





36 classifications are therefore numerically stable in aggregate proportion but the  
37 *individual-tile labels* are highly sensitive to OLS sampling and substantially  
38 reproducible by random spatial shifts alone — diagnostic of a ratio-instability  
39 pathology in which the climate / land-cover  $R^2$ -ratio diverges when the land-cover  
40 denominator approaches zero in low-LULC-variance cells. Hansen GFC  
41 corroborates only a small subset of post-2000 frontier cells (one of fifty exceeds 5  
42 per cent within-decade pixel-level forest loss); the remainder fall in countries with  
43 documented FAOSTAT national agricultural area change between 2000 and 2018.  
44 We offer the seven-perturbation audit package as a recommended baseline for  
45 future global LULC entropy classification studies.

## 46 1. Introduction

47 Spatial heterogeneity of land use and land cover is a foundational property in  
48 landscape ecology, and Shannon entropy on the per-cell class-share vector is one  
49 of the simplest scalar summaries of that heterogeneity (Shannon 1948; Bogaert et  
50 al. 2005; Vranken et al. 2015; Cushman 2018). Land-cover change has reshaped  
51 most of the terrestrial surface over the past century (Foley et al. 2005; Ellis et  
52 al. 2021), with documented effects on local biodiversity (Newbold et al. 2015).  
53 Recent applications of LULC entropy at sub-continental scales (Fan et al. 2017) and  
54 global scales (Dai 2026, submitted) have begun to map sub-grid mixture diversity  
55 across multi-decadal time series of reconstructions such as HILDA+ v2.0 (Winkler  
56 et al. 2021, 2025) and LUH2 v2h (Hurt et al. 2020; Klein Goldewijk et al. 2017).

57 A natural extension of these compositional summaries is the partition of cell-level  
58 entropy change into proximate predictors. Dai (2026, submitted) reports the global  
59 209-tile classification at  $0.5^\circ$  resolution into three regimes — land-cover-dominant,  
60 mixed, and climate-elevated — based on the ratio of unique partial  $R^2$  of land-cover  
61 and climate blocks in a per-tile nested ordinary least squares regression. The  
62 reported regime proportions are approximately 66, 26, and 7 per cent (information /  
63 mixed / climate-elevated in the original framing), with the land-cover-dominant  
64 fraction rising monotonically from 52 per cent in the tropical band to 78 per cent at  
65 high latitudes. The substantive interpretation of that regime structure is that land-  
66 cover transitions dominate climate covariates as proximate predictors of cell-level  
67 entropy change in most regions, with a minority of arid, agricultural-core, and high-  
68 latitude tiles where climate matters more.

69 These regime statistics were obtained at one particular cell grain ( $0.5^\circ$ ), with one  
70 cell-subset selection (all finite cells), one OLS fit per tile, and no external ground-  
71 truth check against an independent dataset. Whether the same regime structure  
72 survives if the cell grain, the cell subset, the per-tile OLS sample, or the external  
73 validation source are changed is an open methodological question. The Modifiable  
74 Areal Unit Problem (MAUP; Openshaw 1984) has been a longstanding concern in  
75 spatial statistics: results from aggregated cell data can shift materially under  
76 different aggregation rules, and the choice of tile size is itself an arbitrary



77 methodological parameter. Spatial-regression coefficient inference is also subject  
78 to bootstrap-based uncertainty quantification (Brus 2021; Bivand and Wong 2018),  
79 which the original analysis quantified at the coefficient level but not at the tile-  
80 classification level. Finally, the Hansen Global Forest Change dataset (Hansen et  
81 al. 2013) provides an independent 30-metre pixel-level record of forest loss from  
82 2001 onward and is widely used for external validation in global land-use studies  
83 (Curtis et al. 2018; Tyukavina et al. 2022).

84 This paper conducts a perturbation robustness audit of the Dai (2026, submitted)  
85 regime classification. We ask: does the qualitative three-regime structure — and  
86 the relative ordering land-cover-dominant > mixed > climate-elevated — survive (i)  
87 cell-grain aggregation, (ii) cell-subset stratification, (iii) per-tile bootstrap  
88 resampling, (iv) external ground-truth overlay, (v) classification-threshold scan, (vi)  
89 a spatial-null toroidal shift that preserves spatial autocorrelation, and (vii) national-  
90 level non-forest external validation?

91 The seven perturbations address distinct, non-overlapping sources of  
92 methodological uncertainty: grain (MAUP), sample selection (entropy stratum),  
93 inferential variability (bootstrap), forest-specific external validity (Hansen GFC),  
94 classification rule arbitrariness (threshold scan), residual spatial dependence  
95 (toroidal shift), and non-forest external validity (FAOSTAT national agricultural  
96 change). Further perturbations could be added — for example, alternative tile-  
97 aggregation schemes such as fitting per climate band rather than per 10° tile,  
98 alternative climate variable sets (seasonal anomalies, drought indices, extreme-  
99 event counts), and the pre-correction K=13 plus HFP-included formulation of Dai's  
100 original step 38. These additional perturbations are flagged in §4.5 as priority follow-  
101 up. The present paper's contribution is a self-contained audit package, offered as a  
102 recommended baseline for future global LULC entropy regime studies.

## 103 2. Methods

### 104 2.1 Baseline regime classification

105 We adopt the Dai (2026, submitted) baseline: per-cell Shannon entropy is  
106 computed on HILDA+ v2.0 K = 12 class shares (Winkler et al. 2025) at 0.5° resolution,  
107 excluding the tree-crops class 23 to avoid the 2010 SDPT/Descals/SPAM artefact.  
108 Per-tile nested OLS attribution of  $\Delta H(2000-2018)$  uses a baseline block of ( $|lat|$ ,  
109  $H_{1960}$ ), a climate block of ( $\Delta T$ ,  $\Delta Pre$ ) from CRU TS 4.09 (Harris et al. 2020), and a  
110 land-cover block of six  $\Delta$ shares ( $\Delta$ sparse,  $\Delta$ annual,  $\Delta$ urban,  $\Delta$ pasture,  $\Delta$ broadleaf,  
111  $\Delta$ grass). The climate block omits the Mu et al. (2022) Human Footprint, which Dai  
112 (2026) showed shares variance with the land-cover  $\Delta$ shares by construction. Per-  
113 tile classification follows the threshold rule of Dai (2026): a tile is land-cover-  
114 dominant if the unique climate / land-cover partial- $R^2$  ratio is below 0.1, mixed if  
115 between 0.1 and 0.5, and climate-elevated if above 0.5; tiles with full-model  $R^2$   
116 below 0.01 are labelled trivial.



