1 **Conservation of Heat in the Coupled Arctic Prediction System (CAPS v1.1):**

2 **Comprehensive model evaluation based on the MOSAiC observations**

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15 **Abstract**

16   Atmosphere–sea ice–ocean interactions are vital to understand past and future changes of

17 Arctic climate system. It is essential to ensure the energy closure across model components to

18 investigate these interactions with coupled models at longer timescales. Here, we present the

19 improved version of Coupled Arctic Prediction System (CAPS) with the conservation of heat

20 fluxes exchanged between the atmosphere (WRF) and ocean-sea ice (ROMS-CICE)

21 components. A set of pan-Arctic simulations covering the period of Multidisciplinary drifting

22 Observatory for the Study of Arctic Climate (MOSAiC) was conducted with the improved

23 CAPS. The improved CAPS significantly reduces the inconsistency in heat flux exchange

24 between WRF and ROMS-CICE, leading to better performance in simulating Arctic sea ice

25 conditions compared with satellite observations than its predecessor showing the drifting

26 behaviors. The model capability of CAPS to simulate the atmosphere, ocean, and sea ice

27 conditions in the Arctic climate system were also evaluated based on the comprehensive

28 observations obtained from the MOSAiC drift. The assessments indicate that CAPS reproduces

29 reasonable evolutions of Arctic conditions along the track of MOSAiC observations but

30 accompanies with biases contributed by simulated synoptic storm systems. By applying the

31 spectral nudging technique in the upper atmospheric levels, CAPS can better replicate the

32 observed storm systems and reduce biases shown in the free simulation. The evaluations shown

33 in this article also highlight the key areas for further investigations in CAPS (as well as in other

34 numerical prediction systems) including atmospheric boundary layer processes (surface

35 turbulent heat fluxes) and cloud processes in polar regions.

1.   Introduction

36

37   Arctic, a climate system experiencing drastic changes, has undergone a transition to a new

38   state. Arctic sea ice extent (SIE) has been decreasing with rates of -0.52 (annual-mean), -0.37

39   (March-mean), and -0.78 (September-mean) million km^2 per decade over the period of 1979-

40   2024 (Meier et al., 2024; Roach and Meier, 2025). The decline of summer SIE also exhibits a

41   mixture of different rates with the faster period of 1992-2006 (-0.99 million km^2 per decade)

42   and the slowed-down period of 2007-2021 (-0.07 million km^2 per decade) (Polyakov et al.,

43   2023). Arctic sea ice thickness (SIT) has also decreased from a peak of 3.6 m (winter) / 2.7 m

44   (fall) in 1980 to 2 m / 1.3 m in recent years, which is largely a result of multi-year ice coverage

45   replaced by first-year ice coverage (Kacimi and Kwok, 2024). Corresponding to the slowed-

46   down of summer SIE decline, satellite records also show near-negligible thickness trends since

47   2007.

48   In addition to dramatics changes in sea ice properties, near-surface air temperature in the

49   Arctic region is warming three to four times faster than the global average, a phenomenon

50   known as Arctic amplification related to sea ice changes (Holland and Bitz, 2003, Rantanen et

51   al., 2022; Screen and Simmonds, 2010). Over the past two decades, the Arctic Ocean,

52   specifically in the Beaufort Gyre region, has shown a notable accumulation of freshwater (e.g.,

53   Timmermans and Toole, 2023), which is associated with sea ice decline and wind strengthening

54   (e.g., Giles et al., 2012; Wang et al., 2025). Besides of the increases of freshwater content in

55   the Beaufort Gyre, sea ice decline also plays a role in recent warming of Atlantic Water layer

56   in the Arctic Eurasian basin, a phenomenon known as Arctic atlantification (Polyakov et al.,

57   2017; Wang et al., 2024). Changes in the Arctic described above may also have wider impacts

58   outside of the Arctic, such as extreme weather events in mid-latitude (e.g., Cohen et al., 2014;

59   2020), and the releasing of freshwater from the Beaufort Gyre into the North Atlantic

60   influencing the Atlantic Meridional Overturning Circulation (e.g., Zhang et al., 2021).

61   Accurately simulating Arctic sea ice and climate is crucial due to profound impacts of the Arctic

62   on regional weather and climate patterns, and ocean dynamics.

63   Previously, we developed a Coupled Arctic Prediction System (CAPS; Yang et al., 2020;

64   2022) designated for seasonal Arctic sea ice and climate prediction. Experimental seasonal

65   Arctic sea ice predictions conducted in Yang et al. (2020; 2022) have demonstrated that CAPS

66   has the potential to provide skillful Arctic sea ice predictions at seasonal timescales. Despite

67   the promising performance of CAPS in seasonal sea ice predictions, CAPS nevertheless does

68   not fully consider the energy closure between the atmosphere and ocean components through

69   surface heat fluxes, which prevents the applications of CAPS for longer timescales, such as the

70   COordinated Regional Climate Downscaling EXperiment (CORDEX; Gutowski et al., 2016).

71   Discontinuities in surface fluxes and/or numerical errors, which disrupt the conservation of

72   heat or moisture across components in the earth system (e.g., Liepert and Previdi 2012;

73   Lucarini and Ragone 2011; Rahmstorf, 1995), are key factors for the issue of climate drift in

74   climate models (e.g., Irving et al., 2021; Sen Gupta et al., 2013). Atmosphere–sea ice–ocean

75   interactions through variations in surface fluxes are also crucial for climate variability in Arctic

76   sea ice cover (e.g., Deng and Dai, 2019), and remote impacts of Arctic sea ice on El Niño–

77   Southern Oscillation (e.g., Deng and Dai, 2024; Liu et al., 2022).

78   Recently, a year-long polar expedition called the Multidisciplinary drifting Observatory

79   for the Study of Arctic Climate (MOSAiC; Nicolaus et al., 2022; Rabe et al., 2022; Shupe et

80   al., 2022) was the first year-round expedition into the central Arctic ice pack observing the

81   atmosphere, sea ice, snow, and oceanic physical, chemical, and biological properties in the

82   Arctic climate system from September 2019 to October 2020 with Research Vessel Polarstern

83   (Knust, 2017). The MOSAiC campaign took place 22 years after the previous year-long polar

84   expedition called the Surface Heat Budget of the Arctic campaign (SHEBA; Uttal et al., 2002).

85   Different from SHEBA taking place over multiyear sea ice, MOSAiC was over thinner and

86   more dynamic first-year sea ice aiming to increase our understandings of the coupled Arctic

87   climate system under global climate change, and to improve the representations of Arctic

88   climate system within climate models.

89   In this paper, we present an improved version of CAPS by minimizing the inconsistency

90   of surface heat fluxes between the atmosphere, sea ice, and ocean components and compared

91   the simulation results with those produced by the previous version of CAPS. Taking advantage

92   of comprehensive observations obtained during the MOSAiC expedition, we evaluate the

93   capabilities of the improved CAPS in reproducing Arctic climate system including sea ice,

94   atmosphere, and ocean during the MOSAiC period. This paper is structured as follows. Section

95   2 provides an overview of CAPS focusing on the coupling approach, describes the design of

96   numerical experiments and the model configurations for the model evaluation, and the data

97   measured throughout the MOSAiC campaign. Section 3 presents the evaluation of improved

98   CAPS based on satellite-observed sea ice conditions and the MOSAiC observations.

99   Concluding remarks and discussions are provided in section 4.

100   **2.   Methods and Data**

101   **2.1.   Coupled Arctic Prediction System**

102   CAPS consists of the Weather Research and Forecasting Model (WRF version 4.1.2), the

103   Regional Ocean Modeling System (ROMS version 3.8), and the Community Ice CodE (CICE

104   version 6.0.0). The data exchange between WRF and ROMS is achieved by the Model

105   Coupling Toolkit (MCT; Larson et al., 2005). Instead of exchanging data through MCT, CICE

106   is embedded within ROMS as subroutines. The integrated ROMS and CICE use the same

107   model time step to better reflect that temperature and salinity of the surface layer will be

108   instantaneously adjusted under supercooled conditions in ROMS, and the freezing/melting

109   potential is calculated accordingly for CICE to form/melt sea ice (adapted from Smith et al.,

110   2010). The required forcing variables of CICE held by ROMS and the CICE-derived variables

111   to ROMS are exchanged through the ROMS-CICE internal coupler every oceanic/sea ice time

112   step. All model components of CAPS use the same horizontal model grid so that the data

113   exchange between model components does not involve horizontal interpolation.

114   **2.1.1. Original coupling approach**

115   Turbulent heat fluxes of ice-covered ocean cells in WRF and ROMS are based on the

116   mosaic method:

119   $$F = (1 - A_{ice}) \times F_{ao} + A_{ice} \times F_{ai/io} \quad (1)$$

117   where F is the summed turbulent heat flux for driving the boundary layer physics in WRF or

118   as the vertical boundary conditions in ROMS, F_{ao} is turbulent heat flux at the atmosphere–

120 ocean interface, $F_{ai/io}$ is turbulent heat flux at the atmosphere–ice or the ice–ocean interface,

121 and A_{ice} is sea ice concentration. Figure 1 shows the schematic of turbulent heat flux

122 calculation in CAPS. In the ROMS side, F_{io} is provided by CICE based on a Rossby similarity

123 law (Maykut and McPhee, 1995) and F_{ao} is based on the Coupled Ocean–Atmosphere

124 Response Experiment (COARE) 3.0 bulk formula (Fairall et al., 2003). In the WRF side, F_{ai}

125 is computed by the Noah land surface physics (Chen and Dudhia, 2001) and F_{ao} is calculated

126 by the COARE 3.0 algorithm. However, CICE calculates its own F_{ai} with a stability-based

127 boundary parameterization (Jordan et al., 1999). Also, WRF and ROMS do not share the same

128 modifications for implementing the COARE 3.0 algorithm. In other words, the heat flux

129 inconsistency exists at the WRF–ROMS/CICE interface in the original CAPS (Fig. 1a).

2.1.2. Revised coupling approach

131 To address the inconsistency in turbulent heat flux calculation within CAPS, only one

132 model component is designated to calculate turbulent heat fluxes for all interfaces (Fig. 1b),

133 i.e., turbulent heat flux calculations at the atmosphere–ice and atmosphere–ocean interfaces are

134 revised. Specifically, WRF determines turbulent heat fluxes at the atmosphere–ocean interface

135 based on the COARE 3.0 parameterization for ROMS to receive. Turbulent heat fluxes at the

136 atmosphere–ice interface are calculated in CICE based on wind fields, potential temperature,

137 and specific humidity of the lowest atmospheric level, and the corresponding height from WRF

138 (see Table 1), and CICE-derived heat fluxes are transferred to WRF.

139 In addition to turbulent heat fluxes, the related variables (i.e., surface temperature, albedo,

140 and emissivity) participating in the radiation physics in WRF also use the same mosaic

141   approach as in Eq. (1) for ice-covered cells. Both WRF and ROMS use 0.06 as the ocean

142   surface albedo. As for the ice surface albedo, the delta-Eddington scheme in CICE determines

143   the absorption of downward shortwave radiation at the ice surface (Briegleb and Light, 2007),

144   which influences the ice surface albedo diagnostic. However, the original ice surface albedo

145   diagnostic based on the delta-Eddington scheme occasionally returns out of bound values (i.e.,

146   larger than one), and zero ice surface albedo in case of zero downward shortwave radiation

147   (e.g., polar night regions). The following approach is applied in CICE to provide full coverage

148   of ice surface albedo, α_{ice}, to WRF:

159
$$\alpha_{ice} = \begin{cases} (F_{swdn} - F_{swabs})/F_{swdn} & if\ F_{swdn} > 0 \\ \alpha_{ccsm} & if\ F_{swdn} = 0 \end{cases} \quad (2)$$

149   where F_{swdn} is the downward shortwave radiation from WRF, F_{swabs} is the ice-absorbed

150   shortwave radiation based on the delta-Eddington scheme, and α_{ccsm} is the diagnostic albedo

151   based on the parameterization used in the Community Climate System Model, which is the

152   function of snow/ice surface temperature, ice thickness, snow thickness, and the spectrum of

153   downward shortwave radiation. The surface emissivity of ocean, 0.984, is used for both WRF

154   and ROMS (Konda et al., 1994), and WRF uses the same value (0.95) as in CICE for the surface

155   emissivity of snow and ice. Warren (2019) reported that ice surface emissivity is 0.92 and snow

156   surface emissivity is 0.98-0.99. Table 1 summarizes the variables that WRF, ROMS, and CICE

157   exchange through the couplers with the improved coupling approach. The variables exchange

158   with the original coupling approach are also summarized in Table S1 in the supplement.

