



Cloud-Type-dependent Mixed-Phase Cloud Climatology from CloudSat/CALIPSO Measurements

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Abstract. Mixed-phase clouds play a critical role in Earth's radiation budget but remain a major source of uncertainty in climate models. Existing satellite climatologies mostly describe mixed-phase clouds in aggregate, without separating cloud types that differ in dynamics, vertical structure, spatial distribution, and microphysical properties. Here we use CloudSat/CALIPSO observations to develop a global, cloud-type-dependent climatology of mixed-phase cloud and examine its spatial, vertical, seasonal, and regional variations. Identified mixed-phase clouds have a global mean occurrence of 18.7 %. Stratus plus stratocumulus (St+Sc) dominates high-latitude mixed-phase occurrence, with local values exceeding 40 % over the Southern Ocean and the Greenland-Iceland-Norwegian seas, whereas altocumulus (Ac) and nimbostratus plus deep convection (Ns+DC) contribute most strongly in midlatitude storm-track regions and convectively active tropical regions. At a given cloud-top temperature, the dominant cloud phase differs substantially among cloud types and regions, indicating that cloud-top temperature alone does not uniquely determine mixed-phase occurrence or phase partitioning. Seasonal and surface contrasts are especially strong for St+Sc: in the NH 45–75°N band, monthly mean occurrence over open ocean increases from about 2–6 % in summer to 24–25 % in winter, whereas in the SH 45–75°S band St+Sc over open ocean reaches its annual minimum in austral summer but over sea ice reaches its minimum in austral winter. These results demonstrate the importance of cloud type for characterizing mixed-phase cloud climatology and provide observational constraints for evaluating the representation of mixed-phase clouds in climate models.

1 Introduction

Mixed-phase clouds, in which supercooled liquid droplets and ice crystals coexist at temperatures between 0 and –40 °C, play an essential role in the Earth's climate system (Korolev et al., 2017). Through the Wegener–Bergeron–Findeisen (WBF) process, ice crystals can grow at the expense of liquid droplets, influencing the evolution of phase partitioning in mixed-phase clouds. The relative abundance of supercooled liquid and ice strongly modulates cloud radiative properties and lifetime: liquid-rich clouds exhibit larger optical thickness and stronger shortwave reflectance, whereas glaciation enhances precipitation efficiency and cloud dissipation, leading to radiative impacts that differ from those of purely liquid or ice clouds (Morrison et al., 2012; Storelvmo, 2017). Matus and L'Ecuyer (2017) showed that mixed-phase clouds exert a global-



mean top-of-atmosphere net cloud radiative effect of -3.4 W m^{-2} , with compensating shortwave (-8.1 W m^{-2}) and longwave (4.7 W m^{-2}) contributions. Furthermore, Lohmann (2002) showed that replacing all mixed-phase clouds with purely supercooled liquid clouds in a general circulation model could alter the net top-of-atmosphere cloud radiative effect of up to 13 W m^{-2} . Despite this substantial radiative impact, the physical processes governing phase partitioning, phase transitions, and the persistence of mixed-phase clouds remain incompletely understood and not well constrained, contributing to continuing uncertainty in their representation in climate models (Morrison et al. 2012; Komurcu et al. 2014; Hofer et al. 2024; Tan et al. 2025).

These uncertainties in mixed-phase cloud processes are reflected in persistent biases in numerical weather prediction and climate models. Many models tend to glaciate mixed-phase clouds prematurely, resulting in an underestimation of supercooled liquid water and associated shortwave cloud radiative effects, particularly over the Southern Ocean and other mid-to-high latitude regions (Klein et al., 2009; Cesana et al., 2015; McCoy et al., 2016). While substantial progress has been made through improved cloud microphysical parameterizations, including more realistic treatments of ice nucleation and mixed-phase cloud microphysics, large inter-model spread in simulated cloud phase composition and associated radiative effects remains (Tan et al., 2016; Zelinka et al., 2020). A key challenge for improving and evaluating these parameterizations is the limited availability of observational datasets that comprehensively constrain mixed-phase cloud properties across different regimes and spatial and temporal scales.

Observational studies have provided important insights into mixed-phase cloud properties and processes, primarily through in situ measurements and remote sensing. Long-term ground-based observations at fixed sites, such as the Atmospheric Radiation Measurement (ARM) facilities, have documented supercooled liquid layers near the tops of low-level stratiform mixed-phase clouds, revealing their vertical structure, seasonal cycles, and sensitivity to boundary-layer dynamics and large-scale synoptic conditions (Shupe et al., 2006; Verlinde et al., 2007). Airborne measurements have captured detailed microphysical variability within mixed-phase clouds and highlighted the roles of vertical motions, turbulence, aerosols, and microphysical processes, such as riming and the WBF mechanism, in influencing phase partitioning, glaciation rates, and spatial inhomogeneity (McFarquhar et al., 2011; Korolev et al., 2017). While these observations are essential for understanding process-level microphysics and improving cloud microphysical parameterizations, the limited geographic coverage restricts the ability to characterize mixed-phase cloud occurrence and variability at global scales.

Satellite remote sensing has therefore become essential for systematically documenting mixed-phase clouds globally. The A-Train satellite constellation, particularly CloudSat and the Cloud–Aerosol Lidar and Infrared Pathfinder Satellite Observations (CALIPSO), provides vertically resolved observations of cloud structure using active remote sensing (Stephens et al., 2008; Winker et al., 2010). CloudSat’s 94-GHz cloud profiling radar (CPR) is highly sensitive to cloud ice particles. Still, it has limited sensitivity to small liquid cloud droplets, while CALIPSO’s lidar is particularly effective at detecting optically thin clouds and supercooled liquid layers near cloud top. The complementary sensitivities of radar and lidar enable improved characterization of cloud vertical structure and thermodynamic phase. Combined CloudSat–CALIPSO products, such as 2B-CLDCLASS-LIDAR (Zhang et al. 2010; Wang 2019) and the raDAR/liDAR (DARDAR) products (Delanoë and



65 Hogan, 2010; Ceccaldi et al., 2013), have been widely used to characterize the global distribution and vertical structure of mixed-phase clouds, including their occurrence as functions of temperature and altitude, as well as their seasonal and regional variability (Zhang et al., 2010; Hu et al., 2010; Mülmenstädt et al., 2015; Cesana et al., 2016; Cesana et al., 2022; Cesana et al., 2024). These satellite-based mixed-phase cloud climatologies have provided vital observational benchmarks for evaluating and constraining the representation of mixed-phase clouds in numerical models.

70 However, most existing satellite-based studies have focused on aggregated mixed-phase cloud statistics across all cloud types, sometimes separating clouds by vertical level but not explicitly by cloud types (Mioche et al. 2015; Listowski et al. 2019). This approach overlooks the fact that mixed-phase clouds occur across a wide range of cloud regimes characterized by distinct dynamical forcing, vertical structure, aerosol properties, and microphysical pathways. For example, stratiform mixed-phase clouds can maintain supercooled liquid layers under relatively weak ascent and turbulent mixing, whereas

75 mixed-phase nimbostratus and deep convective clouds tend to be more ice-dominated due to stronger vertical motions and enhanced riming and aggregation. Because cloud dynamics play an essential role in the formation and maintenance of mixed-phase conditions (Korolev and Isaac, 2003; Korolev and Field, 2008), the spatial, temporal, and microphysical characteristics of mixed-phase clouds are expected to vary systematically among cloud types (Korolev et al., 2017). Therefore, to better characterize these differences and to support improved representation of mixed-phase clouds in models,

80 a cloud-type-dependent mixed-phase cloud climatology is needed.

In this study, we present a cloud-type-dependent climatology of mixed-phase clouds based on CloudSat and CALIPSO observations. Using the CloudSat 2B-CLDCLASS-LIDAR product in combination with temperature profiles from the CloudSat ECMWF-AUX dataset and other A-Train measurements, we categorize mixed-phase clouds into five major cloud types and examine how their occurrence varies spatially, vertically, and seasonally across different cloud regimes. By

85 focusing on cloud occurrence, this study highlights the climatological prevalence of mixed-phase clouds within distinct cloud regimes and provides complementary observational constraints for evaluating the representation of mixed-phase clouds in numerical models. The paper is organized as follows. Section 2 describes the datasets and methodologies used in this study. Section 3.1 presents the spatial distributions and zonal-mean structures of mixed-phase cloud occurrence by cloud type, together with cloud-type-dependent occurrence of ice, mixed-phase, and supercooled-liquid clouds as a function of

90 cloud top temperature. Section 3.2 examines the seasonal variations of mixed-phase cloud occurrence and their regional contrasts across different surface types, including land, open ocean, and sea ice. Finally, Section 4 summarizes the main findings and discusses their implications and limitations.



2 Data and methods

95 2.1 A-Train satellite observations and cloud type classification

The A-Train satellite constellation operates in a sun-synchronous orbit with equator-crossing times near 01:30 and 13:30 local solar time, providing consistent daytime and nighttime sampling. Satellites within the constellation, including CloudSat, CALIPSO, and Aqua, fly in close formation with along-track separations of seconds to a few minutes. This configuration allows for near-simultaneous observations of clouds from multiple active and passive sensors (Stephens et al., 2002; Winker et al., 2009; Xiong et al. 2023).

CloudSat carries a 94 GHz nadir-pointing CPR that measures vertical profiles of radar reflectivity. The CPR is effective at detecting ice particles in mixed-phase regions, with a vertical resolution of 240 m (oversampled) and a horizontal footprint of approximately 1.4 km cross-track by 1.7 km along-track, with an along-track sampling interval of 1.1 km. CALIPSO carries the Cloud-Aerosol Lidar with Orthogonal Polarization (CALIOP), which operates at 532 nm (parallel and perpendicular polarization) and 1064 nm. CALIOP provides high-sensitivity observations of optically thin clouds and aerosol layers and is particularly effective at detecting supercooled liquid layers near cloud top. CALIOP profiles have a vertical resolution of 30 m (60 m for the 1064 nm channel), with a horizontal resolution of 333 m below 8.2 km and 1 km between 8.2 km and 20.2 km. The combined use of CloudSat radar and CALIPSO lidar measurements provides complementary information on cloud vertical structure and supports cloud type and thermodynamic phase classification (Stephens et al., 2002; Stephens et al., 2008; Winker et al., 2010).

This study uses the CloudSat 2B-CLDCLASS-LIDAR product (all CloudSat products used in this study are from version R05), which combines information from the CloudSat CPR, the CALIOP onboard CALIPSO, and some ancillary data to classify clouds by type and thermodynamic phase (Sassen and Wang, 2008; Wang 2019). The product identifies eight cloud types: cirrus (Ci), altostratus (As), altocumulus (Ac), stratus (St), stratocumulus (Sc), cumulus (Cu), nimbostratus (Ns), and deep convection (DC). Cloud thermodynamic phase for each cloud layer is categorized as ice, mixed, or liquid. Cloud types are classified based on a fuzzy-logic scheme using cloud features such as cloud cluster vertical and horizontal scales, the radar reflectivity factor (Ze), precipitation scale and intensity, convective signature, cloud phase, cloud top variations, and other ancillary information. Cloud phase is inferred using a combination of CloudSat radar reflectivity, CALIOP detection of liquid cloud layers, and cloud-top and cloud-base temperatures, and thus differs from CALIOP-only phase determinations, which rely primarily on lidar backscatter and depolarization ratio (Hu et al. 2009; Cesana et al. 2016).

In this study, mixed-phase clouds are grouped into five cloud-type categories: As, Ac, St+Sc, Cu, and Ns+DC. Ci are excluded because they are predominantly ice phase. St and Sc are combined (St+Sc) because both represent low-level clouds that are typically coupled to the boundary layer and have similar thermodynamic environments. Ns and DC are grouped together (Ns+DC) to represent large-scale precipitating cloud systems with vertically extensive structures. In the tropics, DC is commonly associated with intense convective part of storms, with extensive stratiform regions classified as Ns, whereas in



midlatitudes, N_s occurs more frequently in the absence of DC. This grouping is broadly consistent with the conceptual mixed-phase cloud regimes in terms of vertical distributions of ice and supercooled liquid water discussed in Korolev et al. (2017), although the present study uses a satellite-based cloud-type classification and does not map directly onto the regimes proposed in that study.

130 2.2 Auxiliary datasets and supporting cloud properties

Collocated atmospheric temperature profiles from the CloudSat ECMWF-AUX dataset (Partain, 2022) are used to analyze the vertical distribution of mixed-phase cloud occurrence in temperature coordinates. Ice microphysical properties are taken from the CloudSat 2C-ICE product (Deng et al. 2013; Deng et al. 2015), which provides retrieved vertical profiles of ice water content (IWC). Within mixed-phase cloud layers, IWC profiles are vertically integrated to derive ice water path (IWP).
135 The Moderate Resolution Imaging Spectroradiometer (MODIS) onboard the Aqua satellite (King et al. 2003; Platnick et al. 2003; Platnick et al. 2016) measures radiances in 36 spectral channels spanning the visible to the thermal infrared. MODIS cloud products provide retrievals of cloud optical and microphysical properties, including cloud thermodynamic phase and cloud water path. In this study, liquid water path (LWP) is derived from MODIS cloud water path retrievals for pixels classified as liquid phase. Collocated MODIS retrievals are taken from the CloudSat MOD06-1KM-AUX product (Cronk
140 and Partain, 2018). To mitigate known biases in MODIS LWP, particularly those associated with viewing geometry and subpixel cloud heterogeneity, a bias correction method based on solar zenith angle and a cloud heterogeneity index is applied following Khanal et al. (2020). Together, IWP and LWP provide complementary microphysical information for characterizing mixed-phase clouds.

