

We thank the reviewer for their positive general comments on the manuscript and opinion that it will make a valuable contribution to the *Biogeosciences* journal. We also appreciate their acknowledgement of the strong argument for fragmentation to be included Earth system models and ocean biogeochemistry models used to study the carbon cycle. We acknowledge their request for certain adjustments to be made to improve the current manuscript. To the best of our ability, we have addressed the reviewer's comments in the pages below. Reviewer comments are shown in bold text and our responses in standard text, with actioned responses in green.

The summarized changes due to the specific reviewer comments are as follows:

1. Methods need more detail – terms and their sources are now provided, with additional equations and explanations of steps taken included for clarity.
2. Imprecise language – reviewers rewording suggestions have been taken onboard and applied.
3. Text states something I do not see in the figures – certain values written in the text have been modified for accuracy, with additional explanations for model behavior (namely the seasonal experiment) and theory provided.
4. Results need more explanation – Further expansion of results description has been applied, with new descriptions suggested from the reviewer also added.
5. Visualization of results: additions or improvements – where felt appropriate, the reviewers requests were applied, with the other reviewers requests also considered.
6. Size-spectra slopes – clarification and additional information has been inputted where appropriate.
7. Other and technical corrections – suggested changes applied

We believe these additions will improve the manuscript's clarity and hope the editor is in agreement.

General comments

This paper adopts the use of a 1-D mechanistic model of particle dynamics, originally developed for the ocean mixed layer, to examine the role of fragmentation on export flux and transfer efficiency from ~100-1000 m. This mesopelagic version of the SISSOMA model assumes that aggregation is negligible below the mixed layer and updates the fragmentation term to be representative of particle-associated copepods (PACs). The experiments reveal the isolated and combined impacts of remineralization and fragmentation on POC flux with depth, particle size distribution with depth, and the contribution of individual processes to POC flux attenuation. When compared against backscatter-derived estimates from BGC-Argo float observations from the GLOBESINK database, these results suggest that the initial decline in particle size observed in the top 200 m cannot be reproduced without simulated fragmentation. The model experiments are idealized such that they can disentangle the processes of sinking, remineralization, and fragmentation; they are not intended to represent every process, thus do not perfectly match the observations. The authors do an excellent job of discussing the model limitations and hypothesizing on mechanisms/factors not represented and how that would affect flux attenuation and particle size distribution (especially L483-485). The results are strengthened by a sensitivity analysis of the model parameters and structural assumptions that generate similar results. The results provided a strong argument for including fragmentation in Earth system models and ocean biogeochemistry models used to study the carbon cycle.

The paper addresses scientific questions regarding particulate organic carbon flux attenuation in the ocean, which are highly relevant to the global carbon cycle and within the scope of Biogeosciences. The scientific methods and assumptions are valid, and the assumptions are clear. However, the description of experiments and calculations are not sufficiently complete and precise to allow their reproduction by fellow scientists. Additional mathematical formulae need to be defined. The results are sufficient to support the interpretations and conclusions presented; however, the results could be expanded for completeness. Furthermore, description of the results illustrated in figures is imprecise or incorrect. Below are my specific comments on how to improve the manuscript. With these adjustments, I think the paper will be a valuable contribution to the field of biogeosciences.

Specific comments

1. Methods need more detail.

- “Psi” L121: Here you call it the fraction ingested, but in the Supplement you call it the preference for that particle based on its size. These are two separate things. Preference, if it exists, should be a multiplier on POC concentration ($POC_{i,j}$) in Eq 3. L305: I would argue that ψ is a metric of fragmentation strength, not the ratio of PAC to POC. S2.1: Again, I would not call the PAC:POC ratio “fragmentation strength.” I think ψ is fragmentation strength. S2.1: Again, ψ is not a preference, this is how much consumed.