160   **2.1.3. Coupling cycle**

161   The model sequence of each model component in the CAPS based on the revised coupling

162   approach is illustrated in Figure 2. In our implementation of the revised coupling in CAPS,

163   WRF calculates its physical processes for the first atmospheric time step to determine all

164   variables (see Table 1), before the initial data exchange between WRF and ROMS/CICE, which

165   provides non-zero values for precipitation and downward radiative heat fluxes to drive

166   ROMS/CICE physics until the next data exchange. Originally, the surface layer scheme in

167   WRF updates the values of ice surface (e.g., surface temperature and turbulent heat fluxes). In

168   our implementation, the surface layer scheme still updates the related variables of ice surface

169   to maintain the stability of WRF, but the summed values based on Eq. (1) with the CICE-

170   derived values (turbulent heat fluxes of ice surface, ice surface temperature, and ice surface

171   albedo) are the final output values for the boundary layer scheme and the radiation transfer

172   scheme in WRF.

173   After receiving values from WRF, ROMS will determine the net heat (net radiative heat

174   fluxes plus turbulent heat fluxes), net salt (evaporation minus precipitation), and momentum

175   fluxes of the ocean surface assuming grid cells are ice-free. Subsequently, CICE receives its

176   required variables (see Table 1) through the ROMS-CICE internal coupler, computes fluxes at

177   the atmosphere–ice and ice–ocean interfaces, and then updates ice state variables to the next

178   sea ice time step. The computed heat, water, salt, and momentum fluxes at the ice–ocean

179   interface are integrated with the fluxes of ocean surface calculated previously based on Eq. (1)

180   as the final top boundary conditions for ROMS to update its state variables to the next oceanic

181   time step.

182   As described in Section 2.1, the same horizontal model grid for all model components in

183   CAPS makes WRF demand more computational resources than ROMS/CICE. WRF is also

184   more restrictive in atmospheric time step compared to ROMS. To balance the computational

185   loading between WRF and ROMS/CICE, WRF is configured to integrate more atmospheric

186   time steps before exchanging data with ROMS/CICE in this study, and WRF exchanges data

187   with ROMS/CICE every oceanic/sea ice time step (Fig. 2, Tab. 2, and see Sect. 2.2 for the exact

188   setup). Note that all data exchanges are done with instantaneous fields without employing time

189   averaging over a coupling cycle in this study.

190   **2.2.   Model configurations and simulation designs**

191   In this study, the model domains of WRF, ROMS, and CICE is the same as the previous

192   pan-Arctic configurations of CAPS (Yang et al., 2022; 2024, and see Fig. S1). The domain

193   includes 320 x- and 440 y- grid points with ~24km horizontal resolution on the polar

194   stereographic projection. Initial and lateral boundary conditions for WRF are generated from

195   the fifth generation of European Centre for Medium-Range Weather Forecasts Reanalysis

196   (ERA5, Hersbach et al., 2023, last access: 30 Nov 2025), with 6-hourly time spacing and a

197   spatial resolution of 0.25 degrees. During the initialization processes of WRF, we specify the

198   initial top of lowest model layer to 10 m, which provides ~5 m for the height of the lowest

199   model layer (z_a, see Table 1), and the remaining model layers (50 layers in total, see Table 2)

200   are determined by the default stretching parameters. Global Ocean Ensemble Physics

201   Reanalysis (GREP) produced by Copernicus Marine Service (https://doi.org/10.48670/moi-

202   00024, last access: 30 Nov 2025) is utilized to create initial and lateral boundary conditions for

203   ROMS and CICE. The ensemble of GREP includes Global Ocean ReanalYses and Simulations

204　(GLORYS2V4), Ocean Reanalysis System 5 (ORAS5), and the CMCC Global Ocean

205　Reanalysis Systems (C-GLORSv7). GREP provides daily mean oceanic and sea ice states with

206　a spatial resolution of 0.25 degrees, and the ensemble mean is used for ROMS and CICE.

207　Previous studies have shown that GREP can provide reasonable estimates of sea ice and

208　oceanic states in the Arctic (Cocetta et al., 2024; Yao et al., 2025).

209　　In this study, two numerical experiments covering the entire period of MOSAiC

210　expedition, starting from 00 UTC September 1st, 2019, to 00 UTC November 1st, 2020 have

211　been conducted for the model evaluation, and two experiments differ in whether the spectral

212　nudging scheme is applied in WRF. As shown in Cassano et al. (2011), the stand-alone WRF

213　simulations on the pan-Arctic domain tend to have significant biases in atmospheric circulation

214　due to improper treatment of stratosphere and the model top boundary. The nudged experiment

215　applies the spectral nudging to the wind, temperature, and humidity fields with wavenumber 1

216　to 3 nudged horizontally, and the spectral nudging is only applied to the top 25 of the 50 model

217　vertical layers (above ~6.7 km height) with the 10-layer transition (26 to 35 model layer) zone

218　from zero to full nudging weight (0.0003 s^{-1}). Hereafter, experiments without and with the

219　spectral nudging are denoted as Exp_FREE and Exp_NUD. Physical options of each model

220　component are mostly identical to those used in Yang et al. (2024) with several differences for

221　the revised CAPS. For shortwave and longwave radiation, the Rapid Radiative Transfer Model

222　(RRTMG, Iacono et al., 2008) is utilized as the radiation transfer scheme in WRF. The vertical

223　tracer mixing scheme in ROMS is changed to the Large-McWilliams-Doney interior closure

224　scheme, also known as K-profile parameterization (KPP, Large et al., 1994) with several

225   compiling options (controlled by C-preprocessor keywords) activated in ROMS including

226   surface boundary layer mixing (LMD_SKPP), bottom boundary layer mixing (LMD_BKPP,

227   Durski et al., 2004), non-local transport (LMD_NONLOCAL), convective mixing due to shear

228   instability (LMD_CONVEC), double-diffusive mixing (LMD_DDMIX), the effect of shear

229   instability on diffusivity (LMD_RIMIX), and Shapiro filter to boundary layer depth

230   (LMD_SHAPIRO, Shapiro 1970). Further details of physics options and experiment

231   configurations are summarized in Table 2. To investigate the consistency of surface heat flux

232   budget between WRF and ROMS/CICE, an additional experiment using the same

233   configuration as Exp_FREE is conducted with the original CAPS (Fig. 1a) and denoted as

234   Exp_FREE_OLD.

235   **2.3.   Evaluation data**

236   **2.3.1. MOSAiC data**

237   To evaluate the performance of the revised CAPS, the observations from the MOSAiC

238   expedition are utilized, which include radiosondes, the MOSAiC cloud observing suite,

239   meteorological tower, atmospheric surface flux stations (ASFS), conductivity-temperature

240   profiling through depth (CTD) buoys, and snow and ice mass balance array (SIMBA) buoys

241   (Nicolaus et al., 2022; Rabe et al., 2022; Shupe et al., 2022). These observations are distributed

242   within an observational network with approximately 15 km radius, and the network consists of

243   the central site (CO), three large sites (L1, L2, and L3) approximately 15 km away from CO,

244   and eight medium sites (M1 to M8). The distribution of sites during MOSAiC is referred to

245   Fig. 2 of Bliss et al. (2023). For atmospheric observations, radiosondes were launched four

246   times per day, typically at 05, 11, 17, and 23 UTC during MOSAiC. These radiosondes provide

247   vertical profiles from 12 m up to an altitude of about 30 km for temperature, relative humidity,

248   pressure, and winds (Maturilli et al., 2022). The cloud observing suite includes multiple sensors

249   (e.g., cloud radar, depolarization lidar, microwave radiometer, and ceilometer), which provide

250   time-height derivations of cloud microphysical properties (e.g., the cloud type, the condensed

251   water content) (Shupe, 2022). The meteorological tower at Met City (the CO site) measured

252   surface radiative (both downward and upward) heat fluxes with 1-min average intervals.

253   Turbulent heat fluxes were calculated from the tower measurements based on eddy covariance

254   method with 10-min average intervals (Cox et al., 2023). Three ASFS flux measurements,

255   which provide radiative and turbulent fluxes, located at the L1, L2, and L3 sites are also utilized,

256   and these flux measurements will be averaged spatially for the evaluation. For oceanic

257   observations, a set of 8 ice-tethered buoy systems with five CTD units at depths of 10, 20, 50,

258   75, and 100m observing temperature and salinity with 2-min intervals are chosen (Hoppmann

259   et al., 2022). Note that only the CTD-buoy at the M1 site, which provides long records of

260   temperature and salinity, is used for the evaluation. For sea ice observations, SIT and snow

261   depth measured by SIMBA buoys with 6-hour intervals are used (Lei et al., 2021). SIT can be

262   highly heterogeneous, ranging from a few centimeters to several meters within ~50 km

263   horizontal distance (e.g., Fig. 2.2 of Haas, 2017). SIT observed by the SIMBA buoys can also

264   be different across each buoy due to the spatial heterogeneity of SIT. Also, the SIMBA buoys

265   were not deployed simultaneously during the MOSAiC expedition, and some buoys only

266   provide relatively short records of SIT and snow depth. SIMBA buoys with relatively long

267 temporal coverage are chosen for the average of their shared period (from December 2019 to

268 June 2020) to minimize the spatial heterogeneity of SIT and better match the average state

269 represented by the model cells, and these buoys are located at the CO site with buoy T56, T62,

270 T66, the L1, L2, and L3 sites with buoy T63, T67, T70, and the M4 site with buoy T58. The

271 operational periods of SIMBA buoys during MOSAiC are referred to Fig. 2 of Lei et al. (2022).

272 Daily averages from these measurements will be compared with the grid cell nearest to the

273 observations in the modeled daily mean outputs. The track of the MOSAiC expedition started

274 in the northern Laptev Sea, began to move across the central Arctic with the Transpolar Drift,

275 and finally reached the sea ice edge in Fram Strait (Fig. 1 of Bliss et al., 2023).

276 **2.3.2. Satellite-derived and reanalysis-based sea ice data**

277 Since the data collected during the MOSAiC expedition can only provide point

278 observations along the drift, satellite-derived and reanalysis-based sea ice data are also utilized

279 to evaluate the revised CAPS spatially. For sea ice concentration (SIC), the daily SIC obtained

280 from the National Snow and Ice Data Center (NSIDC) is chosen in this study. The SIC data is

281 measured by Nimbus-7 Scanning Multichannel Microwave Radiometer (SMMR), the Defense

282 Meteorological Satellite Program (DMSP), Special Sensor Microwave/Imagers (SSM/Is), and

283 Special Sensor Microwave Imager/Sounder (SSMIS) passive microwave sensors, and the SIC

284 data is on the NSIDC polar stereographic grid with a spatial resolution of 25 km (NSIDC_0051,

285 DiGirolamo et al., 2022, last access: 30 Nov 2025). For sea ice thickness (SIT), the statistically

286 merged SIT based on CryoSat-2 and Soil Moisture and Ocean Salinity satellite data

287 (CS2SMOS, Ricker et al., 2017, last assess: 30 Nov 2025) is utilized. However, satellite-based

14

288 SIT is not available during the melting season since satellite cannot differentiate the mixture of

289 wet snow/sea ice, melt ponds, and open water (e.g., Huntemann et al., 2014). The daily SIT

290 produced by the Pan-Arctic Ice Ocean Modeling and Assimilation System (PIOMAS, Zhang

291 and Rothrock, 2003) is chosen to fill the data gap in CS2SMOS.