Ocean surface conditions are characterized using sea ice fraction data from the Modern-Era Retrospective analysis for
145 Research and Applications, Version 2 (MERRA-2) reanalysis (Global Modeling and Assimilation Office, 2015), specifically the `tavg1_2d_ocr_Nx` product, which provides hourly sea ice fraction at $0.625^\circ \times 0.5^\circ$ (longitude $\times$ latitude) spatial resolution. These data are collocated with CloudSat observations using the nearest time and location to identify surface type (open ocean, sea ice, or land). Specifically, we classify CloudSat observations as over open ocean when sea ice fraction is $< 15\%$ and as over sea ice when sea ice fraction is $\geq 15\%$. This 15% threshold is a standard criterion used in many sea-ice
150 extent products and previous studies (Parkinson et al., 1999; Comiso and Nishio, 2008; Frey et al. 2018). Grouping marginal and pack ice into a single sea ice category allows us to focus on the primary contrast of interest: ice-free versus ice-covered ocean surfaces. This classification enables comparison of the seasonal variations of mixed-phase cloud occurrence across different surface types, including land/open ocean/sea ice contrasts.

2.3 Statistical analysis

155 The analysis is based on CloudSat observations from 2006 to 2010. This period is selected to ensure consistent day/night sampling. Mixed-phase cloud occurrence is defined as the number of observed mixed-phase cloud pixels divided by the total number of observation pixels within a given bin. Occurrence statistics are aggregated onto a $2.5^\circ \times 2.5^\circ$ horizontal grid.



Vertical distributions are analyzed using altitude bins of 0.24 km and temperature bins of 1 °C, enabling characterization of mixed-phase cloud occurrence in both geometric height and temperature coordinates. All statistics are computed separately for each mixed-phase cloud type category to investigate spatial, vertical, and seasonal variations across different cloud regimes.

2.4 Uncertainties and limitations

Several sources of uncertainty should be considered when interpreting the results of this study. Cloud phase classification in the 2B-CLDCLASS-LIDAR product depends on the combined sensitivities of the CPR and CALIOP, along with temperature information. Thin liquid layers embedded within optically thick ice clouds may be missed, potentially leading to an underestimation of mixed-phase clouds in some cases. In addition, the limited sensitivity of the CPR and the liquid droplet contributions to Z_e also reduces its ability to detect very low concentrations or small ice particles within supercooled liquid layers, which may be misclassified as liquid phase. Wang et al. (2024) compared in situ aircraft observations with CloudSat 2B-CLDCLASS-LIDAR and found that CloudSat tends to overestimate mixed-phase frequency. This overestimation likely arises from the classification approach: CloudSat may label entire deep cloud layers with cold tops and warm bases as mixed phase, whereas in situ measurements typically sample only localized portions of the cloud layers.

CloudSat's sun-synchronous orbit limits the observations to two local overpass times, around 01:30 and 13:30 local solar time. As a result, the climatology represents conditional sampling and does not capture the full diurnal cycle of clouds. In addition, MODIS LWP retrievals used in this study are available only during daytime conditions, which introduces additional uncertainty because nighttime LWP statistics are not available. Uncertainties in IWP and LWP retrievals may affect quantitative estimates, but they are unlikely to affect the qualitative interpretation of regional and seasonal variations for the five mixed-phase cloud types.

Despite these limitations, combined CloudSat/CALIPSO observations remain one of the most comprehensive datasets available for characterizing the global distribution and vertical structure of type-dependent mixed-phase clouds.

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180 **3 Results**

3.1 Spatial distributions of cloud-type-dependent mixed-phase clouds

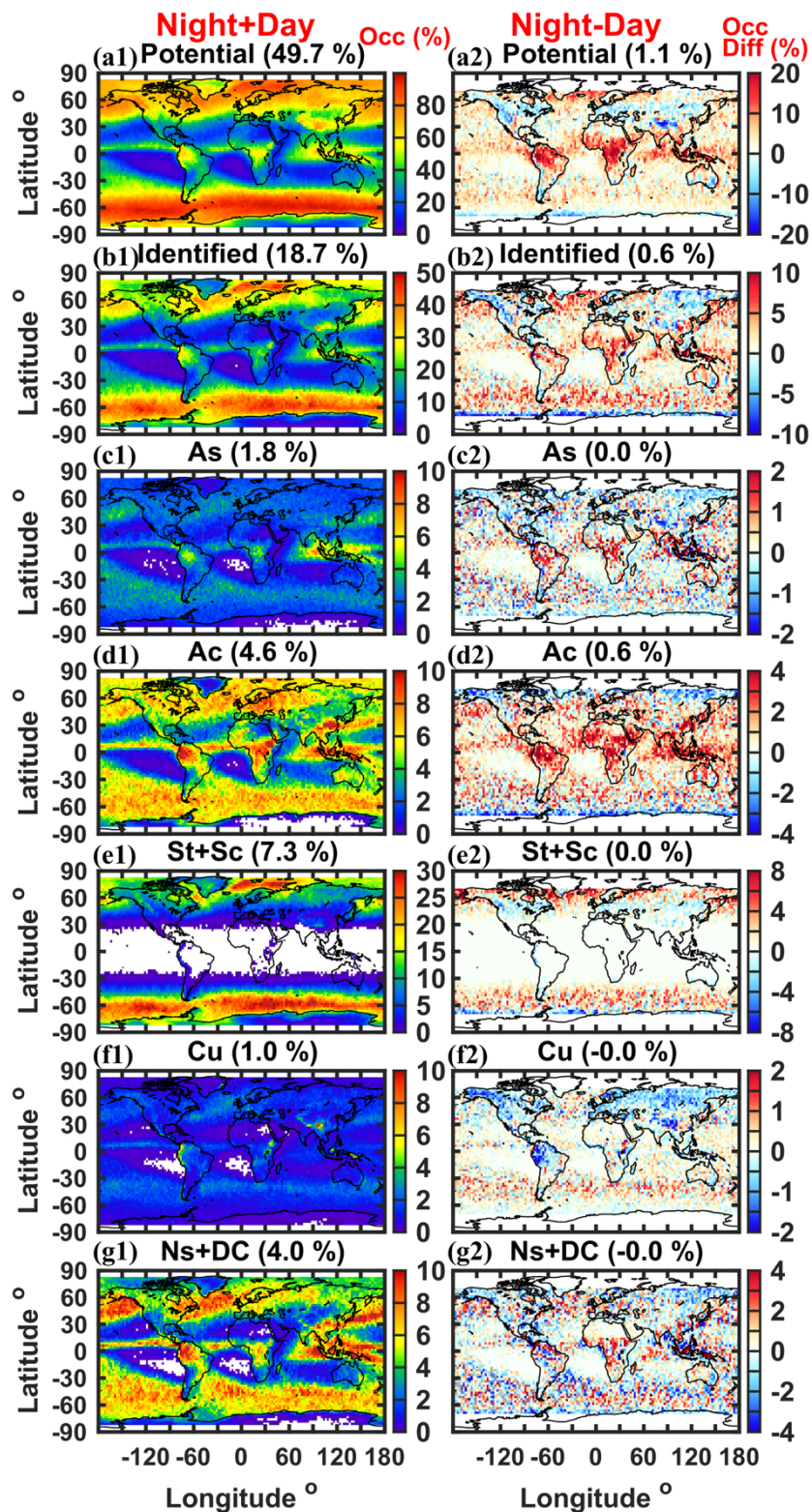




Figure 1: Spatial distributions of mixed-phase cloud occurrence. Column 1 shows day/night combined occurrence, including potential mixed-phase clouds (row a) and identified mixed-phase clouds (row b), followed by identified mixed-phase cloud occurrence for individual cloud types: As (row c), Ac (row d), St+Sc (row e), Cu (row f), and Ns+DC (row g). Potential mixed-phase clouds are defined as cloud layers whose temperature range intersects the mixed-phase temperature regime (-40 to 0 °C), regardless of phase classification. Column 2 shows the night–day difference in occurrence. All subplots are at $2.5^\circ \times 2.5^\circ$ resolution, and the numbers above each subplot indicate global mean values. Grid points with occurrences smaller than 0.01% are masked in column 1. Night–day differences poleward of 70° in both hemispheres are masked to avoid aliasing of seasonal variability into the day/night contrast under polar day and polar night conditions.

Figure 1 column 1 presents the global spatial distribution of potential and identified mixed-phase cloud occurrence, along with the partitioning among cloud types. In Fig. 1 a1, potential mixed-phase clouds are defined as cloud layers with cloud-top temperature colder than 0 °C and cloud-base temperature warmer than -40 °C, indicating that part of the cloud layer lies within the mixed-phase temperature range. This diagnostic represents clouds that thermodynamically could support the coexistence of ice and supercooled liquid, regardless of whether mixed phase is detected by CloudSat/CALIPSO observations. Potential mixed-phase cloud occurrence is widespread, reaching 60 – 80 % at Northern Hemisphere (NH) high latitudes and 80 – 90 % over the Southern Ocean, with a global mean value of 49.7 %.

In contrast, the identified mixed-phase cloud occurrence from CloudSat/CALIPSO observations (Fig. 1 b1) is substantially lower, with values of 30 – 40 % in NH high latitudes and 40 – 50 % over the Southern Ocean, and a global mean value of 18.7 %. The large difference between potential and identified occurrence reflects both physical and observational factors. Physically, clouds within the mixed-phase temperature range do not necessarily contain both phases at a given time, as phase partitioning depends on ice nucleation, dynamical forcing, cloud life cycle, and microphysical processes (Korolev et al., 2017; Morrison et al., 2012). Observational limitations of the CPR and CALIOP in detecting low concentration small ice particles or supercooled liquid layer embedded within optically thick clouds may also contribute to this difference.

When all cloud types are combined (Fig. 1 b1), mixed-phase clouds are most frequent in three broad regimes: the tropical Intertropical Convergence Zone (ITCZ), midlatitude storm-track regions, and high-latitude oceans. Particularly high occurrences are observed over the Southern Ocean and the Greenland–Iceland–Norwegian (GIN) seas in the Arctic, where local mixed-phase cloud occurrence exceeds 40 %, while other Arctic regions such as the Beaufort and East Siberian seas show more moderate occurrence around 20 – 30 %.

In the tropics, mixed-phase cloud occurrence is strongly associated with deep convective systems, including both convective and stratiform precipitation regions (Ns+DC; Fig. 1 g1), together with substantial contributions from mid-level Ac (Fig. 1 d1). This pattern reflects the prevalence of deep convection, where strong vertical motions produce vertically extensive cloud systems and transport moisture into mid and upper troposphere, supporting the formation of clouds in mixed-phase temperature ranges. In contrast, As (Fig. 1 c1) contributes more modestly in the tropics and is primarily associated with stratiform anvil outflow from deep convective systems (Houze, 2004).

Midlatitude storm-track regions between approximately 30° to 50° in both hemispheres show moderate mixed-phase occurrence, typically around 20 – 30 % (Fig. 1 b1), with contributions mainly from Ac (Fig. 1 d1) and Ns+DC (Fig. 1 g1). These clouds are closely associated with synoptic-scale baroclinic systems, where large-scale ascent along warm conveyor



belts and frontal zones supports clouds within the mixed-phase temperature range (Morrison et al., 2012; Field et al., 2014).
220 In the NH, mixed-phase Ac extends farther poleward than Ns+DC, reaching latitudes north of 60°N, whereas Ns+DC occurrence decreases more rapidly with latitude. This contrast indicates a poleward reduction in the frequency and vertical extent of organized precipitating systems, while mid-tropospheric moisture and weak ascent remain sufficient to sustain Ac formation and maintenance.

At high latitudes, mixed-phase cloud occurrence is dominated by low-level stratiform clouds (St+Sc; Fig. 1 e1), especially
225 over the Southern Ocean and parts of the Arctic, where local occurrence reaches around 30 %. Over the Southern Ocean, the distinct maximum near 60°S is associated with strong air-sea interaction over open water, which enhances surface turbulent heat and moisture fluxes and supports persistent marine boundary-layer clouds. The persistence of mixed-phase structure in these clouds reflects interactions among boundary-layer circulation, cloud-top radiative cooling, ice nucleation, and the sedimentation of ice particles (McCoy et al., 2015; Bodas-Salcedo et al., 2016; Korolev et al. 2017). In the Arctic, enhanced
230 mixed-phase St+Sc occurrence over oceanic regions, particularly in the GIN seas, is frequently associated with cold-air outbreaks and strong surface-atmosphere coupling over relatively warm open water (Shupe et al., 2006; Mioche et al., 2017). Cu (Fig. 1 f1) contributes the smallest fraction to global mixed-phase cloud occurrence, with a global mean occurrence of about 1.0%, and is mainly associated with shallow to congestus convection in both the tropics and midlatitudes. Localized maxima in mixed-phase Cu occurrence are evident in regions of elevated terrain, including parts of the Andes, East Africa,
235 and areas adjacent to the Tibetan Plateau. These features are consistent with the influence of orographic lifting, which can support shallow convection and allow cloud tops to reach mixed-phase temperature levels.

