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Coupling between sub-mesoscale eddies, internal waves, and turbulence in the deep Mediterranean: A spectral investigation

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25   **Abstract.** Interaction between energy-abundant (sub-)mesoscale eddies and internal waves can lead to

26   turbulence generation and may prove important for replenishment of nutrients for deep-sea life and

27   circulation. However, observational evidence of such interaction is scarce and precise energy transfer is

28   unknown. In this paper, an extensive spectral study is reported using mooring data from nearly 3000

29   high-resolution temperature sensors in about half-a-cubic hectometer of seawater above a deep flat

30   Northwestern-Mediterranean seafloor. The number of independent data records partially improves

31   statistics for better determination of spectral slopes, which however do not show a roll-off to the viscous

32   dissipation range of turbulence. The spectra hardly show power-laws ω^p having exponent $p = -5/3$

33   representing an inertial subrange that evidences shear-induced isotropic turbulence. Instead, they are

34   dominated by $p = -7/5$ representing a buoyancy subrange, which evidences convection-induced

35   anisotropic turbulence. In contrast with $p=-5/3$ that indicates a downgradient cascade of energy, $p=-7/5$

36   characterizes by an ambiguous cascade direction. At height $h<50$ m above seafloor, $p=-7/5$ is found

37   adjacent to instrumental noise. The $p=-7/5$ is also found in the sub-mesoscale/internal wave band that is

38   elevated in variance by one order of magnitude. It is reasoned that this sub-inertial range cannot

39   represent isotropic motions, hence $p \neq -5/3$ at all heights, and a new deep-sea energy cascade is proposed

40   between mesoscales and turbulence dissipation. Only higher up in more stratified waters an inertial

41   subrange is formed. The transition from internal waves into large-scale turbulence follows $p = -2$, while

42   a higher-frequency transition from 0 to π phase change reflects overturns of slanted convection or

43   standing-wave breaking leading to isotropic turbulence.

44

1 Introduction

In a stably stratified environment like the sun-heated ocean, downward pulses of warm water seem impossible in terms of irreversible turbulent convection. 'Natural, buoyancy-driven convection' (e.g. Dalziel et al., 2008) applies to denser waters moving down and less dense waters moving up. In terms of temperature variations, this implies cooler waters moving down and warmer up. (An execption can occur when the other major contributor to ocean-density variations dominates over temperature variations: If downward moving warm waters are saltier than their environment, and vice versa for upward motions). In contrast with day-time atmosphere dynamics, in the ocean such turbulent convection may occur regularly under limited conditions: in the upper 10 m near the surface during nighttime (Brainerd and Gregg, 1995), and possibly in the lower 100 m above the seafloor due to general geothermal heating (e.g., Pasquale et al. 1996), depending on the local stratification. It can also occur as 'deep dense water formation' after specific preconditioning near the surface in localized areas like polar seas and the Mediterranean during brief irregular periods of about a week. While occurring during most winters down to several 100's of meters, only once every decade or so newly formed dense waters go down all the way to >2000 m deep seafloors (Rhein, 1995; Dickson, 1996; Mertens and Schott, 1998). Its convection turbulence has thus far not been directly observed in the deep sea (Thorpe, 2005).

One of the potential results, in fact evidence, of convection is the development of two-dimensional '2D' eddies, which also associate with front(al collapse), and which have horizontal scales O(0.1-10) km in the ocean: sub-mesoscales (e.g., Taylor and Thompson, 2023). (While eddies are characterized here as 2D rather than 3D because of their small aspect ratio << 1, it is acknowledged that they generally have stronger flows in more stratified waters near the surface than in the deep sea). Sub-mesoscale eddies are found ubiquitous in the Western Mediterranean (Gascard, 1978; Testor and Gascard, 2006) and are suggested to interact with larger scale features such as continental boundary flows. In this region, other mechanisms may lead to sub-mesoscale eddies as well, when deep dense water is not formed. For example, the same boundary flows may spin off eddies, at mesoscale with typical 10-20 day periodicity (Crepon et al., 1982; van Haren et al., 2011), which may break up to sub-mesoscales.

The region also shows extensive generation of near-inertial waves, which under conditions of weakly stratified waters lead to slantwise convection, as has been proposed in general (Marshall and Schott,

73   1999; Straneo et al., 2002) and inferred from shipborne profiling observations in the open Western

74   Mediterranean (van Haren and Millot, 2009). The convection may develop to irreversible 3D turbulence,

75   while vertical (opposite-to-gravity) density profiles appear stably stratified. Under such conditions, the

76   traditional internal-wave bounds [f, N] of inertial $f = 2\Omega\sin\varphi$ and buoyancy N frequencies extend to

77   inertio-gravity wave IGW bounds [ω_{min} ω_{max}] (LeBlond and Mysak, 1978). Here, φ denotes latitude, and

78   Ω the Earth rotational frequency. The $\omega_{min}<f$ and $\omega_{max}>2\Omega,N$, whichever is largest, are functions of N,

79   φ and direction of wave propagation (LeBlond and Mysak, 1978; Gerkema et al., 2008),

80        $\omega_{max}, \omega_{min} = (A \pm(A^2-B^2)^{1/2})^{1/2}/\sqrt{2}$,   (1)

81   in which $A = N^2 + f^2 + f_s^2$, $B = 2fN$, and $f_s = f_h\sin\alpha$, $f_h = 2\Omega\cos\varphi$ and α the angle to φ. For $f_s = 0$ or $N \gg$

82   2Ω, the [f, N] are retrieved from (1).

83   Internal-wave bounds may also vary due to (sub-)mesoscale motions. Local time- and space-varying

84   horizontal waterflow (U, V) differences such as in meanders and eddies can generate relative vorticity

85    $\zeta = \partial V/\partial x - \partial U/\partial y$, so that the effective inertial frequency reads (Kunze, 1985),

86        $f_{eff} = (f^2 + f\zeta - \partial U/\partial x\cdot\partial V/\partial y)^{1/2}$.   (2)

87   For weak $\zeta < 0.2f$, (2) can be approximated by $f_{eff} \approx f + \zeta/2$ (Mooers, 1975; Kunze, 1985), but this is

88   probably not relevant for the Northwestern Mediterranean where $|\zeta| = f/2$ are reported from mid-depth

89   drifter observations (Testor and Gascard, 2006). When $f_{eff} < f$, relative vorticity is dominated by

90   anticyclonic motions, while for $f_{eff} > f$ cyclonic motions dominate.

91   A reversible, also 3D, process occurs when internal-wave motions displace the stably-stratified

92   environment (e.g., LeBlond and Mysak, 1978). Such motions may displace relatively warm waters

93   downward during a particular wave-phase, and cooler waters up. However, such displacements will not

94   overturn irreversibly and thus not vertically mix different water masses.

95   A combination of the two 3D processes was observed in fresh-water alpine Lake Garda, where in the

96   weakly stratified waters underneath internal waves turbulent convection appeared (van Haren and

97   Dijkstra, 2021). It was suggested that this convection was either generated via shear displacing

98   convection tubes slantwise, or via wave-accelerations overcoming the density differences in reduced

99   gravity instead of overcoming gravity as in natural convection. However, precise coupling interactions

100   between above 3D motions and turbulence are still unknown, despite historic suggestions (e.g.,

101   Ozmidov, 1965a).

102   A universal surface-ocean [kinetic] energy spectrum was proposed by Ozmidov (1965a). As shown

103   in Fig. 1a, a limited number of peaks representing energy sources like large basin-scale circulation,

104   inertial motions and tides, and wind waves were connected with power-laws of different levels but with

105   a single exponent $p = -5/3$ representing unidirectional downscale energy cascade (Kolmogorov, 1941).

106   In this paper, observational investigations of convective water pulses are further pursued that occur

107   frequently in the deep Western Mediterranean. The attempt is to better understand the deep-sea energy

108   cascade including from sources like (sub-)mesoscale motions that were not considered in Ozmidov

109   (1965a). The observations are made with the aid of a large 3D mooring-array holding nearly 3000 high-

110   resolution temperature 'T'-sensors on 45 closely spaced vertical lines (Fig. 1b). Three current meters

111   were added to verify (2) on small horizontal scales of about 50 m. The original aim was to try to capture

112   deep dense-water events, but these were not found in 20-month long records that included two winters.

113   Here, the focus will be on frequency (ω) spectral analysis, which was motivated by a re-analysis of

114   historic upper-sea current-meter mooring data from the region (van Haren, 2025). While the historic

115   summer data were characterized by a larger near-inertial peak in kinetic energy, the more energetic

116   winter data showed a power-law ω^p with exponent of about $p = -2.2$, across sub-mesoscale and internal

117   wave bands. The same slope was found in the summer-data, at sub-inertial frequencies mainly. Coarsely,

118   such a slope shows (unnamed) in the same frequency range from other ocean areas (e.g., van Aken et

119   al., 2005; Ferrari and Wunsch, 2009). As these data did not resolve the turbulence range and generally

120   have poor spectral statistics, renewed investigation is performed using data from the large 3D mooring

121   holding many sensors for expected improved statistics.

122

123   **2 Spectral scaling**

124   Depending on the predictability of the motions, an ocean-spectral peak may be <<1 order of frequency-

125   range wide, e.g. for deterministic barotropic tides, or about one-quarter order wide, e.g. for (baroclinic-

126   internal) inertial waves. Less predictable broader-range distributions of variance may be considered over

127   one or more orders wide, which are modeled by power-laws ω^p with exponents like $p = -5/3$.

128   Since Kolmogorov (1941), a generally accepted scaling model exists for part of the isotropic

129   turbulence spectrum in an inertial subrange of continual irreversible cascade of energy from large to

130   small scales. However, such a consistent model does not exist for energy cascade from (sub-)mesoscales,

131   perhaps via IGW, to turbulence in stratified waters. For the transition from meso- to sub-mesoscales,

132   spectral slopes with exponents varying from $p = -5/3$ to $p = -3$ have been suggested (Ozmidov, 1965a

133   (without source-naming); McWilliams, 2016; Storer et al., 2022). No mention has been made of scaling

134   spectra with a slope proposed by Bolgiano (1959) and Obukhov (1959) 'BO' for active-scalar buoyancy-

135   subrange convection turbulence.

136   This BO-scaling has different spectral slopes for scalars (potential energy) and kinetic energy, being

137    $p = -7/5$ and $p = -11/5$, respectively. BO-scaling has an ambiguous direction for energy cascade, at least

138   for homogeneous turbulence (Lohse and Xia, 2010). Thus, it should be contrasted with above 'KO'-

139   scaling proposed by Kolmogorov (1941) and refined by Obukhov (1949), for passive-scalar shear-

140   turbulence scales (Tennekes and Lumley, 1972; Warhaft, 2000), so that $p = -5/3$ is identical for both

141   scalars and kinetic energy. Buoyancy-driven BO-scaling associates with anisotropic turbulence, with

142   Richardson number Ri of order unity, and is not existent under neutral [no convection] conditions. It

143   contrasts with KO-scaling, which may become non-existent under sufficiently stable conditions. These

144   notions by Bolgiano (1959) suggest that KO- and BO-scaling cannot co-exist at the same location. The

145   appearance of mean dominant KO- and BO-scaling is investigated for the deep Mediterranean.

146   Other important exponents of slopes in ocean spectra are $p = -1$ for intermittency 'Im'-scaling of a

147   weakly chaotic nonlinear system (Schuster, 1984; Bak et al., 1987), and $p = -2$ 'IW-scaling' for internal

148   wave (Garrett and Munk, 1972) or finestructure contamination (Phillips, 1971; Reid, 1971).

149   We elaborate on turbulence likely induced by internal-wave breaking, via parametric instability

150   (Davis and Acrivos, 1967), slantwise convection (Straneo et al., 2002), and/or possibly via coupling

151   with sub-mesoscale eddies (e.g., Chunchuzov et al., 2021).

152

153   **3 Materials and Methods**

154   A nearly half-cubic-hectometer of seawater was sampled using 2925 self-contained high-resolution

155   NIOZ4 temperature (T-)sensors. Details of mooring layout and deployment are given in van Haren et

156 al. (2021). In summary, the sensors were taped at 2-m intervals to 45 vertical lines 125-m tall that were

157 each tensioned to 1.3 kN by a single buoy on top. The T-sensors recorded data at an interval of 2 s.