292 **3.   Results**

293 **3.1   Evaluation**

294 **3.1.1. Evaluation of sea ice concentration and thickness**

295   In this section, we first evaluate the revised CAPS focused on Exp_FREE and Exp_NUD

296 (with Exp_FREE_OLD as reference) against satellite-based sea ice observations to provide an

297 overview of the performance of revised CAPS in simulating sea ice conditions over the pan-

298 Arctic domain during the MOSAiC period. Figure 3 shows the evolutions of SIE of the

299 observations, Exp_FREE, Exp_NUD, and Exp_FREE_OLD, as well as the integrated ice-edge

300 error (IIEE, Goessling et al., 2016) of Exp_FREE, Exp_NUD, and Exp_FREE_OLD with

301 respect to the observations. SIE is computed as the summation of areas of all cells with at least

302 15% SIC, and the observed SIE is calculated from NSIDC-0051 SIC. IIEE is defined as the

303 sum of areas where the simulation and the observation disagree on SIC of the same cell being

304 above or below 15%. The smaller (larger) IIEE indicates that the simulated distribution of sea

305 ice edges is comparable (different) to the observed distribution. As shown in Fig. 3a, both

306 Exp_FREE and Exp_NUD can reasonably reproduce the seasonal cycle (i.e., the timing of

307 maximum and minimum) of SIE during the MOSAiC period, and both experiments show an

308 underestimation of $1.0{\sim}1.5 \times 10^6$ km^2 in SIE with respect to the observations around March

309   2020. In general, the evolution of SIE in Exp_FREE (Fig. 3a) shows smaller SIE for 2019/2020

310   winter months (November 2019 to April 2020) and larger SIE for 2020 summer months (June

311   to October 2020) compared to that in Exp_NUD (Fig. 3a). As reference, Exp_FREE_OLD (Fig.

312   3a) simulates larger SIE than Exp_FREE, and the differences in SIE become significantly

313   larger in 2020 summer, indicating the original coupling approach used in the previous version

314   of CAPS (Fig. 1a) may prone to drift to a state with extensive sea ice coverage as the model

315   integrates for longer period. IIEE of Exp_NUD (Fig. 3b) is generally smaller than that of

316   Exp_FREE (Fig. 3b) for most of the simulation period, suggesting that Exp_NUD shows better

317   performance in simulating the distribution of sea ice edges than Exp_FREE. Compared with

318   Exp_FREE, Exp_FREE_OLD (Fig. 3b) shows generally smaller IIEE from September 2019 to

319   April 2020 corresponding to better agreement between the simulated SIE in Exp_FREE_OLD

320   and the observed SIE. After July 2020, Exp_FREE_OLD shows generally larger IIEE than

321   Exp_FREE.

322   The monthly-averaged March and September SIC of the observations in 2020, and the

323   difference between the simulations and the observations are shown in Figure 4. Corresponding

324   to SIE shown in Fig. 3a, the underestimated SIE of Exp_FREE and Exp_NUD in March 2020

325   are mainly by the ULSIE in both experiments in the Sea of Okhotsk, the Barents Sea, the

326   Labrador Sea, and Davis Strait (Fig. 4b-c). In contrast to better agreement with the observed

327   SIC shown in Exp_NUD (Fig. 4c, colored areas), Exp_FREE simulates larger SIC in the Bering

328   Sea and smaller ice coverage the Barents Sea in the in March 2020 (Fig. 4b). Generally,

329   Exp_FREE_OLD shows larger ice coverage (e.g., the Sea of Okhotsk, the Barents Sea) than

330   Exp_FREE in March 2020 (Fig. 4b, 4d). In September 2020, the similar areal coverage of the

331   OLSIE along coasts of Alaska, central, and eastern Siberia and the ULSIE near the Fram Strait

332   and Canadian Archipelago in Exp_FREE contribute to smaller difference in SIE compared with

333   the observations (Fig. 3a, 4f). In contrast, the ULSIE in the central Arctic Ocean and Canadian

334   Archipelago (which partially compensated by the OLSIE in the Chukchi Sea) is the main

335   contributor to the underestimation of SIE in Exp_NUD (Fig. 3a, 4g). Exp_FREE_OLD shows

336   that most of the Arctic Ocean is covered by ice with at least 15% SIC (Fig. 4h) corresponding

337   to significant overestimation of SIE in summer 2020 shown in Fig. 3a.

338   Figure 5 shows the monthly-averaged March and September SIT of the reference data and

339   the simulations in 2020. The average SIT of all cells with at least 1% SIC for the reference data

340   and the simulations in March and September 2020 are also shown in Figure S2 in the

341   supplement. In March 2020, all experiments can reproduce the general patterns of SIT

342   compared with CS2SMOS, showing the thickest ice located north of the Canadian Archipelago

343   with decreasing thickness gradient toward Siberia (Fig. 5a-d). However, all experiments show

344   more thicker SIT accumulated in the Western Arctic Ocean, especially along the coastal areas

345   of Alaska and eastern Siberia. Exp_FREE simulates overall thinner SIT (~1.13 m, Fig. S2) in

346   the Arctic Ocean compared with Exp_NUD (~1.16 m, Fig. S2). For regional difference,

347   Exp_NUD show thicker SIT in the north of the Canadian Archipelago and Alaska but thinner

348   SIT along the Siberia coast compared to Exp_FREE (Fig. 5b-c). Corresponding to larger ice

349   coverage of Exp_FREE_OLD in March 2020 (Fig. 4d), Exp_FREE_OLD shows relatively

350   thicker SIT (~1.17 m, Fig. S2) in most of the Arctic Ocean than Exp_FREE (Fig. 5b, 5d). The

351   simulated biases of SIT in September 2020 of both experiments are partly associated with those

352   in March 2020. That is, the thinner SIT along the Siberia coast in Exp_NUD is less persistent,

353   and the thicker SIT along the coastal areas of Alaska and eastern Siberia in Exp_FREE and

354   Exp_NUD in March 2020 is more persistent (Fig. 5b-c, 5f-g). The OLSIE and ULSIE in

355   September 2020 (Fig. 4f-g) are also associated with the simulated bias of SIT in March 2020,

356   such as the OLSIE of Exp_FREE and Exp_NUD in the Chukchi Sea, and the ULSIE of

357   Exp_NUD in the central Arctic Ocean. The evolution of SIT from April 2020 to August 2020

358   shown in Figure S3 in the supplement also illustrates the lasting influence of simulated bias of

359   SIT in March 2020. Generally, Exp_NUD shows better agreement with CS2SMOS and

360   PIOMAS than Exp_FREE in both March and September 2020 (Fig. 5, Fig. S2). In

361   Exp_FREE_OLD, most of the Arctic Ocean is still covered by sea ice with the average SIT of

362   1.25 m in summer 2020 (Fig. 5h, Fig. S2), which is significantly thicker than Exp_FREE (~0.54

363   m, Fig. S2) and Exp_NUD (~0.59 m, Fig. S2), corresponding to extensive ice coverage in most

364   of the Arctic Ocean shown in Fig. 4h.

3.1.2. Evaluation of improved flux coupling

366   To demonstrate the consistence of flux coupling in the improved CAPS and to investigate

367   how the inconsistency in surface heat flux exchanges between WRF and ROMS/CICE in the

368   original coupling approach (Fig. 1a) contribute to the simulated sea ice biases in

369   Exp_FREE_OLD described above, the differences in the surface heat flux budget components

370   between the WRF and the ROMS/CICE sides (averaged over all open-ocean and ice-covered

371   grid cells, also referred to Fig. 1 and Equ. 1) for Exp_FREE_OLD and Exp_FREE are shown

372   in Figure 6. With the revised coupling approach (Fig. 6), the difference between WRF and

373   ROMS/CICE in surface sensible and latent heat fluxes is negligible (mostly less than 0.1 W/m^2)

374   throughout the simulation of Exp_FREE. In contrast, the inconsistency in surface turbulent

375   heat fluxes is more pronounced in Exp_FREE_OLD (Fig. 6). The differences in sensible heat

376   flux are mostly positive throughout the simulation, with the magnitude of differences ranging

377   from ~2.5 to ~12.5 W/m^2 (Fig. 6c). The differences in latent heat flux are mostly positive from

378   September 2019 to April 2020 (up to 7.5 W/m^2) and then negative afterward (up to 7.5 W/m^2)

379   (Fig. 6d). The combined effects of the inconsistency in surface heat flux budget are 0~15 W/m^2

380   for most of the simulation period in Exp_FREE_OLD (Fig. S4 in the supplement). In this study,

381   all fluxes are defined as positive downward. Hence, positive (negative) inconsistency in surface

382   heat flux budget represents that ROMS/CICE uses less (more) energy than it supposes to be as

383   the top boundary condition to update state variables in ROMS/CICE, which can be also viewed

384   as an artificial cooling (warming) effect in the ocean-sea ice coupled system due to the surface

385   heat flux inconsistency.

386   To further illustrate how the inconsistency in surface heat fluxes degrades the performance

387   of CAPS with the original coupling approach (in Exp_FREE_OLD), the evolutions of sea ice

388   volume (SIV) budget components (with their terminology according to Appendix E of Notz et

389   al., 2016) for Exp_FREE and Exp_FREE_OLD are compared (Figure 7). Overall, the total SIV

390   tendencies in Exp_FREE_OLD (Fig. 7a) are higher than those in Exp_FREE (Fig. 7a) for most

391   of the simulation, with largest differences (up to 0.2 cm/day) between both experiments in July

392   2020 compared to the rest of the simulation. The higher total SIV tendencies in

393   Exp_FREE_OLD indicates that the simulated SIT in Exp_FREE_OLD will become thicker

394   than that in Exp_FREE as the model integrates, which is also shown in the average SIT in

395   March and September 2020 (Fig. S2). The thicker SIT contributes slower retreating of sea ice

396   coverage during the melting season in Exp_FREE_OLD, corresponding to significantly

397   overestimated SIE shown in Figure 3a. Compared to Exp_FREE, Exp_FREE_OLD shows

398   higher SIV growth rate at the bottom of the ice (basal growth, Fig. 7f) and from supercooled

399   open water (frazil, Fig. 7g) in 2019/2020 winter and spring, and significantly less SIV melting

400   at the atmosphere–ice interface (top melt, Fig. 7b) in summer 2020. Figure 8 shows the heat

401   flux budget components at the atmosphere–ice interface and the ice–ocean interface for both

402   Exp_FREE and Exp_FREE_OLD. Generally, the net heat flux at the atmosphere–ice interface

403   (Fig. 8a) is well correlated to the total SIV tendencies shown in Figure 7a. Larger negative net

404   heat fluxes (i.e., sea ice loses energy to the atmosphere) contribute to larger SIV growth.

405   Accordingly, the larger negative heat flux in Exp_FREE_OLD compared to Exp_FREE drives

406   the larger SIV growth in Exp_FREE_OLD in winter, particularly in January 2020. And, the

407   smaller positive net heat fluxes (i.e., sea ice gains energy from the atmosphere) in

408   Exp_FREE_OLD, compared to Exp_FREE, in July 2020 are responsible for the smaller SIV

409   melting in Exp_FREE_OLD. The decomposition of the net heat fluxes at the ice surface to

410   radiative and turbulent components (Figs. 8b-d) shows that the larger negative net heat fluxes

411   from October 2019 to December 2019 in Exp_FREE_OLD are caused by the combination of

412   latent heat flux and net longwave radiation loss at the surface (which are partially cancelled by

413   oscillated positive sensible heat fluxes). The smaller positive net heat fluxes in

414   Exp_FREE_OLD in July 2020 are mainly dominated by smaller net shortwave radiative fluxes.

415   In contrast to net heat fluxes at the atmosphere–ice interface, net heat fluxes at the ice–ocean

416   interface does not show clear contributions to SIV tendencies in Exp_FREE_OLD (Fig. 7, 8f).

417   **3.2   Evaluation based on the MOSAiC observations**

418   **3.2.1. Snow and ice mass balance**

419   The evolutions of SIT and snow depth for the observations and the simulations along the

420   MOSAiC drift are shown in Figure 9. For SIT (Fig. 9, lower box), SIMBA shows ~1.0 m ice

421   thickness at the beginning of December 2019 and reaches ~1.9 m at the beginning of June 2020.