The night–day differences in mixed-phase cloud occurrence are shown in Fig. 1 column 2. Differences poleward of 70° in both hemispheres are masked to avoid aliasing of seasonal variability into the day/night contrast under polar day and polar night conditions. For all identified mixed-phase clouds (Fig. 1 b2), positive differences (nighttime > daytime) of ~5–8% are
240 observed over tropical land regions. In the NH midlatitudes, ocean regions exhibit generally positive differences, typically ~2–4%, with locally larger values approaching ~5–6% in parts of the North Atlantic near 50–60°N, while adjacent land regions show negative differences of smaller magnitude. Over the Southern Ocean (30–60°S), mixed-phase occurrence is higher at night by ~4–6%, whereas values become weakly negative closer to Antarctica and sea-ice covered regions.

These contrasts vary by cloud type. Mixed-phase Ac (Fig. 1 d2) shows positive differences of up to ~3–4% over tropical
245 land and nearby oceanic regions, while exhibiting weak negative values poleward of ~60° in both hemispheres. St+Sc (Fig. 1 e2) display the strongest and spatially organized signal, with widespread nighttime enhancements of ~5–7% over the Southern Ocean and ~5–8% over the North Atlantic near 60–70°N. This behavior is consistent with enhanced cloud-top longwave radiative cooling at night, which strengthens boundary-layer turbulence and helps maintain low-level stratiform mixed-phase clouds by sustaining supercooled liquid layers against depletion through the WBF process (Morrison et al.,
250 2012; Korolev et al. 2017; Tan et al., 2023). In contrast, Cu (Fig. 1 f2) exhibits negative differences over land (generally ~ –1 to –2%) in the tropics and NH midlatitudes, consistent with the diurnal cycle of shallow convection being strongly driven by daytime surface heating over land. For Ns+DC (Fig. 1 g2), the day/night differences in the tropics are generally within



±2–3%, and the spatial patterns are broadly consistent with the known day/night differences of tropical deep convection, with higher nighttime occurrence over Africa, the Maritime Continent, and the Indian Ocean, and alternating northwest–southeast bands of higher and lower nighttime occurrence over the Amazon (Yang et al., 2025).

It should be noted that the night–day differences presented here are derived from observations at two fixed local times (~01:30 and ~13:30 local time) from the A-Train orbit and therefore do not represent the full diurnal cycle. To ensure consistent sampling of both daytime and nighttime conditions, this analysis is restricted to the 2006–2010 period when the CloudSat CPR operated continuously, rather than the post-2011 daytime-only observations. Despite this limitation, the spatial patterns indicate that robust large-scale day/night contrasts in mixed-phase cloud occurrence across different cloud types are captured in this dataset.

Overall, Fig. 1 highlights distinct regional contrasts in mixed-phase cloud occurrence and a strong dependence on cloud type. Low-level stratiform clouds (St+Sc) dominate mixed-phase occurrence at high latitudes, particularly over the Southern Ocean and the Arctic. In midlatitudes, mixed-phase clouds are primarily associated with synoptic-scale baroclinic systems, with contributions mainly from Ac and Ns+DC. In the tropics, mixed-phase occurrence is largely linked to deep convective systems (Ns+DC) and mid-level Ac. These results demonstrate that mixed-phase cloud occurrence varies systematically with cloud type and large-scale dynamical regime.

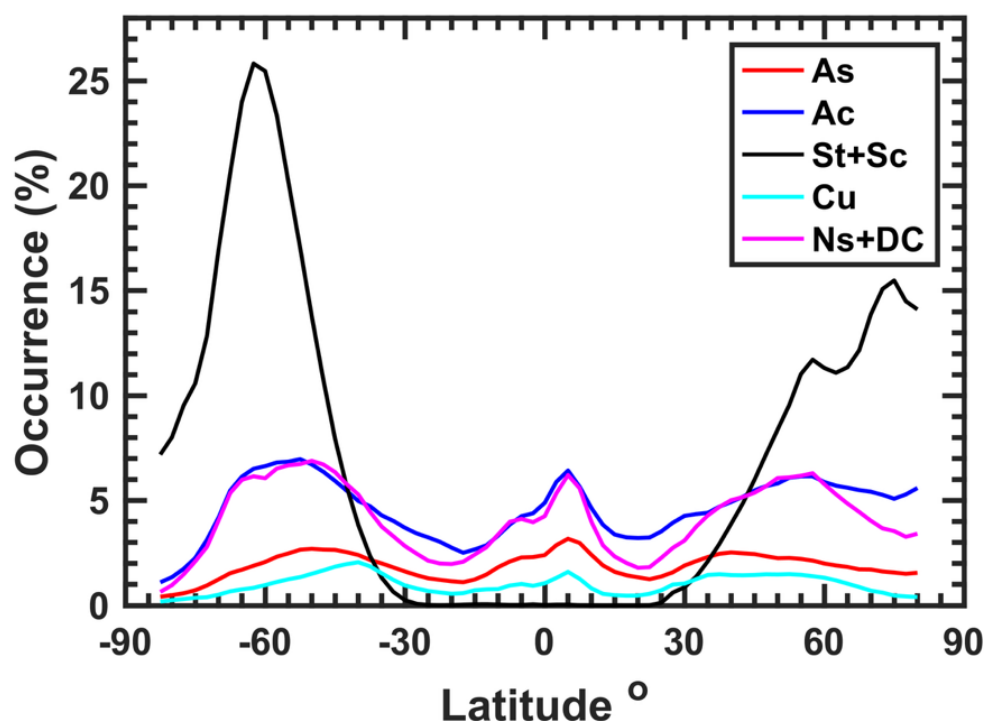


Figure 2: Zonal mean mixed-phase cloud occurrence for different cloud types: As, Ac, St+Sc, Cu, and Ns+DC. Each curve represents the zonal mean occurrence for the corresponding cloud type. The y-axis shows mixed-phase cloud occurrence (%), and the x-axis represents latitude (°) with a 2.5° resolution.



Figure 2 illustrates the zonal mean distribution of mixed-phase cloud occurrence for different cloud types. Mixed-phase Ac and Ns+DC exhibit broadly similar zonal variations, with maxima in three latitude bands: near 5°N (~6–6.5%), in the Southern Hemisphere (SH) midlatitudes (~50°S, ~6.5–7%), and in the NH midlatitudes to subpolar region (~55–60°N, ~6–6.5%). These peaks correspond to the ITCZ and midlatitude storm-track regions identified in Fig. 1, where large-scale ascent associated with deep convection and baroclinic systems supports cloud layers extending into the mixed-phase temperature range (Field et al., 2014; Bodas-Salcedo et al., 2016). Differences between Ac and Ns+DC are more apparent outside these regions, particularly in the subtropics (approximately 15–30° in both hemispheres) and in the NH high latitudes, whereas the two cloud types show more similar occurrence in the SH high latitudes.

Mixed-phase As shows a similar but weaker zonal structure, with a maximum near 5°N (~3%) and a local peak in the SH midlatitudes near 50°S, aligning with Ac and Ns+DC. In the NH, however, the As maximum occurs farther equatorward, near ~40°N (~2–2.5%), rather than at ~55–60°N.

In contrast, mixed-phase St+Sc shows a markedly different zonal distribution, with a strong maximum near 62°S (~26%), far exceeding all other cloud types. This peak corresponds to the Southern Ocean maximum seen in Fig. 1 e1 and reflects the prevalence of persistent marine boundary-layer clouds maintained by strong air-sea coupling and cloud-top radiative cooling (Shupe et al., 2006; Morrison et al., 2012; McCoy et al., 2015). Occurrence decreases rapidly equatorward to near 0% at ~30°S, and poleward to ~7% near 80°S. In the NH, St+Sc exhibits a weaker but still strong maximum near ~75°N (~15–16%), with a secondary peak near ~57°N (~11–12%). The higher-latitude maximum is consistent with enhanced occurrence over Arctic oceanic regions, particularly the GIN seas, while the midlatitude peak corresponds more broadly to subpolar ocean regions, including the North Atlantic (e.g., Labrador Sea) and parts of the North Pacific, consistent with the spatial patterns in Fig. 1 e1.

Mixed-phase Cu shows lower occurrence than other cloud types, peaking near ~40°S (~2%). A local maximum is also evident near ~5°N (~1.5–2%), associated with shallow to congestus convection embedded within convective regions of the ITCZ.

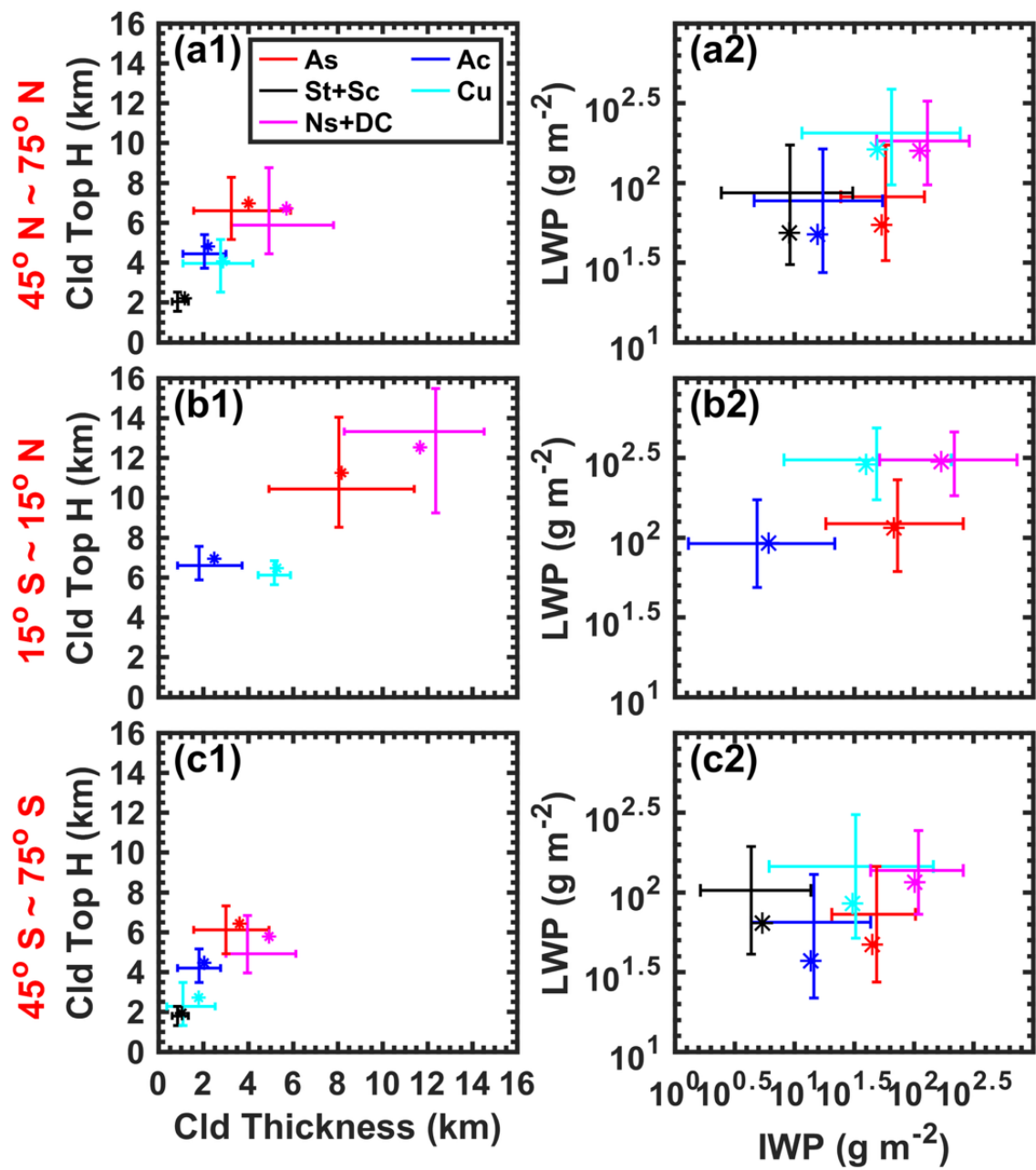


Figure 3: Daytime regional mixed-phase cloud properties for As, Ac, St+Sc, Cu, and Ns+DC. Column 1 shows cloud top height versus cloud geometric thickness, and column 2 shows liquid water path (LWP) versus ice water path (IWP). The cross formed by the horizontal and vertical lines represents the median values of the corresponding variables (cloud top height and thickness in column 1; LWP and IWP in column 2). Horizontal and vertical lines indicate the 25th and 75th percentiles, with short bars at the ends for illustration. Asterisks (*) denote the mean values. Rows a, b, and c correspond to the latitude bands 45°N–75°N, 15°S–15°N, and 45°S–75°S, respectively.



Figure 3 compares regional mixed-phase cloud properties for the five cloud types across three latitude bands, including cloud top height versus geometric thickness (column 1) and LWP versus IWP (column 2). A clear separation among cloud types is evident in both columns, indicating that mixed-phase cloud properties vary systematically with cloud type. In addition to the cloud-type-dependent differences in occurrence shown in Figs. 1 and 2, Fig. 3 shows that cloud types also differ substantially in their geometric structure and condensate properties.

