

Investigating the drivers of wintertime Southern Ocean sea-ice leads using random forest algorithms

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Abstract. Sea-ice leads and coastal polynyas play a crucial role in regulating ocean-atmosphere energy exchange, yet the physical drivers controlling their variability across the Southern Ocean remain unquantified. This study uses a machine learning approach based on random forest regression with permutation feature importance analysis to identify predictors-the main drivers of Southern Ocean lead-frequency leads and coastal polynyas during winters (April–September, 2003–2023). The model integrates nine predictors representing atmospheric (wind speed, zonal u and meridional v wind components, wind divergence, sea-level pressure, 2-m temperature), oceanic (surface current speed), and sea-ice kinematic variables (ice velocity, divergence, concentration ice divergence), together with a seasonal descriptor (month). Evaluated on independent test data, the model achieves an evaluation performance a correlation of $r = 0.70$ at the pan-Antarctic scale and $r = 0.68$ – 0.63 – 0.78 – 0.82 across regional sectors ($MAE = 0.016$ – 0.024). Permutation-Relative importance analysis indicates that 2-m temperature (20 the zonal u wind (17.7 %), current speed (13.9 %), wind divergence (13.9 %), ice divergence (11.9 %), meridional v wind (12.2 %), and ocean current speed (11.6 %)) collectively explain approximately 57 % of the observed lead-frequency variability model's total feature importance. Regional analysis reveals sector-specific drivers: The the Weddell Sea is controlled by wind and ice divergence; the Ross Sea exhibits contributions from air temperature, wind divergence, and ocean current. The primarily controlled by zonal u wind and ocean currents; the Indian and Pacific Ocean sectors show strong air temperature and ocean current influence, by directional wind forcing; and the Bellingshausen–Amundsen Seas are dominated by seasonal wind forcing influenced by ocean currents and meridional v wind. An analysis focused on coastal areas shows that current speed dominates in the nearest coastal zones (0–50 km) at the pan-Antarctic scale, and including a polynya dataset improves r values from 0.73 to 0.89 in these zones. However, the model does not fully resolve fine-scale structures evident in observations, hence a notable portion of the lead frequency variance remains unexplained due to the spatial resolution used in this study. This suggests the need for future work to apply a random forest framework at higher spatial resolution to investigate small-scale regional lead hotspots, including bathymetrically-controlled and coastal lead zones still remains unexplained, which points out that the individual contribution of drivers for lead formation largely depends on local conditions and coastal geometries.

1 Introduction

25 Sea-ice leads, i.e. narrow linear cracks or elongated openings within the consolidated ice pack, play an important role in the climate system by mediating the exchange of heat, moisture, and gas between the ocean and atmosphere (Alam and Curry, 1997; Marcq and Weiss, 2012). When these openings expose relatively warm ocean water to the cold atmosphere, they trigger strong upward fluxes of sensible and latent heat, modify surface albedo, and influence boundary-layer stability (Lüpkes et al., 2008; Heinemann et al., 2022; Tian et al., 2025). As leads refreeze, they promote new ice formation and brine rejection, which
30 in turn alter local salinity, increase water density, and contribute to deep-water formation and regional ocean circulation (Smith et al., 1990; Key et al., 1993; Ohshima et al., 2013). Beyond their thermodynamic significance, leads act as ecological and biogeochemical hotspots, providing habitats for marine fauna and serving as potential sources of methane emissions (Stirling, 1997; Kort et al., 2012; Damm et al., 2010). Through the ice–albedo feedback mechanism, an increased lead fraction enhances solar radiation absorption, which accelerates sea-ice thinning and amplifies regional climate feedbacks (Curry et al., 1995; Nihashi and Cavalieri, 2006). Consequently, lead variability strongly influences air–sea coupling and ocean circulation in both hemispheres (Vihma et al., 2014; von Albedyll et al., 2022).

The Southern Ocean represents a complex environment for studying lead dynamics due to the interplay of strong atmospheric forcing, intricate ocean circulation, and variable bathymetry (Holland and Kwok, 2012). Lead formation and variability are driven by both atmospheric processes, such as wind stress~~and~~, and sea-ice dynamic processes, such as ice divergence, ~~and~~
40 as well as oceanic circulation patterns (Reiser et al., 2019; Wang et al., 2023). Recent studies using thermal-infrared remote sensing have enabled the detection of sea-ice leads through surface temperature anomalies derived from the Moderate Resolution Imaging Spectroradiometer (MODIS), ~~which provides~~ providing daily lead maps at a 1 km² spatial resolution (Reiser et al., 2020). However, frequent Antarctic cloud cover limits continuous observation and introduces substantial gaps in daily lead data.

45 To address these limitations, Dubey et al. (2025a) developed a gap-filled monthly climatology of lead frequency (LF) for winter months (April–September) between 2003 and 2023, offering a consistent long-term dataset for the Southern Ocean. Their analysis revealed ubiquitous and spatially heterogeneous lead occurrence, with pronounced maxima along coastal regions, continental shelf breaks, and key bathymetric features such as Maud Rise (~66°S, 3°E) and Gunnerus Ridge (~67°S, 34°E). Increased LF values (> 0.25) were observed particularly along the Weddell and Ross Seas shelf breaks, where leads frequently align with underlying topographic gradients (Reiser et al., 2019; Dubey et al., 2025a). Along the Antarctic coastal margins, open-water features also include coastal polynyas that form along ice shelves and landfast ice edges. These coastal polynyas share many physical drivers with pack-ice leads and play a similarly important role in mediating air–sea energy exchange, new ice formation, and dense shelf water production (Morales Maqueda et al., 2004; Golledge et al., 2025). To provide a more complete representation of wintertime leads with small-scale polynya activity across the Southern Ocean, the monthly coastal
50 polynya dataset of Lin et al. (2024) is incorporated into the LF target variable in this study, enabling a combined analysis of both pack-ice leads and coastal polynyas within the same RF regression framework.

While several studies have described lead distributions and temporal variability in both polar regions (Wang et al., 2016; Reiser et al., 2019; Willmes et al., 2023; Dubey et al., 2025a), a comprehensive quantitative framework for identifying and ranking the physical drivers, and quantifying the relative importance of atmospheric, oceanic, and ice-dynamic controls on Southern Ocean leads and coastal polynyas is still lacking. This knowledge gap limits the representation of ~~lead-related~~ lead/coastal polynya related processes in coupled climate models and limits our capacity to assess how future changes in atmospheric or oceanic forcing might influence lead dynamics and their feedback on the polar climate system (Zhang, 2014; Rheinländer et al., 2022).

~~Lead formation arises from the complex interplay between multiple coupled non-linear processes, including atmospheric forcing (wind speed, wind divergence, synoptic pressure patterns, and air temperature), sea-ice dynamics (material properties, ice velocity, ice divergence and ice concentration), and oceanic circulation (surface current pattern). Winds generate divergent stress fields that mechanically fracture the ice cover and initiate lead opening (Kimura and Wakatsuchi, 2000). Colder near-surface temperatures enhance turbulent heat fluxes from existing leads and trigger refreezing. In parallel, ocean currents interacting with underlying bathymetry induce shear and strain that both directly open leads through localized stress concentration and indirectly precondition lead formation by mechanically weakening ice through long-term traction and stress accumulation (Holland and Kwok, 2012). Enhanced tidal currents over continental shelf breaks and prominent bathymetric features create localized zones of elevated shear and strain that are particularly conducive to lead opening (Dubey et al., 2025a; Reiser et al., 2019).~~

The non-linear nature and multi-scale interactions among these drivers are difficult to capture using traditional linear statistical approaches ~~(von Albedyll et al., 2022)~~. Machine-learning methods, particularly random forest (RF) regression models (Breiman, 2001; Biau, 2012), offer a strong framework to capture such non-linear relationships among multiple predictors without requiring predefined functional assumptions. In polar research, RF models have shown strong performance in diverse applications such as sea-ice drift calibration (Palermé and Müller, 2021; Zhang et al., 2024), forecast of sea-ice concentration (Chi and Kim, 2017), and ice-type classification (Shen et al., 2017). Beyond prediction, they also provide interpretable measures of variable ~~importance through permutation-based~~ relative importance through feature-importance-based methods (Strobl et al., 2007), enabling identification of dominant drivers on lead formation.

Earlier work primarily focused on describing the spatial distribution, seasonal cycle, and long-term trends of LF derived from satellite observations (Dubey et al., 2025a; Reiser et al., 2020). However, an explanatory framework that integrates multiple predictors to model LF and identify the relative importance of individual drivers has not yet been developed. This study addresses this gap by developing an RF regression model to reconstruct LF ~~(target variable)~~ across the Southern Ocean using predictors ~~(input variables)~~ from the atmosphere, sea-ice and ocean for the period from 2003 to 2023 (April–September).

Using the gap-filled monthly LF dataset of Dubey et al. (2025a) combined with the coastal polynya dataset of Lin et al. (2024), this study has ~~four~~ three main objectives. First, to quantify the contribution of key predictor variables to LF variability across the Southern Ocean. Second, to identify and rank the dominant drivers, and evaluate their relative importance for the pan-Antarctic region and five sub-sectors (Weddell Sea, Indian Ocean, Pacific Ocean, Ross Sea, and Bellingshausen–Amundsen Seas). Third, to assess the key coastal processes, including regional variations in model performance and predictor importance.

~~Fourth, to evaluate the incremental contribution of different predictors to identify the minimal predictor set required for skillful LF reconstruction.~~

This study is structured as follows. Sect. 2 introduces the ~~LF~~ lead and coastal polynya dataset used as the target variable, outlines the atmospheric, ice-dynamic, and oceanic predictor variables, and describes the RF regression methodology. Sect. 3 presents the model performance using the test dataset for the Southern Ocean and its five regional sectors including coastal regions, evaluates predictor importance and the contribution of incremental predictors, and highlights the monthly model performance for each winter month. We discuss the results in Sect. 4, and finally, Sect. 5 concludes our work.

2 Data and Methods

2.1 Sea-ice lead frequency as target variable

This study uses the monthly LF dataset by Dubey et al. (2025b) for the Southern Ocean covering the months from April to September, 2003–2023. The dataset serves as the target variable in the RF regression framework. Monthly LF data are based on thermal infrared satellite imagery, which was used to detect leads as surface temperature anomalies in cold winter sea ice (Reiser et al., 2020). Monthly LF values were computed from daily lead observations as the fraction of clear-sky days during which a grid cell was identified as a lead (Dubey et al., 2025a). The LF represents a temporally integrated quantity indicating the number of days a pixel is ~~detected as~~ covered by a lead during a specified period relative to the number of available clear-sky observations.

To provide a more complete representation of wintertime open-water activity along the Antarctic coastal margins, the coastal polynya dataset of Lin et al. (2024), was incorporated into the LF target variable. This dataset provides daily records of coastal polynya areas around Antarctica derived from passive microwave satellite observations, and is used here to supplement the MODIS-derived LF, which does not capture larger open water or thin ice areas (polynya-scale) explicitly. A monthly polynya frequency is therefore calculated based on the daily binary data. The year 2012 is excluded from the analysis because the polynya dataset is not available for that year. The combined dataset includes both pack-ice leads and coastal polynyas (LF), enabling a more physically complete analysis of wintertime lead variability across the Southern Ocean. We provide results, however, also for the leads-only data set (LF without polynyas, LFWP) to highlight the specific benefits that the polynya dataset can contribute.

For use in the RF framework, the 1 km² monthly LF data were spatially aggregated to a 2° latitude × 5° longitude grid (for basin-scale analysis) and 10 × 10 km² (for coastal analysis), respectively. This aggregation is meant to balance spatial resolution with computational efficiency while aligning with the resolution of predictor variables~~(see Sect. 4.1).~~

120 2.2 ~~Auxiliary data as predictor~~ Predictor variables

To investigate the drivers of lead and coastal polynya formation, this study compiled nine input predictor variables for the same period as the target variable, grouped into four categories: atmospheric forcing, sea-ice kinematics, oceanic currents, and temporal descriptor.

Atmospheric predictors include the zonal u wind and meridional v wind components of the 10 m wind, 10 m wind speed, horizontal wind divergence, and sea-level pressure ~~, and 2 m air temperature~~ from the ERA5 reanalysis (Hersbach et al., 2020) produced by the European Centre for Medium-Range Weather Forecasts (ECMWF) and obtained from the Climate Data Store (CDS) of Copernicus Climate Change Service (C3S). ERA5 provides hourly atmospheric fields at approximately 31 km resolution, which were temporally averaged to monthly means and bilinearly interpolated to the analysis grid. The zonal u wind and meridional v wind components explicitly resolve the directional structure of near-surface wind forcing, capturing both the large-scale atmospheric circulation patterns and the local wind-driven divergence that mechanically fractures the ice cover and initiates lead opening (Kimura and Wakatsuchi, 2000). Wind speed and divergence represent the principal mechanical forcing for ice motion and lead opening, capturing both direct effects through wind shear and indirect influences via pressure gradients. Wind divergence is directly used from the ERA5 reanalysis for the 10 m wind field. Sea-level pressure accounts for large-scale synoptic variability that modulates wind stress and convergence–divergence patterns over the ice pack (Tschudi et al., 2019; Hersbach et al., 2020). ~~The 2 m air temperature controls the surface energy balance and thermodynamic processes at the ice–atmosphere interface (Perovich et al., 2002; Elliot, 2014); it influences the magnitude of turbulent heat fluxes over leads and the freezing rate of newly exposed water, both of which are critical to understanding the coupled thermodynamic evolution of lead formation (Mathiot et al., 2012).~~

Sea-ice kinematic predictors in this study consist of ice velocity, ~~ice divergence, and sea-ice concentration~~ and ice divergence. Ice velocity and divergence are derived from the Polar Pathfinder Daily Sea Ice Motion product (Tschudi et al., 2019) provided by the National Snow and Ice Data Center (NSIDC). This product integrates motion estimates from passive microwave sensors, visible and infrared satellite imagery, together with atmospheric reanalysis winds to generate daily ice drift vectors at 25 km spatial resolution. Ice divergence was calculated from the ice velocity components using centered finite differences. Grid cells containing land or coastline were masked using the land-sea mask of the analysis grid prior to the divergence calculation, ensuring that land contamination did not artificially inflate divergence values near the coast. For wind divergence, the ERA5 10 m wind divergence field was used directly from the reanalysis product. These variables represent the large-scale dynamic state of the ice cover, capturing mechanical deformation and motion that drive lead formation through divergent (Hutchings et al., 2011). ~~Sea-ice concentration was derived from the ARTIST Sea Ice (ASI) algorithm applied to AMSR-E/AMSR2 satellite observations provided by the University of Bremen (Spren et al., 2008). Sea-ice concentration is a key indicator of the mechanical state of the ice pack: densely consolidated ice with high concentrations tends to be mechanically stronger and more resistant to deformation, whereas lower concentrations reflect a more fractured, loosely connected ice cover that is more prone to breaking and forming leads (Feltham, 2008; Lüpkes and Gryanik, 2015). For April to June 2012, a gap in AMSR-E/AMSR2 data between October 2011 and June 2012 prevents direct retrieval of sea-ice concentration. For these months, we therefore~~

~~use the mean sea-ice concentration computed from the periods with available AMSR data. Monthly means of ice velocity, divergence and ice concentration (> 15 %) were therefore calculated and mapped to the analysis grid.~~

Ocean surface current speed data were obtained from the ECMWF Ocean Reanalysis System 5 (ORAS5) product (Zuo et al., 2019). ORAS5 provides ocean surface current fields at approximately 0.25° spatial resolution, which were also monthly averaged and interpolated to the analysis grid. Ocean currents play a key mechanistic role in lead formation, as mobile sea-ice is continuously subjected to current-induced tractions that generate internal stress, weaken the ice mechanically, and promote divergent ice motion (Leng et al., 2024). ~~The inclusion of ocean current speed as a predictor allows the RF model to account for oceanic control on lead variability that operates alongside atmospheric and ice-dynamic forcing.~~

Finally, the temporal descriptor, which represents the month, is included to represent the seasonal evolution of ice cover and ice thickness throughout the winter period. This descriptor captures the systematic progression of thermodynamic and ice-dynamic conditions from early winter (April) through late winter (September), allowing the model to account for seasonal shifts in the relative importance of different atmospheric, ice-dynamic and oceanic predictors. However, the month descriptor is excluded as a predictor in the coastal model performance analysis (Sect. 3.5–3.6).

