



# Global aerosol composition constraints from simultaneous data assimilation of satellite AOD and trace gas observations

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## Abstract.

The integration of satellite aerosol optical depth (AOD) and trace gas observations using data assimilation has the potential to improve our understanding of aerosol composition. This study evaluates these synergistic effects through combined constraints on total aerosols by AOD and on secondary aerosol formation by trace gases. The simultaneous data assimilation (DA) of NO<sub>2</sub>, SO<sub>2</sub>, CO, and HNO<sub>3</sub> from OMI, TROPOMI, MOPITT, and MLS, together with AOD from MODIS and VIIRS, improved aerosol analyses in most cases compared to conventional DA runs that separately assimilate AOD or trace gases satellite observations. Validation against independent surface observations of sulfate, nitrate, and ammonium (SNA), and PM<sub>2.5</sub> showed improved agreements by 6–98% compared to the conventional DA runs and the control simulation without any data assimilation. Notably, the reduction in PM<sub>2.5</sub> model biases exceeded that achieved by the conventional DA of AOD by 56% in Northeast Asia. These improvements were achieved by reduced SO<sub>2</sub> and soil dust emissions by 30% and 60% globally and increased NO<sub>x</sub> and carbonaceous aerosol emissions by 30% and 15%. The simultaneous DA provides even larger reductions in SNA and AOD biases by up to 25% and 48% respectively, when the current generation instruments (TROPOMI and VIIRS) is used, instead of the previous generation instruments (OMI and MODIS). This coupled aerosol and trace gas DA framework offers significant advantages for improving global aerosol composition analyses, informing policy decisions with co-benefits for air quality and climate, and optimizing the use of the current satellite observing network.

## 1 Introduction

Exposure to high concentrations of fine particulate matter smaller than 2.5 μm in diameter (PM<sub>2.5</sub>) adversely affects human health, causing respiratory and cardiovascular diseases, lung cancer, and premature mortality (Chen and Hoek, 2020). The global annual premature mortality caused by exposure to ambient PM<sub>2.5</sub>, in the present day (2015–2019), is estimated to be approximately 4–9 million (Cohen et al., 2017; Burnett et al., 2018). Current risks of ambient particulate matter in 2019 have increased by 67.7% compared to those in 1990 (Murray et al., 2020). Aerosols also affect the Earth’s radiative budget by



scattering and absorbing solar radiation. The radiative forcing relative to the pre-industrial era was estimated to be approximately  $1.1 \text{ W m}^{-2}$  (Smith et al., 2020) and partially masked the warming caused by greenhouse gases (IPCC, 2021). As the characteristics of an aerosol's microphysical structure (i.e., shape and size) and chemical composition determine its impacts on human health (Xue et al., 2021) and climate (Li et al., 2022a), detailed information on aerosol composition on a global scale is required for accurate impact assessments.

Satellite instruments have observed global distributions of aerosol optical depth (AOD) and vertical profiles of extinction coefficient for more than 20 years (Remer et al., 2024), including Advanced Very High Resolution Radiometer (AVHRR) (Hsu et al., 2017), Moderate Resolution Imaging Spectroradiometer (MODIS) (Sayer et al., 2013; Remer et al., 2020), Multi-angle Imaging SpectroRadiometer (MISR) (Garay et al., 2020), and Visible Infrared Imaging Radiometer Suite (VIIRS) (Lee et al., 2024). Furthermore, Polarization and Directionality of the Earth's Reflectances (POLDER) (Fu et al., 2020) and Cloud-Aerosol Lidar with Orthogonal Polarization (CALIOP) (Winker et al., 2013) provides more detailed information on aerosol size and vertical distributions, respectively. Long-term records of satellite AOD observations, such as MODIS and VIIRS, provide constraints on spatial and temporal variations in aerosols through direct modification of total aerosol concentrations with data assimilation technique (e.g., Randles et al., 2017; Yumimoto et al., 2017; Garrigues et al., 2022). For example, MERRA-2 aerosol reanalysis data (Randles et al., 2017) were produced by integrating satellite (AVHRR, MODIS, and MISR AOD) and ground-based remote sensing observations (AERONET AOD). These measurements have been applied for top-down estimation of aerosols and their precursor emissions (e.g., Huneus et al., 2013; Yumimoto and Takemura, 2015; Jin et al., 2022; Tsikerdekis et al., 2023). Tsikerdekis et al. (2023) estimated dust, OA, BC, and  $\text{SO}_2$  emissions using AOD, Ångström exponent, and single-scattering albedo derived from POLDER-3/PARASOL. However, analyses of aerosol chemical composition generally rely on forecast model information, as most AOD observations lack explicit information on aerosol composition.

Aerosol precursor gases represent another important source of information for constraining aerosol composition. Satellite observations of aerosol precursor gases, such as  $\text{NO}_2$  (Boersma et al., 2018; van Geffen et al., 2022),  $\text{SO}_2$  (Li et al., 2013; Theys et al., 2021),  $\text{NH}_3$  (Van Damme et al., 2014; Shephard and Cady-Pereira, 2015), HCHO (De Smedt et al., 2018), and  $\text{C}_5\text{H}_8$  (Wells et al., 2022), have been widely used to derive top-down emission estimates through data assimilation and inversion techniques (e.g., Miyazaki et al., 2021; van der A et al., 2024; Qu et al., 2022; Cao et al., 2022; Ding et al., 2024; Oomen et al., 2024). Our system with aerosol precursor emission analysis was uniquely able to capture spatial and temporal variations in secondary inorganic aerosols during the COVID-19 lockdown (Sekiya et al., 2023).

Satellite observations of aerosols and their precursors have not been jointly integrated into data assimilation applications in a fully coupled way that simultaneously optimize concentrations and emissions of aerosols and their precursor gases. Such integration is expected to provide improved constraints on individual aerosol components. In this study, we integrated satellite observations of AOD and trace gases using a state-of-the-art data assimilation technique, and evaluated their relative importance for constraining  $\text{PM}_{2.5}$  and its composition. Furthermore, we assessed the impact of assimilating the current generation satellite constellation consisting of Sentinel-5P/TROPOMI and Suomi-NPP + NOAA-20/VIIRS, and compared the results to an



assimilation of observations from the previous generation constellation consisting of the Aura/OMI and Terra + Aqua/MODIS instruments.

The remainder of this paper is organized as follows: Section 2 describes the observational data for assimilation and validation, the data assimilation system, and the experiment setting used in this study. Sections 3 and 4 present the relative importance of AOD and trace gas observations on aerosol composition analyses and emission estimates, respectively. Section 5 assesses the impacts of assimilating the current and previous generation satellite constellations. Section ?? discusses remaining challenges and implications for health and climate impact assessments. Section 6 summarizes the study.

## 2 Data and Methods

### 2.1 Data assimilation system

#### 2.1.1 Forecast model

We used the global chemical transport model CHASER V4.0 at a horizontal resolution of  $1.1^\circ$  with 32 vertical layers (Sudo et al., 2002; Sekiya et al., 2018), simulating tracer transport, emissions, dry and wet deposition, and chemical reactions (92 species and 262 reactions), including the ozone–HO<sub>x</sub>–NO<sub>x</sub>–CO–VOCs system and aerosol chemistry (sulfate–nitrate–ammonium, black carbon, organic carbon, dust, and sea salt). Aerosol thermodynamic equilibrium for the SO<sub>4</sub><sup>2-</sup>–NO<sub>3</sub><sup>-</sup>–NH<sub>4</sub><sup>+</sup>–Na<sup>+</sup>–Cl<sup>-</sup> system were considered with the ISORROPIA module (Nenes et al., 1998). The model includes heterogeneous chemical reaction of HNO<sub>3</sub> on the mineral dust surface using a simplified first-order irreversible uptake reaction with varying uptake coefficients from  $1 \times 10^{-5}$  to  $1 \times 10^{-3}$  as a function of relative humidity (e.g., Fairlie et al., 2010). The model represents soil dust and sea salt aerosols with 6 and 4 particle size bins (0.13, 0.33, 0.82, 1.27, 3.20, and 8.02  $\mu\text{m}$  for dust and 0.178, 0.562, 1.78, and 5.62  $\mu\text{m}$  for sea salt), respectively. The meteorological fields were simulated by the dynamical and physical modules of CHASER (i.e., the MIROC-AGCM model; (K-1 model developers, 2004)) with HadISST sea surface temperature and sea ice concentration (Rayner et al., 2003), and were nudged to the ERA5 meteorological reanalysis (Hersbach et al., 2020) with relaxation times of 5 days for air temperature and 0.7 days for horizontal winds.

The *a priori* NO<sub>x</sub>, SO<sub>2</sub>, CO, and carbonaceous aerosol emissions were obtained from the Community Emissions Data System (CEDS) v2021\_04\_21 inventory (Hoesly et al., 2018; O'Rourke et al., 2021) for anthropogenic sources, the Global Fire Emissions Database (GFED), version 4.1s inventory (Randerson et al., 2018) for biomass burning, and the Global Emissions Initiative (GEIA) soil NO<sub>x</sub> inventory (Yienger and Levy II, 1995). The *a priori* soil dust emissions were derived from a dust emission scheme coupled with the model, which is a function of vegetation type, near-surface wind speed, soil moisture, and snow amount (Takemura et al., 2000).

The simulated AOD at a wavelength of 550 nm ( $\tau$ ) was calculated for comparison with satellite observations as follows:

$$\tau = \sum_{i=1}^{imax} \frac{\sigma_i q_i \Delta p}{g}, \quad (1)$$



where  $i$  indicates the aerosol species,  $\sigma_i$  is the mass extinction coefficient of the individual aerosol species,  $q_i$  represents the mass mixing ratio of the individual aerosol species,  $\Delta p$  stands for differences in air pressure between lower and upper bounds of individual model layers, and  $g$  is gravitational acceleration. For soil dust and sea salt, we used  $\sigma_i$  pre-calculated for 6 and 4 size bins, respectively (Takemura et al., 2002). For sulfate-nitrate-ammonium (SNA) and organic carbon (OC) aerosols,  $\sigma_i$  was treated as a function of relative humidity following Tang and Munkelwitz (1994) and Hobbs et al. (1997), respectively, considering hygroscopic growth of these aerosols.  $\sigma_i$  for SNA and anthropogenic OC aerosols were obtained by the standard Mie theory using number-weighted median radius,  $R_{N,med} = 0.11 \mu\text{m}$  and geometric standard deviation,  $\sigma_g = 1.4$  derived from the KORUS-AQ observations (Titos et al., 2021), instead of standard settings (SNA:  $R_{N,med} = 0.058 \mu\text{m}$ ,  $\sigma_g = 1.6$ ; OC:  $R_{N,med} = 0.1 \mu\text{m}$ ,  $\sigma_g = 1.6$ ).  $\sigma_i$  for biomass-burning OC aerosols was calculated using  $R_{N,med} = 0.2 \mu\text{m}$  and  $\sigma_g = 1.6$  (Zhong et al., 2022).

### 2.1.2 Ensemble Kalman filter (EnKF) data assimilation

We used a state-of-the-art multi-constituent data assimilation system (Miyazaki et al., 2020a) based on the local ensemble transform Kalman filter (LETKF) technique (Hunt et al., 2007), as part of the Multi-model, multi-constituent chemical data assimilation (MOMO-Chem) framework (Miyazaki et al., 2020b). This system has been used for quantifying changes in atmospheric trace gases and their emissions (Miyazaki et al., 2017, 2021; Sekiya et al., 2023). In this study, we extended the data assimilation system to enable the simultaneous optimization of concentrations and emissions of aerosols and trace gases.

LETKF uses an ensemble forecast to estimate the background error covariance, under the assumption that the background ensemble perturbations adequately sample the model errors. The background ensemble model fields were converted into observation space using an observation operator, which included a spatial interpolation operator and an averaging kernel. The inclusion of averaging kernels in the observation operator accounts for vertically dependent sensitivities and eliminates the influence of the *a priori* profile shape (Eskes and Boersma, 2003).

The analysis ensemble mean  $\bar{x}^a$  was obtained by combining the background ensemble mean  $\bar{x}^f$  and assimilated observations  $y^o$  with relative weights determined by the background and observation error covariance matrices  $\mathbf{X}^f$  and  $\mathbf{R}$ , respectively:

$$\bar{x}^a = \bar{x}^f + \mathbf{K} \left[ \mathbf{y}^o - H(\bar{x}^f) \right], \quad (2)$$

where  $\mathbf{K}$  is the Kalman gain and  $H$  is the observation operator. The Kalman gain is given by:

$$\mathbf{K} = \mathbf{X}^f \tilde{\mathbf{P}}^a \left( \mathbf{H} \mathbf{X}^f \right)^T \mathbf{R}^{-1}, \quad (3)$$

where  $\tilde{\mathbf{P}}^a$ , which represents the analysis error covariance in the ensemble space, is given by:

$$\tilde{\mathbf{P}}^a = \left[ \frac{(k-1)\mathbf{I}}{1+\Delta} + \left( \mathbf{H} \mathbf{X}^f \right)^T \mathbf{R}^{-1} \left( \mathbf{H} \mathbf{X}^f \right) \right]^{-1}, \quad (4)$$

where  $\Delta$  is the multiplicative covariance inflation factor (=7% per data assimilation cycle) and  $k$  is the ensemble size (32 in this study).



For the AOD DA, we applied an observation error localization approach with a localization length (cut off length) of 500 km (1826 km), determined through sensitivity data assimilation calculations (Table S1). The self-consistency test of AOD DA with assimilated MODIS observations is summarized in Table S2. The parameter settings for the other observations followed those described in Miyazaki et al. (2020a).

120 Surface  $\text{NO}_x$ , CO,  $\text{SO}_2$ , carbonaceous aerosols (i.e., sum of BC+OM), and soil dust emissions were estimated based on a state argumentation method (e.g., Evensen, 2009), using the relationships between emissions and concentrations of related species in the background error covariance matrix generated by ensemble forecasts with perturbed emissions. We assumed that the size distribution of the emitted dust and the ratio of emitted black carbon to organic matter remained unchanged during the analysis step, because AOD retrievals at 550 nm alone does not constrain the size and composition of the primary  
125 aerosols. In the analysis step, the standard deviation of the emission ensembles was artificially inflated to a predefined minimum value, obtained from sensitivity calculations, to prevent covariance underestimation. The minimum values for  $\text{NO}_x$ , CO,  $\text{SO}_2$ , carbonaceous aerosol, and soil dust were set to 56%, 44%, 32%, 67%, and 48%, respectively. The minimum values for aerosol emissions were determined from the sensitivity calculations (Table S1).

Furthermore, we extend the state augmentation method to a simplified version of the two-stage bias estimation algorithm  
130 developed by Dee and Da Silva (1998) (see also Radakovich et al., 2001; Li et al., 2009) for emission estimates of carbonaceous aerosol and soil dust. The aerosol emission analyses and their biases were estimated as follows:

$$\bar{x}^a = (\bar{x}^f - \bar{b}^f) + \mathbf{K} \left[ \mathbf{y}^o - H(\bar{x}^f - \bar{b}^f) \right], \quad (5)$$

$$\bar{b}^a = \bar{b}^f - \alpha \mathbf{K} \left[ \mathbf{y}^o - H(\bar{x}^f - \bar{b}^f) \right], \quad (6)$$

where  $\bar{b}^a$  and  $\bar{b}^f$  indicate the analysis and first guess of the bias term, respectively. In practice, the bias forecast error covariance  
135 is unknown, and we therefore assume it to be  $\alpha \mathbf{K}$  following Dee and Da Silva (1998).  $\alpha$  was set to 0.5 (Li et al., 2009). We also assumed a persistence model for the bias forecast (i.e., the bias does not decay over time). The aerosol emission error covariance was artificially inflated using the additive inflation method (Mitchell and Houtekamer, 2000), by adding a small random noise with a normal distribution (mean = 0, standard deviation = 0.02). This approach substantially improved the estimation of dust emissions in the observing system simulation experiments, compared to the conventional augmentation methods (Table S3),  
140 because aerosol emissions are expected to have systematic (e.g., uncertainties in emission factors and dust parameterization) and random errors (e.g., timings of wildfires and dust storm events). However, this approach had no significant impacts on emissions of  $\text{NO}_x$  and  $\text{SO}_2$  (not shown).

