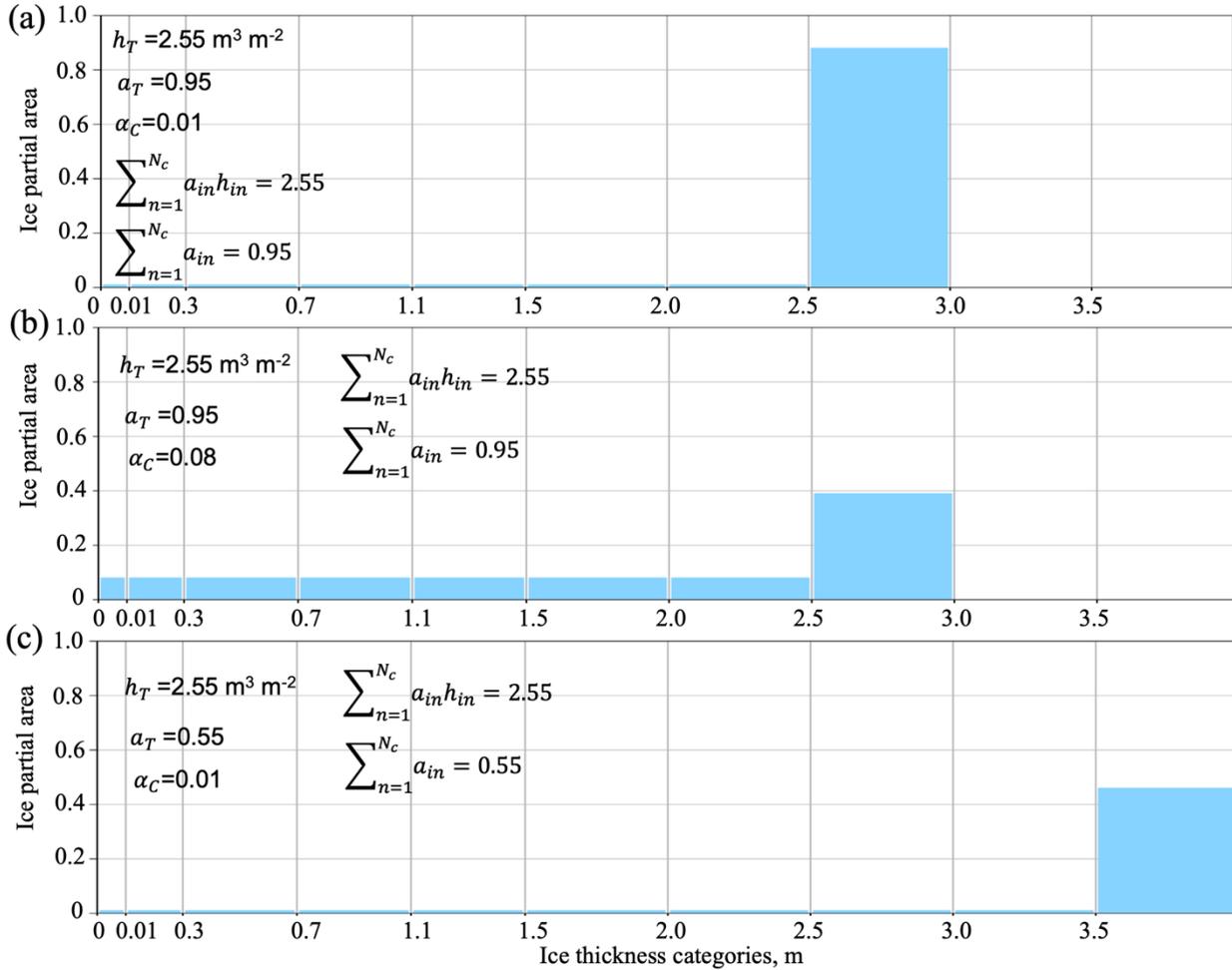
Following redistribution, the resulting thickness-category ice fields are verified to conserve the prescribed target values of total ice concentration and ice volume, as defined by Eqs. (7) and (8), respectively.

In the simplest approach, the target ice state could be assigned entirely to a single category (the primary thickness category  $k_0$  defined in Eq. (11)), conserving total ice volume and concentration at initialization but resulting in multiple empty categories. While formally valid, this configuration may result in reduced numerical stability of the ice model, as both thermodynamic and mechanical algorithms assume a non-degenerate ice thickness distribution (ITD) and become less stable when ice is concentrated in a single category. In particular, small numerical perturbations can produce unrealistically large thickness tendencies in categories where  $a_i \rightarrow 0$ . The ITD thermodynamic and mechanical solvers are more numerically stable when thickness categories are non-empty, even if populated with small but finite ice area. The redistribution procedure therefore ensures non-empty thickness categories, improving numerical robustness and avoiding pathological tendencies during subsequent thermodynamic and mechanical evolution, while strictly conserving the prescribed bulk ice properties.

The distribution algorithm has been tested for stability and errors using 5000 randomly generated target pairs of ice thickness and concentration, demonstrating numerical stability across the tested range. Figure 2 illustrates the redistribution of ice across thickness categories for three representative cases. In Figs. 2a and 2b, the target ice thickness ( $2.55 \text{ m}^3 \text{ m}^{-2}$ ) and concentration (0.95) are identical. However, the larger value of the parameter  $\alpha_c$  in Eq. (14) used in Fig. 2b results in a greater fraction of ice being assigned to thinner categories compared to Fig. 2a. Figures 2a and 2c differ only in the total ice concentration (0.95 and 0.55, respectively), while the target ice volume per unit grid-cell area is held fixed. In Fig. 2c, all 10 thickness categories are populated (non-zero), because the lower target concentration implies a greater mean ice thickness over the ice-covered fraction of the cell, resulting in larger categorical ice thicknesses  $h_{in}$  (Eq. (8)) and a larger value of  $k_0$



285 according to Eq. (11). In all cases, the conservation constraints for total ice volume and ice concentration Eqs. (8) and (7) are satisfied.



290 **Figure 2.** Example of ice distribution algorithm for same target ice thickness ( $2.55 \text{ m}^3 \text{ m}^{-2}$ ) and ice concentration (0.95) but varying parameter  $\alpha_c$  (0.01 in (a) and 0.08 in (b)), and for same target ice thickness and  $\alpha_c$  but varying ice concentration (0.95 in (a) and 0.55 in (c)). For all cases, the total ice volume (Eq. 8) and the aggregated ice concentration (Eq. 7) are conserved.

#### 4.2.3 Update of sea ice temperature and salinity

During relaxation, ice volume is artificially modified as it is adjusted toward the target ice concentration and thickness. Each ice layer within each thickness category is characterized by salinity ( $S_i$ ) and enthalpy ( $q_i$ ). Because ice volume is altered during the relaxation procedure, salinity and enthalpy must be maintained within physically realistic bounds, particularly in grid cells transitioning from ice-free to ice-covered conditions. After the ice state has been relaxed to the target concentration and thickness, salinity and enthalpy are adjusted as follows.



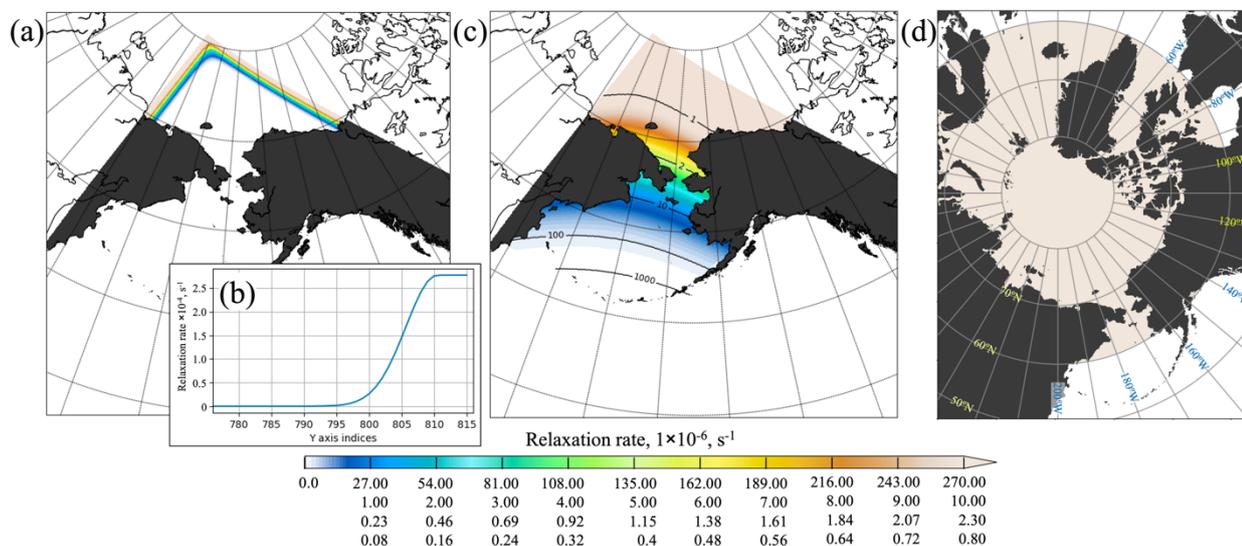
For each ice layer in every thickness category, salinity is checked to ensure  $S_i \geq S_{bulk}$ , where  $S_{bulk}$  is the prescribed bulk sea ice salinity (3.0 psu in this study). If this condition is not satisfied, salinity in all layers at that grid point is reset to  $S_{bulk}$ . Next, ice enthalpy is evaluated for each layer to ensure  $q_i < q(T_{frz})$ , where  $q(T_{frz})$  is the enthalpy of sea ice with salinity  $S_i$  at its freezing temperature. If this condition is violated, the enthalpy is reassigned to correspond to a temperature slightly below the freezing point ( $T_{frz} - 0.01$ ) to prevent rapid melting of newly formed ice during the relaxation step.

### 4.3 Spatial relaxation masks and rates

Spatially varying relaxation masks were designed to target lateral boundary regions or the interior ice state, depending on the domain configuration and forecasting objectives (Fig. 3). For the NEP10k configuration, two relaxation mask designs were implemented. In the first configuration, relaxation is applied only near the lateral boundaries in the Arctic portion of the domain (Fig. 3a). The maximum relaxation strength is imposed within the first five grid cells adjacent to the boundary and decays rapidly with distance from the boundary (Fig. 3b).

In a second set of NEP10k experiments, relaxation is applied over the entire polar portion of the domain. In this case, the region of maximum relaxation extends across the Arctic Ocean and decreases exponentially southward toward the Bering Sea. This design intentionally applies weaker constraints in the Bering Sea, where the model exhibits higher skill, while imposing stronger constraints in the Arctic Ocean to limit the influence of LBC errors on the interior ice state. The overall relaxation strength in these experiments is controlled by prescribing the strongest relaxation within the Arctic Ocean portion of the NEP10k domain.

In the ARC10k experiments, the influence of lateral boundary conditions on sea ice is minimal, because the model domain extends well beyond the typical extent of the marginal ice zone. Consequently, relaxation is used primarily to constrain the interior ice state. The relaxation mask in these experiments applies a spatially uniform relaxation rate north of 60°N (Fig. 3d).



