



## Benchmarking ozone stress parameterizations in CLM5: a global mechanistic assessment of thresholds and memory effects

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**Abstract.** Tropospheric ozone remains a critical but uncertain driver of terrestrial productivity loss, and land surface models (LSMs) diverge markedly in how they represent vegetation ozone stress. We conduct a global, mechanistically consistent  
25 evaluation of three prominent ozone stress parameterization schemes—Sitch, Lombardozzi, and Li—within the Community Land Model version 5 (CLM5). Using unified meteorological and ozone forcing from CAM-chem and GSWP3.1, we designed five experiments to isolate the roles of ozone flux threshold selection and response function form. Model output is benchmarked against MODIS and FLUXNET gross primary production (GPP) across spatial gradients, biomes, and among  
30 plant functional types (PFTs). All parameterizations capture the ozone-induced reduction in GPP relative to the ozone-free baseline, but their accuracy varies widely. The Li scheme—featuring PFT-specific thresholds and separate nonlinear responses for photosynthesis and stomatal conductance—best agrees with observed GPP patterns across scales. In contrast, the Lombardozzi scheme produces much larger reductions in high-flux regions. Analysis reveals that the structures of ozone response functions and memory-decay mechanisms primarily determine improvements in GPP simulation. Our results support a shift toward ozone parameterizations that couple stomatal flux with canopy phenology, dynamic water constraints,  
35 and regionally calibrated thresholds. These findings provide a transferable framework for quantifying ozone-carbon coupling in LSMs and highlight priorities for improving terrestrial biosphere models under atmospheric change.



## 1 Introduction

Tropospheric ozone ( $O_3$ ), a typical secondary pollutant primarily produced by photochemical reactions of anthropogenic precursors under solar radiation, has been shown to threaten human health, crop yields, and ecosystem functioning as near-surface concentrations increase (Agathokleous et al., 2020; Turnock et al., 2020; Feng et al., 2022; Achebak et al., 2024). Ecological and agronomic studies indicate that, compared with counting exceedances based on concentration thresholds such as the accumulated exposure over a threshold of 40 ppb (AOT40), whether vegetation is truly damaged depends more on the actual uptake of ozone through stomata and its accumulation over time (Emberson et al., 2018; Paoletti et al., 2022; Pleijel et al., 2022). This insight has driven a shift from concentration-based exposure metrics to cumulative flux dose and calls for process representations that more closely capture flux controls and temporal memory at leaf and canopy scales (Mills et al., 2011; Montes et al., 2021; Moura et al., 2023). Consequently, metrics and parameterization schemes grounded in stomatal ozone flux are essential for quantifying ozone–vegetation interactions across space and time. They provide the methodological foundation for integrated carbon flux assessment of ozone flux thresholds, cumulative dose, and response functions within the ecosystem for land surface models (Karlsson et al., 2007; Mills et al., 2011).

Sitch et al. (2007) first introduced a flux-based exposure assessment in the Met Office Surface Exchange Scheme Top-down Representation of Interactive Foliage and Flora Including Dynamics (MOSES-TRIFFID) model, with the predominant form being PODY (phytotoxic  $O_3$  dose over a flux threshold of  $Y \text{ nmol } O_3 \text{ m}^{-2} \text{ s}^{-1}$ ), which represents the time integral of ozone flux above a threshold and in the original implementation applied a single linear attenuation factor to scale both photosynthesis and stomatal conductance. Lombardozzi et al. (2013) treated cumulative uptake of  $O_3$  (CUO) as a state variable in the Community Land Model version 5 (CLM5), first solving for an ozone-free state and then applying plant functional type specific linear scalings to photosynthesis and stomatal conductance, while distinguishing sunlit and shaded leaves and introducing growing-season gating, leaf turnover, and longevity-related decay to limit unrealistic long-term accumulation. Li et al. (2024), also within CLM5, continued to base the parameterization scheme on above-threshold flux accumulation but selected an optimal ozone flux threshold in a data-driven manner and identified, from multiple candidate forms, the best response functions for photosynthesis and stomatal conductance for each plant functional type. These developments enable ozone stress to be embedded in ecosystem and land surface models in a flux-based manner, thereby creating a coherent linkage with assessments of the carbon and water cycles.

However, we still lack a systematic and comprehensive assessment of the performance of the Sitch, Lombardozzi, and Li ozone-stress parameterizations, and their relative strengths, weaknesses, and domains of applicability remain unclear. Existing studies often evaluate under different model settings, ozone flux threshold and response function selections, and varying driving and validation data conditions, or only test a single (or two) parameterization scheme for ozone stress (Gong et al., 2020; Cao et al., 2024). The impact of ozone flux threshold setting, cumulative dose characterization, and response function morphology of the three parameterization schemes on carbon flux has not yet been quantified within a unified mechanistic framework. Therefore, it is difficult to objectively assess the superiority and applicability of these



70 parameterization schemes across models with different spatiotemporal distributions, biomes, or vegetation types. Benefiting  
from the large-scale, assimilation-consistent Moderate Resolution Imaging Spectroradiometer (MODIS) gross primary  
production (GPP) (Running et al., 2004; Ma et al., 2024), the process-based and high-temporal-resolution FLUXNET site  
fluxes (Baldocchi et al., 2001; Pastorello et al., 2020), and spatiotemporally consistent ozone fields from chemical transport  
models (Lamarque et al., 2012), we are now able to evaluate, within a single mechanistic framework, a no-ozone baseline  
75 together with established and modified parameterization schemes across scales, regions, and vegetation types.

Building on these advances and the above modeling needs, we here address the research gap by asking: (1) How do the Sitch  
(2007), Lombardozzi (2015), and Li (2024) ozone-stress schemes differ in their impacts on GPP when evaluated under  
consistent conditions? (2) To what extent do differences in ozone flux threshold choices versus response function forms  
contribute to the variability in simulated ozone damage? (3) Which scheme best reproduces observed GPP patterns across  
80 regions and plant functional types? To answer these questions, we modify and compare multiple ozone-stress  
parameterization schemes in CLM5 around three core elements: ozone flux thresholds, cumulative dose, and response  
functions. Using simulated ozone and meteorological reanalysis as forcings, and coupling them with the land model's native  
resistance network and canopy stratification, we comprehensively evaluate how alternative ozone flux threshold settings and  
response functional forms scale photosynthesis and stomatal conductance. In parallel, MODIS GPP and FLUXNET flux  
85 observations serve as constraints and independent validation to test robustness across plant functional types and regions at  
multiple scales. By juxtaposing a no-ozone baseline, representative existing parameterization schemes, and modified  
parameterization schemes, we aim to clarify the dominant contributions of ozone flux threshold selection and response-  
function uncertainty to the outcomes, thereby providing diagnostic, traceable modeling baselines and data evidence for  
studies that couple ozone with the carbon and water cycles.

## 90 **2 Materials and methods**

### **2.1 Model platform and experimental setup**

We evaluate the impact of ozone stress on vegetation productivity within the offline framework of the Community  
Terrestrial Systems Model version 5.3.075 (CTSM 5.3.075) (Lawrence et al., 2019). The land surface model uses the CLM5  
photosynthesis and stomatal coupling scheme (Farquhar–Collatz photosynthesis and Medlyn stomatal conductance) and, on  
95 this basis, incorporates alternative ozone stress parameterizations to conduct comparative experiments (see Section 2.2)  
(Farquhar et al., 1980; Collatz et al., 1991, 1992; Medlyn et al., 2011). The model uses the I2000CIm50Sp compset  
(prescribed vegetation with the biogeochemical module disabled), so ozone acts solely as an external stressor on land-surface  
physiological processes and does not interact with the atmosphere through coupled feedbacks.

The numerical experiments use a global latitude–longitude grid (1.895° latitude by 2.5° longitude) with a 30-minute time  
100 step. The simulation spans 30 years by cycling the atmospheric forcing and surface ozone fields from 2005 to 2014 three  
times. The first 10 years are discarded as spin-up, and only steady-state statistics from the final 20 years are analyzed. The



control and sensitivity experiments include a no-ozone baseline (I2000), the Li parameterization, the Li with Lombardozi thresholds and function, the Li with Sitch thresholds and function, and the Lombardozi parameterization (Table 1). All other physical and physiological parameters are held constant to ensure that any differences can be attributed solely to the ozone process.

**Table 1. Summary of model experiments used in this study.**

| Experiment name                            | Ozone stress framework | Threshold source | Response function source | Key purpose                               |
|--|------------------------|------------------|--------------------------|---|
| I2000 (no ozone stress)                    | None                   | –                | –                        | Ozone-free baseline                       |
| Li   | Li                     | Li               | Li                       | Full Li scheme                            |
| Li with Lombardozi thresholds and function | Li                     | Lombardozi       | Lombardozi               | Test Lombardozi scheme under Li framework |
| Li with Sitch thresholds and function      | Li                     | Sitch            | Sitch                    | Test Sitch scheme under Li framework      |
| Lombardozi                                 | Lombardozi             | Lombardozi       | Lombardozi               | Full Lombardozi scheme                    |

The atmospheric forcing is taken from the Global Soil Wetness Project (GSWP3.1), which provides three-hourly reanalysis fields at  $0.5^\circ \times 0.5^\circ$  resolution for 2005–2014, including near-surface air temperature, specific humidity, wind speed, surface pressure, incoming longwave and shortwave radiation, sunshine duration, and precipitation (Dirmeier, 2011; Kim, 2017). Vegetation structure follows prescribed contemporary distributions and parameters, such as leaf area index (LAI) and canopy height, derived from MODIS and held constant across years. All forcing and initial datasets, including the year-2000 atmospheric CO<sub>2</sub> concentration and the nitrogen and aerosol deposition fields, are taken from the standard datasets provided with CTSM 5.3.075.

Ozone forcing was obtained from our CAM-chem version 6 simulations of hourly near-surface ozone concentrations for 2005–2014 at  $0.9^\circ \times 1.25^\circ$  horizontal resolution with 32 vertical levels (Tilmes et al., 2019; Emmons et al., 2020). Further details of the CAM-chem simulation configuration and experimental design are described in another of our studies (Murray et al., 2026). CAM-chem was run in specified-dynamics mode and employed the Model for Ozone and Related Chemical Tracers troposphere and stratosphere mechanism version 1 (MOZART-TS1) (Granier et al., 2019). Anthropogenic, biogenic, and biomass-burning emissions were taken from the Community Emissions Data System (CEDS), the Model of Emissions of Gases and Aerosols from Nature (MEGAN), and a standard fire emissions inventory (QFED), respectively (Wiedinmyer et al., 2011; Guenther et al., 2012; Koster et al., 2015). Air temperature (T) together with the zonal (u) and meridional (v) wind components are taken from the Modern-Era Retrospective analysis for Research and Applications, Version 2 (MERRA-2) reanalysis.