Thank you for pointing this out, ψ refers to the fraction ingested. Mayor et al. (2020) configure ψ to be different for the size pools, whereas in our work it is set as the same amongst size classes. The section in the supplementary material explores a changing ψ with size, you are correct to state is a metric of “fragmentation strength” (how much is consumed). The ratio of PAC:POC is indeed not “fragmentation strength”. *In all cases, ψ has been clarified to act as the fraction ingested, or “fragmentation strength”, not a preference. The explanations of the PAC:POC ratio as a “fragmentation strength” have also been removed.*

- **L124-127: Did you calculate this ratio from the Mayor outputs? It is not an explicit result presented in that paper (i.e. no figure or table, no mention in text). Alternatively, UVP data could be used to estimate ratios. Also, what are the units of POC concentration? Is it number or mass? If it is number, then fragmentation would make more particles, thereby increasing concentration and PAC abundance, whereas mass would have the opposite effect.**

This ratio was determined by outputs from Fig. 3 of the Mayor paper, with tests of values of order of magnitude difference included in the supplementary material. The units of POC concentration are mass, thus when fragmentation occurs, mass is moved to smaller classes, increasing the concentration of those classes (and indeed number of small particles). *We have added clarifications in the manuscript.*

- **Eq 3: Is not a Holling Type III. More parameters need to be specified? What are they? (See attached pdf for better formatting)**

Disk form: $\text{ingest}(\text{POC}) = (a \times \text{POC}^b) / (1 + a \times h \times \text{POC}^b)$

Michaelis-Menton form: $\text{ingest}(\text{POC}) = (a \times \text{POC}^b) / (k_{1/2} + \text{POC}^b)$

Ivlev form: $\text{ingest}(\text{POC}) = (1 - \exp(-a \times \text{POC}^b))$

From the MEDUSA documentation (note I could not find this information in your manuscript nor the Mayor et al. 2020 citation), it seems you use the Michaelis-Menton form. And when there are multiple prey types like you have, grazing is somewhat like your $\text{POC}_i / \text{POC}_{\text{tot}}$, but more precisely follows the MEDUSA formulation like this:

$\text{Grazing}_x = (g_{\text{max}} \times p_x \times X^2) / (k_2 + F_m)$

where $F_m = (p_{\text{Pn}} \times \text{Pn}^2) + (p_{\text{Pd}} \times \text{Pd}^2) + (p_{\text{PZu}} \times \text{Zu}^2) + (p_{\text{D}} \times \text{D}^2)$

“p” is preference for each of the prey items (Pn, Pd, Zu, D).

You are correct that this equation does not sufficiently explain the Holling Type 3, gamma represents the holling feeding intake and has not been fully defined. This is a typo and should be a Holling type 2 (Michaelis-Menton), we consider the only prey type to be detritus with processing of particles related to their given contribution to total POC. *This has now been defined with an equation added. $\gamma^{\text{POC}} = \frac{\text{POC}_T}{k + \text{POC}_T}$*

- **L133-135 and S2.2: Appendix D on fragmentation in K&V said they distributed the mass equally across all smaller size bins. The size bins themselves may be logarithmic, but not how much mass gets allocated into each. Did you alter that to make it a logarithmic distribution? If you indeed did distribute it logarithmically,**

then please give the equation as to how. It seems like there would also be a parameter for the proportion that goes to (i-1), etc.

Redistribution of mass is determined by using a normalised weighting function that favours classes closer to the parent class. The fraction allocated to a class is:

$$\phi_{j,i} = \frac{\exp\left[-\left|\ln\left(\frac{j}{i}\right)\right|\delta\right]}{\sum_{k=1}^{i-1} \exp\left[-\left|\ln(k/i)\right|/\delta\right]}$$

Where δ is calculated from the geometric spacing of the 30 size classes ($\delta = \exp(\log(r_{\text{Max}} / r_0) / (N_r - 1))$). [An explanation of this has been added to the supplementary material and referred to in the main text.](#)

- **L136-137: Does that mean the psi fraction is ingested and (1 - psi) is remineralized? Please describe.**

No, remineralisation is treated independently of PAC processing. The psi fraction is ingested with 1-psi reintegrated into the same size class. [We have added information to clarify this distinction.](#)

- **L142-143: Why did you choose this range of density?**

Choosing this density range fell into observational range (as cited) but also provided a subsequent sinking range that is suitable for aggregates (Turner, 2002). [This extra citation has been added to support this.](#)

- **L262-263 (Fig 4 caption): Were these two Martin curve exponents from a Martin et al. paper? Or diff ref? Either way, a reference is needed.**

[A reference has now been added \(Martin et al., 1987\).](#)

- **L441: Need more information in the Methods section about the PAC. For example, the PAC is an ambush feeder that is only eating a part of the particle, hypothesized that it is mining protozoans off of it.**

[An introductory section has been added to the methods section regarding PAC behaviour, e.g. ambush feeding and microbial gardening \(Mayor et al., 2014\).](#)

- **S1: Biomes were grouped by eye? No clustering algorithm or anything like that? Please state.**

Correct, clustering efforts for GLOBESINK are underway but do not fall under the remit of this manuscript.