We applied the variable localization technique to eliminate error correlations between variables that may otherwise suffer from spurious correlations (Kang et al., 2011). Multiple-constituent observations could provide information on multiple  
145 species' emissions from individual sectors using error correlation between different variables, as suggested by Qu et al. (2022). However, this system prioritizes robustness in total emission estimates of individual species. We then reflect the emission estimates in secondary species, such as ozone, sulfate, and nitrate, during the forecast steps. Specifically, as summarized in Fig. 1, we used tropospheric  $\text{NO}_2$  column observation for estimating  $\text{NO}_x$  emissions, total  $\text{SO}_2$  column observations for  $\text{SO}_2$  emissions, AOD with the observed Ångström exponent ( $\alpha$ ) of  $> 0.5$  for primary carbonaceous aerosol emissions, and AOD



150 with  $\alpha$  of  $\leq 0.5$  for soil dust aerosol emissions. AOD assimilation also optimized total aerosol mass concentrations during the analysis step. After the emission optimizations, during the forecast step, sulfate, nitrate, and ammonium mass concentrations, as well as many other species, were indirectly influenced by the updated  $\text{NO}_x$  and  $\text{SO}_2$  emissions.

## 2.2 Observations for the assimilation

### 2.2.1 OMI and TROPOMI tropospheric $\text{NO}_2$ column

155 The OMI and TROPOMI instruments are ultraviolet/visible nadir-scanning solar-backscatter spectrometers (Levelt et al., 2006; Veeffkind et al., 2012). Both instruments have a local equator crossing time of approximately 13:40 LT. The nadir ground pixel sizes of OMI and TROPOMI were  $13 \times 24 \text{ km}^2$  and  $3.5 \times 5.5 \text{ km}^2$  ( $3.5 \times 7 \text{ km}^2$  prior to August 6, 2019), respectively. As summarized in Table 1, we used the OMI QA4ECV version 1.1 product (Boersma et al., 2017, 2018) for April–August 2016 and May–August 2018, and the TROPOMI version 2.4 reprocessing product (Copernicus Sentinel-5P (processed by ESA),  
160 2021; van Geffen et al., 2022) for May–August 2018.

These products were retrieved using the differential optical absorption spectroscopy (DOAS) approach within the 405–465 nm wavelength window. Slight differences in the detailed retrieval settings existed between OMI and TROPOMI, including the formulation of modeled reflectance, fitting methods, and intensity offset correction (van Geffen et al., 2020). Other differences are the surface reflectance input (TROPOMI DLER versus OMI LER) and the cloud retrieval (TROPOMI FRESCO  
165 O-2A band versus OMI O2-O2 cloud retrievals). In addition to the OMI row anomaly, the TROPOMI slant column density (SCD) error in 2018 was approximately 40% lower than that of OMI (van Geffen et al., 2020). The tropospheric  $\text{NO}_2$  vertical column density (VCD) was derived using air mass factors (AMFs) and *a priori* profiles.

For OMI retrievals, the following screening criteria were applied: cloud radiance fraction (CRF)  $< 0.5$ , solar zenith angle (SZA)  $< 81^\circ$ , surface albedo  $< 0.3$ , quality flag = 0, and a ratio of tropospheric AMF to geometric AMF  $> 0.1$ . Retrievals  
170 affected by row anomalies were excluded using quality flags. TROPOMI retrievals were used if they had quality assurance (QA) values  $> 0.75$ , which correspond to good-quality retrievals over (nearly) cloud-free scenes and is similar to the OMI screening criteria.

### 2.2.2 OMI and TROPOMI $\text{SO}_2$ column

We used OMI V2  $\text{SO}_2$  PCA (OMSO2 V2) data (Li et al., 2020a, b) spanning the periods from April to August 2016 and from  
175 May to August 2018. OMI  $\text{SO}_2$  VCDs were calculated using a constant air mass factor of 0.36. The observation error was set to be a constant value of 0.25 DU due to the lack of error information. We used OMI  $\text{SO}_2$  data with a cloud radiance fraction of  $< 20\%$  and solar zenith angles of  $< 70^\circ$ , while excluding the first 10 and last 10 cross-track positions to limit across-track pixels, following Fioletov et al. (2016, 2017).

The TROPOMI  $\text{SO}_2$  Covariance-Based Retrieval Algorithm (COBRA) version 2 product (Theys et al., 2021) was used for  
180 the period of May–August 2018. The COBRA approach corrects most artifacts in the DOAS  $\text{SO}_2$  SCD and reduces noise and biases in the operational TROPOMI DOAS  $\text{SO}_2$  product. The systematic VCD uncertainty (from the COBRA spectral fit) was



typically less than 0.04 DU, while the VCD precision for individual pixels ranged from 0.5 to 1 DU (Theys and Vlietinck, 2024). Retrievals with QA values  $> 0.5$  were used. We additionally applied the following criteria: the data with  $SZA > 65^\circ$  and  $VCD < -0.045 \mu\text{mol m}^{-2}$ , snow flag = 1,  $AMF < 0.15$ , selected window flag = 3,  $CRF > 0.5$ , and sulfur dioxide COBRA  
185 flag = 0 or 1 were excluded according to Theys and Vlietinck (2024). Most of the data with selected window flag = 2 were also excluded by multiplying 0.6 to the QA values.

### 2.2.3 MODIS and VIIRS AOD

MODIS and VIIRS are passive multi-wavelength imaging radiometers (Barnes et al., 1998; Cao et al., 2013), on board the Terra and Aqua satellites and the Suomi-NPP and NOAA-20 satellites, respectively. For MODIS, we used the aerosol 5-  
190 min L2 Swath 10 km Collection 6.1 (MOD04\_L2 and MYD04\_L2) products (Levy et al., 2017), in which three different algorithms are applied for aerosol retrievals over diverse surface types. The Dark Target (DT) ocean and land algorithms (Levy et al., 2015) were used over the ocean, vegetated, and dark soil areas, whereas the Deep Blue (DB) algorithm (Sayer et al., 2015) was applied over brighter surfaces (e.g., deserts, barren rocks, urban areas, and vegetated regions). We used the “Optical\_Depth\_Land\_And\_Ocean” dataset, which merges DT- and DB-retrieved AOD with reference to quality assurance  
195 and confidence (QAC) values (Levy et al., 2013).

For VIIRS, we used the Deep Blue Aerosol L2 6-min Swath 6 km version 2 (AERDB\_L2\_VIIRS\_SNPP and AERDB\_L2\_VIIRS\_NOAA20) products (Lee et al., 2024). The AOD product was retrieved using the DB algorithm (Hsu et al., 2019) over land and the Satellite Ocean Aerosol Retrieval (SOAR) algorithm (Sayer et al., 2018) over the ocean. These products were generally consistent with the MODIS DB products for long-term satellite AOD records (Hsu et al., 2019). The algorithm updates in the version 2  
200 products, including better accounting for effects of surface pressure, improved determination of surface reflectance, and the inclusion of fine-mode aerosol optical models, improved the agreements with the ground-based remote sensing (AERONET) over land (Lee et al., 2024). For assimilation, we used the “Aerosol\_Optical\_Thickness\_550\_Land\_Ocean\_Best\_Estimate” data fields.

The expected errors of MODIS AOD products were reported by Remer et al. (2005) as  $\pm 0.03 \pm 0.05\tau$  over the ocean, and  
205  $\pm 0.05 \pm 0.15\tau$  over the land. In this study, observation errors for assimilation were reassigned using a formulation that assigns errors as a function of the scattering angle at the pixel level, following Benedetti et al. (2009). For observations over the ocean, measurement errors were calculated as follows:

$$\epsilon_{\tau_o} = \max(0.02, \tau_o(0.007 + 0.0012 \times \Theta) + 0.001), \quad (7)$$

where  $\tau_o$  represents the AOD retrievals over the ocean and  $\Theta$  denotes the scattering angle. For observations over the land,  
210 measurement errors were calculated as follows:

$$\epsilon_{\tau_l} = \max(0.02, 0.5\tau_l), \quad (8)$$

where  $\tau_l$  represents the AOD retrievals over the land. An additional 5% error was added to account for representativeness errors.



An additional filtering approach proposed by Hyer et al. (2011) was applied. We filtered out the data using the following  
215 criteria: QAC value  $< 3$ , cloud fraction  $> 0$ , scattering angle  $> 170^\circ$ , absence of adjacent retrievals, fewer than five retrievals  
within the model grid, and cases where the ratio of the standard deviation of AOD to the mean AOD within the model grid  
was greater than 0.5, specifically when the mean AOD was smaller than 0.2. The following criteria were applied to the VIIRS  
AOD data to filter out the data with low quality: QA flag  $< 3$ , scattering angle  $> 170^\circ$ , absence of adjacent retrievals, fewer  
than five retrievals within the model grid, and cases where the ratio of the standard deviation of AOD to the mean AOD within  
220 the model grid was greater than 0.5, specifically when the mean AOD was smaller than 0.2. After filtering, the mean AOD at  
the  $1.1^\circ$  model grid was calculated using a grid-averaging approach, as pixel corner location information was unavailable.

#### 2.2.4 MOPITT CO column

CO column observations were obtained from the Measurement of Pollution in the Troposphere (MOPITT) V7 TIR-NIR (ther-  
mal infrared and near-infrared) multispectral L2 products (Deeter et al., 2017). The local equator crossing time was 10:40LT.  
225 The overall retrieval biases, bias variability, and drift in the V7 product were improved compared with those in the V6 prod-  
uct. Retrievals in polar regions ( $> 65^\circ$  N/S) were excluded due to potential problems related to cloud detection and snow/ice  
surfaces. Nighttime data were filtered out using SZA, as daytime conditions generally provide better thermal contrast for the  
retrievals. The retrieval error was adopted as the observation error during the analysis step.

#### 2.2.5 MLS ozone and HNO<sub>3</sub> profiles

230 Microwave Limb Sounder (MLS) v4.2 L2 products (Manney et al., 2015; Schwartz et al., 2015) were used for ozone and  
HNO<sub>3</sub> profiles above 220 hPa. The local equator crossing time was 13:40LT. The measurement accuracy and precision were  
adopted as the observation error, estimated at 30–60 ppbv and 20–200 ppbv for ozone, respectively, and 0.6 ppbv and 1.0–1.1  
ppbv for HNO<sub>3</sub>, in the lower stratosphere (Livesey et al., 2011).

### 2.3 Super-observation approach

235 The state-of-the-art super-observation approach developed by Rijdsdijk et al. (2025) was applied to TROPOMI NO<sub>2</sub>, SO<sub>2</sub>, and  
OMI NO<sub>2</sub>. To generate satellite observations representative of the model grid size ( $1.1^\circ$ ), individual tropospheric NO<sub>2</sub> VCDs  
were averaged together with their corresponding averaging kernel values. A weighting function based on the overlap between  
satellite pixels and the model grid was used for the averaging. The total super-observation uncertainty ( $\sigma_s$ ) was estimated from  
the measurement error ( $\sigma_{obs}$ ) and representativity error ( $\sigma_{RE}$ ):

$$240 \quad \sigma_s = \sqrt{\sigma_{obs}^2 + \sigma_{RE}^2}.$$

The measurement error term is calculated as a combination of the uncorrelated and correlated parts of individual uncertainty  
components with a representative correlation factor ( $c$ ) for stratospheric, SCD, and AMF uncertainties:

$$\sigma_{obs}^2 = (1 - c) \sum_{i=1}^N w_i^2 \sigma_i^2 + c \left( \sum_{i=1}^N w_i \sigma_i \right)^2,$$



where  $w_i$  is the area overlap between the satellite pixels and the selected model grid, and  $\sigma_i$  represents the uncertainty of the  
245 individual components. The correlated component decreases, while the uncorrelated component remains unchanged, as the  
number of observations increases. The representativity error term was estimated as a function of standard deviation within a  
model grid, the total population size of fractional observations, and coverage area fractions (Eq. 18 of Rijdsdijk et al. (2025)),  
with modification to consider systematic data gaps in observation coverages, for example, those caused by the presence of  
clouds. For polluted cases, the representativity errors, when estimated by accounting for systematic deficiencies, were more  
250 than twice as large at 10–90% area-coverage fractions compared to those estimated using a random-deficiency approach in our  
previous studies.

A super-observation approach, similar to that used for  $\text{NO}_2$ , was applied to the TROPOMI COBRA  $\text{SO}_2$  product. The  
total super-observation uncertainty was estimated in a manner consistent with the  $\text{NO}_2$  case. The measurement error term was  
calculated as a combination of random and systematic uncertainties when applying the averaging kernels. The representativity  
255 error term for  $\text{SO}_2$  was estimated using the same formula as that for  $\text{NO}_2$  without modification for the systematic data gaps  
in observation coverage and with a correction for the measurement noise in the calculation of the representativity error by  
subtracting the associated random uncertainty from the total super-observation uncertainty. The representativity errors for  $\text{SO}_2$   
were 80% and 72% smaller than those for  $\text{NO}_2$  under both clean and polluted conditions, respectively. These results were  
consistent with the fact that  $\text{SO}_2$  column is less heterogeneous than tropospheric  $\text{NO}_2$  column, reflecting longer atmospheric  
260 lifetime of  $\text{SO}_2$  ( $\approx 20$  to 60 hours) (Lee et al., 2011).

For OMI  $\text{SO}_2$  and MOPITT CO, the conventional super-observation approach was applied as in (Miyazaki et al., 2019, 2020a).

## 2.4 Data assimilation experiments

Figure 1 and Table 2 summarize the data assimilation experiments and model simulations performed in this study. To evaluate  
the relative importance and synergetic effects of trace gases and AOD DA, we conducted three DA experiments: “GasAero”,  
265 which simultaneously assimilated trace gas (e.g.,  $\text{NO}_2$ ,  $\text{SO}_2$ ) and AOD observations; “Gas-only”, which assimilated trace  
gas observations only; and “Aero-only” which assimilated AOD observations only. The time period April–August 2016 was  
selected because of photochemically active season, which covers the NASA’s KORUS-AQ aircraft-campaign observations.

Furthermore, to assess the impact of improved constraints from the current generation satellites through improved accu-  
racy and coverage compared to the previous generation satellites, we evaluated the relative performance using different sets  
270 of satellite observations: “OMI+MODIS” assimilated trace gas observations from Aura/OMI and AOD observations from  
Terra+Aqua/MODIS, and “TROPOMI+VIIRS” assimilated trace gas observations from Sentinel-5P/TROPOMI and AOD ob-  
servations from Suomi-NPP+NOAA-20/VIIRS. The assimilation period of May–August 2018 was selected because of the  
similar season to those in 2016, while TROPOMI data were available after May 2018.

To evaluate the impacts of individual assimilation runs, control simulations “CTL” without any data assimilation were  
275 conducted for 2016 and 2018 using *a priori* emission data.



## 2.5 Observations for the validation

### 2.5.1 AERONET ground-based remote sensing network

We assessed the performance of both the data assimilation and the control simulations using ground-based observations from the Aerosol Robotic Network (AERONET) (Holben et al., 1998). We used aerosol optical depth (AOD) at 500 nm derived from AERONET version 3 retrievals (Sinyuk et al., 2020). AOD for May–August 2016 at 181 sites and for June–August 2018 at 179 sites, which cover more than 25% of the target periods, were used for validation. The typical uncertainty of AERONET AOD at visible wavelengths is about 0.01 (Holben et al., 1998).