422   The simulated SIT of Exp_FREE and Exp_NUD show some disagreement with that of the

423   SIMBA buoys, which is partly associated with that the simulations do not reproduce exactly

424   the same ice trajectory as the track of SIMBA buoys. Exp_FREE shows similar SIT as the

425   SIMBA buoys at the beginning of December 2019 and comparable thickening changes from

426   December 2019 to February 2020. Afterwards, SIT in Exp_FREE shows early thinning changes

427   relative to the SIMBA buoys. On the other hand, SIT in Exp_NUD shows overall thickening

428   evolutions, which are relatively similar to those by the SIMBA buoys. Exp_NUD also shows

429   thicker SIT compared to the SIMBA buoys (~1.2 m vs. ~1.0 m, which still lies within the

430   uncertainty range of SIMBA) at the beginning of December 2019. For snow depth (Fig. 9,

431   upper box), snow depth observed by the SIMBA buoys is near constant (~20 cm) from

432   December 2019 to June 2020 and then decreases afterward. Both Exp_FREE and Exp_NUD

433   can simulate the timing of snow depth decreases. However, they also show a throughout snow

434   depth thickening in contrast to near constant snow depth shown in the observations from

21

435   December 2019 to May 2020. Also, Exp_NUD shows relatively larger snow accumulation than

436   Exp_FREE.

437   Similar to SIV budget averaged for the entire domain discussed in Section 3.1, the snow

438   and sea ice volume budgets along the track of SIMBA buoys for Exp_FREE and Exp_NUD

439   are shown in Figure 10 to interpret the above-described simulated biases. The figure indicates

440   that the evolutions of SIT (shown in Fig. 9), especially the SIT thinning, are primarily driven

441   by basal melt for both Exp_FREE and Exp_NUD. Exp_FREE shows larger basal melt and

442   earlier increases in basal melt than Exp_NUD. For snow depth, the thickening of snow depth

443   in Exp_FREE and Exp_NUD are associated with individual snowing events (i.e., spikes in

444   snow fall shown in Fig. 10a, upper box). Since snow volume budgets in Exp_FREE and

445   Exp_NUD are well correlated with the evolutions of snow depth shown in Figure 9, this

446   indicates that the discrepancy between the simulated snow depth and the SIMBA observations

447   may be associated with missing processes in the CICE model. Currently, ice thermodynamics

448   in the CICE model (Bitz and Lipscomb, 1999; Turner and Hunke, 2015) treat snow and ice as

449   an integral, and snow is not independently transported to other grid cells by surface wind.

450   **3.2.2. Surface atmospheric energy budget**

451   Figure 11 shows radiative and turbulent heat fluxes at the ice surface for the observations

452   and the simulations along the MOSAiC drift. For net shortwave radiative flux (NetSW, Fig.

453   11a), Exp_FREE, Exp_NUD, and the observations show similar evolution of NetSW from

454   March to June 2020. Afterward, both Exp_FREE and Exp_NUD simulate smaller peak of

455   NetSW in mid-June 2020 with underestimations of ~40 W/m^2 for both Exp_FREE and

456   Exp_NUD compared with the observations. For net longwave radiative flux (NetLW, Fig. 11b),

457   Exp_FREE and Exp_NUD, show different periods with smaller biases compared with the

458   observations from November 2019 to June 2020. Afterward, both Exp_FREE and Exp_NUD

459   show overestimations (~15-25 W/m^2 for Exp_FREE, ~10-15 W/m^2 for Exp_NUD) of NetLW

460   compared to the observed values. For turbulent heat fluxes (Fig. 11c-d), both Exp_FREE and

461   Exp_NUD show similar evolution of sensible and latent heat fluxes (SH and LH), and the

462   simulated SH and LH are similar to the observations from November 2019 to February 2020.

463   Afterward, the simulated SH and LH begin to show biases compared with the observed SH and

464   LH, especially the simulated LH from May 2020 to June 2020. Both Exp_FREE and Exp_NUD

465   show different timings with smaller/larger biases in NetLW, SH, and LH compared to the

466   observed values, indicating the grid cell nearest to the locations of the observations in

467   Exp_FREE and Exp_NUD are experiencing different synoptic systems in the atmosphere

468   during the simulation period. Although Exp_FREE and Exp_NUD show biases in net radiative

469   and turbulent heat fluxes, the net heat fluxes over the ice surface, which is the major factor

470   determining changes in ice temperature, ice melt, and ice growth in CICE, show better

471   agreement with the observational values for both Exp_FREE and Exp_NUD due to bias

472   cancellation (Fig. S5 in the supplement).

473   **3.2.3. Atmospheric profile**

474   Figure 12 shows the vertical profiles of atmosphere temperature and relative humidity

475   (RH) measured by radiosondes launched during the MOSAiC expedition, and the difference

476   between the observations and the experiments along the track of MOSAiC drift. For

477   atmospheric temperature profiles, Exp_FREE simulates profiles with biases oscillating

478   between warm and cold, and the magnitude of biases is larger from October 2019 to April 2020

479   (Fig. 12b) compared to the rest of periods. From December 2019 to April 2020, Exp_FREE

480   shows excessively warm biases (over 10 degrees Celsius) above ~8km altitude. In contrast to

481   Exp_FREE, the simulated temperature profiles in Exp_NUD show much better agreement with

482   the observed profiles (Fig. 12c). For RH profiles, Exp_FREE simulates mainly dryer profiles

483   with intermittent wetter profiles relative to the radiosondes (Fig. 12e). These intermittent

484   profiles are mainly wetter above ~500 m altitude. Below ~500 m altitude, these intermittent

485   profiles are generally dryer compared to the radiosondes. Exp_NUD shows similar patterns in

486   RH profiles (i.e., generally dryer, and intermittently wetter above ~500 m altitude) as

487   Exp_FREE, but smaller differences relative to the observations (Fig. 12f). The differences in

488   temperature and RH profiles between Exp_FREE and Exp_NUD shown in Figure 12b-c are

489   partly associated with the simulated synoptic systems compared with the observations.

490   MOSAiC was characterized by anomalous high frequencies of storms relative to the period of

491   1979-2020 impacting the trajectory of MOSAiC drift (Liang et al., 2025). These storm events

492   were associated with anomalous meteorological conditions during the MOSAiC period such as

493   anomalously warm conditions by storms during 16th to 20th November 2019, and anomalously

494   low sea level pressure condition by storms during 11th to 25th March 2020 (hereafter referred

495   as STORM-1 and STORM-2; Rinke et al., 2021). The average mean sea level pressure (MSLP),

496   near-surface air temperature (T2), and 10 m wind fields (UV10) in ERA5, Exp_FREE, and

497   Exp_NUD for the period of STORM-1 and STORM-2 are shown in Figure 13. The average

498   temperature and RH profiles for Exp_FREE, Exp_NUD, and the radiosondes are also shown

499   in the supplement (Fig. S6) during both storm periods. During the STORM-1 period, in ERA5,

500   the averaged location of radiosondes (Fig. 13, white stars) is positioned in the central Arctic

501   encompassing by a low-pressure system (Fig. 13a). Exp_FREE also simulates a low-pressure

502   system with lower MSLP encompassing the averaged location of radiosondes (Fig. 13b). The

503   similarity of atmospheric circulations between ERA5 and Exp_FREE near the averaged

504   location of radiosondes results in similar average temperature profiles from surface to 7 km

505   altitude (Fig. S6). During the STORM-2 period, a low-pressure system located at the Barents-

506   Kara Seas encompasses the radiosondes in ERA5 (Fig. 13d) while Exp_FREE shows a low-

507   pressure system centered at the Greenland Sea and the averaged location of radiosondes is

508   positioned at the location with higher MSLP (Fig. 13e). The atmospheric circulations and

509   temperature fields in Exp_FREE result in the warm advection from the North Atlantic to the

510   location of MOSAiC during the STORM-2 period (Fig. 13k), which is partly contributed to the

511   warmer profiles in Exp_FREE from surface to ~7 km altitude (Fig. 12 and Fig. S6b).

512   Exp_FREE also shows enhanced warm biases above ~7 km altitude for both STORM-1 and

513   STORM-2 period (Fig. S6a-b). With the temperature and wind fields at 8 to 10 km altitude (Fig.

514   S7 in the supplement), it shows that the warm bias in Exp_FREE increases with altitude and

515   the bias pattern is near barotropic. This may be associated with biases from the stratosphere

516   and the model top boundary as suggested by Cassano et al. (2011). In contrast to Exp_FREE,

517   the simulated atmospheric circulations and temperature fields in Exp_NUD resemble those in

518   ERA5 during both storm periods (Fig. 13) corresponding to small temperature biases shown in

25

519   the simulated profiles (Fig. 12c, Fig. S6a-b). Exp_NUD, which applies the nudging scheme to

520   the upper levels, can well reproduce the atmospheric circulations and temperature fields for

521   other periods during MOSAiC (not shown). However, CAPS with the nudging scheme still

522   faces challenges in simulating RH conditions during the STORM-1 and STORM-2 periods (Fig.

523   S6c-d). As shown by Figures 12 and 13, the accuracy in simulating the synoptic conditions is

524   crucial for CAPS reproducing the observed vertical thermal structure in the Arctic. The results

525   show that larger biases in Exp_FREE from December 2019 to April 2020 described above (Fig.

526   12) are partly associated with that Exp_FREE does not properly reproduce these synoptic

527   systems during this period. Liang et al. (2025) also shows that the storm events impacting the

528   MOSAiC site are mostly concentrated in the ice growth period (November 2019 to March

529   2020).

530   **3.2.4. Ocean profile**

531   Figure 14 shows the vertical profiles of ocean temperature and salinity in the upper 100

532   m observed by CTD buoys, and the difference between the observations and the simulations

533   along the MOSAiC drift. Unlike the comparisons with sea ice and atmospheric measurements

534   described above, Exp_FREE and Exp_NUD show similar patterns of simulated ocean

535   temperature and salinity in upper ocean layer before mid-June 2020.

536   For oceanic temperature profiles (Fig. 14b-c), both experiments show slightly warmer

537   temperatures (less than 0.1 degree Celsius) in the upper 30 m before July 2020 than the

538   observational values. Before February 2020, both experiments show slightly warmer

539   temperatures (differences of 0.2~0.4 degrees Celsius) between 30 m to 80 m layer. From March

540   2020 to June 2020, the positive differences increase with depth such that the experiments

541   simulate 0.5~1.0 degrees Celsius warmer ocean temperatures in 60 m to 100 m layers. Both

542   experiments also show slightly colder temperatures between 80 m to 100 m layer before

543   January 2020. Overall, the simulated oceanic temperature in Exp_NUD shows slightly better

544   agreement with the CTD data than that in Exp_FREE. For salinity profiles (Fig. 14e-f), both

545   experiments show fresher (1.0~2.0 psu) upper layer before December 2019. Afterward, both

546   experiments begin to show better agreement (-0.25~0.25 psu differences) with the observed

547   salinity in the upper 40 m, and then the depth with agreement extends to 100 m until May 2020.

548   Similar to oceanic temperature profiles, Exp_NUD also shows slightly better agreement with

549   the observed salinity profiles than Exp_FREE.

550   After June 2020, the significant differences in oceanic temperature and salinity between

551   the simulations and observations are associated with the simulated SIC and the upper ocean

552   thermal structure along the track of CTD buoys. Both Exp_FREE and Exp_NUD show warm

553   biases around July 2020 for the 10-100 m layer and warm biases in Exp_FREE (over 1.5

554   degrees) are much larger than those in Exp_NUD (~0.5 degrees). These warm biases in

555   Exp_FREE and Exp_NUD correspond to SIC less than 0.9 while the observations still show

556   near 1.0 SIC as shown in Figure S8 in the supplement. The spatial distribution of temperature

557   and salinity of Exp_FREE and Exp_NUD at 10, 50, and 100 m depth on July 1st, 2020, also

558   indicates that the biases shown in Figure 14 are associated with the simulated Atlantic inflows

559   (Fig. S9 in the supplement).