320 **Figure 3. Spatial distribution of the relaxation time rates ( $s^{-1}$ ) applied in the test experiments. Colors indicate the relaxation rate ( $s^{-1}$ ). (a) Relaxation applied along the lateral boundaries in the Arctic Ocean portion of the NEP10k domain. (b) Profile of the relaxation rate along the model Y-grid axis near the northwest boundary on the shelf, demonstrating the rapid decay of relaxation away from the boundary. (c) Relaxation is applied over the entire polar portion of the NEP10k domain. Black contours indicate the multiplication factor of the maximum relaxation timescale. The color bar shows the relaxation rate ( $\times 10^{-6} s^{-1}$ ), with values corresponding to maximum relaxation times of 1, 24, 120, and 360 hours. (d) Relaxation mask for the ARC10K experiments, providing a homogeneous relaxation rate ( $s^{-1}$ ) over the Arctic Ocean north of  $60^{\circ}N$ .**

325

## 5. Numerical simulations

The relaxation algorithm was evaluated using long-term and short-term numerical simulations to assess its robustness, its impact on model drift, and the sensitivity of simulated sea ice and ocean fields to relaxation parameters and timescales. The long-term simulations consist of existing multi-decadal NEP10k runs that were not specifically designed to test the relaxation algorithm but were originally produced to generate initial conditions for subsequent forecast applications. These simulations provide an opportunity to assess model drift and the cumulative impact of sea ice relaxation on the coupled ocean-ice system over extended time scales.

330

Short-term experiments were designed for this study and consist of one-year test runs aimed at evaluating the sensitivity of sea ice and ocean fields to relaxation and identifying any spurious effects introduced by the nudging on seasonal time scales. These experiments were conducted for both the NEP10k and ARC10k configurations using the spatial relaxation masks described in Sect. 4.3 (Fig. 3). A summary of all experiments is provided in Table 3.

335

**Table 3. Numerical experiments with MOM6-SIS2 model.**

Experiment name	Coupled components	Max relaxation time	relaxation scale,	Ocean relaxation	Atmospheric forcing	Integration Period
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		relaxation domain	time scale		
NEP10k multi-decadal hindcasts					
HCST_BGC_NEP	MOM6-SIS2- COBALT	12 hrs, Fig. 3c	15 days	1hr ERA5	1993–2024
HCST_PHYSG_NEP	MOM6-SIS2	No	15 days	1hr ERA5	1993–2019
HCST_PHYSI_NEP	MOM6-SIS2	24 hrs, Fig. 3c	No	1hr ERA5	1993–2019
NEP10k nudging experiments					
IRLX0_NEP	MOM6-SIS2	No	No	1hr ERA5	2001
IBND001_NEP	MOM6-SIS2	1 hr, Fig. 3a	No	1hr ERA5	2001
IRLX001_NEP	MOM6-SIS2	1 hr, Fig. 3c	No	1hr ERA5	2001
IRLX024_NEP	MOM6-SIS2	24 hrs, Fig. 3c	No	1hr ERA5	2001
IRLX120_NEP	MOM6-SIS2	120 hrs, Fig. 3c	No	1hr ERA5	2001
IRLX360_NEP	MOM6-SIS2	360 hrs, Fig. 3c	No	1hr ERA5	2001
ARC10k nudging experiments					
IRLX0_ARC	MOM6-SIS2	No	90 days	1hr JRA55	1995
IRLX001_ARC	MOM6-SIS2	1 hr, Fig. 3d	90 days	1hr JRA55	1995
IRLX024_ARC	MOM6-SIS2	24 hrs, Fig. 3d	90 days	1hr JRA55	1995
IRLX120_ARC	MOM6-SIS2	120 hrs, Fig. 3d	90 days	1hr JRA55	1995
IRLX360_ARC	MOM6-SIS2	360 hrs, Fig. 3d	90 days	1hr JRA55	1995

### 5.1 Multi-decadal NEP10k simulations

340 The relaxation algorithm described here is a direct nudging technique, which does not explicitly add or remove salt and heat from the ocean, thus it is not mass- or energy conservative. England et al. (2022) showed that direct nudging implicitly adds or removes latent heat from the ocean when ice is removed or added during relaxation. Similarly, salt is implicitly added or removed from the ocean through the nudging process. In addition, direct nudging can trigger spurious ice melting due to noise introduced in the sea ice state during relaxation, especially under strong relaxation or where the underlying ocean is warmer than the freezing point. This spurious melting may lead to unphysical freshening of the surface ocean within the relaxation zone, causing drift in ocean surface salinity and temperature. To explore drifts in the ocean fields caused by ice nudging, we compare sea surface salinity (SSS) and sea surface temperature (SST) averaged over the Chukchi Sea region in the NEP10k domain (“Arctic Ocean” in Fig. 1c) across three multi-decadal hindcast simulations. Multi-decadal drift analysis is presented in Sect. 8.1.



### 350 **5.1.1 NEP10k hindcast with biogeochemistry (HCST\_BGC\_NEP)**

A multi-decadal NEP10k hindcast spanning the period 1993–2024 was analyzed (experiment HCST\_BGC\_NEP; Table 3). The model configuration, forcing fields, and general hindcast setup follow Drenkard et al. (2025) (Tables 1 and 2) with the following differences: (i) SIS2 was configured with explicit ice ridging (mechanical redistribution); (ii) ocean temperature and salinity fields in the interior domain were nudged toward GLORYS12v1 ocean reanalysis (Lellouche et al., 2021) with a  
355 15-day relaxation time scale; and (iii) the sea ice relaxation algorithm described in Sect. 4 was applied to nudge ice concentration and thickness. Sea ice was relaxed toward monthly PIOMASv2.1 fields using the relaxation mask shown in Fig. 3c, with a maximum relaxation time scale of 12 hours. The hindcast was initialized from a three-year spinup simulation.

### **5.1.2 NEP10k hindcast without ocean nudging (HCST\_PHYSI\_NEP)**

To isolate the effects of sea ice nudging and eliminate corrections to ocean fields imposed by nudging toward GLORYS  
360 reanalysis, a second NEP10k multi-decadal hindcast with disabled ocean nudging was analyzed. This simulation employed the coupled MOM6-SIS2 system without biogeochemistry and covered the period 1993–2019. Sea ice relaxation was applied using the same relaxation mask shown in Fig. 3c. All other parameters and model settings were identical to those used in HCST\_BGC\_NEP.

### **5.1.3 NEP10k hindcast without ice relaxation (HCST\_PHYSG\_NEP)**

365 A third hindcast employed the coupled MOM6-SIS2 system (physics only), with ocean temperature and salinity nudged toward GLORYS but without applying sea ice relaxation. The simulation covered the period 1993–2019. All parameters and settings were consistent with those in HCST\_BGC\_NEP.

## **5.2 Short-term test simulations**

### **5.2.1 Experiments with the NEP10k**

370 The NEP10k short-term test experiments analyzed in this study were initialized from the HCST\_BGC\_NEP hindcast simulation. All experiments were started on January 1, 2001, and integrated forward for one year as free-running simulations, forced by hourly ERA5 atmospheric fields. Ocean LBCs were prescribed from daily GLORYS12 ocean reanalysis fields.

A control experiment (IRLX0\_NEP) was conducted without sea ice relaxation. In experiment IBND001\_NEP, sea ice  
375 concentration and thickness were relaxed toward PIOMASv2.1 at the lateral boundaries using a 1-hour relaxation time scale (Fig. 3a). In the remaining experiments, sea ice concentration and thickness were relaxed toward PIOMASv2.1 monthly fields using the same spatially varying relaxation mask applied over the polar portion of the domain (Fig. 3c), with increasing maximum relaxation time scales: 1 hour (IRLX001\_NEP), 24 hours (IRLX024\_NEP), 120 hours



(IRLX120\_NEP), and 360 hours (IRLX360\_NEP). These experiments are used to assess the impact of lateral boundary  
380 versus interior ice relaxation on sea ice state, edge position, and surface fields; results are presented in Section 6.

### 5.2.2 Experiments with ARC10k

The ARC10k sensitivity experiments were initialized on January 1, 1995 from an existing hindcast simulation. As with the  
NEP10k experiments, the ARC10k simulations were integrated forward for one year. Atmospheric forcing was provided by  
hourly ERA5 reanalysis fields, and ocean LBCs were prescribed from the GLORYS reanalysis. A control experiment  
385 (IRLX0\_ARC) was conducted without sea ice relaxation. In the remaining experiments, sea ice state was nudged toward  
target fields derived from monthly PIOMASv2.1 data. The relaxation time scales used in these experiments were similar to  
those in the NEP10k simulations. However, unlike NEP10k, sea ice relaxation was applied uniformly across the entire Arctic  
north of 60°N (Fig. 3d). The experiments are analyzed in Section 7.

