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A potential emergent constraint on cloud liquid water path adjustments to aerosol–cloud interactions

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Abstract. Emergent constraints are relationships between an observable in the present-day climate (such as cloud state variables) and an unobservable response (such as adjustments to radiative forcing) of the climate system to a perturbation. Here we present a candidate emergent constraint arising from the relationship across members of a perturbed parameter ensemble (PPE) between the observable present-day cloud droplet number–liquid water path (N_d–$\mathcal{L}$) correlation and the unobservable liquid water path adjustment to anthropogenic aerosol–cloud forcing ($\mathrm{RA}_{\mathcal{L}}$). Emergent constraint candidates require scrutiny to distinguish them from, for example, spurious correlations. The candidate presented here meets several criteria delineated by Klein and Hall (2015): high correlation coefficient, plausible underlying physical mechanism, and emergence from a PPE that perturbs the physical parameters relevant to both the observable and the climate response. Constraining the observable N_d–$\mathcal{L}$ regression slope to present-day satellite estimates yields a constrained estimate for the ratio of present-day to preindustrial cloud liquid water path $\mathcal{L}_{\mathrm{PD}}/\mathcal{L}_{\mathrm{PI}} = 0.976 \pm 0.009$ (PPE regression slope uncertainty only) with a regression coefficient of 0.92. The constrained $\mathcal{L}_{\mathrm{PD}}/\mathcal{L}_{\mathrm{PI}}$ implies a robustly positive $\mathrm{RA}_{\mathcal{L}}$; this disagrees in sign with all other general circulation model (GCM) estimates, but agrees with non-GCM lines of evidence. However, the constrained estimate requires extrapolating the emergent-constraint relationship past the minimum $\mathcal{L}_{\mathrm{PD}}/\mathcal{L}_{\mathrm{PI}}$ produced by any of the PPE members.

1 Introduction

Anthropogenic increases in aerosol concentration modify cloud properties in several ways that exert an effective radiative forcing (ERF) on the climate (e.g., Bellouin et al., 2020; Forster et al., 2021). The ERF can be decomposed into two components: a radiative forcing (RF) resulting from an increase in cloud droplet number concentration (N_d) and radiative adjustments resulting from changes in cloud liquid water path ($\mathcal{L}$) and cloud fraction as cloud processes respond to the perturbed N_d (e.g., Quaas et al., 2008; Boucher et al., 2014; Sherwood et al., 2015; Quaas et al., 2024).

Estimates of the magnitude of the ERF from different lines of evidence traditionally disagreed (Boucher et al., 2014) but have come into closer agreement (Forster et al., 2021). However, different lines of evidence still do not agree on the sign of the radiative adjustment due to $\mathcal{L}$ change ($\mathrm{RA}_{\mathcal{L}}$). Observational studies and process modeling estimates of $\mathrm{RA}_{\mathcal{L}}$ are slightly to moderately positive (i.e., anthropogenic aerosols lead to a reduction in $\mathcal{L}$ and thus increase absorbed shortwave energy; Bellouin et al., 2020; Forster et al., 2021). Atmospheric general circulation models (GCMs), on the other hand, estimate $\mathrm{RA}_{\mathcal{L}}$

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to be slightly to moderately negative (Mülmenstädt et al., 2019; Gryspeerdt et al., 2020; Smith et al., 2020; Zelinka et al., 2022).

Either sign of $\mathrm{RA}_{\mathcal{L}}$ is physically allowed, as the net $\mathrm{RA}_{\mathcal{L}}$ is the sum of two opposing-sign mechanisms: precipitation suppression (Albrecht, 1989) and enhanced evaporation (Ackerman et al., 2004; Bretherton et al., 2007). In multi-model ensembles (MMEs) and perturbed parameter ensembles (PPEs), it appears that the highest (i.e., least negative) $\mathrm{RA}_{\mathcal{L}}$ achievable in GCMs is within internal variability of zero. One plausible hypothesis that would explain the absence of robustly nonnegative $\mathrm{RA}_{\mathcal{L}}$ PPE or MME members is structural: that the process scales of entrainment, and therefore entrainment enhancement, simply cannot be represented at GCM scales without a parameterization. However, even models that parameterize or otherwise respond to increased N_d with increased cloud-top entrainment drying still produce higher mean $\mathcal{L}$ in response to anthropogenic aerosols (Guo et al., 2011, 2014; Karset et al., 2020; Mülmenstädt et al., 2024a, b).

As the models appear structurally capable of representing both $\mathrm{RA}_{\mathcal{L}}$ mechanisms, a natural question to ask is whether it is possible to bring the models into agreement with other lines of evidence through parametric changes that increase or reduce the strength of each of the opposite-sign mechanisms. Furthermore, if the emergent behaviors of the model (like ERF and $\mathrm{RA}_{\mathcal{L}}$) vary smoothly with changes in the model physics parameters, it may be possible to derive an emergent constraint (Klein and Hall, 2015) from PPEs. Emergent constraints are relationships between an observable in the present-day climate (such as cloud state variables) and an unobservable response (such as adjustments to radiative forcing) of the climate system to a perturbation.