158 Three buoys held a 2-MHz single-point Nortek AquaDopp acoustic current meter recording at a rate of

159 once per 600 s waterflow and relative acoustic intensity $dI = (amp - I_{ref})*0.45$. Raw amplitudes 'amp',

160 taken relative to a background value I_{ref}, are transferred to dI with units of dB (decibels). For somewhat

161 better comparison with volume backscattering, dI are transferred to echo intensities 'rEI' with arbitrary

162 units of (backscatter) power, $rEI = 10^{dI/10}$. Both dI and rEI are used, although the former favours low-

163 over high-frequency signals compared to the latter.

164   The lines were attached at 9.5-m horizontal intervals to a steel-cable grid that was tensioned inside a

165 70-m diameter steel-tube ring (Fig. 1b). Filled with air, the ring functioned as a large float that was

166 towed to the mooring site. Filled with water, the ring was a 140-kN anchor. The ensemble 'large-ring

167 mooring' was deployed at the <1° flat and 2458-m deep seafloor of 42° 49.50′N, 006° 11.78′E just 5

168 km south of the foot of the steep continental slope of the Northwestern Mediterranean Sea, in October

169 2020. With the help from Irish Marine Institute Remotely Operated Vehicle (ROV) 'Holland I', all 45

170 vertical lines with T-sensors were cut and successfully recovered in March 2024.

171   As with all NIOZ4 T-sensors (van Haren, 2018), their individual clocks were synchronised via

172 induction to a single standard clock every 4 hours, so that all were sampled within 0.01 s. One line did

173 not register synchronisation, possibly due to an electric cable failure. Three T-sensors leaked and <10

174 were shifted in position due to a tape malfunctioning. In total 2902 out of 2925 T-sensors functioned as

175 expected mechanically. Due to unknown causes all T-sensors switched off unintentionally when the file-

176 size on the memory card reached 30 MB after 7500 start-ups for writing a 4-kB data block. It implied

177 that a maximum of 20 months of data was obtained. After post-processing, some 20 extra T-sensors are

178 not further considered due to electronics (noise) problems. Depending on the period of investigation,

179 between 50 and 150 T-sensors are not considered because of bias. Data from T-sensors failing post-

180 processing criteria are interpolated between neighbouring sensors.

181   With respect to previous NIOZ4 version, the somewhat improved electronics resulted in about twice

182 lower noise levels of 0.00003°C and twice longer battery life. As detailed elsewhere (van Haren, 2018),

183 calibration yielded a relative precision of <0.001°C. Instrumental electronic drift of typically 0.001°C

184   mo^{-1} after aging was corrected by referencing daily-averaged vertical profiles, which must be stable

185   from turbulent overturning perspective in a stratified environment, to a smooth polynomial without

186   instabilities. For reference and to establish a temperature-density relationship, a single shipborne

187   Conductivity-Temperature-Depth profile was measured locally during the deployment cruise. In

188   addition because vertical temperature (density) gradients are so small in the deep Mediterranean,

189   reference was made to periods of typically one hour duration that were homogeneous with temperature

190   variations smaller than instrumental noise level (van Haren, 2022). Such periods were found on days

191   350, 453 and 657 in the existing records. A tertiary correction involved low-pass noise filtering 'lpf' of

192   data, with cut-off frequencies between 700 and 3000 cpd (cycles per day) and 0.05 and 0.2 cpm (cycles

193   per meter).

194

195   **4 Results**

196   The 600-d data-overview time series in Fig. 2 demonstrate multiple variations with time of which a

197   variation of 10-20 days stands out. This mesoscale variation is apparent in temperature at all levels

198   between h = 1 and 125 m above seafloor (Fig. 2a) and in echo intensity measured at h = 126 m (Fig.

199   2b). It is also evident in the modulation of band-pass filtered sub-mesoscale (between [0.1 1] cpd and

200   near-inertial (between [0.95 1.05]f) waterflow amplitudes (Fig. 2c), in 45±5-m horizontal waterflow

201   differences and stratification rate (Fig. 2d), and in wind-speed squared 'wind-load' (Fig. 2e).

202   The time series in Fig. 2d of mesoscale low-pass (<0.1 cpd) filtered effective inertial frequency (2)

203   oscillates around planetary vorticity f by about ±1f. This seems a large value for the deep sea, but it

204   corresponds well with values reported for near-surface eddies (e.g., Fine et al., 2018; Yang et al., 20219).

205   It has a 600-d mean value of $<f_{eff}>$ = 0.91±0.9f, and anticyclonic relative vorticity slightly dominates

206   over cyclonic vorticity. The f_{eff} seems to follow stratification by 4-5 days. Mean N averaged over 124

207   m and 600 days is $<N>$ = 1.0±0.6f ≈ 1.1$<f_{eff}>$.

208   Typical range values that govern the deep-sea dynamics are: Temperature variations of 0.005°C in

209   time and 124-m in the vertical that are alternated with periods when vertical differences are <0.0002°C,

210   and total waterflow amplitudes are 0.05 m s^{-1}, which is also about the maximum value for 50-m

211   horizontal flow differences. The threshold value of daily-mean 0.0002°C for 124-m vertical temperature

212   difference delineates near-homogeneous (NH) conditions, difference < threshold, from stratified-water

213   (SW) conditions, difference > threshold. Spectral analyses partially focus on 600-d averages, and

214   partially on the distinction between these two conditions, represented by two periods of 17 days long,

215   which is about the longest consecutive period under a single condition in the 600-d record.

216

217   4.1 Statistical improvement?

218   Prior to exploring various spectral analyses including vertical and horizontal coherence and cross-

219   correlations, the mooring-array's improvement of statistics in reducing spectral uncertainty is explored.

220   Fig. 3a displays spectra for a period of 17 days under SW-conditions, varying from nearly unsmoothed,

221   except for application of a single modified-Kaiser taper window (Parks and Burrus, 1987), to a range of

222   different averaging. The single T-sensor's spectrum has a large statistical-uncertainty width. This

223   apparent variance variation is an optical illusion due to the logarithmic plotting of the x-axis; the

224   statistical uncertainty is equally spread over all frequencies.

225   The equally-spread statistical uncertainty is substantially reduced, spectrally smoothed, when the

226   single T-sensor's spectrum is averaged with that of data from all remaining independent T-sensors of

227   the same mooring line. However, further reduction in variance variation by averaging with that of data

228   from other vertical lines, or over longer more variable periods, is not equally spread over frequencies.

229   Most-improved statistics upon smoothing using multiple independent data records is found near the

230   Nyquist frequency, where the smoothing closely matches that of a quasi-random distribution of spectral

231   values (Jenkins and Watts, 1968). Around $\omega = f$, increased smoothing hardly reduces spectral uncertainty

232   when data from multiple T-sensors are averaged. For the smoothed spectra, the width of variance

233   variations is narrowest, most reduced around $\omega_{nar} = 350$ cpd. Apparently at $\omega > \omega_{nar}$, incoherent, isotropic

234   motions of equal-sized components [u, v, w] are found for which spectral variance complies with quasi-

235   random distributions. Consequently at $\omega < \omega_{nar}$, more coherent, anisotropic motions are expected.

236   The effect of T-sensor bias on spectral content is small under SW, due to relatively large temperature

237   variance, but reflects a slight diversion of the spectral slope starting at about ω_{nar}. This is demonstrated

238   after application of a vertical lpf_z with cut-off at 0.1 cpm. Further smoothing involving all independent

239   T-sensors from all 45 lines changes the spectral width over that of one line only by <30% for the low-

240   frequency range $\omega \sim< 30$ cpd, while reducing by $85\% \approx (1 - 45^{-1/2})/100$ for $\omega > \omega_{nar}$ commensurate with

241   random statistics. Only spectral-band smoothing, the averaging of variance of neighbouring frequency

242   bands, substantially reduces variance variations by a factor of two around $\omega = f$. However, band-

243   smoothing does not treat the T-sensors as independent instruments, but rather their mean spectral values

244   as independent per frequency band.

245   The effect of short-term bias removal via lpf_z is more important, and more necessary, under NH than

246   under SW. The effect is best visible in a coherence spectrum (Fig. 3b). The example for 10-m vertical

247   coherence demonstrates a shift by maximum half an order of magnitude towards higher frequencies for

248   filtered records compared to unfiltered records.

249

250   **4.2 Lag-correlation analysis**

251   Yearlong lag-correlation analysis has been performed between vertical temperature difference and

252   various quantities. Normalized correlation values are shown in Fig. 4. Wind speed correlates reasonably

253   with temperature with an advance of about 5 days (Fig. 4a). This same advance is observed for

254   mesoscale relative vorticity in (anticyclonic-dominated) $-f_{eff}$, or a delay of about 4.5 days for cyclonic-

255   dominated f_{eff} (Fig. 4d). As a result, temperature (difference) and mesoscale f_{eff} are in quadrature, while

256   wind speed is in phase with $-f_{eff}$ and in anti-phase with f_{eff}. Approximately in-phase and more correlated

257   with temperature (difference) are sub-mesoscale waterflow speeds, with a small advance of 0.7 days

258   (Fig. 4c), while in anti-phase and less correlated are near-inertial waterflow speeds, with a delay of 1.2

259   days (Fig. 4b). Strictly in-phase with delay 0 is most correlated relative acoustic echo intensity (Fig. 4e),

260   which implies that small particles are transported by dominant convective motions of active

261   (temperature) scalars, or the acoustics reflect turbulence intensity.

262   The inference of the lag-correlation analysis is that acoustic echo intensity may be used as a, noisy,

263   proxy for temperature differences. They directly reflect sub-mesoscale motions, but inversely near-

264   inertial motions that are more associated with near-homogenous conditions under anticyclonic-

265   dominated mesoscale relative vorticity that is directly forced by wind.

266   Whilst the temperature differences and zero-lag associated signals reasonably correlate with wind at

267   the sea surface, the 5-day delay suggests a baroclinic response. Most puzzling is mesoscale relative

268   vorticity, with approximately 5-day advance for anticyclonic-dominated motions suggesting a

269   barotropic response to wind, and 5-day delay for cyclonic-dominated motions suggesting a delayed

270   baroclinic response. As near-inertial motions associate with anticyclonic mesoscale motions, a trapping

271   of the former by the latter is suggested as proposed by Kunze (1985). Sub-mesoscale and near-inertial

272   motions are in quadrature and do not dominantly co-exist. As a result, mesoscale and near-inertial

273   motions act like wind-driven sources in the deep-sea interior, with a transfer of energy to sub-mesoscale

274   and possibly non-inertial internal wave motions.

275

276   4.3 An indication for possible sources of energy

277   Prior to the investigation of spectral power-laws, some information is extracted from limited cross-

278   spectral information (Fig. 5). The exercise is limited because we only have waterflow measurements at

279      $h = 126$ m above seafloor, which can be correlated with nearest T-sensor at $h = 125$ m, and because the

280   waterflow measurements reach noise levels at $\omega > 3$ cpd, thereby barely resolving the IGW-band [ω_{min},

281   ω_{max}] for overall mean $N = 1.35f$. Nevertheless, the result is reasonably consistent between the three

282   possible U-T pairings, of which only the least-resolved vertical one is shown, in average for the 600

283   days of T-sensor observations (Fig. 5).

284   All three cross-spectra wT show multiple sign changes at sub-mesoscales and clear negative vertical

285   fluxes around 0.07 cpd at mesoscales and about 0.15 cpd at large sub-mesoscales. Differences between

286   the three sets of instrumentation exist, but consistency is found around these frequencies. Assuming that

287   the 600-d average is dominated by SW conditions with stable positive vertical temperature gradient,

288   negative wT implies downgradient flux contribution, at mesoscales and lage-sub-mesoscales.

289   Ambiguously-directed down- and up-gradient fluxes are found at higher-frequency sub-mesoscales, 0.3

290   cpd $< \omega < \omega_{min}$, and IGW frequencies, $\omega_{min} < \omega < \omega_{max}$, albeit with net upgradient fluxes for about 0.3

291   cpd $< \omega < f$.

292   Downgradient fluxes transport heat from larger to smaller scales such as in the turbulence inertial

293   subrange, and here suggest large sub-mesoscale motions as a dominant source of energy. Counter-(up-

294   )gradient fluxes are interpreted as restratification, and here suggest mesoscale, large sub-mesoscale and

295   IGW (near-inertial motions) partially acting as source of energy. Countergradient internal-wave band

296   fluxes were previously observed above steeply sloping topography for 2-day (van Haren et al., 1994)

297   and 20-day (Gemmrich and van Haren, 2002) average data.