Structural differences are evident among cloud types. Ns+DC exhibit the largest cloud thickness across all three latitude bands and also have the highest cloud tops in the tropics. As is the next deepest cloud type, with greater thickness and higher cloud tops than Ac, while Ac occurs at intermediate altitudes and is comparatively thinner. In contrast, St+Sc are the lowest and thinnest cloud type, with median cloud top heights around 2 km and thickness typically less than 1 km, consistent with boundary-layer clouds. Cu generally occupies an intermediate position between St+Sc and the mid-level cloud types, but remains relatively shallow overall, with cloud-top heights typically below 7 km in the tropics and below 5 km in the mid-to-high latitudes. These differences indicate that the mixed-phase cloud types shown here have systematically different geometric structures and are associated with distinct meteorological regimes.

Microphysical differences are also distinct. Ns+DC consistently show the largest IWP across all latitude bands, with median values on the order of 10^2 g m^{-2} , reflecting their vertically extensive structure and substantial ice mass. Their median LWP is also relatively large and is comparable to or exceeds IWP in some regions, although this comparison should be interpreted cautiously given the uncertainty of MODIS LWP retrievals for clouds containing substantial ice. In contrast, St+Sc are characterized by very small IWP, with median values generally on the order of a few to about 10 g m^{-2} depending on latitude, but comparatively large LWP (with median values typically about 100 g m^{-2}). As and Ac occupy an intermediate regime in LWP-IWP space: their IWP is smaller than that of Ns+DC but larger than that of St+Sc, while their LWP is comparable to St+Sc in the NH and generally smaller in the SH. Mixed-phase As generally exhibits larger IWP than Ac, consistent with its greater cloud thickness (Fig. 3 column 1) and likely differences in ice water content. Overall, the LWP-IWP distributions indicate that different cloud types differ not only in condensate magnitude but also in the relative contribution of liquid and ice.

Latitudinal variations further modulate these cloud-type-dependent properties. Clouds in the tropics are also generally higher and thicker than those in the mid-to-high latitude bands, although Ac shows comparable thickness across the three latitude bands (Fig. 3 column 1). Tropical mixed-phase clouds also tend to exhibit larger LWP across several cloud types, consistent with greater moisture availability and, for some cloud types, greater cloud thickness. Differences between hemispheres are also evident but remain modest. As, Ac, and Ns+DC have median cloud top heights that are typically about 0.5–1 km higher in the NH than in the SH, while their thicknesses are broadly comparable, with Ns+DC in the NH being slightly thicker (by $\sim 1 \text{ km}$) than in the SH (Fig. 3 a1 and c1). Hemispheric differences in LWP and IWP vary by cloud type. For example, St+Sc and Cu tend to show larger IWP in the NH than in the SH, whereas As, Ac, and Ns+DC show comparable or slightly larger LWP and IWP in the NH than in the SH (Fig. 3 a2 and c2). These hemispheric contrasts are likely influenced by differences in surface-type distribution (more land in the NH versus more open ocean in the SH), aerosol loading, and large-scale



dynamical regimes, although they are generally smaller than the variations among cloud types (McCoy et al., 2015; Bodas-Salcedo et al., 2016).

It should be noted that the LWP values are derived from MODIS daytime retrievals and are limited to pixels classified as liquid phase. As a result, the analysis does not include nighttime LWP and may be subject to sampling biases. In addition, for clouds with substantial ice water content, particularly Ns+DC, the MODIS cloud water path retrieval may include contributions from ice even when classified as liquid, potentially leading to biases in LWP estimates. Nevertheless, the distinct differences in cloud geometry and condensate amount and partitioning across cloud types indicate that mixed-phase cloud properties are fundamentally cloud-type dependent, reinforcing the need for a cloud-type resolved mixed-phase cloud climatology.

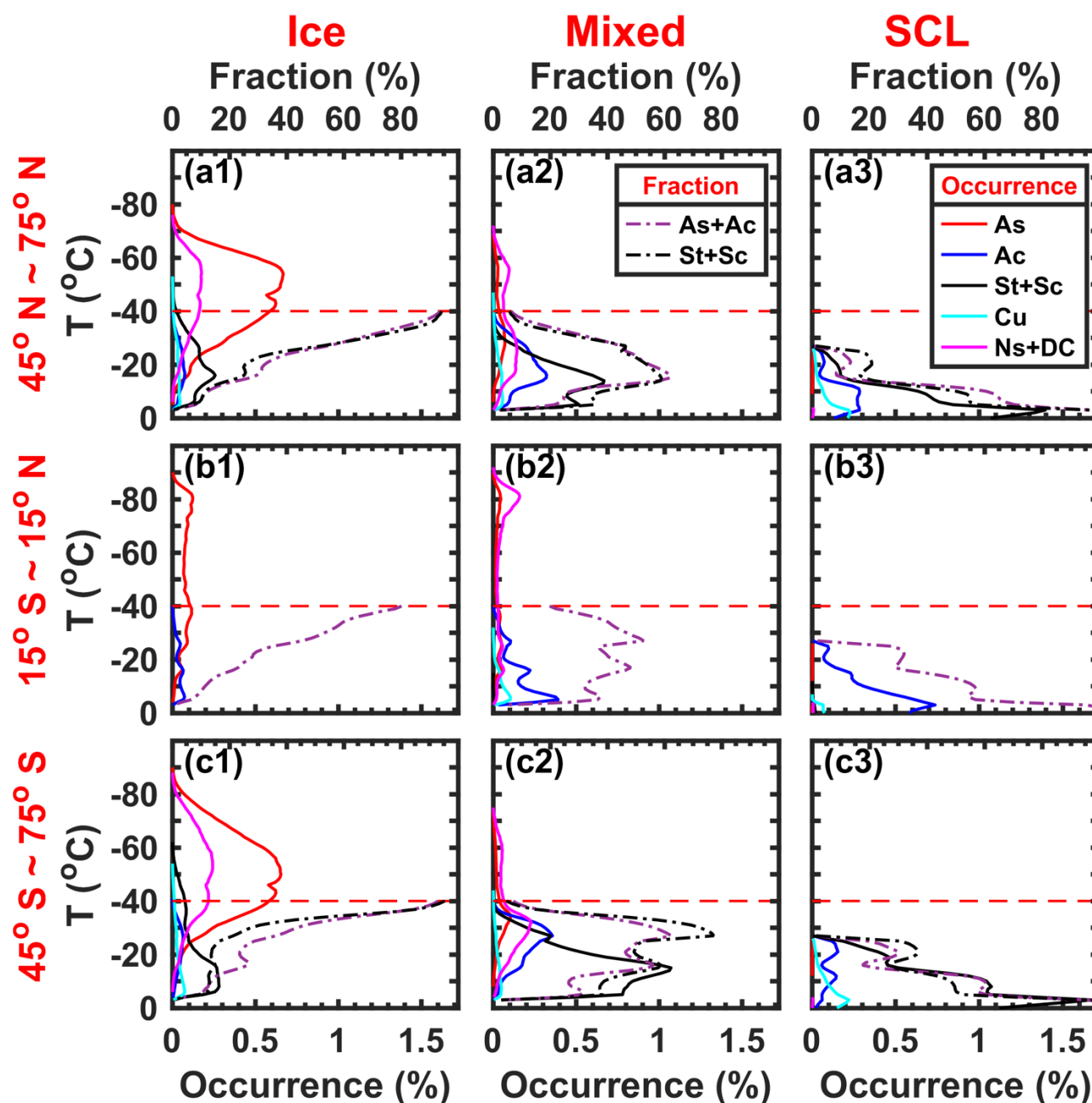


Figure 4: Regional mean cloud occurrence as a function of cloud top temperature for As, Ac, St+Sc, Cu, and Ns+DC. Colored lines in each subplot represent the occurrence of the five cloud types, referenced to the bottom x-axis. The y-axis represents cloud top temperature (°C) at 1°C resolution. Columns 1, 2, and 3 correspond to ice-phase, mixed-phase, and supercooled liquid-phase (SCL) clouds, respectively. Rows a, b, and c correspond to the latitude bands 45°N–75°N, 15°S–15°N, and 45°S–75°S, respectively. The horizontal red dashed lines mark the –40 °C level. Colored dash-dotted lines show the phase partitioning based on occurrence for mid-level clouds (As+Ac) and low-level stratiform clouds (St+Sc), referenced to the top x-axis.



Figure 4 shows regional-mean cloud occurrence as a function of cloud-top temperature, separated by cloud phase and cloud type. For each 1 °C temperature bin, the occurrence is defined as the number of observed cloud layers of a given type and phase with cloud top temperatures within that bin, divided by the number of CloudSat observation profiles in that region.

355 The occurrence values summed over all temperature bins in each subplot of Fig. 4 correspond to the total occurrence of that cloud type and phase in the region. This figure highlights that cloud occurrence as a function of cloud top temperature differs strongly among cloud types, and that the dominant cloud phase at a given cloud-top temperature also depends on cloud type.

In the NH mid-to-high latitude band (45–75°N; Fig. 4 row a), distinct cloud-type-dependent differences are evident. St+Sc dominate the supercooled liquid occurrence over a broad temperature range from near 0 °C down to about –27 °C with the

360 largest values of about 1.4% near –3 °C (Fig. 4 a3). Their mixed-phase occurrence is also substantial, reaching a clear maximum near –14 to –15 °C (Fig. 4 a2). This contrast is consistent with the common liquid-topped structure of low-level stratiform mixed-phase clouds, for which mixed-phase occurrence becomes most prominent at cloud-top temperatures from about –10 to –20 °C, where ice is more frequently present and more readily detected (Shupe et al., 2006; Morrison et al., 2012). In contrast, As contributes most strongly to the ice-phase occurrence at colder cloud-top temperatures, especially

365 below about –25 °C (Fig. 4 a1). For As, ice-phase occurrence exceeds mixed-phase occurrence across most of the mixed-phase temperature range in this latitude band, indicating a stronger tendency toward ice-dominated conditions. Ac shows a different behavior: supercooled liquid occurrence dominates at temperatures warmer than about –10 °C, while mixed-phase occurrence becomes dominant at temperatures colder than about –15 °C, with only a relatively small ice-phase contribution. These contrasts indicate that cloud-top temperature alone does not uniquely determine cloud phase, and that cloud phase

370 varies substantially among cloud types.

In the tropics (15°S–15°N; Fig. 4 row b), the distributions differ substantially from those in the NH mid-to-high latitudes. Ac, rather than St+Sc, contributes most strongly to the supercooled liquid occurrence, and also dominates mixed-phase occurrence over much of the temperature range warmer than –40 °C, with a main peak near –5 °C. At temperatures colder than –40 °C, however, Ns+DC becomes increasingly dominant in the mixed-phase category. This reflects the vertically

375 extensive nature of tropical deep convective systems, whose cloud tops can be much colder than –40 °C while substantial portions of the cloud remain within warmer layers and cloud bases are warmer than 0 °C. The mixed-phase Ac distribution shows multiple peaks in temperature, indicating preferred temperature ranges for tropical mid-level clouds, consistent with previous studies of tropical cloud vertical structure (Johnson et al. 1999; Riley and Mapes 2009). For the ice phase, As contributes most strongly at temperatures colder than about –25 °C, but its occurrence remains low (~0.1%), much smaller

380 than in the NH mid-to-high latitudes.

In the SH mid-to-high latitude band (45–75°S; Fig. 4 row c), the dominant cloud types contributing to each phase are broadly similar to those in the NH: St+Sc dominate supercooled liquid and mixed-phase occurrence, while in the ice-phase category, St+Sc contribute most strongly at temperatures warmer than about –25 °C and As dominates at temperatures colder than that. However, the temperature dependence differs between hemispheres. For example, ice-phase St+Sc occurrence in



385 the SH is spread more evenly across approximately -17 to -5 °C, whereas in the NH it shows a clearer maximum near
-17 °C. Mixed-phase Ac in the SH peaks at colder temperatures (around -28 °C) than in the NH, where the maximum is
closer to -15 °C, and the corresponding supercooled liquid Ac occurrence is reduced. Mixed-phase Ns+DC in the SH also
shows a distinct maximum near -32 °C, differing from the NH distribution. These contrasts indicate that, even for the same
cloud type, the temperature dependence of phase-resolved cloud occurrence varies systematically between the two
390 hemispheres.

The phase partitioning shown in Fig. 4 provides a complementary view of these cloud-type differences. In the mid-to-high
latitudes (Fig. 4 a1 and c1), the ice fraction for both mid-level clouds (As+Ac) and low-level stratiform clouds (St+Sc)
generally increases as cloud top temperature decreases from 0 to -40 °C, but the two cloud categories do not follow the same
temperature dependence. In the NH (Fig. 4 a1), their ice fractions differ most clearly at intermediate temperatures,
395 approximately between -15 and -25 °C, where the ice fraction of mid-level clouds exceeds that of low-level stratiform
clouds by about 5–7 %. In the SH (Fig. 4 c1), the contrast extends over a broader temperature range, roughly from -10 to
 -35 °C, and reaches larger absolute differences of up to about 13 %. These results indicate that, even at the same cloud-top
temperature, phase partitioning differs systematically by cloud type and between hemispheres. The mixed-phase and
supercooled-liquid fractions provide useful additional information, but their detailed temperature dependence is not
400 examined here, and a more detailed analysis of phase partitioning is deferred to future work.

