



1 **Measurement Report: Vertical New Particle Formation** 2 **Profile observed at 356m Shenzhen Meteorological** 3 **Tower**

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13 **Abstract.** Vertical measurements of New Particle Formation (NPF) are essential yet scarce for
14 understanding the role of ultrafine particles in lower boundary layer atmospheric processes. This study
15 presents comprehensive vertical observations conducted at the 356m Shenzhen Meteorological Tower,
16 utilizing mobility particle size spectrometers (SMPS and Nano-SMPS) at five heights (5-350 m) during
17 two intensive observation periods (IOPs) in 2023. Results reveal distinct vertical dependencies in NPF
18 characteristics. The NPF occurrence frequency was higher at ground level, while the particle growth
19 rate (GR) was significantly enhanced aloft, increasing from $9 \pm 4 \text{ nm h}^{-1}$ at the surface to 14 ± 4
20 nm h^{-1} at 320 m. The vertical structure of NPF was classified into four types, which were closely

21 related to the condensation sink (CS) higher at ground 0.04 s^{-1} than aloft 0.02 s^{-1} . Turbulence
22 promoted near-surface nucleation with peak growth rates up to $\sim 13 \text{ nm h}^{-1}$ (average $9 \pm 4 \text{ nm h}^{-1}$ at 5 m)
23 and enhanced growth in the middle layers with GR values of $7\text{--}8 \text{ nm h}^{-1}$ at 100–200 m (e.g., 8 nm h^{-1}
24 at 100 m and 200 m, and $5\text{--}8 \text{ nm h}^{-1}$ at 150 m), while growth rate at 350 m was mostly within 4 nm h^{-1} .
25 Furthermore, the upper tower environment contributed more effectively to the conversion of new
26 particles into cloud condensation nuclei, as supported by the significantly higher GR at 320 m (14 ± 4
27 nm h^{-1}) compared to the surface ($9 \pm 4 \text{ nm h}^{-1}$), indicating that particles aloft can grow into CCN active
28 sizes more rapidly despite weaker turbulence at the highest levels. This work provides critical insights
29 into aerosol vertical transport process and CCN production in the urban boundary layer.



30 Keywords: Meteorological tower; Vertical distribution; New particle formation; Turbulence

31 **1 Introduction**

32 Atmospheric aerosols, particularly ultrafine particles (UFPs, diameter < 100 nm), exert significant
33 influence on global climate radiative forcing, cloud microphysics, and public health (Morawska et al.,
34 2008;WHO, 2021; Jiang et al., 2023;Gong et al., 2025).A major source of UFPs is new particle
35 formation (NPF), a process wherein gaseous precursors nucleate to form stable clusters that
36 subsequently grow into larger particles (Kulmala et al., 2022;Coşgun et al., 2026;Ćirović et al.,
37 2026;Gao et al., 2025). NPF contributes substantially to the global aerosol number budget and serves as
38 a vital source of cloud condensation nuclei (CCN), thereby influencing cloud properties and
39 precipitation patterns (Pöhlker et al., 2016; Williamson et al., 2019; Svensmark et al., 2017). In urban
40 environments, NPF can exacerbate haze pollution through the rapid growth of newly formed particles
41 (Chu et al., 2021;Wang et al., 2020;Du et al., 2021;Brean et al., 2024;Yang et al. 2026) and has been
42 linked to adverse health outcomes due to the high deposition efficiency of UFPs in the human
43 respiratory tract (Jiang et al., 2023; Dong et al., 2021; Ma et al., 2022).

44 The NPF process is governed by a complex interplay of factors including the abundance of precursor
45 gases (e.g., sulfuric acid, volatile organic compounds VOCs), the pre-existing aerosol surface area
46 acting as a condensation sink (CS), atmospheric oxidants (OH, O₃, NO₃), and meteorological
47 conditions (Li et al., 2020; Fan et al., 2022;Flueckiger & Petrucci, 2024;Vidović et al., 2024;Zhang et
48 al., 2026;Li et al., 2024). Recent studies emphasize the "air pollution complex" framework, where
49 interactions between multiple pollutants and meteorology drive aerosol formation and evolution (Zhu
50 et al., 2023). Key precursors like biogenic VOCs (BVOCs) and their oxidation products (oxidized
51 organic molecules, OOMs) are increasingly recognized as critical drivers for particle nucleation and
52 growth, especially in forested and urban areas (Zhao et al., 2020; Guo et al., 2020;Jin et al., 2025;Li et
53 al., 2023).

54 While extensive ground-based observations have characterized NPF in diverse environments
55 worldwide (Wu et al., 2021; Song et al., 2010; O'Donnell et al., 2023; Carnerero et al., 2018;Wang et
56 al., 2025;Rowell et al., 2024;Kammer et al., 2023), the vertical dimension of NPF remains less
57 understood due to measurement challenges. The atmospheric boundary layer (ABL) is highly stratified,



58 with strong gradients in precursor concentrations, aerosol properties, temperature, humidity, and
59 dynamics (Lei et al., 2021). Turbulent mixing plays a pivotal role in vertically redistributing heat,
60 moisture, trace gases, and aerosols, thereby modulating the microphysical and chemical environment
61 for NPF. Consequently, NPF characteristics including its occurrence frequency, nucleation rate, particle
62 growth rate (GR), and contribution to CCN can exhibit significant variability with height (Boulon et al.,
63 2011; Leng et al., 2014; Yue et al., 2011).

