



1     **Regional Variations in Drivers of Active Layer Thickness: A Site-Scale Analysis**  
2                                   **Across Northern Hemisphere Permafrost**

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11     **Abstract:** In recent decades, permafrost degradation across the Northern Hemisphere has accelerated markedly  
12     under climate warming. However, the characteristics and driving mechanisms of this degradation at the sample-  
13     plot scale remain poorly understood. This study classifies Northern Hemisphere permafrost into three types: the  
14     Circum-Arctic permafrost region (CAP), the Sub-Circum-Arctic permafrost region (SCAP), and the Qinghai–Tibet  
15     Plateau (QTP) permafrost region. Based on data from 785 active layer thickness (ALT) monitoring sites we found  
16     that the mean value of ALT in CAP, SCAP and QTP region was  $84.9 \pm 1.56$  cm,  $200 \pm 8.99$  cm and  $224 \pm 7.26$  cm,  
17     respectively. Based on 291 sites with more than five years of ALT records, we found that 60% of the sites exhibit  
18     an increasing trend in ALT (35% with statistically significant increases), while 40% show a decreasing trend (11%  
19     with statistically significant decreases). The rates of ALT changing vary considerably among different permafrost  
20     regions and with higher increasing rate in QTP ( $3.23$  cm yr<sup>-1</sup>, n=36) and followed by CAP ( $1.48$  cm yr<sup>-1</sup>, n=58)  
21     and SCAP ( $1.19$  cm yr<sup>-1</sup>, n=38). Results from the Partial least squares path modelling (PLS-PM) indicate that, at  
22     the site scale, soil characteristics exert a stronger influence on ALT than air temperature, particularly in the CAP  
23     and QTP regions. In SCAP, precipitation is the most important factor driving ALT changes, as higher precipitation  
24     can transfer heat into the soil and affect soil temperature. Vegetation also plays a significant role in SCAP, where  
25     denser vegetation can generate a warming effect. Snow cover shows limited influence on ALT across all  
26     monitoring sites. This study offers a systematic review of permafrost degradation and its driving mechanisms at  
27     the monitoring-site scale.

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29     **Keywords:** Active layer thickness; ALT monitoring site; Soil properties; Site scale; PLS-PM



## 1 **1 Introduction**

2 Permafrost, a vital component of the Earth's cryosphere, refers to soil or rock that  
3 remains frozen for at least two consecutive years (Heginbottom, 2002; Jin et al., 2021).  
4 It covers approximately 15-17% of the Northern Hemisphere's land surface, spanning  
5 high-latitude and high-altitude regions, and plays a critical role in regulating  
6 ecosystem functions and climate dynamics (Obu, 2021). However, in recent decades,  
7 widespread permafrost degradation has been observed, with profound implications for  
8 both local ecosystems and global processes, particularly through its impact on carbon  
9 storage and release (Smith et al., 2022). The Arctic permafrost is especially  
10 vulnerable, as warming in this region occurs at a rate faster than the global average  
11 (Rantanen et al., 2024). While understanding the characteristics of regional  
12 permafrost degradation is critical for reducing uncertainties in future projections and  
13 designing effective climate strategies, but the specific features of these degradation  
14 processes and their regional variations remain poorly understood.

15 The active layer, located above the permafrost, thaws during warmer months and  
16 refreezes in winter (Dobinski, 2011), serving as a critical interface for energy and  
17 mass exchange between the permafrost, pedosphere, and atmosphere (Luo et al.,  
18 2023). Influenced by multiple factors, active layer thickness (ALT) — the most  
19 common and objectively quantifiable indicator of permafrost degradation — has  
20 exhibited a pronounced increasing trend over the past several decades, though the  
21 magnitude of this increase varies across regions (Peng et al., 2023; Shen et al., 2023).  
22 As the active layer provides essential water and nutrients to sustain biological activity  
23 and vegetation growth in permafrost regions (Berner et al., 2020; Luo et al., 2023),  
24 changes in ALT can profoundly impact ecological processes in cold-region



1 ecosystems (Reyes and Lougheed, 2015). Permafrost regions can be categorized into  
2 high-latitude, mid-to-high latitude, high-altitude, and mid-to-low altitude zones, with  
3 ALT characteristics and driver mechanisms differing across these types (Yang et al.,  
4 2024). Despite being the most common and objectively quantifiable indicator of  
5 permafrost degradation, the spatial patterns and regional characteristics of ALT  
6 variation remain poorly understood, hindering a deeper elucidation of its impact  
7 mechanisms across different regions.

8 The response of ALT to climate warming varies significantly among regions due  
9 to complex interactions between soil, vegetation, snowpack, and topography  
10 (Jorgenson et al., 2010). Extensive research on the driving factors of ALT has been  
11 conducted over the past several decades, spanning plot, regional, and global scales.  
12 However, results and conclusions have not always been consistent across different  
13 spatial scales and regions (Peng et al., 2023). At the regional or global scale, air  
14 temperature and solar radiation are the dominant factors influencing ALT while at the  
15 local scale, topography, vegetation cover, soil properties, and disturbances play  
16 crucial roles by modulating soil thermal properties and the surface energy balance in  
17 various ways (Guglielmin et al., 2014; Luo et al., 2023). Current understanding of the  
18 driving mechanisms behind ALT variations remains inadequate, and it remains  
19 unclear whether these mechanisms differ across diverse permafrost types.

20 The limited availability of field surveys and long-term monitoring data poses  
21 significant challenges to understanding ALT dynamics at site scale. Much existing  
22 research relies on remote sensing and predictive models (Anthony et al., 2018;  
23 Berner et al., 2020), which often produce coarse spatial resolution maps. Field-based  
24 studies are generally restricted to fine scales and short timeframes (Du et al., 2022).  
25 To address these limitations, the Circumpolar Active Layer Monitoring (CALM)  
26 network and the Global Terrestrial Network for Permafrost (GTN-P) have provided



1 point-measured ALT data from 305 sites since the 1990s. These datasets serve as  
2 valuable tools for validating large-scale ALT estimates derived from remote sensing  
3 and climate models (Yang et al., 2024) and hold potential for understanding the  
4 driving factors of permafrost degradation at the site scale. However, current summary  
5 and deeply analysis of these data products remain insufficient, and no consistent  
6 conclusions of ALT variety and driving mechanisms have been reached.

