



Contribution of stratospheric intrusion to tropospheric hydroxyl radical

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Abstract

10 The hydroxyl radical (OH) is a key species that participates in many oxidation processes in the troposphere. Previous studies have reveal significant impact of lightning and air pollution on interannual variabilities and long-term trends of tropospheric OH. The abundance of OH in the troposphere is influenced by a multitude of factors, including ozone, water vapour, and ultraviolet radiation, among others. The tropospheric ozone is significantly influenced by the presence of
15 stratospheric intrusion. It is hypothesized that the intrusion process will also exert an influence on tropospheric OH. In this study, the contribution of stratospheric intrusion to tropospheric OH is estimated through the use of a climate chemistry model. The findings suggest that the contribution of the stratosphere to tropospheric OH is most significant over the subtropical ocean regions (reaching 2×10^{-2} ppt) and over the Tibetan Plateau in the summer (reaching 3×10^{-2} ppt). The relative
20 contribution of stratospheric intrusion can reach 12% over the Tibetan Plateau, northern South America and South Africa, and 20% over the subtropical oceans. It is expected that stratospheric contribution will increase in the future due to enhanced stratosphere troposphere exchange and stratospheric ozone recovery.

Keywords: stratospheric intrusion; ozone; tropospheric hydroxyl radical

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1 Introduction

Hydroxyl radicals (OH) are critical oxidants in the atmosphere, playing a vital role in controlling the concentrations of pollutants and greenhouse gases (Levy, 1971). Consequently, these radicals impact both air quality and climate change. Therefore, a comprehensive understanding of the



30 sources, distribution, and reactivity of OH is essential for atmospheric chemistry and its
ramifications for human health and the environment. Research on OH radicals has a long history,
evolving from early discoveries of atmospheric free radicals to sophisticated measurements using
techniques like Laser-Induced Fluorescence (LIF) and modelling approaches (Spivakovsky et al.,
2000; Montzka et al., 2011; Rigby et al., 2017; Turner et al., 2017). The primary production of OH
35 is through the reaction of water vapour with excited oxygen atoms O(¹D), which are generated by
ozone (O₃) photolysis ($\lambda < 340$ nm). Secondary sources of OH include the recycling of HO₂ by
reaction with NO. As posited by Lelieveld et al. (2016) the primary production contributes
approximately 33% of the tropospheric OH, while secondary sources contribute approximately
30%. In the troposphere, the lifetime of OH is short, measured in mere seconds, due to its rapid
40 removal by reactions with carbon monoxide (CO), methane (CH₄) and non-methane volatile organic
compounds (NMVOCs). The prevalence of OH is indicative of the confluence of atmospheric
composition (tropospheric O₃ and NO, CO, CH₄ and NMVOCs) and meteorological factors (e.g.,
humidity, ultraviolet radiation, and temperature).

Direct measurements of OH are challenging due to its short lifetime. Presently, the spatial
45 distribution and temporal trends of OH are estimated indirectly through the measurement of
CH₃CCl₃, which is primarily removed through an oxidation reaction with OH. However,
discrepancies have been observed between the interannual variabilities of tropospheric OH as
simulated by atmospheric models and the indirect estimation. For instance, indirect estimations
generally indicate a peak in OH abundance around 2004, which can account for the nearly constant
50 mixing ratios of tropospheric methane from 1997 to 2006 and the increasing ratios before and after
this period. However, this peak in OH abundance was not present in models (Nicely et al., 2018;
Patra et al., 2020). According to Nicely et al. (2020), the predominant factors contributing to
variations in tropospheric oxidation capability among 10 models are the flux of ultraviolet radiation
to the troposphere, the mixing ratio of tropospheric O₃, the abundance of nitrogen oxides (NO_x), and
55 the complexity of the various chemical mechanisms that drive OH. Furthermore, the presence of
water vapour and carbon monoxide, the ratio of NO:NO_x and formaldehyde (HCHO) contributes to
moderate variations. The extensive array of factors underscores the complexity of constraining
tropospheric OH.

There is significant interaction between the stratosphere and the troposphere, particularly along the
60 subtropical jet streams. Planetary-scale waves propagate and break along these streams, resulting in
a folded tropopause and the downward intrusion of stratospheric air. These events usually transport
ozone-rich air into the troposphere and consequently alter its composition. Stratospheric intrusions,



for example, have the potential to increase surface ozone concentrations and affect air quality (Lin et al., 2015; Knowland et al., 2017). Previous studies have shown that chemical evolution can be sensitive to mixing resulting from stratosphere-troposphere exchange. Using a two-box model, Esler et al. (2001) demonstrated that mixing of stratospheric ozone with tropospheric water vapour leads to increased hydroxyl radical concentrations. When NO_x levels in either parcel are initially very high, the mixing of NO_x becomes more important than ozone and water vapour in determining OH levels. Kentarchos et al. (2003) apply the European Centre Hamburg Model version 4 (3.75°×3.75°, 19 levels) to study the contribution of stratospheric ozone to tropospheric OH. In this model, stratospheric ozone is represented by an ozone potential vorticity correlation. In the troposphere, stratospheric ozone produces OH through photolysis and the subsequent reaction of the resulting $\text{O}(^1\text{D})$ with water vapour. Zhang et al. (2024) observed that, during stratospheric air intrusion events, the mixing of descending ozone-rich stratospheric air with more moist free tropospheric air results in elevated OH concentrations.

This study examines the role of stratospheric intrusion in determining tropospheric OH concentrations. For this investigation, the Geophysical Fluid Dynamics Laboratory's AM3 chemistry-climate model was used. This model has a more detailed OH chemistry compared to the previous study. A tagged tracer was used to characterize the stratospheric contribution to tropospheric O_3 abundances. OH radical concentration due to stratospheric intrusion was identified by first removing the stratospheric contribution to tropospheric O_3 and then calling the model's chemical solver with the corrected O_3 . The second section describes the model and method utilized. The next section presents the results, which are then discussed. Section 4 draws conclusions.

2 Data and methodology

2.1 Model Description

This study utilizes the Geophysical Fluid Dynamics Laboratory (GFDL) AM3 chemistry-climate model (Donner et al., 2011) to simulate atmospheric O_3 and OH radicals. AM3 implements a cubed-sphere horizontal grid with approximately global uniform grid cell size (Putman & Lin, 2007). The dynamical core is based on the finite-volume transport algorithm with a vertically Lagrangian discretization (Lin, 2004). Each vertical layer evolves separately in a Lagrangian manner, which means the finite volumes bounded by two coordinate surfaces (initial coordinate surfaces are treated as material surfaces) are free vertically to float, compress or expand with the flow as dictated by the hydrostatic dynamics. These vertical layers are only remapped to the normal vertical coordinates in a time step same as the physical parameterization in the model.