64 Meteorological towers provide a unique and stable platform for investigating vertically resolved
65 processes within the ABL. Studies conducted on towers in Beijing, the Amazon, and the Southern
66 Great Plains have revealed profound vertical heterogeneity in aerosol composition, secondary
67 formation, and the impact of vertical transport (Wang et al., 2016; Li et al., 2022; Chen et al., 2018).
68 For instance, research in Beijing has shown that vertical mixing can amplify regional haze by elevating
69 ground-level emissions and promoting particle growth aloft (Du et al., 2021). Other work has
70 highlighted the importance of nitrate radical chemistry aloft (Fan et al., 2022; Yin et al., 2026) and the
71 vertically resolved chemistry of primary and secondary aerosols (Lei et al., 2021). These findings
72 underscore that ground observations alone may insufficiently represent the integrated column processes
73 governing aerosol lifecycles and their climatic effects.

74 The Shenzhen Meteorological Tower (SZMGT), standing at 356 m, is among the tallest meteorological
75 observation towers in Asia and offers an exceptional opportunity to study coastal urban atmospheric
76 processes. Previous research utilizing this tower has investigated the vertical distribution of black
77 carbon (Liang et al., 2022), PM_{2.5}, O₃, and NO_x (Li et al., 2020), as well as the vertical profile and
78 sources of non-methane hydrocarbons (Mo et al., 2022). These studies confirm the presence of strong
79 vertical gradients and the influence of both local emissions and regional transport in the Pearl River
80 Delta region. However, a systematic, Multi-parameter investigation focusing specifically on the vertical
81 characteristics of NPF and its controlling mechanisms at this location is still lacking.

82 This study aims to fill this critical knowledge gap by leveraging comprehensive vertical measurements
83 conducted at the SZMGT across two intensive observational periods. We integrate state-of-the-art
84 instrumentation for measuring particle size distributions (including nano and fine particles), chemical
85 composition (VOCs, OOM proxies), key gaseous precursors, and turbulent parameters. We focus on
86 quantifying and comparing the occurrence frequency, duration, and growth rates of NPF events at



multiple heights from the surface to 350 m, classifying the vertical structure types of NPF events in relation to the condensation sink and turbulent kinetic energy, elucidating the role of turbulent transport and mixing in modulating the vertical distribution of precursors, nucleation, and particle growth, examining the vertical profiles of key chemical drivers such as VOC oxidation products (OOM proxies) and their connection to particle growth dynamics, and finally assessing the implications of vertically varying NPF processes for the potential contribution of new particles to CCN populations in the urban boundary layer.

By providing a holistic, vertically resolved perspective on NPF in a rapidly developing coastal megacity, this work delivers critical insights into aerosol formation mechanisms. The findings are expected to enhance the mechanistic understanding of aerosol lifecycle in the urban boundary layer and support the improvement of aerosol representation in regional and global climate models.

2 Methodology

2.1 Measurement site

The Shenzhen meteorological tower with a height of 356 m is the tallest meteorological in Asia, located in the Shiyan Meteorological Observatory (113.91°E, 22.66°N) near to the Shenzhen airport (10 km to the west). The Tower provides 13 vertically distributed observation platforms (at 10, 20, 40, 50, 80, 100, 150, 160, 200, 250, 300, 320, and 350m). As the reservoir is an important source of drinking water for residents of Shenzhen, the environment within 1 km around the SZMGT is protected and rarely disturbed by human activity, ensuring that the underlying surface will remain natural for a long time.

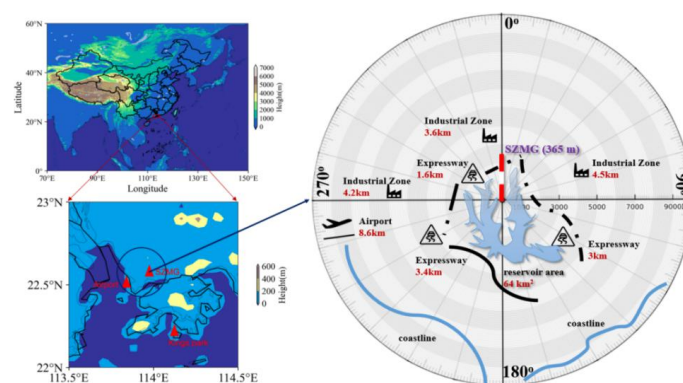


Fig. 1 Tower location and the surroundings Geographical distribution pattern map

Approximately 800 m north-east of the Shiyan Reservoir, there is a busy highway from which vehicular pollutant emissions may influence the measurements on the tower. There is also an airport located 10 km west of the base which serves an estimated 356000 flights in a normal year. As such, the departure and arrival of airplanes at the airport may also potentially influence pollutant concentration measurements by the SZMT. as it is located in a water source protection area and surrounded by a litchi forest.

2.2 Instrumentation

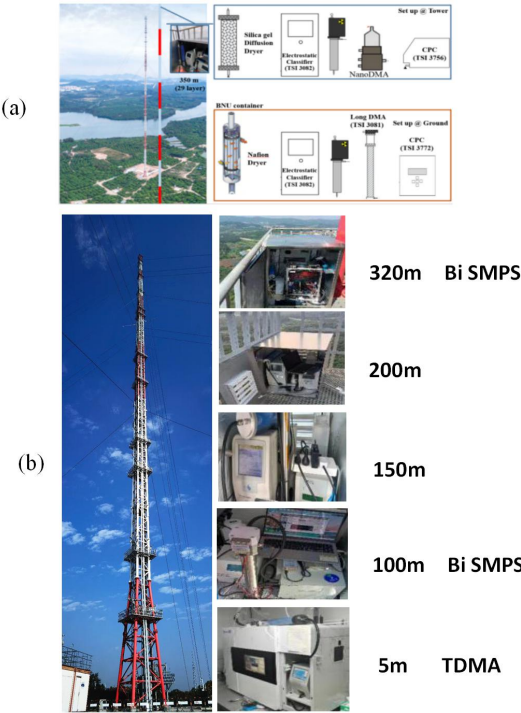
2.2.1 1st Intensity Observation Period (2023.3.1-2023.10.16)

