



1 Aircraft-derived particle fluxes distinguish entrainment zone and 2 decoupled layer nucleation in marine boundary layers

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7 **Abstract** New particle formation (NPF) in marine boundary layers plays a critical role in cloud condensation nuclei
8 (CCN) budgets and aerosol–cloud interactions, yet the vertical distribution of NPF sources, critical for predicting CCN
9 production efficiency, remains poorly constrained. We identified the vertical location of NPF events by deriving
10 turbulent fluxes of 3–10 nm particles from aircraft measurements during the Aerosol and Cloud Experiments in the
11 Eastern North Atlantic (ACE-ENA) campaign. To overcome stationarity limitations of traditional eddy covariance
12 methods, we applied continuous wavelet transform analysis to data collected during June–July 2017 and January–
13 February 2018 flights over the Azores. Our flux-based analysis revealed two distinct NPF scenarios with
14 fundamentally different vertical structures and spatial extents. The first scenario featured nucleation in the entrainment
15 zone, where free tropospheric air entrains into the boundary layer. The second scenario showed nucleation in the
16 decoupled layer, a stratified region between the well-mixed surface layer and cloud-topped upper boundary layer.
17 Both cases exhibited strong downward particle fluxes driven by similar mechanisms: air masses from different layers
18 and mixing, which diluted aerosols to very low particle surface area, creating favorable nucleation conditions. NPF
19 occurred in 15% of flights, challenging prevailing theoretical expectations that NPF should rarely occur in marine
20 boundary layers due to high condensation and coagulation sinks from sea spray aerosols. Aircraft-derived aerosol
21 fluxes provide essential observational constraints on the vertical distribution and source strength of new particle
22 formation in marine environments, enabling improved representation of these processes in climate models.
23

24 1. Introduction

25 Cloud adjustments due to aerosols constitute one of the most significant uncertainties in climate modeling
26 (Intergovernmental Panel on Climate Change (IPCC), 2023). The magnitude of anthropogenic aerosol radiative
27 forcing over the industrial period is strongly influenced by the abundance and properties of natural aerosols (Andreae,
28 2007; Carslaw et al., 2013; Hoose et al., 2009; Meskhidze et al., 2011). While uncertainties in aerosol radiative forcing
29 from different processes (emissions, long-range transport, new particle formation, and removal) vary spatially, marine
30 boundary layer (MBL) cloud microphysical properties exhibit the highest sensitivity to aerosol changes (Bellouin et
31 al., 2020; Zhang et al., 2024). Understanding how marine low level clouds and their radiative effects respond to
32 changing aerosol load is important due to their extensive spatial coverage, low optical thickness, and low background
33 cloud condensation nuclei (CCN) concentrations. The response of these clouds to changes in aerosol loading remains



34 poorly constrained and represents a key source of uncertainty in climate projections (Zhang et al., 2024).
35 Consequently, understanding aerosol composition, dynamics, and the mechanisms controlling CCN number budgets
36 within the MBL is critical for improving climate models and reducing predictive uncertainties.
37 Previous studies have identified three primary aerosol sources in remote MBLs: (1) long-range continental transport
38 (Logan et al., 2014), (2) downward mixing of particles formed in the free troposphere (FT) through new particle
39 formation (NPF) mechanisms (Clarke et al., 2013), and (3) sea spray emissions (Quinn et al., 2017). NPF occurring
40 either near the top of stratocumulus cloud decks within open-cell regions (Petters et al., 2006) or in the upper portions
41 of mid-latitude MBLs (Zheng et al., 2021) has been suggested as an important in-situ aerosol source within the MBL.
42 However, the difficulty in capturing actual nucleation events and determining their precise vertical location has led to
43 the prevailing theoretical view that NPF should rarely occur in remote marine boundary layers over open oceans. This
44 expectation is based on the relatively high surface area of sea spray aerosols, which act as condensation and
45 coagulation sinks for nucleating vapors and newly formed particles (Bates et al., 1998; Pirjola et al., 2000).
46 Determining the vertical origin of freshly nucleated particles, whether from the free troposphere, the interfacial layer
47 near the marine boundary layer–free troposphere boundary, or the interface between the well-mixed marine boundary
48 layer and decoupled layer, has critical implications for both fundamental understanding and climate modeling.
49 Knowledge of where nucleation occurs is essential for understanding aerosol formation mechanisms and enabling
50 climate models to accurately simulate aerosol number size distributions required for radiative calculations. Most
51 atmospheric models have historically assumed that nucleation should be negligible in marine boundary layers, instead
52 predicting that particle formation would be favored at high altitudes where both temperature and aerosol surface area
53 are substantially lower. However, traditional time-averaged aerosol concentration measurements from aircraft
54 campaigns provide limited information about the precise vertical location where nucleation events occur. This
55 limitation has prevented definitive identification of nucleation zones within the marine boundary layer and hampered
56 efforts to constrain the relative importance of different aerosol sources to marine CCN budgets. Without direct
57 observational evidence of where particles form, climate models continue to rely on theoretical assumptions that may
58 not accurately represent actual nucleation processes in marine environments.
59 To address this critical knowledge gap, vertical turbulent flux measurements of freshly nucleated particles have
60 emerged as particularly valuable tools for characterizing the vertical location of particle nucleation (Islam et al., 2022).
61 The flux direction provides direct evidence of nucleation location: positive (upward) fluxes indicate nucleation below
62 the aircraft, while negative (downward) fluxes suggest nucleation above the aircraft. This approach offers
63 unprecedented spatial and temporal resolution for identifying nucleation zones that cannot be detected through
64 conventional concentration measurements alone. In this study, we derive vertical turbulent fluxes of 3–10 nm particles
65 using data collected during the Aerosol and Cloud Experiments in the Eastern North Atlantic (ACE-ENA) campaign.
66 The campaign comprised two intensive operational periods (IOPs) – summer 2017 and winter 2018 – utilizing the G1
67 research aircraft from the DOE Atmospheric Radiation Measurement (ARM) program. By applying continuous
68 wavelet transform techniques to high-frequency aircraft measurements, we provide the first direct observational
69 constraints on the vertical distribution of new particle formation in remote marine boundary layers, enabling improved
70 representation of aerosol sources in climate models.



71 **2. Materials and Methods**

72 **2.1 Sampling Site**

73 The Department of Energy Atmospheric Radiation Measurement (DOE-ARM) Eastern North Atlantic (ENA) facility
74 is positioned on Graciosa Island within the Azores archipelago, located in the northeastern Atlantic Ocean to the west
75 of Portugal (Mather and Voyles, 2013). Air mass transport to this location follows four main pathways: (1) polluted
76 outflow from North American sources, (2) continental emissions originating from northern European regions, (3)
77 relatively clean Arctic air masses, and (4) air masses that recirculate within the Azores High pressure system (Wood
78 et al., 2015; Zheng et al., 2018). The location is characterized by a low average annual aerosol optical depth (AOD)
79 of 0.12 (Logan et al., 2014).

80 Data collection for this research occurred during the ACE-ENA field campaign, which included two intensive
81 observation periods (IOPs): the initial period ran from June 21 to July 20, 2017, while the second period extended
82 from January 15 to February 18, 2018 (Wang et al., 2019). All data from the ARM ENA site are publicly accessible
83 through the ARM Data Discovery tool.

84 **2.2 Instrumentation**

85 This study utilized datasets from the ARM Aerial Facility (Schmid et al., 2014). The G-1 research aircraft was
86 equipped with over 50 instruments for comprehensive measurements of aerosols, clouds, and atmospheric processes.
87 Detailed information regarding flight patterns executed during the campaign can be found in (Wang et al., 2019).
88 Two Condensation Particle Counters (CPCs, models 3025A and 3772, TSI Inc.) with nominal 50% counting efficiency
89 cutoff diameters of 3 nm and 10 nm, respectively, sampled through an isokinetic inlet exhibiting >90% efficiency for
90 particles with aerodynamic diameters below 5 μm . The concentration of 3–10 nm sized particles was calculated as the
91 difference between these CPC measurements and is denoted as N_{3-10} throughout this paper. Since the measurements
92 did not extend to particle sizes small enough to directly identify nucleation events, we follow (Islam et al., 2022) in
93 using the term "small particle event" (SPE) to characterize these observations. The CPC 3772 operated at a constant
94 1 LPM flow rate maintained by an external pump and critical orifice (Fan and Pekour, 2018), while the CPC 3025A
95 sample flow rate was not actively controlled. Both flow rates remained stable across the sampling altitude range
96 (Zheng et al., 2021). The airborne CPC configuration was validated for operation up to 4000 m altitude and across
97 ambient relative humidity conditions of 0–90% RH. For a typical polluted environment ($\sim 5000 \text{ cm}^{-3}$), CPC
98 concentration measurements had an accuracy of 0.3 % (Kuang and Mei, 2019). All data used in this study passed
99 instrument mentor specified quality control filters, which are distributed alongside the data.

100 Vertical wind speed (w) was measured using the Aircraft Integrated Meteorological Measurement System probe
101 (AIIMS-20, Aventech Research Inc.). The raw measurements define downward movement as positive; therefore, the
102 sign was inverted to align with meteorological convention (positive values indicating updrafts and negative values
103 indicating downdrafts). Although measurements were recorded at 20 Hz, they were downsampled to 1 Hz to match
104 the temporal resolution of the CPC data acquisition.