To provide an independent comparison with the model results, we use the monthly MODIS GPP (MOD17A2) product at  $0.05^\circ$  resolution to assess consistency with our simulated results for the same period (Zhao et al., 2005). At the same time, we incorporate site-level FLUXNET flux observations (Pastorello et al., 2020), apply quality control and gap-filling to the site monthly time series, and pair the observations with the model outputs station by station and month by month, using only samples that are fully collocated in space and time as the observational benchmark for the evaluation.



## 2.2 Calculation of ozone flux and cumulative dose

### 130 2.2.1 Calculation principle of Sitch

Sitch et al. (2007) described ozone suppression of instantaneous net photosynthesis in MOSES-TRIFFID using the concept of accumulated ozone flux above a threshold ( $POD_Y$ ,  $\text{mmol m}^{-2}$ ). They first compute the instantaneous stomatal ozone flux  $F_{O_3}$  ( $\text{nmol m}^{-2} \text{s}^{-1}$ ), then integrate the above-threshold portion over time to obtain  $POD_Y$ , from which a photosynthetic inhibition factor is defined. At each time step, the instantaneous ozone flux  $F_{O_3,t}$  is calculated (in a form analogous to Ohm's law) and can be written as:

$$F_{O_3,t} = \frac{c_{O_3,t}}{r_b + r_a + \frac{1}{1.67g_s}}, \quad (1)$$

where  $c_{O_3,t}$  is the molar concentration of ozone ( $\text{nmol m}^{-3}$ ),  $t$  denotes the model time step.  $r_b + r_a$  is the sum of the quasi-laminar boundary-layer resistance and the aerodynamic resistance over the leaf surface ( $\text{s m}^{-1}$ ),  $g_s$  is the leaf conductance to water vapor ( $\text{m s}^{-1}$ ), and 1.67 is the ratio of the diffusion resistance of ozone to that of water vapor.

For each time step  $t$ , the ozone flux above the threshold is defined:

$$F_{O_3,t}^> = \max(F_{O_3,t} - Y, 0), \quad (2)$$

140 where  $Y$  is the vegetation-type-dependent critical ozone flux threshold.

Within a given accumulation time window, the ozone flux above the threshold in Eq. (2) is accumulated over time to obtain the total above-threshold dose:

$$POD_Y = \sum_{t=1}^T F_{O_3,t}^> \Delta t, \quad (3)$$

where  $\Delta t$  is the length of the time step (s).

$$f = 1 - a \cdot POD_Y, \quad (4)$$

145 where  $a$  is a vegetation-type-specific sensitivity parameter. Net photosynthesis is modified to  $A = A_0 (1 - a \cdot POD_Y)$ , where  $A_0$  is the photosynthetic rate in the absence of  $O_3$ . Similarly, stomatal conductance is modified to  $g_s = g_{s0} (1 - a \cdot POD_Y)$ , where  $g_{s0}$  is the stomatal conductance in the absence of  $O_3$ .

Because  $g_s$  is linearly related to the photosynthetic rate, combining Eqs. (1) to (3) with the conductance relationship yields a quadratic equation in  $g_s$ , which can be solved analytically.

### 2.2.2 Calculation principle of Lombardozzi

150 Lombardozzi et al. (2015) accumulated the stomatal ozone flux exceeding the threshold over time to obtain the cumulative uptake (CUO,  $\text{mmol m}^{-2}$ ), and used a linear response factor to directly scale net photosynthesis and leaf stomatal conductance in the absence of  $O_3$ . For a given flux threshold  $Y$  specific to the plant functional type (PFT) and a time step  $\Delta t$ , within a prescribed accumulation window, we define:

$$CUO = \sum_{t=1}^T \max(F_{O_3,t} - Y, 0) \Delta t, \quad (5)$$

( $\kappa$  is the conversion factor from  $\text{nmol}$  to  $\text{mmol}$ .)



155 Subsequently, PFT-specific linear response factors are used to scale ozone-free photosynthesis and conductance:

$$A=A_0(1-b\cdot\text{CUO}) , \quad (6)$$

$$g_s=g_{s0}(1-c\cdot\text{CUO}) , \quad (7)$$

where  $b$  and  $c$  are regression coefficients specific to PFT.

### 2.2.3 Calculation principle of Li

Building on the framework mentioned above, Li et al. (2024) represented ozone stress as the cumulative ozone flux above a threshold over time and accounted for damage decay due to leaf turnover. The cumulative dose  $\text{POD}_Y$  is computed  
 160 recursively at each time step  $t$  as:

$$\text{POD}_{Y,t}=(1-\delta_t)\text{POD}_{Y,t-1}+F_{\text{O}_3,t}^>\Delta t , \quad (8)$$

where  $\text{POD}_{Y,t-1}$ , and  $\text{POD}_{Y,t}$  denote the cumulative above-threshold dose at time steps  $t-1$  and  $t$ , respectively.

$\delta_t$  is the fraction of decay caused by leaf turnover or abscission and is calculated as follows:

$$\delta_t=1-\exp\left(-\frac{\Delta\text{LAI}_t}{L_{\text{leaf}}}\right) , \quad (9)$$

where  $L_{\text{leaf}}$  is the leaf lifetime (years), and  $\text{LAI}_{t-1}$  and  $\text{LAI}_t$  are the leaf area index at time steps  $t-1$  and  $t$ , respectively.

After obtaining the growing season cumulative dose  $\text{POD}_Y$ , dimensionless response factors for net photosynthesis and  
 165 stomatal conductance are constructed as:

$$f_A=h_A(\text{POD}_Y) , \quad (10)$$

$$f_g=h_g(\text{POD}_Y) , \quad (11)$$

where  $h_A$  and  $h_g$  are inhibition functions for photosynthesis and conductance (with values between 0 and 1).

The three approaches differ fundamentally in how thresholds are prescribed and how response functions are formulated (Table 2). Sitch uses ozone flux above the threshold, expressed as  $\text{POD}_Y$ , as the core quantity. In this parameterization scheme, the ozone flux thresholds are prescribed by PFT, and a single linear inhibition factor is applied to scale  
 170 photosynthesis and stomatal conductance simultaneously (both the ozone flux thresholds and the response functions involve assumptions). Lombardozzi instead integrates over time the part of the flux that exceeds  $0.8\text{ nmol m}^{-2}\text{ s}^{-1}$  to obtain CUO, uses a fixed threshold for all PFTs, and applies separate linear scalings of photosynthesis and conductance based on regression coefficients fitted for each PFT. Li adopts a data-driven optimal threshold  $Y$  for the threshold choice and uses  $\text{POD}_Y$  as the cumulative quantity. For the response functions, this approach no longer restricts the form to be linear; instead,  
 175 it selects, for each PFT, the best form among several candidates (linear, exponential, logarithmic, etc.) based on statistical criteria, and then provides separate multiplicative scalings for photosynthesis and conductance.



**Table 2. Ozone flux thresholds  $\gamma$  for different PFTs in the three ozone stress parameterizations**

| Model         | Year | Developer          | Broadleaf forest | Needleleaf forest | Shrub | Grass | Crop |
|---------------|------|--------------------|------------------|-------------------|-------|-------|------|
| MOSES-TRIFFID | 2007 | Stephen Sitch      | 1.6              | 1.6               | 1.6   | 5.0   | 5.0  |
| CLM5          | 2015 | Danica Lombardozzi | 0.8              | 0.8               | 0.8   | 0.8   | 0.8  |
| CLM5          | 2024 | Fang Li            | 1                | 0.8               | 6     | 1.6   | 0.5  |

Compared with Sitch, Lombardozzi first represents ozone damage as a continuously updated state variable by computing ozone-free photosynthesis and stomatal conductance in the model and then applying scaling on this basis. Second, the effects of ozone on photosynthesis and stomatal conductance are treated in a decoupled manner, with separate ozone factors applied to the two processes, allowing asynchronous responses of photosynthesis and conductance to ozone that are commonly observed in observations (Wittig et al., 2007; Lombardozzi et al., 2013). Third, at the implementation level, the parameterization is refined to canopy radiation layers, with ozone uptake calculated and accumulated separately for sunlit and shaded leaves. Finally, growing-season gating and leaf-turnover effects are introduced, so that damage accumulates only during the growing season (diagnosed using a leaf area index threshold), and a healing offset is applied to newly emerged leaves, while evergreen leaves receive a decay term based on their lifetime.

Compared with Lombardozzi, Li retains the two-stage structure of flux accumulation and physiological scaling but provides greater detail for each component. Second, the flux calculation still relies on the native resistance chain and meteorological forcing in the land surface model, updates the ratio of diffusion resistances between ozone and water vapor, and uses the internal model time-scale parameters consistently in both time integration and the conversion based on leaf lifetime. Finally, for the physiological representation of the cumulative dose, the parameterization scheme continues to accumulate dose separately for sunlit and shaded leaves, accumulates dose only during daytime when vegetation effectively absorbs solar radiation, and sets leaf area index thresholds by plant functional type, with long-term memory controlled through two mechanisms, namely lifetime decay of leaves in evergreen vegetation and phenological replacement of leaves in deciduous vegetation.

### 2.3 Model evaluation

To quantitatively evaluate how well each ozone stress parameterization reproduces the observations, we use complementary metrics including correlation, agreement in magnitude, and error amplitude. We perform statistical analysis at different temporal aggregation levels and across different PFTs. All statistics are based on strictly paired samples, using only records for which observations and simulations are available at the same site and time step. The units of the metrics follow those of the variable being evaluated.

(1) **Root mean square error (RMSE)**: It measures the overall magnitude error between simulations and observations, is non-negative, and smaller values indicate better performance (Zhou et al., 2025a):



$$\text{RMSE} = \sqrt{\frac{1}{N} \sum_{i=1}^N (P_i - O_i)^2}, \quad (12)$$

205 where  $P_i$  and  $O_i$  are the simulated and observed values of the  $i$ th paired sample, respectively, and  $N$  is the number of paired samples.

(2) **Relative bias**: It is used to describe the bias between simulations and observations or between simulations and the no-ozone-stress case (Lamarque et al., 2013):

$$B_{\%} = \frac{\bar{P} - \bar{O}}{\bar{O}} \times 100\%, \quad (13)$$

where  $\bar{P}$  and  $\bar{O}$  are the sample means of prediction and observation.