The SZMGT is 356 m tall, contains 13 layers of meteorological observation platforms that begin from 10 to 350 m, Particle number size distributions between 1.8 nm and 500 nm were monitored continuously by a Meteorological by a Vaisala WMT700 ultrasonic wind sensor and a Vaisala HMP155 humidity and temperature probe. BNU SMPS system consisted of a long DMA with a model 3772 CPC and the Nano-SMPS system consisted of a nano-DMA with a model 3776 ultrafine CPC. The measurement cycle for the SMPS and Nano-SMPS was 5 min, and the sample flow was dried using a Nafion dryer. Electrical mobility sizing uncertainty is $\pm 1\%$, verified by poly-styrene latex aerosol size calibration standards, obtained every 5 minutes. Observation cabins, gradient sampling systems and high-altitude sampling ports were set up at the Shenzhen Meteorological Tower (SZMG). Vocus PTR and conventional instruments were used to observe components such as volatile organic compounds (VOCs), NO_x, O₃ and CO.



128 **2.2.2 2nd IOP (2023.09.18-10.27)**

129 To confirm the consistency between nano SMPS and SMPS and the fixed reference
130 instrument, an instrument comparison was conducted on the ground from September 18th to
131 October 27th, 2023, serving as the reference instrument. Both have a good correlation with THU
132 and use the corresponding slopes for data scaling. From October 14th to 26th, 2023, five
133 observation points were set up on the tower at different heights, and various instruments were
134 deployed for comprehensive monitoring: a Bi SMPS was equipped at 320 meters for
135 high-precision aerosol particle counting and analysis; Equipped with NanoSMPS at 200 meters, it
136 focuses on the detection of nanoscale particles. A common SMPS was set up at 150 meters to
137 monitor particles in the medium particle size range. Another Bi SMPS was installed at a distance
138 of 100 meters for particle measurement within the conventional particle size range. At the bottom
139 of the tower (5 meters), TDMA is configured for monitoring low-concentration or settled particles.
140 This hierarchical instrument system is designed to comprehensively analyze the distribution and
141 dynamic changes of aerosol particles at different heights around the tower.



142

143 **Fig. 2 (a) 1st IOP. Instrument set up on the tower and ground.**

144 **(b)2nd IOP. Comprehensive monitoring by multiple instruments at five observation points.**

145 **2.3 Method**

146 To delve into the characteristics of new particle formation (NPF) in the vertical dimension of
147 the Shenzhen Tower, this study adopted and refined the methodologies from prior authoritative
148 research. The aim was to quantify the key parameters associated with NPF, thereby ensuring
149 consistency and comparability with existing literature.

150 **2.3.1 NPF event classification**

151 Firstly, all sampling days were classified according to the characteristics of the observed
152 particle size distribution (PNSD) in relation to NPF events. In accordance with internationally
153 recognized criteria (Dada et al., 2017; Kulmala et al., 2012; Lian et al., 2026), the sampling days
154 were categorized into NPF event days, undefined days, and non - NPF days. The determination of
155 an NPF event day requires the fulfillment of two core criteria simultaneously: the occurrence of



explosive growth of nucleation - mode particles (particle diameter < 25 nm) and the continuous growth of particle diameters over several hours, presenting a distinct growth trajectory (a typical "banana - shaped" growth curve). If a nucleation event takes place at noon but lacks prominent growth characteristics, or if the explosive growth of nucleation - mode particles occurs in the evening, the day is classified as an undefined day. Days with no observable nucleation or growth phenomena are designated as non - NPF days.

2.3.2 The calculation of the condensation sink (CS)

The condensation sink (CS), a crucial parameter that describes the capacity of existing particulate matter to scavenge condensable vapors, is calculated based on the measured dry particle number size distribution (PNSD). Here, i represents the particle size range index, $D_{p,i}$ represents the particle size, N_i represents the particle number concentration, D represents the condensation vapor diffusion coefficient, and β represents the correction factor.

$$CS = 2\pi \sum_i D\beta D_{p,i} N_i \quad (1)$$

2.3.3 sulfuric acid concentration proxy

Given the challenges associated with directly measuring gaseous sulfuric acid (SA) owing to its extremely low concentration in the atmosphere, this study employed two alternative strategies developed by Petäjä et al. (2009)

$$[H_2SO_4] = k \frac{[SO_2] * UVB}{CS} \quad (2)$$

These proxy metrics are derived from more readily measurable components. Their formulas are expressed as functions of the number concentrations of sulfuric acid (H₂SO₄) and sulfur dioxide (SO₂) (in units of cm⁻³) and ultraviolet B radiation (280 - 320 nm, in units of W m⁻²). To calibrate the calculated SA values to match the measured ones, a constant k ($9.9 \cdot 10^{-7}$) was applied, as explicitly specified in the original formula.

2.3.4 The calculation of growth rate (GR)



180 The particle growth rate (GR) was computed using the modal fitting approach and is
181 characterized as the rate of change of the modal particle diameter dp with respect to time (ddp/dt).
182 Based on the time - resolved particle size distribution (PNSD) data, the representative modal dp
183 was determined through log - normal distribution fitting (Hussein et al., 2005).

$$184 \quad GMD = \exp\left(\frac{\sum (\ln d_{p,i}) \cdot N_i}{\sum N_i}\right) \quad (3)$$

185 The lognormal fitting of time-varying GMD was performed by the least square method
186 (Kulmala et al., 2005) to obtain the particle size growth slope within a specific period:

$$187 \quad GR = \frac{\Delta GMD}{\Delta t} \quad (4)$$

188 Among them, dp and i represent the diameters of the is the particle size, and N_i represents
189 the corresponding concentration. This method quantifies the time-varying growth characteristics
190 of the geometric mean diameter of the particle swarm.