105 Aerosol size distributions from 10 nm to 600 nm were characterized using a Fast Integrated Mobility Spectrometer
106 (FIMS) (Kulkarni and Wang, 2006a, b). The FIMS provides high temporal resolution measurements with excellent



107 sensitivity and counting statistics required for aircraft-based studies (Olfert et al., 2008). Particles are charged within
108 the instrument and separated by electrical mobility using an applied electric field. The separated particles are
109 subsequently grown into supermicron droplets in a condenser and imaged with a high-speed camera. This approach
110 enables the FIMS to deliver size distribution measurements comparable to those of Scanning Mobility Particle Sizers
111 (SMPS), but at a significantly higher time resolution. This study employed an advanced FIMS configuration utilizing
112 a spatially varying electric field that extends the measurement range from 10 nm to 600 nm (Wang et al., 2017b, a).
113 Size distribution measurements were normalized to dry conditions; therefore, reported size distributions and number
114 concentrations do not represent ambient humidity conditions. Cloud contamination filters were applied to prevent
115 misclassification of cloud droplets as aerosol particles, with detailed filtering procedures described in the following
116 section. FIMS-derived number concentration also served as a quality control flag for the 3772 CPC, where CPC
117 concentrations less than 10% of corresponding FIMS concentrations were excluded from analysis.
118 A single-particle soot photometer (SP2) measured refractory black carbon concentrations in the 50 nm – 500 nm size
119 range. While the SP2 detects individual particles and can provide number concentrations, this study reports mass
120 concentrations (ng m⁻³) (Schwarz et al., 2006). A high-resolution time-of-flight aerosol mass spectrometer (HR-ToF-
121 AMS) measured bulk nonrefractory aerosol composition including sulfate, nitrate, ammonium, and organics.
122 Dimethylsulfide (DMS) concentrations were measured using a quadrupole high-sensitivity Proton-Transfer-Reaction
123 Time-of-Flight Mass Spectrometer (PTR-ToF-MS). Due to measurement uncertainties (Zheng et al., 2021) DMS data
124 indicate presence along the flight track rather than providing precise quantification. All data products are publicly
125 available through the ARM DOE website with citations in the data availability section and have undergone quality
126 control by instrument mentors. Additional technical details are available in the corresponding citations.

127 **2.3 Data Reduction**

128 **2.3.1 Droplet shattering and cloud contamination**

129 Droplet shattering represents a significant source of measurement contamination in airborne aerosol sampling studies.
130 Weber et al., (1998) described this phenomenon as the fragmentation of cloud droplets during in-cloud measurements,
131 which can produce artifacts as small as 3 nm that appear in sampling instruments. Similarly, Korolev and Isaac, (2005)
132 documented comparable shattering effects with ice particles. While a detailed examination of the physical mechanisms
133 behind droplet shattering lies beyond this study's scope, it is essential to filter such artifacts from our dataset to prevent
134 misidentification of SPEs.
135 Cloud contamination was systematically detected and eliminated by calculating liquid water content (LWC) using the
136 approach of Zheng et al., (2021), which utilizes droplet size spectra from the Fast Cloud Droplet Probe (FCDP). Visual
137 data examination established a detection threshold of 3×10^{-3} g m⁻³, comparable to the 10^{-3} g m⁻³ threshold employed
138 by Zheng et al., (2021). Data exceeding this LWC threshold were excluded from analysis.



139 **2.3.2 Time lag correction**

140 Accurate temporal alignment is critical for flux computations when data originate from multiple instruments in field
141 campaigns. For tower-based or surface measurement systems, temporal synchronization typically employs cross-
142 correlation analysis in which the vertical velocity time series is temporarily shifted (forward or backward) relative to
143 the particle concentration time series (Stull, 1988). Although inlets are usually positioned in close proximity to sample
144 identical air masses, this temporal adjustment is necessary to account for potential transport delays to detectors, which
145 are often located at different positions on the tower. This approach operates on the principle that flux calculations
146 (cross-correlation values) reach their maximum when both signals are optimally synchronized, thereby enabling
147 accurate lag time determination. Similar analysis is essential for aircraft data processing to account for both signal
148 delay (when inlets share the same location, but detectors are positioned differently) and spatial separation effects
149 (when inlets themselves are located at different positions on the aircraft).

150 *Platform-Specific Measurement Characteristics:* Flux measurements differ fundamentally between tower-based and
151 aircraft platforms in their spatial and temporal sampling characteristics. Tower measurements provide continuous
152 observations at fixed heights, capturing the complete turbulent eddy spectrum within the atmospheric boundary layer,
153 including low-frequency contributions essential for accurate flux estimates (Helbig et al., 2021; Sakai et al., 2001).
154 Aircraft measurements sample different air masses as the platform moves horizontally, effectively trading temporal
155 for spatial averaging (Desjardins et al., 1989). Aircraft measurements at higher boundary layer altitudes face additional
156 challenges. In convective boundary layers, turbulent intensity increases with height above the surface layer before
157 decreasing after $0.3\text{--}0.4 z_i$ (where z_i is the boundary layer height), requiring measurement lengths of 100 to 10^4 times
158 the boundary layer height to maintain flux variance within 10% (Lenschow and Stankov, 1986). For aircraft traveling
159 at 100 m s^{-1} , a 10 Hz sampling system resolves eddies as small as 20 m, while a 1 Hz sampling system resolves eddies
160 down to 200 m.

161 Tower measurements easily satisfy stationarity requirements through 30-minute averaging periods, whereas aircraft
162 measure turbulence over large areas much faster but must assume spatial homogeneity along the flight path (Gioli et
163 al., 2004). High aircraft speeds introduce additional constraints on sensor response times and spatial resolution, as
164 instruments must respond quickly enough to resolve the smallest relevant eddies, a challenge that intensifies at higher
165 flight speeds and lower altitudes where smaller eddy sizes result in higher observed frequencies when sampled by
166 fast-moving aircraft (Desjardins et al., 1989)

167 *CPC synchronization validation:* Since the CPCs used in this study were connected to the same isokinetic inlet from
168 different positions, confirmation was needed that they sampled identical air masses simultaneously. Supplementary
169 Figure S1 shows particle concentration measurements from both CPCs for a representative day. Although the absolute
170 values differ as expected due to their different size detection limits (3 nm vs. 10 nm), the temporal patterns closely
171 align. In Supplementary Figure S2, the Spearman correlation coefficient (ρ) was calculated for the particle
172 concentration measured from both CPCs after removing cloud shattering artifacts and excluding data corresponding
173 to small particle events (SPEs), since only the ultrafine CPC can detect SPEs (selection criteria will be discussed in
174 subsequent sections). From the complete campaign dataset, 370 seconds of data were randomly selected to avoid
175 selection biases, yielding an average ρ of 0.97. The entire CPC dataset was segmented into 20-second intervals



176 (representing the time taken for the airplane to traverse 2 km), and lag times were determined using covariance
177 maximization. Supplementary Figure S3 shows the analysis results, suggesting that lag times of 0 and 1 seconds
178 occurred in 13% and 27% of cases, respectively. Since no single lag time was sufficiently prevalent to apply uniformly
179 across the entire campaign, individual lag times were determined prior to each flux calculation.

180 *Pressure-based lag time determination:* As the vertical wind speed and the CPC measurements were taken from
181 different parts of the aircraft, determining the time lag was essential to ensure that both the AIMMS-20 probe and the
182 isokinetic inlet sampled air masses from identical locations. To achieve this, the pressure measured at the isokinetic
183 inlet was compared with the static pressure measured by the Rosemount 1201F1 pressure sensor mounted on the
184 AIMMS-20 probe. Supplementary Figure S4 shows the time series of measured pressure from both inlets for a
185 representative day, demonstrating that both measurements follow similar pressure variation patterns at 1 Hz temporal
186 resolution.

187 Supplementary Figure S5 shows the Spearman correlation coefficient for the pressure measurements from both inlets.
188 Data selection followed the same approach used for CPC data, though without filtering for cloud shattering or SPEs
189 since these phenomena do not affect pressure measurements. The correlation coefficient was 0.99, suggesting
190 negligible lag between the instruments. We applied the same covariance maximization technique used for the CPC
191 data analysis. As shown in Figure S6, no single lag time was appropriate for the entire campaign. Consequently,
192 individual lag times were calculated for each case.

193 **2.4 Aerosol number flux calculations**

194 As previously discussed, maintaining stationarity conditions presents significant challenges for aircraft-based
195 measurements due to the platform's high velocity, which fundamentally alters the sampling framework compared to
196 stationary tower measurements (Islam et al., 2022). To address this limitation, this study employs the continuous
197 wavelet transform (CWT) method for flux derivation. The primary advantage of the CWT approach over traditional
198 methods is that it does not require stationarity conditions and eliminates the need for data detrending, thereby
199 preventing systematic errors that can arise from linear detrending procedures in flux calculations (Rannik and Vesala,
200 1999). This study follows the method developed by (Torrence and Compo, 1998) for CWT flux derivation. The
201 wavelet coefficient, $W_N(a,b)$, for a function $x(z)$ which changes with height, is calculated as a function of both location
202 (height for airborne measurements or time for ground-based measurements) and scale (frequency or wavenumber)
203 through convolution with a wavelet function (ψ):

$$204 W_N(a,b) = \int_{-\infty}^{\infty} x(z) \psi_{a,b}(z) dz \quad (1)$$

205 where $\psi_{a,b}(z)$ represents the wavelet function, controlled by the scale parameter (a) and translation parameter (b). The
206 scale parameter governs the wavelet frequency, while the translation parameter shifts it in the temporal domain. The
207 wavelet function is defined as:

$$208 \psi_{a,b}(z) = \frac{1}{\sqrt{a}} \psi_0\left(\frac{z-b}{a}\right) \quad (2)$$



209 All wavelet functions are based on a “mother” wavelet, ψ_0 . For this study, the Morlet wavelet is chosen as the mother
210 wavelet, which is the product of a plane wave with a Gaussian function (Torrence and Compo, 1998). Schaller et al.,
211 (2017) reported that the Morlet wavelet provides reliable results in flux analysis even when traditional eddy covariance
212 methods fail.

