

Vertical Profiles of Aerosol Chemical Species Concentration retrieved through Synergy of Spaceborne Lidar and Polarimeter Observations

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Abstract. We present a novel methodology, AEROCHEMPro/GRASP (AEROsol CHEMical PROfiling), for retrieving vertical concentration profiles of aerosol chemical species by synergistically combining co-located measurements from a multiwavelength lidar and a multi-angular polarimeter. AEROCHEMPro represents the first retrieval framework for remote sensing of the vertically-resolved aerosol chemical composition. ~~and it~~ It is based on an improved ~~vertical profiling~~ version of the GRASP (Generalized Retrieval of Aerosol and Surface Properties) chemical component framework. The methodology is developed within the context of the Atmosphere Observing System (AOS) international initiative, which proposes a spaceborne observing system to advance our knowledge of aerosols, clouds, convection, and precipitation. Moreover, the retrieval strategy remains broadly applicable to future satellite missions and observing systems involving combined lidar and multi-angular polarimeter aerosol remote sensing.

Based on a statistically optimized and physically-constrained inversion, AEROCHEMPro/GRASP delivers three distinct aerosol vertical profiles: (i) a fine mode composed of black carbon, brown carbon, inorganic salts, and associated water uptake; (ii) a coarse mode representing mineral dust, decomposed into iron oxide and quartz species; and (iii) a hydrophilic coarse mode consisting of sea salt particles and their associated water content. The approach explicitly retrieves these three aerosol profiles, along with the fractional abundance of each of the six mentioned aerosol chemical species and their water content. This retrieval of aerosol chemical composition vertical profiles offers, for the first time, a direct observational link between aerosol optical measurements and their speciation resolved in altitude. We demonstrate the feasibility and performance of this technique through an innovative retrieval experiment, where synthetic lidar and polarimeter observations

are generated using the MOCAGE chemical transport model and a comprehensive radiative transfer simulator. These pseudo-observations include multi-wavelength attenuated backscatter and depolarization ratios, along with polarized radiances across multiple viewing angles and spectral bands.

40 Results from global-scale transects spanning marine, urban, dust, and complex mixture-dominated regions show that the retrieval captures with good fidelity the main features of vertical aerosol composition and their bulk optical properties. Fine-mode species are well retrieved, particularly in the boundary layer, even for atmospheres with complex mixtures of multiple aerosol species. Dust is accurately retrieved and well defined in terms of vertical extent, load, and composition, and sea salt concentrations are very well reproduced. Some limitations for deriving water content in the upper atmospheric layers are
45 remarked. AEROCHEMPro/GRASP reliably derives optical properties such as aerosol optical depth (AOD), single scattering albedo (SSA), and lidar ratio (LR), demonstrating the approach's robustness. As this initial validation relies on a self-consistent simulation framework over a spatially uniform surface baseline, these results represent an optimistic, idealized theoretical performance limit. This new retrieval approach represents a significant advancement in spaceborne aerosol remote sensing, as it provides vertically resolved chemical speciation that is directly related to chemical transport
50 model results. It offers new opportunities to improve our understanding of aerosol processes and their effects on climate and air quality.

1 Introduction

Aerosols are minute particles suspended in the atmosphere that play an essential role in Earth's climate by absorbing and reflecting solar radiation and serving as nuclei for ice or cloud condensation. Numerous studies have shown that climate
55 forcing is affected by such particles (IPCC, 2021; Boucher et al., 2013). However, not all aerosols are equally efficient in their relevance for clouds. This is closely related to the specific chemical composition, sizes, and mixtures of aerosols, which are associated with their origin or formation pathway. Droplet and ice crystal formation is strongly tied to the number concentration, size, and hygroscopicity of the available aerosols (Dusek et al., 2006; Murray et al., 2020; Burrows et al., 2022). It's crucial to understand how aerosols are spatially distributed and composed to estimate their radiative effects on
60 climate, improve forecasts in climate models, and determine adaptation strategies. Owing to their sporadic and highly variable temporal and spatial nature, the spatio-temporal variability of aerosol characteristics is challenging to integrate accurately into climate models.

Among other harmful effects, aerosols can also adversely affect human health, reduce visibility, and disrupt ecosystems (WHO, 2013; Lelieveld et al., 2015). They are responsible for causing or aggravating respiratory diseases, cardiovascular
65 diseases, and even neurological diseases: for instance, soot (black carbon) has been classified as a carcinogenic by the World Health Organization (IARC, 1985). A particle's ability to cause additional morbidity and mortality depends on the degree of penetration into the respiratory system of the human body, which is a function of the particle size, and on its toxicity. Recent studies have shown a much larger toxicity of organic matter and black carbon aerosols linked to combustion sources than

those composed of nitrate and sulfate inorganic sources (Park et al., 2018) . Their abundance is linked to both natural phenomena, such as volcanic eruptions and desert dust, and human activities, such as the burning of biomass and fossil fuels (Seinfeld & Pandis, 2016; Mhawish et al., 2022). Desert dust, for instance, can be transported across thousands of kilometers, affecting air quality, human health, and climate far from its source (Gandham et al., 2022; Liu et al., 2019; Merdji et al., 2023b; Singh et al., 2022; Xu et al., 2023). There remain significant uncertainties about the intensity and spatial distribution of the direct and indirect effects of the aerosols on the radiative budget (Myhre et al., 2013). These uncertainties highlight a strong need for more advanced observational and modeling approaches to accurately capture the key aerosol properties associated with their impacts.

In this framework, our capacity to quantify the numerous environmental impacts of aerosols is closely related to the ability to provide a detailed characterization of both vertical distribution and chemical composition of aerosol particles. Aerosol speciation, which is the quantification of distinct chemical species composing the aerosols, is fundamental for understanding their sources, evolution, and impacts on the environment (Jacobson, 2001) . Similarly, aerosols at different altitudes may have different climatic, ecological, and health effects. While near-surface aerosols directly degrade air quality and public health, absorbing particles located at higher altitudes, such as black carbon, can dramatically alter the Earth's energy balance (Ramanathan et al., 2001). Recent analyses of aerosol characteristics further highlight the large spatial and seasonal diversity of aerosol optical and absorbing properties e.g. (Merdji et al., 2023a, 2025; Mukhopadhyay et al., 2025).

On-site measurement techniques, such as filter sampling and online in situ instruments, are appropriate for determining the aerosol chemical composition but often lack characterization of their continuous vertical distribution and are inherently limited on spatial and temporal coverage, e.g. ((Zhang et al., 2007) and (Jayne et al., 2000)). Moreover, chemistry-transport modeling (CTM) of aerosols for climate and air quality applications is very rarely confronted with reference observations of vertically resolved aerosol chemical speciation, which are nonexistent at regional to global spatial scales. Indeed, the accuracy of these models and the corresponding assumptions on aerosol physical and/or chemical properties are directly related to the availability of comprehensive observational data for validation (Chin et al., 2000; Kukkonen et al., 2012).

Remote sensing techniques, such as polarimetry and lidar (Light Detection and Ranging), are the most promising means of filling this observational gap. Polarimeters can retrieve particle size, shape, and refractive index (Dubovik et al., 2011, 2019), while lidar instruments provide high-resolution vertical profiles of aerosol scattering properties (Dubovik et al., 2011; Winker and Pelon, 2010) . However, the synergy between these instruments has yet to be fully exploited, particularly for retrieving chemically resolved aerosol vertical profiles. A more comprehensive understanding of aerosol composition and transport may be acquired by integrating data from these datasets, which can potentially complement each other and overcome the limitations of individual techniques.

Recent studies demonstrate the effectiveness of integrating multispectral satellite observations for monitoring tropospheric composition. Cuesta et al. (2018, 2022) and Okamoto et al. (2023) employed Infrared Atmospheric Sounding Interferometer (IASI) and Global Ozone Monitoring Experiment-2 (GOME-2) data to monitor near-surface ozone variability, identify precursor emission sources, and assess the influence of anthropogenic and natural emissions on large-scale pollution events.

Similarly, Lemmouchi et al. (2022, 2023) and Maheshwarkar et al. (2024) developed the AEROS5P approach, which uses the Tropospheric Monitoring Instrument (TROPOMI) to retrieve three-dimensional aerosol distributions and provides new perspectives on aerosol transport and vertical structure. These studies show that multispectral and multi-instrument satellite observations improve the detection of near-surface pollution and the retrieval of vertical ozone and aerosol profiles, boosting climate and air quality evaluations.

A significant breakthrough in aerosol remote sensing is the Generalized Retrieval of Aerosol and Surface Properties (GRASP) algorithm. Initially, GRASP set out to obtain reflectance and aerosol optical parameters, but later its scope was broadened to include the retrieval of some aerosol chemical constituents as well (Dubovik et al., 2011, 2021; Lopatin et al., 2021). Thanks to the incorporation of a versatile forward model with a numerical inversion module with original formulations of multiple and flexible constraints, GRASP processes multiple aerosol remote sensing datasets of different instruments and is thus suited for their synergisms. The versatility of the algorithm has been shown through its implementation on data from various instruments, such as POLDER/PARASOL (Chen et al., 2020; Dubovik et al., 2011), TROPOMI/Sentinel-5p (Chen et al., 2024; Litvinov et al., 2024), OLCI/Sentinel-3 (Chen et al., 2022), AHI/HIMAWARI-8 (Li et al., 2025) as well as their combinations (Litvinov et al., 2025), including future plans to be used as operational retrieval for recently launched Sentinel-4 and 3MI missions (Dubovik et al., 2021).

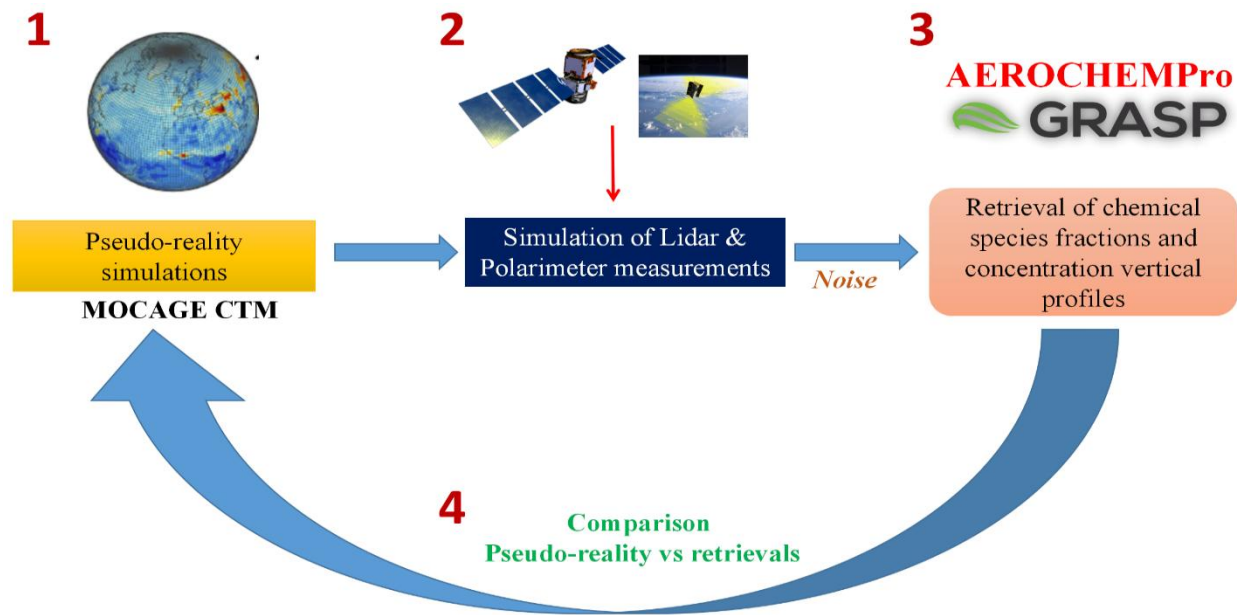
This study introduces the innovative AEROCHEMPro (AERosol CHEMical Profiling) approach. This novel methodology synergistically combines lidar and polarimeter observations to retrieve vertical concentration profiles of several distinguished aerosol chemical species. AEROCHEMPro represents the first framework that explicitly implements the synergism of level 1 measurements, combining multispectral lidar and multi-angle polarimetry, to derive chemically resolved aerosol vertical profiles from space-based observations. The development of this method is initially motivated by the Atmosphere Observing System (AOS) program, aiming to significantly advance aerosol and cloud observations through next-generation instruments, including the multiwavelength LUCE lidar (Girolamo et al., 2023, 2024) and a multi-angular polarimeter. AOS is conceived to address critical gaps in the quantification of aerosol properties and environmental properties by advanced monitoring with a tandem of a new generation lidar and polarimeters. AEROCHEMPro is also highly relevant for future satellite missions combining active and passive remote sensing to retrieve vertically resolved aerosol properties. The approach is expected to enhance the understanding of aerosol chemical processes and their impacts by retrieving vertical profiles of distinct aerosol species, including black carbon, brown carbon, inorganic salts, minerals of dust particles, and sea salt. As this study establishes a new retrieval framework for satellite-based aerosol chemical speciation and its vertical distribution, AEROCHEMPro lays the groundwork for future missions using synergetic observations. It contributes to advancing aerosol research with profound implications for climate studies and air quality monitoring.

2. Atmosphere observing system (AOS) full retrieval experiment

135 The development of the AEROCHEMPro approach is conducted in the framework of a full retrieval experiment from the measurements of the aerosol payload of the Atmosphere Observing System (AOS). This experiment comprises several steps, from the pseudo-reality simulation to retrieval and comparison (see Fig. 1). The pseudo-reality introduces inputs from MOCAGE and additional considerations as a valid representation of reality, providing synthetic datasets of aerosol concentration profiles, optical properties, and species-specific volume fractions. The experiment is based on Lidar and Polarimeter pseudo-observations, each with specific characteristics. While polarimeters provide multi-angular, polarized radiance measurements, lidars describe high-resolution vertical profiles of aerosol backscatter and depolarization ratio, which are first calculated by the forward radiative transfer code integrated within GRASP. These simulations assume the representation of complex externally and internally aerosol mixtures of several chemical species. Then, the GRASP inversion algorithm derives vertical profiles of aerosol chemical species and their optical characteristics from the combination of lidar and polarimeter synthetic observations. The polarimeter provides information on particle size distributions, detailed chemical composition, and shape, while lidar measurements reveal the vertical distributions of these aerosol species. Finally, the retrieved aerosol profiles from GRASP are compared with the pseudo-reality to assess how well AEROCHEMPro derives vertically-resolved aerosol speciation.

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145



150 **Figure 1.** Scheme of the full observing system simulation experiment implemented for evaluating the performance of AEROCHEMPro.

2.1 Pseudo-reality

155 This study develops a comprehensive approach to build a pseudo-reality of aerosol properties, including vertically-resolved aerosol speciation, accounting for optically relevant species for which lidar and polarimeter are sensitive. These aerosol properties are: (i) volume mixing ratios of 6 aerosol chemical species (their fractional abundance are Frac_i), (ii) water content absorbed by hydrophilic aerosols, (iii) vertical profiles (Prof_i) of these aerosol species, (vi) aerosol volume size distribution ($dV(r_i)/d\ln r$), and (v) sphericity (C_{sph}) of each aerosol mode. These aerosol properties, constituting the pseudo-reality, are used to evaluate the accuracy of the retrievals obtained from the inversion scheme. The steps for obtaining the pseudo-reality are shown in the comprehensive scheme in Fig. 2 and described in detail in the following subsections.

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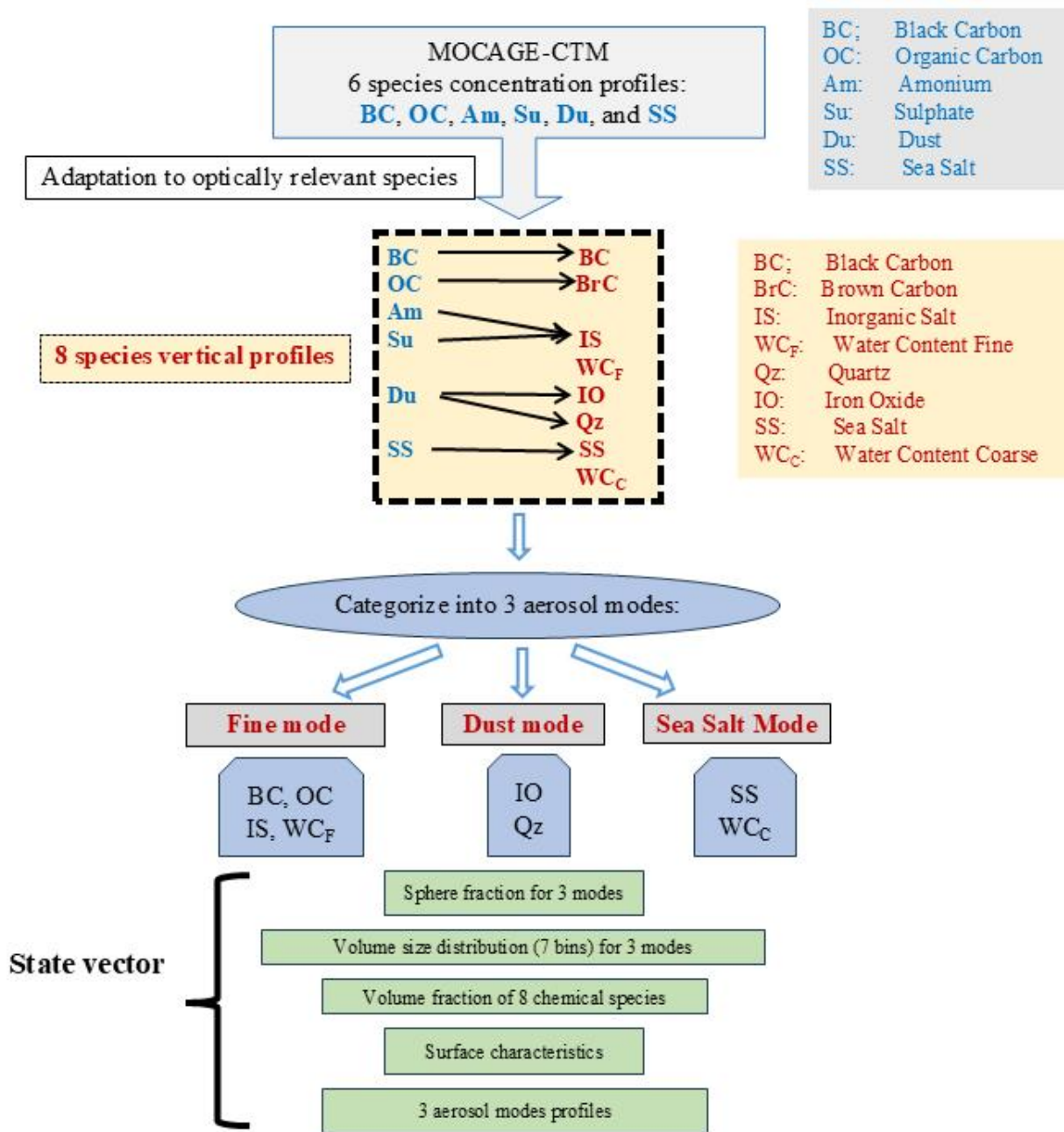


Figure 2. Steps to build the pseudo-reality.

2.1.1 MOCAGE chemistry-transport model

In the context of the current full retrieval experiment, the chemistry transport model (CTM), namely Modèle de Chimie
 165 Atmosphérique à Grande Echelle (MOCAGE), serves as a key element of the pseudo-reality, providing the spatio-temporal

distribution of key atmospheric aerosol chemical species. MOCAGE is a three-dimensional global and regional CTM initially developed by Météo-France (El Amraoui et al., 2020; Guth et al., 2016). It is used for data assimilation (El Amraoui et al., 2010), tropospheric-stratospheric composition (El Amraoui et al., 2020), air quality forecasting, and climate change research, among other areas. It can be run at several configurations with various geometric domains, resolutions, and for physical and chemical parameterization. Emissions, meteorological fields, and atmospheric composition are the primary inputs of the MOCAGE model.