2.3 Random forest regression framework

In this study, we use an RF regression model (Breiman, 2001) to model LF in each grid cell as a function of nine input predictor variables described in Sect. 2.2. RF is an ensemble learning method based on two key principles of randomness: bootstrap aggregating (bagging) and feature selection at each split. This combination allows the RF model to capture complex non-linear relationships and interactions among predictors without requiring predefined assumptions, making it well-suited for representing the non-linear processes driving sea-ice lead and coastal polynya formation.

The RF algorithm builds multiple independent decision trees using bootstrap samples of the training data. Each tree is trained on a randomly selected subset of observations drawn with replacement from the original training data. This bootstrap sampling results in about one-third of the training samples being used in each tree, with the remaining two-thirds (out-of-bag observations) available for internal validation. The aggregation of many diverse trees through averaging significantly reduces variance and overfitting risk while maintaining predictive accuracy.

At each node split during tree construction, the RF algorithm randomly selects a subset of predictor variables rather than evaluating all available features. In this study, we followed standard recommendations for regression problems and set the number of candidate predictors at each split to the square root of the total number of predictors (Breiman, 2001). This feature of randomness further decorrelates the decision trees by encouraging each tree to explore different combinations of predictors, preventing single dominant predictors from dominating all trees. Node splits were optimized using mean squared error as the dissimilarity metric.

The model was implemented in Python 3.10 using the scikit-learn library (Pedregosa et al., 2011; version 1.3). The RF configuration employed 100 decision trees, ensuring sufficient ensemble diversity while maintaining computational efficiency as increasing the number of trees beyond this number provided no measurable improvement in performance. Each tree was

allowed a maximum depth, with most leaves containing only a single observation from the training data, and the minimum number of samples required to split an internal node was set to two.

The dataset was randomly split into training and testing subsets in an 80:20 ratio, ensuring balanced representation across 190 months and regional sectors. The RF model was trained on 80 % training subset and subsequently evaluated on the independent 20 % testing subset. Model performance was evaluated using standard regression metrics such as bias (i.e. mean error), mean absolute error (MAE), root mean square error (RMSE), and the Pearson correlation coefficient (r).

2.4 Evaluation of predictor importance and incremental contribution

To identify the most influential predictors for sea-ice lead [and coastal polynya](#) formation, we assessed predictor importance in 195 the RF model using ~~permutation~~-[feature](#) importance (Strobl et al., 2007). This method quantifies the increase in prediction error when the values of a single predictor are randomly shuffled, effectively breaking its association with the target variable while preserving relationships among all remaining predictors. ~~Permutation~~-[Relative](#) importance is calculated on held-out test data, avoiding overfitting bias, and accounts for both direct and interaction effects among predictors. It also allows a fair comparison between continuous and categorical (e.g., month) predictors (see Sect. 2.2).

200 To compare the influence of different predictors, we calculated the relative ~~permutation~~-importance by normalizing the individual importance scores (measured as the increase in mean square error) so that they sum to 100 %. This analysis enabled the identification of the dominant atmospheric, oceanic, and ice-dynamic predictors of lead variability across the Southern Ocean. [We note that the RF feature importance values used in this study quantify only the magnitude of each predictor's contribution to model skill, not the sign of its relationship with LF.](#) The resulting relative importance distributions and their 205 regional patterns are presented in Sect. 3.3.

To further evaluate the incremental contribution of predictor categories, we conducted a stepwise predictor addition experiment. The analysis began with a baseline RF model including only atmospheric variables (10 m wind speed, wind divergence, [zonal u wind, meridional v wind, and](#) sea-level ~~pressure, and 2-m air temperature~~[pressure](#)) and progressively added sea-ice kinematic variables (ice velocity ~~, divergence, and ice concentration~~[and divergence](#)), ocean current speed, and a temporal descriptor (month). Each nested model was trained on 80 % of the data and evaluated on the independent 20 % testing subset. At each stage, model skill was assessed using MAE, RMSE, and r. Differences in these metrics between consecutive models quantified the marginal gain attributable to the newly added variables. This incremental framework isolates the added value of each predictor group, revealing how these variables collectively improve LF reconstruction. All data preprocessing, model training, and evaluation were performed in Python 3.10, and the performance metrics for all nested models are summarized 210 in [Table 1, Fig. 2.](#) All results presented in Sect. 3 are derived from model predictions and evaluated using an independent test subset (20 % of the dataset) that was withheld during model training.

~~Summary of model performance metrics and top-5 predictors (with percentage importance) for the Southern Ocean and regional sectors during April to September, 2003–2023, based on the test subset. Performance metrics include mean absolute error (MAE), root mean square error (RMSE), and Pearson correlation coefficient. (r). Predictor abbreviations: T2m = 2-m air temperature, Wind div = wind divergence, Ice div = ice divergence, Ice cone = sea-ice concentration, SLP = sea-level pressure.~~ 220

~~Metrie & predictor Southern Ocean Weddell Sea Indian~~ We here used the RF in different configurations: First, to evaluate lead drivers on a large scale, we average target and predictor data into 2° latitude $\times$ 5° longitude bins and then run the RF model for data from the entire Southern Ocean ~~Pacific Ocean Ross Sea Bellingshausen–Amundsen Seas~~

~~MAE 0.022 0.024 0.016 0.016 0.019 0.021 RMSE 0.031 0.034 0.022 0.022 0.026 0.030 r 0.70 0.68 0.78 0.73 0.73 0.77~~

225 ~~Top-5 predictors (%) T2m: 19.6 Wind div: 12.7 Ice div: 11.9 Current speed: 11.6 Wind speed: 11.4 Wind div: 16 Ice div: 14.5 T2m: 14.3 Current speed: 12 Ice velocity: 9.4 T2m: 31 Current speed: 14. Ice div: 9.4 Wind speed: 9.3 Wind div: 8.9 T2m: 29.6 Current speed: 13 Wind div: 11.8 Wind speed: 11.5 Ice velocity: 10.1 T2m: 20.8 Wind div: 14 Current speed: 12. Ice velocity: 10.8 Ice conc: 9.6 T2m: 25.3 Month: 11.7 Wind div: 10.8 SLP: 10.2 Wind speed: and five sub-sections (Sect. 3.1–3.4). In this configuration, a seasonal descriptor (month) is included. Second, we exemplarily identify smaller areas, mostly in coastal regions, to address~~
 230 ~~changes in main lead drivers depending on the region and ice regime (Sect. 3.5). Third, we derive target and predictor variables as averages for four distance categories from the coast (0–50 km, 50–150 km, 150–300 km, > 300 km) to address coastal process explicitly and to evaluate how the RF performance and the main drivers change with increasing distance from the coast (Sect. 3.6). In the last two configurations (Sect. 3.5–3.6), target and predictors are used on a 10~~

~~Spatial distribution of (a) observed mean lead frequency and (b) predicted mean lead frequency of the Southern Ocean during the winter period (April–September), 2003–2023, based on the test subset. Data are aggregated to 2° latitude $\times$ 5° longitude grid resolution.~~

~~Model performance evaluation comparing observed versus predicted lead frequency based on the test subset for (a) the Southern Ocean and regional sectors: (b) Weddell Sea, (c) Indian Ocean, (d) Pacific Ocean, (e) Ross Sea, and (f) Bellingshausen–Amundsen Seas during April–September, 2003–2023. The black dashed line represents the 1:1 reference line, and the red line shows the linear regression fit. Color gradients indicate data point density. Performance metrics include sample size (N), bias (mean error), mean absolute error (MAE), root mean square error (RMSE), and Pearson correlation coefficient (r).~~

~~Seasonal evolution of monthly mean lead frequency from April through September, comparing observed (blue) and predicted (orange) values based on the test subset for (a) the Southern Ocean and regional sectors: (b) Weddell Sea, (c) Indian Ocean, (d) Pacific Ocean, (e) Ross Sea, and (f) Bellingshausen–Amundsen Seas during 2003–2023.~~

245 ~~Incremental predictor contribution analysis showing the progressive improvement in model performance as predictor variables are sequentially added for (a) the Southern Ocean and its regions: (b) Weddell Sea, (c) Indian Ocean, (d) Pacific Ocean, (e) Ross Sea, and (f) Bellingshausen–Amundsen Seas during April–September, 2003–2023. Lines represent the MAE (blue), RMSE (red), and r (green). Predictors are added in the following sequence: wind speed (WS) $\rightarrow$ wind divergence (WD) $\rightarrow$ 2 m air temperature (T2m) $\rightarrow$ sea-level pressure (SLP) $\rightarrow$ ice concentration (IC) $\rightarrow$ ice velocity (IV) $\rightarrow$ ice divergence (ID) $\rightarrow$ ocean current speed (CS) $\rightarrow$ month (Mon): $\times 10 \text{ km}^2 \text{ grid}$.~~

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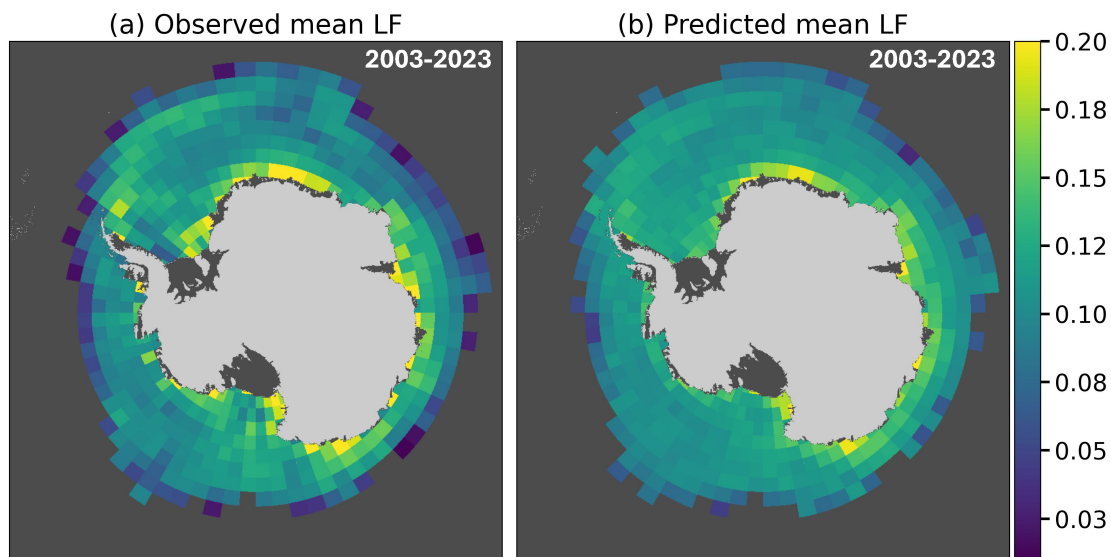


Figure 1. Spatial distribution of (a) observed mean lead frequency and (b) predicted mean lead frequency of the Southern Ocean during the winter period (April–September), 2003–2023, based on the test subset. Data are aggregated to 2° latitude $\times$ 5° longitude grid resolution. The colorbar is limited to 0.20 to enhance spatial contrast; values in some coastal regions exceed this threshold.

3 Results

3.1 Reconstruction of spatial and seasonal lead frequency patterns

The RF regression model demonstrates skill in reproducing observed LF using nine predictor variables based on an independent test data sample across the Southern Ocean during winter months (April–September) for the period 2003–2023.

255 The model captures the large-scale pan-Antarctic pattern of ~~elevated~~ LF and is broadly consistent with the ~~21~~20-year observational climatology presented in Dubey et al. (2025a). Reconstructed spatial maxima occur in the same general regions as the main lead-prone zones along the ~~Weddell and Ross Seas continental shelf breaks~~ coastal margins, particularly in the Weddell Sea, Prydz Bay, Pacific sector and Ross Sea coastal zones, where LF values exceed 0.18, indicating that leads were present on more than about 18 % of winter days during the study period shown in Fig. 1. ~~While the model broadly captures the pan-Antarctic regional pattern, it does not reproduce the fine-scale lead hotspots that occur around bathymetric features described in the climatological study (Dubey et al., 2025a; Reiser et al., 2019). The coarse $2^\circ \times 5^\circ$ grid resolution fundamentally limits the model's ability to resolve the small-scale, bathymetrically-controlled divergence activity and shelf-break dynamics that are critical for understanding coastal lead zones. The relationship between bathymetric features and lead formation is smoothed out by spatial aggregation, meaning the model captures only large-scale regional tendencies rather than the explicit role of topographic steering (see Sect. 4.1).~~