### 2.5.2 Surface in-situ observation networks

We used near-surface in-situ observations of sulfate, nitrate, and ammonium aerosols from multiple regional monitoring networks: the European Monitoring and Evaluation Programme (EMEP; <https://ebas.nilu.no>, last access: May 21, 2025) for Europe, the Clean Air Status and Trends Network (CASTNET; <https://www.epa.gov/castnet>, last access: May 21, 2025) for the United States, and the Acid Deposition Monitoring Network in East Asia (EANET; <https://www.eanet.asia>, last access: May 21, 2025) for East Asia. For validation, we selected data from rural, remote, and background sites (41, 80, and 15 sites from EMEP, CASTNET, and EANET, respectively) and excluded urban sites, as the coarse model grid resolution cannot adequately capture urban-scale pollution. Typical uncertainties are estimated to be 3% for sulfate, 8% for nitrate, and 4% for ammonium and detection limits for sites are  $0.07 \mu\text{g m}^{-3}$  for sulfate,  $0.04 \mu\text{g m}^{-3}$  for nitrate, and  $0.06 \mu\text{g m}^{-3}$  for ammonium in the eastern United States (Sickles and Shadwick, 2002).

Near-surface  $\text{PM}_{2.5}$  in-situ observations were obtained from the Air Quality (AQ) e-reporting products of the European Environment Agency (EEA; <https://eadmz1-downloads-webapp.azurewebsites.net>, last access: May 22, 2025) for Europe, the Air Quality System (AQS; <https://www.epa.gov/aqs>, last access: May 22, 2025) for the United States, and national monitoring networks in Japan (<https://tenbou.nies.go.jp/download>, last access: May 22, 2025) and South Korea (AirKorea; <https://airkorea.or.kr>, last access: May 22, 2025) for Northeast Asia. The observation data were derived from 188, 790, and 1204 sites in the EEA AQ e-reporting products, AQS, and Japanese and Korean networks, respectively. The continuous  $\text{PM}_{2.5}$  measurements typically differ from filter-based measurements by less than 10% (Chow et al., 2006).

### 2.5.3 NASA's KORUS-AQ and ATom-4 aircraft-campaign observations

We also used aircraft observations from the Korea–United States Air Quality campaign (KORUS-AQ; Crawford et al. 2021) and the Atmospheric Tomography Mission phase 4 (ATom-4; Wofsy et al. 2018). The validation focused on sulfate, nitrate, and ammonium aerosols, aerosol extinction coefficients, and related gas-phase species ( $\text{NO}_2$ ,  $\text{SO}_2$ ,  $\text{HNO}_3$ , and OH). Sulfate, nitrate, and ammonium aerosols smaller than  $1 \mu\text{m}$  in diameter were measured with the aerosol mass spectrometer (AMS; DeCarlo et al. 2006). Concentrations of  $\text{SO}_2$  and  $\text{HNO}_3$  were measured using the chemical ionization mass spectrometer (CIMS; Crouse et al. 2006), while  $\text{NO}_2$  was derived from the 4-channel  $\text{NO}_{xy}\text{O}_3$  chemiluminescence instrument (Weinheimer



et al., 1993). OH concentrations were measured by Airborne Tropospheric Hydrogen Oxides Sensor (ATHOS) (e.g., Miller and Brune, 2022). For KORUS-AQ, we used data from 20 flights conducted between May 1 and June 9, 2016, and for ATom-4, from four flights between May 17 and 21, 2018. Typical uncertainties are estimated to be 35% for inorganic aerosols, 100 pptv + 30% for NO<sub>2</sub>, 30% for SO<sub>2</sub>, and 30% for HNO<sub>3</sub>, and 74% for OH (Bahreini et al., 2009; Kim et al., 2022).

### 3 Aerosol analysis results

#### 3.1 Relative impacts of AOD and trace gas observations

As shown in Figs. 2 and 3, GasAero DA decreased surface mass concentrations of sulfate aerosols by 9–44% relative to the control simulation over most regions, except for South America and North Africa. Gas-only and Aero-only DA runs demonstrate the relative importance of AOD and trace gas observations. The Gas-only DA reduced sulfate aerosols by 20–56% over most regions, except for South America. These reductions were partially offset by corrections in the Aero-only DA (typically 11–31%). These results demonstrate the independent constraints provided by AOD and trace gas observations, which act to increase total aerosols and decrease sulfate aerosols, respectively.

The combined GasAero DA reduced nitrate aerosol concentrations over India and Central Africa by 4–64%, while increasing them in polluted regions by 48–80%. The conventional Gas-only and Aero-only DA experiments further demonstrate that the impacts of trace gas observations were increases in nitrate aerosols by a factor of 1.5–5 over eastern China, the eastern United States, Europe, North and South Africa, and the Middle East, while decreasing them by 2–61% over Southeast Asia, India, Central Africa, and South America. The impacts of AOD observations were reductions in nitrate aerosols over the eastern United States, Europe, Southeast Asia, India, North Africa, and South America by 14–51%. These results suggest that optimization for NO<sub>x</sub> emissions plays a dominant role in nitrate analysis compared to the AOD assimilation in most regions, except for India.

Ammonium aerosol concentrations decreased by up to 44% due to the combined GasAero DA, primarily due to a lower partitioning ratio of particulate-phase ammonium to gas-phase ammonia as a result of changes in sulfate and nitrate aerosols. Gas-only DA reduced concentrations over most regions by 5–56%. In contrast, Aero-only DA increased ammonium aerosols over eastern China, Southeast Asia, North Africa, and the Middle East by 8–41%, while reducing them over the eastern United States, India, Central and South Africa, South America by 4–43%. These results show that the AOD observations are constraining the total aerosol amount, but are unaware of the partitioning of ammonium, which is similar to the sulfate analysis. Furthermore, an optimization of NH<sub>3</sub> emissions based on CrIS satellite observations leads to increases in nitrate and ammonium aerosols (Fig. S2), whereas optimized NH<sub>3</sub> emissions have no significant impact on sulfate (<2%).

With GasAero DA, total PM<sub>2.5</sub> concentrations were modified both through direct constraints from AOD and indirect constraints from trace gases via changes in secondary aerosol production. As a result, GasAero DA increased PM<sub>2.5</sub> by 7–59% over China, Europe, the United States, and Southeast Asia, while decreasing them by 2–49% over India, biomass-burning regions, and arid regions. The Aero-only DA increased PM<sub>2.5</sub> over eastern China, the eastern United States, Europe, and Southeast



Asia by 11–71%, but decreased over India, Africa, South America, and the Middle East by 2–46%. In contrast, the Gas-only  
340 DA reduced  $PM_{2.5}$  over most regions by up to 15%.

Overall, the results suggest that corrections to sulfate, nitrate, and ammonium from assimilating trace gas observations were generally larger than those from AOD assimilation over most regions, except for North and South Africa where uncertainties in aerosol formation, transport, and deposition processes (e.g., heterogeneous reactions on primary aerosols) may be larger than those in precursor gas emissions. In contrast, corrections in  $PM_{2.5}$  total mass were dominated by Aero-only DA.

### 345 3.2 Validation using AERONET observations

As shown in Fig. 4 and Table 3, compared to AERONET observations, the mean model AOD bias was 0.01 (4%) in the control model simulation. However, biases at individual sites largely varied. Correlation coefficient and RMSE between the observed and simulated AOD at different sites were 0.78 and 0.11, respectively. GasAero DA shows a mean bias of -0.04 (-21%), whereas the correlation coefficient was improved to 0.90. RMSE was also reduced by 36% due to GasAero DA. Negative  
350 biases were larger in areas with high aerosol concentrations, such as East Asia, South Asia, the Middle East, and the Saharan Desert. This could be attributed to unresolved enhancement of AOD in the coarse-resolution model. The negative mean bias in the Gas-only DA was larger than that in the GasAero DA, while the correlation coefficient degraded to 0.67 and the RMSE increased by 85%. This result can be explained by constraining part of the aerosol composition from the Gas-only DA. In contrast, the Aero-only DA exhibited a smaller negative bias than the GasAero DA, with correlation coefficients and RMSE  
355 values comparable to those in the GasAero DA.

### 3.3 Validation using KORUS-AQ observations

Figure 5 compares the vertical profiles of sulfate, nitrate, and ammonium aerosols, aerosol extinction coefficients, and the related gas-phase species ( $SO_2$ ,  $NO_2$ ,  $HNO_3$ , and OH). The CTL experiment overestimated sulfate aerosols across all vertical layers, with a positive bias of  $1.57 \mu g m^{-3}$  (by 36%) in the lower troposphere (below 750 hPa), where the GasAero DA largely  
360 removed this bias (Table 3). In the lower troposphere, the remaining bias and RMSE in the GasAero DA were 6–98% smaller than those in the Gas-only DA and Aero-only DA. These results indicate that both trace gas and AOD observations contributed to reducing the sulfate aerosol biases.

The CTL simulation underestimated nitrate and ammonium aerosols in the lower troposphere by  $1.24$  and  $0.24 \mu g m^{-3}$ , respectively (-44% and -10%, respectively), while both species were overestimated in the free troposphere. In the GasAero  
365 DA, the negative model biases of nitrate and ammonium aerosols in the lower troposphere shifted to positive biases of  $0.74$  and  $0.07 \mu g m^{-3}$ , respectively (26% and 3%, respectively), whereas their positive biases in the free troposphere increased. The improvements in nitrate aerosol biases in the lower troposphere were primarily attributed to the Gas-only DA. Conversely, the RMSE for nitrate aerosols in the lower troposphere in Aero-only DA was 8–13% smaller than that in GasAero and Gas-only DA. Positive model biases in nitric acid can lead to positive nitrate biases in the free troposphere through nitrate aerosol  
370 formation, which could partially be attributed to missing sinks in the forecast model, such as particulate nitrate photolysis (e.g.,



Shah et al., 2024). For ammonium aerosols, both bias and RMSE in the lower troposphere in the GasAero DA were 3–88% smaller than those in Gas-only and Aero-only DAs due to improvements in sulfate and nitrate aerosols.

CTL also underestimated the total extinction coefficients in the lower troposphere by  $0.074 \text{ km}^{-1}$  (by  $-69\%$ ), whereas the GasAero DA reduced it by 15%. The bias reduction in the extinction coefficients were dominated by Aero-only DA.

375 The mean biases of  $\text{SO}_2$  and  $\text{HNO}_3$  in the CTL were positive by 64% and 28%, respectively, whereas that of  $\text{NO}_2$  was negative by 62% in the lower troposphere. The biases of  $\text{SO}_2$  and  $\text{NO}_2$  were reduced by 41% and 47%, respectively, in the GasAero DA. The improvements were primarily attributable to the Gas-only DA, but the Aero-only DA also influenced the performance through OH changes driven by a combination of non-linear chemistry and heterogeneous  $\text{HO}_2$  uptake on aerosols. In contrast, the positive bias of  $\text{HNO}_3$  in the CTL increased by a factor of 3.6 in the GasAero DA. These results suggest that  
380 the positive  $\text{HNO}_3$  bias originated from formation and deposition processes, such as wet scavenging (Luo et al., 2019), rather than from  $\text{NO}_x$  emissions.

### 3.4 Validation using surface observations

#### 3.4.1 The United States

As summarized in Fig. 6 and Table 3, over the United States, the CTL simulation overestimated sulfate aerosols by  $0.20 \mu\text{g m}^{-3}$   
385 (by 17.2%) compared to CASTNET, with particularly large positive biases over the eastern United States, because of positive biases in the *a priori*  $\text{SO}_2$  emissions and uncertainties in the sulfate formation and scavenging processes in the forecast model. In contrast, the GasAero DA showed the negative bias of  $0.03 \mu\text{g m}^{-3}$  (by  $-2.3\%$ ) relative to CASTNET across the United States. The spatial correlation coefficient increased from 0.59 to 0.65, while the RMSE for sulfate aerosols decreased by 31%. Sulfate aerosol bias in the Gas-only DA became negative by  $0.13 \mu\text{g m}^{-3}$ , which was 35% smaller than the CTL, whereas the  
390 Aero-only DA degraded this bias by a factor of 1.5. Spatial correlation coefficients in the GasAero, Gas-only, and Aero-only DA were comparable, while RMSEs in the GasAero and Gas-only DA were 31% smaller than those in the Aero-only DA. The performance on sulfate concentrations in the GasAero DA were better than those in the Gas-only and Aero-only DA runs.

Nitrate aerosols were underestimated by CTL by  $0.14 \mu\text{g m}^{-3}$  (by  $-42.6\%$ ) particularly over the central United States and along the eastern and western coasts. In the GasAero DA, the nitrate bias became positive bias of  $0.05 \mu\text{g m}^{-3}$  (by 14.3%),  
395 while the spatial correlation coefficient increased from 0.37 to 0.44 and the RMSE for nitrate aerosols decreased by 4%. The nitrate aerosol bias and RMSE in the GasAero DA were up to 77% smaller than those in the Aero-only DA, but up to 67% larger than those in the Gas-only DA. The spatial correlation coefficient in the Aero-only DA was the highest among the three DA experiments.

CTL also overestimated ammonium aerosols by  $0.03 \mu\text{g m}^{-3}$  (by 7.3%), particularly over the eastern United States. The  
400 positive model bias was reduced by 83% to  $0.005 \mu\text{g m}^{-3}$  (by 1.2%) in the GasAero DA, while the correlation coefficient remained unchanged. The RMSE for ammonium aerosols was also reduced by 20% in the GasAero DA. The ammonium aerosol bias in the GasAero DA was 75% smaller than that in both the Gas-only and Aero-only DA. The correlation coefficients and RMSEs for ammonium aerosols were comparable across the GasAero, Gas-only, and Aero-only DA runs.



PM<sub>2.5</sub> total mass concentrations were substantially underestimated in the CTL simulations relative to AQS observations  
405 across most parts of the United States, with a negative mean bias of 1.75  $\mu\text{g m}^{-3}$  (by  $-44.7\%$ ). GasAero DA reduced this bias  
by more than 70% to  $-0.46 \mu\text{g m}^{-3}$  (by  $-16.0\%$ ), while the correlation coefficient improved markedly from 0.46 to 0.80. The  
RMSE for PM<sub>2.5</sub> decreased by about 50%. The negative bias reductions in the GasAero DA were more evident over the eastern  
United States than those over the western United States, except for the eastern coastal areas where negative biases shifted to  
positive biases. This feature in spatial pattern was similar to that achieved in the Aero-only DA, but more pronounced than in  
410 the Gas-only DA.

### 3.4.2 Europe

In Europe, the CTL simulation overestimated sulfate aerosols relative to EMEP observations by 1.09  $\mu\text{g m}^{-3}$  (by 253%), with  
the largest positive biases occurring in the United Kingdom, Germany, and eastern Europe (Fig. 7). GasAero DA reduced  
the positive bias by 20% to 0.87  $\mu\text{g m}^{-3}$  (by 202%) and decreased RMSE by a similar magnitude, although the correlation  
415 coefficient decreased from 0.37 to 0.13. The bias and RMSE in Gas-only DA were 9–35% smaller than those in GasAero and  
Aero-only DA, whereas the correlation coefficient in Aero-only DA was higher than in both GasAero and Gas-only DA. These  
results suggested that sulfate model biases at individual sites in Europe were influenced by not only precursor emissions but  
also aerosol formation, transport, and deposition processes.

Mean biases of nitrate and ammonium aerosols in CTL were positive (by 9.1%) over parts of Germany and Poland. For  
420 nitrate aerosols, the positive model bias increased to 0.22  $\mu\text{g m}^{-3}$  (by 83.3%) due to the GasAero DA, while the correlation  
coefficient remained nearly unchanged and the RMSE increased by 53%. Compared with the GasAero and Gas-only DA runs,  
the Aero-only DA produced smaller nitrate aerosol biases and RMSE values.

For ammonium aerosols, the small positive bias of 0.01  $\mu\text{g m}^{-3}$  (by 2.0%) in CTL shifted to a slight negative bias of 0.014  
 $\mu\text{g m}^{-3}$  (by  $-2.8\%$ ) after the GasAero DA, while these biases were less than typical measurement errors. The correlation  
425 coefficient improved marginally from 0.40 to 0.41, and the RMSE was reduced by 10%. The statistical scores were comparable  
across the GasAero, Gas-only, and Aero-only DA runs.

