

### heating rates based on SABER's observations

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- Maxima of GW-induced heat fluxes and heating rates occur at summer high latitudes, coinciding with the cold summer mesopause.



24 **Abstract**

25 Gravity waves (GWs) play an important role in balancing the energy budget of the  
26 mesosphere and lower thermosphere (MLT) by heating/cooling the background atmosphere. The  
27 global heat fluxes and heating rates generated by non-breaking GWs are derived from SABER  
28 observations during 2002–2025. The derived heat fluxes are mainly downward and cool the  
29 background atmosphere, with peaks occurring at 80–100 km. Around 35°N, the monthly mean  
30 heat flux and heating rate are  $\sim 0.7 \text{ Kms}^{-1}$  and  $\sim 12 \text{ Kday}^{-1}$ , with standard deviations of  $\sim 1.1$   
31  $\text{Kms}^{-1}$  and  $\sim 20 \text{ Kday}^{-1}$ , respectively. Global GW-induced heat fluxes and heating rates exhibit  
32 stronger annual and weaker semiannual oscillations, with the stronger peak in summer hemisphere.  
33 Moreover, these quantities show notable interhemispheric and seasonal asymmetries, with larger  
34 summer amplitudes occurring at the northern high latitudes. Such interhemispheric asymmetry  
35 agrees with the colder summer mesopause at northern high latitudes. However, the heat fluxes and  
36 heating rates derived here are  $\sim 0.3$ – $0.5$  times the values lidar observations and are  $\sim 0.5$  times the  
37 theoretical values and breaking GWs. These underestimations are induced by the uncertainties of  
38 GW propagation directions, observational filter, and limited GW wavelengths observable by  
39 SABER.

40



## 41 1 Introduction

42 In the mesosphere and lower thermosphere (MLT), the energy budget is governed by multiple  
43 coupled dynamical-chemical processes, such as solar radiative heating, photochemical heating,  
44 radiative cooling, eddy and molecular diffusivity heating, and adiabatic heating/cooling (Holton,  
45 1983; Smith, 2004). Among the multiple processes, adiabatic heating/cooling is several times  
46 larger than other processes (Smith, 2004; Yue and Wang, 2025) and is driven by the residual  
47 meridional circulation, which is caused by breaking gravity waves (GWs) in the MLT (Fritts and  
48 Alexander, 2003; Hines, 1960; Holton, 1983). The momentum and heat dissipated by breaking  
49 GWs have been studied and parameterized in global circulation models and local numerical model  
50 (Fritts, 1984; Lindzen, 1981; Liu et al., 1999; Xue et al., 2012). Another consequence of breaking  
51 GWs is their resulting body force, which generates larger-scale secondary GWs, which propagate  
52 into a wider spatial range as compared to the primary GWs (Li, Q. et al., 2022; Vadas et al., 2003;  
53 Vadas et al., 2018). Relative to breaking GWs, theoretical and recent lidar observations showed  
54 that non-breaking GWs, which have coherent structures, can propagate into a wider spatial range  
55 and influence atmospheric thermal state along their ray path (Chu et al., 2022; Gardner, 2018;  
56 Gardner and Liu, 2007; Liu, 2009; Liu, 2000, 2021; Walterscheid, 1981; Weinstock, 1983; Xu et  
57 al., 2003). This raises the question of how to quantify the net heat induced by non-breaking GWs  
58 and their effects on atmosphere.

59 The polarization relations for linear GWs in a compressible atmosphere demonstrate that the  
60 phase difference ( $\Delta\phi_{wT}$ ) between vertical wind ( $w'$ ) and temperature ( $T'$ ) perturbation deviates  
61 from  $90^\circ$  and is non-orthogonal. This phase difference depends on the vertical wavenumber ( $m$ ),  
62 intrinsic wave frequency ( $\hat{\omega}$ ) of GW, as well as the statistic stability of the atmosphere (Fritts and  
63 Alexander, 2003; Vadas, 2013). This non-orthogonal phase difference yields a non-zero heat flux  
64 ( $\overline{w'T'}$ ), which is the average of the product ( $w'T'$ ) over one wave period or wavelength. Then the  
65 non-zero heat flux heats or cools the background atmosphere. Note that, the polarization relations  
66 for linear GWs under Boussinesq approximation yield  $\Delta\phi_{wT} = 90^\circ$ . This orthogonal phase  
67 difference yields  $\overline{w'T'} = 0$  and zero heating rate. Previous simulation and lidar observations  
68 confirmed that  $\Delta\phi_{wT}$  deviates from  $90^\circ$  by several degrees (Chu et al., 2022; Lu et al., 2017; Xu  
69 et al., 2003). In fact, an early study by Walterscheid (1981) showed that non-breaking GWs  
70 produce downward heat flux and cool the background atmosphere within their dissipation layers.  
71 Under typical MLT conditions, non-breaking GW-induced heating rates are of  $\sim 8\text{--}25 \text{ Kday}^{-1}$ .  
72 Such magnitudes depend on the static stability and eddy diffusivity. By simulating the nonlinear



73 propagation of GWs and combining the linear GW saturation theory, Liu (2000) showed that  
 74 upward-propagating saturated GWs induce heating rates about  $-36.4 \text{ Kday}^{-1}$ . These magnitudes  
 75 are comparable with those of adiabatic heating/cooling induced by the residual meridional  
 76 circulation in the MLT (Smith, 2004; Yue and Wang, 2025). Thus, the heat fluxes and heating  
 77 rates induced by non-breaking GWs have been quantified by ground-based sodium lidar  
 78 observations in the MLT over several stations. Unless otherwise specified, we discuss the heat  
 79 fluxes and heating rates induced by non-breaking GWs.

80 Ground-based sodium lidar observations revealed that the GW-induced heat fluxes and  
 81 heating rates can be negative or positive depending the upward or downward propagation of GWs.  
 82 Their magnitudes varied greatly from case to case. Tao and Gardner (1995) adopted the sodium  
 83 lidar at Haleakala ( $20.8^{\circ}\text{N}$ ,  $156.3^{\circ}\text{W}$ ) and revealed upward heat flux below 93 km and downward  
 84 heat flux above 93 km. This induces heating rates with a maximum value of  $\sim 264 \text{ Kday}^{-1}$ . Gardner  
 85 and Yang (1998) analyzed a total of 65 hours of observations by sodium lidar and showed that the  
 86 average heat flux was  $-2.29 \pm 0.43 \text{ Kms}^{-1}$  and the average heating rate was  $-30.9 \pm 5.3 \text{ Kday}^{-1}$  in  
 87 the MLT. Recently, Guo and Liu (2021) analyzed 400 hours of sodium lidar observations between  
 88 2014 and 2017 at the Andes Lidar Observatory (ALO,  $30.3^{\circ}\text{N}$ ,  $70.7^{\circ}\text{W}$ ). They revealed significant  
 89 annual cycles in heat fluxes, with negative peaks of  $-2.8 \text{ Kms}^{-1}$  occurring in July at altitudes of  
 90 88–94 km. The corresponding heating rates peaked at  $-30 \text{ Kday}^{-1}$  during June–August, with an  
 91 annual average of  $-6.7 \text{ Kday}^{-1}$ . Li, T. et al. (2022) analyzed the sodium lidar observation at the  
 92 Hefei station from 2012 to 2021. Their results showed that GW-induced heat fluxes exhibited  
 93 seasonal fluctuations ranging between  $-1.2 \text{ Kms}^{-1}$  and  $-2.0 \text{ Kms}^{-1}$ , yielding an average heating  
 94 rate of  $-35 \text{ Kday}^{-1}$ . Chu et al. (2022) compared heat fluxes and their corresponding peak altitudes  
 95 derived from lidar observations at five stations: SOR ( $35.0^{\circ}\text{N}$ ), Hefei ( $32.0^{\circ}\text{N}$ ), Maui ( $20.7^{\circ}\text{N}$ ),  
 96 ALO ( $30.3^{\circ}\text{S}$ ), and McMurdo ( $77.8^{\circ}\text{S}$ ). The heat flux at McMurdo reached a peak of  $-3 \text{ Kms}^{-1}$  at  
 97 84 km, while other stations displayed smaller flux peaks located at 88 km or higher. Errors and  
 98 spectral range of GWs inhibited in observation are regarded as primary factors responsible for such  
 99 discrepancies (Chu et al., 2022; Gardner and Liu, 2007; Guo and Liu, 2021; Su et al., 2008).

100 Nevertheless, GWs span a broad spatial and temporal spectra and depend on geographic  
 101 locations and seasons, making high-resolution simulations computationally challenging (Becker  
 102 and Vadas, 2018; Liu et al., 2024; Liu, H. L. et al., 2014). Sub-grid breaking GWs are always  
 103 represented via GW parameterization schemes to get reasonable results (Guarino et al., 2024; Liu,  
 104 2021; Liu et al., 2018; Yiğit and Medvedev, 2009). Theory and ground-based lidar observations  
 105 have illustrated the importance of non-breaking GWs and should also be quantified in global view.



Satellite observations provide global coverage but generally lack the capability to directly resolve vertical wind. To partially resolve the contradiction between the advantage of global coverage and the lack of vertical-wind measurements from satellite observations, we try to derive the GW-induced vertical wind, heat flux and heating rate from satellite measured temperature profile and the theory of linear GWs in a compressible atmosphere.

