

An Automated Method for Polynya Detection Using a Geomorphon Algorithm

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Abstract. Polynyas, persistent areas of open water within sea ice, are critical features of polar marine systems, facilitating ocean-atmosphere heat exchange, deep water formation, nutrient cycling, and biological productivity. However, current remote detection methods, typically based on sea ice concentration thresholds, often struggle to capture the complex morphology of polynyas, especially fine-scale coastal features, and can be time-consuming to use and inconsistent across spatial scales. This study presents a novel application of a geomorphon pattern recognition algorithm, originally developed for terrestrial landform classification, to automate polynya detection using sea ice concentration data from the Advanced Microwave Scanning Radiometer 2 (AMSR2) ARTIST Sea Ice (ASI) product. Focusing on two key Southern Ocean regions, the Weddell and Amundsen Seas, we assess the algorithm’s performance through a comprehensive sensitivity analysis involving multiple geomorphon parameter combinations and comparisons with traditional sea ice concentration threshold-based methods. By identifying morphological analogues, such as depressions and valleys in sea ice concentration data, the geomorphon method accurately captures polynya morphology, achieving F1-scores of 0.88-0.94 relative to threshold-based detections, while producing area estimates consistent with published observations. Additional sensitivity analyses examining both geomorphon parameter selection and uncertainty in the underlying sea ice concentration observations indicate that derived polynya areas are robust to plausible observational errors. The method’s scalability and self-adaptive lookup distance allows detection of both large-scale open-ocean polynyas, and small fine-scale coastal polynyas. The automated nature of the method enables efficient processing of large sea ice concentration data products while avoiding reliance on predefined concentration thresholds. Although further testing across additional regions, datasets, and polynya types is required, these results demonstrate that morphology-based classification provides a promising framework for automated and reproducible polynya detection and the generation of consistent long-term polynya records.

1 Introduction

1.1 Sea Ice and Polynya Dynamics

Sea ice is a defining feature of the polar regions and plays a large role in driving ocean circulation and global climate patterns by helping to regulate exchanges of heat, moisture, and salinity at the ocean-atmosphere boundary (Houghton et al., 1996).

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Deleted: the geomorphon method effectively captures spatial patterns and areal extents of polynyas, closely aligning with results from traditional threshold-based approaches and literature reports.

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Deleted: Application of analytically rescaled parameters to an independent passive microwave sea ice concentration dataset further demonstrated transferability across datasets and spatial resolutions without additional optimisation. Critically, its automated nature enables rapid processing of time series data, up to two orders of magnitude faster than traditional methods, making it well-suited for investigating long-term polynya dynamics. By enabling consistent detection across large datasets, the method provides a framework to support investigations into climate-sensitive ocean processes, including air-sea fluxes, water mass formation, carbon cycling, and ecosystem dynamics in polar regions.

50 When sea ice forms brine rejection occurs, where the salt in seawater is pushed out of the forming ice crystals, which increases the salinity of the surface waters below the ice (Wakatsuchi and Ono, 1983; Nomura et al., 2021). The dense, cold, and saline water masses which are produced sink, and contribute to the formation of bottom water, which helps to sustain global overturning circulation (Comiso and Gordon, 1998; Ohshima et al., 2016). Moreover, by providing a physical barrier between the ocean and atmosphere, sea ice insulates the relatively warm ocean waters from the cold polar atmosphere, preventing the exchange of heat and water vapour (Landrum and Holland, 2022). This insulating effect helps to regulate surface temperatures and influences atmospheric circulation by modifying heat fluxes and pressure gradients (Walsh and Johnson 1979; Budikova, 2009).

Continuous sea ice can be interspersed with areas of open water, forming regions of direct interaction between the ocean and the atmosphere. These areas can be narrow linear fractures in sea ice, known as leads, or large semi-persistent areas of open water, called polynyas. Polynyas are very important oceanographic features, acting as sites of strong ocean-to-atmosphere heat and moisture transfers (Morales Maqueda et al., 2004; Barber and Massom, 2007; Yager et al., 2016). These transfers cause the air column above and downwind of the polynya to rapidly warm, modifying local atmospheric and oceanic conditions (Alam and Curry, 1995; Gallée, 1997). Additionally, polynyas act as important carbon sinks, as their surface waters sequester vast amounts of atmospheric CO₂ (Hoppema and Anderson, 2007). When these processes are combined across multiple polynyas, their effect can be globally significant. For example, over the entire Arctic Ocean up to 50% of the total atmosphere-ocean heat and CO₂ exchanged in winter occurs through polynyas and leads (Maykut, 1982; Else et al., 2013). Antarctic polynyas are also considered a significant carbon sink, with rates of CO₂ fixation frequently exceeding 2 g C m⁻² d⁻¹ (Louanchi et al., 1999; Arrigo and van Dijken, 2003).

70 Polynyas can range between 10 to ~~2-3~~10⁵ km² in size and often occur at specific geographical locations and phases of the year (Morales Maqueda et al., 2004). Polynyas can be classified as either open-ocean or coastal, and can have different formation mechanisms (Gordon and Comiso, 1988). Open-ocean polynyas are typically thermally driven, occurring in regions of upwelling where warm buoyant water rises through the water column and melts sea ice, generating open water areas (Martinson, 1991; Zheng et al., 2021). In contrast, coastal polynyas are typically formed when strong offshore winds advect sea ice away from the coast, creating areas of open water (Bromwich and Kurtz, 1984; Morales Maqueda et al., 2004; Bennett et al., 2024).

Whilst both polynya types are important for ocean-to-atmosphere exchanges, their different formation processes mean that they also perform unique roles. Open-ocean polynyas are crucial for large scale circulation, acting as sites of deep convection that influence global thermohaline circulation and facilitate nutrient upwelling (Melling et al., 2001; Cheon and Gordon, 2019). A prominent example is the Weddell Sea Polynya, which first gained scientific attention in the 1970s when it opened for several consecutive winters within the dense pack ice of the southern Weddell Sea (Carsey, 1980). Its re-emergence in the

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2010s has renewed scientific interest in its role in deep ocean convection, as it exposes relatively warm ocean to the atmosphere, promoting intense heat loss and vertical mixing (Mchedlishvili et al., 2022). The Weddell Sea Polynya provides a rare window into processes that influence deep water formation and global thermohaline circulation, making it an important system for both climate and oceanographic studies (Cheon and Gordon, 2019). In comparison, coastal polynyas play a primary role in shaping ecosystem dynamics, local ice production and the creation of dense, saline bottom water (Comiso and Gordon, 1998; Kimura and Wakatsuchi, 2004; Arrigo and van Dijken, 2003). The ecological role which coastal polynyas perform is incredibly important in supporting high-latitude food webs. During summer months, the surface area of open water in coastal polynyas increases, allowing more light to penetrate the ocean and stimulate photosynthesis of phytoplankton (Dennett et al., 2001; Jena and Pillai, 2020). The large phytoplankton blooms that develop form the base of an entire ecosystem, and as a result coastal polynyas become critical habitats for a wide range of benthic and higher trophic level organisms (Gilchrist and Robertson, 2000; Labrousse et al., 2018). Coastal polynyas found in the Amundsen Sea are some of the most biologically productive polynyas in the Southern Ocean, forming along the coast of West Antarctica (Arrigo and van Dijken, 2003). Their persistence is influenced by offshore winds which help maintain areas of open water (Macdonald et al., 2023). The two largest and most persistent coastal polynyas in the region are the Amundsen Sea Polynya (ASP) and the Pine Island Polynya (PIP). Together, these polynyas account for much of the region's seasonal open water and primary production and have therefore become key sites for investigating links between sea ice variability, ocean circulation and ecosystem dynamics (Arrigo and van Dijken, 2003; Arrigo et al., 2012).

Although polynyas are commonly defined as areas of open water or thin sea ice surrounded by thicker sea ice, there is no single, universally accepted definition. Differences in the spatial and temporal criteria used across studies can significantly affect reported polynya characteristics. Most detection methods apply a sea ice concentration threshold, which can range between 20% and 80%, to distinguish open water from consolidated ice (Massom et al., 1998; Mohrmann et al., 2021; Monroe et al., 2021). However, this value is somewhat arbitrary and can vary with the sensor, retrieval algorithm, time of year, and region. Persistence criteria also differ, with some studies requiring openings to remain for certain periods of time to classify open water regions as polynyas (Arrigo and van Dijken, 2003). Size thresholds are rarely used to define polynyas within detection methods, typically due to the spatial resolution constraints of available data sources. In addition, as already discussed, polynyas can also be categorised as open-ocean or coastal polynyas meaning that their geographical and spatial scale can differ substantially. This diversity in polynya characteristics highlights the importance of clearly defining what constitutes a polynya when developing or applying a detection technique.

1.2 Data Sources and Limitations

The ability to detect polynyas in observational satellite data and ocean reanalysis products is essential in improving our understanding of polynya dynamics. This is especially significant when we consider that their regionally and globally

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130 important roles are likely to be impacted by the effects of climate change, which will undoubtedly impact the extent and thickness of sea ice (Kim et al., 2023). Lack of in-situ data and observations of polynyas is often due to their remote locations in harsh environments, which make them challenging to access (Ohshima et al., 2016). Despite this, a range of different data sources have been employed to study polynyas, each with their own strengths and limitations, making them more suitable for some applications than others. Satellite remote sensing has been used in the majority of studies researching polynya inter-annual dynamics, monitoring sea ice concentration and thickness (Paul et al., 2015; Jena and Pillai, 2020). These products are particularly valuable for confirming the presence or absence of polynyas in specific regions and months, and have supported many case studies of polynya variability (Zhou et al., 2023). However, even these technological advancements have limitations, including decreased performance during polar nighttime or with high cloud cover (Hall et al., 2004; Holz et al., 2008), which reduces their utility for long-term, consistent monitoring.

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140 Passive microwave sensors are increasingly used for polynya detection because they can provide daily observations independent of cloud cover and time of day, allowing year-round monitoring of polar regions (Preußner et al., 2015; Jiang et al., 2020). As a result, passive microwave sea ice concentration products have become one of the most widely used data sources for investigating polynya variability across seasonal to multi-decadal timescales (Tamura and Ohshima, 2011; Ohshima et al., 2016). Their consistent temporal coverage makes them particularly well suited for generating long-term records of polynya occurrence, extent, and persistence. Despite these advantages, passive microwave observations remain subject to several limitations. Their spatial resolution is often too coarse to fully resolve narrow coastal polynyas and complex coastline geometries, potentially leading to an underestimation of polynya extent (Barber and Massom, 2007; Burada et al., 2023). Derived sea ice concentrations can also be affected by land-spillover effects and mixed pixels near coastlines, where signals from land and sea ice become difficult to separate (Kern et al., 2022). In addition, uncertainties in the retrieval of thin sea ice can influence estimated sea ice concentrations within polynyas, while the application of fixed sea ice concentration thresholds can result in substantially different estimates of polynya area between studies (Massom et al., 1998; Kern et al., 2007; Mohrmann et al., 2021). For example, the extent and ice production of the North Water Polynya, the largest Arctic coastal polynya, has been shown to vary depending on the spatial resolution and characteristics of the satellite products used (Tamura and Ohshima, 2011; Iwamoto et al., 2014). Nevertheless, the broad spatial coverage, high temporal consistency, and long observational record provided by passive microwave sea ice concentration products make them invaluable for investigating polynya dynamics. Their widespread use within the literature also provides a useful benchmark against which alternative polynya detection approaches can be evaluated.

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160 1.3 Methods for Polynya Detection

Traditional methods of polynya detection typically rely on sea ice concentration or thickness thresholds, which are used to identify polynyas from sea ice data (Nakata et al., 2015; Ohshima et al., 2016; Monroe et al., 2021). These approaches have

Deleted: They can therefore be well suited for generating time series of polynya activity, but their relatively coarse spatial resolution often obscures narrow or irregularly shaped coastal polynyas and can underestimate their true extent. In practice, this can lead to inconsistencies between datasets. For example, the extent and ice production of the largest Arctic coastal polynya, the North Water Polynya, has been estimated differently depending on the resolution of the different sensors used (Tamura and Ohshima, 2011; Iwamoto et al., 2014). With their resolution often being coarse, many coastal polynyas occur at spatial scales that challenge detection by individual sensors alone (Barber and Massom, 2007; Burada et al., 2023). To overcome these limitations, reanalysis products are used to generate high-resolution gridded fields, by assimilating a wide range of data sources, such as multi-sensor satellite observations and in-situ measurements. These integrated datasets can help to address some of the challenges in investigating polynya dynamics consistently across varying spatial and temporal scales. These integrated datasets are particularly powerful for investigating long-term dynamics consistently across space and time, since they combine relatively fine spatial resolution with multi-decadal temporal coverage. This makes them a promising avenue for addressing the current gap in knowledge, as we still lack a clear understanding of how polynyas are changing at long timescales (Mohrmann et al., 2021; Bennett et al., 2024).

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195 been widely used to investigate polynya occurrence, extent, and ice production across both Arctic and Antarctic regions (Massom et al., 1998; Tamura and Ohshima, 2011; Ohshima et al., 2016). However, no universally accepted threshold exists for the detection of all polynya types, with sea ice concentration thresholds commonly ranging from 0.2-0.8 depending on the sensor, retrieval algorithm, study region, time of year and associated sea ice conditions, and research objective (Massom et al., 1998; Mohrmann et al., 2021; Monroe et al., 2021). Consequently, estimates of polynya extent can vary substantially between studies. In addition to threshold selection, many detection frameworks require region-specific masking approaches and pre- and post-processing procedures to separate polynyas from neighbouring areas of open ocean and portions of the marginal ice zone (Fu et al., 2012; Nihashi and Ohshima, 2015). Furthermore, passive microwave observations are subject to uncertainties associated with mixed pixels, land-spillover effects, and thin-ice retrievals, which can influence the resulting polynya boundaries (Kern et al., 2022). These factors do not diminish the value of threshold-based approaches, but they do highlight the sensitivity of derived polynya extents to methodological choices and motivate the exploration of complementary detection frameworks that utilise additional spatial information beyond sea ice concentration thresholds alone.

205 Beyond threshold-based approaches, a growing number of automated and semi-automated methods have been developed for polynya detection. One notable example is the Polynya Signature Simulation Method (PSSM), which uses passive microwave brightness temperatures to classify surface types such as open water, thin ice, and thick ice rather than relying solely on derived sea ice concentration thresholds (Markus and Burns, 1995; Kern et al., 2007; Kern, 2009). Early image-processing approaches have also been explored, including greyscale morphology techniques used to estimate sea ice extent (Fu et al., 2012), which reduced some subjectivity in boundary definition but remained sensitive to noise and region-specific parameter choices. More recently, hybrid methods combining multiple sensors and algorithms have been developed. For instance, Burada et al. (2023) used supervised and unsupervised classification of Sentinel-1 Synthetic Aperture Radar (SAR) imagery to improve the identification and delineation of coastal polynyas, particularly those that are too small or complex to be fully resolved by passive microwave observations. Similarly, Heuzé et al. (2021) used spaceborne infrared imagery to identify thermal precursors of Weddell Polynya openings, demonstrating how such observations can reveal atmospheric and oceanic preconditioning processes, although cloud cover and limited wintertime illumination can restrict data availability. More recent automated approaches include machine-learning and deep-learning frameworks for polynya identification (Duffy et al., 2024; Lin et al., 2024; Heuzé and Wong, 2025; Landrum et al., 2026). For example, Heuzé and Wong (2025) introduced a deep-learning framework capable of automatic Arctic polynya detection, although its performance across long-term, multi-sensor datasets remains to be fully evaluated. Collectively, these studies demonstrate that automated polynya detection is already feasible across a range of observational datasets and methodological frameworks. However, differences in data requirements, parameter selection, training needs, and underlying assumptions can influence the resulting polynya classifications. This motivates continued exploration of alternative approaches, including morphology-based methods such as geomorphons, which utilise spatial patterns within sea ice concentration fields rather than predefined concentration or thickness thresholds alone.

