



1 **Sensitivity of ECMWF's seasonal forecast model CY49R2-NEMO4-SI3 to CO₂ and**
2 **anthropogenic aerosol forcings: Experimental design and impact on climate trends**

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15 **Abstract**

16 Detection and attribution studies routinely rely on climate model experiments with modified
17 anthropogenic forcings, such as those produced within the Coupled Model Intercomparison
18 Project (CMIP). However, recent work has shown that free-running CMIP models fail to
19 reproduce important aspects of observed decadal trends which contain a forced component.
20 Despite their advantage over CMIP models due to reduced mean-state biases, higher
21 predictive skill, better representation of trends due to frequent re-initialization and large
22 ensemble sizes, seasonal forecasting systems have not previously been used within a
23 formal attribution framework.

24 Here we introduce a novel set of counterfactual seasonal hindcasts conducted with
25 ECMWF's coupled seasonal forecasting system using cycle 49R2 coupled to NEMO4 ocean
26 and SI3 sea ice models (CY49R2-NEMO4-SI3). Unlike conventional hindcasts, these
27 simulations are initialized under alternative climate-change scenarios by modifying both the
28 atmospheric forcing and the ocean and sea-ice initial conditions. We derive an observation-
29 based estimate of the anthropogenically forced ocean-temperature signal and either amplify
30 or remove this signal from the three-dimensional ocean initial conditions while preserving
31 spatial gradients. Retrospective forecasts for 1993–2023 are performed using a control
32 configuration together with enhanced and reduced forcing experiments. They use up-to-date
33 ocean initial conditions (ICs) and model versions closely aligned with the next operational
34 seasonal forecasting system SEAS6 configuration.

35 In the enhanced forcing experiment, post-1993 CO₂ increases and the anthropogenic
36 ocean warming signal are doubled annually relative to the control. In the reduced forcing
37 experiment, CO₂ concentrations are fixed at 1993 levels and the post-1993 anthropogenic
38 ocean warming signal is removed from the ICs. Additional sensitivity experiments aim to
39 isolate the role of aerosol forcing.

40 The counterfactual hindcasts produce substantially altered long-term temperature trends
41 while largely retaining seasonal prediction skill, interannual variability and model drift,
42 demonstrating that the imposed perturbations are dynamically consistent with the forecasting
43 system. Enhanced-forcing experiments strengthen several observed climate trends that are
44 underestimated in the control simulation, including trends in top-of-atmosphere radiative
45 fluxes and aspects of the atmospheric circulation over the tropical Pacific. However,
46 strengthening the observed tropical Pacific zonal sea-surface-temperature gradient produces
47 only a weak atmospheric response, leading to insufficient enhancement of equatorial
48 easterlies and Walker-circulation trends. As a result, the coupled atmosphere–ocean system
49 fails to sustain the imposed SST-gradient anomaly through Bjerknes feedbacks, suggesting
50 a fundamental limitation of the model. The impact of amplified aerosol forcing is



51 comparatively weak, likely reflecting the omission of indirect aerosol effects in the model
52 version used.

53 These results demonstrate that counterfactual seasonal hindcasts provide a practical new
54 framework for dynamical attribution studies while also offering a powerful approach for
55 diagnosing model deficiencies in the simulated response to anthropogenic climate forcing.

56

57

58 **Short Summary**

59 We present counterfactual seasonal hindcasts that simulate seasonal climate evolution
60 under different climate conditions by modifying both the atmospheric forcing and the ocean
61 initial conditions. As a result, long-term temperature trends are altered while largely retaining
62 seasonal prediction skill and interannual variability. These simulations provide a practical
63 framework for attribution studies and allow to diagnose model deficiencies in the response to
64 anthropogenic climate forcing.

65



66 **1. Introduction**

67 Despite broad agreement between climate models and observations on the evolution of global
68 mean surface temperature over the past ~170 years, the most recent years have exhibited an
69 accelerated warming that has challenged current understanding of the climate system
70 (Schmidt 2024; Minobe et al. 2025; Allan and Merchant 2025). This enhanced warming
71 coincides with the emergence of several discrepancies between historical climate model
72 simulations and observations in both large-scale and regional trends of key climate indices
73 (Simpson et al. 2025; Byrne et al. 2025), including trends associated with the El Niño-Southern
74 Oscillation (ENSO; Zhang et al. 2025). Collectively, these inconsistencies highlight critical
75 knowledge gaps in our understanding of regional climate change and raise questions about
76 the representation of anthropogenic forcings, most notably greenhouse gases and aerosols,
77 in forecasts and projections of future regional climate.

78

79 Similar deficiencies have been identified in global coupled forecasting systems used for
80 seasonal prediction. These systems are initialised from the observed state of the climate
81 system and exhibit biases in simulated climate trends, including trends in zonal sea-surface
82 temperature (SST) gradients across the tropical Pacific and seasonal-mean large-scale
83 warming patterns (Beverley et al. 2024; Patterson et al. 2025; Mayer et al. 2025). Seasonal
84 forecasting systems generally employ external forcings similar to those used in the Coupled
85 Model Intercomparison Project (CMIP), while typically operating at higher horizontal and
86 vertical resolution. They are routinely run as large ensembles at forecasting centres worldwide
87 to provide probabilistic predictions on seasonal-to-annual timescales.

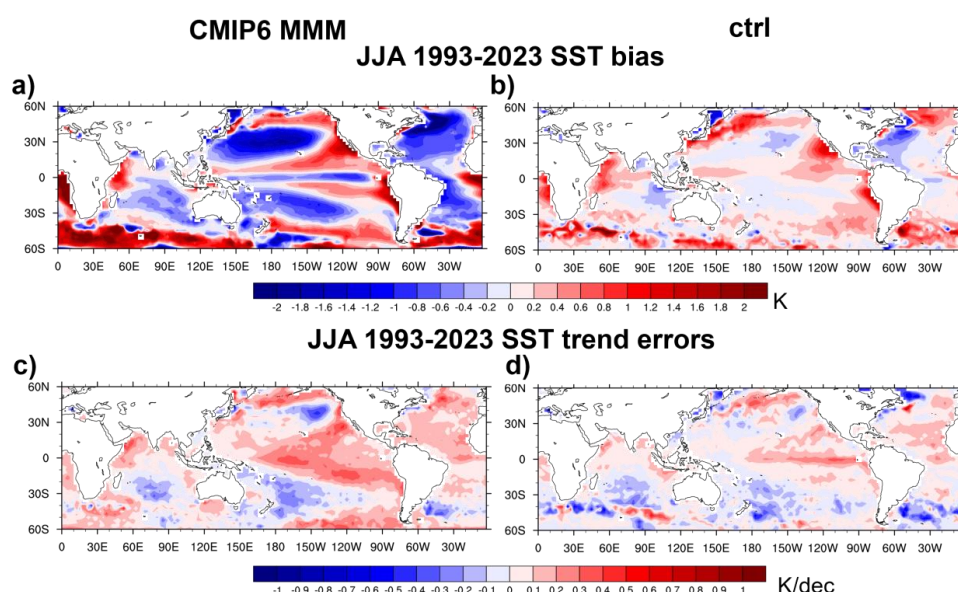
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89 Importantly, many of the trend discrepancies identified in historical climate model simulations
90 emerge rapidly in initialized forecasts and can become detectable within months, and in some
91 cases even hours, after initialisation (Mayer et al. 2025). The short time scales over which
92 these errors develop provide a unique opportunity to use initialized forecast systems as tools
93 for diagnosing the origins of model-observation trend discrepancies at a substantially lower
94 computational cost than century-long climate integrations (Simpson et al. 2025).

95 Initialised seasonal forecasts also offer conceptual advantages over free-running climate
96 simulations for the investigation of climate trends. Through regular re-initialization from
97 observations, forecast ensembles remain closely constrained by the observed evolution of the
98 climate system. Consequently, each ensemble member represents a plausible realization of
99 seasonal weather and climate variability consistent with the observed large-scale state at
100 initialisation. For example, El Niño and La Niña events occur in the same years as observed
101 in seasonal hindcasts, whereas their timing is unconstrained in free-running simulations. This



102 feature may provide advantages for attribution studies of individual events and their associated
103 circulation anomalies.
104 Furthermore, frequent re-initialization reduces systematic biases and trend errors relative to
105 free-running climate simulations (Fig. 1). As a result, simulated circulation trends evolve from
106 a more realistic background state, increasing confidence in their representation and
107 interpretation (He and Soden 2016 and references therein).
108



109
110 **Figure 1. Comparison of (left) CMIP6 multi-model-mean (MMM) SST (a) bias and (c)**
111 **trend errors with (right) seasonal hindcasts SST (b) bias and (d) trend error for JJA**
112 **1993-2023. The CMIP6 MMM consists of 31 historical simulations combined with**
113 **SSP585 projections to cover the period. The seasonal hindcast results are taken from**
114 **the control simulation (CTRL) described in section 2 and are based on 1st May**
115 **initializations 1993-2023. ERA5 SSTs are used as a reference.**

116
117 Established attribution frameworks for free-running climate simulations include the Detection
118 and Attribution Model Intercomparison Project (DAMIP; Gillett et al. 2016) and the Large
119 Ensemble Single Forcing Model Intercomparison Project (LESFMIP; Smith et al. 2022). These
120 initiatives quantify the contribution of individual forcings to historical climate change through
121 ensembles of coupled climate simulations in which either a single forcing evolves historically
122 while all others remain fixed (single-forcing experiments), or all forcings evolve except one
123 (“all but one” experiments).



124 In this study, we adapt the underlying principles of these attribution frameworks in the context
125 of initialised seasonal forecasting systems. Using ECMWF's state-of-the-art seasonal
126 forecasting system, we investigate the sensitivity of simulated climate trends to changes in
127 radiative forcing from atmospheric CO₂ and anthropogenic aerosols. This setup provides a
128 unique framework for examining the development of historical climate trends in initialised
129 hindcasts and assessing their dependence on the imposed radiative forcing.

130 To this end, we perform a suite of hindcast experiments with modified radiative forcing and
131 consistently adjusted ocean initial conditions following the approaches proposed by Leach et
132 al. (2024) and Weisheimer et al. (2025). By perturbing both the atmospheric forcing and the
133 corresponding forced component of the ocean state, we aim to isolate and quantify the
134 influence of anthropogenic forcing on simulated climate trends. The experiments presented
135 here focus on May start dates, which are particularly suitable for investigating boreal summer
136 extratropical circulation trends and previously identified trend discrepancies in the tropical
137 Pacific (Mayer et al. 2025).

138

139 These simulations represent a novel complement to traditional CMIP-style historical and
140 scenario experiments and open new opportunities for attribution and process-based
141 investigations of climate trends. The primary objective of this paper is to describe the
142 experimental design and provide an initial evaluation of the resulting climate simulations. We
143 further assess the sensitivity of SST and circulation trends in the tropical Pacific to the applied
144 forcing perturbations. Subsequent studies (Befort et al. 2026; Maddison et al. 2026, both in
145 preparation) will investigate the sensitivity and underlying mechanisms of boreal summer
146 extratropical circulation trends in greater detail.

147

148 The remainder of the paper is structured as follows. Section 2 describes the experimental
149 scenarios and model configuration. Section 3 details the atmospheric forcing perturbations.
150 Section 4 presents the methodology used to identify the anthropogenically forced signal and
151 to modify the ocean initial conditions accordingly. Section 5 describes the observational
152 reference datasets and statistical methods. Results are presented in section 6, including an
153 evaluation of the simulated climate and trend responses to the forcing perturbations. Section
154 7 provides a summary and conclusions.

155

156

157 **2. Experimental scenarios and model configuration**

158

159 We perform a suite of counterfactual hindcasts (i.e., retrospective forecasts) for the period
160 1993-2023 designed to simulate either amplified or muted anthropogenically forced climate



161 change. The experiments modify the prescribed atmospheric radiative forcing (CO_2 and
162 aerosols) and, in some experiments, the ocean initial conditions (ICs) as well. Adjustments
163 to the ocean ICs are required because the ocean state at initialisation should be consistent
164 with the cumulative effects of the imposed forcing perturbations prior to the forecast start
165 date.

166 For example, in a scenario with amplified forcing, a hindcast initialised in May 2000 should
167 begin from an ocean state that incorporates the additional heat uptake associated with the
168 enhanced forcing between 1993 and 1999. Conversely, in a scenario with suppressed
169 forcing, the anthropogenically forced warming accumulated over the same period should be
170 removed from the initial ocean state. The methodology used to estimate and apply these
171 adjustments is described in Section 4. Modifications to atmospheric initial conditions are not
172 considered because their influence is rapidly lost during the first weeks of a seasonal
173 forecast, whereas the ocean retains memory on substantially longer timescales.

174 Table 1 summarizes all experiments considered in this study. The core experiment set
175 consists of a control simulation (CTRL), which uses observed radiative forcing and
176 unmodified initial conditions, together with two CO_2 -forcing counterfactuals:

- 177 • **Double ΔCO_2 /ocean**, in which annual CO_2 increments after 1993 are doubled and
178 ocean initial conditions are adjusted accordingly;
- 179 • **Constant CO_2 /ocean**, in which atmospheric CO_2 concentrations are held fixed at
180 1993 levels and ocean initial conditions are modified accordingly.