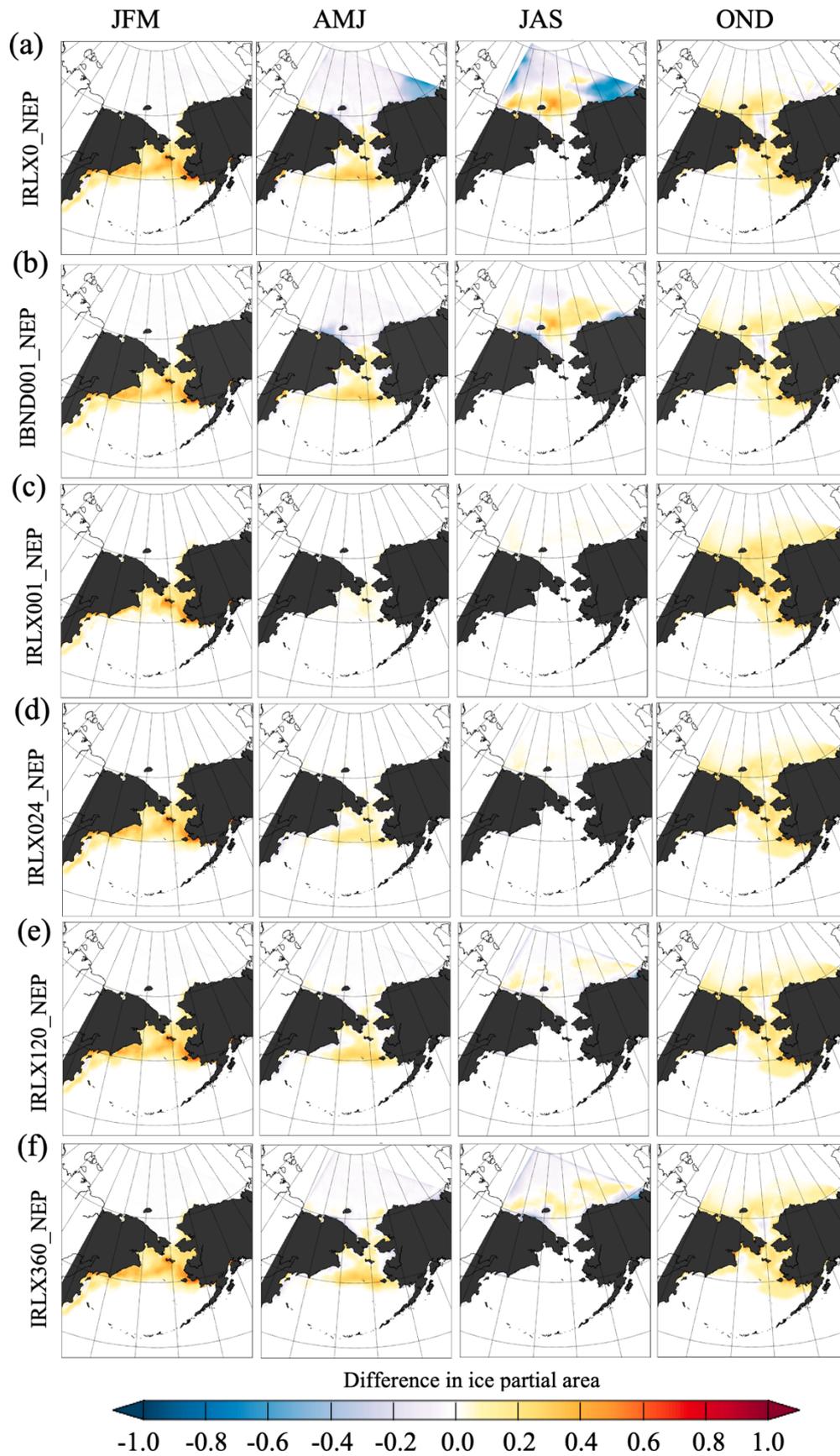
## 6 Results from NEP10k experiments

### 390 6.1 Control simulation (IRLX0\_NEP)

#### 6.1.1 Ice field differences

The impact of closed ice boundaries on the northern NEP10k domain is illustrated using the control simulation without ice  
relaxation (IRLX0\_NEP; Table 3). During the first three months (JFM), the model develops positive sea ice concentration  
anomalies over the Bering Sea (Fig. 4a), but there are no notable concentration biases in the Arctic ocean because there is  
395 near-total wintertime ice coverage in all cases. In spring (AMJ) and summer (JAS), pronounced negative anomalies appear  
along the northeastern boundary north of Alaska.

The effect of closed boundaries is more evident in sea ice thickness (Fig. 5a), with large positive anomalies rapidly forming  
in the western Chukchi Sea. These arise from ice accumulation along the lateral boundary driven by prevailing anticyclonic  
Arctic winds, while the same circulation removes ice from the northeastern boundary. Ice continues to pile up throughout the  
400 integration, and by the end of the one-year simulation, thickness in the western Chukchi Sea exceeds the PIOMAS reference  
by more than 2 m.





405 **Figure 4. Maps of seasonal differences in sea ice concentration between the NEP10k experiments and PIOMAS during 2001. Columns correspond to seasons, with three-letter abbreviations denoting the averaging period (in months). Each row represents a different experiment with varying relaxation: (a) control run with no relaxation (IRLX0\_NEP); (b) experiment with relaxation applied along the lateral boundaries (IBND001\_NEP); (c–d) experiments with relaxation applied over the polar portion of the NEP10k domain, with maximum relaxation times of 1, 24, 120, and 360 hours.**

### 6.1.2 Sea ice performance metrics

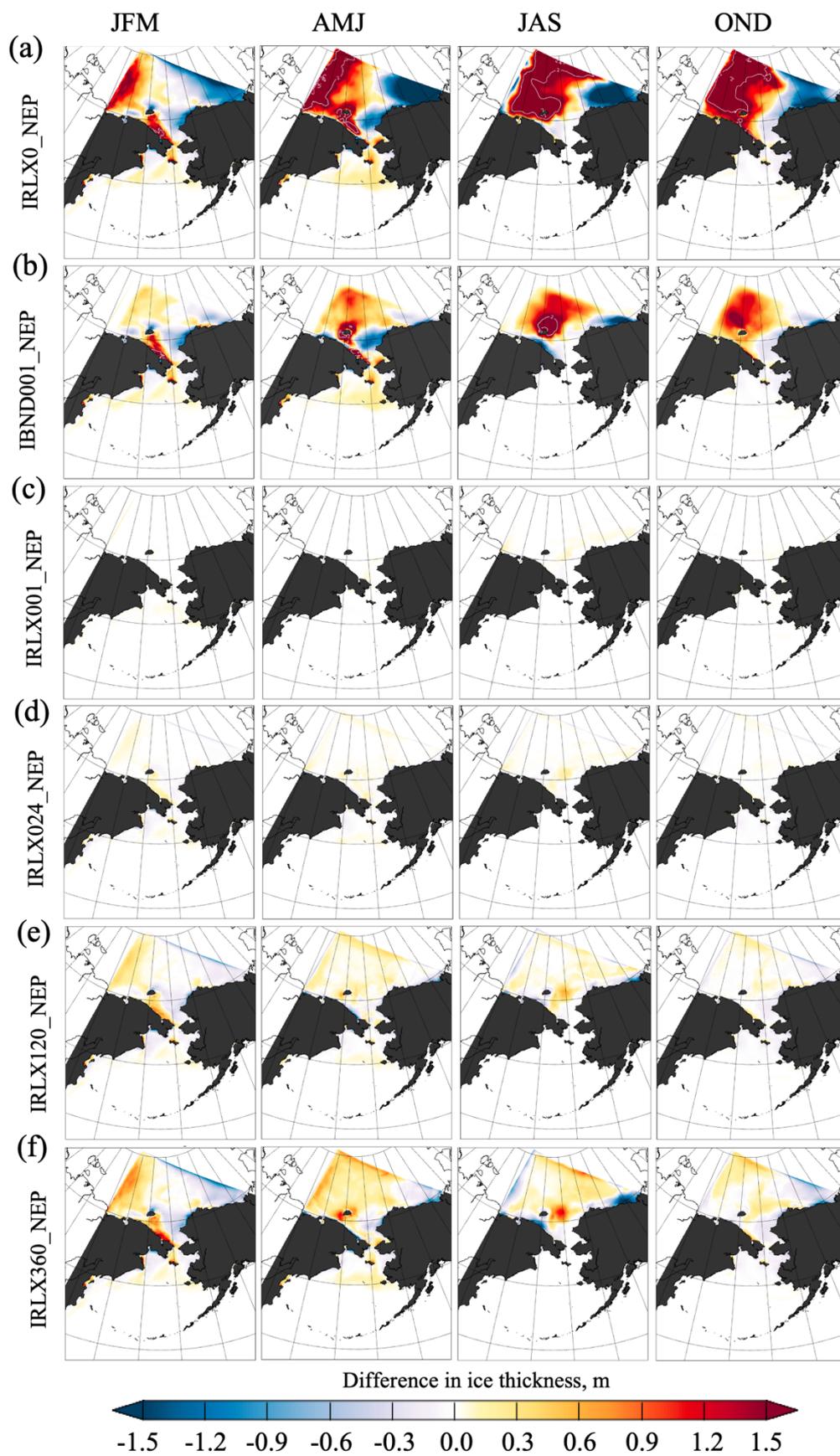
410 These results are supported by a quantitative comparison of the control simulation with PIOMAS, performed separately for the Bering Sea and Arctic subdomains (Fig. 1c). In the Bering Sea, the control run exhibits large errors and biases in ice concentration (blue line with bullets in Figures 6a, c, and e). The results closely overlap with those from IBND001\_NEP, as explained in Sect. 6.2. The errors are particularly large during January–April, when sea ice extent and thickness peak. During this period, ice area and volume are overestimated (Figs. 6a, 6b), primarily due to positive biases in both ice concentration and thickness (Figs. 6d, 6e). In summer, when the Bering Sea is largely ice-free, errors and biases in all sea ice metrics are substantially reduced (Figs. 6c–f).

Discrepancies are more pronounced in the Arctic portion of the NEP10k domain (Fig. 7), where the statistics exhibit a strong seasonal cycle. Errors and biases in ice concentration are relatively small during winter and late fall, when the region is nearly fully ice-covered (Figs. 7a, 7c, 7e). In contrast, during summer the control simulation poorly reproduces the spatial distribution of ice concentration, with RMSE values exceeding 0.25 and a systematic underestimation of partial ice area, leading to an underprediction of total ice area (Figs. 7a, 7c, 7e). The simulation also shows substantial errors in ice thickness (Figs. 7d, 7f), resulting in a marked overestimation of ice volume beginning in April and increasing through the end of the integration (Fig. 7b).

### 6.1.3 Sea ice edge position

425 In the IRLX0\_NEP control simulation, the simulated ice edge closely matches PIOMAS during periods when the ice edge lies within the Bering Sea (January–March and November–December; Fig. 8). From May through October, as the ice edge retreats into the Arctic Ocean, the simulation exhibits larger discrepancies. This part of the NEP10k domain is strongly influenced by the presence of closed boundaries, which contribute to substantial uncertainties in simulated ice characteristics and lead to increased errors in predicting the ice edge location.

430 Overall, the NEP10k control experiment exhibits substantial errors in sea ice characteristics across the Arctic portion of the NEP10k domain and the northern Bering Sea, primarily due to the proximity of ice closed boundaries. The most prominent issues include unrealistic spatial distribution of ice and excessive ice thickness.





435 **Figure 5. Maps of seasonal differences in sea ice thickness (m) between the NEP10k experiments and PIOMAS during 2001. Columns correspond to seasons, with three-letter abbreviations denoting the averaging period (in months). Each row represents a different experiment with varying relaxation: (a) control run with no relaxation (IRLX0\_NEP); (b) experiment with relaxation applied along the lateral boundaries (IBND001\_NEP); (c–d) experiments with relaxation applied over the polar portion of the NEP10k domain, with maximum relaxation times of 1, 24, 120, and 360 hours.**

440

## 6.2 NEP10k with lateral boundary relaxation

### 6.2.1 Ice field differences

The IBND001\_NEP experiment was designed to reduce the impact of LBCs on the interior ice state in the NEP10k domain. Compared to the control run, differences in ice concentration between IBND001\_NEP and PIOMAS show improvement  
445 over the Arctic portion of the domain from January through September (Fig. 4b). In particular, relaxation along the boundaries reduces the strong negative anomaly near the northeastern boundary in the Beaufort Sea present in IRLX0\_NEP during summer (Fig. 4a). No notable improvement is observed during October–December. Boundary relaxation has no discernible impact on ice concentration in the Bering Sea, where differences remain nearly identical to those in IRLX0\_NEP. This supports the assumption that the Bering Sea ice state is weakly sensitive to Arctic LBCs.

450 Sea ice thickness in the Arctic Ocean is also affected by boundary relaxation, with reduced disagreement between IBND001\_NEP and PIOMAS (Fig. 5b). Differences are zero within the relaxation region along the northwestern and northeastern boundaries. Outside the relaxation region, two unrealistic features present in IRLX0\_NEP, ice pileup along the northwestern boundary and ice depletion along the northeastern boundary (Fig. 5a), are eliminated. However, Arctic interior ice remains too thick, with differences exceeding 2 m during July–September. Consistent with the ice concentration results,  
455 sea ice thickness in the Bering Sea is largely unchanged relative to IRLX0\_NEP.