95 In this study, the interactive tropospheric and stratospheric chemistry of AM3 as described in Naik
et al. (2013) is replaced with that described in Horowitz et al. (2020). The updated chemistry
include heterogeneous reactions of HO_2 , NO_2 , N_2O_5 , and NO_3 on the surfaces of all simulated
aerosol types, as described in Mao, Horowitz, et al. (2013) and Mao, Paulot, et al. (2013). The
updated heterogeneous chemistry has a stronger sink of HO_2 than that in AM3 and simulates a
100 lower OH concentration in the troposphere. The gamma values applied is the same those in Table
S3 of Horowitz et al. (2020).

The deep convection parameterization applied in the AM3 is the one described by Donner (1993,
2011). The closure of this parameterization is based on the relaxation of the CAPE (convective
available potential energy) toward a reference value over a specified time scale. The original deep
105 scheme produces air properties in the tropical upper troposphere that are too close to those of the
lower troposphere, indicating that the simulated convection is too strong. In this study, the rising air
parcel is allowed to be diluted by environmental air during the calculation of CAPE. The
entrainment rate is set to $\varepsilon_0/(1+z\varepsilon_0)$, where $\varepsilon_0 = 0.5 \times 10^{-3} \text{ m}^{-1}$ is the entrainment rate near the surface
and z is altitude in meters. This is larger than the $0.25 \times 10^{-3} \text{ m}^{-1}$ used in Cui et al. (2021) and smaller
110 than the $0.7 \times 10^{-3} \text{ m}^{-1}$ used in Xie et al. (2019). Here, the value of ε_0 is determined according to the
simulated tropospheric OH concentration. The dilution of the rising air parcel will increase
sensitivity of simulated convection activity to atmospheric sates. According to Suhas et al. (2014),
diluted CAPE improves the simulation of deep convection compared with CAPE closure.

The applied configuration of the AM3 has a horizontal resolution of approximately 100 km (C96)
115 and 64 vertical layers extending from the surface to approximately 86 km. Two artificial tracers,
 $\text{O}_{3,s}$ and $\text{O}_{3,rm}$, are defined to quantify the stratospheric influence. These tracers are analogous to
atmospheric O_3 above the tropopause. In the atmospheric layer beneath the tropopause, the tracer
 $\text{O}_{3,rm}$ is defined by subtracting $\text{O}_{3,s}$ from tropospheric O_3 at each time step. The tracer $\text{O}_{3,s}$ is set to
zero in the troposphere at each time step. Then, the chemical solver is called again with O_3 replaced
120 by $\text{O}_{3,rm}$ to obtain another hydroxyl radical tracer, OH_{rm} , and the chemical tendency of $\text{O}_{3,rm}$.
Additionally, $\text{O}_{3,rm}$ undergoes atmospheric transport and dry deposition similarly to O_3 .
Stratospheric contributions to tropospheric ozone and hydroxyl radical mixing ratios are estimated
as $[\text{O}_3]-[\text{O}_{3,rm}]$ and $[\text{OH}]-[\text{OH}_{rm}]$. Hereafter, these contributions are referred to as StrO_3 and StrOH ,
respectively.

125 In order to understand the driving factors behind the seasonal variation of StrOH , we calculate the
production rate of StrOH due to the photolysis of StrO_3 and its reaction with HO_2 as following
(Brauers et al., 1998),



$$P(\text{StrOH}) = 2f[\text{StrO}_3] \times j(\text{O}^1\text{D}) + k_{\text{O}_3+\text{HO}_2}[\text{StrO}_3][\text{HO}_2], \quad (1)$$

where $j(\text{O}^1\text{D})$ is the photolysis rate coefficient of ozone and

$$f = \frac{k_{\text{O}^1\text{D}+\text{H}_2\text{O}}[\text{H}_2\text{O}]}{k_{\text{O}^1\text{D}+\text{H}_2\text{O}}[\text{H}_2\text{O}] + k_{\text{O}^1\text{D}+\text{N}_2}[\text{N}_2] + k_{\text{O}^1\text{D}+\text{O}_2}[\text{O}_2]}. \quad (2)$$

The first term on the right-hand side of Eq. 1 represents the production of OH through the photolysis of StrO_3 and the subsequent reaction of O^1D with a H_2O molecule to produce 2 OH molecules. Since O^1D can transform into O through reactions with N_2 and O_2 , the factor f in Eq. 2 is included. The OH loss (sink) is quantified by the first-order loss rate coefficient given by $L(\text{OH})/[\text{OH}]$, where $L(\text{OH})$ indicates the loss rate of OH radicals in the troposphere.

In the simulations, AM3 is forced by observed sea surface temperatures (SST) and sea ice concentration (SIC), but horizontal winds are nudged to 6-hourly ERA-Interim reanalysis data (The fourth-generation atmospheric reanalysis developed by the European Centre for Medium-Range Weather Forecasts), which has a horizontal resolution of $2.5^\circ \times 2.5^\circ$. A pressure-dependent nudging technique (Lin et al., 2012) is employed to relax the simulated winds and temperatures to reanalysis data, the nudging time scale is increased to 10 times that of the surface at 100 hPa and 100 times at 10 hPa. The nudging time scale at the surface is 6 hours. The simulation began on January 1, 1979, and ended on November 31, 2004. Then, it continued for four years with daily output. The analysis is based on the simulation's daily output from July 2005 to December 2010. The initial atmospheric state is derived from the ERA-Interim reanalysis.

In this instance, the e90 (an artificial tracer with uniform lifetime of 90 days in the atmosphere) tracer is employed to determine the tropopause (Prather et al., 2011). In the lowest model layer the e90 tracer is relaxed to 120 ppb over a timescale of 1 hours. The tropopause value of e90 is defined to be 72 ppb in this model through comparing with the thermal tropopause in the tropics.