The PM<sub>2.5</sub> total mass concentrations in CTL were underestimated by 1.71  $\mu\text{g m}^{-3}$  (by  $-45.8\%$ ), particularly over northern  
Italy and Czech Republic. The GasAero DA reduced the negative model bias of PM<sub>2.5</sub> by 12% to  $-1.51 \mu\text{g m}^{-3}$  (by  $-40.6\%$ ),  
whereas the correlation coefficient decreased from 0.15 to 0.13. The RMSE for PM<sub>2.5</sub> remained nearly constant after the  
430 GasAero DA. Smaller improvements in PM<sub>2.5</sub> over Europe than the United States reflected less numbers of assimilated AOD  
observations over areas with large negative biases.

### 3.4.3 East Asia

As shown in Fig. 8, the CTL simulation overestimated sulfate aerosols compared to EANET by 0.63  $\mu\text{g m}^{-3}$  (by 27.6%). The  
positive model bias was shifted to negative bias of 0.14  $\mu\text{g m}^{-3}$  (by  $-6.4\%$ ) by the GasAero DA. The correlation coefficient  
435 decreased from 0.83 to 0.77 with the GasAero DA, whereas RMSE was reduced by 29%. The bias and RMSE in the GasAero



DA were 7–78% smaller than those in the Gas-only and Aero-only DA, while the correlation coefficient in the Aero-only DA was higher than that in both the GasAero and Gas-only DA experiments.

In contrast, the CTL simulation underestimated nitrate aerosols by  $0.37 \mu\text{g m}^{-3}$  (by –64.5%), except over Cheju Island, South Korea. The negative model bias was reduced by 59% to  $0.15 \mu\text{g m}^{-3}$  (by –26.4%) with the GasAero DA. The correlation coefficient for nitrate aerosols decreased from 0.39 to 0.36, whereas the RMSE was reduced by 9%. The improvements in nitrate aerosols from the GasAero DA were primarily driven by the Gas-only DA.

Ammonium aerosols were overestimated in CTL by  $0.05 \mu\text{g m}^{-3}$  (by 12.7%). The positive model bias was mostly removed by the GasAero DA. The correlation coefficient improved from 0.58 to 0.61 with the GasAero DA, while the RMSE was reduced by 5%. The bias in the GasAero DA was 97% smaller than that in both the Gas-only and Aero-only DAs, whereas the correlation coefficient and RMSE in the Aero-only DA were superior.

Mean biases of  $\text{PM}_{2.5}$  total mass concentrations were negative by  $1.42 \mu\text{g m}^{-3}$  (by –9.9%). With the GasAero DA, the negative model bias shifted to positive bias of  $1.13 \mu\text{g m}^{-3}$  (by 7.9%), while the correlation coefficient improved from 0.70 to 0.82. The RMSE for  $\text{PM}_{2.5}$  remained nearly constant. The  $\text{PM}_{2.5}$  bias and RMSE were 14–74% smaller than those in the Gas-only and Aero-only DA runs.

Overall, these results demonstrate the benefits of the combined use of satellite trace gas and AOD observations across many regions. Data assimilation increments of sulfate and ammonium aerosols made by satellite trace gas and AOD observations were negative and positive, respectively, probably because the forecast model has different biases in aerosol formation from precursor gases and in transport and deposition processes. The combined assimilation showed the best performance for many cases. This finding suggests that comprehensive constraints on precursor emissions, formation, transport, and deposition processes are needed to improve aerosol composition analyses.

## 4 Emission estimates

### 4.1 Relative impacts of satellite AOD and trace gas observations

The obtained changes in the aerosol concentration analysis were largely attributed to changes in emissions. The developed DA system provided top-down estimates for carbonaceous and soil dust emissions, as well as for  $\text{SO}_2$  and  $\text{NO}_x$  emissions. As summarized in Table 4, the global total emissions of carbonaceous aerosols increased by 15% in the GasAero DA relative to the *a priori* emissions. On regional scales, the estimated emissions over China and Southeast Asia increased by factors of 3.0 and 2.6, respectively, compared to the *a priori* emissions, whereas the emissions over India decreased by 22%. These emission corrections made by the GasAero DA were mainly driven by, but slightly larger than, those made by the Aero-only DA, demonstrating the importance of AOD observations in constraining primary aerosols. The slight differences between the GasAero and Aero-only DA runs were due to small AOD reductions in most regions resulting from the assimilation of trace gas observations.

The GasAero DA reduced the global total soil dust emissions by approximately 60% relative to the *a priori* emissions predicted by the forecast model. Regionally, soil dust emissions decreased considerably in the Gobi and Taklamakan Deserts



in China and in the Thar Desert in India (Fig. 9). Dust emissions also decreased in parts of the Balkan Peninsula and the  
470 Sahara Desert. These corrections made by the GasAero DA were largely driven by the Aero-only DA, similar to the case of  
carbonaceous aerosols.

The global total SO<sub>2</sub> emissions were reduced by approximately 30% in the GasAero DA relative to the *a priori* emissions.  
Large negative emission increments ( $>4 \times 10^{-11} \text{ kg S m}^{-2} \text{ s}^{-1}$ ) were found in industrialized regions, including eastern China,  
northern India, eastern Europe, and the eastern United States, suggesting substantial overestimations in the *a priori* emission  
475 inventories. These corrections made by the GasAero DA were primarily attributable to the Gas-only DA.

The GasAero DA increased the global total NO<sub>x</sub> emissions by 30%. On regional scales, the *a posteriori* emissions over most  
areas increased by 33–80%, except in South America and Central Africa. The emission increases can be attributed to soil NO<sub>x</sub>  
emissions by 30–75% over China, the United States, and Europe. These emission corrections in the GasAero DA were slightly  
larger than those in the Gas-only DA. The additional modifications introduced by AOD observations are likely related to their  
480 impacts on NO<sub>x</sub> lifetime through heterogeneous reactions on aerosols during the forecast steps.

## 4.2 Comparison with other estimates

We also compared the *a priori* (CEDS v2021\_04\_21+GFEDv4.1s) and *a posteriori* emissions with the most recent bottom-up  
emission inventories, including HTAPv3.2 (Guizzardi et al., 2025) and GFED5 (Chen et al., 2023). The HTAPv3.2 inventory  
harmonizes the EDGARv8 global anthropogenic emission inventory (Crippa et al., 2024) with regional and national inventories  
485 from Canada, Europe, the United States, China, Korea, Japan, and other Asian countries. The GFED5 inventory represents the  
latest global biomass burning emissions, derived from long-term burned area records from MODIS, with further refinements  
based on high-resolution satellite observations such as Landsat and Sentinel-2.

Compared to the HTAPv3.2 and GFED5 inventories (59.7 Tg/yr), the global *a posteriori* carbonaceous aerosol emissions  
(103.3 Tg/yr) were approximately twice as large, with large differences over boreal forest, eastern China, and Central Africa  
490 (Fig. S3). However, the emission estimates in this study fall within the range of other top-down estimates (100–166 Tg/yr)  
(Huneeus et al., 2012; Schutgens et al., 2012; Chen et al., 2019, 2022; Tsikerdekis et al., 2023), which assumed an OA-to-OC  
ratio of 1.4. The large discrepancies between the bottom-up inventories and top-down estimates may reflect uncertainties in  
emission factors and activity data for region-specific sources such as motorcycles, kerosene use, open waste burning, and ad  
hoc oil refining in Africa (Lioussé et al., 2014; Marais and Wiedinmyer, 2016) and large uncertainties in biomass burning  
495 emissions (Hua et al., 2024), as well as uncertainties in the modeled relationships between AOD and aerosol concentrations  
(Gliß et al., 2021) in our top-down estimates.

The estimated global dust emissions (1257 Tg/yr) were within the range of 731–1536 Tg/yr based on satellite observations  
(e.g., Ginoux et al., 2012; Chen et al., 2019; Tsikerdekis et al., 2023) and the multi-model estimates of 1130–4311 Tg/yr  
from the AeroCom-III models (Kim et al., 2024). However, our estimate is lower than the latest DustCoMMv1 dust emission  
500 estimate of 2148 Tg/yr (Leung et al., 2025). The limited temporal coverage of this study (i.e., May–August 2016) could partly  
account for the differences relative to annual emission rates.



Compared to the HTAPv3.2 and GFED5 emission inventories (41.0 Tg S/yr), the *a posteriori* SO<sub>2</sub> emissions (29.6 Tg S/yr) were smaller, particularly over China and India (Fig. S3). These results suggest that bottom-up emission inventories may be overestimated. However, the estimated SO<sub>2</sub> emissions resulted in negative biases in near-surface SO<sub>2</sub> concentrations compared to the KORUS-AQ aircraft campaign observations (Fig. 5), as also reported by Miyazaki et al. (2019). Our top-down SO<sub>2</sub> estimates may involve substantial uncertainties arising from retrieval errors, assumed observation-error statistics, assumptions related to the air mass factor (AMF) in the data assimilation system, and uncertainties in model processes such as dry deposition (Luo et al., 2020; Hardacre et al., 2021). These factors should be carefully considered to interpret the differences.

The *a posteriori* NO<sub>x</sub> emissions (63.6 Tg N/yr) were closer to the combined HTAPv3.2, GFED5, and CAMS-GLOB-SOIL inventories (Simpson et al., 2023) (56.8 Tg N/yr) than the *a priori* emissions in most regions, except for Southeast Asia and North and Central Africa (Fig. S3). These results suggest overall improvements in NO<sub>x</sub> emission estimates through data assimilation.

## 5 Impacts of current and previous generation satellites

Advanced satellite retrievals derived from TROPOMI and VIIRS, which are successors to OMI and MODIS, respectively, have become available and improved tropospheric NO<sub>2</sub> data assimilation performance (Sekiya et al., 2022). However, their value for aerosol composition analysis remains unclear. As shown in Fig. 10, the TROPOMI+VIIRS DA produced smaller decreases and increases in sulfate aerosols over eastern China and South America (−45% and +11%, respectively) compared to the OMI+MODIS DA (−55% and +24%, respectively). The increases in nitrate aerosols over eastern China caused by the TROPOMI+VIIRS DA were 50% smaller, whereas the increases over the ocean were larger. For ammonium aerosols, the two DA cases produced spatial correction patterns similar to those for sulfate aerosols. The TROPOMI+VIIRS DA resulted in a 45% PM<sub>2.5</sub> increase over North Africa, in contrast to a 5% decrease with the OMI+MODIS DA. In addition, the TROPOMI+VIIRS DA produced smaller increases (by 16%) and decreases (by −1%) in PM<sub>2.5</sub> over eastern China and India, respectively, compared to the OMI+MODIS DA (48% and −30%).

As summarized in Table 5, the CTL run underestimated the AOD derived from AERONET by 0.043 (−22%). The correlation coefficient and RMSE for mean AOD during June–August 2018 were 0.66 and 0.1, respectively. The TROPOMI+VIIRS DA reduced the negative AOD model bias and RMSE by 93% and 39%, respectively, while the correlation coefficient was improved to 0.83. In the OMI+MODIS DA the model bias and RMSE were also reduced by 63% and 14%, respectively, while the correlation coefficient was comparable to that in the CTL run. The improvements in AOD due to the TROPOMI+VIIRS DA were larger than those due to the OMI+MODIS DA, which is particularly evident over South Africa, India, and Sahel regions (Fig. S4). These improvements can be attributed to the VIIRS DB algorithm updates (Lee et al., 2024).

In the CTL run, sulfate aerosols exhibited positive model biases of 0.20–0.73 μg m<sup>−3</sup> (12–131%) and RMSEs of 0.64–0.94 μg m<sup>−3</sup> (46–333%) against independent observations (EMEP, CASTNET, EANET, and NASA's ATom-4), with correlation coefficients of 0.04–0.72. The TROPOMI+VIIRS DA reduced sulfate biases relative to EMEP, CASTNET, and ATom-4 to 0.11–0.53 μg m<sup>−3</sup> (10–96%), while the positive bias against EANET shifted to a negative bias of ∼0.19 μg m<sup>−3</sup> (by −10%).



535 RMSEs were reduced by 2–21%. Spatial correlation coefficients were improved by 0.01–0.08 for CASTNET and EANET  
but decreased by 0.05 for EMEP. By contrast, the OMI+MODIS DA reduced sulfate biases against CASTNET and EMEP to  
0.13–0.72  $\mu\text{g m}^{-3}$  (11–129%). These bias reductions were generally smaller than those from TROPOMI+VIIRS DA. It also  
produced larger negative and positive biases against EANET and ATom-4 than those in the CTL run, respectively. RMSEs for  
OMI+MODIS DA were comparable to or 16–29% larger than those from TROPOMI+VIIRS DA for ATom-4, CASTNET, and  
540 EMEP, although the RMSE relative to EANET was 2% smaller.

The negative model biases and RMSEs for nitrate aerosols compared with independent observations ranged from 0.005  
to 0.43  $\mu\text{g m}^{-3}$  (–18–75%) and from 0.08 to 0.58  $\mu\text{g m}^{-3}$  (99–266%), respectively, with correlation coefficients of 0.004–  
0.59. With the TROPOMI+VIIRS DA, negative biases against EMEP, CASTNET, and EANET were reduced to 0.06–0.23  
 $\mu\text{g m}^{-3}$  (–15–40%), while the negative bias against ATom-4 slightly increased. RMSEs relative to ATom-4, CASTNET, and  
545 EANET were decreased by 3–27%, whereas correlation coefficients against most networks (except EANET) were degraded.  
The OMI+MODIS DA reduced negative biases against EMEP, CASTNET, and EANET to 0.05–0.28  $\mu\text{g m}^{-3}$  (–12–49%).  
These reductions were larger than those from TROPOMI+VIIRS DA for EMEP and CASTNET but smaller for EANET.  
However, the positive bias against ATom-4 increased more strongly in OMI+MODIS DA than in TROPOMI+VIIRS DA.  
RMSEs in OMI+MODIS DA were comparable to or 3–9% larger than those in TROPOMI+VIIRS DA for CASTNET, EMEP,  
550 and EANET, but 29% smaller for ATom-4.

The model biases for ammonium aerosols compared with EMEP, EANET, and ATom-4 were negative by up to  $\sim 0.13 \mu\text{g m}^{-3}$   
 $\text{m}^{-3}$  (by up to –25%), whereas the bias against CASTNET was slightly positive by 0.005  $\mu\text{g m}^{-3}$  (by 1.3%). The RMSEs  
ranged from 0.15 to 0.34  $\mu\text{g m}^{-3}$  (39–202%), with correlation coefficients of 0.32–0.60. In many cases, TROPOMI+VIIRS  
DA increased the biases and RMSEs for ammonium by factors of up to 6.5. Biases against EMEP and CASTNET increased  
555 by up to a factor of 6.6 in the OMI+MODIS DA.

The negative model biases and RMSEs for  $\text{PM}_{2.5}$  total mass concentrations ranged from 1.7 to 2.2  $\mu\text{g m}^{-3}$  (18–50%)  
and from 2.5 to 4.2  $\mu\text{g m}^{-3}$  (34–172%), respectively, with correlation coefficients of 0.09–0.65. With the TROPOMI+VIIRS  
DA, negative biases against AQS, EEA AQ e-reporting, and Japanese and AirKorea networks were reduced to 0.6–1.5  $\mu\text{g m}^{-3}$   
 $\text{m}^{-3}$  (–5–33%). RMSEs decreased by 4–12% for AQS and EEA but increased by 9% for Japanese and AirKorea networks,  
560 while correlation coefficients against AQS were improved slightly. In the OMI+MODIS DA, negative biases were reduced  
to 1.0–1.1  $\mu\text{g m}^{-3}$  (–24–25%) for AQS and EEA and were nearly eliminated for Japanese and AirKorea networks, repre-  
sented reductions comparable to or larger than those from TROPOMI+VIIRS DA. RMSEs were 0.3–8% larger than those in  
TROPOMI+VIIRS DA for AQS and EEA, but 11% smaller for the Japanese and AirKorea networks.

Overall the results suggest benefits of assimilating current generation satellite constellations for constraining secondary  
565 inorganic aerosols and AOD, though improvements for  $\text{PM}_{2.5}$  were not consistently observed across all networks. This contrast  
can be attributed that trace gas retrieval uncertainties in TROPOMI which were much improved compared to OMI, while the  
AOD products were consistent between MODIS and VIIRS sensors for long-term satellite records for most regions, except for  
South Africa, India, and arid regions.