560   **4.   Conclusions and Discussions**

561   This study introduces an improved version of Coupled Arctic Prediction System (CAPS)

562   that conserves surface heat fluxes between the atmosphere and ocean components of CAPS. A

563   set of pan-Arctic numerical experiments covering the entire MOSAiC expedition have been

564   carried out for demonstrating the improvement in simulating Arctic sea ice conditions from the

565   conservation of surface heat fluxes, and for the evaluation of improved CAPS in simulating

566   Arctic climate system based on the MOSAiC observations.

567   The results show that the inconsistency in surface heat fluxes shown in the previous

568   version of CAPS has been significantly minimized with the revised coupling approach, and the

569   simulated Arctic sea ice conditions with the improved CAPS are more comparable to satellite-

570   based sea ice observations than those with the previous version of CAPS. The model evaluation

571   against the MOSAiC observations demonstrates that CAPS, with applying the spectral nudging

572   applied to the upper model levels in the atmosphere component, can better reproduce the

573   atmospheric, oceanic, and sea ice conditions compared to the observations along the track of

574   MOSAiC expedition than its counterpart without utilizing the spectral nudging technique. In

575   this study, the simulated sea ice conditions are sensitive to the choices of physics options in

576   both the atmospheric and ice-ocean model components used in the free simulation (Exp_FREE).

577   Simulations conducted with the improved CAPS choosing the same physics options used in

578   the previous version of CAPS (e.g., Yang et al., 2022) can result in the underperformance of

579   the simulated sea ice conditions (not shown). This indicates further investigations of physical

580   processes in CAPS are required to further improve the performance of CAPS. Firstly, CAPS

581   faces challenges to simulate surface heat flux budget over the ice surface regardless with or

582   without the spectral nudging. Liu et al. (2024) found that the atmospheric boundary layer

583   observed during MOSAiC can be categorized into a surface inversion type and an elevated

584   inversion type, and the enhanced non-local effects associated with a surface inversion type pose

585   difficulties on similarity theory-based parametrizations to simulate surface sensible heat fluxes.

586   Secondly, CAPS does not well reproduce the timings and the height of temperature inversions

587   compared with the radiosonde, in particular the simulation without applying spectral nudging

588   (Exp_FREE), throughout the MOSAiC period as shown in Figure S10 in the supplement. The

589   strong temperature inversions in wintertime Arctic have important effects on the magnitude of

590   downward longwave radiation near the surface (Bintanja et al., 2011). The simulated downward

591   longwave radiation is shown to be associated with the boundary layer stability (e.g., Jozef et

592   al., 2024). Thirdly, surface radiative heat fluxes are regulated by clouds (e.g., Curry et al., 1996;

593   e.g., Shupe and Intrieri, 2004). However, it is still challenging for CAPS to properly reproduce

594   liquid water content (LWC) and ice water content (IWC) associated with the frequent

595   occurrence of Arctic mixed-phase clouds and their associated radiative impacts (e.g., Tan et al.,

596   2023) during the MOSAiC expedition. Here, an additional experiment is conducted for the

597   STORM-1 period with high-frequency outputs for cloud properties, and the calculated LWC

598   and IWC compared with the MOSAiC observations are shown in Figure 15. Generally, CAPS

599   strongly underestimates both LWC and IWC during the STORM-1 period in terms of the

600   occurrence and the vertical distribution, which corresponds to the simulated dryer atmospheric

601   profiles (Fig. 12). The underestimations of LWC and IWC are associated with the treatment in

602   the microphysics and the atmospheric boundary layer schemes (e.g., Arteaga et al., 2024;

603   Sedlar et al., 2020). Arteaga et al. (2024) also suggest that increases in the cloud condensation

604   nuclei and droplet number concentrations in the microphysics schemes can have impacts on

605   the simulated LWC and IWC. Furthermore, it is essential to increase model resolution both

606   horizontally and vertically to properly simulate the phase heterogeneity in Arctic clouds (e.g.,

607   Dammann et al., 2025), which demands more computational resources for high-resolution

608   simulations.

609   Regardless of the challenges posed to CAPS, the conservation of surface heat fluxes

610   exchange between the atmosphere and the ocean in CAPS provides a solid foundation for future

611   improvements of representation of physical processes, especially for processes related to

612   surface heat flux calculations. Additionally, the simulated sea ice conditions are less sensitive

613   to different combinations of physical options when the spectral nudging is applied in the

614   atmosphere component of CAPS, and our results also show that the nudging experiment can

615   reproduce similar atmospheric circulations compared with ERA5 (Fig. 13). This indicates

616   CAPS is potentially applicable to the storyline approaches (e.g., Baldissera Pacchetti et al.,

617   2024), that investigate how past events, for example, "Great Arctic Cyclone" of 2012

618   (Simmonds and Rudeva, 2012), influence sea ice changes under different climate scenarios.

619   In this study, the implementation of our revised coupling approach is based on the shared

620   horizontal grid between the atmosphere component and the ocean-sea ice component. For

621   applications that require higher resolution (e.g., sea ice linear kinematic features, ocean eddies

622   in polar oceans), both the atmosphere model and the ocean-sea ice model have to increase their

623   resolutions to the same grid in our current coupling approach, which may be computationally

624   unrealistic for the atmosphere component. CAPS does have the capability for the atmosphere

625   component and the ocean-sea ice component using different grids through the interpolation

626   weightings generated by the Earth System Modeling Framework (ESMF). However, the

627   interpolation is mask-to-mask basis in CAPS, i.e., a smaller ocean-sea ice grid cell may

628   represent a larger ocean mask (especially near the complex topography) in the atmosphere

629   component. That is, the spatial heterogeneity of the surface types (i.e., the mixture of land,

630   ocean, and sea ice) within a larger atmosphere grid cell is not properly treated. This will lead

631   to less flux consistency between the atmosphere component and the ocean-sea ice component.

632   Recently, a regional modeling system developed by Karsten et al. (2024), which applies a flux

633   coupling approach (e.g., Balaji et al., 2006) on an exchange grid, demonstrates the future

634   direction of improving our coupling approach for wider applications. With the flux coupling

635   approach on an exchange grid, a locally consistent treatment of the surface fluxes between

636   model components with independent grids is achievable.

637

638   Code and data availability:

639   This study uses publicly available sea-ice satellite observations, atmosphere, and ocean

640   reanalysis from the original data providers: NSIDC-0051 sea-ice concentration

641   (https://nsidc.org/data/nsidc-0051/versions/2; last access: 30 Nov 2025), CS2SMOS sea-ice

642   thickness (https://data.meereisportal.de/relaunch/CS2SMOS.php; last access: 30 Nov 2025),

643   PIOMAS sea-ice thickness (https://psc.apl.uw.edu/research/projects/arctic-sea-ice-volume-

644   anomaly/data/; last access: 30 Nov 2025), ERA5 atmospheric reanalysis

645   (https://cds.climate.copernicus.eu/datasets/; last access: 30 Nov 2025), and CMEMS GREP

646   ocean and sea-ice products (https://data.marine.copernicus.eu/products; last access: 30 Nov

647   2025). MOSAiC datasets were obtained from the following DOIs: radiosonde data (Maturilli

648   et al., 2022; https://doi.pangaea.de/10.1594/PANGAEA.943870), cloud microphysics (Shupe,

649   2022;   https://doi.org/10.5439/1871015),   surface   fluxes   (Cox   et   al.,   2023a–d;

650   https://doi.org/10.18739/A2PV6B83F;   https://doi.org/10.18739/A2FF3M18K;

651   https://doi.org/10.18739/A25X25F0P;   https://doi.org/10.18739/A2XD0R00S),   CTD   data

652   (Hoppmann et al., 2022; https://doi.pangaea.de/10.1594/PANGAEA.940271), and SIMBA

653   data (Lei et al., 2021; https://doi.org/10.1594/PANGAEA.938267).

654   To ensure full and long-term reproducibility, the exact evaluation datasets used in this

655   study (including NSIDC-0051, CS2SMOS, PIOMAS sea ice data, and the processed MOSAiC

656   datasets   used   for   evaluation)   are   archived   in   Zenodo   repository:

657   https://doi.org/10.5281/zenodo.18764432 (Yang, 2026a). The exact model version used to

658   produce the results is archived in Zenodo repository: https://doi.org/10.5281/zenodo.18308943

659   (Yang, 2026b). The pan-Arctic simulation outputs analyzed in this study are archived in Zenodo

660   repository: https://doi.org/10.5281/zenodo.18308549 (Yang, 2026c).

661

662   Author contributions: CYY implemented the revised coupling approach in CAPS,

663   conducted numerical experiments, and wrote the original manuscript. FG performed the

664   evaluation based on the MOSAiC data. CYY and JL were responsible for the conceptualization

665   of this study. CYY, FG, JL, and AR were responsible for the revisions of the manuscript. All

666   co-authors reviewed and commented on the final version of the manuscript.

667

668   Competing interests: The authors declare that they have no conflict of interest.

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1033 **6.   Tables**

1034   Table 1 List of exchanged variables in CAPS with the revised coupling approach.

Variable	Source	Destination	Meaning
F_{swdn}	WRF	ROMS, CICE	Downward shortwave radiation at the surface
F_{lwdn}	WRF	ROMS, CICE	Downward longwave radiation at the surface
$F_{sh,ao}$	WRF	ROMS	Sensible heat flux at the atmosphere–ocean interface
$F_{lh,ao}$	WRF	ROMS	Latent heat flux at the atmosphere–ocean interface
$\vec{\tau}_{ao}$	WRF	ROMS	Wind stress at the atmosphere–ocean interface
$MSLP$	WRF	ROMS	Mean sea level pressure
z_a	WRF	CICE	Height of the lowest atmospheric level
θ_a	WRF	CICE	Potential temperature at the lowest atmospheric level
Q_a	WRF	CICE	Specific humidity at the lowest atmospheric level
$\vec{U}_a$	WRF	CICE	Wind fields at the lowest atmospheric level
P_{total}	WRF	ROMS, CICE	Total precipitation rate
E_{ao}	WRF	ROMS	Evaporation rate at the atmosphere–ocean interface
SST	ROMS	WRF, CICE	Sea surface temperature
SSS	ROMS	CICE	Sea surface salinity
W_{fr}	ROMS	CICE	Freezing/melting potential
$\vec{U}_o$	ROMS	CICE	Surface ocean currents
∇H_o	ROMS	CICE	Sea surface slope
A_{ice}	CICE	WRF	Sea ice concentration
T_{ice}	CICE	WRF	Ice surface temperature
α_{ice}	CICE	WRF	Surface albedo of snow and ice
$F_{sh,ai}$	CICE	WRF	Sensible heat flux at the atmosphere–ice interface
$F_{lh,ai}$	CICE	WRF	Latent heat flux at the atmosphere–ice interface
$F_{swthru,io}$	CICE	ROMS	Penetrated shortwave radiation through sea ice
$F_{heat,io}$	CICE	ROMS	Net heat flux at the ice–ocean interface
$F_{water,io}$	CICE	ROMS	Freshwater flux at the ice–ocean interface
$F_{salt,io}$	CICE	ROMS	Salt flux at the ice–ocean interface
$\vec{\tau}_{io}$	CICE	ROMS	Ice–ocean stress

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1037   Table 2 The summary of physic options and details of the revised CAPS.