2.2 Measurements and reanalysis

The High Resolution Dynamics Limb Sounder (HIRDLS) instrument measures infrared emission at 21 channels ranging from 6.12 to 17.76 microns in the upper troposphere, stratosphere and mesosphere (Gille et al., 2008). Profiles of O_3 and other atmospheric constituents, as well as cloud top pressure, were retrieved at a high vertical resolution of approximately 1 km from latitudes of -64 to 80 degrees. HIRDLS data collection began on January 21, 2005 and ended in early 2008. The high resolution of HIRDLS, spanning from the upper troposphere to the lower mesosphere, makes it an effective instrument for measuring fine atmospheric features in UTLS (the upper troposphere



and lower stratosphere), a region that most satellites cannot effectively measure. This study uses V7 Level 2 O₃ profiles above the cloud top.

160 Additionally, the EAC4 (ECMWF Atmospheric Composition Reanalysis 4) O₃ reanalysis is used to evaluate modeled stratospheric intrusions. EAC4 O₃ combines model data with observation from across the world into a globally complete and consistent dataset using data assimilation approach (Inness et al., 2019).

The model simulated tropospheric OH is evaluated against OH from EAC4 reanalysis and CCMI-2022 (the Chemistry Climate Model Initiative 2022) models. In EAC4 observations of OH precursors (O₃, NO₂) as well as CO are assimilated. Outputs from the CCMI-2022 REF-D1 experiments are used. The REF-D1 historical hindcast simulations cover the period 1960-2018 and use the observed historical forcings, including monthly mean SST and SIC, ozone-depleting substances (ODS) and long-lived greenhouse gases (GHG).

170 3 Results and Discussions

3.1 Evaluation of the model

Figure 1a, b, c show the HIRDLS measurements and the AM3 model simulations of O₃ profiles at different latitudes and along the HIRDLS orbit track on selected days. In the tropical bands (30° S-30° N), the modeled and measured profiles agree generally well, except for an overestimation of the maximum O₃ concentration at 10 hPa by about 7%. Above 10 hPa, the model underestimates the O₃ concentration by up to 300 ppb in the extratropics. In the lower stratosphere, the model presents an underestimation compared to the HIRDLS in the tropics and agrees well with the measurements in the extratropics. The underestimation in the tropics could be due to too strong deep convection in the model, which can quickly take ozone-poor air in the boundary layer into the upper troposphere.

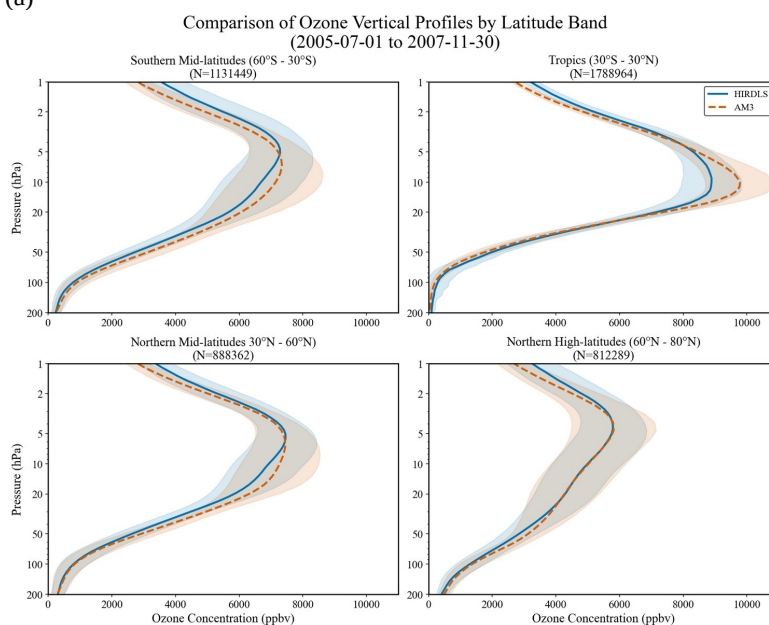
180 Lin et al. (2012) and Lin et al. (2015) used the AM3 model to study the transport of O₃ from the stratosphere to the troposphere. These studies employed horizontal resolutions of 200×200 km² and 50×50 km². According to the model evaluation in Lin et al. (2015), both resolutions can reproduce the large-scale occurrence of deep stratospheric intrusions and their impact on the day-to-day variability of O₃ below 3 km. This study applied a horizontal resolution of 100×100 km², and it is expected that the model correctly captured stratospheric intrusions. Along the HIRDLS orbit track, the model captures variations in O₃ concentration due to changes in the tropopause and stratospheric intrusions (Fig. 1b and 1c). The high degree of similarity between the model simulations and the



measurements indicates that the model reasonably simulates the transport process of air mass from the stratosphere to the troposphere.

190 Figure 1d compares cross sections of the modeled and EAC4 O₃ along 100° E on selected days. Compared to EAC4 the model captures the tropopause fold and associated stratospheric O₃ descent in the extratropics. In the subtropics the model reasonably simulates tropopause fold events but underestimates the associated O₃ descent. Such underestimation could be due too strong deep convection in the model, which dilutes O₃ concentration in the tropical UTLS region. In the tropics
195 there are frequent descent of stratospheric O₃ in EAC4 that is not simulated by the model well. However, it is less reliable that significant amount of stratospheric O₃ directly descends into the troposphere across the tropical tropopause.

(a)

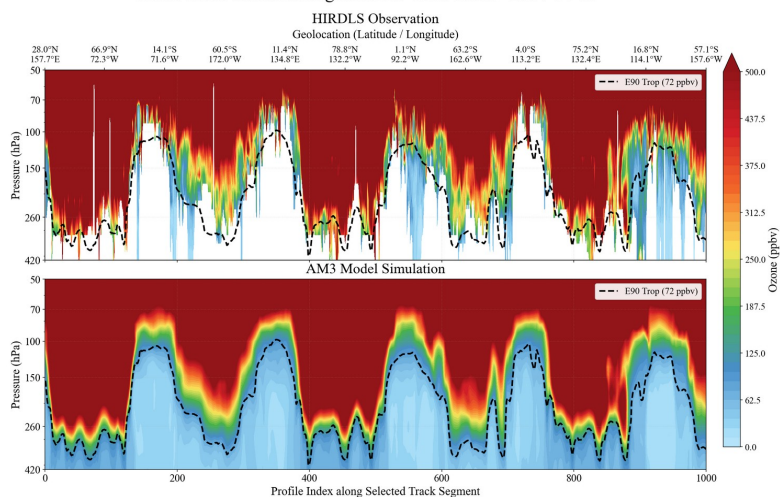


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(b)