### 6.2.2 Sea ice performance metrics

In the Bering Sea, performance metrics for IBND001\_NEP are nearly identical to those from the control run (Fig. 6), reflecting the low sensitivity of the regional ice state to Arctic LBCs. In contrast, IBND001\_NEP shows substantial improvement across all Arctic ice metrics compared to IRLX0\_NEP (Fig. 7).

### 460 6.2.3 Sea ice edge position

The sea ice edge position in IBND001\_NEP is similar to IRLX0\_NEP during winter and late fall (Fig. 8), when the ice edge lies in the Bering Sea and the influence of LBCs is minimal. During June–August, IBND001\_NEP produces a more realistic ice edge, closer to the PIOMAS-derived contour, consistent with improved ice concentration along the boundaries.

Overall, lateral boundary relaxation modestly improves the Arctic ice state in NEP10k. To further evaluate the approach,  
465 relaxation is next applied over the entire polar domain (Fig. 3c) to reduce errors in ice concentration and thickness.

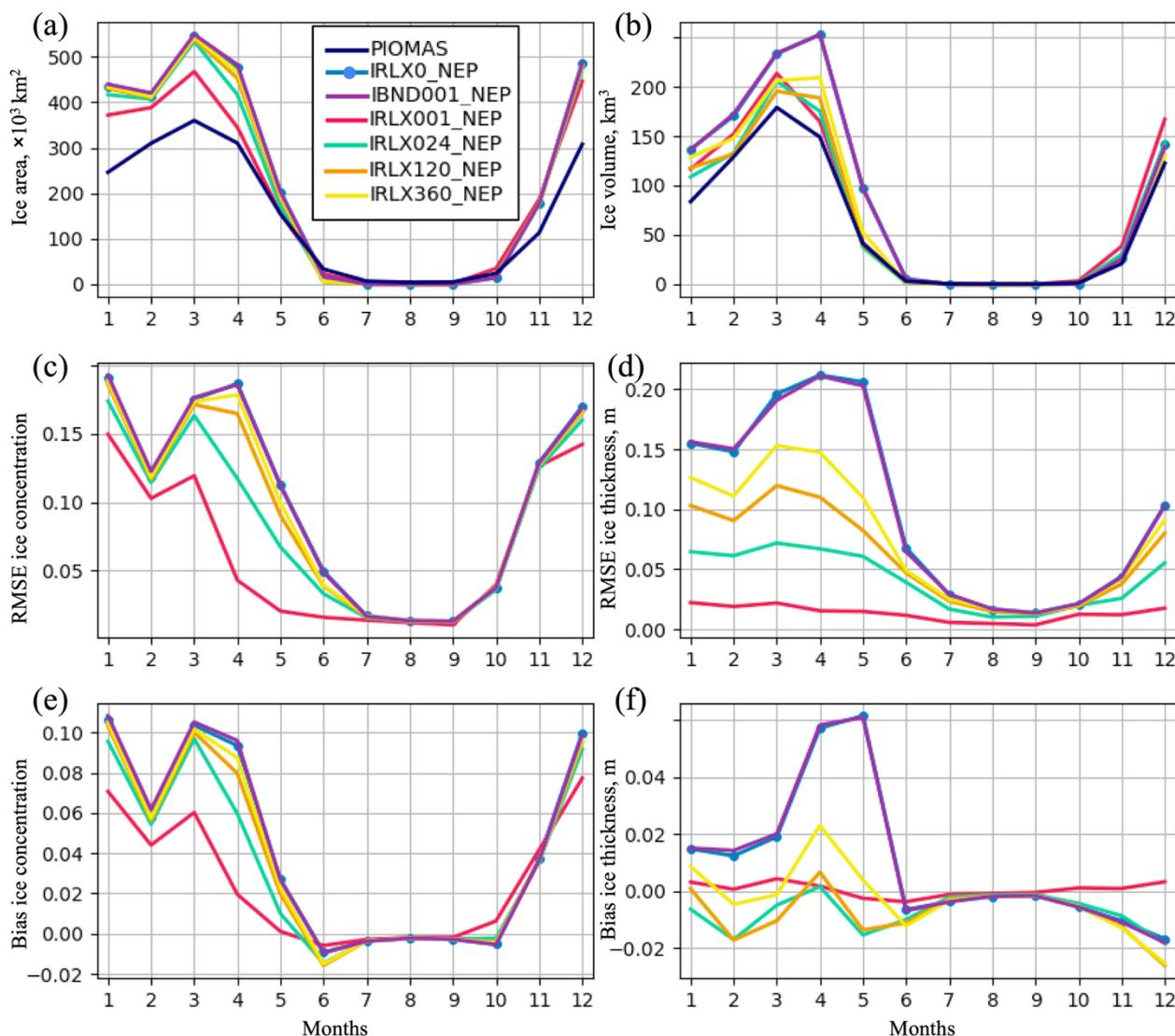


Figure 6. Statistics of sea ice characteristics in the Bering Sea from the NEP10k experiments designated with different colors shown in the legend. (a) Ice area ( $\times 10^3 \text{ km}^2$ ). (b) Ice volume ( $\text{km}^3$ ). PIOMAS estimates in (a) and (b) are shown by dark blue lines. (c) RMSE of ice concentration between the NEP10k simulations and PIOMAS. (d) RMSE of ice thickness between the NEP10k simulations and PIOMAS. (e) Bias of ice concentration in the NEP10k simulations relative to PIOMAS. (f) Bias of ice thickness (m) in the NEP10k simulations relative to PIOMAS. The horizontal axis denotes model time (months). Note that the control run (IRLX0\_NEP; blue line with a bullet) overlaps with the IBND001\_NEP (purple line), due to similar results as discussed in the text.

470



### 6.3 NEP10k with domain-wide relaxation

#### 475 6.3.1 Ice field differences

Seasonal ice concentration differences between the NEP10k experiments and PIOMAS show clear improvement during April–September in simulations with ice relaxation. Among the nudged experiments, IRLX001\_NEP (strongest relaxation; Fig. 4c) exhibits the smallest concentration differences. In contrast, during the cold seasons (January–March and October–December), improvements relative to the control run (IRLX0\_NEP; Fig. 4a) are less evident based on the seasonal difference maps. During January–March, all experiments show substantial concentration differences (~0.1–0.4) in the Bering Sea, consistent with the weaker relaxation there. During October–December, concentration differences remain smaller (~0.1–0.2) but extend beyond the Bering Sea into the Chukchi and Beaufort coastal regions, with magnitudes comparable to the non-nudged experiment.

The impact of ice nudging is more pronounced in ice thickness (Figures 5c–f). Across the full integration period, all nudged experiments show substantial improvement relative to both the control run (Fig. 5a) and the lateral boundary relaxation case (Fig. 5b). In IRLX001\_NEP, thickness differences are near zero, and the magnitude of the difference increases monotonically with weaker relaxation. Notably, even weak nudging (IRLX360\_NEP) constrains ice thickness to within approximately 0.5 m of PIOMAS over most of the domain.

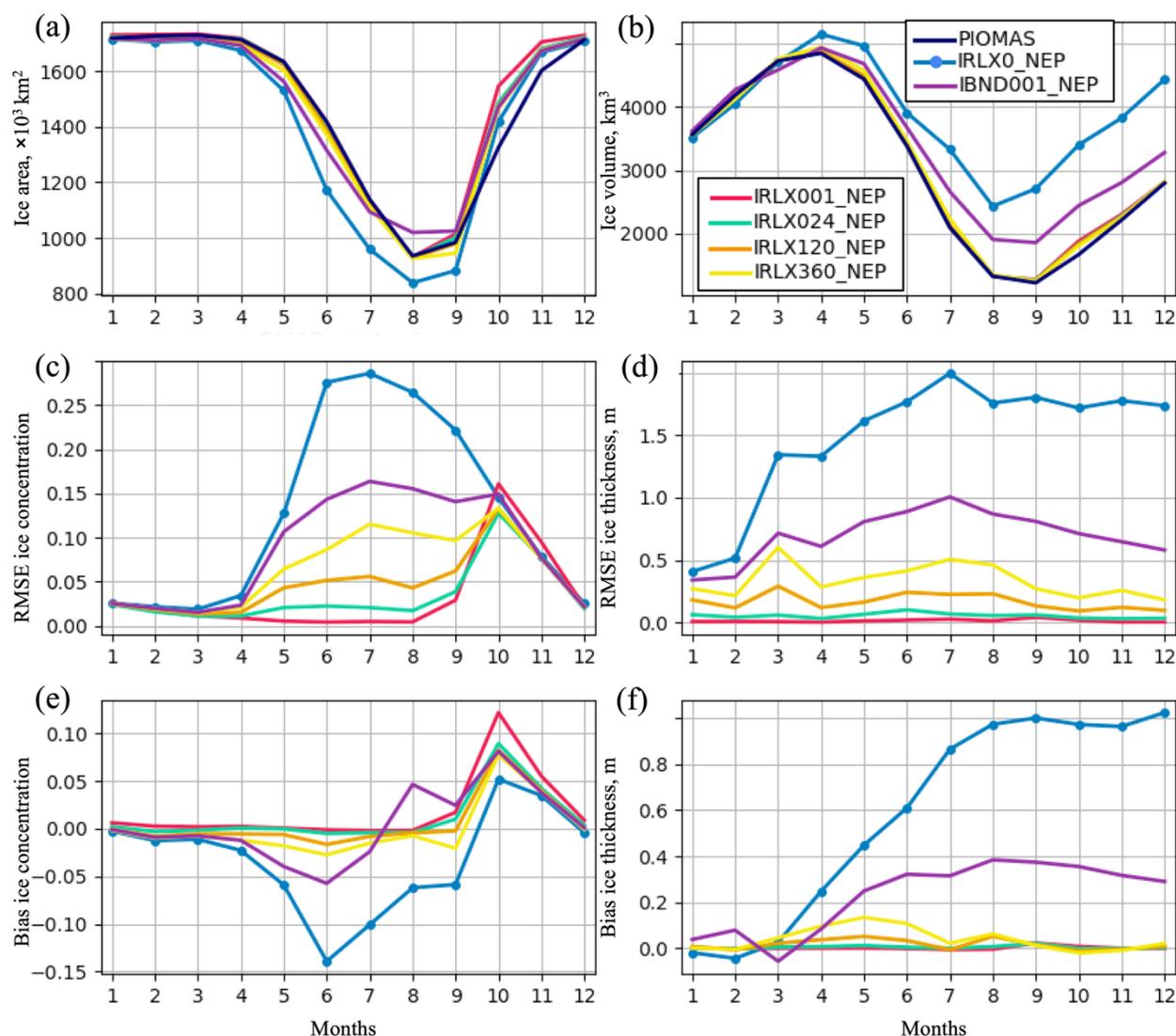
#### 6.3.2 Sea ice performance metrics

490 Because the relaxation rate is weaker in the Bering Sea than in the Arctic (Fig. 3c), a reduced sensitivity to nudging is expected there. In the Bering Sea, all NEP10k experiments overestimate total ice area during months with ice cover (Fig. 6a), reflecting a persistent positive bias in ice concentration relative to PIOMAS (Fig. 6e). Compared to the control run, nudging improves ice area and volume estimates from January to May, with IRLX001\_NEP (strongest relaxation) showing the best agreement (Figures 6a and b). During the freeze-up period (October–December), all experiments similarly overestimate ice area and volume, indicating little sensitivity to relaxation strength. RMSE and bias metrics confirm the overall improvement from nudging, particularly for ice thickness (Figures 6c–f). The RMSE of ice thickness decreases monotonically with increasing relaxation strength, and even weak nudging (IRLX360\_NEP) substantially reduces errors relative to the control and lateral boundary relaxation experiments. Ice thickness biases are small (<0.03 m), generally negative, and lowest in IRLX001\_NEP, which outperforms the control run.