191 2.3.5 The duration of the NPF event

192 The duration of new particle formation (NPF) events was determined based on the
193 concentration of the nucleation mode. The start time was defined as the instant when the
194 nucleation - mode concentration first intersects the threshold line and remains above it for 12
195 consecutive data points. The peak time corresponds to the point at which the nucleation - mode
196 concentration reaches its maximum value. The end time was identified as the first secondary
197 minimum point that occurs after the concentration drops below the threshold line. At this stage,
198 due to the sharp decline in particle number concentration, the NPF event can no longer be
199 differentiated from the background aerosol. This approach is consistent with the methods
200 described by Dada et al. (2018) and Hakala et al. (2019).

201 2.3.6 Calculation of turbulent kinetic energy (TKE) and dissipation rate (ϵ)

202 The horizontal wind speed time series data $U(z,t)$ (z represents height and t represents time)
203 were obtained by using a three-dimensional ultrasonic anemometer (sampling frequency 10 Hz)



204 installed at a height of 320 meters on the tower and a wind lidar at the base of the tower (sampling
205 frequency 0.2 Hz, vertical resolution 30 meters, maximum detection height 3 kilometers). The raw
206 data underwent strict quality control: first, outliers were removed using the 3 times standard
207 deviation criterion, and data with a single wind speed profile missing rate exceeding 20% (below
208 500 meters) were discarded; for the error caused by the installation tilt angle of the ultrasonic
209 anemometer, the coordinate axes were corrected using the double rotation method. The above
210 quality control process was repeated three times without data interpolation during the process.

211 2.3.7 Turbulent power spectrum and power-law index calculation

212 Perform Fourier transform on the wind speed sequence after quality control to obtain the
213 turbulence power spectrum $P_z(f)$:

$$214 \quad P_z(f) = a\varepsilon^{\frac{2}{3}}f^n \quad (5)$$

215 Here, f represents the frequency, a is the Kolmogorov constant, ε is the dissipation rate,
216 and n is the power-law index of the turbulence spectrum.(Kolmogorov, 1991; Kolmogorov,
217 1941)To solve n , take logarithmic linearization of the formula:

$$218 \quad \log(P_z(f)) = \log(a\varepsilon^{\frac{2}{3}}) + n \log(f) \quad (6)$$

219 Let $x = \log(f)$, $b = \log(a\varepsilon^{\frac{2}{3}})$, and simplify it to:

$$220 \quad \log(P_z(f)) = b + nx \quad (7)$$

221 In the double logarithmic coordinate system, a linear fit is performed between $\log(f)$ and
222 $\log(P_z(f))$, with the slope being n . According to the characteristics of the instruments, the
223 ultrasonic anemometer adopts a 2^{14} point FFT (27 minutes window), while the wind lidar adopts
224 a 2^8 point FFT (20 minutes window). And based on the Nyquist sampling theorem, the effective
225 frequency range is limited (ultrasonic anemometer ≤ 5 Hz, wind lidar ≤ 0.1 Hz). To enhance the
226 temporal resolution, slide the FFT window at a 5-second step for calculation.



2.3.8 Inversion of turbulent kinetic energy and dissipation rate

Turbulent kinetic energy (TKE) can be obtained by integrating the power spectral density within a specific frequency range:

$$TKE = \sum P_z(f) \quad (8)$$

Combining the n and TKE obtained through fitting, the dissipation rate ε is inversely solved from the power spectrum formula. This method reveals the evolution characteristics of the turbulent structure within the boundary layer through the vertical variation of the power-law exponent n , providing key turbulent parameter support for studying the vertical transport process of new particle generation.

2.3.9 Calculation of OOMS

The Precursor with an initial concentration of $[Precursor]_0$ is continuously injected through flow F and is consumed by reacting with O_3 and OH (generating OOMs), and is diluted

with the gas flow (at a rate of $\frac{F}{V} * [Precursor]_t$). When the system reaches a steady state, the rate of change of the precursor concentration over time is 0. At this point, the amount of the injected precursor is in balance with the amount consumed and diluted, that is:

$$\frac{F}{V} * [Precursor]_0 = (k_{O_3} * [O_3] + k_{OH} * [OH] + \frac{F}{V}) * [Precursor]_t \quad (9)$$

At this point, the rate at which the precursor is consumed by the oxidant (O_3 , OH) is:

$$P_{OOM} = k_{O_3} * [O_3] * [Precursor]_t + k_{OH} * [OH] * [Precursor]_t \quad (10)$$

It is equal to the generation rate of OOMs - because the reaction between precursors and oxidants is the sole source of OOMs (Lian et al., 2023).

3 Results I: 1st IOP (2023.3.1-2023.10.16)



3.1 The incidence rate and temporal variation characteristics of NPF events

During the 116-day intensive observation period of the 1st IOP, a total of 21 clear NPF events were observed at the upper level (on the tower), with an NPF occurrence frequency of 18%, while 30 clear NPF events were observed on the ground, with an NPF occurrence frequency of 26%, indicating that the ground atmosphere is more conducive to the occurrence of NPF. The monitoring data of SMPS from June 10, 2023 to June 17, 2023 were extracted for weekly variation study (Figure 3). It is not difficult to see that aerosol particles show obvious dynamic changes at different altitude layers. The active period for gas-phase precursor reactions and aerosol generation is at noon, during which micro-particles on the tower become concentrated and surge. Ground data also show significant fluctuations in concentration, and the peak value is higher than that on the tower, indicating that the accumulation and concentration of particles in the ground layer are higher. This vertical distribution feature indicates that there is a distinct vertical transport and diffusion process of aerosols during the day. Under the combined effect of meteorological conditions and pollution sources, it promotes the generation, growth and deposition of particles.