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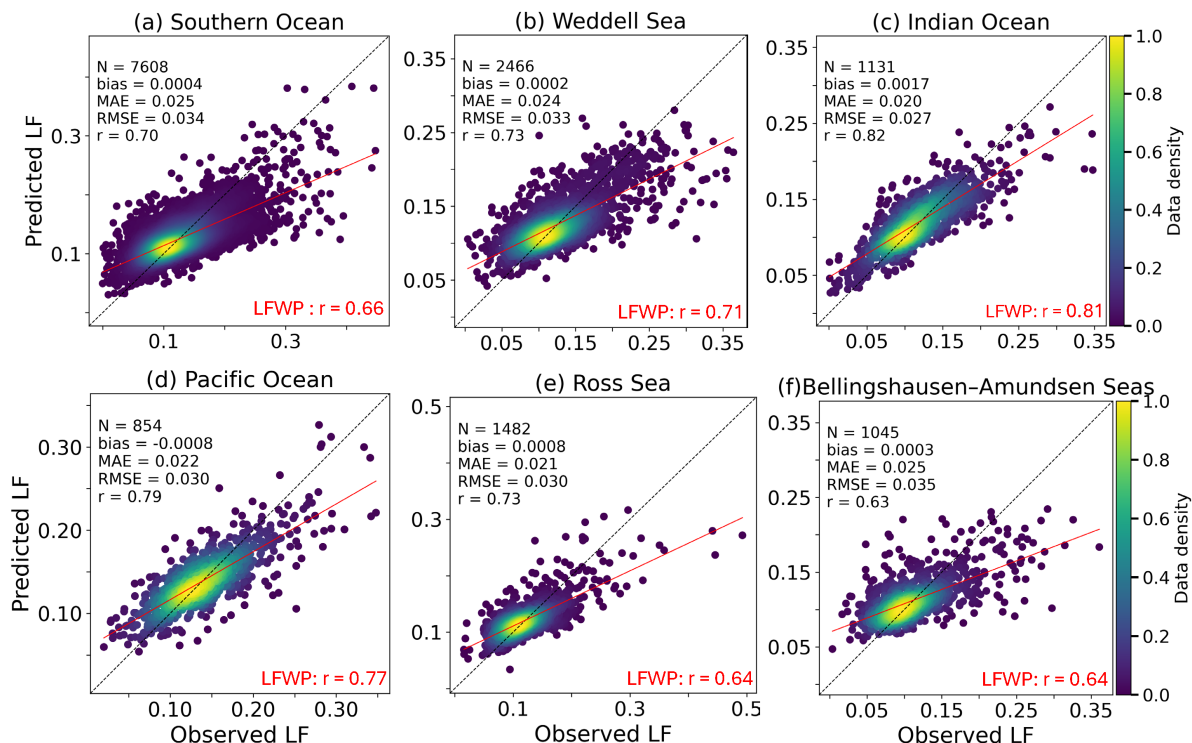


Figure 2. Model performance evaluation comparing observed versus predicted lead frequency based on the test subset for (a) the Southern Ocean and regional sectors: (b) Weddell Sea, (c) Indian Ocean, (d) Pacific Ocean, (e) Ross Sea, and (f) Bellingshausen–Amundsen Seas during April–September, 2003–2023. The black dashed line represents the 1:1 reference line, and the red line shows the linear regression fit. Color gradients indicate data point density. Performance metrics include sample size (N), bias (mean error), mean absolute error (MAE), root mean square error (RMSE), and Pearson correlation coefficient (r), all based on the model with the coastal polynyas from Lin et al. (2024) included. The red text in each panel shows the corresponding r of the model for LF without polynyas (LFWP: r), provided for comparison.

The scatter plot of observed versus predicted LF from the test subset reveals tight clustering around the 1:1 line, particularly for mid-range values between 0.05 and 0.30, suggesting **reliable-skillful** reconstructions for lead frequencies encountered across the Southern Ocean pack ice (Fig. 2a). Density contours in the scatter plots further show that models are more reliable for moderate LF values (0.08–0.20), with a larger spread at both the lowest and highest ends of the distribution. A slight underprediction of **high-strong** lead events is evident (LF > 0.20) **from spatial averaging effects that smooth sharp local gradients, particularly near dynamic coastal lead systems**. When evaluated on the test dataset (N = 7971, i.e., number of data samples), the model achieved values of r = 0.70, MAE of **0.0220.025**, and RMSE of **0.031–0.034** for the Southern Ocean in Fig. 2a. For comparison, the model without the inclusion of the polynya dataset (LFWP) achieves r = 0.66 (shown in red) at the pan-Antarctic scale, demonstrating that the representation of coastal polynya activity provides a slight improvement in overall model performance.

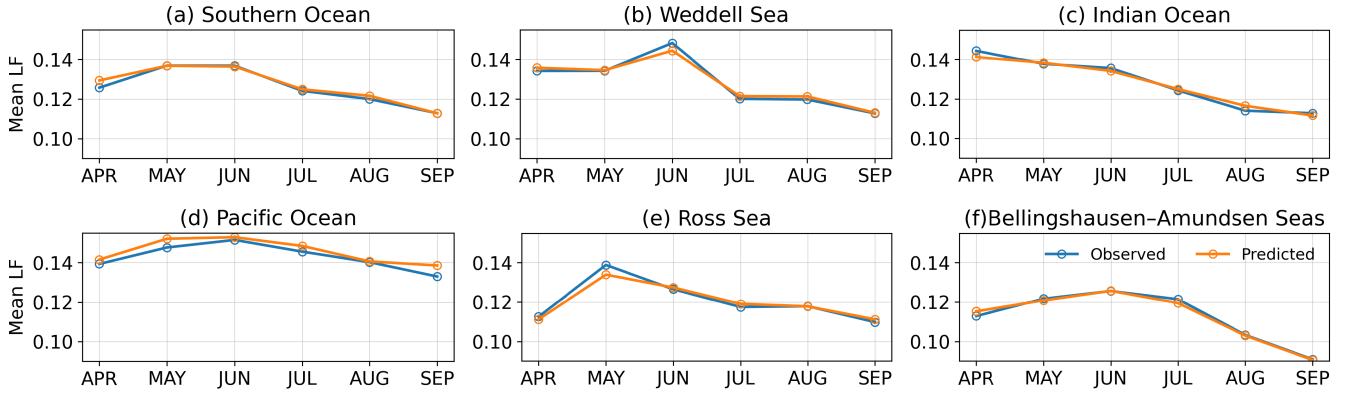


Figure 3. Seasonal evolution of monthly mean lead frequency from April through September, comparing observed (blue) and predicted (orange) values based on the test subset for (a) the Southern Ocean and regional sectors: (b) Weddell Sea, (c) Indian Ocean, (d) Pacific Ocean, (e) Ross Sea, and (f) Bellingshausen–Amundsen Seas during 2003–2023.

Regional validation reveals considerable spatial variability in model performance across the five Southern Ocean sectors (Figs. 2b–f). The Indian Ocean sector shows the highest performance ($r = 0.78$, $MAE = 0.016$, $RMSE = 0.022$), followed by the Bellingshausen–Amundsen Seas–Pacific Ocean ($r = 0.77$, $MAE = 0.021$, $RMSE = 0.030$) and the Weddell Sea and Ross Sea (both $r = 0.73$, $MAE = 0.019$, $RMSE = 0.026$). The Pacific Ocean–Bellingshausen–Amundsen Seas show the comparatively lowest correlation ($r = 0.63$). The improvement from including the polynya dataset is most pronounced in the Ross Sea, where r increases from 0.64 to 0.73, $MAE = 0.016$, $RMSE = 0.022$ and Weddell Sea ($r = 0.68$, $MAE = 0.024$, $RMSE = 0.034$) sectors show comparatively lower correlations but still maintain confidence in reconstruction of LF and in the Weddell Sea, where r increases from 0.71 to 0.73. In the Indian and Pacific Ocean sectors, the improvement is more modest (r increasing from 0.81 to 0.82 and from 0.77 to 0.79, respectively).

Figure 3 shows that the RF model captures the seasonal evolution of monthly mean LF throughout the winter season, 2003–2023. At the pan-Antarctic scale, predicted monthly LF closely follows observations from April to September, capturing both the timing and magnitude of the rise toward mid-winter and the subsequent late-season decline. Predicted LF increases from 0.126 in April to a June peak near 0.140, before decreasing slightly toward September at lowest LF value (Fig. 3a). Regional temporal evolution shows close agreement across sectors (Figs. 3b–f). The Weddell Sea displays a clear mid-winter maximum, while the Indian Ocean sector remains comparatively stable with only a modest increase in the May–June shows a gradual decline from April to September, with both observed and predicted values remaining in close agreement throughout winter (Fig. 3c). Seasonal variations are weaker mean LF value gaps are higher between observed and predicted LF during mid-winter in the Pacific and Ross Sea sectors sector and in May in the Ross Sea (Figs 3d–e) than in other regions. The Bellingshausen–Amundsen Seas show the lowest seasonal values in September, and maintain moderate LF values throughout winter (Fig. 3f). Across all sectors, the generally close match between predicted and observed monthly means indicates that the

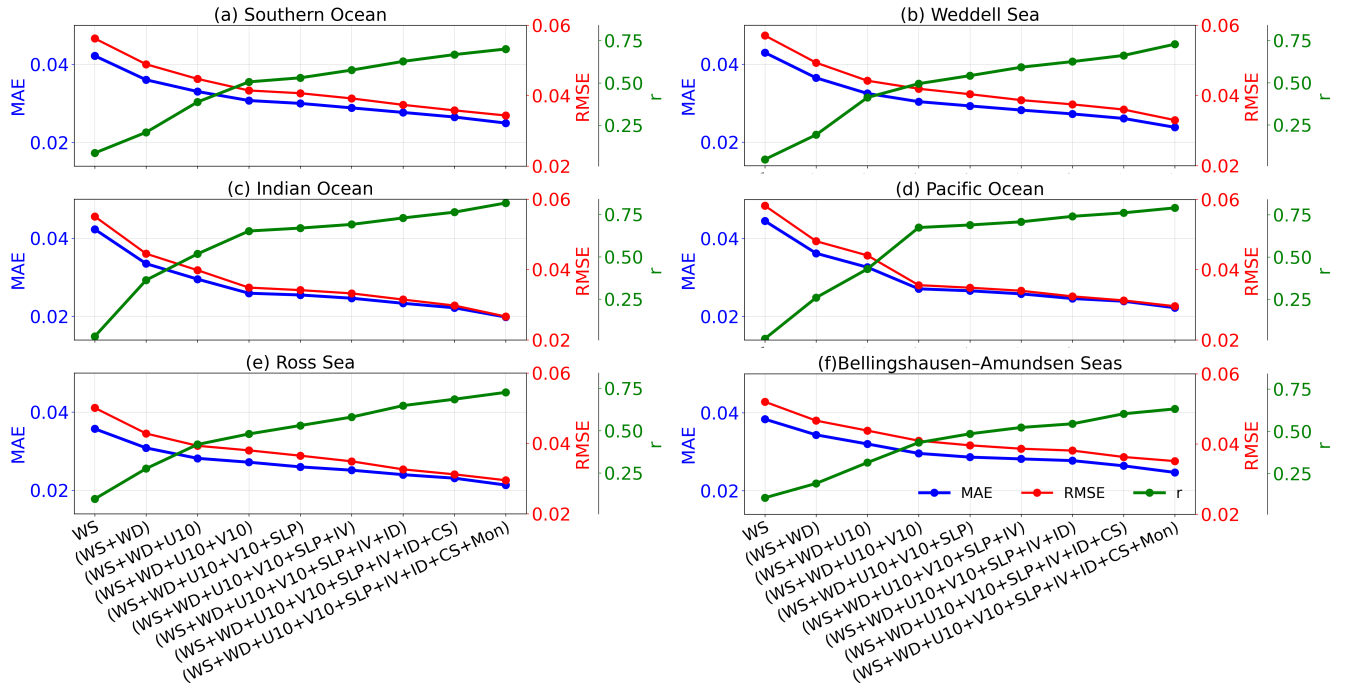


Figure 4. Incremental predictor contribution analysis showing the progressive improvement in model performance as predictor variables are sequentially added for (a) the Southern Ocean and its regions; (b) Weddell Sea, (c) Indian Ocean, (d) Pacific Ocean, (e) Ross Sea, and (f) Bellingshausen–Amundsen Seas during April–September, 2003–2023. Lines represent the MAE (blue), RMSE (red), and r (green). Predictors are added in the following sequence: wind speed (WS)→wind divergence (WD)→zonal u wind (U10)→ meridional v wind (V10)→sea-level pressure (SLP)→ice velocity (IV)→ice divergence (ID)→ocean current speed (CS)→month (Mon).

model captures much of the large-scale temporal variability of LF, although noticeable discrepancies remain in some regions and months.

3.2 Incremental predictor contribution analysis

Figure 4 presents how different predictor variables contribute to overall model performance based on the test subset. For the Southern Ocean, wind speed alone provides limited skill ($r \sim 0.10$) with large errors (MAE = 0.037, RMSE = 0.048), and performance improves steadily as atmospheric variables, including wind divergence, 2-m air temperature, and sea-level pressure, predictors are progressively introduced. Adding wind divergence increases r to ~ 0.28 (MAE ~ 0.033 , RMSE ~ 0.045), and the subsequent addition of 2m air temperature brings r to ~ 0.40 (MAE ~ 0.029 , RMSE ~ 0.038). Sea-level pressure further increases r to ~ 0.45 . The sequential addition of zonal u wind and meridional v wind yields the largest step-gains, raising r to ~ 0.55 (MAE ~ 0.029 , RMSE ~ 0.036). The addition of ice-dynamic variables (ice concentration, ice velocity, and ice divergence), respectively, highlighting the important role of directional wind (zonal u and meridional v winds) forcing in driving lead

formation across the Southern Ocean. Ice velocity and ice divergence progressively improve correlation to $r \sim 0.60$, followed by ~ 0.65 , ocean current speed, raising r to ~ 0.62 . The final addition to $r \sim 0.68$, and the final inclusion of the temporal descriptor (month) yields an enhancement in model performance, bringing the correlation to its full value ($r = 0.70$) and reducing errors to $MAE = 0.022$ and $RMSE = 0.031$ at the Southern Ocean scale shown in (Fig. 4a).

Regional patterns show pronounced differences in how predictor additions affect skill across regions. In the Weddell Sea, correlation increases gradually with each predictor addition ($r = 0.68$), and error metrics correspondingly decrease ($MAE = 0.024$, $RMSE = 0.034$). The Indian Ocean sector (Fig. 4c) demonstrates particularly sharp performance gains from the addition of zonal u and meridional v wind components, with correlation increasing steeply from $r \sim 0.25$ after adding wind divergence to $r \sim 0.65$ after meridional v wind is included, potentially reflecting the strong directional wind control on lead formation in this sector. The Pacific Ocean (Fig. 4d) shows a similar pattern, ultimately reaching $r = 0.634$, with steep improvements in both r and errors when month is added (Fig. 4b). The Indian and Pacific Ocean sectors demonstrate that 2-m air temperature enhances correlation early ~ 0.50 in the sequence, with proportional error reduction (Figs. 4c–d) 0.79 with the complete predictor set. The Ross Sea (Fig. 4e) shows $r \sim 0.20$ ($MAE \sim 0.036$, $RMSE \sim 0.047$) with wind speed alone, increasing steadily to $r \sim 0.40$ ($MAE \sim 0.034$, $RMSE \sim 0.044$) with wind divergence, and $r \sim 0.50$ with 2-m air temperature. Ice-dynamic variables increase r to ~ 0.65 ($MAE \sim 0.028$, $RMSE \sim 0.036$), with ocean current speed contributing to $r \sim 0.68$, and month yielding the final more gradual improvement throughout the predictor sequence, reaching a final value of $r = 0.73$ ($MAE = 0.019$, $RMSE = 0.026$). The Bellingshausen–Amundsen Seas show consistent, incremental correlation gains throughout the predictor sequence, with coupled error reductions, particularly good improvements in both metrics when 2-m air temperature, wind divergence, and month are added in Fig. (Fig. 4f) show consistent incremental gains across all predictor groups, ultimately reaching $r = 0.63$ with the full predictor set.