298

299   **4.4 Power spectra under SW- and NH-conditions**

300   At the large-ring mooring site, temperature variance and coherence spectra are rather featureless (Fig.

301   3a). No distinct spectral peaks, such as at f or at semidiurnal frequency 2Ω stand out, and no spectral

302   gaps are found. This implies that all signals distribute their energy over rather broad frequency ranges,

303   instead of over narrow ranges like deterministic signals do, such as tides. An exception may be near-

304   inertial kinetic energy KE(f). It also implies that the broad-range signals may be modelled by transitions

305   over a certain frequency range after establishing their power-laws. Transitions and directionality of

306   energy transfer will depend on the value of p.

307   Before continuing with 600-d average investigations, a spectral comparison is made of 17-day

308   records under distinct SW- and NH-conditions (Fig. 6). Their mean temperature spectra differ by almost

309   two orders of magnitude in variance, but are otherwise similarly shaped at first glance. Because

310   temperature variance near the Nyquist frequency is still larger under SW conditions, albeit by only half

311   an order of magnitude, the SW spectrum has not reached instrumental noise levels.

312   In none of the spectra a steep slope ω^p, p < -3, is observed that represents the viscous dissipation

313   range before noise level, as was observed for $\omega >\sim 3000$ cpd in hundred-times more stratified NE-

314   Atlantic waters over steep topography (van Haren et al., 2016). The increase from half to two orders of

315   magnitude difference between the deep-Mediterranean T-spectra suggests some variation in spectral

316   slopes under differently stratified conditions (Fig. 6), while both show on average 4.5-d delayed

317   correlation between stratification and mesoscale vorticity in f_{eff} (Figs 2,4). Under both conditions, the

318   IGW bands show a relatively flat spectral slope, with p being about zero judging from the not band-

319   smoothed spectra, and relative peaks near their IGW-bounds.

320   Under NH conditions, stratification is very weak and occasionally unstable, with mean $N \approx 0.5f$ from

321   reordered data, so that the IGW bounds shift to lower frequencies and cover a wider frequency range

322   compared with SW conditions. At super-buoyancy frequencies, NH's T-spectrum tends to follow BO-

323   scaling $p = -7/5$, especially clear between about $50 < \omega < 400$ cpd, before roll-off to noise. No KO-

324   scaling is found in this spectrum, which suggests that convection turbulence dominates over shear

325   turbulence. Between $\omega_{max} < \omega < 50$ cpd the spectral upper-bound variance tends to follow $p = -2$, the

326   spectral slope of IW-scaling or finestructure. For most of this frequency range, internal waves cannot be

327   freely propagating, unless very thin, < 2-m, layer stratification is dominant, which is unlikely. Despite

328   the rather flat spectral slope in the IGW-band, the overall slope of the sub-mesoscale range tends to

329   follow $p = -7/5$, but uncertainties are rather large.

330   Under SW-conditions, the IGW band (for mean $N = 2.2f = 2.0f_h$ calculated from reordered data)

331   shifts to slightly higher frequencies compared to NH. The mean stratification equals that of minimum

332   stratification under linear stability subject to large-scale destabilizing shear so that local neutral stability

333   exists in the direction of Earth's rotational vector (van Haren, 2008). Nevertheless, spectral slopes are

334   quite similar to that of NH, which may reflect that over time, on average, $f_{eff} \approx N$ so that local neutral

335   stability exists in slantwise direction with nonlinear stability subject to small-scale shear, under both

336   conditions. At super-buoyancy frequencies $30 < \omega \mathbin{\sim}< 800$ cpd the spectral upper-bound variance falls

337   off with about $p = -2$. For $f < \omega < 30$ cpd it slopes with $p = -5/3$ of KO-scaling, with considerable low-

338   variance dips.

339   The associated waterflow measurements demonstrate KE spectra with relatively large noise levels at

340     $\omega > 3$ cpd, or just super-IGW, super-buoyancy frequencies. Nevertheless, these spectra demonstrate a

341   stark contrast between the two conditions at $\omega < 3$ cpd. Under NH, a near-inertial peak stands out of the

342   noise. At sub-IGW, sub-inertial frequencies $0.1 < \omega \mathbin{\sim}< 0.7$ cpd, KE rises to a variance level eventually

343   above the inertial peak. In that sub-mesoscale range, the KE-spectrum slopes with about $p = -11/5$. This

344   would imply BO-scaling as found in the T-spectrum, but uncertainties are large. Under SW-conditions,

345   the same sub-inertial rise is found in KE, except that it starts at higher variance and over a smaller

346   frequency range of about $0.1 < \omega \sim< 0.4$ cpd. In this frequency range ambiguously directed vertical flux

347   was found (Fig. 5), and elevations and depressions in KE and T alternate, consistently under both

348   conditions (Fig. 6). No distinct near-inertial peak is observed under SW, but a broad rise exists between

349   about 0.4 cpd $< \omega < f$, which is also observed in T-variance. It suggests a widening of IGW, possibly in

350   a slanted frame of reference where $N \approx 0.3f \approx 0.4$ cpd. Only at f, the KE-value under SW is lower than

351   the peak under NH-conditions. At all other frequencies, including super-buoyancy frequencies towards

352   noise, KE under SW exceeds that under NH. This supports the suggestion of a direct link between the

353   rise at (sub-)mesoscale across IGW into turbulence.

354

355   **4.5 Coherence under SW- and NH-conditions**

356   The large-ring mooring offers the possibility to investigate temperature coherence over a range of

357   vertical (dz) and horizontal (dh) length scales (Fig. 7). We distinguish SW- from NH-conditions, and

358   employ lpf affecting NH as for Fig. 2b, except when vertical 2-m scale coherence is computed which

359   scale is shorter than the filter cut-off. Different smoothing is applied by including different amounts of

360   T-sensors (lines), as indicated in the caption of Fig. 7.

361   The general observation is high coherence coh > 0.8, at sub-IGW, sub-inertial frequencies, and low

362   coh < 0.2, the 95%-statistical significance or noise level, at $\omega > 3000$ cpd. At frequencies in between, a

363   clear distinction is observed between the two conditions. Under NH, coherence uniformly transits

364   between above high and low coherence values. Under SW except for $dz = 2$ m, coherence also steadies

365   to a level of coh ≈ 0.25 at super-buoyancy frequencies between about $20 < \omega < 1000$ cpd, depending on

366   length scale, before rolling off to noise level. This is already obvious in moderately smoothed spectra.

367   At the coh = 0.25 level, coherence is about the same for dz and dh at identical length scales, which

368   suggests isotropic motions at these frequencies. The coh = 0.25 small but statistically significant non-

369   noise coherence changes hardly with length scale. It is in the frequency range with thinnest variance-

370   variation in T-spectra after smoothing (Figs 3, 6). No such non-noise coh-level was observed in the more

371   stratified and more turbulent observations above NE-Atlantic sloping topography (van Haren et al.,

372   2016), but a similar observation was made in well-stratified open-ocean data (Gostiaux and van Haren,

373   2012). Ruling out freely propagating internal waves at super-buoyancy frequencies, interpretations, also

374   guided by vertical phase differences, are given in terms of finestructure advection and of standing-waves

375   (breaking) in Appendix A.

376   Further inspection provides some specific information on the difference between length scales and

377   between vertical and horizontal coherence. At the smallest vertical scale of $dz = 2$ m, coherence is largest

378   under SW, while that under NH is reduced by bias (Fig. 7a). Although a plateau is not reached for SW_{dz2},

379   the sliding down from it to noise level occurs between $1000 < \omega < 5000$ cpd, as may be inferred from

380   comparison with coherence at 10-m vertical scale SW_{dz10} in which a $coh = 0.25$ level is found.

381   Approximately at $\omega = 1000$ cpd, SW's low coherence level $coh = 0.25$ starts rolling off to noise,

382   irrespective of the scale used (Fig. 7a-d). Its starting frequency ω_{sf} does vary with length scale, between

383   about 30 and 150 cpd, for length scales of 60 and 10 m, respectively (Fig. 7b, c). It hardly differs between

384   vertical and horizontal coherence, and thereby marks the transition to isotropic motions as coherence

385   becomes direction independent. At $\omega < \omega_{sf}$, SW_{dz} and SW_{dh} diverge for fixed length scale, with larger

386   coherence at the horizontal scales. This has also been observed for limited length scales above a

387   seamount slope in the NE-Atlantic which is dominated by larger stratified conditions and shear

388   turbulence (van Haren at al., 2016). There, the transition to isotropic motions occurred at maximum

389   buoyancy frequency calculated using an estimated length-scale of 0.25 m. If employed here, it would

390   imply a transition at $8^{1/2}N_{max} \approx 30$ cpd. Also here, the larger the length scale, the larger the difference

391   in coherence, with barely high coherence $coh > 0.8$ for $dz = 60$ m at all frequencies (Fig. 7c).

392   Under NH, no non-noise low-coherence levels are observed, and only limited difference in coherence

393   between vertical and horizontal scales. Motions become isotropic at ω_{sf}, which is length-scale dependent

394   like under SW. While the transition from high to low coherence is about the same for SW_{dz} as NH_{dz} and

395   NH_{dh} for a length scale of 10 m (Fig. 7b), NH_{dz60} is significantly more coherent than SW_{dz60} at $\omega < 10$

396   cpd. This probably reflects relatively large scales dominating convection turbulence such as by

397   geothermal heating from below and by (slanted) eddies from above under NH-conditions. Stratification

398   commonly reduces vertical length scales, with reduced coherence, also in the deep sea.

15

399   That SW conditions may also differ in the vertical with more stratification away from the seafloor,

400   is reflected for 30-m horizontal length scales in upper and lower layers in Fig. 7d. In the lower layer,

401   coherence is almost indistinguishable from that under NH conditions. It lacks the coh = 0.25 level typical

402   for SW conditions, and indeed observed in the upper layer. It suggests that the low-coherence level is

403   related with non-negligible stratification and stratified turbulence under SW conditions, and not

404   reflecting convection turbulence. However, the presented example is not typical for SW conditions and

405   depends on the height of stratification. Upper- and lower-layer coherence spectra can be the same (five-

406   day record starting day 605), and sometimes reversed when stratification is pushed to very near the

407   seafloor under strong convection turbulence above (e.g., 0.8-day record starting day 606.8).

408

409   **4.6 600-d average spectra**

410   Considering full 20-month average spectra, the distinction between NH and SW is no longer made.

411   Instead in the vertical, coherence- and shear-turbulence provide slightly different slopes with improved

412   statistics, not only at super-buoyancy frequencies (Fig. 8). Splitting the vertical in three layers and

413   applying band-smoothing for super-IGW frequencies, the three temperature spectra have significantly

414   different slopes between about $30 \approx 2N_{max} < \omega < 400$ cpd. N_{max} is defined as the maximum of small-2-

415   m-scale buoyancy frequency. This definition is somewhat arbitrary as it depends on the scale-length

416   between sensors.

417   A considerable part of above high-frequency range corresponds with the transition from high to low

418   coherence, or the coh = 0.25 level in Fig. 7. In this range, the upper-layer slope follows KO-scaling with

419   exponent p = -5/3 most closely over a limited frequency range only, the middle layer BO-scaling with

420   exponent p = -7/5, and the lower layer Im-scaling with exponent p = -1 (Fig. 8). As a result, only the

421   most temperature-variance containing upper layer demonstrates a small inertial subrange of dominant

422   shear turbulence that treats temperature as a passive scalar. The remainder of the data, like under NH

423   conditions over the entire vertical range of observations, does not show an inertial subrange but a fluent

424   transition from buoyancy (or intermittency) subrange to noise. The viscous dissipation range is not

425   resolved in any of the records.

426   For the range 1-2 < ω < 8 cpd, or roughly N < ω < $0.5N_{max}$, the three vertical levels demonstrate

427   about equal slopes with an exponent close to p = -2. Although the upper bound of this range includes

428   small-scale internal waves and although coherence was found to be high at almost all scales there (Fig.

429   7), it is anticipated that some large energetic turbulent overturns affect the spectral slope. If so, the

430   spectral slope would correspond with finestructure 'contamination', or rather variable kinematic

431   transport of thin and thick layers passed the T-sensors.

432   At sub-inertial frequencies 0.1 < ω < 0.6 cpd (extended to < f for middle and lower layers), T-spectra

433   for all three levels correspond with BO-scaling with exponent p = -7/5 (Fig. 8). As this range is not

434   expected to be part of the buoyancy subrange of turbulence, it may associate with sub-mesoscale eddies.

435   With reference to Fig. 5, the frequency range includes the transition from upgradient to downgradient

436   vertical buoyancy flux, established at h = 126 m. This associates with BO-scaling of which the energy

437   transfer between scales is ambiguous in direction, as indicated for laboratory turbulence (Lohse and Xia,

438   2010), and unlike KO-scaling that is strictly downgradient. At ω < 0.1 cpd, spectra tend to slope like

439   Im-scaling. This frequency range includes minimum buoyancy frequency N_{min}, which is difficult to

440   define as it depends on sensor distance and duration.