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280 Automated pattern recognition techniques, utilising algorithms and statistical methods, have long been used in terrestrial
environments to classify topographic features into distinct landform types (e.g., Chorowicz et al., 1995; Jasiewicz and
Stepinski, 2013). The “geomorphon” algorithm, developed by Jasiewicz and Stepinski (2013), classifies landforms based on
local terrain morphology by analysing digital elevation models (DEM) and digital terrain models (DTM). Importantly,
parameters within the algorithm can be customised to explore data at a range of spatial resolutions, meaning that the user can
285 produce a landform map relevant to their specific study area and research question(s) (Jasiewicz and Stepinski, 2013; Wyles
et al., 2022). Geomorphons have been used for various applications, including landform classification and mapping (Stepinski
and Jasiewicz, 2011; Jasiewicz and Stepinski, 2013), predicting soil attributes (Flynn et al., 2020), and detecting treetops in
complex forest structures (Antonello et al., 2017). More recently the method has been used with bathymetry data for marine
ecological research, investigating the influence of seabed topographic features on the behaviour of shelf sea predators (Wyles
et al., 2022). While initially developed for terrestrial geomorphology, the geomorphon algorithm is readily adaptable and
therefore has potential applications within cryospheric research by treating variables such as sea ice concentration as analogous
to elevation in topographic models. As with any approach based on sea ice concentration data, the resulting classifications
remain dependent on the characteristics and uncertainties of the underlying observations, including potential biases associated
with thin-ice retrievals and mixed-pixel effects near polynya boundaries. Nevertheless, because polynyas represent
295 discontinuities within the surrounding sea ice cover, they may exhibit distinctive morphological signatures that can be
identified using the geomorphon framework. To our knowledge, this approach has not previously been applied to polynya
detection. Evaluating whether polynyas can be identified based on their morphology, rather than predefined sea ice
concentration or thickness thresholds alone, represents an interesting opportunity to explore a complementary framework for
automated polynya classification.

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1.4 Study Objectives

The overall goal of this study is to evaluate the suitability of the geomorphon pattern recognition algorithm as an automated
morphology-based framework for identifying polynyas from sea ice concentration data. By characterising polynyas according
to their spatial morphology, rather than predefined sea ice concentration or thickness thresholds, the approach offers an
305 opportunity to explore an alternative method for detecting both coastal and open-ocean polynyas. Improved and reproducible
identification of polynyas and investigations into their variability has important consequences for several processes, such as
ecosystem productivity and habitat availability (Gilchrist and Robertson, 2000; Arrigo and van Dijken, 2003; Arrigo et al.,
2012; Labrousse et al., 2018), bottom water formation (Comiso and Gordon, 1998; Ohshima et al., 2016), and carbon
sequestration (Hoppema and Anderson, 2007; Yager et al., 2016). The specific objectives of this paper are to: (i) evaluate the
310 suitability of the geomorphon algorithm as a morphology-based framework for identifying coastal and open-ocean polynyas
from sea ice concentration data; (ii) compare geomorphon-derived polynya extents with those obtained using conventional
threshold-based approaches and with published estimates from the literature; (iii) assess the performance and consistency of

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the geomorphon approach across a range of seasonal sea ice conditions; and (iv) investigate the sensitivity of geomorphon-derived polynya extents to both algorithm parameter selection and uncertainty in the underlying sea ice concentration observations.

2 Data and Methods

2.1 Sea Ice Concentration Data

To evaluate the effectiveness and general applicability of a polynya detection method, both coastal and open-ocean polynyas must be considered, as they represent distinct classes of polynya with contrasting physical characteristics. The Amundsen Sea and Weddell Sea were selected as study regions because they represent contrasting polynya environments. The Amundsen Sea contains several recurrent coastal polynyas, whereas the Weddell Sea periodically hosts one of the largest open-ocean polynyas observed in the Southern Ocean (Morales Maqueda 2004; Macdonald et al., 2023). Evaluating the geomorphon algorithm across both regions therefore provides an assessment of its ability to detect morphologically distinct polynya types. Sea ice concentration data for these two regions was obtained from the University of Bremen ASI (ARTIST Sea Ice) Version 5 sea ice concentration product derived from the Advanced Microwave Scanning Radiometer 2 (AMSR2) aboard the JAXA GCOM-W1 satellite (Spreen et al., 2008; Melsheimer and Spreen, 2019). The ASI algorithm retrieves sea ice concentration from passive microwave brightness temperatures measured at 89 GHz and provides daily gridded sea ice concentration fields at 6.25 km spatial resolution. Sea ice concentration is expressed as the fraction of each grid cell covered by sea ice, ranging from 0 (ice-free) to 1 (100% ice cover). The product has been widely applied in sea ice and polynya studies owing to its relatively high spatial resolution, daily temporal coverage, and ability to provide observations independently of cloud cover and polar darkness. Daily sea ice concentration data from 2017 and 2020 were analysed for the Weddell Sea and Amundsen Sea respectively, as both years contained well-documented examples of their characteristic polynya types and allowed the geomorphon algorithm to be evaluated across a complete seasonal cycle encompassing polynya development, peak extent, decay, and periods of absence.

Daily sea ice concentration NetCDF files were downloaded from the University of Bremen archive and processed in R (Version 2024.12.1+563). The data was spatially subset to the study regions and reprojected to the Antarctic Polar Stereographic coordinate reference system (EPSG:3031) before being exported as GeoTIFF rasters for subsequent analysis (Fig. 1). The ASI Version 5 processing chain incorporates a land mask by excluding satellite footprints containing a non-zero land fraction and applying GMT5-based land masks and coastlines during gridding. Consequently, the coastline used in this study was inherited directly from the ASI product rather than generated independently. The ASI land mask was extracted and converted to a binary land-ocean raster for preprocessing, allowing coastlines to be preserved during geomorphon classification while excluding terrestrial cells from the analysis.

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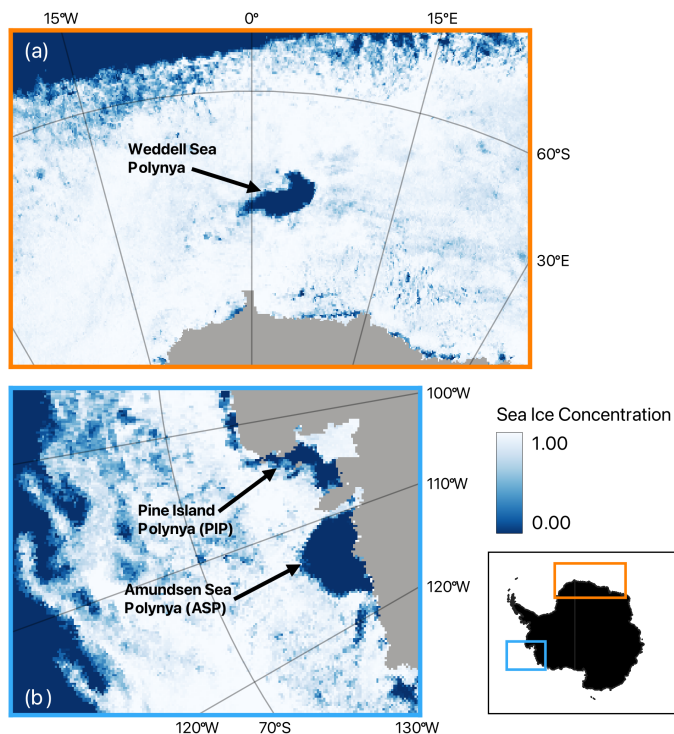
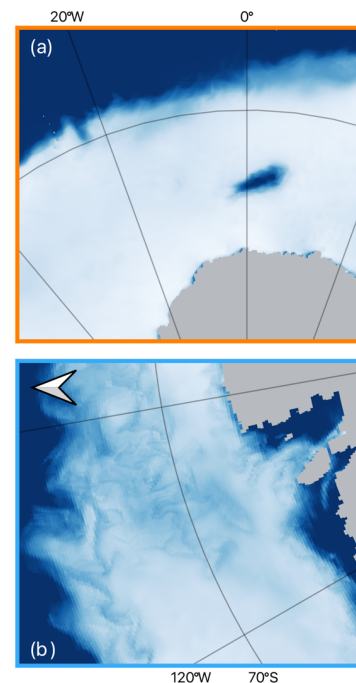


Figure 1: Daily sea ice concentration for the (a) Weddell Sea, 15th October 2017, and (b) Amundsen Sea, 15th December 2020. Insert shows the location of the Weddell Sea (orange) and Amundsen Sea (blue) on a larger scale. The Weddell Sea Polynya is labelled in (a), while the Amundsen Sea Polynya (ASP) and Pine Island Polynya (PIP) are labelled in (b). Daily data was obtained from the University of Bremen Archive, AMSR2 ASI sea ice concentration, version 5.4 (Melsheimer and Spreen, 2019).

2.2 Defining Polynyas for Detection

Due to the variation in definitions of polynyas discussed in the Introduction, we adopt a morphological definition in which a polynya is considered a distinct depression of low or absent sea ice embedded within the surrounding sea ice cover and isolated from the open ocean. This definition captures both coastal polynyas, where open water is bounded by continuous sea ice and a fixed coastal barrier (e.g., the coastline, land-fast sea ice, glacier fronts, or ice shelves), and open-ocean polynyas that are fully enclosed by continuous sea ice. No persistence or temporal duration criteria are applied, as the aim of this study is to



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430 evaluate the method's ability to detect polynyas rather than to analyse their temporal evolution. This morphological definition aligns directly with the geomorphon approach, which objectively identifies depressions based on spatial form rather than fixed sea ice concentration thresholds. At the same time, it remains compatible with traditional sea ice concentration threshold-based methods, as it identifies regions of reduced sea ice concentration that would typically fall below conventional cutoff values. As such, it provides a consistent framework for comparing the geomorphon-derived results with those obtained using sea ice concentration-based detection techniques.

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2.3 Geomorphon Algorithm for Polynya Detection

Using DTM input data with a known spatial resolution (e.g., 250 m), the geomorphon pattern recognition algorithm produces a raster layer in which each grid cell is assigned one of the 10 most commonly recognisable landform elements associated with a terrestrial landscape (Fig. 2) (Jasiewicz and Stepinski, 2013). The algorithm requires the user to define three parameters which influence the classification of geomorphological features (Stepinski and Jasiewicz, 2011). The first is the search radius (*search*), which represents the maximum distance (m) from the central cell that the algorithm searches out from. The *search* parameter represents the maximum number of map cells over which the algorithm searches from the central cell. For example, for a DTM with a spatial resolution of 300 m, a *search* value of 10 corresponds to a maximum search radius of 3,000 m. Rather than always using this full distance, the geomorphon algorithm determines the effective lookup distance for each cell automatically by identifying the local horizon in each search direction. Consequently, the user-defined *search* parameter acts as an upper limit, while the algorithm adapts the actual lookup distance to the surrounding topography (Stepinski and Jasiewicz, 2011). A relatively large *search* value should therefore be selected to encompass the maximum expected extent of a landform, allowing the algorithm to identify features across a range of spatial scales (e.g., narrow and wide valleys). If the *search* value is too small, landforms larger than the specified search radius may be fragmented into multiple landform elements. The second parameter, flatness threshold (*flat*), is the slope gradient (degrees) that is considered flat. Any gradient above this threshold is considered a deviation from a flat geomorphon and thus will represent one of the other 9 common landform elements. The third parameter is the spatial resolution of the DTM data, the value of which depends on what data source you are utilising. An additional optional parameter, flat distance (*dist*), can also be incorporated which defines the distance, measured in map cells, beyond which the algorithm gradually reduces the *flat* threshold. This prevents surfaces with a gradual slope from being misclassified as flat by accounting for elevation change which might occur over large distances. This is an important parameter to adjust when the spatial resolution of the user's DTM is low. The ability to adjust these parameters allows for the creation of a landform map that is specific to the user's spatial resolution and research question(s) of interest.

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Deleted: The *search* parameter represents the number of map cells, and as a result, a DTM with a 300 m resolution and *search* equal to 10, corresponds to a search radius of 3,000 m. Notably, the algorithm automatically determines the optimal lookup distance for each cell in a self-adaptive manner. As a result, this parameter should have a relatively large value which encompasses the maximum extent of a landform, as this enables the algorithm to identify landform elements on a wider range of spatial scales (e.g., narrow and wide valleys) (Stepinski and Jasiewicz, 2011). If the value of *search* is too small, landforms larger than *search* will be broken down into individual landform components.

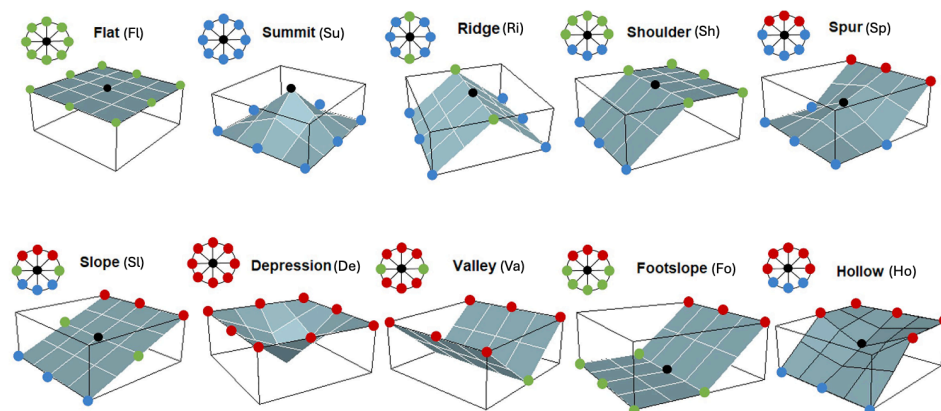


Figure 2: Symbolic 3D morphologies and their coordinating geomorphons (ternary patterns) for the 10 most common landform elements (adapted from Jasiewicz and Stepinski, 2013 and Wyles et al., 2022). Coloured points demonstrate if the cells surrounding the central cell (black) are lower (blue), higher (red), or the same elevation (green) as the central cell.

The geomorphon method utilises a line-of-sight principle for investigating the relationship of a central cell with its neighbouring cells in the DTM raster (Yokoyama et al., 2002). This means that the lookup distance is incrementally extended up to the predefined maximum value to determine the minimum zenith and nadir angles along eight compass directions from the central grid cell (Stepinski and Jasiewicz, 2011). These angles are then assessed to establish whether neighbouring cells are higher, lower, or the same elevation as the central cell. This classification is encoded into a ternary pattern which represents the geomorphon. Theoretically, there are 6,561 possible topographic patterns, however, to reduce this for mapping they are condensed into the 10 most common landforms: flat, summit, ridge, shoulder, spur, slope, depression, valley, footslope, hollow (Fig. 2) (Jasiewicz and Stepinski, 2013). As a result, the output of the algorithm is a raster with values for each grid cell equivalent to one of these 10 landforms. Similar to changes in elevation seen in a DTM, variations in sea ice concentration across a body of water can produce unique spatial structures (Fig. 3). By applying the geomorphon algorithm to this data, it may be possible to determine polynyas from sea ice concentration structures that resemble certain classic landforms, such as valleys, depressions and hollows.

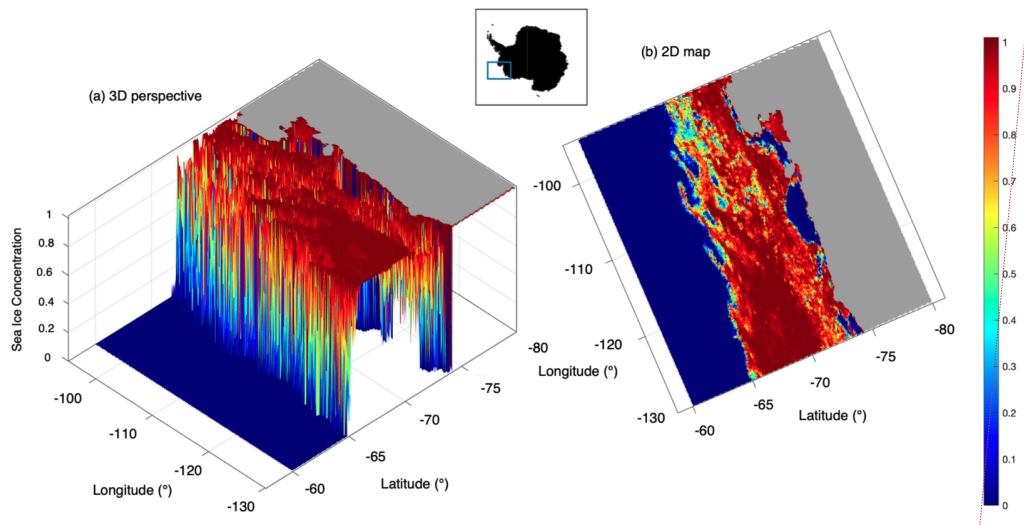
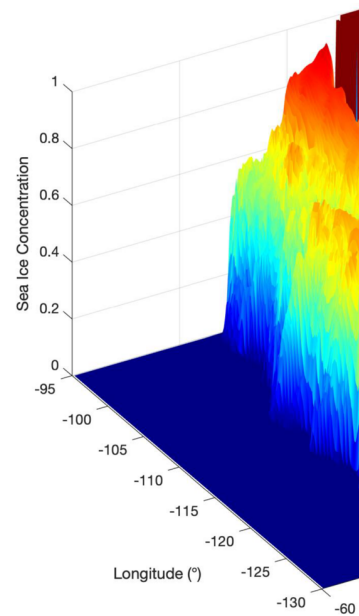


Figure 3: Example of daily sea ice concentration for the Amundsen Sea, 15th December 2020 (same as shown in Fig. 1b). (a) Shows the three-dimensional perspective view of sea ice concentration, with (b) representing the two-dimensional map view of the same data. The dark blue steep declines in sea ice concentration seen near the coastline in (a) represent areas of coastal polynyas. Daily data was obtained from the University of Bremen Archive, AMSR2 ASI sea ice concentration, version 5.4 (Melsheimer and Spreen, 2019).