181
182 For these experiments, the oceanic forced signal used to perturb the initial conditions is
183 derived from the Institute of Atmospheric Physics (IAP) ocean temperature dataset over
184 1975-2023 (Cheng et al. 2024, Section 4).

185
186 To isolate the direct impact of atmospheric forcing during the forecast period, we additionally
187 perform a sensitivity experiment (**Double ΔCO_2**) in which annual CO_2 increments are
188 doubled after 1993 while ocean initial conditions remain unmodified. These are not to be
189 confused with the IC perturbations related to the uncertainty representation in the ocean
190 reanalysis (Chrust et al. 2025). This experiment quantifies the influence of enhanced CO_2
191 forcing acting only during the seven-month forecast integrations.

192
193 For the constant-forcing scenario, an additional experiment (**Constant**
194 **CO_2 /ocean/ClimLand**) employs climatological land initial conditions derived from the ERA5
195 climatology for 1983–2003. This simulation is used to assess the impact of land initial
196 conditions on trends in addition to CO_2 forcing ocean ICs.



197

198 The role of anthropogenic aerosols is assessed through two further experiments. In **Double**
 199 **ΔAER**, aerosol forcing changes after 1993 are doubled while all initial conditions remain
 200 unchanged. In **Double ΔCO₂/ocean/AER**, doubled aerosol changes are combined with the
 201 Double ΔCO₂/ocean experiment. As discussed in Section 6.4, the impact of enhanced
 202 aerosol forcing proved relatively modest; consequently, complementary experiments with
 203 fixed aerosol concentrations were not pursued.

204

205 Estimation of the forced ocean signal is subject to uncertainty. To assess the sensitivity of
 206 the results to its specification, we repeat the Double ΔCO₂/ocean and Constant CO₂/ocean
 207 experiments using an alternative estimate derived from the ORAS6 ocean reanalysis over
 208 1993–2023 (Zuo et al. 2024). These experiments are denoted **Double ΔCO₂/ocean**
 209 **(ORAS6)** and **Constant CO₂/ocean (ORAS6)**, respectively.

210

211

Experiment name	Description
Control (CTRL)	Control forecast using unperturbed radiative forcing and unperturbed ocean ICs
Constant CO ₂ /ocean	Removes the forced pattern of climate change from the ocean ICs after 1993 and fixes CO ₂ concentrations at their 1993 level
Double ΔCO ₂ /ocean	Doubles the forced pattern of climate change in the ocean ICs after 1993 and doubles the annual rate of CO ₂ increase after 1993
Double ΔCO ₂ /ocean/AER	Doubles the forced pattern of climate change in the ocean ICs after 1993 and doubles the annual rates of both CO ₂ increase and anthropogenic aerosol change after 1993
Double ΔCO ₂	Doubles the annual rate of CO ₂ increase after 1993 while leaving the ocean ICs unperturbed



Double Δ AER	Doubles the annual rates of anthropogenic aerosol change after 1993 while leaving the ocean ICs unperturbed
Constant CO ₂ /ocean (ORAS6)	Sensitivity experiment equivalent to Constant CO ₂ /ocean, but using 1993–2023 ORAS6 3D ocean temperature data to estimate the forced climate-change signal.
Double Δ CO ₂ /ocean (ORAS6)	Sensitivity experiment equivalent to Double Δ CO ₂ /ocean, but using 1993–2023 ORAS6 3D ocean temperature data to estimate the forced climate-change signal.
Constant CO ₂ /ocean/ClimLand	As Constant CO ₂ /ocean, but additionally replaces land ICs with a constant climatology derived from ERA5 over 1983–2003.

Table 1. Description of experimental scenarios

Model configuration

All experiments are conducted with ECMWF's coupled forecasting system using a development version of the Integrated Forecasting System (IFS) cycle 49R2 for the atmosphere and land surface. This model configuration forms the basis of the forthcoming SEAS6 seasonal forecasting system, the successor to the currently operational SEAS5 system (Johnson et al. 2019) that uses cycle 43R1. Differences between the development version used here and the final operational SEAS6 configuration are expected to have only minor impacts on forecast characteristics.

Relative to cycle 49R1, that latest documented model cycle of the IFS (<https://www.ecmwf.int/en/publications/ifs-documentation>), cycle 49R2 is primarily distinguished by its coupling to version 4 of the NEMO ocean model and the SI3 sea-ice model (Madec and the NEMO System Team 2024; Ortega et al. 2025). In our experiments, the IFS is run at horizontal resolution Tco199 (corresponding to a grid spacing of around 50km at the equator), with 137 levels in the vertical. NEMO4-SI3 is run with a horizontal resolution of 0.25°, with 75 levels in the vertical.



231

232 Atmospheric initial conditions are taken from the ERA5 reanalysis. Ocean and sea-ice initial
233 conditions are obtained from a near-final version of ECMWF's ORAS6 ocean–sea-ice
234 reanalysis (Zuo et al. 2024); differences relative to the final release are not expected to
235 affect the conclusions presented here.

236 For each experiment, 51-member ensemble hindcasts are initialized on 1 May of every year
237 from 1993 to 2023 and integrated for 7 months lead time.

238

239

240 3. Atmospheric radiative forcing

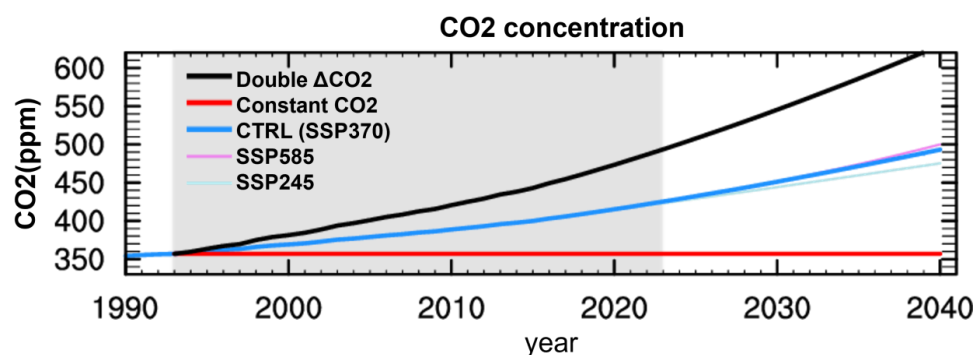
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242 As outlined above, we construct two counterfactual greenhouse-gas forcing scenarios. In the
243 first scenario, atmospheric CO₂ concentrations are held constant at their 1993 value,
244 representing a hypothetical world in which atmospheric CO₂ concentrations remained at
245 their 1993 level despite ongoing anthropogenic emissions in the real world. In the second
246 scenario, the increase in atmospheric CO₂ is amplified by doubling the year-to-year rate of
247 change relative to the baseline evolution after 1993.

248 The control CO₂ concentrations used in the IFS follow the CMIP6 historical forcing dataset
249 up to 2014 and the moderate SSP3-7.0 scenario thereafter. Figure 2 shows the unperturbed
250 CO₂ evolution used in the model through 2040, together with the two counterfactual
251 scenarios described above. For reference, the figure also includes the CMIP6 SSP2-4.5
252 (moderate) and SSP5-8.5 (extreme) scenarios. By 2023, the amplified CO₂ scenario reaches
253 concentrations comparable to those projected under SSP5-8.5 in the late 2030s, illustrating
254 the magnitude of the imposed perturbation.

255

256



257



258 **Figure 2. Comparison of historical and scenario-type atmospheric CO₂ concentration**
259 **evolution with the prescribed evolutions in the counterfactual hindcasts. The grey**
260 **shading indicates the hindcast period 1993-2023.**

261

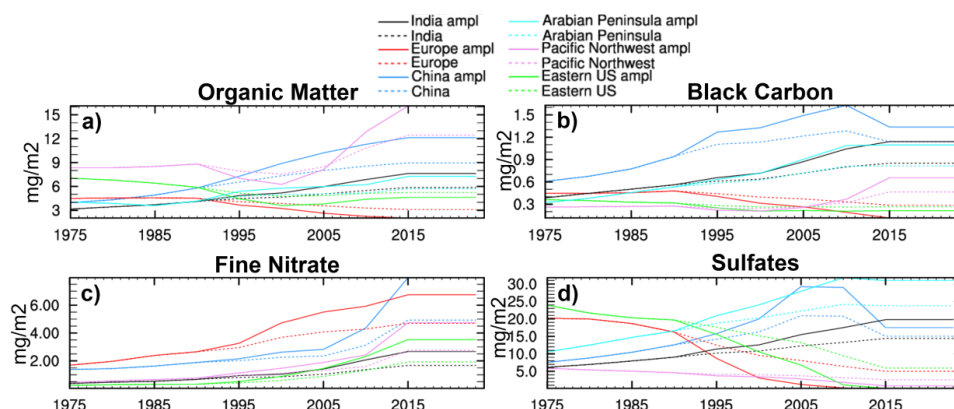
262

263 For tropospheric aerosols, the baseline forcing is derived from a time-varying climatology
264 based on emission data from the Community Emissions Data System (CEDS; Stockdale et
265 al. 2022). Because the CEDS emissions dataset available during the production of the
266 forcing fields extended only to 2019, the aerosol forcing used in the model becomes
267 effectively constant after approximately 2015 as a result of the processing methodology used
268 to generate the climatology.

269

270 To investigate the impact of enhanced aerosol forcing, we construct a perturbed aerosol
271 dataset using an approach analogous to that employed for the amplified CO₂ scenario.
272 However, because the temporal evolution of many aerosol species is non-monotonic, simply
273 doubling the year-to-year changes can produce negative concentrations in some regions
274 and periods. To avoid such unphysical situations, the perturbed aerosol dataset is generated
275 in two steps. First, the temporal rates of change of all aerosol species are doubled
276 throughout the record. Second, any negative concentrations introduced by this procedure
277 are reset to zero. Figure 3 illustrates the resulting evolution for four representative aerosol
278 species.

279



280

281 **Figure 3. Unperturbed (as used in runs without aerosol perturbations) and perturbed**
282 **evolution (as used in Δ AER and Δ CO₂/ocean/AER) for four different aerosol species in**
283 **different regions.**

284

285



286 **4. Determining forced patterns and preparation of initial conditions**

287 In free-running coupled simulations, Earth system components continuously respond to
288 changes in external forcing. One important consequence is the accumulation of excess heat
289 in the ocean under increasing greenhouse-gas concentrations (e.g., Von Schuckmann et al.
290 2023). To ensure consistency between the imposed forcing perturbations and the initialized
291 state of the climate system, the ocean and sea-ice ICs used in the counterfactual hindcasts
292 must therefore be adjusted. For example, in the amplified-CO₂ scenario, ocean temperatures
293 at a given start date must be increased to reflect the accumulated additional warming (heat
294 uptake) resulting from the enhanced anthropogenic forcing up to that time.

295
296 Anthropogenically forced climate patterns can be estimated either from coupled climate-
297 model simulations with perturbed anthropogenic forcings (e.g., Fasullo et al. 2024) or
298 statistically from the historical record (e.g., Wills et al. 2026). The model-based approach has
299 the advantage of providing dynamically consistent forced responses and, when derived from
300 the same model used for the hindcasts, reflects the model's own sensitivity to external
301 forcing. However, such estimates are affected by biases in the simulated forced response. A
302 prominent example is the widespread inability of coupled climate models to reproduce the
303 observed trends in the tropical Pacific, with a strengthening of the zonal SST gradient and
304 associated strengthening easterly winds (e.g., Seager et al. 2022; Rugenstein et al. 2023;
305 Mayer et al. 2025). Because our hindcasts are initialized from observationally constrained
306 states, we adopt an observationally based estimate of the forced climate signal.

307
308 A limitation of statistical approaches is the relatively short and spatially sparse observational
309 record, particularly for subsurface ocean temperatures. Although several gridded three-
310 dimensional ocean temperature datasets extend back more than a century, including Hadley
311 EN4 (Good et al. 2013) and IAP (Cheng et al., 2024), uncertainties increase prior to the
312 satellite era (Cheng et al., 2024). Estimating forced patterns therefore requires a
313 compromise between record length, which increases statistical robustness, and data quality
314 and temporal homogeneity, which are essential for producing consistent initial conditions
315 and reliable trend estimates.