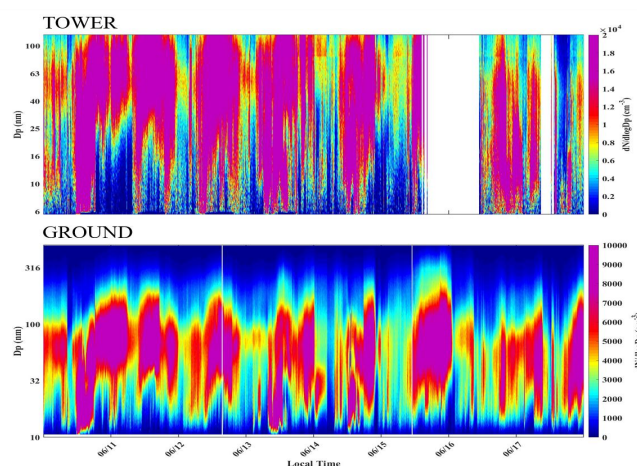


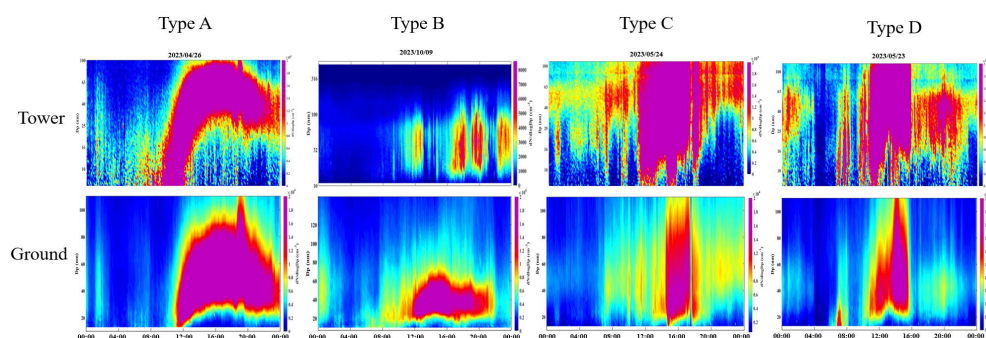
Figure 3. Weekly variations on the tower and the ground

3.2 NPF types on vertical scale

According to the NPF happens level, we have summary into four types (Figure 4), type A is the NPF both observed at ground and tower at the same day, type B is only happen on the ground,



269 and type C is NPF only happened on the tower, type D is the decreasing mode diameter event.



270

271 **Figure 4. Comparison of different NPF types**

272 Figure 5 shows the analysis of the CS box plot based on NPF types. There are significant
273 differences in CS corresponding to different NPF types. Type B (ground-only NPF) exhibits the
274 highest CS values with a median of approximately 0.04 s^{-1} ($0.03\text{--}0.06 \text{ s}^{-1}$), while Type N
275 (non-NPF days) shows comparably high CS with a median around 0.055 s^{-1} ($0.04\text{--}0.07 \text{ s}^{-1}$),
276 indicating that elevated pre-existing aerosol surface area suppresses NPF or limits its vertical
277 extension. In contrast, Type C (tower-only NPF) and Type D (decreasing mode diameter events)
278 display substantially lower CS medians of approximately 0.015 s^{-1} ($0.01\text{--}0.02 \text{ s}^{-1}$) and 0.015 s^{-1}
279 ($0.01\text{--}0.025 \text{ s}^{-1}$), respectively, consistent with the cleaner aloft environment (0.02 s^{-1}) that favors
280 nucleation aloft. Type A (simultaneous ground and tower NPF) shows a median CS of about 0.02
281 s^{-1} ($0.01\text{--}0.04 \text{ s}^{-1}$), suggesting that turbulent vertical mixing transports freshly nucleated particles
282 and precursors from the surface (with higher CS) to higher levels, effectively diluting the surface
283 CS influence aloft. Type U (undefined days) falls between ground and tower types with a median
284 CS of approximately 0.035 s^{-1} ($0.025\text{--}0.05 \text{ s}^{-1}$), reflecting transitional conditions. Based on these
285 CS distributions, we infer that Type A is primarily influenced by turbulent vertical transport,
286 which spreads freshly nucleated particles and NPF precursors from the surface layer to higher
287 levels in the mixed layer (consistent with horizontal advection as an alternative mechanism). Type
288 C is driven by vertical mixing that brings precursors aloft while surface CS remains high, and
289 Type D is associated with the sinking of air masses that carry particles downward, leading to a
290 decrease in mode diameter.