441   Continued BO-scaling is also observed between 0.06 cpd < ω < ω_{min} (for N = 1.35f) in KE-spectra,

442   for which exponent p = -11/5, and in relative echo intensity spectra that closely correlate with

443   temperature differences (Fig. 4e) and in horizontal waterflow difference spectra, for which exponent p

444   = -7/5 and which thus act like an active tracer. In limited range between 0.06 < ω < 0.15 cpd, BO-scaling

445   is also observed in vertical waterflow component w, as p = -7/5. The limited range is due to the relatively

446   low signal/noise ratio, the signal exceeding noise for ω < 0.5 cpd at sub-mesoscales. The BO-scaling

447   contrasts with w-spectra open-ocean well-stratified conditions, which are flat white noise at sub-

448   mesoscales and only exceed noise level in the IGW bulging near N (e.g., van Haren and Gostiaux, 2009).

449   While the waterflow measurements are limited to only three instruments at upper level h = 126 m,

450   the slopes are significantly different from other model slopes with exponents like p = -2 and -5/3. As

451   indicated before, the near-inertial peak/bulge in these spectra has no correspondence in T-spectra, which

452   is not necessarily expected, but which suggests considerable redistribution of near-inertial energy in

453   temperature. The small bulging peak in 60-m waterflow difference indicates relatively short horizontal

454   spatial scales existing near f. While this is expected for vertical scales in well-stratified waters, it

455   explains 1-10% in kinetic energy, or about 10-30% of waterflow amplitude. This suggests the typical

456   length scale of inertial excursions is up to 600 m, having an amplitude of 0.03 m s^{-1}, as observed at h =

457   126 m.

458   As observed previously from mid-depth data (van Haren, 2025), the near-inertial peak in KE extends

459   above the level of a bridge that slopes with exponent p = -1. Such an elevated spectral bridge in KE-

460   spectra is expected for turbulence in unstable (atmospheric) stratification (Lin, 1969). It is attributed to

461   the flow absorbing energy from temperature variations when potential energy is transferred to kinetic

462   energy.

463

464   **4.7 Refining fully averaged spectra in scaled form**

465   600-day and 38-line average spectra of 20-s sub-sampled T-sensor data are referenced to $\omega^{-7/5}$, the BO-

466   scaling (Fig. 9). All vertical lines are separated in two groups of T-sensors, of which the upper (Fig. 9a)

467   is composed of one, the upper-most T-sensor record, and the lower (Fig. 9b) is composed of 21 records.

468   Only lines that had more than 80% of good data in the group are considered. In Fig. 9a, the average

469   relative echo intensity dI-spectrum is added for reference.

470   The two enlarged T-spectra are quite similar. All smoothing via averaging data of the large number

471   of > 700 independent T-sensor records for the lower spectrum hardly reduces the spectral width in

472   variance variation in the range between mesoscale and isotropic turbulence motions. In the same band,

473   also the dI-spectrum hardly reduces variance by averaging data from multiple acoustic beams. Least-

474   reduced variance variation is found in the IGW band and up to ω = 3-4 cpd $\approx N_m$. The latter frequency

475   is close to the mean Ozmidov frequency $U/L_O \approx$ 3.2 cpd, where $L_O = (\varepsilon/N^3)^{1/2}$ denotes the largest length-

476   scale for isotropic turbulent overturns in stratified waters (Ozmidov, 1965b), for mean U = 0.035 m s^{-1}

477   and convection turbulence dissipation in (slanted) weak stratification N = 0.3f.

478   The lack of smoothing is attributed to quasi-coherent anisotropic motions. Here, reference is made

479   to the non-band-smoothed spectra. The most elevated part in variance is about one-and-a-half orders of

480   frequency range wide between 0.1 < ω < 3 cpd. The top of its variance distribution is flat, i.e. follows

481   BO-scaling. It possibly represents a "buoyancy subrange" of sub-mesoscale and IGW motions. This

482   frequency range corresponds roughly with the range between 2-m scale N_{min}, noting difficulty in

483   establishing its value (Section 4.8), and N_m.

484   Towards lower and higher frequencies, the elevated part in variance drops off following about Im-

485   scaling and IW/finestructure scaling, respectively. (In theory for the band-smoothed spectra, a deviation

486   from BO-scaling is significantly following KO-scaling when the spectral slope is maintained over half

487   an order of magnitude, Im-scaling over at least one-quarter order of magnitude, and IW/finestructure-

488   scaling over at least one-tenth order of magnitude.) One order of frequency range away from the elevated

489   part, variance is reduced by about one order of magnitude. At the high-frequency side this point is

490   reached close to 2-m-scale N_{max}. Further beyond these frequencies, the spectra tend to follow BO-

491   scaling, again. There, it represents the buoyancy subrange of turbulence.

492   Differences between upper- and lower-group 600-d spectra are as follows. In the small IGW-band,

493   the elevated part in variance of the upper group inclines to KO-scaling while in the lower group

494   continues BO-scaling, before dropping off at the steeper IW/finestructure-scaling for $\omega > 3$ cpd, which

495   appears the furthest extension resolved above noise of near-inertial KE in Fig. 8. The non-turbulence

496   dropping off from BO-scaling is thus found very limited following KO-scaling. Likewise, at $\omega > 30$ cpd

497   in turbulence range the upper group briefly follows BO- before KO-scaling while the lower group

498   abruptly switches to BO-scaling for almost one order of frequency range, before rolling off to noise at

499    $\omega > 200$ cpd. The 30-cpd transition coincides with 60-m length-scale transition to weakly-coherent

500   isotropic motions (Fig. 7) and roughly with mean-N ω_O (Fig. 9).

501   Given the correspondence between the two spectra and the limited KO-scaling in the upper layer

502   only, it appears that BO-scaling is important throughout. Because of ambiguous effect of convection-

503   like BO-scaling on the direction of energy cascade (Lohse and Xia, 2010), the apparition of elevated T-

504   variance part in the sub-mesoscale band may result from generation of small sub-mesoscale motions

505   from (upgradient) near-inertial and from (downgradient) large sub-mesoscale motions. This frequency

506   band comprises the band $0.3 < \omega < 0.6$ cpd with some positive cross-spectral contributions between w

507   and T, resulting in a reduction in range of mean downgradient flux (Fig. 5), noting some ambiguity due

508   to limited resolution of vertical waterflow measurements. While KO-scaling is dominated by shear

509   turbulence and a downgradient cascade, its partial apparition in the IGW of the upper group suggests a

510   larger spread of energy towards higher frequency internal waves and turbulence scales there.

511   The upper-group rEI-spectrum reflects most of above observations, with dominant BO-scaling

512   between mesoscales and about 10 cpd, before rolling off to noise. The small elevation in the IGW and

513   the limited range between [3, 10] cpd of p = -2-slope, in comparison with the T-spectrum, may be due

514   to the larger influence of noise.

515

516   **4.8 The distribution of stratification**

517   The width between minimum and maximum buoyancy frequencies of difficult-to-smooth T-variance

518   spectra from independent measurements reflects the core of distribution of 600 days of data of

519   (logarithm of) 2-m vertical buoyancy frequency N_s (Fig. 10). Although the distribution is less wide than

520   the width of the quasi-coherent non-smoothed variance width in Fig. 9, possibly due to the limiting

521   vertical scale of 2 m, it reflects the core of the coherent thick variance part and the positions of mean

522   large-scale N, mean of maximum small-scale N_m, and the rare occurrence of minimum N_{min} and

523   maximum N_{max} buoyancy frequencies.

524   As rare occurrence of rapid-fluctuating N_{max} are more or less understandable, a rare occurrence of

525   N_{min} does not match its long duration as it requires persistency over at least $2\pi/N_{min} \approx 10$ days. More

526   realistic indicators are 2.5 percentiles of the N_s-distribution, or a threshold curve of $\omega_{Nyquist}/\omega$ resulting

527   in 8 and 0.5 percentiles for N_{min} and N_{max}, respectively (Fig. 10). This places N_{max} at 5-7 cpd, and N_{min}

528   at about 0.55 cpd $\approx$ 0.4f, near a dip in KE (Fig. 6) and near a bulge in w around 0.4 cpd whereby the

529   aspect ratio is reduced to O(0.1-1) (not shown).

530   The lower layer shows an almost perfect lognormal distribution of N_s. For the upper layer the

531   distribution is slightly skewed and also shows a small flat level, starting at about N_m. This level reflects

532   more small-scale layering and hence the possibility of enhanced finestructure contamination possibly

533   following parametric instability (cf., Appendix A).

534   Although a somewhat smaller vertical length scale down to about 1 m would have corresponded

535   better with the coherent and non-smoothable parts of the spectra, the correspondence of these parts with

536   the stratification-rate distribution indicates that the deep sea is not a pool of stagnant water. Instead, it

537   is dynamic and variable, with sub-mesoscale eddies, internal waves, stratified turbulence operating at

538   partially the same scales. Whilst the kinetic energy has major input at localized near-inertial frequency,

539   a broadband response is found in the scalar temperature (and other like dI) fields. Considering that most

540   of the spectrum follows BO-scaling with an active role for scalars, and possibly also flow vorticity or

541   divergence, a connection is suggested between mesoscale and near-inertial motions via sub-mesoscale

542   eddies.

543

544   **4.9 BO-scaling of sub-mesoscale motions**

545   The observation at all levels and all quantities, including KE and several scalars, of BO-scaling in

546   average spectra across [0.1, 0.7] cpd where sub-mesoscale motions reside can be supported from several

547   principle considerations.

548   First, coherent sub-mesoscale motions in the vertically stratified deep-sea are not associated with

549   isotropic turbulence, so that they unlikely follow KO-scaling. Second, isotropic motions are limited by

550   the aspect ratio of ocean basins or of the size of homogeneous layers. Commonly, the aspect ratio of

551   motions is about unity near N and << 1 at sub-mesoscales, see also 600-d average w and KE spectra

552   (Fig. 8), with a possible extreme shift to lower frequencies in near-homogeneous layers. As in the deep

553   Northwestern Mediterranean near-homogeneous layers can extend up to h = 300 m above seafloor (van

554   Haren et al., 2026) and mean sub-mesoscale flow speed amounts U_{sm} = 0.013±0.009 m s^{-1} (Fig. 2c), the

555   minimum frequency ω_{iso} for isotropic motions in the lower layer is expected to be $\omega_{iso}(h) = U_{sm}/h = 0.6$

556   cpd. This is close to the observed dip in the KE-spectrum for NH (Fig. 6). All motions at $\omega < \omega_{iso}$ must

557   therefore be anisotropic, even under NH (over relatively large h), although variations in aspect ratio may

558   occur such as around 0.35 cpd. Third, our observations indicate dominant convection, both under NH,

559   via geothermal heating from below, as well as under SW, via slanted-eddy internal wave push from

560   above/sideways. Fourth, limited observations indicate ambiguously directed vertical fluxes in the sub-

561   mesoscale range.

562   Apparently, sub-mesoscale motions are best modeled using BO-scaling, rather than KO-scaling like

563   in Fig. 1a. The BO energy cascade may be reversible because of its ambiguous direction. This unknown,

564   but if f-motions are trapped in anticyclonic eddies, local small-scale shear may generate marginally

565   stable Ri ≈ 1 and non-linear motions that may lead to irreversible turbulence.

566   Thus a modified energy spectrum is presented for deep-sea motions in the frequency range between

567   mesoscales and stratified turbulence (Fig. 11). Sources are at large sub-mesoscales and near-inertial

568   frequencies (which may include tides in the open ocean), which elevate the at least one order of

569   frequency wide ranges in between. The spectral slopes between the two sources follow BO-scaling of

570   active scalars representing anisotropic, coherent eddy-motions. At $\omega > 2\Omega$, spectra slope with internal

571   wave/finestructure scaling, before either adopting BO-scaling under near-homogeneous and lower-layer

572   stratified water conditions, or KO-scaling under upper-layer stratified water conditions. The latter

573   observation seems at odds with the condition of isotropic motions, except that, probably dominant small-

574   scale, shear is expected to be strong under stratified conditions. This sufficiently destabilizes the

575   apparently stable conditions.

