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Measurement Report: Differences in cloud optical and microphysical properties in the Arctic and Antarctic derived using thermal infrared spectroscopy

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Abstract. Climate models struggle to accurately represent polar regions, largely due to the difficulty in modeling clouds. The uncertainty budget of polar radiation is dominated by cloud and cloud-aerosol interactions, but challenges in maintaining robust field observations mean that even basic knowledge such as cloud occurrence is not well known. Measurements of the thermal emission of Earth's atmosphere can help close this knowledge gap due to the sensitivity of this spectral region to radiative properties of clouds. Measurements of the downwelling atmospheric emission (400 to 3000 cm^{-1}) have been collected at two polar field sites using Atmospheric Emitted Radiance Interferometer (AERI) instruments, two at the Polar Environment Atmospheric Research Laboratory (PEARL) in Eureka, Canada (80° N), for which we use data from 2006 to 2022, and another at McMurdo Station (77° S) in Antarctica as part of the Atmospheric Radiation Measurement (ARM) West Antarctica Radiation Experiment (AWARE) project in 2016. We analyze these spectra, with supplementary data from other instruments and models, to compare optical and microphysical properties of moderately thick clouds at Eureka and McMurdo Station, including optical depth, thermodynamic phase, liquid droplet and ice crystal effective scattering radii, and cloud boundaries. We find that the clouds sampled at McMurdo generally feature lower temperatures, smaller liquid droplets, a more concentrated distribution of ice effective radii, less seasonal variability in optical depth, and lower optical thickness because they consist of a higher proportion of ice than those at Eureka. Additionally, both locations have high occurrence rates of supercooled liquid and mixed-phase clouds and exhibit differences between single-phase and mixed-phase microphysics.

1 Introduction

Historically, some of the largest deviations between climate modeling and observations have occurred about the poles (e.g., Karlsson and Svensson, 2013; Liu et al., 2013; Bromwich et al., 2013; Wesslén et al., 2014; Rowe et al., 2025a). These regions having an outsized contribution to Earth's outgoing energy budget (Harries et al., 2008; Prince and L'Ecuyer, 2024) and are

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experiencing enhanced warming compared to the global mean (IPCC, 2021; Rantanen et al., 2022). The polar regions exhibit features that are challenging for models to accurately capture, resulting from significant variation in solar radiation, seasonal aerosol changes, high albedo snow/ice surfaces, and a stable boundary layer, especially during polar night. Although significant improvements have been made during the past decade on quantifying the polar energy budget, clouds and cloud-aerosol interactions still provide a large source of uncertainty in our understanding of the climate (e.g., IPCC, 2021), and basic quantities such as cloud type and fraction are continually changing (Eastman and Warren, 2010). It is well known that clouds play a large role in Arctic amplification, especially in regards to their feedback effect (e.g., Pithan and Mauritsen, 2014; Nakanishi and Michibata, 2025) and the surface energy balance (e.g., van den Broeke et al., 2017). The radiative effect of clouds is strongly dependent on their microphysical properties (Baker, 1997; Shupe and Intrieri, 2004; Vavrus, 2004; Bodas-Salcedo et al., 2016; Wall et al., 2025, i.e., radiatively significant properties such as the size, shape, and phase of cloud hydrometeors;). However, large challenges in modeling cloud microphysical interactions exist. These model uncertainties lead to large variability within even the current state-of-the-art models (Seneviratne and Hauser, 2020; Schuddeboom and McDonald, 2021). Improving how these processes are represented through observational constraints is important for reducing error.

Cloud microphysics is influenced by numerous factors related to the total available water and aerosol load, local dynamics, and the temperature profile. Aerosols contribute seasonally variable sources of cloud condensation nuclei (CCN) and ice nucleating particles (INP), which affect cloud formation and ice initiation − thermodynamic phase partitioning is especially significant because radiation tends to interact more strongly with populations of cloud liquid droplets than ice crystals (e.g., Sun and Shine, 1994). Mixed-phase clouds (i.e., containing both supercooled liquid and ice) introduce complex additional microphysical interactions that remain poorly understood (Verlinde et al., 2007; Korolev et al., 2017). These clouds are prevalent in polar regions (e.g., Morrison et al., 2012) and have significant radiative consequences (Zhao et al., 2024), underscoring the importance of measuring and modeling mixed-phase cloud formation and persistence. Secondary ice production (SIP), referring to ice production resulting from interactions among existing ice crystals, is also prevalent and distinct in the polar regions (e.g., Zhao and Liu, 2021). SIP contributes to the observed ice number concentration, which is often much larger than expected from primary ice nucleation alone (e.g., Rangno and Hobbs, 2001), and notably affects precipitation (and by extension, cloud lifetime and extent). SIP processes, such as Hallet-Mossop rime splintering (Mossop and Hallett, 1974), ice-ice collision, and droplet shattering, are highly variable, and depend on the temperature, convection, and ice number density (e.g., Field et al., 2017; Pasquier et al., 2022; Waman et al., 2022), but current models do not robustly capture these processes (e.g., Zhao et al., 2023), and Equilibrum Climate Sensitivty (ECS) differences between Coupled Model Intercomparison Project version 6 (CMIP6) models can largely be attributed to differences in cloud feedback strength (Bock and Lauer, 2024).

In the Arctic, the Polar Environment Atmospheric Research Laboratory (PEARL; Fogal et al., 2013) was established by the Canadian Network for the Detection of Atmospheric Change (CANDAC) at Eureka, Nunavut (80° N, 86° W) on Ellesmere Island to provide long-term observations of the atmosphere at one of the northernmost research sites in the world (Fig. 1a and 1b). PEARL comprises three facilities housing a collection of ground-based atmosphere-probing instruments. These are the PEARL Ridge Lab at an altitude of 610 m, the Zero-Altitude PEARL Auxiliary Laboratory (0PAL) at 10 m, and the Surface and Atmospheric Flux, Irradiance, and Radiation Extension (SAFIRE). There is significant variation within the Arctic due to

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varying topography, making Eureka distinct from other sites in the Arctic (e.g., Shupe, 2011; Cox et al., 2012; Uttal et al., 2016). For example, Eureka is generally drier, and the cloud conditions are more optically thin than at other locations in the Arctic and follow a different seasonal cycle (e.g., deBoer et al., 2009; Shupe, 2011; Cox et al., 2012). In the Antarctic, the Atmospheric Radiation Measurement (ARM) West Antarctic Radiation Experiment (AWARE) program (Lubin et al., 2020) provided a comprehensive suite of measurements of atmospheric radiation and meteorological processes at McMurdo Station on Ross Island (77°S 166°E) in 2016 (Fig. 1c and 1d).

The far-infrared (FIR) is difficult to study (Tobin et al., 1999), because radiometric sensor technology in the FIR has lagged behind other sensor development (e.g., Merrelli and Turner, 2012). However, for cloud remote sensing, this spectral region offers several advantages, as it is sensitive to cloud optical depth (e.g., DeSlover et al., 1999; Turner and Eloranta, 2008) and hydrometer phase (e.g., Rathke et al., 2002; Turner, 2005), contains windows attuned to ice crystal size (e.g., Naud et al., 2001; Yang et al., 2003; Baran, 2005), and is responsive to emission from liquid water and ice crystals (e.g., Turner, 2005; Rowe et al., 2013; Cox et al., 2014; Maestri et al., 2014; Turner and Blumberg, 2019). However, these processes can be difficult to disentangle, especially in humid environments. In the Arctic, cloud microphysical properties have been derived from measurements of downwelling longwave radiation (DLR), and other ground-based measurements such as radar and lidar systems (e.g., Shupe and Intrieri, 2004; Shupe et al., 2005; Turner, 2005; Turner and Eloranta, 2008; Maestri and Holz, 2009; Cox et al., 2014; Libois et al., 2016; Blanchard et al., 2017; Richter et al., 2022) based at various Arctic sites. In Antarctica, such measurements have also been recorded during brief periods (Lubin and Harper, 1996; Lubin, 2004; Mahesh et al., 2001; Di Natale et al., 2017; Maestri et al., 2019; Lubin et al., 2020; Rowe et al., 2025b). Despite both locations experiencing similar seasonal extremes, Antarctic clouds can have unique properties and microphysical processes compared to those in the Arctic (e.g., Bromwich et al., 2012; Zhang et al., 2019; Silber et al., 2019; Atlas et al., 2022).

Multi-sensor classification schemes (e.g., Shupe et al., 2005, 2015; deBoer et al., 2009; Zhang et al., 2019) are often more sensitive to the full range of cloud conditions due to the complementary nature of some instruments. For example, a lidar is typically more sensitive to liquid in clouds due to the high cross-sectional area of numerous liquid droplets, while a radar is more sensitive to ice owing to the larger volume of ice crystals. However, this requires a suite of instruments to be operated continuously and concurrently for extended periods, which is not always possible at remote field sites, such as at PEARL. Nevertheless, the passive infrared retrievals that will be presented here are broadly consistent with other ground-based/satellite retrievals (e.g., see references in this and the preceding paragraph), and surface in-situ collections (Walden et al., 2003).

This study has two goals. The first is to obtain a long-term record of cloud microphysical and optical properties retrieved from downwelling thermal emission measurements collected since 2006 from AERI instruments in the Canadian High Arctic at PEARL, in Eureka, Canada. The retrieved dataset of cloud properties is highly complementary to aircraft surveys of polar clouds (e.g., Verlinde et al., 2007; Wendisch et al., 2019; Zhao et al., 2023; Xia and McFarquhar, 2024) by providing baseline knowledge on cloud properties and variability, particularly if differences between in-situ and remote measurements can be reduced (Di Natale et al., 2026). Moreover, the dataset will be beneficial for model validation, and can be used for case studies. In addition, ongoing measurements will allow for detection of trends in Arctic cloud properties with climate change. The second goal is to compare cloud property retrievals at PEARL to similar retrievals from measurements made during the

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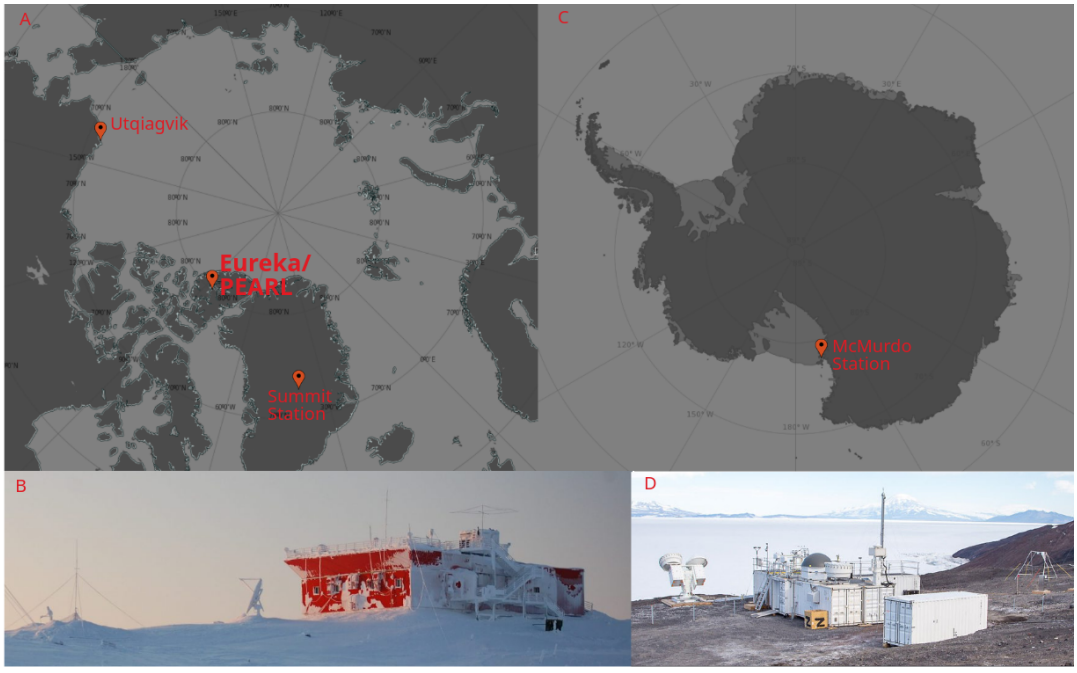

Figure 1. Site locations and photos for PEARL at Eureka, Canada (a,b), and the AWARE at McMurdo Station (c,d). We acknowledge the use of imagery from the NASA Worldview application (https://worldview.earthdata.nasa.gov), part of the NASA Earth Science Data and Information System (ESDIS). Site photos are courtesy of CANDAC (https://www.pearl-candac.ca) and AWARE (https://www.arm.gov/research/campaigns/amf2015aware).