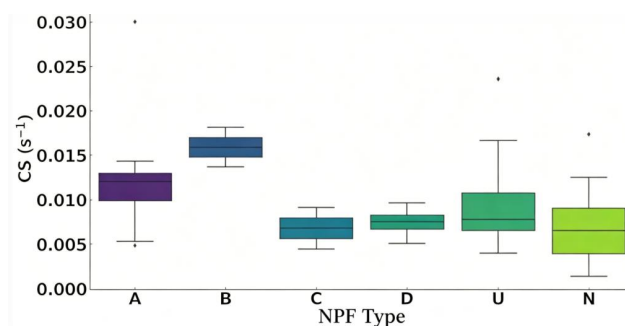


Figure 5. The mean CS level based on NPF types

From the corresponding contour heatmaps in Figure 6, it can be seen that the daily variation trends of these hydrocarbon species' concentrations at different heights (5 m, 40 m, 70 m, 120 m, 220 m, 335 m) are similar, but the concentration values vary significantly, with near-surface peak concentrations 1.3–2.8 times higher than those at the 335 m top layer. In most cases, there are peaks and troughs in the concentrations within a day, and the times when the concentration highs and lows occur at different heights are roughly the same, although the concentration fluctuation amplitude above 200 m decreases by over 60% compared with the surface layer. Other compounds such as isoprene, ethanol, and acetone show complex concentration distribution patterns with changes in height and time: isoprene high values are confined below 100 m during daytime, ethanol high-concentration plumes extend vertically up to 300 m, and acetone concentration peaks appear at the mid-layer of 100–200 m, indicating that the concentration distribution of these compounds in the vertical space not only varies with time but also has significant differences among different height levels. Overall, there are obvious differences in the concentrations of hydrocarbon species at different heights, suggesting that height is an important factor influencing the concentrations of hydrocarbon species. This may be related to multiple factors such as the diurnal evolution of the atmospheric boundary layer, vertical diffusion conditions, the vertical distribution of pollution sources, and height-dependent chemical reaction rates. For instance, lower heights (below 100 m) may be directly affected by ground-level source emissions, resulting in relatively higher concentrations; while higher heights above 200 m may be influenced by atmospheric transport and dilution, leading to more complex concentration variation patterns with weaker diurnal swings.

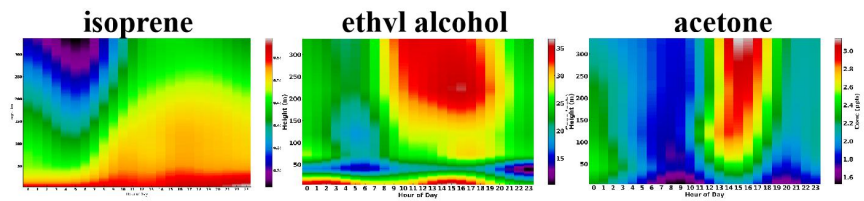
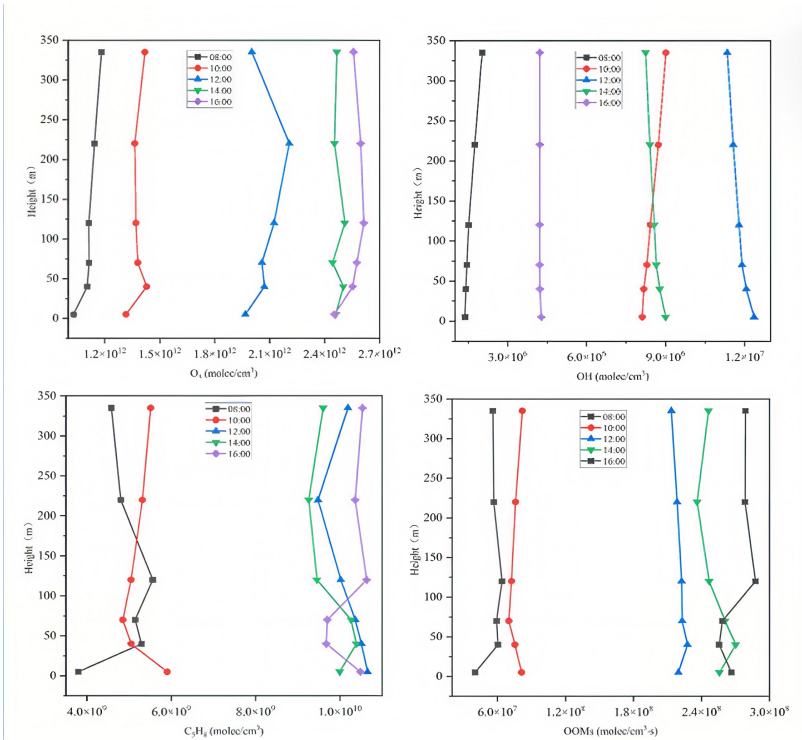


Figure 6.The diurnal variation results on 7/Apr/2023

Biogenic volatile organic compounds (BVOCs, such as isoprene and monoterpenes) are important substances emitted by plants. Their oxidation products can form new particles in the atmosphere, affecting clouds and climate. OOMs are the products of the reaction between precursors (BVOCs) and atmospheric oxidants (O_3 , OH), and their generation rate can be deduced from the consumption process of the precursors. By monitoring the changes in precursor concentration over time, combined with the reaction rate constant and oxidant concentration, the generation rate of OOMs can be quantitatively calculated through the above formula (Figure 7).





325 **Figure 7.(a), (b), and (c) are the quantities required for calculating the OOMs.(d)The distribution**
326 **relationship between the generation rate of OOMs and the corresponding height**

327 Figure 7 presents the distribution relationship between the generation rate of oxidized organic
328 molecules (OOMs) and the corresponding heights at different times (08:00, 10:00, 12:00, 14:00,
329 16:00). The research monitoring results show that: The generation rate of OOMs at noon (12:00
330 and 14:00) was significantly higher than that in the early morning, morning and evening, reaching
331 a peak of (2.4×10^8) molec/cm³·s, and the corresponding height distribution was concentrated in
332 the middle and upper layers (50-300 m), indicating that the photochemical reaction was more
333 active at noon. Drive OOMs to generate efficiently and transmit to high altitudes; The peak
334 generation rate of OOMs (6.0×10^7 molec/cm³·s) is relatively low in the early morning (08:00),
335 morning (10:00), and evening (16:00), and the height distribution is mainly near the ground to the
336 middle and lower layers (0-200 m), reflecting the weak photochemical intensity during the
337 non-midday period. The generation and vertical diffusion of OOMs are limited. The generation
338 rate of OOMs in each period shows a single-peak variation with height. The peak height is related
339 to the intensity of sunlight and the development of the boundary layer. Essentially, it is the result
340 of the combined effect of photochemical oxidation intensity (driving the generation of OOMs) and
341 atmospheric vertical diffusion (determining the height distribution). The temporal and vertical
342 transport characteristics of the oxidation process of biogenic volatile organic compounds (VOCs)
343 were verified.