213
$$\psi_0(\eta) = \pi^{-\frac{1}{4}} e^{i\omega_0\eta} e^{-\frac{\eta^2}{2}} \quad (3)$$

214 where ω_0 is the non-dimensional frequency (set to 6 for this study) and η is the non-dimensional time parameter and
215 $\eta = \frac{z-b}{a}$, the first exponential term is the complex sinusoid, and the second exponential term is the Gaussian envelope.
216 Using this methodology, the vertical turbulent particle flux can be calculated according to (Schaller et al., 2017;
217 Torrence and Compo, 1998) as:

218
$$\overline{w'(z)N'_{3-10}(z)} = \frac{\delta_t}{C_\delta} \times \frac{\delta_j}{L} \times \sum_{n=0}^{L-1} \sum_{j=0}^J \left[\frac{W_N(a,b) \times W_w^*(a,b)}{a(j)} \right] \quad (4)$$

219 C_δ , the wavelet specific reconstruction factor is taken as 0.776, which is empirically derived for the chosen wavelet
220 (Schaller et al., 2017; Torrence and Compo, 1998) , L represents the number of elements in the time series with
221 timestep δ_t which is the inverse of frequency (1 Hz for this study), J is the maximum number of scales with δ_j being
222 the space between each discrete scale (Schaller et al., 2017; Torrence and Compo, 1998).

223
$$J = \delta_j^{-1} \times \log_2 \left(\frac{L \times \delta_t}{s_0} \right) \quad (5)$$

224 δ_j was chosen to be 0.25 s (Schaller et al., 2017; Torrence and Compo, 1998), this value can be adjusted to get better
225 scale resolution at the expense of higher computational cost, s_0 is the smallest scale of the wavelet taken as $2\delta_t$, $a(j)$ is
226 the scale parameter for the discrete scale calculated as:

227
$$a(j) = s_0 \times 2^{j\delta_j} \quad j = 0, 1, \dots, J \quad (6)$$

228 $W_N(a,b)$ is the wavelet coefficient for the particle concentration signal, and $W_w^*(a,b)$ is the complex conjugate of the
229 wavelet coefficient for the vertical velocity signal. Under stationary conditions, fluxes calculated using both CWT and
230 traditional eddy covariance methods should yield equivalent results, though agreement may vary in aircraft
231 measurements due to the presence of non-stationarities (Misztal et al., 2014; Wolfe et al., 2018).

232 **2.5 Limit of Detection Determination**

233 Spirig et al., (2005) demonstrated that calculating covariance at time ranges significantly larger than the integral time
234 scale can quantify the precision of individual flux determinations. Since the integral time scale cannot be accurately
235 determined in this study, we employ a large time range approach. The limit of detection (LoD) is defined as 1.96 times
236 (95% confidence interval) the standard deviation of covariance between w and N_{3-10} when one of the signals is



237 temporarily shifted with respect to the other. Signal shifts -10 to $+10$ seconds (corresponding to ± 1 km spatial
238 displacement) are applied to ensure complete decoupling between the signals. Flux calculations are restricted to
239 periods when the aircraft maintained horizontal, straight line flight segments to ensure reliable flux estimates and
240 minimize the influence of aircraft maneuvers on turbulence measurements. The limit of detection is used as an absolute
241 value and hence if a particular flux event is lower in absolute value compared to the LoD, they will not be considered
242 for analysis.

243 **2.6 Small particle events selection criteria**

244 Establishing appropriate threshold values for new particle formation over tropical oceanic regions presents significant
245 challenges due to sparse observational datasets and the intricate interactions between meteorological and chemical
246 processes in these environments. Earlier studies have typically used concentration thresholds of 10^3 to 10^4 particles
247 cm^{-3} for ultrafine particles (3–25 nm) to distinguish nucleation events from background conditions. However, these
248 criteria were primarily established based on observations from continental or mid-latitude marine environments (Dal
249 Maso et al., 2005; Kulmala et al., 2012). Given that tropical marine regions are characterized by reduced background
250 aerosol loadings and distinct precursor gas profiles relative to higher latitude zones, more conservative thresholds
251 (500 – 1000 particles cm^{-3}) may prove better suited for detecting nucleation phenomena in these relatively unpolluted
252 environments (Modini et al., 2009; Weber et al., 1997). Additionally, the aircraft-based measurement method
253 prevented the implementation of traditional nucleation event identification criteria, specifically the requirement for
254 persistent concentration increases spanning 1–2 hours to distinguish genuine nucleation from brief local source
255 influences (Kulmala et al., 2012).

256 For this study, we modified the methodology established by Zheng et al., (2021) to detect small particle
257 events (SPEs). Individual 1-second measurements were used to identify when N_{3-10} concentrations exceeded 150 cm^{-3} ,
258 once identified the measurements that exceeded the threshold were grouped into 10-second intervals (equivalent to ~ 1
259 km spatial resolution), and the average N_{3-10} for the group was checked to see if it achieved statistical significance
260 using:

261
$$N_3 - N_{10} > 3\sigma_D \quad (7)$$

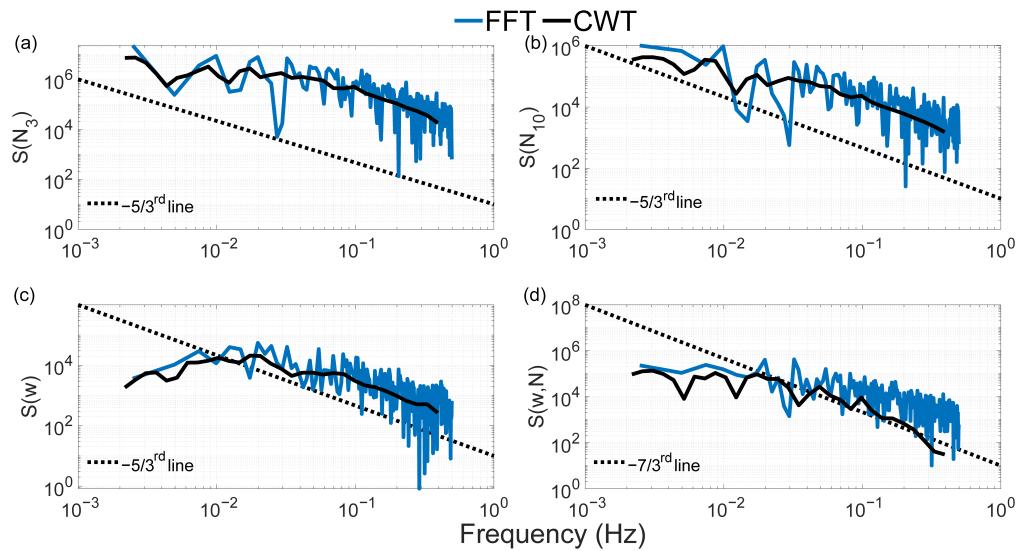
262 where σ_D represents the uncertainty in the concentration difference between N_3 and N_{10} , expressed as:

263
$$\sigma_D = \sigma(N_3 - N_{10}) \quad (8)$$



264 **2.7 Frequency response and flux averaging time**

265 Momentum, enthalpy, and matter are transported in the atmosphere by eddies of different spatial scales. One-
266 dimensional power spectral analysis is used to decompose the signal into components of different frequencies, which
267 are associated with different eddy sizes. Fast Fourier Transform (FFT) and Continuous Wavelet Transform (CWT)
268 were used to calculate the power spectral density (PSD) of vertical wind speed and particle concentration.



269

270 **Figure 1. Power spectral density for (a) N_3 , (b) N_{10} , (c) vertical wind velocity, and (d) 3-10 nm particle flux.**

271

272 Figure 1 shows the PSD for a flight leg on 21 June 2017 between 13:43 and 13:49 UTC at a height of 550 m above
273 mean sea level. Dashed lines represent the theoretical slopes for the inertial subrange, which describe how energy
274 cascades from larger to smaller eddies and finally dissipates as heat due to viscous friction (Pope, 2000). Both particle
275 concentration spectra (e.g., Fig. 1b) and flux spectra (Fig. 1d) exhibit deviations from the theoretical $-5/3$ and $-7/3$
276 Kolmogorov scaling at frequencies larger than 0.3 Hz. The spectral flattening observed at these frequencies is
277 characteristic of white noise, suggesting instrumental limitations where the CPC cannot adequately resolve
278 concentration fluctuations faster than ~ 3 sec.