576

577   **5. Discussion and outlook**

578   Generally, yearlong KE-spectra of moored waterflow observations from shallow seas and open ocean

579   demonstrate numerous peaks at inertial, tidal and higher harmonic frequencies. In contrast, such KE-

580   spectra from the deep Northwestern Mediterranean Sea are rather featureless except perhaps for a peak

581   around the local inertial frequency. At the large-ring mooring, an f-peak dominates yearlong spectra,

582   but it associates with relatively calm, near-homogeneous conditions. Under more turbulent vertically

583   stratified water conditions such a near-inertial peak vanishes into a broader range of sub-mesoscale

584   motions.

585   The deep-Mediterranean temperature-(and acoustic reflection-)scalar spectra however, demonstrate

586   no peaks at all, regardless of stratification conditions. Their entire featurelessness points at broadband

587   distribution of variance and draws to analyses in terms of various slope dependence on frequency. The

22

588   type of instrumentation and environmental conditions limit the spectral resolution, even for the high-

589   resolution T-sensors on the large-ring mooring.

590   Despite the 0.00003°C noise level the T-sensors did not resolve the viscous dissipation rate. This

591   may be due to the sampling rate of once per 2 s, which is too slow for mm-scale turbulence dissipation

592   motions, or due to small temperature variations down to this order of magnitude in the deep

593   Mediterranean.

594   On the large scales of the spectrum, the 20 (of intended 70) months of acquired temperature data

595   were insufficient to capture a strong convection event of deep dense-water formation. We should have

596   left the instrumentation in the deep sea for two or more decades, perhaps at a site further in the open

597   Provençal Basin. Nevertheless, our deep-sea observations do show extensive evidence of convection,

598   which is either generated by general geothermal heating from below and, more energetically, by internal

599   wave action from above. Geothermal heating is suppressed by stratification from above, and only

600   noticeable very close to the seafloor in rare flashes (van Haren, 2026 submitted) unless conditions are

601   near-homogeneous. The two convection processes have a typical timescale of about 15 days and thus

602   alternate at twice that scale, which results in a broad peak around 0.03 cpd in both KE- and T-spectra.

603   Commonly, this monthly variation is attributed to mesoscale motions, which however, do not represent

604   single harmonic wave motions like tides.

605   One of the aims of the study was to investigate the potential coupling between various scales of

606   motions, involving mesoscale, sub-mesoscale, IGW, and turbulence motions. Potential coupling may be

607   characterized by different model-slopes with frequency that depend on frequency-range and height

608   above the seafloor. The featureless spectra are ideal for such model-slope investigation. With the

609   absence of resolved roll-off to the viscous dissipation range, a second surprising result is the near-

610   absence of an inertial subrange of shear turbulence. Only in upper-layer h≈100-m, more stratified waters

611   at super-buoyancy frequencies KO-scaling may fit observed mean spectra.

612   In the ocean and deep sea not only large-100-m-scale shear occurs which may dominate shear

613   turbulence, but also small-scale near-inertial shear. This shear may dominate KO-scaling in well

614   stratified waters. In weakly stratified waters however, BO-scaling dominates, and thus convection-

615   turbulence with associated ambiguous energy transfer so that convection tubes reorganize before

616   dissipating. Convection is unstable, but does not necessarily occur under neutral (shearless) conditions,

617   and small-scale (secondary) shear is expected to be important for energy transfer.

618   Most of the T-spectra follow BO-scaling of active-scalar convection turbulence, not only near the

619   seafloor under near-homogeneous conditions, but also away from the seafloor and under yearlong mixed

620   conditions. Finestructure contamination or small-scale standing internal-wave turbulence dominate

621   under stratified-water conditions. However, while improved statistics taking advantage of the large

622   number of independent T-sensors support above observations in the super-buoyancy frequency range of

623   isotropic turbulence motions, such a conclusion is not possible for mid-range frequencies between sub-

624   meso- and maximum small-scale buoyancy frequencies. Apparently, motions at the latter frequencies

625   fall in between near-deterministic signals like tides, for which random statistics do not apply, and near-

626   random signals like isotropic turbulence and instrumental noise.

627   Obviously, the nearly 3000 T-sensors provide excellent statistics at the high-frequency end, but also

628   reasonably at the low-frequency mesoscale side. Least improved statistics are around IGW/large

629   turbulence frequencies, which include stratified turbulence and sub-mesoscale signals. These signals

630   should probably be sampled over larger spatial ranges. It is found that they follow some degree of

631   coherence at all resolved spatial scales of up to 60 m, thereby forming a bridge extending about one

632   order of magnitude in variance over background BO-scaling. From band-smoothing it is revealed that

633   the sub-mesoscale signals in the sub-inertial frequency range follow BO-scaling. In this range,

634   ambiguously-directed vertical flux contributions were observed from limited waterflow measurements,

635   but which confirm similar observations in isotropic turbulence under laboratory conditions (Lohse and

636   Xia, 2010). The same limited waterflow measurements confirm the BO-scaling in the sub-inertial range

637   down to mesoscales, providing a significantly different spectral slope than for temperature (and acoustic

638   intensity), which points at active scalars.

639   Thus, sub-mesoscale motions may be governed by mesoscale/large sub-mesoscale and by near-

640   inertial IGW, reflecting theoretical modeling for 2D flow of a homogeneous, nondivergent fluid with

641   up- and down-scale cascade of kinetic energy (Fjørtoft, 1953). Thereby, the deep Mediterranean

642   observations demonstrate a sharp contrast between mesoscale and small sub-mesoscale motions. Large

643   (sub-)mesoscale motions act as a source, albeit with some intermittent appearance resulting in a source

644   peak that is broader than an inertial peak. Small sub-mesoscale motions are modeled by a spectral slope

645   of energy cascade.

646   The observed spectral non-KO-scaling between mesoscale and IGW, and between IGW and stratified

647   turbulence in the weakly stratified deep Mediterranean may be verified in ocean areas. Especially the

648   influence of (internal) tides and their potential higher harmonics following strong nonlinear interactions

649   may deform spectra, also in the deep ocean over the rugged continental slope (e.g., van Haren et al.,

650   2002; van Haren and Maas, 2022). In those deep-ocean KE spectra, the base of the inertial-tidal higher

651   harmonic peaks closely sloped with exponent $p = -1$ over an IGW frequency range commensurate with

652    $N = 5\text{-}8f$, as observed in Mediterranean data (van Haren, 2025).

653   For future spectral improvement, mooring duration may be extended to span >10 years underwater,

654   to include all mesoscale motions up to basin scales. For statistical significance reduction it is suggested

655   to continue using 3D mooring arrays of closely spaced multiple instrumentation, perhaps over a

656   somewhat wider range of up to 1 km to resolve all IGW and sub-mesoscales. More current meters in the

657   array would be helpful to improve relative vorticity measurements and include shear observations. For

658   this, a considerable cost-reduction of higher-resolution deep-sea instrumentation is welcomed.

659   The suggested complex interactions between mesoscale and turbulence signals including small-scale

660   standing waves, via sub-mesoscale and IGW --notably near-inertial-- motions lead to slantwise

661   convection at many scales with up- and down-gradient energy transfers at the mooring-array site in the

662   deep Western Mediterranean. The associated turbulence is largely sufficient for deep-sea life and locally

663   for deep-sea circulation (e.g., Ferron et al., 2017), during two winters when deep dense-water formation

664   was absent. Future observational studies are welcomed that further extend scale resolution, and for

665   which instrumentation should be employed not only in the deep Mediterranean but also in the ocean

666   where tides are stronger, over a variety of seafloor topography. More is to be learned.

667

668   **6. Conclusions**

669   Yearlong scalar and kinetic energy spectra from the deep Northwestern Mediterranean have been

670   calculated from data provided by instrumentation on a nearly-half-cubic-hectometer 3D mooring array.

671   They show that,

672   • The deep-sea energy spectrum may be adjusted between mesoscales and stratified turbulence, with

673   two sources, at large sub-mesoscale and inertial frequencies. Scalar spectra are completely featureless,

674   with a broad increase in variance across mesoscale and inertio-gravity wave IGW-frequencies.

675   • At frequencies away from the two main sources, BO-scaling of dominant anisotropic convective

676   motions is observed across sub-mesoscales with alternating up- and down-gradient fluxes, under all

677   conditions. BO-scaling characterizes sub-mesoscales. KO-scaling is not possible in this frequency range

678   because motions do not become isotropic.

679   • Depending on convection- and stratification-type, BO-scaling is also partially observed at super-

680   buoyancy turbulence frequencies, alternating with limited KO-scaling. Direct fluxes could not be

681   established in this frequency range due to poor resolution of waterflow measurements. However,

682   convection and shear are known to generate both turbulence and internal waves.

683   • Internal wave/finestructure scaling is observed at IGW frequencies up to maximum small-scale

684   buoyancy frequency.

685   • Mesoscale/large sub-mesoscale and small sub-mesoscale motions are distinctly different, being energy

686   source and energy cascade, respectively. They appear in quadrature with each other.

687   • Anticyclonic mesoscale motions are found in phase with winds and with near-inertial motions.

688   • Apparent stratified water conditions and increased sub-mesoscale activity occur around the transition

689   from anticyclonic to cyclonic mesoscale relative vorticity.

690   • Stratified water conditions have $N = 2.2f$, which $\approx f_{eff}$ when averaged over the full period (of dominant

691   cyclonic relative vorticity). The former suggests linear marginal stability for large-scale shear. The latter

692   approximate equation suggests nonlinear marginal stability for small (likely near-inertial) shear, in

693   which case near-homogeneous $N \approx < 0.3$ cpd probably occurs in the direction of slanted convection.

694   • Relative acoustic echo intensity is found to be a close proxy of temperature variations, despite more

695   noisy instrumentation. The good correspondence at zero lag suggests either >1-mm size particles being

696   transported by water motions that also transport different (temperature) water masses, or 2-MHz

697   acoustics is basically reflecting off temperature difference interfaces. This may be verified with multiple

698   frequency acoustic instrumentation.

699 • Both scalar properties show improved smoothing by averaging spectra from multiple instruments,

700 albeit at super-buoyancy frequencies of weakly coherent isotropic motions only. At the scales of the

701 array of maximum 60 m horizontally and 124 m vertically, anisotropic coherent motions reduce such

702 smoothing, especially in IGW and sub-mesoscale bands for which band-smoothing has to be applied.

703

704 **Conflict of Interest**

705 The author declares no conflict of interest relevant to this study.

706

707 **Data availability**

708 Only raw data are stored from the T-sensor mooring-array. Analyses proceed via extensive post-

709 processing, including manual checks, which are adapted to the specific analysis task. Because of the

710 complex processing the raw data from the custom-made T-sensors are not made publicly accessible. The

711 movie to Fig. A2 can be found in van Haren (2026), "Movie to: Coupling between sub-mesoscale eddies,

712 internal waves, and turbulence in the deep Mediterranean: A spectral investigation.", Mendeley Data,

713 V1, https://doi.org/10.17632/dxmdv75kw8.1. Current meter data are available from van Haren (2025):

714 "Large-ring mooring current meter and CTD data", Mendeley Data, V1,

715 https://doi.org/10.17632/f8kfwcvtdn.1. Atmospheric data are retrieved from

716 https://content.meteoblue.com/en/business-solutions/weather-apis/dataset-api.

717

Appendix A Super-buoyancy finestructure contamination and parametric instabilities

726

727 Non-negligible, small but significant coherence at super-buoyancy frequencies like the coh = 0.25 levels

728 under weakly stratified deep-Mediterranean SW conditions of Fig. 7 has also been observed, in slightly

729 different form, in the well-stratified open ocean far away from boundaries (van Haren and Gostiaux,

730 2009). There, high-frequency super-buoyancy ''waves'' were attributed to kinematics as explained

731 using a model of advection by the vertical N-wave motion of thin, <2.5 m mainly, and thick, average 60

732 m, layers including inversions passed moored T-sensors (Gostiaux and van Haren, 2012). The

733 kinematics model did not include dynamical evolution, e.g. by turbulent overturning, of the inversions

734 or layering.

735 The small super-buoyancy coherence may also be due to dynamical coupling to buoyancy frequency

736 motions via wave-wave interactions leading to high-frequency parametric instabilities of local mode-2

737 standing waves, as previously observed in the laboratory (Davis and Acrivos, 1967). Such non-

738 propagating instabilities may then be advected passed the sensors by coherent internal waves. Turbulent

739 overturns in general and slantwise convection tubes (e.g., Straneo et al., 2002) also provide quasi mode-

740 2 motions, also on large scales O(10-100) m.

741 An important criterion for distinction between the kinematics and dynamics is considering the

742 vertical phase differences, such as in Fig. A1. While for coh > 0.3 the, vertical and horizontal, phase

743 difference is close to zero, it spreads to ±180° phase difference for the super-buoyancy coh = 0.25 level.