The largest improvements in both correlation and error metrics typically occur when 2-m air temperature and wind divergence both directional wind components and ocean current speed are introduced, indicating that ice-pack mechanical properties and wind context are critical for capturing lead formation mechanisms the coupled nature of the atmosphere–ice–ocean system in driving lead variability. While relative importance (Sect. 3.3) quantifies the independent contribution of each predictor in the full model, the incremental analysis reveals the marginal gain of each predictor group and identifies the minimum predictor set required for skillful LF reconstruction.

Permutation-based relative importance of predictor variables for lead frequency based on the test subset across (a) the Southern Ocean and its regions: (b) Weddell Sea, (c) Indian Ocean, (d) Pacific Ocean, (e) Ross Sea, and (f) Bellingshausen–Amundsen Seas during April–September, 2003–2023. Values represent the percentage contribution to the model performance.

3.3 Relative importance of predictor variables

Figure 5 shows the permutation-based summarizes the feature importance analysis, which reveals the dominant predictors of LF in the RF framework across the Southern Ocean and its regions based on the test sample. All feature importance values are reported as whole number percentages, rounded to the nearest integer (based on above and lower than 0.5 decimal), to

provide a clearer interpretation of predictor contributions. The same integers but different decimals for a similar region from the original analysis are maintained as they are. At the pan-Antarctic scale, 2-m air temperature (17.7 %) emerges as the most influential predictor (20 17.7 %), followed by wind divergence (13 ocean current speed (13.9 %), ice divergence (11.9 wind speed (12.9 %), ocean current speed (11.6 meridional v wind (12.2 %), and wind speed (11.4 ice divergence (11.2 %).

345 Ice-Wind divergence, ice velocity, sea-level pressure, ice concentration, and month provide additional contributions (Fig. 5a).

Regional importance patterns reveal sector-to-sector differences shown in Figs. 5b–f and Table 1. The Weddell Sea is dominated by wind divergence (16 zonal u wind (19.9 %) and ice divergence (14.5 ocean current speed (16.4 %), with 2-m air temperature (14.3 ice divergence (11.9 %), and ocean current speed (12 wind speed (10.8 %) contributing substantially. The Indian Ocean sector displays the strongest thermodynamic zonal wind control, with 2-m air temperature accounting for

350 31 zonal u wind accounting for 26.8 % of importance, complemented by current speed (14 meridional v wind (15.9 %), ice divergence (9.4 current speed (11.6 %), wind speed (9.3 divergence (10.5 %), and wind-ice divergence (9 %). The Pacific Ocean shows 2-m air temperature influence with 30 meridional v wind influence with 29.6 %, followed by ocean current speed (13 %), wind divergence (11.8 zonal u wind (14 %), wind speed (11.5 ice divergence (11.6 %), and ice velocity (10 current speed (11.1 %). The Ross Sea exhibits more balanced contributions with 2-m temperature (21 current speed (17.3 %), wind

355 divergence (14 zonal u wind (15.6 %), current speed (12 meridional v wind (13.9 %), ice velocity (11 wind divergence (12.3 %), and ice concentration-divergence (10 %), reflecting its dynamical environment. The Bellingshausen–Amundsen Seas are dominated by 2-m air temperature (25 ocean currents (14.5 %), with substantial contributions from month (12 meridional v wind (14.4 %), wind divergence (10.8 speed (11.8 %), sea-level pressure (10.2 month (11.8 %), and wind speed (10 divergence (11.5 %).

360 These results suggest that Southern Ocean lead formation is influenced by regional-scale interactions between atmospheric forcing, ice-pack dynamics, and oceanic processes. Among the predictors at the pan-Antarctic scale, 2-m temperature, wind-ice divergence, and current speed the directional wind components, ocean current speed, and ice divergence show the strongest influences, underlining which point towards the essential roles of atmospheric forcing, wind forcing, oceanic traction, and sea-ice deformation and oceanic traction deformation in shaping lead variability, which will be discussed further in Sect. 4.

365 and coastal polynya variability (see Sect. 4). Overall, the feature importance patterns indicate that lead formation arises from coupled non-linear interactions among multiple physical processes rather than from any single dominant predictor, and that the relative importance of these processes varies considerably across the five regional sectors of the Southern Ocean.

Spatial distribution of (a) observed mean lead frequency and (b) predicted mean lead frequency of the Southern Ocean for June 2014, based on the test subset. Data are aggregated to 2° latitude $\times$ 5° longitude grid resolution.

370 Observed versus predicted lead frequency based on the test subset for June (2003–2023) across (a) the Southern Ocean and its regions: (b) Weddell Sea, (c) Indian Ocean, (d) Pacific Ocean, (e) Ross Sea, and (f) Bellingshausen–Amundsen Seas. Each point represents an individual $2^\circ \times 5^\circ$ grid cell.

Sample-by-sample comparison of observed (blue) and predicted (orange) lead frequency based on the test subset for June (2003–2023) across (a) the Southern Ocean and its regions: (b) Weddell Sea, (c) Indian Ocean, (d) Pacific Ocean, (e) Ross Sea, and (f) Bellingshausen–Amundsen Seas. Each point represents an individual $2^\circ \times 5^\circ$ grid cell.

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Relative importance of predictor variables for lead frequency based on the test subset for June (2003–2023) across (a) the Southern Ocean and its regions: (b) Weddell Sea, (c) Indian Ocean, (d) Pacific Ocean, (e) Ross Sea, and (f) Bellingshausen-Amundsen Seas:

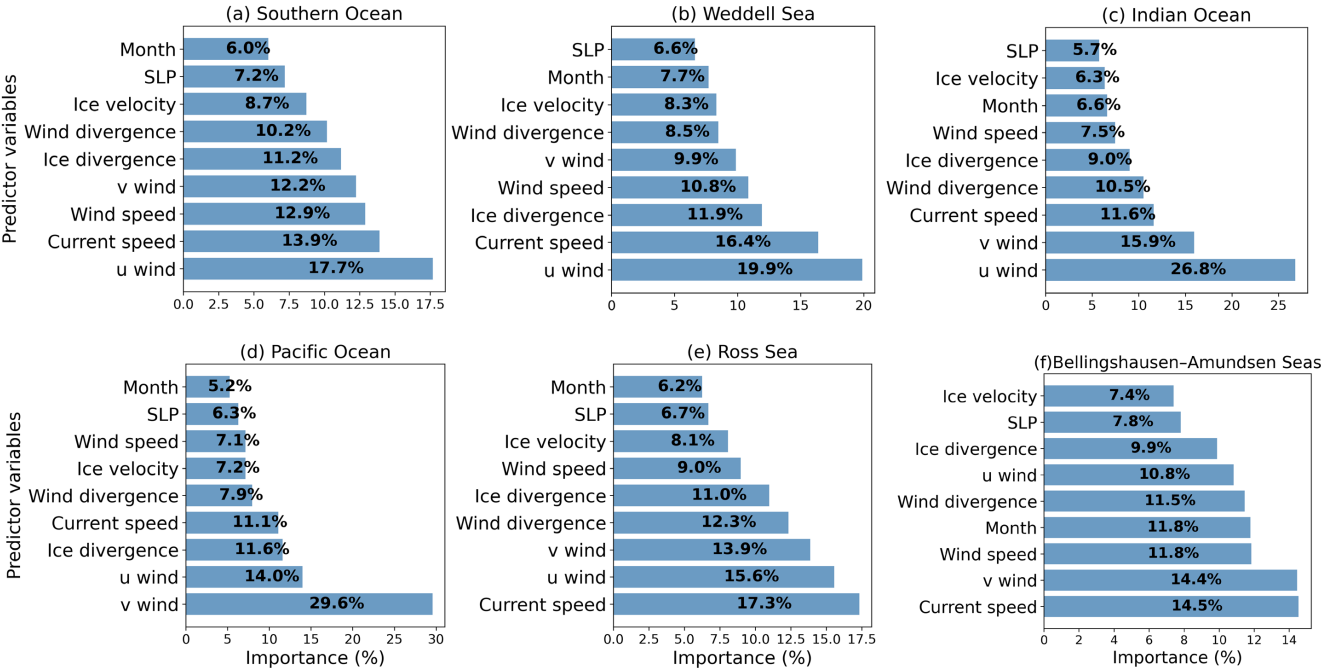


Figure 5. Relative importance of predictor variables for lead frequency based on the test subset across (a) the Southern Ocean and its regions: (b) Weddell Sea, (c) Indian Ocean, (d) Pacific Ocean, (e) Ross Sea, and (f) Bellingshausen–Amundsen Seas during April–September, 2003–2023. Values represent the percentage contribution to the model performance.

Incremental predictor-addition analysis for June (2003–2023) showing MAE (blue), RMSE (red), and r (green) for (a) the Southern Ocean and its regions: (b) Weddell Sea, (c) Indian Ocean, (d) Pacific Ocean, (e) Ross Sea, and (f) Bellingshausen-Amundsen Seas. The month is excluded as a predictor in this single-month analysis. Predictors are added in the following sequence: wind speed (WS) → wind divergence (WD) → 2 m air temperature (T2m) → sea-level pressure (SLP) → ice concentration (IC) → ice velocity (IV) → ice divergence (ID) → ocean current speed (CS).

3.4 Monthly model performance for June

To assess how the dominant predictors and monthly RF model performance evolve during mid-winter using the test subset, we evaluate June as a representative case study. June represents a mid-winter state when overall lead activity peaks across the Southern Ocean (Dubey et al., 2025a). The month is excluded as a predictor in this single-month analysis. To illustrate the spatial distribution of LF during June, we present June 2014 as an example month (Fig. 6), which highlights how the model represents large-scale spatial structures. The model shows the broad spatial distribution of elevated LF, with lead activity in the Weddell and Ross Seas as well as in Prydz Bay, where observed and predicted LF values frequently exceed 0.20, but

fine-scale coastal features and narrow lead structures are not resolved at the coarse resolution. However, the comprehensive model performance evaluation and predictor importance analysis presented in figures 7–10 are based on the aggregated June data across the entire 2003–2023 period.

The model performance at the pan-Antarctic scale during June is characterized by $r=0.67$, $MAE=0.025$, and $RMSE=0.033$ in Fig. 7a. Regional performance varies considerably. The Bellingshausen-Amundsen Seas ($r=0.79$, $MAE=0.020$, $RMSE=0.030$) and Pacific Ocean ($r=0.71$, $MAE=0.023$, $RMSE=0.030$) exhibit the highest model skill (Figs. 7f and d). The Indian Ocean ($r=0.66$, $MAE=0.023$, $RMSE=0.027$) and Weddell Sea ($r=0.66$, $MAE=0.027$, $RMSE=0.036$) achieve moderate performance, while the Ross Sea maintains lower correlation ($r=0.57$, $MAE=0.024$, $RMSE=0.029$) (Figs. 7c, b, and e). Figure 8 presents sample-by-sample data-point comparisons, which further reveal that the model generally tracks predicted LF variations across individual regions and grid cells, although some discrepancies occur in regions with high variability.

Incremental predictor analysis for June is shown in Fig. 9, which overall indicates that 2-m air temperature and wind forcing contribute more prominently, reflecting stronger atmospheric control during mid-winter. At the Southern Ocean scale, wind speed alone yields limited skill ($r\sim0.15$, $MAE\sim0.035$), but adding wind divergence and 2-m air temperature increases correlation to $r\sim0.50$ and reduces MAE to ~0.028 . The full predictor set achieves $r=0.67$ and $MAE=0.025$ (Fig. 9a). In the Weddell Sea, wind speed alone provides $r\sim0.20$, which increases to $r\sim0.55$ after adding atmospheric variables, reaching $r=0.66$ with the complete predictor set (Fig. 9b). The Indian and Pacific Ocean sectors show sharp performance gains from winds and 2-m air temperature addition, with the Indian Ocean improving from $r\sim0.10$ to $r\sim0.60$ after adding 2-m temperature, ultimately reaching $r=0.66$ (Fig. 9c), and the Pacific Ocean advancing from $r\sim0.20$ to $r\sim0.65$ with 2-m temperature, achieving final $r=0.71$ (Fig. 9d). The Ross Sea shows gradual improvement from $r\sim0.15$ with wind speed alone to $r=0.57$ with all predictors (Fig. 9e). The Bellingshausen-Amundsen Seas exhibit steady improvements across all predictor groups, progressing from $r\sim0.25$ to $r=0.79$, with the largest gains occurring when wind divergence and 2-m air temperature are added, as shown in Fig. 9f.

Figure 10 presents predictor importance rankings for June. All importance values are reported as whole number percentages, rounded to the nearest integer (based on above and lower than 0.5 decimal). The same integers but different decimals for a similar region from the original analysis are maintained as they are. At the pan-Antarctic scale, 2-m temperature (22 %) remains dominant while wind speed (13.8 %) and wind divergence (13.3 %) increase in prominence, reflecting enhanced wind forcing during mid-winter. Ice divergence (13 %), ice velocity (11 %), and ocean current speed (11 %) also contribute meaningfully, with lesser contributions from sea-level pressure (10 %) and ice concentration (6 %) (Fig. 10a). Regional patterns remain distinct: the Weddell Sea is shaped by wind divergence (21 %) followed by ice concentration (17 %) and ice divergence (14 %), with 2-m temperature (10.6 %) and sea-level pressure (10.4 %) providing additional control (Fig. 10b), while the Indian Ocean retains strong 2-m temperature (24 %), current speed (20 %), and wind divergence (12 %) control (Fig. 10c). The Pacific Ocean shows higher contributions from 2-m temperature (36 %), ocean current (15 %), and ice velocity (12 %), followed by ice divergence (10 %) (Fig. 10d), whereas the Ross Sea exhibits particularly high contributions with wind divergence (23 %), 2-m temperature (18 %), wind speed (14 %), and current speed (13 %) influence, along with sea-level pressure (9 %) (Fig. 10e). The Bellingshausen-Amundsen Seas remain primarily 2-m temperature-driven (39 %), followed by wind speed (16 %),