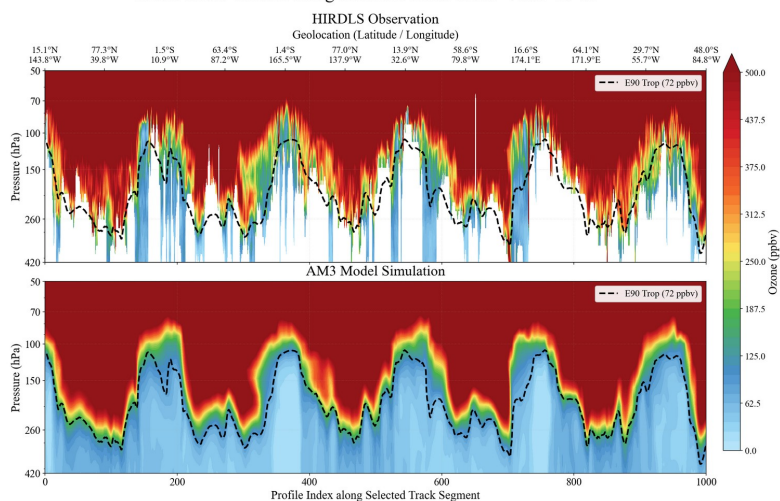


Ozone Cross-Section along HIRDLS Orbit Track - 2007-04-10



(c)

Ozone Cross-Section along HIRDLS Orbit Track - 2007-08-13



(d)

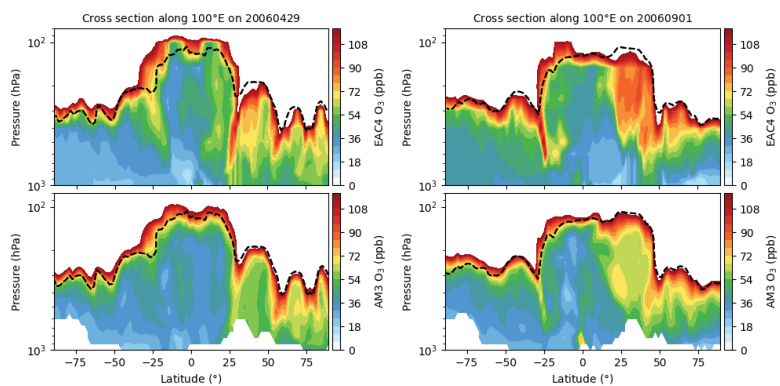




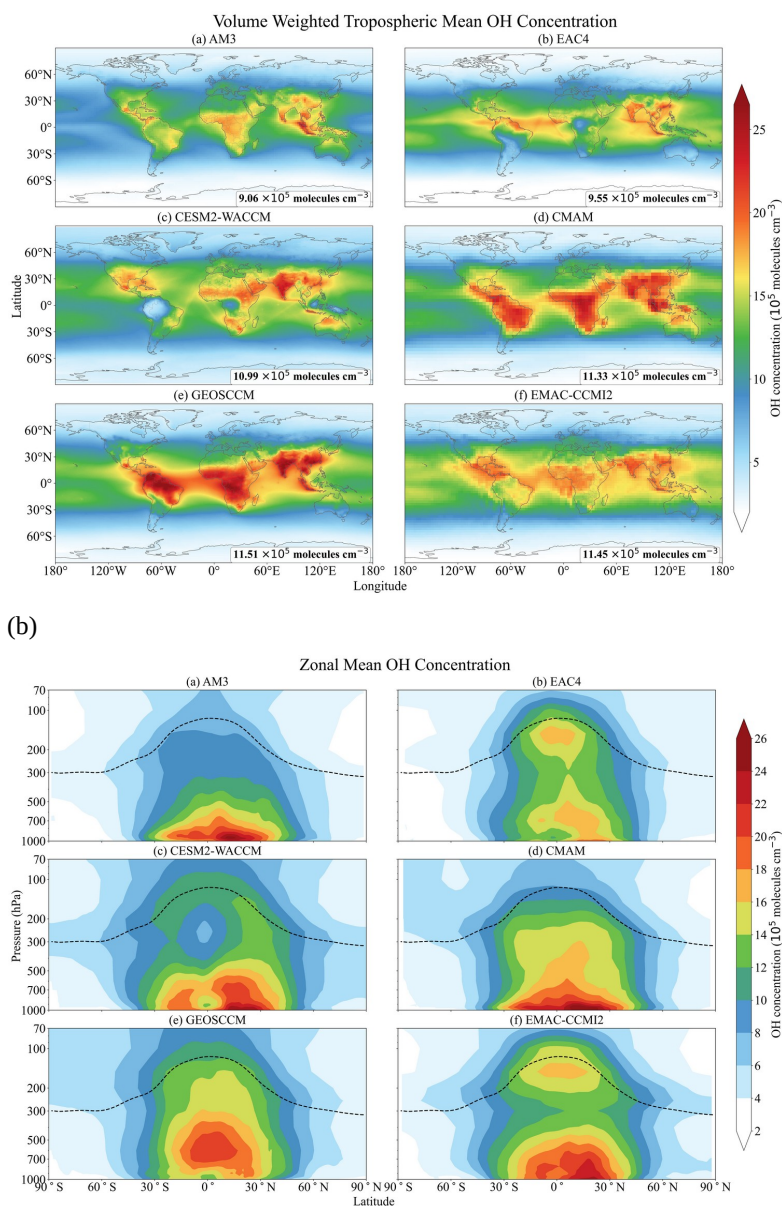
Figure 1. Comparison of the modeled and measured O_3 profiles in different latitude bands, averaged from July 2005 to November 2007 (a), and along the HIRDLS sampling orbit track on April 10 (b) and August 13, 2007 (c), as well as comparison between EAC4 and the modeled O_3 along 100 °E longitude on selected days (d). The black lines indicate the 72 ppb contour lines of the modeled e90.