2016 ARM AWARE program at McMurdo Station, Antarctica (Lubin et al., 2020). Previous work has suggested that there are important differences between Arctic and Antarctic cloud properties, with Lubin et al. (2020) finding that clouds at McMurdo were higher, optically thinner, and typically had smaller effective radii than at some Arctic sites such as the ARM North Slope of Alaska (NSA) site. However, these findings were based on only a few days of measurements. By contrast, here we compare the multi-year record in Eureka to a full year of measurements made at McMurdo.

This paper is organized as follows: Section 2 describes the physical inversion scheme used to retrieve cloud boundaries, optical depth, ice fraction, and effective radius of liquid droplets and ice crystals from thermal emission measurements, along with the instruments and data recorded at PEARL and during the AWARE campaign, which are necessary to perform such retrievals. Section 3 presents a dataset of retrieved cloud properties from 2006 to 2022 at Eureka, and also for 2016 at McMurdo, and offers a comparison between the two polar environments. Finally, conclusions and summary are provided in Section 4.

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Table 1. Data sources used to perform retrievals of cloud microphysical properties at Eureka and McMurdo.

Site	Quantity	Data Source	Reference
Eureka	Downwelling thermal emission	Atmospheric Emitted Range Interferometer (E-AERI & P-AERI)	(Knuteson et al., 2004b, a; Mariani et al., 2012)
	Cloud mask	Arctic High Spectral Resolution Lidar (AHSRL) CANDAC Rayleigh Mie Raman Lidar (CRL)	(Eloranta, 2005; deBoer et al., 2009) (Nott et al., 2012; McCullough et al., 2017, 2019)
	Temperature, pressure, water vapor profiles	Radiosonde	(Miloshevich et al., 2009; Weaver et al., 2017)
	Surface meteorology	Eureka Weather Station (Environment and Climate Change Canada and Meteorological Service of Canada)	
	Other trace gas profiles	GEOS-Chem / WACCM	(Marsh et al., 2013; Wizenberg et al., 2024; Bey et al., 2001)
McMurdo	Downwelling thermal emission	ARM Extended-range Atmospheric Emitted Range Interferometer (E-AERI)	(Lubin et al., 2020; Gero et al., 2016)
	Cloud mask	High Spectral Resolution Lidar (HSRL)	(Eloranta, 2005; Lubin et al., 2020; Holz et al., 2016)
	Temperature, pressure, water vapor profiles	Radiosonde	(Miloshevich et al., 2009; Lubin et al., 2020)
	Other trace gas profiles	ERA-Interim	(Dee et al., 2011)

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2 Data and Methods

2.1 Instrumentation

AERI instruments were developed by the University of Wisconsin Space Science and Engineering Center (UW-SSEC) to record the atmosphere emission between 550 and 3,000 cm^{-1}, within an accuracy of 1% of the ambient radiance (Knuteson et al., 2004b, a). The AERI can be fitted with an extended-range detector, which extends the wavenumber range to 400 cm^{-1}, allowing the AERI to observe more of the Earth's emission spectrum. The AERI measurement cycle alternates between views of the sky, and two high-emissivity (∼0.995) blackbodies, set to 60°C and ambient temperature to allow for absolute calibration of recorded spectra. This study analyzes measurements from AERI instruments that operated in the Arctic and Antarctic: the Polar AERI (P-AERI), deployed at Eureka (at PEARL-0PAL) between 2006 and 2009, and two Extended-range (E-AERI) instruments, one at Eureka (at PEARL-0PAL) from 2011 to present day (Mariani et al., 2012), and the another at McMurdo Station as part of the AWARE campaign, between December 2015 and January 2017 (Lubin et al., 2020). Although the extended-range region of the spectra (∼400-520 cm^{-1}) contains useful information on cloud emission (e.g., Libois and Blanchet, 2017), these data were not used, in order to facilitate combining data with the P-AERI, which is a standard-range AERI. Figure 2b shows spectra (at two-hour intervals) recorded by the E-AERI at PEARL for a day with relatively low humidity and large variability in cloud coverage, demonstrating the high sensitivity of the atmosphere window (800-1200 cm^{-1}) to variations in emission from the cloud. Microwindows in this region are thus suitable for retrieving cloud radiative properties, and are denoted in grey shaded regions.

PEARL-0PAL also hosts the CANDAC Rayleigh–Mie–Raman Lidar (CRL), which was installed in 2013, but requires on-site personnel for operations. The original configuration is described by Nott et al. (2012), with later modifications to the depolarization system by McCullough et al. (2017). The CRL nominally measures profiles of backscatter and depolarization ratio at high resolution (7.5 m) and frequency (1 min) from which information on the atmospheric water vapor, temperature, clouds, and aerosol optical depth can be derived. PEARL has also previously hosted the Arctic High Spectral Resolution Lidar (AHSRL) from 2005 to 2009, which also measures profiles of backscatter and depolarization ratio at 7.5 m and 2.5 s resolution (Eloranta, 2005). A HSRL was also deployed at McMurdo Station during the AWARE campaign (Lubin et al., 2020). Figure 2a shows quick-looks of AHSRL measurements of the backscatter coefficient at PEARL, demonstrating its ability to profile the atmosphere through a variety of sky scenes, although attenuation of the signal is present for some optically thicker clouds.

2.2 Cloud Property Retrievals

Cloud properties are retrieved using the Cloud and Atmospheric Radiation Retrieval Algorithm (CLARRA; Rowe et al., 2016, 2019, and references within). CLARRA requires cloud boundaries, which can be prescribed or can be estimated as part of the retrieval. Given the cloud boundaries, CLARRA then performs optimal estimation (OEM; Rodgers, 2000) to infer cloud optical depth (τ) at 900 cm^{-1}, thermodynamic phase as an ice fraction (i_{frac}) and effective radius of liquid droplets ($r_{\text{eff,liq}}$) and ice crystals ($r_{\text{eff,ice}}$). The ice fraction is a purely optical measure, $i_{\text{frac}} = \tau_{\text{ice}}/\tau_{\text{total}}$ (i.e., the ice fraction is not based on mass partitioning), with clouds labeled as purely ice if this fraction is greater than 0.9 and liquid if it is less than 0.1, as in

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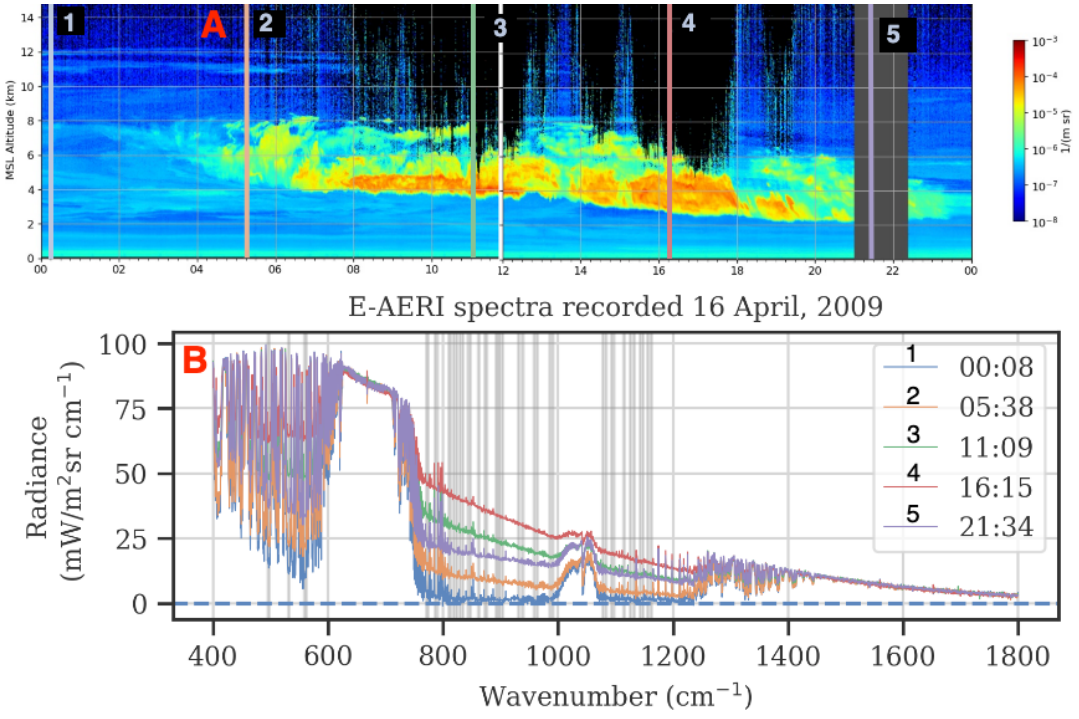

Figure 2. (a) Lidar backscatter profiles from the Arctic High Spectral Resolution Lidar (AHSRL), and (b) E-AERI spectra recorded on 16 April 2009. Five spectra are highlighted in panel b, corresponding to measurement times indicated by the respective vertical lines in panel a, which highlight the sensitivity of the thermal infrared to changes in cloud emission. Microwindows used to retrieve radiative properties of clouds are shaded in grey in panel b.

Richter et al. (2022). The effective radius is defined as in Hansen and Travis (1974) as the ratio of the third and second moments of the particle size distribution. The ice effective radius is a proxy for non-spherical ice crystals in terms of radiatively equivalent spheres (i.e., such that the total volume and surface area are representative, but not necessarily the number of particles), which are calculated as described in Neshyba et al. (2003) based on the single scattering parameters of Yang et al. (2013). It is important to note that while $r_{\text{eff,ice}}$ would be reflective of the size distribution for spherical ice crystals, the retrieved values are influenced by the complex interaction between uncertainties in the ice crystal habit and their differing infrared signatures, and accounting for these differences will be important for interpreting the retrieved $r_{\text{eff,ice}}$.

CLARRA finds the optimal solution that minimizes the difference between the measured downwelling radiance and the result of a multiple-scattering radiative transfer model, in microwindows between strong atmospheric emission lines (Fig. 2b and Table 2), given *a priori* cloud property statistics and expected measurement uncertainties. It is conceptually similar to other physical iterative inversion schemes such as the Mixed-Phase Cloud Property Retrieval Algorithm (MIXCRA; Turner, 2005; Turner and Blumberg, 2019) and Total Cloud Water retrieval (TCWret; Richter et al., 2022). A diagram of the CLARRA retrieval flow can be seen in Fig. 3. Rowe et al. (2019) evaluated the CLARRA retrieval on simulated low- and

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Table 2. Spectral microwindows used for retrievals of cloud microphysical properties in CLARRA.