Below, we document a potential emergent constraint that uses the present-day correlation between N_d and $\mathcal{L}$ as an observable to constrain $\mathrm{RA}_{\mathcal{L}}$. Intriguingly, the sign of the constrained $\mathrm{RA}_{\mathcal{L}}$ agrees with the Bellouin et al. (2020) multiline assessment, even though the $\mathrm{RA}_{\mathcal{L}}$ in every one of the the underlying PPE members has the opposite sign.

2 Data and methods

2.1 Model description and physics perturbations

The U.S. Department of Energy's Energy Exascale Earth System Model (E3SM) (Golaz et al., 2019) Atmospheric Model version 1 (EAMv1) (Rasch et al., 2019) is used to study the sensitivity of $\mathcal{L}$ adjustment to uncertain parameters affecting cloud microphysics, aerosol–cloud interactions, turbulence, and deep convection. EAMv1 uses the version 2 of the Morrison and Gettelman (MG2) cloud microphysics (Gettelman and Morrison, 2015; Gettelman et al., 2015), CLUBB for cloud macrophysics and turbulence, the Zhang and Mcfarlane (1995) parameterization for deep convection, the 4-mode version of the modal aerosol module (MAM4; Liu et al., 2016; Wang et al., 2020) for representing aerosols, and Abdul-Razzak and Ghan (2000) for aerosol activation. Technical details of the EAMv1 are documented in Rasch et al. (2019). To easily identify the effects of individual changes, parameters were perturbed in the one-at-a-time fashion. Based on the EAMv1 recalibration (Ma et al., 2022), a subset of parameters were selected for this study as summarized in Table 1. The perturbed parameter ensemble (PPE) is grouped into four categories: (1) microphysics for liquid phase clouds ("µp-liquid"), (2) microphysics for mixed phase clouds ("µp-mixed"), (3) aerosol activation ("activation"), and (4) turbulence and convection ("turbulence/convection").

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For μp-liquid, we first targeted the warm rain process since previous studies suggest that autoconversion has strong control over the cloud "lifetime" effects (e.g., Rotstayn and Liu, 2005; Wang et al., 2012; Golaz et al., 2011; Suzuki et al., 2015; Mülmenstädt et al., 2020, 2021). Autoconversion is subject to large uncertainty because it is an artificial process intrinsic to the two-category bulk microphysics scheme to emulate the conversion from cloud to rain drops due to collision-coalescence (Morrison et al., 2025; Mülmenstädt and Feingold, 2018). In addition to local autoconversion rate, the subgrid variability assumption, parameterized as the inverse relative variance of liquid water mixing ratio ν, affects the total grid-box autoconversion rate by acting as an enhancement factor. While the Khairoutdinov and Kogan (2000) and Kogan (2013) parameterizations were developed for stratocumulus and shallow cumulus, respectively, Rasch et al. (2019) adjusted the parameters for the local autoconversion rate and used ν predicted by CLUBB to provide acceptable results in various regimes across the globe (Ovchinnikov et al., 2024). Furthermore, the cut-off size r_0 separating cloud and rain drops varies from 25 μm for stratocumulus (Khairoutdinov and Kogan, 2000) to 40 μm for trade shallow cumulus (Kogan, 2013). In μp-liquid, we tested the sensitivity of the autoconversion (au) and accretion (ac) parameterizations, which take power-law forms in cloud water mixing ratio q_c, rain water mixing ratio q_r, and N_d:

$$\left(\frac{\partial q_r}{\partial t}\right)_{\text{au}} = k_{\text{au}} q_c^{a_{\text{au}}} \left(\frac{N_d}{1\ \text{cm}^{-3}}\right)^{b_{\text{au}}} \tag{1}$$

$$\left(\frac{\partial q_r}{\partial t}\right)_{\text{ac}} = k_{\text{ac}} q_c^{a_{\text{ac}}} q_r^{b_{\text{au}}}. \tag{2}$$

We varied their parameters according to Khairoutdinov and Kogan (2000), Kogan (2013), and Rasch et al. (2019). We further perturbed ν, r_0, and an accretion enhancement factor f_{acc} that multiplies the right-hand side of (2). We also introduced an enhancement factor for cloud sedimentation f_{sed} to test the sedimentation–entrainment feedback identified in Bretherton et al. (2007) that appears to control $\text{RA}_{\mathcal{L}}$ in E3SM under certain conditions (Mülmenstädt et al., 2024b).

Cloud phase partitioning is important for aerosol–cloud interactions as it determines which mechanisms are active. Ensemble member E09 investigates the effects of increasing liquid condensate amount by lowering the temperature range for detrained water condensate from deep convection to be treated as ice. In EAMv1, the ratio of liquid condensate over total water detrained from deep convection is 1 above 268 K, 0 below 238 K, and is linearly interpolated in between. Lowering the temperature thresholds increases liquid condensate amount in low latitudes and areas where the Zhang and Mcfarlane (1995) deep convection parameterization is triggered. Another experiment, E15, reduces $\mathcal{L}$ by increasing a scale factor f_{WBF} on the Wegener–Bergeron–Findeisen (WBF) process from 0.1 to 0.5. As documented in Rasch et al. (2019) and Ma et al. (2022), the excessive liquid condensate amount in EAMv1 is attributable to the unrealistically low $f_{\text{WBF}} = 0.1$. Increasing f_{WBF} reduces $\mathcal{L}$ in mid- and high latitudes.