- **S3: How is DOC included in the size calculation? What is the size of DOC?**
 - **S3: There is nothing about DOC in Section 2.1.**

The impact of DOC on observational techniques such as GLOBESINK is still unclear. This supplementary section was included to explore the potential impact of a DOC concentration. However, due to many unknowns, for clarity it may be best to remove this section from the supplementary material. [All references to DOC have been removed.](#)

- **S4: Does remineralization take mass away, but increase porosity, thus still holding onto water, like in K&V? Please state.**

In this version of the model it does not increase the porosity. We now make this point clearly in the text.

2. Imprecise language.

- **L42-45:** Total export flux estimates of 4-12 PgC/yr are an example of uncertainty, but T_{eff} variability in time and space is expected. This is different from uncertainty as exemplified by total export flux estimates between 4-12 PgC/yr. What is the uncertainty in T_{eff} at a given time and location on average? If unknown, frame as variability rather than uncertainty.

Reframed as variability.

- **L214-215:** “In most cases” This is not easy to see/assess if accurate. Some lines suggest stabilization very shallow, others increase with depth. Hard to see if there are regional or seasonal patterns to when it decreases vs. stabilizes. Fig 3 shows this is only a pattern for 2 regions, not most.

Rephrased to some with examples given e.g. SO, EQU.

- **L216:** I would put EQU in a separate category of moderate seasonal variations. > NHS, SHS, NP, but < NHHL and SO. ~ 400 m there is a 50 um difference across two seasons.

Sentence added to re-categorise EQU.

- **L223-225:** Replace “baseline” with “global mean” or define what the baseline is if not that.

Replaced.

- **L342:** Not clear why this is called "further sinking runs." Should be something like more even size distribution runs?

Rephrased.

- **S3:** “As with the sensitivity tests in Section 3.3, higher slope values present mid-mesopelagic average ESD maxima (Fig. S15c),” should say that higher slope values have deeper ESD maxima.

Changed as suggested.

- **S3:** “At greater and increasing slope value (Month 6 to 12; Fig. S15a) ...” These are all increasing but not all greater. Months 6-8 are low values, 9-12 are high.

Removed greater.

3. Text states something I do not see in the figures

- **L222:** Looks like 95, not 90.

Changed.

- **L299:** Fig 5 red bar on left says 67%, not 56%.

Should say 67%. Changed.

- **Fig 7: Shows 56%, which is a different value for Frag with 0 slope than Fig 5.**

Figure has incorrect values, these should match Fig 5. **Changed.**

- **L394: The minimum size looks shallower for 8 (yellow) compared to 5 (cyan) to me.**

Should say month 10. **Numbers and values changed.**

- **L395: “higher POC encouraging” But months 5 and 8 both have the same flux so how can you call one higher?**

Changed to increasing.

- **L396: But why not also in months 1-2 when flux is the same amount as 11 and 12?**

Explained in next comment response.

- **L420: No, your results show increasing POC flux does this, not higher POC, still unclear why.**

Through panel b there is not always a linear relationship between flux loss due to fragmentation and initial particle flux. We see that during periods of increase the contribution of fragmentation is increased (and maximised) due to greater particle availability, encouraging PAC processing. We also see that towards particle flux minima, flux loss due to fragmentation can sometimes increase, likely due to accumulation of smaller particles produced from preceding months fragmentation events. The slower, smaller particles have longer residence times, which can introduce a lag between the changing particle flux inputted and the particle make-up within the mesopelagic. Thus, our results primarily indicate that increasing flux encourages PAC activity and fragmentation, whereas high contribution at low flux is likely a lag-effect or the relative effect of low flux to high relative loss. **An explanation has been added within the results section.**

- **S1.2: “indicate an initial decrease in particle size with depth” This is not supported by Fig S8. Particle size initially decreases only for EQU and NHS. The others all show an increase in the top 100-150 m. I would remove this line and keep the one below that is a more accurate description of the pattern “occurs within the top ~ 100 m of the water column, followed by a relatively rapid decrease through the upper mesopelagic (< 500 m depth) and stabilisation at greater depths (Fig. S8).”**

Removed.