Microwindow Boundaries (low-high; in cm^{-1})	
495.5–498.5	530.0–533.0
558.0–562.0	770.9–774.8
785.9–790.7	809.5–813.5
817.0–823.5	828.6–834.6
843.1–848.1	860.1–864.0
872.5–877.5	891.9–895.8
898.2–904.8	929.6–939.7
958.0–964.3	985.0–991.5
1076.6–1084.8	1092.2–1098.1
1113.6–1116.6	1124.4–1132.6
1142.2–1148.0	1155.2–1163.4

moderate-resolution IR emission spectra, while Lubin et al. (2020) provided a demonstration using CLARRA to retrieve cloud microphysical properties for one week of AERI measurements recorded during the AWARE campaign.

The forward radiative transfer model used in CLARRA requires knowledge of the atmospheric state. A model atmosphere is therefore constructed by evaluating supplementary data from models and measurements for temperature, pressure, trace gases, and other meteorological variables. A full list of data sources is listed in Table 1. Temperature, pressure, and humidity profiles to approximately 35 km are acquired through twice-daily radiosonde launches at both the Eureka Weather Station, and McMurdo Station. The radiative transfer includes emission from profiles of ozone (O_3), carbon monoxide (CO), methane (CH_4), nitrous oxide (N_2O), carbon dioxide (CO_2), diatomic oxygen (O_2), and water vapour (H_2O), which are important to consider for their emission in and around the microwindows of interest. At McMurdo, we use profiles for these gases from the ECMWF Re-Analysis (ERA-Interim; Dee et al., 2011), and at Eureka, we use a 40-year average of the Whole Atmosphere Community Climate Model (WACCM; Marsh et al., 2013) for profiles of O_2, CO, and N_2O, and model output from the GEOS-Chem High Performance (GCHP) chemical transport model (Bey et al., 2001; Bindle et al., 2021) to provide daily profiles for O_3 and CH_4 (Wizenberg et al., 2024).

The CLARRA forward model consists of two sequential calculations for computing the radiative transfer. Firstly, layer-by-layer gaseous optical depths are pre-computed from the Line By Line Radiative Transfer Model (LBLRTM; Clough et al., 1992), with spectroscopic parameters from the HITRAN 2012 database (Rothman et al., 2013), using the temperature, pressure, and trace gas profiles described in Section 2.1. These gaseous optical depths are reduced to the effective spectral resolution of the AERI (see Appendix 5 of Weaver et al. (2017)) and stored to reduce computational overhead. Secondly, for each retrieval iteration in CLARRA, the downwelling radiance spectrum was calculated by computing the radiative transfer, including scattering, with the DIScrete Ordinates Radiative Transfer model (DISORT; Stamnes et al., 1988), using the atmospheric temperature profile, pre-computed optical depths, and cloud-property state variables. In the starting iteration, the cloud properties

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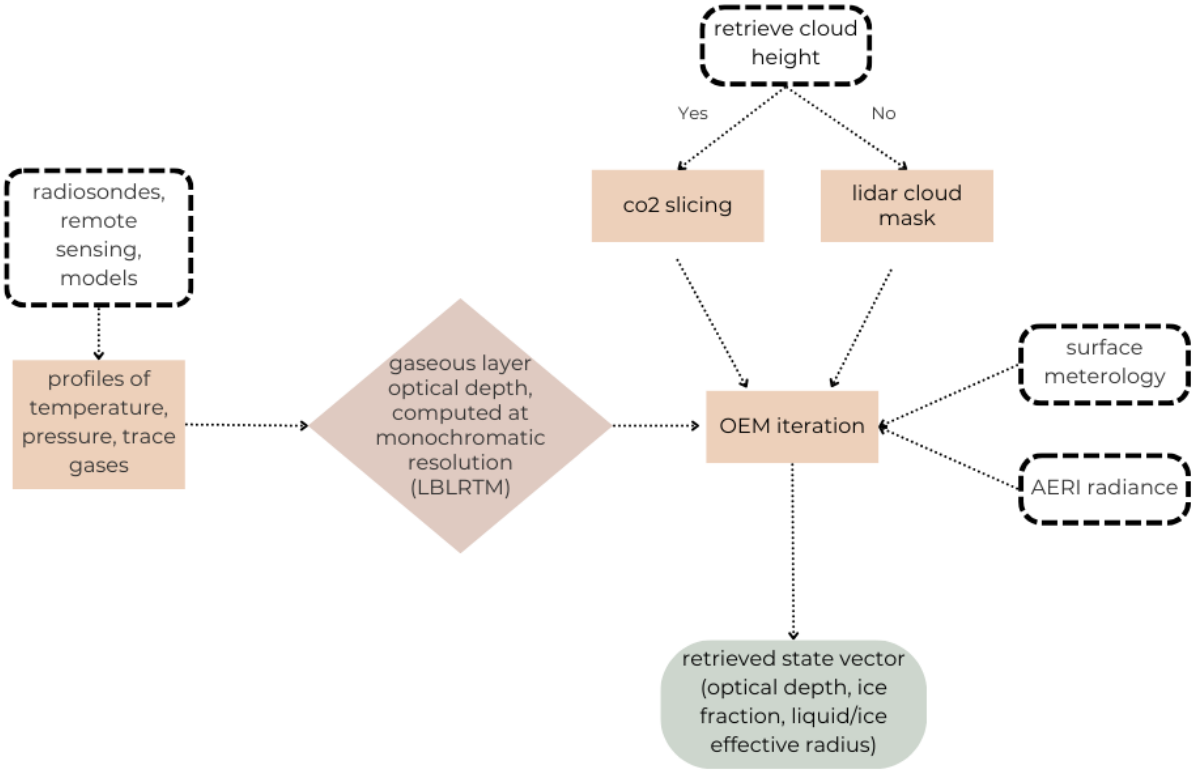

Figure 3. Diagram of the CLARRA retrieval flow. The dashed boxes indicate required data input.

were based on the *a priori* values, while in subsequent iterations they were based on the results of the preceding iteration. The microwindows used in this retrieval are provided in Table 2 and shaded in Fig. 2; they were selected to capture regions of the infrared spectrum relatively free of interference from strong gaseous emission lines, and therefore the measured signal is primarily from clouds. Further, because different cloud properties produce distinct spectral signatures, the windows were selected to maximize the differences in emitted radiance associated with each property of interest. The selected microwindows are based on those previously investigated by Rathke and Fischer (2000), Mahesh et al. (2001), Turner (2005), and Rowe et al. (2019),

For modeling scattering, we use temperature-dependent scattering properties of liquid water droplets (Downing and Williams, 1975; Bertie and Lan, 1996; Rowe et al., 2013, 2019, 2020), and ice crystals modeled as surface-roughed columns (Yang et al.,

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2003, 2013; Rowe et al., 2019), although this assumption of homogeneous ice crystal shapes is inaccurate (e.g., Walden et al., 2003; McFarquhar et al., 2017) and leads to a bias in CLARRA based on testing retrievals on simulated spectra (Rowe et al., 2019). However, the ice crystal inhomogeneity is neglected because we lack more accurate *a priori* information, and that the microwindows evaluated in the retrieval are generally more sensitive to the ice crystal effective size rather than their morphology (e.g., Naud et al., 2001; Maestri et al., 2019; Rowe et al., 2019).

2.2.1 Quality Control and Retrieval Characteristics

The AERI spectra are first evaluated against radiometric quality control (QC) flags, as detailed in Appendix A, to provide radiative closure with the best-knowledge thermodynamic state and to remove spectra taken while the sky-view hatch is closed. Approximately one third of spectra are removed during this process. The remaining spectra are analyzed with CLARRA, where retrievals are most accurate for moderately thin clouds in sky scenes with relatively low humidity (Rowe et al., 2019), which is common in the Arctic. However, it is important to highlight the subset of clouds that are observed with passive-infrared emission measurements as these retrievals lack sensitivity to certain classes of clouds entirely. While the limitations of using passive IR measurements to infer cloud microphysical properties are unavoidable, the partitioning of sky scenes is important to take into account for comparing the retrievals presented here against those from other instruments, and for validating models.

Firstly, there is a sampling bias present as no measurements are made during precipitation to protect the instrument optics, and so the observation record excludes some of the thicker clouds and the larger precipitating particles. Moreover, at Eureka, AERI cloud-detection previously yielded lower occurrence statistics when compared to active radar and lidar sensors, possibly arising from a weak infrared signal (Shupe, 2011) – thus, these cases may also present a sampling bias in our timeseries. Shupe (2011) find this discrepancy is most significant in the winter and spring, so it is expected we will be unable to characterize some of the presumably thin and cold clouds during those months. It is also noted that the ice effective radius is not necessarily representative of the true physical size distribution of ice crystals, and that the relationship between these quantities would depend on the ice crystal habit and infrared emissivity. For example, the infrared retrieval may consider a large dendrite as a collection of smaller particles (e.g., Turner, 2005) but plate-like and column-like crystals would appear less like a collection of smaller crystals and more like singular crystals in the infrared.

To maintain data quality of the retrieval, we filter retrievals to ensure the analysis is focused on the regime where the instrument provides sufficient sensitivity to the retrieved variables. Retrievals of effective radii were limited to 50 µm due to the lack of sensitivity above this threshold. Large amounts of water vapour also reduce sensitivity in the far-infrared and prevent retrieval of the thermodynamic phase, so the precipitable water vapour (PWV) is restricted to a maximum of 1 cm. The accuracy of spectral fitting is maintained by discarding spectra whose root-mean-square difference (between the observed and final-iteration simulated radiances in the spectral bands) is greater than 2 $\mathrm{mW \cdot m^{-2} cm^{-1} sr^{-1}}$. In addition, thick clouds (defined as $\tau \geq 6$) behave similarly to blackbodies and saturate the retrieval windows, while thin clouds ($\tau \leq 0.25$), do not provide a strong signal and can be difficult to disentangle from clear skies. In both these scenarios, limited information can be gained; thus these cases are also excluded. We have also made the assumption that clouds above 273 K are entirely liquid, and that homogeneous freezing nucleation ensures clouds below 233 K are entirely glaciated. Although the temperature at

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which homogeneous freezing can occur is somewhat higher than 233 K (e.g., Wood et al., 2002; Herbert et al., 2015), selecting a strictly lower bound ensures that we do not force the retrieval to a prior expectation. The effective size and optical depth limitations were filtered based on the retrieval output, while the PWV and temperature restriction were based on radiosonde data.

Table 3 shows the partitioning of available spectra at McMurdo and Eureka during each respective season. The fraction of all analyzed spectra (i.e., relative to spectra that have passed the radiometric QC outlined in Appendix A) for which the retrieval converged is provided. Note that hatch-closures from precipitation or instrument malfunction would be removed during the radiometric QC, and also that clear-sky scenes are included under non-converged spectra. To quantify how much data is discarded due to insufficient or saturated signal, the percentage of converged spectra that are removed due to the low and high τ limits are listed, along with the allocation to each phase classification.

Table 3. Cloud Statistics for McMurdo (top pane) and Eureka (lower pane) sorted by season. The converged column refers to the percentage of analyzed spectra for which a retrieval converged. Note that clear-sky scenes are considered non-converged retrievals under this classification. The remaining columns provide the percentage of spectra, relative to the number of converged spectra, which were filtered out due to low signal $\tau < 0.25$, spectral saturation $\tau > 6$, and clouds which were determined to be ice, mixed, and liquid phase. Values may not sum to 100% due to rounding.