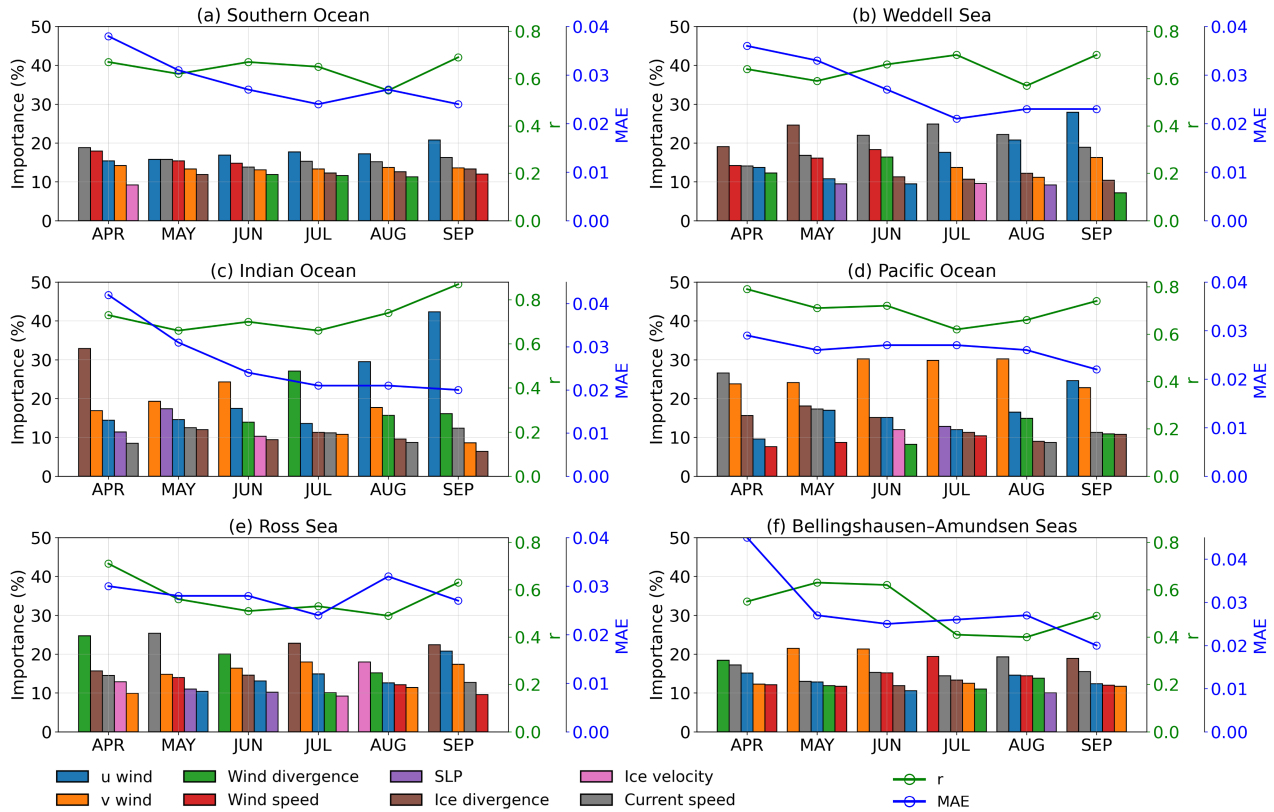


Figure 6. Monthly and regional variation of predictor importance and metrics across Antarctic regions (April–September, 2003–2023). Bar charts show relative importance (%) of top-5 predictors in six Antarctic sectors: (a) Southern Ocean, (b) Weddell Sea, (c) Indian Ocean, (d) Pacific Ocean, (e) Ross Sea, and (f) Bellingshausen–Amundsen Seas. Overlaid lines indicate r (green) and MAE (blue). Predictors include 2m temperature zonal u wind (blue), meridional v wind divergence (orange), wind speed divergence (green), SLP wind speed (red), ice divergence SLP (purple), ice velocity divergence (brown), ice concentration velocity (pink), and current speed (grey).

ice divergence (10 %), current speed (9 %), and wind divergence (8 %) (Fig. 10f). Overall, the enhanced role of wind fields suggests that atmospheric forcing becomes more influential during mid-winter.

3.4 Monthly model performance analysis for winter months

Figure 11-6 presents the test subset monthly model performance metrics and top-5 predictors for each winter month (April–September, 2003–2023) across the Southern Ocean and regional sectors. The month is excluded as a predictor in this single-month analysis. This demonstrates the monthly and regional evolution of model skill and progressive shifts in predictor dominance throughout the winter. All feature importance values are reported as whole number percentages, rounded to the nearest integer (based on above and lower than 0.5 decimal). The same integers but different decimals for a similar region from the original analysis are maintained as they are.

At the pan-Antarctic scale (Fig. 11a), 2-m air temperature is the most frequently important driver (17–26 %), while wind-related variables (wind divergence: 12–15 %; wind speed: 12–14 %) and ice divergence also contribute substantially. Model 6a), the model performance varies across months (r : 0.62–0.68), with r ranging between approximately 0.60 and 0.70, with April and September showing higher correlations ($r \sim 0.67$ –0.68) showing the highest correlation, while August shows the lowest ($r = 0.62$). Early winter (April) shows balanced contributions from thermodynamic and dynamic forcing (2-m temperature: comparatively lower skill. The MAE remains relatively stable across months at approximately 0.020–0.030. The dominant predictors show a relatively balanced distribution throughout winter, such as zonal u wind (~ 18 –21 %, wind divergence: 12.3 %, ice divergence: 12 %). Through mid-winter (June), wind forcing increases (wind speed: 13.8 %, wind divergence: 13.3 %), current speed (~ 15 –19 %), and by late winter (September), ocean currents become more prominent (15 %) meridional v wind (~ 13 –16 %) contributing consistently across all months. Overall, wind field and current speed are higher in importance, indicating a growing role of persistent ice–ocean coupling as the ice pack thickens and consolidates major role of wind–ocean forcing on lead and coastal polynya formation across all winters in the Southern Ocean.

The Weddell Sea (Fig. 11b) exhibits seasonal variation, with shifts between thermodynamic and wind–ice dynamic contributions (6b) exhibits notable seasonal variation in both model skill and predictor importance. In April and May, 2-m temperature (23 %), wind divergence (22 %), and ice divergence (13 %) show comparable importance ($r = 0.56$). During May, ice divergence (19 %) and ice concentration (15 %) increase. By June, wind divergence (21 %) re-emerges alongside ice concentration (17 %) and the model achieves its correlation ($r \sim 0.70$ and 0.60), and for both months ice divergence (14 %) ($r = 0.66$). Through July and August, no single driver dominates, and lead variability reflects a multi-process regime involving thermodynamic forcing, wind divergence, and ice deformation (~ 20 –25 %) is the leading contributor. From June to August, current speed increase in prominence as leading drivers, reflecting stronger oceanic forcing during mid-winter. By September, ice concentration (20 %) and ocean currents (17 %) become increasingly important zonal u wind importance rises markedly to approximately 28 %, while the model correlation also recovers to approximately 0.70, indicating stronger mechanical control under a thicker late-winter zonal u wind control on lead formation in late winter under a thickening ice pack.

In contrast, the Indian Ocean–The Indian Ocean sector (Fig. 11c) region is dominated by 2-m temperature (6c) is majorly dominated by v and u winds throughout winter (23–51 %), with ocean currents playing overall a secondary role (13–20 %). In April, 2-m temperature (23 %) and ice divergence (19 %) with current speed (18 %) are associated with the highest correlation ($r = 0.83$). During May, temperature dominance increases (36 %), with ice concentration (13.9 %) and current speed (13.1 %) contributing ($r = 0.76$). By late winter, 2-m temperature remains important: August shows 31 % with ocean current speed (19 %), and September shows 51 % with $r = 0.82$ (~ 15 % in April, rising again to ~ 42 % in September), while ice divergence in April and wind divergence in July provide primary contributions. Model performance is highest in April and September ($r \sim 0.80$), with a slight reduction during mid-winter months. The Pacific Ocean sector (Fig. 11d) also shows consistent thermodynamic dominance with substantial contributions from ocean currents, with model performance ranging from $r = 0.61$ to 0.71. In April, 2-m temperature (31 %) and wind divergence (18 %) drive activity ($r = 0.61$). Through late winter, this region maintains temperature–current coupling: August shows 2-m temperature (29 %) with current speed (14 %); and September (6d) shows 2-m temperature (34 %) with ocean currents (23 %) consistent dominance of meridional v wind accounting for approximately

22–31 % of total predictor importance across all months. Model skill remains relatively stable across months ($r \sim 0.60$ – 0.75), with the highest correlation in April and September.

The Ross Sea (Fig. 4e) displays more variable predictor importance ($r \sim 0.55$ throughout winter. In April, zonal u wind (~ 25 %) and current speed (~ 15 %) are the leading predictors ($r \sim 0.75$). During May, current speed increases in importance (~ 25 %), reflecting stronger mechanical deformation during early winter ice consolidation. From June to August, wind divergence and ice divergence together contribute approximately 35–67 %. April shows 2-m temperature (24 %), wind speed (17 %), and ice velocity (16 %) as comparable contributors ($r = 0.66$), while May and June are increasingly wind-driven, with wind divergence peaking in June (40 %), with wind divergence peaking in August (~ 23 %). July shows ice concentration becomes important (24 %), while August shows the highest correlation ($r = 0.67$) with 2-m temperature (24 %) and ice velocity (18 %) as substantial contributors. By September, ice divergence and zonal u wind regain prominence, suggesting a return to wind-driven control in late winter.

Finally, the The Bellingshausen–Amundsen Seas (Fig. 4f) are persistently dominated by thermodynamic forcing. Across most months, 2-m air temperature accounts for 40–50 % of predictor importance, with particularly high skill in April, May, and June ($r \sim 0.78$ – 0.80). Secondary contributions from wind speed, sea-level pressure, and ice velocity emerge intermittently, especially in late winter, but temperature remains the overarching control. This strong thermodynamic imprint is consistent with the region’s sensitivity to offshore winds and seasonal ice-thickness evolution. 6f) show the variable model performance, with r ranging from approximately 0.65 in April to approximately 0.40 in July before recovering slightly toward September. In April, current speed (~ 19 %), zonal u wind (~ 18 %), and meridional v wind (~ 17 %) are the leading predictors. Through May and June, meridional v wind and current speed increase in importance, while from August onward, the predictor importance becomes more evenly distributed among current speed and ice divergence.

Overall, these patterns suggest that lead formation results and coastal polynya formation result from distinct combinations of atmospheric, oceanic, and ice-dynamic physical processes that vary across sectors and evolve throughout the winter season.

4 Discussion

3.1 Model performance and approach The random forests are predictive algorithms in a statistical sense, they are used here as an explanatory tool to reconstruct observed LF and to evaluate the relative importance of the Lead drivers used within the period 2003 in exemplary sub-regions

To investigate lead and coastal polynya variability and the sensitivity of dominant physical drivers based to regional conditions, we exemplarily identified four coastal regions, shown in Fig. 7, for which the regionally averaged LF was reconstructed from the same predictors as above. These boxes were selected to identify key coastal regions where the model demonstrates statistically significant predictive skill ($p < 0.05$ with $r > 0.60$), and where leads and coastal polynya formation are governed by distinctly different main physical drivers (see Fig. 9). These regions span both the East Antarctic sector (boxes 1–2023, rather than to provide operational forecasts of future lead occurrence. By using the monthly LF dataset with the RF framework, we show that wintertime LF arises from a coupled interaction of dynamic atmospheric processes, ice-pack dynamics, oceanic

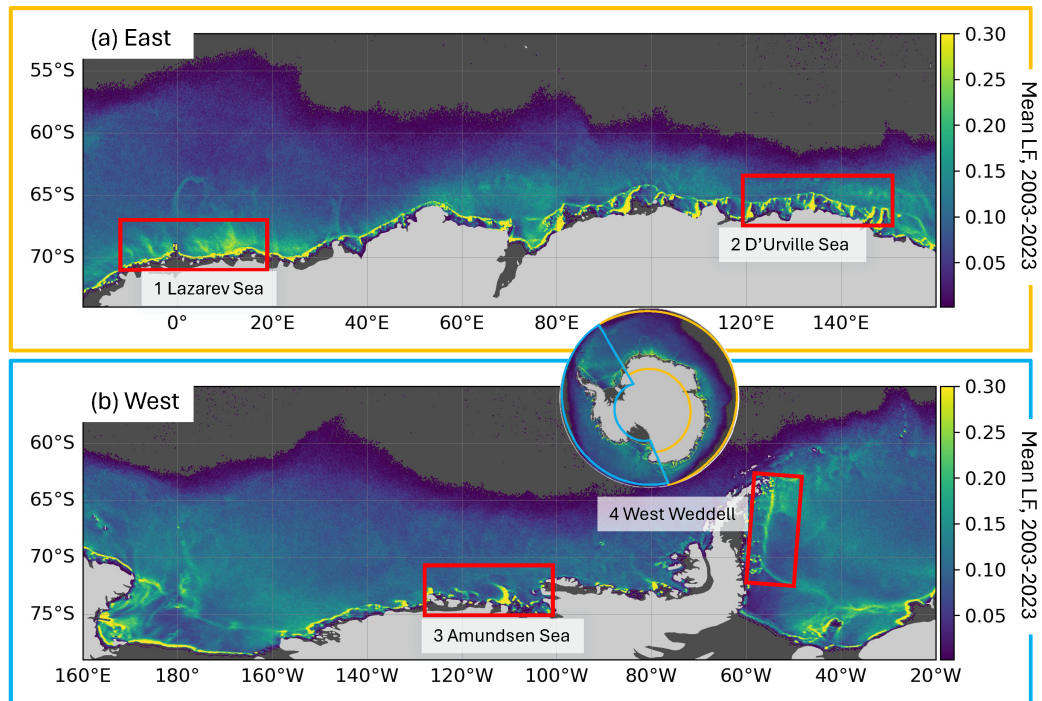


Figure 7. Mean lead frequency (April–September, 2003–2023) for (a) the East and (b) the West Antarctic sectors. Red boxes highlight key coastal regions where the model demonstrates statistically significant predictive skill ($p < 0.05$ and $r > 0.60$), and are used for the local predictor importance analysis in Figs. 8 and 9.

circulation, and seasonal context (i.e., month2) and the West Antarctic sector (boxes 3–4), covering the East Weddell coastal zone (box 1, Lazarev Sea), the East Antarctic coastal margin (box 2, D'Urville Sea), the Bellingshausen–Amundsen Seas coast (box 3, Amundsen Sea), and the western Weddell coastal zone (box 4, West Weddell Sea). The agreement between observed and predicted large-scale LF patterns (Fig. 1) and the seasonal evolution across all sectors (Fig. 3) is consistent with a physically meaningful influence of the identified LF predictors, while the remaining discrepancies point to processes that are not fully represented in the current framework. observed mean LF for the wintertime period 2003–2023 in these coastal regions is generally high (> 0.15), including coastal polynya activity along the Antarctic continental margins (Dubey et al., 2025a; Lin et al., 2024).

The 2° latitude $\times$ 5° longitude grid used in this study represents a spatial aggregation from the native 1 km^2 resolution of LF and the $< 31 \text{ km}$ resolution of predictor variables. This coarsening was necessary for computational tractability. In particular, coastal divergence activity and shelf-break dynamics features that drive lead formation along the continental regions are substantially smoothed by this aggregation. Nevertheless, the current resolution is sufficient to identify the dominant large-scale physical drivers and their regional variations, which was the primary objective of this study.