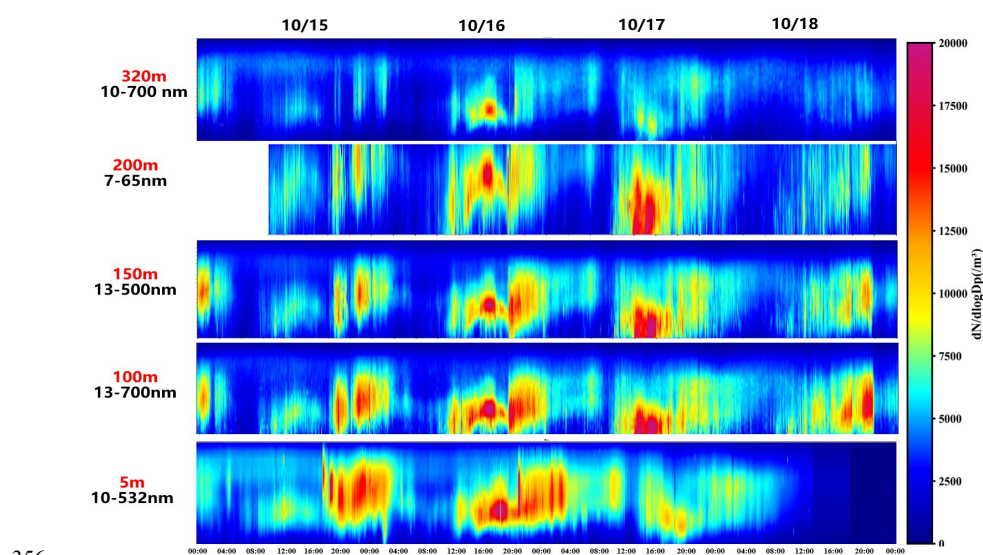
344 **4 2nd IOP (2023.10.14-10.26)**

345 **4.1The influence of turbulence on NPF**

346 In the atmospheric boundary layer, turbulent transport has a profound impact on NPF.
347 Turbulence can promote the mixing and exchange of substances at different elevation layers,
348 transporting precursors, aerosols, etc. emitted by surface sources to the upper atmosphere,
349 providing a material basis for NPF. Meanwhile, the dynamic disturbances caused by turbulence
350 can alter the conditions of microphysical processes such as particle collisions and condensation,
351 affecting the nucleation and growth of new particles. To clarify the effect of turbulent transport at
352 different heights of the Shenzhen Tower on NPF, the second phase (2nd IOP) observation was
353 carried out. Figure 8 focus on the temporal and spatial distribution of particle spectral diameters



354 (10-700 nm, 7-65nm, 13-500nm, 13-700nm, 10-532nm) and number concentrations at five heights
355 (320m, 200m, 150m, 100m, 5m) .



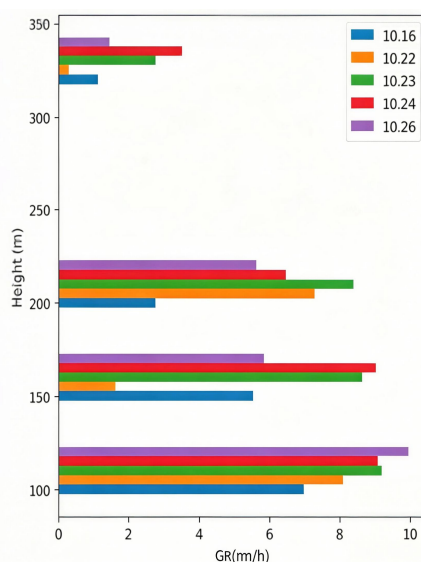
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357 **Figure 8. Particle spectral diameters at five heights (320m, 200m, 150m, 100m, 5m)**

358 The second phase of observations at the Shenzhen Tower indicates that turbulent transport
359 significantly affects NPF at different heights and shows a stratified pattern: near the ground (5m),
360 turbulence accumulates surface precursor substances and promotes local reactions, serving as the
361 "initial field" for new particle formation; at the middle and lower levels (100m, 150m, 200m),
362 turbulence, with its active material transport and dynamic disturbances, either accelerates the
363 formation and growth of new particles (e.g., efficient precursor transport at 200m) or regulates the
364 formation-dissipation dynamics (e.g., at 100m); at the upper level (320m), weak turbulence only
365 has a "limited triggering" effect on NPF. The vertical gradient characteristics of particle size
366 distribution and number concentration changes at various heights clearly demonstrate the
367 transition from near-ground accumulation to "weak response at high altitudes" driven by
368 turbulence, providing multi-level observational evidence for understanding the turbulent
369 regulation mechanism of new particle formation in the urban boundary layer. Further analysis on
370 the data and concluded that turbulent vertical mixing promotes the development of the boundary
371 layer, thereby resulting in a higher particle growth rate (GR). The GR data at different heights



(100m, 150m, 200m, 350m) and different dates (10.16 - 10.26, distinguished by legend colors) on the horizontal axis (unit: nm/h) verify the manifestation of this process at different vertical heights(Figure 9).