McMurdo						
Season	**Converged**	**Low τ**	**High τ**	**Ice**	**Mixed**	**Liquid**
	as % of total spectra	———	as % of converged spectra	———		
Winter (JJA)	53.8	17.8	5.1	53.2	18.6	5.2
Spring (SON)	40.4	14.0	6.6	37.7	31.7	10.0
Summer (DJF)	43.4	15.8	5.9	31.2	37.4	9.7
Autumn (MAM)	56.6	16.5	7.8	36.8	33.0	5.9
Full Year	49.4	16.4	6.3	40.6	29.4	7.3

Eureka						
Season	**Converged**	**Low τ**	**High τ**	**Ice**	**Mixed**	**Liquid**
	as % of total spectra	———	as % of converged spectra	———		
Winter (DJF)	19.7	28.0	0.3	21.5	31.2	18.9
Spring (MAM)	24.7	29.2	1.5	24.3	15.9	28.9
Summer (JJA)	32.2	0.2	23.0	1.8	11.2	63.8
Autumn (SON)	40.6	7.8	6.3	13.3	23.6	48.9
Full Year	30.7	13.4	8.6	14.1	19.5	44.5

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3 Results and Discussion

3.1 Arctic: Eureka

Retrievals of microphysical and optical properties of clouds at Eureka were performed using CLARRA for the period between 2006 and 2022. However, lidar data (and correspondingly, a cloud mask) were available only from 2006 to 2008 (AHSRL), and 2016 to 2018 (CRL), which means retrievals during other periods depend on E-AERI-retrieved cloud boundaries. Two datasets are presented, one utilizing retrieved cloud boundaries, and the other employing lidar-prescribed cloud boundaries. These two time series are shown in Figs. 4 and 5, respectively. To assess the accuracy of cloud base height retrievals, a discussion evaluating retrieved and inferred cloud base heights is provided in Section B. The mean lidar-derived cloud base at Eureka is 1.8 km (as derived here and as reported by Shupe (2011)), while the mean E-AERI-derived cloud base is 1.4 km, and this low bias may manifest in the retrievals as warmer clouds. Appendix Fig. B2 shows the monthly distributions of the retrieved variables when using E-AERI vs CRL-derived cloud boundaries. Among the retrieved parameters, only the effective radius retrieval exhibits a notable sensitivity to the choice of cloud boundary source, although the seasonal cycle remains broadly consistent across both methods. The discussion in this section as well as in any following comparisons between Eureka and McMurdo Station is based on the set of retrievals during which an AHSRL or CRL-based cloud mask is available. Both sets of retrievals are provided in the associated dataset.

As shown in the top two panels of Fig. 5, we find that at Eureka there is a significant seasonal variation in the optical depth and ice fraction. The optical depth reaches its maximum in summer and fall (mean of $\sim$4.5), coinciding with the period of the year with the largest climatological liquid water content (Weaver et al., 2017), with smaller values in the winter and spring (mean of $\sim$1), although there is a large spread within each season.

Table 3 indicates that clouds above Eureka are found to strongly feature liquid, including single-phase liquid, during the summer. The mean ice fraction varies from 0.1 in summer to 0.6 during the winter and spring, as seen in Fig. 5. Mixed-phase clouds are found throughout the year, with the highest frequency occurring from December to February ($\sim$40% of all analyzed clouds are mixed-phase), the lowest from June to August ($\sim$15%), which are comparable to those reported by Zhang et al. (2019) at Utqiagvik (71.3° N, 156.8° W). Because of the strong interaction between longwave radiation and cloud liquid, it is assumed that the variation observed in optical depth is driven, in part, by the associated seasonal variability of cloud phase. However, there are two important caveats when considering ice fraction determined from these retrievals. First, the generally-larger optical depths of liquid compared to ice, which are heavily influenced by the generally-smaller effective radii of liquid droplets, reduce the ice fraction, since it is given in terms of optical depth. Second, because of discrepancies between the sensitivity of AERIs and active radar and lidar to some winter clouds (as noted in Section 2.2.1 and Shupe (2011)), and because instrument issues meant measurements were more discontinuous over the winter months than in the summer, the proportion of ice clouds that is reported here is likely understated. Nonetheless, it can be seen that Eureka sees large seasonal variation in cloud phase, as may be expected from significant differences in temperature, heat, and moisture between seasons (Lesins et al., 2010; Shupe, 2011; Cox et al., 2012; Zhang et al., 2019).

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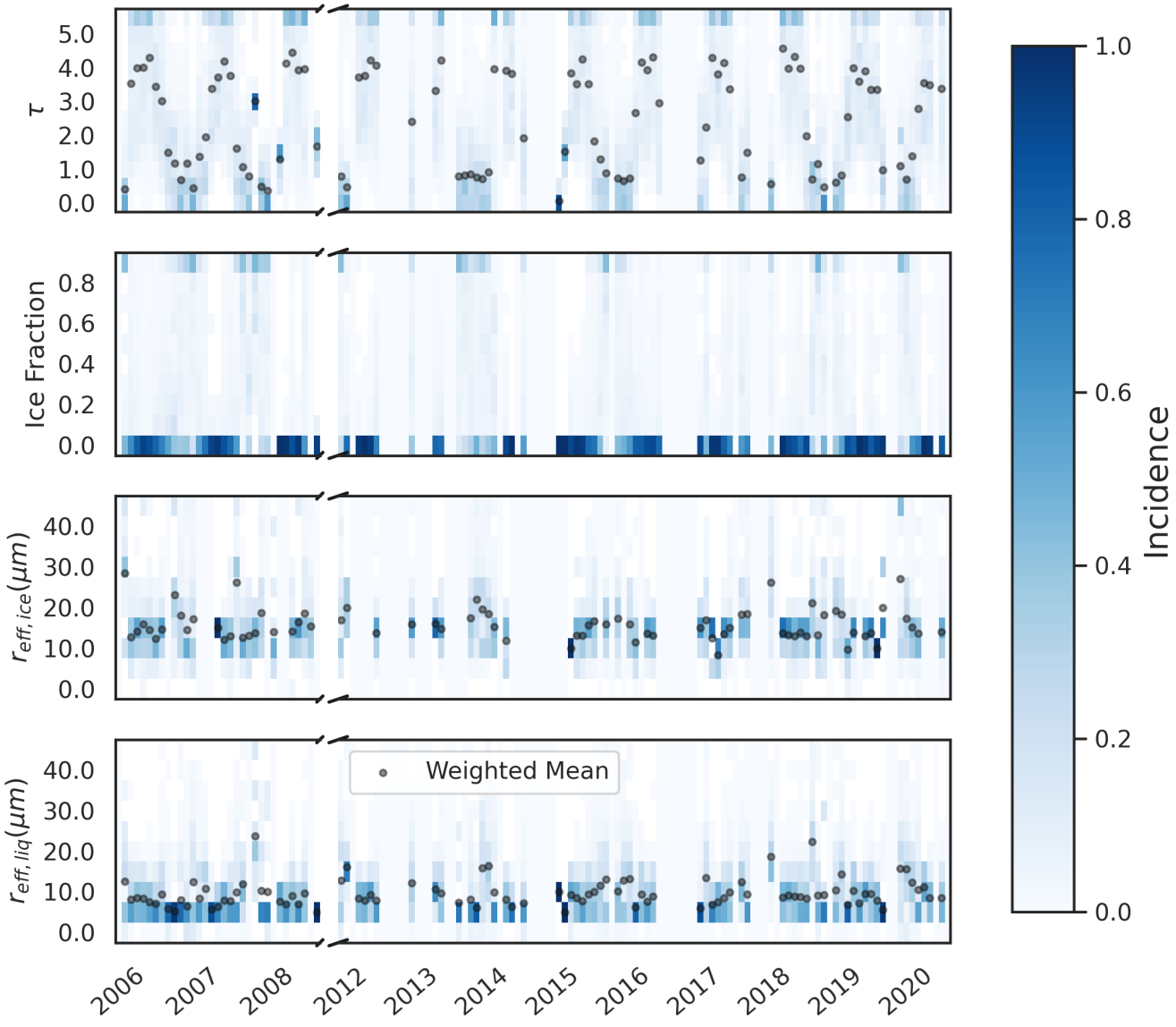

Figure 4. CLARRA-retrieved cloud microphysical and optical variables at PEARL in Eureka, from 2006 to 2020, using effective cloud boundaries inferred with CO_2 slicing. Note that there was extended downtime of the E-AERI in 2020 and 2021 due to restricted site access, and so the time series is only plotted to 2020, although retrievals were performed for several months in 2022. From top to bottom, each row shows the monthly distributions (minimum 50 measurements) for, respectively, τ, i_{frac}, $r_{eff,ice}$, and $r_{eff,liq}$. The shading in each subplot represents the frequency of occurrence for values in the range, with each point denoting the monthly mean.

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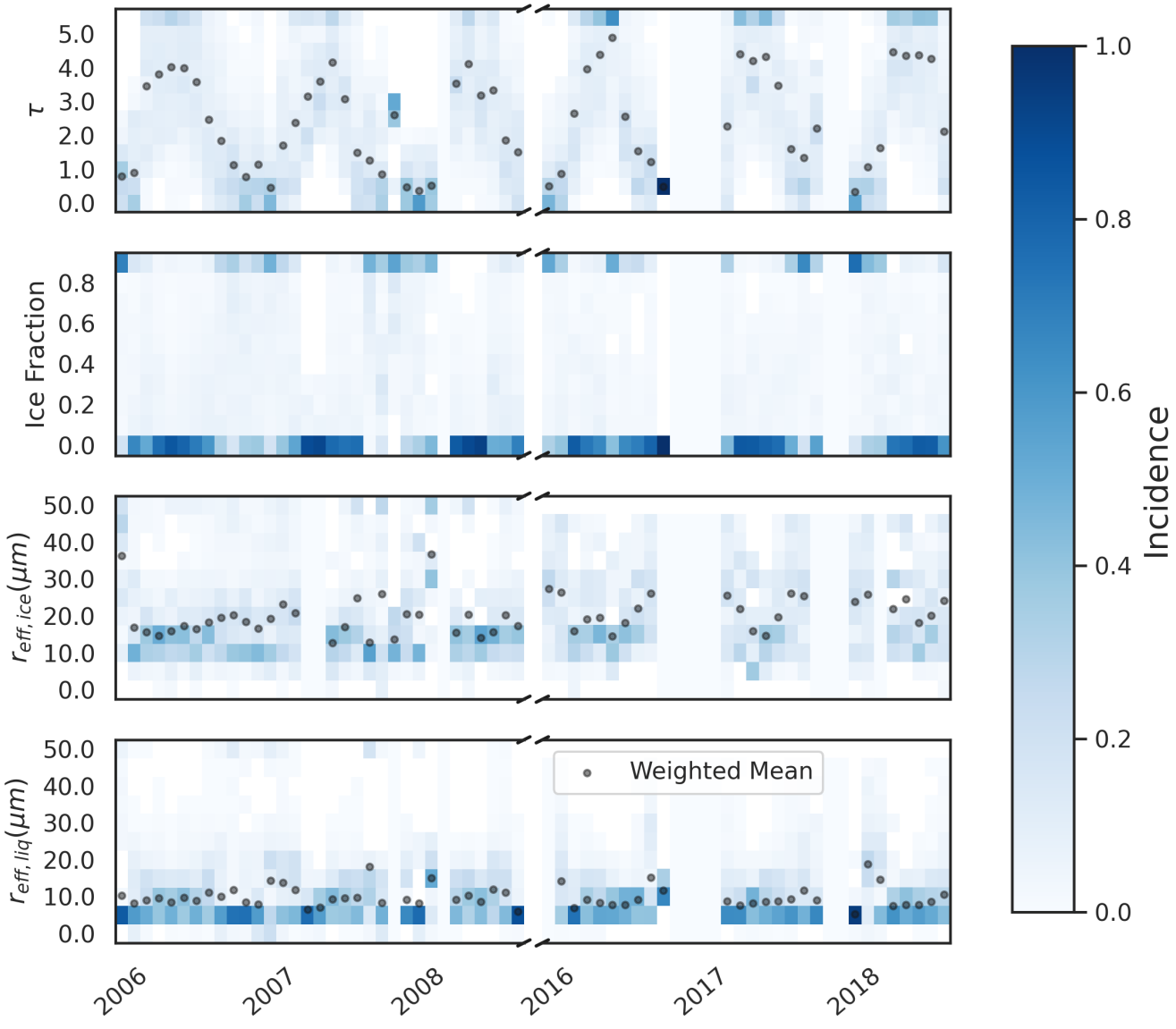

Figure 5. Same as Fig. 4, except using lidar-prescribed cloud boundaries, from 2006 to 2008 (AHSRL) and 2016-2018 (CRL).