The purpose of this work is to derive the global GW-induced heat fluxes and heating rates. A short description of our flowchart is as follows: (1) We extract GW temperature perturbations from the temperature profiles measured by the Sounding of the Atmosphere using Broadband Emission Radiometry (SABER) instrument (Esplin et al., 2023; Russell et al., 1999). (2) Vertical wind perturbations are derived based on dispersion and polarization relations of linear GW in a compressible atmosphere (Ern et al., 2004; Fritts and Alexander, 2003; Vadas, 2013). In our previous studies, the GW-induced wind shears were derived from SABER-measured temperature profiles based on linear GW theory (Liu et al., 2020). (3) The heat flux and heating rate are calculated theoretically from the GW temperature and vertical velocity perturbations.

The remainder of this paper is organized as follows: Section 2 describes the theoretical basis and the procedure of deriving GW-induced parameters, such as temperature perturbations, vertical velocity perturbations, heat flux and heating rate. Section 3 analyzes the GW-induced parameters within the 35°N latitude band and their uncertainties. Section 4 provides global and monthly morphology of derived parameters. The uncertainties, comparison with lidar observations, and the influences of heating rate on the thermal structure in the MLT are discussed in Section 5. Conclusions are summarized in Section 6.

## 2 Method of deriving GW-induced heat flux and heating rate

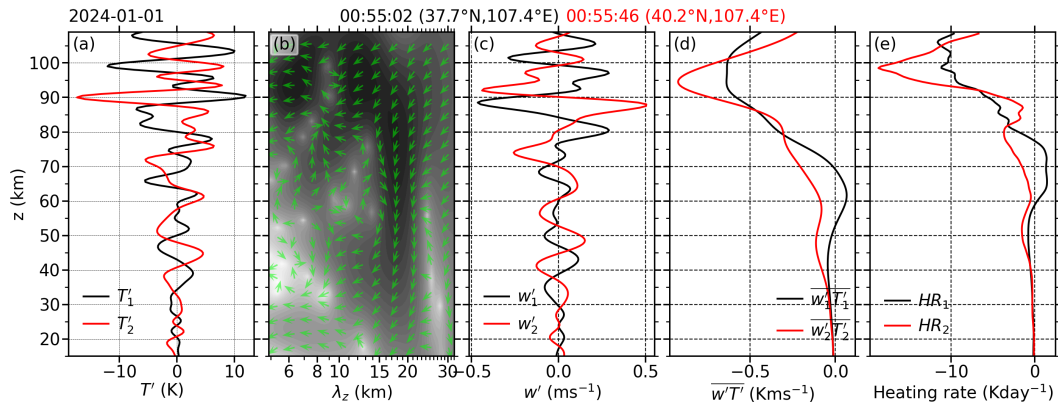
Before introducing our methodology, we provide a brief summary of the key features of the temperature profiles measured by SABER. The SABER temperature profiles are from 15 km to 110 km and at 83°N–52°S or 52°N–83°S alternatively every about 60 days. For the Level 2A data, the precision of a single temperature profile increases from ~0.3 K at 15 km to 15 K at 110 km with a vertical resolution of 2 km (Dawkins et al., 2018; Remsberg et al., 2008). The SABER temperature is stable after resolving the issue of algorithm instability (Liu et al., 2026; Mlynczak et al., 2020; Mlynczak et al., 2022; Mlynczak et al., 2023) and is suitable to study the dynamics of middle atmosphere.

### 2.1 Theoretical basis of deriving GW-induced vertical velocity perturbations

Atmospheric waves exhibit distinct temporal and spatial scales. This characteristic allows the



separation of small-scale temperature perturbations  $T_{\text{res}}(z)$  by subtracting large-scale waves (e.g., tides and planetary waves) and background temperature from raw observational temperature profiles  $T(z)$ . Then, the GW-induced temperature perturbations  $T'(z)$  can be reconstructed from  $T_{\text{res}}(z)$  via bandpass filtering or spectral decomposition within a predefined vertical wavelength range (Alexander et al., 2008; Liu et al., 2017; Liu, X. et al., 2014; Wang and Alexander, 2010; Yamashita et al., 2013).



**Figure 1.** Procedure of deriving GW-induced parameters on 1 January 2024. (a) GW-induced temperature profiles of  $T'_1$  (black) at 37.7°N, 107.4°E and  $T'_2$  (red) at 40.2°N, 107.4°E. (b) amplitude of  $W_{T_1 T_2}$  in log10 scale (the magnitudes increase with the darkness), the arrow directions indicate the angles of  $\Delta\phi$  (rightward for 0°, upward for 90°, leftward for 180°). (c–e): GW-induced vertical velocity, heat flux, and heating rate corresponding to  $T'_1$  and  $T'_2$ , respectively.

Herein, we adopt the method detailed described in Appendix A of Liu et al. (2017) to extract  $T'(z)$  from  $T(z)$ . A brief overview is below for completeness. First, daily SABER temperatures at ascending and descending nodes are individually selected within a 5° latitudinal band. At each node, latitudinal band and altitude, we decompose  $T(z)$  into the background field  $\bar{T}(z)$  and harmonic fits  $T_{\text{fit}}(z)$  for zonal wavenumbers of 1–6. The residual temperature  $T_{\text{res}}(z)$  is calculated as  $T(z) - \bar{T}(z) - T_{\text{fit}}(z)$ . Second,  $T'(z)$  is reconstructed from  $T_{\text{res}}(z)$  via Morlet wavelet analysis (Torrence and Compo, 1998). In this step, vertical wavelengths are constrained to 5–30 km. Figure 1(a) shows two adjacent profiles of the derived  $T'(z)$  as an example.

Following a similar approach used to retrieve GW-induced wind shears (Liu et al., 2020), we derive GW-induced vertical velocity perturbations  $w'(z)$  from SABER temperature observations using the dispersion and polarization relations for linear GWs. The GW-induced temperature and



vertical velocity perturbations are expressed as,

$$T' = A_T e^{i(\omega t - kx - mz - \phi_T)} \quad (1a)$$

$$w' = A_w e^{i(\omega t - kx - mz - \phi_w)} \quad (1b)$$

Here,  $i = \sqrt{-1}$  denotes the imaginary unit.  $A_T$  and  $A_w$  are wave amplitudes, and  $\phi_T$  and  $\phi_w$  are wave phases. The parameters  $\omega$ ,  $k$ , and  $m$  correspond to the ground-based frequency, horizontal, and vertical wavenumbers, respectively.

For a compressible, f-plane, and non-dissipative atmosphere, the polarization relation linking  $w'$  and  $T'$  is written as (Chu et al., 2022; Vadas, 2013),

$$A_w = \mathcal{P}(\hat{\omega}, m) \frac{A_T}{\bar{T}} \quad (2a)$$

$$\mathcal{P}(\hat{\omega}, m) = \frac{-ig\hat{\omega}}{N^2} \left[ 1 - \frac{i}{mH} \left( \frac{1}{2} - \frac{1}{\gamma} \right) \right] / \left[ 1 + \frac{i}{mH} \left( \frac{1}{2} - \frac{\gamma - 1}{\gamma} \frac{\hat{\omega}^2}{N^2} \right) \right] \quad (2b)$$

In this equation,  $\mathcal{P}(\hat{\omega}, m)$  is the polarization coefficient.  $g$  is the gravitational acceleration,  $N$  the buoyancy frequency, and  $H$  the atmospheric scale height. The parameter  $\gamma$  is the specific heat ratio of dry air. The intrinsic frequency satisfies:

$$\hat{\omega}^2 = \frac{k^2 N^2 + f^2 (m^2 + 1/4H^2)}{k^2 + m^2 + 1/4H^2} \quad (3)$$

The horizontal wavenumber is calculated from the phase difference  $\Delta\phi$  between two adjacent profiles separated by a horizontal distance of  $\Delta x$  (Alexander et al., 2008; Ern et al., 2004),

$$k = k_a = \Delta\phi / \Delta x \quad (4)$$

Note that the horizontal wavenumber  $k_a$  derived from Equation (4) is in the along-track direction. The true horizontal wavenumber  $k_h$  is therefore given by  $k_h = k_a / \cos \alpha$ , where  $\alpha$  is the angle between the along-track direction and the GW propagation direction. Such that  $k_h$  increase as  $\alpha$  increases. For instance, if  $\alpha = 60^\circ$ ,  $k_h = 2k_a$ , the true intrinsic frequency  $\hat{\omega}_h$  is approximately  $2\hat{\omega}_a$ , here  $\hat{\omega}_a$  is the intrinsic frequency calculated from Equations (3) and (4). The uncertainties of  $\alpha$  induce uncertainties in the retrieval of  $w'$ , as well as the resultant heat flux and heating rate. This will be discussed in the next subsection.

## 2.2 GW-induced vertical velocity perturbations, heat fluxes, and heating rate

We derive the  $w'(z)$  from  $T'(z)$  based on the aforementioned theory and Morlet wavelet analysis (Torrence and Compo, 1998). The wavelet transform of  $T'(z)$  takes the form:

$$W_T(a, z) = \frac{1}{\sqrt{a}} \int_{-\infty}^{\infty} T'(\tau) \psi^* \left( \frac{\tau - z}{a} \right) d\tau \quad (5a)$$



185 with the Morlet mother wavelet of

$$186 \quad \psi(z) = \pi^{-1/4} e^{ik_0 z} e^{-z^2/2} \quad (5b)$$

187 Here,  $\psi^*(z)$  is the complex conjugate of  $\psi(z)$ , and  $k_0$  is the central wavenumber. The wavelet  
 188 scale  $a$  relates to the physical wavelength  $\lambda$  and the vertical step  $\Delta z$  via  $a = \lambda k_0 / 2\pi \Delta z$ . Using  
 189 Equation (5), the wavelet coefficients  $W_{T_1}(a, z)$  and  $W_{T_2}(a, z)$  are calculated from  $T'_1(z)$  and  
 190  $T'_2(z)$ , respectively. The phase difference  $\Delta\phi(a, z)$  between  $T'_1(z)$  and  $T'_2(z)$  is calculated as,

$$191 \quad W_{T_1 T_2}(a, z) = W_{T_1}(a, z) \cdot W_{T_2}^*(a, z) \quad (6a)$$

$$192 \quad \Delta\phi(a, z) = \tan^{-1}[W_{T_1 T_2}(a, z)] \quad (6b)$$

193 Figure 2(b) show the amplitude of  $W_{T_1 T_2}(a, z)$  and the phase difference between  $T'_1(z)$  and  $T'_2(z)$ .