Atmospheric turbulence affects ACI directly through changing aerosol activation and indirectly through changing atmospheric state including clouds and boundary layer structure. The six CLUBB parameters perturbed in the turbulence/convection group of experiments (γ, C_1, C_6, C_8, C_{14}, and μ) control how strong or skewed turbulence is and affect cloud formation and boundary layer structure. As discussed in depth by Ma et al. (2022), C_1 damps vertical velocity variance (w'^2), so higher C_1 leads to weaker vertical mixing and shallower boundary layer, which results in more persistent stratocumulus and less frequent

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decoupling of the cloud from the surface. Increasing C_1 also reduces aerosol activation as updraft velocity weakens (E17). The γ parameter sets the width of the assumed subgrid vertical velocity (w') PDF. Lowering γ results in a narrower PDF, which facilitates low-cloud formation and results in larger low-cloud fraction (E03). C_8 damps the third moment of vertical velocity (w'^3), controlling the skewness of the vertical velocity PDF. Higher C_8 leads to more symmetric, stratocumulus-like mixing (E20, E21). The μ parameter is a fractional entrainment rate. Decreasing/increasing μ leads to less/more plume dilution, resulting in higher/lower liquid condensate amount (E22/E23). C_6 damps vertical scalar fluxes of total moisture $w'q_t'$ and liquid-water potential temperature $w'\theta_l'$. Reducing C_6 leads to stronger fluxes, enabling a deeper, more decoupled boundary layer (E26). C_{14} damps horizontal velocity variances. Higher C_{14} results in weaker shear-driven turbulence and less entrainment, which leads to a shallower, more stratiform boundary layer with more low-level cloud. Similarly, the Zhang and Mcfarlane (1995) parameters affect how much condensate gets routed to cloud microphysics. Reducing c_0 results in slower conversion of convective cloud water to rain, increasing both cloud condensate amount and droplet number (E25). Reducing the magnitude of fractional entrainment dm_p/dz in the calculation of "dilute" convective available potential energy (Neale et al., 2008) strengthens deep convection, which decreases low-cloud $\mathcal{L}$ (E24).

Lastly, to fully capture the effect of changing updraft velocity on aerosol activation, we remove the artificial limiter w'_{min} so that the full range of CLUBB-predicted w'^2 values are used in aerosol activation parameterization (E12, E13, E18). These experiments form the "activation" group.

The EAMv1 model was configured to run at ne30np4 horizontal grid spacing (approximately 1 degree) with 72 levels in the vertical. EAMv1 simulations were performed with prescribed sea surface temperature (SST) and sea ice cover. The atmosphere was simulated by EAMv1 with horizontal winds nudged to agree with the Modern-Era Retrospective Analysis for Research and Applications, version 2 (MERRA-2; Gelaro et al., 2017) reanalysis with a 6 h relaxation timescale. To assess aerosol effects, paired simulations were performed for each ensemble member, with one driven by present-day emissions of aerosols and their precursors and the other driven by preindustrial emissions.

2.2 Cloud selection

The cloud selection follows Mülmenstädt et al. (2024a): from the 3-hourly instantaneous model output, we select liquid clouds, defined by the absence of ice (ice cloud cover = 0) in the column. We require near-overcast (liquid cloud cover > 0.9) conditions for consistency with the Mülmenstädt et al. (2024a, b) analyses. Although the clouds are nearly overcast, we account for the remaining variability in cloud cover by calculating cloudy-sky cloud-top droplet number concentration N_d and cloudy-sky $\mathcal{L}$ by dividing the grid-mean model outputs by the projected cloud cover.

2.3 Inverted-v N_d–$\mathcal{L}$ relationship and estimate of curve shape

As in the Mülmenstädt et al. (2024a, b) analyses, we collapse the conditional probability distribution function $P(\mathcal{L}|N_d)$ (Gryspeerdt et al., 2019) into the geometric-mean $\mathcal{L}$ as a function of N_d to be able to compare multiple model variants more succinctly. The geometric mean is used because $P(\mathcal{L}|N_d)$ is closer to lognormal than to normal.

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Following Gryspeerdt et al. (2019), we further summarize the $\mathcal{L}$–N_d relationship by performing an ordinary least squares fit of an inverted v-shaped function (two linear segments meeting at an apex point) to the geometric-mean $\mathcal{L}$ as a function of N_d:

$$\log \mathcal{L} = \begin{cases} \log \mathcal{L}^{\text{peak}} + m_l \left(\log N_d - \log N_d^{\text{peak}} \right), & N_d \leq N_d^{\text{peak}} \\ \log \mathcal{L}^{\text{peak}} + m_h \left(\log N_d - \log N_d^{\text{peak}} \right), & N_d \geq N_d^{\text{peak}} \end{cases} \tag{3}$$

The apex point is given by the coordinates $(N_d^{\text{peak}}, \mathcal{L}^{\text{peak}})$ in N_d–$\mathcal{L}$ phase space; m_l is the (usually positive) slope in the $N_d < N_d^{\text{peak}}$ region; and m_h is the (usually negative) slope in the $N_d > N_d^{\text{peak}}$ region. This reduces the N_d–$\mathcal{L}$ relationship to three independent shape variables per model experiment.