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The retrievals indicate a significant but relatively weak relation between ice fraction and temperature ($r^2 = 0.31$), with very little correlation within each phase (i.e., ice, liquid, and mixed-phase clouds all exist at a wide range of temperatures). We find that mixed phase clouds occur throughout the year, which is supportive of the unique persistence of some Arctic mixed-phase clouds. This is in agreement with other studies in the Arctic (e.g., Morrison et al., 2012, and references within), but in contrast to expectations from (for example) the Wegener–Bergeron–Findeisen (WBF) process, whereby lower equilibrium vapour pressure of ice compared to liquid water suggests that ice crystals will grow by vapour deposition at the expense of liquid water, resulting in a relatively short-lived mixed-phase state with large ice crystals. Moreover, our retrievals indicate that in mixed-phase clouds, the ice effective radius is *smaller* than in single-phase ice clouds. Figure 6b shows that the mean ice effective radius is 19 μm in mixed-phase clouds, compared to 29 μm in single-phase clouds, in keeping with previous observations by Turner (2005) and Cox et al. (2014). Contributing reasons for this counterintuitive observation may be, firstly, due to loss of the the largest crystals by precipitation, and secondly, as postulated by Turner (2005) and Cox et al. (2014), that uncertainties in ice habit can lead to large, but indeterminate, errors − for example, dendrites and aggregates are common in mixed-phase clouds, and in the infrared, may be interpreted as collections of smaller crystals (e.g., Grenfell and Warren, 1999; Turner, 2005). In such cases, the retrieved smaller effective radius may more accurately reflect the sizes of individual dendrite branches rather than the dendrite as a whole. Furthermore, this also means that ice effective radius retrieved from infrared spectra are not directly comparable with in-situ measurements, and that these retrieved values should be treated as a radiative parameterization rather than a reflection of physical dimension.

3.2 Antarctic: McMurdo Station

Cloud properties were also inferred from ARM AERI spectra recorded during the AWARE campaign, between December 2015 to January 2017, using cloud boundaries prescribed from a HSRL. Retrieved cloud properties are provided in Fig. 7, and key statistics summarized in Table 3. Immediately, it can be noted that among the sampled clouds, very few liquid clouds occur above McMurdo, and thus most clouds contain at least some ice throughout the year. This may be because of the generally cooler environment or more efficient initial ice nucleation. Liquid-only clouds were also almost always supercooled. Note that references to seasons at McMurdo are offset by 6 months against seasons at Eureka, and are referred to hereafter as austral seasons. This bias may be significant as smaller, supercooled, liquid droplets will generally have larger albedo (at solar wavelengths). Similar to Eureka, the effective radius distributions shown in the Figs. 6c,d and 7 are generally log-normal, with the mean being larger than the median value, signifying a long tail of large outliers. Although the mean optical depth follows a clear seasonal cycle (maximum in the austral summer and minimum in the austral winter), within each month, there is a large range of values. Single-phase ice clouds feature strongly during the winter, with observations indicating that the wintertime distribution is shifted towards slightly thinner clouds consisting of larger ice crystals as compared to the summer. Mixed-phase clouds are common throughout the year, especially during the summer and autumn, where more than 45% of all analyzed clouds are observed to be mixed-phase, reaching a maximum of 60% in September.

When liquid is present in clouds, it is usually supercooled − the mean cloud temperature is 252 K for liquid, and 251 K for mixed-phase clouds (and 244 K for ice clouds), with this prevalence of supercooled liquid having cascading impacts

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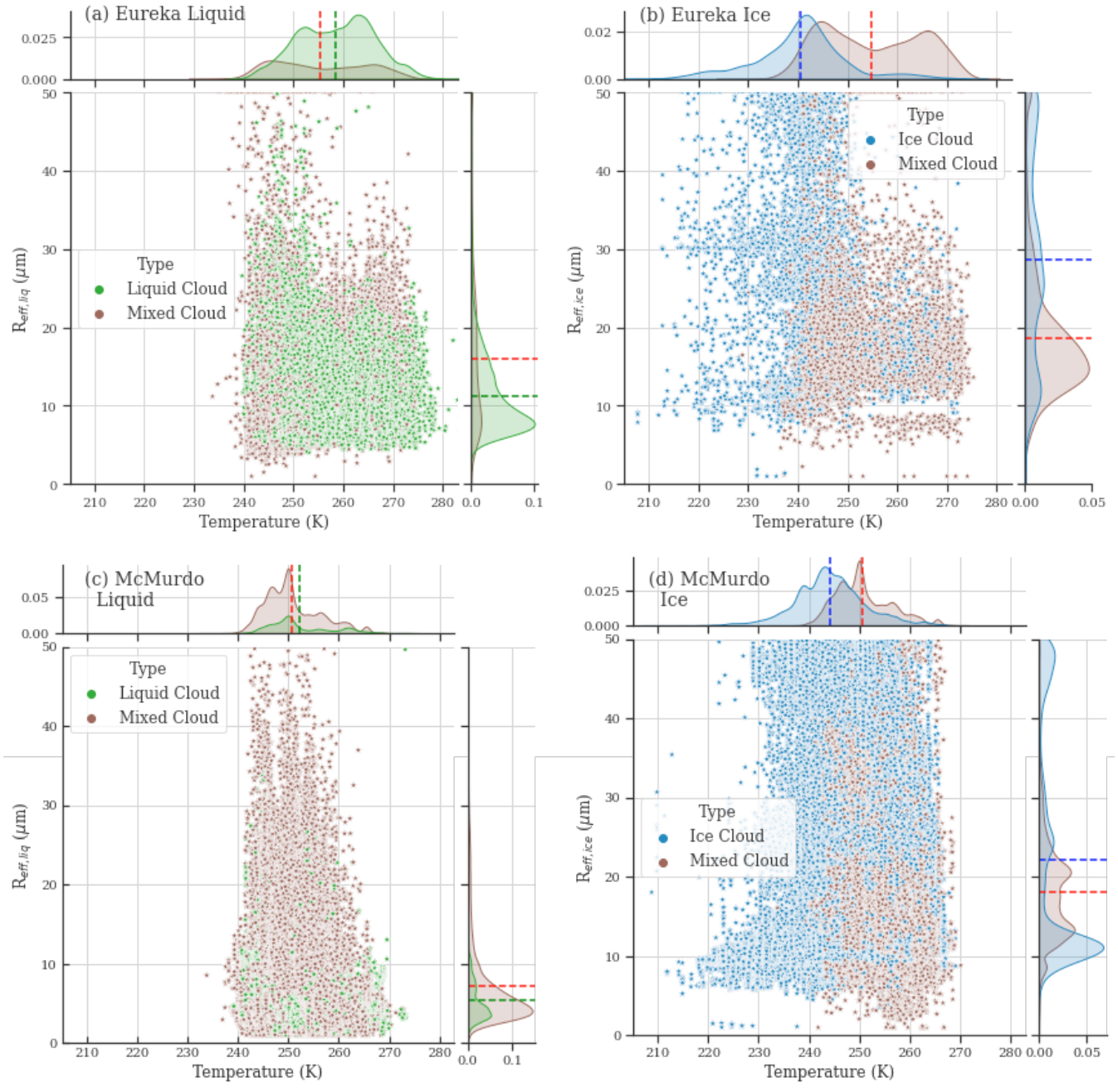

Figure 6. Distributions of (a) $r_{\text{eff, liq}}$ and (b) $r_{\text{eff, ice}}$ vs. cloud temperature derived from P-AERI (2006-2008) and E-AERI (2016-2018) measurements at Eureka, and (c) $r_{\text{eff, liq}}$ and (d) $r_{\text{eff, ice}}$ vs. cloud temperature derived from the ARM AERI (2016) measurements at McMurdo Station. Each observation is coloured based on the respective phase classification, as ice (blue), mixed (red), or liquid (green). The normalized marginal distributions for each variable are shown on the respective axis, with the mean of each distribution plotted with the respective colored dashed line.

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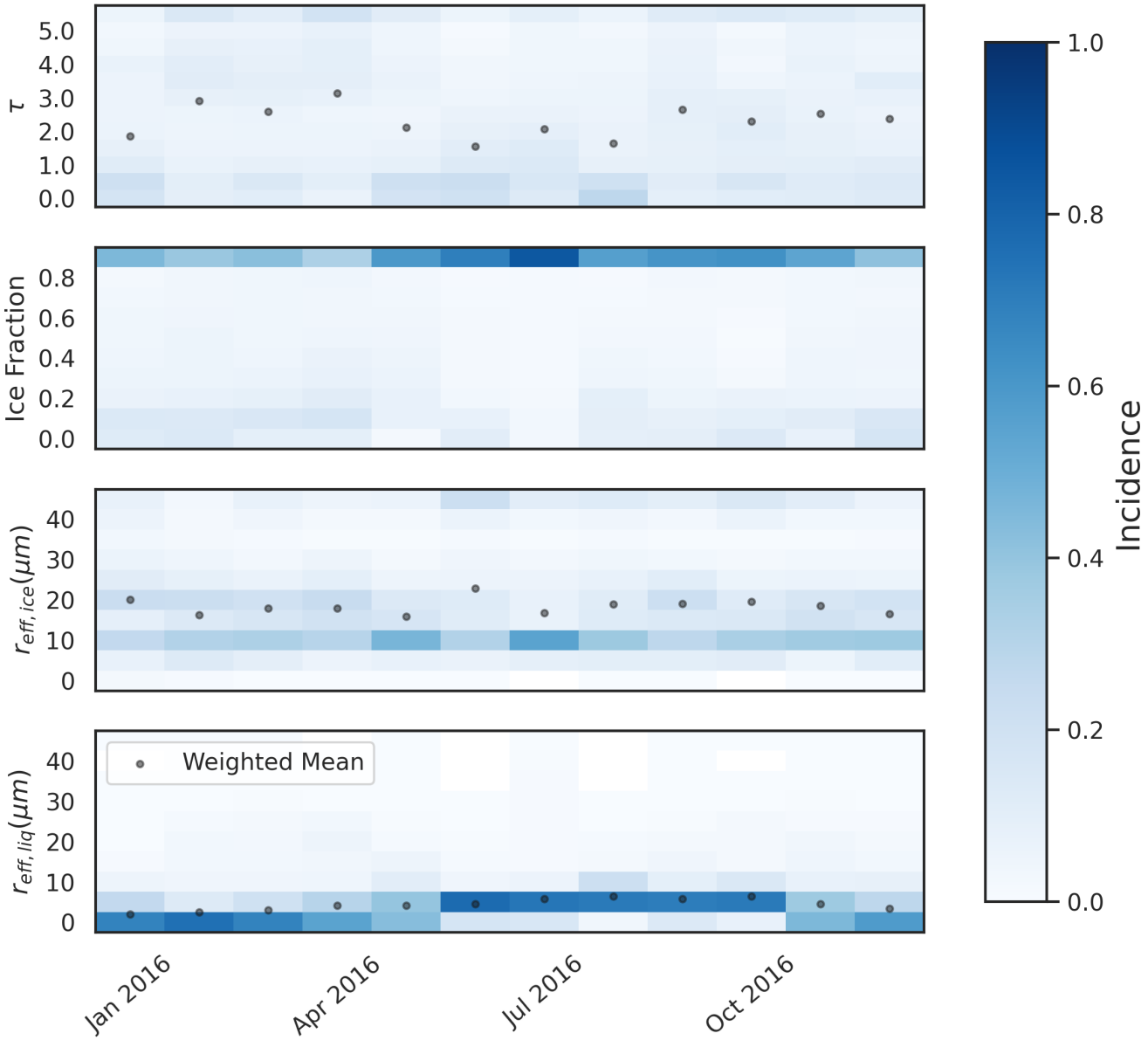

Figure 7. Same as Fig. 4, but for retrievals with the ARM AERI at McMurdo in 2016.