500 In the Arctic portion of the domain, where strong relaxation is applied over most of the region, the impact of nudging is evident across all metrics (Fig. 7). Nudged simulations closely reproduce PIOMAS ice area and volume from January through September, representing a marked improvement over the control and IBND001\_NEP, which diverge after April (Figs. 7a, 7b). Ice concentration RMSE and bias are reduced in all nudging experiments, with IRLX001\_NEP consistently showing the highest skill (Figs. 7c, 7e), although errors increase during October when rapid ice formation occurs. In contrast,



505 ice thickness RMSE and bias remain consistently low throughout the simulation in all nudged experiments (Figs. 7d, 7f), with error magnitudes again scaling with relaxation strength.

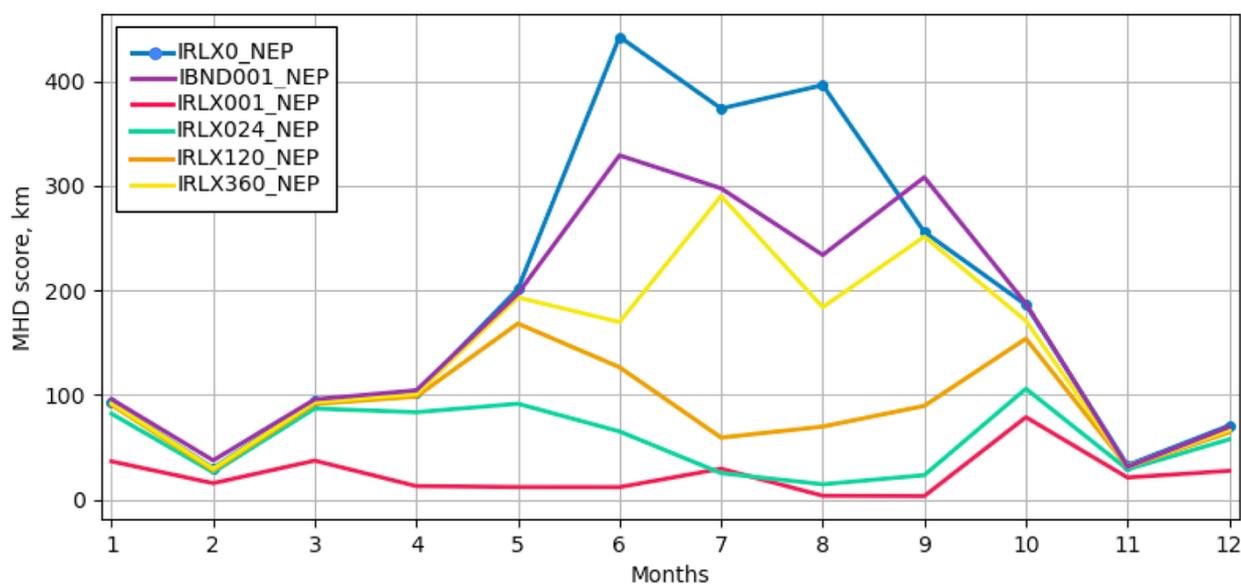


510 **Figure 7.** Statistics of sea ice characteristics in the Arctic portion of the domain from the NEP10k experiments. (a) Ice area ( $\times 10^3 \text{ km}^2$ ). (b) Ice volume ( $\text{km}^3$ ). PIOMAS estimates in (a) and (b) are shown with dark blue lines. (c) RMSE of ice concentration between the NEP10k simulations and PIOMAS. (d) RMSE of ice thickness between the NEP10k simulations and PIOMAS. (e) Bias of ice concentration in the NEP10k simulations relative to PIOMAS. (f) Bias of ice thickness (m) in the NEP10k simulations relative to PIOMAS. The horizontal axis shows model time (months).



### 515 6.3.3 Sea ice edge position

The IRLX001\_NEP experiment outperforms all other NEP10k simulations in predicting the ice edge location, exhibiting the lowest MHD score throughout the integration period (Fig. 8). The remaining experiments rank consistently with relaxation strength, with the control run generally exhibiting the poorest skill. The spread across the simulations is small from January to April and from November to December but increases during May to October. Again, the impact of nudging is less pronounced during winter and late fall, when the ice edge lies in the Bering Sea, where relaxation is substantially weaker than in the Arctic portion of the domain.



525 **Figure 8. MHD score (km) for the monthly ice edge contours derived from the NEP10k simulations and PIOMAS. The MHD measures the largest average shortest distance between the simulated and reference ice edge contours, accounting for differences in both directions. The MHD scores are computed for the 0.15 ice concentration contours relative to the reference dataset (PIOMAS). Lower scores indicate a closer match between the ice edge position in the simulation and PIOMAS.**

## 7 Results from ARC10k experiments

### 530 7.1 Control simulation (IRLX0\_ARC)

#### 7.1.1 Ice field differences

The ARC10k control simulation (IRLX0\_ARC) has a closer match with the PIOMAS reanalysis than the IRLX0\_NEP experiment, because the lateral boundaries are located far from the ice edge. During winter and spring, the IRLX0\_ARC ice concentration fields show good agreement with the PIOMAS reanalysis except for the Bering Sea (Fig. 9a). However, the



535 model has difficulty reproducing the ice edge along the East Greenland Current and within the MIZ of the Barents Sea, where ARC10k overestimates ice concentration by more than 0.5. During summer (JAS), the model underestimates ice concentration on the Eurasian shelf by  $\sim 0.1 - 0.3$ . During the freeze-up season (OND), errors increase over the shelf seas. Ice thickness errors remain significant in IRLX0\_ARC (Fig. 10a). The model consistently overestimates ice thickness, by more than 0.8 to 1 m relative to PIOMAS, in regions such as the East Greenland Current, the Beaufort Sea, and the Chukchi Sea.

### 540 7.1.2 Sea ice performance metrics

The ARC10k control simulation consistently overestimates both sea ice area (Fig. 11a) and volume (Fig. 11b) relative to PIOMAS. The error in ice concentration remains high throughout the year, exceeding 0.13–0.15 (Fig. 11c), and is positive (Fig. 11e). The IRLX0\_ARC experiment also exhibits substantial errors in ice thickness, with deviations reaching up to 0.5 meters compared to PIOMAS (Fig. 11d). The mean thickness errors are predominantly positive, except in March and April, 545 indicating that the model tends to overestimate ice production relative to the reference data (Fig. 11f).

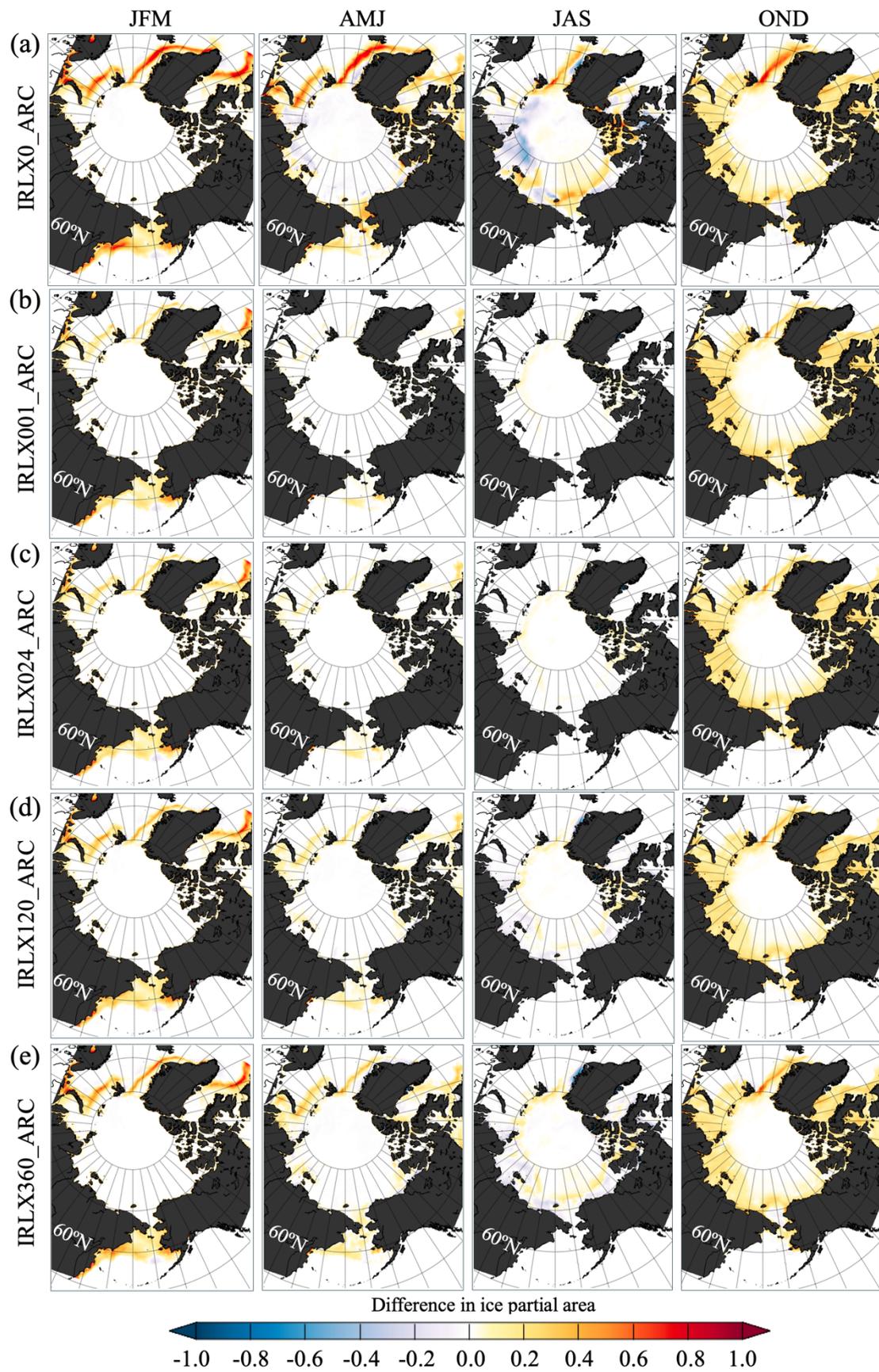
### 7.1.3 Sea ice edge position

The position of the sea ice edge in the IRLX0\_ARC experiment is relatively close to the PIOMAS derived estimate during the cold months (January through March and December) (Fig. 12). However, during the melt and freeze-up seasons, the accuracy of the simulated ice edge declines, consistent with the NEP10k control run.