7 Building on these research gaps, this study aims to advance understanding of  
8 ALT variability trends and driving mechanisms across diverse permafrost regions,  
9 using extensive ALT site monitoring data and additional sites from relevant literature.  
10 To achieve this, we divided the Northern Hemisphere's permafrost regions into the  
11 Circum-Arctic permafrost region (CAP), the Sub-Circum-Arctic permafrost region  
12 (SCAP) based on permafrost continuity and location. Considering the distinct  
13 altitude-driven characteristics of permafrost on the Qinghai - Tibet Plateau (QTP),  
14 this region was analyzed separately. The specific objectives of this study are to (1)  
15 clarify the general characteristics of ALT in different permafrost zones; (2) elucidate  
16 the multi-year variation trends of ALT at each monitoring site; and (3) identify the  
17 relative importance of environmental factors and the driving mechanisms of ALT  
18 changes in diverse permafrost regions. These insights will contribute to refining  
19 predictive models of permafrost degradation and guiding effective adaptation and  
20 mitigation strategies under global climate change.

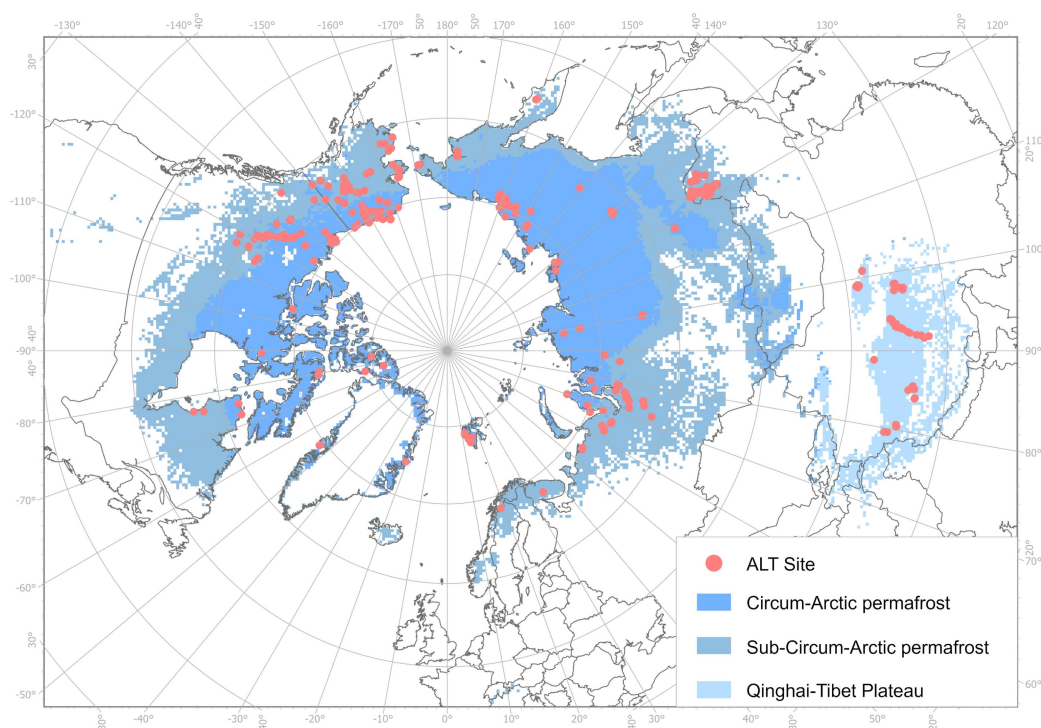
## 21 **2 Materials and methods**

### 22 **2.1 Study regions**

23 In the Northern Hemisphere, permafrost is primarily distributed in the Arctic,  
24 subarctic, plateaus, and mountainous areas. Based on continuity, permafrost is



1 classified into four types: continuous permafrost (>90%), discontinuous permafrost  
2 (50%-90%), sporadic permafrost (10%-50%), and isolated patches (0%-10%) (Brown  
3 et al., 1997). As a distinct mid-latitude geographical entity, the permafrost on the  
4 Qinghai-Tibet Plateau (QTP) is predominantly elevation-dependent. Additionally,  
5 unlike other permafrost regions, the QTP is dominated by bare land (46.56%) and  
6 grassland (43.26%) (Wu and Zhang, 2010). Therefore, the QTP permafrost region  
7 was treated as an independent research area in this study. Overall, the Northern  
8 Hemisphere permafrost was categorized into three groups: Based on the spatial  
9 distribution characteristics and degree of continuity across different permafrost-zone  
10 types, as well as the distribution of ALT sites within these zones, we merged the  
11 generic permafrost categories into three regions: the Circum-Arctic permafrost region  
12 (CAP, the same as continuous permafrost in Brown et al. (1997)), the sub-Circum-  
13 Arctic permafrost region (SCAP, including discontinuous, sporadic, and isolated  
14 patches), and the Qinghai-Tibet Plateau (QTP) permafrost region (Fig. 1).



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2 **Fig. 1** Location of ALT sites and the permafrost types used in this study. Permafrost regions  
3 classification are based on Brown et al. (1997) and Obu et al. (2021).

#### 4 **2.2 ALT data collection**

5 The ALT data utilized in this study were obtained from the Circumpolar Active  
6 Layer Monitoring (CALM) ,comprising 243 sites (Shiklomanov et al., 2008); the  
7 Global Terrestrial Network for Permafrost (GTN-P) database from which 406  
8 borehole sites with ALT records were extracted (Biskaborn et al., 2015), and  
9 published literature,yielding an additional 136 sites (Supplementary Information).  
10 Literature-derived data included field measurements collected using steel rods, pitting,  
11 drilling, ground-penetrating radar, and thaw tubes. In some cases, ALT was estimated  
12 from the depth of the 0 °C isotherm. To ensure data reliability, local vegetation



1 characteristics and historical disturbance conditions were examined, and sites affected  
2 by fire were excluded. All ALT records represent the annual maximum thaw depth,  
3 typically observed in September or October.

4 To improve the accuracy of ALT trend analysis, all selected data underwent  
5 rigorous screening and quality control following the methodology of Liu et al. (2024).  
6 Ultimately, 250 ALT sites in the CAP region, 228 in the SCAP region, and 307 on the  
7 QTP were retained for further analysis. Among the 785 sites, 219 (104 in the CAP, 79  
8 in the SCAP, and 36 on the QTP) with more than five consecutive years of  
9 observations were used for the site-level trend analysis.

### 10 **2.3 Environmental data**

11 The environmental datasets used in this study were categorized into four groups:  
12 meteorological, vegetation, topographic, and soil data (Table 1). Growing Degree  
13 Days (GDD) were calculated as the cumulative sum of daily mean temperatures above  
14 0 °C ( $^{\circ}\text{C} \cdot \text{day}$ ). Growing Season Temperature (GST) was defined as the mean  
15 temperature during the growing season (April - October). Net solar radiation (RNS)  
16 was derived from monthly data and averaged to annual values. For snow cover, three  
17 variables were derived for the period from the previous November to April: snow  
18 cover days (SCD), average snow depth (SD), and total annual snowfall (SNF).