MOCAGE's chemical composition is represented at 47 vertical levels, extending from the surface to 5 hPa, with vertical resolutions ranging from 40 to 400 meters in the boundary layer and from 400 to 800 meters in the free troposphere. The model's horizontal resolution depends on the scale: local ($0.5^\circ \times 0.5^\circ$), regional ($0.1^\circ \times 0.1^\circ$), and global (1° or 2°). Although MOCAGE cannot calculate meteorological variables, it can directly extract them from other models, such as the IFS model, ARPEGE, ERA-INTERIM, and ARPEGE-Climat. Modelled aerosol species for this study include black carbon (BC), organic carbon (OC), desert dust (Du), sea salt (SS), sulfate (Su), nitrate (Ni), and ammonium (Am). As described in El Amraoui et al. (2020), these species are represented using the schemes of (Martet et al., 2009) and Sič et al. (2015), with the secondary inorganic aerosols (Su, Ni, Am) following the parameterizations of Guth et al. (2016). The atmospheric composition is further defined by the assimilation of MODIS Aerosol Optical Depth (AOD) data to constrain the aerosol fields within the 3D-FGAT variational assimilation framework (El Amraoui et al., 2022). Biomass-burning sources of BC and OC aerosols used in this study are the same as those used in Sič et al., (2016), and are based on a daily frequency from the Global Fire Assimilation System (GFAS) (Kaiser et al., 2012). More details regarding this specific model configuration and the treatment of aerosol sources can be found in El Amraoui et al. (2022).

By simulating the spatio-temporal distribution of the most abundant aerosol species and their interactions with atmospheric processes, MOCAGE serves as a realistic proxy for real-world observations. This synthetic environment enables testing and validation of retrieval techniques while providing a reliable standard (i.e., pseudo-reality) against which to compare them. In particular, MOCAGE outputs provide aerosol mass concentration profiles (in $\mu\text{g m}^{-3}$) of six species: BC, OC, Am, Su, Du, and SS. MOCAGE data is extracted along the trajectories of the study's targeted geographical transects. As a first illustration, we use a transect observed by the CALIOP (Cloud-Aerosol LIDAR with Orthogonal Polarization) instrument on 15 May 2020 (see Fig. 3), measuring the aerosol vertical distribution over the Indian Ocean (Indian-O), Bangladesh, Tibetan Plateau (TP), Taklamakan Desert (TD), Kazakhstan, Russia, Arctic Ocean (Arctic-O), and then reaching Greenland. During this day, significant aerosol diversity is remarked along the trajectory, ensuring a robust case study for comparison and an adequate evaluation of the retrieval method's performance.

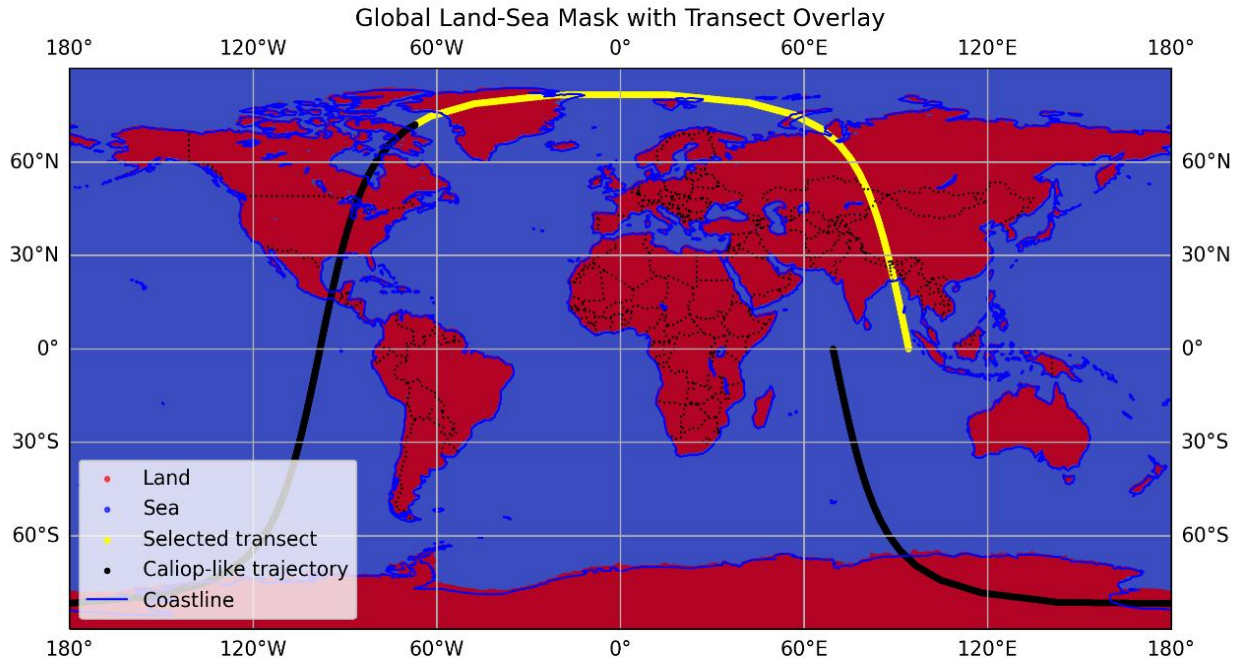


Figure 3. The selected transect extracted from MOCAGE data along the CALIOP-like trajectory and interpolated to match the spatial and temporal resolution of the observations on May 15th 2020 passing by the Indian Ocean, Bangladesh, Tibetan Plateau (TP), Taklamakan Desert (TD), Kazakhstan, Russia, Arctic Ocean then reaching Greenland.

2.1.2 Aerosol species considered in the pseudo-reality

In this work, the pseudo-reality considers eight distinct aerosol chemical species: black carbon (BC), brown carbon (BrC), inorganic salt (IS), fine-mode water content (WC_F), quartz (Qz), iron oxide (IO), sea salt (SS), and coarse-mode water content (WC_C). These species correspond to those that can be optically distinguished with advanced satellite measurements (Dubovik et al., 2021) and they are derived from MOCAGE primary aerosols (BC, OC, SS, Du) and secondary inorganic aerosols (Ni, Su, and Am). The correspondence between MOCAGE outputs and the AEROCHEMPro species is summarized in Table 1 and described in the next paragraphs.

Table 1. Species and their properties, combining MOCAGE-CTM output and AEROCHEMPro inputs.

Chemical species	MOCAGE	Densities (g/cm^3)	Growth factor (γ)	Assumption	Mode	Water Content	AEROCHEMPro
Black Carbon (BC)	$C_{vol, BC}$	1.8	0.01	$C_{vol, BC}$			$C_{vol, BC}$
					Fine	C_{vol, WC_F}	

Organic Carbon (OC)	$C_{vol, OC}$	1.5	0.39	$C_{vol, BrC}$		$C_{vol, BrC}$
Ammonium (AM)	$C_{vol, AM}$	1.77	0.65	$C_{vol, IS}$		$C_{vol, IS}$
Sulfate (Su)	$C_{vol, Su}$	1.84				C_{vol, WC_F}
Dust (Du)	$C_{vol, Du}$	2.3	-	$0.98 * C_{vol, Qz}$ $0.02 * C_{vol, IO}$	Dust	$C_{vol, Qz}$ $C_{vol, IO}$
Sea Salt (SS)	$C_{vol, SS}$	2.1	0.73	$C_{vol, SS}$	Salt	C_{vol, WC_C} $C_{vol, SS}$ C_{vol, WC_C}

210 To obtain the aerosol properties considered in the pseudo-reality, the first step is to convert the dry mass concentrations (C_{mass}) of the species, calculated as outputs of the MOCAGE model, into dry volume mixing ratios (C_{vol}) using the known densities of each species (ρ_i). The general relationship used is:

$$C_{vol} = \frac{C_{mass}}{\rho_i} \quad (1)$$

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where C_{mass} is the mass concentration in $\mu\text{g}/\text{m}^3$ and ρ_i is the density of the species, expressed here in $\mu\text{g}/\text{m}^3$. The species and their corresponding densities are listed in Table 1. Each species' mass concentration is divided by its corresponding density to yield unitless aerosol volume mixing ratios. This step is essential for comparing the contribution of different species to the total aerosol volume.

220 After calculating the volume mixing ratio of each species from the MOCAGE model at each altitude, some modifications of the aerosol chemical species are considered. They allow alignment with those to which polarimeter measurements are sensitive and that are intended to be retrieved using the AEROCHEMPro/GRASP algorithm. We consider concentrations of inorganic salts (IS), which are assumed to be the sum of the concentrations of those simulated by MOCAGE for ammonium and sulfate (as polarimeter measurements do not allow us to distinguish these two species). Black carbon (BC) and sea salt (SS) remain unchanged, with their respective volume mixing ratios carried over directly. A second carbonaceous species 225 within the pseudo-reality is brown carbon (BrC), whose abundance is assumed to equal that of organic carbon for simplicity. For mineral dust, specific fractions are defined based on its composition: Iron oxide (IO) and quartz (Qz) are represented as 2 % and 98 % of the total dust concentration simulated by MOCAGE, respectively; these fractions follow the typical values adopted from Li et al. (2019). This redefinition enables consistent comparisons between aerosol species in the pseudo-reality 230 and those retrieved from AEROCHEMPro/GRASP, thereby facilitating the analysis of aerosol properties and their radiative effects.

The choice of these aerosol chemical species is a refinement of previous works that exploits measurements of either the AERONET sun photometer or the POLDER multi-angular polarimeter (Dubovik et al., 2021; Li et al., 2019, 2020a, b). In these previous studies, the method is called GRASP/Component approach considering BC, BrC, fine- and coarse-mode non-
 235 absorbing soluble and insoluble particles, coarse-mode absorbing particles, and aerosol water content. In the current work, we distinguish between the water content for fine (BC, BrC, and IS) and coarse (SS) hydrophilic species. Non-absorbing soluble fine and coarse particles are identified as inorganic salts (IS) and sea salt (SS), while dust particles are composed of IO and Qz.

2.1.3 Water content estimation

240 To estimate the mixing ratio of water content absorbed by aerosol particles, we first calculate the humidified volume of hygroscopic species based on ambient relative humidity (RH). Since aerosol particles take up water with increasing RH, we correct the dry volume mixing ratios accordingly, particularly for species such as ammonium sulfate and sea salt. The growth factor, GF, depends on the ambient RH and is applied to the fine and sea-salt modes. Note that this correction modifies the particle volume ratios to account for water uptake, but the particle radii themselves are not explicitly adjusted.
 245 The hygroscopic growth factor GF(RH) is estimated using the H  nel (1976) parameterization, which quantifies the increase in particle size with increasing relative humidity (RH). The growth factor GF_{min}, with respect to the particle size at RH_{min}, is defined as:

$$GF_{min}(RH) = \left(\frac{1-(RH/100)}{1-(RH_{min}/100)} \right)^{-\gamma} \quad (2)$$

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Since direct comparisons require a standard reference RH, the growth factor is further scaled to a reference RH of RH_{ref} = 40% (without hygroscopic growth), following recommendations from previous studies:

$$GF_{ref}(RH) = GF_{min}(RH) \times \left(\frac{1-(RH_{min}/100)}{1-(RH_{ref}/100)} \right)^{-\gamma} \quad (3)$$

255

where RH_{min} corresponds to the minimum RH in each pixel, and γ is the hygroscopic growth parameter value, as listed in Table 1. This method for calculating the growth factor follows Sicard et al., (2022), who applied it to a multi-wavelength lidar system to study aerosol hygroscopicity. It ensures consistency across different humidity conditions and captures water uptake of hygroscopic aerosol species, such as inorganic and sea salts.

260 For each hygroscopic species, the aerosol water content is determined as the difference between the ambient humidified volume and the dry volume:

$$C_{vol, water, i} = C_{vol, i} (RH) - C_{vol, i} \quad (4)$$

265 where $C_{vol} (RH)$, the volume mixing ratio of species i at ambient humidity, is given by:

$$C_{vol}(RH) = C_{vol} \cdot GF_{ref}(RH) \quad (5)$$

270 and $C_{vol, i}$ is its original dry volume mixing ratio. The total water content in each mode is obtained by summing the contributions from all hygroscopic species within that mode.

2.1.4 Volume size distribution estimation

The volume size distribution for each aerosol mode is derived by distributing the mode's total volume mixing ratios across multiple size bins. In this approach, the aerosol volume in each size bin is obtained by multiplying a predefined weighting factor (c_m) — representing its relative contribution — by the modeled total volume for that mode. This ensures
 275 that the shape of the assumed log-normal distribution is preserved, while its magnitude adapts to the local aerosol load at each pixel. A total of seven size bins are considered: three for the fine mode, two for dust, and two for sea-salt aerosols. The corresponding mean radii and the geometric standard deviations are shown in Table 2. The weighting factors (c_m) are chosen to mimic the average AERONET-retrieved size distributions reported by Dubovik et al. (2002). This configuration produces a total size distribution that resembles the average size distribution of the fine mode for urban and biomass-burning aerosols
 280 reported by Dubovik et al. (2002), peaking at a modal radius of approximately $0.17 \mu m$ and a geometric width of the log normal distribution of about 0.45. Likewise, the coarse mode closely resembles the characteristics of dust and oceanic aerosols described by Dubovik et al. (2002), peaking around $2.71 \mu m$ with a width of roughly 0.6.

2.1.5 Aerosol sphericity

In this study, aerosol sphericity is assumed for each species based on its physical properties, as reported in previous
 285 studies. We quantify it as a spherical fraction, where 1 represents pure spheres, and 0 represents pure spheroids (the assumed shape for non-spherical particles). Fine particles corresponding to inorganic salt, brown carbon, and black carbon are considered as optically spherical, thus their spherical fraction is taken as 0.9999, consistent with the approach of Dubovik et al. (2006). Similarly, the spherical fraction for sea salt is considered as-to be 0.9999, as they are known to be highly spherical
 290 due to their hygroscopic nature and formation processes (Dubovik et al., 2006). In contrast, dust species (quartz and iron oxide) account for the irregular shapes of mineral dust particles, assigning a near-zero spherical fraction of 0.01. These particles originate from natural mechanical processes, such as erosion and transport, that result in non-spherical shapes (Kandler et al., 2009).

2.1.6 Surface reflectance

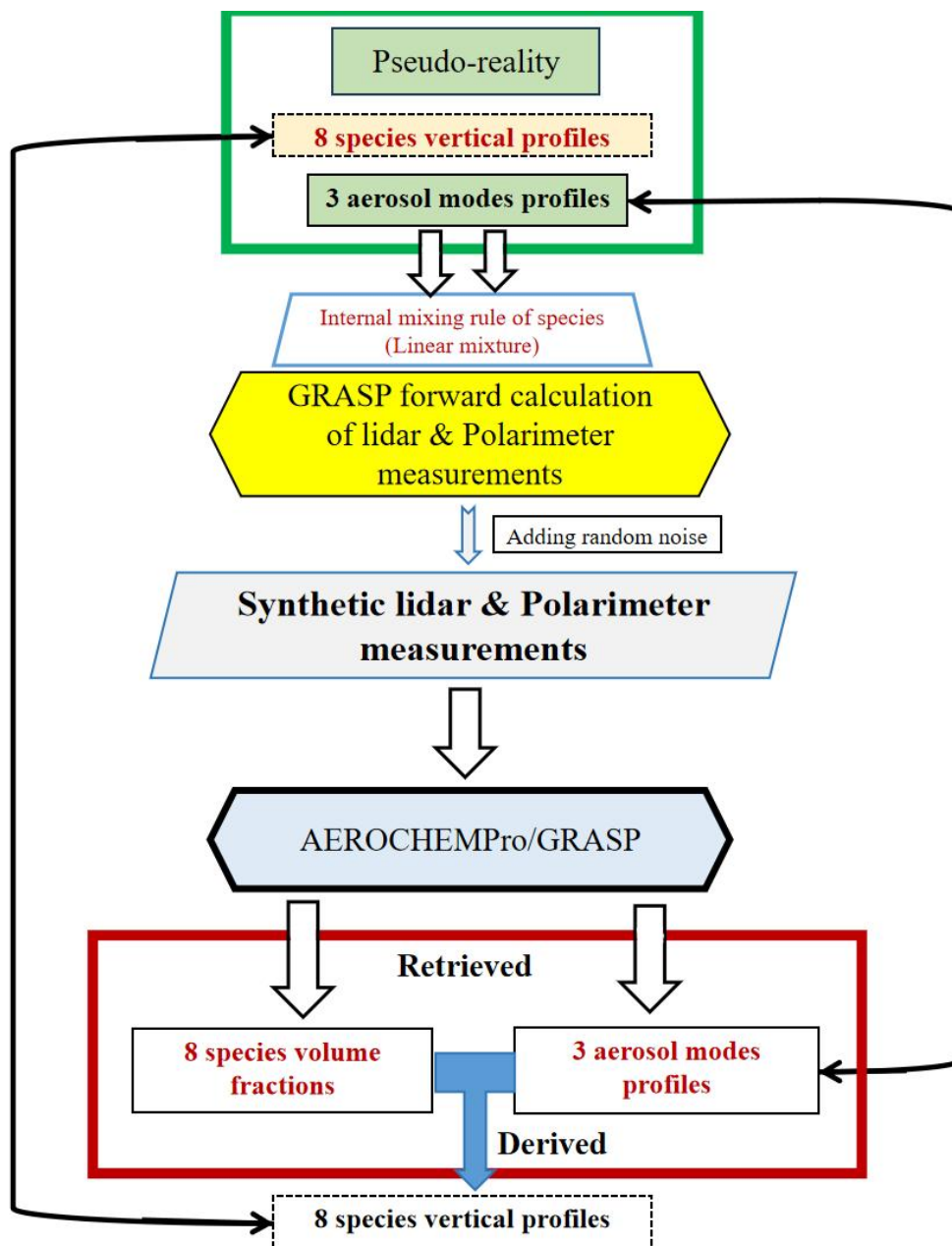
To derive aerosol properties from passive measurements, it is necessary to account for surface reflectance properly. In our study and the GRASP environment, land surface reflectance is represented using the Bidirectional Reflectance Distribution Function (BRDF), which follows the kernel-driven Ross-Li model (Li and Strahler, 1992; Roujean et al., 1992; Wanner et al., 1995) in combination with a semi-empirical formulation of the BPDF (Maignan et al., 2009). Over ocean surfaces, the reflective properties are modeled similarly to earlier approaches used in the POLDER algorithm (Deuzé et al., 2001; Herman et al., 2005; Tanré et al., 2011). The Cox and Munk model (Cox and Munk, 1954) accounts for Fresnel reflection from the roughened sea surface.