279 Figure 1 shows some differences between FFT and CWT flux calculations, especially for fluxes at high frequencies.
280 These differences likely stem from several key methodological differences. Aircraft data are inherently non-stationary
281 as the platform moves through different air masses, meteorological conditions, and altitudes. FFT assumes stationarity
282 over the entire analysis window, which can introduce artifacts at high frequencies when applied to non-stationary
283 aircraft data. CWT can handle non-stationary signals by providing time-localized frequency information, making it
284 more robust for aircraft measurements (Schaller et al., 2017). Li et al., (2023) evaluated uncertainties of turbulent flux
285 calculation using eddy covariance and wavelet analysis methods, finding that "EC and Morlet-wavelet generate biases

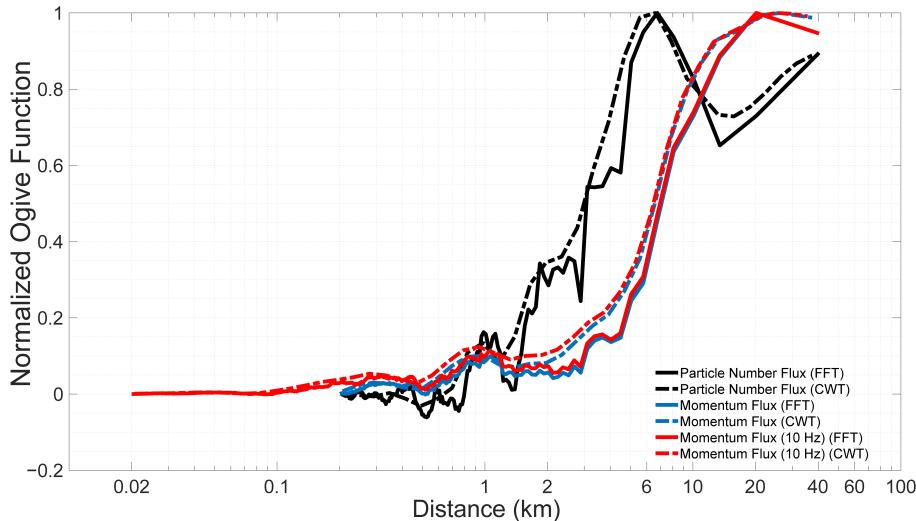


286 ranging 50–100% of the 'true' values. FFT can suffer from spectral leakage, especially at high frequencies, when the
287 raw signal doesn't fit perfectly into the sine or cosine signal in the analysis window. This is particularly problematic
288 for aircraft data, where turbulent structures may not be periodic over the sampling interval (Harris, 1978). CWT uses
289 wavelets that are naturally windowed and localized, reducing leakage effects. At high frequencies corresponding to
290 small spatial scales, aircraft measurements become increasingly challenging due to sensor response time limitations,
291 spatial averaging effects, and platform motion artifacts (more on this in Sect. 2.8). The CWT's more conservative
292 high-frequency response may better represent the actual resolvable flux contributions (Misztal et al., 2014).
293 To assess whether the calculated fluxes adequately represent both low- and high-frequency turbulent contributions,
294 we conducted ogive analysis using the approach described by Foken et al., (2006). Mobile measurement platforms
295 necessitate modified considerations for flux averaging intervals. Standard 30-minute averaging periods used in
296 stationary tower observations are inappropriate for aircraft measurements. Considering the aircraft's ground speed, a
297 90-second sampling period covers an equivalent air mass to that sampled by a stationary sensor over 30 minutes at
298 typical wind speeds of 5 m s^{-1} . To enable direct comparison between ogives computed using FFT and CWT methods,
299 normalization was applied according to Sun et al., (2018):

$$300 \quad \bar{Og}(f) = \frac{Og(f)}{sgn\{max(Og(f))+min(Og(f))\}max(|Og(k)|)} \quad (9)$$

301 where $sgn\{x\}$ represents the signum function, returning +1 for positive x , -1 for negative x , and zero when x equals
302 zero. When the normalized ogive equals 1, the ogive value corresponds precisely to the covariance value for that
303 averaging period. The advantage of this normalization approach is that it facilitates the identification of cases where
304 low-frequency turbulence has an opposite sign to high-frequency turbulence. In such situations, large and small eddies
305 transport material in opposing directions, indicating complex atmospheric processes such as counter-gradient
306 transport. The normalized ogive plot visually reveals these opposing contributions through characteristic rise-and-fall
307 patterns that might otherwise be obscured in non-normalized data.

308 Figure 2 illustrates the ogive as a function of distance covered by the aircraft for the same flight leg shown in Fig. 1.
309 Signal frequency was converted to distance by dividing the aircraft speed (assumed to be constant at 100 m s^{-1}) by the
310 frequency obtained from the FFT or CWT analysis. This plot reveals that the particle flux for this flight leg can be
311 resolved by averaging over 40 km. While both FFT and CWT ogives show agreement for this case, such consistency
312 cannot be expected universally; therefore, CWT fluxes are used throughout this study for the reasons discussed in
313 previous sections.



314

315 **Figure 2: Normalized ogive function.**

316 **2.8 Flux loss correction**

317 If the sensor used to measure fluxes are too slow to accurately capture the smaller eddies that contribute to the total
 318 flux, the turbulent fluxes will require correction. For micrometeorological flux measurements on towers at 10 m above
 319 the surface, instruments are typically operated at 10 Hz (Nyquist frequency = 5 Hz). Under typical wind speeds of 5
 320 m s^{-1} , this sampling rate can resolve eddies as small as ~ 1 m, ensuring that most energy-containing and inertial
 321 subrange eddies are captured (Aubinet et al., 2012; Lee et al., 2005; Stull, 1988).

322 However, airborne flux measurements present different challenges. The integral length scales of turbulent eddies
 323 increase approximately linearly with height within the surface layer (roughly the bottom 10% of the boundary layer),
 324 then remain approximately constant above this level, limited by the boundary layer height (Kaimal and Finnigan,
 325 1994). In the mixed layer portion of a typical boundary layer (above ~ 100 m surface layer), integral length scales are
 326 typically 100-200 m (Lenschow and Stankov, 1986). At an aircraft ground speed of 100 m s^{-1} , the 1 Hz sampling
 327 provides 200 m spatial resolution, which approaches but does not fully resolve the integral length scale. Consequently,
 328 the sampling resolution approaches the lower limit for adequately resolving the dominant flux-carrying scales and
 329 may under sample contributions from smaller turbulent structures.

330 To address this limitation, we applied the approximations from Horst (1997) to estimate the ratio of measured flux
 331 (F_m) to "true" flux (F) for different atmospheric stability conditions encountered during campaign flights:

$$332 \frac{F_m}{F} = \frac{1}{1 + (2\pi n_m \tau_c \bar{u})^\alpha} \quad (10)$$

333 where F_m is the measured flux, F is the "true" flux, \bar{u} is the magnitude of average wind speed, z is the height of the
 334 airplane, τ_c is the response time constant of the CPC, which was taken as 3.0 s, $\alpha = 0.88$, and $n_m = 0.085$ for neutral



335 and unstable conditions (Pryor et al., 2007). Equation 10 was originally developed by Horst (1997) to estimate the
336 attenuation of scalar flux measurements within the surface layer, but has been applied to aircraft measurements (Gioli
337 et al., 2004), with corrected airborne fluxes showing good agreement with tower data when aircraft measurements
338 were conducted over homogeneous surfaces at altitudes comparable to tower height.

339 **3.0 Results**

340 We examine two flight days as case studies of SPEs observed at varying altitudes above the ocean. Additional
341 supporting flights are presented in the Supplementary Information for each case.

342 Table 1. Summary of N_{3-10} particle vertical turbulent flux estimates from aircraft campaigns with detection limits and
343 flux loss assessment.

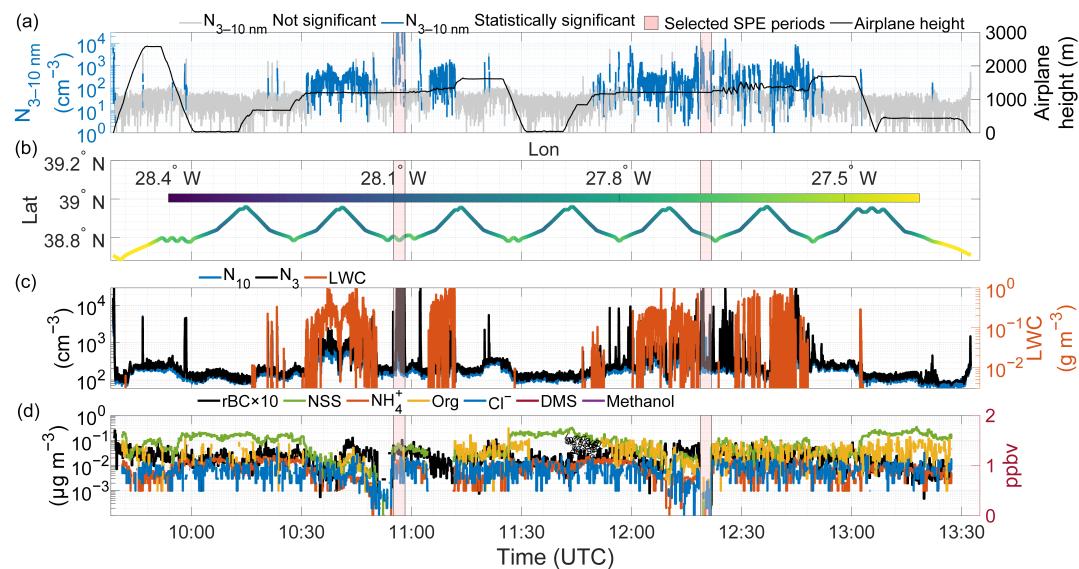
No	Date	Time (UTC)	Height (m)	N_{3-10} flux ($\text{cm}^{-2} \text{s}^{-1}$)	LoD ($\text{cm}^{-2} \text{s}^{-1}$)	F_m/F	$\sigma^2 w^*^{-2}$
Entrainment zone nucleation							
1	01/29/18	10:54:59-10:58:13	1,205	-41,092	34,423	0.97	0.01
		12:18:47-12:21:50	1,218	-2,975	2,085	0.98	0.005
2	02/10/18	13:53:20-13:55:02	1,375	-1,195	381	0.93	0.003
Decoupled layer nucleation							
3	06/21/17	14:03:30-14:09:25	800	1,139	294	0.99	0.016
		13:56:10-14:02:25	800	2,929	1,239	0.98	0.021
		13:42:40-13:49:23	550	-2,782	1,995	0.95	0.1
		13:32:20-13:38:40	30	-860	400	0.76	0.17
4	07/07/17	13:42:18-13:43:04	565	-94,093	49,410	0.86	0.02
		13:43:07-13:44:58	535	-21,317	4,959	0.90	0.031
5	02/18/18	14:17:32-14:19:38	555	298	115	0.81	0.016