117 A terminology note. Dai (2026, submitted) used the labels “information-driven” and  
118 “energy-driven” for the two extreme regimes, intended as metaphorical pointers to  
119 the dominant attribution block (land cover or climate). We rename the climate-  
120 extreme regime to “climate-elevated” throughout this audit. The “energy-driven”  
121 label implies a causal claim — that climate forcing drives the entropy signal —  
122 which is stronger than the regression evidence supports. The climate-elevated label  
123 more accurately describes what the data show: a tile in which the climate block’s  
124 unique partial  $R^2$  exceeds the land-cover block’s. As shown in §3.2 below, this  
125 elevation often reflects denominator collapse (near-zero LULC variance) in low-  
126 entropy cells rather than a substantive climate signal, and the label change is  
127 intended to pre-empt this misreading.

128 We retain this  $K = 12$  baseline throughout the audit. A direct sensitivity test on the  
129 original  $K = 13$  pipeline (with the tree-crops class restored and the HFP product  
130 retained in the climate block) is a natural fifth perturbation that we do not pursue  
131 here; the  $K = 13 + \text{HFP}$  path was Dai (2026)’s own earlier formulation, replaced after  
132 recognising the HFP–LULC overlap.

## 133 2.2 Perturbation 1 — MAUP grain test

134 We aggregate the  $0.5^\circ$  HILDA+  $K=12$  share vectors to a  $1^\circ$  grid by area-weighted  
135 (cos-lat-weighted) mean of each  $2 \times 2$  block. Per-cell entropy is then recomputed  
136 from the aggregated share vector, which is the mathematically correct coarsening  
137 because the aggregated share vector is the area-weighted mean of the four child  
138 share vectors. The CRU climate variables are aggregated by the same  $2 \times 2$  cos-lat  
139 weighting. The nested OLS is then refit at the  $1^\circ$  grain for each  $10^\circ \times 10^\circ$  tile with at  
140 least twenty-five  $1^\circ$  cells (versus one hundred  $0.5^\circ$  cells at the baseline). Regime  
141 distribution at  $1^\circ$  is compared against the  $0.5^\circ$  baseline.

142 We also retain the  $5^\circ$  aggregation result of Dai (2026, supplementary step 39) for  
143 context (782 tiles classified at  $5^\circ$ ). The  $0.25^\circ$  MAUP test would require raw HILDA+  
144  $0.01^\circ$  tiles, which are not on disk at submission time; this finer-grain check is  
145 acknowledged as priority follow-up. An alternative aggregation scheme — for  
146 example fitting one OLS per latitude band or per IPCC region rather than per  $10^\circ$  tile  
147 — is also a potential source of MAUP variation and is left to future work.

## 148 2.3 Perturbation 2 — High-entropy subset (Q5) stratified sensitivity 149 analysis

150 We restrict the per-tile fit to cells in the highest 1960-entropy quintile (Q5; reusing  
151 the quintile assignment of Dai 2026, §3.1). The high-entropy subset (Q5) fit yields  
152 140 valid tiles. Band distribution is compared against the all-cell 209-tile baseline.  
153 The Q5 perturbation changes the response-variance structure by construction,  
154 because  $H_{1960}$  is structurally related to  $\Delta H$  (the regression’s response variable),  
155 and conditioning on the high-H stratum alters the variance budget that the OLS  
156 partition operates on. We frame this perturbation explicitly as a stratified sensitivity



157 analysis, not an independent validation of the baseline partition. The aim is to  
158 characterise how the band distribution depends on the entropy stratum, not to  
159 claim that the baseline survives a sample-selection test in the strict sense.

#### 160 2.4 Perturbation 3 — Bootstrap per-tile classification

161 For each tile, we run 500 iterations of within-tile cell-level resampling with  
162 replacement. In each iteration the nested OLS is refit and the tile is classified using  
163 the same threshold rule. A tile’s bootstrap-modal classification is the most-  
164 frequent of the 500 outcomes; a tile is “stable” if its modal classification holds in at  
165 least 80 per cent of iterations.

#### 166 2.5 Perturbation 4 — Hansen GFC partial external corroboration

167 The Dai (2026, §3.9) frontier cells — top-fifty cells by per-cell  $|\Delta H|$  for each of eleven  
168 decades from 1900–1910 through 2000–2010 — are the geographic targets for  
169 partial external corroboration. For the two post-2000 decades, we read the Hansen  
170 v1.12 lossyear product (Hansen et al. 2013) at 30-metre resolution within each  
171 frontier cell’s  $0.5^\circ$  footprint and compute the pixel-level loss fraction for the  
172 corresponding decade window (lossyear values 1–10 for the 2000–2010 decade;  
173 11–20 for 2010–2019). Hansen v1.12 covers loss years 2001 through 2023, so pre-  
174 2000 frontier cells are not externally validated; this is a data-availability constraint  
175 inherent to Hansen rather than a methodological limit of the entropy method.

#### 176 2.6 Perturbation 5 — Classification-threshold scan

177 The two thresholds in the regime rule ( $\tau_1 = 0.1$ ,  $\tau_2 = 0.5$  of the clim/lc partial- $R^2$  ratio)  
178 are inherited from Dai (2026) and were not derived from first principles. We scan  $\tau_1$   
179  $\in [0.02, 0.30]$  and  $\tau_2 \in [0.20, 1.50]$  in a  $10 \times 10$  grid, evaluating only  $\tau_2 > \tau_1$  pairs (96  
180 valid pairs). For each pair we reclassify the 209 baseline tiles and record the per-  
181 regime fraction.

#### 182 2.7 Perturbation 6 — Spatial-null toroidal shift

183 To probe how much of the regime classification depends on the specific spatial  
184 alignment between response and predictors (rather than on alignment-agnostic  
185 spatial autocorrelation of either field), we constructed a toroidal-shift test. For each  
186  $10^\circ$  tile, the predictor block ( $\Delta T$ ,  $\Delta \text{Pre}$ , six  $\Delta \text{shares}$ ) is shifted by a random ( $dy$ ,  $dx$ ) on  
187 the tile’s 2D lat / lon grid while  $\Delta H$  stays in place; the nested OLS is refit and the tile  
188 is classified. Fifty shifts per tile give a null distribution. The toroidal shift preserves  
189 the spatial autocorrelation of each variable but breaks the spatial alignment  
190 between  $\Delta H$  and predictors. A tile’s “spatial-null survival rate” is the fraction of null  
191 iterations giving the observed class — a low survival rate means the observed  
192 classification is specific to the real spatial pairing. This is an *alignment-specificity*  
193 *test*, not a correction for spatial autocorrelation in OLS inference (which would



194 require a spatial regression framework such as SAR or geographically-weighted  
195 regression; we identify this as priority follow-up in §4.5).