744 The transition in phase difference is gradual in the vertical while abrupt in the horizontal, before reaching

745 random distribution towards noise levels. The phase transition, while not found at 2-m intervals (Fig.

746 7), depends on scale-length like the coh = 0.25 level in Fig. 7, which was found at frequencies around

747 400 cpd for which the temperature spectrum had a slope with exponent p = -2.

748 These observations contrast with 1D open-ocean observations (Gostiaux and van Haren, 2012),

749 where the large 100-m scale buoyancy frequency N = 26 cpd >> f and smallest Δz = 2.5 m. In those

750 data, the super-buoyancy temperature spectra had a slope with exponent p = -8/3, which was attributed

751 to finite layering. A zero-coherence dip was found between low-frequency coh > 0.3 and super-

752 buoyancy coh = 0.25, rather than a smooth transition to a non-noise level as found in the deep

753 Mediterranean data. An abrupt transition in vertical phase difference was found at all vertical scales,

754   with a block in transition-frequency at $N_t = 1.6N \approx N_{max10}$, the maximum buoyancy frequency at 10-m

755   scales, for $\Delta z \geq 10$ m.

756   For open-ocean data a scale of 10 m seems canonical, at which a separation is suggested between

757   internal-wave shear and turbulence (Gargett et al., 1981), and below it the temperature gradient variance

758   rolls off (Gregg, 1977).

759   The 10-m scale is also applied horizontally in the set-up of the large-ring mooring, but a blocking

760   limit is not observed in the present data in weakly stratified waters of the deep Mediterranean. A 10-m

761   scale is also roughly determined from some time-depth images as local mode-2 motions between

762   isotherms (Fig. A2). In the horizontal, such a scale is inferred from differences in rapid motions between

763   neighbouring lines in the movie associated with this half-day period under SW-conditions. Probably

764   processes other than shear induce turbulence, like convection and parametric instability.

765   In   laboratory   models,   parametric   instability   grows   under   large-scale   shear   at

766   wavelengths/frequencies about 5 times larger than the buoyancy frequency, which would imply between

767   about 11 and 60 cpd under SW conditions in the deep Mediterranean data, for 5N and $5N_{max}$,

768   respectively. In Figs 7, A1, the coh = 0.25 level is found between about 150 and 700 cpd at 10-m scales,

769   and between about 20 and 300 cpd at 60-m scales. The matching range suggests 50-100 m is closer to a

770   canonical scale for the deep Mediterranean.

771   Although the latter frequency range is characterized by a spectral slope with exponent p = -2 (Fig.

772   6), finestructure contamination having the same spectral fall-rate (Phillips, 1971) seems less dominant

773   than in the stronger stratified NE-Atlantic. Also, turbulence generation in the deep Mediterranean is not

774   only governed by parametric instabilities, but also by nonlinear motions generating larger overturns like

775   around day 443.15 in the example of Fig. A2, and by slantwise convection induced via internal wave

776   push and/or sub-mesoscale eddies. All phenomena result in dominant 180° phase differences across the

777   relevant length scale.

778   It remains to be said that conclusions inferred from super-buoyancy temperature spectra should be

779   taken with care in the presence of steps in the temperature profile.

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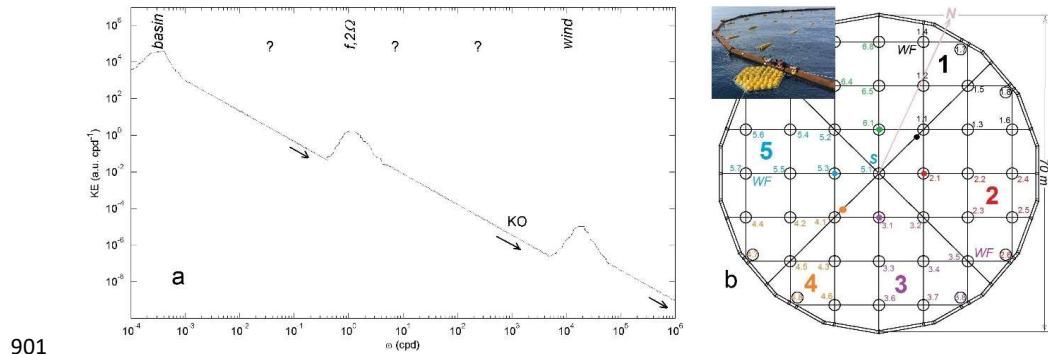
Figure 1. Ocean spectral model and mooring array layout. (a) Impression of ocean [kinetic] energy spectra between basin-scale motions to the left and roll-off to viscous dissipation (Kolmogorov)-scale of turbulence to the right. Redrawn from Ozmidov (1965a) and transferred from wavenumber to frequency space. The sloping lines indicate KO-scaling $\propto c\omega^p$ with exponent $p = -5/3$ for a model of energy downscale (direction-arrows) energy cascade by Kolmogorov (1941) and Obukhov (1949). The slopes are the same for different frequency ranges having different variance levels c. The question marks are by the author. (b) Mooring-array orientation at seabed and layout, with steel-cable grid and small rings holding the vertical lines at 9.5 m intervals. Lines are numbered in six synchronization groups. Single synchronizer S is at ring 51. Waterflow 'WF' instruments are at buoys on top of lines 14, 35 and 57. The insert shows part of the large ring just prior to deployment at sea, with free-fall drag-parachute in front.
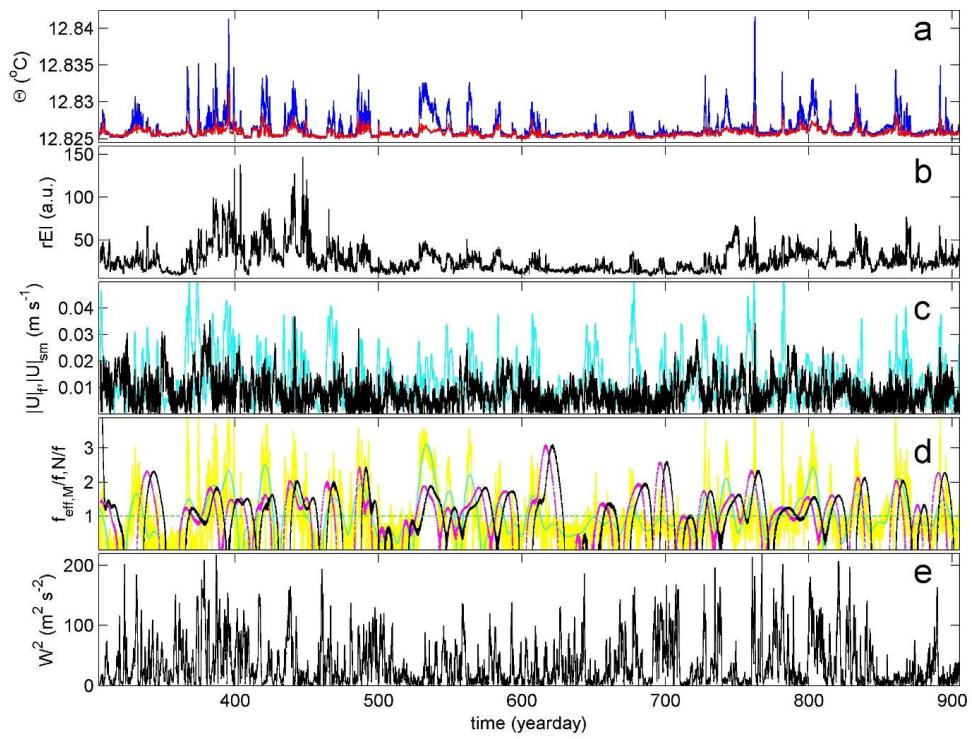
Figure 2. Time series of 20 months of temperature and waterflow data, (sub-)sampled at once per 600 s. Time in days starts on January 1, 2020. (a) Conservative Temperature (IOC et al., 2010) measured on a single line at h = 1.0 (red) and 125 m (blue) above seafloor. The records are detrended and referenced to shipborne CTD-data. (b) Hourly filtered echo intensity rEI measured at h = 126 m. (c) Band-pass filtered waterflow amplitudes for sub-mesoscale (cyan) and near-inertial (black) motions. (d) Mesoscale low-pass filtered effective inertial frequency (2) (black; in magenta: 4.6 days shifted) compared with 124-m scale buoyancy frequency (yellow; in green: mesoscale low-pass filtered). All are scaled by planetary inertial frequency f (horizontal dashed line). (e) Wind speed squared measured at island-station Porquerolles about 20 km north of the mooring site.
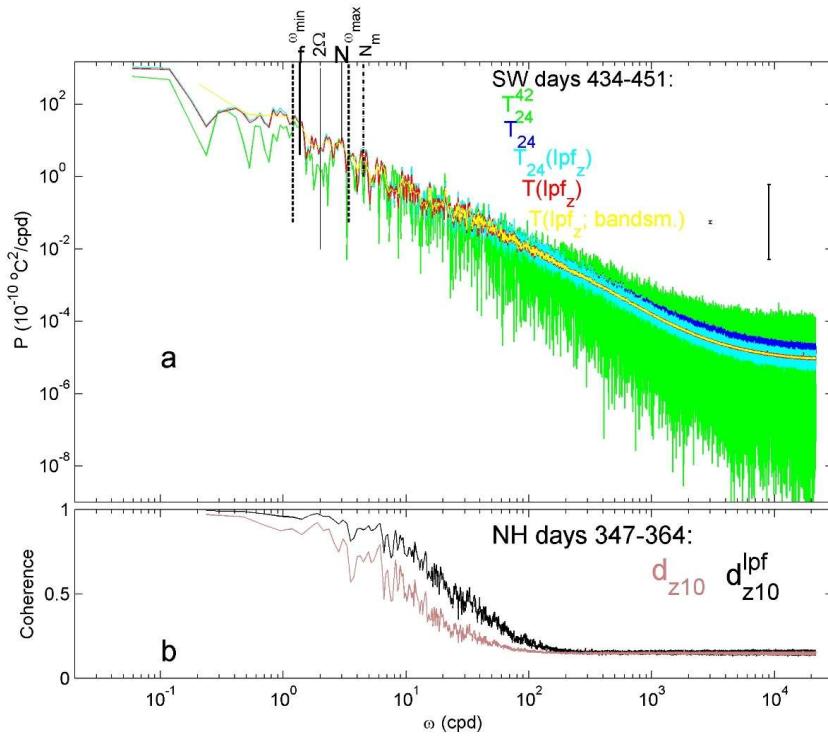
Figure 3. Illustration of effects of smoothing and low-pass filtering 'lpf' on 600-d mean frequency (ω)

spectra from originally 2-s sampled T-sensor data. Several frequencies are named, including the inertial

frequency f, the mean buoyancy frequency $N \approx 2.2f = 2.0f_h$, mean of maximum 2-m scale buoyancy

frequency N_m, and inertio-gravity wave 'IGW' bounds [$\omega_{min}<f$, $\omega_{max}>2\Omega$,N] for mean N. Ω denotes half

the Earth rotational frequency. (a) Nearly raw, unsmoothed spectra of data from indicated 17-day period

under stratified-water (SW) conditions. A single record (green), from 42th T-sensor of line 24, is

compared with the vertically 63-sensor averaged spectrum of that line (blue), with the same after

correction for short-term bias (cyan) using vertical lpf_z with cut-off at 0.1 cpm (cycles per meter), and

with the vertically and horizontally 45-line (about 2200 independent sensors) averaged spectrum (red)

and its band-smoothed version (yellow). The amount of spectral smoothing is represented by small and

large error bars, for the red and green spectra, respectively. (b) Coherence over vertical 10-m distances

for all 1830 independent pairs of data from indicated period under near-homogeneous (NH) conditions