		14:47:10-14:51:34	250	-3,217	1,153	0.70	0.056
6	02/12/18	14:54:27-14:58:37	837	5,433	1,173	0.93	0.04

344

345 **Case 1: SPE occurring in the entrainment zone near the top of the marine boundary layer**



346

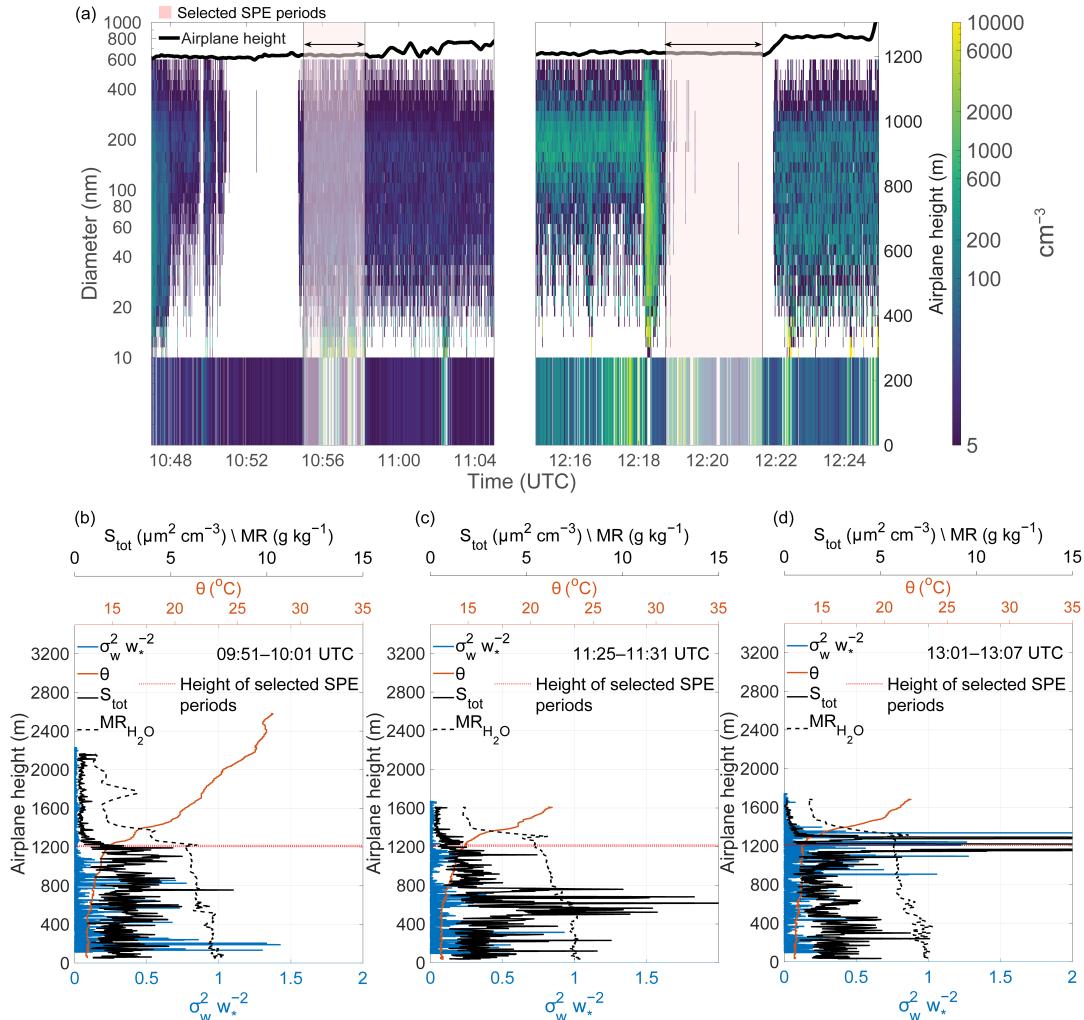
347 **Figure 3.** Multi-parameter time series during the January 29, 2018 flight showing: (a) N_{3-10} particle concentrations with
 348 aircraft altitude (b) aircraft position with latitude and longitude; (c) particle number concentrations for N_{10} , N_3 , and liquid
 349 water content; and (d) non-refractory aerosol chemical composition including non-seasalt sulfate (NSS), ammonium (NH_4^+),
 350 organics (Org), chlorine ion (Cl^-), refractory black carbon (rBC, multiplied by 10 for visualization) in $\mu g m^{-3}$, and trace
 351 gases dimethylsulfide (DMS) and methanol in ppbv.

352

353 Figures 3–5 present data collected on January 29, 2018 (with an additional example from February 10, 2018, shown
 354 in Supplementary Figs. S7–S9). Figure 3 presents a multi-panel time series spanning approximately 3.5 hours of flight
 355 operations. The aircraft first ascended to ~2,500 m but generally operated below ~1,500 m throughout the flight (Fig.
 356 3a). The flight trajectory (Fig. 3b) demonstrates predominantly east-west movement across the Azores region,
 357 spanning latitudes from approximately 39.0° to 39.5°N and longitudes from -28.4° to -27.4°W. High liquid water
 358 content regions (orange in Fig. 3c) indicate frequent cloud encounters. Following our quality control procedures, all
 359 N_{3-10} concentration data with liquid water presence were excluded from analysis to prevent contamination from cloud
 360 droplet shattering artifacts. The pink-shaded periods mark the intervals chosen for detailed analysis, which exhibited
 361 simultaneous increases in both N_3 and N_{10} concentrations exceeding 10^4 cm^{-3} (indicating an SPE). Figure 3d
 362 demonstrates that these periods contained no measurable liquid water and were distinguished by substantial



363 concentrations ($\sim 0.1 \mu\text{g m}^{-3}$) of non-seasalt sulfate. Organic concentrations were also elevated throughout the flight,
 364 especially during the second half.



365
 366 **Figure 4.** (a) The main panel shows size-resolved particle number concentrations (10–600 nm) from FIMS as a function of
 367 time and altitude, while N_{3-10} concentrations in the lower strip. (b–d) Vertical profiles of potential temperature (θ),
 368 normalized vertical velocity variance ($\sigma_w^2 w_*^{-2}$), particle total surface area (S_{tot}), and water vapor mixing ratio (MR). Gaps
 369 in the time series indicate the missing data.

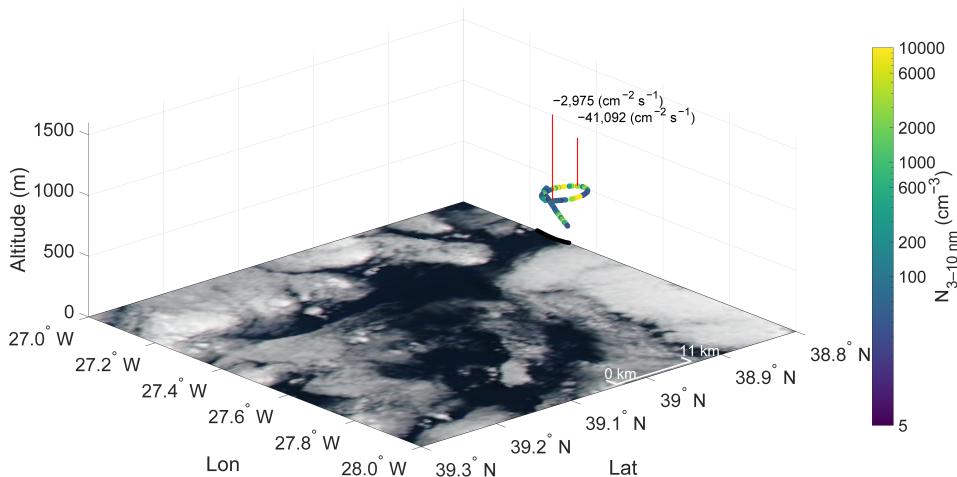
370 Figure 4a shows the temporal evolution of particle concentration between $\sim 10:47$ – $11:05$ and $\sim 12:15$ – $12:25$ UTC. The
 371 colormap represents FIMS-derived, size-resolved aerosol number concentrations (10–600 nm diameter), varying
 372 across time and altitude, while the lower panel displays N_{3-10} concentrations. The two pink-highlighted intervals are
 373 the same as in Fig. 3. The high particle concentration $\sim 12:18$ UTC is likely an artifact due to cloud droplet shattering.
 374 Figures 4b–d present vertical profiles of potential temperature, normalized vertical velocity variance ($\sigma_w^2 w_*^{-2}$) i.e.,
 375 the vertical velocity variance normalized by the square of the convective velocity scale), total particle surface area,



376 and the water vapor mixing ratio at three locations nearest to the pink-highlighted intervals. Sharp gradients in the
377 potential temperature (orange) profile, often called potential temperature inversion or capping inversion, mark the top
378 of the MBL. These gradients indicate the presence of an entrainment zone (e.g., (Boers and Eloranta, 1986)), a layer
379 at the top of the boundary layer where free tropospheric air masses are entrained into the capping inversion and interact
380 with convective thermals rising from below. Figures 4b–d reveal a deep boundary layer with the entrainment zone
381 between approximately 1,200–1,400 m, consistent with previous estimates that entrainment zones typically comprise
382 20–40% of boundary layer depth (Martin et al., 2014).