19 The Topographic Wetness Index (TWI), calculated from a Digital Elevation  
20 Model (DEM), is a terrain-based metric that quantifies the spatial distribution of  
21 surface soil moisture by integrating the contributing catchment area and local  
22 drainage conditions.

23 All climate data processing was performed using the Google Earth Engine (GEE)  
24 platform. Meteorological, vegetation, topographic, and soil variables were extracted at  
25 each ALT site and used for subsequent analyses.



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**Table 1 The data used in this study**

<b>Data category</b>	<b>Data name</b>	<b>Data meaning</b>	<b>Data information</b>
Climate	MAT	Mean Annual air Temperature	ERA5-Land monthly averaged data with a spatial resolution of 0.1 degrees (Muñoz-Sabater, 2019)
	LST	Mean Annual Land Surface Temperature	
	GDD	Annual Growing Degree Days	
	GST	Mean Growing Season Temperature	
	RNS	Net solar radiation	ECMWF/ERA5-Land Daily Aggregated data with a spatial resolution of 0.1 degrees (Muñoz Sabater et al., 2024)
	SD	Mean Annual Snow Depth of the former winter	
	SNF	Total annual snowfall of the former winter	
	SCD	Total annual snow cover days of the former winter	
TP	Total annual precipitation	ERA5-Land monthly averaged data with a spatial resolution of 0.1 degrees (Muñoz-Sabater, 2019)	
Vegetation	NDVI	Mean annual Normalized Difference Vegetation Index	GIMMS NDVI3g dataset featuring a temporal resolution of 15 days and a spatial resolution of 8 km (Pinzon and Tucker, 2014)
Topography	Elev	Elevation	ASTER GDEM V3 with a spatial resolution of 30 meters (NASA et al., 2018)
	Asp	Aspect	
	Slope	Slope	
	TWI	Topographic Wetness Index	Calculated based on the DEM; derived by extracting flow accumulation and slope
Soil	Dsoil	Soil Depth	Derived from the Soil Grids 250m 2017 - 03 dataset of ISRIC - World Soil Information (Hengl et al., 2017)
	SSC	Soil Sand Content	Harmonized World Soil Database v2.0 (FAO and IIASA, 2023)
	SWC	Soil Water Content (0-7cm)	ERA5-Land monthly averaged data (Muñoz-Sabater, 2019)
	SWC2	Soil Water Content (7-28cm)	
	SWC3	Soil Water Content (28-100cm)	

3 **2.4 Statistical analysis**

4 **2.4.1 Linear trend analysis of ALT**

5 Least squares linear regression analysis was applied to calculate the linear trends  
 6 of ALT at sites with more than 5 years of continuous monitoring data. The  
 7 significance of linear trends was assessed using two-tailed t-tests, with a *p-value* <  
 8 0.05 considered statistically significant.



## 1 **2.4.2 Correlation analysis**

2 Spearman's correlation analyses were performed to explore the relationships  
3 between ALT and potential influencing factors for each individual site-year. Two-  
4 tailed significance tests were used to evaluate correlation significance, with *p-value* <  
5 0.05 indicating a statistically significant correlation.

## 6 **2.4.3 Multicollinearity diagnostic**

7 To assess potential multicollinearity among the predictor variables, we  
8 conducted diagnostics using the Variance Inflation Factor (VIF). The VIF measures  
9 how much the variance of an estimated regression coefficient is inflated because a  
10 predictor is correlated with other predictors in the model. Larger VIF values therefore  
11 indicate stronger multicollinearity, which can reduce the stability of coefficient  
12 estimates and hinder clear interpretation of individual predictor effects. Consistent  
13 with commonly used guidelines in statistical modelling, VIF values greater than 10  
14 were taken to reflect severe multicollinearity in this study (Marquardt, 1970).

## 15 **2.4.4 Extreme Gradient Boosting (XGBoost) model**

16 Extreme Gradient Boosting (XGBoost) is an optimized implementation of the  
17 gradient boosting framework (Chen and Guestrin, 2016). widely recognized for its  
18 high speed and superior accuracy in supervised learning tasks (e.g., classification,  
19 regression, ranking). XGBoost pushes boosted trees to their computational potential,  
20 delivering both high-speed performance and superior accuracy. Compared with  
21 traditional gradient boosting methods, XGBoost incorporates key enhancements,  
22 including a second-order Taylor expansion of the loss function that utilizes second-  
23 order derivatives to accelerate convergence during training. In this study, the  
24 XGBoost model was employed to rank the relative importance of environmental



1 factors influencing ALT. The XGBoost model was trained using a 70%/30% random  
2 split for training and validation (`random_state = 42`). Key hyperparameters were set as  
3 follows: learning rate = 0.1, maximum tree depth = 3, subsample ratio = 0.8, column  
4 subsample ratio = 0.8, and number of boosting rounds = 100. Model performance was  
5 evaluated using  $R^2$  and RMSE on the validation set.

#### 6 **2.4.5 Partial least squares path modelling (PLS-PM)**

7 Partial least squares path modelling (PLS-PM) is a flexible multivariate  
8 analytical framework widely recognized for its strong predictive capability and its  
9 relatively relaxed assumptions regarding data distribution and model complexity. In  
10 PLS-PM, variable relationships are represented through a causal network consisting  
11 of a structural model (specifying directional links among latent variables) and a  
12 measurement model (defining how latent variables are operationalized via observed  
13 indicators). The core objective of PLS-PM is to maximize the explained variance ( $R^2$ )  
14 of endogenous latent variables, making it particularly suitable for prediction-oriented  
15 research and identifying key mechanisms underlying system behaviour. Notably,  
16 PLS-PM does not require strict multivariate normality, enabling effective application  
17 to heterogeneous or non-normally distributed data (Hair et al., 2019). In this study,  
18 PLS-PM was used to investigate the driving factors of ALT variations across different  
19 research regions (Tenenhaus et al., 2005).