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on adjacent cloud interactions such as cloud albedo, precipitation efficiency, and radiative forcing (e.g., Bodas-Salcedo et al., 2016). The similarity in temperature between liquid and mixed-phase clouds also implies that a sizable portion of ice formation is occurring at low temperature, which is possible through either primary formation through INPs or some SIP processes that are active at colder temperatures (e.g., Field et al., 2017). However, the influence of any SIP process is highly uncertain and would be influenced additionally by external variables such as aerosol load and type, vertical motion/turbulence, and the humidity and cloud persistence. The median ice cloud temperature being only a few degrees warmer than the temperature at which homogeneous freezing may begin to occur (e.g., Herbert et al., 2015) also indicates that it is common for some supercooled liquid in clouds to persist until temperatures approach the lower threshold for liquid water. To reiterate, for our retrieval, liquid is only forced to ice at 233 K, so this transition is a signal from the data, rather than a retrieval setting.

Although only one complete seasonal cycle was observed in Fig. 7, the effective radius retrievals reflect a lack of seasonality at McMurdo, and are strongly clustered around the monthly means. However, the seasonal differences are consistent with variation in atmospheric conditions, and are likely related to the atmospheric aerosol load whereby higher aerosol loads provide additional CCN, serving to reduce the liquid effective radius by distributing the total available water between more droplets (commonly referred to as the Twomey effect). Our observations indicate that the maximum liquid effective radii occur during the austral winter, coinciding with the yearly minimum aerosol concentration at some Antarctic sites (e.g., Liu et al., 2018; Hong et al., 2020; Lubin et al., 2020; Filonchyk et al., 2025). As the Antarctic coast of the Southern Ocean is a biologically productive region, this may be an interesting avenue for future work given the potential for marine biogenic sources of INP (e.g., Wilson et al., 2015).

3.3 Polar comparisons

Given the large differences between the Arctic and Antarctic environments, it can be expected that the varied cloud nucleation and microphysical processes at the two locations will result in differing cloud properties, particularly for mixed-phase clouds, where differences in the type and concentrations of INP between the Arctic and Southern Ocean (e.g., Tan et al., 2014; Wilson et al., 2015; Vergara-Temprado et al., 2018; McCluskey et al., 2018; Wex et al., 2019; Filonchyk et al., 2025) mean that initial ice formation will differ between the two regions. In addition, because ice crystal concentration often far exceeds those predicted by primary ice nucleation, secondary ice production is also important in evaluating regional differences (Field et al., 2017, and references within). While ice-ice collision and droplet shattering are important processes in both polar regions (e.g., Young et al., 2019; Sotiropoulou et al., 2021; Pasquier et al., 2022), other processes, such as rime shattering, are inadequately represented in models or are highly sensitive to CCN and INP concentration (Atlas et al., 2022; Schäfer et al., 2024). Models indicate that primary and secondary ice production rates differ between the poles, as well as induced factors such as liquid-ice partitioning and cloud radiative forcing (Zhao and Liu, 2021). Thus, baseline datasets of key cloud parameters are important for driving and validating how cloud process are represented in models, especially in the polar regions, although it is important to note the extent to which infrared-derived cloud properties are sensitive to secondary ice production is unclear. The availability of moisture in summer also differs between Eureka and McMurdo, with radiosonde measurements indicating monthly mean PWV of 5 mm at McMurdo and 8 mm at Eureka in January and July, respectively, and the mean during winter months is similar

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at both locations (around 1 mm). This means that the limit on PWV (1 cm) results in some measurements during the warmer and more humid summer being filtered out.

At McMurdo, liquid effective radii are clustered around the mean value of ∼5 µm (Fig. 6c), while at Eureka, liquid droplets are generally larger, with the mean being ∼11 µm (Fig. 6a). The seasonal cycle reaches a minimum during the winter at Eureka, which is significantly different than McMurdo, where the minimum occurs during the summer (Fig. 9). These differences may be indicative of fundamental differences in liquid-containing cloud processes and/or aerosols between the two locations, under the caveat that the 2016 McMurdo data may have been anomalous in some way.

Ice crystal effective radii at McMurdo are also clustered around ∼12 µm with a long tail of larger values (Fig. 6d), while ice crystal effective radii at Eureka are highly variable (Fig. 6b). This is consistent with comparisons between McMurdo and other Arctic sites, and is likely due to a combination of factors, including the atmospheric water content, local meteorological conditions, and availability of CCN/INP (e.g., Silber et al., 2019; Lubin et al., 2020; Zhang et al., 2022). Because of the short time series, and that the $25-75^{\text{th}}$ percentile (Fig. 9) overlaps between both locations for all months, no clear conclusions can be drawn about seasonality of the McMurdo dataset. However, as discussed in Sections 3.1 and 3.2, both Eureka and McMurdo have a wide spread of effective radii at most temperatures, with very little correlation between r_{eff} and temperature ($r^2 < 0.1$ in all cases). So, although temperature is an important factor in cloud formation, it is not strongly correlated with the hydrometeor effective radius at either location. This being said, it is possible that the ice crystal habit may result in site-specific errors. For example as seen in Fig. 6, a much larger fraction of the mixed-phase clouds at Eureka exist within the the dendritic growth regime (approximately 253 - 263 K; e.g., Takahashi, 2014) compared to at McMurdo, which, as previously discussed, may influence the retrieved ice effective radius. This may contribute to the mixed-phase $r_{\text{eff, ice}}$ distributions seen in Fig. 6b,d, which are concentrated at Eureka around a single peak at ∼16 µm but which is bimodal at McMurdo.

The dependence of cloud optical thickness on temperature is highly site specific. At Eureka, temperature has some explanatory power on the variability of optical depth for all clouds ($r^2 = 0.38$ for the data in Fig. 8a). However if we examine only cold clouds, this correlation disappears ($r^2 < 0.05$ for clouds with $T < 240$ K), due to the prevalence of thin ice clouds. Conversely, if we exclude these cases, temperature becomes a more powerful driver for optical depth, particularly for mixed phase clouds ($r^2 = 0.40$ for mixed phase clouds when $T > 240$ K). Because the phase classification is directly a ratio of $\tau_{\text{ice}}/\tau_{\text{total}}$, the observed relation between τ and temperature is consistent with optical depth being influenced by microphysical processes within supercooled liquid clouds and phase changes, which have previously been shown to be significant in the mid-latitudes by Tan et al. (2019) and at the ARM NSA site by Coulbury et al. (2026). On the other hand, at McMurdo there is essentially no temperature dependence ($r^2 = 0.13$), owing to the fact that the temperature distribution is much more tightly clustered compared to Eureka (e.g., 53% of clouds have temperature between 240 and 250 K). Thin and cold ice clouds are also commonly observed, with 16% of all clouds being ice clouds having $T < 245$ K and $\tau < 1$. The mean temperature of clouds is similar at McMurdo and Eureka for mixed-phase clouds (251 K at McMurdo vs. 254 K at Eureka) and ice clouds (244 K at McMurdo vs. 241 K at Eureka), and significantly cooler at McMurdo for liquid clouds (252 K at McMurdo vs. 259 K at Eureka), suggesting that initial ice formation occurs at a lower temperature at McMurdo.

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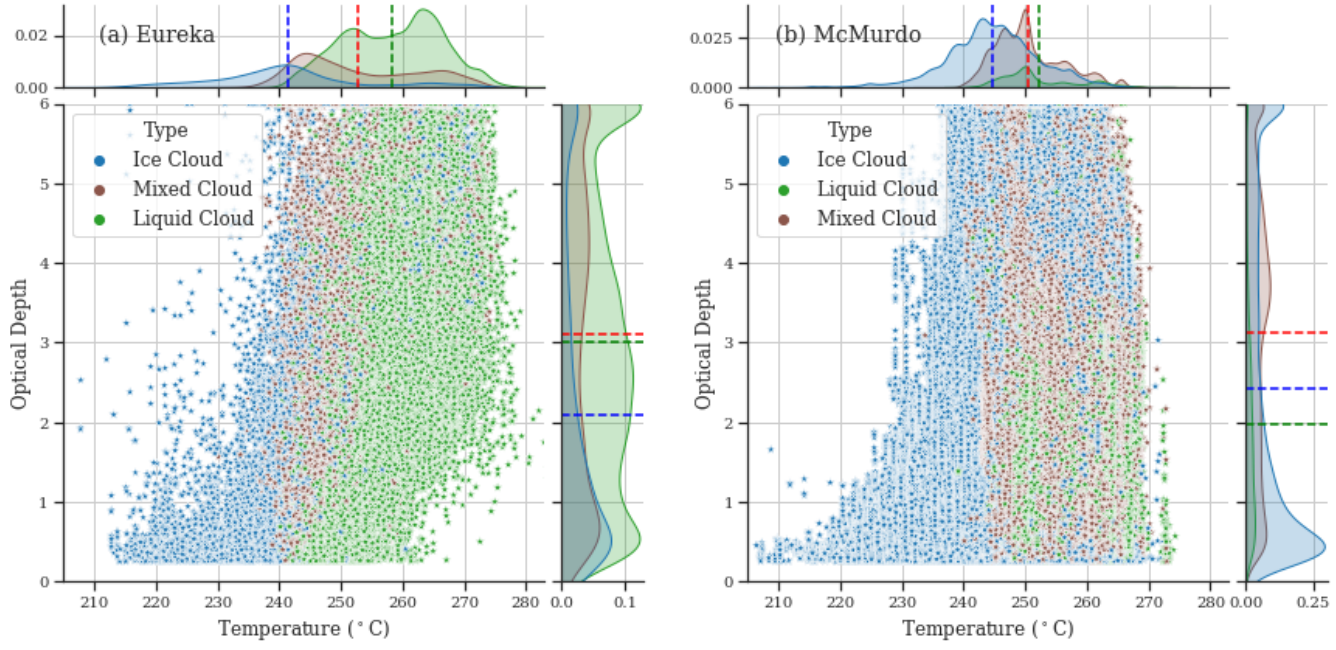

Figure 8. Optical depth vs. temperature for McMurdo (left) and Eureka (right). The marginal plots for each axes show the proportion of measurements which are classified as each respective phase.

Overall, Eureka has a higher proportion of liquid clouds in the summer than McMurdo, while McMurdo has a higher proportion of ice clouds in the winter than Eureka, but at both locations, there are significant numbers of mixed-phase clouds throughout the year. Given the general short lifetime of mixed-phase clouds at lower latitudes, the prevalence of polar mixed-phase clouds implies that there are processes that extend their lifespan at high latitudes. Morrison et al. (2012) summarize that Arctic mixed-phase clouds may be sustained by feedback between a number of local processes (cloud hydrometeor growth, cloud-top radiative cooling, turbulence, and surface fluxes of heat and moisture), along with the ice sink being too weak to efficiently remove moisture. Mixed-phase clouds might also be sustained by topography induced updrafts (Lohmann et al., 2016). However, the extent to which these effects are pertinent at each location is not well known.