Horizontal grid points	320 x grid points * 440 y grid points
Horizontal grid spacing	~24 km
Vertical layers	WRF: 50 with 10 hPa as the model top ROMS: 40 CICE: 7 ice layers, 1 snow layer
Time step	WRF: 60 s WRF radiation calling interval: 15 min ROMS/CICE: 180 s Coupling frequency: 180 s
WRF physics	
Cumulus	Grell-Freitas (Freitas et al. 2018)
Microphysics	Morrison 2-moment (Morrison et al. 2009)
Longwave radiation	RRTMG (Iacono et al., 2008)
Shortwave radiation	RRTMG (Iacono et al., 2008)
Boundary layer	MYNN Level 2.5 (Nakanishi and Niino, 2006)
Land surface	Unified Noah LSM (Chen and Dudhia 2001)
ROMS physics	
Tracer advection	Upwind third-order horizontal advection with the upwind flux limiter (Leonard and Mokhtari, 1990; Shchepetkin, and McWilliams, 2005) Centered fourth-order vertical advection (Shchepetkin, and McWilliams, 2005)
Tracer vertical mixing	KPP (Large et al., 1994)
CICE physics	
Ice dynamics	EVP (Hunke and Dukowicz, 1997)
Ice thermodynamics	Bitz and Lipscomb (1999)
Shortwave albedo	Delta-Eddington (Briegleb and Light, 2007)
Melt pond	Level-ice (Hunke et al., 2013)

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1040   **7.   Figures**
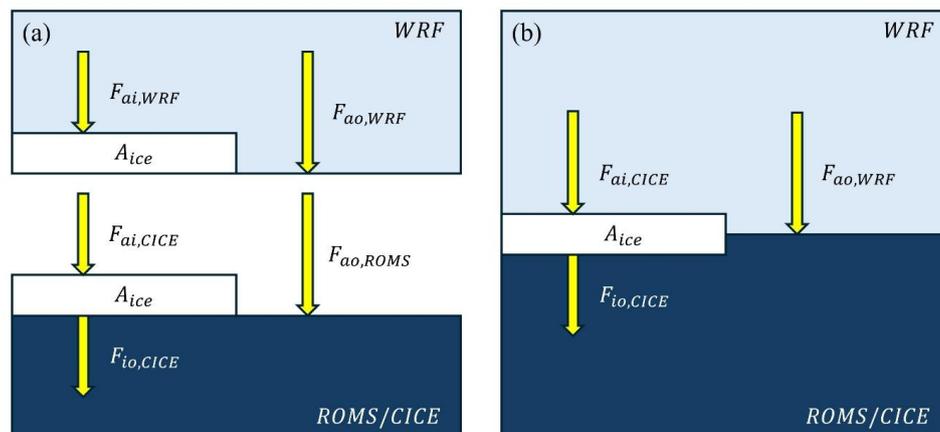
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1042   Figure 1 Pathways of surface heat fluxes exchange between WRF and ROMS/CICE in CAPS

1043   for (a) the original coupling approach, and (b) the revised coupling approach.

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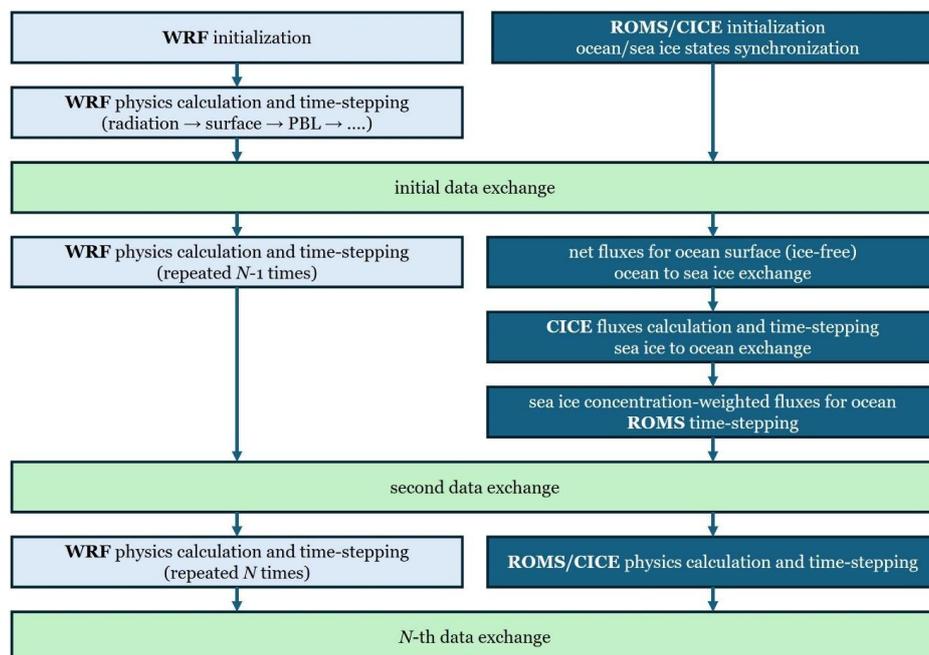
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Figure 2 Schematic model sequence diagram for coupling between WRF and ROMS/CICE.
The steps taken in WRF and ROMS/CICE are visualized as the light blue and dark blue blocks.
The variable exchange is represented as the light green block.
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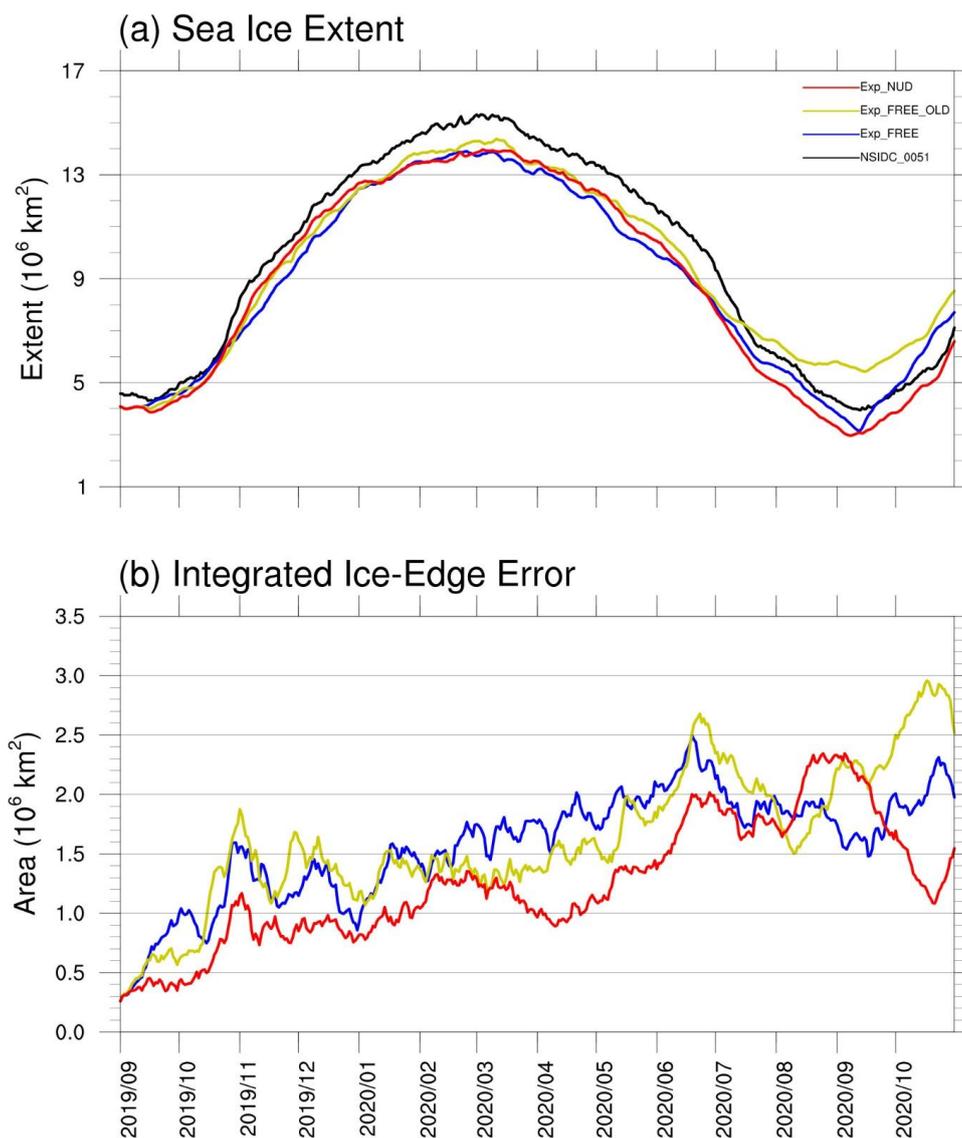
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Figure 3 Time-series of (a) Arctic sea ice extent for the observations (NSIDC-0051, black line),
Exp_FREE_OLD (yellow line), Exp_FREE (blue line), and Exp_NUD (red line), and (b)
integrated ice-edge error for Exp_FREE_OLD, Exp_FREE, and Exp_NUD.

