

High Frequency of Urban New Particle Formation on the Tibetan Plateau: Quantifying Formation Rates, Growth Rates, and CCN Production

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Abstract

New particle formation (NPF) strongly influences aerosol number size distributions and cloud condensation nuclei (CCN), yet its characteristics and climatic relevance in high-altitude urban environments over the Tibetan Plateau (TP) remain poorly constrained. We conducted in situ observations at Tibet University (TU) in Lhasa during the spring and autumn observation periods. NPF event frequencies were 86.2 % during the autumn observation period and 62.1 % during the spring observation period. Observation days were classified as NPF, Undefined, or Non-Event days based on PNSD evolution, supported by size-segregated particle number concentrations and particle growth rates. Observation-period comparisons indicate clear differences in the factors associated with NPF: NPF during the autumn observation period may have been favored by greater sulfur-precursor availability, whereas no single dominant controlling factor could be identified during the spring observation period. Estimated CCN concentrations were higher on NPF days (mean $\pm$ standard deviation: $(2.3 \pm 1.5) \times 10^3 \text{ cm}^{-3}$; median: $1.9 \times 10^3 \text{ cm}^{-3}$). Corresponding mean $\pm$ standard deviation and median values were $(0.9 \pm 0.4) \times 10^3$ and $0.81 \times 10^3 \text{ cm}^{-3}$ on Undefined days and $(1.3 \pm 0.7) \times 10^3$ and $1.1 \times 10^3 \text{ cm}^{-3}$ on Non-Event days, respectively. ~~Estimated CCN concentrations were substantially higher on NPF days, with a mean concentration of $2294.4 \pm 1512.7 \text{ cm}^{-3}$, compared with $873.9 \pm 367.0 \text{ cm}^{-3}$ on Undefined days and $1278.1 \pm 667.4 \text{ cm}^{-3}$ on Non-Event days.~~ The impact of NPF on CCN depends not only on nucleation, but also on the continued growth and survival of newly formed particles. This study provides new insights into urban NPF characteristics and its potential contribution to CCN on the TP, improving our understanding of aerosol–climate interactions in high-altitude urban environments.

33 1 Introduction

34 The Sixth Assessment Report of the Intergovernmental Panel on Climate Change (IPCC) highlights that the aerosol climatic
35 effect is a major source of uncertainty in climate change assessment, primarily attributed to the spatio-temporal variability of
36 aerosol concentration and size distribution (Arias et al., 2021). New Particle Formation (NPF) and subsequent particle
37 growth significantly alter aerosol concentration, size distribution, and Cloud Condensation Nuclei (CCN) concentration
38 (Hong et al., 2023). Endowed with unique geographical and atmospheric conditions, the Tibetan Plateau (TP) hosts aerosols
39 that are prone to long-distance transport. This capability allows TP aerosols to modify atmospheric concentration and cloud
40 properties along their path more readily than those in low-altitude regions, thereby impacting both regional atmospheric
41 environment and global climate (Hu et al., 2024). Thus, in-depth exploration of NPF patterns over the TP is crucial for
42 accurately evaluating TP aerosol impact on the global atmosphere and climate.

43 NPF has also been frequently observed at high-altitude mountain and free-tropospheric sites outside the TP, where
44 mountain-valley circulation, boundary-layer transport, and low-temperature conditions can strongly influence precursor
45 supply and particle formation. At the Nepal Climate Observatory–Pyramid in the Himalayas, frequent NPF was previously
46 observed under the influence of valley winds (Venzac et al., 2008). More recent molecular-level observations at the same
47 site showed that up-valley transport supplied gaseous precursors that were oxidized into low-volatility organic compounds,
48 producing new biogenic particles that could subsequently enter the free troposphere (Bianchi et al., 2021). At the Chacaltaya
49 high-altitude station in Bolivia, NPF and subsequent particle growth produced substantial enhancements in CCN-relevant
50 particle concentrations under both boundary-layer-influenced and free-tropospheric conditions (Rose et al., 2017). These
51 studies demonstrate that high-altitude NPF can be strongly affected by vertical transport and organic precursor chemistry. In
52 contrast, Lhasa represents a high-altitude urban environment influenced by traffic, combustion, construction activities, and
53 elevated anthropogenic gaseous precursors. It therefore provides an opportunity to investigate how urban emissions modify
54 mountain NPF processes that have previously been studied mainly at remote background sites.