The seasonal cycles of optical depth, liquid effective radius, and ice effective radius are shown in Fig. 9. The variability of optical depth is similar at both locations, where the sampled clouds are generally thinner during the respective cold months (consistent with the expectation that the ice fraction is higher during these periods), but with large intra-month variance throughout the entire year, although the yearly range is larger at Eureka than McMurdo.

The liquid effective radius at McMurdo has a very well defined seasonal pattern, reducing steadily from the (austral) winter and early spring (∼8 µm) to summer (∼5 µm), and increasing thereafter. This differs compared to the annual cycle reported by Zhang et al. (2019), who find a peak of ∼10 µm in the summer with a large intra-month variability throughout the year. The most likely cause for this discrepancy is a combination of the restriction to stratiform mixed-phase clouds in that study,

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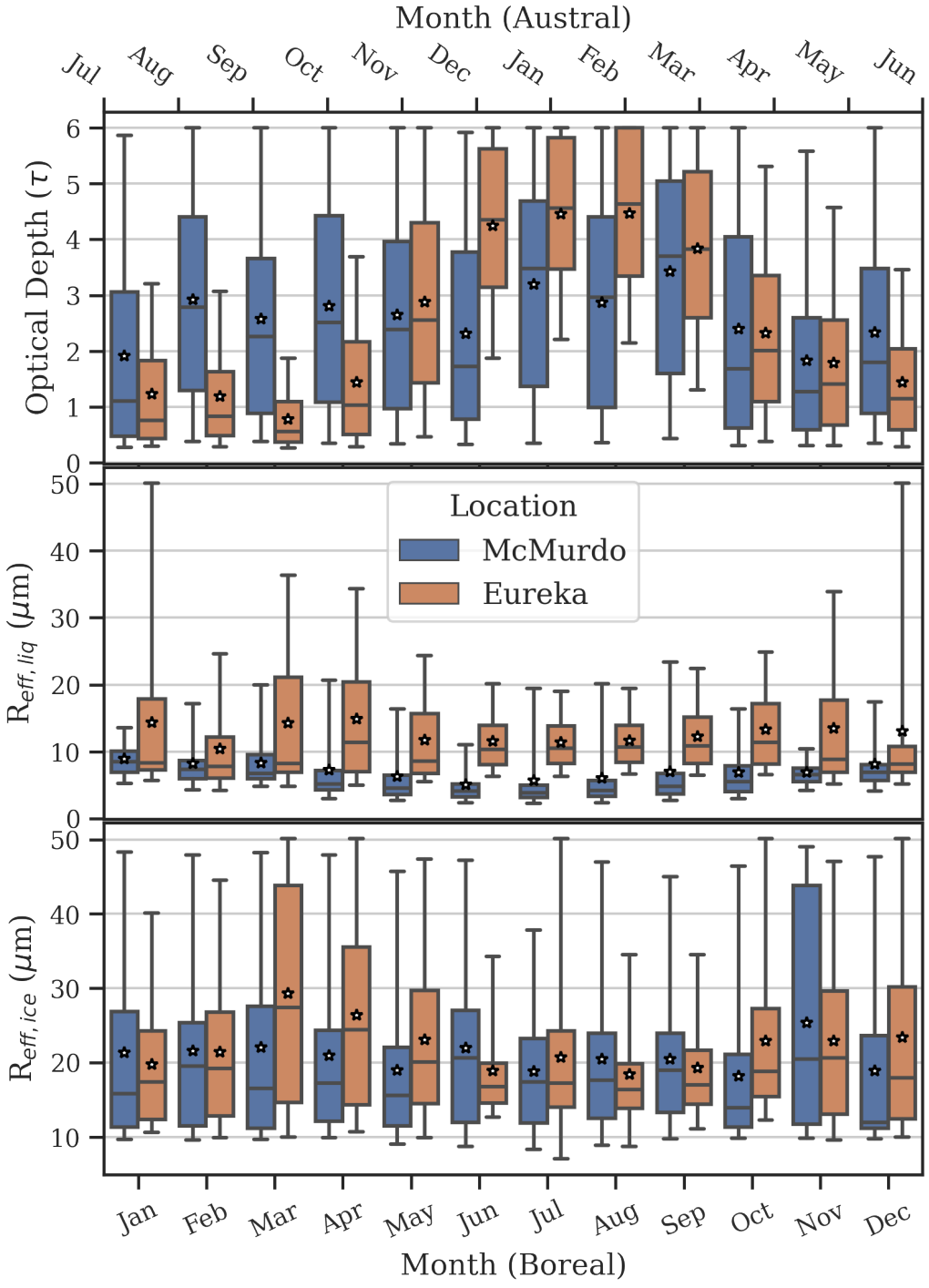

Figure 9. Monthly distributions as box-and-whisker plots showing the 5th, 25th, 50th, 75th, and 95th percentiles of the retrieved cloud optical depth, liquid r_{eff}, and ice r_{eff} at McMurdo (blue) and Eureka (orange). Stars overlaid on each bar indicate the respective monthly means. The McMurdo data have been offset to align the boreal and austral seasons. For Eureka, only retrievals which have co-incident lidar cloud mask are shown (i.e., 2006 to 2008, and 2016 to 2018 data).

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along with limitations inherent to the respective instrument sensitivities between lidar, radar, and infrared radiometry (e.g., see Section 2.2.1). On the contrary, at Eureka the liquid effective radius is highly variable, especially during the shoulder seasons (MAM, SON). We see a maximum in April and somewhat larger monthly means throughout the summer compared to the winter, although the intra-month variability is large. This annual cycle is broadly consistent with observations from other Arctic sites and the large spread of values in March and April especially may be related to the more variable aerosol loading during the Arctic spring (Shupe et al., 2005, 2015; deBoer et al., 2009; Cox et al., 2014; Lee et al., 2024). Analysis of mixed-phase stratiform clouds by deBoer et al. (2009) reveal distributions of liquid effective radius centered around 10 µm at Eureka, while Shupe et al. (2015) considered all clouds and found an annual cycle around 10µm with a minimum around the winter-spring transition at NSA. Cox et al. (2014) also report a mean liquid effective radius of 9 µm in liquid clouds and 7 µm in mixed-phase clouds at Eureka from 2006 to 2009. Our observations yield mean liquid effective radius of 11 µm and 16 µm for liquid-phase and mixed-phase clouds, respectively. Compared to Cox et al. (2014), the larger liquid effective radii in mixed-phase clouds may be because of the extended time series, mis-classification of ice as liquid by the retrieval, and that some configurations of cloud inhomogeneity (such as commonly-seen liquid-topped clouds) result in some bias for CLARRA retrievals (Rowe et al., 2019).

The ice effective radius has relatively little seasonal variation at both locations, and similarly to the liquid effective radius, the shoulder seasons have the widest spread of values. At Eureka, the maximum values are recorded during during the late spring months, March through April (∼26 µm), which is similar to Cox et al. (2014) but is less than those reported by deBoer et al. (2009) and Shupe et al. (2015). However, our retrievals necessarily under-report ice crystal size because we do not record (presumably large) precipitating ice crystals, which may be captured by other instruments. The large disparity of effective radius between the sites during the shoulder seasons may be because of the dramatic changes in the local environment that occurs in the Arctic at that time (i.e., seasonal aerosol cycles, moisture availability, and sea ice coverage). On the other hand, at McMurdo, there is no clear seasonal cycle and most months have similar intra-month variability. Extended measurements of Antarctic cloud characteristics are thus necessary to determine whether this lack of seasonality is representative of the typical Antarctic climatology. It should also be noted that the mean of the retrieved r_{eff} distributions is often much larger than the median (e.g., Fig. 9) for both liquid and ice effective radius at both Eureka and McMurdo, which is representative of a significant number of large outliers.

4 Summary and Conclusions

In this paper, we present retrievals of cloud optical depth (τ), thermodynamic phase (i_{frac}), and effective radius of liquid droplets ($r_{\text{eff,liq}}$) and ice crystals ($r_{\text{eff,ice}}$) over a multi-year period at Eureka, Canada (2006 to 2022), and for a full year at McMurdo Station (2016). Cloud properties were retrieved from measurements of downwelling thermal emission using AERI instruments at both locations, with complementary lidar data to prescribe cloud boundaries, when available.

Our observations indicate that liquid clouds are more common at Eureka than at McMurdo, and are present during all periods of the year, including during the coldest months, which is consistent with observations from other Arctic sites. However, the

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unique conditions at Eureka, reflecting the climate in the Canadian Arctic Archipelago, result in cloud characteristics differing from other Arctic sites (e.g., deBoer et al., 2009; Shupe, 2011; Cox et al., 2012; Shupe et al., 2013; Cox et al., 2014), that motivate similar retrievals at other Arctic locations which host AERI instruments, such as at the North Slope of Alaska or Summit Station. On the other hand, McMurdo has a greater proportion of ice clouds compared to Eureka, and the majority of these ice clouds at both sites have relatively low optical depths. The temperatures at which ice- and mixed-phase clouds are found are similar between the two sites (means around ∼240 K for ice clouds and ∼250 K for mixed-phase clouds, respectively at both sites), while liquid clouds are, on average, significantly warmer at Eureka (∼250 K at McMurdo vs ∼260 K at Eureka).

Finally, effective radius is not well correlated with temperature, which may imply that many microphysical processes, especially those involving secondary ice production, occur at overlapping temperature ranges but yield clouds of similar infrared radiative properties.

Mixed-phase clouds are commonly observed throughout the year at both locations. These clouds constitute a greater percentage of all analyzed clouds at McMurdo (37% at McMurdo, versus 25% at Eureka), but with highest occurrence at different times of the year - December and January at Eureka (46%) and September at McMurdo (58%). The effective radius also differs between regions, likely owing to different aerosol, radiative, and dynamical drivers. Liquid droplets are smaller at McMurdo compared to Eureka (mean of 7 μm vs 12 μm), and have different seasonal variation: at McMurdo, the liquid effective radius is smallest during summer and fall, while for Eureka it is largest in the spring. The ice effective radii are similarly sized at both locations and do not display any strong seasonal variation, except for a small springtime enhancement at Eureka. While the ice effective radius is almost always larger than the liquid effective radius (as would be consistent with physical intuition due to, for example, the large differences in growth rates and the WBF process), the challenges in relating infrared-retrieved ice effective radius to physical ice crystal sizes mean that this comparison is limited to the radiative parameterization. Regardless, the ice effective radius in mixed-phase clouds is found to be smaller than in single-phase ice clouds at Eureka and McMurdo, which has implications for the cloud scattering and albedo in each region (e.g., Diedenhoven et al., 2014). This could be due to misclassification of liquid as ice by the model, to secondary ice formation, or because large ice crystals may have similar infrared radiative properties as more numerous smaller crystals, and so are indistinguishable to the AERI. Regardless, at both sites, the ubiquitous nature of mixed-phase clouds is in agreement with the persistent nature of such clouds in polar regions, and motivates their further study (e.g., Morrison et al., 2012).

Overall, we have demonstrated the ability of infrared emission measurements by AERI instruments at two field sites in both polar regions to provide a long-term, year-round dataset of cloud microphysical properties, for the subset of clouds that have emission features distinguishable in the infrared. This work expands on previous analyses of cloud microphysics from ground-based and satellite measurements, provides a valuable decadal time series of key cloud microphysical and optical properties, and highlights differences between the two polar sites based on passive infrared interferometry, which we hope will be useful in improving representation of cloud processes in climate modelling.