3.2 Seasonal variations and land/open ocean/sea ice contrast

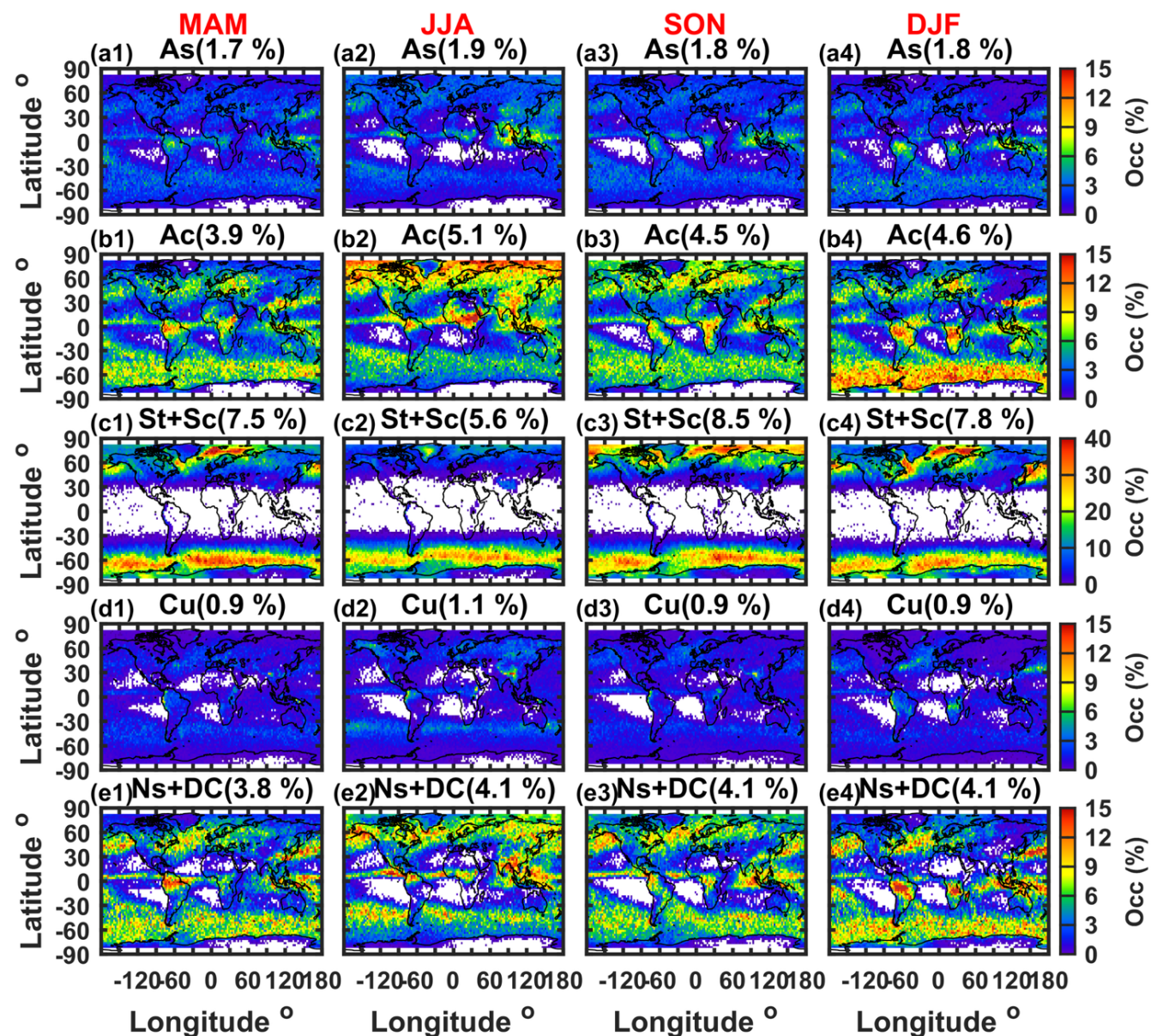


Figure 5: Seasonal spatial distributions of mixed-phase cloud occurrence for the five cloud types. Rows a–e correspond to As, Ac, St+Sc, Cu, and Ns+DC, respectively. Columns 1–4 represent March–April–May (MAM), June–July–August (JJA), September–October–November (SON), and December–January–February (DJF), respectively. All subplots are at $2.5^\circ \times 2.5^\circ$ resolution. The numbers above each subplot are the global mean values. Grid points with occurrences smaller than 0.01% are masked.

Figure 5 shows the seasonal spatial distributions of mixed-phase cloud occurrence for the five cloud types, highlighting clear latitudinal contrasts and regional dependence. In the tropics, mixed-phase occurrence for all cloud types except St+Sc exhibits meridional shifts that broadly follow the seasonal migration of the ITCZ. At mid and high latitudes, seasonal



410 variability is also evident, but its magnitude and spatial structure differ substantially among cloud types. Global-mean occurrence varies seasonally as well, with relatively modest changes for As (1.7–1.9 %), Cu (0.9–1.1 %), and Ns+DC (3.8–4.1 %), somewhat larger changes for Ac (3.9–5.1 %), and the largest seasonal range for St+Sc (5.6–8.5 %).

For As and Ac (Fig. 5 rows a and b), mixed-phase occurrence in the NH mid-to-high latitudes is generally enhanced during June-July-August (JJA), with widespread increases over both land and ocean, particularly for Ac. Mixed-phase Ac
415 occurrence commonly reaches about 7–10 % across broad NH regions in summer, with local maxima approaching 12% over parts of the Arctic Ocean and northern Eurasia, whereas As is generally lower, commonly around 2–4 %. This broad summer enhancement is consistent with greater mid-tropospheric moisture and stronger warm-season midlevel cloudiness in the NH (Tselioudis, 2020; Huang et al., 2015; Shaw et al., 2016). In the SH midlatitudes, mixed-phase Ac is enhanced primarily during December-January-February (DJF), while As shows a weaker seasonal contrast in magnitude. In the tropics, both As
420 and Ac shift meridionally with the seasonal migration of convective activity, but Ac remains much more widespread than As. St+Sc (Fig. 5 row c) exhibits a distinct seasonal behavior compared with other cloud types, with mixed-phase occurrence strongly concentrated over mid-to-high latitude oceans. Over the Southern Ocean, mixed-phase St+Sc are persistent throughout the year, commonly reaching about 20–30 % in all seasons, with local values approaching 40% in March-April-May (MAM) and September-October-November (SON). The overall spatial pattern remains broadly similar year-round, but
425 occurrence is generally reduced south of about 60°S in JJA as sea ice expands and solar radiation decreases. In the NH high latitudes, mixed-phase St+Sc show a stronger seasonal contrast: occurrence is weakest in JJA, but increases markedly in SON over the Arctic Ocean, adjacent coastal regions, and parts of northern Eurasia, where values commonly reach about 20–30 % over ocean and 10–20 % over land, with locally higher values over the GIN seas. DJF and MAM show similar spatial patterns, but DJF generally has stronger maxima over subpolar ocean regions, including the North Atlantic and parts
430 of the North Pacific. This seasonality is consistent with enhanced surface–atmosphere coupling when cold air overlies relatively warm open water, increasing turbulent heat and moisture fluxes and favoring low-level stratiform mixed-phase clouds. In the Arctic and sub-Arctic, the particularly strong SON enhancement is further favored by reduced sea-ice extent following summer melt, which increases open-water influence as the lower troposphere cools rapidly during autumn (Zhao and Wang, 2010; Mioche et al., 2015; Shupe et al., 2006; Kay et al., 2016).

435 For mixed-phase Cu (Fig. 5 row d), occurrence in the tropics and subtropics is generally enhanced during local warm seasons, commonly reaching about 1–3 % over convectively active regions and locally approaching ~6%. In the SH, a belt of enhanced occurrence appears between about 30° and 45°S during JJA, with values generally around 4–6 %, likely reflecting increased shallow convection near the northern margin of the austral winter storm track (Eastman and Warren, 2014).

440 The seasonal variation of mixed-phase Ns+DC (Fig. 5 row e) follows tropical deep-convective regions and midlatitude storm-track precipitation systems. In the tropics, the meridional shifts are closely tied to the seasonal migration of the ITCZ. In the midlatitudes, enhanced occurrence is found poleward of about 50°N during JJA and poleward of about 50°S during



DJF, consistent with the seasonal positioning of extratropical precipitation systems. In the NH, although occurrence over much of the subpolar belt weakens in DJF (Fig. 5 e4), enhanced mixed-phase Ns+DC remains evident over the western North Pacific between about 30°N and 45°N, where occurrence reaches about 12–15 %. This regional enhancement likely reflects active wintertime storm-track systems and strong oceanic moisture supply downstream of East Asia, which favor vertically extensive precipitating cloud systems (Field et al., 2014; Tselioudis, 2020; Shaw et al., 2016). In the SH, the summertime enhancement is broader and more zonally continuous, with occurrence commonly around 8–12 % and locally higher along the Southern Ocean storm-track belt (Fig. 5 e4).

Overall, Fig. 5 shows that seasonal forcing affects mixed-phase cloud occurrence differently across cloud types: cloud systems associated with deep convection and large-scale ascent (As, Ac, and Ns+DC) shift with convective zones and storm tracks, whereas low-level stratiform clouds (St+Sc) respond more strongly to seasonal changes in surface-atmosphere coupling, open water availability, sea ice extent, and lower-tropospheric stability (Zhao and Wang 2010; Mioche et al. 2015; Listowski et al. 2019; Cesana et al. 2024).

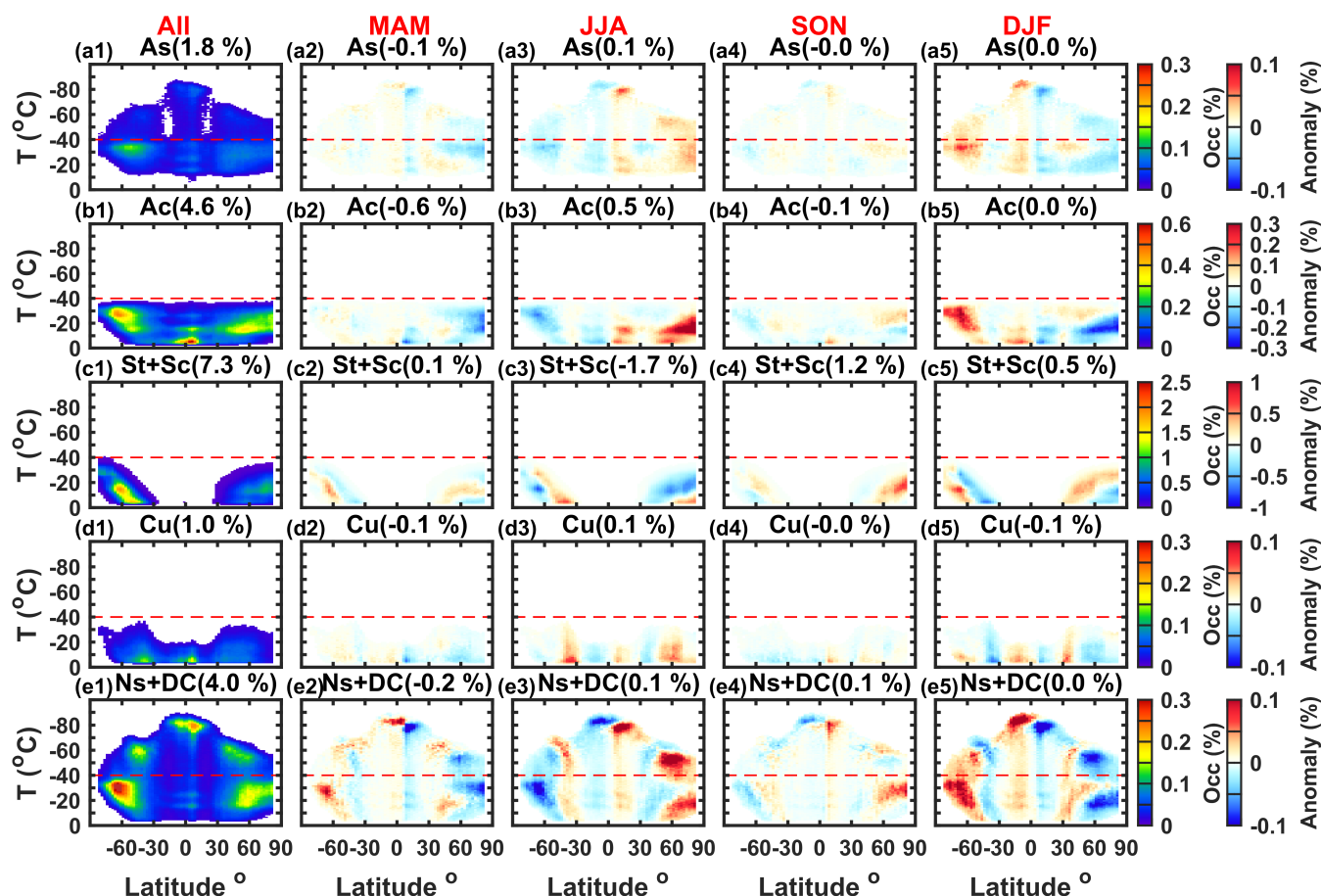


Figure 6: Zonal-mean distributions of mixed-phase cloud occurrence as a function of cloud top temperature for the five cloud types. Rows a–e correspond to As, Ac, St+Sc, Cu, and Ns+DC, respectively. Column 1 shows the annual-mean occurrence, while



460 columns 2–5 show seasonal anomalies relative to the annual mean for MAM, JJA, SON, and DJF, respectively. The x-axis shows latitude at 2.5° resolution, and the y-axis shows cloud top temperature at 1 °C resolution. The horizontal red dashed lines mark the –40 °C level. The numbers above each subplot indicate the global-mean occurrence in column 1 and the global-mean anomaly in columns 2–5. Grid points with occurrences smaller than 0.01% are masked in column 1.