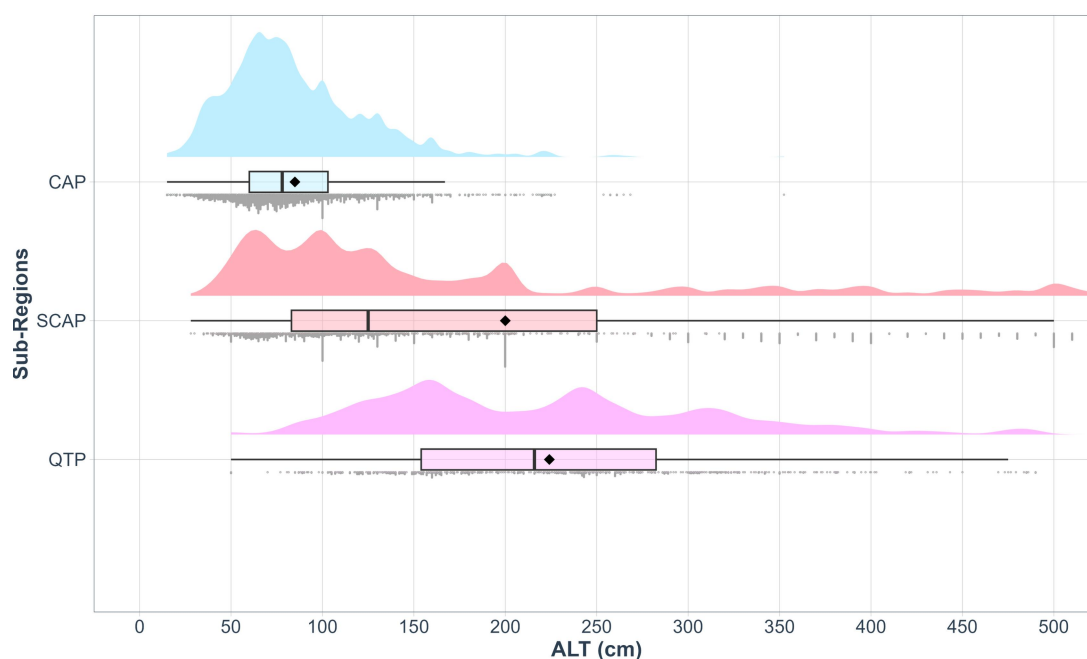
### 20 **3 Results**

#### 21 **3.1 ALT in different permafrost regions**

22 From 1990 to 2021, the mean ALT on the QTP was  $224 \pm 7.26$  cm, which was  
23 higher than that in the SCAP ( $200 \pm 8.99$  cm). The CAP showed the smallest average



1 ALT ( $84.9 \pm 1.56$  cm). (Fig. 2). In terms of distribution patterns, ALT in the CAP  
2 generally exhibited a normal distribution, with most values concentrated between 50  
3 and 100 cm. ALT in the SCAP was primarily distributed between 50 and 130 cm. It  
4 should be noted that the SCAP in this study also includes scattered and isolated  
5 permafrost patches located at the southern margin of the Northern Hemisphere  
6 permafrost zone Brown et al. (1997), which explains why ALT occasionally exceeds  
7 500 cm. On the QTP, ALT displayed three distinct peaks (approximately 160 cm, 230  
8 cm, and 310 cm), and maximum values also exceeded 500 cm. This multimodal  
9 pattern reflects the coexistence of diverse permafrost types across the region (Brown  
10 et al. 1997).



11

12 **Fig. 2** The histograms of active layer thickness (ALT) in the Circum-Arctic permafrost region  
13 (CAP), the Sub-Circum-Arctic permafrost region (SCAP), and the Qinghai–Tibet Plateau (QTP)  
14 permafrost region

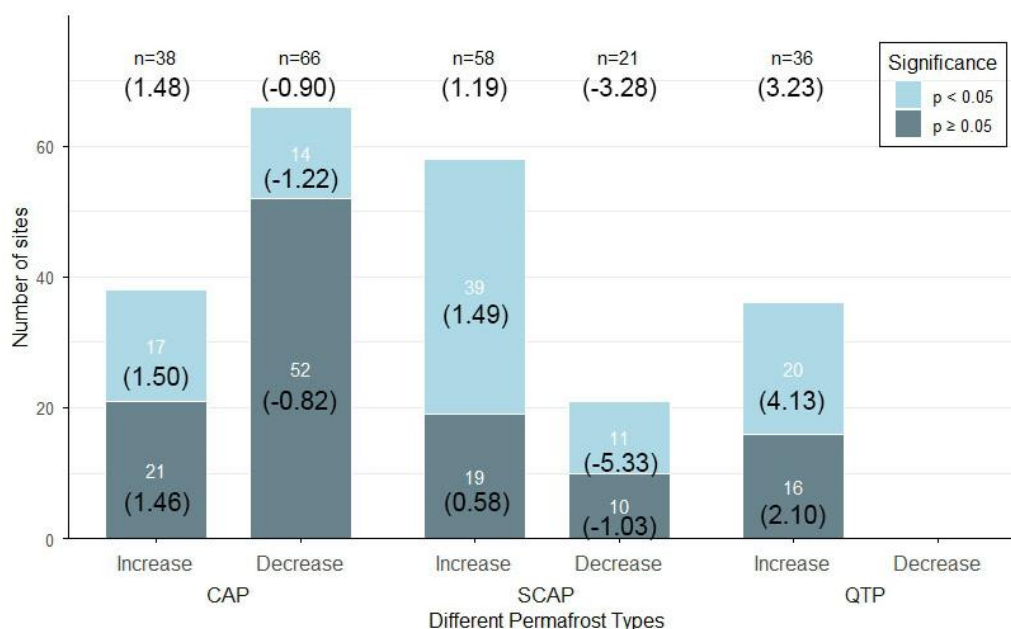


### 1 **3.2 The ALT trend at site level within different permafrost regions**

2 Among the 219 sites with more than 5 years of continuous ALT observations,  
3 132 sites (60%) exhibited an increasing trend in ALT while 87 sites (40%) showed a  
4 decreasing trend. Specifically, 76 sites (35%) showed a significantly increasing trend  
5 ( $p < 0.05$ ), whereas 25 sites (11%) showed a significantly decreasing trend, indicating  
6 overall permafrost degradation (ALT thickening) across much of the Northern  
7 Hemisphere, although the rate and direction of change varied by permafrost type.

8 In the CAP, 63% of the sites (66 sites) showed a decreasing ALT trend and 37%  
9 (38 sites) showed an increasing trend. However, the number of sites with significantly  
10 increasing ALT (17 sites) slightly exceeded those with significantly decreasing ALT  
11 (14 sites). In contrast, increasing ALT dominated both the SCAP and QTP, and all  
12 monitored sites on the QTP exhibited ALT thickening.