(pink). In black, the same but after application of lpf_z with cut-off at 0.05 cpm.
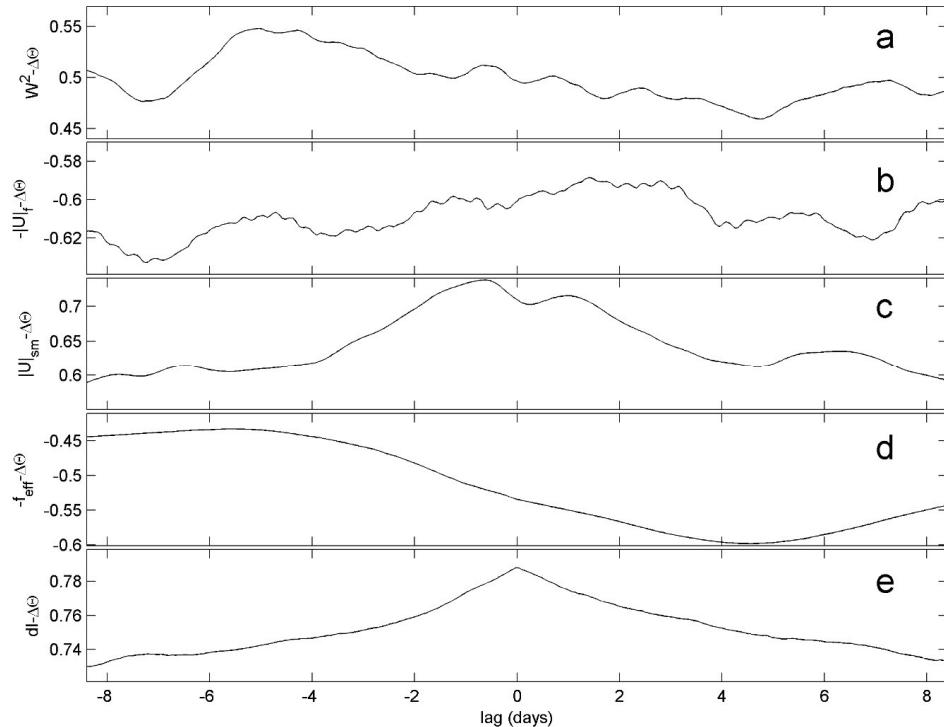
Figure 4. Normalized lag-correlation analysis between hourly data of h=124-m-vertical temperature difference and several observed quantities from Fig. 2. About one fortnight of lags is shown, negative lags imply that the observed quantity is ahead of temperature differences. (a) Wind speed squared. (b) Inverse (negative) inertial band-pass filtered waterflow amplitude. (c) Sub-mesoscale bandpass filtered waterflow amplitude. (d) Inverse (negative) mesoscale low-pass filtered effective inertial frequency. (e) Relative echo intensity dI(dB) averaged over 3 beams.

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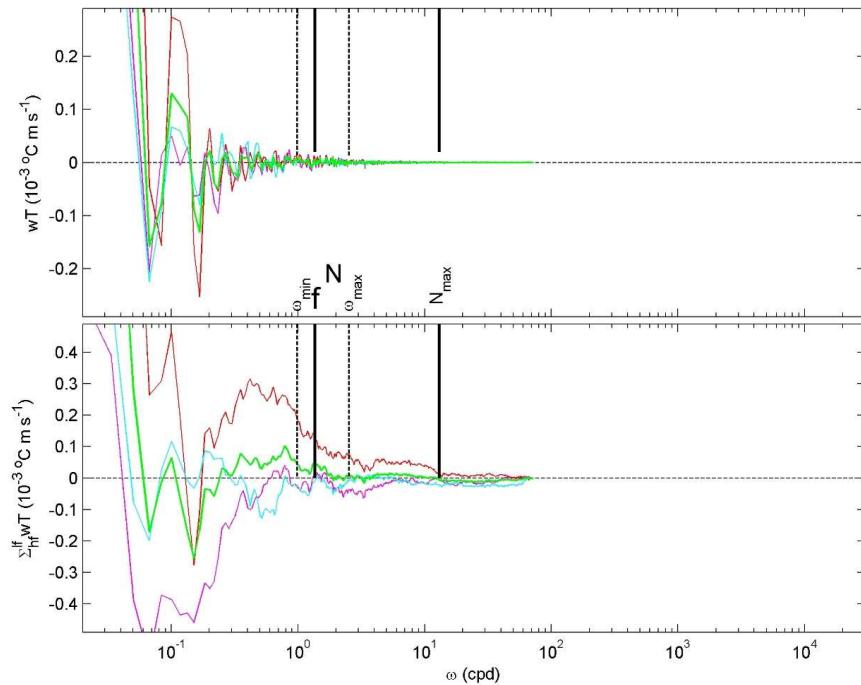
Figure 5. Cross-spectral averages over 600-d between uppermost T-sensor and waterflow data from all
three instruments, with mean values in green. Maximum 2-m scale buoyancy frequency is indicated by
N_{max}. The frequency range is the same as in Fig. 3, while overall mean $N = 1.35f$ is given with associated
IGW-bounds. (a) Vertical cross-spectra. (b) Vertical heat flux, integrated from high to low frequencies,
demonstrating the potential influence on low frequencies relative to their Reynolds decomposition cut-
off.

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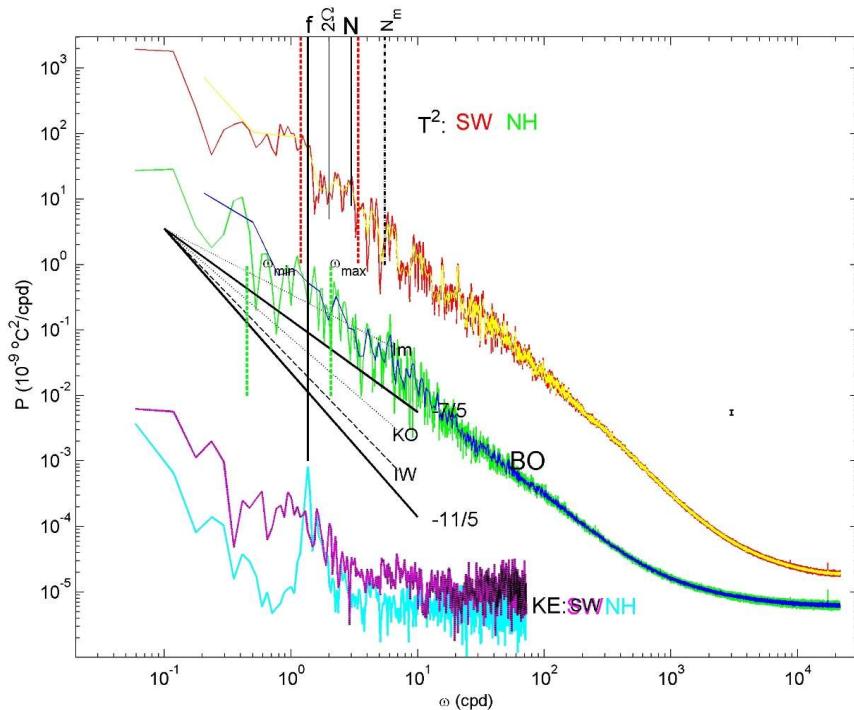
Figure 6. A spectral comparison between data from 17-day records of SW (red, in band-smoothed form yellow) and NH (green, band-smoothed blue) in Fig. 3, for 2-s sampled and about 2800 independent T-sensor averages in temperature. These are compared with 600-s sampled 3-sensor averages in kinetic energy KE (magenta-black and cyan, respectively). The IGW-bounds are given for mean N of 2.2f and 0.5f under SW- and NH-conditions, respectively. Several spectral slopes are indicated by their exponent value p in ω^p, and by abbreviations (see text).
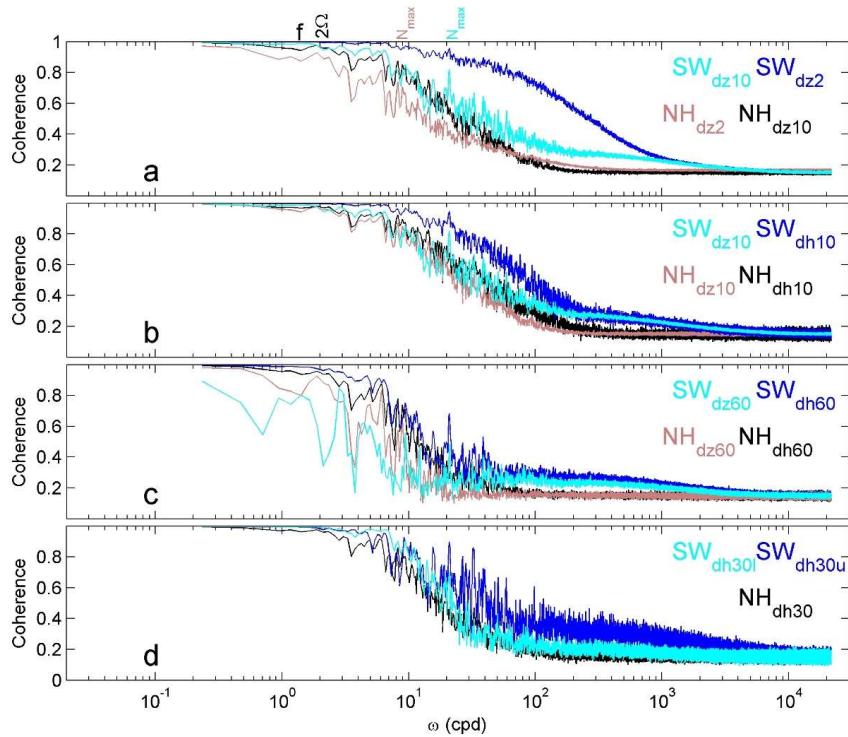
Figure 7. Coherence spectra using data from periods under SW- and NH-conditions in Fig. 3 for different smoothing. NH data are lpf$_z$, except for vertical dz = 2-m scale. (a) Dz 2-m and 10-m scale coherence, for about 1800 independent T-sensor pairs. Several frequencies are indicated, including maximum 2-m scale buoyancy frequency under NH and SW. (b) Ten-meter scale dz and horizontal 'dh' coherence, data from five lines around line 11. (c) As b., but for 60-m scale data of all possible 10 pairs of lines. (d) Thirty-meter scale dh for 4 line-pairs of data around the mooring-array's central line (NH) and the vertical split in three 20-sensor level groups of which upper and lower are shown (SW).

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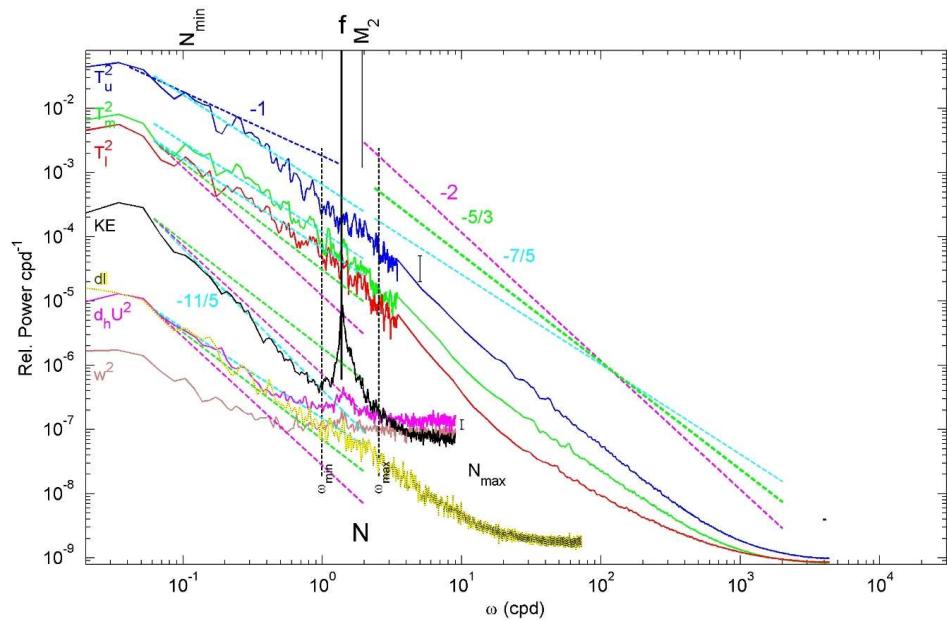
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975   **Figure 8.** 600-d average spectra for 10-s sub-sampled data from 43 lines for four (u)pper, (m)id-height

976   and (l)ower T-sensors. These spectra are compared with average spectra over three CM for KE,

977   horizontal flow difference d_hU, vertical component w and 9-beam (3-CM) averaged relative echo

978   intensity dI (dB). Several spectral slopes are indicated with straight dashed lines, with their exponent

979   values. The IGW band for mean N = 1.35f is between the vertical black-dashed lines. N_{min} denotes the

980   minimum 2-m scale buoyancy frequency. Each temperature spectrum is split at about 3.5 cpd (cycles

981   per day) in a moderately-smoothed low-frequency part and a heavy-smoothed high-frequency part.