55 Research on NPF in the TP background atmosphere is extensive, leading to a comprehensive understanding of its
56 environmental and climatic effects. For instance, a study at the National Atmospheric Background Monitoring Sub-station
57 on Moshi Daban Mountain (3295 m a.s.l.) in Menyuan, Qinghai Province (Gao et al., 2025), found that NPF events occurred
58 on approximately 80 % of the observation days. These events typically started in the morning, with aerosol particle sizes
59 rapidly growing from approximately 5 nm to 150 nm, exhibiting the characteristic "banana-shape" curve. Intensive
60 measurements at Nam Co station (4730 m a.s.l.) in the central TP (Tang et al., 2023) explored NPF frequency and
61 mechanisms, revealing significant seasonal differences: approximately 15 % in the pre-monsoon season but up to 80 %
62 during the summer monsoon. Comprehensive analysis of the CS, gaseous precursors, and meteorological factors, combined
63 with SO₂ and Volatile Organic Compounds (VOCs) simulations, concluded that organics-involved condensation is the
64 dominant NPF mechanism, with CS and gaseous sulfuric acid having negligible influence. Furthermore, the high NPF
65 frequency during the summer monsoon season may be closely linked to frequent southerly and south-westerly airflow.

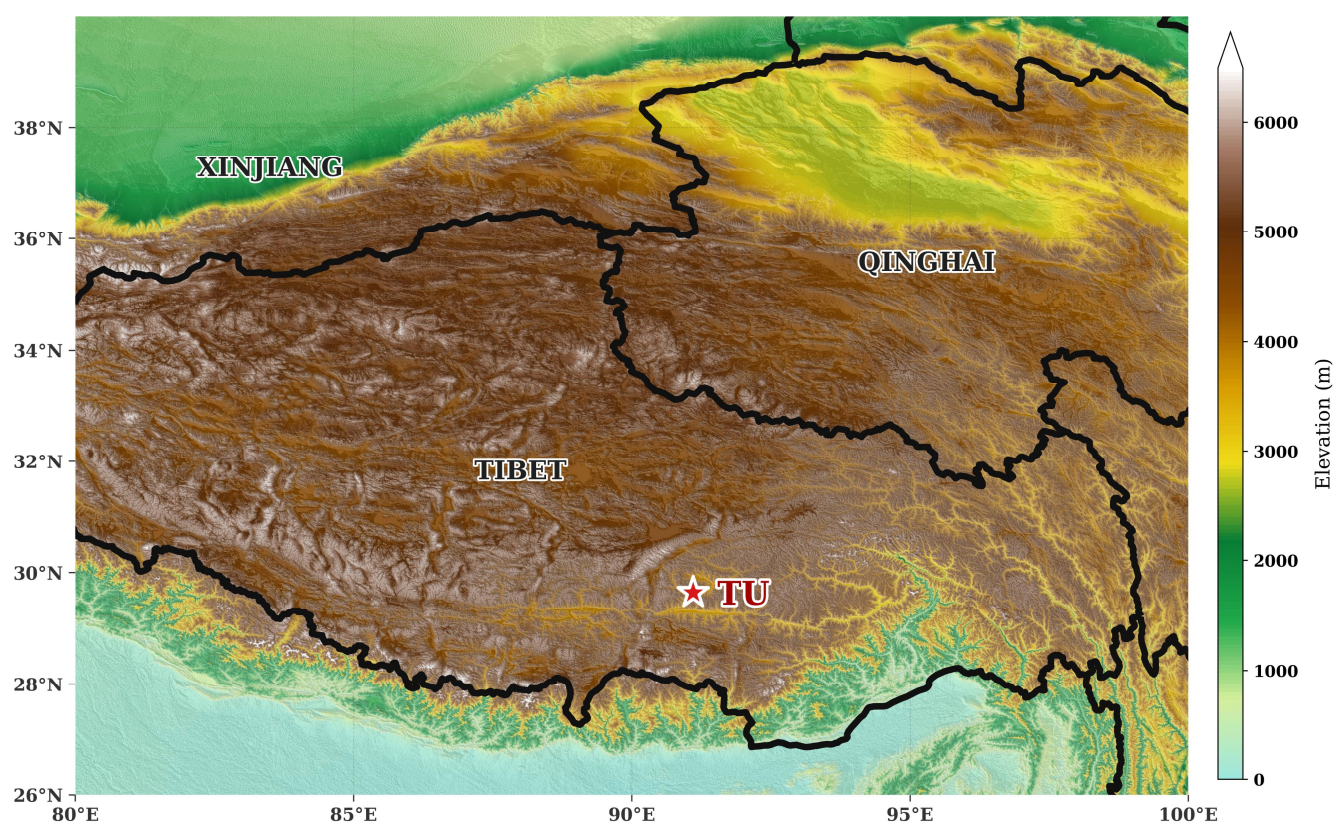
66 Focusing on the south-eastern TP (Lai et al., 2024), research investigated NPF jointly driven by anthropogenic and biogenic
67 factors. Using field measurements and a chemical transport model, they found frequent NPF events on clear-sky days during
68 the pre-monsoon season, contributing significantly to CCN concentration. Observations confirmed that Highly Oxygenated
69 Organic Molecules (HOMs) from monoterpene oxidation participate in the nucleation process in this region. Liu et al. (2024)
70 further showed that the frequent NPF at high-altitude sites in the south-eastern TP is mainly driven by HOMs, including
71 products from monoterpene, and unexpectedly, sesquiterpenes and diterpenes oxidation. Moreover, anthropogenic NO_x
72 emissions were found to play a crucial regulatory role in particle nucleation. Overall, NPF frequency is high in the TP
73 background atmosphere, and its formation is closely related to temperature, relative humidity, and chemical precursor
74 concentrations.