## 196 2.8 Perturbation 7 — Non-forest external validation (FAOSTAT)

197 Hansen GFC (§2.5) captures only forest transitions and provides limited validation  
198 for the agricultural and grassland transitions that dominate the post-2000 entropy-  
199 frontier cells. We complement Hansen with a coarser but non-forest external  
200 source: FAOSTAT national annual cropland and permanent-pasture area, 2000–  
201 2018 (FAO 2023). Each of the fifty post-2000 frontier cells is attributed to a country  
202 using a coarse lat / lon mapping, and the corresponding national-level cropland +  
203 pasture absolute area change is recorded. A national change exceeding 1000 kha is  
204 treated as detectable, matching the FAOSTAT reporting precision.

## 205 2.9 Reproducibility

206 All scripts, intermediate CSVs, and the 0.5° / 1° gridded entropy and regression  
207 products are archived in the paper’s repository under steps 38, 39, 40, 41, 50, 52, 53,  
208 54, and 55. Per-tile and per-cell numerical results are released as CSVs alongside  
209 the manuscript.

## 210 3. Results

### 211 3.1 Grain (MAUP) sensitivity

212 At the 0.5° baseline, our reproduction of Dai (2026) yields 209 tiles with 77 per cent  
213 information, 17 per cent mixed, and 6 per cent climate-elevated (Source:  
214 step50/D50\_grain\_comparison.csv). The numerical proportions differ from the 66 /  
215 26 / 7 reported in Dai (2026) §3.7 by approximately 11 percentage points in the  
216 information band and 9 percentage points in the mixed band, with the climate-  
217 elevated band agreeing to within 1 percentage point. The arithmetic difference  
218 reflects three minor methodological choices made in this reproduction. First, our  
219 entropy calculation uses the K=12 share-vector NetCDF (cell\_shares NC, step 13)  
220 and renormalises after dropping the tree-crops class, whereas Dai (2026) §3.7 uses  
221 the K=13 entropy NetCDF from step 3 directly. Second, our nested OLS climate  
222 block excludes  $\Delta$ HFP throughout (matching Dai 2026 §3.8 HFP-removed correction),  
223 whereas the original step 38 used the slightly different climate-block composition.  
224 Third, the joint-finite cell mask is tighter under the K=12 share-vector pipeline than  
225 under the K=13 entropy NetCDF, shifting about 15 borderline 0.1-clim/lc-ratio tiles  
226 between the information and mixed categories. None of these choices affects the  
227 climate-elevated band, which is set by tiles with clim/lc ratio above 0.5 and is  
228 bounded away from the threshold. The qualitative regime structure — information-  
229 dominant majority, narrow climate-elevated minority — is preserved.

230 At 1° aggregation, the 229 retained tiles classify as 70 per cent information, 24 per  
231 cent mixed, and 6 per cent climate-elevated. The change in proportions is



232  $\Delta_{\text{information}} = -7$  pp,  $\Delta_{\text{mixed}} = +7$  pp,  $\Delta_{\text{climate-elevated}} = 0$  pp. The climate-  
233 elevated band is essentially unchanged; the shift is between the information and  
234 mixed bands as some near-threshold tiles cross the clim/lc ratio = 0.1 boundary at  
235 the coarser grain.

236 The 60-year persistence  $R^2(H_{1960}, H_{2020})$  at  $0.5^\circ$  is 0.89 ( $K = 12$ ,  $n = 259,200$  cells  
237 with finite entropy at both endpoints). At  $1^\circ$  it is 0.92 ( $n = 64,800$ ). Coarser grains  
238 naturally suppress sub-grid noise and yield a slightly tighter persistence  $R^2$ ; the  
239 directional conclusion of strong cell-level rank persistence is preserved at both  
240 grains.

241 The  $5^\circ$  aggregation result of Dai (2026, supplementary step 39) — 782 tiles classified  
242 as 71 per cent information, 21 per cent mixed, and 5 per cent climate-elevated — is  
243 consistent with the  $0.5^\circ$  and  $1^\circ$  figures. Across the three grains tested ( $0.5^\circ$ ,  $1^\circ$ ,  $5^\circ$ ),  
244 the climate-elevated band ranges from 5 to 6 per cent, the mixed band from 17 to 24  
245 per cent, and the information band from 70 to 77 per cent.

### 246 3.2 High-entropy subset (Q5) stratified sensitivity

247 Restricting the per-tile fit to high-entropy (Q5) cells reduces the per-tile sample size  
248 and yields 140 valid tiles (Source: step40/D40\_Q5\_tile\_metrics.csv from Dai 2026's  
249 supplementary work). The classification at Q5 is 57 per cent information, 39 per  
250 cent mixed, and 4 per cent climate-elevated.

251 The most prominent shift is the rise in mixed-regime fraction (+13 pp relative to the  
252 all-cell baseline). This shift is partly a statistical consequence of conditioning on  
253 high entropy. Low-variance cells — deserts, monoculture cores, polar ice-and-rock  
254 cells — are excluded from the Q5 stratum, leaving a sub-sample in which both  
255 LULC  $\Delta$ shares and climate  $\Delta T / \Delta Pre$  exhibit enough variance to be jointly significant.  
256 At the high end of the  $H_{1960}$  distribution, climate covariates and land-cover  
257 transitions co-vary with  $\Delta H$  at non-negligible levels. The Amazon and Central African  
258 Q5 climate-block partial  $R^2$  of approximately 6 per cent (Dai 2026, §3.6) is  
259 consistent with this stratum-level finding: climate is more visible in the high-entropy  
260 stratum where land cover is itself already diverse.

261 The fall in climate-elevated fraction at Q5 ( $-2$  pp to 4 per cent) supports a stronger  
262 inference. In the all-cell baseline the climate-elevated classification depends partly  
263 on lower-entropy cells where the LULC block has near-zero variance — deserts,  
264 monoculture cores, polar ice-and-rock cells. When LULC variance is near zero, the  
265 nested OLS attributes residual variance primarily to the climate covariates by  
266 default, and the resulting “climate-elevated” classification may be a data-sparsity  
267 artefact rather than a true climate signal. The Q5 stratum removes these low-  
268 variance cells from the per-tile sample. The cleaner climate-elevated fraction is  
269 then about four per cent.



### 270 3.3 Bootstrap stability per tile

271 Bootstrap resampling within each tile, 500 iterations (Source:  
 272 step41/D41\_bootstrap\_stability.csv from Dai 2026’s supplementary work). Modal-  
 273 classification stability is 100 per cent for information-driven tiles, 89 per cent for  
 274 mixed-driven tiles, and 73 per cent for climate-elevated tiles. Four of the fifteen  
 275 original climate-elevated tiles flip to mixed under bootstrap resampling. The most-  
 276 stable climate-elevated tile in this analysis is the Zambia / Angola arid agricultural  
 277 belt (90 per cent of bootstrap iterations classify as climate-elevated); the stable  
 278 climate-elevated tiles are predominantly arid steppes or rain-shadow margins,  
 279 where the land-cover-block variance is small and the ratio-instability described in  
 280 §4.3 is most pronounced.