## 6 Discussion and conclusion

### 570 6.1 Summary

Despite the increasing sophistication of aerosol DA approaches, the impacts of integrating satellite observations of aerosols and their precursor gases on aerosol composition have not previously been evaluated in a fully coupled framework. In this study, we developed one of the most comprehensive approaches, simultaneously optimizing aerosol concentrations, primary aerosol emissions, and precursor gas emissions. By assimilating satellite observations of AOD and trace gases within a DA framework, 575 we improved estimates of the global distribution and chemical composition of aerosols.

The simultaneous assimilation of AOD and trace gas observations improved agreement with independent aircraft and surface in-situ observations compared with conventional approaches that assimilate AOD or trace gases separately. Improvements in sulfate and ammonium aerosols ranged 18–98% relative to AOD-only assimilation and 63–97% relative to trace-gas-only DA in many regions, except for Europe. Furthermore, agreements with PM<sub>2.5</sub> observations over East Asia improved by 56% 580 compared with the conventional AOD-only DA. The assimilation of trace gases primarily drove corrections to SNA aerosols through adjustments in precursor emissions, while AOD assimilation constrained total PM<sub>2.5</sub> mass and benefited from the improved aerosol composition balance provided by trace gas assimilation.

The optimized emissions show substantial adjustments relative to *a priori* emission inventories. Global SO<sub>2</sub> and soil dust emissions decreased to 29.6 Tg S yr<sup>-1</sup> and 1257.9 Tg yr<sup>-1</sup> (reductions of 30% and 60%), whereas global NO<sub>x</sub> and carbonaceous aerosol emissions increased to 63.6 Tg N yr<sup>-1</sup> and 103.3 Tg C yr<sup>-1</sup> (increases of 30% and 15%). These emission 585 adjustments were primarily driven by trace-gas observations for SO<sub>2</sub> and NO<sub>x</sub>, and by AOD observations for carbonaceous aerosols and dust emissions.

### 6.2 Forecast model performance

The performance of data assimilation is influenced by uncertainties in the forecast model, particularly associated with aerosols 590 and their precursors. For example, the relationship between aerosol mass concentrations and AOD depends on aerosol optical parameters (e.g., mass extinction coefficient and single scattering albedo), which are strongly influenced by aerosol microphysical properties. Consequently, incorporating explicit aerosol microphysics (e.g., Yu et al., 2012; Tilmes et al., 2023) or simplified parameterizations (Zhai et al., 2021) into the forecast model could improve the model performance in simulating AOD. The lack of super-coarse dust in the forecast model in this study likely contributes an underestimation of the top-down 595 dust emission estimates (Kok et al., 2021).

Furthermore, uncertainties in the model deposition and chemical reaction processes of aerosol precursors, such as NH<sub>3</sub>, HNO<sub>3</sub>, and SO<sub>2</sub>, can influence the estimates of precursor emissions, aerosol formation, and aerosol composition. The pH dependency of dry deposition processes at wet surfaces can increase the NH<sub>3</sub> and SO<sub>2</sub> concentrations (Luo et al., 2019, 2020). The forecast model used in this study showed positive model biases in NH<sub>3</sub> relative to the CrIS satellite retrievals over the 600 eastern United States, Europe, eastern China, northern India, and Central Africa (Fig. S1), which in turn contributed to positive biases in nitrate aerosols. Although the forecast model does not include explicit representations of the NH<sub>3</sub> volatilization



processes over land, implementing these processes could improve the model performance for simulating  $\text{NH}_3$  (Zhu et al., 2015; Cao et al., 2022). Such model developments will be necessary to enhance the data assimilation performance in future studies.

### 605 6.3 Additional observational constraints

Additional constraints from emerging satellite instruments and products are expected to provide more comprehensive information for aerosol data assimilation, particularly with respect to vertical structure and speciation. For example, vertical aerosol extinction profiles from CALIOP (Winker et al., 2013) offer valuable information on aerosol layer heights. In addition, multi-wavelength AOD and absorbing AOD observations, such as those from POLDER/PARASOL (Tanré et al., 2011), are anticipated to help constrain aerosol microphysical properties and composition (Li et al., 2022b; Tsikerdekis et al., 2023). Advanced retrievals of single scattering albedo (SSA) and Ångström exponent (AE) as well as AOD derived from PACE/SPEXone (Fu et al., 2025), MetOp-SG-A/3MI (Fougnie et al., 2018), and CO2M/MAP (Lu et al., 2022) and vertical distributions from Earth-CARE/ATLID (van Zadelhoff et al., 2023) should further improve constraints on aerosol properties. More detailed products, such as speciated PM retrievals from the PLANTiNO-2/MAIA instrument (Diner et al., 2018), are also expected to provide additional constraints on aerosol composition over selected target areas and their subsequent outflows. The combined use of diverse satellite datasets introduces new technical challenges, including inter-instrument biases and inconsistencies among retrieval products. Bias correction across multiple observing systems, as implemented in the MERRA-2 reanalysis (Randles et al., 2017), will be essential for improving the consistency and accuracy of aerosol analyses.

Ammonium aerosols remained unconstrained directly in the present framework. Optimizing  $\text{NH}_3$  emissions using satellite retrievals such as CrIS (Shephard and Cady-Pereira, 2015) is expected to improve the representation of nitrate and ammonium aerosol formation through aerosol chemistry and thermodynamic equilibrium (Dang et al., 2024). Comparison with CrIS observations (Fig. S1) indicates that the model overestimates surface  $\text{NH}_3$  over Europe, the eastern United States, China, northern India, and central Africa, while underestimating it over the central United States, South America, North Africa, and West Asia. To assess the potential impact of  $\text{NH}_3$  assimilation under the current model configuration, we conducted a sensitivity experiment in which  $\text{NH}_3$  emissions were adjusted based on the ratio of surface  $\text{NH}_3$  concentrations derived from satellite retrievals to those from model forecast without applying data assimilation. This adjustment partially reduced the remaining nitrate aerosol biases relative to EMEP and CASTNET observations by 0.2 and  $0.04 \mu\text{g m}^{-3}$ , respectively (Fig. S2). Nevertheless, important challenges remain, because the forecast models that do not include a bidirectional flux scheme can introduce substantial biases in inferred  $\text{NH}_3$  emissions (Marais et al., 2021). Future studies that incorporate  $\text{NH}_3$  observations into the existing assimilation framework, as similarly tested by Henze et al. (2009), together with improvements in model process representation, are expected to further enhance aerosol analyses.

Another important component is volatile organic compound (VOC) emissions, which are key precursors of secondary organic aerosol formation. They constitute a major fraction of aerosol mass in developed regions (Attwood et al., 2014). However, the present DA system does not yet optimize VOC emissions. Assimilation of satellite retrievals of formaldehyde (De Smedt et al.,



635 2018), glyoxal (Lerot et al., 2021), and isoprene (Wells et al., 2022) offers considerable potential to improve the representation of global VOC distributions, aerosol formation, and aerosol composition.

While trace gas DA updates precursor emissions and thereby modifies aerosol speciation during the forecast step, the current AOD DA retains the modeled aerosol composition balance during the analysis step. Incorporating cross-variable background error covariances between an expanded set of state variables, including aerosol optical properties (AOD, SSA, and AE) and individual aerosol components, would improve the DA system's ability to exploit observational information by propagating it across a broader state vector. Nevertheless, achieving these improvements requires accurate error covariance estimates. Important technical challenges remain, including the detailed representation of aerosol chemistry in the forecast model and the reduction of sampling error through larger ensemble sizes.

#### 6.4 Climate and health applications

645 Aerosols and their precursor emissions remain a major source of uncertainty in climate impact assessments, including those in CMIP6 (Wang et al., 2021). Because different aerosol components have distinct climatic effects (Li et al., 2022a), their evaluation is important, yet still limited by sparse observational coverage. Likewise, mortality risks associated with PM<sub>2.5</sub> exposure can be estimated more accurately when chemical composition is considered, rather than using only total PM<sub>2.5</sub> mass as in many previous studies (Beelen et al., 2015; Ueda et al., 2016; Thurston et al., 2016; Weichenthal et al., 2024; Crouse et al., 2016; Xue et al., 2021). Long-term reanalysis datasets based on the simultaneous assimilation of aerosol and trace-gas observations can provide observationally constrained aerosol speciation information to address these limitations.

#### 6.5 Conclusion

Overall, our results demonstrate that the simultaneous assimilation of satellite AOD and trace gas observations provides clear advantages for improving aerosol composition estimates. These advances have been enabled by the expansion of satellite observing systems and by improvements in sensor capabilities. For example, the use of TROPOMI and VIIRS observations produced more accurate analyses than earlier-generation datasets such as OMI and MODIS. Unlike conventional approaches that do not explicitly optimize aerosol composition balance, the framework presented here provides observationally constrained long-term aerosol reanalysis datasets that are highly valuable for climate studies and for assessments of human health impacts. Future improvements could be achieved by assimilating additional observations, including multiwavelength AOD, NH<sub>3</sub>, and NMVOCs, as well as high-temporal-resolution measurements from geostationary satellite platforms.

*Code availability.* The source code is not publicly available because of license restriction. The source code is available from Kengo Sudo (kengo@nagoya-u.jp) upon request. The source code for the data assimilation system is available from Kazuyuki Miyazaki (Kazuyuki.Miyazaki@jpl.nasa.gov) upon request.



*Data availability.* The data assimilation results are available online (<https://doi.org/10.6084/m9.figshare.31848628>). The TROPOMI and  
665 OMI NO<sub>2</sub> satellite retrievals are publicly available at the TEMIS website ([https://www.temis.nl/airpollution/no2col/no2regio\\_tropomi.php](https://www.temis.nl/airpollution/no2col/no2regio_tropomi.php),  
last access: August 20, 2024). The TROPOMI SO<sub>2</sub> retrievals were obtained from the S5P-PAL data portal (<https://data-portal.s5p-pal.com/browser/>,  
last access: September 1, 2024). MLS ozone, HNO<sub>3</sub>, MOPITT CO, MODIS AOD, VIIRS AOD from the NASA Aura, EOS Terra, Aqua,  
NOAA Suomi-NPP, and NOAA-20 satellites are available at the NASA's EARTHDATA (<https://earthdata.nasa.gov/>, last access: May 10,  
2025). Surface PM<sub>2.5</sub> measurement data were downloaded from EEA (<https://www.eea.europa.eu/>), US EPA (<https://www.epa.gov/aqs>),  
670 NIES (<http://www.nies.go.jp/>), and Air Korea (<https://airkorea.or.kr/web/>). Sulfate, nirtate, and ammonium aerosol data were obtained from  
EMEP (<http://ebas.nilu.no/>), CASTNET (<https://www.epa.gov/castnet>), and EANET (<http://www.eanet.asia/>). NASA's aircraft-campaign ob-  
servation data are available at NASA Langley Research Center website (<https://www-air.larc.nasa.gov/missions/korus-aq/>) for KORUS-AQ  
and at Oak Ridge National Laboratory website (<https://daac.ornl.gov/ATOM/campaign/>) for ATom-4.

*Author contributions.* T.S. and K.M. designed the research; T.S. conducted the model and data assimilation calculations, and performed  
675 the analysis; H.E. and P.R. curated S5P/TROPOMI retrievals and applied the super-observation approach to them; All the authors have  
contributed to the research, drafting of paper text, and approved the final version of the manuscript.

*Competing interests.* One of the co-authors is a member of the editorial board of Atmospheric Chemistry and Physics. The authors  
have no other competing interests to declare.

*Acknowledgements.* This study was supported by the Environmental Restoration and Conservation Agency (Grant nos. JPMEERF20222001  
680 and JPMEERF20252001), and the Japan Society for the Promotion of Science (Grant nos. 22K12353, 23H04971, and 25K00377). Part of  
this work was conducted at the Jet Propulsion Laboratory, California Institute of Technology, under contract with NASA. Authors thank Dr.  
Jaehwa Lee and Dr. Andrew Sayer for advice on the use of the VIIRS DB version 2 product. The model and data assimilation calculations  
were conducted using the Earth Simulator with the support of the Japan Agency for Marine-Earth Science and Technology.



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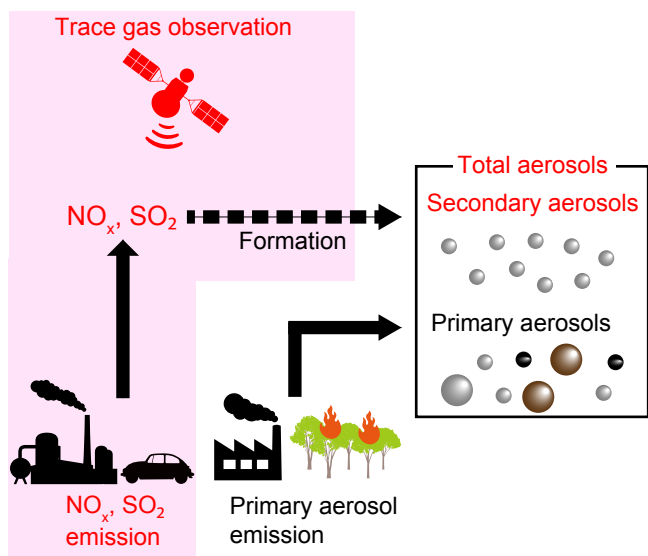
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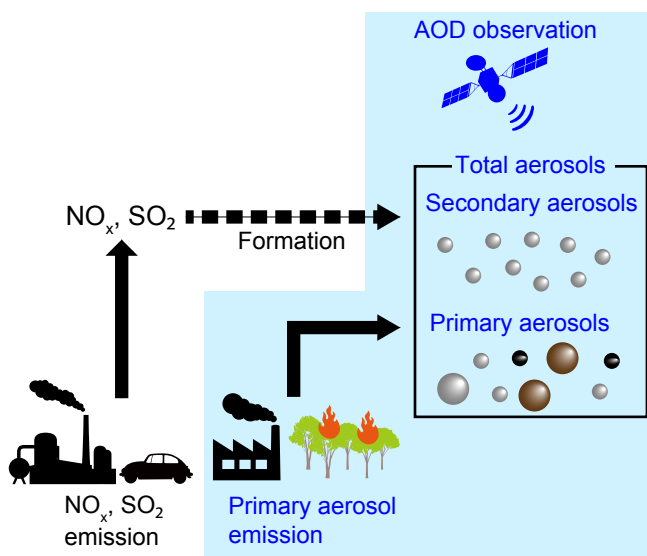
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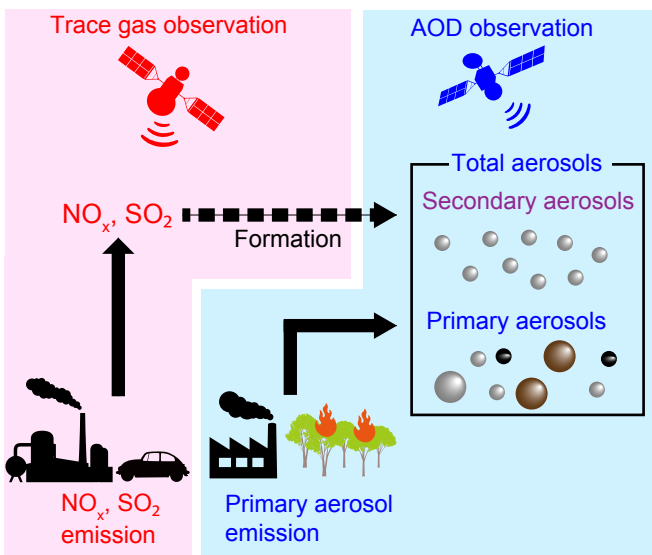
### Gas-only DA