2.4 Emergent constraint on $\mathcal{L}_{\text{PD}}/\mathcal{L}_{\text{PI}}$

An emergent constraint (Hall and Qu, 2006; Klein and Hall, 2015) describes a statistical relationship linking an observable in the present-day climate to an unobservable emergent response of the climate system to an anthropogenic perturbation. The emergent response is "unobservable" in the sense that we would like to know its value before the real climate system evolves into this state (e.g., future temperature) or because the emergent response corresponds to an idealization that is never realized in the physical system, such as the forcing–adjustments–feedbacks framework (Boucher et al., 2014; Sherwood et al., 2015; Quaas et al., 2024). Because the emergent response is unobservable, the statistical relationship is drawn from lines of evidence that can be used to estimate the emergent response, typically numerical climate models. Because some degree of model diversity is needed for a statistical techniques (e.g., linear regression) to give precise (but not necessarily accurate) estimates of the statistical relationship, model ensembles, either MME or PPE, are customarily used. If the statistical relationship is based on an underlying physical mechanism, rather than on spurious correlation or confounding, the statistical relationship can be used to constrain the unobservable emergent property.

In our case, the emergent property is the ratio of $\mathcal{L}_{\text{PD}}$ in the present day to $\mathcal{L}_{\text{PI}}$ under a preindustrial emissions scenario, $\mathcal{L}_{\text{PD}}/\mathcal{L}_{\text{PI}}$. This anthropogenic perturbation in $\mathcal{L}$ is closely linked to the radiative adjustment $\text{RA}_{\mathcal{L}}$ (Gryspeerdt et al., 2020). Note that instead of the difference $\Delta\mathcal{L} = \mathcal{L}_{\text{PD}} - \mathcal{L}_{\text{PI}}$, we use the ratio $\mathcal{L}_{\text{PD}}/\mathcal{L}_{\text{PI}}$ (or, equivalently for $\Delta\mathcal{L} \ll \mathcal{L}$, the logarithmic difference $\Delta \log \mathcal{L} = \mathcal{L}_{\text{PD}}/\mathcal{L}_{\text{PI}} - 1$). We do so because the climate response will be a function of the base state, which in these experiments is not constrained to the observed base state. While normalizing by the base state $\mathcal{L}$ is far from perfect (e.g., Mülmenstädt et al., 2020), it at least removes the first-order (proportional) relationship between the base state and the perturbation.

The observable in the present day is the slope m_h of the descending branch of the N_d–$\mathcal{L}$ relationship, defined in (3) above, for which a satellite-based estimate exists (Gryspeerdt et al., 2019). The statistical relationship investigated is a linear relationship between $\mathcal{L}_{\text{PD}}/\mathcal{L}_{\text{PI}}$ and m_h, whose parameters are estimated by performing a linear regression on the PPE members.

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3 Results and discussion

3.1 Inverted v and anthropogenic change in $\mathcal{L}$ across PPE members

Figure 1 shows the N_d–$\mathcal{L}$ relationships of the PPE member with the most negatively sloped inverted v (E05), the default E3SMv1 PPE member (E00), and the PPE member with the least negatively sloped inverted v (E10, which is also the only PPE member with $m_h \geq 0$). It is clear in Fig. 1 that the turnover in slope occurs markedly more gradually in the models than the inverted-v fit function can capture. Nevertheless, both positive and negative slopes away from the apex appear well captured. We choose to retain the inverted-v fit function for its simplicity and small number of shape variables.

Figure 2 shows histograms of m_h, $\mathcal{L}_{\mathrm{PD}}/\mathcal{L}_{\mathrm{PI}}$, and their scatter. As noted in the previous paragraph, all PPE members but one produce an inverted v-shaped N_d–$\mathcal{L}$ relationship, that is, $m_l > 0$ and $m_h < 0$. All PPE members but one produce higher $\mathcal{L}$ with PD emissions than with PI, and even the PPE member with $\mathcal{L}_{\mathrm{PD}}/\mathcal{L}_{\mathrm{PI}} \leq 1$, E05, only shows a minute anthropogenic decrease in $\mathcal{L}$ ($\mathcal{L}_{\mathrm{PD}}/\mathcal{L}_{\mathrm{PI}} - 1 = -3.1 \times 10^{-4}$). The PPE members thus behave similarly to the E3SM results of Christensen et al. (2023) and Varble et al. (2023), MME described by Mülmenstädt et al. (2024a), and CAM6 PPE described by Mikkelsen et al. (2024): robust negative slopes in the N_d–$\mathcal{L}$ relationship but no sign of an appreciable global-mean decrease in $\mathcal{L}$ due to anthropogenic N_d perturbation.

3.2 Parametric controls on $\mathcal{L}_{\mathrm{PD}}/\mathcal{L}_{\mathrm{PI}}$ and m_h

Both $\mathcal{L}_{\mathrm{PD}}/\mathcal{L}_{\mathrm{PI}}$ and m_h differ markedly between the four groups of perturbations that constitute the PPE:

Liquid microphysics Both m_h and $\mathcal{L}_{\mathrm{PD}}/\mathcal{L}_{\mathrm{PI}}$ respond more strongly to liquid microphysical parameters than to any other group of parameters perturbed in the PPE. This is especially true for precipitation-related parameters. The responses of m_h and $\mathcal{L}_{\mathrm{PD}}/\mathcal{L}_{\mathrm{PI}}$ to precipitation parameters are highly correlated, driving the overall regression of $\mathcal{L}_{\mathrm{PD}}/\mathcal{L}_{\mathrm{PI}}$ onto m_h and overall high correlation ($r = 0.92$) between $\mathcal{L}_{\mathrm{PD}}/\mathcal{L}_{\mathrm{PI}}$ and m_h.