Figure 6 shows the zonal-mean distributions of mixed-phase cloud occurrence as a function of cloud top temperature for each cloud type, together with their seasonal anomalies relative to the annual mean. The occurrence is defined as in Fig. 4, but is shown here for each 2.5° latitude bin rather than for broad latitude bands. Column 1 shows the annual-mean 465 distribution, while columns 2–5 show seasonal anomalies. Compared with Fig. 4, this figure reveals the continuous latitudinal structure of the temperature-dependent occurrence and shows that seasonal variability occurs through both latitudinal shifts in occurrence and changes in the cloud top temperature range over which occurrence is enhanced or reduced. For As, Ac, and Ns+DC, the annual-mean patterns (Fig. 6 a1, b1, and c1) all exhibit tropical and mid-to-high latitude occurrence bands, but they differ in their preferred cloud top temperature ranges and occurrence magnitude. Ns+DC (Fig. 6 470 e1) spans the broadest cloud-top temperature range, extending from relatively warm temperatures to very cold cloud tops near –80 °C in the tropics. There, Ns+DC exhibits a strong maximum near about –75 to –80 °C with occurrence approaching ~0.25%, together with weaker maxima near about –15 and –25 °C. Outside the tropics, its occurrence is concentrated mainly in two temperature ranges: colder than about –40 °C and, more strongly, between about –35 and –15 °C, with the SH mid-to-high latitude maximum near about –30 °C reaching ~0.3%. Ac (Fig. 6 b1) is also organized into tropical and extratropical 475 latitude bands, but its occurrence is concentrated mainly at cloud top temperatures warmer than –40 °C, with a tropical maximum near about –5 °C reaching ~0.5–0.6 % and broader mid-to-high latitude occurrence largely between about –35 and –5 °C. Compared with Ac, As (Fig. 6 a1) is weaker overall and shifted toward colder cloud top temperatures, with its strongest occurrence generally centered near about –30 to –35 °C, especially in the SH mid-to-high latitudes, where values reach about ~0.1–0.15 %. Thus, compared with Figs. 4 and 5, the annual-mean panel in Fig. 6 shows how the preferred 480 cloud-top temperature ranges of these cloud types vary continuously with latitude, rather than only within broad regional bands.

The seasonal-anomaly panels show that the dominant seasonal signal for As, Ac, and Ns+DC is a latitudinal displacement of enhanced occurrence toward the summer hemisphere, superimposed on the annual-mean latitude bands. For Ns+DC (Fig. 6 e2–e5), this behavior is especially clear: in JJA, positive anomalies appear north of the annual-mean maxima and negative 485 anomalies appear to their south, while the opposite pattern occurs in DJF. This seasonal displacement spans a broad cloud-top temperature range, including temperatures colder than –40 °C. Ac (Fig. 6 b2–b5) shows a similar latitudinal displacement, but its strongest anomalies are concentrated mainly between about –30 and –10 °C. In the NH mid-to-high latitudes, Ac exhibits its largest positive anomalies in JJA, with local anomaly magnitudes approaching ~0.2–0.3 %, while DJF anomalies are generally negative over the same latitude band. Mixed-phase As (Fig. 6 a2–a5) shows a similar anomaly 490 pattern to Ns+DC, but with smaller magnitude. In the SH, positive DJF anomalies for Ns+DC (Fig. 6 e5) are strongest mainly at cloud-top temperatures warmer than about –40 °C, whereas in the NH the JJA anomalies (Fig. 6 e3) extend more



clearly into colder cloud top temperatures, indicating a hemispheric contrast in the temperature structure of the seasonal redistribution.

For St+Sc, the annual-mean pattern (Fig. 6 c1) differs clearly from those of As, Ac, and Ns+DC. Mixed-phase occurrence is
495 confined mainly to relatively warm cloud top temperatures, generally between about -25 and -5 °C, and is concentrated at mid-to-high latitudes. The strongest annual-mean occurrence is found over the Southern Ocean, where values locally exceed ~ 1.5 % near 55 – 65°S at around -15 °C, while the Arctic and sub-Arctic occurrence is weaker overall. The seasonal-anomaly panels (Fig. 6 c2–c5) also show larger anomaly magnitudes than for the other cloud types. In the NH, positive anomalies are strongest in SON, especially at latitudes north of 60°N and mainly between about -25 and -5 °C, with local values reaching
500 about ~ 0.8 %, whereas JJA shows widespread negative anomalies over much of the same latitude band. This NH contrast is consistent with the autumn enhancement of low-level stratiform mixed-phase clouds discussed in Fig. 5. In the SH, the anomaly pattern is better described as a latitudinal redistribution: in JJA, negative anomalies dominate poleward of about 60°S , while positive anomalies appear farther north, and DJF shows the opposite sign pattern over much of the same latitude range. This contrast is consistent with a seasonal shift in the latitude of strongest surface–atmosphere coupling over the
505 Southern Ocean, rather than a uniform seasonal strengthening or weakening of mixed-phase St+Sc occurrence (McCoy et al., 2015; Bodas-Salcedo et al., 2016).

For Cu (Fig. 6 row d), the annual-mean occurrence is weak and confined mainly to relatively warm cloud-top temperatures, generally warmer than about -20 °C. Its seasonal anomalies are also weak, but they show a clear latitudinal displacement toward the summer hemisphere, with adjacent positive and negative anomalies broadly resembling the pattern for Ns+DC.
510 Unlike Ns+DC, however, the Cu anomalies are smaller in magnitude and remain restricted to warmer cloud top temperatures.

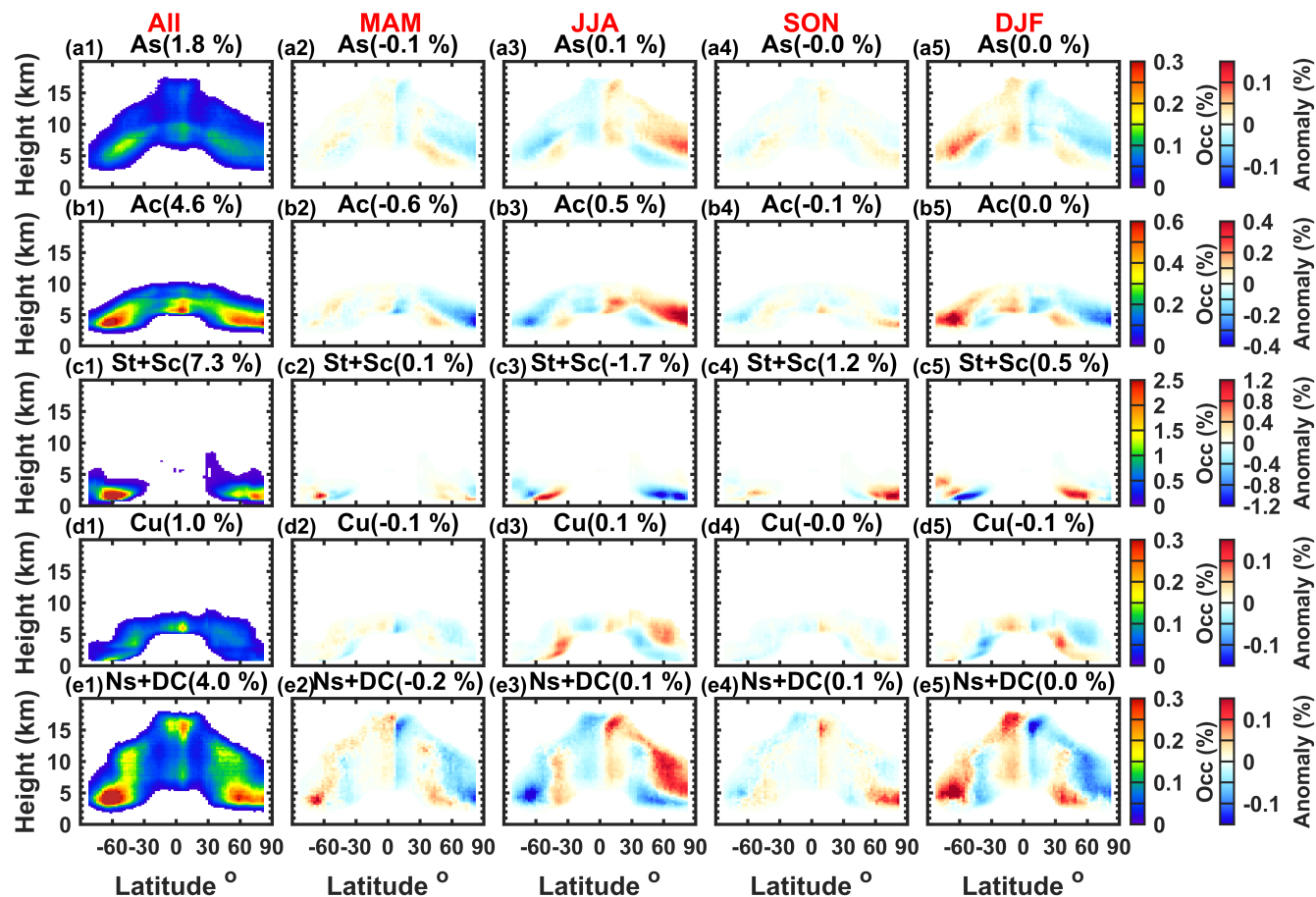


Figure 7: Zonal-mean distributions of mixed-phase cloud occurrence as a function of cloud top height above mean sea level for the five cloud types. Rows a–e correspond to As, Ac, St+Sc, Cu, and Ns+DC, respectively. Column 1 shows the annual-mean occurrence, while columns 2–5 show seasonal anomalies relative to the annual mean for MAM, JJA, SON, and DJF, respectively. The x-axis shows latitude at 2.5° resolution, and the y-axis shows cloud top height at 0.24 km resolution. The numbers above each subplot indicate the global-mean occurrence in column 1 and the global-mean anomaly in columns 2–5. Grid points with occurrences smaller than 0.01% are masked in column 1.

Figure 7 complements Fig. 6 by showing the zonal-mean occurrence as a function of cloud top height (above mean sea level). The annual-mean patterns show that Ns+DC (Fig. 7 e1) has the highest cloud tops, with tropical occurrence extending from about 7 km to above 16 km. Outside the tropics, Ns+DC also shows a pronounced lower-level occurrence band near about 4–5 km centered around roughly 50–70° in both hemispheres, while its midlatitude occurrence can also extend upward to about 8–12 km. Mixed-phase As (Fig. 7 a1) also occurs mainly at mid-to-upper levels, with strongest occurrence generally between about 5 and 9 km, whereas Ac (Fig. 7 b1) is concentrated somewhat lower, mainly between about 3 and 7 km. In the tropics, Ac shows several preferred cloud top height levels, with a strongest maximum near about 6 km and additional maxima near about 7 km and 9 km. This height-coordinate view also clarifies some features seen in Fig. 6. For example, in Fig. 6 the SH mid-to-high latitude occurrence of Ac is centered at colder cloud-top temperatures than in the NH, but Fig. 7



shows that its preferred cloud top heights remain within broadly similar mid-tropospheric layers in both hemispheres. Thus, some of the hemispheric differences in Fig. 6 reflect differences in background thermal structure in addition to relatively modest differences in cloud top height.

530 For As, Ac, and Ns+DC (Fig. 7 rows a, b, and e), the seasonal anomalies again show a displacement toward the summer hemisphere, but the height-coordinate view highlights an additional feature. For Ns+DC (Fig. 7 row e), the tropical high-top occurrence, centered broadly above about 14 km, shifts northward in JJA and southward in DJF, consistent with the seasonal migration of deep convection. Outside the tropics, the anomaly structure also suggests changes in the preferred height distribution of Ns+DC: in the SH south of about 60°S, the DJF positive anomaly is centered somewhat above the annual
535 mean occurrence maximum, whereas in the NH north of about 60°N, the JJA positive anomaly is concentrated mainly above about 5 km, where the annual-mean occurrence is relatively weak. These patterns suggest that the seasonal redistribution of Ns+DC involves not only latitudinal displacement but also preferential enhancement of somewhat higher-top cloud populations in some regions.

For St+Sc (Fig. 7 row c), the annual-mean occurrence is confined mainly to very low cloud-top heights, mostly below about
540 3 km, with the strongest occurrence over the Southern Ocean near about 1–2 km and weaker occurrence in the Arctic and sub-Arctic. The seasonal anomalies are likewise concentrated primarily within this shallow layer, indicating that the seasonal cycle of St+Sc is reflected mainly in changes in occurrence and latitude within a persistently low cloud-top layer, rather than through large systematic shifts in cloud-top height. In the NH, positive anomalies are strongest in SON north of about 60°N and remain mainly below about 3 km, whereas JJA shows negative anomalies over much of the same height range but across
545 a broader latitude band north of about 50°N. In the SH, JJA and DJF show opposite-sign anomalies at different latitudes, again mainly below about 3 km, although some positive anomalies extend to around 4 km near 80°S over Antarctica. Compared with Fig. 6, Fig. 7 indicates that the seasonal redistribution of St+Sc occurs largely within a shallow low-cloud layer, even though the corresponding cloud top temperatures vary more broadly with season.