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Appendix A: AERI radiometric quality control

To ensure radiometric calibration of AERI measurements, each recorded spectrum is additionally verified against radiative transfer calculations using radiosonde and surface measurements as inputs. The quality control criteria are listed in Table A1, and each spectrum analyzed with CLARRA has passed all of these filters. These criteria were selected heuristically to filter spectra that are not consistent with the atmospheric thermodynamic state. To accomplish this, the selected spectral windows are defined:

$$\lambda_{\text{main}} = \{[600, 621], [624, 665], [672, 1400]\}\,\text{cm}^{-1};$$
$$\lambda_{\text{CO}_2} = \{[630, 665], [672, 700]\}\,\text{cm}^{-1};$$
$$\lambda_{680} = \{[675, 685]\}\,\text{cm}^{-1};$$
$$\lambda_{\text{win}} = \text{retrieval microwindows from Table 2 with lower wavenumber} > 770\ \text{cm}^{-1};$$
$$\lambda_{\text{atm}} = \text{retrieval microwindows from Table 2 with lower wavenumber} > 815\ \text{cm}^{-1} \text{and} < 930\ \text{cm}^{-1}$$

With the following radiance functions:

$$R_{\text{m}}(\lambda) = \text{measured zenith-sky radiance at window } \lambda;$$
$$R_{\text{c}}(\lambda) = \text{simulated clear-sky radiance at window } \lambda;$$
$$B(T, \lambda) = \text{Planck function at temperature } T \text{ and window } \lambda,$$

and with T_{max} denoting the maximum tropospheric temperature and T_{surf} the surface temperature. The selection windows and thresholds are designed to be relatively agnostic to clouds, except for the filter on the last line of Table A1, that is designed to remove measurements taken while the front-end enclosure hatch is closed. Although this will also remove some spectra from thick cloud scenes, the AERI is insensitive to such clouds and such retrievals would be filtered out as part of the retrieval QC in any case.

Appendix B: The effect of cloud height retrieval on retrieved properties

CO_2 slicing/sorting (e.g., Menzel et al., 1983; Mahesh et al., 2001; Holz et al., 2006) is used to provide an estimate of the physical cloud boundaries from infrared spectroradiometers. These techniques have originally been developed to retrieve cloud boundaries for satellite instrumentation by taking advantage of variations of the CO_2 emission in the ν_2 emission band (approximately 660 - 750 cm^{-1}). Rowe et al. (2016), and references within, have adapted this technique for retrieving an effective cloud height from simulated downwelling infrared emission spectra. Here, we compare the E-AERI-derived effective cloud height to the lidar-derived cloud base height (CBH), which is treated as the true CBH. Rowe et al. (2016) found that the most accurate retrievals occur when the CBH is low, and cloud signal is strongest (i.e., for moderately thick clouds when there is no temperature inversion, and a strong temperature gradient exists). However, when clouds form within or adjacent to temperature

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Table A1. Radiometric quality control thresholds. All thresholds are given in radiance units (RU) of mW/(m^2 sr cm^{-1}).

Function	Metric	Comparison	Threshold (RU)
any	$R_{\mathrm{m}}(\lambda_{\mathrm{win}})$	$<$	-7.0
mean	$R_{\mathrm{m}}(\lambda_{\mathrm{win}})$	$<$	-1.0
any	$R_{\mathrm{m}} - R_{\mathrm{c}}$	$<$	-11.0
any	$R_{\mathrm{m}}(\lambda_{\mathrm{win}}) - R_{\mathrm{c}}(\lambda_{\mathrm{win}})$	$<$	-7.0
any	$R_{\mathrm{m}}(\lambda_{680}) - R_{\mathrm{c}}(\lambda_{680})$	$<$	-3.0
any	$R_{\mathrm{m}}(\lambda_{680}) - R_{\mathrm{c}}(\lambda_{680})$	$>$	3.0
any	$B(T_{\text{trop-max}}, \lambda_{\mathrm{main}}) - R_{\mathrm{m}}(\lambda_{\mathrm{main}})$	$<$	-10.0
any	$B(T_{\mathrm{max}}, \lambda_{\mathrm{win}}) - R_{\mathrm{m}}(\lambda_{\mathrm{win}})$	$<$	-2.0
mean	$B(T_{\mathrm{max}}, \lambda_{\mathrm{CO_2}}) - R_{\mathrm{m}}(\lambda_{\mathrm{CO_2}})$	$<$	-1.0
mean	$B(T_{\mathrm{max}}, \lambda_{\mathrm{CO_2}}) - R_{\mathrm{m}}(\lambda_{\mathrm{CO_2}})$	$>$	20.0
mean	$B(T_{\mathrm{surf}}, \lambda_{\mathrm{CO_2}}) - R_{\mathrm{m}}(\lambda_{\mathrm{CO_2}})$	$>$	2.0
mean	$B(T_{\mathrm{surf}}, \lambda_{680}) - R_{\mathrm{m}}(\lambda_{680})$	$<$	-3.0
mean	$B(T_{\mathrm{surf}}, \lambda_{atm}) - R_{\mathrm{m}}(\lambda_{atm})$	$<$	-4.5

or moisture inversions, which are common in polar conditions (e.g., Silber et al., 2019), this method can fail to provide robust results due to the degeneracy introduced in such scenarios.

Here, we compare the E-AERI-derived effective CBH to the lidar-derived CBH, which is treated as the true CBH due to the greater sensitivity of the lidar. We do not compare the cloud top height due to the limited sensitivity of the E-AERI to that variable for even moderately thick clouds. Figure B1 illustrates the effectiveness of CO_2 slicing for retrieving CBH at Eureka from AERI measurements by comparing to the CRL CBH from 2016 to 2018. The error (i.e., difference between E-AERI and CRL-derived CBH) is plotted as a 2D histogram as a function of the CRL-derived CBH and optical depth, which classifies the difference based on expected cloud signal regimes. The E-AERI, in general, underestimates the CBH (i.e., places the CBH at a lower level than the CRL), except near the surface where it overestimates. Low-level clouds are more accurately placed when compared to the lidar-derived values. The agreement is worse given clouds for which the spectral intensity is weak or are close to saturating the spectrum, ($\tau \leq \sim 1.5$ or $\tau \geq \sim 5.5$), but estimates remain closest to those of the lidar below $\sim$3 km for intermediate optical depth clouds.

Figure B2 additionally shows the monthly distributions of the retrieved variables when using E-AERI vs CRL-derived cloud boundaries. Among the retrieved parameters, only the effective radius retrieval exhibits a notable sensitivity to the choice of cloud boundary source, although the seasonal cycle remains broadly consistent across both methods and differences between the two retrievals are inconclusive from this comparison.

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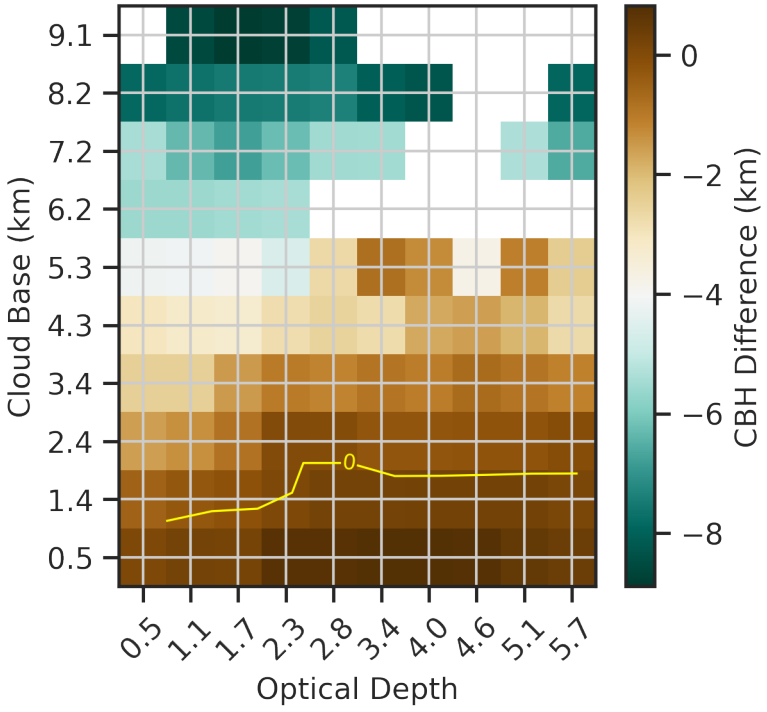

Figure B1. Difference in cloud base height (CBH) between the CBH derived from the CANDAC Raleigh-Mie-Raman Lidar (CRL) and E-AERI (CRL minus E-AERI), as a function of the cloud optical depth and CRL-derived CBH. The zero contour level of the CBH error is shown in yellow, and the white tiles indicate no data.

Code and data availability. Retrievals of cloud microphysical and optical properties at Eureka (2006 to 2022) and McMurdo Station (2016) are made available at https://doi.org/10.5683/SP3/XSH1IJ (Hung et al., 2026).

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Competing interests. The authors declare that they have no conflict of interest.

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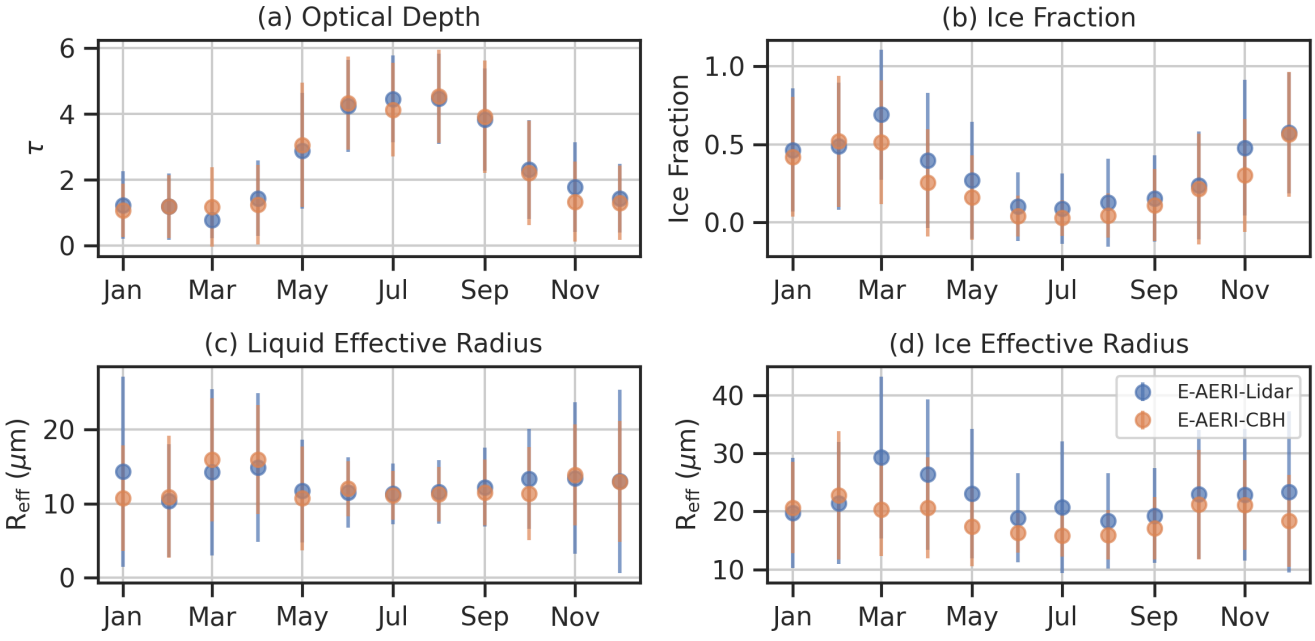

Figure B2. Monthly mean (vertical bars indicate $\pm$ 1σ) CLARRA-retrieved cloud microphysical properties at PEARL from E-AERI spectra between 2016 and 2018. The set of retrievals where cloud boundaries are derived from E-AERI spectra is plotted in orange, and those from adjacent lidar measurements from the CRL is shown in blue.

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