13 Regarding the rate of increase, the QTP experienced the fastest ALT thickening,  
14 with a mean rate of ( $3.23 \text{ cm}\cdot\text{yr}^{-1}$ ,  $n = 36$ ), followed by the CAP ( $1.48 \text{ cm}\cdot\text{yr}^{-1}$ ,  $n = 38$ )  
15 and the SCAP ( $1.19 \text{ cm}\cdot\text{yr}^{-1}$ ,  $n = 58$ ). Among sites with significantly increasing ALT,  
16 the QTP again showed the highest rate ( $4.13 \text{ cm}\cdot\text{yr}^{-1}$ ,  $n = 20$ ), whereas the CAP and  
17 SCAP exhibited substantially lower but comparable rates ( $1.50 \text{ cm}\cdot\text{yr}^{-1}$ ,  $n = 17$ ; and  
18  $1.49 \text{ cm}\cdot\text{yr}^{-1}$ ,  $n = 39$ , respectively). These results demonstrate that ALT increases are  
19 more common in the SCAP and QTP, indicating more widespread and pronounced  
20 permafrost degradation in these regions.



1

2 **Fig. 3** The active layer thickness (ALT) site number of increasing and decreasing trend in the  
 3 Circum-Arctic permafrost region (CAP), the Sub-Circum-Arctic permafrost region (SCAP), and  
 4 the Qinghai–Tibet Plateau (QTP) permafrost region. The numbers in parentheses denote the  
 5 mean annual rate of change for ALT, with units expressed as cm yr<sup>-1</sup>; the number above the  
 6 parentheses is the site number.

### 7 3.3 Relationships between ALT and environmental factors

8 The VIF diagnostics confirmed that RNS was highly collinear with these core  
 9 thermal variables. Its VIF value exceeded the threshold of 10 in the discontinuous  
 10 permafrost zone (reaching 11.15). Similarly, deeper soil moisture variables (SWC2  
 11 and SWC3) were excluded from the models due to severe multicollinearity, as  
 12 evidenced by extremely high VIF values across all study regions. Specifically, VIFs  
 13 reached 181.16 for SWC2 and 133.24 for SWC3 in the CAP; 415.75 and 259.16 in  
 14 the SCAP; and 374.88 and 214.84 in the QTP. Given their physical redundancy and  
 15 the availability of a more direct surface-layer indicator, only SWC was retained in the



1 final models, as it sufficiently captures the soil hydrological conditions relevant to  
2 active layer dynamics.

3 The relationships between ALT and the environmental factors (Fig. 4) indicate  
4 that, in the CAP, the ALT shows the closed correlation with SWC ( $r = 0.40^{***}$ ),  
5 followed by GST ( $r = 0.36^{***}$ ), MAT ( $r = 0.35^{***}$ ), and LST ( $r = 0.33^{***}$ ), while no  
6 significant correlations are observed with NDVI, TWI, and SCD. In the SCAP, ALT  
7 exhibits the highest correlation with Dsoil ( $r = 0.47^{***}$ ), followed by the topographic  
8 factors of Elev ( $r = -0.33^{***}$ ), Asp ( $r = -0.31^{***}$ ), and Slope ( $r = -0.28^{***}$ ). Across the  
9 QTP, ALT is most strongly correlated with SD ( $r = 0.33^{***}$ ) and slope and Asp (both  
10 with  $r = -0.30^{***}$ ), followed by Dsoil and SNF (both with  $r = -0.28^{***}$ ). As shown in  
11 Fig. 4, correlations among the different independent variables can also be observed.

12 From Figure 4, it can be observed that the factors significantly correlated with  
13 ALT vary across different permafrost types, and the strength of these correlations also  
14 differs. Overall, ALT shows a stronger correlation with soil properties in all the three  
15 permafrost regions. Except for the soil properties, ALT is more closely related to  
16 atmospheric temperature in the CAP regions, while in the SCAP and the QTP region,  
17 it exhibits a higher correlation with topographic factors.



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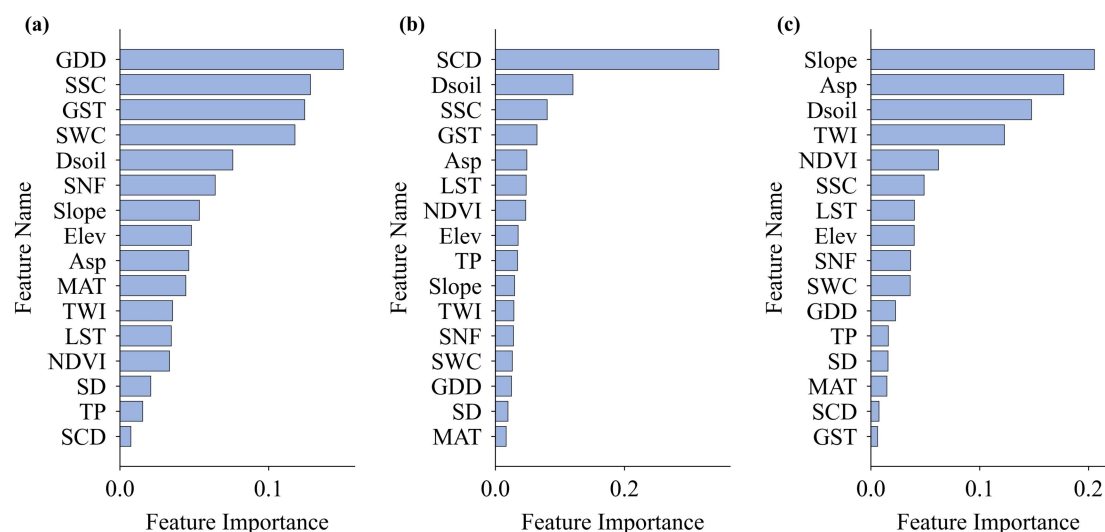
**Fig. 4 Hot figures of Spearman correlation between ALT and each selected environmental factors for Circum-Arctic permafrost region (a), Sub-Circum-Arctic (b) and Qinghai-Tibetan Plateau (c) regions**

### 3.4 Importance degree of environmental factors on ALT changes

The relative importance of each environmental factor, based on the XGBoost analysis, is presented in Figure 5. In the CAP region, among the selected factors, the four most influential variables affecting ALT variation are GDD, SSC, GST, and SWC. In contrast, in the SCAP, the SCD is the most critical factor influencing ALT variation, followed by Dsoil, SSC, and GST. In the QTP region, the dominant factors controlling ALT variation are Slope, Asp, Dsoil, and the TWI (Fig. 5). These findings indicate that in CAP regions where are colder than other regions, temperature-related variables and soil properties are the primary controls on ALT, while the influence of



1 SCD is minimal. Conversely, in warmer SCAP regions, the SCD exert the strongest  
 2 influence on ALT, followed by soil characteristics, whereas the relative importance of  
 3 temperature factors is lower. In high-elevation permafrost areas, topographic factors  
 4 are the dominant controls on ALT, followed by soil properties.