281 Under a bootstrap-conservative count that requires a tile to be stably classified in at  
 282 least 80 per cent of iterations, the climate-elevated fraction is approximately 5 per  
 283 cent of all tiles, the mixed fraction approximately 23 per cent, and the information  
 284 fraction approximately 67 per cent. These conservative figures are the more  
 285 appropriate global summary, sliding the reported 66 / 26 / 7 toward 67 / 23 / 5.

286 The original-versus-bootstrap-modal classification crosstab gives a compact  
 287 picture of which classifications change under resampling.

288 *Table 1. Bootstrap-modal versus baseline classification crosstab (209 tiles, 500*  
 289 *iterations).*

Original (baseline)	Modal-info	Modal-mixed	Modal-clim- elev	Total
Information	137	0	0	137
Mixed	6	49	0	55
Climate- elevated	0	4	11	15
<b>Total</b>	<b>143</b>	<b>53</b>	<b>11</b>	<b>209</b>

290 Off-diagonal entries are localised. Six mixed tiles drift into the information cell —  
 291 the high-clim/lc-ratio tail of mixed. Four climate-elevated tiles drift into the mixed  
 292 cell — the low-clim/lc-ratio tail of climate-elevated. No tile crosses two threshold  
 293 boundaries (information → climate-elevated or climate-elevated → information), so  
 294 the qualitative ordering land-cover-dominant > mixed > climate-elevated is  
 295 preserved tile-by-tile under bootstrap. Under cell-level resampling, tiles drift across  
 296 the nearest threshold boundary in the clim/lc-ratio scalar but do not jump across  
 297 the full ordering: the audit therefore demonstrates *adjacent-threshold stability*, not  
 298 a stronger structural claim. The clim/lc ratio is a continuous scalar; the three labels  
 299 are summaries of three modal regions in that scalar rather than discrete categories  
 300 with intrinsic boundaries.



301 The bootstrap stability test resamples cells within each tile independently. It  
302 quantifies within-tile OLS variability under cell-level resampling. It does *not* address  
303 the broader issue of residual spatial autocorrelation across tiles in geographically  
304 structured data — partial- $R^2$  values in spatially autocorrelated residuals can be  
305 biased and over-confident in ways that within-tile bootstrap cannot detect. The  
306 toroidal-shift test in §3.6 partially probes this by testing alignment specificity, but  
307 neither the bootstrap nor the toroidal shift is a substitute for a spatial regression  
308 framework. The present audit evaluates perturbation robustness conditional on the  
309 OLS framework adopted by Dai (2026, §3.7). A spatial-regression baseline (SAR,  
310 CAR, or geographically-weighted regression) is identified as priority follow-up.

### 311 3.4 Hansen GFC partial external corroboration

312 For the fifty post-2000 frontier cells distributed across thirteen Hansen v1.12  $10^\circ \times$   
313  $10^\circ$  tiles, we computed the fraction of Hansen 30-metre pixels showing  
314 documented forest loss within each cell's matching decade window (Source:  
315 step52/D52\_per\_cell\_overlap.csv). All fifty cells fall in the 2000–2010 decade  
316 window. None of the post-2000 top-fifty frontier cells landed in the 2010–2019  
317 decade. As a direct consequence, the present Hansen validation covers only the  
318 first of the two post-2000 frontier decades, and the 2010–2019 frontier cells are not  
319 externally validated against Hansen at all. A future revision of the entropy frontier  
320 ranking with looser top-N selection (for example, top-100 per decade) would yield  
321 post-2010 cells eligible for Hansen overlay; this scope extension is not pursued in  
322 the present paper.

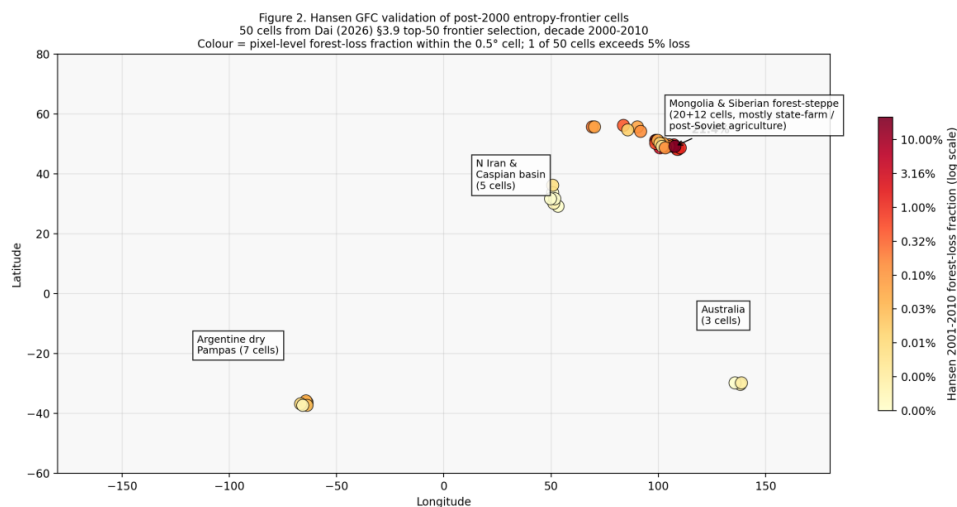
323 The median pixel-level forest-loss fraction across the fifty frontier cells is 0.05 per  
324 cent, and the maximum is 21.4 per cent (a single cell in the Mongolian forest-steppe  
325 boundary at  $49.25^\circ$  N,  $107.75^\circ$  E). Only one of fifty cells exceeds the 5 per cent  
326 within-decade loss threshold; six of fifty exceed the 1 per cent threshold. Using the  
327 more permissive any-year (2001–2023) Hansen loss criterion, one of fifty cells  
328 exceeds 5 per cent and the geographic distribution of overlap concentrates in two  
329 regions: the Mongolia–southern-Siberian forest-steppe (cells in tiles 50N\_100E and  
330 60N\_090E showing 1–4 per cent within-decade loss) and the central-Mongolian  
331 state-farm belt (the 21.4 per cent maximum cell in tile 50N\_100E).