316
317 The forced pattern in 3D ocean temperature is estimated following Leach et al. (2024), with
318 several modifications. We first compute the Anthropogenic warming index (AWI; Haustein et
319 al. 2017) from the regression of anthropogenic radiative forcing [estimated using the Finite
320 Amplitude Impulse Response simple climate model (FaIR) in version 2.0; Leach et al. 2021a]
321 with observed global near-surface temperature changes based on HadCRUT5 (Morice et al.
322 2021) over the period 1850-2022. The AWI provides an estimate of global temperature



323 changes attributable to anthropogenic changes in atmospheric composition. The
324 anthropogenically forced pattern in 3D ocean temperatures is then obtained by regressing
325 3D ocean temperature observations against the AWI.
326
327 We tested multiple observational datasets and regression periods and found that the
328 estimated forced patterns converge when data from approximately the mid-1970s onward
329 are used. We therefore derive the final 3D pattern from the IAP ocean temperature dataset
330 over 1975–2023. Unlike Leach et al. (2024), who combined independently estimated surface
331 and subsurface patterns, we derive a single three-dimensional pattern from a common
332 dataset and period, thereby avoiding potential spatial inconsistencies between surface and
333 subsurface responses. The resulting pattern can be interpreted as the 3D ocean
334 temperature increment associated with a unit change in the AWI.
335 The forced pattern represents the integrated response to all anthropogenic forcings,
336 whereas our experiments modify only CO₂ and aerosol forcing. This introduces a degree of
337 inconsistency, as changes in other forcings, such as methane, are not explicitly represented.
338 However, we expect the resulting error to be small relative to the magnitude of the imposed
339 perturbations and the timescales considered here.
340
341 For each hindcast start date, perturbed ocean ICs are generated by adding or subtracting
342 the forced pattern scaled by the AWI change since 1993. Consequently, the imposed
343 perturbation is smallest for forecasts initialized shortly after 1993 and increases
344 progressively towards 2023. To preserve pressure gradients and thus balanced ocean
345 currents in the unperturbed ocean initial conditions, the 3D salinity field is adjusted such that
346 densities remain unchanged, as in Leach et al. (2024).
347
348 The anthropogenically forced sea-ice response is estimated using an analogous
349 methodology. Forced patterns are estimated for sea-ice concentration (SIC) and sea-ice
350 thickness (SIT). Owing to the large uncertainties in historical sea-ice thickness observations,
351 we use ORAS6 data covering 1993–2023 to determine the forced patterns in SIC and SIT.
352 The resulting SIC and SIT increments are applied to the initial conditions in the same
353 manner as for ocean temperature. The increments are distributed across the five sea-ice
354 categories following the approach used for assimilation increments (Browne et al. 2026), and
355 extensive quantities such as ice enthalpy are scaled to preserve the associated intensive
356 properties.
357
358 Atmospheric initial conditions are not modified. Constructing dynamically and
359 thermodynamically consistent atmospheric perturbations would be considerably more



360 complex than for the ocean and sea ice. Furthermore, because of the comparatively small
361 heat capacity and short memory of the atmosphere, we expect atmospheric temperature and
362 humidity fields to adjust rapidly to the perturbed lower boundary conditions. Consistent with
363 Leach et al. (2021b), we assume that thermodynamic equilibrium between the atmosphere,
364 ocean, and sea ice is largely re-established within the first few weeks of the hindcast
365 integrations.

366

367 Figure 4a shows estimates of the forced pattern used to perturb the ICs in the main
368 experiments. At the surface, the pattern exhibits widespread warming, with notable
369 exceptions. The central equatorial and southeastern Pacific show a neutral forced pattern,
370 and the Southern Ocean displays a negative forced SST response. The zonal mean in Fig.
371 4a shows that the maximum forced SST warming in May is found in the northern
372 extratropics, while the zonal mean forced signal in the Southern Ocean is negative. In the
373 subsurface tropical Pacific, the estimated forced pattern features pronounced warming in the
374 western Pacific, consistent with a strengthening zonal temperature gradient and enhanced
375 easterly trade winds.

376

377 To assess the robustness of the estimated forced pattern, we compare the SST response
378 with the forced SST patterns estimated by Wills et al. (2026), who tested the capabilities of a
379 wide range of statistical methods to detect the forced signal. Figure 4c shows the average
380 SST pattern from the five best-performing statistical methods identified in that study. The
381 large-scale patterns are generally in good agreement, and our pattern lies within the range of
382 the five best-performing methods. The mean spatial correlation between our SST pattern
383 and the 27 methods classified as skillful by Wills et al. (2026) is 0.74, increasing to 0.80
384 when only the five best-performing methods are considered. This provides confidence that
385 the methodology employed here yields reasonable estimates of the forced SST response.

386

387 We further note that the estimated forced SST pattern closely resembles the simple linear
388 SST trend over 1975–2023 (Figure A1). This suggests that the regression-based method
389 does not attribute a substantial fraction of the observed trend to slowly varying modes of
390 internal variability. Indeed, as illustrated by Wills et al. (2026), the different methods exhibit a
391 wide range in the fraction of internal variability contributing to the observed SST trends. This
392 large range can be interpreted as the uncertainty inherent to the statistical methods to
393 determine forced signals.

394 Figure A1 also compared forced SST patterns derived using data covering the shorter 1993–
395 2023 period. Both the IAP-based forced SST pattern as well as the IAP-based SST trend are
396 stronger compared to the estimates based on 1975–2023. This suggests that using the 1975–



2023 forced ocean patterns may underestimate the forced trend during the 1993-2023
period. Figure A1 also compares the forced SST pattern based on IAP and ORAS6 data
1993-2023: these are very similar.

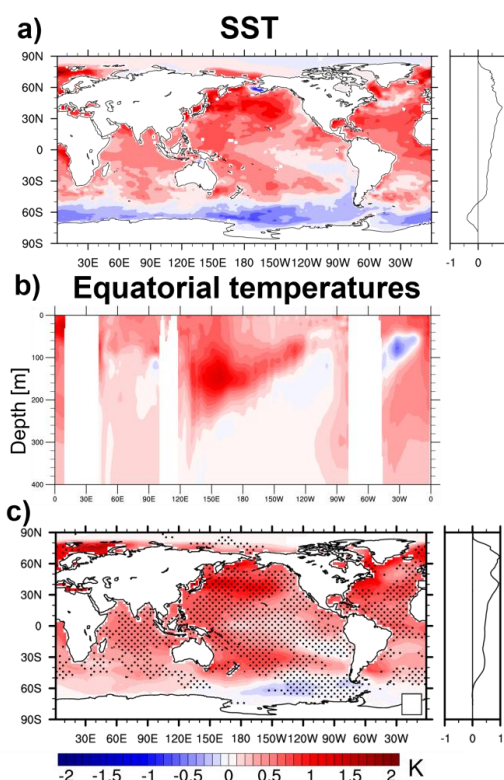


Figure 4. Anthropogenically forced pattern estimate in (a) SST and (b) subsurface temperature 2023/05 relative to 1993/05 (based on IAP data 1975-2023); (c) as (a), but averaged over 5 forced pattern estimates using the best 5 best-performing methods according to Wills et al (2026); stippling in (c) shows where our estimate is within the range of the 5 best estimates used for the pattern shown in (c).

5. Validation data and statistical methods

Atmospheric variables, including winds and temperatures as well as SSTs, are validated against ERA5 reanalysis (Hersbach et al. 2020). The Niño3.4 index is defined as the area-averaged SSTs over 5°S-5°N, 170°W-120°W. Top-of-atmosphere radiative fluxes are evaluated using observations from the Clouds and the Earth's Radiant Energy System



415 Energy Balanced and Filled dataset (CERES-EBAF; Loeb et al. 2018), available from 2001
416 onward. Precipitation is validated against version 3.2 of the Global Precipitation Climatology
417 Project dataset (GPCP; Huffman et al. 2023). Ocean heat content (OHC) is based on the
418 preliminary ORAS6 ocean reanalysis (Zuo et al. 2024), which also provides the ocean initial
419 conditions used in the hindcasts.

420

421 Linear trends are estimated using ordinary least-squares regression. Trend uncertainties are
422 calculated from the standard error of the regression slope while accounting for temporal
423 autocorrelation. Unless stated otherwise, uncertainties are reported as 90% confidence
424 intervals.

425

426 To assess the consistency between observed trends (a single realisation) and the range of
427 trends simulated by the hindcasts (an ensemble of many plausible trends), we follow the
428 methodology of Mayer et al. (2025). We generate 1,000 synthetic hindcast time series by
429 randomly selecting one ensemble member for each forecast year. This assumes that each
430 ensemble member is an equally plausible outcome of the seasonal climate evolution and
431 that the resulting temporal sequence of seasonal anomalies provides time series with
432 realistic characteristics.

433

434 A linear trend is calculated for each of the 1,000 synthetic time series, yielding a distribution
435 of plausible hindcast trends. Observed and simulated trends are considered statistically
436 consistent if the observed trend lies within the central 90% of the hindcast trend distribution.

437

438 **6. Evaluation**

439 **6.1. Global mean quantities**

440 We begin our assessment of the counterfactual hindcast by examining the evolution
441 of global-mean 2m temperature (T2M), SST, and ocean heat content (OHC).
442 Figure 5a shows global-mean T2M evolution in JJA relative to JJA 1993. The CTRL
443 simulation closely reproduces the observed evolution from ERA5, both in terms of long-term
444 trends (0.23 ± 0.03 K/dec and 0.23 ± 0.05 K/dec, respectively) and interannual variability.
445 The temporal correlation between detrended ensemble mean and ERA5 is $r=0.79$ ($r=0.96$
446 when trends are not removed). In particular, the exceptional warmth of 2023 is captured well,
447 likely because part of the signal was already present in the initial conditions on 1st May
448 2023.

449



450 The Double ΔCO_2 /ocean experiment exhibits a substantially larger warming trend ($0.37 \pm$
451 0.03 K/dec) while retaining nearly unchanged interannual variability. The detrended
452 correlation with ERA5 is $r=0.80$, and the correlation with CTRL is $r=0.99$. This indicates that
453 amplifying the forced signal in the ocean ICs primarily shifts global temperatures to a warmer
454 baseline without degrading forecast skill for year-to-year anomalies.

455

456 The Constant CO_2 /ocean exhibits much weaker T2m warming trends ($0.09 \pm 0.03 \text{ K/dec}$),
457 although temperatures continue to increase over the hindcast period. The detrended
458 correlation with CTRL remains high ($r=0.80$), indicating that much of the interannual
459 variability is preserved. One possible explanation for the residual warming trend is the use of
460 unmodified atmospheric and land initial conditions, which contain an anthropogenic warming
461 signal that may influence temperatures during the forecasts. However, an additional
462 experiment using climatological land initial conditions (Constant CO_2 /ocean/ClimLand)
463 produces only a slightly weaker trend ($0.08 \pm 0.03 \text{ K/dec}$; not shown). While land initial
464 conditions contribute to trends over northern extratropical land and, to a lesser extent,
465 oceanic regions (Figure A2), they cannot explain all of the remaining global warming. A small
466 contribution may also arise from the potential underestimation of the forced signal during the
467 1993-2023 period by our estimate (based on 1975-2023, see figure A1 and discussion in
468 section 4). However, the global JJA T2M trend in Constant CO_2 /ocean_ORAS6 still is $0.07 \pm$
469 0.03 K/dec , i.e. only slightly reduced compared to Constant CO_2 /ocean. Another likely
470 contributor is a remaining warming signal in the subsurface ocean ICs, see Figs 5c and d
471 discussed further below.

472 The Double ΔCO_2 experiment, in which only atmospheric CO_2 concentrations are modified,
473 produces a T2M trend of $0.26 \pm 0.03 \text{ K/dec}$, very similar to CTRL. This confirms that the
474 direct impact of enhanced radiative forcing on seasonal timescales is small and that most of
475 the response arises from the altered ocean ICs, which embody the accumulated effects of
476 past forcing changes.

477 The evolution of global-mean SSTs (Fig. 5b) closely mirrors that of T2M. ERA5 SSTs warm
478 at $0.17 \pm 0.03 \text{ K/dec}$, and CTRL exhibits the same trend. Trends increase to 0.28 ± 0.03
479 K/dec in Double ΔCO_2 /ocean, decrease to $0.05 \pm 0.03 \text{ K/dec}$ in Constant CO_2 /ocean, and
480 remain largely unchanged at $0.18 \pm 0.03 \text{ K/dec}$ in Double ΔCO_2 /ocean, indicating a
481 response broadly consistent with that found for T2M.

482

483 Both upper-ocean (0–300 m) OHC (Fig. 5c) and OHC below 300 m (Fig. 5d) exhibit a more
484 continuous increase than the surface variables. CTRL agrees well with the observationally
485 constrained ORAS6 estimate, although it slightly underestimates the recent rate of heat
486 uptake according to ORAS6 ($0.17 \pm 0.01 \times 10^8 \text{ J/m}^2/\text{dec}$). Similar to the surface variables, 0-



300m OHC trends increase substantially in Double $\Delta\text{CO}_2/\text{ocean}$ ($0.25 \pm 0.01 \times 10^8 \text{ J/m}^2/\text{dec}$) and are strongly reduced in Constant CO_2/ocean ($0.06 \pm 0.01 \times 10^8 \text{ J/m}^2/\text{dec}$). A notable feature of Constant CO_2/ocean is the pronounced increase in OHC below 300 m between approximately 2002 and 2006. This period coincides with the rapid expansion of the Argo observing network and the resulting improvement in subsurface temperature coverage. The warming therefore likely reflects, at least in part, inhomogeneities in the underlying ocean reanalysis associated with changes in the observing system. Because this signal is distinct from the long-term average OHC increase (i.e. unrelated to the increase in radiative forcing and consequently AWI), it is not removed by our methodology for estimating and subtracting the forced ocean-temperature response.