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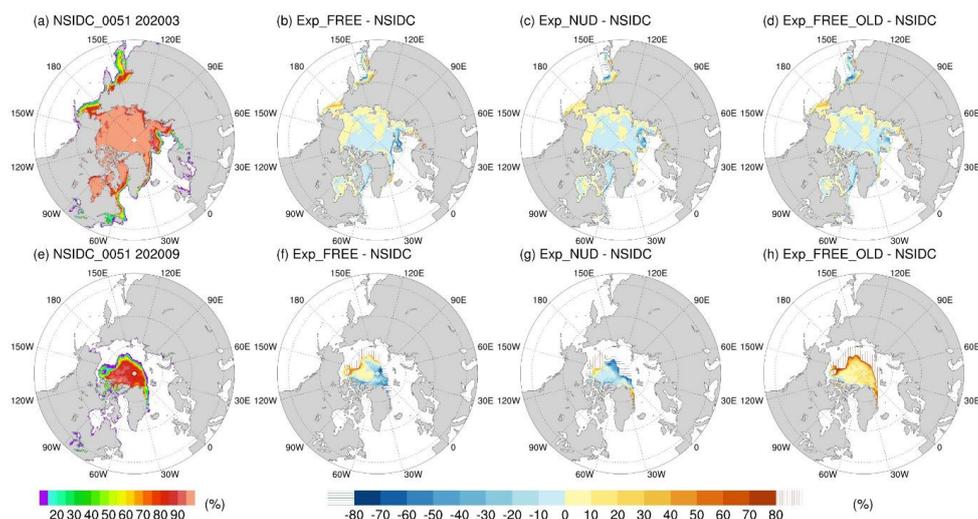
Figure 4 The monthly averaged sea ice concentration for the observations in (a) March 2020, and (e) September 2020, and the difference between the experiments and the observations for Exp_FREE, Exp_NUD, and Exp_FREE_OLD in (b-d) March 2020, and (f-h) September 2020. Note: vertical/horizontal-lining represents the overestimated/underestimated local sea ice extent (OLSIE/ULSIE) with respect to the observed local sea ice extent, same as the definition of IIEE (Goessling et al., 2016).
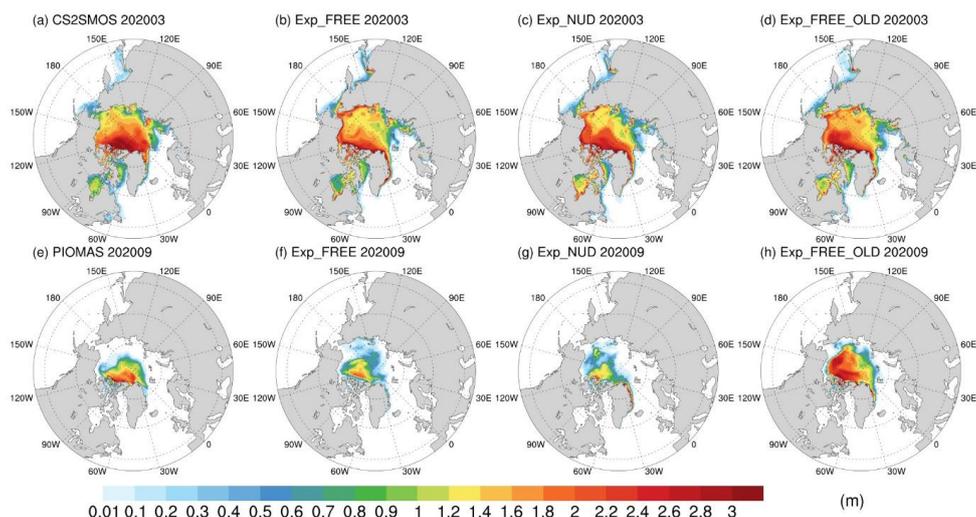
Figure 5 The monthly averaged sea ice thickness for the reference data, Exp_FREE, Exp_NUD, and Exp_FREE_OLD in (a-d) March 2020, and (e-h) September 2020.
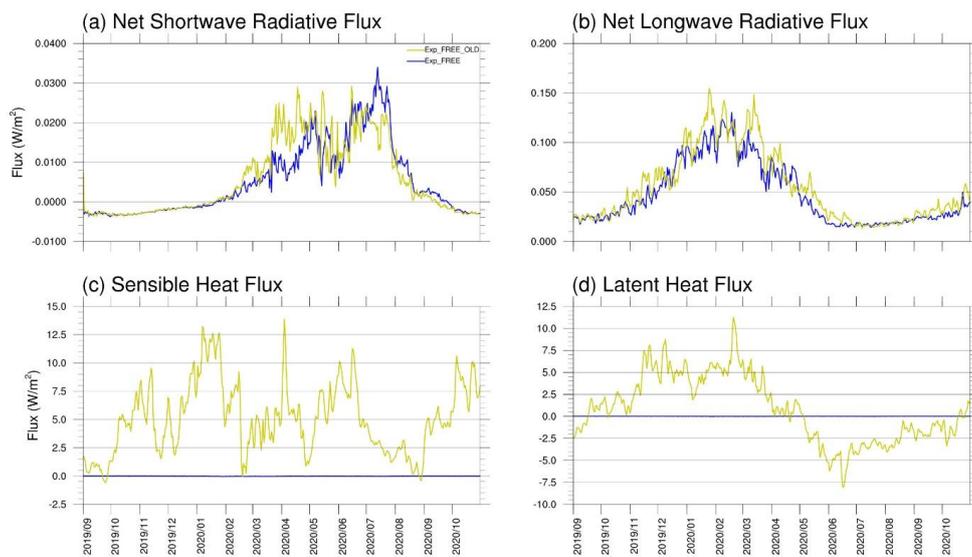
Figure 6 Differences between WRF and ROMS/CICE sides of (a) net shortwave radiative flux, (b) net longwave radiative flux, (c) sensible heat flux, and (d) latent heat flux averaged over all open-ocean and ice-covered cells for Exp_FREE (blue line) and Exp_FREE_OLD (yellow line). Note: all fluxes are defined as positive downwards.
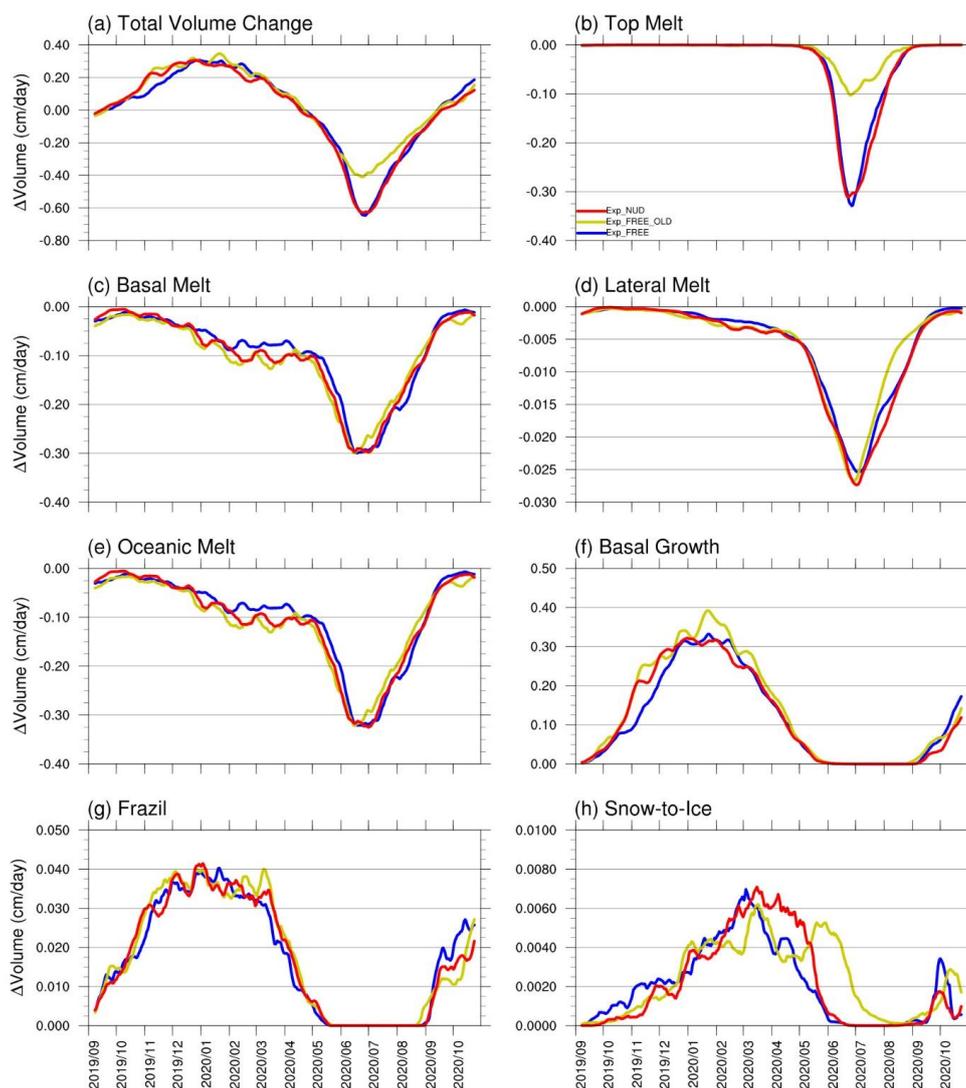
Figure 7 Time-series (15-day running-averaged) of sea ice volume budget for Exp_FREE (blue line), Exp_FREE_OLD (yellow line), and Exp_NUD (red line). Sea ice volume budgets include: (a) total volume change, (b) sea ice melt at the air-ice interface (top melt), (c) sea ice melt at the bottom of the ice (basal melt), (d) sea ice melt at the sides of the ice (lateral melt), (e) sea ice melt from oceanic energy (basal plus lateral melt), (f) sea ice growth at the bottom of the ice (basal growth), (g) sea ice growth by supercooled open water (frazil), and (h) sea ice growth due to transformation of snow to sea ice (snowice). Note: (a)-(h) are averaged over the model domain (Fig. S1).
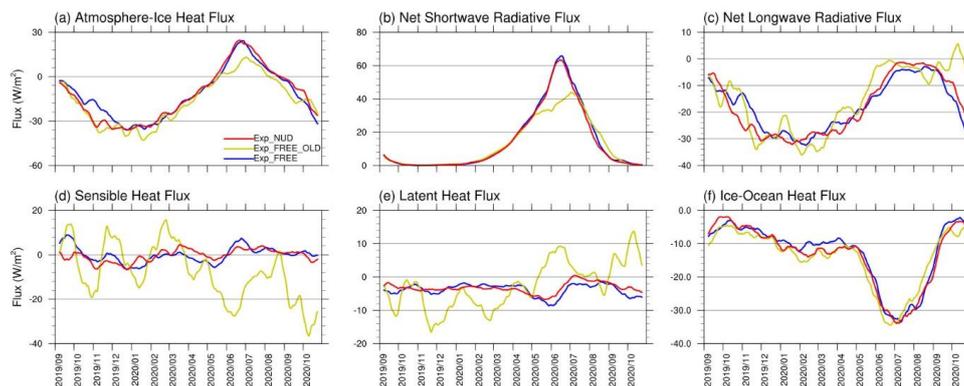
Figure 8 Time-series (15-day running-averaged) of (a) atmosphere–ice heat flux, (b) net shortwave radiative flux, (c) net longwave radiative flux, (d) sensible heat flux, (e) latent heat flux, and (f) ice–ocean heat flux for Exp_FREE (blue line), Exp_FREE_OLD (yellow line), and Exp_NUD (red line). Note: (a)-(f) are averaged with SIC-weighting over all grid cells with at least 1% SIC (Fig. S1) to represent the mean value of the grid cell, are defined as positive downwards.
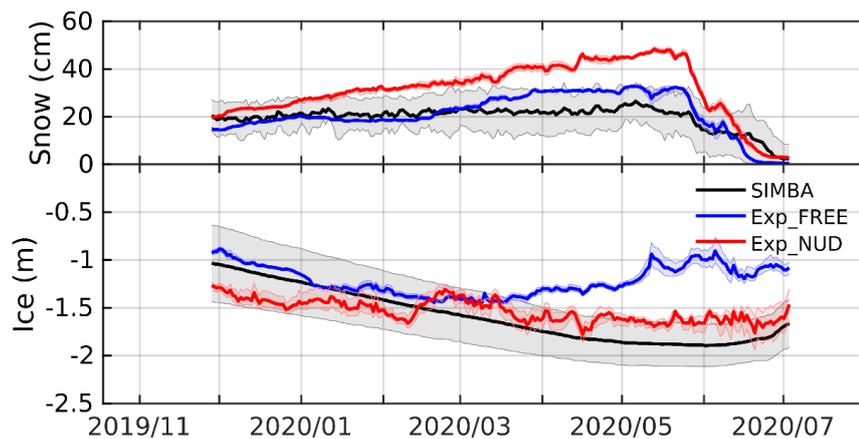
Figure 9 Time-series of daily-mean snow depth (upper box) and sea ice thickness (lower box) for SIMBA buoys (black line), Exp_FREE (blue line), and Exp_NUD (red line) along the track of MOSAiC drift. Note: the shadings represent the uncertainty range of the simulated and observed variables. For SIMBA buoys, the uncertainty range is plus/minus one standard deviation. For the simulations, the uncertainty range is the range of the nearest grid cell to the observed latitude/longitude and four nearby grid cells.