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Figure 2 shows the spatial distributions of volume-weighted tropospheric and zonal mean OH concentration of the AM3 model, EAC4 reanalysis and four CCMI-2022 models. Compared to the CCMI-2022 models the AM3 model simulates a tropospheric mean OH that is close to EAC4. However, over the tropical Africa and northern South America large differences occur between different models. In these regions, NO_x and NMVOCs emissions by tropical rainforest have significant influences on tropospheric OH, the CCMI-I models show large discrepancies as well (Zhao et al., 2019). The global mean mass-weighted tropospheric OH simulated by the AM3 model is $10.10 \times 10^5 \text{ molec cm}^{-3}$ during 2010, which is close to the observation-based value given in Zhao et al. (2023). In their simulation, the CO , NO_2 , O_3 , CH_4 , and CH_2O , total column O_3 , T_a and $H_2O_{(g)}$ are replaced with the available observation-based data. Considering the zonal mean OH concentration, the AM3 and CCMI-2022 models simulate a distribution that is more concentrated in the lower troposphere. In contrast, EAC4 shows a distribution that is more uniform in the vertical. A second maximum occurs in EAC4 OH concentration just below the tropical tropopause, which could be related to lightning NO_x emissions. The AM3 model show high similarity with CESM2-WACCM in the zonal mean distribution.

225

(a)



230 Figure 2. The spatial distributions of volume-weighted tropospheric mean (a) and zonal mean (b) OH concentration fields of AM3 and CCMI-2022 models and EAC4 reanalysis averaged for 2006-2010. Global mean values are shown as insets.

3.2 Monthly variations of StrOH in the troposphere

235 Figure 3 shows the monthly StrOH concentrations in the troposphere as simulated by the AM3 model and averaged over the period from January 2006 to December 2010. The spatial distribution



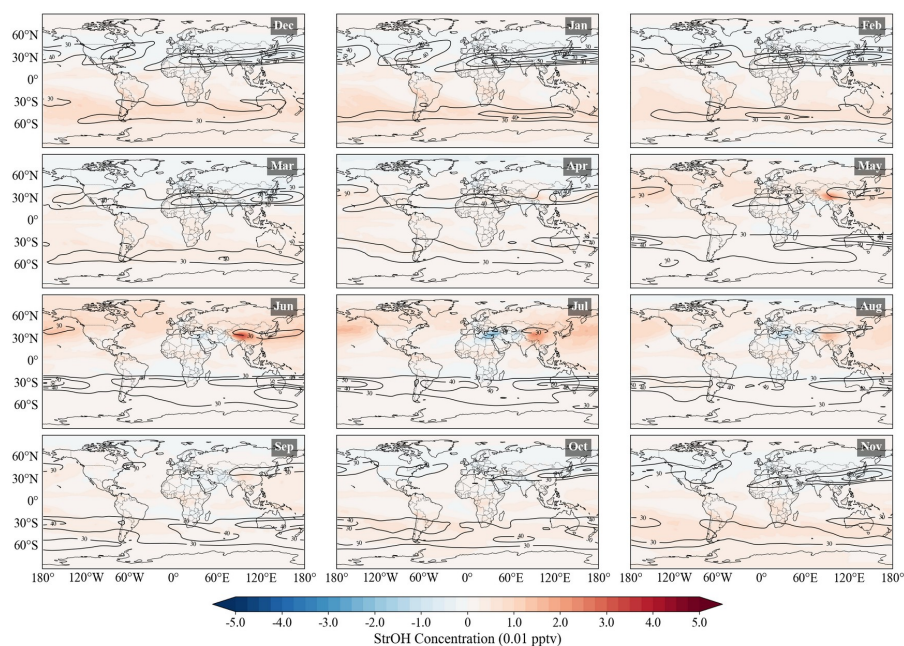
of StrOH at 400 hPa reveals higher StrOH concentrations in the summer subtropics. In the northern hemisphere, the highest concentrations occur over the subtropical Pacific at about 2×10^{-2} ppt, and over the Tibetan Plateau at around 3×10^{-2} ppt. In the southern hemisphere, the highest concentrations occur over the subtropical oceans at about 2×10^{-2} ppt. Stratospheric O_3 is transported into the troposphere via the Brewer-Dobson circulation, which is strongest in the winter hemisphere. The high StrOH concentrations in summer subtropics could be due to stronger solar radiation, greater water vapour, and warmer air. During the summer months, the subtropical jet stream is positioned at the northern edge of the Tibetan Plateau. Stratospheric intrusions along the jet frequently transport stratospheric O_3 to the troposphere over the Tibetan Plateau. Additionally, due to the heating of the plateau, the tropopause can reach as high as 70 hPa over there. This results in a lower stratospheric O_3 column and then strong ultraviolet radiation. These factors could result in high tropospheric StrOH concentrations over the Tibetan Plateau during these time. At the surface (Figure 3b), the highest StrOH concentration occurs over the Tibetan Plateau, South Africa and northern South America, as well as subtropical oceans.

At both 400 hPa and the surface, there are negative StrOH concentrations occur, e.g. at northern high latitudes, northern Africa, southern South America and South Africa. It means stratospheric intrusions result in decrease of tropospheric OH. In the troposphere, O_3 is a precursor of OH as well as a sink by reaction $O_3 + OH \rightarrow HO_2 + O_2$. HO_2 can be transformed back to OH through reactions with NO and O_3 . In addition, HO_2 can react with itself or RO_2 to form a final loss of HO_x ($HO_2 + OH$). It is expected that in dry condition and low NO_x environment the sink effect of O_3 is more important than the source effect. In these cases, stratosphere intrusions possibly decrease tropospheric OH.

(a)



AM3 Global Monthly Average StrOH Concentration at 400 hPa



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(b)