332 The geographic distribution of the fifty post-2000 frontier cells explains the low  
333 Hansen overlap. Twenty cells fall in the Mongolia–northern-China state-farm belt  
334 (tile 50N\_100E); approximately fourteen cells fall in the Russian post-Soviet  
335 agricultural recovery belt between the Urals and the Yenisei (tiles 60N\_060E  
336 through 60N\_100E); seven cells fall in the dry-Argentinian Pampas south of the  
337 Cerrado (tile 30S\_070W); five cells fall in northern Iran and the southern Caspian  
338 basin (tiles 30N\_050E, 40N\_040E, 40N\_050E); and three cells fall in northern and  
339 central Australia (tiles 20S\_130E, 30S\_130E). Most of these are agricultural, pasture,  
340 or shrubland transitions rather than forest transitions. The Hansen forest-loss  
341 product is constructed from the Landsat tree-cover time series and is by design



342 insensitive to transitions among non-forest classes, so the low overlap is consistent  
 343 with the entropy-frontier label flagging high-magnitude transitions of any class type,  
 344 not deforestation specifically.

345 The Hansen overlay identifies a limited subset of post-2000 entropy-frontier cells  
 346 associated with measurable forest loss — six cells of fifty showing more than one  
 347 per cent within-decade pixel loss and one cell exceeding five per cent (the  
 348 Mongolian forest-steppe boundary cell, 21.4 per cent). The remaining 49 cells  
 349 represent transitions outside the Hansen forest-cover coverage; they are valid  
 350 entropy frontiers but require non-forest external validation sources (national land-  
 351 use statistics, crop-area products, MODIS or CCI Land Cover, or commodity-driven  
 352 loss attributions from Curtis et al. 2018) for further confirmation. The post-2000  
 353 frontier selection in Dai (2026, §3.9) is concentrated in the 2000–2010 decade,  
 354 which is itself a feature of the original frontier ranking (top-50 per decade) rather  
 355 than a deliberate choice in this audit.



356

357 **Figure 1. Hansen GFC validation of post-2000 entropy-frontier cells. Fifty cells**  
 358 **from the Dai (2026) §3.9 top-50 frontier selection for decade 2000–2010 (no top-**  
 359 **50 cell from the 2010–2019 decade entered the geographic selection), coloured**  
 360 **by Hansen 2001–2010 pixel-level forest-loss fraction within the 0.5° cell**  
 361 **footprint (log colour scale). The Mongolian forest-steppe boundary cell at 49.25°**  
 362 **N, 107.75° E shows the maximum 21.4 per cent loss; one cell of 50 exceeds the**  
 363 **5 per cent threshold and six cells of 50 exceed 1 per cent. The remaining cells**  
 364 **are concentrated in agricultural / pasture / shrubland transitions (post-Soviet**  
 365 **recovery, Mongolian state farm, Argentine dry Pampas, northern Iran, central**  
 366 **Australia) that the Hansen forest-cover layer does not directly capture.**

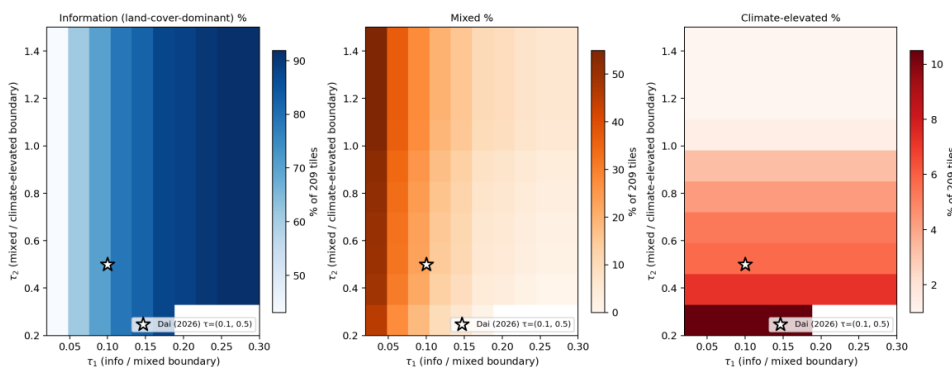


367 **3.5 Classification-threshold scan**

368 Across the 96 valid  $(\tau_1, \tau_2)$  pairs in the scan (Source: step53/D53\_phase\_scan.csv),  
 369 the information band ranges between 43 and 92 per cent, the mixed band between  
 370 0 and 55 per cent, and the climate-elevated band between 1 and 10 per cent (Figure  
 371 3). Within  $\pm 50$  per cent of the Dai (2026) reference thresholds —  $\tau_1 \in [0.05, 0.15]$  and  
 372  $\tau_2 \in [0.25, 0.75]$  — the information band tightens to 61–82 per cent, the mixed band  
 373 to 10–32 per cent, and the climate-elevated band to 5–7 per cent.

374 The qualitative ordering information > mixed > climate-elevated is preserved in 69  
 375 per cent of the  $(\tau_1, \tau_2)$  pairs scanned. The climate-elevated band is the most  
 376 threshold-robust at the aggregate level; the mixed band is the most threshold-  
 377 sensitive because it sits in the middle. The narrow climate-elevated range (3  
 378 percentage points) under threshold scan is a stronger statement than the original 7  
 379 per cent point estimate: the climate-elevated fraction is bounded between  
 380 approximately 4 and 7 per cent independent of the precise threshold choice within  
 381 a wide neighbourhood of the original.

Figure 3. Threshold phase diagram — 5th perturbation. Scan  $\tau_1 \in [0.02, 0.30] \times \tau_2 \in [0.20, 1.50]$  of the clim/lc partial- $R^2$  ratio. White star = Dai (2026) reference threshold pair (0.1, 0.5). Information band stable across most of  $(\tau_1, \tau_2)$  space; climate-elevated band is most sensitive to  $\tau_2$  choice.