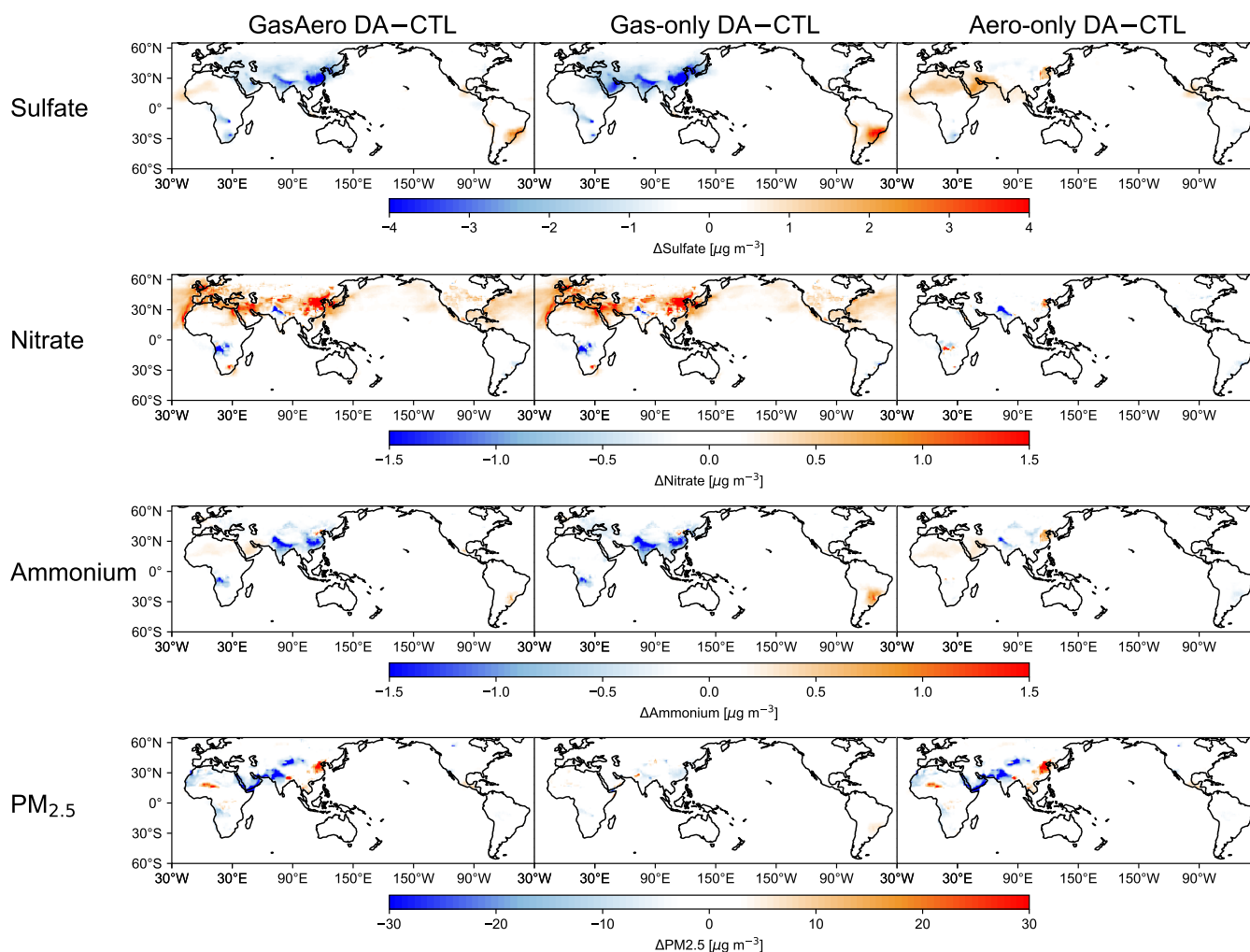
### Aero-only DA



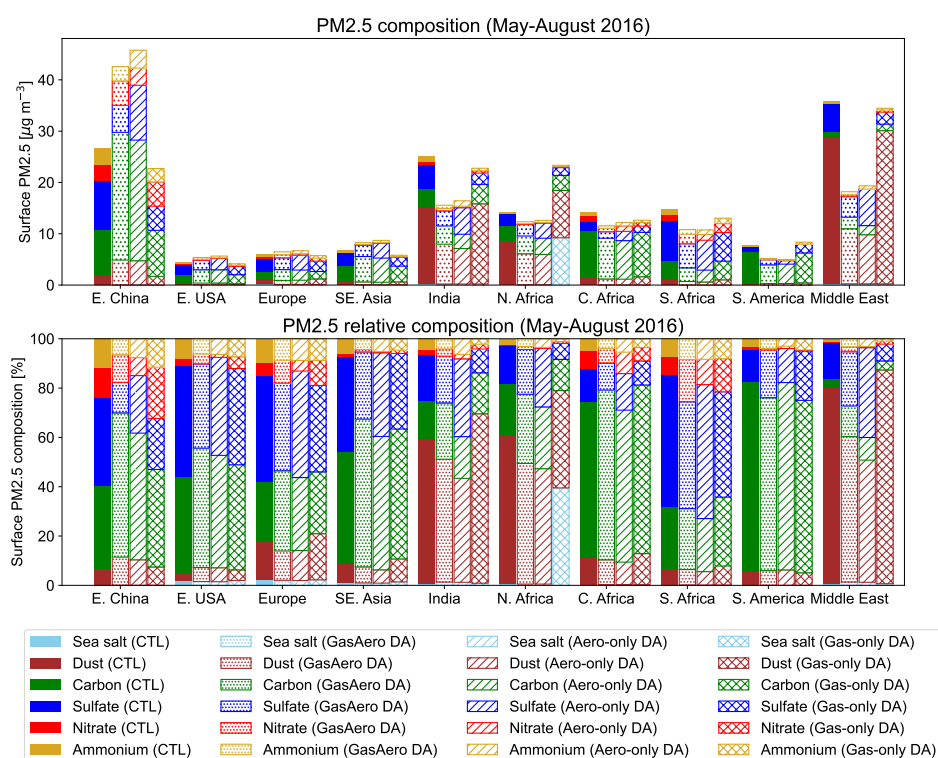
### GasAero DA



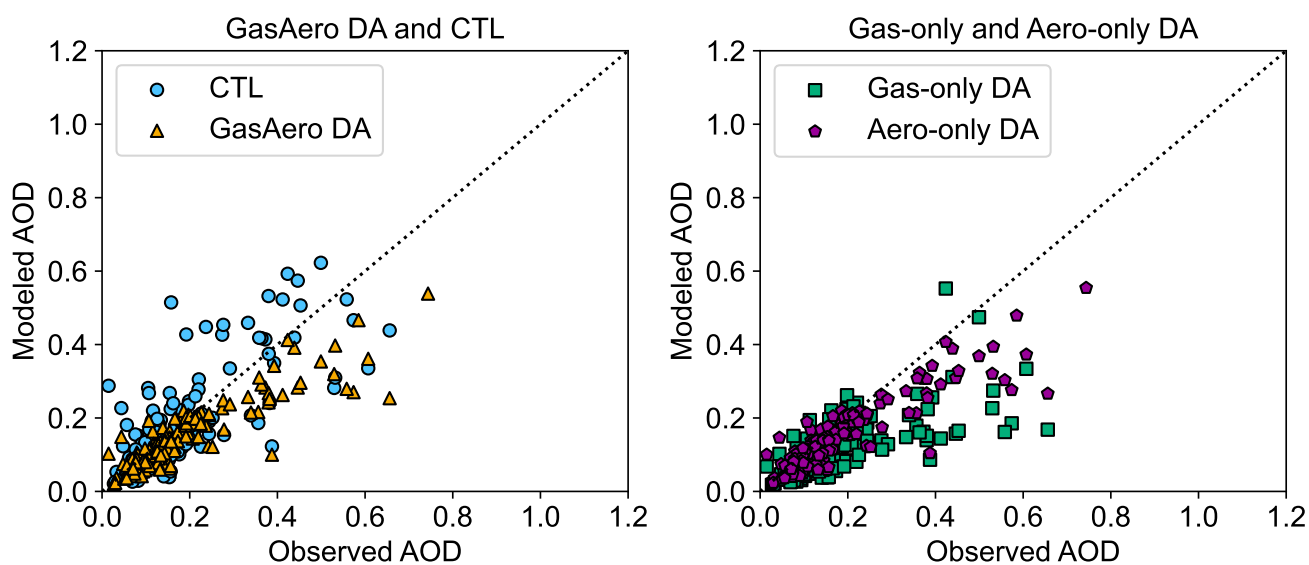
**Figure 1.** Schematic diagram of simultaneous data assimilation of trace gas and AOD observations (GasAero DA), conventional data assimilation of trace gas observations (Gas-only DA) and AOD observations separately (Aero-only DA). The solid and dashed arrows indicate direct emissions and chemical formation, respectively. Red, blue, and purple characters denote the variables influenced by trace gas assimilation, AOD assimilation, and both trace gas and AOD assimilation, respectively.



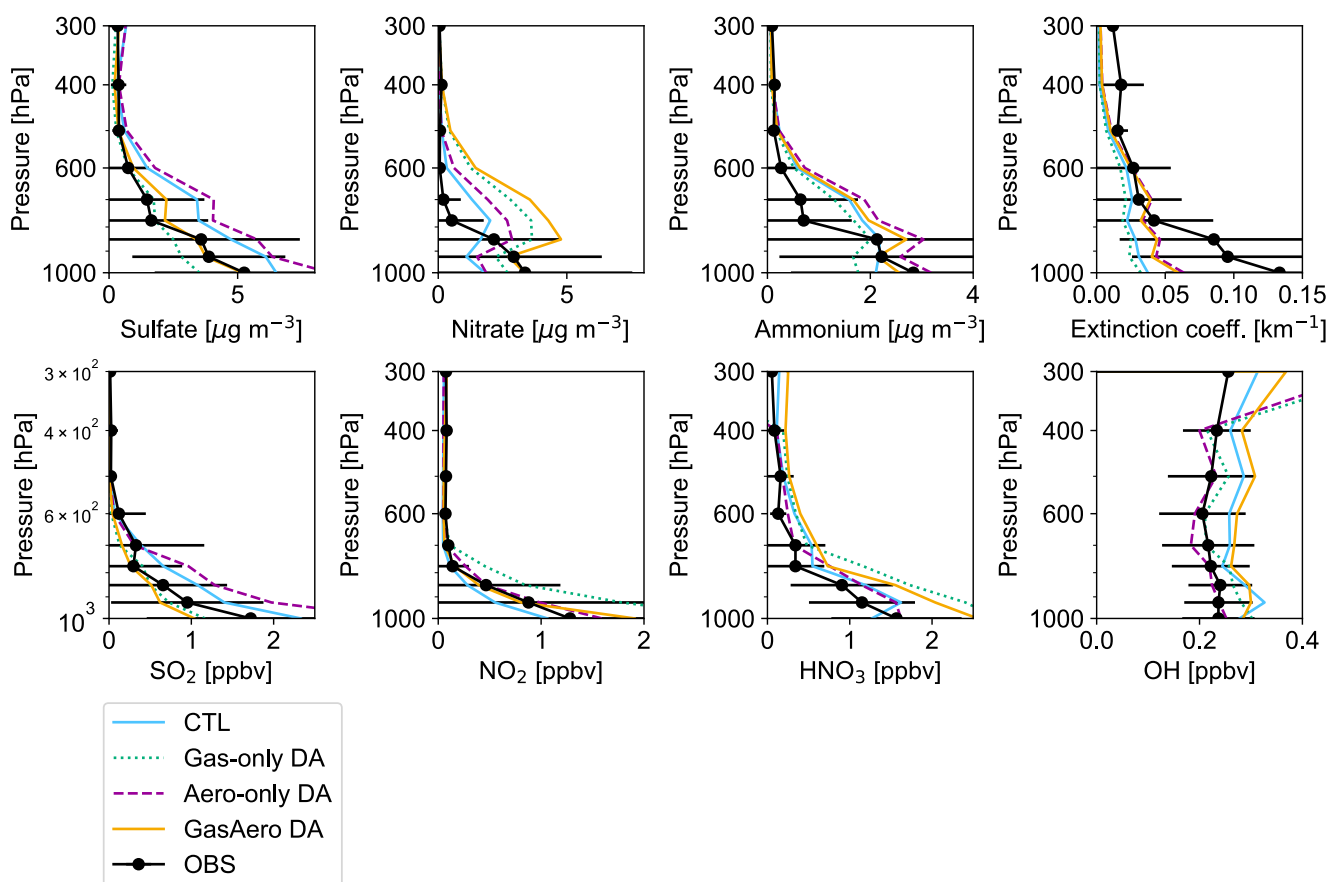
**Figure 2.** Surface sulfate (first row), nitrate (second row), ammonium (third row), and fine particulate matters ( $PM_{2.5}$ ; fourth row) concentration changes due to data assimilation during May–August 2016, compared to the control simulation. The left column is the concentration changes due to the simultaneous DA of trace gases and AOD observations (GasAero DA). The center and right columns are conventional DAs of trace gas observations (Gas-only DA) and AOD observations (Aero-only DA), respectively. The unit is  $\mu g m^{-3}$ .



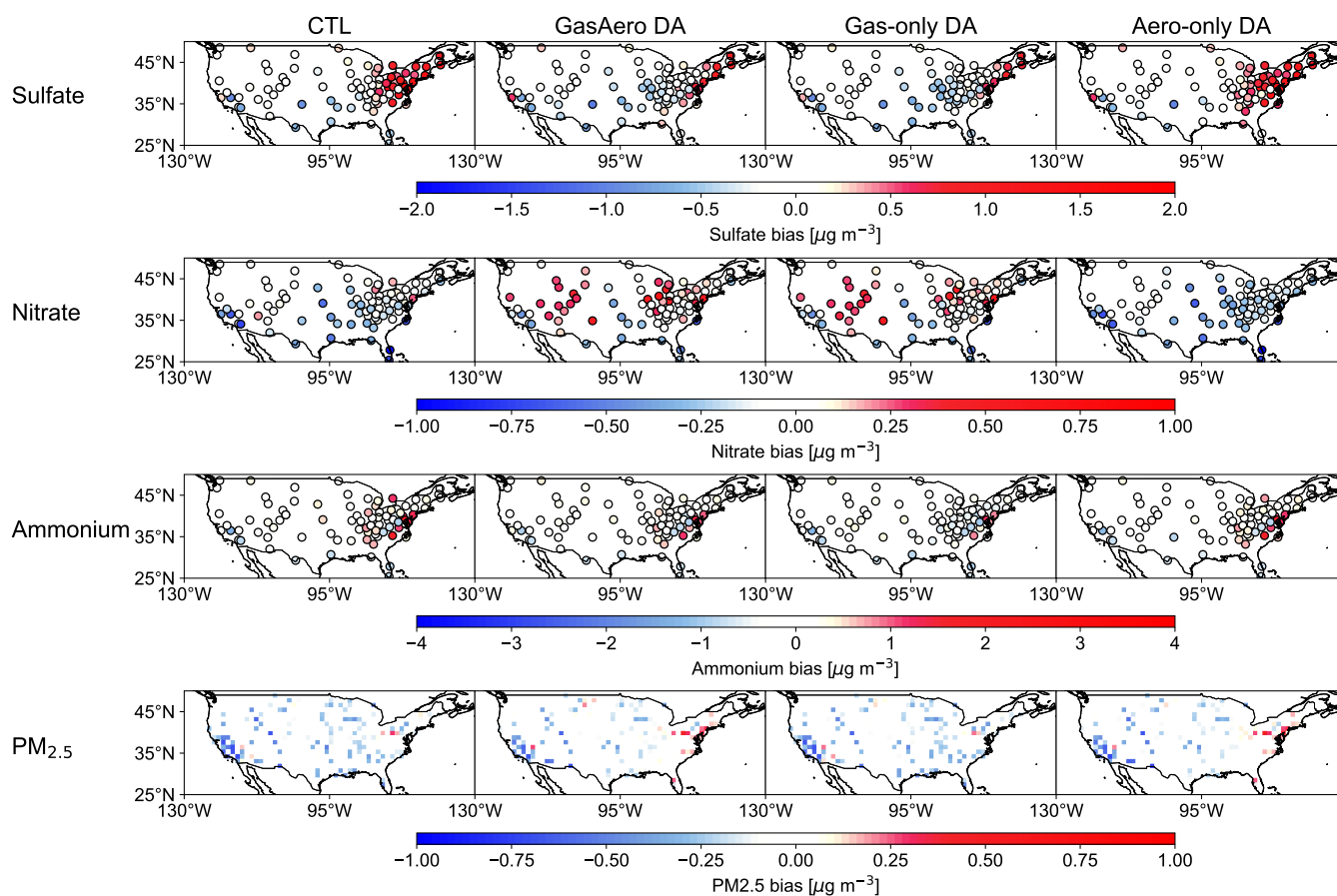
**Figure 3.** Regional mean concentrations of fine particulate matters (PM<sub>2.5</sub>) composition (top) and relative composition (bottom) during May–August 2016. The bars with no hatch, dotted hatch, diagonal hatch, and cross hatch indicate the control simulation, the GasAero DA, the Aero-only DA, and the Gas-only DA, respectively. Sky blue, brown, green, blue, red, and yellow colors denote sea salt, dust, carbonaceous, sulfate, nitrate, and ammonium aerosols, respectively. The unit is  $\mu\text{g m}^{-3}$ . Region definition is shown in Fig. S5.