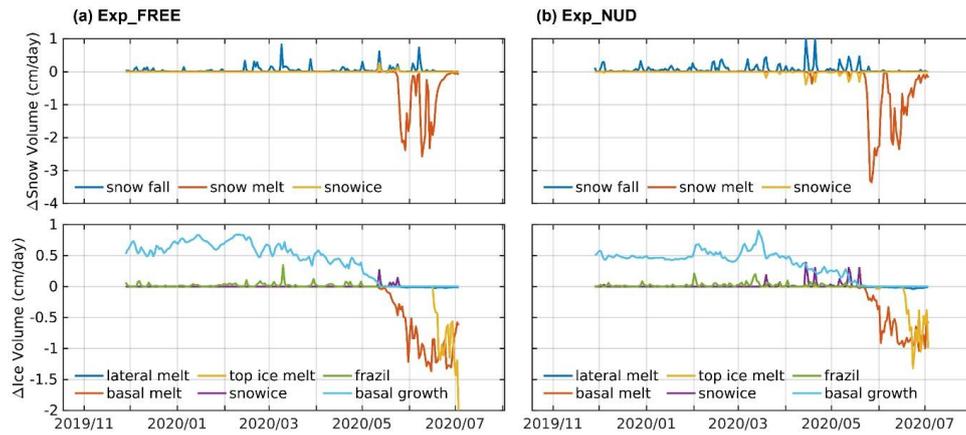
Figure 10 Time-series of snow (upper boxes) and sea ice (bottom boxes) volume budget for (a) Exp_FREE, and (b) Exp_NUD along the track of the SIMBA buoys.
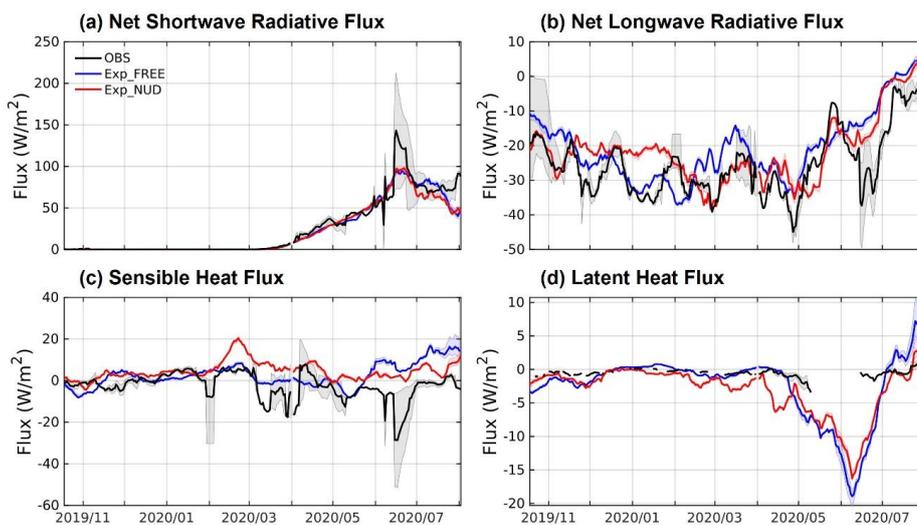
Figure 11 Time-series (15-day running-averaged) of (a) net shortwave radiative flux, (b) net
longwave radiative flux, (c) sensible heat flux, and (d) latent heat flux for the observations
(black line), Exp_FREE (blue line), and Exp_NUD (red line) along the track of MOSAiC drift.
Note: all fluxes are defined as positive downwards. The shadings represent the uncertainty
range of the simulated and observed variables. For the observations, the uncertainty range is
the range of available sites with non-missing values. For the simulations, the uncertainty range
is the range of the nearest grid cell to the observed latitude/longitude and four nearby grid cells.
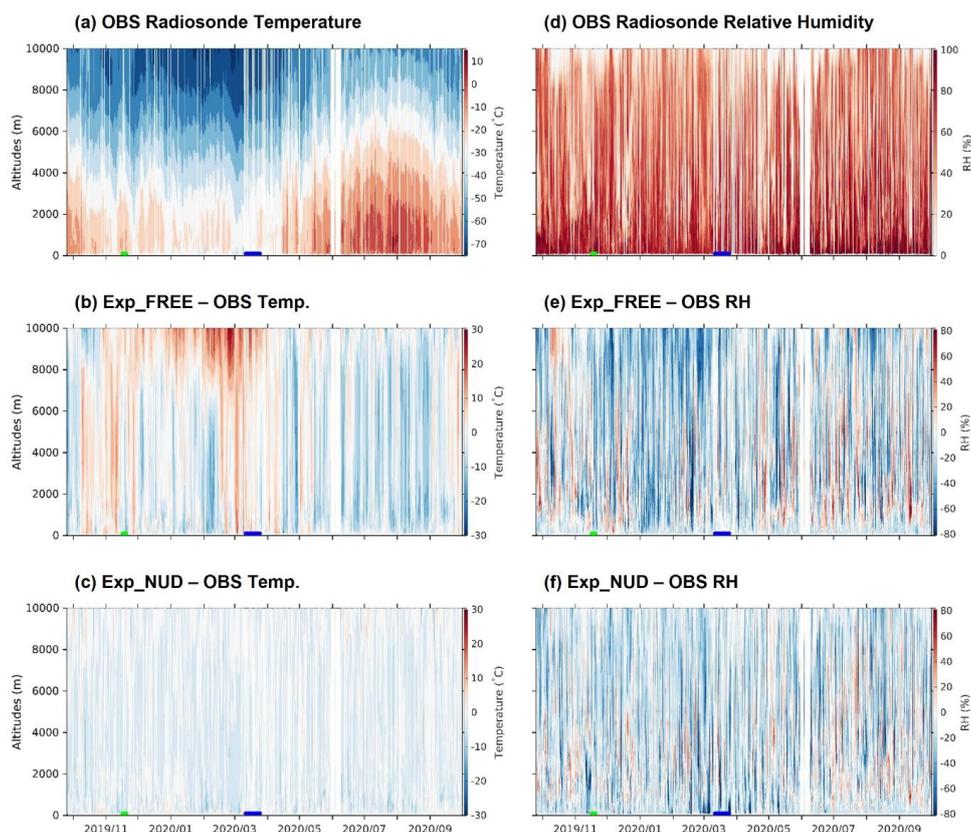
Figure 12 The evolutions of (a) atmospheric temperature profile, and (d) relative humidity profile observed by the radiosondes, and the temperature and relative humidity profile difference between the experiments and the observations for (b, e) Exp_FREE, and (c, f) Exp_NUD along the track of MOSAiC drift. Note: green and blue lines on time-axis represent the STORM-1 and STORM-2 periods.
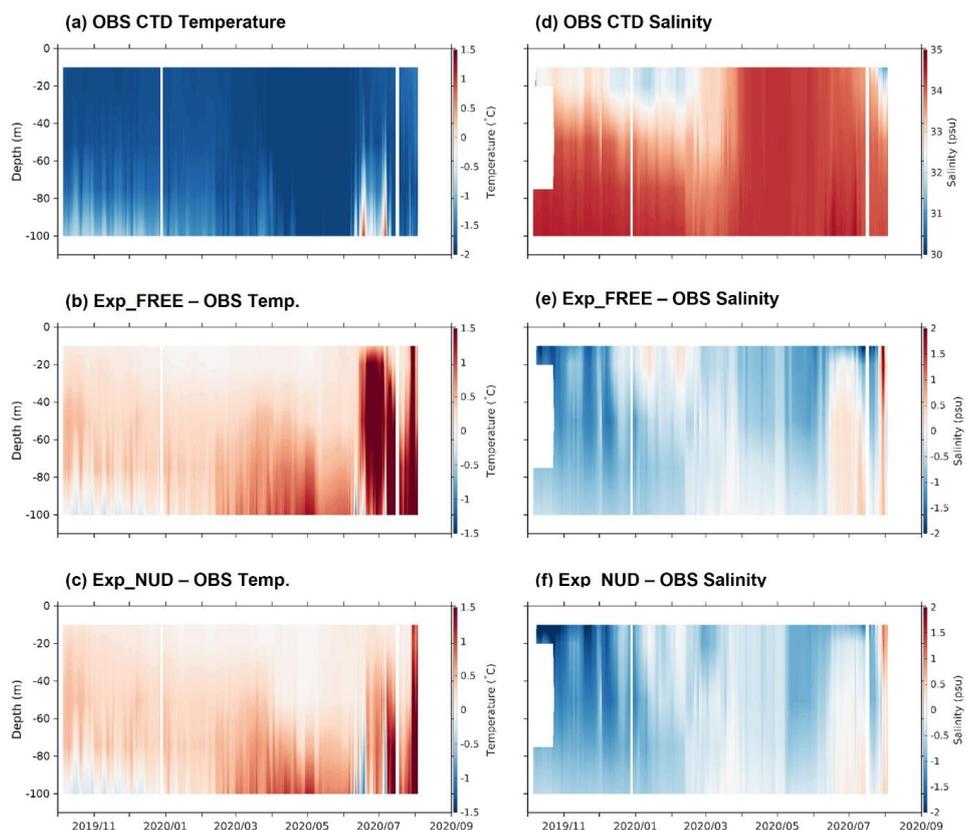
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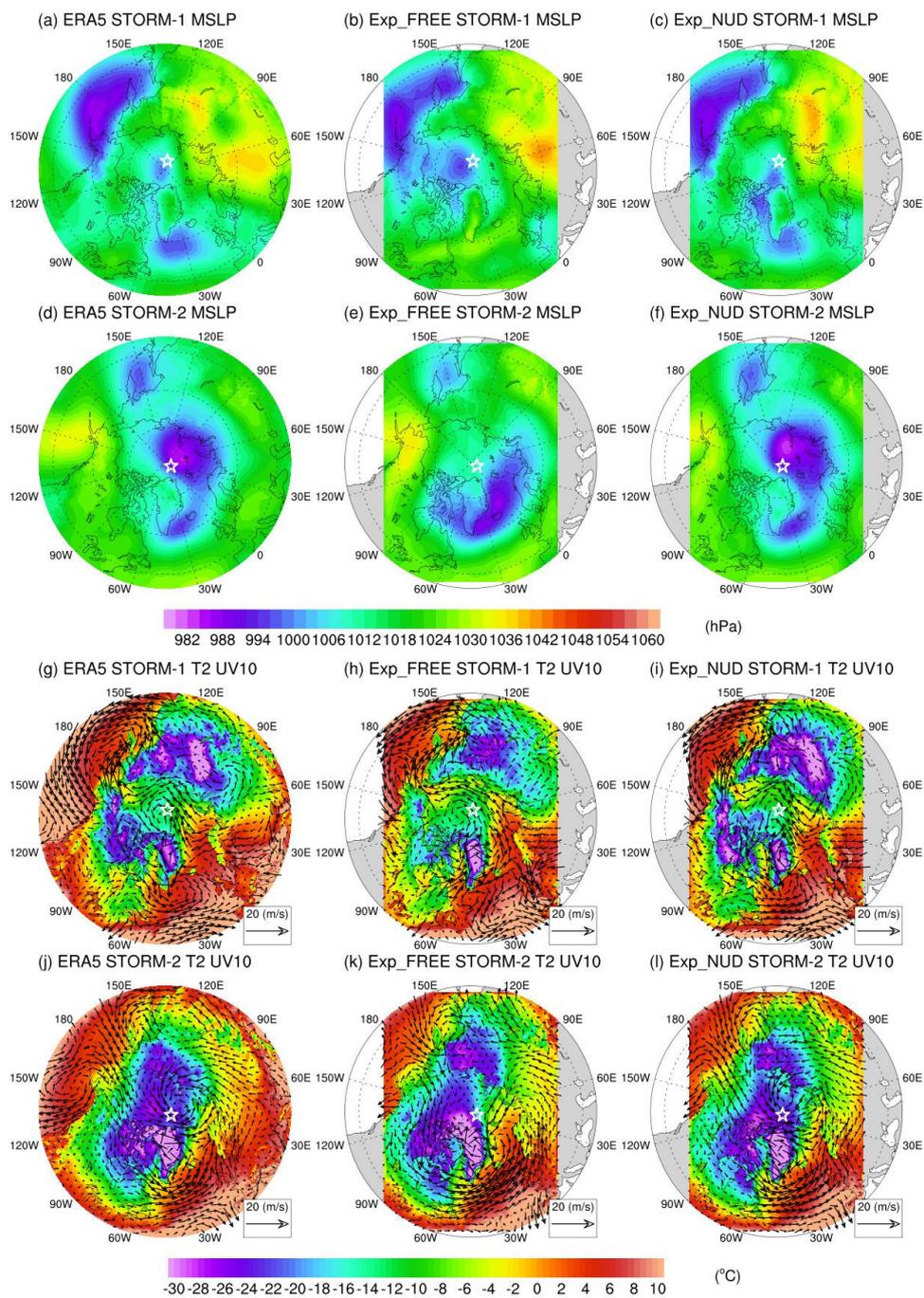
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1124   Figure 13 The averaged mean sea level pressure of (a, d) ERA5, (b, e) Exp_FREE, and (c, f)
1125   Exp_NUD, and the averaged near surface temperature and wind fields of (g, j) ERA5, (h, k)
1126   Exp_FREE, and (i, l) Exp_NUD during the period of STORM-1 and STORM-2. Note: white
1127   star represents the averaged location of radiosondes during the corresponding period.
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Figure 14 The evolutions of (a) oceanic temperature profile, and (d) salinity profile observed by the CTD buoys, and the temperature and salinity profile difference between the experiments and the observations for (b, e) Exp_FREE, and (c, f) Exp_NUD along the track of MOSAiC drift.
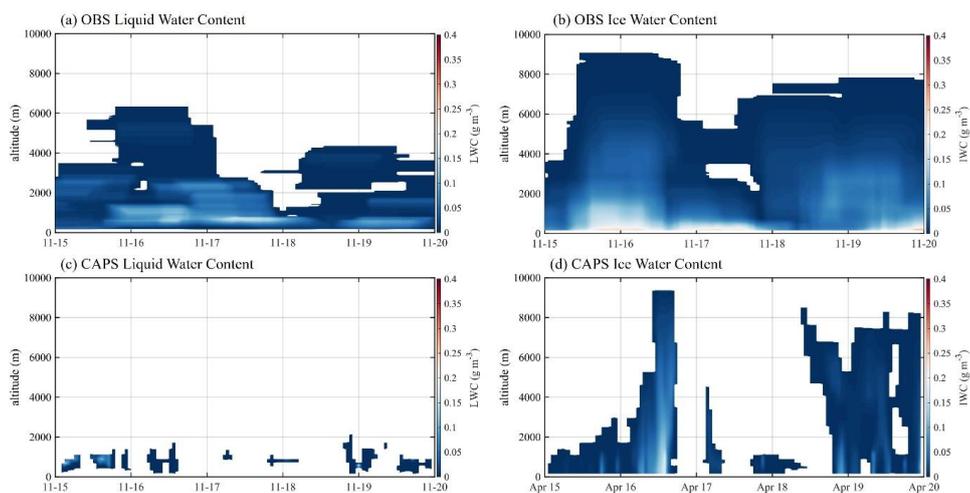
Figure 15 The evolutions of (a, c) liquid water content profile, and (b, d) ice water content profile for the observations and the model simulations during the STORM-1 period.