AM3 Global Monthly Average StrOH Concentration at Surface

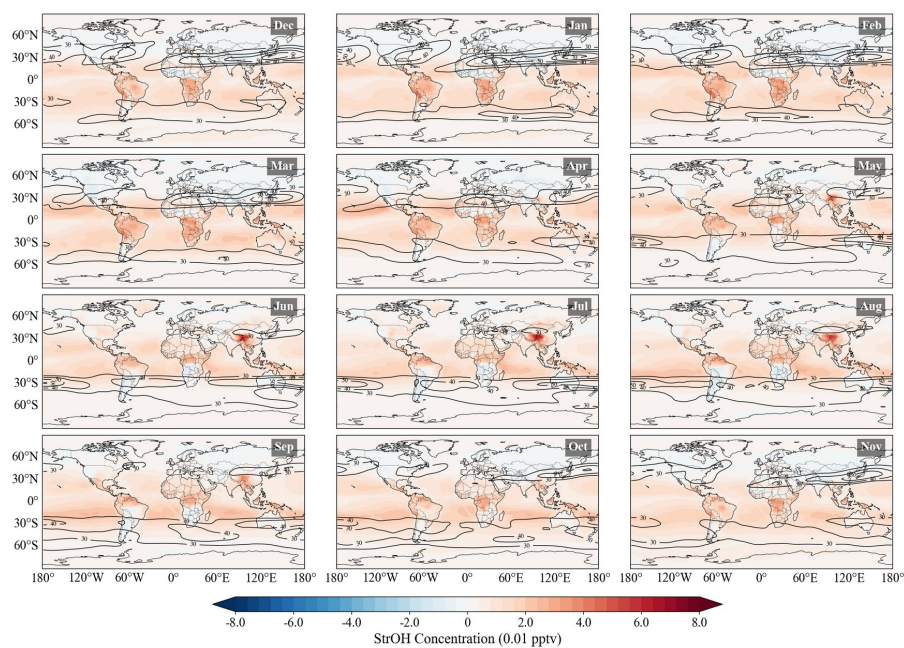
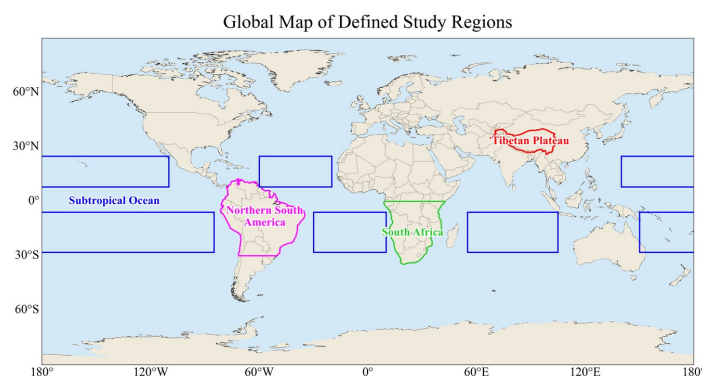




Figure 3. The Spatial distribution of the monthly averaged concentrations of StrOH at (a) 400 hPa and (b) the surface is shown for the period from 2006 to 2010. The black lines indicate zonal wind speed at 200 hPa.

265 3.3 Seasonal cycle of StrOH in the selected region

In this section, we select several regions for further analysis of StrOH production and loss. These regions are the Tibetan Plateau, the subtropical ocean, northern South America and South Africa. These regions are enclosed by boxes in Figure 4.



270 Figure 4. The regions selected for in-depth analysis.

Figure 5 shows the monthly variations in the production rate of StrOH through the $\text{StrO}_3 + \text{hv}$ and $\text{StrO}_3 + \text{HO}_2$ reactions, as well as the loss rate constant, $L(\text{OH})/[\text{OH}]$, at the surface of Tibetan Plateau. These values are averaged from January 2006 to December 2010. Additionally, the concentrations of OH, StrOH, StrO_3 , and specific humidity are displayed. At the Tibetan Plateau surface, StrOH concentrations reach their annual maximum in July and August, at approximately 5×10^{-2} ppt. The maximum StrOH concentration is due to the two production reactions, both of which are high in summer months. The first reaction, $\text{StrO}_3 + \text{hv}$, contributes about 85% to the maximum StrOH concentration in this month. The relative contributions of StrOH to tropospheric OH peak at similar months (approximately 7.5%) and reach -11% in winter months. The negative contribution corresponds to weak ultraviolet radiation and dry condition in winter, which reduces the source effect of StrO_3 .

The concentration of StrO_3 is highest in April and lowest in November in this region. This seasonal variation in StrO_3 is due to the Brewer-Dobson circulation (BDC), which transports O_3 produced in



the tropical middle stratosphere to higher latitudes. At these latitudes, O_3 is transported downward to the lower stratosphere. The northern branch of the BDC is strongest during the winter months, resulting in highest levels of $StrO_3$ in the spring. This time lag between the strongest BDC and the highest level of $StrO_3$ is due to the transport of O_3 from the lower stratosphere to the troposphere.

290 Specific humidity exhibits a maximum in August and a minimum in January. These results in Figures 5 reveals that the seasonal variation of $StrOH$ over the Tibetan Plateau is more impacted by solar radiation and specific humidity, due to its relative dry condition.

Figure 6 shows the monthly production rate of $StrOH$ and the loss rate constant averaged over the subtropical ocean surface. In this region, seasonal variations of both OH and $StrOH$ concentrations
295 are not significant. $StrOH$ concentrations vary between 1 and 2×10^{-2} ppt and with a relative contribution of approximately 22%. Similarly, the two production reactions also show insignificant seasonal changes with the first takes about 86%. In this region, $StrOH$ does not show negative contributions, possibly due to high specific humidity (around 14 g/kg compared 1.5 g/kg in winter Tibetan Plateau).

300 Figure 7 shows the monthly production rate of $StrOH$ and the loss rate constant, averaged over the surface of the northern South America. The maximum $StrOH$ concentration is about 2.5×10^{-2} ppt, occurs in winter. The relative contribution of $StrOH$ peak in winter as well, reaching approximately 13%. The first production reaction contributes about 80%. As explained in Section 3.1 the various models show large discrepancies in the volume-weighted tropospheric OH concentration over the
305 northern South America, which ranges approximately from 5 to 25×10^5 molec cm^{-3} . The AM3 model locates at the middle of this range. Therefore, the relative contribution of stratospheric intrusion could be doubled if taking EAC4 and CESM2-WACCM as the reference.

Figure 8 shows the monthly production rate of $StrOH$ and the loss rate constant, averaged over the surface of South Africa. Similar to the northern South America, the maximum $StrOH$ concentration
310 in this region is about 2.5×10^{-2} ppt, occurs in winter. The maximum $StrO_3$ concentration as well as loss rate constant occur in summer when $StrOH$ and specific humidity reach their minima. Considering relative high specific humidity through the year, approximately above 8 g/kg, it is speculated that $StrOH$ in this region is controlled mostly by OH sink strength. The relative contribution of stratospheric intrusions ranges from -1% to 12%.