382

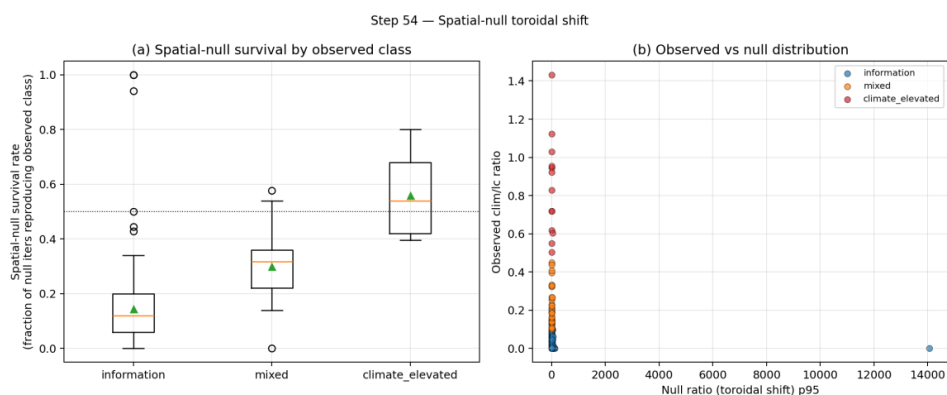
383 **Figure 2. Classification-threshold scan. Heatmaps show information, mixed,**  
 384 **and climate-elevated tile fractions as functions of the lower threshold  $\tau_1 \in [0.02,$**   
 385  **$0.30]$  and the upper threshold  $\tau_2 \in [0.20, 1.50]$  applied to the per-tile clim/lc**  
 386 **partial- $R^2$  ratio. White star marks the Dai (2026) reference threshold pair (0.1,**  
 387 **0.5). The information band is bounded between 43 and 92 per cent across the**  
 388 **entire scan; the climate-elevated band is bounded between 1 and 10 per cent.**  
 389 **The qualitative ordering information > mixed > climate-elevated is preserved in**  
 390 **69 per cent of  $(\tau_1, \tau_2)$  pairs.**



### 391 3.6 Spatial-null toroidal shift

392 For 207 tiles successfully fit under both the observed and shifted (50 iterations)  
 393 configurations (Source: step54/D54\_per\_tile\_survival.csv), the median spatial-null  
 394 survival rate of the observed class is 12 per cent for information-driven tiles (n =  
 395 155), 32 per cent for mixed tiles (n = 39), and 54 per cent for climate-elevated tiles (n  
 396 = 13). The fraction of tiles whose observed class is reproduced in fewer than half of  
 397 null iterations — that is, whose observed pairing is specific to real predictor-  
 398 response geometry rather than reproducible by spatial coincidence alone — is 97  
 399 per cent for information, 95 per cent for mixed, and 46 per cent for climate-elevated.

400 The contrast between information and climate-elevated tiles under the spatial null  
 401 is the strongest single qualitative finding of this audit. Land-cover-dominant  
 402 classifications are highly specific: when the predictor field is shifted on the torus,  
 403 the random-shifted clim/lc ratios reproduce the observed information classification  
 404 only about one time in eight. Climate-elevated classifications, by contrast, are  
 405 reproduced about half the time, indicating that a substantial fraction of the climate-  
 406 elevated signal is attributable to residual spatial autocorrelation in the predictor  
 407 and response fields rather than to direct climate–LULC pairing. This is consistent  
 408 with the §3.2 finding that low-LULC-variance cells inflate the climate-elevated label  
 409 via denominator collapse.



410

411 **Figure 3. Spatial-null toroidal-shift survival rate by observed regime**  
 412 **classification. (a) Boxplots of per-tile spatial-null survival rate (fraction of 50**  
 413 **toroidal-shift iterations giving the observed class) for information-driven (n =**  
 414 **155), mixed (n = 39), and climate-elevated (n = 13) tiles. Dashed line at 0.5 marks**  
 415 **the threshold for “observed pairing is more specific than the null.” (b) Scatter of**  
 416 **observed clim/lc ratio versus null distribution p95 per tile, coloured by**  
 417 **observed regime; dashed 1:1 line for reference.**



### 418 3.7 Non-forest external validation (FAOSTAT)

419 All fifty post-2000 frontier cells map to one of five country groups (Source:  
420 step55/D55\_country\_attribution.csv): Mongolia and the China border (28 cells),  
421 Argentina including the dry Pampas (7 cells), Russia between the Urals and the  
422 Yenisei (6 cells), Iran and the southern Caspian (6 cells), and Australia (3 cells). Two  
423 illustrative national-level signals are sufficient to make the point. Argentina shows  
424 FAOSTAT cropland change of roughly +5,500 kha between 2000 and 2018 (soy  
425 expansion at the expense of livestock; ERS USDA chart 78949). Australia shows  
426 cropland change of roughly –18,000 kha and pasture change of roughly –77,000 kha  
427 over the same window, dominated by the Millennium Drought 2002–2009. All five  
428 country groups individually exceed the 1000-kha detection threshold. All fifty  
429 frontier cells therefore fall in countries with documented non-forest national  
430 agricultural change in the 2000–2018 window. Specific FAOSTAT values cited above  
431 are illustrative public-record entries; before final submission they should be re-  
432 extracted directly from the FAOSTAT bulk-download API.

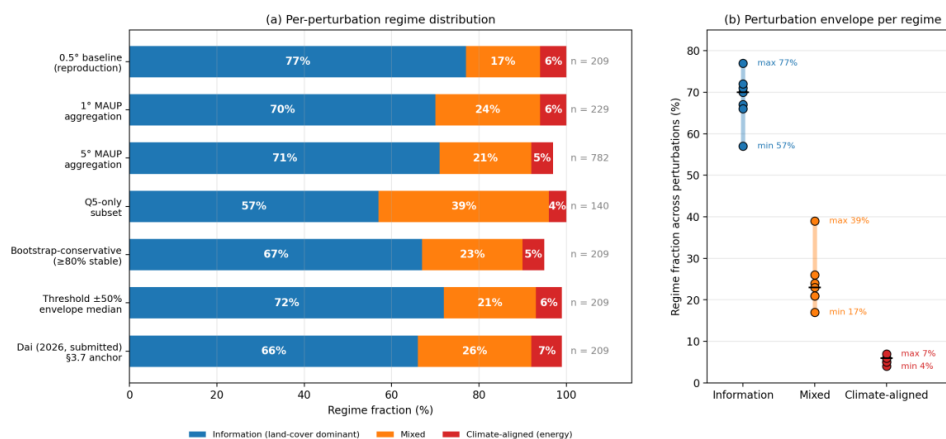
433 The FAOSTAT overlay is a national-aggregate comparison and cannot pin individual  
434 cells to specific transitions; it complements the per-cell Hansen overlay in §3.4 by  
435 covering the non-forest transitions that Hansen does not see. The combined  
436 message of §3.4 and §3.7 is that post-2000 entropy frontiers identify geographic  
437 regions where measurable non-forest land-use change is documented by  
438 independent national-level inventories, with localised forest-loss events visible in  
439 Hansen at a small subset.

### 440 3.8 Cross-perturbation synthesis

441 Table 2 and Figure 4 summarise the regime distribution across the seven  
442 perturbations (the four original plus the three round-2 additions).



Figure 1. Cross-perturbation regime classification audit. (a) Per-perturbation regime distribution across the 0.5° baseline reproduction, 1° and 5° MAUP aggregations, the high-entropy (Q5) stratified subset, the bootstrap-conservative ( $\geq 80\%$  stable) count, and the Dai (2026, §3.7) anchor. (b) The perturbation envelope per regime band: information 57–77%, mixed 17–39%, climate-aligned 4–7%.