194 The horizontal wavenumber  $k_a$  is calculated from  $\Delta\phi(a, z)$  by Equation (4). The intrinsic  
 195 frequency  $\hat{\omega}_a$  is calculated by Equation (3). Substituting these parameters into Equation (2), the  
 196 wavelet coefficient of  $w'(z)$  is written as,

$$197 \quad W_w(a, z) = \mathcal{P}(\hat{\omega}(a), m(a)) \cdot \frac{W_T(a, z)}{\bar{T}(z)} \quad (7)$$

198 Finally,  $w'(z)$  is reconstructed via the inverse wavelet transform of  $W_w(a, z)$ ,

$$199 \quad w'(z) = \frac{dj\sqrt{\Delta z}}{C_\delta \psi_0} \sum_{j=j_{\min}}^{j_{\max}} \frac{\text{Re}[W_w(a_j, z)]}{\sqrt{a_j}} \quad (8)$$

200 Here,  $C_\delta = 0.776$  is the reconstruction constant. The summation indices  $j_{\min}$  and  $j_{\max}$  correspond  
 201 to the scale bounds  $a_{\min}$  and  $a_{\max}$ , which are converted from the wavelength interval  $[\lambda_{\min}, \lambda_{\max}]$   
 202 with  $\lambda_{\min} = 5$  km and  $\lambda_{\max} = 30$  km. The vertical velocity perturbation profiles derived from  
 203  $T'_1(z)$  and  $T'_2(z)$  are displayed in Figure 1(c).

204 The GW-induced heat flux  $\overline{w'T'}$  is defined as the period-averaged product of  $w'T'$ . The real-  
 205 valued GW solutions are the real parts of Equation (1):

$$206 \quad T' = A_T \cos(\omega t - kx - mz - \phi_T) \quad (9a)$$

$$207 \quad w' = A_w \cos(\omega t - kx - mz - \phi_w) \quad (9b)$$

208 Thus, at each spatial location  $(x, z)$ , the period-averaged heat flux  $\overline{w'T'}$  can be analytically derived  
 209 by integrating the instantaneous covariance  $w'T'$  over one wave period  $2\pi/\omega$ ,

$$210 \quad \overline{w'T'}(z) = \int_0^{2\pi/\omega} T'(t)w'(t)dt = \frac{1}{2} A_w A_T \cos(\Delta\phi_{wT}), \Delta\phi_{wT} = \phi_w - \phi_T \quad (10)$$

211 The wavelet coefficients of  $w'(z)$  and  $T'(z)$  are denoted as  $W_w(a, z)$  and  $W_T(a, z)$ , respectively.

212 For monochromatic harmonic waves, the scale-resolved wavelet flux can be written as,





$$F(a, z) = \frac{1}{2} \text{Re}[W_w W_T^*] = \frac{1}{2} |W_w| |W_T| \cos(\Delta\phi_{wT}) = \frac{1}{2} A_w A_T \cos(\Delta\phi_{wT}) \quad (11)$$

Here,  $F(a, z)$  is the period-averaged heat flux contributed by the individual wave component corresponding to wavelet scale  $a$  at altitude  $z$ . The equality between the wavelet-derived term and the analytical flux (the last “=” of Equation 11) holds due to the two properties of the Morlet wavelet transform for harmonic signal. First, the modulus of wavelet coefficients is linearly proportional to physical wave amplitudes. Second, the retrieved phase difference agrees exactly with that of the original signal. The proportionality coefficient is fully removed via wavelet normalization during reconstruction.

To obtain the altitude-dependent total heat flux from multi-scale GW components, we integrate the scale-resolved flux  $F(a, z)$  over all scales. The integration is weighted by  $\Delta a_k / a_k^2$  and normalized by  $C_\psi$ :

$$\overline{w'T'}(z) = \frac{1}{C_\psi} \sum_{j=j_{\min}}^{j_{\max}} \left[ F(a_k, z) \cdot \frac{\Delta a_k}{a_k^2} \right] \quad (12)$$

The vertical distributions of GW-induced heat flux derived from  $T'_1(z)$  and  $T'_2(z)$  are shown in Figure 1(d). The results show that the GW-induced heat flux is predominantly negative with peaks around 85 km. This indicates a downward transport of heat by upward propagating GWs. The GW-induced heating rate ( $HR$ ) is further calculated as (Gardner and Yang, 1998; Weinstock, 1983),

$$HR = \frac{\partial T}{\partial t} = -\frac{1}{\bar{\rho}} \frac{\partial(\bar{\rho} \overline{w'T'})}{\partial z} \quad (13)$$

Here,  $\bar{\rho}$  is the background density, which is derived in the same manner as the background temperature  $\bar{T}$ . Figure 1(e) shows the heating rates induced by  $T'_1(z)$  and  $T'_2(z)$ , respectively. The results show that the heating rates are mainly negative with peaks around 90 km. This feature indicates that GW-induced perturbations effectively cool the background atmosphere in this region at least in this case.

### 3 The retrieved parameters and uncertainty analysis

In this section, we first show GW-induced parameters around 35°N with an offset of 5° for June and December. This latitude band is well-sampled by lidar measurements. These parameters include relative temperature perturbation, vertical velocity perturbation, heat flux and heating rate. Then, the retrieved parameters are compared against lidar-derived counterparts. To quantify discrepancies between our retrievals and lidar results, we conduct theoretical analysis to assess how the influences of angle  $\alpha$  affects the uncertainties of the GW-induced parameters.



### 3.1 Retrieved parameters at 35°N

Figure 2 shows the amplitudes of the GW-induced relative temperature ( $|T'/\bar{T}|$ ) and vertical velocity ( $|w'|$ ) perturbations, and the values of  $\overline{w'T'}$  and  $CR$  at 35°N in June. The mean values of in December are also shown in Figures 2(a2–d2). Since the variation of  $|T'/\bar{T}|$  serves as the fundamental for deriving the other parameters, we first conduct a detailed analysis of this variable. Figure 2(a1) shows that  $|T'/\bar{T}|$  exhibits substantial variability across individual profiles at every altitude. Their magnitudes increase from below to about 100 km and then decrease above 100 km. The 50<sup>th</sup> percentile (P50) magnitudes of  $|T'/\bar{T}|$  are generally smaller than their arithmetic mean values. This indicates that the magnitudes of  $|T'/\bar{T}|$  are predominantly distributed at small magnitudes, while extremely large outliers positively skew the mean above the P50. Generally, the mean, P10, P50 and P90 profiles exhibit similar altitude variations. This indicates that the vertical variations of  $|T'/\bar{T}|$  are almost homogeneous across different statistical quantiles, despite the positively skewed distribution of individual profiles.

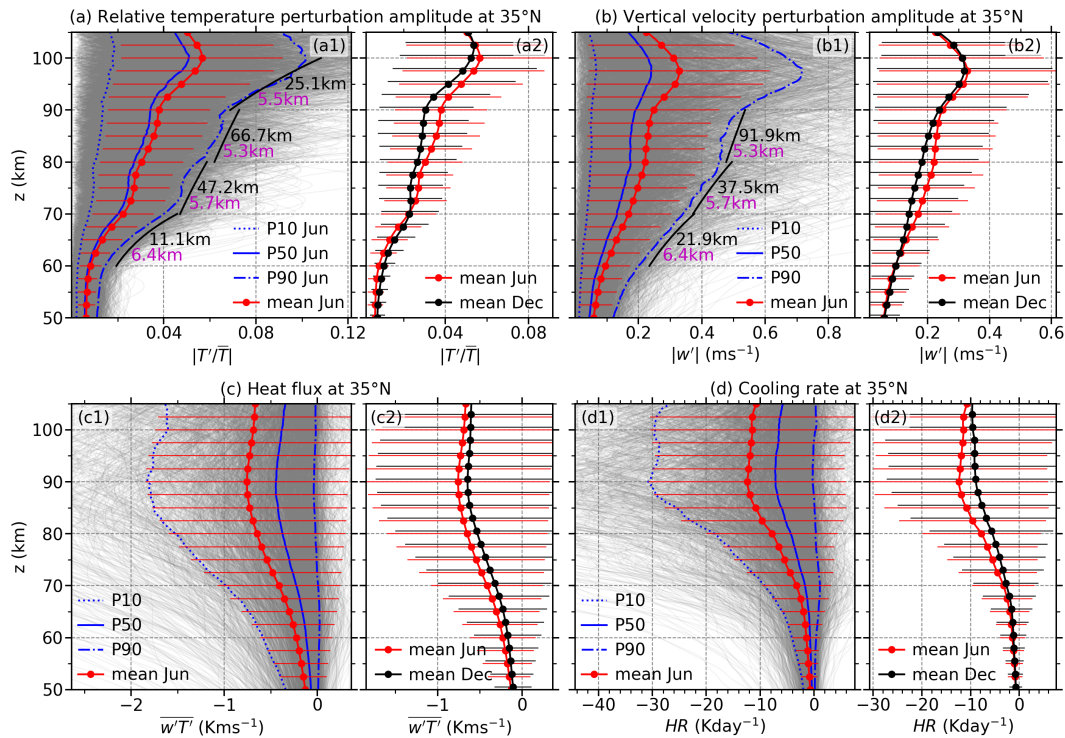
To quantify the gradient of vertical variations of  $|T'/\bar{T}|$ , we fit the P90 of  $|T'/\bar{T}|$  by a function of  $\exp(z/2H_A)$  at four altitude ranges (i.e., 60–70 km, 70–80 km, 80–90 km, and 90–100 km). Here  $H_A$  is the corresponding scale height. A larger  $H_A$  indicates a slower increase of the P90 of  $|T'/\bar{T}|$ . Figure 2(a1) shows that the fitting results (black line) and the magnitudes of  $H_A$  at the four altitude ranges. The scale heights  $H_T$ , which are calculated from averaged background temperature at the four altitude ranges, are also labeled by a magenta number. We see that the magnitudes of  $H_A$  are larger than  $H_T$  by a factor of 2–12 times. Moreover, the magnitudes of  $H_A$  are largest at 80–90 km, and are larger at 70–80 km and are smallest at 60–70 km. This indicates that the GWs dissipated throughout the altitude range. While the strongest dissipation occurs at ~70–90 km, where is just below the mesopause and coincides with persistent layers of GW dissipations (Liu et al., 2022). Above 100 km, the magnitudes of  $|T'/\bar{T}|$  decrease with the increasing altitude. This is because molecular viscosity and thermal diffusivity become important in dissipating GWs (Liu et al., 2013; Ortland and Alexander, 2006; Vadas and Fritts, 2005; Xu et al., 2009). Only waves with longer vertical wavelengths can propagate into a higher altitude (Liu et al., 2013; Vadas and Fritts, 2005).