383 Figures 4b–d present the profiles of $(\sigma_w^2 w_*^{-2})$ profiles, a metric that characterizes the intensity of turbulent structures
384 in convective boundary layers (Deardorff, 1974; Dewani et al., 2023). These profiles show elevated $(\sigma_w^2 w_*^{-2})$ values
385 near the ocean surface and within the entrainment zone, with minimal values in the free troposphere, where significant
386 turbulence is absent. The sharp gradients in mixing ratios shown in Figs. 4b–d indicate moisture convergence that
387 either precedes cloud formation or reflects recently dissipated clouds that have left behind residual moisture signatures
388 due to changing atmospheric conditions. Figure 3 demonstrates frequent cloud encounters during this flight. Despite
389 some vertical variability shown in Figs. 4b–d, the total particle surface area (S_{tot}) remained relatively low throughout
390 the flight, falling well below the campaign averages of $\sim 30 \mu\text{m}^2 \text{cm}^{-3}$ in the surface mixed layer and $\sim 10 \mu\text{m}^2 \text{cm}^{-3}$
391 in the upper decoupled layer reported by Zheng et al. (2021). Figure 4c also shows a distinct S_{tot} maximum at an
392 altitude where small gradients in both potential temperature and mixing ratio suggest the presence of an entrainment
393 layer. The pronounced S_{tot} increase could indicate a nucleation occurring at this location (see Case 2 below), although
394 this hypothesis could not be independently verified looking at the N_{3-10} data in this case. Figure 4c shows that the
395 entrainment zone and free troposphere were characterized with extremely low S_{tot} values.

396 Figure 5 presents the spatial distribution of N_{3-10} particle concentrations along the flight path at $\sim 1,200$ m altitude
397 (dashed lines in Figs. 4b–d). Concentrations up to $10,000 \text{ cm}^{-3}$ indicate potential nucleation within this air mass.
398 Previous studies identify the mixed layer or entrainment zone as the likely location for nucleation events (Größ et al.,
399 2018; Meskhidze et al., 2019; Nilsson et al., 2001). Several mechanisms that could initiate nucleation include adiabatic
400 cooling in the rising convective plumes, turbulent fluctuation in temperature and vapor concentration caused by
401 entrainment flux, and dilution of mixed-layer air by the entrained air, causing a sudden decrease in preexisting aerosol
402 concentration (Nilsson et al., 2001). Combined with extremely low preexisting particle concentrations, these processes
403 create favorable conditions for new particle formation.



404

405

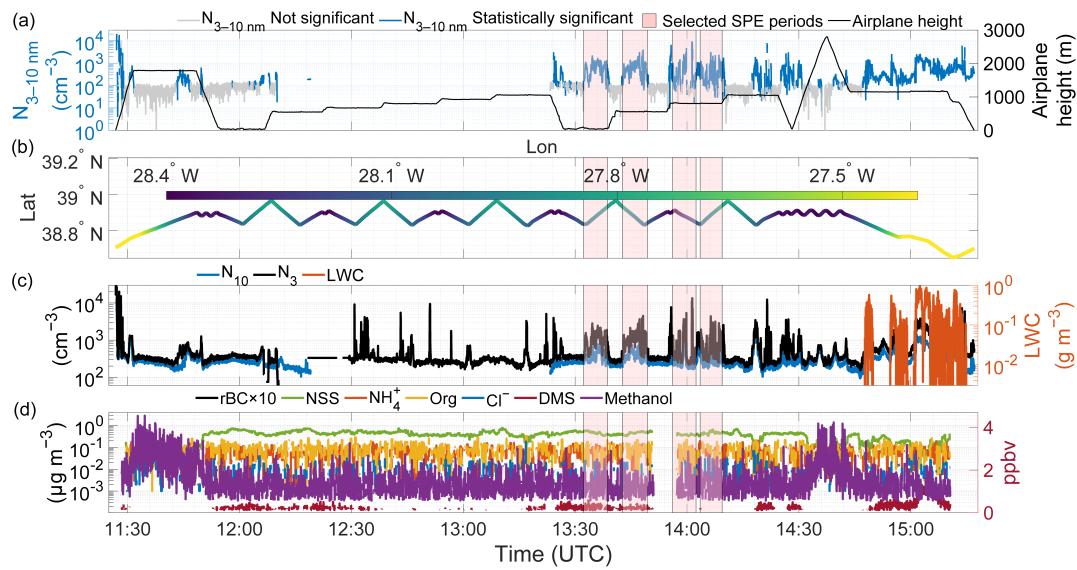
406 **Figure 5. Spatial distribution of N_{3-10} particle concentrations along the flight track during the period highlighted in Figures**
 407 **3 and 4. Mean calculated fluxes are labeled on the track. Color scale indicates particle number concentrations (cm⁻³). The**
 408 **background shows a satellite-corrected reflectance image taken from the overpass at 15:15 UTC, with the ocean surface**
 409 **appearing dark and clouds appearing white, Credit: NASA Worldview Snapshots.**

410 The exact spatial location and the horizontal extent of the SPE cannot be definitely determined from aircraft
 411 measurements alone. However, substantial downward flux of N_{3-10} particles ($-41,092$ and $-2,975 \text{ cm}^{-2} \text{ s}^{-1}$) at $\sim 1,200$
 412 m strongly suggests nucleation occurring within the entrainment zone. This interpretation is supported by the absence
 413 of N_{3-10} at $\sim 1,600$ m during 11:14–11:25 and 12:51–13:01 UTC (Fig. 3). The small particle size (3–10 nm) and less
 414 than 10 km horizontal extent argue against free tropospheric nucleation, as particles would have grown and the plume
 415 would have diluted during descent. Supplementary Figs. S8 and S9 show a downward flux of N_{3-10} particles ($-1,195$
 416 $\text{cm}^{-2} \text{ s}^{-1}$) at 1,375 m with complete absence of N_{3-10} above $\sim 1,400$ meters, indicating SPE occurrence specifically
 417 within the entrainment zone between 1,375–1,400 m.
 418 Figure 5 indicates the SPE horizontal extents of ~ 2 to 10 km. Nilsson et al., (2001) proposed that convective roll
 419 vortices, quasi-two-dimensional coherent structures manifesting as alternating updraft/downdraft bands (Etling and
 420 Brown, 1993) could enhance nucleation in the entrainment zone. These organized eddies, often related to a cold air
 421 outbreak, span the full boundary layer depth and with characteristic aspect ratios (wavelength/boundary layer depth)
 422 of 2–6 (Etling and Brown, 1993; Hartmann et al., 1997).
 423 Figures 3–5 and the flux analysis (Table 1) demonstrate that the entrainment zone nucleation near the MBL top
 424 occurred on two days (January 29 and February 10, 2018), representing nearly 5% of flight days. Despite a relatively
 425 small horizontal extent (< 10 km), these newly formed particles can be entrained in the boundary layer via vertical
 426 turbulent processes, potentially playing an important role in controlling CCN concentrations for marine clouds.



427 **Case 2: SPE occurring at the interface between the well-mixed surface layer and the decoupled layer**

428 Figures 6–8 present data from June 21, 2017 (with additional examples from July 7, 2017, February 18, 2018 and
 429 February 12, 2018 shown in Supplementary Figs. S10–S12, S13–15, and S16–S18). Figure 6 shows approximately 4
 430 hours of flight operations, with the aircraft initially operating at very low altitudes (~30 and 50 m) around 12:00 and
 431 13:30 UTC, then gradually ascending to ~1,000 m. Multiple events with N_{3-10} concentrations from 10^2 to 10^4 cm^{-3}
 432 were observed throughout the second half of the day. The flight trajectory in Fig. 6b demonstrates predominantly east-
 433 west movement, spanning latitudes from approximately 39.0° to 39.5°N and longitudes from -28.4° to -27.4°W . The
 434 pink-highlighted intervals show concurrent increases in N_3 and N_{10} concentrations exceeding 10^3 cm^{-3} , indicative of
 435 SPEs. High concentrations of both nss-sulfate and methanol persisted throughout when the aircraft remained below
 436 ~1,000 m (Fig. 6d), with methanol concentration increasing as the aircraft ascended above ~1,000 m.