While GRASP allows joint retrieval of surface and aerosol properties (Dubovik et al., 2021), we adopted a constrained single-pixel retrieval strategy for this baseline evaluation. Surface parameters were jointly adjusted in the state vector. On the other hand, they were initialized with spatially uniform values across the transects and subject to strong a priori constraints (Lagrange multipliers), thus significantly limiting their spatial variability during retrievals. Specifically, a high weight was assigned to the spatial variability constraint of the polarized surface component and the BRDF kernels to maintain stability (See Table 6 for details). This configuration effectively minimizes pixel-to-pixel surface variability, allowing the inversion to focus on the vertical aerosol speciation without significant interference from surface-aerosol signal mixing. We acknowledge that this approach represents an idealized scenario; while it establishes a theoretical performance baseline for AEROCHEMPro, future work will involve more flexible surface-atmosphere retrievals to explicitly capture the complexities of heterogeneous terrain. ~~in this study, the surface parameters are kept fixed. Their “retrieved” values were initialized from prior information and remained essentially unchanged during inversion. This simplification allowed us to focus the analysis on atmospheric composition without introducing additional complexity from surface variability. In future work, we plan to explore joint surface-atmosphere retrievals to explicitly capture surface variations.~~

2.2 Lidar and polarimeter pseudo-observations

The next step in the AOS innovative retrieval experiment is the calculation of lidar and polarimeter level-1 pseudo-observations based on the pseudo-reality (sect. 2.1) and illustrated in Fig. 4, together with the additional assumptions (sect. 2.2.1, 2.2.2, 2.2.3, and 2.2.4), and instrumental characteristics (sect. 2.2.5 and 2.2.6). This is performed with a forward radiative transfer model integrated within the GRASP environment (Dubovik et al., 2021). Within this model, aerosol optical properties are derived using kernels pre-calculated with T-matrix, Mie, and geometrical optics codes for spherical and spheroidal particles, covering a broad range of complex refractive indices, size parameters, and non-sphericity (Dubovik et al., 2006). More details on this forward model are provided in previous studies (Dubovik et al., 2011, 2021; Li et al., 2019; Lopatin et al., 2013). These optical calculations require both the aerosol characteristics provided by the pseudo-reality and

325 further considerations on how aerosol profiles are represented and on how aerosol species are mixed to estimate their
refractive indices (respectively, sect. 2.2.1, 2.2.3, and 2.2.4). These atmospheric and surface optical properties are used to
calculate noise-free instrumental signals with the radiative transfer model. In this study, all synthetic observations were
calculated within the principal plane geometry. In this configuration, the solar and viewing vectors are co-planar, and the
Stokes U component is essentially zero. This provides an idealized polarimetric signal, allowing us to isolate the sensitivity
of the Q component to aerosol microphysics and chemical speciation. While this represents a 'best-case scenario' for
330 polarimetric retrieval, it serves as a necessary baseline for evaluating the vertical profiling capability of the AEROCHEMPro
framework. Then, pseudo-observations are generated by adding random noise based on the lidar and polarimeter
instrumental characteristics (sect. 2.2.5 and 2.2.6).



335 **Figure 4.** Steps to simulate Lidar and Polarimeter synthetic measurements.

2.2.1 Three modes for aerosol vertical profiles

LUCE lidar measurements are not expected to provide sufficient information to distinguish all the aerosol chemical species considered in AEROCHEMPro vertically. They are typically used to distinguish between aerosol types with clearly different lidar-derived optical properties (e.g., Qayyum et al., 2026) (e.g., Qayyum et al., 2026). Therefore, we assume a simplified

340 representation of the vertical distribution of the aerosol chemical species, categorizing them into three modes based on their size distribution or sphericity. A so-called “fine mode” is the mixture of black carbon, brown carbon, inorganic salt, and the water uptake associated with these species—the dominant size of these particles is less than 2.5 μm in diameter. A “dust mode” includes mineral non-spherical coarse compounds of desert dust, corresponding here to iron oxide and quartz. A third one, called “sea-salt” mode, is composed of spherical coarse sea salt and the water it absorbs. Each of these three modes is
 345 represented by an independent vertical profile of aerosol total volume mixing ratio, a size distribution, and specific mixing rules for determining the refractive index (see sect. 2.2.2, 2.2.3, and 2.2.4). This distinction between several aerosol vertical profiles and their chemical composition is enabled by the multispectral synergism of radiance and depolarization measurements, which allow for a more detailed separation of aerosol species across fine, dust, and sea-salt modes.

We describe the abundance of each aerosol species as a volume fraction of each of the three aerosol modes. These fractions
 350 are computed by normalizing the vertically integrated volume mixing ratios of each species provided by the pseudo-reality by the total volume of the mode to which it belongs. A trapezoidal integration is performed over the vertical layers of the atmosphere, and the total column volume fraction ($Frac_i$) for a species is given by:

$$Frac_i = \frac{\int_0^{z_{max}} C_{vol,i}(z) dz}{\int_0^{z_{max}} C_{vol,mode}(z) dz} \quad (6)$$

355

where $C_{vol,i}$ and $C_{vol,mode}$ are the volume mixing ratios of the aerosol species i and the total volume mixing ratios of mode it integrates.

Moreover, calculations consider and normalize vertical profiles of each mode. $Prof_{mode}(z)$ by normalizing with respect to its column-integrated total concentration, total column $C_{vol,mode,tot}$ as,

360

$$Prof_{mode}(z) = \frac{C_{vol,mode}(z)}{\int_0^{z_{max}} C_{vol,mode}(z) dz} = \frac{C_{vol,mode}(z)}{C_{vol,mode,tot}} \quad (7)$$

where z_{max} is the height of the atmospheric top, here considered as ~20 km, and a vertical step of 150 m.

2.2.2 Lognormal size distribution parameters for precomputed size bins

365 For the size distribution, we use a log-normal representation precalculated in GRASP, where the size distribution is expressed by a set of size bins with associated volume fractions. Although earlier texts refer to “five lognormal modes”, our GRASP configuration actually implements three retrieved modes (fine, coarse spherical, and coarse non-spherical) whose size is represented by a total of seven size bins (independent parameters): three size bins representing the fine mode, two bins for dust (coarse non-spherical) mode, and two bins for the sea-salt (coarse spherical) mode. Thus, the theoretical
 370 number of independent parameters for the size distribution in this setup is 7 (3 fine + 2 sea-salt + 2 dust), which are jointly

derived with the other aerosol properties in the inversion procedure. Each of the seven size bins corresponds to a log-normal size distribution with specific modal radii and widths presented in Table 2.

Table 2. Modal radii (r_m) and standard deviations ($\ln(\sigma_m)$) of lognormal volume size distribution for each size bin associated with the Fine, Dust, and Sea Salt aerosol modes. These values are adapted from Dubovik et al. (2011, 2006), and Lopatin et al. (2021).

Aerosol modes	r_m (μm)	$\ln(\sigma_m)$
Fine mode	0.1000	0.3500
	0.1732	0.3500
	0.3000	0.3500
Dust mode	1.0000	0.5000
	2.9000	0.5000
Sea Salt mode	1.0000	0.5000
	2.9000	0.5000

2.2.3 Aerosol mixing rules

A volume-weighted (VW) mixing rule is used in this study as an empirical method for estimating the complex refractive index of an “internally mixed” aerosol mixture. This rule calculates an effective refractive index as a volume-weighted average of the real and imaginary parts of the index, based on the proportions of the individual compounds in the mixture. Within the GRASP/Component framework, the VW mixing rule has been integrated by Li et al. (2019) . It is expressed as:

$$n_{mode}(\lambda) = \frac{\sum_{i=1}^j \text{Frac}_i \cdot n_i(\lambda)}{\sum_{i=1}^j \text{Frac}_i} \quad (8)$$

$$k_{mode}(\lambda) = \frac{\sum_{i=1}^j \text{Frac}_i \cdot k_i(\lambda)}{\sum_{i=1}^j \text{Frac}_i} \quad (9)$$

where n_{mode} and k_{mode} are the real and imaginary parts of the refractive index of the fine, dust, and sea-salt modes, and n_i and k_i those of the chemical species composing these modes.

2.2.4 Refractive indices of each aerosol chemical species

395 The complex refractive index values for all aerosol species in the pseudo-reality, spanning wavelengths from 355 to 1650 nm, are listed in Table 3. These values are taken from Longtin et al. (1988) , Bond and Bergstrom (2006) , Querry (1987), Shettle and Fenn (1979) , and Sun et al. (2007) . In the current work, we consider these refractive indices for each species both in the pseudo-reality and the retrievals. Therefore, the performance analyses shown here are rather optimistic regarding the ability to distinguish different aerosol species. Other works considering aerosol typing from lidar alone (Qayyum et al., 2026) (Qayyum et al., 2025) suggest a moderate effect on retrievals due to differences in intensive aerosol properties (size distribution and refractive indices) between the pseudo-reality and the retrievals. Future work will further analyze these aspects.

400

Table 3. Refractive indices used for each aerosol chemical species were interpolated from 355 to 1650 nm in the AEROCHEMPro approach.

λ (nm)	BC	BrC	IS	IO	Qz	SS	WC
355	$1.950 + 0.790i$	$1.540 + 0.076098i$	$1.540 + 1.00 \times 10^{-7}i$	$2.4994 + 0.928i$	$1.540 + 5.00 \times 10^{-4}i$	$1.509 + 0.000i$	$1.330 + 0.000i$
360	$1.950 + 0.790i$	$1.540 + 0.0698070i$	$1.540 + 1.00 \times 10^{-7}i$	$2.5188519 + 0.883i$	$1.540 + 5.00 \times 10^{-4}i$	$1.508 + 0.000i$	$1.330 + 0.000i$
380	$1.950 + 0.790i$	$1.540 + 0.0698070i$	$1.540 + 1.00 \times 10^{-7}i$	$2.5964 + 0.703i$	$1.540 + 5.00 \times 10^{-4}i$	$1.504 + 0.000i$	$1.330 + 0.000i$
410	$1.950 + 0.790i$	$1.540 + 0.06948i$	$1.540 + 1.00 \times 10^{-7}i$	$2.7194 + 0.4874i$	$1.540 + 5.00 \times 10^{-4}i$	$1.500 + 0.000i$	$1.330 + 0.000i$
532	$1.950 + 0.790i$	$1.540 + 0.03549i$	$1.530 + 1.00 \times 10^{-7}i$	$3.0966097 + 0.12765i$	$1.540 + 5.00 \times 10^{-4}i$	$1.500 + 0.000i$	$1.330 + 0.000i$
550	$1.950 + 0.790i$	$1.540 + 0.0295030i$	$1.530 + 1.00 \times 10^{-7}i$	$3.102 + 9.25 \times 10^{-2}i$	$1.540 + 5.00 \times 10^{-4}i$	$1.500 + 0.000i$	$1.330 + 0.000i$
670	$1.950 + 0.790i$	$1.540 + 0.0067007i$	$1.520 + 1.00 \times 10^{-7}i$	$2.995 + 4.83 \times 10^{-3}i$	$1.536 + 5.00 \times 10^{-4}i$	$1.490 + 0.000i$	$1.330 + 0.000i$
870	$1.950 + 0.790i$	$1.540 + 0.0025003i$	$1.5195520 + 2.23 \times 10^{-7}i$	$2.741 + 3.12 \times 10^{-3}i$	$1.523 + 5.00 \times 10^{-4}i$	$1.480 + 2.80 \times 10^{-5}i$	$1.330 + 0.000i$
1064	$1.950 + 0.790i$	$1.520 + 0.00187002i$	$1.5098510 + 2.05 \times 10^{-6}i$	$2.656 + 2.59 \times 10^{-5}i$	$1.520 + 5.00 \times 10^{-4}i$	$1.470 + 1.96 \times 10^{-4}i$	$1.330 + 0.000i$
1550	$1.8233 + 0.360i$	$1.500 + 0.00092001i$	$1.4895490 + 7.69 \times 10^{-5}i$	$2.564 + 0.029i$	$1.518 + 9.90 \times 10^{-9}i$	$1.458 + 6.08 \times 10^{-4}i$	$1.330 + 0.000i$
1650	$1.832 + 0.3628i363i$	$1.409 + 0.000176i$	$1.4857486 + 7.66 \times 10^{-5}i$	$2.558 + 0.031i$	$1.515 + 9.90 \times 10^{-9}i$	$1.454 + 6.84 \times 10^{-4}i$	$1.330 + 0.000i$

2.2.5 Instruments

405 LUCE multiwavelength Lidar

The active remote sensing sensor used in this study is the LUCE multiwavelength lidar (Girolamo et al., 2023, 2024), formerly called CALIGOLA (which stands for Cloud Aerosol Lidar for Global Scale Observations of the Ocean-Land-Atmosphere System). LUCE is a spaceborne multiwavelength lidar with potentially Raman capabilities developed by the Italian Space Agency (ASI), in collaboration with the National Aeronautics and Space Administration (NASA), designed to study interactions within the ocean–land–atmosphere system (Behrenfeld et al., 2023; Franco et al., 2023; Girolamo et al., 2023, 2024). The mission’s scientific objectives include (1) investigating atmospheric and oceanic processes, (2) measuring key geophysical variables from space, and (3) satisfying stringent requirements on accuracy, precision, vertical and horizontal resolution, and sounding range. As no real measurements from LUCE are yet available, simulated lidar data are generated using the GRASP forward model configured to replicate LUCE’s instrument characteristics. LUCE’s laser emission operates at three wavelengths (354.8 nm, 532.2 nm, and 1064.4 nm) to measure the attenuated backscatter and depolarization ratios at these wavelengths (Di Girolamo et al., 2023, 2024), providing both daytime and nighttime measurements (see Table 4). An additional Raman channel in the UV is proposed for nighttime aerosol retrievals. However, we only consider the elastic channels of attenuated backscatter and the depolarization ratio of LUCE in the current work, as synergism with a polarimeter is only possible during daytime (when the Raman channel is not likely to provide useful information). LUCE combines high-energy multi-wavelength lasers—157 mJ at 354.8 nm, 47 mJ at 532.2 nm, and 144 mJ at 1064.4 nm—with a large 1 m telescope, 51 Hz pulse rate, and 8-22 m surface footprint (Di Girolamo et al., 2023, 2024). This configuration ~~delivers~~ delivers higher photon collection efficiency and significantly larger signal-to-noise ratio (SNR) compared to CALIOP (532 nm at 110 mJ, 1064 nm at 230 mJ, same aperture, 20.25 Hz PRF, ~333 m shot spacing; Winker et al., 2009) and ATLID (355 nm at ~35 mJ, effective ~70 mJ, 0.62 m telescope, ≈ 0.302 m² area, 51 Hz nominal, 25.5 Hz effective; Illingworth et al., 2015; Feofilov et al., 2023). LUCE’s higher repetition rate than CALIOP improves along-track and vertical sampling, while its three wavelengths offer richer spectral discrimination than CALIOP’s two and ATLID’s single wavelength. The large aperture and high UV energy enhance aerosol detection in optically thin and high-altitude layers, especially under daytime background, whereas ATLID trades energy and aperture for high-spectral-resolution lidar (HSRL) capability, and CALIOP offers strong NIR returns but coarser sampling (Winker et al., 2007; Illingworth et al., 2015).

Multi-angular polarimeter onboard AOS-Sky

The polarimeter used in this study is an advanced UV-VIS-NIR-SWIR instrument designed to provide multi-angular polarized radiance measurements at multiple wavelengths. With a spatial resolution of 0.5 km and a 300 km swath, it enables large-scale, high-precision monitoring of atmospheric and surface features. The polarimeter captures radiance across eight

435 spectral bands, ranging from 380 nm to 1570 nm, at 10 different viewing angles and two polarization states, significantly improving the retrieval of aerosol properties, including particle size, shape, and composition (adapted from a preliminary assessment by the AOS; Knobelspiesse et al., personal communication, 2023). Compared to earlier instruments like POLDER onboard PARASOL, which measures polarized radiance at 3 to 7 spectral bands between approximately 443 nm and 910 nm and at 14 viewing angles, the AOS polarimeter offers several essential improvements. Its spectral coverage
 440 extends from UV to shortwave infrared (SWIR), improving sensitivity to aerosol composition and particle size, especially for larger particles and complex mixtures. The increased number of spectral channels enhances spectral resolution. It improves the discrimination of aerosol and cloud properties. While the AOS polarimeter was designed to typically utilize 10 viewing angles—slightly fewer than POLDER - it features a specialized 670 nm channel with 60 viewing angles. This high-density angular sampling, combined with the extended spectral range into the SWIR, is expected to provide a particularly
 445 detailed characterization of the scattering phase function and aerosol microphysical retrievals. Additionally, enhanced SNR boost retrieval accuracy, particularly under challenging atmospheric conditions. Together, these advancements enable more detailed and accurate characterization of aerosol optical and microphysical properties, as well as enhanced cloud characterization compared to POLDER.

450 **Table 4.** List of measured characteristics considered in LUCE and AOS polarimeter, currently decided by ASI and NASA.

LUCE multiwavelength lidar	
Measurements:-	
Attenuated Backscatter (βT^2) at 0.3548, 0.5322, and 1.0644 μm	
Volume Depolarization ratio (δ) at 0.3548 and 0.5322 μm	
Instrument specifications:	
- Average pulse energy: 157 mJ (0.3548 μm), 47 mJ (0.5322 μm), 144 mJ (1.0644 μm)	
- Telescope diameter: 1 m	
- Field of view: 30 μrad ; 8-22 m footprint at surface	
- Pulse repetition rate: 51 Hz (~140 m between shots)	
- Receiver sampling rate: 120 MHz	
- Detector channels: 8	
- Off-nadir pointing angle: 9.6°	
- Equatorial crossing time: 1:30 am/pm	
- Mean orbit altitude: 482 km (equator to pole)	
- Data volume: 3.7 Tbits/day	
Multi-angular polarimeter on AOS-Sky	
Measurements in up to 10 viewing directions (scattering angle from 80 to 180) and 8 spectral	

~~channels $\lambda_i = 360, 380, 410, 550, 670, 870, 1550, 1650$ nm;~~

~~I reflected-total radiances~~

Q component of the Stokes vector

U component of the Stokes vector

Up to 10 viewing directions (scattering angle from 80 to 180)

8 spectral channels $\lambda_i = 360, 380, 410, 550, 670, 870, 1550, 1650$ nm

Instrument specifications:

- Viewing geometry: 10 view angles (all channels except 670 nm), 60 view angles for 670 nm

- Radiometric uncertainty: 3%

- Polarimetric uncertainty (DoLP): requirement 0.005, achieved 0.003

~~-Nadir resolution: 0.5 km (requirement), 1 km~~

~~-Swath width: 300 km (requirement), 100 km~~

Geometric performance constraints:

- Nadir spatial resolution:

- Requirement (design threshold): 0.5 km

- Expected/actual performance: 1 km

- Swath width:

- Requirement (design threshold): 300 km

- Expected/actual performance: 100 km

2.2.6 Noise simulation for Lidar and polarimeter measurements

455 A realistic noise simulation is essential for evaluating the performance of retrieval algorithms under conditions that mimic actual observations. For the LUCE lidar system, noise is modeled by a theoretical estimation of the signal-to-noise ratio (SNR) in comparison with CALIOP, which is adjusted for environmental and instrumental factors. For the polarimeter, noise is introduced to the Stokes parameters I, Q, and U to simulate uncertainties in polarimetric measurements. This approach simulates noise variances encountered in advanced polarimeters, ensuring a satisfactory representation of instrument performance.

LUCE Lidar Noise

460 To simulate the noise characteristics of the LUCE lidar system, we implement a methodology based on theoretical relationships derived from prior studies and calibration datasets. This approach accounts for variations in SNR across different environmental conditions and wavelengths.

An approximate relationship between the SNR of LUCE and that of CALIOP (Cloud-Aerosol Lidar with Orthogonal Polarization) is established following (Trepte et al., personal communication, 2024), based on theoretical estimations accounting for background sunlight and sensor detection noises. Due to larger laser energy, a larger collection area, and a lower orbit, the SNR of LUCE is estimated to be 7.5 to 16.9 times larger than that of CALIOP at 532 nm, specifically for the LUCE channels at 355 nm and 532 nm. Additionally, we use an empirical relationship between CALIOP SNR for different values of attenuated backscatter coefficients taken from Mao et al. (2022). Using these two relationships, we derive LUCE's SNRs as a linear function of backscatter coefficients. We assume the same relationship for 532 nm as for 1064 nm; the specific SNR values change with the backscatter coefficient at each wavelength.

In this work, we use LUCE lidar measurements with an average horizontal resolution of ~ 3.4 km and a vertical resolution of ~ 200 m. To reduce the impact of random noise and ensure reliable performance of AEROCHEMPro, the lidar signals are averaged over a larger horizontal window of 50 km and a vertical window of 200 m. The corresponding noise standard deviations are calculated from the original signal-to-noise characteristics and reduced by dividing by the square root of the number of averaged points. Finally, random noise with these reduced standard deviations and zero mean is added to the noise-free signals computed by the radiative transfer model.