## 550 7.2 ARC10k relaxation experiments

### 7.2.1 Ice field differences

Applying relaxation reduces the issue of overestimated ice concentration in the MIZ observed in IRLX0\_ARC (Fig. 9). Note regions outside the 60°N relaxation zone show weaker improvements, partially retaining the discrepancies seen in the control run. Summer ice concentration is substantially improved in the relaxation experiments, with small differences between the 555 simulations and PIOMAS fields. Even the weakly nudged run (IRLX360\_ARC; Fig. 9e) shows improvement, although overestimation of ice concentration in the MIZ becomes noticeable. Interestingly, consistent with the NEP10k experiments, nudging results in only modest improvements over the shelf seas during OND. During this period, differences in ice concentration across the shelf seas remain evident although relatively small (ranging from 0.1 to 0.2) and are comparable to the differences in the control run without relaxation; these persistent autumn sea ice concentration biases may reflect 560 relatively cold ocean or atmospheric conditions on the shelf that are not directly addressed by the nudging of the sea ice. As in the NEP10k experiments, the effect of nudging is more noticeable in the ice thickness fields (Fig. 10). Relaxation of ice thickness effectively constrains the model toward PIOMAS, resulting in consistently small differences across all nudging experiments throughout the year.





**Figure 9. Maps of seasonal differences in sea ice concentration between the ARC10k experiments and PIOMAS during 1995. Columns correspond to seasons, with three-letter abbreviations denoting the averaging period (in months). Each row represents a different experiment with varying relaxation: (a) control run with no relaxation (IRLX0\_ARC); (b–e) experiments with relaxation applied north of 60N with relaxation times of 1, 24, 120, and 360 hours.**

### 570 7.2.2 Sea ice performance metrics

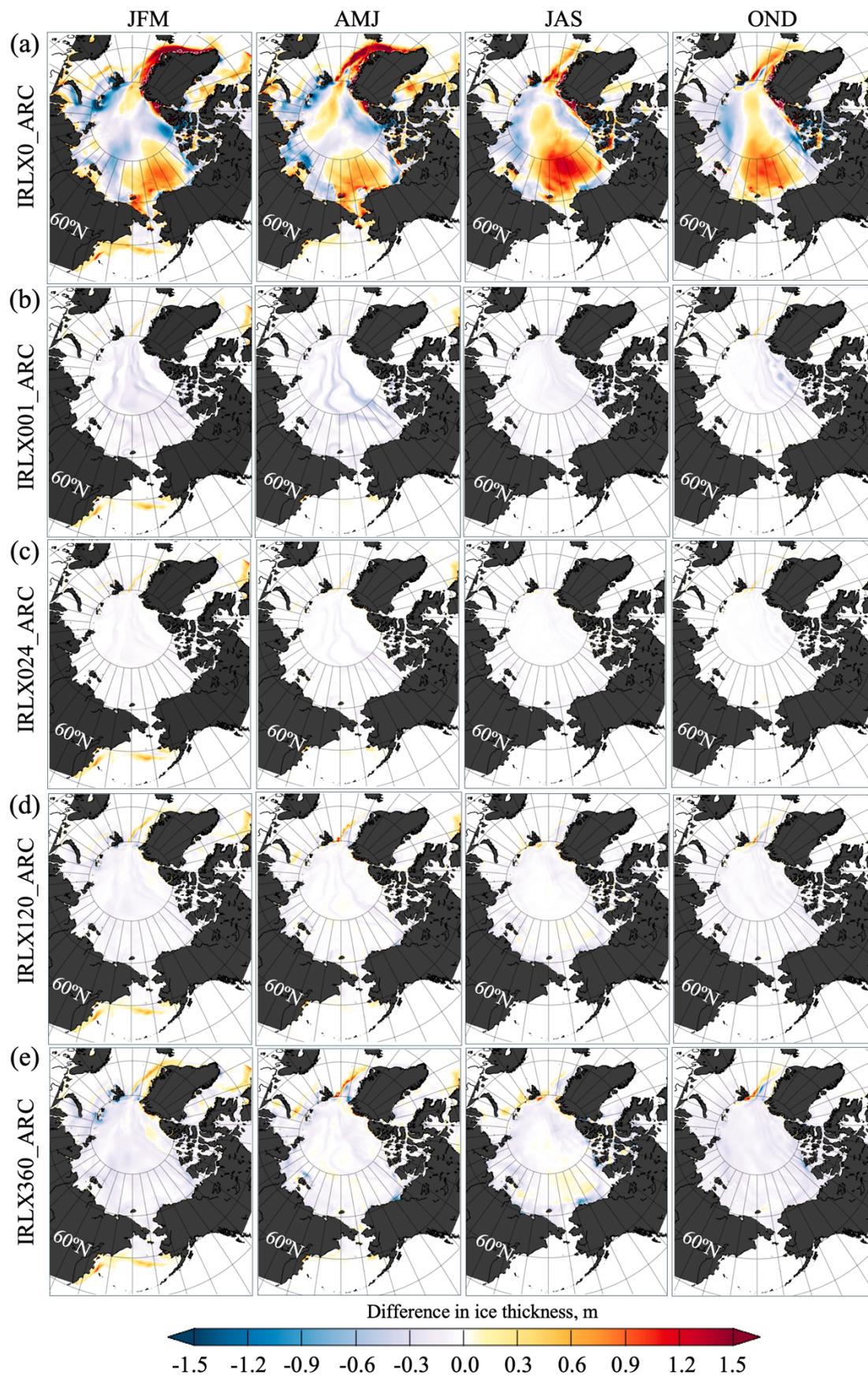
The ARC10k relaxation experiments demonstrate improved ice area estimates compared to the control run (IRLX0\_ARC) during January through July, with a closer match to the PIOMAS estimates (Fig. 11a). During the freeze-up months (October – December), however, the relaxation has no noticeable impact on ice area. From January to September, the model performance in terms of RMSE and bias in ice concentration (Figs. 11c and 11e) aligns with expectations such that  
575 experiments with stronger nudging yield better scores. Similar to the NEP10k simulations, the impact of relaxation during the freeze-up period (October–December) diminishes, with the nudging experiments showing increased RMSE and bias in ice concentration.

A notably smaller RMSE of ice thickness in all nudging experiments compared to the control run clearly demonstrates an overall improvement in simulated ice thickness throughout the year due to relaxation (Fig. 11d). However, contrary to  
580 expectations, the experiment with the strongest relaxation (IRLX001\_ARC) does not yield the lowest RMSE. Instead, IRLX024\_ARC, with slightly weaker nudging, outperforms IRLX001\_ARC.

The ice thickness bias in the nudging experiments is negative, in contrast to the control run, which shows a positive bias throughout most of the year, except in March and December, when the bias becomes slightly negative and near zero (Fig. 11f). As with the ice concentration statistics, the IRLX024\_ARC experiment demonstrates the best performance in terms of  
585 both RMSE and bias, outperforming the expected IRLX001\_ARC with the strongest relaxation.

### 7.2.3 Sea ice edge position

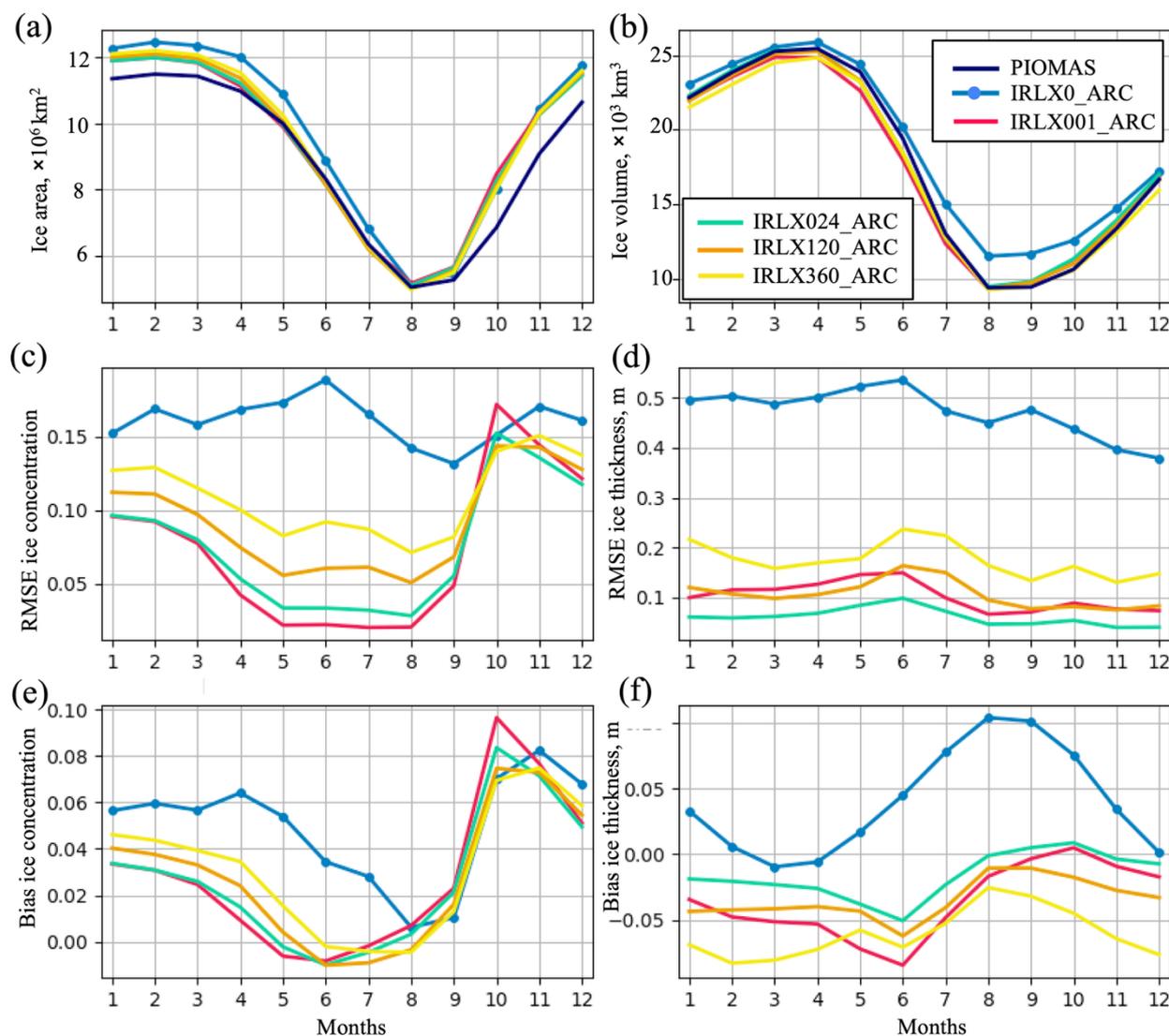
Relaxation of ice concentration and thickness improves the accuracy of the simulated ice edge position, as indicated by notably lower MHD values in the nudging experiments compared to the control run, except for IRLX360\_ARC in October (Fig. 12). Overall, model skill aligns with expectations: the experiment with the strongest nudging achieves the lowest MHD,  
590 and skill generally decreases as the strength of the nudging weakens.





595

**Figure 10.** Maps of seasonal differences in sea ice thickness (m) between the ARC10k experiments and PIOMAS during 1995. Columns correspond to seasons, with three-letter abbreviations denoting the averaging period (in months). Each row represents a different experiment with varying relaxation: (a) control run with no relaxation (IRLX0\_ARC); (b–e) experiments with relaxation applied north of 60° N with relaxation times of 1, 24, 120, and 360 hours.