To ensure compatibility with the geomorphon algorithm, all sea ice concentration rasters were processed in the Antarctic Polar Stereographic coordinate reference system (EPSG:3031), as described in Section 2.1. This provided Cartesian coordinates in metres, allowing the geomorphon *search* and *dist* parameters to be specified directly as distance measures.

To determine the most suitable parameters for identifying polynyas from sea ice concentration data, a range of values for *search*, *flat*, and *dist* parameters were trialled with sea ice concentration data of a set spatial resolution (6,250 m). The *search* parameter is measured in map cells and as a result requires knowledge of the spatial resolution of the sea ice concentration data and the size of the landform you are trying to classify to determine its value. A broad range of *search* values were tested, relating to varying search distances and resultant maximum landform sizes (Table 1). Smaller values were used for the Amundsen Sea, as coastal polynyas typically reach a smaller size than those which are open ocean (Mohrmann et al., 2021).

To adapt the geomorphon algorithm for sea ice concentration data, the *flat* parameter was reinterpreted in terms of sea ice concentration gradients. The spatial resolution of the data (6,250 m) was used to convert differences in sea ice concentration



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535 between adjacent grid cells into slope angles. Specifically, the *flat* angle threshold (in degrees) was calculated using the inverse
tangent function following Eq. (1):

$$\theta = \tan^{-1} \left(\frac{\Delta SIC}{d} \right), \tag{1}$$

540 where ΔSIC is the difference in sea ice concentration (*SIC*) between the central cell and its neighbours, and d is the horizontal
distance between grid cells (6250 m). For example, a sea ice concentration difference of 0.4 corresponds to a slope angle of
approximately 0.0037°, which is then used as the *flat* threshold value to classify any changes above this as “not flat”. A
spectrum of *flat* parameter values was chosen to encompass a range of sea ice concentration thresholds which might be used
for landform classification (Table 2). The same six values were used for both the Weddell and Amundsen Seas. Finally, four
values of the *dist* parameter were evaluated (1.6, 3.2, 4.8 and 6.4 map cells), corresponding to approximately 10, 20, 30 and
40 km at the 6.25 km grid resolution of the AMSR2 ASI sea ice concentration dataset. These values were selected to represent
545 a range of spatial scales over which gradual changes in sea ice concentration may occur and to assess the sensitivity of the
geomorphon algorithm to the distance over which the flatness threshold is progressively relaxed. The same *dist* values were
applied to both the Weddell and Amundsen Seas.

550 Having defined the parameter ranges and the spatial resolution of the sea ice concentration data, the Geographic Resources
Analysis Support System (GRASS GIS) (Neteler and Mitasova, 2007) extension r.geomorphon (Jasiewicz and Stepinski, 2013)
was implemented in R to classify geomorphons. All valid combinations of the *search*, *flat*, and *dist* parameters were evaluated.
Parameter combinations in which *dist* exceeded *search* were excluded because the flat-distance parameter cannot be larger
than the maximum search radius. Consequently, a total of 96 parameter combinations (4 *search* * 6 *flat* * 4 *dist*) were evaluated
for the Weddell Sea, and whilst a total of 144 combinations were initially possible for the Amundsen Sea, 24 combinations
555 occurred where *dist* > *search* and hence were invalid and omitted, resulting in 120 parameter combinations.

Table 1: Search parameter values used to test geomorphon algorithm on sea ice concentration data for the Amundsen and Weddell
Seas. Each search distance corresponds to a maximum landform size (km²).

Search parameter (map cells)	Landform size (km ²)	Weddell Sea	Amundsen Sea
2	~490	✗	✓
5	~3100	✗	✓
10	~12000	✗	✓
15	~28000	✓	✓
20	~49000	✓	✓
30	~110000	✓	✓
40	~200000	✓	✗

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585 **Table 2.** *Flat* parameter values and their corresponding sea ice concentration differences between neighbouring grid cells used to test the geomorphon algorithm on sea ice concentration data for the Amundsen and Weddell Seas.

<i>Flat</i> parameter (°)	Corresponding sea ice concentration difference
0.00023	0.025
0.00046	0.05
0.00092	0.1
0.0018	0.2
0.0037	0.4
0.0073	0.8

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590 **2.4 Threshold-Based Method for Polynya Detection**

To provide a benchmark against which to evaluate the geomorphon approach, a conventional sea ice concentration threshold method was applied to the same daily AMSR2 ASI sea ice concentration data. Threshold-based classification remains the most widely used approach for detecting polynyas and therefore provides an appropriate reference for comparison with the morphology-based method developed in this study.

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595 Grid cells with sea ice concentration ≤ 0.3 were initially classified as potential polynya pixels, while all remaining cells were classified as sea ice. The 0.3 threshold was selected because previous assessments have shown it provides a suitable balance between identifying polynyas and limiting false detections associated with higher or lower threshold values (Mohrmann et al., 2021). Higher thresholds tend to underestimate polynya extent by excluding regions of sparse sea ice, whereas lower thresholds increasingly classify embayments and marginal ice zones as polynyas (Mohrmann et al., 2021). Because a sea ice concentration threshold alone cannot distinguish enclosed polynyas from the adjacent open ocean, adjoining low-concentration regions connected to the open ocean were excluded by delineating enclosed polynya polygons within QGIS (version 3.42). This step ensured that only isolated regions satisfying the polynya definition adopted in Section 2.2 were retained for subsequent analysis. The resulting binary polynya masks (polynya = 1, non-polynya = 0) were then used as the reference dataset for evaluating the performance of the geomorphon algorithm. Although a 0.3 threshold was adopted for parameter optimisation and performance evaluation, additional analyses using 0.2, 0.6, and 0.8 thresholds were undertaken to illustrate the sensitivity of threshold-based polynya detection to the choice of sea ice concentration cutoff. These comparisons demonstrate the variability in estimated polynya extent arising solely from threshold selection, providing additional context for interpreting the geomorphon-derived results.

Deleted: Sea ice concentration thresholds are the most widely used and well established method for the detection of polynyas. As such, it is used in our study as the benchmark against which to evaluate the performance of the geomorphon detection approach. This allows for direct comparison between a well-established, threshold-based classification and the novel morphological method presented in this study.¶

2.5 Parameter Tuning and Performance Evaluation

Initial parameter tuning was undertaken using two representative case studies selected from periods of well-documented polynya activity: 15th October 2017 for the Weddell Sea and 15th December 2020 for the Amundsen Sea (Fig. 1). These contrasting case studies were selected to provide representative examples of both open-ocean and coastal polynyas under favourable detection conditions, allowing the suitability of different geomorphon parameter combinations to be evaluated. For each study region, all valid combinations of the *search*, *flat* and *dist* parameters described in Section 2.3 were applied to the corresponding daily sea ice concentration raster. The resulting geomorphon classifications were converted to binary polynya maps by assigning cells classified as valleys, depressions, and hollows a value of 1 (polynya), with all remaining landform classes assigned a value of 0 (non-polynya). These binary classifications were then compared with the corresponding threshold-based reference dataset described in Section 2.4 using corresponding grid cells after both datasets had been resampled to a common analysis grid. The landforms valley, depression and hollow were chosen as these represent morphologies where the majority of neighbouring cells to the central cell are higher in elevation, thus closely mimicking a polynya, an area of low sea ice concentration surrounded by high sea ice concentration.

The performance of the geomorphon algorithm was evaluated using a confusion matrix comprising true positives (TP), false positives (FP), true negatives (TN), or false negatives (FN). From these quantities, precision, recall, and the F1-score were calculated:

Precision = TP / (TP + FP) (2)

Recall = TP / (TP + FN) (3)

F1-Score = 2 × (Precision × Recall) / (Precision + Recall) = (2 × TP) / (2 × TP + FP + FN) (4)

Precision, recall, and F1-scores are commonly used evaluation metrics for classification tasks (e.g., Behera et al., 2019; Alem and Kumar, 2022). Precision measures the proportion of correctly identified polynyas among all detected polynyas, providing insight into how many of the identified features are actually true positives (Cook and Ramadas, 2020). Recall, on the other hand, assesses the proportion of polynyas identified by the threshold-based method that were correctly detected by the algorithm, indicating how well it captures actual polynya areas (Cook and Ramadas, 2020). The F1-score, which is the harmonic mean of precision and recall, balances these two metrics, ensuring that both false positives and false negatives are taken into account (Albaji et al., 2023). This combination of metrics allows for a comprehensive assessment of the algorithm's ability to accurately identify polynyas while minimising misclassification errors. To further validate the algorithm's effectiveness, visual and spatial comparisons were conducted for the best-performing parameter iterations, examining how well the detected landforms aligned with known polynya areas.

Deleted: To determine polynya areas using the traditional threshold-based method, the sea ice concentration raster for both regions was imported into QGIS (version 3.42), and two grids were created, one for the Amundsen Sea and one for the Weddell Sea. These grids were set to have the same spatial resolution as the sea ice concentration data (1/12° EPSG:4326). The geoprocessing toolbox was then used to sample values from the Amundsen and Weddell Seas sea ice concentration rasters onto their respective gridded fields. A new polynya detection threshold variable was created using the field calculator, where grid points with sea ice concentration lower than 0.3 were assigned the value 1, and those with higher sea ice concentrations were assigned 0. The value of 0.3 was chosen as a study reviewing detection thresholds found that this provided a suitable balance between detecting polynyas effectively and avoiding incorrect classifications (Morhmann et al., 2021). Higher thresholds risk underestimating polynyas by excluding those which may have regional areas of sparse sea ice cover, while lower thresholds tend to misclassify embayments as polynyas (Morhmann et al., 2021).

Using this detection threshold, polygons were manually drawn around grid points classified as polynyas by creating a new shapefile and adding polygon features for each region. Polygons were drawn using a dot-to-dot method to connect adjacent qualifying points, ensuring a systematic and repeatable identification process. Polygons were not drawn around ice-free areas beyond the sea ice extent, as these represented open ocean. The geoprocessing toolbox was then used to define a polynya variable, assigning a value of 1 to grid points within polygons, and 0 to those outside.

Deleted: Statistical and Spatial Analysis
To test the effectiveness of using the geomorphon algorithm for polynya identification, detected valley, depression and hollow landforms from each algorithm iteration were compared to polynya areas defined using the traditional method of a set sea ice concentration threshold. The landforms valley, depression and hollow were chosen as these represent morphologies where the majority of neighbouring cells to the central cell are higher in elevation, thus closely mimicking a polynya, an area of low sea ice concentration surrounded by high sea ice concentration.

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725 The best-performing parameter combination across both regions was determined by ranking the parameter combinations separately for each region based on their F1-score. The highest performing parameter combination for each region was used to re-run the geomorphon algorithm on a 12-month time series of daily sea ice concentration data, with the Weddell Sea analysed for 2017 and the Amundsen Sea for 2020. Polynya area across the time series was measured and compared with results from polynya area detected by the sea ice concentration threshold-based method.

Deleted: Each combination was assigned a rank within its respective region (1 = highest F1-score). We then apply a rank aggregation approach, summing the rankings from both regions to identify the best overall performer.

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730 **2.6 Seasonal Consistency Assessment**

Deleted: Transferability Across Sea Ice Concentration Datasets

735 Following the initial parameter tuning described in Section 2.5, the performance of the geomorphon algorithm was assessed across a complete annual cycle to evaluate its consistency under varying seasonal sea ice conditions. For each study region, the sea ice concentration raster corresponding to the 15th day of each month was selected, providing twelve representative daily scenes spanning the full range of seasonal conditions during 2017 for the Weddell Sea and 2020 for the Amundsen Sea. Using the 15th day of each month provided a consistent and evenly distributed temporal sample of seasonal sea ice conditions while avoiding preferential selection of particular polynya events. All valid combinations of the search, flat, and dist parameters were applied to each monthly scene and evaluated against the corresponding threshold-based reference dataset using the performance metrics described in Section 2.5. For scenes containing reference polynya pixels, parameter combinations were ranked according to their F1-score, allowing the consistency of classification performance to be assessed across periods of polynya development, peak extent and decay. For monthly scenes in which no reference polynya pixels were present, the F1-score is not informative because no true positive pixels exist. In these cases, parameter combinations were instead evaluated using the False Positive Rate (FPR):

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740
$$FPR = \frac{FP}{FP+TN} \quad (5)$$

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745 which quantifies the proportion of non-polynya pixels incorrectly classified as polynyas. This enabled algorithm performance to be assessed under ice-covered and open-water conditions by identifying parameter combinations that minimised false detections. The resulting seasonal performance distributions were used to identify parameter combinations that consistently performed well across contrasting sea ice conditions before proceeding to the sensitivity and uncertainty analyses.

2.7 Sensitivity and Uncertainty Analysis

2.7.1 Geomorphon Parameter Sensitivity

750 To quantify the sensitivity of geomorphon-derived polynya extent to parameter selection, five representative polynya conditions were identified for each study region using published literature describing characteristic polynya conditions together with visual interpretation of the daily sea ice concentration fields. These scenarios encompassed the range of sea ice conditions

790 encountered throughout the annual cycle, including periods of polynya development, peak extent, decay and absence (Fig. A1
and A2). For the Amundsen Sea, an additional winter coastal polynya scenario was included to capture the persistence of
coastal polynyas during the sea ice growth season, whereas for the Weddell Sea an open ocean absence scenario represented
conditions following closure of the Weddell Sea polynya. For scenarios containing reference polynya pixels, parameter
combinations were ranked according to their F1-score, and all combinations achieving at least 95% of the maximum scene-
specific F1-score were retained. This relative threshold retained parameter combinations exhibiting near-optimal classification
performance while avoiding arbitrary selection based on negligible differences in skill. For scenarios in which no reference
polynya pixels were present, parameter combinations were instead ranked using the FPR. Because F1-scores are undefined
795 when no positive observations exist, all parameter combinations with a FPR within 0.001 of the minimum scene-specific value
were retained. This ensured that parameter combinations with similarly low false detection rates were considered without
imposing an arbitrary optimum. For each retained parameter combination, polynya area was calculated and the resulting range
of area estimates was used to quantify the sensitivity of the geomorphon algorithm to parameter selection.

2.7.2 Sea Ice Concentration Uncertainty

800 To evaluate the influence of uncertainty in the underlying sea ice concentration observations, the uncertainty estimates
provided in the University of Bremen AMSR2 ASI Version 5 product documentation were propagated through the geomorphon
workflow. Rather than applying uncertainty directly to the calculated polynya area, uncertainty was incorporated into the input
sea ice concentration fields prior to geomorphon classification, thereby accounting for the nonlinear response of the
geomorphon algorithm to changes in the spatial structure of the sea ice concentration field. For each representative scenario,
805 a concentration-dependent uncertainty raster was generated following the ASI product documentation, with uncertainties
decreasing from approximately 25% at 0% sea ice concentration, to 10% at 65% sea ice concentration, and 5.7% at 100% sea
ice concentration (Spren et al., 2008; Melsheimer, 2024). Two deterministic perturbed sea ice concentration fields were then
produced by subtracting and adding the corresponding uncertainty to each grid cell, with values constrained to the physically
realistic range of 0-100%. The geomorphon algorithm was subsequently applied to the original, lower-bound and upper-bound
810 sea ice concentration fields using the final tuned parameter combination for each study region. Polynya area was calculated
for each realisation, and the resulting minimum and maximum areas were used to define a deterministic sensitivity envelope
representing the influence of sea ice concentration uncertainty on geomorphon-derived polynya extent.

3 Results

3.1 Performance of the Geomorphon Algorithm for Polynya Detection

815 To evaluate the suitability of the geomorphon algorithm as a morphology-based framework for identifying polynyas, parameter
tuning was first undertaken by comparing geomorphon classifications with the corresponding 0.3 sea ice concentration
threshold-based reference datasets for the Weddell Sea (15 October 2017) and Amundsen Sea (15 December 2020). Across all

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tested parameter combinations, we examined the most frequently assigned geomorphon landforms at areas classified as polynyas and non-polynyas by the threshold-based method (Fig. B1). The four most common geomorphon landforms associated with polynyas were the same across both the Amundsen and Weddell Sea, being flat (39.5%, 34.4%), footslope (29.0%, 35.7%), hollow (17.1%, 14.9%), and depression (1.2%, 2.0%). For non-polynya regions (i.e., areas of open ocean or sea ice concentration ≥ 0.3), flat landforms were the most common for both the Amundsen (80.0%) and Weddell (71.9%) Seas. In the Weddell Sea, shoulder (6.5%) and ridge (5.9%) landforms were also commonly associated with non-polynya areas. Nearly identical proportions were observed in the Amundsen Sea, with shoulder (6.5%) and ridge (5.7%) landforms similarly classified as non-polynya.