210 (3) **Pearson correlation coefficient (r)**: It measures the temporal phase consistency between simulations and observations, takes values in  $[-1, 1]$ , and values closer to 1 indicate stronger correlation (Zhou et al., 2025b):

$$r = \frac{\text{cov}(P, O)}{\sigma_P \sigma_O}, \quad (14)$$

where  $\sigma_P$  and  $\sigma_O$  are the sample standard deviations, and  $\text{cov}(P, O)$  is the covariance between the simulated values  $P$  and the observed values  $O$ .

(4) **Normalized standard deviation (NSD)**: It is used to characterize the ability to reproduce the variability (amplitude) and is defined as the ratio of the standard deviation of simulations to that of observations (Taylor, 2001):

$$\text{NSD} = \frac{\sigma_P}{\sigma_O}, \quad (15)$$

215 NSD = 1 indicates that the simulation variance is consistent with the observed variance, and NSD < 1 indicates attenuated variance.

(5) **Nash–Sutcliffe efficiency (NSE)**: It measures the degree of improvement of the simulations relative to using the observational mean as a naive model. It has a theoretical range from  $-\infty$  to 1, and values closer to 1 indicate better performance (Nash and Sutcliffe, 1970):

$$\text{NSE} = 1 - \frac{\sum_{i=1}^N (P_i - O_i)^2}{\sum_{i=1}^N (O_i - \bar{O})^2}, \quad (16)$$

220 when NSE = 0, the performance is equivalent to predicting a constant value  $\bar{O}$ ; and NSE < 0 indicates performance worse than this naive benchmark.

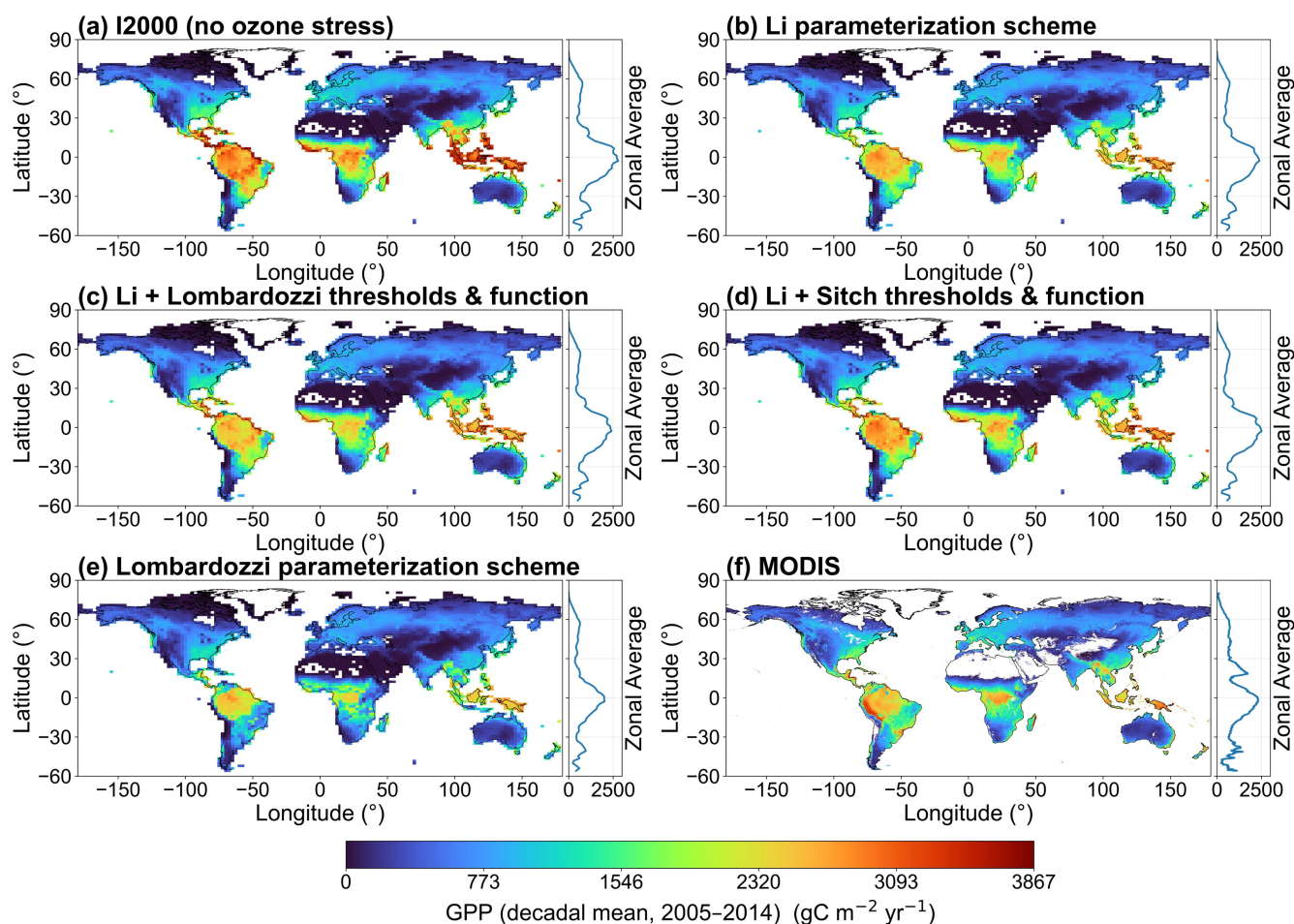
### 3 Results

#### 3.1 Spatial evaluation and verification of ozone stress parameterization schemes

225 To quantitatively compare the impacts of different ozone stress parameterizations on land surface productivity, Fig. 1 presents the spatial distribution of the ten-year mean GPP and its zonal mean from 2005 to 2014. This includes the no ozone case (Fig. 1a), the Li parameterization (Fig. 1b), the Li parameterization combined with the threshold and response function sets of Lombardozzi and Sitch (Fig. 1c and 1d), the Lombardozzi parameterization (Fig. 1e), and the GPP from the MODIS



230 satellite product (Fig. 1f). Compared with Fig. 1a, all parameterizations that include ozone show a systematic suppression of GPP and exhibit pronounced heterogeneity, with particularly low GPP values in humid tropical regions. The zonal mean curves show that the peak values at the equator in the parameterizations with ozone are clearly lower than those in the no-ozone case, indicating that tropical regions are more sensitive to ozone stress. Among the different parameterizations, the Li parameterization scheme and its combinations with the Lombardozzi and Sitch thresholds and functions (Fig. 1b, 1c, 1d) are closer to MODIS (Fig. 1f) in terms of zonal gradients and tropical contrast, whereas the Lombardozzi parameterization scheme (Fig. 1e) produces lower GPP in the tropics, which enlarges the deviations from observations in these regions.



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**Figure 1. Decadal-mean (2005–2014) gross primary production (GPP) maps and zonal-mean profiles for (a) I2000 (no ozone stress), (b) Li, (c) Li with Lombardozzi thresholds and function, (d) Li with Sitch thresholds and function, (e) Lombardozzi, and (f) MODIS.**

240 To test the ability of each parameterization scheme to reproduce the observations, Fig. 2 shows the spatial distribution of station-based RMSE of monthly GPP relative to FLUXNET and the corresponding zonal RMSE aggregated in 5° latitude bands. The figure shows that, across all five simulations and the MODIS product (Fig. 2a–2f), RMSE is relatively low at



high northern latitudes, whereas in the mid-latitudes the complexity of vegetation types leads to bands of abnormally high RMSE values. The high-resolution MODIS product has fewer extremely high values, indicating biases due to insufficient spatial resolution. It is noteworthy that, compared with Fig. 2a (no ozone case), the Li parameterization and its combinations with the Lombardozi and Sitch thresholds and functions (Fig. 2b, 2c, 2d) show some degree of improvement at different latitudes, indicating that optimal threshold settings for different PFTs (as in Li's scheme) reduce errors compared to using a single fixed threshold. The Lombardozi parameterization (Fig. 2e) retains relatively large errors in some low-latitude bands. The zonal curves show that, compared with the no-ozone case, the overall variation in the curves for the parameterizations with ozone is not very pronounced, but the inclusion of ozone stress yields a considerable reduction in errors in the tropics. The RMSE results for MODIS (Fig. 2f) provide some support for the reliability of the five simulations, as MODIS and FLUXNET show broadly similar RMSE patterns.