- **S1.2: “Exceptions are observed in the NHHL” The actual maximum is ~100 dbar, but there is a secondary/local maximum at 400-500 dbar.**

Clarified.

- **S3: “AL/S increase with depth in all NONE experiments” Not exactly. AL/S has a maximum at intermediate depth with s3 and s4.**

Clarified.

- **S3: “... the depth of the appearance of reductions in size (akin to s3FRAG+REMIN and s4FRAG+REMIN; Fig. 8c and 8e respectively) shallows” This wording is very**

confusing. Which panel shows this? Both size and L:S ratio maxima (and local minima just below those) are deeper with increasing slopes.

Sentence removed for clarity.

4. Results need more explanation

- **Results only focus on size differences, but particles also vary with respect to excess weight. How did that shape results? What patterns emerged there?**
 - **L471: Isn't the excess weight essentially a metric of this? There can be two particles of the same size with different excess weights akin to a dense fecal pellet and a light, fluffy aggregate.**

Due to the GLOBESINK dataset only including an average size suite, we focus on the size output of the model, the excess density dimension from SISOA was kept the same to apply a natural variation of aggregate densities. In order to thoroughly introduce/consider a fecal pellet class we would also like to consider the implications of remineralisation and PAC-fragmentation. This work is currently undergoing, but does not fit the remit of this manuscript.

- **Figure 6b: These results are not discussed fully. Need to discuss the patterns that appear here such as none of these look like the GLOBESINK results. Why does s3 have that huge increase in size then drop at depth? And why doesn't the same thing happen in s4?**

This increase is due to large particle “survival” where, despite their small concentration do to the steepness of slope, the fast speed allows them to avoid fragmentation and for size to spike at deep depths before being remineralised/removed from the system. This does not occur in s4 as these particle’s initial concentration is even lower and fragmentation takes into effect alongside remineralisation (why fragmentation contribution to flux loss is higher in s4 than s3). Both runs experience low transfer efficiency, with this reasoned in the discussion, which also explains their dissimilarity to the monthly GLOBESINK profiles. [An explanation has been added in this section.](#)

- **L354-356: Or the starting amount is higher than that tested. Is the starting particle abundance akin to an oligo-, meso-, or eutrophic system?**

It is akin to a mesotrophic system as per Estapa et al. (2021). [This context added to this chosen amount.](#)

- **L391: “followed by gradual increases” Sometimes there are 2 decreases and 2 increases (months 1, 2, 11, 12), why?**

As explained in response to L420 comment, especially at depth there is a lag related to particle residence time and fragmentation-supplied size classes. During high flux periods (1, 2, 11, 12), multiple extrema in size can be caused by strong fragmentation vs survival of fast, large particles. Conversely, lower flux months are more governed by material from preceding months/fragmentation events. [Explanation added, as per response to L420.](#)

- **L393: Why does the direction of flux change and not the flux amount itself make a difference? Never explained.**

- **L396-398: Why/how does the sign of change in particle flux alter this amount? I would expect the same amount of flux to have the same amount of PAC, thus contribute the same amount of fragmentation. Does it have something to do with the total concentration of POC? And why does %fragmentation increase in months 4-6 when flux is decreasing and close to its minimum?**

See response to L420 and L391.

- **S3: Fig S15: I still do not understand the asymmetry in these results. The “b” panels here and in the main text are never explained.**

See response to L420 and L391, the fragmentation vs large particle lag still applies to a change size distribution make-up of initial flux.

- **S3: “... suggesting size classes at stripped of mass at shallower depths when initial slope value is higher” But why do months 10-12 have bigger ratios than months 1-3 below 200m, when slopes are the same?**

See response to L420 and L393.