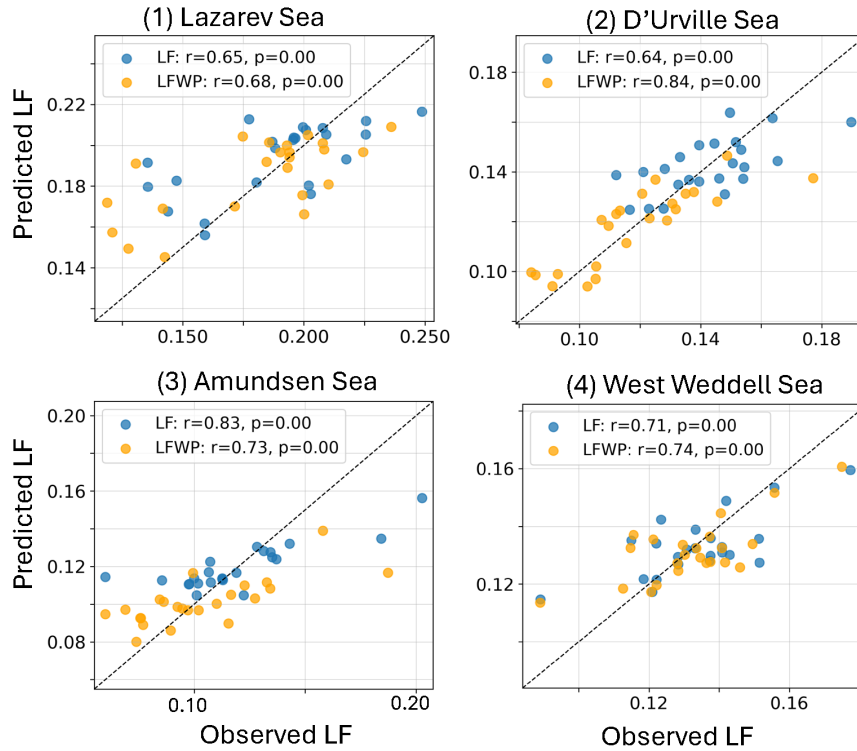


Figure 8. Observed versus predicted monthly mean lead frequency based on the test subset (April–September, 2003–2023) for each of the four coastal boxes identified in Fig. 7. Blue dots (LF) show model performance when the coastal polynyas from Lin et al. (2024) are included, and orange dots (LFWP) show model performance without polynyas.

Our results show that leads are widespread throughout the Southern Ocean pack ice during winter (2003–2023), with model performance ($r = 0.70$) indicating that the used predictors capture a substantial fraction of the variability in lead formation. However, a notable portion of the LF variance remains unexplained with the used predictors, reflecting both unresolved processes and limitations of the coarse spatial and temporal resolution. This highlights the need for more detailed observations of sea-ice dynamics, particularly high-resolution lead-resolving modelling for small-scale regional features such as bathymetrically-controlled and coastal lead zones, to better understand ocean-ice

Model performance within the four coastal regions is evaluated in Fig. 8, which shows scatter plots of observed versus predicted monthly mean LF for the period April–September, 2003–2023. Figure 8 shows the model performance when the polynya dataset of Lin et al. (2024) is included (LF, blue dots) and when it is excluded (LFWP, orange dots), allowing a direct assessment of the added value of polynya information in each coastal region. The highest correlation is found in box 3 in the Amundsen Sea ($r = 0.83$), followed by box 4 in West Weddell Sea ($r = 0.71$), box 1 along the Lazarev Sea ($r = 0.65$), and box 2 ($r = 0.64$) in the D'Urville Sea. Boxes of similar size in the

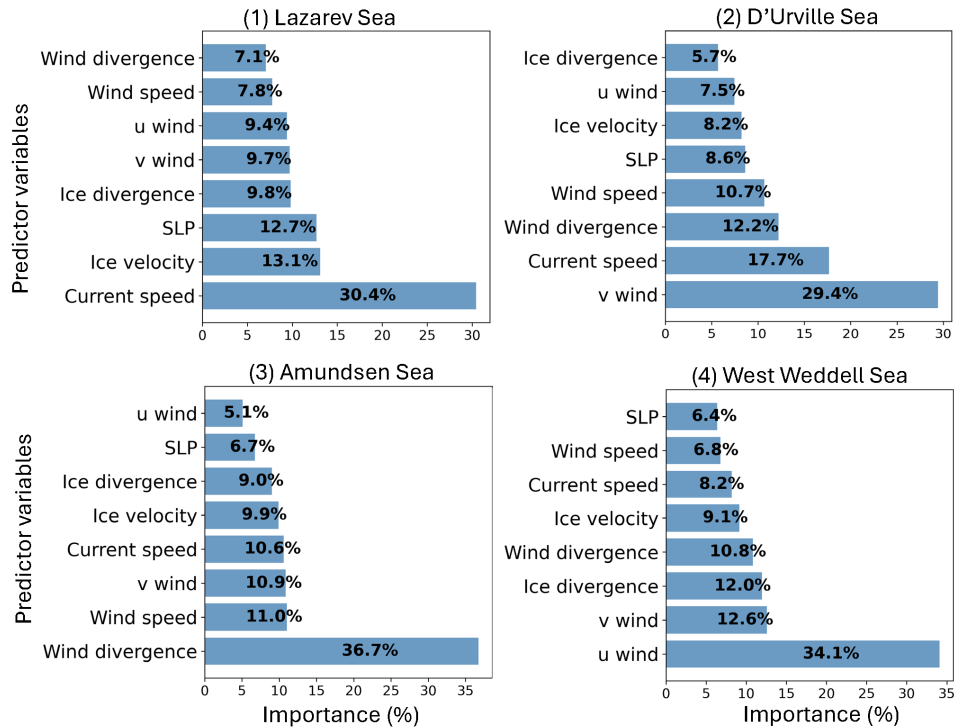


Figure 9. Relative importance of predictor variables for lead frequency based on the test subset (April–September, 2003–2023) for each of the four coastal boxes identified in Fig. 7.

525 Ross Sea did not provide significant LF reconstruction and are therefore not shown here. However, it must be mentioned that this strongly depends on the location, boundaries, and size of the box.

A prominent outcome of this study is the clear ranking of drivers governing Southern Ocean lead formation, with The comparison between LF and LFWP results reveals regional differences in the added value of the polynya dataset. An improvement is found in box 3, where including the polynya dataset raises r from 0.73 (LFWP) to 0.83 (LF), suggesting that the investigated drivers are well suited to explain polynya activity in this region. In contrast, box 2 m-air temperature emerging as the single most important predictor (20 %), followed by wind divergence (13 %), ice divergence (11.9 %), in the D'Urville Sea shows a notable decrease from LFWP ($r = 0.84$) to LF ($r = 0.64$), indicating that the polynya dataset here rather blurs the connection between predictors and the target variable, and that the pack-ice lead signal already captures the dominant variability in this region. Boxes 1 and 4 show broadly comparable performance with and without the polynya dataset, suggesting that both pack-ice leads and coastal polynyas contribute comparably to the observed LF variability in these regions.

The predictor relative importance analysis for each coastal region (Fig. 9) reveals pronounced spatial heterogeneity in the dominant drivers of coastal lead variability, with each box characterized by a distinctly different main driver (see also Fig. A2). Ocean current speed is the main predictor in box 1 (30.4 %), highlighting a critical role of coastal ocean current dynamics

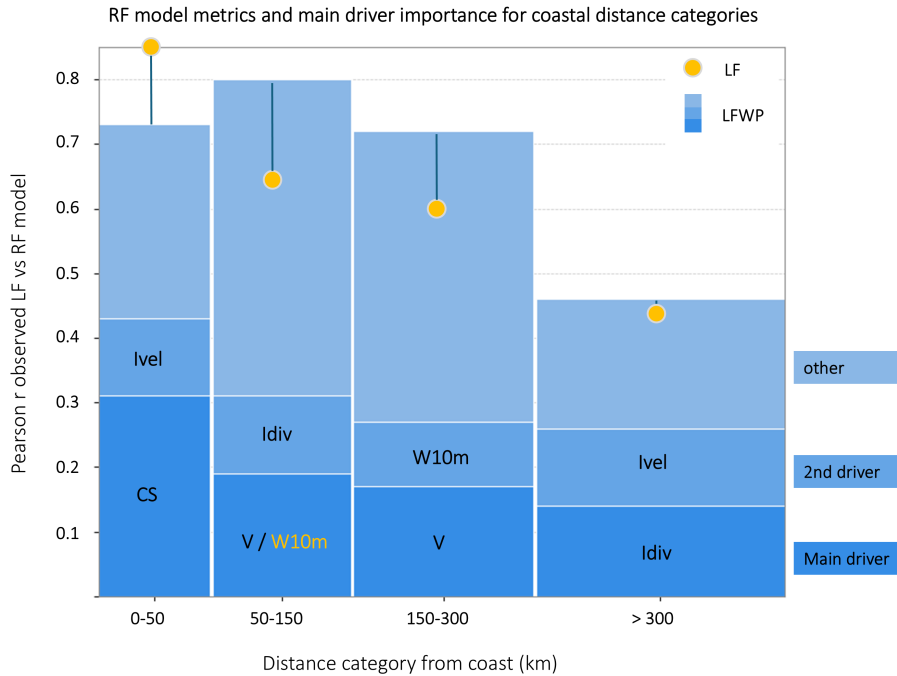


Figure 10. Random-forest model skill and dominant predictor importance as a function of distance from the Antarctic coast, for four distance categories. Stacked bars show the Pearson correlation (r) between observed and predicted lead frequency partitioned among the main driver (dark blue), secondary driver (medium blue), and remaining predictors (light blue), without coastal polynyas (LFWP). Orange dots indicate the model correlation when polynyas identified by Lin et al. (2024) are included (LF). Key predictors are labelled within each bar: current speed (CS), meridional v wind (V), 10 m wind speed (W10m), ice divergence (Idiv), and ice velocity (Ivel).

in driving lead formation in this region. In box 2, meridional v wind emerges as the leading driver (29.4 %), indicating that the meridional wind field plays a particularly important role along this section of the Pacific coastal margin, where offshore katabatic winds are likely to drive divergent ice motion and coastal lead formation. Wind divergence is found to be the dominant predictor in box 3 (36.7 %) along the Amundsen Sea coast. Zonal u wind is the dominant predictor in box 4 (34.1 %), reflecting the importance of zonal wind forcing perpendicular to the Antarctic Peninsula in controlling lead opening along the western Weddell Sea coast.

3.2 Coastal influences

The presented results so far indicate that the individual contribution of drivers for lead and coastal polynya formation shows a pronounced spatial variability with a strong dependence on local coastal geometries, as well as distance to coast, distance to marginal ice zone and probably also local bathymetry. To better focus on the effect of coastal distance, Fig. 10 further summarizes the overall RF model skill and dominant predictor importance as a function of distance from the Antarctic coast,

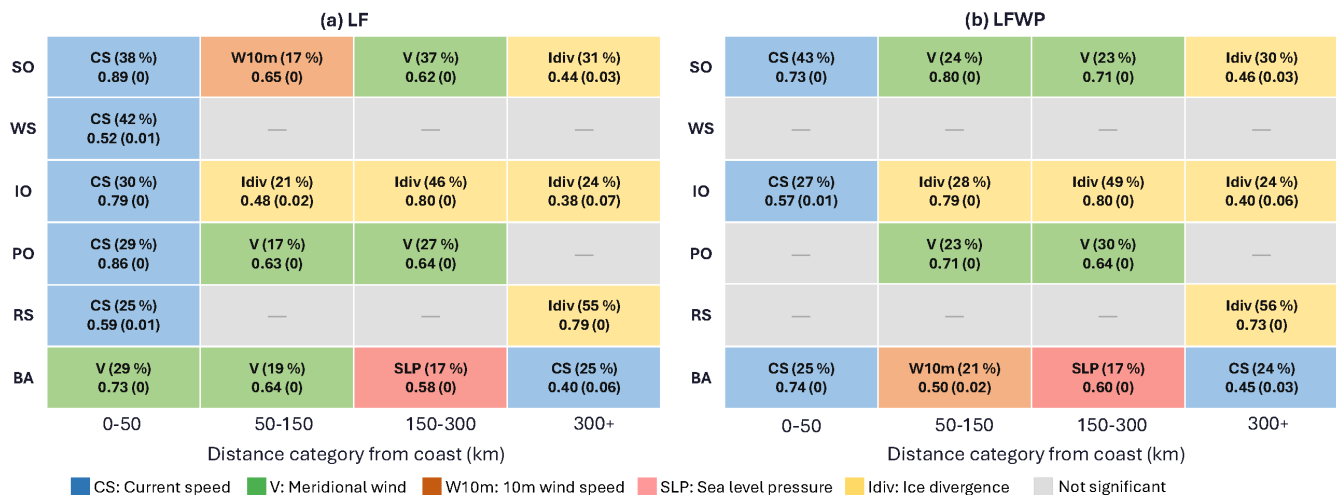


Figure 11. RF model performance and main drivers of (a) LF and (b) LFWP by region and distance from coast. For each region–distance category, the cell lists the main driver and its relative importance (%), followed by the correlation between predicted and observed LF (r) and the associated p -value (p). Grey cells indicate no statistical significant at the 0.1 level. Region: SO–Southern Ocean, WS–Weddell Sea, IO–Indian Ocean, PO–Pacific Ocean, RS–Ross Sea, BA–Bellingshausen–Amundsen Seas.

across four distance categories (0–50 km, 50–150 km, 150–300 km, and > 300 km). The model correlation in LF is highest in the nearest coastal zone (0–50 km, $r = 0.89$), where ocean current speed (41.6 %) (Fig. 5a). Collectively, and ice velocity are the leading drivers, and decreases progressively with distance from the coast. Notably, the main and secondary drivers remain consistent across all distance categories for both LF and LPWP except the 50–150 km zone: in the 50–150 km zone, ice divergence becomes the secondary predictor while wind speed emerges as the main predictor for LF, while meridional v wind appears as a co-dominant main predictor for LFWP. In the 150–300 km zone, meridional v wind dominates alongside wind speed as the secondary driver. Beyond 300 km from the coast, ice divergence and ice velocity remain the primary controls, though the overall model correlation drops to approximately $r \sim 0.46$ for LF. Importantly, almost the same dominant predictors are found for both LF and LFWP dataset, except in the 50–150 km zone, but model skill increases substantially in the nearest coastal zone (Fig. 10), rising from $r = 0.73$ (LFWP) to $r = 0.89$ (LF) in the nine predictors explain the majority of the model's skill in reconstructing observed LF, with sectoral correlation ranging from lowest 0.68 in the Weddell Sea to highest 0.78 in the Indian Ocean (Table 4). 0–50 km band, while it decreases at larger coastal distances (from $r \sim 0.80$ (LFWP) to $r \sim 0.62$ (LF)) in the 50–150 km band, demonstrating that the representation of coastal polynya activity significantly improves model skill in the regions closest to the Antarctic coastline where polynya and lead dynamics are most tightly coupled whereas model performance decreases as the distance increases from the coast, potentially indicating a lower accuracy of the polynya area data in the pack ice.