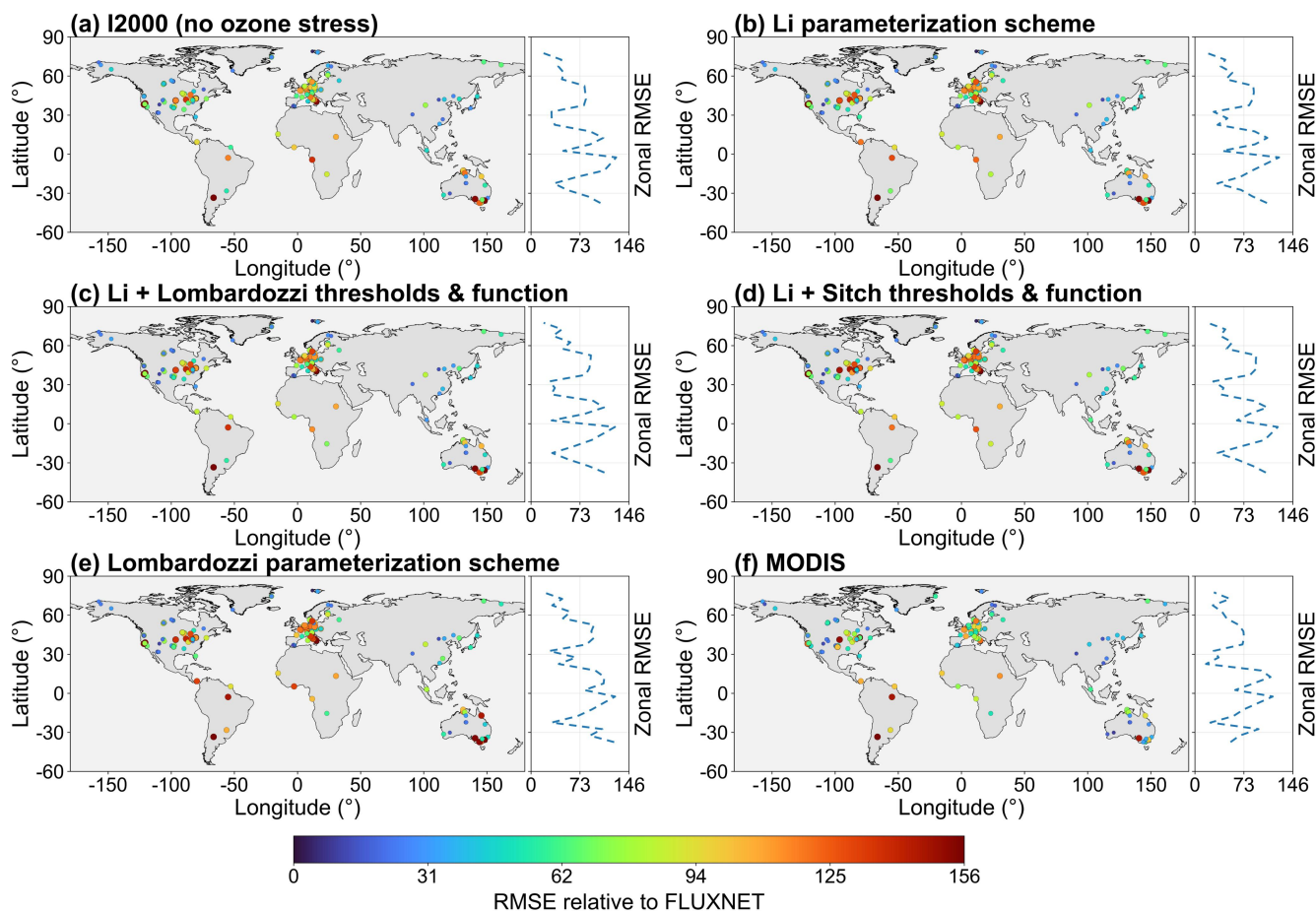
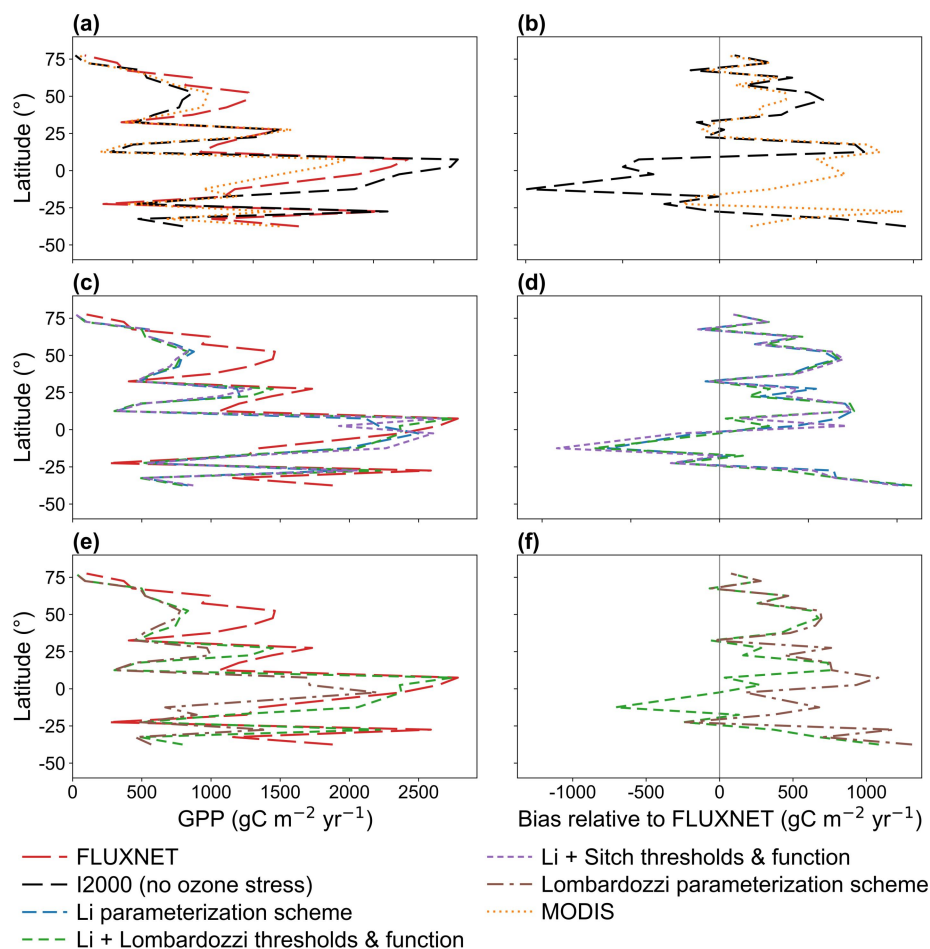


Figure 2. Spatial patterns and zonal-mean RMSE of site-level monthly GPP relative to FLUXNET for 2005–2014 for (a) I2000 (no ozone stress), (b) Li, (c) Li with Lombardozi thresholds and function, (d) Li with Sitch thresholds and function, (e) Lombardozi, and (f) MODIS.



To characterize the consistency between the model and the observations along the zonal gradient, Fig. 3 shows zonal profiles of GPP aggregated in 5° latitude bands (left panels) and their zonal biases relative to FLUXNET (right panels). Fig. 3a and 3b provide the baseline comparison: the no-ozone case generally underestimates GPP in the midlatitudes of the Northern Hemisphere (around 20–50° N) and also shows substantial underestimation in the midlatitudes of the Southern Hemisphere, but exhibits pronounced overestimation in the tropics. MODIS as a whole tends to underestimate GPP (relative to FLUXNET), particularly at low latitudes and in the Southern Hemisphere midlatitudes. Only a few latitude bands show slight overestimation by MODIS, which is consistent with the findings of Wild et al. (2022). Fig. 3c and 3d show the improvements after ozone stress is introduced. The Li parameterization scheme and its combinations with the thresholds and functions of Lombardozzi and Sitch reproduce the zonal gradient of FLUXNET more accurately, especially by clearly reducing the substantial tropical overestimation of the no ozone case, so that the bias curves are closer to the zero line. Comparison of the Lombardozzi parameterization scheme and the Li with Lombardozzi thresholds and function scheme in Fig. 3e and 3f shows that the former (Lombardozzi) displays a more pronounced positive bias in several low-latitude and midlatitude bands, whereas the combination of Lombardozzi's parameters within the Li framework can weaken this overestimation, approaching the FLUXNET benchmark. This suggests that some of the Lombardozzi scheme's bias is attributable to its fixed threshold and linear response assumptions, which the Li framework can partially mitigate.

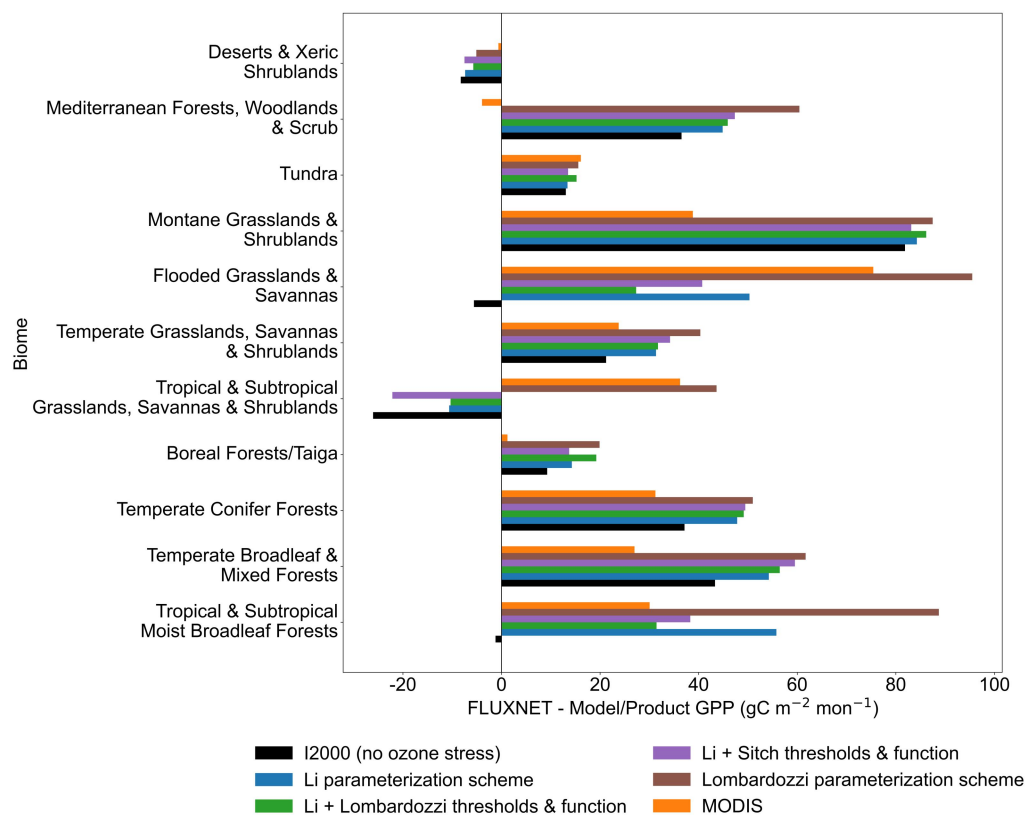


**Figure 3. Zonal-mean profiles of GPP and zonal-mean bias relative to FLUXNET for 2005–2014. (a) Zonal-mean GPP from FLUXNET, I2000 (no ozone stress), and MODIS; (b) corresponding zonal-mean biases; (c) zonal-mean GPP from Li, Li with Lombardozzi thresholds and function, and Li with Sitch thresholds and function; (d) corresponding zonal-mean biases; (e) zonal-mean GPP from Li with Lombardozzi thresholds and function and from Lombardozzi; (f) corresponding zonal-mean biases.**

The monthly mean GPP bias aggregated over eleven biomes shows a pronounced dependence on biome type (Fig. 4). In flooded grasslands and savannas, montane grasslands and shrublands, tropical moist broadleaf forests, and some other biomes, the bias is positive and relatively large, indicating that most parameterization schemes underestimate GPP in these biomes (since model GPP < observed, bias = model – obs > 0). The positive bias of the Lombardozzi parameterization scheme is the most pronounced in several of these biomes. In contrast, the magnitude of the bias is smaller in tundra, temperate grasslands/savannas/shrublands, and boreal forests (taiga), and the Li parameterization scheme and its threshold/function variants (Li+Sitch and Li+Lombardozzi) are overall closer to zero bias. In deserts and xeric shrublands, the biases are near zero. In tropical and subtropical moist broadleaf forests, the no ozone case shows a slight negative bias



(model > obs in that biome, indicating overestimation), which is reduced after ozone stress is introduced (the ozone schemes dampen the model's overestimation).