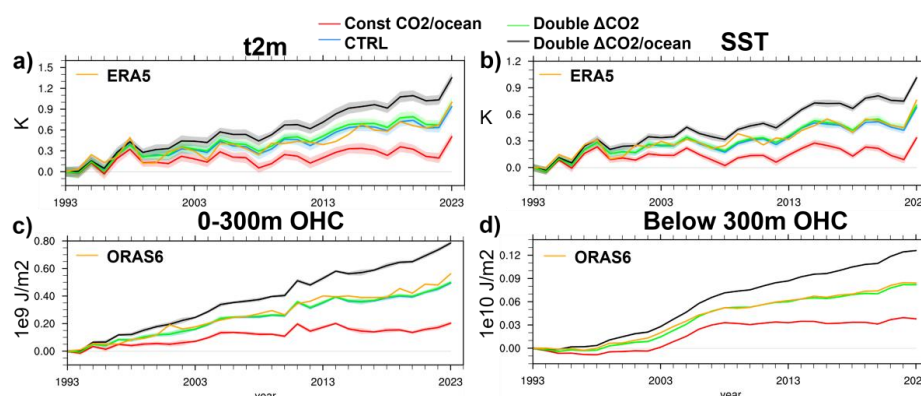


Figure 5. Global mean evolution of JJA (a) T2M, (b) SST, (c) 0-300m OHC, and (d) full-depth OHC relative to JJA 1993 from the CTRL, a selection of counterfactual hindcasts, and ERA5 (T2M, SST) and ORAS6 (OHC).

6.2. Climate mean state

Although the perturbations in our experiments grow with time, their climatic impacts can be assessed by comparing fields averaged over the final decade of the hindcasts (2014–2023), when the imposed perturbations are largest.

Figures 6a and 6b show JJA mean SST differences relative to CTRL in the Constant CO_2/ocean and Double $\Delta\text{CO}_2/\text{ocean}$ experiments, respectively. The close resemblance between these patterns and the imposed SST perturbations (Fig. 4a) demonstrates that the model largely preserves the large-scale forced signal throughout the first few months of the forecasts. Figure 6c shows the asymmetry between positive and negative forcing perturbations, defined as the differences (Double $\Delta\text{CO}_2/\text{ocean} - \text{CTRL}$) and ($\text{CTRL} -$



515 Constant CO_2/ocean). Most of the asymmetry is confined to the Arctic, where positive ice-
516 albedo feedbacks are stronger in Double $\Delta\text{CO}_2/\text{ocean}$ compared to Constant CO_2/ocean . In
517 the positive perturbation case, sea ice is completely removed in many locations,
518 substantially reducing surface albedo and amplifying warming. In contrast, the negative
519 perturbation primarily increases ice thickness without greatly altering ice extent or albedo,
520 resulting in a weaker response.

521 The mean response of 10-m zonal winds (u_{10m} ; Figs. 6d,e) is consistent with changes in
522 tropical SST gradients. In Double $\Delta\text{CO}_2/\text{ocean}$, the strengthened east–west SST gradient
523 across the tropical Pacific is accompanied by stronger easterly trade winds over the central
524 equatorial Pacific. Conversely, the weakened SST gradient in Constant CO_2/ocean is
525 associated with weaker easterlies. The asymmetry diagnostic (Fig. 6f) indicates that in the
526 equatorial Pacific the wind response to the negative forcing perturbation is stronger than the
527 response to the positive perturbation.

528

529 A similar asymmetry is evident in tropical precipitation. Over the Indo-Pacific warm pool, the
530 precipitation reduction in Constant CO_2/ocean exceeds the precipitation increase in Double
531 $\Delta\text{CO}_2/\text{ocean}$. This behavior is consistent with energetic constraints on tropical precipitation,
532 which limit the increase in rainfall that can accompany SST warming, whereas precipitation
533 reductions associated with cooling are less strongly constrained (Muller and O’Gorman
534 2011).

535

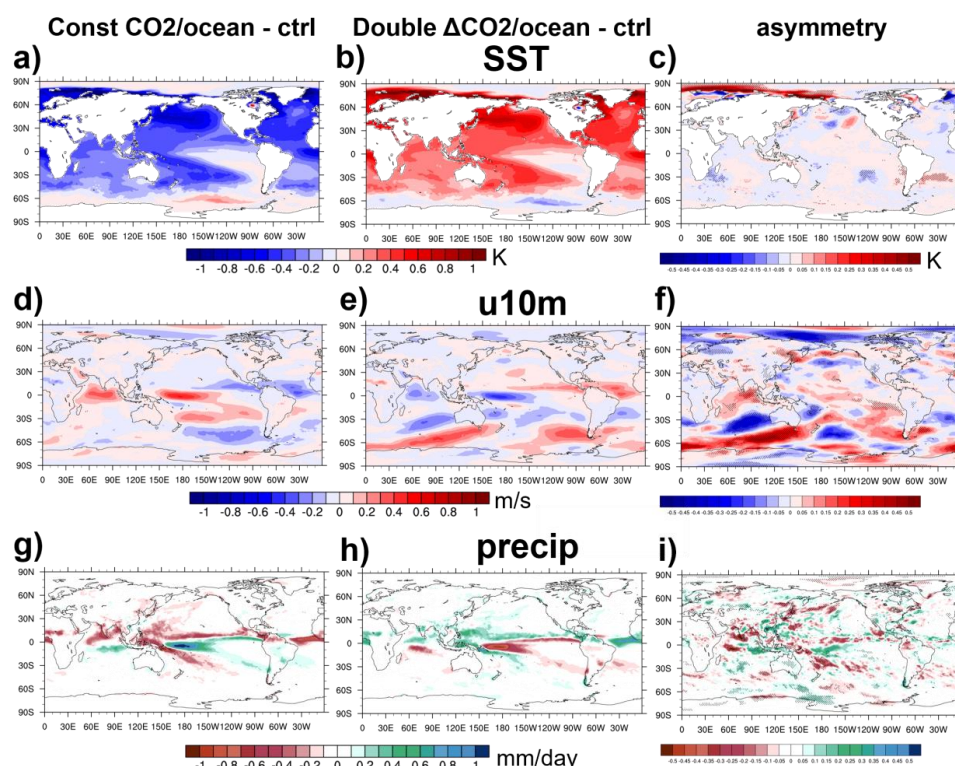


Figure 6. JJA 2014-2023 mean ensemble mean difference between (left column) Constant CO₂/ocean and CTRL, (middle column) Double ΔCO₂/ocean and CTRL, and (right column) the asymmetry [defined as the differences (Double ΔCO₂/ocean – CTRL) and (CTRL – Constant CO₂/ocean)] of the differences. The first row shows differences in SST, second row differences in u10m, and third row differences in precipitation.

6.3. SST seasonality

We next examine how forcing and IC perturbations affect the SST drift during the final decade of the hindcasts. Figure 7 summarizes changes in the seasonal SST cycle for two domains: the global ocean and the Niño 3.4 region.

Globally, the CTRL simulation exhibits too strong seasonal SST warming (by ~0.04K until August) and too weak seasonal SST cooling relative to observations, yielding a drift bias by ~0.08K from May to November (Fig. 7a). However, our primary interest is the change in model drift relative to CTRL. The experiments with perturbed ocean ICs display seasonal



554 SST evolution that is remarkably similar to CTRL (change in May to November drift bias
555 $\sim 0.01\text{K}$), indicating that the imposed ocean perturbations do not substantially alter the
556 model's mean drift characteristics (albeit starting from a different level due to the ocean
557 perturbations). In contrast, Double ΔCO_2 , in which only the atmospheric CO_2 forcing is
558 modified, exhibits a substantially stronger positive SST drift ($\sim 0.04\text{K}$ change in May to
559 November drift bias compared to CTRL). This suggests that the combined perturbation of
560 forcing and ocean initial conditions yields a more balanced model response than modifying
561 the radiative forcing alone.

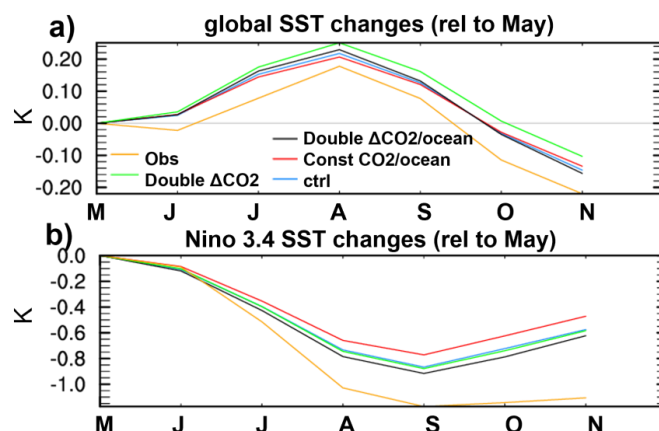
562

563 The drift changes exhibit substantial spatial structure that is physically consistent with the
564 imposed perturbations and is discussed in detail in Figure A3 and associated text. Here, we
565 focus on the Niño-3.4 region, where atmosphere–ocean coupling is particularly strong (Fig.
566 7b).

567

568 In the Niño-3.4 region, CTRL underestimates the observed seasonal cooling. Nevertheless,
569 the experiments with adjusted ocean initial conditions show only minor deviations from CTRL
570 throughout the forecast period, including at the longest lead times. This indicates that the IC
571 perturbations do not introduce substantial mean-state biases or drift changes in the tropical
572 Pacific, despite the strong local atmosphere–ocean coupling. The persistence of similar drift
573 characteristics across the experiments provides additional evidence that the imposed ocean
574 perturbations are dynamically consistent with the model climatology.

575



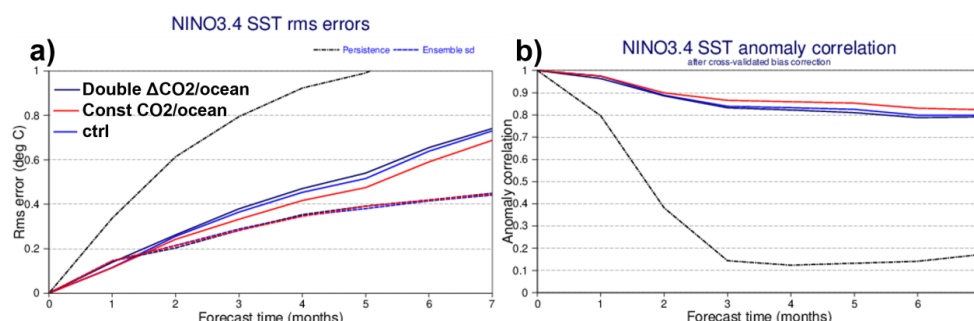
576

577 **Fig. 7. Area-averaged monthly SST changes relative to May (2014-2023): (a) global**
578 **mean, (b) Niño 3.4 region.**

579



580 Fig. 8 shows that the counterfactual forecasts exhibit comparable predictive skill for SST
581 anomalies in the Nino 3.4 region to the control, both in terms of RMSE and correlation skill.
582 The slightly reduced RMSE of Constant CO₂/ocean compared to the CTRL arises from the
583 fact that the CTRL exhibits a spurious warming trend in the Nino 3.4 region similar to the
584 operational SEAS5 (Mayer et al. 2025), which is ameliorated in Constant CO₂/ocean (see
585 also section 6.5 below). The good ENSO skill in the counterfactual hindcasts indicates that
586 the ocean perturbations do not have detrimental effects on zonal gradients in the tropical
587 Pacific. Thus, the counterfactual hindcasts are very well-suited to investigate the evolution of
588 specific ENSO events under different climate conditions which will be the focus of future
589 work.
590



591
592 **Fig. 8. Prediction skill of Nino 3.4: (a) bias-corrected RMSE and (b) anomaly**
593 **correlation skill.**

6.4. Top-of-atmosphere fluxes

597 We next investigate how the forcing and IC perturbations affect top-of-atmosphere (TOA)
598 radiative fluxes. Figure 9 shows the evolution of global-mean JJA net TOA flux, absorbed
599 shortwave radiation (ASR), and outgoing longwave radiation (defined positive downward and
600 therefore denoted -OLR) for the CTRL and the main sensitivity experiments from 2001
601 onward, when satellite observations become available. Fig. 10 and Table A1 complement
602 Fig. 9 by summarizing the corresponding global-mean TOA flux trends.