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There was considerable variability in precision and recall scores across parameter combinations (Fig. C1). Across all parameter combinations, the algorithm achieved higher average precision in the Amundsen Sea (0.42) than in the Weddell Sea (0.23), whereas average recall was greater in the Weddell Sea (0.53) compared to the Amundsen Sea (0.47). The broader spread in precision-recall scores observed for the Amundsen Sea reflects the greater variation in search radius values used during algorithm iterations. Examining precision and recall by specific parameter values reveals that higher search values (> 15 map cells) yield higher precision and recall scores in both the Amundsen and Weddell regions (Fig. C2). Increasing the flat threshold produced progressively higher precision but lower recall, with precision peaking at a flat threshold of 0.0037° before declining sharply at 0.0073° in both regions. In contrast, varying the dist parameter had relatively little influence on precision or recall for either region.

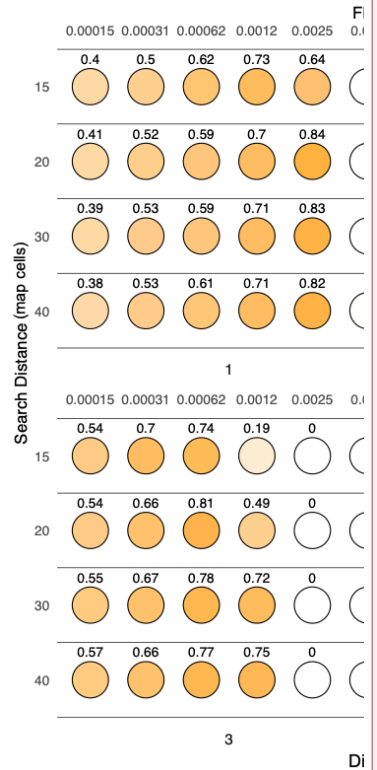
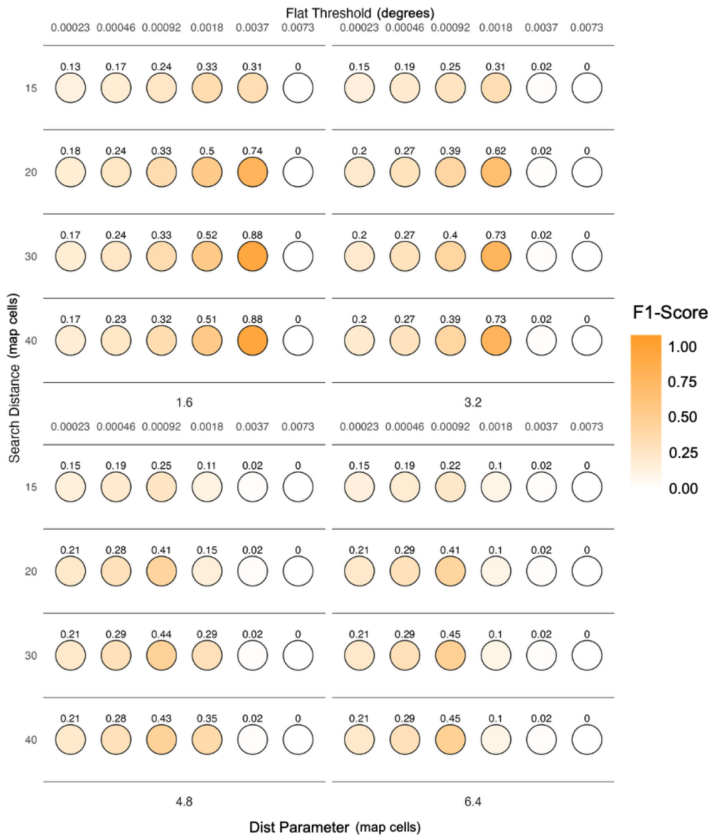
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Deleted: Algorithm iterations for both regions with increasing values of flat thresholds were observed to have higher precision scores, but lower recall. Varying values of the dist parameter used in the algorithm had less of an effect on precision and recall scores for both regions.

Given the variability in precision and recall, F1-scores also exhibited considerable variation across both regions, with certain parameter combinations yielding notably higher classification success than others (Fig. 4 and 5). For the Weddell Sea October 2017, the highest F1-scores (> 0.7) were achieved by iterations of the algorithm which included flat thresholds of 0.0018° and 0.0037° (Fig. 4). The lower flat thresholds achieved a higher F1-score when combined with a higher dist parameter value, whilst the higher flat values attained higher F1-scores when paired with lower dist values. The highest F1-scores were also seen when the search distance parameter had values of 30 and 40 map cells. The same general pattern is observed for the Amundsen Sea December 2020, whereby flat thresholds of 0.00092° , 0.0018° , or 0.0037° achieved the highest F1-scores (> 0.7), with the lower dist parameter values attaining higher F1-scores when paired with higher flat thresholds and vice versa (Fig. 5). Higher values of the search parameter also yielded the highest F1-scores, with 20 and 30 map cells performing the best.

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Figure 4: F1-scores for all tested parameter combinations of the geomorphon algorithm for the Weddell Sea. Each dot represents a unique combination of *search*, *flat*, and *dist* parameters, with shading corresponding to F1-score. Higher F1-scores indicate better agreement between geomorphon classifications and polynya areas defined by the 0.3 sea ice concentration threshold-based method.

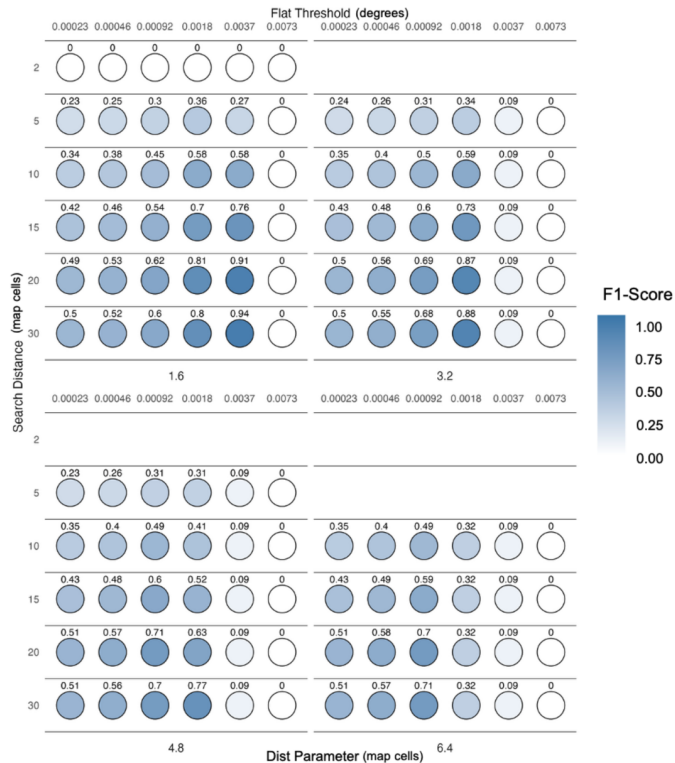
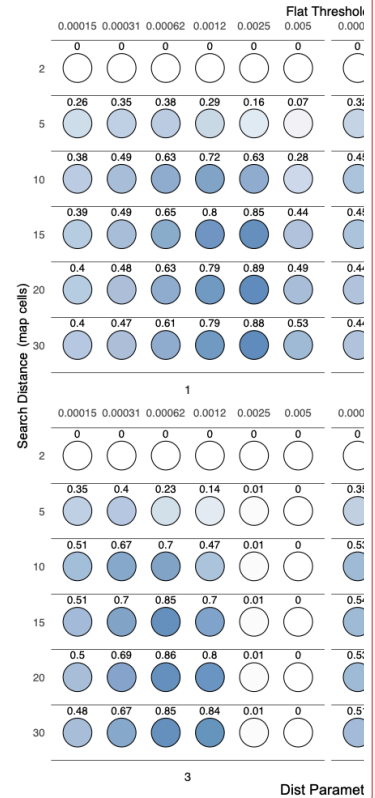


Figure 5: F1-scores for all tested parameter combinations of the geomorphon algorithm for the Amundsen Sea. Each dot represents a unique combination of *search*, *flat*, and *dist* parameters, with shading corresponding to F1-score. Higher F1-scores indicate better agreement between geomorphon classifications and polynya areas defined by the 0.3 sea ice concentration threshold-based method.



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The parameter combination with a *flat* threshold of 0.0025°, *search* radius of 20 map cells, and *dist* parameter of 1, achieved the lowest combined rank, ranking 1 in the Amundsen Sea and 2 in the Weddell Sea, making it the best-performing combination overall.

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the geomorphon-detected polynya identifying landforms produced by these parameter sets, show strong spatial agreement, as valleys, depressions and hollows align closely with polynya areas defined by the threshold-based method (Fig. 6).

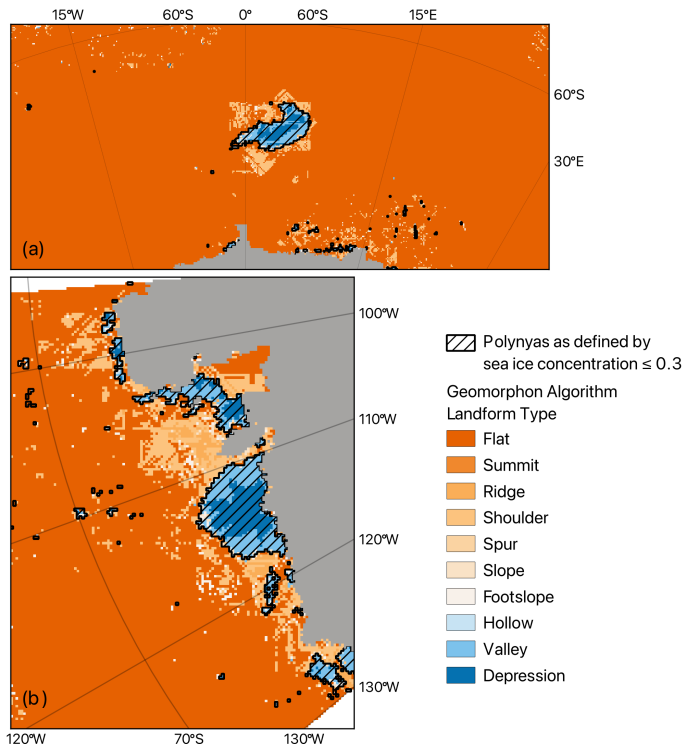
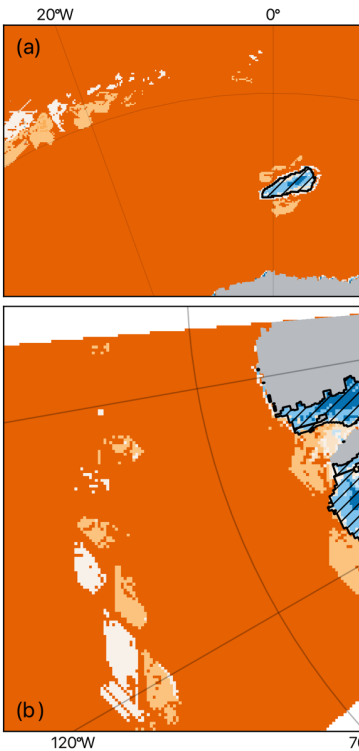


Figure 6: Geomorphon landform classifications for the representative parameter tuning case studies in the (a) Weddell Sea (15 October 2017) and (b) Amundsen Sea (15 December 2020). The Weddell Sea classification was generated using the best-performing parameter combination for that region ($flat = 0.0037^\circ$, $search = 40$ map cells, $dist = 1.6$ map cells), while the Amundsen Sea classification used $flat = 0.0037^\circ$, $search = 30$ map cells, and $dist = 1.6$ map cells. Areas identified as polynyas using the sea ice concentration threshold (≤ 0.3) are outlined in black hatching. Geomorphon landforms associated with polynya detection (hollow, depression and valley) are shown in shades of blue, whereas the remaining landform classes are shown in shades of orange.

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Deleted: Geomorphon landform classifications using the best performing parameter combination ($flat = 0.0025^\circ$, $search = 20$ map cells, $dist = 1$ map cells) in the (a) Weddell Sea and (b) Amundsen Sea. Areas identified as polynyas using the sea ice concentration threshold (≤ 0.3) are outlined in black hatching. Geomorphon-detected landforms associated with polynya ... [7]

975 **3.2 Seasonal Consistency Across Sea Ice Conditions**

To assess the seasonal consistency of the geomorphon algorithm, all valid parameter combinations were evaluated using representative daily sea ice concentration scenes from the 15th of each month in 2017 (Weddell Sea) and 2020 (Amundsen Sea (Appendix D). For monthly scenes containing one or more reference polynya pixels, performance was evaluated using the F1-score, whereas monthly scenes without reference polynya pixels were evaluated using the FPR. Across both study regions, the *flat* threshold exhibited the greatest consistency throughout the annual cycle, with the highest F1-scores generally occurring at or near 0.0037°. In contrast, the *search* parameter producing the highest F1-score varied between months, although larger search values (20-40 map cells) most frequently achieved the highest performance. Changes in the *dist* parameter had comparatively little effect on F1-scores across the majority of monthly scenes. For months in which no reference polynya pixels were present, performance was assessed using the FPR, the same general parameter patterns were observed. Lower FPR values were consistently associated with larger *search* values, *flat* thresholds close to 0.0037°, and comparatively small differences between *dist* parameter values.

985 **3.3 Polynya Area Estimates Using the Geomorphon Algorithm**

Daily polynya area estimates derived using the final geomorphon parameter combinations are shown alongside those obtained using the ≤0.3 sea ice concentration threshold method for the Amundsen and Weddell Seas (Fig. 7). In both regions, the geomorphon algorithm reproduced the seasonal evolution of polynya extent, with periods of polynya formation, maximum extent and decay occurring at similar times to those identified by the threshold-based approach. In the Amundsen Sea, the largest polynya extents occurred during the austral summer months (January-March), followed by a marked reduction in area during autumn and winter before increasing again towards the end of the year (December) (Fig. 7). In the Weddell Sea, polynya activity was concentrated between June and November, with the largest extents observed during November before decreasing rapidly during December (Fig. 7). Monthly maxima occurred during January in the Amundsen Sea and November in the Weddell Sea for both the geomorphon and threshold-based methods. Throughout the annual cycle, geomorphon-derived area estimates were generally lower than those obtained using the threshold-based method. The maximum geomorphon-derived area was approximately 95,000 km² in the Amundsen Sea and 90,000 km² in the Weddell Sea.