437

438 **Figure 6. Same as Figure 3 but for June 21, 2017.**

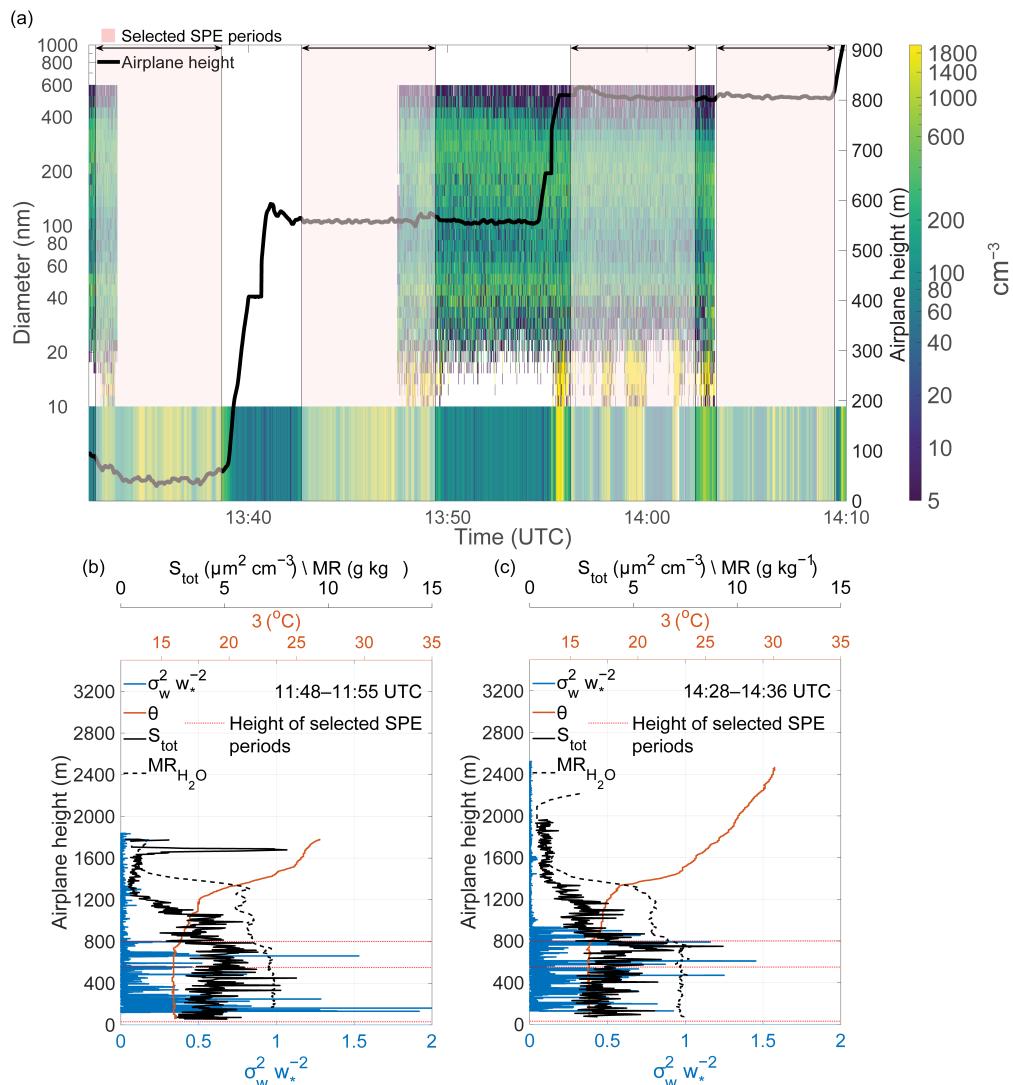
439

440 Figure 7a shows size-resolved particle number concentrations evolution during 13:30–14:20 UTC, encompassing the
 441 four pink-highlighted intervals from Fig. 6. The panel Fig. 7a displays N_{3-10} particle concentrations. High N_{3-10}
 442 concentrations were encountered at multiple altitudes, with maximum values exceeding $1,800 \text{ cm}^{-3}$ at ~800 m.
 443 The potential temperature and water vapor profiles (Figs. 7b,c) show the MBL structure consisting of a well mixed
 444 surface layer extending to ~ 700 m and a decoupled upper boundary layer between ~ 700–1300 m. Decoupled
 445 structures typically form from radiative heating of the cloud layer and evaporative cooling in the sub-cloud layer,
 446 which stabilize the boundary layer and suppress vertical mixing (Galewsky et al., 2022; Jones et al., 2011; Wood and
 447 Bretherton, 2004). Sharp gradients in both potential temperature and mixing ratio around 1,300 m marking the
 448 entrainment zone, above which the free troposphere begins above 1,400 m. The ($\sigma_w^2 w_*^{-2}$) profiles show higher
 449 magnitudes in the mixed layer (indicating active turbulence) and low magnitudes in both the decoupled layer (due to



450 stratification and suppressed vertical mixing) and free troposphere. Total particle surface area remained low in the
 451 mixed layer but increased considerably toward the top of the mixed layer before decreasing in the decoupled layer and
 452 reaching very low values in the free troposphere.

453



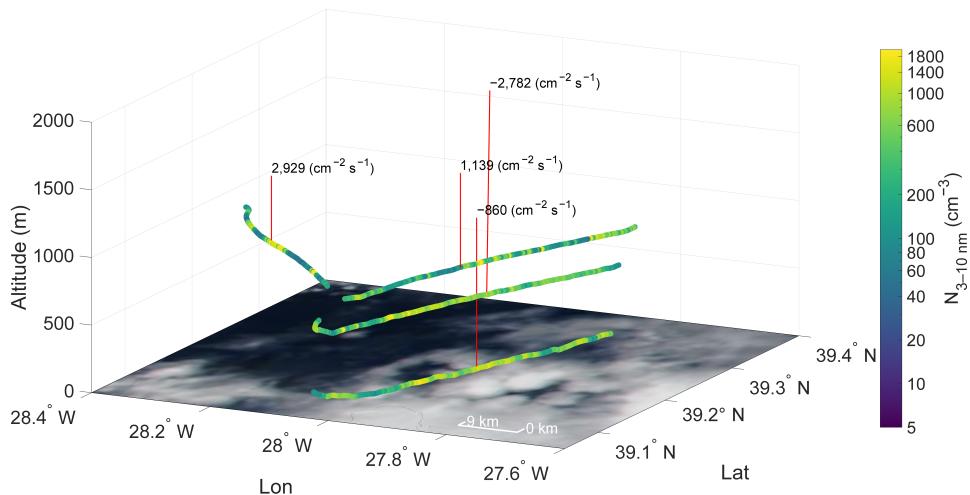
454
 455 **Figure 7.** Same as Figure 4, but for June 21, 2017.

456

457 Figure 8 shows N_{3-10} particle concentrations along flight paths at varying altitudes: 30 m, 550 m, and two segments at
 458 800 m. We separated the 800 m path to prevent the airplane sampling the same airmass because from 13:55–14:03
 459 UTC the aircraft flew along the prevailing wind direction, then changed direction by 90° to fly perpendicular to the



460 wind. The positive vertical turbulent fluxes at 800 and negative values below suggest the SPE occurred between 550–
461 800 meters, likely at the top of the well mixed layer or the bottom of the decoupled layer.
462 The nucleation processes in the entrainment zone and at the base of the decoupled layer could be mechanistically
463 similar. Both locations feature the convergence of distinct air masses, in this case, the well-mixed surface layer and
464 the stratified decoupled layer above, which generates turbulent mixing and aerosol dilution effects. While less
465 pronounced than at the boundary layer top, the interface between these layers exhibits comparable thermodynamic
466 conditions: potential temperature gradients, contrasting vapor concentrations, and localized adiabatic cooling. Figure
467 7 demonstrates that the decoupled layer maintains significantly lower aerosol surface area concentrations relative to
468 the well-mixed layer below, establishing conducive conditions for nucleation when air mass mixing occurs. A key
469 distinction, however, lies in the spatial characteristics, whereas entrainment zone nucleation showed limited horizontal
470 extent (<10 km), the decoupled layer event spanned at least 50–60 km, suggesting either more persistent favorable
471 conditions or a fundamentally different source mechanism operating over regional scales.



472

473 **Figure 8.** Same as Figure 3, but for June 21, 2017. The background shows a satellite-corrected reflectance image taken from
474 the overpass at 14:15 UTC with the ocean surface appearing dark and clouds appearing white, Credit: NASA Worldview
475 Snapshots.

476 Figure 8 reveals a strong negative flux of N_{3-10} ($-2,782 \text{ cm}^{-2} \text{ s}^{-1}$) at 550 m that is nearly three times greater
477 in magnitude than the flux at 50 m ($-860 \text{ cm}^{-2} \text{ s}^{-1}$), likely due to particle evolution through growth and coagulation,
478 and dilution processes during vertical transport. The positive fluxes of N_{3-10} observed at 800 m (2,929 and $1,139 \text{ cm}^{-2}$
479 s^{-1}) suggest that nucleation initiated either at the top of the well-mixed boundary layer or at the bottom of the overlying
480 decoupled layer. This bidirectional flux structure suggests that newly formed particles were transported both upward
481 and downward from the formation zone through turbulent mixing. The comprehensive analysis presented in Figs. 6–



482 8, combined with the flux calculations in Table 1, provides some evidence that SPEs can originate within decoupled
483 layer structures, constituting a significant source of secondary marine aerosols in stratified boundary layer conditions.

484 **4. Discussion**

485

486 This study demonstrates the value of vertical turbulent flux measurements for characterizing new particle formation
487 (NPF) in remote marine boundary layers. By deriving 3–10 nm particle fluxes from aircraft measurements during the
488 ACE-ENA campaign, we identified two mechanistically distinct NPF scenarios that challenge conventional
489 understanding of marine aerosol sources.