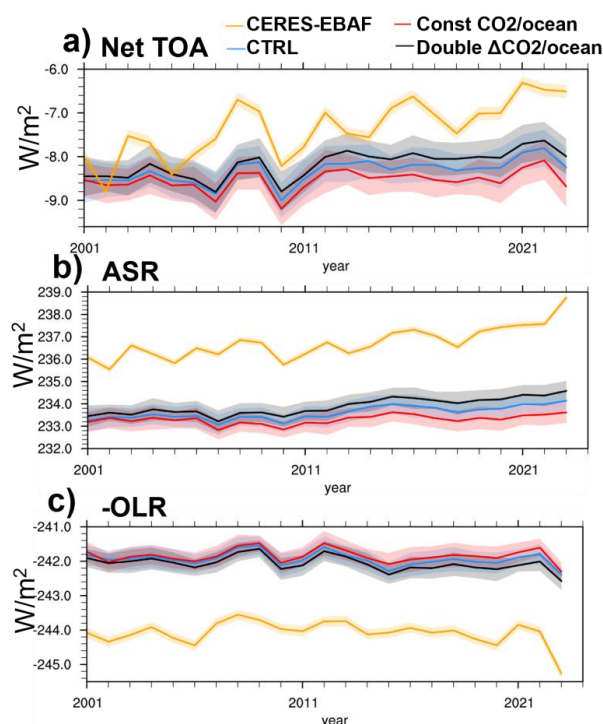
603
604 The CTRL hindcast exhibits a small negative net TOA flux bias in the early 2000s that
605 increases with time, reaching approximately 1 W/m² in recent years (Fig. 9a). This growing
606 bias arises because the simulated positive net TOA trend is substantially weaker than
607 observed (0.25 ± 0.21 W/m²/dec versus 0.73 ± 0.23 W/m²/dec; Fig. 10 and Table A1).



608 Consistent with Loeb et al. (2024), changes in ASR are the dominant contributor to the
609 observed TOA trend.

610 The CTRL simulation exhibits a negative ASR bias, indicating insufficient absorption of
611 incoming shortwave radiation (Fig. 9b). This bias is widespread across the oceans, except in
612 major upwelling regions and western boundary current systems (Figure A4). In addition, the
613 CTRL underestimates the observed ASR trend ($0.35 \pm 0.24 \text{ W/m}^2/\text{dec}$ compared with $0.86 \pm$
614 $0.23 \text{ W/m}^2/\text{dec}$ in observations). In return, the CTRL simulation has a positive -OLR bias,
615 implying insufficient outgoing longwave radiation, and also an underestimation of the
616 magnitude of the observed negative -OLR trend (Fig. 9c). The positive -OLR bias is
617 particularly pronounced in the Intertropical Convergence Zone (ITCZ), where it suggests
618 cloud tops that are too cold or too high, but is also evident in the extratropics (Figure A4).
619 Importantly, these TOA flux biases exhibit only weak lead-time dependence (Figure A5).
620

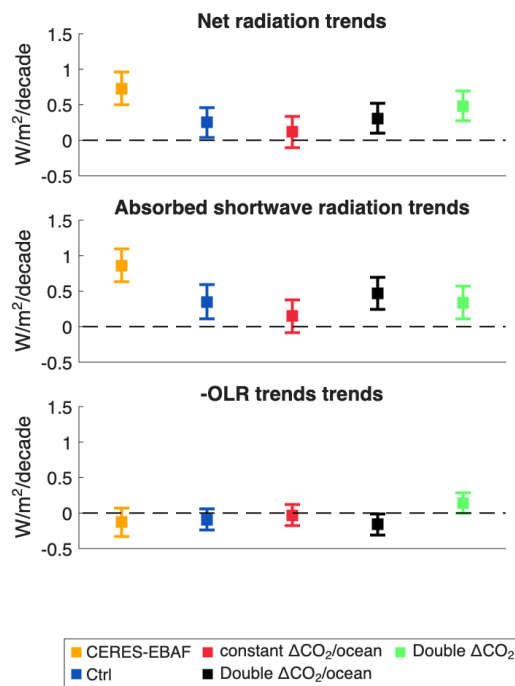
621 The counterfactual hindcasts produce markedly different TOA trends. Relative to CTRL,
622 Double $\Delta\text{CO}_2/\text{ocean}$ increases the positive net TOA trend by approximately 40%, whereas
623 Constant CO_2/ocean reduces it by a similar amount (Fig. 10 and Table A1). These changes
624 are primarily driven by corresponding increases and decreases in ASR trends.
625



626



627 **Fig. 9. Global mean top-of-atmosphere radiation evolution in main experiments for**
628 **JJA 2001-2023: (a) net radiation, (b) ASR, (c) -OLR. Trends for other experiments are**
629 **provided in Table A1.**
630
631



632
633 **Fig. 10. Global mean top-of-atmosphere radiative trends JJA 2001-2023**
634 **[W/m2/decade] in observations and hindcast datasets. Hindcast trend central values**
635 **are ensemble mean trends, and the 90% confidence intervals (indicated by the**
636 **whiskers) are obtained from the bootstrapped trend distributions. Trends for other**
637 **experiments are presented in Table A1.**
638
639

640 In the experiments that combine modified CO₂ forcing with adjusted ocean ICs, the impact
641 on global OLR trends is comparatively small. In contrast, the Double ΔCO₂ experiment,
642 which modifies atmospheric CO₂ concentrations alone, exhibits a substantial increase in
643 -OLR (i.e., reduced outgoing longwave radiation). In this case, the enhanced greenhouse
644 effect dominates the radiative response, increasing net TOA flux primarily through longwave
645 trapping. Consequently, Double ΔCO₂ achieves a more realistic net TOA trend for the wrong



646 physical reason, highlighting the importance of adjusting ocean initial conditions alongside
647 the radiative forcing.
648

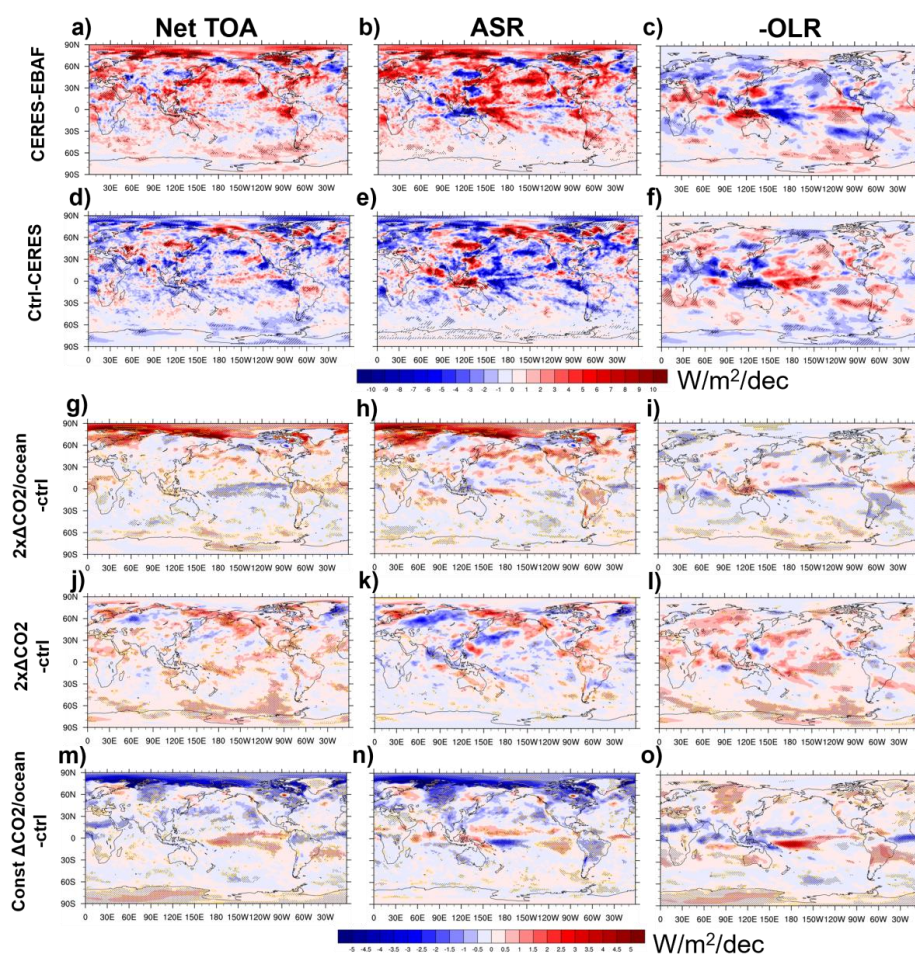
649 To better understand these changes, Figure 11 shows maps of JJA TOA flux trends in
650 observations, CTRL, and selected counterfactual experiments. Observed net TOA trends are
651 predominantly positive over the Northern Hemisphere, with ASR trends accounting for most
652 of the spatial structure (Loeb et al. 2024). The CTRL hindcast reproduces the broad pattern
653 but systematically underestimates positive ASR and net TOA trends in key regions. Across
654 much of the Pacific and high northern latitudes, the observed trends lie outside the ensemble
655 trend distribution, indicating statistically significant discrepancies (see Section 5 for the
656 approach to generate the trend distribution).
657

658 The observed –OLR trends indicate enhancement of deep convection over the Maritime
659 Continent and reduced convection over the central equatorial Pacific (Fig. 11c), consistent
660 with the observed strengthening of the Pacific Walker cell. In contrast, the CTRL hindcast
661 exhibits the opposite tendency (Fig. 11f), implying a spurious weakening of the Walker
662 circulation. This behaviour is consistent with previous findings for SEAS5 (Mayer et al.,
663 2025).
664

665 Double $\Delta\text{CO}_2/\text{ocean}$ substantially improves ASR and net TOA trends in several key regions
666 (Figs. 11g–i), including the Pacific ITCZ and Maritime Continent, the northern extratropical
667 Pacific, and the Arctic. In the tropical Pacific, these improvements are associated with more
668 realistic trends in zonal winds and precipitation (Section 6.5). In the North Pacific, the
669 improvements likely reflect interactions between cloud biases and SST trend patterns.
670 Previous studies have shown that the IFS model underestimates low clouds and
671 consequently overestimates ASR in this region (Forbes et al. 2016; Li et al. 2021; see also
672 Figure A4). The low baseline cloud amount in the CTRL hindcasts may reduce cloud
673 sensitivity to surface warming, causing CTRL to underestimate observed ASR trends. The
674 enhanced warming imposed in Double $\Delta\text{CO}_2/\text{ocean}$ (Fig. 4a) likely enhances the cloud
675 response and thereby improves the ASR trend, although the underlying cloud bias limits the
676 magnitude of the improvement. Consistent with this interpretation, Figure A6 shows only
677 modest improvements in the shortwave and longwave components in the North Pacific.
678 Improvements in the Arctic are likely linked to the imposed sea-ice perturbations. By
679 enhancing sea-ice loss relative to CTRL, Double $\Delta\text{CO}_2/\text{ocean}$ strengthens the ice–albedo
680 feedback and thereby increases positive ASR trends. This is consistent with the known



681 tendency of SEAS5 and related systems to underestimate long-term Arctic sea-ice decline
 682 (e.g., Batté et al. 2020).
 683
 684



685
 686 **Fig. 11. (left) Top-of-atmosphere net, (middle) absorbed shortwave, and (right) (-1*)**
 687 **outgoing longwave radiation trends for JJA 2001-2023: a)-c) show observed trends**
 688 **based on CERES-EBAF, d)-f) show trend errors of the CTRL hindcast, and the other**
 689 **rows show the trend differences between different experiments and the CTRL. In the**
 690 **first row, stippling indicates statistically significant (first row) trends. In the second**
 691 **row, hatching indicates where observed trends lie outside the central 90% of the**
 692 **bootstrapped trend distribution of the CTRL hindcast. From the third row onward,**
 693 **stippling indicates significant trend differences (90% confidence level). The orange**



694 **isolines indicate where trend differences mean an improvement of trends compared**
695 **to the CTRL hindcast.**

696

697 The Double ΔCO_2 experiment (Figs. 11j–l) isolates the impact of enhanced atmospheric CO_2
698 forcing alone. In this case, ASR trend changes are much smaller than in Double
699 $\Delta\text{CO}_2/\text{ocean}$ (Fig. 11h and Fig. 10b), while changes in $-\text{OLR}$ dominate the net TOA
700 response. The experiment therefore largely reflects the nearly instantaneous radiative effect
701 of increased CO_2 concentrations in the absence of the Planck feedback.

702

703 The TOA trend changes in Constant CO_2/ocean (Figs. 11m–o) are broadly the mirror image
704 of those in Double $\Delta\text{CO}_2/\text{ocean}$. Degraded ASR and net TOA trends occur over the tropical
705 Pacific, North Pacific and the Arctic, resulting in global-mean trend changes that closely
706 oppose those of Double $\Delta\text{CO}_2/\text{ocean}$ (Fig. 10 and Table A1).

707

708 Finally, Table A1 also summarizes the effects of aerosol perturbations. Double ΔAER
709 exhibits slightly enhanced global-mean ASR trends, driven by increased ASR trends over
710 the oceans but reduced trends over land (Figure A7). The latter appears to result from
711 unrealistically strong semi-direct aerosol effects in IFS (Tim Stockdale, personal
712 communication). Changes in $-\text{OLR}$ partially offset the ASR response, leaving only a modest
713 net TOA trend impact in Double ΔAER . Consistent with this behaviour, Double
714 $\Delta\text{CO}_2/\text{ocean}/\text{AER}$ produces TOA trends that are very similar to those of Double
715 $\Delta\text{CO}_2/\text{ocean}$, both globally (Table A1) and regionally (Figure A7).