Although the vertical variations of  $|w'|$  in Figure 2(b) exhibit similar variations to those of  $|T'/\bar{T}|$  generally, their main differences can be ascribed to: (1) The scale heights of the P90 of  $|w'|$  are larger than those of  $|T'/\bar{T}|$  except at 70–80 km. As shown in Equations (2) and (3),  $|w'|$  is proportional to the intrinsic frequency and thus the vertical wavelength (Chu et al., 2022; Vadas,



274 2013). Compared to  $|T'/\bar{T}|$ ,  $|w'|$  is more sensitive to high-frequency GWs (Geller and Gong,  
 275 2010). Thus, a sharper dissipation of  $|w'|$  with altitudes is caused by the stronger dissipation of  
 276 GWs having lower frequency and shorter vertical wavelength (Vadas and Fritts, 2005). (2) The  
 277 peaks of  $|w'|$  are mainly around 96 km, which is lower than peaks of  $|T'/\bar{T}|$ , which are around  
 278 100 km. This is mainly caused by phase difference  $\Delta\phi_{wT}$  between  $w'$  and  $T'/\bar{T}$  as shown in  
 279 Equation (2), which deviates from  $90^\circ$  by several degrees (Chu et al., 2022; Lu et al., 2017; Xu et  
 280 al., 2003).

281



**Figure 2.** Overlaid profiles of the GW-induced parameters and their statistical quantiles at  $35^\circ\text{N}$  in June and December 2024. (a1): Profiles of  $|T'/\bar{T}|$  (grey lines) and their statistics, i.e., 10<sup>th</sup> (P10: blue dot), 50<sup>th</sup> (P50: blue solid), 90<sup>th</sup> (P90: blue dash-dot) percentiles and the means (red line) and standard deviations (red error bar). (a2) The means and standard deviations of  $|T'/\bar{T}|$  in June (red) and December (black). (b1–d1): same caption as (a1) but for  $|w'|$ ,  $\overline{w'T'}$ , and  $CR$ , respectively. (b2–d2): same caption as (a2) but for  $|w'|$ ,  $\overline{w'T'}$ , and  $CR$ , respectively.

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283 Figures 2(c) and 2(d) show that the heat flux and heating rate exhibit large variability from  
 284 one profile to another and with altitude. Their magnitudes are mainly negative, which indicates  
 285 that the upward propagating GWs induce downward heat flux and cool the background atmosphere.



286 The statistical quantiles of heat flux and heating rate decrease with altitude sharply below ~90 km  
 287 and then increase with altitude slowly above ~90 km. These altitude variations are different from  
 288 those of  $|T'/\bar{T}|$  and  $|w'|$  at ~90–100 km because the heat flux and heating rate are related to both  
 289 the amplitudes of  $|T'/\bar{T}|$  and  $|w'|$  and the phase differences between  $|T'/\bar{T}|$  and  $|w'|$ . The heat  
 290 fluxes have mean values of  $\sim -0.7 \text{ Kms}^{-1}$  with standard deviations of  $\sim 1.1 \text{ Kms}^{-1}$  at 80–100 km.  
 291 The heating rates have mean values of  $\sim -12 \text{ Kday}^{-1}$  with standard deviations of  $\sim 20 \text{ Kday}^{-1}$ . The  
 292 magnitudes of P50 of heat flux and heating rate are  $\sim 0.6$  times of their mean values. While the  
 293 magnitudes of P90 of heat flux and heating rate are of 2.5 times of their mean values. This indicates  
 294 that both heat flux and heating rate have negatively skewed distributions of individual samples.  
 295 Figures 2(a2–d2) show that the absolute values of retrieved parameters are larger in June than  
 296 those in December above 70 km. This indicates the seasonal variations in GW strength induce  
 297 similar variations of heat flux and heating rate.

### 298 **3.2 Comparison with observations and theoretical analysis**

299 Sodium lidar can measure temperature and three-dimensional winds with high spatial and  
 300 temporal resolutions. This enables the retrieval of GW-induced heat flux and heating rate. Based  
 301 on 65 hours of sodium lidar observations over seven nights at Starfire Optical Range (SOR; 35°N,  
 302 107°W), Gardner and Yang (1998) derived a mean heat flux of  $-2.3 \pm 0.43 \text{ Kms}^{-1}$  and a  
 303 corresponding heating rate of  $-30.9 \pm 5.2 \text{ Kday}^{-1}$ . A further analysis of 370 hours of SOR lidar  
 304 observations in 1998–2000 revealed that the monthly mean heat flux exhibits semiannual  
 305 variations with winter peaks of  $-3 \text{ Kms}^{-1}$  and summer peaks of  $-1.5 \text{ Kms}^{-1}$  around 88 km.  
 306 Meanwhile, the heating rate reaches seasonal maxima of  $-100 \text{ Kday}^{-1}$  in winter and  $-80 \text{ Kday}^{-1}$   
 307 in summer around 90 km (Gardner and Liu, 2007). Using temperature and winds observed by a  
 308 sodium lidar at Colorado State University (40.6°N, 105°W) on December 3, 2004, Li et al. (2007)  
 309 investigated the heating rate associated with GW breaking. Their results indicate that the heating  
 310 rate is positive between 94 and 98 km, with a maximum value of  $62.4 \text{ Kday}^{-1}$  around 96 km. Above  
 311 98 km, however, the heating rate turns negative, reaching a minimum peak of  $-36 \text{ Kday}^{-1}$  near  
 312 100 km. Using 400 hours of sodium lidar observations in 2014–2017 at Andes Lidar Observatory  
 313 (ALO; 30°S, 71°W), Guo and Liu (2021) showed that the heat flux is downward throughout the  
 314 year below 95 km and has a peak of  $-3 \text{ Kms}^{-1}$  in July. The corresponding heating rate reaches its  
 315 annual peak of  $-30 \text{ Kday}^{-1}$  around July. Using 400 hours of sodium lidar observations in  
 316 2012–2021 at Hefei (32°N, 117°E), Li, T. et al. (2022) showed that the heat flux varies from  $-1.2$   
 317  $\text{Kms}^{-1}$  to  $-2 \text{ Kms}^{-1}$  throughout the year below 94 km. The annual heat flux has peak of  $-2 \text{ Kms}^{-1}$



at 89 km. In the 87–95 km, the mean heating rate is approximately  $-35 \text{ Kday}^{-1}$ , with a peak value of around  $-50 \text{ Kday}^{-1}$  near 90 km. Furthermore, Chu et al. (2022) compared the heat flux and its peak altitudes based on lidar observations at five stations, including SOR (35.0°N), Hefei (32.0°N), Maui (20.7°N), ALO (30.3°S), and McMurdo (77.8°S). Their results showed that the peak heat flux at McMurdo is the strongest and reaches  $-3 \text{ Km s}^{-1}$  at an altitude of 84 km, whereas the peaks heat fluxes at the remaining stations were smaller and occurred at altitudes of 88 km or above.

The magnitudes of the above heat flux and heating rate are mainly around their peak altitude. The heat fluxes are from  $-1.2$  to  $-3 \text{ Kms}^{-1}$  at altitudes ranging from 84 to 90 km. The corresponding heating rates are from  $-30$  to  $-100 \text{ Kday}^{-1}$  around 90 km. It should be noted that the magnitudes of heat fluxes and heating rates may be influenced by observation errors and the spatial and temporal spectral ranges of GWs resolved by observations (Gardner and Liu, 2007; Guo and Liu, 2021; Su et al., 2008). Using the linear dispersion relation for GWs and considering eddy and molecular diffusion, Weinstock (1983) derived the relation between heat flux and vertical diffusivity  $D_{zz}$ ,

$$\overline{w'T'} = -(H_T N^2 / R) D_{zz} \quad (14a)$$

Then the heating rate is expressed as,

$$HR \approx -(N^2 / R) D_{zz} \quad (14b)$$

For the typical values of  $H_T = 5.5 \text{ km}$ , buoyancy frequency  $N = 0.02 \text{ s}^{-1}$ , gas constant  $R = 287 \text{ Jkg}^{-1}\text{K}^{-1}$  and  $D_{zz} = 200 \text{ m}^2\text{s}^{-1}$  in the MLT, Equation (4) predicts the heat flux and heating rate are about  $-1.5 \text{ Kms}^{-1}$  and  $\sim -24 \text{ Kday}^{-1}$ . Generally, the magnitudes of heat flux and heating rate retrieved from SABER temperature measurements are  $\sim 0.3$ – $0.5$  times of those retrieved from lidar observations and are  $\sim 0.5$  times of the theoretical values.