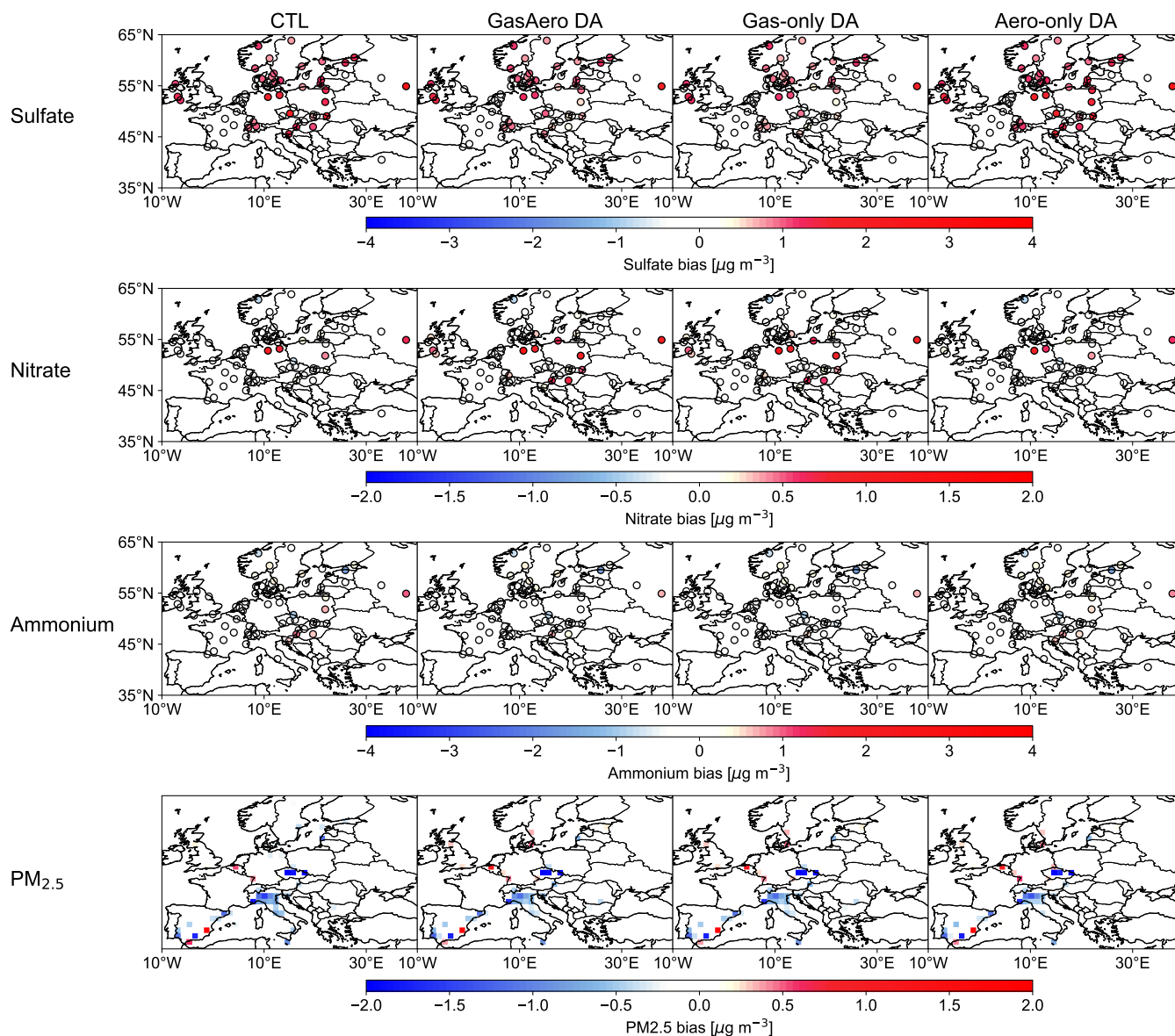
**Figure 4.** Scatter plot of observed aerosol optical depth (AOD) derived from AERONET with analyzed AOD derived from the control simulation (skyblue circle), the GasAero DA (orange triangle), Gas-only DA (green square), and Aero-only DA (purple hexagon) during May–August 2016. The observation sites were selected by the criteria of >25% temporal coverage during May–August 2016. The dotted line indicates the 1:1 line.



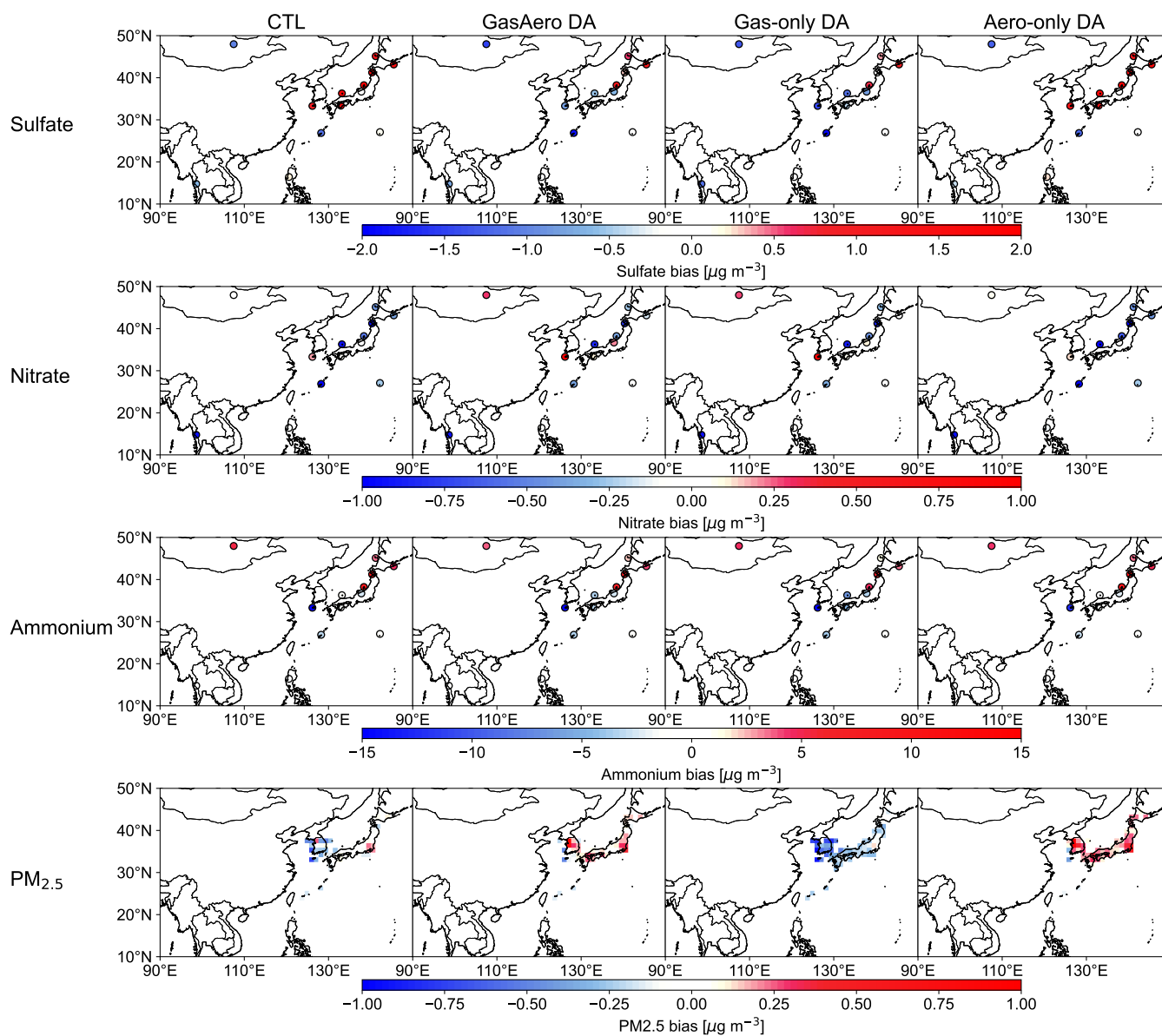
**Figure 5.** Vertical profiles of aerosols (sulfate, nitrate, ammonium, total extinction coefficients; from first to fourth columns, respectively, on the top panels) and trace gas species (sulfur dioxide, nitrogen dioxide, nitric acid, and hydroxyl radicals; from first to fourth columns, respectively, on the bottom panels) during the KORUS-AQ aircraft-campaign observations. The black line with circle represents observations, the skyblue solid, orange solid, green dotted, and purple dashed lines are the control simulation, GasAero DA, Gas-only DA, and Aero-only DA, respectively. The units are  $\mu\text{g m}^{-3}$  for aerosols,  $\text{km}^{-1}$  for total extinction coefficients, and part per billion volume (ppbv) for trace gases.



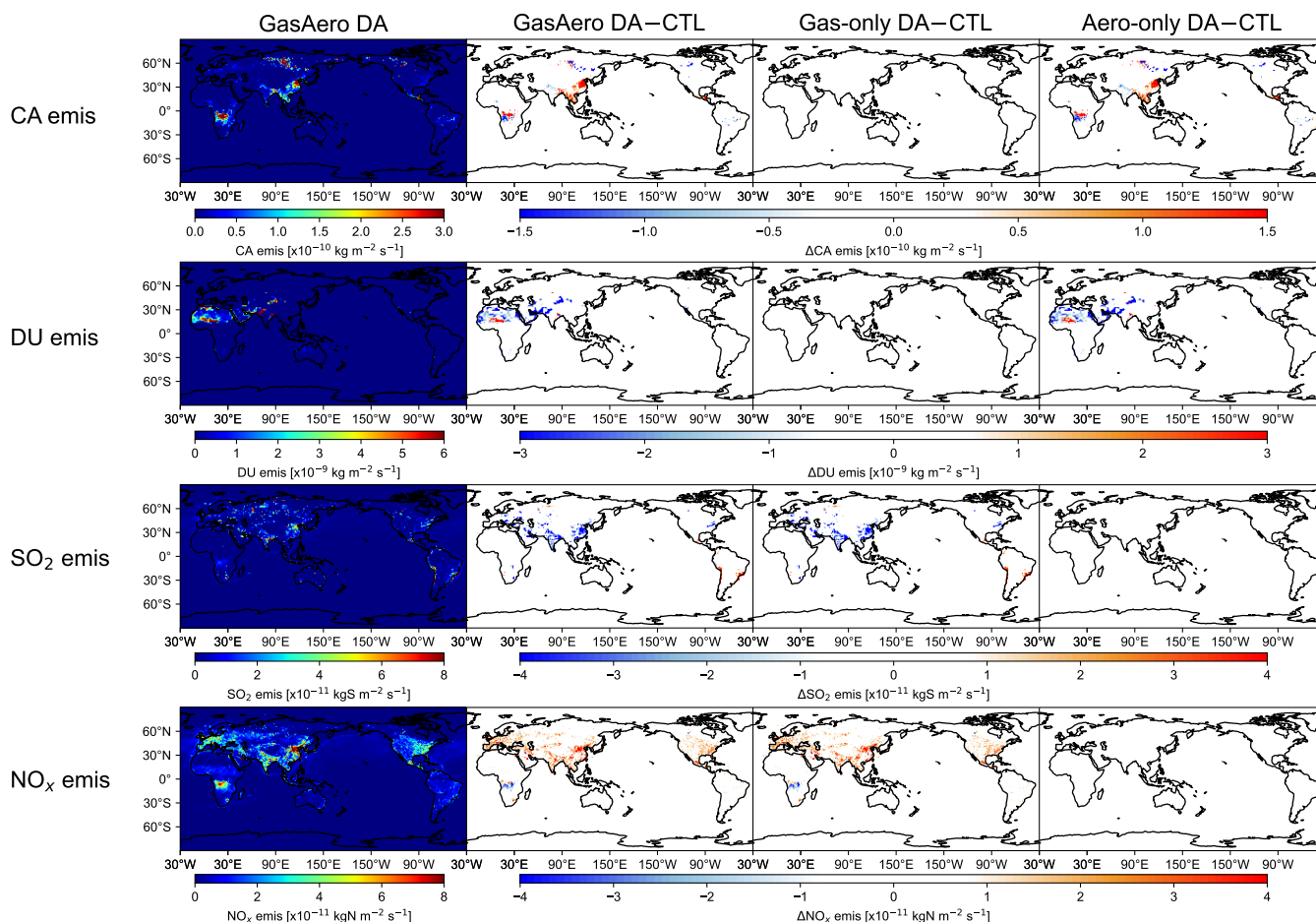
**Figure 6.** Spatial maps of mean biases of sulfate (first row), nitrate (second row), ammonium (third row), and fine particulate matters (PM<sub>2.5</sub>; fourth row) in the control simulation (first column), GasAero DA (second column), Gas-only DA (third column), and Aero-only DA (fourth column) over the United States during May–August 2016. The unit is  $\mu\text{g m}^{-3}$ .