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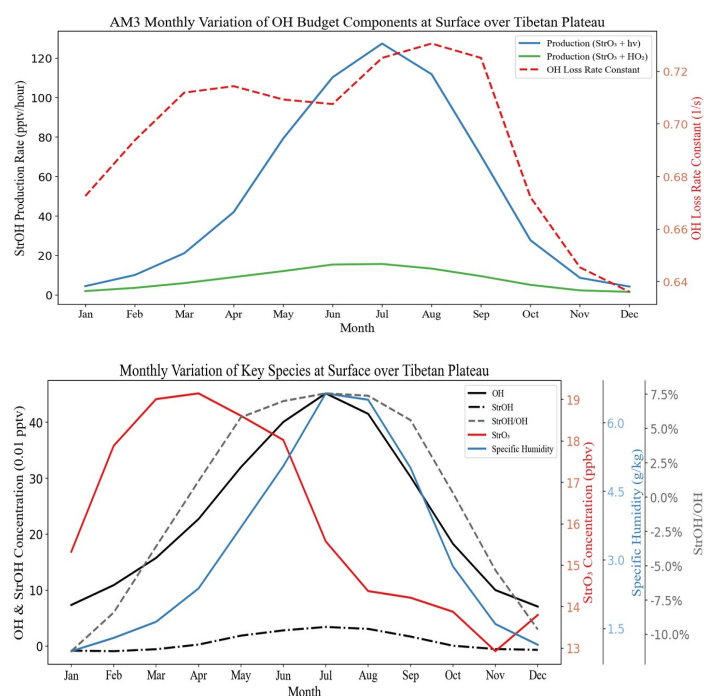
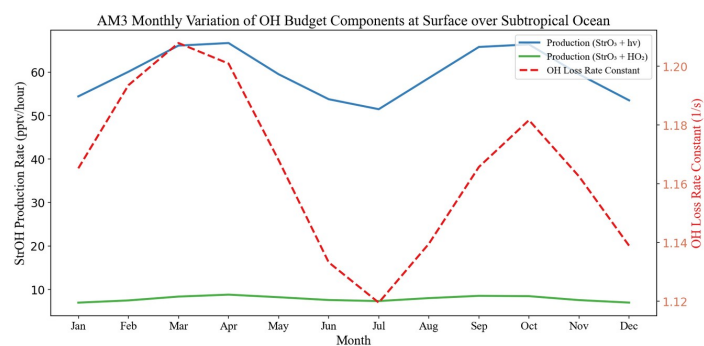


Figure 5. Monthly variations of StrOH production rates, loss rate constant ($L(\text{OH})/[\text{OH}]$) and related species averaged in the period 2006-2010 and over the Tibetan Plateau surface.

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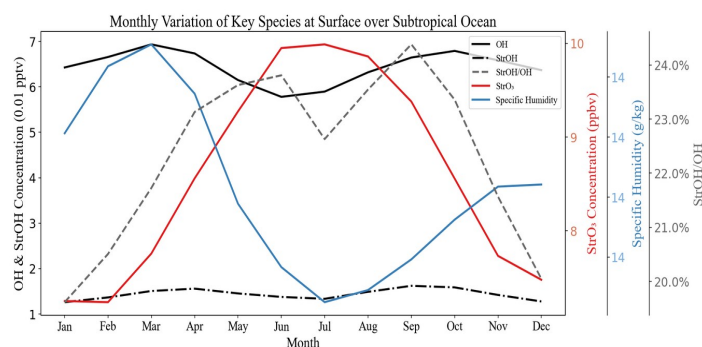


Figure 6. Monthly variations of StrOH production rates and loss rate constant ($L(\text{OH})/[\text{OH}]$) and related species averaged in the period 2006-2010 and over the surface of subtropical ocean.

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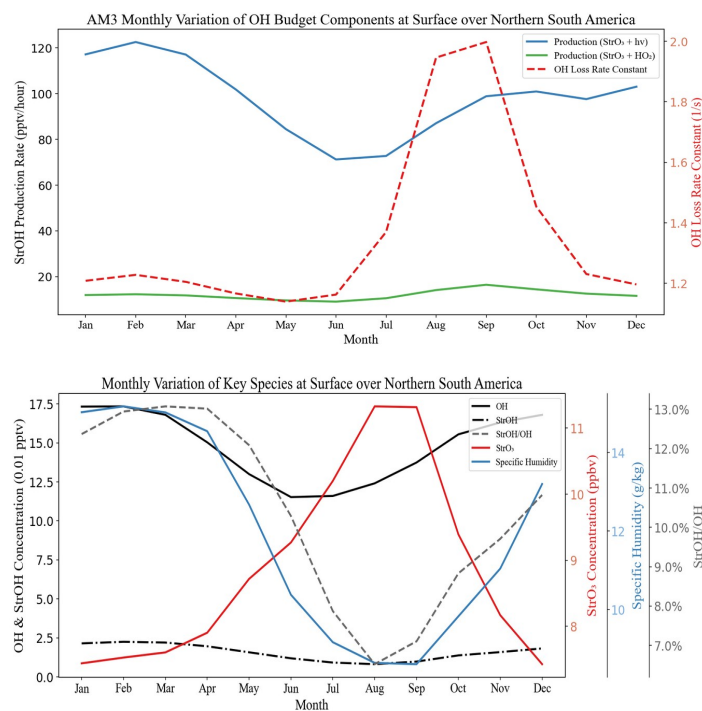


Figure 7. Monthly variations of StrOH production rates and loss rate constant ($L(\text{OH})/[\text{OH}]$) and related species averaged in the period 2006-2010 and over the surface of the northern South America.

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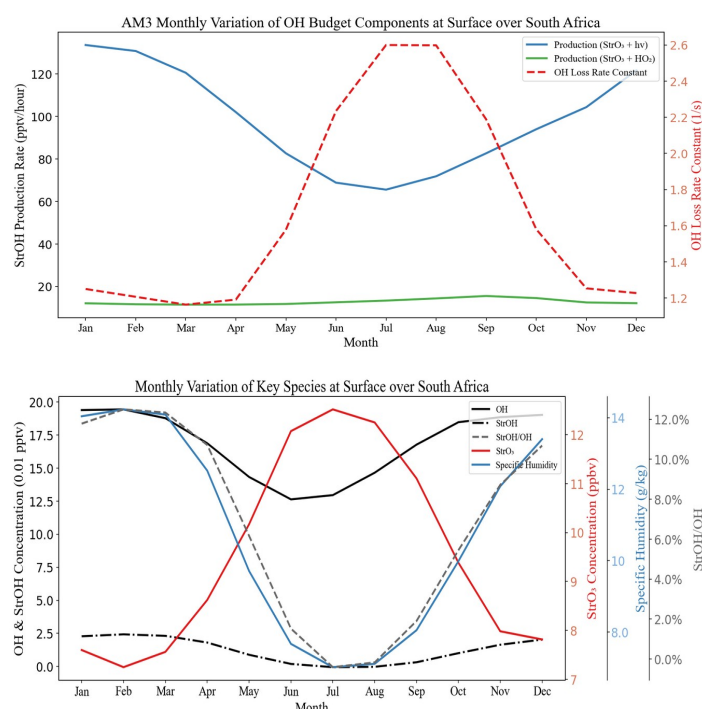


Figure 8. Monthly variations of StrOH production rates and loss rate constant ($L(\text{OH})/[\text{OH}]$) and related species averaged in the period 2006-2010 and over the surface of South Africa.