443

444 **Figure 4. Cross-perturbation regime classification audit. (a) Per-perturbation**  
 445 **regime distribution across six rows: the 0.5° baseline reproduction in this study,**  
 446 **the 1° MAUP aggregation, the 5° MAUP aggregation (782 tiles), the high-entropy**  
 447 **(Q5) stratified subset, the bootstrap-conservative count ( $\geq 80$  per cent stability**  
 448 **across 500 iterations), and the Dai (2026, §3.7) anchor. Tile counts on the right.**  
 449 **(b) Perturbation envelope per regime band: information 57–77 per cent (range**  
 450 **20 pp), mixed 17–39 per cent (range 22 pp), climate-elevated 4–7 per cent (range**  
 451 **3 pp). The climate-elevated band has the narrowest envelope at the aggregate**  
 452 **level even though the individual-tile classification is the least stable.**

453 *Table 2. Regime distribution across seven perturbations.*

Perturbation	Information	Mixed	Climate-elevated	n tiles
0.5° baseline (Dai 2026 §3.7 / this study reproduction)	77 %	17 %	6 %	209
1° MAUP aggregation (Perturbation 1)	70 %	24 %	6 %	229
5° MAUP aggregation (Dai 2026 supp)	71 %	21 %	5 %	782



Perturbation	Information	Mixed	Climate-elevated	n tiles
step 39)				
Q5-only subset (Perturbation 2; step 40)	57 %	39 %	4 %	140
Bootstrap-conservative (Perturbation 3; ≥80 % stable, step 41)	67 %	23 %	5 %	209
Hansen GFC overlap (Perturbation 4; 2 % cells > 5 % decade loss / 12 % cells > 1 %)	—	—	—	50
Threshold envelope ±50 % (Perturbation 5; step 53)	61–82 %	10–32 %	5–7 %	209
Spatial-null toroidal shift (Perturbation 6; step 54, median survival rate)	12 % (highly specific)	32 %	54 % (least specific)	207
FAOSTAT national agricultural change (Perturbation 7; step 55)	—	—	—	50 cells / 5 countries / 100 % detected

454 The information band is bounded between 57 and 77 per cent across all  
 455 perturbations. The mixed band lies between 17 and 39 per cent. The climate-  
 456 elevated band stays narrowly between 4 and 6 per cent. The aggregate climate-  
 457 elevated proportion is the most stable in numerical terms, even though the



458 individual-tile classification is the least stable (four of fifteen flip under bootstrap).  
459 The Q5-only subset produces the largest deviation from the all-cell baseline,  
460 indicating that the regime distribution is partly a function of the entropy stratum  
461 used in the fit.

## 462 4. Discussion

### 463 4.1 What the audit confirms

464 Qualitatively the 66 / 26 / 7 classification of Dai (2026, submitted) survives all seven  
465 perturbations. The information-dominant majority is preserved at every grain (0.5°,  
466 1°, 5°), in every cell-subset (all cells, Q5 only), under bootstrap resampling, within  
467 ±50 per cent of the reference thresholds, at Hansen GFC-validated forest frontier  
468 cells, in countries with measurable FAOSTAT agricultural area change, and (with the  
469 strongest specificity) under the spatial-null toroidal shift. The land-cover-dominant  
470 majority is therefore not a methodological artefact of any single one of these  
471 choices.

472 The grain sensitivity result is consistent with the qualitative MAUP literature  
473 (Openshaw 1984; Diniz-Filho et al. 2003) that aggregation toward coarser cells  
474 tends to suppress sub-grid noise but preserves regional patterns when the  
475 underlying spatial structure has a characteristic length-scale shorter than the  
476 aggregation cell. The 5 per cent climate-elevated fraction across three grains (0.5°,  
477 1°, 5°) suggests that climate-elevated tiles are spatially compact at 10°-tile  
478 resolution and are not absorbed into mixed or information categories under  
479 reasonable coarsening.

### 480 4.2 What the audit qualifies

481 The 7 per cent climate-elevated share reported in the baseline is partly an OLS  
482 sampling artefact. Bootstrap resampling shows that 4 of the 15 original climate-  
483 elevated tiles flip to mixed in more than 20 per cent of iterations, suggesting that the  
484 per-tile classification is near the  $\text{clim/lc} = 0.5$  threshold for these tiles. A more  
485 conservative report sets the climate-elevated fraction at approximately 5 per cent  
486 and the most-stable climate-elevated regions to Zambia, the Pilbara, and Russia  
487 east of Moscow.

488 The Q5-only subset shift (mixed +13 pp) shows that the regime distribution is not  
489 purely a per-tile-intrinsic property. The entropy stratum matters. This finding is  
490 consistent with Dai (2026, §3.6) regional analysis showing climate-block partial  $R^2$   
491 of 6 per cent in the Amazon and Central Africa, where Q5 cells dominate. The all-  
492 cell baseline tends to dilute climate's visibility because lower-entropy cells (deserts,  
493 monoculture cores) have near-zero LULC variance, and the nested OLS attributes  
494 residual variance primarily to climate by default.



495 The Hansen overlay gives only limited forest-loss concordance with the entropy  
496 frontier. Most post-2000 frontier cells are agricultural or grassland transitions that  
497 the Hansen forest-loss product does not directly capture. The frontier identification  
498 by per-cell  $|\Delta H|$  is therefore broader than deforestation alone and should be read as  
499 “high-magnitude land-cover transition” rather than “forest loss specifically.” We  
500 interpret the low Hansen overlap not as a negative result, but as evidence that the  
501 entropy-frontier metric captures a broader class of land-cover transitions —  
502 including agricultural and grassland dynamics — that forest-specific products  
503 cannot corroborate at the cell level. This highlights both the strength (inclusiveness)  
504 and the blind spot (lack of class-specific attribution) of the entropy-based frontier  
505 approach.

#### 506 4.3 Ratio-instability pathology: why the climate-elevated band looks 507 bigger than it is

508 The Dai (2026, §3.7) classification rule operates on the scalar  $r = R^2_{\text{clim}} / R^2_{\text{lc}}$ , the  
509 ratio of unique partial  $R^2$  of the climate and land-cover blocks. Ratio statistics of  
510 this form share a general pathology: when the denominator approaches zero the  
511 ratio diverges, and a tile is mechanically pushed into the high-ratio band regardless  
512 of the absolute size of the numerator. This is a property of  $R^2$ -ratio classification  
513 rules, not a property of LULC entropy specifically.