For mixed-phase Cu (Fig. 7 row d), the annual-mean occurrence is weak and concentrated mainly between about 1 and 7 km,
550 intermediate between St+Sc and the deeper cloud types. Its seasonal anomalies are also weak, but they show a similar summer-hemisphere displacement to that of Ns+DC, while remaining concentrated at relatively low cloud-top heights and mainly within tropical to midlatitude regions.

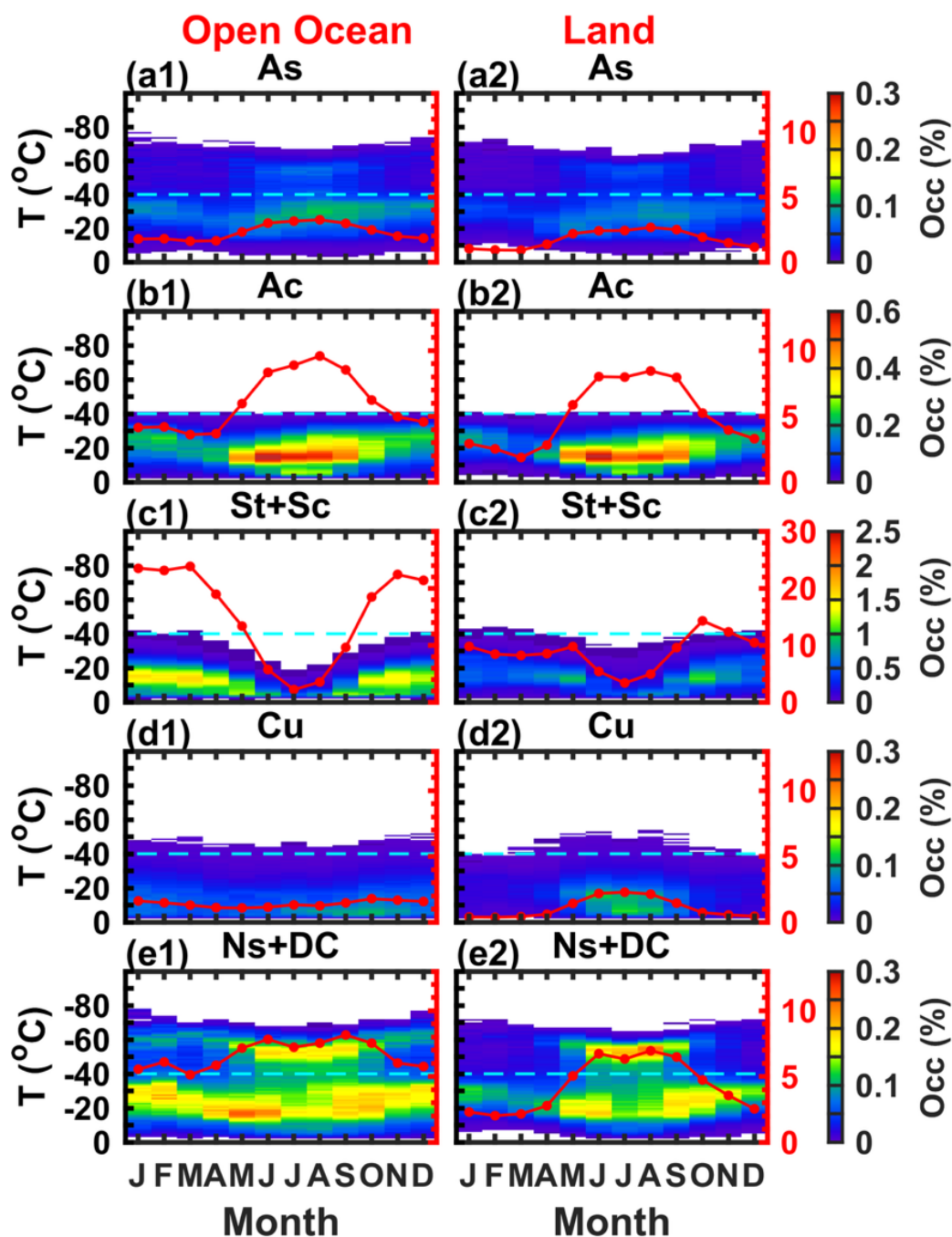


Figure 8: Monthly regional mean mixed-phase cloud occurrence as a function of cloud top temperature for the five cloud types over 45°N–75°N. Rows a–e correspond to As, Ac, St+Sc, Cu, and Ns+DC, respectively. Columns 1 and 2 show results over open ocean and land within this latitude band. The x-axis represents the months from January to December, and the left y-axis shows cloud top temperature (°C) at 1 °C resolution. Color shading indicates the monthly regional mean occurrence as a function of cloud top temperature, while the red curves referenced to the right y-axis show the monthly regional mean mixed-phase cloud occurrence integrated over all cloud top temperatures. The horizontal cyan dashed lines mark the –40 °C level.



560 Figure 8 shows the annual cycle of mixed-phase cloud occurrence in the 45°N–75°N latitude band, combining the monthly mean occurrence integrated over all cloud top temperatures (red curves) with the temperature-resolved occurrence distributions (color shading). This view provides information not shown in Figs. 5–7 by separating changes in total occurrence from changes in the preferred cloud top temperature range, while also highlighting land/open ocean contrasts within the same latitude band. Overall, St+Sc shows the strongest open ocean dominance and cold-season enhancement, As and Ac show a clear warm-season increase over both surfaces, and Ns+DC exhibits a broad cloud top temperature distribution with distinct land/open ocean differences in seasonality.

St+Sc (Fig. 8 row c) shows the strongest open ocean dominance and the largest seasonal cycle among the five cloud types, although land and open ocean occurrence become more comparable in summer. Over the open ocean, the monthly mean mixed-phase St+Sc occurrence integrated over all cloud top temperatures increases from about 2–6 % in summer to about 24–25 % in winter. Over land, the occurrence is much smaller overall, generally about 3–6 % in summer and about 8–12 % in other seasons, but its annual cycle differs from that over the open ocean by showing two local maxima, one in May and another in October. The temperature-resolved occurrence also shows a clear seasonal evolution that differs between open ocean and land. Over the open ocean, summer occurrence is concentrated mainly near about –5 °C, whereas from autumn through winter it broadens and peaks more strongly between about –10 and –20 °C. Over land, the occurrence is smaller overall, but during the cold season it extends across a broader range, roughly from about –10 to –30 °C. Thus, the strong annual cycle reflects primarily a large change in total occurrence, together with a seasonal shift from warmer cloud top temperatures in summer toward colder and broader temperature distributions in the cold season. The much stronger oceanic cycle is consistent with the dominant influence of air-sea thermal contrast and surface turbulent heat and moisture fluxes on high-latitude marine boundary layer mixed-phase clouds. Over land, the weaker but broader cold-season distribution likely reflects stronger surface stability and generally drier boundary layer conditions (Shupe et al., 2006; Zhao and Wang, 2010; Morrison et al., 2012).

As and Ac (Fig. 8 rows a and b) both show clear warm-season enhancement, but Ac has substantially larger mixed-phase occurrence than As in all months. For Ac (Fig. 8 row b), the monthly mean mixed-phase occurrence integrated over all cloud top temperatures increases from about 4–5 % in winter to about 8–10 % in summer over the open ocean, and from about 2–4 % in winter to about 8–9 % over land. Its temperature-resolved occurrence is concentrated mainly between about –30 and –10 °C for much of the year, while during the warm season it extends to warmer cloud-top temperatures near about –5 °C. The summer enhancement occurs across much of this range over both land and open ocean, with the strongest occurrence generally around about –20 to –10 °C. Mixed-phase As (Fig. 8 row a) shows a similar but weaker annual cycle, increasing from about 1–2 % in winter to about 3–4 % in summer, but its occurrence is centered at colder cloud-top temperatures than Ac and extends into temperatures colder than –40 °C, especially during the warm season. Thus, within this latitude band, the seasonal cycle of Ac is expressed mainly as a summer increase in total occurrence within a broadly similar mixed-phase temperature range, with a warm-season extension toward warmer cloud-top temperatures and the strongest



enhancement concentrated between about -20 and -10 °C, whereas As shows both a summer increase in occurrence and a stronger contribution from cloud tops colder than -40 °C. The relatively modest land/open ocean contrast, especially compared with St+Sc, is consistent with these mid-level cloud types being less directly controlled by local surface fluxes and more strongly linked to seasonal changes in mid-tropospheric moisture and synoptic-scale cloud systems (Field et al., 2014; Tselioudis, 2020).

Ns+DC (Fig. 8 row e) exhibits mixed-phase occurrence across the broadest cloud top temperature range, spanning both relatively warm cloud tops (about -40 to -10 °C) and colder cloud tops below -40 °C. Over land, the monthly mean mixed-phase occurrence integrated over all cloud top temperatures increases from about 2–3 % in winter to about 6–7 % in summer, indicating a pronounced warm-season enhancement. Over the open ocean, occurrence is generally higher in all months, typically around 5–8 %, and varies more gradually through the year, remaining about 1 % higher than over land in summer and about 3 % higher in winter and spring. The temperature-resolved distributions further show that, for cloud tops warmer than -40 °C, oceanic occurrence exceeds land occurrence in most months, especially during the cold season, while the preferred temperature band shifts from around -30 °C in winter toward about -20 °C in summer over both land and open ocean. For cloud tops colder than -40 °C, however, land occurrence exceeds open ocean occurrence in summer, whereas the open ocean is higher during the rest of the year. Part of this seasonal cycle also reflects zonal migration: Fig. 6 e1 shows that the colder-than -40 °C Ns+DC occurrence is concentrated mainly between about 30°N and 65°N , so its contribution to the 45°N – 75°N mean depends both on seasonal changes in occurrence and on how much of that colder-top band shifts into or out of the latitude range. Thus, Fig. 8 shows that the seasonal cycle of Ns+DC within this latitude band involves not only a change in total occurrence but also a change in how occurrence is distributed between cloud tops warmer and colder than -40 °C, with the continental warm-season enhancement standing out most clearly in the colder-than -40 °C regime. This behavior is consistent with a much stronger summer enhancement of continental deep convective cloud systems, whereas over the open ocean Ns+DC occurrence remains more persistent through the year because a moister marine environment supports mixed-phase precipitating cloud systems associated with the storm track (Field et al., 2014; Shaw et al., 2016).

Cu (Fig. 8 row d) contributes only a small fraction of total mixed-phase occurrence, but it shows a clear land/open ocean contrast. Over land, the monthly mean mixed-phase occurrence integrated over all cloud top temperatures increases from less than 0.5% in winter to about 2.5% in summer, indicating a pronounced warm-season enhancement. Over the open ocean, occurrence is generally around 1–2 % throughout the year, so it exceeds land occurrence in winter but shows a much weaker seasonal cycle. The occurrence is concentrated mainly at temperatures warmer than about -20 °C, with the strong warm-season enhancement over land. Thus, within this latitude band, mixed-phase Cu varies more strongly seasonally over land than over open ocean. This contrast is consistent with stronger summer surface heating and boundary layer destabilization over land, while over the open ocean the weaker annual cycle likely reflects a weaker seasonal contrast in marine boundary layer forcing and shallow convection (Eastman and Warren, 2014).