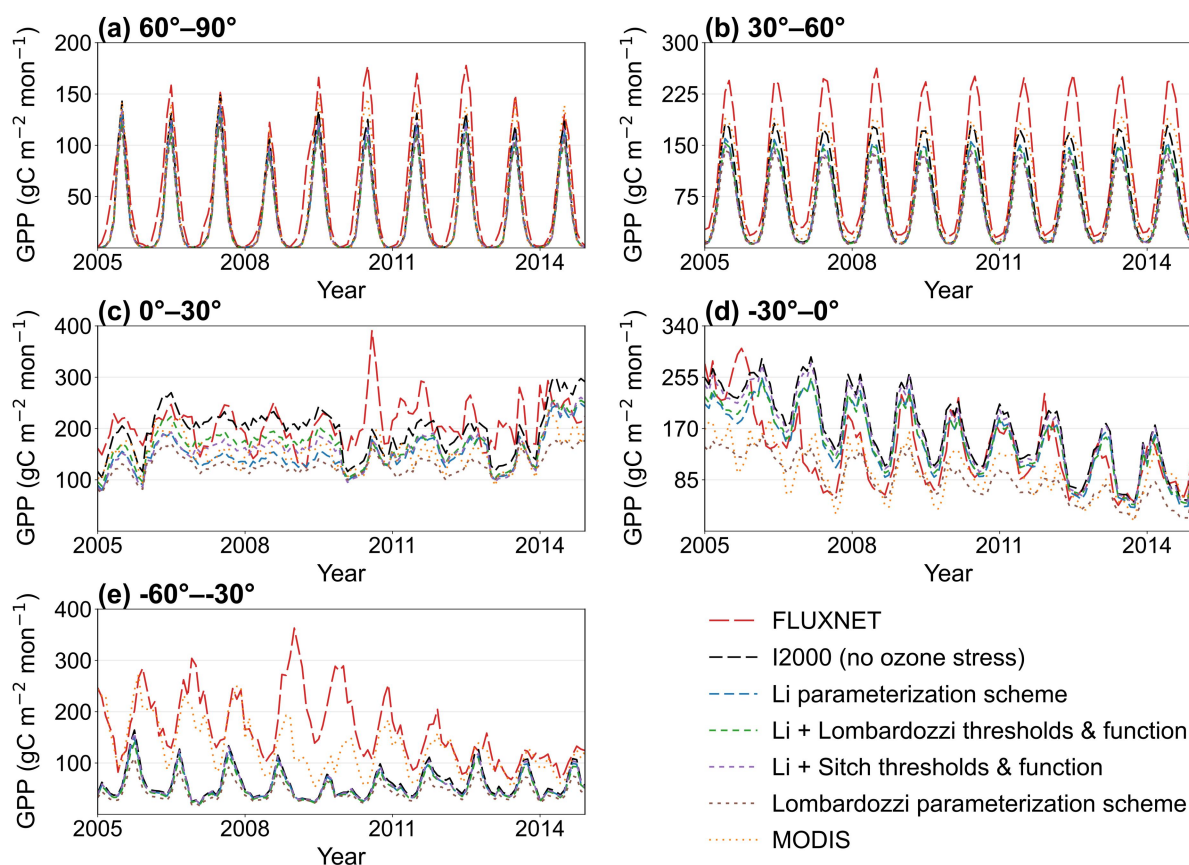


**Figure 4. Mean bias in monthly GPP relative to FLUXNET across biomes for 2005–2014.**

### 290 3.2 Temporal evaluation and verification of ozone stress parameterization schemes

The monthly GPP time series aggregated by latitude band show that the model generally reproduces the seasonal phase, but there are systematic differences in amplitude among the parameterization schemes (Fig. 5). At high northern latitudes (60–90° N), all schemes show peaks aligned with FLUXNET. MODIS, no ozone case, and the Li-family parameterization schemes (Li; Li with Lombardozzi thresholds/functions; Li with Sitch thresholds/functions) have amplitudes closest to the observations, while Lombardozzi shows a more pronounced underestimation of the summer peak. In the midlatitudes of the Northern Hemisphere (30–60° N), all schemes systematically underestimate FLUXNET, and the degree of underestimation increases progressively from MODIS to the Li family of ozone stress schemes to Lombardozzi. This highlights differences arising from limitations in the model's intrinsic simulation skill and spatial resolution (a similar systematic underestimation is also found by Wild et al., 2022 for coarse-scale models). In the tropics and subtropics (0–30° N), seasonality is weaker and interannual variability is more pronounced. Except for the no-ozone case (which overestimates in some months), the other parameterization schemes all show some degree of underestimation, though the magnitude is smaller than in the northern

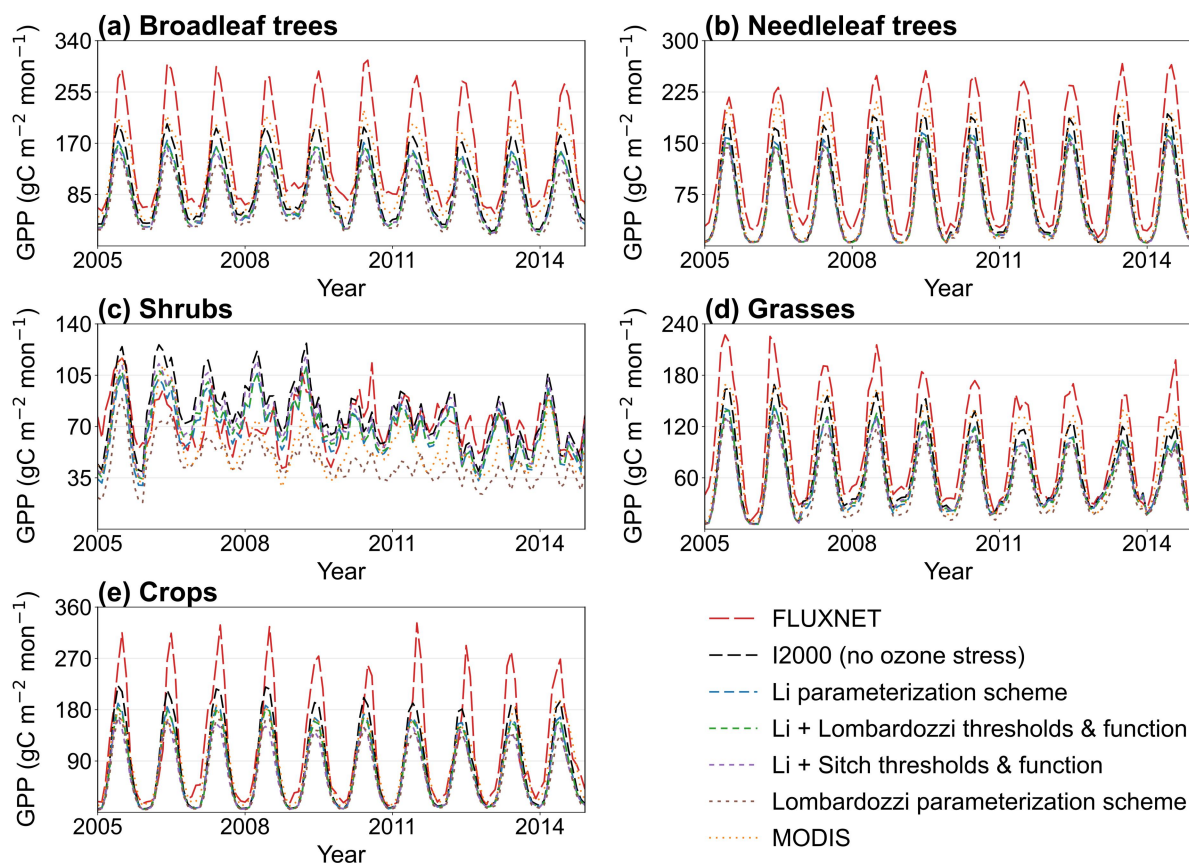
midlatitudes. The low latitudes of the Southern Hemisphere ( $0\text{--}30^\circ\text{ S}$ ) show a similar pattern, but the simulations agree better with the observations (the time-series curves overlap FLUXNET almost entirely for some schemes). At midlatitudes in the Southern Hemisphere ( $30\text{--}60^\circ\text{ S}$ ), there is persistent underestimation during several growing seasons. It is noteworthy that, although these time series exhibit systematic biases, the results for the southern midlatitudes indicate that the Li parameterization scheme provides the best simulation (its curve is closest to the FLUXNET curve).



**Figure 5. Monthly GPP time series for 2005–2014 in five latitude bands ( $60\text{--}90^\circ\text{ N}$ ,  $30\text{--}60^\circ\text{ N}$ ,  $0\text{--}30^\circ\text{ N}$ ,  $0\text{--}30^\circ\text{ S}$ , and  $30\text{--}60^\circ\text{ S}$ ), comparing FLUXNET, the no-ozone experiment, ozone-stress parameterization schemes, and MODIS.**

310 The monthly GPP time series aggregated by plant functional type (PFT) show that all parameterization schemes can generally reproduce the seasonal phase, but there are consistent and type-dependent systematic differences in amplitude (Fig. 6). For broadleaf trees and needleleaf trees (Fig. 6a, 6b), all five simulations and MODIS underestimate FLUXNET as a whole, with the underestimation of the growing season peak being most pronounced. For shrubs (Fig. 6c), seasonality is weaker and interannual variability is larger; in the no-ozone case, schemes tend to overestimate, whereas the introduction of  
315 ozone stress leads to convergence among the schemes, most evident in the Li parameterization scheme (Li matches the observed shrub GPP level best). Grasslands and crops (Fig. 6d, 6e) show strong seasonal oscillations and are overall

underestimated relative to the observations, but they generally perform better (closer to observations) than the broadleaf and needleleaf tree. As in Fig. 5, unavoidable systematic biases pose challenges for evaluation, but notably, the time series for shrubs again indicate that the Li parameterization scheme is optimal (it most effectively reduced the shrub GPP overestimation present in the no-ozone case).