AOS-Sky Polarimeter Noise

The noise introduced in the polarimeter measurements is modeled to reflect the performance of an advanced multi-angle polarimeter design. For radiometric measurements, uncertainties are considered to be below 3%. In contrast, uncertainties in the Degree of Linear Polarization (DoLP) are estimated to be less than 0.005, based on preliminary assessments by the AOS team (Knobelspiesse et al., personal communication, 2023). To evaluate the inversion performance against these mission requirements within our self-consistent simulation framework, the synthetic error model applies a 3% relative noise floor to the total intensity (I) and an absolute noise floor of 0.005 directly to the linear polarized Stokes parameters (Q and U). This absolute configuration directly governs the target uncertainty of the mathematically derived DoLP. For bright viewing geometries where the normalized intensity baseline scales above unity ($I > 1$), the absolute contribution of the standalone polarimetric components is suppressed, yet the combined total error on DoLP remains robust due to the simultaneous propagation of the intensity noise. Conversely, under low-signal conditions where $I < 1$, the framework allows the simulated noise to dynamically exceed the 0.005 specification. This configuration provides a conservative signal-to-noise magnitude of the retrieval logic, guaranteeing that the framework evaluates and proves the stability of AEROCHEMPro under realistic instrument performance constraints. These uncertainties are then used to generate synthetic random noise to the measured Stokes parameters (I, Q, and U), which describe the intensity and polarization state of the incoming light. Therefore, a 3% synthetic noise is applied to the normalized radiance (I) measurements to account for instrumental and environmental variability. For the polarized radiance components (Q and U), a 0.5% synthetic noise is introduced to simulate uncertainties in polarization detection. This approach accounts for typical calibration limitations and atmospheric effects.

495 2.3 The AEROCHEMPro/GRASP retrieval algorithm

The AEROCHEMPro method retrieves vertical profiles of aerosol chemical species and their optical and microphysical properties by leveraging the synergy between lidar and polarimeter measurements, using the GRASP algorithm (Fig. 5). This method simultaneously fits five lidar profiles (three attenuated backscatter profiles at 355 nm, 532 nm, and 1064 nm, and two depolarization ratio profiles at 355 nm, 532 nm) and 240 polarimeter measurements (spanning eight wavelengths, 10 viewing angles, and radiance with two additional polarization states). This level 1 synergism enables the joint retrieval of three distinct vertical profiles composed by six aerosol chemical species and their water uptake: a fine mode (consisting of black carbon, brown carbon, inorganic salt, and water content), a dust mode (including hydrophobic non-spherical particles such as quartz and iron oxide), and a sea salt mode (comprising sea salt spherical particles and associated water content). The ensemble of aerosol properties retrieved by AEROCHEMPro, which are the same variables used in the forward model to simulate measurements, is presented in Table 5. It is important to note that the forward model used to generate these pseudo-observations and the inversion framework are fully consistent; this setup establishes the theoretical baseline performance of AEROCHEMPro before introducing the complexities of real-world instrument-to-model mismatches. Thus, the current results correspond to a best-case scenario. Assuming a common normalized vertical distribution for chemical species within each mode (thus vertically constant mixing ratios), the vertical profiles of six chemical species and water uptake in fine and sea salt modes (8 profiles in total) are derived by multiplying the fractional contribution of each species by the mode's vertical profile. Additionally, bulk optical and microphysical properties of the aerosol mixture are computed using the optical properties associated with each species. By integrating multi-wavelength, multi-angular, and polarized measurements using the GRASP algorithm, the AEROCHEMPro method enables advanced aerosol characterization, including the retrieval of vertical profiles of volume fraction, aerosol optical depth, and single-scattering albedo.

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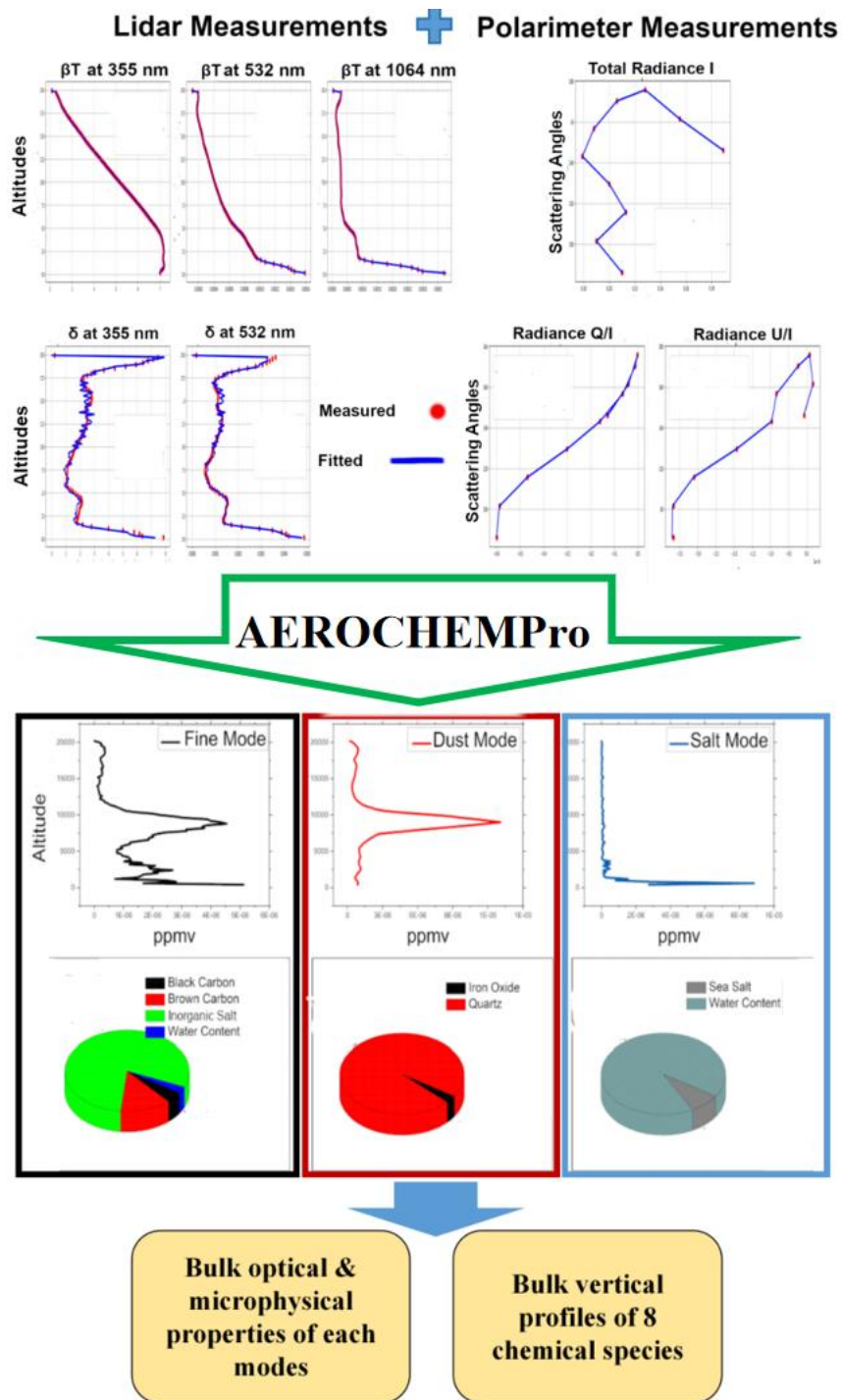


Figure 5. Scheme of AEROCHEMPro retrieval method based on GRASP algorithm for deriving vertical profiles of aerosol species optical and microphysical properties using synergy of LUCE lidar-AOS Polarimeter.

2.3.1 The inversion procedure

520 The numerical inversion is implemented as a statistically optimized fit of observations using the multi-term least-squares method (LSM), which combines the advantages of various approaches (Dubovik et al., 2011, 2021). This strategy searches for a solution in a continuous space of solutions under statistically formulated criteria, optimizing the distribution of errors in the retrieved parameters, and it does not rely on pre-assumed potential solutions.

525 **Table 5.** List of measured characteristics considered in LUCE and AOS polarimeter currently decided by ASI and NASA.

Retrieved characteristic
<u>Aerosol properties:</u>
$\text{Prof}_{\text{mode}}$ normalized vertical profile of each aerosol mode (m^{-1})
$\text{C}_{\text{vol,mode}}$ total volume concentrations of each aerosol mode ($\mu\text{m}^3 \mu\text{m}^{-2}$)
$\text{C}_{\text{vol, size}}$ (size = 1, . . . , 7) volume mixing ratios for each size bin normalized to the corresponding $\text{C}_{\text{vol,mode}}$ where size = 1, . . . , 3 is for the fine mode, size = 4,5 to dust and size = 6,7 to sea salt
C_{sph} fraction of spherical particles for dust mode (non-spherical coarse)
Frac^{F}_i ($i = 1, \dots, N_{\text{f}}=4$) fraction of the chemical species i in the fine mode
Frac^{D}_i ($i = 1, \dots, N_{\text{d}}=2$) the fraction of the chemical species i in the dust mode
$\text{Frac}^{\text{SS}}_i$ ($i = 1, \dots, N_{\text{s}}=2$) the fraction of the chemical species i in the sea salt mode
<u>Surface reflection parameters:</u>
<u>Ross–Li model parameters:</u>
$k_{\text{iso}}(\lambda_i)$ ($i = 1, \dots, N_{\lambda} = 8$) isotropic Ross–Li model parameter (parameter characterizing spectral isotropic surface reflectance)
k_{vol} volumetric Ross–Li model parameter (parameter characterizing anisotropy of reflectance due to canopy volume scattering)
k_{geom} geometric Ross–Li model parameter (parameter characterizing anisotropy of reflectance due to geometric structure and shadowing)
<u>Maignan et al. (2009) model:</u>
$B(\lambda_i)$ ($i = 1, \dots, N_{\lambda} = 8$) free parameter (represents Fresnel-based reflection matrix scaling factor)
<u>Cox–Munk model parameters:</u>
$M_{\text{iso}}(\lambda_i)$ ($i = 1, \dots, N_{\lambda} = 8$) Cox–Munk BRDF isotropic surface albedo of the water body (represents the slope variance linked to wind speed)
M_{fres} Cox–Munk BRDF Fresnel reflection fraction (represents the specular reflection component due to the Fresnel term)
M_{s} Cox–Munk BRDF mean-square slope/foam fraction (represents the foam (whitecap) fraction and

To achieve stable inversion, the numerical inversion module for single pixel fitting follows:

$$\begin{cases} \mathbf{f}_n^* = \mathbf{f}_n(\mathbf{a}) + \Delta \mathbf{f}_n \\ \mathbf{0}_i^* = \mathbf{S}_n \mathbf{a}_i + \Delta(\Delta \mathbf{a}_i) \end{cases} \quad (10)$$

530

where $\mathbf{a}$ is called the state vector, $\mathbf{f}_n^*$ is the LUCE and AOS polarimeter observation vector, which includes attenuated backscatter and depolarization values at 3 and 2 wavelengths, respectively, in addition to polarimeter radiance and polarization states at eight different wavelengths, $\mathbf{f}_n(\mathbf{a})$ is representing the simulated observations by the forward model, and $\Delta \mathbf{f}_n$ depicts the uncertainty of the observations.

535 In the case of AEROCHEMPro/GRASP single pixel retrieval, the retrieved state vector $\mathbf{a}$ is composed as follows:

$$\mathbf{a}^T = (\mathbf{a}_V, \mathbf{a}_{frac}, \mathbf{a}_{prof}, \mathbf{a}_{sph}, \mathbf{a}_{brdf}, \mathbf{a}_{bpdf}, \mathbf{a}_{cox})^T \quad (11)$$

where $\mathbf{a}_V, \mathbf{a}_{frac}, \mathbf{a}_{prof}, \mathbf{a}_{sph}$ have the following structure,

$$\mathbf{a}_{...} = (\mathbf{a}_{...}^F, \mathbf{a}_{...}^D, \mathbf{a}_{...}^{SS}) \quad (11a)$$

540

and $\mathbf{a}_{brdf}, \mathbf{a}_{cox}$,

$$\mathbf{a}_{brdf} = (\mathbf{a}_{iso}, \mathbf{a}_{vol}, \mathbf{a}_{geo}), \mathbf{a}_{cox} = (\mathbf{a}_{iso}, \mathbf{a}_{fr}, \mathbf{a}_s) \quad (11b)$$

where F, D, and SS denote the vectors corresponding to the fine, dust, and sea salt modes.

where the constituents of the unknown vectors $\mathbf{a}$ correspond to:

545

- $\mathbf{a}_{V(i)}$ denotes the aerosol size distribution (expressed as the normalized abundance of 7 size bins associated with log normal functions $dV(r)/d\ln r$ with fixed modal radii and widths, see Sect. 2.2.2) in 3 modes (fine, dust, sea salt);
- $\mathbf{a}_{Frac,F}, \mathbf{a}_{Frac,D}, \mathbf{a}_{Frac,SS}$ are the volume fractions of chemical species in each mode;
- $\mathbf{a}_{sph,F}, \mathbf{a}_{sph,D}, \mathbf{a}_{sph,SS}$ contains particle sphericity fractions of fine, dust, and sea-salt modes;
- 550 - $\mathbf{a}_{prof,F}, \mathbf{a}_{prof,D}, \mathbf{a}_{prof,SS}$ represent vertical profiles of the fine, dust, and sea-salt modes;
- $\mathbf{a}_{brdf, i}$ correspond to surface bidirectional reflectance (Ross–Li model);
- $\mathbf{a}_{bpdf}$ correspond to Polarized bidirectional reflectance over land (Maignan–Breon model);
- $\mathbf{a}_{cox, i}$ correspond to water surface bidirectional reflectance (Cox–Munk model).

555

The second part of Eq. (10) describes the single-pixel a priori smoothness constraints applied to the inversion, where the $\mathbf{0}_i^*$ denotes the zero vector, $\Delta(\Delta \mathbf{a}_i)$ stands for the vector of the uncertainties characterizing the deviations finite differences

from the zeros, and $\mathbf{S}$ is the matrix that includes the coefficients for calculating differences of m-th order (numerical equivalent of the derivatives of the same order). Smoothness matrix for a state vector described in Eq. (12) will have the following array structure (Dubovik et al., 2011, 2021):

$$\mathbf{S}_a = \begin{pmatrix} \mathbf{S}_V & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & \mathbf{S}_{brdf} & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & \mathbf{S}_{bpdf} & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & \mathbf{S}_{cox} \end{pmatrix} \begin{pmatrix} \mathbf{a}_V \\ \mathbf{a}_{frac} \\ \mathbf{a}_{prof} \\ \mathbf{a}_{sph} \\ \mathbf{a}_{brdf} \\ \mathbf{a}_{bpdf} \\ \mathbf{a}_{cox} \end{pmatrix} \quad (12)$$

where

$$\mathbf{S}_V = \begin{pmatrix} \mathbf{S}_V^F & \mathbf{0} & \mathbf{0} \\ \mathbf{0} & \mathbf{S}_V^D & \mathbf{0} \\ \mathbf{0} & \mathbf{0} & \mathbf{S}_V^{SS} \end{pmatrix} \quad (12a)$$

$$\mathbf{S}_{brdf} = \begin{pmatrix} \mathbf{S}_{brdf}^{iso} & \mathbf{0} & \mathbf{0} \\ \mathbf{0} & \mathbf{0} & \mathbf{0} \\ \mathbf{0} & \mathbf{0} & \mathbf{0} \end{pmatrix} \quad (12b)$$

$$\mathbf{S}_{bpdf} = \begin{pmatrix} \mathbf{S}_{bpdf}^{iso} & \mathbf{0} & \mathbf{0} \\ \mathbf{0} & \mathbf{0} & \mathbf{0} \\ \mathbf{0} & \mathbf{0} & \mathbf{0} \end{pmatrix} \quad (12c)$$

$$\mathbf{S}_{cox} = \begin{pmatrix} \mathbf{S}_{cox}^{iso} & \mathbf{0} & \mathbf{0} \\ \mathbf{0} & \mathbf{0} & \mathbf{0} \\ \mathbf{0} & \mathbf{0} & \mathbf{0} \end{pmatrix} \quad (12d)$$

In Eq. (12), several blocks of the smoothness matrix $\mathbf{S}_a$ correspond to matrices that apply smoothness constraints to specific groups of variables.

- $\mathbf{a}_{frac}, \mathbf{a}_{prof}, \mathbf{a}_{sph}$ rows remain zero, as vertical profiles, species volume fractions, and sphericity are unconstrained, resulting in zeros in the corresponding rows of $\mathbf{S}_a$;
- $\mathbf{S}_V$ is a matrix enforcing smoothness across seven size bins of three aerosol modes (fine-mode: second-order; dust & sea-salt: first-order);
- $\mathbf{S}_{brdf, iso}$, $\mathbf{S}_{bpdf, iso}$, and $\mathbf{S}_{cox, iso}$ are matrices for surface reflectance parameters regularized using first-order smoothness to maintain stable retrievals while avoiding unrealistic variability.

All details regarding the construction, parameterization, and smoothness orders of these blocks are summarized in Table 6 and described in detail in Dubovik et al. (2011, 2021). The errors $\Delta(\Delta a)$ are independent for each component of the vector $(\Delta a)^*$, and the smoothness matrix is expressed:

$$\gamma_{\Delta} \Omega = \begin{pmatrix} \gamma_{\Delta} \Omega_1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & \gamma_{\Delta} \Omega_6 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & \gamma_{\Delta} \Omega_7 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & \gamma_{\Delta} \Omega_8 & 0 \end{pmatrix} \begin{pmatrix} a_v \\ a_{\text{frac}} \\ a_{\text{prof}} \\ a_{\text{sph}} \\ a_{\text{brdf,iso}} \\ a_{\text{bpdf,iso}} \\ a_{\text{cox,iso}} \end{pmatrix} \quad (13)$$

where $\Omega_i = S_i^T W_i^{-1} S_i$ uses the derivative matrices S_i ($i = 1, \dots, 4$), a_v , $a_{\text{brdf,iso}}$, $a_{\text{bpdf,iso}}$, $a_{\text{cox,iso}}$.

585 By including the noise (explained in sect. 2.2.6) for LUCE lidar and AOS polarimeter, the optimum solution considering the minimal error variance is obtained by minimizing the following cost function in Eq. (14):

$$\Psi_i(a_i) = \left(f_i^{\text{obs}} - f_i^{\text{sim}}(a_i) \right)^T C_i^{-1} \left(f_i^{\text{obs}} - f_i^{\text{sim}}(a_i) \right) + \sum_{k=1}^9 \gamma_k \left(\mathbf{a}_{h,i} \right)^T \mathbf{S}_{h,k}^T \mathbf{W}_{h,k}^{-1} \mathbf{S}_{h,k} \mathbf{a}_{h,k} = (\Delta \mathbf{f}_i)^T \mathbf{W}_i^{-1} (\Delta \mathbf{f}_i) + \sum_{k=1}^9 \gamma_k \left(\mathbf{a}_{h,k} \right)^T \Omega_{h,k} \mathbf{a}_{h,k} \quad (14)$$

590

where f_i^{obs} contains the observed lidar and polarimeter signals of i^{th} pixel. γ_k are Lagrange multipliers controlling smoothness, and $\mathbf{W}_k$ and Ω_k is the weighting function and the smoothness matrices, respectively (Dubovik et al., 2011, 2021). The minimization of Eq. (14) is performed using the Levenberg-Marquardt algorithm with a logarithmic convention to ensure the physical positivity of retrieved quantities. To ensure a robust global minimum is reached and to completely
 595 avoid dependence on the initial state, the state vector is initialized globally using a generic, spatially uniform 'cold-start' configuration. This includes fixed initial chemical species volume fractions and a flat vertical profile distribution across all layers as detailed in Table 6, ensuring the initialization is sufficiently generic and does not favor specific solutions. We apply a stringent convergence threshold of 1.0×10^{-9} for the relative change in the cost function, with a maximum of 15 iterations per pixel. Furthermore, the Jacobian matrix is computed with a finite difference scale of
 600 5.0×10^{-5} to precisely capture the sensitivities between the aerosol species concentrations and the multifaceted observations.