This finding is consistent with earlier work, which shows that Antarctic leads tend to recur in areas influenced by bathymetric steering, oceanic fronts, and coastal divergence zones (Reiser et al., 2019; Dubey et al., 2025a). The highest lead frequencies

occur along the coastline, especially near the Antarctic Slope Currents, continental shelf breaks, and major ridges. The continental slope plays a key role in shaping spatial lead patterns, with ice divergence in these regions driven by the Antarctic Slope Currents and tidal flows (Stewart et al., 2019; Heil et al., 2009; Hutchings et al., 2012). These regions coincide with well-known flaw-lead zones where wind-driven divergence, tidal flows, and bathymetric guidance promote new ice formation and dense shelf water production (Silvano et al., 2018; Goosse and Fichefet, 1999; Jacobs and Weiss, 1998). Likewise, the enhanced activity around features such as Maud Rise and Gunnerus Ridge reflects Figure 11 further extends this analysis by presenting the dominant driver and model performance for each region/distance category combination, for both LF (Fig. 11a) and LFWP (Fig. 11b), with grey cells indicating no statistically significant relationship at the 0.1 level. Regional patterns reveal sector-to-sector variability in both the main drivers and the statistical significance of results across distance categories. In the nearest coastal zone, current speed is the main driver in the Weddell Sea (42 %, $r = 0.52$), Indian Ocean (30 %, $r = 0.79$), and Pacific Ocean (29 %, $r = 0.86$). In the Weddell Sea, no significant relationships are found farther from the coast. In the Indian Ocean, the dominant control shifts from current speed near the coast to ice divergence (21–46 %) at larger distances. In the Pacific Ocean, meridional v wind (17–27 %) becomes more important at intermediate distances, likely reflecting the influence of tidal variability, mesoscale eddies, and upwelling processes that increase sea-ice motion and divergence (Beckmann et al., 2001; Guelk et al., 2023). While the model captures the large-scale patterns of enhanced LF, it does not fully reproduce the fine-scale structures visible in the observations due to its coarse resolution. Nevertheless, the agreement at the basin scale supports the interpretation that lead formation is not governed by a single forcing. Instead, it is anchored in bathymetrically-controlled deformation regimes that provide the structural template on which atmospheric and oceanic processes act (Holland and Kwok, 2012; Kurtz et al., 2013). offshore winds. In the Ross Sea, significant results appear only in the nearest and outermost distance bands, where current speed (25 %, $r = 0.59$) near the coast and ice divergence (55 %, $r = 0.79$) dominate beyond 300 km. The Bellingshausen–Amundsen Seas are the only region where meridional v wind is the main driver close to the coast (29 %), suggesting an important role of offshore wind forcing in this sector.

When leads open during winter, they initiate intense air-sea energy exchanges that can significantly modify the upper-ocean stratification (Venables and Meredith, 2014; Cohan et al., 2021) as well as the structure of the atmospheric boundary layer (Heinemann et al., 2022; Mareq and Weiss, 2012). In this context, 50 km band. In the Bellingshausen–Amundsen Seas, the dominant driver also changes from meridional v wind in LF to current speed in LFWP. This suggests that the role of leads in shaping the feedback between sea-ice, atmosphere and ocean must not be neglected and requires a robust identification of forcings, for which we think the presented lead climatology will yield a useful basis. pack-ice lead activity in this region is more strongly linked to ocean currents, whereas offshore winds play a larger role in controlling lead and coastal polynya variability.

4 Discussion

600 The presented results indicate that the individual contributions of drivers for lead and coastal polynya formation show a pronounced spatial variability with an apparent strong dependence on factors such as local coastal geometries, distance to coast, distance to marginal ice zone and probably also local bathymetry. While a pan-Antarctic quantification of driver importances shows the zonal u wind component to have the strongest contribution to lead variability (Fig. 5), ocean current speed dominates the lead variability close to the coast (0-50 km distance, Fig. 10). Extending the LF data set by polynya areas explicitly improves the reconstruction skill of the model overall, but with a notable effect only close to the coast. The model achieves $r = 0.70$ at the pan-Antarctic scale, indicating that the used predictors capture a substantial fraction of LF variability. A notable portion of LF variance remains unexplained, reflecting both unresolved processes and limitations of the coarse spatial and temporal resolution, highlighting the need for high-resolution lead-resolving modelling to better understand ocean-ice-atmosphere interactions in bathymetrically-controlled and coastal lead zones.

610 4.1 Atmosphere and leads

Atmospheric forcing variables collectively represent the largest contribution to model skill. ~~2-m air temperature accounts for 20 % of the explained variance in LF across the Southern Ocean, reaching as high as 31-26.8 % in the Indian Ocean sector (Table 1). While lower air temperatures thermodynamically promote rapid refreezing, our data indicate that high lead frequencies often coincide with lower 2-m air temperatures. This inverse relationship likely reflects the influence of strong, cold offshore winds, which mechanically open coastal leads and polynyas while simultaneously subjecting them to intense cooling and refreezing (Mathiot et al., 2012; Morales Maqueda et al., 2004). Thus, we hypothesize that 2-m air temperature serves as an indirect effect and a proxy for the thermodynamic processes that the offshore wind forcing drives the lead formation.~~

Wind divergence and speed also emerge as dominant physically interpretable predictors once the influence of thermodynamic preconditioning is accounted for and 19.9 % in the Weddell Sea (Fig. 5). Together, these wind variables contribute roughly 24 % of the total permutation importance across the Southern Ocean (Fig. 5a), with particularly high impact during mid-winter June, reaching about 27 % combined in relative importance at the pan-Antarctic scale, underscoring the fundamental role of directional wind forcing in driving lead formation across the Southern Ocean (wind speed: 14 %, wind divergence: 13 %), higher in the Weddell Sea (21 % from wind divergence alone), and Ross Sea (37 %, from wind divergence: 23 % and wind speed: 14 %) (Figs. 10-11). This agrees with some studies showing that ~~The dominance of the wind components reflects the well-established link between near-surface wind forcing and mechanical ice divergence, whereby~~ divergent wind stress promotes tensile failure in the ice cover by weakening internal ice strength and enhancing shear deformation (Simmonds et al., 2008; Kimura and Wakatsuchi, 2000).

630 The seasonal change of wind importance can be related to the changing mechanical state of the ice pack throughout winter. The monthly analysis (Fig. 11b) reveals that atmospheric control is higher during early to mid-winter. In April, wind divergence and wind speed together contribute $\sim 29\%$ at the Southern Ocean scale, with similar patterns in May ($\sim 26\%$). The pronounced atmospheric influence in early winter reflects the vulnerability of newly formed ice, which responds more readily

to synoptic-scale pressure patterns and wind-induced divergence (Hutchings et al., 2011; wind forcing is prominent throughout winter across all sectors. At the pan-Antarctic scale, zonal u wind ($\sim 18\text{--}21\%$) and meridional v wind ($\sim 13\text{--}16\%$) contribute consistently across all months, indicating a major role of wind forcing on lead formation across seasons. The Indian Ocean sector is dominated by v and u winds throughout winter, together rising to $\sim 42\%$ by September, reflecting the persistent influence of zonal wind (Heil and Allison, 1999). The June case study further illustrates that wind forcing remains influential after air temperature control, particularly in the Weddell and Ross Seas regions, where offshore outflow and topographic channelling near the coast strengthen wind-Pacific Ocean sector shows consistent dominance of meridional wind ($\sim 22\%$ ice coupling.

At the same time 31%) across all months, reflecting the strong meridional wind control imposed by the Amundsen Sea Low (Turner et al., 2017; Meehl et al., 2019). In the Ross Sea, wind divergence and speed are most effective in regions already preconditioned by the mechanical state of the ice pack and long-term deformation patterns. This underscores the interplay between dynamic atmospheric forcing and the underlying ice mechanical properties, while winds initiate lead opening, their impact depends on the existing ice strength, consolidation state, peaks in August ($\sim 23\%$), consistent with the intense episodic offshore wind events characteristic of this sector (Mathiot et al., 2012; Silvano et al., 2018). The exemplary coastal boxes analyzed in Sect. 3.5 further illustrate the heterogeneous nature of wind-driven lead and coastal polynya formation at the local scale (see Figs. 9 and accumulated internal stress A2). While zonal wind dominates lead and coastal polynya opening in the West Weddell Sea, meridional wind controls LF along the D'Urville Sea coast, where offshore katabatic winds draining from the Antarctic ice sheet are likely to drive divergent ice motion and coastal lead formation in both coastal regions.

4.1 Sea-ice motion and leads

Ice velocity, ice divergence, and ice concentration Ice velocity and ice divergence provide additional model skill, contributing about $29\text{--}20\%$ to overall model performance (Fig. 5a). Ice divergence alone contributes $12\text{--}11.2\%$, while ice velocity and ice concentration add 10% and 7% , respectively adds 8.7% . Despite this performance, the importance of ice predictors, along with their regional contrasts (Fig. 5), is consistent with the well-established link between deformation processes and lead formation.

The Weddell Sea shows relatively high ice kinematic importance (15% for ice divergence and 9% for ice velocity), with ice divergence contributing 11.9% and ice velocity 8.3% , in line with its persistent Weddell Gyre and shear zones and characteristic cyclonic drift patterns (Haumann et al., 2016; Hoeber, 1991). In contrast, the Ross Sea shows an ice kinematic importance with ice divergence (11.0%) and ice velocity (11% for ice velocity after 8.1%) contributing alongside the dominant wind and ocean forcing, Table 4) likely reflects current predictors (Fig. 5), likely reflecting the Ross Gyre and hence higher LF variability captured within monthly-mean gridded products in this region. Ice concentration plays a notable role in the Bellingshausen-Amundsen Seas (.

The distance-from-coast analysis (Fig. 10), acting as a proxy for the mechanical weakness of the ice kinematic predictors play an increasingly important role in the offshore pack ice.

Ice concentration specifically emerges as a critical indicator of the ice pack's mechanical state, representing the degree of consolidation and ice cover. Lower ice concentrations indicate more fractured, loosely connected ice that is mechanically

weaker and more susceptible to lead formation under divergent stress (Wilchinsky and Feltham, 2011; Lüpkes and Gryanik, 2015; Frew et al., 2025). Beyond 300 km from the coast, ice divergence and ice velocity emerge as the primary controls on lead variability, while ocean current speed and wind components become comparatively less dominant. This transition reflects the changing balance between wind-driven and ice-dynamic forcing across the Southern Ocean, with the offshore pack ice responding more to accumulated internal stress and long-term deformation patterns rather than the episodic wind events and coastal boundary effects that dominate the nearshore environment (Hutchings et al., 2011; Holland and Kwok, 2012). The importance of ice concentration varies by month and region. While it contributes only 7 % to overall model skill (Table 1), it becomes more influential in specific months and regions, reaching 17 % in the Weddell Sea during June and 20 % in September and rising to 24 % for July in the Ross Sea (regional results shown in Fig. 11). Overall, this pattern aligns with the importance of ice preconditioning; regions with persistently thin ice concentration are more prone to lead opening, while thick consolidated pack ice resists deformation.

Although the presented dataset does not explicitly include polynya openings, it remains highly complementary to the long-term pan-Antarctic polynya record described in Lin et al. (2024). The occurrence and variability of Southern Ocean polynyas, and the associated ice production and brine release, have been the focus of numerous studies (e.g., Golledge et al., 2025; Macdonald et al., 2023; Paul et al., 2015; Tamura et al., 2008; Tamura et al., 2016). However, most of this work has not considered the role of small-scale leads within the pack ice, largely because the satellite data used were unable to resolve surface features at km-scale resolution or below. support this transition. Ice divergence becomes the main driver beyond 300 km in the Indian Ocean and Ross Sea, while current speed and wind components remain more influential at shorter coastal distances. This highlights the different physical main drivers controlling the LF between the nearshore region and the offshore pack ice.

4.1 Ocean and leads

Ocean currents show an increase in importance on lead formation as winter progresses, with their contribution rising from around 7–13 % in April–May to roughly 14–15 % in August–September, and reaching up to 19–23 % in specific regions during June, August and September. Ocean current speed remains a consistent co-dominant predictor throughout winter at the pan-Antarctic scale (Fig. 11). While this increase in regional points is notable, it suggests that as the ice thickens and internal stress builds, lead formation becomes more controlled by persistent ocean-driven shear and ice–ocean momentum exchange. The June analysis reveals that ocean current speed contributes 20 % in the Indian Ocean and 15 % in the Pacific Ocean, demonstrating particularly higher oceanic control (6). In the Weddell Sea, current speed increases in prominence from June to August as a leading driver, reflecting stronger oceanic forcing during mid-winter peak lead activity (Fig. 10). This regional elevation in ocean current importance suggests that the thermodynamically-controlled Indian and Pacific sectors, with enhanced coastal currents and eddy activity, experience enhanced ice–ocean coupling relative to wind-dominated sectors. Ocean currents interact with underlying bathymetry to induce both direct stress intensification (which opens leads locally) and indirect mechanical weakening through long-term traction and cumulative stress. The influence of ocean currents has been highlighted in several Antarctic studies, which show that current shear and bathymetrically-guided flows modulate ice-drift pathways and contribute to deformation fields that shape lead networks over long timescales (Reiser et al., 2019; Holland and Kwok, 2012).

~~;~~ [Dubey et al., 2025a](#)), probably associated with the Weddell Gyre circulation (Haumann et al., 2016; Cheon et al., 2014). The Ross Sea shows current speed importance rising markedly in May ($\sim 25\%$).