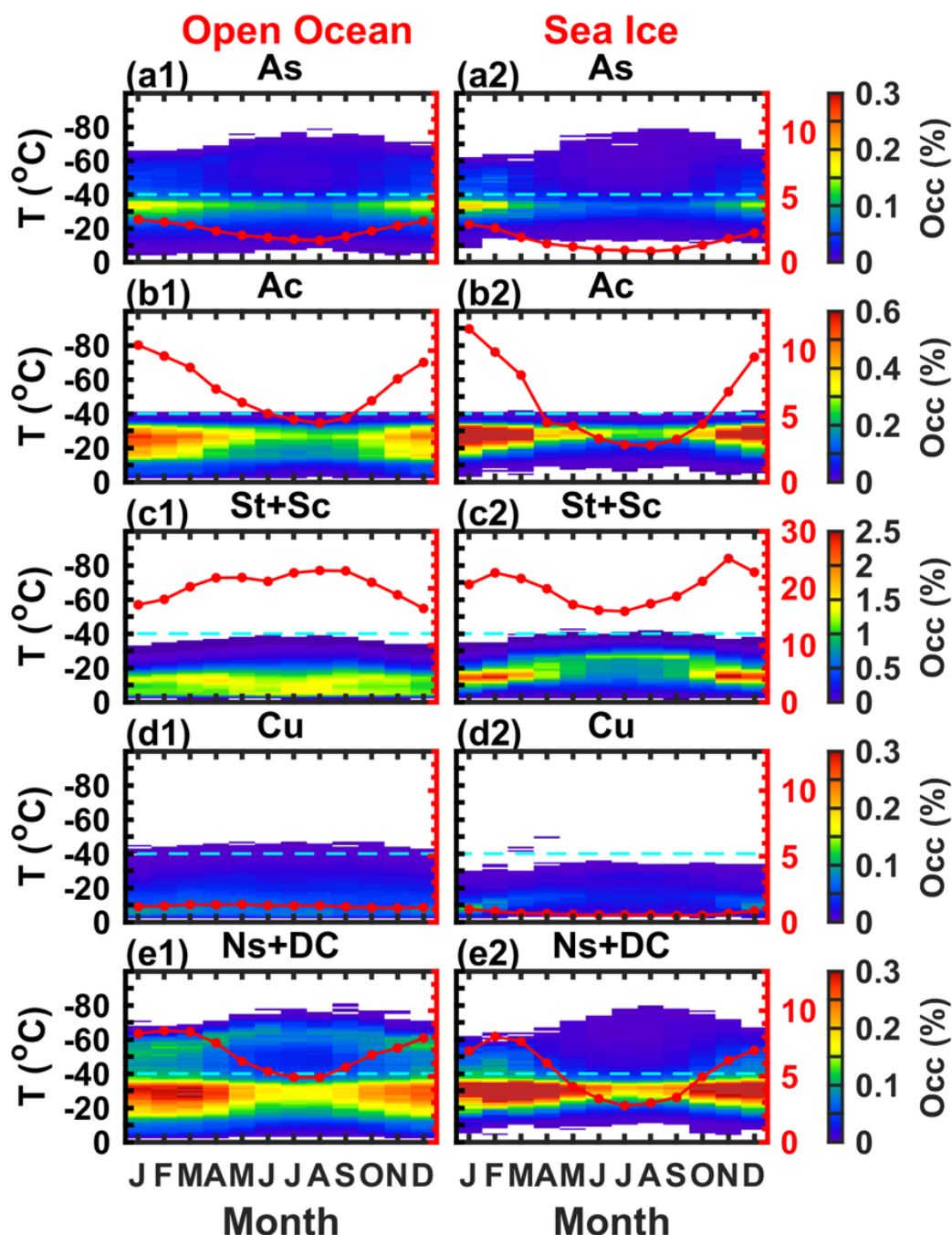


Figure 9: Monthly regional mean mixed-phase cloud occurrence as a function of cloud top temperature for the five cloud types over 45°S–75°S. Rows a–e correspond to As, Ac, St+Sc, Cu, and Ns+DC, respectively. Columns 1 and 2 show results over open ocean and sea ice within this latitude band. The x-axis represents the months from January to December, and the left y-axis shows cloud top temperature (°C) at 1 °C resolution. Color shading indicates the monthly regional mean occurrence as a function of cloud top temperature, while the red curves referenced to the right y-axis show the monthly regional mean mixed-phase cloud occurrence integrated over all cloud top temperatures. The horizontal cyan dashed lines mark the –40 °C level.



Similar to Fig. 8, Fig. 9 shows the annual cycle of mixed-phase cloud occurrence, but here for the 45°S–75°S latitude band over open ocean and sea ice.

St+Sc (Fig. 9 row c) accounts for the largest mixed-phase occurrence over both open ocean and sea ice, but its annual cycle differs clearly between the two surfaces. Over the open ocean (Fig. 9 c1), the monthly mean mixed-phase St+Sc occurrence integrated over all cloud-top temperatures remains relatively high in all months, with values around 22–23 % from April to October and lower values of about 16–18 % during austral summer. In contrast, over sea ice (Fig. 9 c2), the integrated occurrence shows a somewhat stronger annual cycle, peaking at around 26% in November and decreasing to about 16–18 % during austral winter. The temperature-resolved occurrence also differs between the two surfaces. Over open ocean (Fig. 9 c1), occurrence is concentrated mainly between about –20 and 0 °C throughout the year, with a JJA enhancement between about –10 and 0 °C and a modest extension toward temperatures colder than –20 °C. Over sea ice (Fig. 9 c2), austral summer occurrence is more narrowly concentrated between about –20 and –10 °C, whereas from about April to October it broadens substantially and extends to colder cloud top temperatures, down to roughly –30 °C. Therefore, Fig. 9 shows that open ocean supports persistently high St+Sc occurrence year-round, while over sea ice the annual cycle is expressed through both a summer narrowing and a cold-season broadening of the cloud-top temperature distribution, together with a moderate reduction in total occurrence during the colder months. This contrast is consistent with the more continuous surface heat and moisture supply over open ocean, which helps maintain frequent liquid-topped low-level mixed-phase clouds. Over sea ice, reduced turbulent fluxes due to high albedo and insulation cause lower occurrence and colder cloud tops in winter, whereas partial summer melting enhances surface fluxes and increases occurrence ((Shupe et al., 2006; Mioche et al., 2015; Cesana et al., 2024).

Ac and As (Fig. 9 rows a and b) both show a broad warm-season enhancement over open ocean and sea ice, with Ac much more frequent than As. For Ac (Fig. 9 row b), the monthly mean mixed-phase occurrence integrated over all cloud-top temperatures is generally about 5–10 % over open ocean and about 3–12 % over sea ice, with both surfaces reaching a clear maximum in January and remaining relatively high from December to March. The occurrence over open ocean is higher in most months, although the contrast becomes small in austral summer and the occurrence over sea ice is comparable to, or slightly higher than, that over open ocean in December–January. The temperature-resolved occurrence over open ocean is concentrated mainly between about –30 and –10 °C, whereas over sea ice it is more tightly confined, especially during JJA, when the strongest occurrence is centered near about –30 to –20 °C. Mixed-phase As (Fig. 9 row a) is much weaker overall, generally around about 1.5–3.5 % over open ocean and about 1–3 % over sea ice, and is centered at colder cloud top temperatures than Ac, mostly near about –40 to –25 °C, with only weak occurrence extending to temperatures colder than –40 °C. Thus, Fig. 9 shows that, for these mid-level cloud types, the open-ocean versus sea-ice contrast is expressed less through a change in the timing of the seasonal maximum and more through lower occurrence over sea ice, especially in austral winter, together with a colder and more tightly confined cloud top temperature distribution. This contrast is consistent with stronger thermodynamic constraints over sea ice, where a colder, more stable, and drier environment limits the



665 formation and persistence of mid-level mixed-phase clouds, while the warm-season enhancement over both surfaces likely reflects the seasonal strengthening of mid-tropospheric cloudiness associated with baroclinic activity over the Southern Ocean (Listowski et al., 2019; Dietel et al., 2024).

For Ns+DC (Fig. 9 row e), the annual cycle shares some similarities with those of Ac and As (Fig. 9 rows a and b), with lower integrated occurrence in austral winter and higher occurrence in austral summer, but it differs in that cloud tops colder
670 than -40°C make a clearer contribution, especially over open ocean. Over open ocean (Fig. 9 e1), the monthly mean mixed-phase occurrence integrated over all cloud-top temperatures is generally about 5–8 %, with somewhat higher values in summer and early autumn. Over sea ice (Fig. 9 e2), occurrence shows a stronger annual cycle, with similarly high summer values of about 8% but lower winter values near about 3%. For cloud tops warmer than -40°C , the temperature-resolved distributions over both surfaces show a narrower cold-season distribution, similar to the mid-level cloud types. The key
675 difference is that Ns+DC also includes a colder-than -40°C component, which is much more prominent over open ocean and notably reduced over sea ice, especially outside the warm season. This open-ocean enhancement of the coldest-top Ns+DC clouds is consistent with greater oceanic heat and moisture supply that supports deeper precipitating systems within the Southern Ocean storm-track environment (Haynes et al., 2011; Bodas-Salcedo et al., 2016). In contrast, mixed-phase Cu (Fig. 9 row d) remains infrequent over both open ocean and sea ice, with occurrence generally around 1–1.5 % over open
680 ocean and about 0.5–1 % over sea ice. The temperature-resolved occurrence suggests a weak warm-season enhancement at cloud-top temperatures warmer than about -15°C , but the main surface contrast in this latitude band is the lower occurrence of mixed-phase Cu over sea ice.

Overall, Fig. 9 shows that, within 45°S – 75°S , surface type strongly modulates both the total occurrence and the cloud-top temperature distribution of mixed-phase clouds. The open-ocean versus sea-ice contrast is especially clear for low-level
685 stratiform clouds and for the colder-top portion of Ns+DC, highlighting the strong influence of underlying surface conditions on mixed-phase cloud occurrence over the Southern Ocean. Compared with the NH ocean in Fig. 8, the open Southern Ocean shows higher and less seasonally variable mixed-phase St+Sc occurrence. This is consistent with the more persistent marine boundary-layer cloud regime over the open Southern Ocean, driven by stronger westerlies and storm tracks, lower concentrations of ice-nucleating particles that slow the rate of glaciation, and sustained air-sea heat and moisture fluxes
690 (McCoy et al., 2015; Bodas-Salcedo et al., 2016; Haynes et al., 2011).

4 Summary and discussion

Using CloudSat/CALIPSO observations, this study presents a global, cloud-type-dependent climatology of mixed-phase cloud. The global mean occurrence of identified mixed-phase clouds is 18.7 %, with substantial variability across cloud types, regions, and seasons. Low-level stratiform clouds (St+Sc) dominate mixed-phase occurrence at high latitudes, with
695 local occurrence exceeding 40 % over the Southern Ocean and the GIN seas, whereas Ac and Ns+DC contribute most strongly in midlatitude storm-track regions and convectively active tropical regions. Most mixed-phase cloud tops occur at



temperatures warmer than -40°C , but As and especially Ns+DC also show occurrence with cloud tops colder than -40°C , reflecting vertically deep cloud systems whose tops extend into colder-than -40°C levels while substantial portions of the cloud remain within the mixed-phase temperature range. At a given cloud-top temperature, the dominant cloud phase differs substantially among cloud types and between regions, indicating that cloud-top temperature alone does not uniquely determine mixed-phase occurrence or phase partitioning.

The seasonal and regional analyses further show that mixed-phase cloud occurrence depends strongly on cloud type and is modulated by both large-scale circulation and underlying surface conditions. In the tropics, mixed-phase occurrence in Ac and Ns+DC shifts with the seasonal migration of the ITCZ. In the midlatitudes, both cloud types are linked to storm-track activity, but Ac extends farther poleward than Ns+DC in the NH and is concentrated mainly at cloud top temperatures warmer than -40°C , whereas Ns+DC spans a broader cloud-top temperature range that includes a distinct colder-than -40°C component. Surface-dependent contrasts are also clear. In the NH $45^{\circ}\text{--}75^{\circ}\text{N}$ band, St+Sc shows the strongest land/open ocean contrast: over open ocean, its monthly mean occurrence increases from about 2–6 % in summer to about 24–25 % in winter, whereas over land it remains much lower, generally about 3–6 % in summer and about 8–12 % in the other seasons. In the SH $45^{\circ}\text{--}75^{\circ}\text{S}$ band, the open ocean versus sea ice contrast is particularly strong for St+Sc and for the colder-than -40°C portion of Ns+DC. Over the open Southern Ocean, St+Sc remains relatively high year-round, with values around 22–23 % from April to October and about 16–18 % in austral summer, whereas over sea ice it shows a somewhat stronger annual cycle, peaking near 26 % in November and decreasing to about 16–18 % in austral winter. For Ns+DC, the colder-than -40°C component is much more prominent over open ocean and is notably reduced over sea ice, especially outside the warm season.

These findings emphasize that mixed-phase cloud climatology cannot be fully characterized without accounting for cloud type. The strong cloud-type dependence likely reflects fundamental differences in dynamics, moisture supply, thermodynamic structure, and the relative roles of key microphysical processes. St+Sc is closely linked to boundary-layer coupling and liquid-topped low-level mixed-phase structure, whereas As and Ac are more closely associated with synoptic-scale ascent and mid-level stratiform cloud structure. Ns+DC represents precipitating cloud systems that are often vertically extensive and span a broad range of cloud top temperatures. In this sense, the present results provide a global observational view not only of cloud-type dependence, but also of mixed-phase clouds associated with different vertical liquid/ice structures.

The results also have implications for model evaluation. Persistent model biases in mixed-phase clouds, particularly the tendency to glaciate them too readily, are often assessed using temperature-dependent or bulk phase statistics alone. The present cloud-type-dependent analysis suggests that such biases may also usefully be examined by cloud type, because the observed contrasts among St+Sc, Ac, As, Ns+DC, and Cu imply distinct controlling processes and therefore likely different sensitivities to parameterized cloud microphysics. Cloud-type-resolved observational constraints may therefore provide a more physically informative benchmark for evaluating and improving mixed-phase cloud parameterizations than statistics aggregated across all cloud types. (Tan et al., 2016; Korolev et al. 2017; Hofer et al., 2024).



Several limitations should be acknowledged. Cloud phase classification depends on the combined sensitivities of radar, lidar, and temperature information, and thin liquid layers or small ice particles may be missed under some conditions. In addition, CloudSat's sun-synchronous orbit limits sampling to two local times, so the resulting climatology represents conditional rather than full diurnal sampling. Uncertainties in auxiliary datasets may affect some quantitative estimates, but they are unlikely to alter the robust cloud-type-dependent patterns highlighted here.

Overall, the results demonstrate the value of explicitly resolving cloud-type differences in mixed-phase cloud climatology. Future work should extend this framework to cloud phase partitioning, vertical liquid/ice structure, and environmental controls, with the goal of providing improved observational constraints for the representation of mixed-phase clouds in climate models.

740 **Data availability**

The CloudSat products are available from CloudSat Data Processing Center, <https://www.cloudsat.cira.colostate.edu/data-products>. The MERRA-2 `tavg1_2d_ocn_Nx` product can be obtained from https://disc.gsfc.nasa.gov/datasets/M2T1NXOCN_5.12.4/summary.

Author contributions

745 K.Y. and Z.W. developed the ideas and designed the study. K.Y. performed the analysis and prepared the draft manuscript. Z.W. and M.D. supervised and reviewed the manuscript. All authors made substantial contributions to this work and approved the final version of the manuscript.

Competing interests

The authors declare that they have no conflict of interest.

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