The AEROCHEMPro/GRASP simultaneously retrieves multiple groups of variables—including aerosol size distribution, chemical composition, vertical profiles, particle sphericity, and surface reflectance—using physically consistent first guesses,
 605 bounds, and smoothness constraints. The main retrieval settings, including initialization values, bounds, and smoothness orders, are summarized in Table 6.

Table 6. Summary of AEROCHEMPro/GRASP Single-Pixel Retrieval Parameters, Initialization (first guess), Bounds, and Smoothness Regularization.

State Vector Variable	Physical Meaning	<u>Initialization (first guess) & Bounds</u>	Smoothness Regularization (Order of finite difference & Lagrange parameter (γ))	Notes
$C_{vol,size}$	Normalized concentrations of size bins corresponding to the aerosol size distributions ($dV(r)/d\ln r$ in 3 modes, 7 bins)	<u>Init: (volume fraction per bin): fine mode = (0.015, 0.07, 0.015); dust (coarse) mode = (0.02, 0.08); sea-salt (coarse) mode = (0.02, 0.08). Bounds for each bin: 5×10^{-6} — 5.0.</u>	Fine mode: 2 nd order, $\gamma = 5 \times 10^{-3}$ Dust & Sea salt mode: 1 st order, $\gamma = 5 \times 10^{-5}$	Fixed radius & σ_g per bin (Sec. 2.1.6)
$Frac_i^F$ $Frac_i^D$ $Frac_i^{SS}$	Aerosol chemical composition (volume fractions of 8 chemical species per mode)	<u>Init: 0.01–0.4; Bounds: 10^{-6} – 0.95</u>	No constraint ($S=0$)	Retrieves $Frac_i$ instead of the refractive index.
$Prof_{mode}$	Vertical distribution – 3 modes	<u>Uniform init: 0.01 (100 layers)</u>	No constraint ($S=0$)	Prevents sharp, unrealistic gradients.
C_{sph}	Particle sphericity fraction	<u>Init: 0.999 (fine, sea salt); 0.01 (dust)</u>	No constraint ($S=0$)	Aerosol mode shape
BRDF	Land surface reflectance modeled with Ross–Li BRDF kernels (isotropic + volumetric + geometric terms)	<u>Parameter 1: value=[0.1]; min=[0.001]; max=[1.2] (λ_1– λ_8) Parameter 2: value=[0.1]; min=[0.01]; max=[2.0] Parameter 3: value=[0.1]; min=[0.01]; max=[1.0]</u>	Parameter 1: 1st-order diff., $\gamma=1.0 \times 10^{-4}$ Parameter 2–3: 0th-order diff., $\gamma=0.0$	Core BRDF model for land; parameter 1 describing isotropic reflection is spectrally dependent, 2–3 volumetric and geometric are wavelength- independent
BPDF	Polarized bidirectional reflectance over land using Maignan–Breon	<u>value=[2.1]; min=[0.01]; max=[15.03] (λ_1–λ_8)</u>	Parameter 1: 1st-order diff., $\gamma=1.0 \times 10^1$	Polarized land surface reflectance term

	formulation			
COX	Water surface	<u>Parameter 1: value=[0.01–</u>	Parameter 1: 1st-order diff.,	Parameter 1
	bidirectional reflectance	<u>0.005]; min=[0.0001];</u>	$\gamma=1.0\times 10^{-3}$	controls slope
	using Cox–Munk	<u>max=[0.05] ($\lambda_1-\lambda_8$)</u>	Parameter 2–3: 0th-order	variance (wind
	isotropic model	<u>Parameter 2: value=[0.9];</u>	diff., $\gamma=0.0$	speed), parameter 2
		<u>min=[0.8]; max=[1.0]</u>		Fresnel term,
		<u>Parameter 3: value=[0.01];</u>		parameter 3 foam
		<u>min=[0.0015]; max=[0.1]</u>		fraction

610

2.3 Performance indicators for AEROCHEMPro

Within the AOS full retrieval experiment, we compare the aerosol properties retrieved by AEROCHEMPro with those of the pseudo-reality. This comparison assesses how well the retrievals match the pseudo-reality, helping validate the retrieval process using lidar and polarimeter measurements. The comparison uses three statistical indicators:

615 Correlation coefficient (R) – measures the strength of the linear relationship between AEROCHEMPro outputs and the pseudo-reality:

$$R = \frac{\sum_{i=1}^N (x_{i,AEROCHEMPro} - \bar{x}_{AEROCHEMPro})(y_{i,Pseudo-reality} - \bar{y}_{Pseudo-reality})}{\sqrt{\sum_{i=1}^N (x_{i,AEROCHEMPro} - \bar{x}_{AEROCHEMPro})^2 \sum_{i=1}^N (y_{i,Pseudo-reality} - \bar{y}_{Pseudo-reality})^2}} \quad (15)$$

Mean Bias Error (MBE) – represents the average signed difference between retrieval and pseudo-reality:

$$620 \quad MBE = \frac{1}{N} \sum_{i=1}^N (X_{i,AEROCHEMPro} - X_{i,Pseudo-reality}) \quad (16)$$

A positive MBE indicates overestimation by AEROCHEMPro, while a negative value suggests underestimation.

Root Mean Square Error (RMSE) – measures the overall accuracy by combining both bias and random error:

$$RMSE = \sqrt{\frac{\sum_{i=1}^N (X_{i,AEROCHEMPro} - X_{i,Pseudo-reality})^2}{N}} \quad (17)$$

625

3 Results and Discussion

This section presents a comprehensive analysis of the retrieval performance of AEROCHEMPro compared against the pseudo-reality. The simulated measurements include realistic noise added to mimic instrument uncertainties, providing a

630 more representative test of the retrieval algorithm. First, the analysis focuses on a case study that evaluates the retrieval results in detail, highlighting strengths and limitations. It is followed by a broader statistical comparison across multiple transects covering the whole globe to generalize findings, estimate the AEROCHEMPro precision, and identify patterns in retrieval accuracy.

3.1. Case Study

3.1.1. Fitting of lidar and polarimeter pseudo-observations

635 Figures 6 and 7 present a comparison between Level-1 synthetic measurements obtained from the pseudo-reality and the lidar profiles, along with polarimeter radiances fitted by AEROCHEMPro for the selected case study. They are both derived from the forward model (Dubovik et al., 2021); the first case corresponds to the aerosol concentration profiles from the pseudo-reality (sect. 2.1), and the second to those retrieved by the AEROCHEMPro inversion scheme. The results demonstrate that the AEROCHEMPro method accurately reproduces both lidar and polarimeter measurements
640 simultaneously by adjusting the ~~aerosol~~ concentration profiles of ~~8-species~~3 aerosol modes.

Figure 6 compares measured and fitted profiles of attenuated backscatter at 355 nm, 532 nm, and 1064 nm, as well as ~~partiele-volume~~ depolarization at 355 nm and 532 nm, along a transect that covers several regions from the Indian Ocean to Greenland. Averaging over 50 km horizontally and 200 m vertically introduces random noise in the synthetic signals, reaching up to 8 % for attenuated backscatter and ranging between 10 and 20 % for depolarization. The AEROCHEMPro
645 method captures the spatial variability and vertical structures seen in the observed profiles. Key properties, such as elevated aerosol layers and high depolarization ratios, are accurately depicted, indicating the presence of non-spherical particles such as dust. The method can reproduce lidar signals under various atmospheric conditions, as demonstrated by a good match between the fitted and measured attenuated backscatter profiles at all wavelengths. Any minor differences are likely due to instrumental noise or assumptions in the retrieval process, but they are acceptable for practical use.

650

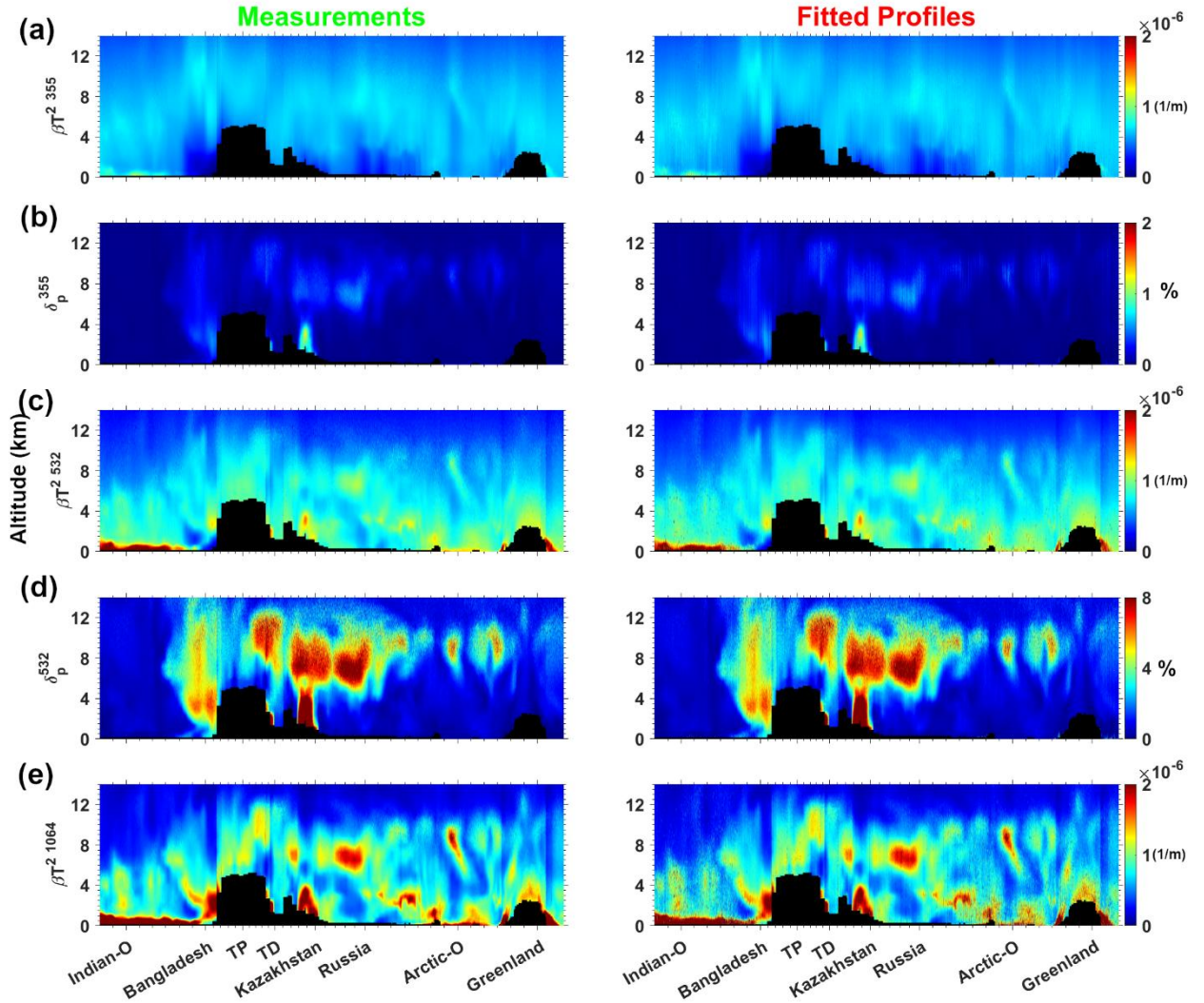
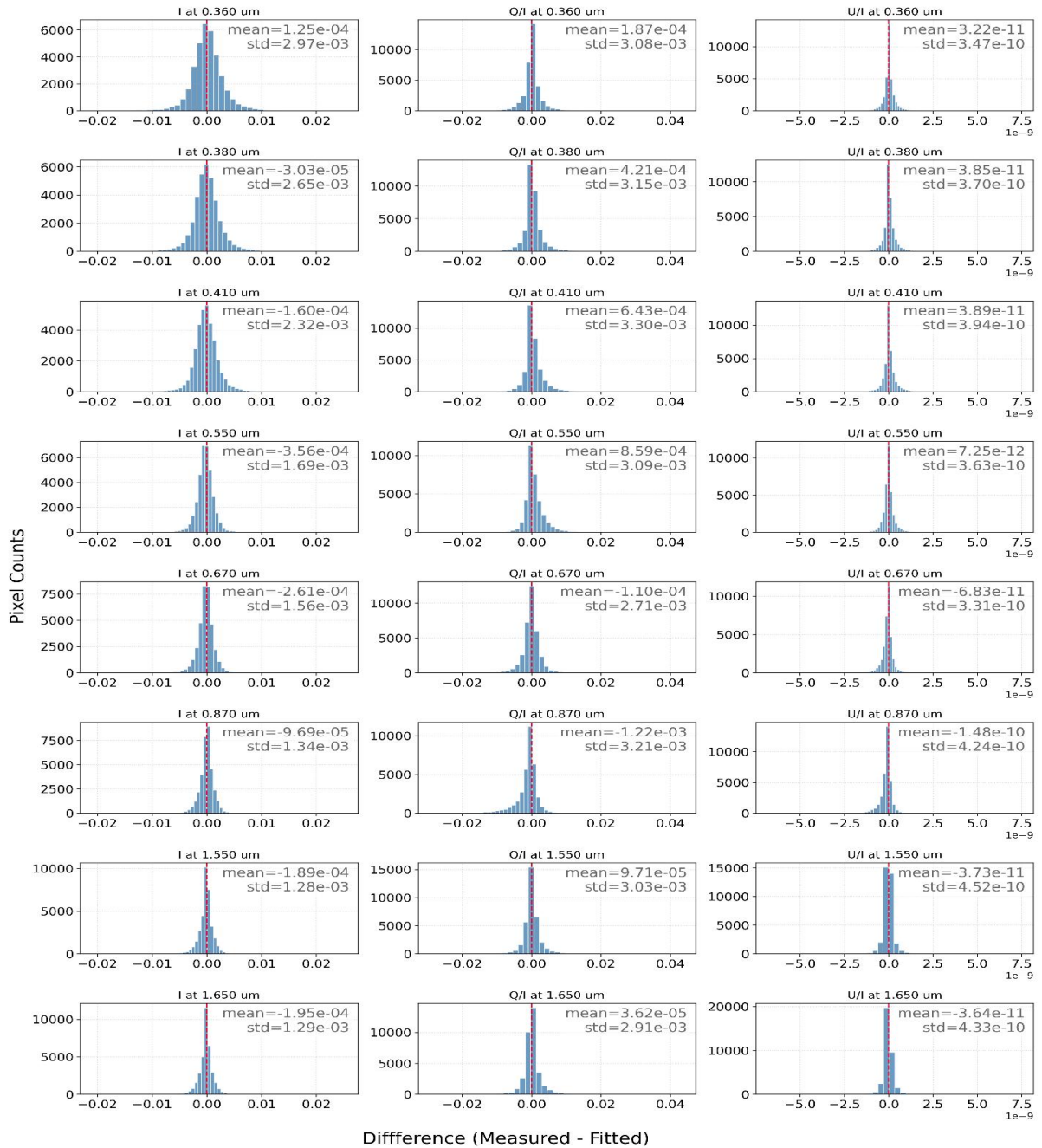


Figure 6. Comparison of Lidar pseudo-observations (left) and fitted profiles (right): Attenuated Backscatter (β_T) at (a) 355 nm, (c) at 532 nm, and (e) at 1064 nm, and **Particle-Volume** Depolarization (δ) at (b) 355 nm, (d) 532 nm across the selected transect. (The black shading area indicates the elevation.)

Figure 7 illustrates the agreement between measured and fitted polarimeter observations for all pixels and spectral channels across the selected transect, providing a direct verification of how the residuals relate to the injected noise levels. For the intensity component (I), the mean biases are negligible, with normalized absolute values ranging from 3.03×10^{-5} to 3.56×10^{-4} , and standard deviations ranging between 1.28×10^{-3} and 2.97×10^{-3} . These standard deviations align with the relative 3% noise floor applied to the typical fractional normalized radiance levels of the scene. The normalized polarization residuals (Q/I) show minimal mean biases ranging from 3.62×10^{-5} to 1.22×10^{-3} , with standard deviations remaining stable across wavelengths, hovering around 0.003 (from 2.71×10^{-3} to 3.30×10^{-3}). This specification falls within the absolute noise

floor of 0.005 assigned to the underlying Stokes parameters for the AOS polarimeter. As the simulations are performed in the principal plane, the U component is excluded from the analysis, as it does not carry independent information in this geometry. The consistency of the method is confirmed by the absence of systematic biases across the eight spectral bands. Figure 7 shows how measured and fitted polarimeter radiances match for all pixels along the transect and across eight spectral bands. The residual histograms show that AEROCHEMPro/GRASP matches polarimeter observations well at all wavelengths. The intensity component (I) has minimal mean biases, with absolute values ranging from 3.03×10^{-5} to $3.56 \times 10^{-4} \text{ W} \cdot \text{m}^{-2} \cdot \text{sr}^{-1}$, and standard deviations between 1.28×10^{-3} and $2.97 \times 10^{-3} \text{ W} \cdot \text{m}^{-2} \cdot \text{sr}^{-1}$. We obtain the following normalized polarization residuals (dimensionless): Q/I mean biases range from 3.62×10^{-5} to 1.22×10^{-3} , while U/I residuals are negligible with mean values between 10^{-12} and 10^{-10} , confirming precise modeling of the polarization angle across all eight spectral bands. The consistency of the method is confirmed, where no systematic *biases* across wavelengths were observed.



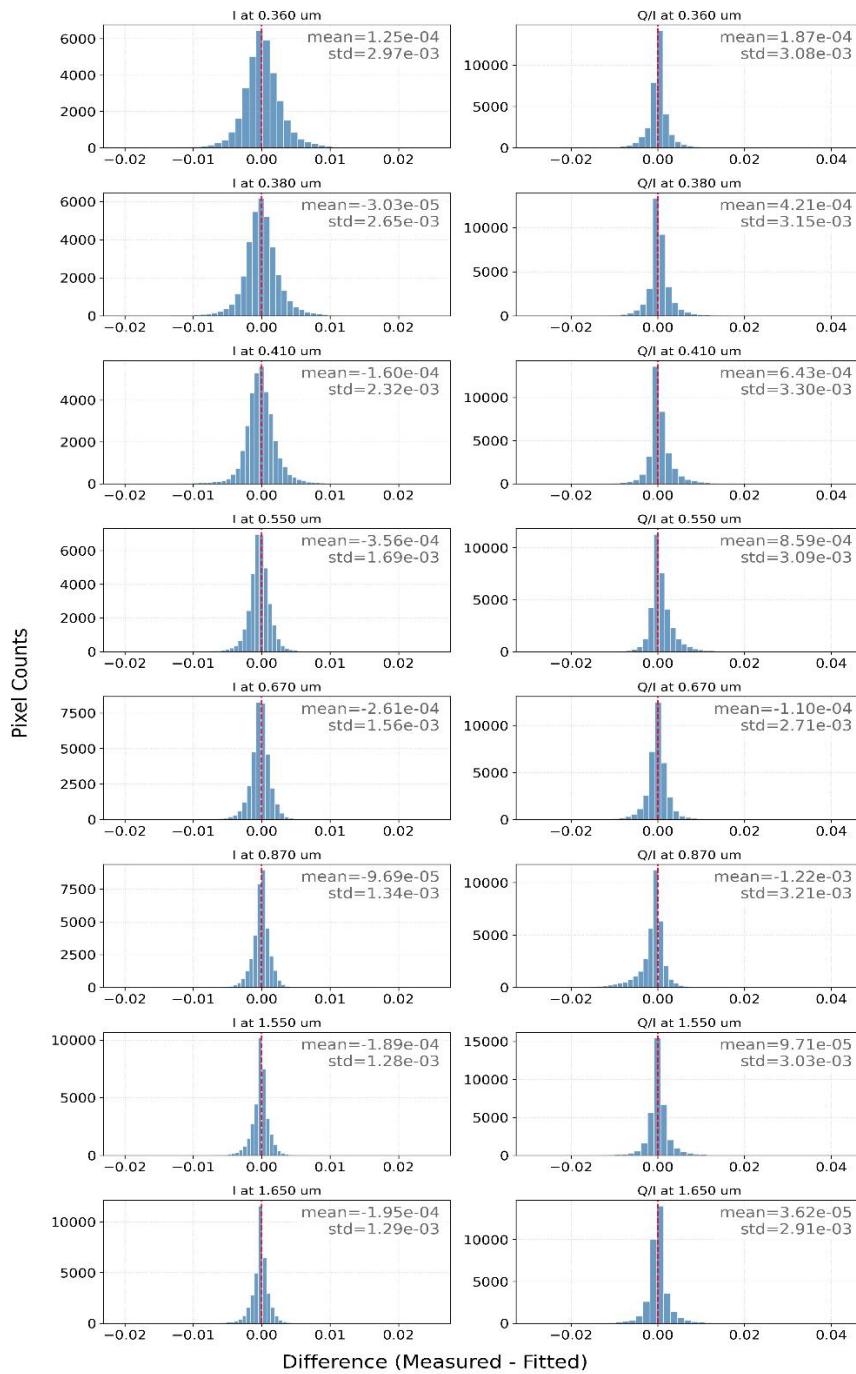


Figure 7. Histograms of Residuals (Measured – Fitted) for AOS polarimeter observations in 8 wavelengths across all scattering angles and pixels of the selected transect.