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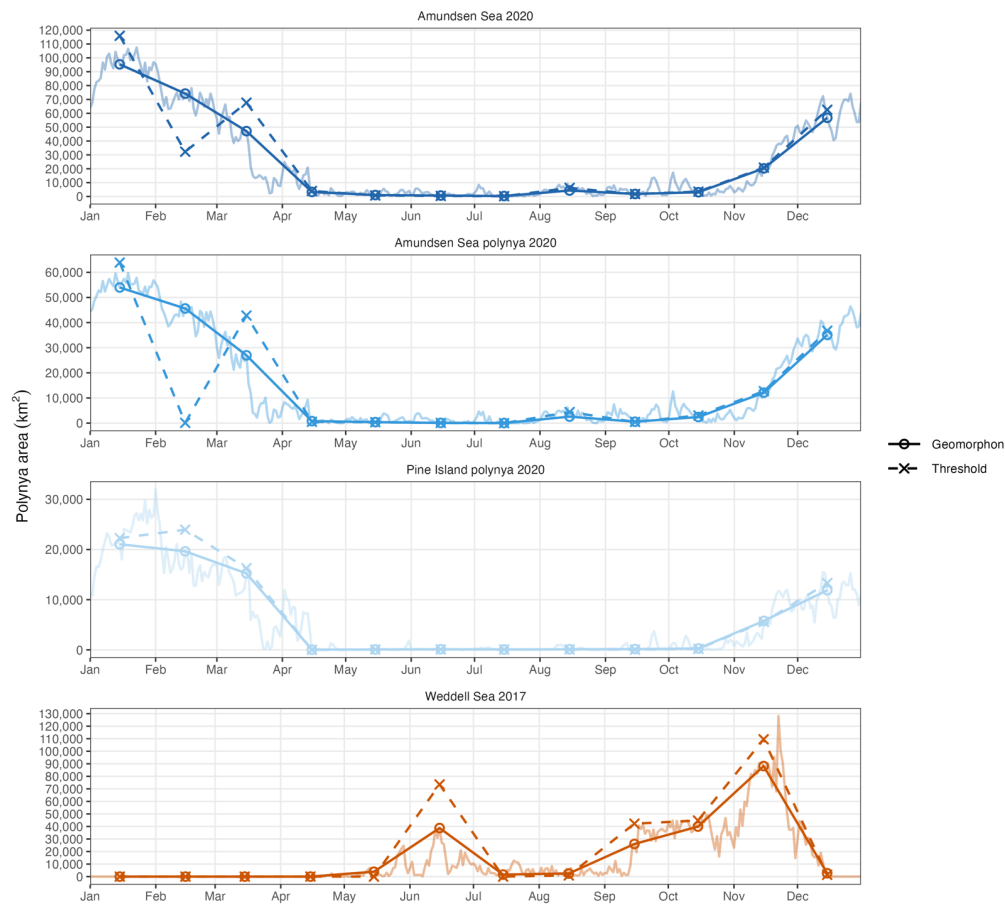


Figure 7: Polynya area estimates for the whole Amundsen Sea, Amundsen Sea Polynya, and Pine Island Polynya (2020) and Weddell Sea (2017). Pale solid lines show the complete daily geomorphon-derived polynya area time series using the final parameter combinations (Amundsen Sea: flat = 0.0037°, search = 30 map cells, dist = 1.6 map cells; Weddell Sea: flat = 0.0037°, search = 40 map cells, dist = 1.6 map cells). Circles represent geomorphon-derived monthly area estimates calculated from the daily sea ice concentration field corresponding to the 15th day of each month, while crosses joined by dashed lines show the corresponding monthly area estimates derived using the <0.3 sea ice concentration threshold method.

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010 Within the Amundsen Sea, both the ASP and PIP exhibited similar seasonal patterns, with maximum extents occurring during January-March, very low polynya area between May and October, and redevelopment during November and December (Fig. 7). Throughout the annual cycle, geomorphon-derived area estimates closely followed those obtained using the threshold-based method for both polynyas, although the geomorphon estimates were generally smaller. Maximum geomorphon-derived areas of approximately 54,000 km² and 21,000 km² were observed for the ASP and PIP, respectively. The greatest difference between the two approaches was observed in February for the ASP. The geomorphon algorithm estimated an area of approximately 45,000 km², whereas the threshold-based method identified only approximately 100 km².

015 **3.4 Sensitivity to Geomorphon Parameter Selection and Sea Ice Concentration Data Uncertainty**

020 To investigate the sensitivity of geomorphon-derived polynya extents to algorithm parameter selection, parameter combinations achieving comparable classification performance were retained for each representative scenario (Fig. A1 and A2). For scenarios containing one or more reference polynya pixels, parameter combinations achieving at least 95% of the maximum F1-score were retained, whereas scenarios without reference polynyas retained parameter combinations within 0.001 of the minimum FPR. The best-performing parameter combination identified for each region during the tuning process satisfied the F1-score or FPR retention criteria for all representative scenarios except one (Fig. E1). The only exception was the Amundsen Sea July 2020 frozen-over or absent polynya scenario, for which the best tuning process parameter combination did not meet the retention criterion. Nevertheless, this parameter combination was retained for the subsequent uncertainty analysis to enable the performance and resulting uncertainty of the final parameter set to be evaluated consistently across the full range of seasonal sea ice conditions and polynya states. Between 2 and 16 parameter combinations were retained across the Amundsen Sea scenarios, compared with between 2 and 75 combinations for the Weddell Sea scenarios. Among the retained parameter combinations, retained F1-scores for the Amundsen Sea ranged from 0.71 to 0.96. The only exception was the manually retained parameter combination for the July 2020 frozen-over or absent polynya scenario, which achieved an F1-score of 0.34 and therefore fell outside this range. For the Weddell Sea, retained parameter combinations produced F1-scores ranging from 0.69 to 0.93, while FPRs ranged from 0 to 0.00098.

030 The retained parameter combinations were subsequently used to quantify the sensitivity of geomorphon-derived polynya area estimates to algorithm parameter selection and to compare this source of uncertainty with that arising from uncertainty in the underlying sea ice concentration observations (Table 3). Across the representative scenarios, geomorphon parameter uncertainty ranged from ±59 km² to ±9,363 km² in the Amundsen Sea and from ±202 km² to ±9,278 km² in the Weddell Sea (Table 3). Perturbing the sea ice concentration fields using the uncertainty estimates provided in the AMSR2 ASI Version 5 product documentation resulted in uncertainties ranging from ±138 km² to ±14,318 km² in the Amundsen Sea and from 0 km² to ±16,643 km² in the Weddell Sea. For the developing, peak and decaying polynya scenarios in both regions, uncertainty associated with the sea ice concentration data exceeded that arising from geomorphon parameter selection. In contrast, the

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frozen-over/absent and open-ocean absent scenarios exhibited comparable or larger uncertainties associated with parameter selection.

Table 3: Geomorphon-derived polynya area estimates and associated uncertainty for the representative scenarios. Area estimates correspond to the final parameter combination selected for each region. Geomorphon parameter uncertainty ($\pm$ km²) was calculated as half of the range between the minimum and maximum polynya areas obtained from all retained parameter combinations, while sea ice concentration data uncertainty ($\pm$ km²) was derived by perturbing the input ASI AMSR2 sea ice concentration fields using the uncertainty estimates reported in the product documentation.

Region	Polynya scenario	Month/Year	Area estimate (km ²)	Geomorphon parameter selection uncertainty ($\pm$ km ²)	Sea ice concentration uncertainty ($\pm$ km ²)
Amundsen	Developing	Nov 2020	20,302	198	3,994
Amundsen	Peak	Jan 2020	95,329	8,178	14,318
Amundsen	Decaying	Mar 2020	47,149	9,363	12,141
Amundsen	Frozen over/absent	Jul 2020	316	59	138
Amundsen	Winter polynya	Aug 2020	4,318	99	2,317
Weddell	Developing	Jun 2017	38,733	9,278	15,545
Weddell	Peak	Oct 2017	39,918	202	8,978
Weddell	Decaying	Nov 2017	88,166	9,016	16,643
Weddell	Frozen over/absent	Jul 2017	1,626	813	1,346
Weddell	Open ocean/absent	Feb 2017	0	1,062	0

4 Discussion

This study evaluated the potential of the geomorphon pattern recognition algorithm as a morphology-based framework for automated polynya detection from passive microwave sea ice concentration data. By assessing performance across two contrasting Southern Ocean polynya systems, multiple seasonal conditions, and a range of geomorphon parameter combinations, this study provides the first comprehensive evaluation of the approach for both coastal and open-ocean polynyas. Overall, the geomorphon algorithm consistently identified polynya extent with strong agreement to conventional threshold-based methods while remaining robust to variations in parameter selection and sea ice concentration uncertainty. These findings suggest that morphology-based classification provides a promising complementary framework for objective and reproducible polynya detection. The following sections discuss the performance of the approach, its relationship to existing detection methods, its robustness and limitations, and its potential application to long-term monitoring.

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4.1 Geomorphons as a Morphology-Based Framework for Polynya Detection

The geomorphon analysis identified clear differences in the distribution of landform classes between polynya and non-polynya regions, supporting the concept that distinct spatial morphologies within sea ice concentration fields can be used to identify polynyas. Depressions, hollows and valleys were consistently associated with polynya regions, reflecting their ability to capture localised minima in sea ice concentration relative to the surrounding pack ice. In contrast, flat landforms dominated non-polynya areas, representing regions of relatively homogeneous sea ice concentration, while shoulders, ridges and spurs occurred more frequently within consolidated pack ice where stronger structural gradients exist (Comiso et al., 2003; Andersen et al., 2007). These associations are consistent with the expected morphology of polynyas as localised breaks within an otherwise continuous sea ice cover, providing support for the application of morphology-based classification to sea ice concentration data.

Some overlap between geomorphon landform classes in polynya and non-polynya regions highlights that individual landforms cannot be used in isolation to identify polynyas. For example, footslopes occurred frequently within polynya regions across many parameter combinations, but in the highest-performing configurations features were primarily concentrated along the boundary between the open-water region of the polynya and the surrounding higher sea ice concentrations (Fig. 6). This distribution is consistent with the geomorphon definition of footslopes as transitional landforms between lower and higher elevations (Fig. 2), analogous to the strong sea ice concentration gradient that occurs between a polynya and the surrounding pack ice (Worby and Comiso, 2004; Bitz et al., 2005). In contrast, depressions, hollows and valleys were more consistently associated with the interior of polynyas, where sea ice concentrations are lower than the surrounding pack ice. These findings indicate that successful polynya detection depends not on a single geomorphon class, but on selecting the combination of landforms that best represents the characteristic morphology of polynyas while excluding landforms commonly associated with surrounding sea ice or open ocean.

This study focused on evaluating the ability of the geomorphon framework to detect polynyas rather than providing a detailed physical interpretation of every geomorphon landform class within sea ice concentration fields. A more comprehensive investigation of how individual geomorphon classes relate to specific sea ice structures, including leads, ridges, marginal ice zones and newly formed sea ice, would improve understanding of the physical basis of the classifications and represents an important direction for future research. Such work may also reveal broader applications of geomorphons for characterising sea ice morphology beyond polynya detection alone.

4.2 Comparison with Threshold-Based Method and Published Polynya Extents

Performance metrics revealed substantial variation across the 120 and 96 parameter combinations tested for the Amundsen and Weddell Seas respectively, highlighting the importance of tuning the algorithm to the scale and context of polynya

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Deleted: Specific geomorphon landforms showed clear associations with polynya and non-polynya areas, providing evidence that distinct surface morphology patterns correspond to the presence or absence of open water features within sea ice fields. Landforms such as hollows, footslopes, and depressions were commonly represented in polynya regions, suggesting these terrain types effectively capture localised reductions in sea ice concentration. Conversely, flat landforms were more common in non-polynya areas, likely reflecting regions of persistently high or low sea ice cover, either consolidated ice or permanent open water, with minimal structural complexity. Shoulders, ridges, and spurs also occurred more frequently outside polynya regions, potentially representing the structured and consolidated ice typical of surrounding pack ice (Comiso et al., 2003; Andersen et al., 2007). These spatial associations align with physical expectations and support the utility of the geomorphon method in capturing morphologies relevant to sea ice concentration patterns.

Deleted: Some overlap between geomorphon landform categories across polynya and non-polynya regions highlights the importance of spatial context and careful parameter tuning in improving classification accuracy. For instance, footslope landforms were frequently associated with polynya regions across the full set of parameter combinations tested, however, in the best-performing configurations, these features were primarily concentrated along the boundary between the marginal ice zone and the open ocean (Fig. 6). This spatial distribution is consistent with the geomorphon definition of footslopes, as transitional features between lower and higher elevations (Fig. 2), analogous to the sea ice concentration gradients found at the ice edge where open water transitions to consolidated pack ice (Worby and Comiso, 2004; Bitz et al., 2005). Identifying valleys, depressions, and hollows as the core landforms for polynya detection is therefore a critical step in tailoring the geomorphon algorithm for this application. These features most closely represent the morphological patterns associated with low sea ice concentration in polynyas. In contrast, landforms such as flats, footslopes, and slopes, appear in polynya areas under many parameter combinations, but also correspond to other sea ice or open ocean structures not characteristic of polynyas. Including them as primary indicators would risk misclassification and reduce the specificity of the method. As such, deliberate selection and tuning of relevant landform features is essential to ensure robust and accurate polynya identification.

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1155 identification. Overall, the best-performing iterations of the algorithm included the largest search distance tested for each region, of 30 and 40 map cells, and slightly higher flat threshold of 0.0037°, combined with the lowest dist parameter value (Fig. 6). In the context of the AMSR2 ASI sea ice concentration data, these parameter values translate to a search radius of 187.5-250 km, which equates to a maximum polynya area of ~110,000-200,000 km², and a sea ice concentration threshold of 0.4 (Table 1 and 2). These values are consistent with the known oceanographic characteristics of polynyas, as they typically range in size from 10 to 10⁵ km² (Morales Maqueda et al., 2004). Moreover, sea ice concentration within polynyas varies considerably, especially in coastal polynyas which are sites of vast sea ice production (Tamura and Ohshima, 2011; Zhou et al., 2023). As a result, the best-performing flat threshold corresponding to a moderate sea ice concentration threshold is understandable, as polynyas are not always homogenous areas of open water surrounded by high sea ice concentration (Kwok et al., 2007; Gutjahr et al., 2016).

1165 Comparison of the geomorphon-derived and <0.3 sea ice concentration threshold-based polynya areas demonstrates that both methods capture the overall seasonal evolution of polynya extent across the Amundsen and Weddell Seas (Fig. 7). In both regions, the timing of polynya expansion and contraction is highly consistent, with peak extents occurring during austral summer in the Amundsen Sea and during the well-documented opening of the Weddell Sea Polynya in late 2017. This consistency indicates that the geomorphon framework successfully reproduces the major temporal characteristics of polynya development despite relying on a fundamentally different detection principle. Differences between the approaches are primarily observed in the estimated magnitude of polynya area rather than the timing of polynya occurrence. In the Amundsen Sea, the geomorphon method generally identified larger polynya extents than the 0.3 threshold during the summer months, whereas the similarity between the two approaches was considerably closer during winter when polynyas were small or absent. In contrast, both methods produced more similar estimates for the Weddell Sea throughout much of the 2017 event, although differences remained during periods of rapid polynya expansion and contraction. These discrepancies most likely reflect the differing principles of the two detection methods. Whereas threshold-based approaches classify all grid cells below a predefined sea ice concentration as polynyas, the geomorphon algorithm identifies local morphological depressions within the sea ice concentration field, allowing the detected boundary to respond to spatial gradients rather than a single concentration value.

1180 These differences highlight the influence that the choice of detection methodology can have on estimated polynya extent. To investigate this further, geomorphon-derived areas were compared with polynyas identified using a range of commonly applied sea ice concentration thresholds. Figure 8 demonstrates that estimated polynya extent is highly sensitive to the sea ice concentration threshold selected. Across both study regions, progressively higher thresholds produced systematically larger estimates of polynya area, with the greatest divergence occurring during periods of polynya growth and peak development. This highlights the influence that threshold selection alone can have on reported polynya extents and reinforces the difficulty of applying a single sea ice concentration threshold consistently across different seasons and regions. The relationship between

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195 the geomorphon-derived estimates and the threshold-based approaches also varied seasonally. During winter and spring, when polynyas were small or developing, the geomorphon-derived areas generally fell within the range defined by the four threshold methods, often lying between the lower (0.2-0.3) and higher (0.6-0.8) sea ice concentration thresholds. This suggests that the morphology-based approach identifies polynya extents that are consistent with conventional threshold methods without relying on a predefined concentration value. In contrast, during the summer months the geomorphon method generally produced smaller area estimates than all four threshold approaches, before returning towards the threshold-derived range during autumn as polynyas contracted.

205 A notable exception occurred for the ASP during February, where the geomorphon-derived area remained substantially larger than the threshold-based estimate (Fig. 7 and 8). Examination of the spatial comparison suggests that this difference arose because the threshold-based method classified the polynya as connected to the open ocean and therefore excluded it from the analysis, whereas the geomorphon algorithm continued to identify it as a distinct enclosed feature. One possible explanation is that a narrow band of thin sea ice, with concentrations exceeding the 0.3 threshold, remained between the polynya and the open ocean. While this connection was sufficient for the threshold-based approach to merge the two water bodies, the geomorphon algorithm continued to recognise the enclosed morphology of the polynya based on the surrounding sea ice concentration gradients (Fig. F1). Although this behaviour may represent an advantage of the morphology-based approach during transitional states, additional evaluation using higher-resolution observations would be valuable to determine which representation more accurately reflects the physical structure of the polynya.

215 The summer underestimation likely reflects differences in how the two approaches define polynya boundaries. During peak summer, coastal polynyas often exhibit less distinct boundaries, with gradual transitions between open water, thin or unconsolidated ice, and the surrounding pack ice as sea-ice concentrations decrease (Wei et al., 2023; Lin et al., 2024). Threshold-based methods progressively include these transitional regions as higher sea ice concentration thresholds are applied, whereas the geomorphon algorithm identifies the core morphological depression within the sea ice concentration field. Consequently, the geomorphon-derived boundary remains comparatively conservative during periods of maximum polynya extent. Although threshold-based approaches require the selection of a single sea ice concentration value, no universally accepted threshold exists for polynya detection, with values between 0.2 and 0.8 commonly used depending on the study region, season and research objective (Massom et al., 1998; Mohrmann et al., 2021). The geomorphon framework instead identifies polynyas from the spatial morphology of the sea ice concentration field, avoiding direct classification based on a single sea ice concentration threshold while producing area estimates comparable to those obtained using a range of threshold values.