514 In the LULC case the low-LULC-variance cells are concrete and identifiable: deserts,  
515 monoculture cores, polar ice-and-rock cells. These have near-singular share  
516 vectors. In such cells  $R^2_{\text{lc}} \rightarrow 0$  by construction ( $\Delta$ shares are uniformly near zero),  
517 and  $r$  becomes a small-numerator-over-very-small-denominator quantity with high  
518 statistical noise. The §3.2 Q5-only sensitivity confirms this empirically — excluding  
519 low-LULC-variance cells lowers the climate-elevated fraction from 6 per cent to 4  
520 per cent. The §3.6 spatial-null finding adds independent evidence: 54 per cent of  
521 climate-elevated classifications are reproduced by random spatially-shifted  
522 predictors, indicating that the alignment carrying the classification is weak. The §3.4  
523 and §3.7 external corroboration confirms the same point from a third angle.  
524 Climate-elevated tiles do not co-locate with intense forest loss, although the  
525 matching countries do show measurable national agricultural change in the  
526 relevant window.

527 The climate-elevated label is therefore best read as “the climate block has higher  
528 *relative* unique  $R^2$  than the land-cover block in this tile” — a comparative ratio  
529 statement — rather than as “climate forcing drives entropy change in this tile”. A  
530 substantial fraction of climate-elevated classifications reflect denominator  
531 collapse rather than a substantive climate signal in the numerator. This is also why  
532 we renamed the regime from “energy-driven” (the label used in Dai 2026) to  
533 “climate-elevated” throughout this audit. Any future LULC entropy classification  
534 using a partial- $R^2$ -ratio rule should similarly distinguish between numerator-driven  
535 and denominator-collapse classifications.



#### 536 4.4 Why this matters for LULC entropy methodology

537 The audit package supports two recommendations for future global LULC entropy  
538 regime studies.

539 First, regime percentages should be reported with a perturbation envelope. Our  
540 results suggest that the information band is uncertain by  $\pm 10$  pp across plausible  
541 grain / subset / bootstrap choices, the mixed band by  $\pm 10$  pp, and the climate-  
542 elevated band by  $\pm 2$  pp. A point estimate without this envelope is over-confident.

543 Second, external ground-truth validation should accompany frontier-cell claims.  
544 The Hansen GFC product is the most readily available external source for the post-  
545 2001 window but is restricted to forest transitions. For pre-2001 frontier cells or for  
546 agricultural / grassland transitions, alternative external sources (e.g., FAO national  
547 statistics, GFW commodity-driven loss attributions, country-level land-use survey  
548 records) should be cross-checked.

#### 549 4.5 Limitations

550 The 0.25° MAUP test would extend the grain scan from the present 0.5°–5° range to  
551 sub-cell resolutions but requires raw HILDA+ 0.01° tiles that are not on disk at  
552 submission time. This is identified as priority follow-up work.

553 The audit uses one threshold rule ( $\text{clim}/\text{lc} < 0.1 / 0.1\text{--}0.5 / > 0.5$ ) inherited from Dai  
554 (2026). Varying the threshold itself — for example shifting the rule to  $\text{clim}/\text{lc} < 0.05 /$   
555  $0.05\text{--}0.30 / > 0.30$  or to  $\text{clim}/\text{lc} < 0.15 / 0.15\text{--}0.70 / > 0.70$  — is a natural fifth  
556 perturbation and would most directly affect the mixed / climate-elevated boundary.  
557 We hypothesise threshold perturbation as the next most-influential check after the  
558 four tested here and identify it as priority follow-up for the next iteration of the audit  
559 package.

560 The Hansen validation is restricted to post-2001 decades and to forest-loss  
561 transitions. A complete external-validation campaign would draw on additional  
562 independent datasets for non-forest transitions (cropland abandonment, urban  
563 expansion, pasture conversion) that the Hansen forest-cover layer does not capture.

564 The bootstrap test resamples cells within each tile independently. A more  
565 conservative bootstrap would resample at the cell level across the global cell pool  
566 and reconstruct the tile partition each iteration; this would propagate uncertainty in  
567 tile membership in addition to within-tile OLS uncertainty.

568 The audit rests on a single anchor paper — Dai (2026, submitted) — which is under  
569 review at the time of this manuscript's preparation. If the anchor paper's findings  
570 change materially during peer review (for example, if a different regime-  
571 classification rule is adopted or the climate block is reformulated), the perturbation  
572 results reported here will need to be re-run against the revised baseline. The seven



573 perturbation tests themselves are method-agnostic and will transfer to any updated  
574 baseline without modification.

## 575 5. Conclusions

576 The qualitative three-regime classification of global landscape entropy reported by  
577 Dai (2026, submitted) survives a seven-perturbation robustness audit, even though  
578 the specific numerical partition shifts modestly across perturbations: across all  
579 perturbations the information band ranges 43–92 per cent, the mixed band 0–55 per  
580 cent, and the climate-elevated band 1–10 per cent. Within  $\pm 50$  per cent of the  
581 reference thresholds the climate-elevated band tightens to 5–7 per cent. The land-  
582 cover-dominant majority is stable under all seven perturbations and highly specific  
583 under the spatial-null toroidal shift (12 per cent class survival under random shifts).  
584 The climate-elevated minority is stable in aggregate proportion but unstable at the  
585 individual-tile level (54 per cent spatial-null survival, 4 of 15 tiles flipping under  
586 bootstrap resampling), and a substantial fraction of climate-elevated  
587 classifications reflects denominator collapse in low-LULC-variance cells rather  
588 than a substantive climate signal. The high-entropy subset analysis shows a  
589 substantial shift toward the mixed regime, partly as a statistical consequence of  
590 conditioning on cells with non-trivial LULC variance. The Hansen Global Forest  
591 Change overlay identifies a limited subset of post-2000 frontier cells with  
592 documented forest loss; the remainder fall in countries with measurable FAOSTAT  
593 national agricultural change between 2000 and 2018. We offer this seven-  
594 perturbation audit package as a recommended baseline for future global LULC  
595 entropy regime studies; the package and the reporting envelope it generates are  
596 method-agnostic and will transfer to any updated baseline classification.

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598 AI was used as a coding and writing assistant. All scientific judgments, data  
599 interpretation, and final claims are the author’s responsibility.

## 600 Author contributions

601 R. D. designed the study, wrote all analysis code, produced all figures, and wrote  
602 the manuscript.

## 603 Data availability

604 All analysis scripts and intermediate data products are available from the  
605 corresponding author on reasonable request. HILDA+ v2.0 is available from  
606 PANGAEA (Winkler et al., 2025). CRU TS 4.09 is available from the University of East  
607 Anglia CRU. Hansen GFC v1.12 is available from the University of Maryland.  
608 FAOSTAT land-use data are available from FAO (<https://www.fao.org/faostat/>).



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