490 Our analysis reveals different NPF mechanisms operating in the marine boundary layer. The first mode – entrainment
491 zone nucleation – occurs at the boundary layer top (1,200–1,400 m) where several factors create favorable conditions:
492 (1) dilution of mixed-layer air by entrained free tropospheric air causes sudden decreases in preexisting aerosol surface
493 area, (2) adiabatic cooling in rising convective plumes reduces saturation vapor pressures, and (3) turbulent
494 fluctuations in temperature and vapor concentration enhance nucleation rates (Größ et al., 2018; Nilsson et al., 2001).
495 Strong downward fluxes (up to $-41,092 \text{ cm}^{-2} \text{ s}^{-1}$) and the absence of 3–10 nm particles above the entrainment zone
496 provide direct evidence that nucleation occurs specifically in this $\sim 200 \text{ m}$ layer. The limited horizontal extent (2–9
497 km) of these events, comparable to the wavelength-to-depth ratios of convective roll vortices (Etling and Brown, 1993;
498 Hartmann et al., 1997), suggests that organized boundary layer convection may concentrate precursor vapors and
499 newly formed particles into coherent structures.

500 Our analysis reveals a second distinct mode of new particle formation occurring within decoupled marine boundary
501 layer structures, where particles originate at the interface between the well-mixed surface layer and the overlying
502 decoupled layer (~ 700 – 800 m altitude). While mechanistically similar to entrainment zone processes through air mass
503 convergence, thermodynamic gradients, and aerosol dilution effects, decoupled layer nucleation occurs within the
504 boundary layer structure rather than at its top, operating under conditions of reduced aerosol surface area
505 concentrations and stratified vertical mixing. This mode exhibits a regional-scale horizontal extent ($>50 \text{ km}$) compared
506 to the localized nature ($<10 \text{ km}$) of entrainment zone events. The substantial negative flux magnitudes observed at
507 intermediate altitudes ($-2,782 \text{ cm}^{-2} \text{ s}^{-1}$ at 550 m) combined with positive fluxes aloft demonstrate active particle
508 redistribution throughout the marine boundary layer, suggesting this mode represents a significant and previously
509 underappreciated source of secondary marine aerosols that can efficiently contribute to regional cloud condensation
510 nuclei budgets through direct incorporation into the surface mixed layer where particles undergo growth to cloud-
511 relevant sizes.

512 **5. Conclusions**

513 The prevailing theoretical framework, based on relatively high sea spray aerosol surface area acting as condensation
514 and coagulation sinks (Bates et al., 1998; Pirjola et al., 2000), predicted that NPF should rarely occur in remote marine
515 boundary layers over open oceans. Our observations demonstrate that this framework is incomplete. Low aerosol



516 surface area and specific meteorological configurations can create localized or regional zones where conditions
517 become favorable. For entrainment zone and decoupled layer events, extremely low aerosol concentrations, combined
518 with turbulent mixing and adiabatic cooling can create a transient "window" where nucleation can proceed despite
519 moderate surface area concentrations lower in the boundary layer. Recent ground-based observations from the same
520 campaign (Zheng et al., 2021) documented frequent NPF events but could not definitively determine vertical location.
521 Our flux-based approach resolves this ambiguity by providing direct evidence of where particles originate relative to
522 the measurement location. The negative (downward) fluxes in Case 1 unambiguously demonstrate an above-aircraft
523 source, while the bidirectional fluxes in Case 2 indicate a distributed source encompassing the measurement altitude.
524 These findings have important implications for understanding marine CCN budgets. Notably, the spatial scales of
525 these two NPF modes differ by an order of magnitude: entrainment zone events exhibited limited horizontal extents
526 (<10 km), consistent with localized convective structures, while decoupled layer events spanned regional scales (50–
527 60 km), suggesting fundamentally different formation mechanisms or persistence of favorable conditions. Entrainment
528 zone nucleation, despite limited horizontal extent, may contribute significantly to CCN populations through sustained
529 downward transport via convective mixing. The calculated flux of $-41,092 \text{ cm}^{-2} \text{ s}^{-1}$ integrated over several hours
530 could deliver substantial numbers of particles to the surface mixed layer where they can grow to CCN sizes. Though
531 flux magnitudes for the decoupled layer nucleation events ($-2,782 \text{ cm}^{-2} \text{ s}^{-1}$) are less pronounced, their large spatial
532 extent likely makes even larger contributions to regional CCN budgets.

533 Climate models have historically followed theoretical expectations that marine boundary layer nucleation should be
534 negligible, instead representing new particles as primarily originating from free tropospheric entrainment or long-
535 range continental transport (Clarke et al., 2013; Logan et al., 2014). Our observations, combined with recent ground-
536 based measurements (Zheng et al., 2021), demonstrate that this representation misses an important aerosol source.
537 The frequent occurrence of NPF events during the ACE-ENA campaign (entrainment zone nucleation in 2 and
538 decoupled layer nucleation in 4 of 39 flights analyzed) suggests that marine boundary layer nucleation – in both modes
539 – may be more climatologically important than previously recognized. Given that marine boundary layer cloud
540 microphysical properties exhibit the highest sensitivity to aerosol changes (Bellouin et al., 2020; Zhang et al., 2024),
541 and that even modest changes in CCN concentrations can substantially affect cloud radiative forcing in these pristine
542 environments, proper representation of NPF sources is critical for reducing uncertainties in aerosol–cloud interaction
543 estimates.

544 The continuous wavelet transform (CWT) approach proved essential for deriving reliable fluxes from fast-moving
545 aircraft platforms. Traditional eddy covariance methods require stationarity conditions that are difficult to maintain
546 during aircraft sampling, where the platform continuously moves through different air masses. The CWT method's
547 ability to handle non-stationary data while avoiding systematic errors from linear detrending (Rannik and Vesala,
548 1999; Schaller et al., 2017) enabled flux calculations even during complex meteorological conditions. Our detailed
549 analysis of frequency response and flux loss corrections demonstrates that 1 Hz CPC measurements, while not ideal,
550 can resolve sufficient turbulent scales to capture the dominant flux contributions when proper corrections are applied.
551 As the scientific community works to reduce uncertainties in aerosol–cloud interactions, flux-based approaches offer



552 a promising path forward for understanding how, where, and when new particles form in Earth's remote marine
553 atmosphere.

554 Several limitations warrant acknowledgment. First, our 3–10 nm size range likely misses the initial nucleation at
555 molecular cluster sizes (\sim 1–3 nm), meaning we observe "small particle events" rather than nucleation itself. However,
556 the rapid appearance of 3–10 nm particles with clear vertical structure in turbulent fluxes provides strong indirect
557 evidence for nearby nucleation. Second, the aircraft's high ground speed (\sim 100 m s $^{-1}$) compared to typical tower-based
558 measurements introduces challenges for capturing the full turbulent spectrum, particularly at lower altitudes where
559 eddy sizes are smaller. Our flux loss corrections (F_m/F ratios of 0.70–0.99) account for this limitation but introduce
560 additional uncertainty. Third, we cannot determine definitively the exact horizontal extent of NPF events from single
561 aircraft transects, though crosswind sampling provides minimum extent estimates.

562 **Future Directions**

563 This work establishes aircraft-derived aerosol fluxes as a valuable tool for characterizing marine boundary layer
564 aerosol sources. Several directions would advance understanding:

565 **Slower aircrafts:** Unmanned aerial vehicles operating at 30–40 m s $^{-1}$ would better resolve small-scale turbulence,
566 particularly near the surface, where flux loss corrections are currently largest, improving flux accuracy and enabling
567 more detailed vertical structure analysis.

568 **Expanded measurements:** Simultaneous flux measurements of precursor gases (H₂SO₄, NH₃, amines, organics)
569 would directly test hypotheses about nucleation mechanisms and identify which chemical pathways dominate in
570 different scenarios.

571 **Multi-aircraft coordination:** Coordinated measurements from more than one aircraft at different altitudes could
572 directly observe vertical particle transport rates and evolution, constraining growth rates and loss processes during
573 transit.

574 **Longer-term statistics:** Expanding beyond campaign-based measurements to seasonal or annual timescales would
575 quantify the climatological importance of different NPF modes and their relationships to synoptic meteorological
576 patterns.

577 **Model evaluation:** Using observed fluxes as benchmarks for evaluating marine boundary layer nucleation
578 parameterizations in regional and global models would improve their representation of aerosol-cloud interactions.

579 **Code availability**

580 All the scripts used to make the figures used in this study will be available along with the supplementary information

581 **Data availability**

582 All data from the ACE-ENA campaign are archived at the DOE ARM data center, covering measurements from the
583 ARM Aerial Facility near ARM ENA site on Graciosa Island (June 15, 2017 - February 28, 2018).



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595 G1 PTRMS)

596 **Author contribution**

597 ARS, MDP, and NM conceptualized the study. ARS performed the data curation, formal analysis, and designed the
598 figures with contributions from MDP and NM. NM acquired the financial support for the project. ARS and NM wrote
599 the paper, and all authors provided input on the paper for revision before submission.

600 **Competing interests**

601 Markus Petters is a member of the editorial board of ACP journal.

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607 Observing System Data and Information System (EOSDIS). AI tools were used to assist with language editing solely
608 for improving phrasing and clarity.

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611 SC0024873



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