The main uncertainties of the GW-induced vertical velocity, heat flux, and heating rate arise from the undefined angle  $\alpha$ . The true  $k_h$  and along-track  $k_a$  horizontal wavenumbers are related via  $k_h = k_a / \cos \alpha$ . Under the assumption of  $k \ll m$ ,  $4\pi H m \ll 1$ , and  $\partial \bar{T} / \partial z \ll g / C_p$ , the dispersion relation Equation (3) can be simplified as  $\hat{\omega}_h \approx N |k_h| / |m|$ . This indicates that the true intrinsic frequency  $\hat{\omega}_h$  relates to  $\hat{\omega}_a$  via  $\hat{\omega}_h \approx \hat{\omega}_a / \cos \alpha$ . The module of the polarization coefficient is further simplified as  $|\mathcal{P}(\hat{\omega}_h, m)| = g \hat{\omega}_h / N^2$  (Chu et al., 2022). This indicates that the true amplitudes  $A_w^h$  relates to the along-track amplitudes  $A_w^a$  via  $A_w^h \approx A_w^a / \cos \alpha$ . Based on Equation (10), the true heat flux  $\overline{w'T'}_h$  relates to the along-track heat flux  $\overline{w'T'}_a$  via  $\overline{w'T'}_h \approx \overline{w'T'}_a / \cos \alpha$ . If the angle  $\alpha$  does not change with altitude, the true heating rate  $HR_h$  relates to the along-track heating rate  $HR_a$  via  $HR_h \approx HR_a / \cos \alpha$ . However, if the angle  $\alpha$  changes altitude,



the term  $\cos \alpha$  should be included in the vertical gradient of  $\overline{w'T'}_h$ . Thus, we have,

$$\frac{1}{\bar{\rho}} \frac{\partial \overline{w'T'}_h}{\partial z} = \frac{1}{\bar{\rho}} \frac{\partial (\overline{w'T'}_a / \cos \alpha)}{\partial z} = \frac{1}{\cos \alpha} \frac{1}{\bar{\rho}} \frac{\partial \overline{w'T'}_a}{\partial z} + \overline{w'T'}_a \left( \frac{\sin \alpha}{\cos^2 \alpha} \right) \frac{d\alpha}{dz} \quad (15)$$

Equation (15) indicates that the altitude dependent  $\alpha$  raises a term  $\overline{w'T'}_a (\sin \alpha / \cos^2 \alpha) d\alpha/dz$  as compared to the altitude independent  $\alpha$ . This term complicates the estimation of uncertainties caused by  $\alpha$ .

Generally, the uncertainties of the angle  $\alpha$  underestimates the amplitude of the GW-induced vertical velocity and heat flux by a factor of  $1/\cos \alpha$ . If the angle  $\alpha$  is independent of altitude, the derived GW-induced parameters are about  $\cos \alpha$  times of the true parameters. Specifically, for  $\alpha = 20^\circ, 40^\circ, 60^\circ, 80^\circ$ , the values of  $\cos \alpha$  are about 0.94, 0.77, 0.50, 0.17, respectively. The more complex cases are that the angle  $\alpha$  may change from one sampling profile to another, and that the angle  $\alpha$  may change with altitude. However, the actual propagation direction is difficult to determine by SABER limb sampling. Future tomographic limb-imaging concepts observations can retrieve atmospheric parameters across the plane perpendicular to the line of sight from each limb scan. As a candidate mission of ESA's Earth Explorer 11, the CAIRT (Changing-Atmosphere Infra-Red Tomography) is a Fourier transform infrared limb imager and observes simultaneously from the middle troposphere to the lower thermosphere with a  $\sim 400$  km-wide swath. Subsequent limb-images further enable the reconstruction of three-dimensional spatial distributions of atmospheric parameters. Such capabilities will open new avenues for investigating the propagation directions and associated impacts of GWs (Errera et al., 2026; Malavart et al., 2025).

#### 4 Global and seasonal morphology of the derived parameters

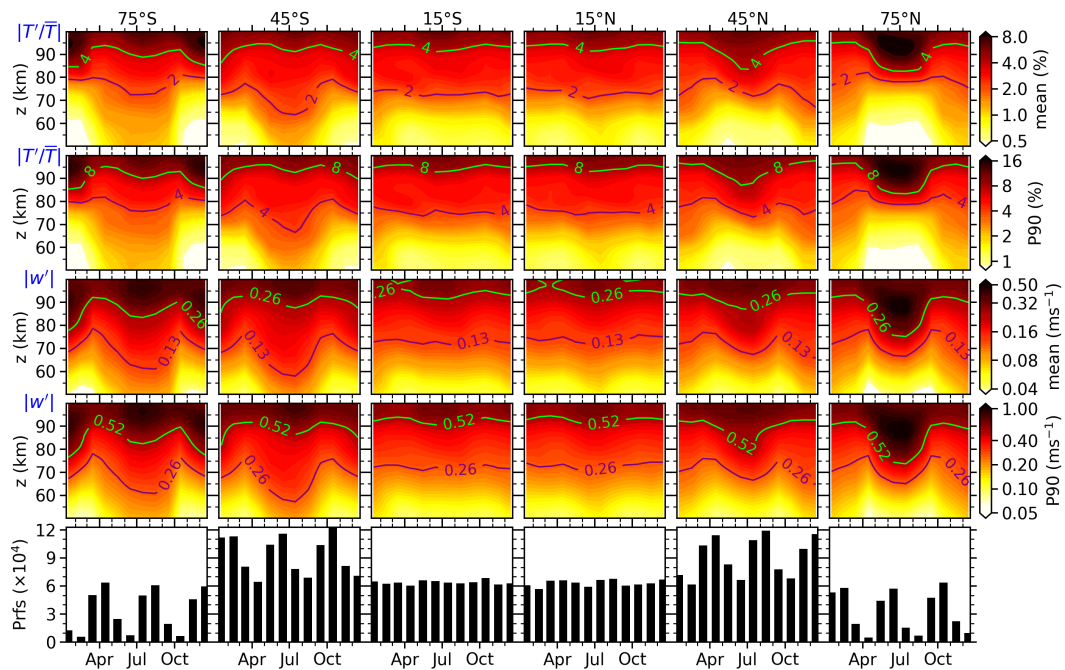
The high spatial and temporal resolutions of lidar measurements provide reliable heat flux and heating rate at  $\sim 80$ – $100$  km over several stations. Lidar observations are mainly restricted to clear-sky nighttime conditions and therefore have limited temporal continuity. However, the propagation directions of GWs cannot be retrieved from SABER observations, its continuous global observations together with the retrieval method developed in Section 2 provides an opportunity to investigate the global morphology of heat flux and heating rate. In this section, the year-to-year variations are neglected, all profiles from 2002 to 2025 are composited to form a composite year dataset. This enhances the statistical confidence of derived quantiles (e.g., the mean and P90), particularly at high latitudes.

##### 4.1 Variations of GW amplitudes





Figure 3 shows the month-altitude distributions of the mean and P90 values of  $|T'/\bar{T}|$  and  $|w'|$  at high (75°N/S), middle (45°N/S), and low (15°N/S) latitudes. Overall, the month-altitude patterns of the mean and P90 fields of  $|T'/\bar{T}|$  and  $|w'|$  are qualitatively analogous. The main difference is that the magnitudes of P90 are about twice as large as their corresponding mean values. At high latitudes, both statistical quantiles exhibit altitude-dependent seasonal variations.  $|T'/\bar{T}|$  is dominated by annual oscillations, with wintertime maxima in each hemisphere below ~75 km translating to summertime maxima above ~85 km. Seasonal variations are very weak at ~75–85 km transition layer. Above ~85 km, weak winter peaks emerge at 75°S, whereas no such feature is evident at 75° N. At middle latitudes, the seasonal variations are similar to those at high latitudes. The main difference is that the hemispheric winter maxima shift downward from ~75 km at high latitudes to ~70 km at middle latitudes. In addition, the semiannual oscillations at ~70–80 km are stronger than those seen at high latitudes. By comparison, the seasonal oscillations at low latitudes are far weaker than their mid- and high-latitude counterparts.



**Figure 3.** Month-altitude distributions of monthly-mean and monthly-P90 of  $|T'/\bar{T}|$  (1<sup>st</sup> and 2<sup>nd</sup> rows) and  $|w'|$  (3<sup>rd</sup> and 4<sup>th</sup> rows) at high (75°N/S), middle (45°N/S), and low (15°N/S) latitudes. Bottom row shows the numbers of profiles. Here, the month denotes the composite month derived over 2002–2025.