A strong response of $\mathcal{L}_{\mathrm{PD}}/\mathcal{L}_{\mathrm{PI}}$ to the precipitation parameters is expected given the central role of precipitation suppression in the model's $\mathrm{RA}_{\mathcal{L}}$. What is less expected is that the correlation is between $\mathcal{L}_{\mathrm{PD}}/\mathcal{L}_{\mathrm{PI}}$ and m_h, not m_l (shown in Fig. S1), when process understanding tells us that m_l is the leg of the inverted v that reflects precipitation suppression. One possible explanation is that the negative correlation is intrinsic (Mülmenstädt et al., 2024a), but precipitation suppression rotates the entire N_d–$\mathcal{L}$ relationship, even at high N_d, toward less negative values of the regression slope. In this interpretation, possible explanations for the absence of a correlation between $\mathcal{L}_{\mathrm{PD}}/\mathcal{L}_{\mathrm{PI}}$ and m_l could be that m_l is not actually a process fingerprint for precipitation suppression, as suggested by Goren et al. (2024), or that the m_l regression is driven by outliers in the N_d distribution given that the apex point in the model occurs at a very low $N_d^{\mathrm{peak}} \approx 10$ cm^{-3} compared to observations ($N_d^{\mathrm{peak}} \approx 30$ cm^{-3}).

The effect of the precipitation parameters on $\mathcal{L}_{\mathrm{PD}}/\mathcal{L}_{\mathrm{PI}}$ need not be only through the precipitation suppression mechanism. In addition to an effect of N_d on precipitation, there are also effects of precipitation on N_d, for example via scavenging (Wood et al., 2012; Gryspeerdt et al., 2019; McCoy et al., 2020; Mikkelsen et al., 2024). Indeed, many of the

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PPE members with large $\mathcal{L}_{PD}/\mathcal{L}_{PI}$ changes relative to the default model also show large correlated N_d^{PD}/N_d^{PI} changes (Fig. S2). Part of the explanation for the $\mathcal{L}_{PD}/\mathcal{L}_{PI}$ dependence on precipitation may thus simply be the effect of precipitation on N_d. We emphasize it is not likely to explain the entire dependence: the tightest (most correlated) relationship is still between $\mathcal{L}_{PD}/\mathcal{L}_{PI}$ and m_h, not N_d^{PD}/N_d^{PI}; and there is no strong relationship between $\mathcal{L}_{PD}/\mathcal{L}_{PI}$ and the N_d^{PD} base state (Fig. S3), counter to what we would expect if the precipitation effect on N_d explained the precipitation effect on $\mathcal{L}_{PD}/\mathcal{L}_{PI}$.

The N_d exponent in autoconversion (b_{au}) does not appear to play any special role compared to other autoconversion parameters, evidenced by E05, E06, and E07 all falling on a common line with the other warm-cloud microphysics PPE members. Since b_{au} also changes the base-state precipitation probability, this provides further evidence that precipitation probability, rather than precipitation susceptibility, controls the global $RA_{\mathcal{L}}$, as also reported for the ECHAM–HAM model by Mülmenstädt et al. (2020). (This conclusion applies to the values of b_{au} typically used in tuned two-moment microphysics schemes in GCMs. At some point, the precipitation-suppression contribution to $RA_{\mathcal{L}}$ must go to zero as $b_{au} \to 0$ (Gryspeerdt et al., 2020; Mahfouz et al., 2024; Mülmenstädt et al., 2024b), but in the $-1.2 \geq b_{au} \geq -1.79$ range explored in this PPE, we are apparently far from this change in behavior.)

Mixed-phase microphysics The mixed-phase microphysical parameters perturbed in this ensemble do not appear to have a strong effect on the anthropogenic change in $\mathcal{L}$ in the purely liquid clouds selected for analysis.

Turbulence and convection Neither convection nor turbulence parameter perturbations cause appreciable changes in $\mathcal{L}_{PD}/\mathcal{L}_{PI}$ or m_h. Given the process interpretation that the descending branch of the inverted v is controlled by turbulence-mediated entrainment feedbacks, the absence of a response to turbulence parameters is surprising. If an entrainment feedback is active in the model, as Mülmenstädt et al. (2024b) argue, it is either not sensitive to the turbulence parameters or of negligible significance in the global mean. That m_h is insensitive to turbulence parameters and negative is further evidence that the negative correlation between N_d and $\mathcal{L}$ is primarily driven by mechanisms other than microphysical adjustment processes (Mülmenstädt et al., 2024a; Goren et al., 2024).