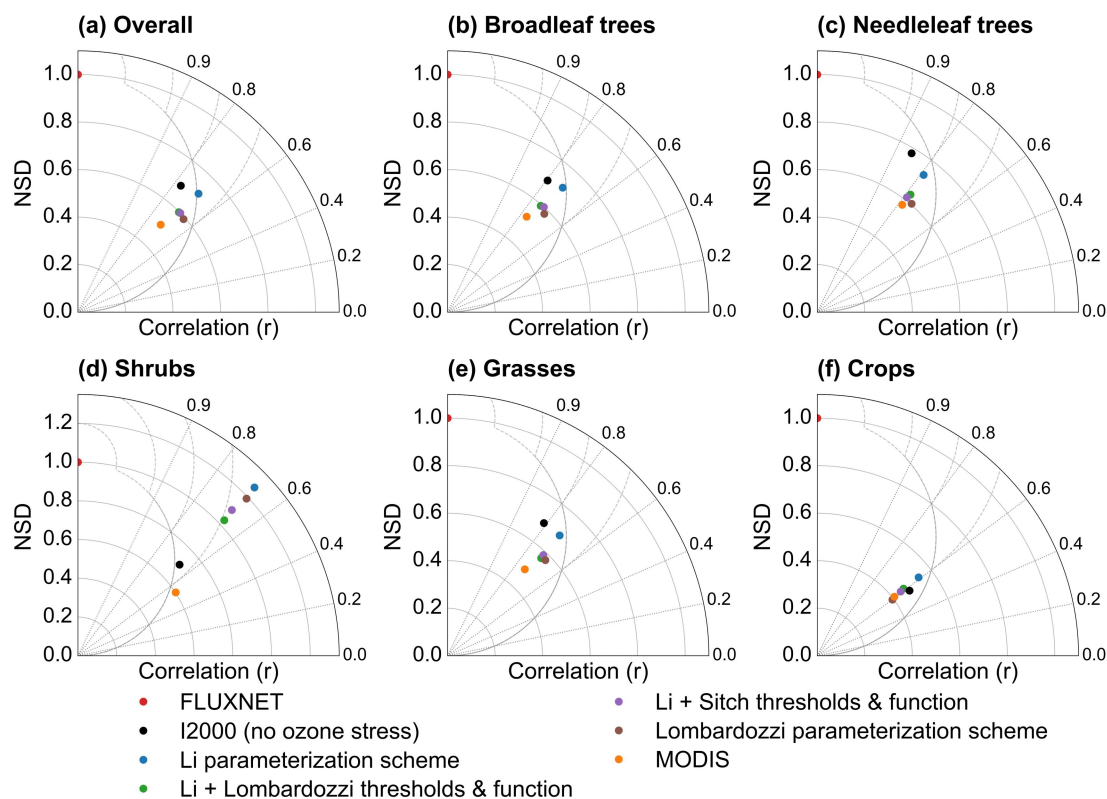


**Figure 6. Monthly GPP time series for 2005–2014 by plant functional type (broadleaf trees, needleleaf trees, shrubs, grasses, and crops), comparing FLUXNET, the I2000 no-ozone experiment, ozone-stress parameterization schemes, and MODIS.**

### 3.3 Overall evaluation and verification of ozone stress parameterization schemes

The seasonal reproduction of monthly GPP by each parameterization scheme and product is at a moderate level, with  $r$  mostly in the range from 0.4 to 0.6, and the normalized standard deviation at most sites being less than 1 (Fig. 7). This indicates that the model and MODIS both show varying degrees of variance damping relative to FLUXNET (both underestimate variability). Among the ozone parameterization schemes, the Li scheme has correlation and normalized standard deviation values closer to FLUXNET than those of the others. The no-ozone case and the Li parameterization scheme yield higher correlations for most vegetation types, and the Li scheme is closest to the observed amplitude for shrubs,

consistent with Fig. 6, which shows that the Li scheme significantly reduced shrub biases. The Li+Sitch, Li+Lombardozzi, and Lombardozzi parameterization schemes exhibit varying degrees of discrepancy, and their correlations and normalized standard deviations are, overall, smaller (worse) than those of the Li scheme. MODIS shows both lower correlation and lower normalized standard deviation for most types (partly reflecting that MODIS GPP is a remote-sensing estimate with its own uncertainties).

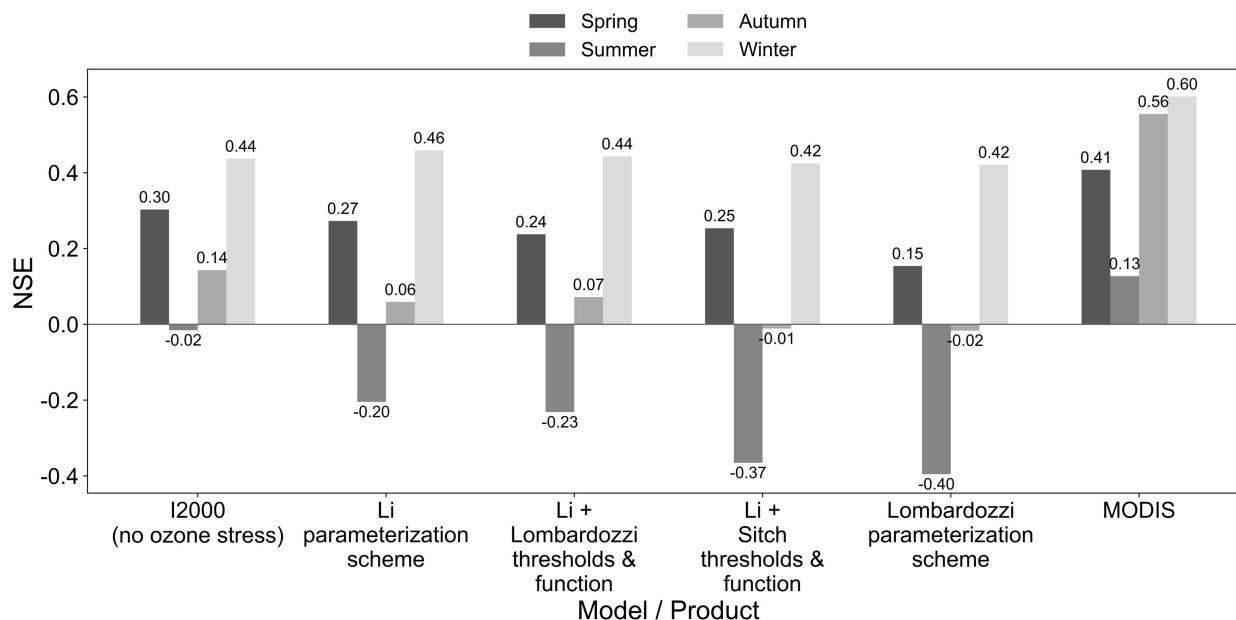


**Figure 7. Correlation (r) and normalized standard deviation (NSD) of monthly GPP (2005–2014) relative to FLUXNET for all sites combined and for five plant functional types, comparing the I2000 no-ozone experiment, four ozone-stress parameterization schemes (Li, Li with Lombardozzi thresholds and function, Li with Sitch thresholds and function, and Lombardozzi), and MODIS.**

Seasonal Nash–Sutcliffe efficiency (NSE) shows that MODIS consistently gives the highest agreement with FLUXNET, with excellent performance in winter and autumn (Fig. 8). For the ozone stress parameterization schemes, summer (JJA) is the weakest season for all schemes: no ozone case reaches only 0.10 and all schemes turn negative in NSE after ozone stress is introduced, indicating a deterioration in the fit to FLUXNET during peak growth months. In spring (MAM), no ozone case NSE  $\approx$  0.26, while the ozone parameterization schemes range from 0.13 to 0.24 and are slightly lower overall. In autumn (SON), no ozone case is 0.21, while the ozone schemes range from 0.02 to 0.12 and are still lower. In winter (DJF), all



model schemes perform well (NSE near 0.5–0.7), and the differences between the no-ozone case and the Li parameterization series are very small.



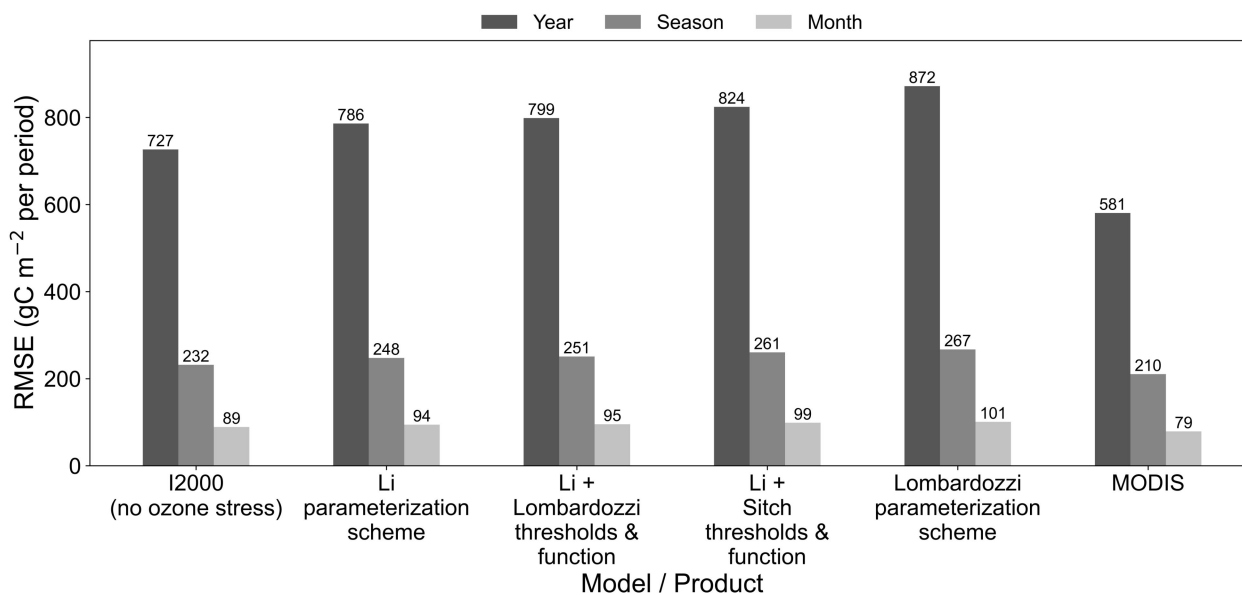
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**Figure 8. Seasonal Nash–Sutcliffe efficiency (NSE) of monthly GPP relative to FLUXNET (2005–2014) for the I2000 no-ozone experiment, four ozone-stress parameterization schemes (Li, Li with Lombardozzi thresholds and function, Li with Sitch thresholds and function, and Lombardozzi), and MODIS. Panels (a)–(d) correspond to spring (MAM), summer (JJA), autumn (SON), and winter (DJF), respectively.**

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From the annual, seasonal, and monthly levels, RMSE increases markedly as the aggregation scale becomes finer (annual < seasonal < monthly), and importantly, the ranking of the parameterization schemes remains consistent across the different levels (Fig. 9). This consistency is similar to the interannual-scale RMSE results in the study of Wild et al. (2022). Among the model parameterization schemes, the Li scheme has the smallest error, followed by Li+Lombardozzi, Li+Sitch, and Lombardozzi; the Lombardozzi scheme has the largest error at each time-scale. MODIS yields the lowest RMSE at all three levels and is closest to FLUXNET among all model schemes. Overall, after ozone stress is introduced, the RMSE of the parameterization schemes does not decrease uniformly relative to the no-ozone experiment (in some cases, ozone stress introduces model bias, as the persistent underestimation persists). Comparing the ozone stress parameterization schemes, the Li scheme shows the lowest RMSE at the annual, seasonal, and monthly levels.