394

395 The mean and P90 of  $|w'|$  exhibit altitude-dependent seasonal oscillations analogous to those



of  $|T'/\bar{T}|$ . Two key distinctions exist between the two fields. First, the annual maxima of  $|w'|$  in each hemisphere shift downward relative to  $|T'/\bar{T}|$ . The annual maxima of  $|w'|$  occurs near  $\sim 90$  km, while the corresponding maximum of  $|T'/\bar{T}|$  is located at  $\sim 95$  km. Second, pronounced semiannual oscillations in  $|w'|$  occurs at  $\sim 60$ – $75$  km and across middle and high latitudes. The semiannual oscillations have maxima in winter and summer months of each hemisphere. Notably, such summer semiannual maxima below  $75$  km are absent in  $|T'/\bar{T}|$ . A plausible physical explanation of the summum maxima of  $|w'|$  below  $75$  km is that  $w'$  is more sensitive to the high-frequency GWs (Equation 2). In summer, convection is one of the dominant GW sources, emitting abundant high-frequency GWs with fast horizontal phase speed. These GWs can propagate upward into the stratosphere and mesosphere and thus generate the summer maxima. The seasonal variations of  $|T'/\bar{T}|$  and  $|w'|$  are strongest at high latitudes, stronger at middle latitudes, and weak at low latitudes. The seasonal variations vary continuously across latitude bands and can be interpreted as a genuine physical feature, rather than an artifact arising from uneven monthly sampling profiles.

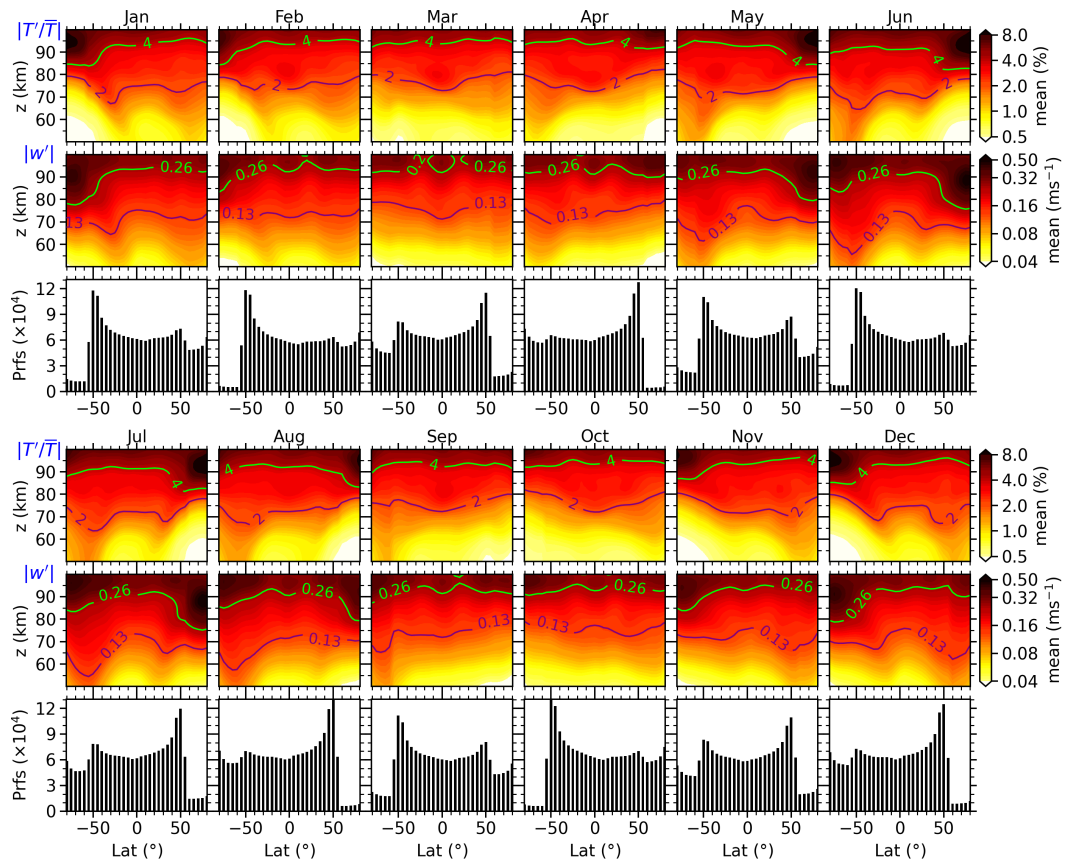
The latitude-altitude distributions of the means of  $|T'/\bar{T}|$  show that their maxima are at high latitudes around summer months above  $\sim 85$  km (Figure 4). Specifically, in January, February, November, and December, their maxima are at latitudes higher than  $50^\circ\text{S}$ . In May, June, July, and August, their maxima are at latitudes higher than  $50^\circ\text{N}$ . Below  $\sim 75$  km, the means of  $|T'/\bar{T}|$  reach their maxima around  $60^\circ \pm 20^\circ$  in the winter hemisphere and weaker peaks around  $20^\circ \pm 10^\circ$  in the summer hemisphere. Moreover, the pattern suggests that the summer maxima at high latitudes above  $\sim 85$  km is originates from low- and mid-latitudes (i.e.,  $10^\circ$ – $30^\circ$  of the summer hemisphere) at  $\sim 50$  km. The latitude-altitude distributions of the means of  $|w'|$  exhibit similar pattern as those of  $|T'/\bar{T}|$  in each month. The differences can be attributed to two aspects. First, the heights of summer maxima at high latitudes of  $|w'|$  are  $\sim 5$  km lower than those of  $|T'/\bar{T}|$  in the same month. For example, in January and at latitudes higher than  $50^\circ\text{S}$ , the maxima of  $|w'|$  and  $|T'/\bar{T}|$  are at  $\sim 90$  km and  $\sim 95$  km, respectively. Second, the means of  $|w'|$  have peaks at high latitudes of winter hemisphere above  $\sim 85$  km, which are weaker and at a lower height as compared to their summer maxima.

Figures 3 and 4 reveal that winter maxima of  $|w'|$  above  $\sim 85$  km over the southern high latitudes exceed their northern counterparts. This indicates the pronounced hemispheric asymmetry in  $|w'|$ . The means of  $|T'/\bar{T}|$  exhibit similar hemispheric asymmetry, though the interhemispheric contrast is less pronounced compared to that of  $|w'|$ . Also, above  $\sim 85$  km, the





428 summer maxima of both  $|T'/\bar{T}|$  and  $|w'|$  at northern high latitudes are larger than their southern  
 429 counterparts. Furthermore, the summer peaks of both  $|T'/\bar{T}|$  and  $|w'|$  are stronger than their  
 430 winter counterparts over high latitudes. These features demonstrate clear interhemispheric and  
 431 seasonal asymmetries in the GW amplitudes at high latitudes. However, such interhemispheric and  
 432 seasonal asymmetries of the GW amplitudes are less distinct at low latitudes.  
 433



**Figure 4.** Latitude-altitude distributions of monthly-mean of  $|T'/\bar{T}|$  (1<sup>st</sup> and 4<sup>th</sup> rows) and  $|w'|$  (2<sup>nd</sup> and 4<sup>th</sup> rows) in each month. The numbers of profiles are shown in the 3<sup>rd</sup> and 6<sup>th</sup> rows.

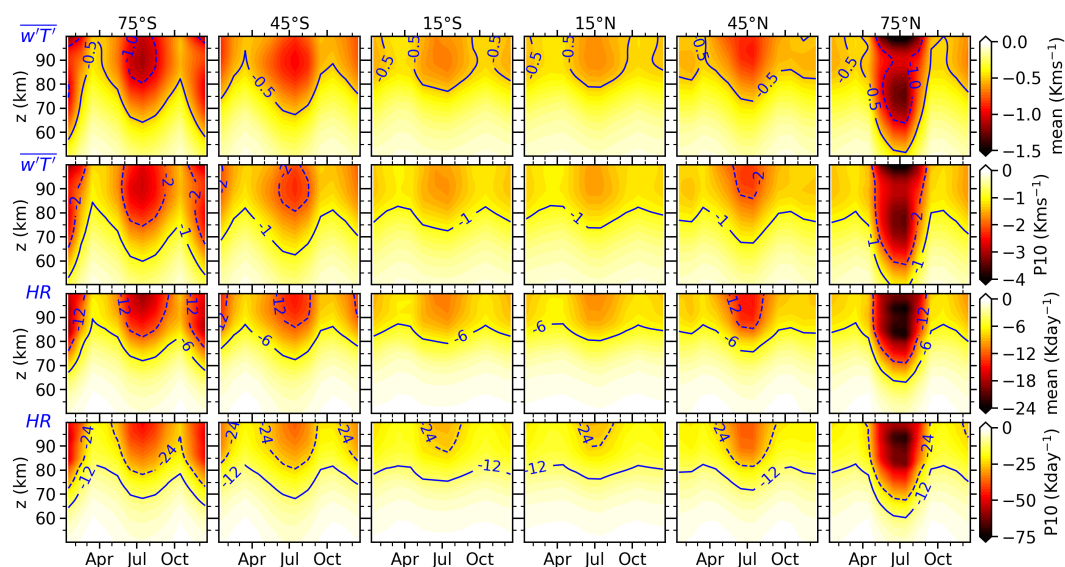
434  
 435 Although the number of sampled profiles poleward of 55°S is substantially lower in January  
 436 and February compared with November and December. This uneven distribution of sampling  
 437 profiles at high latitudes is caused by the yaw-maneuver of SABER. However, the corresponding  
 438 latitude-altitude patterns remain consistent across these four months. A minor distinction is that  
 439 the latitudinal gradients of the means of  $|T'/\bar{T}|$  are steeper around 55°S in January and February



than in November and December. Likewise, May and June exhibit latitude–altitude patterns and similarly intensified meridional gradients around 55°S as observed in July and August. These results further confirm that uneven sampling counts poleward of 55° in both hemispheres do not influence the physical characteristics governing the seasonal and latitudinal variability of  $|T'/\bar{T}|$ , yet they introduce sharper meridional transitions around 55°N/S.