600

**Figure 11.** Statistics of sea ice characteristics from the ARC10k experiments. (a) Ice area ( $\times 10^3 \text{ km}^2$ ). (b) Ice volume ( $\text{km}^3$ ). PIOMAS estimates in (a) and (b) are shown with dark blue lines. (c) RMSE of ice concentration between the ARC10k simulations and PIOMAS. (d) RMSE of ice thickness between the ARC10k simulations and PIOMAS. (e) Bias of ice concentration in the ARC10k simulations relative to PIOMAS. (f) Bias of ice thickness (m) in the ARC10k simulations relative to PIOMAS. The horizontal axis shows model time (months).

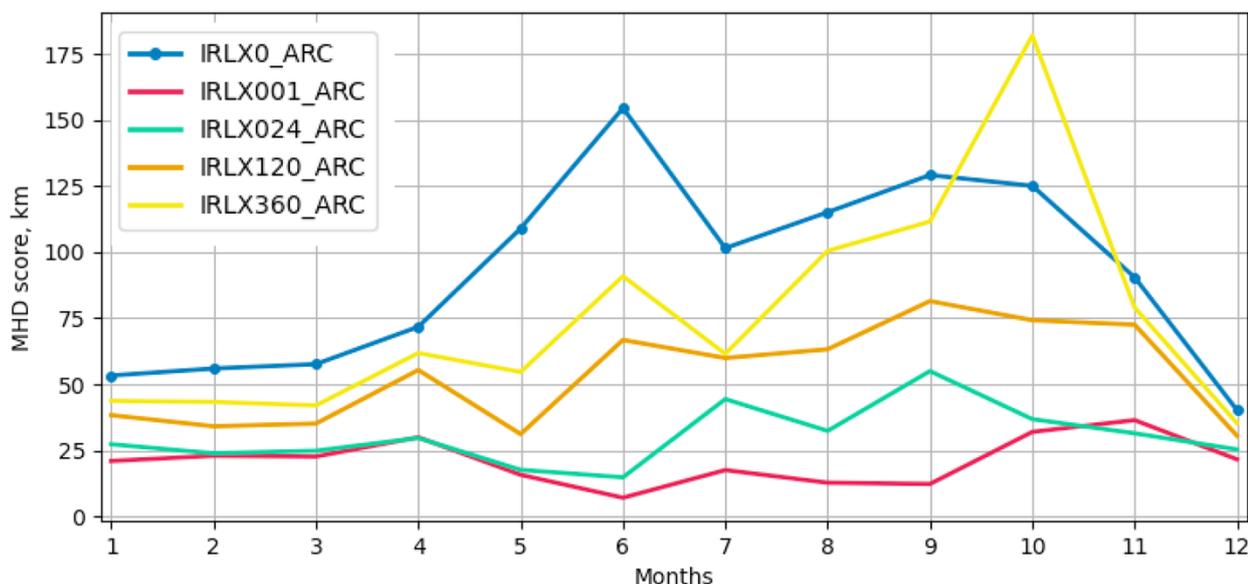


Figure 12. MHD score (km) for the monthly ice edge contours derived from the ARC10k simulations and PIOMAS.

## 605 8. Model drift and surface field biases

This section examines model drift in SSS and SST induced by sea ice relaxation, based on multi-decadal NEP10k hindcast simulations. Short-term impacts of relaxation on surface fields are assessed using the NEP10k relaxation experiments.

### 8.1 Multi-decadal NEP10k drift analysis

610 Time series of the SSS and SST averaged over the Arctic subregion (Fig. 1c) from the multi-decadal NEP10k hindcast simulations are shown in Figs. 13a and 13b. These series exhibit natural interannual variability, with no discernible long-term drift in either field, suggesting that while ice relaxation affects the surface ocean, it does not introduce spurious drift in SST or SSS.

615 The SST from the hindcast without ocean nudging toward GLORYS target fields but with ice relaxation (HCST\_PHYSI\_NEP) closely follows that from the hindcast with both ice and ocean nudging (HCST\_BGC\_NEP). In contrast, the hindcast without ice relaxation (HCST\_PHYSG\_NEP) disagrees with the other two during summer (Fig. 13b). Both ice relaxed simulations capture anomalously high SSTs in the Chukchi Sea during the summer of 2007, with spatially averaged SSTs exceeding 4°C, whereas the simulation without ice relaxation (HCST\_PHYSG\_NEP) shows only modest warming, barely exceeding 1°C. The ice-relaxed hindcasts align more closely with satellite observations, which recorded SSTs exceeding 7°C in the Chukchi Sea that summer (Steele et al., 2008). These anomalous warm conditions were associated with reduced sea ice cover, and ice relaxation improves the timing of the seasonal sea ice retreat from this sea and

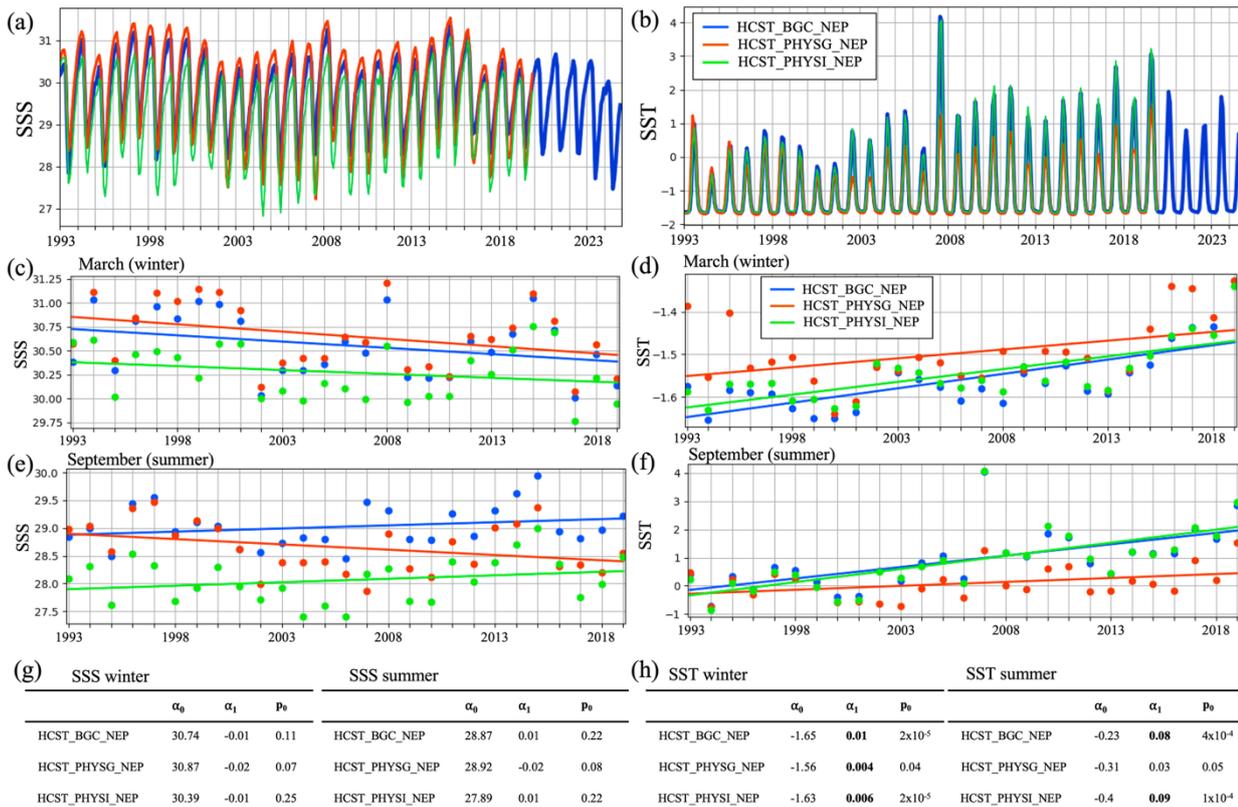
620



the consequent lower spatially averaged albedos. The improved representation of sea ice in HCST\_BGC\_NEP and HCST\_PHYSI\_NEP enabled better reproduction of the observed SST anomalies.

To further assess potential long-term drift, trends in March (winter) and September (summer) surface fields were analyzed. All three simulations show a small, negative, but not statistically significant, trend in winter SSS (Figs. 13c and 13g), with  
 625 HCST\_PHYSI\_NEP closely matching HCST\_BGC\_NEP. For summer SSS (Figs. 13e and 13g), the two ice-relaxed hindcasts exhibit similar positive (though again not significant) trends, while HCST\_PHYSG\_NEP shows a small negative trend, suggesting a different long-term evolution of summer salinity in the absence of ice nudging.

For winter SST (Fig. 13d), all three hindcasts are in strong agreement, showing a statistically significant positive trend (Fig. 13h). In summer SST, all simulations display positive trends, but the trend is statistically significant only in the ice-relaxed  
 630 experiments.



635 **Figure 13.** Analysis of linear trends in SSS and SST time series averaged over the Arctic subregion within the NEP10k hindcast simulations (Table 3). (a) Time series of SSS. (b) Time series of SST. (c) March (winter) SSS with least-square linear fits by the hindcasts. (d) March SST with least-square linear fits by the hindcasts. (e) September (summer) SSS with least-square linear fits. (f) September SST with least-square linear fits. (g) Summary linear regression parameters for SSS and associated  $p$ -values ( $p_0$ ) for testing the null hypothesis ( $H_0: \alpha_1 = 0$ ). Bold values indicate significant slopes at the 0.05 significance level. (h) Same as (g) but for SST.



## 8.2 Differences in SSS and SST from the short-term experiments

To assess potential unphysical impacts of ice relaxation on ocean fields within the relaxation zone, we analyze spatially averaged SST and SSS from the ice-relaxed experiments and the control run. Although the nudging experiments are too short to reveal long-term drift, significant errors introduced by relaxation, such as unphysical freshening or warming, could still appear in these time series.

In the Bering Sea, SST differences between the nudging experiments and the control run (Fig. 14a) are minimal, indicating negligible impact of relaxation. SSS differences (Fig. 14b) are more pronounced, exhibit seasonal variability, but generally diminish by year-end, suggesting no persistent annual drift.