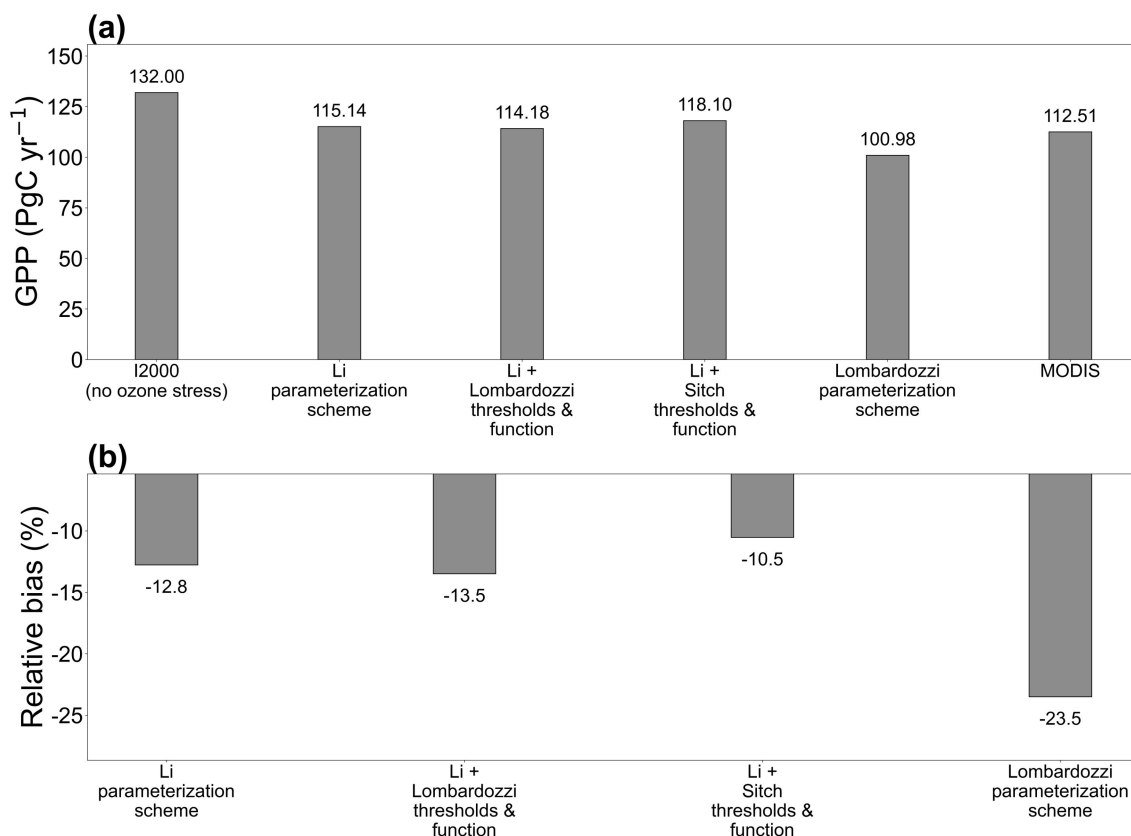
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365 **Figure 9. Root mean square error (RMSE) of GPP relative to FLUXNET (2005–2014), evaluated from monthly data at three temporal aggregation scales (annual, seasonal, and monthly) for the I2000 no-ozone experiment, four ozone-stress parameterization schemes (Li, Li with Lombardozzi thresholds and function, Li with Sitch thresholds and function, and Lombardozzi), and MODIS.**

The ten-year mean global total GPP shows that the no-ozone experiment produces a higher global total GPP, and that the inclusion of ozone stress reduces this total by about 10 % to 24 % across the schemes (Fig. 10). Among the four schemes, the Li family of parameterization schemes (Li, Li+Lombardozzi, Li+Sitch) is closer to MODIS (112.51 Pg C yr<sup>-1</sup>). Additionally, the FLUXCOM upscaling estimate of ~115.2 Pg C yr<sup>-1</sup> suggests that the Li parameterization scheme is closest to the observations, whereas the Lombardozzi result is clearly lower (Li et al., 2024). In other words, the more substantial ozone damage in the Lombardozzi scheme yields a larger global GPP reduction (~24%) than appears warranted by satellite-derived GPP benchmarks (~10–12% reduction), while the Li scheme's more moderate effect (~12% reduction) aligns better with observed totals.

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**Figure 10. Decadal-mean (2005–2014) global total GPP and relative bias for four ozone-stress parameterization schemes and MODIS, compared with the I2000 no-ozone experiment. (a) Global total GPP; (b) relative bias (%).**

#### 380 4 Discussion

The spatial heterogeneity and zonal structure of ozone impacts on productivity can be understood as the coupled outcome of multiple processes. High radiation together with relatively open stomata increases stomatal flux, so that above-threshold accumulation and physiological suppression are more easily triggered (Mills et al., 2018). In contrast, under conditions of low temperature, drought, or stomatal closure, effective flux and cumulative dose can be suppressed even when ozone concentration is relatively high (Liu et al., 2024). These features suggest that the priority for parameterization schemes should be explicit gating and adaptive thresholds for radiation and turbulent transport, evapotranspiration and stomatal limitation, and phenology and canopy stratification (Clifton et al., 2020). From a mechanistic perspective, the Li framework parameterization scheme uses data-driven ozone flux thresholds and separate responses of photosynthesis and conductance, thereby improving its ability to represent flux triggering under tropical conditions with high radiation and humidity (Li et al., 2024; Pande et al., 2025). By contrast, the Lombardozzi parameterization scheme, which uses cumulative uptake as a state variable, exhibits a strong memory effect and accumulates ozone damage too rapidly under sustained high-flux conditions.



Together with a relatively rigid scaling relation, it tends to amplify long-term suppression at low latitudes, leading to systematic GPP underestimation (Visser et al., 2021). Consistent with this, a recent model comparison in China showed that the Lombardozzi scheme produced 2.5–4 times larger GPP losses than the Sitch scheme, reflecting an over-accumulation of ozone damage in regions of high exposure (Cao et al., 2024). In terms of model structure, a weakly coupled pathway that first accumulates flux above the threshold and then scales a solution without ozone can partly avoid excessive coupled amplification of evapotranspiration, conductance, and photosynthesis, thereby reducing systematic bias in the tropics (Otu-Larbi et al., 2020). The comparison results show that what is decisive is not only whether ozone is represented, but also how ozone flux thresholds and response functions are defined and how memory and radiation stratification are treated at the canopy scale (Zhou et al., 2024).

Station-based RMSE, together with biome-mean bias, jointly reveal a three-way constraint arising from observations, simulations, and spatial resolution. On the one hand, complex land surfaces and diverse PFT combinations in the midlatitudes produce bands of significant errors, while higher-resolution remote sensing in the same regions shows fewer extreme high values, indicating that grid-scale mismatch and subgrid heterogeneity are essential sources of information loss (Wild et al., 2022). On the other hand, under the same forcing, the Li series of parameterization schemes shows convergence in RMSE and reduced bias across several latitude bands and biomes, indicating that a strategy in which ozone flux thresholds can be optimized and response functions selected is more favorable for transfer across ecological zones. In contrast, the Lombardozzi parameterization scheme tends to accumulate more strongly and impose more profound suppression in low latitudes and in some grass and shrub systems. It therefore requires region-specific adjustments to ozone flux thresholds and response functions (e.g., separate calibration for sensitive tropical ecosystems). Integrated statistics across multiple biomes also show that the biases exhibit pronounced biome dependence, underscoring that a one-size-fits-all ozone parameterization will lead to systematic errors in specific biomes (e.g., savannas, montane shrublands).

Except for a few regions, most parameterization schemes still show systematic underestimation to varying degrees across several seasons. Therefore, we focused on the prominent *overestimation* of the no-ozone experiment relative to the observations in the low latitudes of the Southern Hemisphere (approximately 30° S to the equator) and in shrub-dominated regions, where the time series matched better after introducing ozone (Fig. 5 and Fig. 6c). This latitude band is primarily a transition zone from subtropical to tropical semiarid and monsoon climates, where intense radiation and relatively open stomata, together with enhanced boundary-layer mixing during the rainy season, tend to increase instantaneous stomatal flux (Khan et al., 2025). If the gating of above-threshold accumulation or CUO is insensitive to high afternoon vapor pressure deficit (VPD) and soil moisture constraints, or if the phenological switches and leaf-age decay associated with transitions between wet and dry seasons are not adequately represented, potential productivity will be overly amplified (Eghdami et al., 2022). Shrub ecosystems often have shallow roots and rapidly renewing leaves, coexist with shrub–grass mosaics, and are subject to grazing disturbance; in reality, stomatal regulation is more conservative and strongly driven by soil moisture pulses (Wang et al., 2018). When a parameterization scheme adopts forest-type ozone flux thresholds or fixed-shape response functions and does not distinguish the time-varying weighting of canopy flux under heterogeneous near-surface

turbulence and radiation, the model tends to produce amplified GPP peaks after rainfall events or at the beginning of the growing season, resulting in systematic overestimation relative to FLUXNET (Vermeuel et al., 2024). In contrast, the Li parameterization scheme uses data-driven thresholds and selectable, decoupled response functions for photosynthesis and conductance, and its treatment of canopy stratification and phenological gating is more consistent with process constraints.

430 As a result, in the band from 30° S to the equator and in shrub-rich regions, the Li scheme markedly reduces the overestimation and comes closer to FLUXNET.