716

717

718 **6.5. Trends in the tropics**

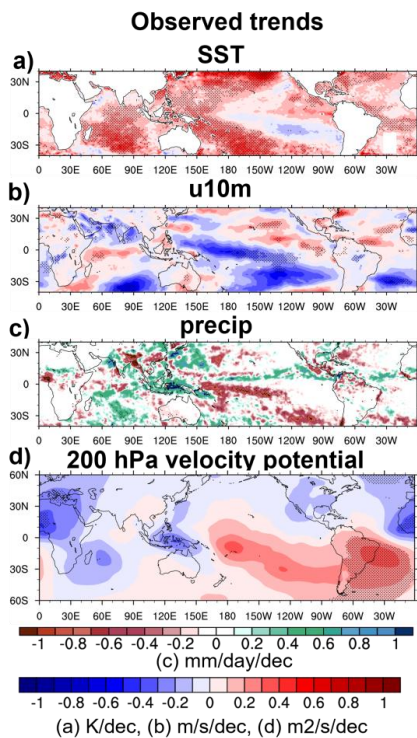
719 This section assesses the sensitivity of simulated tropical Pacific trends to the imposed
720 forcing and IC perturbations.

721

722 Observed SST trends (Fig. 12a) exhibit the well-documented strengthening of the equatorial
723 Pacific zonal SST gradient, accompanied by strengthening easterly trade winds in the
724 central equatorial Pacific (Fig. 12b) and increasing precipitation over the Indo-Pacific warm
725 pool (Fig. 12c). The trend toward a more La Niña-like mean state is also reflected in a
726 northward displacement of the Pacific ITCZ. Trends in 200-hPa velocity potential show
727 increasing values over much of the central Pacific extending to the Southern subtropical
728 Atlantic (the western hemisphere) and decreasing values extending from western Africa to
729 the Indo-Pacific Warm Pool (the eastern hemisphere). The resulting enhancement of the



730 upper tropospheric zonal velocity-potential gradient is consistent with a strengthening Walker
731 circulation.
732



733
734 **Fig. 12. Observed JJA 1993-2023 trends of (a) SST (ERA5), (b) u10m (ERA5), (c)**
735 **precipitation (GPCP), and (d) 200hPa velocity potential (ERA5). Stippling indicates**
736 **statistically significant trends (90% confidence level).**

737
738 The CTRL hindcast reproduces many aspects of the observed SST trend pattern (compare
739 Figs. 12a and 13b) but fails to capture the observed behaviour in the equatorial Pacific,
740 where it exhibits spurious warming trends (see also Fig. 14b). This deficiency was already
741 identified in the operational SEAS5 system (Mayer et al., 2025) and remains largely
742 unchanged despite the updated model version and ocean ICs used here. As in SEAS5, the
743 SST trend errors are accompanied by severe underestimation of the strengthening easterly
744 wind trends in the central equatorial Pacific and precipitation trends over the Indo-Pacific
745 warm pool (Figs. 13 and 14). Consequently, the hindcasts fail to reproduce the observed
746 strengthening of the Walker circulation. The 200-hPa velocity-potential trend pattern shows
747 little agreement with observations, with a pattern correlation of only 0.06 and approximately



748 32% of tropical grid points exhibiting observed trends outside the hindcast trend distribution
749 (Figs. 13k and 14k).
750
751 Fig. 15 provides further insight into the evolution of these errors with lead time. Owing to the
752 annual re-initialization, the zonal SST-gradient trend in May is well represented in CTRL. In
753 contrast, the associated zonal wind and warm-pool precipitation trends are already
754 substantially underestimated during the first forecast month. As the forecast evolves, the
755 weakened atmospheric response erodes the SST-gradient trend, leading to a spurious Niño-
756 3.4 warming trend and a weakened zonal SST gradient by JJA.
757
758 The Double ΔCO_2 /ocean experiment (right columns in Figs. 13 and 14) sheds light on the
759 role of the forced ocean signal. Because the imposed perturbation amplifies the large-scale
760 SST warming pattern, SST trends generally increase throughout the tropics. More
761 importantly, however, the zonal SST-gradient trend contained in the ocean ICs is also
762 substantially enhanced (roughly doubled). This stronger gradient is largely retained during
763 the first forecast month, increasing the May SST-gradient trend from 0.09 ± 0.22 K/dec in
764 CTRL to 0.18 ± 0.22 K/dec in Double ΔCO_2 /ocean (Fig. 15 and table A2), compared with an
765 observed trend of 0.10 ± 0.20 K/dec.
766
767 The stronger SST gradient is accompanied by a stronger easterly wind trend. In May, the
768 Niño-3.4 zonal wind trend approximately doubles, from -0.06 ± 0.18 m/s/dec in CTRL to
769 -0.12 ± 0.18 m/s/dec in Double ΔCO_2 /ocean (Fig. 15 and table A2). Nevertheless, the
770 simulated trend remains substantially weaker than the observed value of -0.26 ± 0.20
771 m/s/dec. By JJA, easterly wind trends are enhanced relative to CTRL (Figs. 13e,f), but the
772 equatorial Pacific trend distribution remains inconsistent with observations (Fig. 14f), and the
773 Niño-3.4 wind-trend error is reduced by only about 14% (table A2).
774
775 The limited atmospheric response results in a rapid erosion of the enhanced SST-gradient
776 trend during the forecast. By JJA, the gradient trend decreases to 0.02 ± 0.29 K/dec in
777 Double ΔCO_2 /ocean, substantially below the observed value of 0.08 ± 0.32 K/dec (Fig. 15),
778 even though the imposed ocean perturbation contains an approximately doubled gradient
779 trend.
780
781 The impact on Niño-3.4 SST trends reflects the competition between two effects. On the one
782 hand, the stronger zonal SST gradient enhances easterly winds and acts to suppress
783 warming in the eastern Pacific. On the other hand, the imposed warming perturbation



784 directly increases SST trends throughout the basin. The latter effect dominates, leading to a
785 33% increase in the spurious Niño-3.4 warming trend in Double $\Delta\text{CO}_2/\text{ocean}$ relative to
786 CTRL (table A2).

787

788 Warm-pool precipitation trends in JJA are also enhanced in Double $\Delta\text{CO}_2/\text{ocean}$ and are
789 improved by approximately 35% relative to CTRL (table A2), although they remain weaker
790 than observed (Fig. 15 and Table A2). Despite the only modest improvements in
791 precipitation and surface wind trends, the large-scale upper-tropospheric tropical circulation
792 response is substantially improved. The 200-hPa velocity-potential trend pattern develops
793 positive trends over the subtropical Pacific and South America and negative trends over
794 western Africa, both in better agreement with observations (compare Figs. 12d and 13l). As
795 a result, the pattern correlation increases from 0.06 in CTRL to 0.52, while the fraction of
796 tropical grid points with observationally inconsistent trends decreases from 32% to 18% (Fig.
797 14l).

798

799 Trend changes in Constant CO_2/ocean (left columns of Figs. 13 and 14) are broadly
800 opposite to those in Double $\Delta\text{CO}_2/\text{ocean}$ and are therefore not discussed in detail. Two
801 aspects are nevertheless noteworthy. First, substantial trends remain despite the removal of
802 the estimated forced signal from the ocean ICs. Trends in Constant $\text{CO}_2/\text{ocean_ORAS6}$ are
803 only slightly reduced compared to Constant CO_2/ocean (see Table A2), indicating that the
804 remaining trends are robust to the period and data set to estimate the forced signal in the
805 ocean. This suggests that trends associated with natural variability, changes in the observing
806 system, or residual signals in other components of the ICs continue to contribute to trends in
807 the hindcasts with constant forcing and the forced pattern removed from the ICs (see also
808 Section 6.1). Second, the responses of zonal wind and SST-gradient trends are larger in
809 magnitude than those in Double $\Delta\text{CO}_2/\text{ocean}$, whereas precipitation responses are
810 comparatively weaker.

811

812 Finally, tropical Pacific trends in Double ΔCO_2 , in which only atmospheric CO_2
813 concentrations are modified, are nearly indistinguishable from those in CTRL. This indicates
814 that direct radiative effects of increased CO_2 have little influence on tropical Pacific
815 circulation trends on seasonal forecast timescales. Instead, the response is controlled
816 primarily by the ocean ICs, which carry the accumulated effects of past radiative forcing.

817

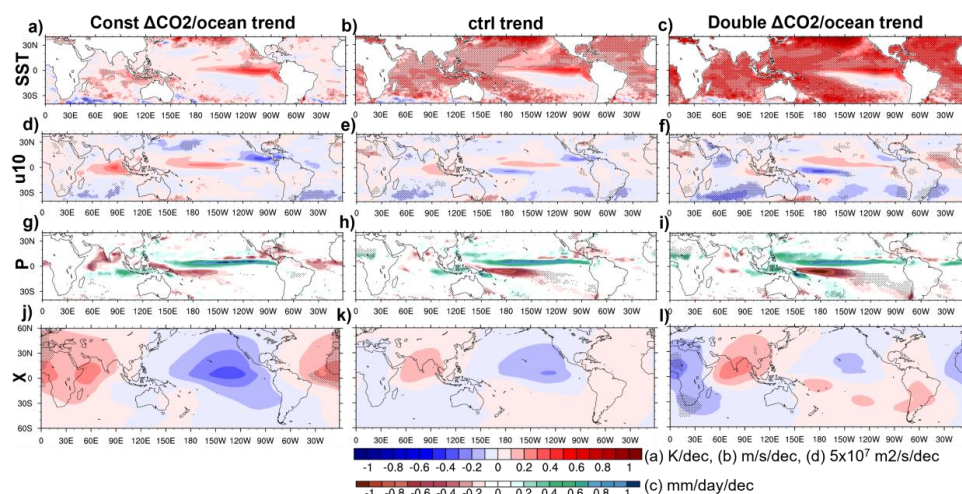


Fig. 13. Ensemble mean hindcast trends for JJA 1993-2023 of (first row) SST, (second row) u10m, (third row) precipitation, and (fourth row) 200hPa velocity potential, based on (left column) Constant CO₂/ocean, (middle column) CTRL, and (right column) Double Δ CO₂/ocean. Stippling indicates significant trends on the 90% confidence level.

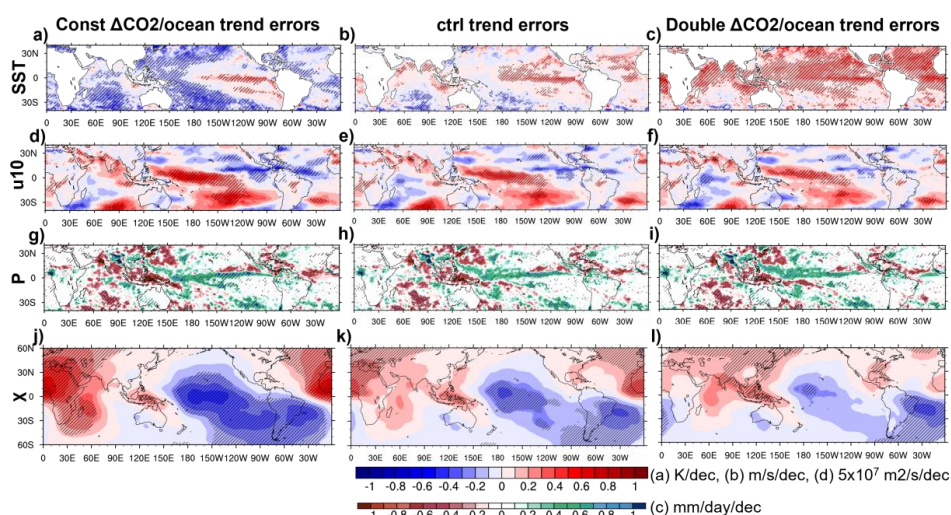
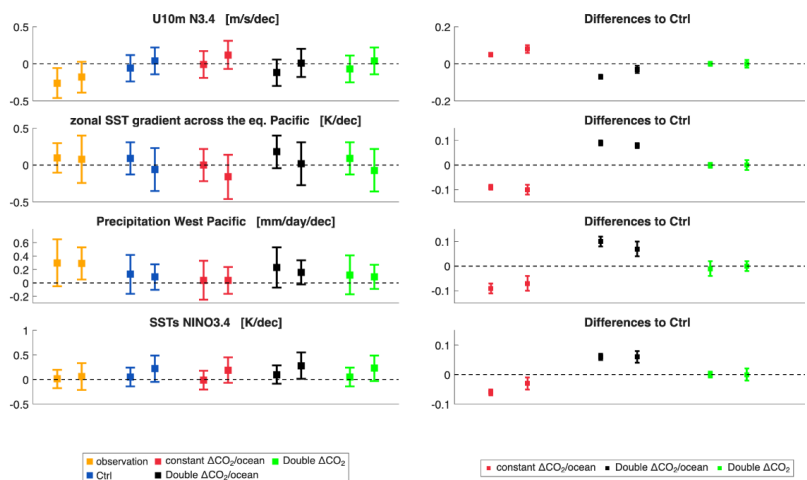


Fig. 14. As Fig. 13, but for trend errors. Reference is ERA5 except for GPCP which serves as reference for precipitation. Hatching indicates where observed trends lie outside the inner 90% of the bootstrapped trend distribution of the hindcast.