5 Feature Importance  
 6 **Fig. 5 Feature importance in affecting ALT in Circum-Arctic permafrost region (a), Sub-**  
 7 **Circum-Arctic (b) and Qinghai-Tibetan Plateau (c) regions**

### 8 3.5 Driving mechanism of environmental factors on ALT changes

9 The PLS-PM revealed that in the CAP region, the ALT driving mechanism  
 10 model achieved GOF= 0.40 and R<sup>2</sup>=0.34. Soil properties and temperature exerted the  
 11 strongest influences on ALT, with correlation coefficients of  $r = 0.38^{***}$  and  $r =$   
 12  $0.35^{***}$ , respectively. Precipitation also showed a positive effect on ALT in this region,  
 13 whereas topography, snow depth, and NDVI exerted negative effects. From the total  
 14 effect of each factor on ALT, we see that soil properties show the highest total effect  
 15 value and followed by temperature and precipitation (Fig. 6a).

16 In the SCAP, the PLS-PM model performed better, with a GOF= 0.51 and R<sup>2</sup>=  
 17 0.36, which is higher than those for the CAP and the QTP permafrost region.



1 Topography, precipitation, NDVI, and soil depth directly influenced ALT, while air  
2 temperature affected ALT indirectly through multiple pathways. Topography had the  
3 greatest total effect and a negative influence ( $r = -0.41^{***}$ ), followed by precipitation  
4 ( $r = 0.40^{***}$ ) and NDVI ( $r = 0.31^{***}$ ). The total effect of topography (-0.40) is higher  
5 than precipitation (0.37) and NDVI (0.31) (Fig. 6b).

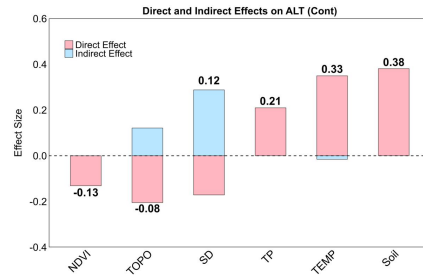
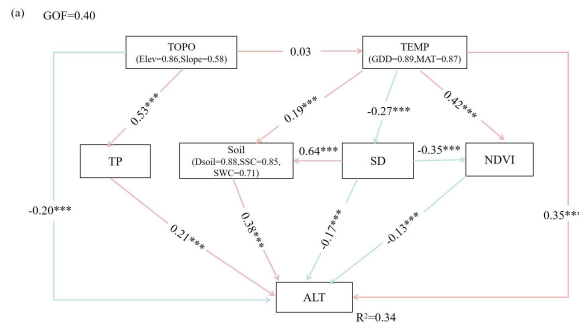
6 For the QTP permafrost region, the PLS-PM yielded a GOF=0.50 but a relatively  
7 lower  $R^2 = 0.25$ , indicating a weaker explanatory capacity for ALT changes compared  
8 with the CAP and SCAP regions. On the QTP, aspect, soil, NDVI, and snowfall  
9 directly affected ALT. Among these, aspect showed the strongest negative effect ( $r =$   
10  $-0.51^{***}$ ), while soil properties exhibited the strongest positive effect ( $r = 0.40^{***}$ ). Soil  
11 had the largest total effect on ALT (0.40), followed by aspect (-0.34) (Fig. 6c).

12 PLS-PM analysis reveals that across distinct permafrost types, the mechanisms  
13 governing energy distribution vary considerably due to pronounced differences in  
14 environmental conditions. The strength of interactions among key factors also differs  
15 substantially between these regions. As a result, both the pathways through which  
16 these factors influence ALT and the magnitude of their effects exhibit significant  
17 variation depending on permafrost type.

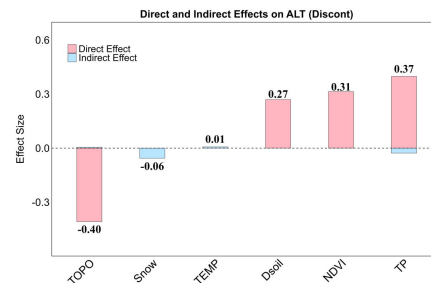
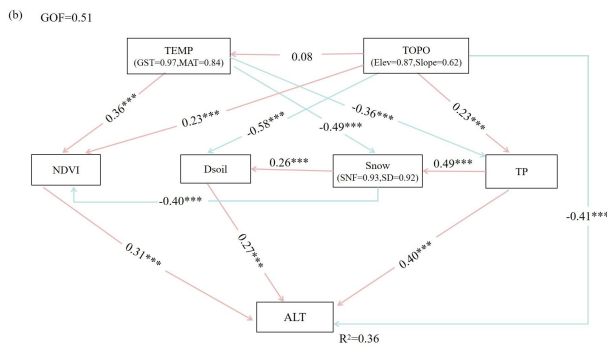
18 Comprehensive validation results for the PLS-PM models across the three  
19 permafrost regions (CAP, SCAP, and QTP) are provided in the Supplementary  
20 Materials. These include bootstrap tests of path coefficients and total effects (with  
21 standard errors and 95% confidence intervals), composite reliability (CR), average  
22 variance extracted (AVE), the heterotrait–monotrait ratio (HTMT), and effect-size  
23 analyses (*Cohen's  $f^2$* ), all reported in region-specific tables. Collectively, these  
24 diagnostics confirm the reliability and robustness of the inferred driving mechanisms.



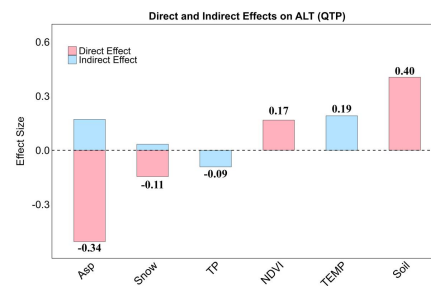
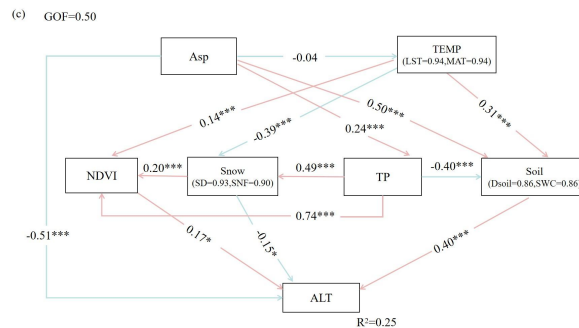
1



2



3



4

**Fig. 6 PLS-SEM results of driving mechanisms of ALT for Circum-Arctic permafrost region (a), Sub-Circum-Arctic permafrost region (b) and Qinghai Tibet plateau (c)**

5



## 1 **4. Discussion**

### 2 **4.1 The different trend of ALT in different monitoring sites**

3 Based on long-term monitoring data, most permafrost sites (60%) exhibited an  
4 increasing trend in ALT, indicating widespread permafrost degradation. Similar  
5 patterns have been documented in recent studies (Yang et al., 2024; Liu et al., 2024).  
6 The 40% monitoring sites show decreasing trend of ALT may because that the  
7 warming climate not yet be sufficient to induce widespread permafrost degradation in  
8 these areas. Although high-latitude regions have experienced markedly greater  
9 warming than other parts of the world (Peng et al., 2023), their temperatures still fall  
10 within the range that supports permafrost formation. Consequently, permafrost  
11 degradation is most pronounced in mid- to high-latitude zones as well as in high-  
12 elevation areas (Peng et al., 2023) which is correspond to SCAP and QTP regions (Fig.  
13 3).