375

376 **Figure 9. GR data at different heights**

377 At a height of 100m, GR is relatively concentrated and has a high value. On some dates (such
 378 as 10.22 in orange and 10.23 in green), GR approaches or exceeds 8 nm/h. This indicates that the
 379 vertical mixing effect of turbulence near the ground (relatively low altitude) is significant, and the
 380 development of the boundary layer strongly promotes particle growth, which is conducive to the
 381 rapid growth of new particles. This may be due to the abundance of near-ground sources and the
 382 thorough mixing and reaction of precursors with particles caused by turbulence. At a height of
 383 150m, the distribution of GR varies. For example, on 10.16 (blue, 5 nm/h) and 10.23 (green,
 384 exceeding 8 nm/h), the intensity of vertical mixing of turbulence and the degree of boundary layer
 385 development at this height change with meteorological conditions, affecting the fluctuation of
 386 particle growth rate, reflecting the dynamic regulation of GR by the middle atmosphere process.
 387 At a height of 200m, GR is mostly at a medium to high level on most dates (such as 10.22 in
 388 orange, exceeding 7 nm/h, and 10.23 in green, 8 nm/h). This indicates that the vertical mixing of
 389 turbulence at this height continuously promotes the development of the boundary layer, providing



390 a stable environment for particle growth, and the transport and reaction conditions of precursors
391 are well-matched, maintaining a relatively high GR. At a height of 350m, GR is generally lower
392 than at the lower and middle altitudes (within 4 nm/h). This suggests that the vertical mixing of
393 turbulence at high altitudes is relatively weak, and the promotion of particle growth by the
394 development of the boundary layer is limited, resulting in a decrease in the particle growth rate,
395 reflecting the attenuation of turbulence and boundary layer effects with increasing vertical height.

396 **4.2 Turbulence associated with the PNSD**

397 In the atmospheric environment, there is also a close connection between turbulence and the
398 particle number size distribution (PNSD). On the one hand, turbulent motion can promote the
399 mixing and diffusion of aerosol particles, redistributing particles of different sources and sizes in
400 space. On the other hand, strong turbulence can increase the movement speed and collision
401 frequency of particles, thereby accelerating the process of small particles growing into larger ones
402 through condensation, and thus changing the shape and characteristics of the PNSD.

403 Take October 23 as an example. During the NPF event(Figure 13), at a height of 150 - 250
404 meters, the turbulent kinetic energy (TKE) rose from $0.5 \text{ m}^2/\text{s}^3$ to $3.0 \text{ m}^2/\text{s}^3$, and the dissipation rate
405 increased from $0.01 \text{ m}^2/\text{s}^3$ to $0.1 \text{ m}^2/\text{s}^3$. The turbulent energy dissipation increased dramatically,
406 and the movement was extremely active from the ground to the upper air. At the same time, the
407 PNSD showed that the particle number concentration in the intermediate particle size range rose
408 rapidly from about 2000 particles/cm³ (light blue area) to about 12000 particles/cm³. This
409 indicates that the development of turbulence drives the dynamic changes of the PNSD by
410 intensifying energy exchange and material transport. That is, active turbulence provides conditions
411 for particle mixing and growth, significantly increasing the particle number concentration of
412 specific particle sizes.

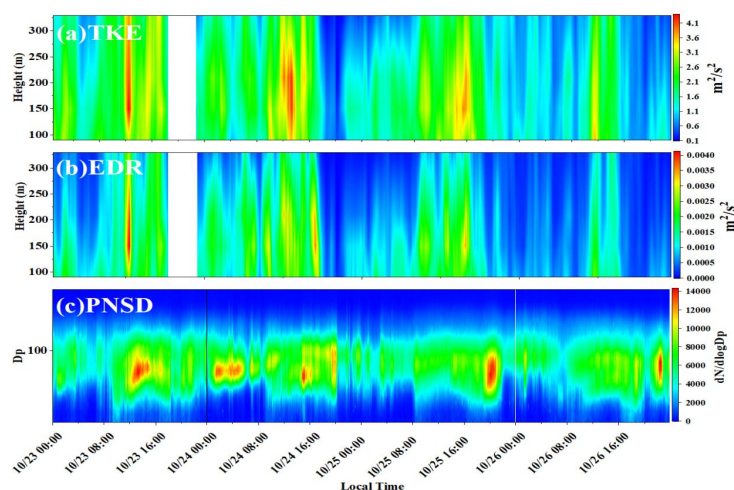


Figure 10.(a) Turbulent Kinetic Energy (TKE) (b) Energy Dissipation Rate (EDR)
(c) Particle Number Size Distribution (PNSD)

5 Conclusion

Based on multi-height observations at the Shenzhen Meteorological Tower (356 m, 10–350 m), this study reveals height-dependent NPF characteristics coupled with precursors, turbulence, and transformation. NPF occurrence was higher at ground (26%, 30 events) than aloft (18%, 21 events), while GR increased from $9 \pm 4 \text{ nm h}^{-1}$ at surface to $14 \pm 4 \text{ nm h}^{-1}$ at 320 m, confirming turbulent mixing attenuation with height. GR–CS correlation was stronger aloft, with ground CS higher (0.04 s^{-1}) than tower (0.02 s^{-1}). Turbulence driving particle growth via energy and mass exchange: near-surface promotes nucleation (GR up to 13 nm h^{-1}); middle layers (100–200 m) enhance precursor transport, TKE $0.5\text{--}3.0 \text{ m}^2 \text{ s}^{-3}$, EDR $0.01\text{--}0.1 \text{ m}^2 \text{ s}^{-3}$, and particle number increased $2000\text{--}12000 \text{ cm}^{-3}$; at 320 m. Photochemistry peaks at noon creat maximizing OOM production at $2.4 \times 10^8 \text{ molec cm}^{-3} \text{ s}^{-1}$ (50–300 m) versus 6.0×10^7 during non-midday, promoting upward diffusion. Higher GR tower (14 to 9 ± 4) allows faster growth to CCN-active sizes, making upper tower more effective for CCN conversion despite weaker turbulence.

Data availability. The vertical particle size distribution, trace gas, and turbulence datasets used in this study are available from the Mendeley Data repository (<https://doi.org/10.17632/2t7ccgs762.1>,



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433

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437

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