## 4.2 Variations of heat flux and heating rate

Figure 5 shows the statistical quantiles (mean and P90) of heat flux ( $\overline{w'T'}$ ) and heating rate ( $HR$ ) as a function of month and altitude at six latitude bands ranging from high to low latitudes of each hemisphere. Generally, the means and P90 of heat flux exhibit similar month-altitude patterns. The magnitudes of P90 of heat flux are two times larger than the corresponding means. The means and P90 of heating rate are in a similar situation to heat flux. The P90 of heat flux and heating rate shown here is only to illustrate their large variabilities and negative skewness at each height. The following analysis focuses on the means of heat flux and heating rate.

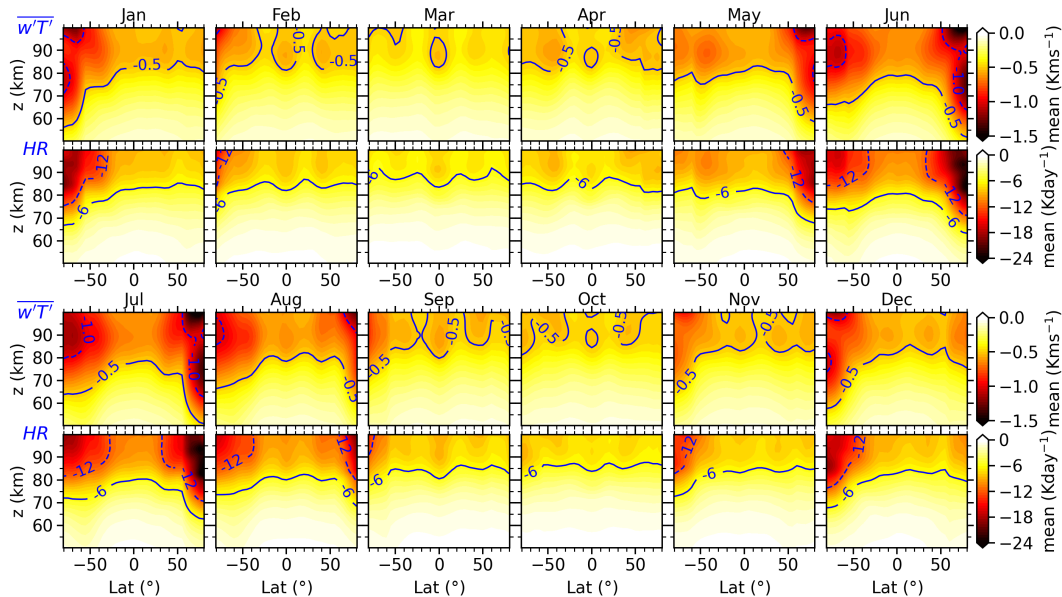


**Figure 5.** Month-altitude distributions of monthly-mean and monthly-P90 of heat flux (1<sup>st</sup> and 2<sup>nd</sup> rows) and heating rate (3<sup>rd</sup> and 4<sup>th</sup> rows) at high (75°N/S), middle (45°N/S), and low (15°N/S) latitudes.

The heat flux in Figure 5 exhibits clear semiannual oscillation at 75°S and annual oscillation at 75°N. The peaks appear in winter and summer at 75°S and in summer at 75°N. While the peaks around December is stronger than those around July. At middle latitudes of both hemisphere, the



annual oscillations are superimposed by semiannual oscillations with stronger peaks around July. At low latitudes of both hemispheres, the semiannual oscillations are in the dominant position with comparable peaks in summer and winter. We note that the peak magnitudes in summer of both hemispheres increase with the increasing latitudes. These features of annual and semiannual oscillation can also be seen in heating rates. However, both heat flux and heating rate exhibit interhemispheric and seasonal asymmetries. These asymmetries can be summarized as follows. First, the summer maxima are  $\sim -1.5 \text{ Kms}^{-1}$  at  $75^\circ\text{N}$ , which are larger than the corresponding values of  $\sim -1.0 \text{ Kms}^{-1}$  at  $75^\circ\text{S}$ . The winter maxima are  $\sim -0.8 \text{ Kms}^{-1}$  at  $75^\circ\text{N}$ , which are less than the corresponding values of  $\sim -1.2 \text{ Kms}^{-1}$  at  $75^\circ\text{S}$ . Second, at  $75^\circ\text{N}$ , the summer maxima occur at altitudes of  $\sim 75 \text{ km}$  and  $\sim 100 \text{ km}$ , separated by a valley at  $\sim 88 \text{ km}$ . This vertical valley structure is less pronounced at  $75^\circ\text{S}$ . We note that the vertical valley structure of summer heating rate maxima is less pronounced as compared to that of heat flux at  $75^\circ\text{N}$ . Third, according to the contour lines ( $-0.5 \text{ Kms}^{-1}$  for heat flux and  $-12 \text{ Kday}^{-1}$  for heating rate), the summer maxima extend over a wider altitude range at  $75^\circ\text{N}$  than at  $75^\circ\text{S}$ . Fourth, the heating rate at  $75^\circ\text{S}$  has equivalent magnitudes in summer and winter above  $80 \text{ km}$ . In contrast, the heating rate at  $75^\circ\text{N}$  is much larger in summer than in winter. These show the interhemispheric asymmetry in both the magnitude and vertical structure of the summer maxima of heat flux and heating rate.



**Figure 6.** Latitude-altitude distributions of monthly-mean heat flux (1<sup>st</sup> and 3<sup>rd</sup> rows) and heating rate (2<sup>nd</sup> and 4<sup>th</sup> rows).



Figure 6 shows the latitude-altitude distributions of heat flux and heating rate to characterize their latitudinal variations in each month. During November–February, the heat flux and heating rate reach their peaks at southern high latitudes. In contrast, during May–August, the heat flux and heating rate exhibit dual peak structures at high latitudes in both hemispheres. Additionally, the substantially larger magnitudes occur at northern high latitudes. Combined with the results in Figure 5, these patterns demonstrate clear interhemispheric and seasonal asymmetries. The summer (May–August) high-latitude peaks of heat flux and heating rate in the Northern Hemisphere feature larger amplitudes. They also span a broader vertical extent. By comparison, the corresponding high-latitude peaks in the Southern Hemisphere’s summer (November–February) and winter (May–August) are weaker. During equinoctial months (March, April, September, and October), weak peaks of heat flux and heating rate emerge around the equator and 50°N/S.

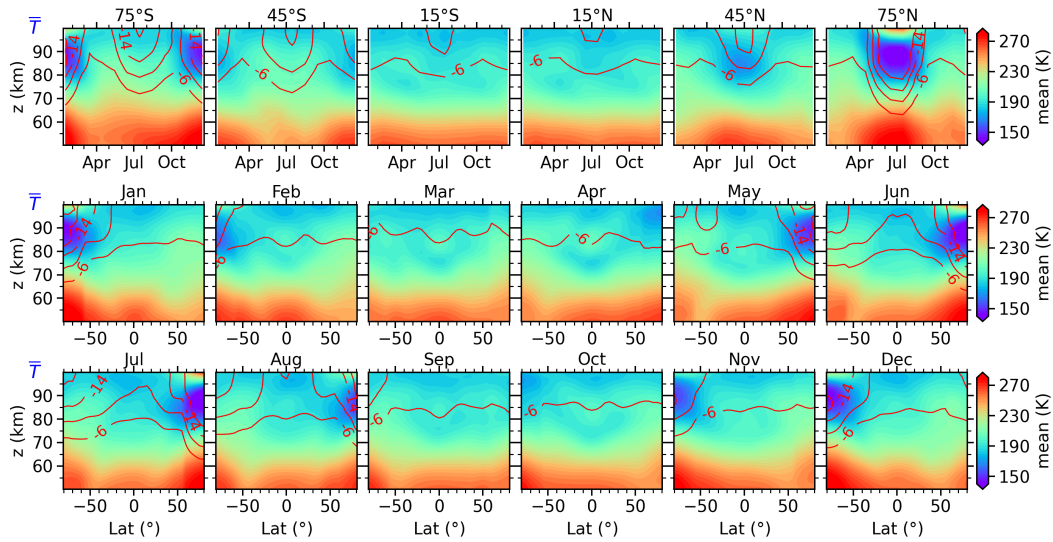
## 5 Discussion

Based on the linear GW dispersion and polarization relation in a compressible atmosphere, the global GW-induced heat flux and heating rate are derived from SABER temperature measurements. The main assumption is that the GW propagating in the along-track direction of SABER orbit. The uncertainties are quantified by introducing an angle  $\alpha$ , which denotes the deviation of the actual GW propagating direction relative to the along-track direction. In the simple case that the angle  $\alpha$  does not change with altitude, the retrieved heat flux and heating rate are systematically underestimated by a factor of  $\cos \alpha$ . Overall, the monthly mean heat flux and heating rate are of  $\sim 0.7 \text{ Kms}^{-1}$  and  $\sim 12 \text{ Kday}^{-1}$ , with standard deviations of  $\sim 1.1 \text{ Kms}^{-1}$  and  $\sim 20 \text{ Kday}^{-1}$ , respectively. They are  $\sim 0.3$ – $0.5$  times the lidar-derived values and are  $\sim 0.5$  times of theoretical predictions.