14 The distinct ALT trend patterns among the CAP, SCAP, and QTP can be  
15 explained by hydrothermal condition variations between high and low latitudes. Some  
16 of these hydrothermal processes are regulated by topography, which drastically  
17 modifies zonal patterns and results in substantial geographic variability (Irrgang et al.,  
18 2025; Ran et al., 2022). Meteorological factors such as air temperature and  
19 precipitation generally increase with decreasing latitude (Wang et al., 2022; Yang et  
20 al., 2024). It is important to acknowledge that ALT thickening is an inherently slow  
21 process. Under otherwise stable conditions, the impact of rising air temperature on  
22 ALT deepening is relatively constrained, as temperatures do not increase  
23 monotonically or remain persistently high but fluctuate gradually over time. Such  
24 diurnal and irregular temperature variations exert only limited influence on soil heat  
25 transfer (Groenke et al., 2024).



## 1 **4.2 The different driving mechanism of ALT in different permafrost regions**

2 Due to substantial differences in environmental conditions, the driving  
3 mechanisms of ALT vary across permafrost types. As shown in Figure 4, the  
4 correlations between ALT and its influencing factors differ notably among permafrost  
5 zones, consistent with previous research (Jorgenson et al., 2010; Yang et al., 2024;  
6 Peng et al., 2023). These correlations represent statistical associations under the  
7 combined influence of multiple variables; thus, they reflect regional differences in  
8 climate, vegetation, and the physicochemical properties of frozen ground, all of which  
9 alter the strength of interactions among factors and lead to distinct correlation patterns  
10 (Smith et al., 2022). However, correlation analysis alone cannot identify the dominant  
11 drivers of ALT, as it merely quantifies associations rather than disentangling the  
12 relative contribution of each factor. The XGBoost method effectively addresses this  
13 limitation by ranking the importance of environmental variables, and the resulting  
14 patterns are closely linked to regional environmental characteristics. For instance, in  
15 high-latitude, low-temperature continuous permafrost zones, temperature-related  
16 variables exert the strongest influence on ALT. In contrast, in discontinuous  
17 permafrost zones with relatively abundant snowfall, snow-related variables become  
18 more critical (Wang et al., 2022; Yang et al., 2024). On the QTP region, where high  
19 elevation is the defining feature, topographic factors play a key role in shaping ALT  
20 variability. Moreover, the PLS–SM analysis reveals how multiple factors interact to  
21 affect ALT and clarifies the causal pathways involved, while path analysis further  
22 distinguishes the direct and indirect effects of each factor.

23 The site-scale focus of this study constitutes a key characteristic that  
24 distinguishes the driving mechanisms of ALT from those identified in previous  
25 research (Peng et al., 2023). At broad spatial scales, differences in solar radiation  
26 across latitudes serve as the dominant driver of permafrost characteristics. At the site



1 scale, however, local site conditions—such as topography, soil properties, and  
2 vegetation characteristics—become the primary factors influencing permafrost  
3 features (Guglielmin et al., 2014; Luo et al., 2023). This demonstrates that the drivers  
4 of ALT exhibit distinct scale dependence and must be analyzed separately across  
5 different spatial scales.

### 6 **4.3 The total effect of environmental factors in influencing ALT**

#### 7 **4.3.1 Temperature**

8 When considering the site scale, temperature is not the leading factor in  
9 determining ALT. At regional scales, air temperature is the primary driver of  
10 permafrost degradation. On a broader scale, ALT is a function of both solar radiation  
11 and temperature, which are themselves influenced by elevation and latitude. At finer  
12 scales, variations in ALT are further shaped by factors such as topography, soil  
13 properties and micro-relief (Smith et al., 2022). However, the transfer of energy from  
14 the atmosphere to the soil is a highly complex process, influenced by vegetation,  
15 snow cover, surface litter, humus, and the physical and chemical properties of the soil  
16 (Juszak et al., 2014; Varlamov et al., 2019).

17 The GST must be considered when analyzing the effects of air temperature on  
18 ALT. Although numerous studies have identified an increase in mean annual air  
19 temperature as a primary driver of ALT increase, some scholars have also highlighted  
20 a close relationship between air temperature and soil temperature at various depth  
21 (Brown et al., 2000; Dobinski, 2011; Yang et al., 2024;). While the annual minimum  
22 temperature primarily influences permafrost temperatures during the winter, the  
23 annual maximum temperature typically has a transient (pulse-like) effect on ALT, as  
24 sustained high temperatures are rare, limiting their overall impact. Both mean annual



1 temperature and mean GST may directly influence ALT and should be considered as  
2 latent variables for mechanistic analysis.

### 3 **4.3.2 Soil**

4 At the site scale, soil properties exert a stronger control on ALT than air  
5 temperature (Fig. 6). In permafrost regions, ALT is primarily governed by soil  
6 characteristics because incoming solar radiation is transmitted downward through the  
7 soil column, which mediates its influence on subsurface thermal regimes.  
8 Consequently, soil thermal conductivity directly determines the depth of seasonal  
9 thaw. Given the large variation in thermal conductivity among different media, factors  
10 such as soil sand content and soil moisture substantially affect heat conduction and  
11 phase-change dynamics (Huang et al., 2000). Soil properties regulate key thermal  
12 parameters—including thermal conductivity, heat capacity, moisture retention, and  
13 latent-heat effects—thereby controlling the magnitude and rate of heat flux through  
14 the permafrost profile. Clay and silt contents of the soil were initially considered but  
15 were ultimately excluded to avoid issues of collinearity. Their inclusion would have  
16 complicated the interpretation of results without contributing meaningful explanatory  
17 power. Furthermore, the influence of soil organic carbon and peat layer thickness on  
18 permafrost dynamics is indirectly captured by SWC, as these factors jointly modulate  
19 the soil's hydrothermal properties.