3.1.2. Vertical aerosol concentration profiles and total column chemical species concentrations

680 This sub-section presents a point-by-point comparison between AEROCHEMPro retrievals of vertical aerosol volume mixing ratio distributions by mode and total column volume concentrations of each chemical species, as directly derived within the state vector adjusted through iterations, relative to the pseudo-reality as depicted in Fig. 8.

The profiles are organized into three aerosol modes: fine, dust, and sea salt. The fine-mode vertical profiles demonstrate the predominance of inorganic salt, followed by brown carbon, particularly over Bangladesh and eastern Kazakhstan. This 685 distribution is attributed to anthropogenic emissions and transported pollution. The retrieval accurately represents the overall structure of the fine aerosol volume mixing ratio profile, including the vertical extent of the boundary layer. However, it slightly overestimates the volume mixing ratios at the surface, especially over Bangladesh and Greenland near the land surface, with a bias of roughly 2×10^{-5} , likely resulting from vertical smoothing associated with lidar–polarimeter synergy.

The total column volume concentrations of black carbon, brown carbon, and inorganic salt correspond closely with the 690 pseudo-reality, indicating reliable retrieval of fine-mode species contributions. At the peak of the pseudo-reality concentration along the transect, ~~shows that~~ inorganic salt and water content are retrieved with relative errors of 21.2% and 25.6%, respectively, above Bangladesh. The absolute overestimation of inorganic salt ($+0.018 \text{ um}^3/\text{um}^2$) is largely balanced by the underestimation of water content ($-0.018 \text{ um}^3/\text{um}^2$), indicating that while a minor partitioning offset sometimes exists between these two hygroscopic species, the total fine-mode volume is recovered with high accuracy. In the dust mode, the 695 pseudo-reality shows significant dust activity across Bangladesh, the TP, and the TD, with enhanced iron oxide and quartz mixing ratios reaching up to around 12 km of altitude and extending into Kazakhstan and Russia at higher levels. The lofted dust layers span vertically from approximately 4 to 10 km, suggesting long-range transport. The retrieval captures the principal features of the dust plume, particularly its vertical extent and surface-level volume mixing ratio. The remarkable consistency between retrieved and pseudo-reality total column species volume concentrations for iron oxide and quartz 700 validates the retrieval's ability to observe dust particles. Sea salt is primarily found at the surface, reflecting emissions from marine environments. Both pseudo-reality and retrieval show consistent patterns, with high sea salt volume mixing ratios limited to the boundary layer. A slight underestimation of sea salt mixing ratio off the coast of Bangladesh is observed, corresponding to background sea salt transported inland above this region, revealing very small biases under complex aerosol mixing conditions, such as with fine particles. Generally, the retrieval accurately resolves the horizontal distribution and total column concentrations of sea salt in most locations, indicating that it is appropriate for characterizing marine 705 aerosol contributions.

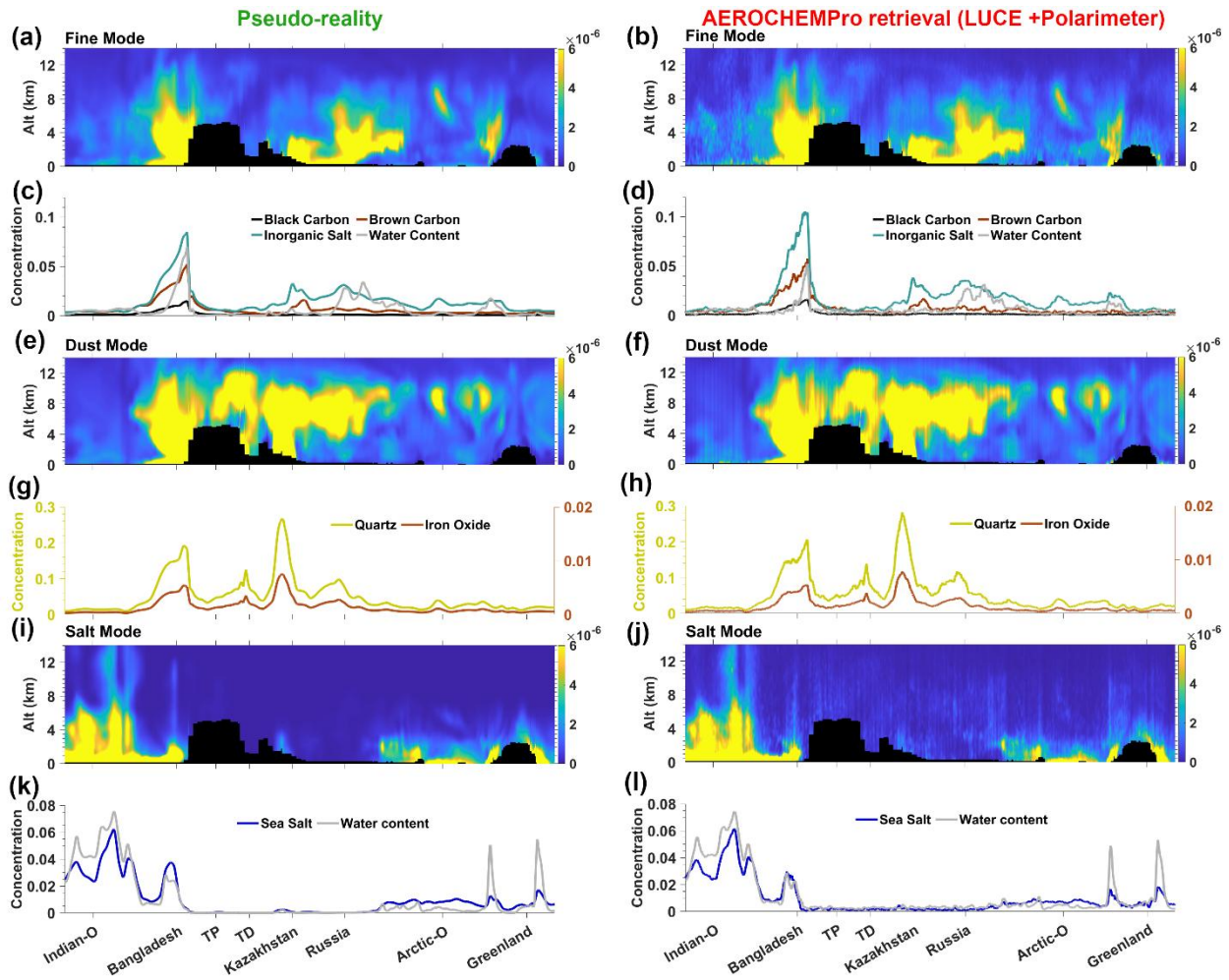


Figure 8. Comparison of vertical profiles for aerosol mode volume mixing ratio in ppmV (shaded) and total column volume concentrations in um^3/um^2 (line) along the transect: left - pseudo reality, right – retrieved. (The black shading area indicates the elevation.)

3.1.3. Aerosol optical properties

Figure 9 compares the performance of AEROCHEMPro in retrieving essential aerosol properties, including aerosol optical depth (AOD), surface mixing ratio, total-column single scattering albedo (SSA), and total-column lidar ratio (LR) at 532 nm, differentiated by aerosol mode. For AOD and C_{surf} (Figs. 9a–d), the contributions of the fine, dust, and sea salt modes are presented as stacked area plots. In regions where a single mode dominates (such as the high AOD dust events), the areas for the remaining modes may appear negligible or invisible near the baseline, rather than being hidden by overlap. The figure shows a direct comparison between the retrieval results with the pseudo-reality dataset.

AEROCHEMPro-derived modal AODs exhibit a clear agreement in terms of patterns and absolute values with respect to
 pseudo-reality for all modes (Figs. 9a and b). The retrieval effectively captures fine-mode AOD peak amplitudes and
 occurrences, notably over Bangladesh, where anthropogenic and secondary aerosols are common. The method also
 reproduces high AOD values associated with dust plumes transported over Bangladesh. Sea salt retrievals correspond closely
 to the pseudo-reality over the Indian Ocean. AEROCHEMPro reproduces the expected spatial distribution of AOD at 532
nm across major aerosol species. Combustion-related species dominate over anthropogenic source regions, while mineral
dust components are enhanced in arid regions such as Central Asia, and marine aerosols are prevalent over oceanic areas.
Detailed species-resolved distributions are provided in Fig. S1 of the Supplement. A detailed comparison of individual
chemical species' AOD at 532 nm (provided in Fig. S1 of the Supplement) further demonstrates the method's sensitivity.
Black carbon (BC) and brown carbon (BrC) show prominent peaks over Bangladesh, matching the pseudo-reality in both
magnitude and structure. While inorganic salt (IS) shows broader enhancements into Central Asia, a high spatial correlation
exists between BC, BrC, and IS AODs due to common combustion sources. This validates the retrieval's use of a unified
fine-mode vertical distribution. Furthermore, AEROCHEMPro effectively captures the predominance of iron oxide (IO) and
quartz (Qz) in dust-active regions like the Taklamakan Desert, as well as sea salt (SS) and coarse water (WC_c) signatures in
maritime environments.

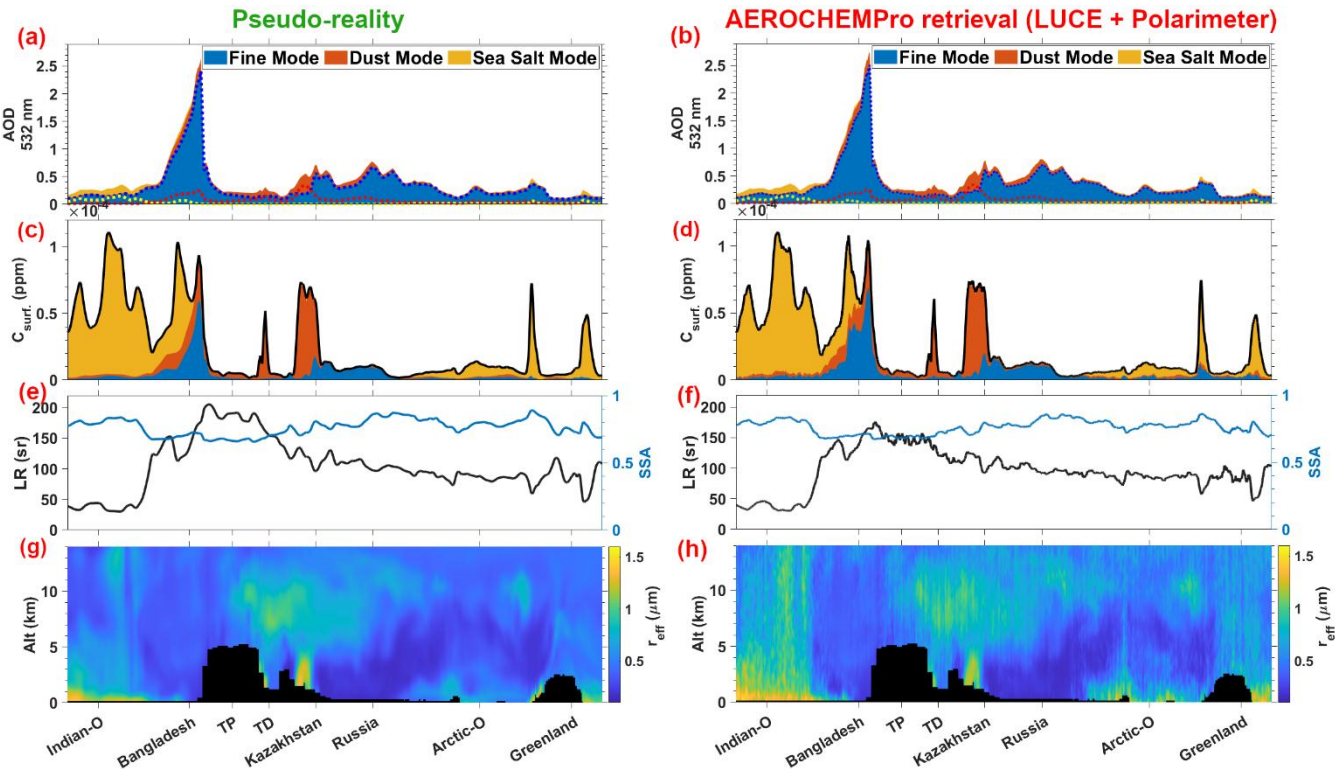


Figure 9. Comparison of **(a)** and **(b)** aerosol optical depth (AOD), **(c)** and **(d)** Surface mixing ratio (C_{surf}) in ppmV, **(e)** and **(f)** total-column SSA and LR at 532 nm and **(g)** and **(h)** total aerosol effective radius (r_{eff}) in μm , from top to bottom, respectively: Pseudo-reality left and retrievals right along the transect for fine mode, dust mode, and sea salt mode. For AOD and C_{surf} **(a)** to **(d)**, stacked area plots represent the cumulative contribution of the fine, dust, and sea-salt modes. In the AOD plots **(a)** and **(b)**, dashed lines indicate the absolute values for each mode: blue for fine mode, red for dust mode, and yellow for sea-salt mode. (The black shading area indicates the elevation.)

Comparisons of surface mixing ratios (C_{surf}) in Figs. 9c and d further demonstrate the good retrieval's ability to quantitatively capture the spatial distribution of multiple species of aerosols. The method accurately derives enhanced surface volume mixing ratios for fine and dust modes near source regions. Still, it slightly overestimates them in Bangladesh, whereas the sea salt C_{surf} retrieval is clearly consistent with the pseudo-reality.

Figure 9e and f show the total-column single scattering albedo (SSA) retrievals that closely match the pseudo-reality dataset, reflecting variations in aerosol absorption properties over the transect. The total-column Lidar-lidar ratio (LR) comparisons highlight the retrieval's ability to discern aerosol scattering and absorption features. Here, 'total-column' SSA and LR refer to bulk optical properties derived from column-integrated scattering, extinction, and backscatter coefficients at 532 nm.

Retrievals are consistent with pseudo-reality values in most locations. Still, a moderate underestimation of about 20 to 30 sr is detected stretching from the Tibetan Plateau to the Taklamakan Desert, which could imply some difficulties in distinguishing the scattering properties of coarse, elevated dust particles.

Vertical profiles of aerosol effective radius (Figs. 9g and h) display substantial spatial and vertical variability along the transect. Over the Indian Ocean, the effective radius increases near the sea surface, indicating that sea salt particles dominate at lower altitudes. The Tibetan Plateau shows a transition to larger coarse-mode particles, most likely transported dust. The profile of r_{eff} over Kazakhstan indicates a complex structure, with low-altitude layers denoting contributions from dust and mixed aerosol particles. This shows that the retrieval method generally aligns very well with the pseudo-reality dataset. However, there are minor overestimations of sea salt particle size over the Indian Ocean, at higher altitudes above the marine boundary layer, and a slight underestimation of r_{eff} at the surface above Kazakhstan.

3.1.5. Vertical profiles of aerosol chemical species

Figure 10 shows a comparison of transects of vertical profiles of volume mixing ratio of individual aerosol chemical species using pseudo-reality data against AEROCHEMPro retrievals. This figure differs from previous figsfigures (8-9) by displaying direct comparisons of the state-vector variables. Figure 10 presents an AEROCHEMPro product derived from those variables. The aerosol profiles are independent for each aerosol species in the pseudo-reality, and they are estimated using simulations from MOCAGE-CTM. In contrast, the aerosol chemical species profiles, derived from AEROCHEMPro retrievals and assuming vertically constant mixing ratios per mode, are calculated by multiplying the fractions (Frac_i) of each chemical species by the corresponding modal vertical profiles (Fig. 8b, f, j). This derived product is indicated in the scheme of Fig. 4.

770 The results illustrate the unprecedented capacity of AEROCHEMPro to retrieve vertical profiles of the volume mixing ratio of multiple aerosol chemical species in good overall agreement with the pseudo-reality. Except in situations in which chemical species of the same aerosol mode display clearly different relative vertical distributions (not very often in this example), this derived product of AEROCHEMPro shows a good match for eight distinct transects describing the aerosol chemical composition.

775 Pseudo-reality BC and BrC profiles show high surface mixing ratios across Bangladesh and Kazakhstan, indicating emissions from biomass burning and industrial activities. The retrieval reproduces these near-surface plumes over most locations with high accuracy. Inorganic salt retrievals closely match pseudo-reality, particularly in Bangladesh and parts of Kazakhstan. However, overestimations occur ~~between the surface and 2 km at the surface 2 km~~ along with a simultaneous underestimation of water content linked to fine particles, near coastal locations. Over specific and localized regions, the use of a single profile to represent the fine mode limits the ability to distinguish vertical variations in black carbon and brown carbon, as well as in inorganic salt and aerosol water content. Iron oxide and quartz, both dust mineral constituents, generate unique plumes in the pseudo-reality, especially over the Tibetan Plateau, Taklamakan Desert, and parts of Russia. The retrievals reproduce the shape and extent of these dust layers. Sea salt and its associated water content are very well represented in the retrieval, particularly near the surface over the Indian and Arctic Oceans. Generally, AEROCHEMPro retrieval of aerosol chemical species profiles accurately reproduces magnitudes and patterns, with minor limitations in 785 differentiating species in complex mixtures, mainly resulting from vertically constant mixing ratios.