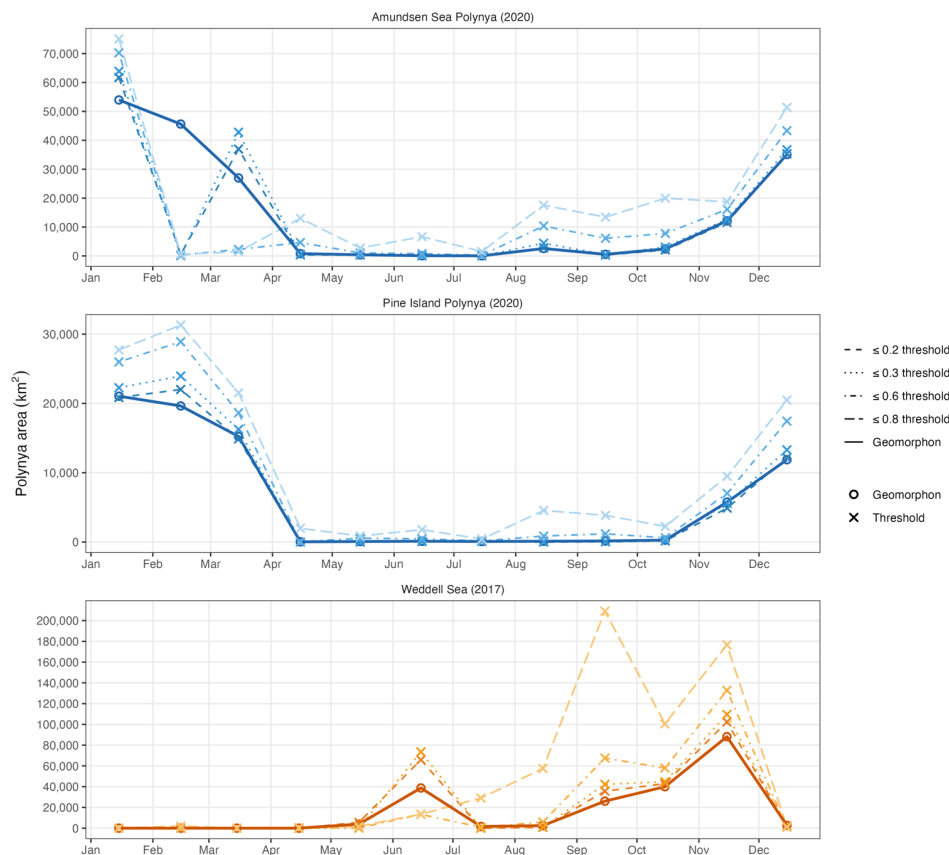


Figure 8: Monthly polynya area estimated using the geomorphon algorithm (solid lines, circles) and four sea ice concentration threshold-based methods (≤ 0.2 , ≤ 0.3 , ≤ 0.6 and ≤ 0.8 ; dashed lines, crosses) for the (a) Amundsen Sea Polynya 2020, (b) Pine Island Polynya 2020, and (c) Weddell Sea during 2017, respectively. The figure illustrates how estimated polynya extent varies with the choice of sea ice concentration threshold relative to the morphology-based geomorphon approach.

Comparison with published polynya area estimates provides further support for the ability of the geomorphon framework to produce realistic estimates across both coastal and open-ocean polynyas (Table 4). Despite differences in satellite sensors, spatial resolution and detection methodology, the majority of geomorphon-derived areas are comparable with those reported

previously. This correspondance is particularly encouraging given the substantial methodological variation among published studies, which employ sea ice concentration thresholds ranging from approximately 10 to 70%, the PSSM, and different definitions of polynya extent. As demonstrated by the threshold sensitivity analysis (Fig. 8), these methodological choices alone can produce substantial differences in estimated polynya area, emphasising that comparisons between studies should consider the detection approach as well as the reported area.

Agreement was strongest for the Amundsen Sea Polynya during the austral spring and summer, where geomorphon-derived areas were generally within the range of published estimates. In particular, comparisons with the recent AMSR2-based analysis of Macdonald et al. (2023) show good similarity for both seasonal maximum areas and individual daily observations, despite differences in the underlying detection methodology. Similarly, mean austral spring/summer areas compare closely with those reported using the PSSM approach by Arrigo et al. (2012). The principal exception occurred during austral winter, where geomorphon-derived areas were smaller than those reported by Nihashi and Ohshima (2015). This difference likely reflects the presence of thin newly formed sea ice within winter coastal polynyas, which is explicitly incorporated within their detection methodology but is less distinct morphologically within the sea ice concentration field.

Comparisons for the Weddell Sea similarly demonstrate close correspondance for most stages of the 2017 polynya evolution, including the stabilised October-November period reported by Campbell et al. (2019) and the initial September opening described by Francis et al. (2019). Larger discrepancies occurred during the exceptionally large December 2017 event, where the optimised regional parameterisation estimated a maximum polynya area of approximately 40,000-50,000 km² (Fig. 7), substantially smaller than published estimates of around 298,000 km² (Jena et al., 2019; Table 4). This discrepancy appears to reflect a limitation of the optimised regional search parameter rather than the geomorphon framework itself. The search distance controls the maximum scale of landforms that can be identified and was optimised to represent the majority of Weddell Sea polynya conditions. However, exceptionally large open-ocean polynyas, such as the December 2017 Weddell Sea Polynya, exceed the characteristic scale represented by this parameterisation. Increasing the search distance from 40 to 100 map cells produced a geomorphon-derived area of 241,836 km², substantially closer to the published estimate (Table 4), demonstrating that larger search distances may be required to accurately delineate unusually extensive open-ocean polynyas.

Collectively, these comparisons demonstrate that the geomorphon framework produces polynya area estimates that are generally consistent with those reported using established detection methods, while also highlighting the importance of selecting parameter values appropriate for the spatial scale of the target polynya. Future applications to exceptionally large open-ocean polynyas or historical events may therefore benefit from evaluating a wider range of search distances than those required for more typical coastal or seasonal polynya conditions.

Table 4: Comparison of geomorphon-derived polynya area estimates with published values reported for the Amundsen Sea Polynya (ASP), Pine Island Polynya (PIP), and Weddell Sea Polynya. The table summarises the detection approach and satellite dataset used in each study to highlight methodological differences that may influence reported polynya extents. Geomorphon-derived areas correspond to the same region and time period reported in each study wherever possible. Except where noted, geomorphon estimates were produced using the optimised regional parameter set.

Region	Study	Detection approach	Time period	Published area (km ²)	Geomorphon area (km ²)
ASP	Nihashi and Ohshima (2015)	SIC <30% + thin ice (<0.2 m) using AMSR-E (6.25-12.5 km resolution) data	Mean across austral winter (May-Aug) 2003-2011	7,700 (±3,600)	1,895 (±1,684)
			Highest daily mean area for summer (November-March) 2016/17	62,616	64,182
	Macdonald et al. (2023)	SIC <70% using AMSR2 (3.125 km resolution) data	Highest daily mean area for summer (November-March) 2017/18	44,013	59,739
			Highest daily mean area for summer (November-March) 2018/19	38,518	61,686
			Highest daily mean area for summer (November-March) 2019/20	44,979	59,806
			Highest daily mean area for summer (November-March) 2020/21	44,447	52,164
			November 1st 2016	25,859	15,096
			November 1st 2020	7,617	1,981
			December 1st 2016	65,674	55,368
			December 1st 2017	25,439	18,857
			December 1st 2020	38,310	33,680
	Arrigo et al. (2012)	Polynya Signature Simulation Method (open water, ~10% SIC) using Special Sensor Microwave/Imager (6.25 km resolution) data	Mean spring/summer (Oct-Mar) 1997-2010	27,333 (±8,749)	24,300 (±3,091) *
PIP	Arrigo et al. (2012)	Polynya Signature Simulation Method (open water, ~10% SIC) using Special Sensor Microwave/Imager (6.25 km resolution) data	Mean spring/summer (Oct-Mar) 1997-2010	17,632 (±10,591)	12,213 (±6,421)
			Mean spring/summer (Oct-Mar) 2003-2004	6,096	5,519 (±4,695)
			Mean spring/summer (Oct-Mar) 2004-2005	8,426	6,873 (±5,694)
			Mean spring/summer (Oct-Mar) 2005-2006	7,245	6,269 (±4,347)
			Mean spring/summer (Oct-Mar) 2006-2007	9,076	7,602 (±5,960)
			Mean spring/summer (Oct-Mar) 2007-2008	24,965	14,482 (±11,245)
			Mean spring/summer (Oct-Mar) 2008-2009	19,703	16,622 (±10,024)
			Mean spring/summer (Oct-Mar) 2009-2010	40,157	22,102 (±14,404)
Weddell Sea	Campbell et al. (2019)	SIC <50% using AMSR2 (3.125 km resolution) data	Mean Jul-Aug 2016	~33,000	3,628 (±3,614)
			Stabilise during Oct-Nov 2017	~50,000	51,908 (±24,905)
	Jena et al. (2019)	SIC <15% using Scanning Multichannel Microwave Radiometer instrument on the Nimbus-7 satellite and the Special Sensor	September 14th 2017	~9,300	23,312
			December 1st 2017	~298,100	241,836 **

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		Microwave/Imager and Special Sensor Microwave Imager/Sounder (25 km resolution) data			
	Francis et al. (2019)	15% SIC contour using National Oceanic and Atmospheric Administration National Snow and Ice Data Center Climate Data Record (25 km resolution) data	Maximum by end of oct 2017	~80,000	47,000
			Mid-Sept 2017	~9,500	8,101

* ASMR-E ASI sea ice concentration data spanning 2002-2010 used to calculate this value

** Estimated using a search distance parameter value of 100 map cells to represent the exceptionally large December 2017 Weddell Sea Polynya. All other Weddell Sea geomorphon estimates were produced using the optimised regional parameter set (search = 40 map cells).

4.3 Robustness to Geomorphon Parameter Selection and Sea Ice Concentration Uncertainty

While the geomorphon framework showed close agreement with threshold-based methods and published polynya extents, it is equally important to understand how sensitive these estimates are to the choice of geomorphon parameters and uncertainty in the underlying sea ice concentration data. Both sources of uncertainty have the potential to influence the delineation of polynya boundaries and, consequently, the estimated polynya area. Quantifying these uncertainties therefore provides an indication of the robustness of the geomorphon approach across different polynya states and identifies the conditions under which the method is most sensitive.

Uncertainty was not uniform across the seasonal cycle. Both parameter selection and sea ice concentration uncertainty were generally greatest during developing and decaying polynya conditions, whereas substantially smaller uncertainties were obtained for peak, frozen-over and absent scenarios (Table 3). This pattern is consistent with the changing morphology of polynyas throughout their seasonal evolution. During periods of opening and closure, polynya boundaries are often poorly defined and characterised by mixtures of open water, thin ice and consolidated pack ice (Morales Maqueda et al., 2004; Heorton et al., 2017), making the exact boundary more sensitive to both geomorphon parameterisation and uncertainty in the underlying sea ice concentration field. In contrast, mature polynyas and frozen conditions exhibit stronger morphological contrasts, resulting in more stable classifications. Across the developing, peak and decaying polynya scenarios, uncertainty associated with the sea ice concentration observations generally exceeded that arising from geomorphon parameter selection (Table 3). This indicates that, once an appropriate parameterisation has been selected, the geomorphon framework introduces relatively little additional uncertainty to the resulting polynya area estimates. Instead, the variability in estimated area is largely governed by the uncertainty inherent within the input sea ice concentration data. The relatively small contribution of geomorphon parameter uncertainty therefore provides confidence that the morphology-based approach is robust and that the resulting polynya estimates primarily reflect the characteristics of the underlying observations rather than sensitivity to algorithm

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parameter selection. The frozen-over and absent scenarios differed slightly, with parameter uncertainty becoming comparable to, or exceeding, the uncertainty associated with the sea ice concentration data. This is expected because these scenarios contain very small or no polynya areas, meaning that minor differences in the delineation of isolated features can produce relatively large proportional changes in estimated area. Importantly, however, the absolute uncertainties remained small ($<1,100 \text{ km}^2$), indicating that the geomorphon framework remains stable even under conditions where polynyas are weakly developed or absent.

These results suggest that the geomorphon framework is relatively insensitive to reasonable variation in parameter selection and that confidence in the resulting polynya area estimates is primarily limited by the uncertainty of the input observations rather than the morphology-based classification itself. Although these findings provide confidence in the robustness of the approach for the two study regions considered here, further evaluation across additional polynya systems, sea ice concentration products and satellite sensors would help determine the broader transferability of the parameterisation and establish the extent to which similar levels of robustness are maintained under different environmental conditions.

4.4 Transferability and Applicability to Long-Term Polynya Monitoring

The results presented here demonstrate that the geomorphon framework can be successfully applied to both coastal and open-ocean polynyas using passive microwave sea ice concentration data. Across two contrasting Antarctic regions, the method remained robust over a range of seasonal conditions and produced polynya extents that compared well with both conventional threshold-based approaches and previously published estimates. These findings suggest that morphology-based classification represents a promising complementary framework for automated polynya detection, while also highlighting that parameter selection should remain appropriate for the spatial scale of the target polynya.

An important next step will be to undertake a systematic comparison of the growing range of automated polynya detection techniques. Recent studies have demonstrated increasing methodological diversity in automated polynya detection from satellite observations (e.g., Lin et al., 2024; Duffy et al., 2024; Landrum et al., 2026; Heuzé and Wong, 2025). However, these methods have generally been evaluated independently using different regions, datasets and performance metrics, making direct comparison difficult. Applying multiple automated methods to commonly used datasets would provide a more objective assessment of their relative strengths, limitations and suitability for different polynya types and environmental conditions.

Beyond methodological evaluation, future work should investigate the performance of the geomorphon framework across additional Antarctic and Arctic polynya systems, alternative passive microwave products and higher-resolution satellite observations. Evaluating the approach across different sensors and environmental settings would establish the extent to which the parameterisation developed here is transferable beyond the study regions considered. The computational efficiency and automated nature of the geomorphon framework also make it well suited to analysing long satellite time series, providing

opportunities to investigate long-term variability in polynya occurrence, duration and morphology. Such datasets could subsequently support studies examining links between polynyas and ocean circulation, primary productivity, ecosystem dynamics and climate variability. To support future applications of the geomorphon framework, a recommended implementation workflow is provided in Appendix G (Fig. G1). The schematic summarises the principal stages involved in applying the geomorphon approach to sea ice concentration data, from selecting an appropriate dataset and geomorphon parameterisation through to generating polynya masks and estimating polynya area. Although individual parameter values should be selected according to the study objectives and characteristics of the input dataset, we hope this framework provides a transparent starting point that can be tested, refined and adapted for different polynya types, regions and data products.

5 Conclusions

This study demonstrates the value of applying the geomorphon algorithm to passive microwave sea ice concentration data as a novel, automated approach for identifying polynyas. Traditionally, polynya detection has relied on fixed sea ice concentration thresholds (Kern et al., 2007; Nakata et al., 2015; Ohshima et al., 2016), which may not consistently capture the full spatial extent of polynyas under different seasonal conditions or across different study regions (Massom et al., 1998; Mohrmann et al., 2021). By contrast, although originally developed for terrestrial applications, the geomorphon framework identifies polynyas from the spatial morphology of the sea ice concentration field, providing a complementary automated approach to conventional threshold-based methods. The successful detection of both coastal and open-ocean polynyas across contrasting seasonal conditions, together with close agreement with published polynya extents and threshold-based approaches, demonstrates the potential of morphology-based classification for automated polynya detection.

The uncertainty analyses further showed that the geomorphon framework is robust to reasonable variation in parameter selection, with uncertainty associated with the underlying sea ice concentration observations generally exceeding that introduced by the geomorphon algorithm itself. Although additional evaluation across a wider range of regions, sea ice concentration datasets and automated detection methods is required, these results provide confidence that morphology-based approaches represent a promising direction for objective and reproducible polynya detection. Continued development and evaluation of automated detection frameworks will be important for generating consistent long-term records of polynya variability. Such records have important implications for understanding links between polynyas and top predator ecology (Gilchrist and Robertson, 2000; Labrousse et al., 2018), primary productivity (Arrigo and van Dijken, 2003; Arrigo et al., 2008), and conservation planning (Boothroyd et al., 2024), particularly in the rapidly changing polar oceans.