~~The late-winter strengthening of oceanic influence reflects the increasing~~ In the Bellingshausen–Amundsen Seas, current speed leads in April ($\sim 19\%$) before becoming a major driver in August, reflecting the region’s persistent sensitivity to oceanic forcing throughout winter, together with winds. This seasonal evolution across all sectors points towards a growing role of sustained bathymetrically guided flows and intensified ice–ocean coupling beneath thickened ice. ~~The Antarctic Coastal Current, Weddell Gyre circulation, and mesoscale eddy fields all contribute to current-induced deformation, which becomes increasingly important as winter progresses. In the Ross, Pacific and Indian sectors, where interactions among the Antarctic Coastal Current, Ross Gyre circulation, and variability in the Amundsen Sea Low are especially pronounced (Meehl et al., 2019 ; Turner et al., 2017), the elevated oceanic importance (Figs. 5b–e) suggests that dynamic preconditioning combined with large-scale circulation variability plays a key role in maintaining lead-prone zones. The Weddell and Ross Seas’ higher ocean current influence (~ 12 – 13% ; Table 1) reflects the circulation structure and the importance of bathymetry, Antarctic Slope Current and gyre dynamics in these regions.~~

4.1 Regional predictors and leads

~~The regional contrasts in predictor contributions are shown, where lead formation becomes increasingly controlled by persistent ocean-driven shear alongside wind forcing (Leng et al., 2024; Stewart et al., 2019). The regional and distance-dependent driver patterns summarized in Fig. 5 and Table 1. The Weddell Sea shows a good mechanical imprint (16% wind divergence, 15% ice divergence), consistent with its wind divergence and quasi-stationary gyre circulation and recurrent lead activity (Wang et al., 2023; Cheon et al., 2014). During June, the Weddell Sea’s mechanical character strengthens further, with wind divergence reaching 21% and ice concentration and divergence contributing 17 and 14%, respectively (Fig. 10b), reflecting the consolidated mid-winter ice pack’s mechanical response to wind forcing. The Indian Ocean sector exhibits the highest 2-m air temperature importance (31%), reflecting strong north–south gradients imposed by zonal storm tracks and meridional bathymetric contrasts (Shi et al., 2023). During June, the Indian Ocean maintains strong thermodynamic control (24%) while ocean current speed becomes particularly influential (20%), suggesting the coupled atmosphere–ocean control on lead formation in this sector (Fig. 10c). The Pacific sector displays a distribution of predictors (2-m air temperature 30%, ocean current speed 13%), shaped by the influence of the Amundsen Sea Low (Turner et al., 2017), complex seafloor topography, and variable ice-drift pathways (Meehl et al., 2019). The Ross Sea shows comparatively higher model skill ($r = 0.73$, Fig. 2e), which likely stems from its intense episodic offshore wind events (Heil et al., 2009) and polynya activity (Silvano et al., 2018) that operate at temporal scales finer than monthly resolution and are therefore excluded from monthly averaged products. In June, 11 shows that current speed is the primary control in the Ross Sea exhibits particularly high wind divergence influence (23%), reflecting its dynamic coastal and shelf-break environment (Fig. 10e). The nearest coastal zone (0–50 km) across most sectors, except for the Bellingshausen–Amundsen Seas remain primarily thermodynamically controlled (2-m air temperature 25%), with secondary contributions from seasonal evolution (month-at 12%), indicating that seasonal changes in ice thickness and thermodynamic wind-controlled regimes are particularly important for this region (Elliot, 2014, where meridional v wind~~

rather than current speed dominates in the nearest coastal zone, suggesting that offshore winds, acting as a strong southerly meridional forcing, play a particularly important role in driving divergent ice motion and lead opening along this coastline (Mathiot et al., 2012).

The consistency of ~~2-m-air-temperature~~ directional wind component importance across all regions (~~+4~~ collectively ~25–31 740 43 %), combined with marked regional variations in secondary predictors, indicates that ~~thermodynamic-control~~ directional wind forcing is fundamental while ~~mechanical-ice-and-oceanic~~ oceanic and ice-dynamic forcings provide region-specific modulations.

Large-scale climate modes such as the Southern Annular Mode (SAM), El Niño–Southern Oscillation (ENSO), and the Indian Ocean Dipole (IOD) are well known to shape Antarctic sea-ice extent and the position of the marginal ice zone by modulating atmospheric circulation, wind fields, and ocean currents (Hall and Visbeck, 2002; Yuan, 2004; Blanchard-Wrigglesworth 745 et al., 2021). These same processes are likely to influence the sea-ice leads and coastal polynyas by altering patterns of divergence and ice drift. When considering LF trends, the atmosphere is usually seen as the dominant driver, while the ocean largely determines how those trends vary from season to season over the years (Holland and Kwok, 2012; Hobbs et al., 2016).

Understanding the connection between lead variability, coastal polynya activity, short-lived atmospheric events, and slow, 750 pan-Antarctic scale climate shifts including climate modes therefore remains an important avenue for future work, particularly in the context of the variability observed in Antarctic sea-ice since 2016.

A further avenue for improvement is to explicitly account for the circum-Antarctic landfast ice regime in which many coastal leads and polynyas are embedded. Fraser et al. (2020) provide a pan-Antarctic fast-ice dataset for 2000–2018, and Fraser et al. (2021) identified eight regional fast-ice regimes with distinct trends driven by bathymetry and grounded icebergs. 755 Incorporating fast-ice extent or persistence from these products in our RF framework could help to better distinguish coastal dynamical regimes and to explain part of the residual variance in LF along the Antarctic margins.

Beyond landfast ice, both the target variable and predictor fields carry some limitations that may limit model performance. Among predictors, ORAS5 surface currents are likely subject to uncertainties in the thin, seasonally ice-covered coastal ocean, where observational constraints are sparse and small-scale bathymetric features, tides and mixing are not fully resolved in the reanalysis (Zuo et al., 2019). Future studies could explore alternative products such as the Biogeochemical Southern Ocean State Estimate (B-SOSE; Verdy and Mazloff, 2017), which provides higher-resolution (~18 km) estimates of Southern Ocean circulation. Additional oceanic predictors, such as ocean current divergence and eddy kinetic energy, represent an important objective for future studies, particularly in regions of rough topography and at shelf breaks where the model currently shows comparatively lower skill. 760

765 5 Conclusions

This study provides a quantitative assessment of the physical drivers governing wintertime Southern Ocean LF-leads and coastal polynyas activity using an RF regression framework. By combining a ~~21-year~~ 20-year gap-filled satellite-derived LF dataset with a coastal polynya dataset, along with atmospheric, sea-ice, and oceanic predictor variables ~~in the model~~, we identify and

rank the processes controlling lead and coastal polynya formation across the Southern Ocean and five regional sectors. The overall winter model reconstructs the observed LF over the April–September period from 2003 to 2023, achieving a basin-wide correlation of 0.70 between observed and predicted LF values on an independent test dataset, ~~with regional correlation ranging from 0.68 to 0.78. The model captures the large-scale spatial pattern of elevations in LF across the Southern Ocean, although the coarse $2^\circ \times 5^\circ$ grid smooths out the realistic representation of fine-scale lead hotspots, including bathymetrically-controlled and coastal lead regions. This RF performance suggest that the selected predictors capture the dominant physical mechanisms~~ shaping Southern Ocean lead variability. Permutation-based importance analysis from the overall model reveals a clear order.

Feature-based relative importance analysis reveals a ranking of LF drivers ~~, 2 m air temperature emerges as the most influential predictor (20 %), highlighting that shows dependencies on region, ice regime and distance to the coast. The directional wind components together contribute approximately 30 % at the pan-Antarctic scale, underscoring the fundamental role of thermodynamic control and its coupling with atmospheric forcing, probably offshore winds. This likely reflects an indirect dynamical effect, where sharp temperature drops serve as a proxy for the cold, offshore air masses that mechanically drive coastal leads. Wind and ice divergence, zonal and meridional wind forcing in driving mechanical ice divergence and lead opening across the Southern Ocean. Wind speed, ice divergence and ocean current speed each contribute ~ 11 – 13 – 14 %, suggesting that lead and coastal polynya formation is governed by coupled atmosphere-ice-ocean interactions rather than any single by any individual main process. Regional analyses further underscore the heterogeneous nature of Southern~~ Ocean lead dynamics. The Indian and Pacific Ocean sectors are ~~controlled by strong air temperature with ocean currents, and the Bellingshausen–Amundsen Seas are mainly seasonally thermodynamically wind-influenced, whereas dominated by directional wind forcing (zonal u wind: 26.8 % and meridional v wind: 29.6 % respectively), while the Weddell Sea exhibits a dominant shows a~~ mechanical influence from wind-zonal u wind, ocean currents and ice divergence. The Ross Sea reflects ~~a balanced contribution from air temperature, contributions from ocean current speed, directional winds and wind divergence, and ocean currents, consistent with its dynamic coastal and shelf-break activity~~ the Bellingshausen–Amundsen Seas show predictor importance with ocean current speed and meridional wind leading.

~~Monthly analyses reveal seasonal shifts in driver dominance. April–June, lead variability is most sensitive to atmospheric forcing, including air temperature and wind fields~~ The presented results indicate that the individual contribution of drivers for lead formation shows a pronounced spatial variability with a strong dependence on local coastal geometries, as well as ice divergence and velocity. In contrast, July distance to coast, distance to marginal ice zone and probably also local bathymetry. The coastal analysis shows that ocean current speed dominates in the nearest coastal zones (0–September shows an increasing influence from persistent thermodynamic offshore wind forcing, ocean currents, and consolidated ice-pack mechanics. The rising importance of ocean current (~ 15 %) toward late winter shows the growing role of ice ~~50 km), while wind components and ice divergence control lead variability further offshore. The inclusion of the Lin et al. (2024) polynya dataset improves model skill in the nearest coastal zones, with correlation increasing from approximately 0.73 to 0.89 in the 0–ocean momentum exchange and bathymetrically-guided circulation in sustaining leads within the thick winter ice-pack~~ 50 km band.

The RF model provides a quantitative ranking of LF predictors ~~that was previously unavailable for the Southern Ocean. Our results show that Southern Ocean lead formation arises and coastal polynya formation arise~~ from coupled, non-linear interac-

tions among the atmosphere, ocean, and sea-ice, rather than from episodic wind forcing alone. The presented framework offers a new insight for future work, linking lead and coastal polynya variability to large-scale forcing and climate modes and may provide impetus for improving lead-related fluxes under changing Antarctic sea-ice conditions. In addition, an analysis using the RF-model for small-scale regional features such as bathymetrically-controlled and coastal lead-zones (Dubey et al., 2025a) could also be part of future studies.

Appendix A

A1 Southern Ocean regional sectors for LF analysis

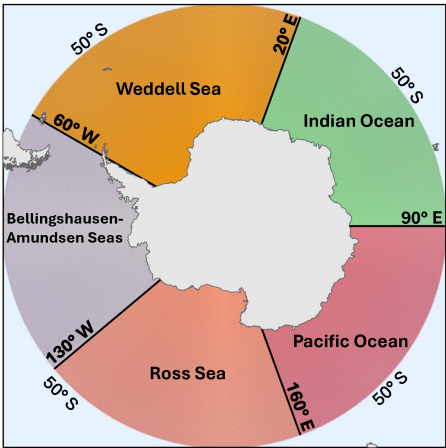


Figure A1. Map of the Southern Ocean showing the five regional sectors used in this study: Weddell Sea (orange), Indian Ocean (green), Pacific Ocean (pink), Ross Sea (coral), and Bellingshausen–Amundsen Seas (grey). The sectors are defined by meridional longitude boundaries, and major latitude circles (50° S) are shown for geographic reference.

A2 Mean fields of predictor variables

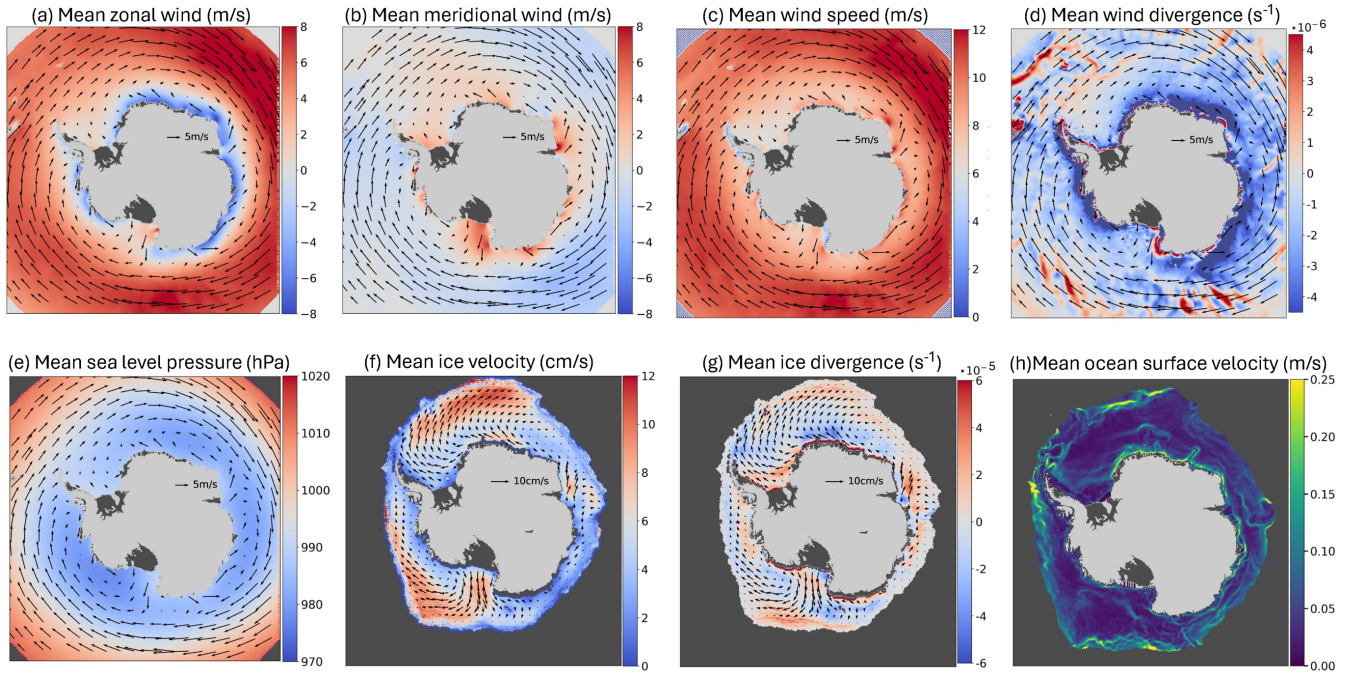


Figure A2. Mean winter (April–September, 2003–2023, excluding 2012) fields of predictor variables used for LF analysis: (a) 10 m zonal u wind, (b) 10 m meridional v wind, (c) 10 m wind speed, (d) wind divergence, (e) sea level pressure, (f) ice velocity, (g) ice divergence, and (h) ocean surface current speed. Mean wind vectors (5 m s^{-1}) and mean ice motion vectors (10 cm s^{-1}) are shown as black arrows in the respective panels. All atmospheric fields are from ERA5, ice motion from the Polar Pathfinder product, and ocean currents from ORAS5.

Data availability. The monthly lead frequency dataset for the Southern Ocean (April–September, 2003–2023) is available on PANGAEA (AntLeads: Monthly wintertime sea-ice lead maps for the Antarctic, April–September, 2003–2023, <https://doi.org/10.1594/PANGAEA.977634>) (Dubey et al., 2025b). The coastal polynya dataset used in this study is provided by Lin et al. (2024) and is available on Zenodo at <https://zenodo.org/records/13358042>, last access: 15 March 2026. Atmospheric data were downloaded from the ERA5 reanalysis (Hersbach et al., 2020) produced by the European Centre for Medium-Range Weather Forecasts (ECMWF) and obtained from the Copernicus Climate Data Store (CDS), available at <https://cds.climate.copernicus.eu/>, last access: 5 January 2026. Sea-ice motion data were obtained from the Polar Pathfinder Daily Sea Ice Motion product (Tschudi et al., 2019) provided by the National Snow and Ice Data Center (NSIDC) at <https://nsidc.org/data/explore-data>, last access: 5 January 2026. The ORAS5 data (Zuo et al., 2019) were acquired from the CDS, <https://cds.climate.copernicus.eu/>, last access: 5 January 2026).

Author contributions. UD analyzed the data and wrote the main script. SW contributed to the research design. SW and GH contributed to the interpretation of results, manuscript preparation and revisions. The final version was prepared with contributions from all co-authors. All authors have read and agreed to the submitted version of the manuscript.

Competing interests. The authors declare that they have no conflict of interest.

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