From the perspective of global total GPP, the reason why different ozone stress parameterization schemes produce various degrees of reduction lies mainly in how ozone flux thresholds are determined and in the form of the response functions. A framework in which thresholds are determined from data and the optimal choice can be made among several candidate

435 functions helps calibrate, separately, when ozone stress is triggered and how strongly it decays after triggering. This maintains transparency and traceability when applied across regions. In contrast, configurations with overly strong cumulative memory more easily amplify the influence of historical fluxes in low-latitude ecosystems with high leaf longevity or long growing seasons. Our results here support the findings of Li et al. (2024) on a global scale: using PFT-specific optimal thresholds and nonlinear response curves (as in Li's scheme) yields roughly half the ozone-induced GPP

440 reduction compared with a scheme with fixed thresholds and linear responses, better aligning model GPP observational estimates. Thus, beyond the inclusion of ozone damage per se, it is the calibration and flexibility of the threshold and response parameters that determine model outcomes.

## 5 Implications for future improvement in earth system models

The process representation of ozone stress effects needs to shift from a paradigm of static ozone flux thresholds combined

445 with fixed response functions to parameterization schemes based on a unified mechanistic framework that can be transferred across different vegetation types and regions. We should calibrate ozone flux thresholds for different vegetation types and areas in a data-driven manner, enabling optimal selection among multiple response functions while accounting separately for the independent suppression of photosynthesis and stomatal conductance. In parallel, we recommend introducing finer control over canopy stratification, phenological gating, and leaf-age memory, so that above-threshold accumulation or dose

450 state variables can adapt across diurnal, seasonal, and growth stages, thereby avoiding systematic excessive downregulation caused by long-term memory.

Interactions between ozone stress and water stress need to be incorporated in parallel rather than corrected afterwards. Under conditions of high temperature, intense radiation, and elevated VPD, whether above-threshold accumulation is triggered and how strongly it decays after triggering depend on the combined constraints imposed by evaporative demand, stomatal

455 opening, and soil moisture. We recommend explicitly coupling the time-varying effects of VPD and soil moisture on stomatal and boundary-layer fluxes in the resistance-chain calculations, and setting robust gating for extreme afternoon conditions to reduce the degradation of model skill in summer. At the same time, for semiarid and shrub ecosystems,

thresholds and response functions should be recalibrated using regional observations to avoid systematic over- or underestimation arising from the reuse of forest-type parameterization schemes. (Consistent with these recommendations, recent studies have called for jointly accounting for drought and ozone – e.g., Otu-Larbi et al., 2020; Khan et al., 2025 – to better simulate vegetation risk.)

In addition to improvements to ozone stress parameterization schemes that need to infer thresholds, response functions, and water stress effects from in situ ground observations, previous studies have also discussed potential directions for development in terms of other explanatory variables such as leaf area, leaf thickness, and leaf mass per area, as well as additional environmental factors (Karlsson et al., 2007; Wittig et al., 2007; Bussotti et al., 2008; Feng et al., 2018; Hansen et al., 2019; Xu et al., 2020; Ma et al., 2023; Li et al., 2024). Here, we propose several potential directions from a *remote sensing* perspective for further improving model simulation ability and ozone stress parameterization schemes: 1. **Photosynthetic capacity and stress detection:** Solar-induced chlorophyll fluorescence and the near-infrared vegetation index can be used as joint constraints on photosynthetic potential and non-photochemical quenching (Porcar-Castell et al., 2014; Badgley et al., 2017). Meanwhile, microwave vegetation optical depth, together with passive microwave soil moisture, can be used to characterize canopy water content and soil moisture state. These could help ensure that stomatal opening/closure and the conditions under which above-threshold O<sub>3</sub> uptake occurs are more accurately constrained under high-temperature, high-radiation conditions (Konings et al., 2017). 2. **Canopy structure and turbulence:** Multi-source leaf area index and three-dimensional canopy structure products (e.g., from LiDAR) can be used to constrain canopy layer weighting and effective radiation penetration (Xiao et al., 2019). Additionally, canopy height and surface roughness inferred from LiDAR and synthetic aperture radar can be used to reduce systematic bias under conditions of strong afternoon turbulence and intense radiation (Dubayah et al., 2020). This would refine how models partition ozone flux between tall, rough canopies and short, smooth ones, thereby improving flux partitioning to leaves (Visser et al., 2021). 3. **Plant traits and species-specific responses:** Leaf economic spectrum traits retrieved from hyperspectral observations – such as leaf mass per area (LMA), leaf thickness, and leaf nitrogen content – can be used to construct prior distributions of photosynthesis and conductance sensitivity for different vegetation types. This can support regional and seasonal dynamic updating of ozone flux thresholds and response functions (Serbin et al., 2014). For example, trait-based approaches (e.g., Ma et al., 2023) can inform which ecosystems have inherently higher ozone tolerance and should have higher threshold Y values in models. 4. **Data assimilation and uncertainty quantification:** Through joint assimilation of site observations and remote sensing data, ozone flux thresholds, response function shapes, and key trait parameters can be calibrated and their uncertainties quantified simultaneously using hierarchical Bayesian methods or surrogate models (MacBean et al., 2016). This would achieve consistent multi-scale constraints and provide a transferable evaluation framework from the site scale to regional and global scales.

Additionally, artificial intelligence (AI) offers a potential technical pathway for further developing ozone stress parameterizations. On the one hand, AI-based surrogate models can approximate complex ozone flux calculations and nonlinear response processes and, while maintaining physical constraints, hold promise for reducing computational costs,

thereby supporting the exploration of multi-parameter combinations (Lu and Ricciuto, 2019; Zhu et al., 2022). On the other hand, integrating site observations, remote sensing products, and model outputs into supervised or semi-supervised learning frameworks may help identify ozone flux thresholds, response function forms, and their dependencies on moisture, radiation, and phenological conditions from multi-source data, providing data-driven prior information for different vegetation types and regions (Sung et al., 2025). Furthermore, combining artificial intelligence with model–data assimilation may offer new pathways for jointly inverting ozone flux thresholds, response function parameters, and key leaf traits (Raoult et al., 2025). By integrating artificial intelligence with physical constraints, ecological mechanisms, and multi-scale observations, it is possible to develop more flexible ozone-stress parameterization frameworks that provide more robust support for global carbon cycle assessments and scenario projections.

## 6 Conclusion

Within a unified land surface model framework, we compared three representative ozone stress parameterization schemes and, by decomposing in layers the choices of ozone flux thresholds, the representation of cumulative dose, and the shapes of response functions, clarified that beyond whether ozone is included, the more critical question is how thresholds and responses are represented. The overall evidence shows that a diagnostic scheme that uses data-driven thresholds, models photosynthesis and stomatal conductance separately, and allows an optimal choice among multiple response functions is more favorable for transferable applications across regions, vegetation types, and time scales. In contrast, configurations with overly strong cumulative memory or rigid assumptions about thresholds and functions are more likely to amplify systematic biases in specific climate zones or habitat types. Based on the comprehensive evaluation, we conclude that the Li ozone stress parameterization scheme is the most robust and the most consistent with the observations in this study.

Large-scale remote sensing productivity benchmarks and high-resolution site-level flux observations are complementary in space, time, and process representation. This enables us to separate uncertainties in ozone thresholds and response functions from biases in forcing fields and observations. At the same time, the temporally and spatially consistent ozone forcing from the chemical transport model ensures mechanistic coherence between atmospheric inputs and land-surface processes. The resulting chain of evidence not only supports a quantitative discrimination of the relative performance of different ozone stress parameterization schemes but also points to directions for improvement. Namely, our results indicate that ozone flux thresholds need to be explicitly calibrated in both the regional dimension and the vegetation-type dimension; that response functions should remain flexible with respect to the nonlinear joint effects of high summer temperature, intense radiation, high VPD, and water constraints; and that canopy stratification together with phenological gating are key mechanisms for suppressing long-term over-memory and biases under extreme afternoon conditions. At a practical level, these findings help identify a prioritized set of ozone-related parameters and processes that warrant focused constraint in future model evaluation and model–data integration efforts.



We also highlight limitations and frontier needs that still require attention. First, representativeness differences between the site scale and the model grid scale, together with subgrid heterogeneity, continue to amplify biases in some biomes and climate zones. This calls for higher-resolution forcing and parameter fields, as well as joint assimilation of site observations and remote sensing data, to narrow this gap. Second, the parallel systems of water and ozone stress still need to be strengthened. Future work should explicitly couple, at the level of the resistance chain, the time-varying modulation of stomatal flux and boundary-layer flux by vapor pressure deficit and soil moisture, and should use hierarchical Bayesian or surrogate-model approaches to calibrate the thresholds, response functions, and prior distributions of key leaf traits in ozone stress parameterization schemes. Third, regional calibration of thresholds and ecosystem-type-specific response functions still requires richer observational evidence, especially in semiarid shrublands, tropical monsoon regions, and cropland systems under strong management. Strengthening these aspects is expected to extend the diagnostic framework for ozone flux threshold calibration and response function selection developed in this study into a consistency evaluation tool that can be applied across multiple scenarios and multiple models, thereby enhancing the transferability and credibility of assessments of ozone impacts on the carbon cycle.

Code and data availability. The code (including its license) for the two modified ozone-stress parameterization schemes used to simulate ozone-caused damage to vegetation in the process model is available at Zhou (2026a). The input data for ozone concentration and the model simulation output data are accessible at (Zhou, 2026b). The code for the Community Terrestrial Systems Model CTSM 5.3.075 is archived at <https://zenodo.org/records/15174742> (CTSM Team, 2025). The MODIS and FLUXNET GPP data are documented in Zhao et al. (2005) (<https://doi.org/10.1016/j.rse.2004.12.011>) and Pastorello et al. (2020) (<https://doi.org/10.1038/s41597-020-0534-3>), respectively.

Author contributions. PZ and JC conceived the study. FL provided the initial parameterization code, which was further modified and refined by PZ. JL led the ozone simulations and data analysis, with contributions from RB and DM, while PZ conducted the land surface process simulations and data analysis. PZ, JC, and MB jointly drafted the initial manuscript. LD, JL, MB, FL, and MS critically revised the manuscript. ZC, JP, KL, FY, WP, JC, LX, and other co-authors contributed to in-depth discussions of the study. LD coordinated the overall team effort and provided funding support.

Competing interests. The authors declare they have no competing interests.

Acknowledgements. We are grateful to Danica Lombardozzi, Sam Levis, Keith Oleson, Sam Rabin, Ryan Knox, Adrianna Foster, Jyoti Singh for their helpful discussions. We also thank the National Supercomputer Center in Guangzhou for providing the Tianhe 2 supercomputer used for the model simulations.

Financial support. This research was jointly supported by the National Key Research and Development Program of China (Grant No. 2023YFF0805501) and the National Natural Science Foundation of China (Grant Nos. 42141017, 42261144687,



42075167, 41875137). FL is supported by the Guangdong Major Project of Basic and Applied Basic Research (Grant No. 555 2021B0301030007).

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