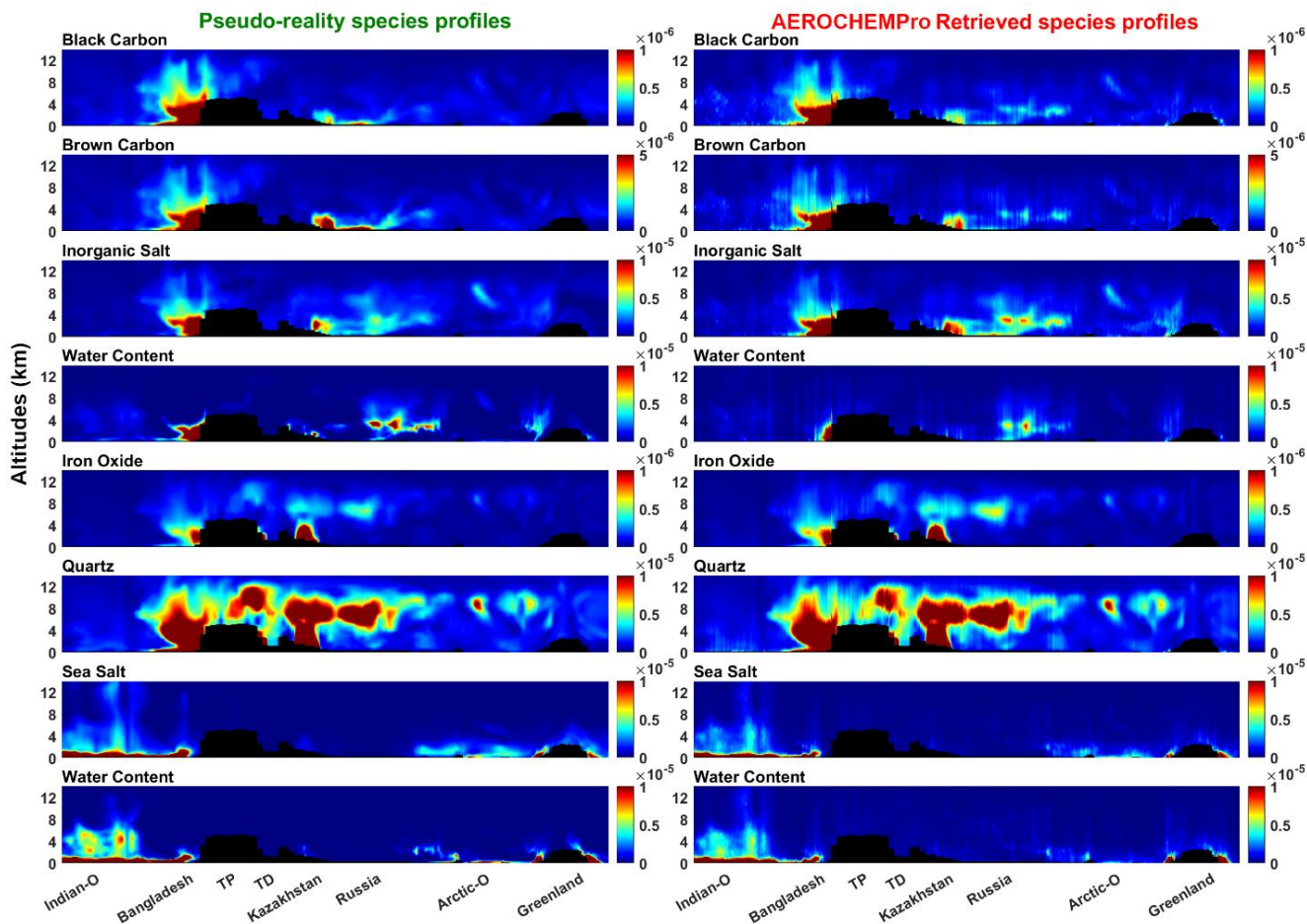


Figure 10. Vertical volume mixing ratio (ppmV) profiles of individual aerosol chemical species (left: Pseudo-reality, right: AEROCHEMPro retrieval) along a transect from the Indian Ocean to Greenland. From top to bottom: black carbon, brown carbon, inorganic salt, water content associated with fine particles, iron oxide, quartz, sea salt, and water content associated with it. (The black shading area indicates the elevation.)

3.2. Performance of AEROCHEMPro at the global scale

To assess the overall performance of the AEROCHEMPro retrieval method, we conduct a point-by-point statistical comparison of retrieval outputs with the pseudo-reality across multiple globally distributed transects. These transects span a wide range of aerosol conditions, including diverse geographical regions, source types, and meteorological regimes. The analysis emphasizes total aerosol optical properties, aerosol species optical depths, and volume mixing ratios of individual aerosol species. We exclude AEROCHEMPro outputs from the statistical performance indicators when aerosol abundance is very low or atmospheric conditions are pristine, based on total AOD values, where only pixels with $0.1 \leq \text{AOD} \leq 3.0$ are

retained. The lower limit acts as a vital quality control mask; under near-~~pristine clear-sky~~ conditions ($\text{AOD} < 0.1$), the atmospheric signal-to-noise ratio degrades significantly, particularly for intensive properties like SSA at high southern latitudes (above 60°S) ~~where restrictive satellite viewing geometries make the inversion of intensive properties highly ill-conditioned~~. The upper limit avoids extremely high aerosol loadings where strong multiple scattering and saturation effects can degrade retrieval accuracy.

3.2.1 Aerosol ~~total-column~~ optical properties

Figure 11 provides a comparative analysis of key column-integrated aerosol properties at 532 nm, specifically ~~total-column~~ single scattering albedo (SSA), aerosol optical depth (AOD), ~~total-column~~ lidar ratio (LR), and ~~total-column~~ ~~total-column~~ effective radius ($r_{\text{eff}}^{\text{TC}}$). The AEROCHEMPro retrievals show high agreement with the pseudo-reality for most optical properties, which indicates robust algorithm performance. SSA retrievals show a high correlation ($R = 0.96$) and low root mean square error ($\text{RMSE} = 2.41 \times 10^{-2}$), with a minor negative bias (mean bias error, $\text{MBE} = -6.00 \times 10^{-3}$). The retrieval spread widens at lower SSA values, notably below 0.9, when results become more dispersed in comparison to the pseudo-reality. This enhanced dispersion is often associated with low aerosol loading or the presence of absorbing aerosols like black or brown carbon. These conditions decrease the sensitivity of polarimetric measurements while increasing retrieval uncertainty.

The AOD comparison has excellent agreement, with a correlation coefficient around unity ($R \approx 1.00$), RMSE of 2.64×10^{-2} , and negligible bias ($\text{MBE} = 1.01 \times 10^{-2}$). This result demonstrates the algorithm's ability to accurately capture columnar aerosol loading. The lidar ratio, which varies with aerosol type and size distribution, shows a very high correlation ($R = 0.97$) and an acceptable RMSE of 4.67. Most of the residual disparities occur in high LR cases, which are frequently linked to complex aerosol mixtures (fine dust mixed with smoke or marine aerosols). In these mixtures, different components may have similar column-integrated optical properties, and vertical overlap can reduce the retrieval's ability to distinguish their contributions, resulting in LR biases. The retrieval of $r_{\text{eff}}^{\text{TC}}$ performs well ($R = 0.98$), though it has a negative bias ($\text{MBE} = -0.05 \mu\text{m}$). A slight underestimation is noticeable for particles with $r_{\text{eff}}^{\text{TC}} > 0.4 \mu\text{m}$, possibly due to the assumption of fixed, uniform size distributions within each aerosol mode (fine, dust, and sea salt) in the retrieval. See Table 2 for details. This simplification may not adequately account for the broad range of particle sizes in pseudo-reality, especially in mixed environments where the actual size distribution differs from the assumed modal.

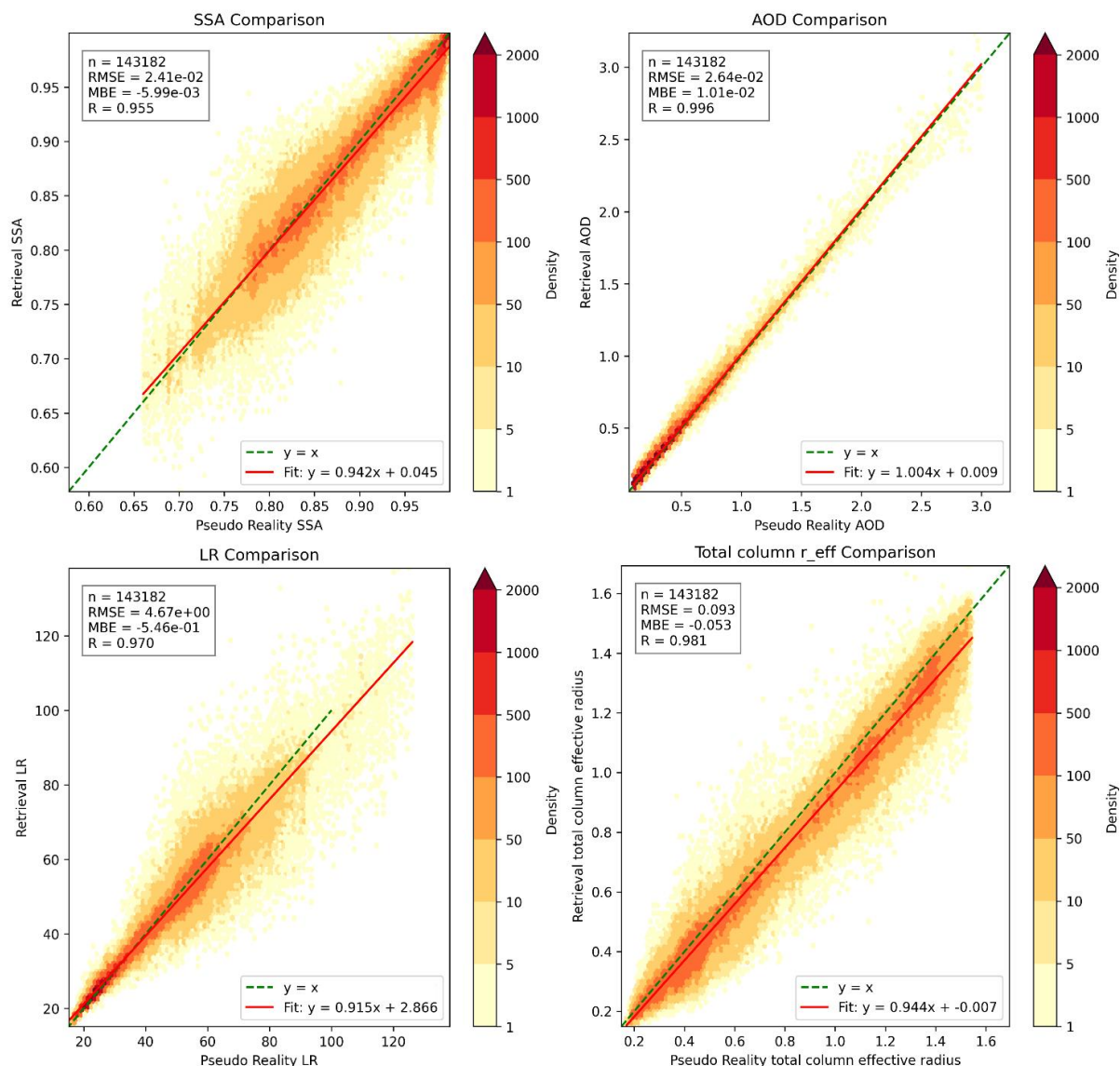


Figure 11. Comparison of column-integrated aerosol optical properties at 532 nm (Single Scattering Albedo (SSA), Aerosol Optical Depth (AOD), Lidar Ratio (LR), and Total column effective radius ($r_{\text{eff}}^{\text{TC}}$)) covering the entire globe.

830

3.2.2 AOD of aerosol chemical species

Figure 12 presents global-scale AOD comparison results at 532 nm for fine aerosol species, including black carbon, brown carbon, inorganic salts, and their water content. Black carbon retrievals demonstrate the highest accuracy, as indicated by a high correlation coefficient ($R = 0.93$), low root mean square error ($\text{RMSE} = 3.3 \times 10^{-3}$), and a regression slope near

835 unity (1.03). These results show the retrieval algorithm’s effectiveness in detecting absorbing aerosols with distinct spectro-
polarimetric signatures. Brown carbon retrievals display a moderately good performance ($R = 0.81$), with an MBE of 1.81×10^{-3}
and a slope of 0.99. An increased scatter at higher loading suggests a small bias in retrieving BrC contributions in
regions with complex aerosol mixtures. This is most likely due to spectral overlaps with other weakly absorbing fine
particles, which affects aerosol discrimination. Inorganic salts show a high R (~ 0.89), with an MBE of 4.56×10^{-3} and a
840 slope of 1.18, indicating a slight overestimation. This could be due to the retrieval algorithm compensating for the low LR
and low absorption of sulfate-dominated particles. Water uptake associated with fine particles demonstrates good
performance ($R = 0.85$), a slope of 0.82, and a positive MBE of 3.6×10^{-3} .

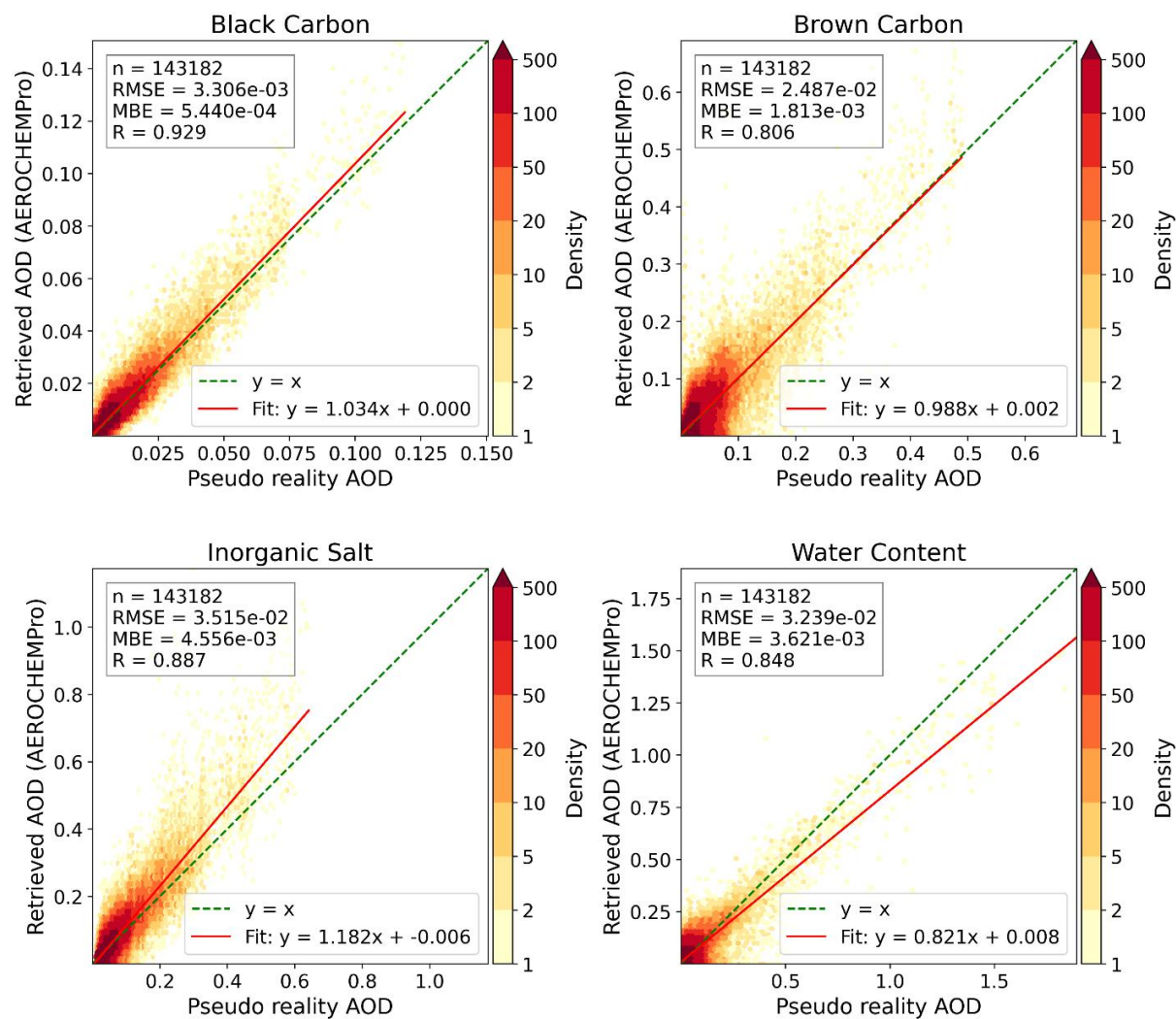


Figure 12. Comparison of aerosol optical depth (AOD) at 532 nm for fine particles (black carbon, brown carbon, inorganic
845 salt) and AOD contribution by water uptake, covering the entire globe.

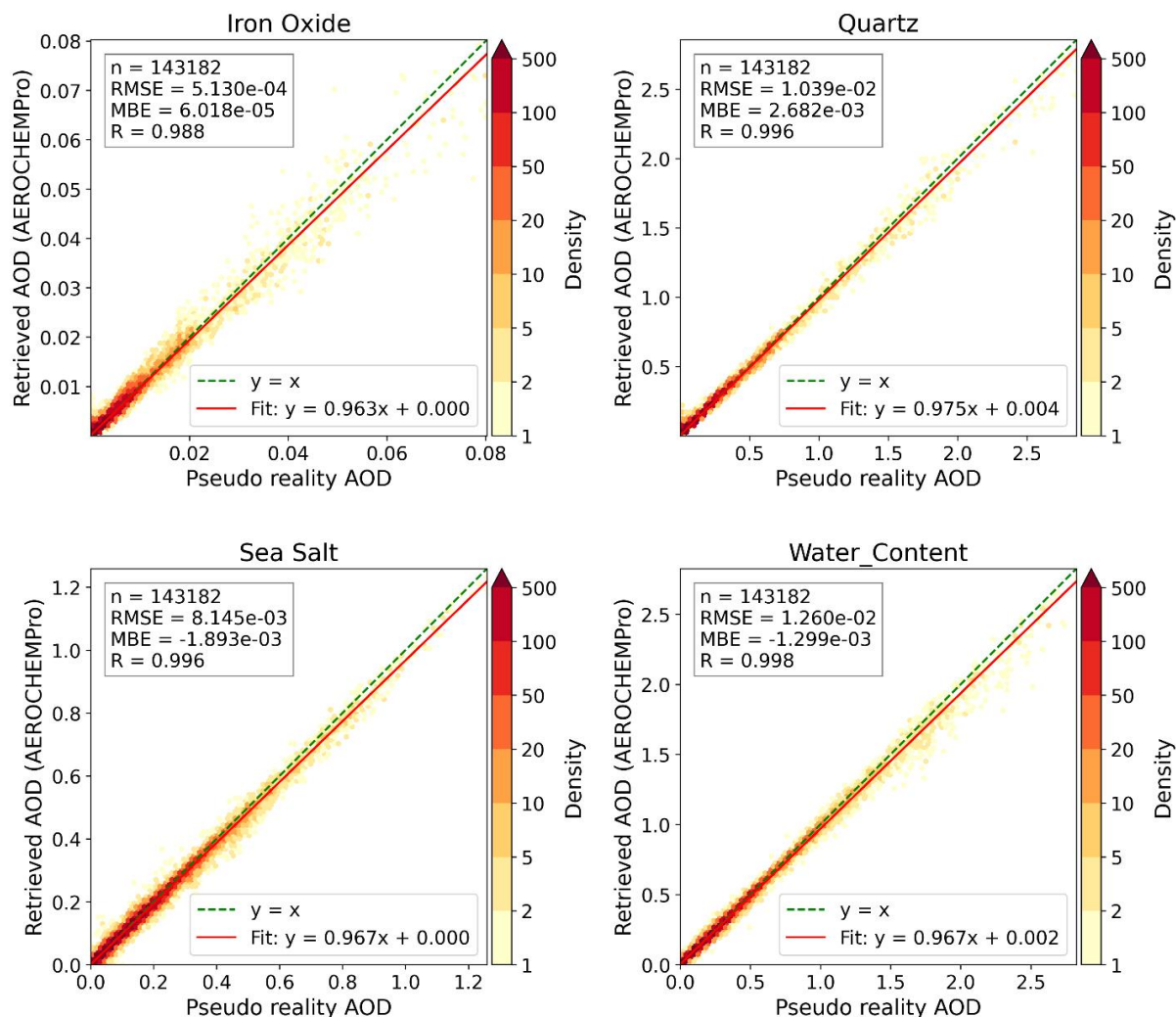


Figure 13. Comparison of aerosol optical depth (AOD) at 532 nm for coarse particles (iron oxide, quartz, sea salt) and AOD contribution by water uptake, covering the entire Globe.