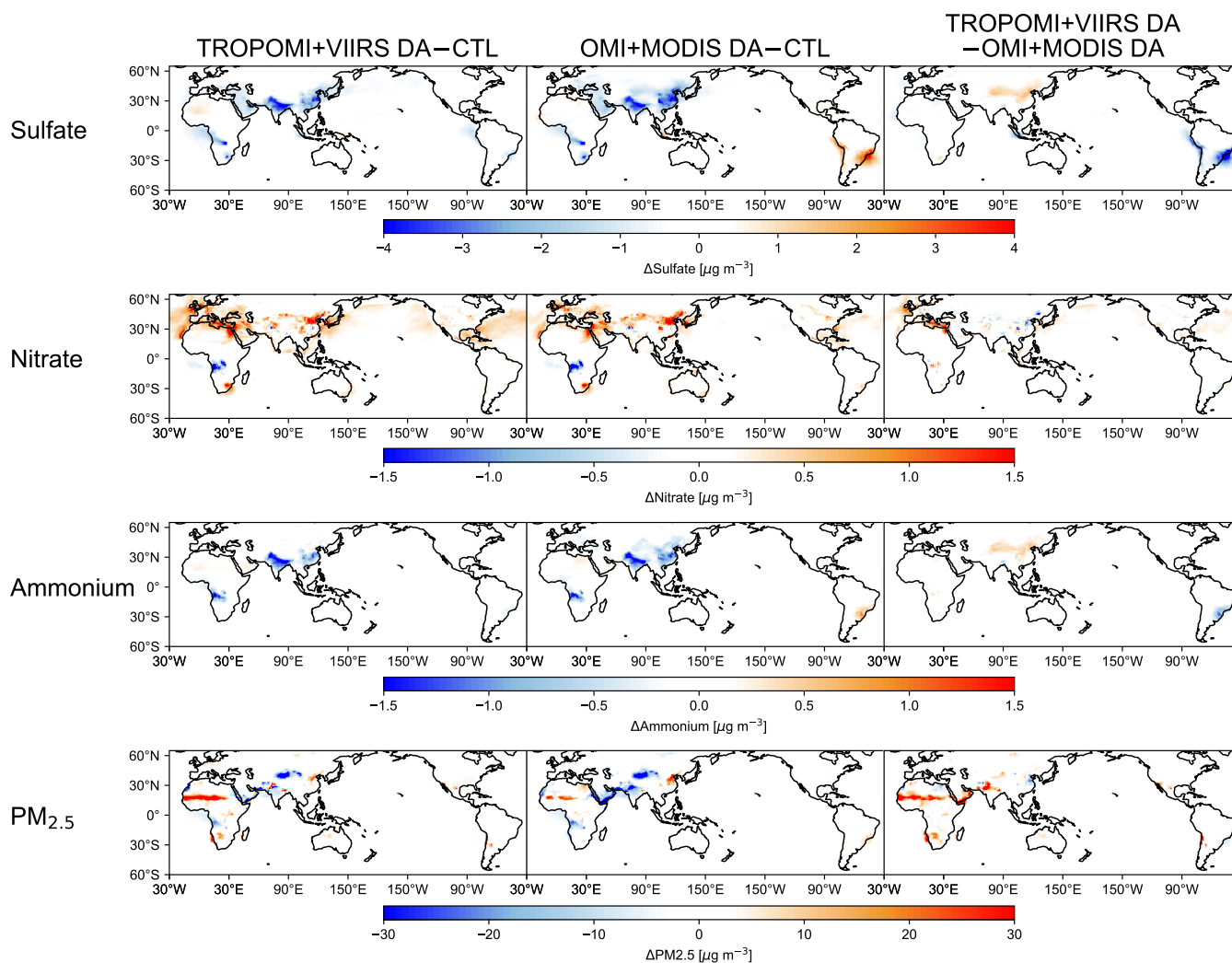
**Figure 7.** Spatial maps of mean biases of sulfate (first row), nitrate (second row), ammonium (third row), and fine particulate matters ( $PM_{2.5}$ ; fourth row) in the control simulation (first column), GasAero DA (second column), Gas-only DA (third column), and Aero-only DA (fourth column) over Europe during May–August 2016. The unit is  $\mu\text{g m}^{-3}$ .



**Figure 8.** Spatial maps of mean biases of sulfate (first row), nitrate (second row), ammonium (third row), and fine particulate matters ( $PM_{2.5}$ ; fourth row) in the control simulation (first column), GasAero DA (second column), Gas-only DA (third column), and Aero-only DA (fourth column) over Asia during May–August 2016. The unit is  $\mu\text{g m}^{-3}$ .



**Figure 9.** Mean surface emissions of carbonaceous aerosols (first row), mineral dust (second row), sulfur dioxide (SO<sub>2</sub>; third row), and nitrogen oxides (NO<sub>x</sub>; fourth row) in the GasAero DA (first column) and their changes made to the GasAero DA (second column), Gas-only DA (third column), and Aero-only DA (fourth column), compared to the control simulation, during May–August 2016. The units are  $\times 10^{-10} \text{ kg m}^{-2} \text{ s}^{-1}$  for carbonaceous aerosols,  $\times 10^{-9} \text{ kg m}^{-2} \text{ s}^{-1}$  for mineral dust,  $\times 10^{-11} \text{ kg S m}^{-2} \text{ s}^{-1}$  for SO<sub>2</sub>, and  $\times 10^{-11} \text{ kg N m}^{-2} \text{ s}^{-1}$  for NO<sub>x</sub>.



**Figure 10.** Surface sulfate (first row), nitrate (second row), ammonium (third row), and fine particulate matters (PM<sub>2.5</sub>; fourth row) concentration changes due to TROPOMI+VIIRS DA (left) and OMI+MODIS DA (center), compared to the control simulation, during June–August 2018. The right column shows the difference between the TROPOMI+VIIRS and OMI+MODIS DA runs. The unit is  $\mu\text{g m}^{-3}$ .



**Table 1.** List of assimilated satellite observations

Satellite/Instrument	Variable	Product version	Screening criteria	Reference
Aura/OMI	NO <sub>2</sub> tropospheric column	QA4ECV v1.1	CRF < 0.5	Boersma et al. (2017, 2018)
			SZA < 81°	
Aura/OMI	SO <sub>2</sub> column	OMSO2 V2	SA < 0.3	Li et al. (2013, 2020a, b)
			QF = 0	
			tropAMF/geoAMF > 0.1	
Aura/MLS	Ozone and HNO <sub>3</sub> profiles	V4.2	CRF < 0.2	Livesey et al. (2011) Schwartz et al. (2015) Manney et al. (2015)
			SZA < 70°	
			CTP > first 10 and CTP < last 10	
			$p < 215$ hPa (for ozone)	
Terra/MOPITT	CO total column	V7 TIR-NIR product	$p < 150$ hPa (for HNO <sub>3</sub> )	Deeter et al. (2017)
			SF=odd	
			QF<1	
Aqua and Terra/MODIS	Aerosol Optical Depth (AOD)	Collection 6.1	Conv.>1.03	Sayer et al. (2013)
			$ \text{Lat}  < 65^\circ$	
S5P/TROPOMI	NO <sub>2</sub> tropospheric column	v2.4-	Daytime data	van Geffen et al. (2022)
S5P/TROPOMI	SO <sub>2</sub> column	COBRA v2	see Section 2.1.3	Theys et al. (2021)
S-NPP and NOAA20/VIIRS	Aerosol Optical Depth (AOD)	v2	QA value>0.75	Lee et al. (2024)
			recalculated QA value>0.5	
			see Section 2.1.3	

CRF: cloud radiance fraction, SZA: solar zenith angle, QF: quality flag, tropAMF: tropospheric AMF, geoAMF: geometric AMF, CTP: cross-track pixels,  $p$ : pressure, SF: status flag



**Table 2.** List of data assimilation calculations performed in this study.

ID	Period	Assimilated observations	
		Trace gases (NO <sub>2</sub> and SO <sub>2</sub> )	AOD
GasAero	April–August 2016	OMI	MODIS
Gas-only		OMI	
Aero-only			MODIS
OMI+MODIS	May–August 2018	OMI	MODIS
TROPOMI+VIIRS		TROPOMI	VIIRS
CTL	April–August 2016		
	May–August 2018		



**Table 3.** Statistical scores of validation using independent observations for GasAero DA, Aero-only DA, Gas-only DA, and CTL during May–August 2016. MB, S-Corr, and RMSE indicate mean bias, spatial correlation coefficient, and root mean square error, respectively. The boldface values denote the best performance among the data assimilation and the control simulation. The MB and RMSE of aerosol mass concentrations are  $\mu\text{g m}^{-3}$ .

Observation data	GasAero DA			Aero-only DA			Gas-only DA			CTL		
	MB	S-Corr	RMSE	MB	S-Corr	RMSE	MB	S-Corr	RMSE	MB	S-Corr	RMSE
AERONET AOD	-0.04	<b>0.90</b>	<b>0.07</b>	-0.03	<b>0.90</b>	<b>0.07</b>	-0.06	0.67	0.13	<b>0.01</b>	0.78	0.11
KORUS-AQ Sulfate (>750 hPa)	<b>0.06</b>		<b>3.20</b>	2.81		4.97	-1.29		3.41	1.57		4.41
KORUS-AQ Nitrate (>750 hPa)	0.74		4.91	-0.77		4.28	<b>0.03</b>		4.64	-1.24		<b>4.05</b>
KORUS-AQ Ammonium (>750 hPa)	<b>0.07</b>		2.31	0.55		2.39	-0.58		2.41	-0.24		<b>2.15</b>
CASTNET Sulfate	<b>-0.03</b>	<b>0.65</b>	<b>0.40</b>	0.30	<b>0.65</b>	0.58	-0.13	0.64	<b>0.40</b>	0.20	0.59	0.58
CASTNET Nitrate	0.05	0.44	<b>0.25</b>	-0.22	<b>0.51</b>	0.29	<b>0.03</b>	0.43	<b>0.25</b>	-0.14	0.37	0.26
CASTNET Ammonium	<b>0.005</b>	0.73	<b>0.12</b>	0.02	<b>0.74</b>	0.13	-0.02	0.71	<b>0.12</b>	0.03	0.73	0.15
AQS PM <sub>2.5</sub>	<b>-0.46</b>	<b>0.80</b>	<b>1.28</b>	-0.50	0.78	1.33	-0.84	<b>0.80</b>	1.43	-1.75	0.46	2.55
EMEP Sulfate	0.87	0.13	0.96	1.17	<b>0.40</b>	1.28	<b>0.76</b>	0.06	<b>0.87</b>	1.09	0.37	1.20
EMEP Nitrate	0.22	0.21	0.49	<b>-0.01</b>	0.22	<b>0.30</b>	0.20	0.22	0.45	0.02	0.22	0.32
EMEP Ammonium	-0.014	<b>0.41</b>	<b>0.26</b>	0.017	<b>0.41</b>	0.28	-0.05	0.40	<b>0.26</b>	<b>0.010</b>	0.40	0.29
AQ e-rep. PM <sub>2.5</sub>	-1.51	0.13	<b>12.4</b>	<b>-1.46</b>	0.14	12.5	-1.51	0.13	<b>12.4</b>	-1.71	<b>0.15</b>	12.5
EANET Sulfate	<b>-0.14</b>	0.77	<b>0.86</b>	0.64	0.82	1.26	-0.38	0.75	0.93	0.63	<b>0.83</b>	1.21
EANET Nitrate	<b>-0.15</b>	0.36	<b>0.50</b>	-0.39	0.31	0.57	-0.16	0.36	<b>0.50</b>	-0.37	<b>0.39</b>	0.55
EANET Ammonium	<b>-0.002</b>	0.61	0.36	0.07	<b>0.66</b>	<b>0.35</b>	-0.07	0.53	0.39	0.05	0.58	0.38
Japan/AirKorea PM <sub>2.5</sub>	<b>1.13</b>	<b>0.82</b>	<b>4.52</b>	2.50	0.81	5.27	-4.32	0.76	5.86	-1.42	0.70	4.53



**Table 4.** Global and regional total emissions of carbonaceous (Carb), dust, SO<sub>2</sub>, and NO<sub>x</sub>. The *a posteriori* emissions are derived from the GasAero, Gas-only, and Aero-only DAs (first to third column), while the *a priori* emissions (fourth column) are taken from the bottom-up emission inventories (CEDS v2021\_04\_21 and GFED4.1s) and online calculation in the forecast model. The unit is Tg/yr. Region definition is shown in Fig. S5.

Region	GasAero DA				Aero-only DA				Gas-only DA				<i>A priori</i>			
	Carb	Dust	SO <sub>2</sub>	NO <sub>x</sub>	Carb	Dust	SO <sub>2</sub>	NO <sub>x</sub>	Carb	Dust	SO <sub>2</sub>	NO <sub>x</sub>	Carb	Dust	SO <sub>2</sub>	NO <sub>x</sub>
Global	103.3	1257.9	29.6	63.6	99.0	1194.8	43.8	41.1	85.3	3046.2	29.6	63.4	85.3	3046.2	43.8	41.1
China	17.7	17.5	3.4	10.2	16.4	22.6	8.4	7.0	5.8	72.0	3.4	10.2	5.8	72.0	8.4	7.0
USA	2.5	8.2	1.5	6.3	2.7	8.4	2.2	3.5	1.6	32.1	1.5	6.2	1.6	32.1	2.2	3.5
Europe	2.4	16.9	1.3	5.2	2.2	18.5	2.5	3.2	1.6	31.4	1.3	5.1	1.6	31.4	2.5	3.2
SE. Asia	6.1	0.0	0.5	1.7	6.0	0.0	1.0	1.1	2.3	2.1	0.5	1.7	2.3	2.1	1.0	1.1
India	5.0	219.3	2.0	4.0	3.4	208.2	5.2	2.8	6.4	449.0	2.0	3.9	6.4	449.0	5.2	2.8
N. Africa	3.7	518.8	0.7	3.3	3.6	457.8	1.2	2.0	3.9	878.6	0.7	3.4	3.9	878.6	1.2	2.0
C. Africa	23.6	8.5	0.8	4.3	22.1	9.3	1.3	5.1	21.3	45.9	0.8	4.3	21.3	45.9	1.3	5.1
S. Africa	0.1	0.0	0.7	0.4	0.1	0.0	1.2	0.3	0.2	0.3	0.7	0.4	0.2	0.3	1.2	0.3
S. America	4.4	0.0	0.5	1.2	4.3	0.1	0.3	1.3	6.4	4.3	0.5	1.2	6.4	4.3	0.3	1.3
Middle East	0.3	142.8	1.0	1.2	0.3	127.4	2.6	0.8	0.2	476.5	1.0	1.2	0.2	476.5	2.6	0.8



**Table 5.** Statistical scores of validation using independent observations for TROPOMI+VIIRS DA, OMI+MODIS DA, and CTL during June–August 2018. MB, S-Corr, and RMSE indicate mean bias, spatial correlation coefficient, and root mean square error, respectively. The boldface values denote the best performance among the data assimilation and the control simulation. The MB and RMSE of aerosol mass concentrations are  $\mu\text{g m}^{-3}$ .

Observation data	TROPOMI+VIIRS DA			OMI+MODIS DA			CTL		
	MB	S-Corr	RMSE	MB	S-Corr	RMSE	MB	S-Corr	RMSE
AERONET	<b>-0.003</b>	<b>0.83</b>	<b>0.061</b>	-0.016	0.66	0.086	-0.043	0.66	0.100
ATom-4 Sulfate (>750 hPa)	<b>0.23</b>		<b>0.76</b>	0.28		0.88	0.25		0.84
ATom-4 Nitrate (>750 hPa)	-0.007		0.07	0.01		<b>0.05</b>	<b>0.005</b>		0.08
ATom-4 Ammonium (>750 hPa)	0.005		0.16	<b>0.002</b>		0.15	-0.01		<b>0.13</b>
CASTNET Sulfate	<b>0.11</b>	0.57	<b>0.52</b>	0.13	<b>0.59</b>	<b>0.52</b>	0.19	0.49	0.64
CASTNET Nitrate	-0.06	0.29	<b>0.35</b>	<b>-0.05</b>	0.32	<b>0.35</b>	-0.19	<b>0.44</b>	0.36
CASTNET Ammonium	0.02	0.59	<b>0.14</b>	0.02	<b>0.64</b>	<b>0.14</b>	<b>0.005</b>	0.60	0.15
AQS PM <sub>2.5</sub>	<b>-0.99</b>	0.42	<b>2.23</b>	-1.00	0.35	2.42	-1.75	<b>0.46</b>	2.55
EMEP Sulfate	<b>0.53</b>	-0.01	<b>0.72</b>	0.72	-0.30	0.93	0.73	<b>0.04</b>	0.92
EMEP Nitrate	-0.08	0.03	<b>0.56</b>	<b>-0.06</b>	<b>-0.13</b>	0.58	-0.25	0.004	<b>0.56</b>
EMEP Ammonium	-0.15	0.47	0.28	<b>-0.12</b>	0.46	<b>0.26</b>	-0.13	<b>0.49</b>	0.27
AQ e-rep. PM <sub>2.5</sub>	-1.51	<b>0.18</b>	<b>7.43</b>	<b>-1.10</b>	0.10	7.45	-2.26	0.09	7.72
EANET Sulfate	<b>-0.19</b>	<b>0.73</b>	0.92	-0.21	<b>0.73</b>	<b>0.90</b>	0.24	0.72	0.94
EANET Nitrate	<b>-0.23</b>	<b>0.63</b>	<b>0.42</b>	-0.28	<b>0.63</b>	0.46	-0.42	0.59	0.58
EANET Ammonium	-0.05	0.25	0.35	-0.05	<b>0.32</b>	<b>0.34</b>	<b>-0.007</b>	<b>0.32</b>	<b>0.34</b>
Japan/AirKorea PM <sub>2.5</sub>	-0.61	0.66	4.56	<b>-0.01</b>	<b>0.68</b>	<b>4.05</b>	-2.18	0.65	4.19