Activation The PPE members with perturbed activation form a cluster apart from the other PPE members in m_h–$\mathcal{L}_{PD}/\mathcal{L}_{PI}$ space. Their $\mathcal{L}_{PD}/\mathcal{L}_{PI}$ is comparable to the other PPE members with default microphysics (i.e., not in the μp-liquid group). However, a higher (less negative) m_h sets these experiments apart from the other group of experiments without $\mathcal{L}_{PD}/\mathcal{L}_{PI}$ change. The mechanism for either behavior is unclear. Base-state N_d and N_d^{PD}/N_d^{PI} both differ across the three activation experiments (Figs. S2 and S3), indicating that the absence of a response in $\mathcal{L}_{PD}/\mathcal{L}_{PI}$ is likely due to compensation between multiple mechanisms.

3.3 Potential emergent constraint on $\mathcal{L}_{PD}/\mathcal{L}_{PI}$ from the slope of the inverted v

The PPE relationship between m_h, which is observable in the present day, and $\mathcal{L}_{PD}/\mathcal{L}_{PI}$, an unobservable climate response, qualifies as a candidate for an emergent constraint. Read as an emergent constraint, a linear regression based on the PPE

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members can be used to infer a constrained $\mathcal{L}_{\mathrm{PD}}/\mathcal{L}_{\mathrm{PI}}$ corresponding to the observed satellite value $m_h = -0.36$ (Gryspeerdt et al., 2019). The constrained $\mathcal{L}_{\mathrm{PD}}/\mathcal{L}_{\mathrm{PI}} = 0.976 \pm 0.009 < 1$ (90% interval calculated from the regression standard error), which would bring the sign of the emergent constraint-based GCM estimate into agreement with other lines of evidence on $\mathrm{RA}_{\mathcal{L}}$ (Bellouin et al., 2020).

Klein and Hall (2015) warn that candidates emergent constraints must be thoroughly vetted to distinguish a true emergent constraint, with actual predictive power for the unobservable climate response, from undesirable correlations that occur for reasons other than a mechanistic link between the present-day observable and the climate response. In general, it is not possible to rule out such reasons, which can include spurious correlations that are an accident of statistical sampling or mechanistic links other than the hypothesized mechanism but with no bearing on the climate response. Evidence in favor of the emergent contraint relationship identified here falls into two categories identified by Klein and Hall (2015). First, the correlation between the observable and the climate response is strong, reducing the risk of a spurious correlation. Second, the candidate emergent constraint relationship results from perturbations to the model representation of physical processes (precipitation, turbulence) hypothesized to affect both the present-day observable and the climate response; as Klein and Hall (2015) point out, the use of a PPE provides a more direct attribution of the emergent constraint to physics perturbations than a multimodel ensemble would.

As with any emergent constraint candidate, confidence will grow if these results are replicated in other model ensembles, both because of the increased statistical robustness and because of improved understanding of the role of the model physics when the hypothesized underlying model mechanisms are structurally perturbed (by choice of other host models or other cloud, precipitation, and turbulence parameterizations). There is an additional test, more specific to this emergent constraint candidate, that would help distinguish between a statistical relationship between the observable and the climate response that exists only because both depend on the base state (e.g., Mülmenstädt et al., 2020) – in which case the relationship is of no use in the real climate case where the base state is given – or a relationship that exists even when the base state is held fixed, which is the case in the climate projection problem. In PPEs of cloud and turbulence parameters with emulators (e.g., Regayre et al., 2023; Eidhammer et al., 2024; Elsaesser et al., 2024; Nugent et al., submitted), it will be possible to perform this test by determining whether the emergent constrain candidate presented here persists when the constraint of the present-day observable climate state is imposed to reduce the accessible model parameter space.

4 Conclusions

Modern GCMs are able to reproduce (Mülmenstädt et al., 2024a) the negative relationship between N_d and $\mathcal{L}$ seen in satellite retrievals (Gryspeerdt et al., 2019) and large eddy simulations (e.g., Glassmeier et al., 2019; Hoffmann et al., 2020). Nonetheless, GCMs predict that $\mathcal{L}_{\mathrm{PD}}/\mathcal{L}_{\mathrm{PI}} \geq 1$, i.e., the causal effect of an increase in N_d is to increase $\mathcal{L}$, the opposite sign of the correlation observed in present-day internal variability. Mülmenstädt et al. (2024a, b) argued that this raises the troubling possibility that we are misinterpreting the observational and LES lines of evidence, due to the difficulty of establishing causality

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in observations and the difficulty of determining whether the idealized or sporadic cases that have been simulated by LES are representative of the global climate behavior.

Perhaps we can now see a few more shades of gray between these two categorical extremes. Instead of asking binary questions such as "is the negative correlation between N_d and $\mathcal{L}$ causal?" (Mülmenstädt et al., 2024a), we can instead ask whether the slope, as a continuous variable, provides a continuous constraint on the adjustment mechanism. The answer to this quantitative question appears to be affirmative. It also appears to resolve some of the tension between Mülmenstädt et al. (2024a) and other lines of evidence on $\mathrm{RA}_{\mathcal{L}}$; the mere existence of a negative slope is not a guarantee of $\mathcal{L}_{\mathrm{PD}}/\mathcal{L}_{\mathrm{PI}} < 1$, but a negative-enough slope, including the satellite-observed $m_h = -0.36$, does indicate $\mathcal{L}_{\mathrm{PD}}/\mathcal{L}_{\mathrm{PI}} < 1$.