850 AOD retrievals at 532 nm for coarse particles, including iron oxide, quartz, and sea salt, along with the water content uptake by sea salt, are shown in Fig. 13. Iron oxide retrievals show a very high correlation coefficient of 0.99, a slope of 0.96 near unity ($MBE = 6.0 \times 10^{-5}$), likely due to the elevated absorption signal of iron oxide in dusty conditions. Quartz retrievals are the most accurate among all species, achieving the best correlation (R nearly 1.00) and a slope near unity (0.97), with a low MBE of 2.9×10^{-3} . This high accuracy shows the robust retrieval of the mineral dust signature, which dominates the coarse mode in arid regions. Sea salt retrievals show excellent performance ($R = 1.00$), with a slope of 0.97 and MBE of -1.9×10^{-3} .
855 Water uptake by sea salt AOD shows a perfect correlation ($R \sim 1.00$), a slope of 0.97, and an MBE of -1.3×10^{-3} .

In summary, AEROCHEMPro is highly efficient for distinguishing key chemical species in both fine- and coarse-mode aerosols. Absorbing and dust-like species, such as black carbon, iron oxide, and quartz, along with sea salt, showed the most accurate AOD retrievals.

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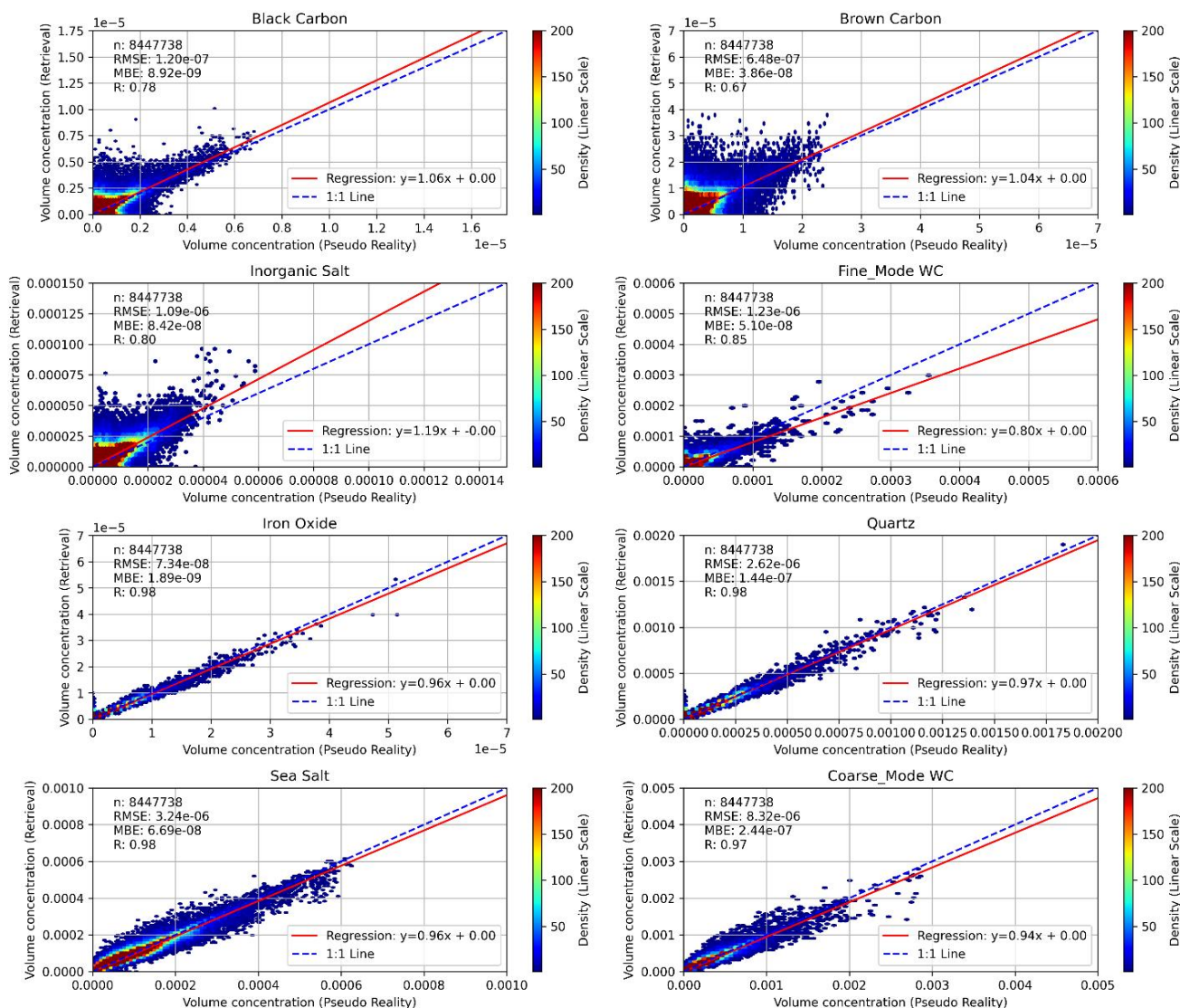
3.2.3 Aerosol chemical species concentrations

Figure 14 depicts an examination of AEROCHEMPro's retrieval capabilities for aerosol chemical species volume mixing ratios in the troposphere (covering altitudes from the surface to 12 km), emphasizing both its strengths and specific limitations. AEROCHEMPro performs well in retrieving most aerosol species, with R values greater than 0.67 for all species, showing a generally good agreement with pseudo-reality. For BC and BrC, the method indicates moderately good accuracy, with R-values of 0.78 and 0.67, respectively, and slopes close to unity (1.06 and 1.04). MBE values of 8.92×10^{-9} and 3.86×10^{-8} . Inorganic salt likewise performs well, with an R of 0.80, a slope of 1.19, and MBE of 8.42×10^{-8} , indicating a slight overestimation. ~~In~~On the other hand, a slight underestimation of the volume mixing ratios of water content associated with fine particles, with R-value of 0.85, slope of 0.80, and MBE of 5.10×10^{-8} . Iron oxide and quartz are among the best-retrieved species, with R-values of 0.98 each, slopes approaching unity (0.96 and 0.97, respectively), and MBE of (1.89×10^{-9} and 1.44×10^{-7}), illustrating the robustness of AEROCHEMPro for non-spherical species. Similarly, sea salt and water content uptake associated with it have a very good agreement with pseudo-reality, with R values of 0.98 and 0.97, slope = 0.96 and 0.94, and an MBE of 6.7×10^{-8} and 2.44×10^{-7} , resulting in near-perfect scaling. Overall, while the approach performs very well in many situations, there are some areas, particularly brown carbon, where improvements might be made to improve accuracy. Future enhancements will focus on refining the a priori refractive index models used for organic species. This would better account for the inherent variability in these components and further reduce partitioning uncertainties between absorbing species.

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880 **Figure 14.** Comparison of chemical species volume mixing ratios (ppmV) for altitudes of the tropospheric layer (0–12 km) covering the entire globe.

Table 7 summarizes the global evaluation of AEROCHEMPro retrievals for aerosol chemical species volume mixing ratio at four atmospheric levels (0-2 km, 2-4 km, 4-8 km, and 8-12 km), based on layer-averaged values per pixel. The findings reveal altitude-dependent performance patterns, providing valuable insights for future refinements of the retrieval method.

885 The R shows strong agreement between retrieved values and pseudo-reality for most species. The highest correlations, always above 0.97, are seen for mineral species (IO, Qz) and sea salt (SS). In comparison, water content in fine species

(WC_F) has lower correlations at higher altitudes, dropping to 0.55 to 0.63. MBE values are generally small, around 10⁻⁸ to 10⁻⁷, with no clear pattern of overestimation or underestimation across atmospheric layers. RMSE values get smaller with altitude for most species, which matches the lower concentrations found at higher altitudes, and are lowest for BC and IO. The slopes show a slight overestimation for BC, BrC, and IS in the lower layers, and a slight underestimation for WC_F, especially above 4 km. Overall, these results show consistent retrieval accuracy worldwide, with better agreement for coarse-mode species and somewhat lower performance for fine-mode water content aerosols at higher altitudes. The overall observed altitude-dependent performance is determined by signal strength, species abundance, and the information content of measurements at each atmospheric layer.

Table 7. Comparison of Chemical Species Volume mixing ratio (ppmV) covering the entire Globe for different altitude layers (0-2 km, 2-4 km, 4-8 km, 8-12 km)

Layers (km)	Stats/ Species	BC	BrC	IS	WC _F	IO	Qz	SS	WC _C
0-2	N	143182	143182	143182	143182	143182	143182	143182	143182
	R	0.92	0.83	0.90	0.94	0.99	0.99	0.99	0.99
	MBE	2.75×10 ⁻⁰⁸	9.38×10 ⁻⁰⁸	2.92×10 ⁻⁰⁷	4.06×10 ⁻⁰⁸	1.89×10 ⁻⁰⁸	7.58×10 ⁻⁰⁷	1.28×10 ⁻⁰⁷	8.52×10 ⁻⁰⁷
	RMSE	1.26×10 ⁻⁰⁷	7.63×10 ⁻⁰⁷	1.41×10 ⁻⁰⁶	1.54×10 ⁻⁰⁶	1.10×10 ⁻⁰⁷	3.91×10 ⁻⁰⁶	2.65×10 ⁻⁰⁶	6.30×10 ⁻⁰⁶
	SLOPE	1.09	1.07	1.24	0.82	0.94	0.97	1.01	1.01
2-4	N	143182	143182	143182	143182	143182	143182	143182	143182
	R	0.93	0.80	0.91	0.83	0.99	0.99	0.99	0.98
	MBE	1.78×10 ⁻⁰⁸	7.37×10 ⁻⁰⁸	1.65×10 ⁻⁰⁷	7.53×10 ⁻⁰⁸	-4.08×10 ⁻¹¹	8.40×10 ⁻⁰⁸	4.27×10 ⁻⁰⁸	2.53×10 ⁻⁰⁷
	RMSE	5.64×10 ⁻⁰⁸	4.09×10 ⁻⁰⁷	5.94×10 ⁻⁰⁷	6.18×10 ⁻⁰⁷	3.81×10 ⁻⁰⁸	1.46×10 ⁻⁰⁶	7.32×10 ⁻⁰⁷	9.40×10 ⁻⁰⁷
	SLOPE	1.03	1.03	1.09	0.82	0.98	0.99	1.00	1.03
4-8	N	143182	143182	143182	143182	143182	143182	143182	143182
	R	0.92	0.75	0.88	0.63	0.99	0.98	0.99	0.98
	MBE	4.05×10 ⁻⁰⁹	2.41×10 ⁻⁰⁸	3.81×10 ⁻⁰⁸	5.93×10 ⁻⁰⁸	-1.22×10 ⁻⁰⁹	4.10×10 ⁻⁰⁸	5.68×10 ⁻⁰⁸	1.17×10 ⁻⁰⁷
	RMSE	2.43×10 ⁻⁰⁸	2.18×10 ⁻⁰⁷	2.59×10 ⁻⁰⁷	3.25×10 ⁻⁰⁷	2.05×10 ⁻⁰⁸	8.35×10 ⁻⁰⁷	2.71×10 ⁻⁰⁷	3.54×10 ⁻⁰⁷
	SLOPE	0.97	0.94	1.02	0.79	0.99	1.01	0.98	1.00
8-12	N	143182	143182	143182	143182	143182	143182	143182	143182
	R	0.91	0.73	0.86	0.55	0.97	0.97	0.98	0.97
	MBE	3.49×10 ⁻¹⁰	8.63×10 ⁻⁰⁹	-1.03×10 ⁻⁰⁸	3.45×10 ⁻⁰⁸	-1.74×10 ⁻⁰⁹	-3.75×10 ⁻⁰⁹	5.82×10 ⁻⁰⁸	8.00×10 ⁻⁰⁸
	RMSE	1.50×10 ⁻⁰⁸	1.31×10 ⁻⁰⁷	1.36×10 ⁻⁰⁷	1.85×10 ⁻⁰⁷	1.11×10 ⁻⁰⁸	4.12×10 ⁻⁰⁷	1.23×10 ⁻⁰⁷	1.75×10 ⁻⁰⁷
	SLOPE	0.94	0.95	0.99	0.85	0.99	1.03	0.94	0.95

4. Conclusions

This study presents the innovative AEROCHEMPro retrieval approach, designed to ~~observe-retrieve~~ vertical profiles of aerosol chemical species and their optical properties across diverse atmospheric conditions and regions. Detailed case study analysis and statistical comparisons based on a simulated atmosphere across multiple transects at a global scale reveal both its principal strengths, its unprecedented capabilities, and some limitations of the approach. In particular, because this study relies on a self-consistent simulation framework over a spatially uniform surface baseline, these results represent an idealized theoretical performance limit and a lower bound on retrieval uncertainty. Performing single-pixel retrievals over a homogeneous surface minimizes complex surface-aerosol signal mixing, presenting an optimistic baseline. Future validation phases will incorporate cross-model testing and spatially heterogeneous terrain configurations to evaluate AEROCHEMPro against real-world surface discrepancies rigorously.

The case study analysis shows that the method successfully fits all lidar and polarimeter measurements, including volume depolarization and attenuated backscatter profiles, radiance, and polarimetric Stokes elements. These findings demonstrate the method's robustness across diverse environments, capturing most aerosol-layer properties with minimal inconsistencies due to instrumental noise. Also, it demonstrates strong skills for retrieving the vertical distribution and optical properties of aerosols along a complex multi-source transect. The retrievals show consistent agreement with the pseudo-reality in terms of aerosol volume mixing ratio profiles, chemical species contributions, and optical properties, particularly in regions of high aerosol loading.

The retrieval approach accurately captures fine-mode aerosols and reproduces the boundary layer's vertical extent. A slight overestimation is seen just above the surface, up to approximately 1 km, in localized regions. Total column volume concentrations of black carbon, brown carbon, and inorganic salts closely match pseudo-reality, confirming the method's reliability in representing anthropogenic and secondary aerosols. Dust plumes are also accurately illustrated, with precise vertical extent, surface concentrations, and long-range transport, and the retrieval accurately distinguishes total column concentrations of iron oxide and quartz. Sea salt retrievals effectively represent near-surface abundance and total column concentrations, with minor underestimations inland where marine aerosols mix with continental air masses. Under aerosol mixing conditions, especially in coastal transition zones, a small bias range of $\sim 1 \times 10^{-5}$ is observed with/or occasional species misclassification.

Aerosol optical properties, such as modal aerosol optical depth (AOD), surface mixing ratio (C_{surf}), single scattering albedo (SSA), and lidar ratio (LR), are well matched to pseudo-reality, with peak values captured. Minor differences occur mostly in mixed aerosol environments at lower altitudes, where fine, dust, and sea-salt particles coexist, or in high-dust layers, where distinguishing species-specific optical signals is challenging. The vertical structure of the effective radius reproduces spatial and vertical variability, with only slight deviations in maritime regions and areas with heavy dust and sea salt loading. Species-specific AODs are accurately retrieved, including notable black carbon (BC) and brown carbon (BrC) peaks around emission sources, as well as wider inorganic salt distributions that match vertical profiles. In general, AEROCHEMPro

935 accurately reproduces spatial patterns and magnitude with remarkable fidelity. Aerosol optical depth (AOD, R roughly 1.00) and single scattering albedo (SSA, R approximately 0.96) show excellent performance. At the same time, lidar ratio (LR, R approximately 0.97) and effective radius (R approximately 0.98) are similarly well constrained. Species-specific AOD retrievals are remarkably accurate for dust and absorbing aerosols, such as quartz, iron oxide, sea salt, and black carbon, as well as inorganic salts and organic species, which are also reliably retrieved.

940 AEROCHEMPro offers an unprecedented capability to retrieve vertical profiles of multiple aerosol chemical species simultaneously. Instead of distinguishing eight fully independent aerosol profiles, AEROCHEMPro retrieves three composite profiles and their fractional chemical composition, providing a practical and physically consistent compromise. We consider composites of species of similar origin that often exhibit limited relative vertical variability, according to MOCAGE simulations. The method guarantees stable, well-constrained retrievals, as evidenced by consistently good performance across all tropospheric layers and accurate estimation of the eight aerosol chemical species mixing ratio profiles. 945 Retrieval performance is remarkably high for mineral and absorbing aerosols such as iron oxide, quartz, and sea salt, with high correlation coefficients and slopes close to unity. Minor uncertainties exist in complex chemical mixtures, specifically for brown carbon and water content associated with fine particles, exhibiting slightly reduced accuracy at higher altitudes due to lower signal intensity and concentrations. Such localized limitations do not detract from the method's overall robustness. In summary, the results highlight a very strong general agreement across nearly all retrieved properties, with 950 particularly high fidelity achieved for mineral dust. Furthermore, while the retrieval remains robust across all sizes, the performance demonstrates a notable advantage in representing coarse-mode particles compared to fine-mode aerosols. The results support the generality of AEROCHEMPro for global-scale retrievals of aerosol optical, microphysical, and chemical characteristics, giving a realistic approach to constrain aerosol composition and vertical structure in combination with lidar and polarimeter observations.

955 Future work will include a comprehensive uncertainty analysis of intensive properties and the application of AEROCHEMPro to real measurements from airborne instruments such as the High Spectral Resolution Lidar-2 (HSRL-2) and the Research Scanning Polarimeter (RSP), as well as satellite sensors including the Cloud-Aerosol Lidar with Orthogonal Polarization (CALIOP) and the Polarization and Directionality of the Earth's Reflectances (POLDER). Upcoming missions such as Earth Clouds, Aerosols and Radiation Explorer (EarthCARE) with its Atmospheric Lidar 960 (ATLID) and Plankton, Aerosol, Cloud, ocean Ecosystem (PACE) with its polarimeters (SPEXone, HARP2) will provide opportunities for cross-validation of optical properties. Furthermore, the validation of vertical chemical profiles will require coincident in-situ measurements from aircraft-based field campaigns (e.g., using mass spectrometry for speciation and optical particle counters for size distributions), ground-based in situ measurements for surface validations or ground-based aerosol profiling networks for characterizing the vertical distribution of aerosols. These independent datasets will enable 965 rigorous testing of the retrieval framework beyond optical consistency. These initiatives will strengthen AEROCHEMPro's role in global monitoring of aerosol composition and vertical structure.

Code, data, or code and data availability

The GRASP software used in this study is publicly available at <http://www.grasp-open.com>. Data are available upon request by email (abou.merdji@lisa.ipsl.fr).

970 Author contributions

ABM: Writing – original draft, Visualization, Methodology, Formal analysis, Validation, Conceptualization, Software, Investigation; **JC:** Writing – review & editing, Conceptualization, Visualization, Validation, Supervision, Software, Resources, Project administration, Methodology, Funding acquisition; **FQ:** Writing – review & editing, Formal analysis, Investigation, Software; **AL:** Writing – review & editing, Conceptualization, Validation, Methodology; **OD:** Writing –
975 review & editing, Conceptualization, Validation; **DNP:** Proofreading; **LEA:** MOCAGE model run, nature run definition,

Competing interests

At least one of the (co-)authors is a member of the editorial board of Atmospheric Measurement Techniques.

Acknowledgements

We thank the support of the Centre National des Etudes Spatiales (CNES), the Centre National des Recherches Scientifiques
980 (CNRS) – Institut National de Sciences de l’Univers (INSU), the Université Paris Est Créteil (UPEC) and the Meso-centre
Institut Pierre Simon Laplace. The authors thank the scientists who developed the Modèle de Chimie Atmosphérique de
Grande Echelle (MOCAGE) chemistry Transport Model (CTM), and Generalized Retrieval of Aerosol and Surface
Properties (GRASP) algorithm. We would also like to thank GRASP-Earth, a French-based scientific company, for
providing the technical assistance. The GRASP software used in this study is publicly available at <http://www.grasp->
985 [open.com](http://www.grasp-open.com). Moreover, the other data sets used in this work will be made available on a reasonable request.

Financial support

This work is supported by the Centre National des Etudes Spatiales (CNES) within the framework of future Atmosphere
Observing System (AOS) mission and the AOS project funded by the TOSCA (Terre Océan Surface Continentale
Atmosphère) / CNES. Juan Cuesta received funding from CNES.

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