20 Fluctuations in surface temperature propagate downward as attenuating thermal  
21 waves, implying that changes in air temperature do not immediately affect deeper  
22 ground temperatures. Following a surface temperature perturbation, the resulting  
23 thermal anomaly propagates downward through successive soil layers, with both the  
24 velocity and depth of propagation depending on the thermal conductivity of the  
25 medium (Peng et al., 2023).



### 1 **4.3.3 Vegetation**

2 Vegetation exerts varying effects on ALT across different permafrost regions,  
3 though the intensity of these effects differs by land cover type and permafrost region  
4 (Wang and Peng, 2023). Vegetation plays a key role in mitigating ALT by reducing  
5 the amount of solar radiation reaching the ground surface, thereby lowering ground  
6 temperatures. The vegetation canopy also impacts shading, snow accumulation, and  
7 the depth of the surface organic layer (Atchley et al., 2016; Loranty et al., 2018). The  
8 influence of vegetation is relatively small and negligible in both the CAP and QTP  
9 regions. In contrast, its overall effect ranks second in the SCAP region. This  
10 difference arises because the CAP, with its lower temperatures, is dominated by  
11 shrubs, while the QTP is primarily covered by bare land and grassland (Wu and  
12 Zhang, 2010). Compared with shrubs, herbs, and bare ground, forests have a lower  
13 surface albedo, which cools the soil and consequently decreases ALT (Fig. 2 and 3).  
14 The lower vegetation density in the CAP and QTP explains their limited impact on  
15 ALT (Yang et al., 2024).

### 16 **4.3.4 Snow cover**

17 At the site scale, the influence of snow cover on ALT is negligible (Fig. 6).  
18 Changes in snow cover conditions have been identified as a factor affecting shorter  
19 time scale (interannual) variations in soil temperatures (Frauenfeld et al. 2004).  
20 During the cold season, snow acts as an effective insulator that limits heat loss from  
21 the ground and buffers the influence of air temperature fluctuations on the ground  
22 thermal regime by slowing the freeze-thaw processes in the active layer (Osterkamp  
23 and Romanovsky, 1997; Taylor et al. 2006). However, the effect of snow depth on  
24 soil temperature is influenced by multiple factors, particularly the timing of the first  
25 snowfall, snow cover duration, and the snow depth. Research indicates that a



1 significant insulating effect typically requires a minimum snow depth of around 20-50  
2 cm with specific thresholds varying by area (Goncharova et al. 2019; Taylor and  
3 Jones 1990). Generally, a relatively thin snow cover mainly cools the ground surface  
4 in winter, because a relatively high surface albedo prevents the soil from absorbing  
5 solar long-wave radiation. Notably, ALT was measured in autumn, indicating that  
6 snow cover indirectly influences the subsequent year's ALT by affecting winter soil  
7 temperature.

#### 8 **4.4 Limitations**

9 This study has two primary limitations that may influence the accuracy of its  
10 results. First, the data used in this research are mainly sourced from multiple  
11 databases (CALM and GTN-P) as well as various published studies. Due to the lack  
12 of standardized data collection methods and tools, the accuracy of the ALT  
13 measurements may vary across sources, potentially introducing inherent errors into  
14 the dataset. However, considering the scarcity of in situ observations and the  
15 relatively low accuracy of simulated data, the data sources adopted here remain the  
16 most reliable options currently available.

17 Second, most of the environmental variables employed in this study are derived  
18 from remote sensing, using pixel values extracted at monitoring site locations.  
19 Although uniform site-based survey data would be ideal, such data are difficult to  
20 obtain across large spatial scales and long temporal spans. Remote sensing therefore  
21 provides the most effective means of capturing and understanding spatiotemporal  
22 patterns of geographic factors at broad scales.



## 1 **5. Conclusions**

2 Based on ALT monitoring data across the Northern Hemisphere, this study  
3 analyzed the temporal dynamics of ALT at the site scale and explored the underlying  
4 climatic, soil, topography and vegetation-related drivers. To better characterize spatial  
5 heterogeneity, Northern Hemisphere permafrost was categorized into three types:  
6 CAP, SCAP, and the QTP permafrost regions. The key findings are as follows:

7 Most monitoring sites across the Northern Hemisphere show an increasing trend  
8 in ALT, indicating widespread permafrost degradation. The XGBoost model results  
9 indicate that the relative importance of environmental factors influencing ALT varies  
10 by permafrost type. Soil properties show high importance across all three permafrost  
11 zones. Beyond this common factor, temperature plays a more prominent role in the  
12 CAP regions, snowfall is more influential in the SCAP regions, and topographic  
13 factors are the most significant in the QTP region. The PLS-PM results show that at  
14 the site level, air temperature is not the dominant factor controlling ALT variability;  
15 instead, soil properties exhibit a stronger and more consistent influence across all  
16 three permafrost regions. Vegetation factors, particularly NDVI, exert a notable  
17 positive effect on ALT mainly within the SCAP region, while the influence of  
18 snowfall on ALT is generally weak in all the three permafrost regions. Topographic  
19 conditions show the strongest negative effect on ALT in both the SCAP and QTP  
20 regions. These observational records enable a more detailed and robust understanding  
21 of permafrost degradation trajectories and their driving mechanisms at fine spatial  
22 scales.



1 **CRedit authorship contribution statement**

2 **Yutong Lin:** Writing – original draft, Methodology, Investigation, Funding  
3 acquisition, Formal analysis, Data curation. **Bo Zhang:** Writing – review & editing,  
4 Formal analysis, Data curation. **Haoqi Suo:** Writing – review & editing, Methodology,  
5 Investigation, Funding acquisition, Conceptualization. **Meng Guo:** Writing – review  
6 & editing, Funding acquisition, Formal analysis, Supervision.

7 **Declaration of competing interest**

8 The authors declare that they have no known competing financial interests or  
9 personal relationships that could have appeared to influence the work reported in this  
10 paper.

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14 **Data availability**

15 The datasets used in this study are publicly available. ALT data can be accessed  
16 via the CALM network (<https://www2.gwu.edu/~calm/>), the GTN-P database  
17 (<http://gtnpdatabase.org/activelayers>). Global Monitoring and Modelling Study Group  
18 (GIMMS) data is available at <https://daac.ornl.gov/>. The ASTER GDEM V3 dataset  
19 were downloaded from <http://www.gscloud.cn>. Soil Grids 250m were downloaded  
20 from <https://isric.org/>. Harmonized World Soil Database v2.0 were downloaded from  
21 <https://www.fao.org/soils-portal/en/>.



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