Despite these inherent uncertainties, the GW-induced heat flux and heating rate presented in this study offer distinct advantages, including global spatial coverage and continuous temporal coverage spanning from 2002 to 2025. The heat flux and heating rate derived here are strongly correlated to the GW amplitudes, exhibiting latitudinal dependence in both annual and semiannual oscillation, as well as interhemispheric and seasonal asymmetries in their peak magnitudes. The month-altitude patterns of GW amplitudes and GW-induced heat flux and heating rate are comparable with nighttime lidar observations at SOR (35°N) reported by Gardner and Liu (2007). Their lidar results showed that GW temperature variance ( $T'^2$ ) reaches its maxima in winter and summer at altitudes above 100 km and  $\sim 85$ – $95$  km, with winter peaks larger than summer ones. In



509 contrast, vertical wind variance is dominated by annual oscillations and is maximized in summer.  
 510 The summer peaks occur near 85 km and 92 km, which are lower in altitude than the corresponding  
 511 peaks of temperature variance. These seasonal and altitudinal features support the results presented  
 512 in Figure 3. The SOR lidar-derived heat flux and heating rate exhibit negative peaks near 90 km  
 513 in both winter and summer, with winter peaks being more negative than summer counterparts.  
 514 Gardner and Liu (2007) suggested that variations in heat flux and heating rate are closely related  
 515 to GW intensity. The GW-induced heat flux and heating rate derived here support this conclusion.  
 516



**Figure 7.** Monthly-mean of background temperature as a function of month and latitude (1<sup>st</sup> rows) and as a function of latitude and altitude (2<sup>nd</sup> and 3<sup>rd</sup> rows). The heating rate is overplotted by contour lines with interval of 4 Kday<sup>-1</sup>.

517  
 518 Nevertheless, several discrepancies exist between the two results. First, unlike the lidar  
 519 observations, there is no the summer maximum of  $T'^2$  above 100 km in Figure 3. This is because  
 520 of wavelet edge effects during GW extraction from SABER temperature measurements. We do  
 521 not analyze GWs extracted from SABER temperature above 100 km. Second, the lidar-observed  
 522  $T'^2$  valley near 95 km is absent in our results.  $T'^2$  oscillates at twice the wavenumber and varies  
 523 with the instantaneous phase of the perturbation. The amplitude in Equation (1) is calculated from  
 524 the Hilbert transform, which removes the dependence on instantaneous phase in  $T'^2$  and represents  
 525 the slowly varying envelope of the perturbation. Third, the month-altitude patterns of lidar-derived  
 526 heat flux and heating rate are more complex than those derived here. Fourth, positive values of



527 heat flux and heating rate retrieved from lidar are not fully reproduced here. The last two  
 528 discrepancies are likely attributed to the distinct GW spectral ranges resolved by lidar and SABER.

529 Now, we discuss the relations of global morphology between heating rate and background  
 530 temperature. Figure 7 shows the distributions of monthly-mean background temperature as a  
 531 function of month and altitude and as a function of latitude and altitude. Comparisons between the  
 532 GW-induced heating rate and background temperature show that the cold summer mesopause  
 533 coincides well with the enhanced heating rates at mid- and high-latitude. Furthermore, the summer  
 534 mesopause is colder at 75°N and 45°N than that at 75°S and 45°S, respectively. This feature is  
 535 consistent with enhanced summer heating rates over northern mid- and high-latitude. At low  
 536 latitudes, the stronger heating rate in summer is consistent with the slightly colder mesosphere  
 537 relative to other seasons. The relations among GWs' strength, mesopause height and temperature  
 538 at high latitudes have been studied through global models with different GW parameterization  
 539 schemes and GW sources (Berger and Von Zahn, 1999; Garcia and Solomon, 1985; Siskind et al.,  
 540 2003). The summer mesopause structures exhibit large discrepancies among different models. A  
 541 general conclusion is that adiabatic heating/cooling caused by the meridional circulation, which is  
 542 sensitive to GW forcing. This illustrates the importance of quantifying the strengths of GWs and  
 543 their heating/cooling rates.

544 The energy balance is controlled by many dynamical and chemical processes in the MLT.  
 545 The solar heating and chemical heating are  $\sim 10 \text{ K/day}^{-1}$  and  $\sim 10\text{--}15 \text{ K/day}^{-1}$ , respectively  
 546 (Mlynczak and Solomon, 1993; Smith, 2004; Wu et al., 2026; Xu et al., 2007). Radiative cooling  
 547 caused by  $\text{CO}_2$  is about  $-15 \text{ K/day}^{-1}$  (Mlynczak et al., 2024; Mlynczak et al., 2018). The adiabatic  
 548 heating and cooling rates caused by meridional circulation reach peaks about  $15 \text{ K/day}^{-1}$  in the  
 549 winter polar MLT and  $-15 \text{ K/day}^{-1}$  in the summer polar MLT, respectively (Smith, 2004; Yue and  
 550 Wang, 2025). Compared to the above-mentioned heating/cooling rates, the GW-induced heating  
 551 rates are of the order of  $\sim 10 \text{ K/day}^{-1}$ , which are in quantitative agreement with the adiabatic cooling  
 552 rates. This quantitative comparison illustrates the importance of non-breaking GWs in  
 553 heating/cooling the local atmosphere.

554 We note that the enhanced heating rates extend from about 70 km to 100 km, whereas, the  
 555 heights of mesopause heights are mainly at  $\sim 85\text{--}95 \text{ km}$  at high latitudes of summer hemispheres.  
 556 This indicates that the enhanced heating rates above mesopause are not the only factor of  
 557 determining the thermal structure of the lower thermosphere. In fact, the spatial and temporal  
 558 variations of the thermal structures are determined by many heating/cooling sources. Specifically,  
 559 although the diffusive and  $\text{O}_2$  heating rates are very small, they increase with altitude and reach





560 peaks of  $\sim 10\text{--}20\text{ K/day}^{-1}$  at  $\sim 100\text{--}110\text{ km}$  (Smith, 2004). Moreover, the narrow warm region  
 561 above the cold summer mesopause has also been revealed by Yue and Wang (2025). This may be  
 562 partially explained by the decreasing adiabatic cooling rate above summer mesopause and auroral  
 563 heating (Smith, 2004; Yue and Wang, 2025).

## 564 **6 Conclusions**

565 The importance of heat flux and heating rate induced by non-breaking GWs have been  
 566 quantified by ground-based lidar observations. Their global distributions are unknown yet. This is  
 567 due to the unavailable vertical wind measurement. To partially overcome this limitation, we derive  
 568 the GW-induced vertical wind via the linear GW theory in a compressible atmosphere from the  
 569 temperature profiles measured by SABER during 2002–2025. Then the global the GW-induced  
 570 heat flux and heating rate are also derived. The main conclusions are summarized as below.

571 The GW-induced parameters ( $|T'/\bar{T}|$ ,  $|w'|$ , heat flux, and heating rate) at  $35^\circ\text{N}$  are analyzed  
 572 and compared with lidar observations. The derived heat fluxes are mainly downward and cool the  
 573 background atmosphere, which peak magnitudes occurring around 90 km. The heat fluxes and  
 574 heating rates have mean values of  $\sim 0.7\text{ Kms}^{-1}$  and  $\sim 12\text{ Kday}^{-1}$ , with standard deviations of  $\sim 1.1$   
 575  $\text{Kms}^{-1}$  and  $\sim 20\text{ Kday}^{-1}$  at  $80\text{--}100\text{ km}$ , respectively. The derived heating rates are almost equivalent  
 576 to the adiabatic cooling rates reported before.

577 The global spatial and temporal distributions of the GW-induced parameters are studied in a  
 578 composite year derived over 2002–2025. The annual oscillations are dominant in  $|T'/\bar{T}|$  and  $|w'|$   
 579 at high latitudes of summer hemispheres. The annual maxima of  $|T'/\bar{T}|$  and  $|w'|$  are located at  $\sim$   
 580  $95\text{ km}$  and  $\sim 90\text{ km}$ , respectively. In contrast, heat fluxes and heating rates exhibit clear semiannual  
 581 oscillations, with peaks in both winter and summer hemispheres. Moreover, GW-induced  
 582 parameters show notable interhemispheric and seasonal asymmetries, especially at high latitudes.  
 583 Such interhemispheric asymmetry supports the colder summer mesopause at northern high  
 584 latitudes as compared to that at southern high latitudes.

585 The magnitudes of heat fluxes and heating rates are  $\sim 0.3\text{--}0.5$  times the lidar-derived values  
 586 and are  $\sim 0.5$  times of the theoretical values. This discrepancy is mainly attributed to uncertainties  
 587 of wave propagation direction. The uncertainty of GW propagation direction underestimates heat  
 588 fluxes and heating rates by a factor of  $\cos \alpha$ . Moreover, the observational filter and wavelengths  
 589 observable by SABER are favor waves with long horizontal wavelengths. Thus, the unobserved  
 590 waves with short wavelengths, which can also contribute to heat fluxes and heating, are omitted  
 591 and induce an underestimation. Future tomographic limb-imaging observations (e.g., CAIRT) and





high resolution model simulations, can provide three-dimensional atmospheric parameters and overcome these limitations.

#### **Data availability**

All SABER data can be accessed from Space Physics Data Facility, Goddard Space Flight Center (<https://spdf.gsfc.nasa.gov/pub/data/timed/saber/>, last access: March 2026). Outputs of the method are available from the corresponding author upon request.

#### **Author contributions**

XL developed the conceptualization, formal analysis and drafted the manuscript. JX and JY provided supervision, discussion and validation. QL, ZY, YL, LV, and JM provided suggestions and revisions and helpful discussion.

#### **Competing interests**

The authors declare that they have no conflict of interest.

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