Deleted: The areal extents of polynyas identified for the two regions using the geomorphon algorithm align closely with those reported in scientific literature, reinforcing the validity of this method for capturing realistic spatial patterns of polynyas. Antarctic coastal polynyas, like those observed in the Amundsen Sea, typically range in size between 130-20,000 km² (Barber and Massom, 2007). The largest total polynya area detected in this region by the geomorphon algorithm was 127,931 km² in January 2020, with individual polynya size ranging from 16 km² to 29,421 km² (Fig. 7). These figures are consistent with expectations for the Amundsen Sea region, where the literature reports that the largest feature, the Amundsen Sea Polynya, can reach between 25,000 and 40,000 km² during the austral summer (van Manen et al., 2022; Macdonald et al., 2023). In austral winter, polynya size of the Amundsen Sea Polynya was estimated at 7,700 +/- 3,600 km² between 2003 and 2011 (Macdonald et al., 2023). Unlike the sea ice concentration threshold-based method, the geomorphon algorithm is capable of detecting polynyas in the Amundsen Sea during the austral winter. However, the total polynya area observed is lower than literature suggests. For the Weddell Sea polynya, the Moderate Resolution Imaging Spectroradiometer on NASA's Terra satellite acquired images of the polynya in 2017 in the eastern Weddell Sea, observing it grow from 9,500 km² in mid-September, to approximately 80,000 km² by late October (Jena et al., 2019). The geomorphon algorithm identified the polynya in the same area and detected the large increase in size from September to October (Fig. 7). However, the algorithm appears to fail to detect its presence in the austral winter months when compared to the sea ice concentration threshold-based method. These discrepancies observed in both regions may stem from the use of monthly-averaged sea ice concentration data, which can smooth over transient or short-lived open water events (Macdonald et al., 2023). As shown in this ... [9]

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Deleted: This study demonstrates the value of applying the geomorphon algorithm to sea ice concentration data as a novel, automated approach for identifying polynyas. Traditionally, polynya detection has relied on static sea ice concentration thresholds (Kern et al., 2007; Nakata et al., 2015; Ohshima et al., 2016), which can miss features that vary in space and time or fall below arbitrary cut-offs (Massom et al., 1998; Mohrmann et al., 2021). By contrast, although originally developed for terrestrial applications, the geomorphon framework identifies polynyas from the spatial morphology of the sea ice concentration field, providing a complementary automated approach to conventional threshold-based methods. The successful detection of both coastal and open-ocean polynyas across contrasting seasonal conditions, together with close agreement with published polynya extents and threshold-based approaches, demonstrates the potential of morphology-based classification for automated polynya detection. ... [11]

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Deleted: Our study highlights an avenue for future research to apply the geomorphon algorithm to daily-resolution sea ice concentration data. The efficiency of the geomorphon algorithm, combined with its capacity for automation, means that running the method on daily datasets is now a feasible next step. Doing so would allow for more accurate detection of polynya dynamics and improve the temporal precision of analyses. In addition, there is scope to integrate this approach with climate model output to assess future polynya dynamics. ... [13]

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Appendices

Appendix A: Representative sea ice concentration fields for the five Amundsen Sea and Weddell Sea scenarios

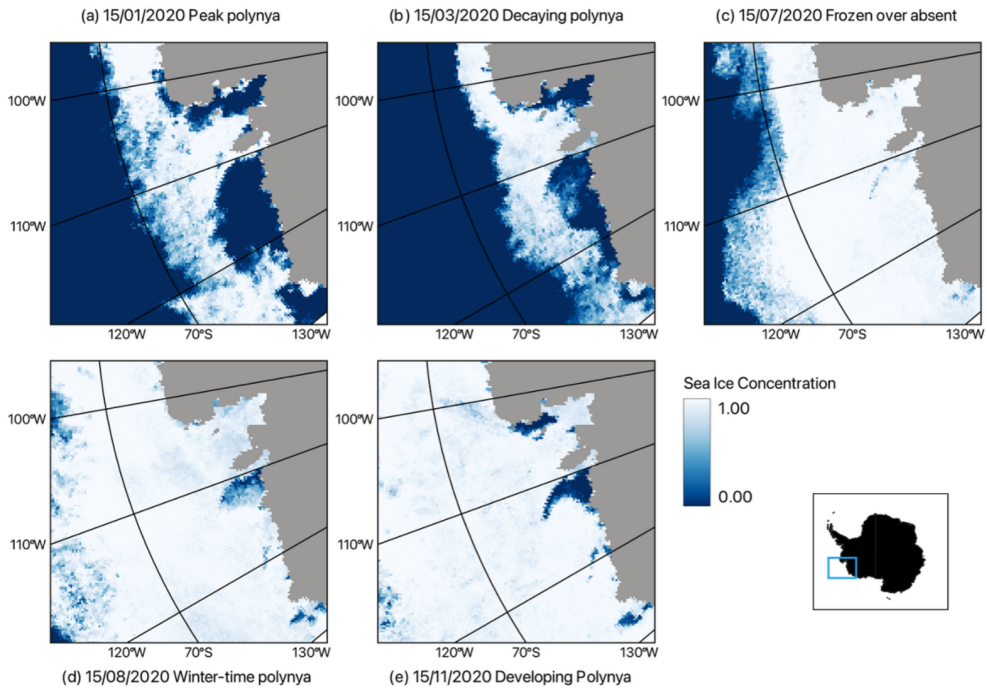


Figure A1: Representative sea ice concentration fields for the five Amundsen Sea 2020 scenarios. Daily data was obtained from the University of Bremen Archive, AMSR2 ASI sea ice concentration, version 5.4 (Melsheimer and Spreen, 2019).

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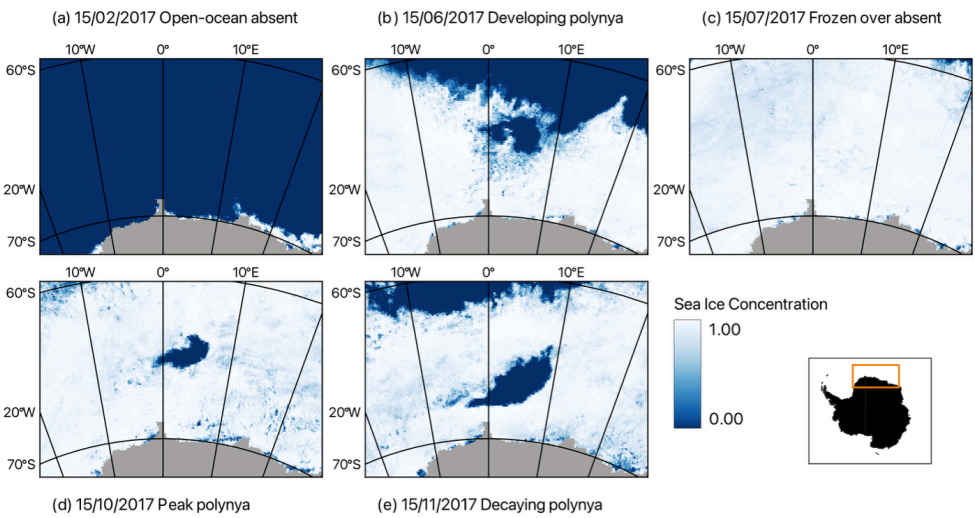
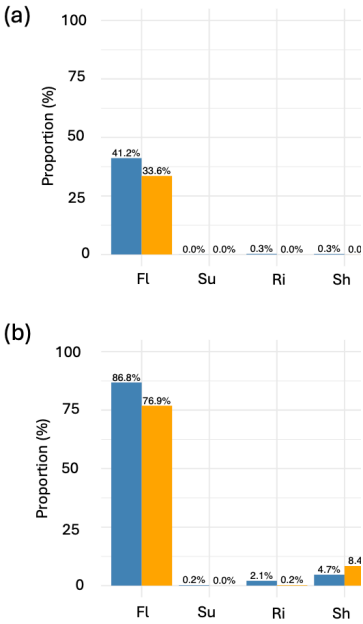
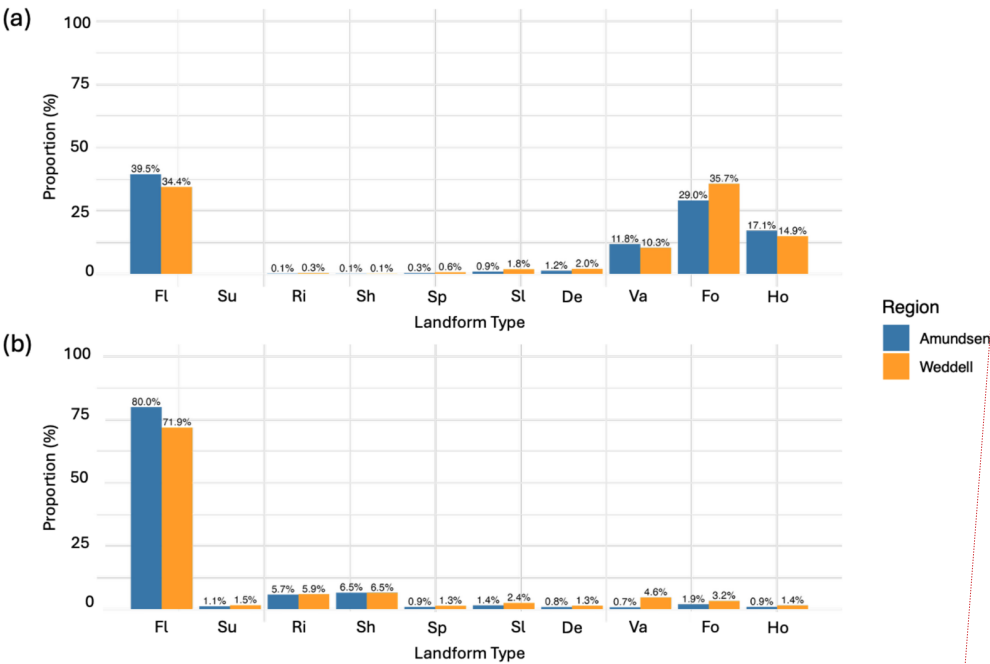


Figure A2: Representative sea ice concentration fields for the five Weddell Sea 2017 scenarios. Daily data was obtained from the University of Bremen Archive, AMSR2 ASI sea ice concentration, version 5.4 (Melsheimer and Spreen, 2019).

Appendix B: Geomorphon landforms assigned to areas classified as polynyas and non-polynyas by the threshold-based method

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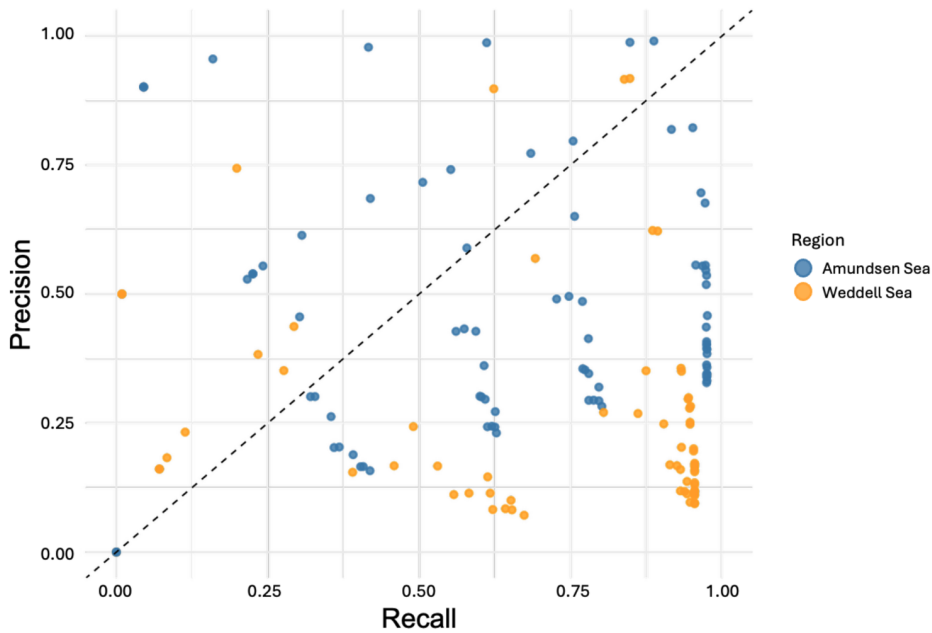
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Figure B1: The proportion of geomorphon landforms (Fig. 2) assigned to (a) polynya and (b) non-polynya areas, defined by the ≤ 0.3 sea ice concentration threshold-based method, across all tested parameter combinations for both regions.

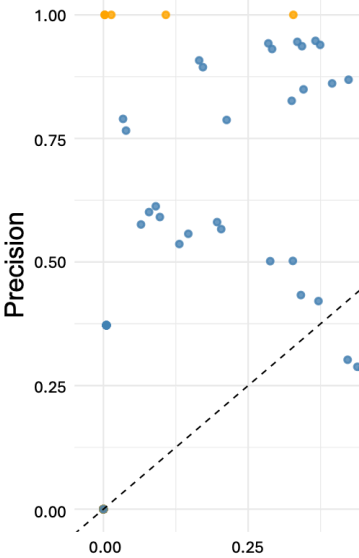
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585 Appendix G: Precision and recall scores for geomorphon algorithm when compared to threshold-based method



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Figure G1: Precision-recall plot comparing the performance of the geomorphon algorithm across parameter combinations for the Amundsen (blue) and Weddell (orange) Seas. Each point represents a unique parameter configuration, evaluated by its precision and recall scores based on comparison to polynyas defined by the sea ice concentration method. The dashed diagonal line indicates equal precision and recall. Points closer to this line represent balanced performance, while deviations suggest a trade-off between the two metrics.

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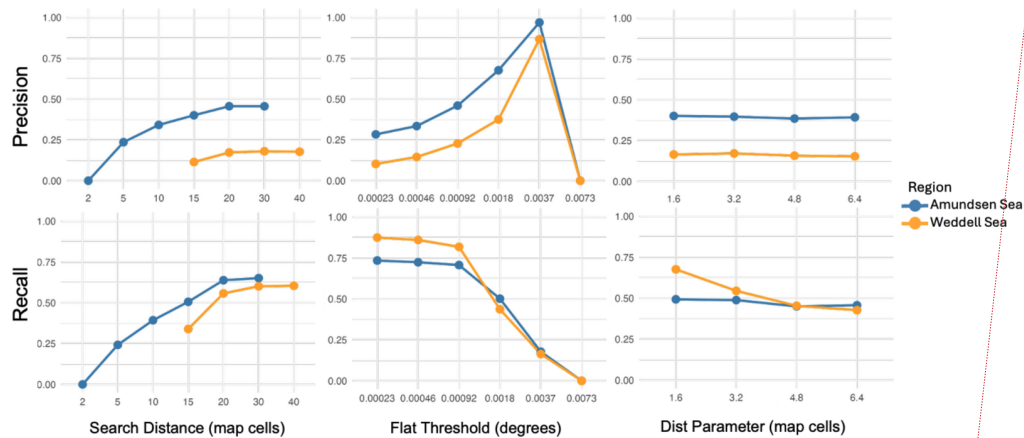
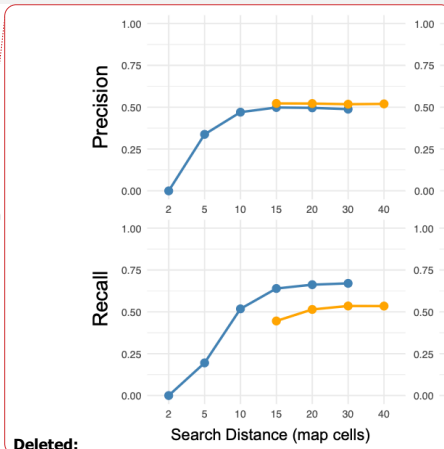


Figure C2: Precision (top row) and recall (bottom row) scores for different parameter values (*search*, *flat*, *dist*) used in iterations of the geomorphon algorithm in the Amundsen (blue) and Weddell (orange) Seas. Precision and recall scores are calculated as averages for each parameter value, considering its combination with all values from the other two parameters in each iteration.



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Appendix D: Performance of geomorphon algorithm parameters across seasonal sea ice conditions



Figure D1. Seasonal performance of all valid geomorphon parameter combinations during austral summer (December-February) for the Amundsen Sea (2020), evaluated using daily sea ice concentration fields from the 15th day of each month. Bubble colour indicates the F1-score for months containing one or more reference polynya pixels and the False Positive Rate (FPR) for months in which no reference polynya pixels were present. Bubble size is proportional to the corresponding performance metric. Parameter combinations are arranged according to the *search* parameter (map cells), *flat* threshold (degrees), and *dist* parameter (map cells). The representative parameter tuning case study, 15 December 2020 for the Amundsen Sea, is presented separately in Figure 5.