831
832



833

834 **Fig. 15. Trends in tropical Pacific key climate metrics for May (the left of each pair of**
835 **values) and JJA (the right of each pair of values) 1993-2023. The metrics are 10-metre**
836 **zonal wind (u10m) in Nino 3.4, zonal SST gradient (defined as difference of SSTs in**
837 **5S-5N, 110-180E and 5S-5N, 180-80W), precipitation in Western Pacific Warm pool**
838 **(20S-20N, 120-150E), and SST in Nino 3.4. Panels on the left show ensemble mean**
839 **trends and their uncertainties (whiskers indicate 90% confidence intervals estimated**
840 **using a bootstrapping approach), and panels on the right show the trend differences**
841 **with the CTRL. Trends for other experiments and more statistics are shown in Table**
842 **A2.**

843

844

845 7. Summary and conclusions

846

847 This paper presents the experimental design and initial evaluation of a set of counterfactual
848 seasonal hindcasts using the ECMWF coupled forecasting system. While perturbations to
849 atmospheric CO₂ and aerosol forcing are straightforward to implement, the development of
850 physically consistent ocean and sea-ice initial-condition (IC) perturbations require additional
851 methodological considerations and testing. Because the hindcasts are initialized from the
852 observed climate state, we chose to derive the IC perturbations from observational estimates
853 of the forced climate response. This approach avoids introducing model-specific biases that
854 may be present in free-running climate simulations such as those from CMIP. In constructing
855 the forced signal estimate, a compromise was required between maximizing the length of the



856 observational record and maintaining data quality. We therefore based the ocean-
857 temperature forced pattern on the 1975–2023 observational record.

858

859 The resulting counterfactual hindcasts exhibit the expected response to altered forcing.
860 Simulations with amplified forcing show enhanced long-term warming trends, while
861 simulations in which forcing is held constant exhibit substantially reduced trends. At the
862 same time, interannual variability and seasonal prediction skill are largely preserved, for
863 example for ENSO. The counterfactual seasonal hindcasts experiments therefore achieve
864 their primary objective: simulating the evolution of seasonal climate anomalies from
865 observationally constrained initial states under alternative background climate conditions.
866 This is a clear advantage over free-running model simulations for which it is impossible to
867 compare specific events under different climates.

868

869 A key requirement for such experiments is that the imposed perturbations do not generate
870 artificial model adjustments that obscure the physical response of interest. We find that this
871 requirement is largely satisfied. Changes in model drift are generally small relative to the
872 baseline drift of the CTRL simulation, indicating that the imposed ocean and sea-ice
873 perturbations are dynamically consistent with the model climatology. In particular, SST drift
874 changes are much smaller in Double ΔCO_2 /ocean than in Double ΔCO_2 , where only the
875 atmospheric forcing is modified. This demonstrates that jointly perturbing radiative forcing
876 and ocean ICs produces a substantially better-balanced response than modifying
877 atmospheric forcing alone. Consequently, the counterfactual hindcasts are not dominated by
878 spurious drift and provide a suitable framework for further scientific investigation.

879

880 Several aspects of the simulated decadal climate trends are improved in Double
881 ΔCO_2 /ocean relative to CTRL. Most notably, global net TOA radiation trends become more
882 realistic because absorbed shortwave radiation (ASR) trends strengthen in regions where
883 they are underestimated in the control simulation. The improvement arises through
884 enhanced cloud and circulation responses rather than through direct radiative trapping by
885 CO_2 alone, suggesting that the model's radiative response to observed climate change is too
886 weak in the baseline configuration.

887

888 Trends in the tropical Pacific response also improve with amplified forcing. Double
889 ΔCO_2 /ocean produces stronger easterly wind trends in the central equatorial Pacific,
890 enhanced precipitation trends over the Indo-Pacific warm pool, and substantially more
891 realistic upper-tropospheric velocity-potential trends indicative of a strengthening Walker cell.



892 These improvements are associated with the strengthened zonal SST-gradient trend
893 imposed through the ocean ICs.

894

895 At the same time, the experiments reveal an important limitation of the forecasting system.
896 The primary issue is the non-persistence of the imposed SST-gradient trend itself. Indeed,
897 the enhanced zonal SST-gradient trend introduced through the ocean ICs is largely retained
898 during the first forecast month and produces a corresponding strengthening of the
899 atmospheric response. However, this response remains much weaker than observed. As a
900 result, the coupled atmosphere–ocean system is unable to maintain the enhanced SST
901 gradient through Bjerknes feedbacks, leading to a gradual erosion of the imposed gradient
902 during the forecast. By JJA, the simulated zonal SST-gradient trend has weakened
903 substantially and remains below the observed trend despite being initialized with a
904 considerably stronger gradient trend signal.

905

906 This behaviour points to a more fundamental deficiency in the model representation of
907 tropical Pacific coupling. The atmosphere responds too weakly to changes in the zonal SST
908 gradient, producing insufficient strengthening of equatorial easterlies, western Pacific
909 convection, and the Walker circulation. The resulting weak atmospheric feedback limits the
910 persistence of the SST-gradient anomaly and contributes to the continued spurious warming
911 trend in the Niño-3.4 region. This interpretation is consistent with Mayer et al. (2025), who
912 found that equatorial Pacific wind trends remain strongly underestimated even in
913 atmosphere-only simulations forced with observed SSTs. Together, these results suggest
914 that biases in atmospheric sensitivity to tropical Pacific SST gradients, rather than
915 deficiencies in the representation of trends in the ocean initial conditions, are a key factor
916 limiting the ability of current seasonal forecasting systems to reproduce observed tropical
917 Pacific climate trends.

918

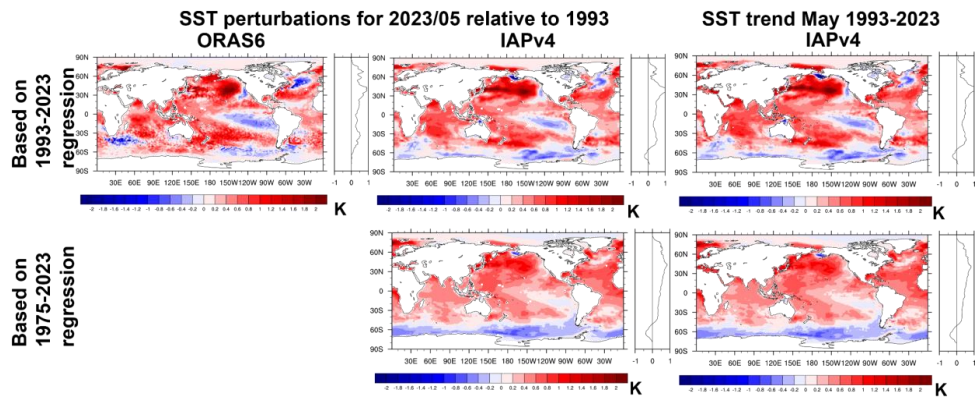
919 Future work will use these counterfactual hindcasts to investigate the extent to which the
920 changed tropical Pacific and radiative trends influence regional climate trends and climate
921 extremes outside the tropics. More broadly, the experiments demonstrate that counterfactual
922 seasonal hindcasts provide a practical framework for separating the effects of changing
923 background climate conditions from internal variability while remaining closely constrained
924 by observations.

925



926 **Appendix**

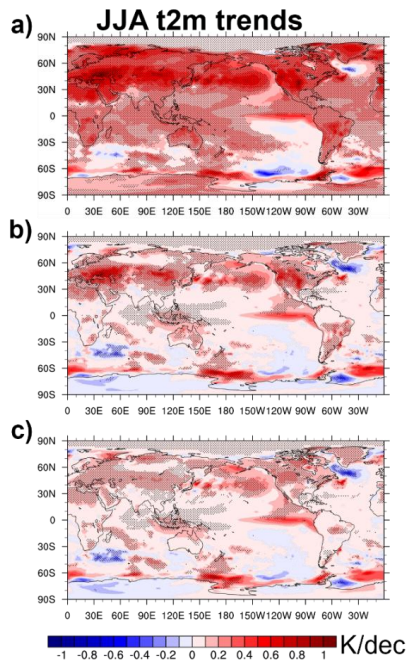
927



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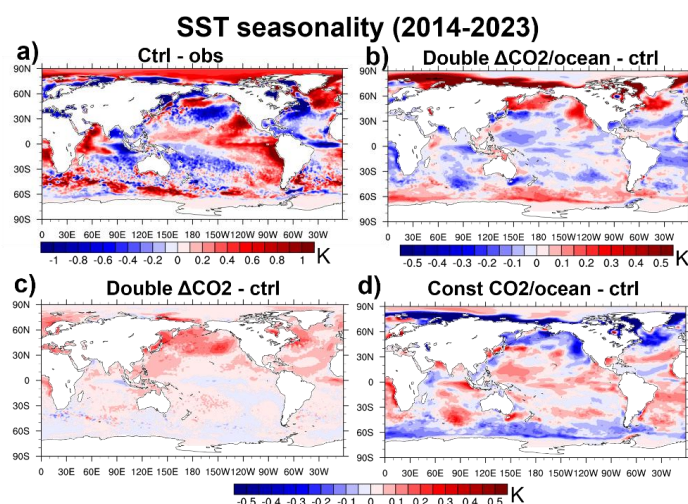
929 **Figure A1. Comparison of SST forced pattern estimates based on 1993-2023 (top left)**
930 **ORAS6 and (top middle) IAP data to (top right) 1993-2023 SST trend based on IAP**
931 **data. The second row compares (bottom middle) the forced SST pattern based on IAP**
932 **1975-2023 data to the (bottom right) 1975-2023 SST trend based on IAP data.**

933



934

935 **Figure A2. T2M trends for JJA 1993-2023 in (a) CTRL, (b) Constant CO2/ocean, and (c)**
936 **Constant CO2/ocean/ClimLand. Stippling indicates trends at 90% confidence level.**



937

938 **Figure A3. (a) seasonal SST drift (May to August) in CTRL relative to observations**

939 **(2014-2023); change in SST drift in (b) Double $\Delta\text{CO}_2/\text{ocean}$, and (c) Double ΔCO_2 , and**

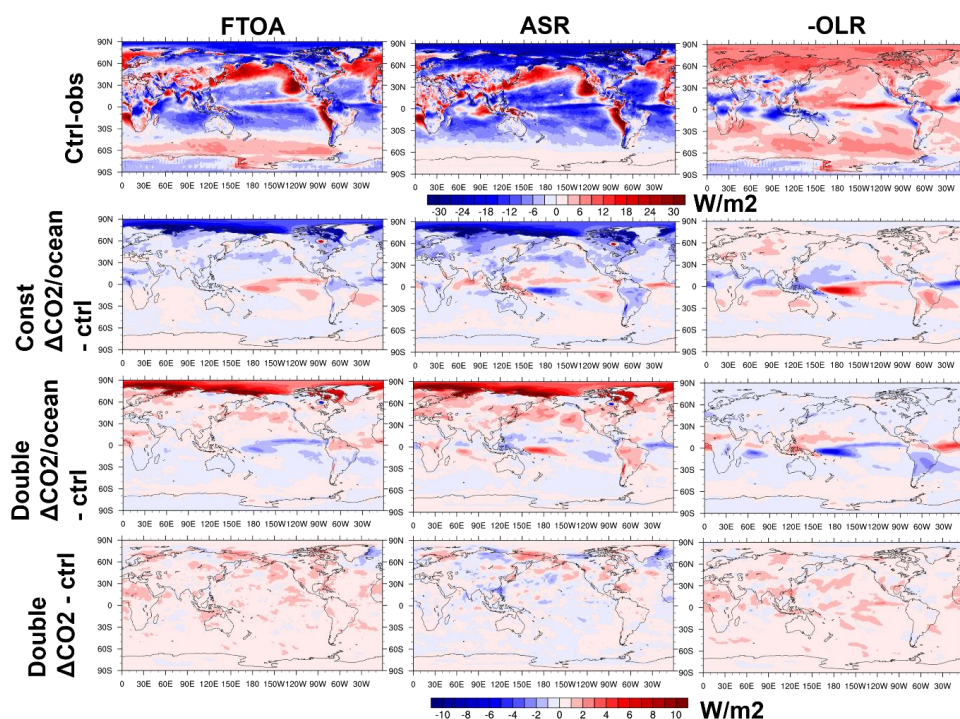
940 **(d) Constant CO_2/ocean compared to CTRL.**