The other binary question we have asked previously, "is the model structurally capable of representing adjustment mechanisms that reduce $\mathcal{L}$?" (Mülmenstädt et al., 2024b), nevertheless remains. One interpretation of the existence of this emergent constraint relationship is that there is a parametric explanation for the differences between the GCM estimate of $\mathrm{RA}_{\mathcal{L}}$ and the estimate from other lines of evidence, that is, the model could in principle be tuned to produce $\mathcal{L}_{\mathrm{PD}}/\mathcal{L}_{\mathrm{PI}} < 1$. This interpretation is also consistent with the finding that an enhanced entrainment mechanism is represented and active in the model (Mülmenstädt et al., 2024b) but is neither the dominant $\mathrm{RA}_{\mathcal{L}}$ mechanism nor the dominant contributor to the negative N_d–$\mathcal{L}$ correlation. This parametric explanation is in conflict, however, with the absence of any PPE members that convincingly produce $\mathcal{L}_{\mathrm{PD}}/\mathcal{L}_{\mathrm{PI}} < 1$ and with the very muted response of $\mathcal{L}_{\mathrm{PD}}/\mathcal{L}_{\mathrm{PI}}$ and m_h to turbulence parameters. Whether global models are structurally capable of agreement with other lines of evidence on $\mathrm{RA}_{\mathcal{L}}$, therefore, is still a question looking for a definitive answer.

Code and data availability. Model output is available from https://doi.org/10.5281/zenodo.18512979. The analysis code is available from https://doi.org/10.5281/zenodo.18513016. The analysis code relies on an R package available from https://doi.org/10.5281/zenodo.14286144.

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Table 1. Model experiments performed as part of the PPE. Experiments are grouped by which physics was perturbed: liquid cloud microphysics (μp–liquid); mixed-phase cloud microphysics (μp–mixed); cloud droplet activation; and turbulence or convection. The perturbed parameters are described in Section 2.1.

experiment	type	description
E00	default	E3SMv1
E01	μp–liquid	E00 + $\nu = 1$
E02	μp–liquid	E00 + $\nu = 2$
E04	μp–liquid	E01 + Khairoutdinov and Kogan (2000)
E05	μp–liquid	E04 + $a_{au} = 2.47 \rightarrow 3.19$
E06	μp–liquid	E04 + $b_{au} = -1.79 \rightarrow -1.2$
E07	μp–liquid	E04 + $k_{au} = 1350 \rightarrow 135$ s^{-1}
E08	μp–liquid	E00 + $f_{sed} = 2$
E10	μp–liquid	E01 + Kogan (2013) au, $a_{au} = 3.19 \rightarrow 4.22, b_{au} = -1.2 \rightarrow -3.01, k_{au} = 30500 \rightarrow 7.98 \times 10^{10}$ s^{-1}
E11	μp–liquid	E01 + $r_0 = 40$ μm
E14	μp–liquid	E00 + $\nu = 8$
E16	μp–liquid	E00 + Kogan (2013) ac, $a_{ac} = 1.15 \rightarrow 1.05, b_{ac} = 1.15 \rightarrow 0.98, k_{ac} = 67 \rightarrow 8.51$ s^{-1}
E19	μp–liquid	E16 + $f_{acc} = 1.5 \rightarrow 5$
E09	μp–mixed	E00 + cloud phase 238 – 268 K → 233 – 253 K
E15	μp–mixed	E00 + $f_{WBF} = 0.1 \rightarrow 0.5$
E12	activation	E00 + no w'_{min}
E13	activation	E12 + stronger TKE ($C_1 = 1.335 \rightarrow 0.45$)
E18	activation	E17 + no w'_{min}
E03	turbulence/convection	E00 + $\gamma = 0.32 \rightarrow 0.24$
E17	turbulence/convection	E00 + $C_1 = 2$
E20	turbulence/convection	E00 + $C_8 = 4.3 \rightarrow 4.8$
E21	turbulence/convection	E00 + $C_8 = 4.3 \rightarrow 5.3$
E22	turbulence/convection	E00 + $\mu = 10^{-3} \rightarrow 0.5 \times 10^{-3}$ m^{-1}
E23	turbulence/convection	E00 + $\mu = 10^{-3} \rightarrow 2 \times 10^{-3}$ m^{-1}
E24	turbulence/convection	E00 + $dm_p/dz = -0.35 \times 10^{-3}$ m^{-1}
E25	turbulence/convection	E00 + $c_0 = 0.007 \rightarrow 0.0035$ m^{-1}
E26	turbulence/convection	E00 + reduce $C_6(4,6) \rightarrow (3,4.5)$
E27	turbulence/convection	E00 + $C_{14} = 1.3 \rightarrow 2.0$