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Deleted: Transferability of geomorphon-derived polynya detection across independent sea ice concentration datasets



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Deleted: Comparison of daily total polynya area within the Amundsen Sea derived using geomorphon classification applied to Copernicus (GLORYS12v1, 9.25 km resolution) (blue) and independently rescaled AMSR2 ASI (University of Bremen, 6.25 km resolution) (orange) sea ice concentration datasets during 2020. Parameters applied to the AMSR2 dataset were analytically rescaled to preserve equivalent physical interpretations of concentration gradient threshold, lookup distance, and maximum detectable landform size.

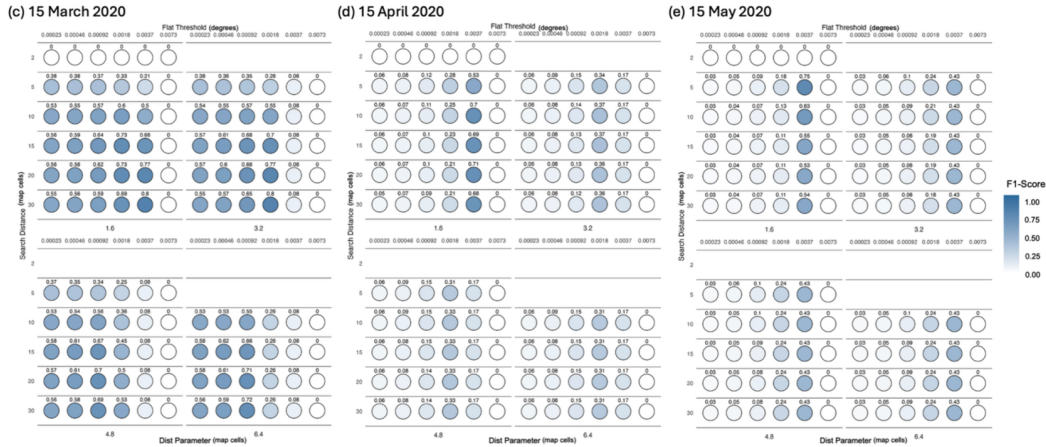


Figure D2: Seasonal performance of all valid geomorphon parameter combinations during austral autumn (March-May) for the Amundsen Sea (2020), evaluated using daily sea ice concentration fields from the 15th day of each month. Bubble colour indicates the F1-score for months containing one or more reference polynya pixels and the False Positive Rate (FPR) for months in which no reference polynya pixels were present. Bubble size is proportional to the corresponding performance metric. Parameter combinations are arranged according to the *search* parameter (map cells), *flat* threshold (degrees), and *dist* parameter (map cells). The representative parameter tuning case study, 15 December 2020 for the Amundsen Sea, is presented separately in Figure 5.

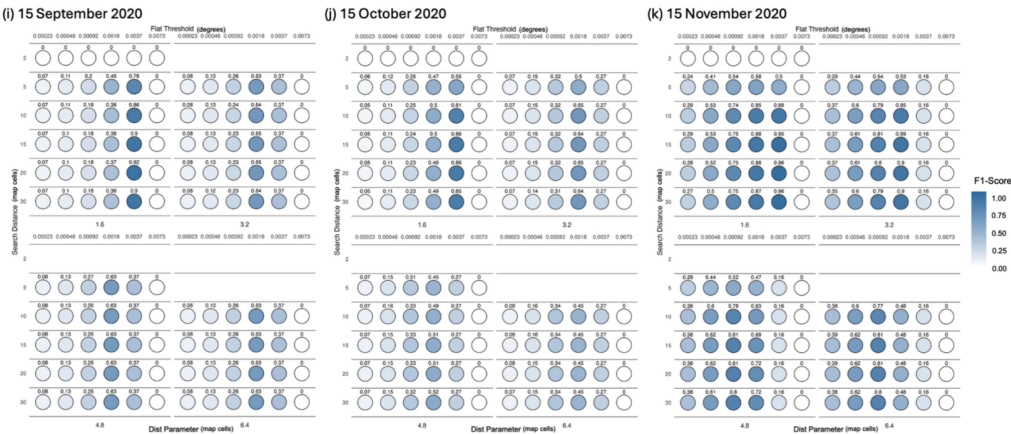
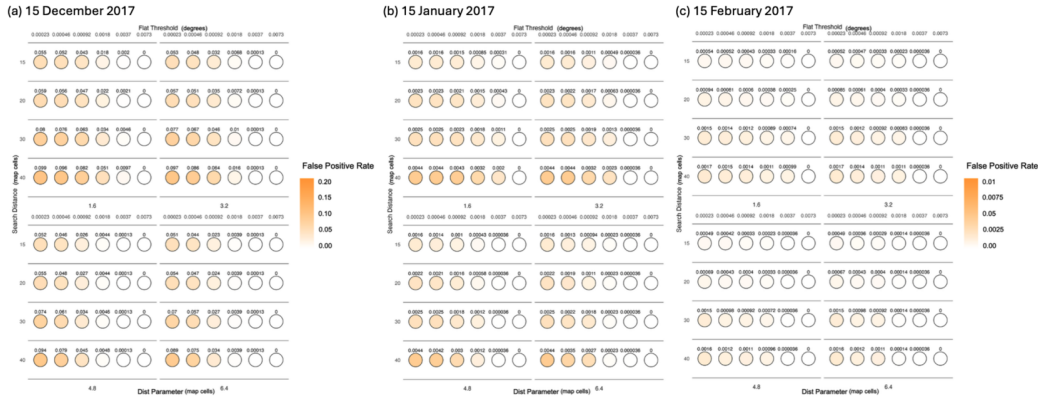


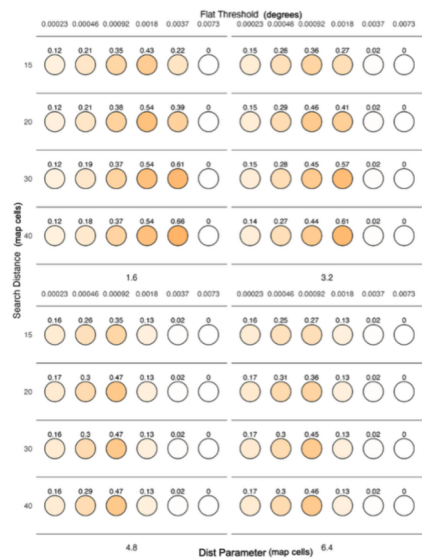
Figure D4: Seasonal performance of all valid geomorphon parameter combinations during austral spring (September-November) for the Amundsen Sea (2020), evaluated using daily sea ice concentration fields from the 15th day of each month. Bubble colour indicates the F1-score for months containing one or more reference polynya pixels and the False Positive Rate (FPR) for months in which no reference polynya pixels were present. Bubble size is proportional to the corresponding performance metric. Parameter combinations are arranged according to the *search* parameter (map cells), *flat* threshold (degrees), and *dist* parameter (map cells). The representative parameter tuning case study, 15 December 2020 for the Amundsen Sea, is presented separately in Figure 5.



combinations are arranged according to the *search* parameter (map cells), *flat* threshold (degrees), and *dist* parameter (map cells). The representative parameter tuning case study, 15 October 2017 for the Weddell Sea, is presented separately in Figure 4.



(j) 15 September 2017



(k) 15 November 2017

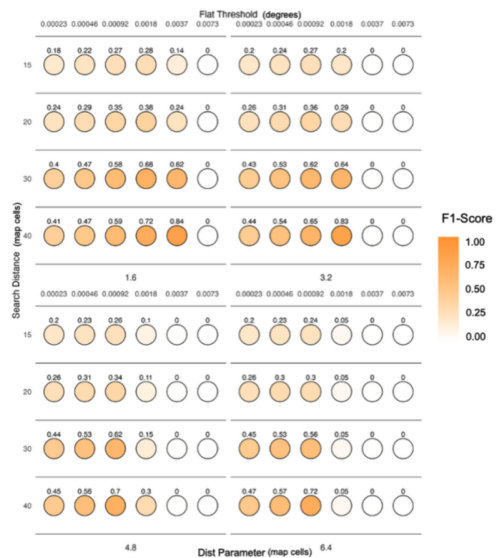
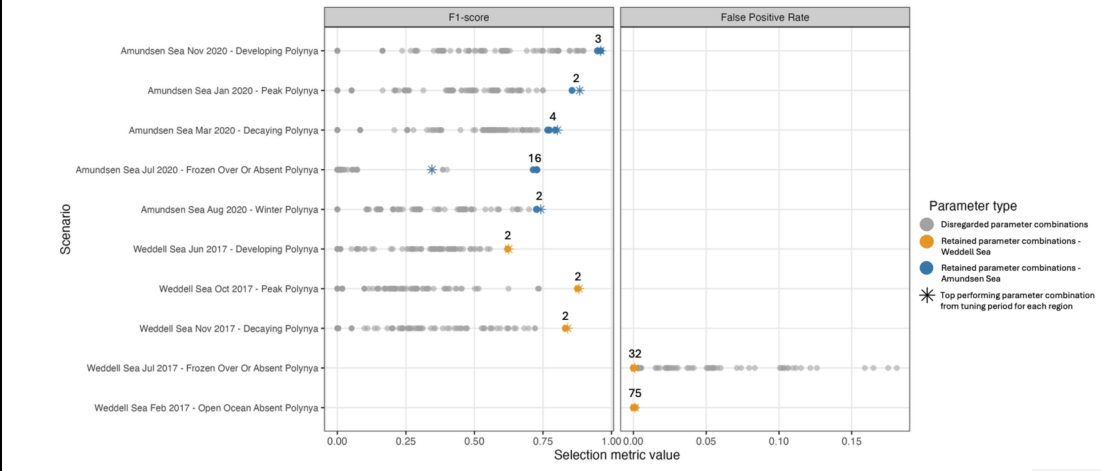


Figure D8: Seasonal performance of all valid geomorphon parameter combinations during austral spring (September-November) for the Weddell Sea (2017), evaluated using daily sea ice concentration fields from the 15th day of each month. Bubble colour indicates the F1-score for months containing one or more reference polynya pixels and the False Positive Rate (FPR) for months in which no reference polynya pixels were present. Bubble size is proportional to the corresponding performance metric. Parameter combinations are arranged according to the *search* parameter (map cells), *flat* threshold (degrees), and *dist* parameter (map cells). The representative parameter tuning case study, 15 October 2017 for the Weddell Sea, is presented separately in Figure 4.

1720 **Appendix E: Parameter combination retention across representative polynya scenarios for the Amundsen Sea 2020**
1725 **and the Weddell Sea 2017 using F1-scores and FPR**



1735 **Figure E1: F1-score (left) and false positive rate (right) for all tested geomorphon parameter combinations across the ten seasonal polynya scenarios (Fig. A1 and A2). Grey points show discarded parameter combinations, while coloured points indicate retained parameter combinations (orange = Weddell Sea, blue = Amundsen Sea). Asterisks denote the top performing parameter combination from the tuning periods for each month (Fig. 6), and numbers indicate the total number of retained parameter combinations for each scenario.**

Appendix F: Comparison of polynya determination by sea ice concentration threshold-based method and geomorphon algorithm for the ASP in the Amundsen Sea 15 February 2020

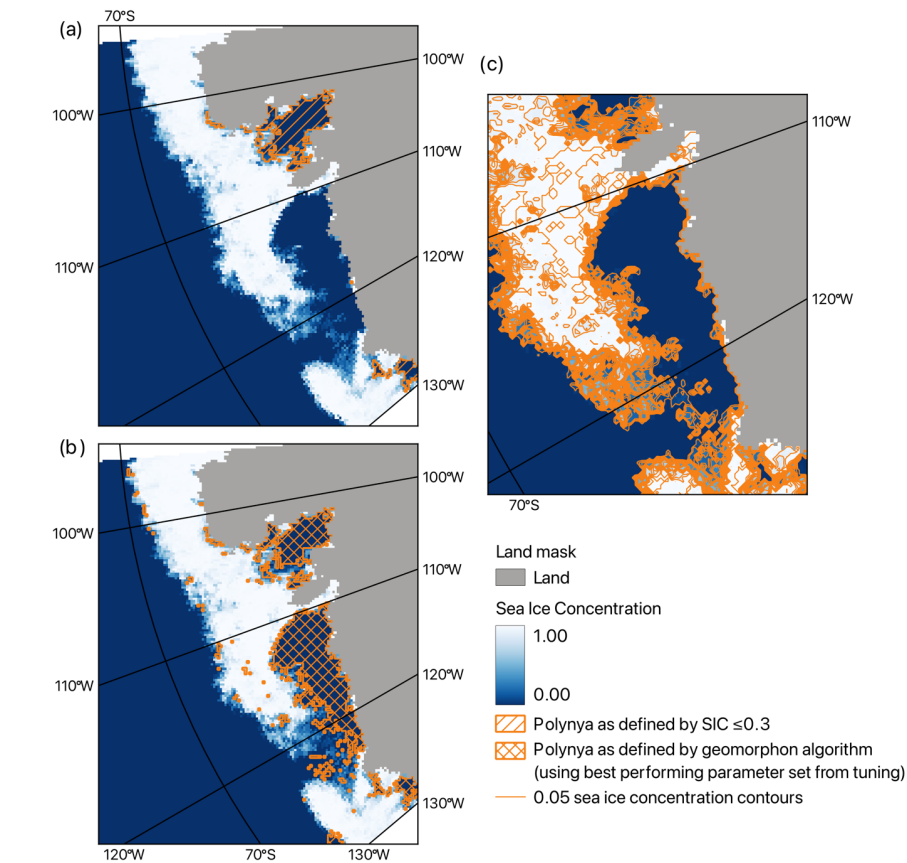


Figure F1: Comparison of polynya detection for the Amundsen Sea Polynya (ASP) on 15 February 2020 using (a) the ≤ 0.3 sea ice concentration threshold method and (b) the geomorphon algorithm (best-performing parameter combination). (c) Enlarged view of the central ASP with orange contours represent 0.05 sea ice concentration intervals. In this example, the threshold-based method identifies a connection between the polynya and the adjacent open ocean, whereas the geomorphon algorithm continues to delineate the feature as a distinct enclosed polynya.

Appendix G: Schematic showing recommended workflow for future applications of the geomorphon method for polynya detection

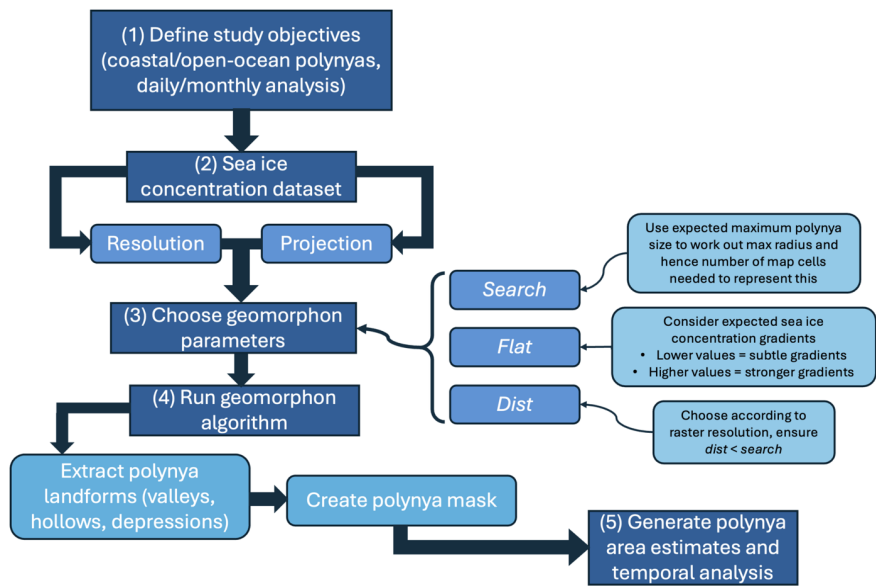


Figure G1: Recommended workflow for applying the geomorphon framework to passive microwave sea ice concentration data for automated polynya detection. The schematic outlines the principal stages of the workflow, including study design, dataset selection, geomorphon parameter selection, landform extraction and generation of polynya area estimates.

Code and data availability

The geomorphon algorithm code used in this study is available at https://github.com/miahurst/geomorphon_method_polynyas.

Data can be accessed through the PANGAEA Data Publisher for Earth & Environmental Science (Melsheimer and Spreen, 2019) (<https://doi.org/10.1594/PANGAEA.898400>).

Author contributions

MH conceptualised research idea; formal analysis undertaken by MH; methodology designed by MH and LB; supervision carried out by LB; visualisation and writing (original draft preparation) by MH; writing (review and editing) MH and LB.

Competing interests

The authors declare that they have no conflict of interest.

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