941

942 Figure A3a shows that the CTRL hindcast exhibits pronounced seasonal SST drift with a rich
 943 spatial structure. The baseline drift is not further explored here as we are mainly interested in
 944 the sensitivity of the model to the applied perturbations. The change in SST drift is
 945 considerably smaller than the baseline drift of the CTRL, and it appears mirrored between
 946 Double $\Delta\text{CO}_2/\text{ocean}$ (Fig. A3b) and Constant CO_2/ocean (Fig. A3d). In Double
 947 $\Delta\text{CO}_2/\text{ocean}$, we find a seasonal SST decrease relative to CTRL away from the polar
 948 regions. The likely cause is that the ocean loses heat to the atmosphere (note that
 949 atmospheric ICs remain unadjusted in our experiments and hence are cool compared to the
 950 perturbed ocean) during the first few weeks of the forecast. Indeed, the cooling pattern
 951 between roughly 40S and 40N is most pronounced during the first month of the forecast (not
 952 shown). Double $\Delta\text{CO}_2/\text{ocean}$ exhibits strong relative warming of SSTs in the Arctic. This is
 953 plausible given that the Double $\Delta\text{CO}_2/\text{ocean}$ ICs have negative Arctic sea ice perturbations
 954 which act to increase heat absorption during summer. Part of the increase of the seasonal
 955 cycle in the northern high latitude may also stem from the increased radiative forcing, the
 956 effect of which is strongest in the summer hemisphere where mixed layer depths are small,
 957 e.g. in the northern extratropical Pacific (see Fig. A3c). It is less obvious why Double
 958 $\Delta\text{CO}_2/\text{ocean}$ exhibits seasonal warming relative to CTRL (i.e., weaker seasonal cooling
 959 given this is austral winter) in the Southern Ocean. Note the forced SST (sea ice) signal in
 960 the ICs is negative (positive) in that region (see Fig. 4a). We speculate that the negative SST
 961 perturbations may be seasonally damped by meridional atmospheric transports and changes



962 to air-sea fluxes, effectively transferring the warm tropical SST perturbations to the Southern
963 Ocean. There may also be a role for the changes in the 10m winds (see Fig. 6e). However,
964 given that the wind changes are rather non-symmetric between Double ΔCO_2 /ocean and
965 Constant CO_2 /ocean (Fig. 6f) but SST changes are quite symmetric in the Southern Ocean
966 contradicts a strong role for direct wind forcing of the seasonal SST changes in that region.
967
968
969
970



971
972 **Figure A4. Top-of-atmosphere (left) net, (middle) absorbed shortwave, and (right) (-1*)**
973 **outgoing longwave radiation biases for JJA 2001-2023: first row shows bias of the**
974 **CTRL simulation (CERES-EBAF used as reference), and the subsequent rows show**
975 **the change in bias in a selection of experiments relative to CTRL.**

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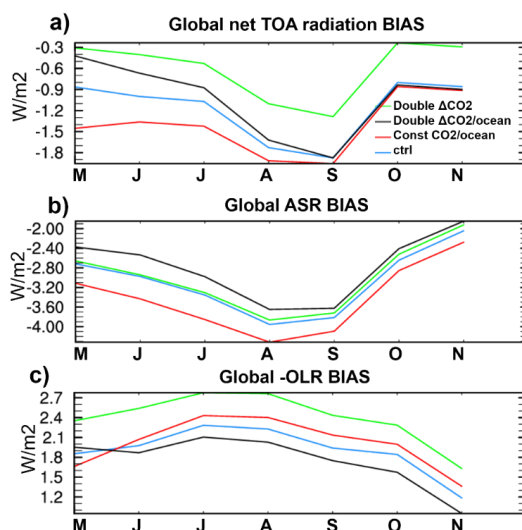


Figure A5. Global mean top-of-atmosphere radiation biases for JJA 2004-2023: (a) net radiation, (b) ASR, (c) -OLR. CERES-EBAF is used as reference.

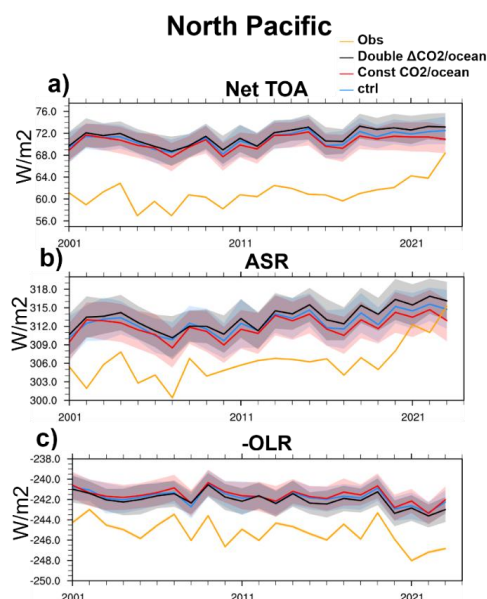
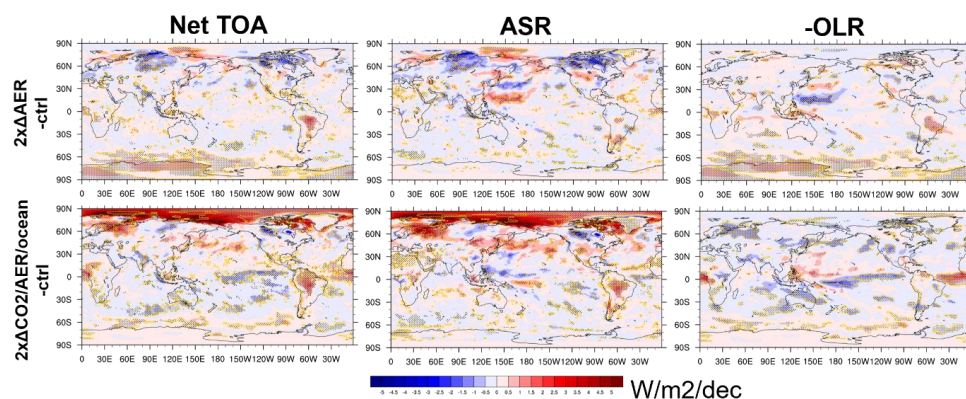


Figure A6. North Pacific (30-55N, 140E-120W) average top-of-atmosphere radiation evolution for JJA 2001-2023: (a) net radiation, (b) ASR, (c) -OLR. CERES-EBAF is used as reference.



991

992 **Figure A7. As Fig. 11 in the main text, but for trend changes of (top row) Double ΔAER**

993 **and (bottom row) Double $\Delta CO_2/ocean/AER$ relative to the CTRL hindcast.**

994

995



	Top-of-atmosphere radiation Trends JJA 2001-2023 [W/m ² /decade]		
	Net	ASR	-OLR
CERES-EBAF	0.73 ± 0.23	0.86 ± 0.23	-0.13 ± 0.20
CTRL	0.25 ± 0.21	0.35 ± 0.24	-0.09 ± 0.15
Double ΔCO ₂ /ocean	0.31 ± 0.21	0.47 ± 0.23	-0.16 ± 0.15
Double ΔCO ₂	0.48 ± 0.21	0.34 ± 0.23	+0.14 ± 0.14
Double ΔCO ₂ /AER/ocean	0.32 ± 0.21	0.49 ± 0.24	-0.18 ± 0.16
Double ΔAER	0.29 ± 0.22	0.33 ± 0.23	-0.04 ± 0.14
Constant CO ₂ /ocean	0.12 ± 0.21	0.15 ± 0.23	-0.03 ± 0.15
Double ΔCO ₂ /ocean_ORAS 6	0.35 ± 0.21	0.51 ± 0.24	-0.16 ± 0.15
Constant ΔCO ₂ /ocean_ORAS 6	0.08 ± 0.21	0.12 ± 0.24	-0.04 ± 0.14

Table A1. Global mean top-of-atmosphere Trends JJA 2001-2023 [W/m²/decade] in observations and hindcast datasets. Hindcast trend central values are ensemble mean trends, and the 90% confidence intervals are obtained from the bootstrapped trend distributions.



1003

	U10m N3.4 [m/s/dec]		zonal SST gradient across the Pacific [K/dec]		Precip West Pacific [mm/day/dec]		Nino 3.4 [K/dec]	
	May	JJA	May	JJA	May	JJA	May	JJA
Obs	-0.26±0.20	-0.18±0.21	0.10±0.20	0.08±0.32	0.30±0.35	0.29±0.24	0.01±0.19	0.06±0.27
CTRL	- 0.06±0.18*	0.04±0.18*	0.09±0.22	-0.06±0.29*	0.13±0.29*	0.09±0.19*	0.05±0.19	0.22±0.27*
Const ΔCO ₂ /o cean	- 0.01±0.18* +25%	0.12±0.19* +37%	0.00±0.22*	- 0.16±0.30*+ 71%	0.04±0.29* +53%	0.04±0.20* +30%	-0.01±0.19 -50%	0.19±0.26* -24%
Const ΔCO ₂ /o cean minus CTRL	0.05±0.01	0.08±0.02	-0.09±0.01	-0.10±0.02	-0.09±0.02	-0.07±0.03	-0.06±0.01	-0.03±0.02
Const ΔCO ₂ /o cean_O RAS6	- 0.01±0.18* +25%	0.10±0.17 +27%	0.02±0.22*	- 0.15±0.30*+ 64%	0.02±0.29* +65%	0.02±0.19* +35%	-0.03±0.19 0%	0.12±0.27* -38%
Const ΔCO ₂ /o cean_O RAS6 minus CTRL	0.05±0.01	0.06±0.03	-0.07±0.01	-0.09±0.02	-0.11±0.02	-0.07±0.03	-0.08±0.02	-0.10±0.03
Double ΔCO ₂ /o cean	-0.12±0.18 -30%	0.01±0.19* -14%	0.18±0.22*	0.02±0.29 -57%	0.23±0.30 -58%	0.16±0.18* -35%	0.10±0.19* +125%	0.28±0.27* +33%
Double ΔCO ₂ /o cean minus CTRL	-0.07±0.01	-0.03±0.02	0.09±0.01	0.07±0.01	0.10±0.02	0.07±0.03	0.06±0.01	0.06±0.02
Double ΔCO ₂ /o cean_O RAS6	-0.10±0.19 -20%	0.05±0.20 +5%	0.16±0.22*	0.01±0.31 -50%	0.25±0.29 -71%	0.16±0.18* -35%	0.13±0.18* +300%	0.37±0.27* +94%



Double $\Delta\text{CO}_2/\text{o}$ cean_O RAS6 minus CTRL	-0.04±0.02	0.01±0.03	0.07±0.01	0.07±0.02	0.13±0.03	0.07±0.03	0.08±0.02	0.15±0.03
Double ΔCO_2	- 0.07±0.18*	0.04±0.18*	0.09±0.22	-0.07±0.29	0.12±0.29*	0.09±0.18*	0.05±0.19	0.23±0.26*
Double ΔCO_2 minus CTRL	0.00±0.01	-0.00±0.02	0.00±0.01	0.01±0.01	-0.01±0.03	-0.00±0.02	0.00±0.01	0.00±0.02

Table A2. Trends in tropical Pacific key climate metrics for May and JJA 1993-2023.

The metrics are 10-metre zonal wind (u_{10m}) in Nino 3.4, zonal SST gradient (defined as difference of SSTs in 5S-5N, 110-180E and 5S-5N, 180-80W), precipitation in Western Pacific Warm pool (20S-20N, 120-150E), and SST in Nino 3.4. The asterisk indicates statistically significant trend differences with observations on the 90% confidence level. Statistically significant trend differences between counterfactual hindcasts and the CTRL are printed in bold. Red and green percentages indicate trend degradations and improvements compared to the CTRL, respectively.



1017 **Code and data availability:**

1018 The IFS source code is available subject to a licence agreement with ECMWF. ECMWF
1019 member-state weather services and approved partners have granted access. The IFS code
1020 without modules for data assimilation is also available. IFS is available under an openIFS
1021 licence (<http://www.ecmwf.int/en/research/projects/openifs>, last access: 23 June 2026) for
1022 educational and academic purposes (currently version 48R1). The NEMO4.0 source code
1023 version used here is available at <https://doi.org/10.5281/zenodo.5566313> (NEMO System
1024 Team 2021; Madec and the NEMO System Team 2024). The interfaces to NEMO can be
1025 obtained from ECMWF on request under the license described above.

1026 Data from all experiments presented in this experiment will be made publicly available via
1027 ECMWF's MARS archive before publication.

1028 CERES-EBAF data has been retrieved from <https://ceres.larc.nasa.gov/data/>, GPCP v3.2
1029 data from [https://data.nasa.gov/dataset/gpcp-precipitation-level-3-monthly-0-5-degree-v3-2-](https://data.nasa.gov/dataset/gpcp-precipitation-level-3-monthly-0-5-degree-v3-2-gpcpmon-at-ges-disc-5d4c3)
1030 [gpcpmon-at-ges-disc-5d4c3](https://data.nasa.gov/dataset/gpcp-precipitation-level-3-monthly-0-5-degree-v3-2-gpcpmon-at-ges-disc-5d4c3), and ERA5 data is available from the Copernicus Climate
1031 Change Service (C3S) Climate Data Store (CDS):

1032 <https://cds.climate.copernicus.eu/datasets/reanalysis-era5-complete?tab=overview>

1033

1034 **Author contribution**

1035 MM prepared main experimental setup, curated the required input data, carried out the
1036 presented diagnostics, and led writing of the manuscript. All co-authors contributed to
1037 experimental design, interpretation of results, and development of the manuscript. DJB
1038 provided additional support with experimentation. AW acquired the funding.

1039

1040 **Competing interests**

1041 The authors declare that they have no conflict of interest.

1042

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