982
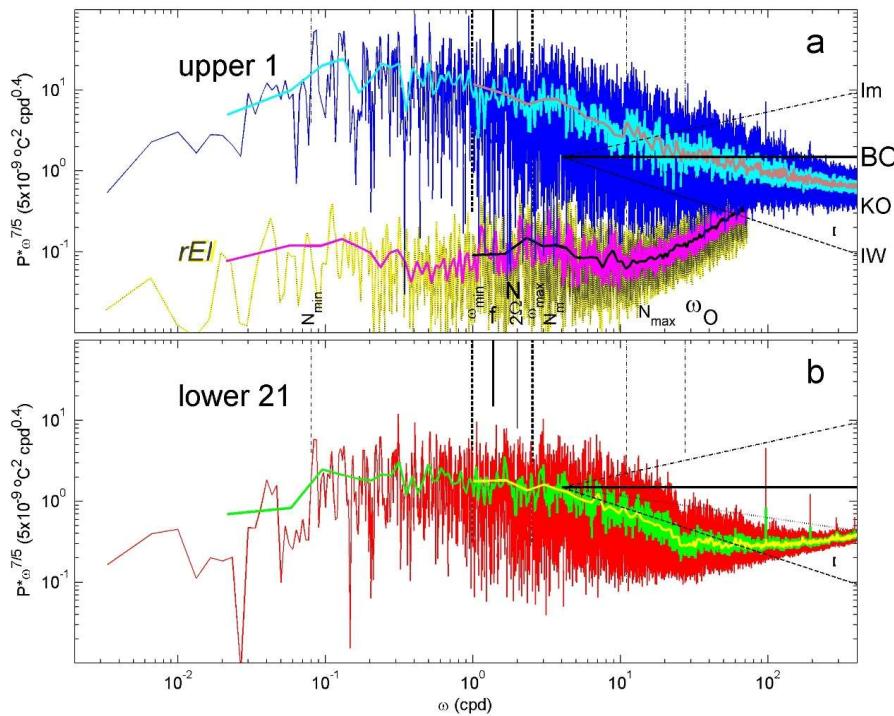
Figure 9. Zoom centering on IGW of 600-d and 38-line average spectra for 20-s sub-sampled data from (a) upper 1 and (b) lower 21 T-sensors. In a., the upper T-spectra are compared with 9-acoustic-beam (3-CM) averaged rEI spectra (arbitrary vertical scale). Band-smoothing is applied in the order cyan-pink for upper blue spectrum and magenta-black for yellow-grey dI-spectrum, and in b. green-yellow for lower red spectrum. The spectra are referenced to ω^p, $p = -7/5$, BO-scaling. Sloping lines indicate other potential scalings with p-values for unscaled plots on: intermittency Im $p = -1$, KO $p = -5/3$, IW (or finestructure) $p = -2$ (see text). The frequency of largest isotropic overturn in stratified waters is indicated by $\omega_O = U/L_O$ for mean waterflow speed U and length scale L_O (Ozmidov, 1965b). The x-axis range differs from that in previous spectra.
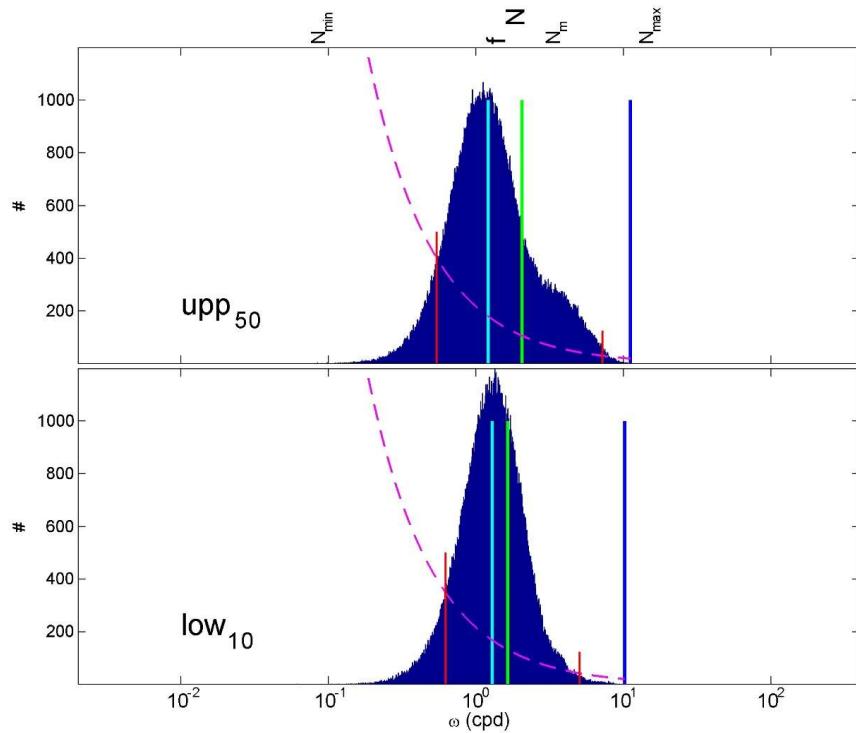
Figure 10. 600-d distribution of logarithm of 2-m-small-scale buoyancy frequency around T-sensors 50 (h = 99 m) and 10 (h = 19 m) from a single line. The vertical coloured lines indicate the distributions' median (cyan), mean (green) and maximum (blue) values. The dashed magenta curve indicates a threshold number of values > time/interval, i.e. > $\omega_{Nyquist}/\omega$. The x-axis range is the same as in Fig. 9.
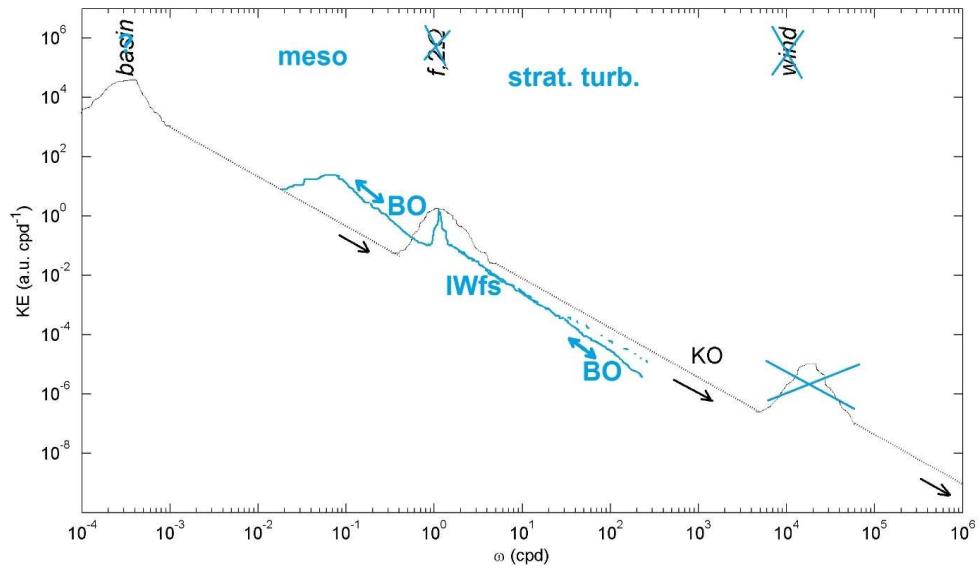
**Figure 11.** As Fig.1a, but with added information inferred from Figs 8, 9's deep Mediterranean Sea observations in heavy-solid blue lines and lettering. Double arrows indicate energy cascade direction associated with BO-scaling. (In the deep-sea wind waves are not observed.)

1005
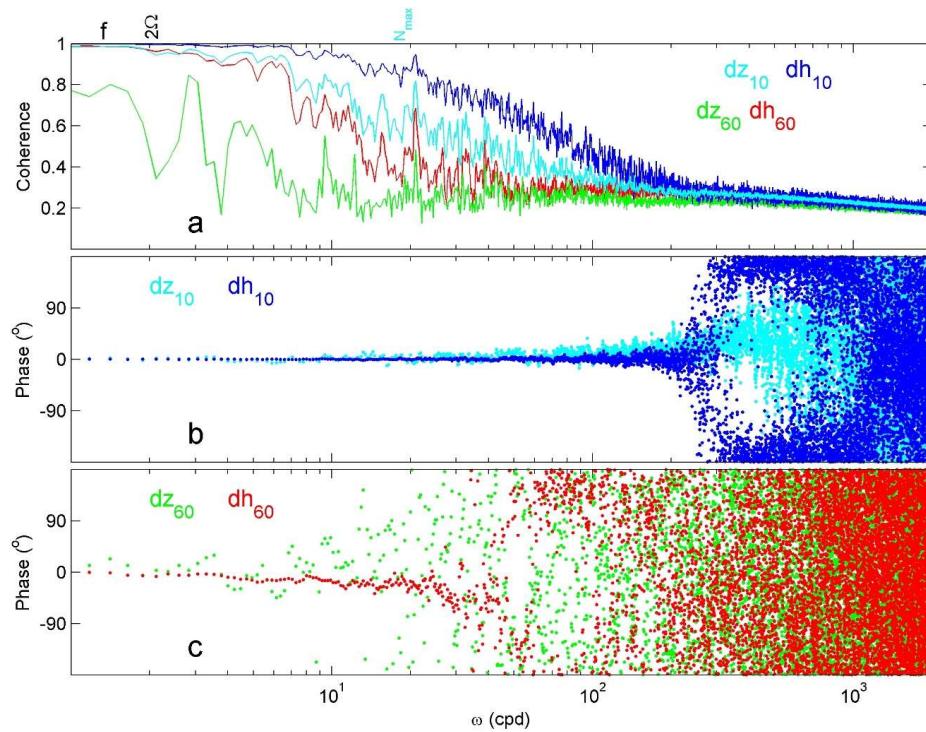
Figure A1. Similar to Fig. 7, but magnifying the internal wave / turbulence range and including phase

differences for 10- and 60-m scales, under SW conditions only.

1008
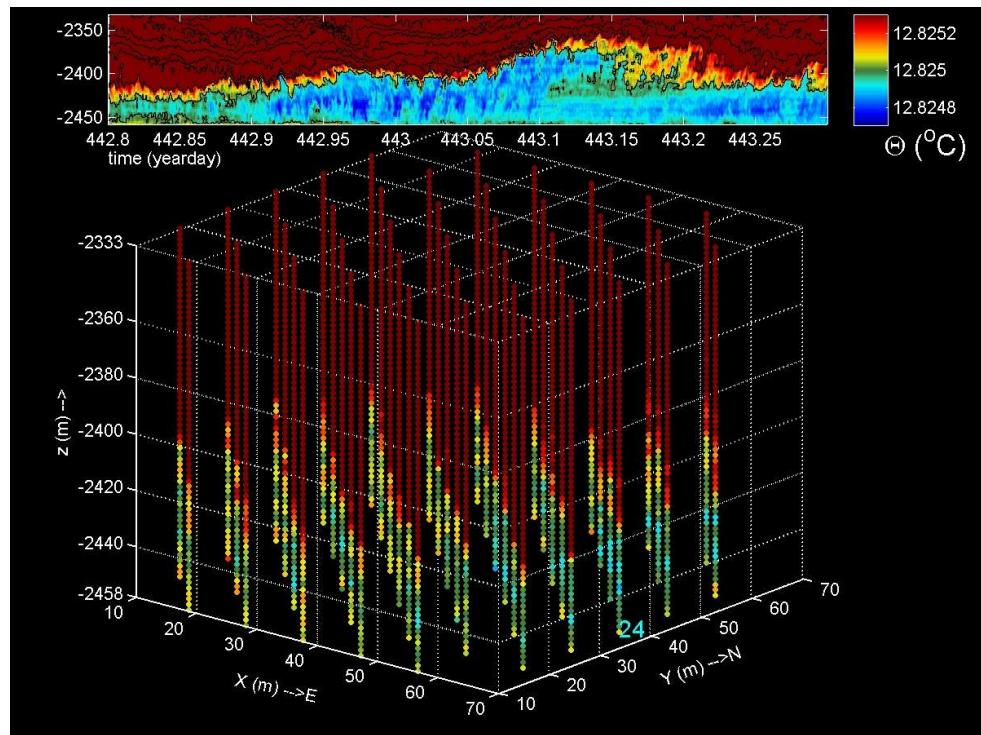
Figure A2. Half-day quasi-3D movie of 3000-cpd lpf temperature data from about 2800 T-sensors under SW conditions. In the cube, which is vertically depressed by a factor of two relative to horizontal scales, each sensor is represented by a small filled circle of which the colour represents Conservative Temperature in the scale above. In the movie's upper panel, a white time-line progresses in a 0.5-d/124-m time/depth image from line 24 on the east-side of the cube. Black contour lines are drawn every 0.0002°C. The 72-s movie is accelerated by a factor of 600 with respect to real-time.