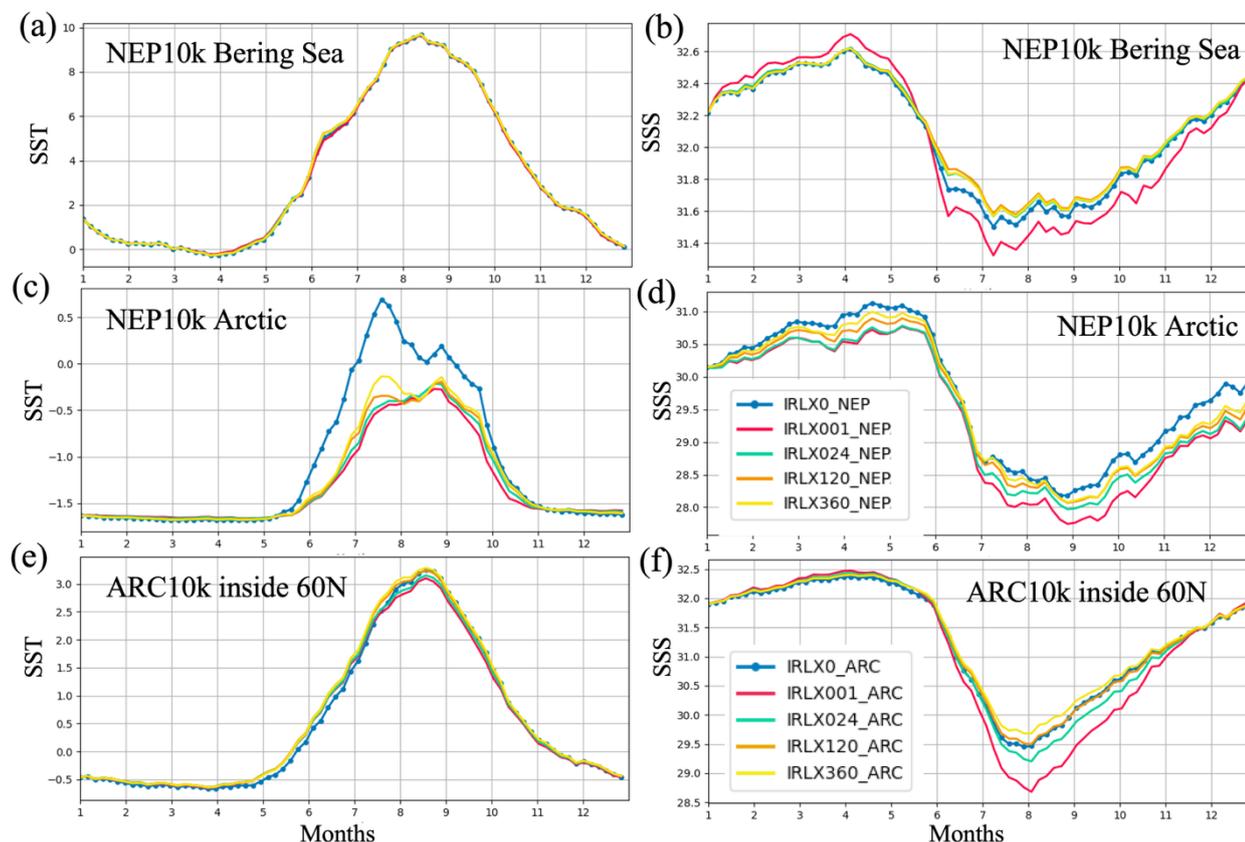
In the Arctic subregion, summer SSTs in the control run are substantially warmer than in the nudging experiments (Figures 14c), primarily due to large negative ice concentration anomalies from May through September (Figures 7c and 7e), which increase open-water area and heat absorption. The SSS time series, however, do not converge by year-end (Fig. 14d). The nudging experiments show lower SSS values than the control run, which is attributed to reduced ice production in the presence of ice relaxation, as indicated by lower ice volume in the Arctic portion of the NEP10k domain (Fig. 7b). A simple estimate, neglecting vertical mixing and horizontal advection and also assuming a regional area of  $1.74 \times 10^6$  km<sup>2</sup> and a sea ice salinity of 3.4, shows that December ice volume difference between the control run and the nudging experiments (~1,626 km<sup>3</sup>) could raise the upper 10 m ocean salinity from 29.5 to 30, consistent with the observed SSS differences (Fig. 14d).

In the ARC10k, SST differences are small, with the control run slightly colder than the ice-relaxed experiments during May to July (Fig. 14e), consistent with a larger sea ice area in the control during this period (Fig. 11a). SSS differences are minimal during the cold months but become more noticeable during the melt season and freeze-up (Fig. 14f), disappearing by year end, indicating no annual drift.

## 9 Discussion

The primary objective of these experiments was to evaluate the sea ice relaxation method implemented in SIS2 and its impact on ice and ocean surface properties. The method was tested using two regional configurations, NEP10k and ARC10k, by comparing simulations with and without ice relaxation to assess (i) how closely the relaxed ice concentration and thickness match the prescribed target fields, and (ii) whether the nudging preserves physically plausible sea ice and ocean conditions without introducing drift in SST or SSS.

Relaxation applied only along the lateral boundaries of the NEP10k domain produces modest improvements in the Arctic ice state. This limited impact reflects the fact that boundary relaxation constrains ice concentration and thickness but does not correct ice dynamics, instead primarily just mitigating the effects of the no-flow boundary condition. A more effective constraint would require adjusting ice velocities both along and normal to the boundaries. Importantly, the influence of boundary relaxation does not extend into the Bering Sea and remains confined to areas near the lateral boundaries.



670

**Figure 14.** Time series of the sea surface temperature (SST) and salinity (SSS) fields from the NEP10k and ARC10k simulations. (a) SST from the NEP10k control run (IRLX0\_NEP) and nudging experiments averaged over the Bering Sea region (Figure 1c). (b) Same as (a) but for SSS. (c) SST from the NEP10k control run (IRLX0\_NEP) and nudging experiments averaged over the Arctic Ocean region of the domain. (d) Same as (c) but for SSS. (e) SST from the ARC10k control run (IRLX0\_ARC) and nudging experiments averaged over the region poleward of the 60°N (relaxation region). (f) Same as (e) but for SSS.

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By contrast, even relatively weak domain-wide ice relaxation in NEP10k leads to clear improvements in sea ice concentration, thickness, and edge location. Long-term analyses show no significant drift in SST or SSS within the relaxation zone, indicating that the nudging algorithm does not introduce unphysical changes to the surface ocean state.

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For both model domains, ice relaxation constrains ice thickness more effectively than ice concentration. While thickness improves consistently throughout the year, improvements in concentration are strongly seasonal, with reduced skill during the freeze-up period (October–December) on the Arctic shelves and the MIZ. This behavior is consistent with previous studies showing that ice concentration is more difficult to constrain and exhibits strong regional dependence (Liu et al., 2018), even in advanced data assimilation systems (Kimmritz et al., 2018; Fitzner et al., 2019).

685

A likely contributor to the reduced skill in ice concentration is the mismatch between atmospheric forcing datasets. The nudging experiments are forced with ERA5 or JRA-55, whereas PIOMAS, which provides the relaxation ice fields, is based on NCEP/NCAR Reanalysis forcing. Ice concentration responds rapidly to atmospheric forcing and has a short memory (Zhang et al., 2021). When atmospheric conditions in the model are inconsistent with those underlying the nudged fields,



690 internal ice dynamics may counteract the imposed constraints. Additionally, ice thermodynamics are computed at shorter time steps than the nudging, allowing strong surface heat fluxes during active ice growth to overwhelm the relaxation. In contrast, ice thickness evolves more slowly and integrates changes over longer timescales, making it easier to constrain (Blanchard-Wrigglesworth et al., 2011; Day et al., 2014).

695 Finally, the strongest relaxation does not always yield the most accurate results. Strong nudging can lead to numerical shocks by forcing abrupt changes in ice concentration or thickness that are inconsistent with other model variables such as ocean temperature. While we did not observe evidence of such instabilities in the presented experiments, these inconsistencies have the potential to destabilize the coupled system and lead to unrealistic behavior. Weaker relaxation allows the model to adjust more gradually, maintaining consistency across the sea ice–ocean system and improving the stability and realism of the simulation. In cases of mismatched atmospheric forcing, weaker nudging may therefore be more effective by allowing sea ice physics to respond progressively to the imposed constraints. It is also important to note that in the relaxation experiments presented here, ice fields were nudged toward monthly mean values. Stronger relaxation may be more effective when higher-  
700 frequency target fields are used.

## 10. Conclusions

Evaluation of the SIS2 sea ice relaxation algorithm in two regional configurations demonstrates its effectiveness and stable performance. Domain-wide relaxation consistently improves simulated sea ice concentration, thickness, and edge position, whereas boundary-only relaxation yields modest improvements limited to the Arctic portion of NEP10k. Ice thickness is  
705 generally more effectively constrained than ice concentration, which exhibits seasonal and regional variability, particularly during freeze-up periods. Model skill generally scales with relaxation strength, with stronger nudging yielding more accurate ice states, consistent with the expected physical response to applied constraints.

Analysis of the multi-decadal NEP10k hindcast simulations reveals no evidence of long-term drift in ocean SST and SSS, indicating that the relaxation method does not introduce overly large spurious heat or salt fluxes. These results support the  
710 use of sea ice relaxation as both an effective boundary treatment and a tool to improve initial conditions in regional coupled ocean-ice modeling systems.

## Code and data availability

The analysis codes used in preparing this paper have been published at <https://zenodo.org/records/18686644> (Dukhovskoy et al., 2026a). The version of the NOAA GFDL MOM6-SIS2-BGC code including the FMS code have been published at  
715 <https://zenodo.org/records/19338655> (Dukhovskoy et al., 2026b).

All model output analyzed in this study is archived on the NOAA RDHPCS GFDL archive server and can be obtained by contacting the corresponding author.



The PIOMASv2.1 datasets used for creating sea ice relaxation fields and for model validation can be downloaded from:  
<https://pscfiles.apl.washington.edu/zhang/PIOMAS/data/v2.1/>.

- 720 The datasets used to create the model forcing and the URL or DOI where the data can be found are: GLORYS12 reanalysis (https://doi.org/10.48670/moi-00021, Global Ocean Physics Reanalysis, 2021), TPXO9 (https://www.tpxo.net/home, Egbert and Erofeeva, 2002), World Ocean Atlas (https://www.ncei.noaa.gov/archive/accession/NCEI-WOA18), GloFAS (https://doi.org/10.24381/cds.a4fdd6b9, Grimaldi et al., 2022); Coastal freshwater discharge simulations for the Gulf of Alaska, 1931–2021 (https://doi.org/10.24431/rw1k7d3, Beamer et al., 2016; Hill, 2023); ERA5 (https://doi.org/10.24381/cds.adbb2d47, Hersbach et al., 2023), Carter et al. (2021) alkalinity and DIC estimation algorithm (https://doi.org/10.5281/zenodo.5512697), RC4USCoast (https://doi.org/10.25921/9jfw-ph50, Gomez et al., 2022), and GlobalNEWS2 (https://doi.org/10.1016/j.envsoft.2010.01.007, Mayorga et al., 2010).

#### Author contributions

- 730 DSD, KH, TC, and RH contributed to the conceptual development of the relaxation approach in SIS2. DSD led the code development and implementation, designed and conducted the numerical experiments, performed the formal analysis of model output, and prepared the initial draft of the manuscript. KH, TC, MJH, and RH assisted with implementation of the relaxation algorithm within the MOM6–SIS2 framework and conducted technical code review. MA, MJ, and JL contributed to evaluation and interpretation of the model results. All co-authors participated in discussions during algorithm development and testing, contributed to manuscript writing, and read and approved the final manuscript.

#### 735 Special issue statement

Development and deployment of regional ocean configurations for Modular Ocean Model 6 (MOM6) (GMD/OS inter-journal SI)

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## Competing interests

The contact author has declared that none of the authors has any competing interests.

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