335 4 Conclusions and discussion

In this study, the investigation focuses on the contribution of stratospheric intrusion to tropospheric OH. This investigation is conducted through the utilization of a chemistry-climate model for the period from 2006 to 2010.

4.1 StrOH in the troposphere

340 In the middle troposphere (400 hPa), the result reveals that the stratospheric contribution is high in the summer subtropics, reaching 2×10^{-2} ppt over the subtropical Pacific and 3×10^{-2} ppt over the Tibetan Plateau. At the surface, the spatial distributions of StrOH are similar to those at 400 hPa except for with increased amount. In some months negative StrOH concentrations occur in some regions, for example at the northern high latitudes, northern Africa, southern South America and
345 South Africa. It means stratospheric intrusions can result in decreases in tropospheric OH even if they result in the downward transport of higher concentrations of ozone.

A detailed analysis of the mechanism in selected regions reveals that stratospheric contributions to tropospheric OH primarily occur through the photolysis of stratospheric O_3 (above 80%



contribution) and, secondarily, through reaction with HO_2 . Several factors influence the seasonal
350 variation of the stratospheric contribution, including stratospheric O_3 , specific humidity, and solar
radiation. When the primary production of tropospheric OH is inhibited by dry condition or weak
ultraviolet radiation negative StrOH concentrations occur. In this case intrusions of stratospheric O_3
mostly increase sink of tropospheric OH, e.g. the winter Tibetan Plateau.

Considering relative contributions of stratospheric intrusions at the surface, subtropical ocean
355 regions show highest influences of around 22%. The relative contributions range from -11% to
7.5% over the Tibetan Plateau surface, from 6% to 13% over the surface of northern South America
and from -1% to 12% over the surface of South Africa.

4.2 Discussion

Previous studies have revealed significant modulation of air pollution and lightning NO_x emissions
360 on trends and variability of the tropospheric OH (Turner et al., 2018; Zhao et al., 2025). The results
in this study indicate that stratospheric ozone intrusion has important contribution to the
tropospheric OH as well, particularly near the surface. The downward transport of stratospheric
ozone are influenced by the BDC strength and stratospheric ozone recovery, both of which are
expected to increase stratospheric contribution to tropospheric ozone in the future. Wang et al.
365 (2023) estimated an increase in ozone STE (stratosphere-troposphere exchange) of 4.7% per decade
for the period 2000-2099. In CMIP6 models the net chemical production of tropospheric ozone
ranges from 411 (266) to 839 (675) Tg yr^{-1} and STE of ozone ranges from 481 (692) to 28 (212) Tg yr^{-1}
around 2000 (2095) (Griffiths et al., 2021). Three out of four CMIP6 models show a turning
point when the net chemical production become less than ozone STE around 2010. Hence, it is
370 expected that the primary production of tropospheric OH will be increasingly controlled by the
stratospheric ozone transported via STE in the future.

There are many internal forcing factors that can result in interannual variability of the ozone STE.
The important factors include sea surface temperature (SST) variations in the tropical eastern
Pacific, the Pacific warm pool region, and quasi-biennial oscillation in the tropical stratospheric
375 wind (QBO) (Xie et al., 2017; Zhang et al., 2025). Additionally, the frequency and strength of these
forcing factors present long-term trends due to external forcing, such as greenhouse gas emissions.
By analyzing CMIP6 models Erickson and Patricola (2023) concluded that SST in the tropical
eastern Pacific will shifts toward a more warm anomalies condition. The warm anomaly tends to
strengthens downward transport of extratropical stratosphere ozone. In contrast, the QBO is
380 projected to become weaker under global warming (Luo et al., 2026). These signals are possibly



reflected in the interannual variability of tropospheric OH, particularly in the future when STE of ozone play a more important role in budget of tropospheric ozone.

One important uncertainty of our results concerns the downward transport of stratospheric O₃. In Figure 1d, the AM3 simulation shows weaker downward intrusion of stratospheric O₃ than EAC4. If
385 EAC4 is correct, then the contribution to tropospheric OH from stratospheric intrusion could be underestimated. Basically stratospheric intrusion is a mesoscale process and is difficult for a global model to capture. The second uncertainty arises from the chemistry of OH production and loss, particularly that associated with isoprene oxidation. Regeneration of OH in the photochemistry of isoprene is important in the tropical rainforest region, where it significantly affects the abundances
390 of OH. This process is complex and still not completely understood (Bates and Jacob, 2019). Correspondingly, the AM3 and CCMI-2022 models show significant differences in the tropical parts of South American and Africa.

Data availability

The HIRDLS V7 O₃ profiles are available at [https://search.earthdata.nasa.gov/search/granules?p=C1251101072-GES_DISC&pg\[0\]\[v\]=f&pg\[0\]\[gsk\]=-start_date&fi=HIRDLS&fl=2%2B-%2BGeophys.%2BVariables%252C%2BSensor%2BCoordinates&tl=1156377600!4!!&fsm0=Atmospheric+Chemistry&fst0=Atmosphere&fpb0=Space-based+Platforms](https://search.earthdata.nasa.gov/search/granules?p=C1251101072-GES_DISC&pg[0][v]=f&pg[0][gsk]=-start_date&fi=HIRDLS&fl=2%2B-%2BGeophys.%2BVariables%252C%2BSensor%2BCoordinates&tl=1156377600!4!!&fsm0=Atmospheric+Chemistry&fst0=Atmosphere&fpb0=Space-based+Platforms). The AM3 model code is freely available at <https://www.gfdl.noaa.gov/am3/>. The CCMI-2022 outputs are available from the Centre for Environmental Data Analysis (CEDA). The EAC4 reanalysis data is
400 publicly available at <https://ads.atmosphere.copernicus.eu/datasets/cams-global-reanalysis-eac4?tab=download>.

Author contributions

The paper was designed and written by ZW. YZ and XH perform model outputs analysis and visualization. All co-authors actively contributed to the extended discussions, refinement of the
405 study design.

Conflicts of interest

The authors state that they do not have any conflicts of interest.

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