- **S3: Figure S14: Missing some important descriptions: Note that Remin looks increasingly like None with steepening slope in terms of average size. Note that in (d & f) all except Frag + Remin look like Kiko.**

Noted and added to text.

5. Visualization of results: additions or improvements.

- **Figure 2: I would have liked to compare the model results of Fig 3 to the observations more directly, instead of having to go back and forth between figures. Could you please add Fig 2 as a subpanel next to model results?**

Added.

- **L179: I'd also like to see the large:small ratio from observations against model results.**

To highlight our main model results and novelty of GLOBESINK and limit the number of panels in our main text, the ratio figures are left in the supplementary material for those interested.

- **L318-319 and other references to declining PAC biomass: Never show results for POC concentration. That would be helpful to understand changes in PAC activity with depth.**

We have included a PAC biomass profile for the 3.2 FRAG+REMIN experiment in the supplementary material and referenced to it in the main text as per requested by the other reviewer.

6. Size spectra slopes

- **L72-73: Size spectra slopes should be negative. If you are going to use positive values, then you need to write the equation as: $a * \text{size}^{-b}$ to show these are lines (in log space) that decrease with increasing size.**

This equation has been added within the text.

- **L242-243: Suggest calculating the PSD slopes of these profiles instead of citing Clements here.**

Currently, it is not possible to calculate the slope of the cross-sectional area-weighted equivalent spherical diameter profiles within the current GLOBESINK suite.

- **L422 and throughout: Suggest describing slopes as steeper or flatter, rather than higher or lower, big or small.**

Changed where applicable.

Other

- **L287-289: “In the upper mesopelagic (~ 200 m), remineralisation and fragmentation follow similar normalised flux profiles (Fig 4a.), suggesting they both attenuate flux at a similar rate.” Important result to come back to in Discussion. How one can't tell the processes involved from the flux profiles alone.**

- **L426-427: But simply looking at attenuation in the shallower depths did not tell you about the different processes involved. Also important to note.**

Noted and added in the text.

- **L465-466: Makes sense as the particles should become less labile with depth as the more labile parts are consumed or remineralized. Maybe note this or cite a paper that shows this.**

Noted and citation added (Bressac et al., 2024).

- **L477: This suggests only pellets produced by DVM zooplankton are important. But couldn't pellets produced by your PAC also change the results?**

We assume PAC fecal pellets to be too small and slow sinking, thus have a negligible role as explained in response to the other reviewer.

- **L497: And remineralization rates that vary with temperature, oxygen, etc. Suggest adding fecal pellet production to “(DVM, feeding modes, etc.)”**

Added.

- **S3: Last sentence of second paragraph: “... may be a result of sinking POC that is less exposed to these particle transformation processes.” Should call out the remineralization process specifically.**

Specified.

Technical corrections

- **L45: “Among BCP ... should be the start of the next paragraph.**
Changed.
- **L55: Why specifically marine snow here and not all POC?**
Changed.
- **L88-90: Only says PAC redistribute into smaller sizes, but should mention that they eat some.**
Mentioned.
- **L213-214: “Average ESD” suggests the results from Fig3. I recommend stating as the range, not average.**
Changed.
- **L219: Should read “Southern Ocean (SO)”**
Changed.
- **L231-234: These sentences belong in the Intro and Methods, not the Results**
Added to intro alongside equation.
- **L326: PSD slope range should be 2-5 not 2-4.**
Changed where appropriate.
- **L327: missing word: “...PAC biomass to POC concentration ratio...”**
Added.
- **L380-388: This paragraph is Methods, not Results.**
Moved.
- **2: Units on figure are dbar, most text uses units of m**
Changed.
- **3: “shallows,” used here and elsewhere, is not a word. "shoals" is.**
Changed where appropriate.
- **S3: First sentence of second paragraph should read, “With an initial slope of 2 (Fig. S14a,b), the resulting ESD and Λ /S vertical profiles resemble the NONE experiment with a PSD slope of 0 (Fig. S13)...”**
Changed.

References

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- Turner, J. T.: Zooplankton fecal pellets, marine snow and sinking phytoplankton blooms, *Aquatic microbial ecology*, 27, 57-102, 2002.