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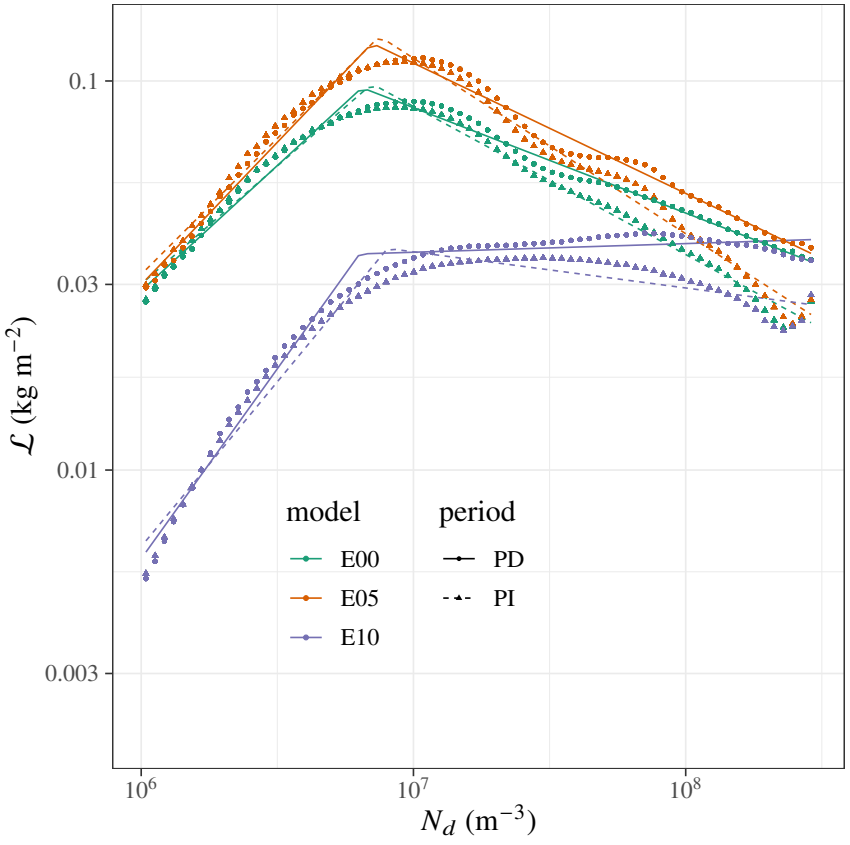

Figure 1. N_d–$\mathcal{L}$ relationships across PPE members. Default model configuration and configurations with the most negative and least negative descending-leg slope are shown. Inverted-v fits are overlaid.

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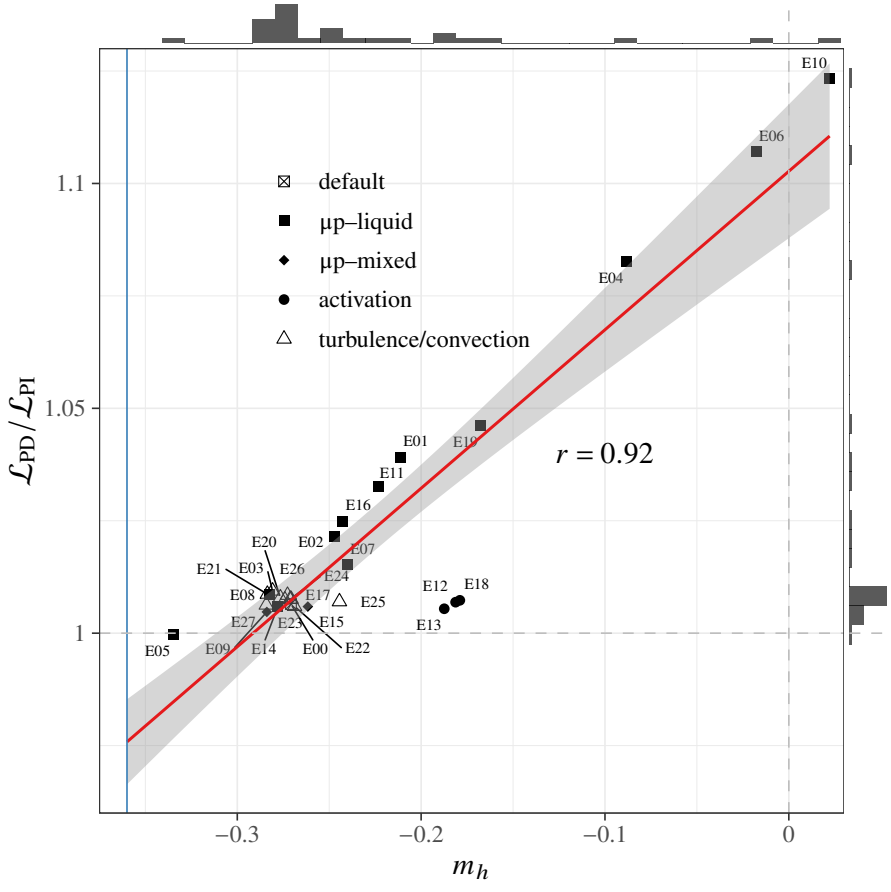

Figure 2. Scatter of m_h against $\mathcal{L}_{PD}/\mathcal{L}_{PI}$ and marginal distributions of m_h and $\mathcal{L}_{PD}/\mathcal{L}_{PI}$ across PPE members. Physics perturbation labels are as in Table 1. The satellite-derived value of m_h reported by Gryspeerdt et al. (2019) is indicated as a vertical line. The emergent constraint using the PPE regression and the Gryspeerdt et al. (2019) satellite-observed m_h is $\mathcal{L}_{PD}/\mathcal{L}_{PI} = 0.976 \pm 0.009$ (90% interval calculated from the regression standard error).