75 Aerosols generated by NPF in the TP background atmosphere can contribute up to half of the total atmospheric aerosol
76 number concentration and significantly enhance CCN concentration. A study at the world's highest station, Chacaltaya (Rose
77 et al., 2017), analysed 61 % of NPF events, finding they led to increased CCN number concentration, with the probability
78 reaching 79 % during the wet season due to faster particle growth. The NPF impact on CCN concentration varies seasonally,
79 causing CCN to increase by a factor of 1.54 and 1.36 in spring and winter, respectively. During the summer monsoon season,
80 at a supersaturation of 1.2 %, aerosol number concentration and CCN concentration surged by a factor of 2 and 0.6,
81 respectively, compared to the pre-monsoon season. Furthermore, considering that small particles formed during NPF may
82 continue to grow to CCN activation size over several days, the potential CCN number during the monsoon season may far
83 exceed instantaneous local measurements (Tang et al., 2023). Comparative observations by Shang et al. (2022) indicated that
84 the NPF contribution to total aerosol and CCN concentrations is more significant in the clean atmosphere than that of the
85 polluted atmosphere.

86 Despite the extensive work summarized above, our understanding of new-particle formation over the TP remains strongly
87 biased toward remote background sites. The urban TP atmosphere—characterised by markedly stronger primary emissions
88 and elevated concentrations of both SO_2 and NO_x —has, so far, received no systematic exploration of its NPF characteristics,
89 governing mechanisms, or quantitative contribution to CCN. This knowledge gap hampers the accurate representation of
90 aerosol sources in regional climate models and precludes a full assessment of the role of urban NPF over the TP in
91 continental CCN budgets. To fill this gap, we carried out seasonal-scale particle number size distribution (PNSD)
92 measurements in Lhasa (3650 m a.s.l.), a high-altitude urban environment on the Tibetan Plateau, during the spring and
93 autumn observation periods. The specific aims are (i) to establish an event classification and to quantify the frequency and
94 intensity of urban NPF, (ii) to elucidate the seasonal differences in precursor–meteorology interactions driving NPF, and (iii)
95 to evaluate the instantaneous and seasonal enhancement of CCN concentrations attributable to newly formed particles at the
96 TP urban environment. The observational methodology is described in Section 2, results and discussions are presented in
97 Section 3, and conclusions together with implications for aerosol–climate interactions are summarized in the last Section.

99 **2.1 Sampling sites**

100 As shown in Fig. 1, the study site is located at Tibet University (TU, 29 °38 ' N, 91 °10 ' E; 3650 m a.s.l.) in Lhasa,
 101 which lies in the south-central TP. Lhasa serves as the capital of the Tibet Autonomous Region and its political, economic,
 102 and cultural hub. As one of the largest urban centers on the TP, it is a typical high-altitude city where aerosols are
 103 predominantly driven by local sources, including traffic emissions, residential coal/biomass combustion, construction
 104 activities, and dust resuspension (Zhao et al., 2022). Traffic-related particle concentrations can exhibit pronounced spatial
 105 and temporal heterogeneity within complex urban environments, which may not be fully captured by fixed-site
 106 measurements (Yeganeh et al., 2026). This spatial heterogeneity should therefore be considered when interpreting the
 107 influence of local urban emissions at the TU site. The site is situated in a broad river valley surrounded by mountains with
 108 elevations up to 5500 m a.s.l. Its climate is strongly influenced by the Asian Summer Monsoon and the East Asian Winter
 109 Monsoon, with spring and autumn functioning as transitional seasons between these two climate systems (Li et al., 2025). In
 110 situ measurements were conducted during two periods: the autumn observation period (9 October–10 November 2024) and
 111 the spring observation period (25 March–22 April 2025).



113 **Figure 1: Regional topography and location of the Tibet University (TU) observation site in Lhasa, Tibet Autonomous Region,**
114 **China. Color shading denotes elevation above sea level (m), black lines indicate provincial-level administrative boundaries, and the**
115 **red star marks the TU observation site.**

116 2.2 Instrumentation

117 In this study, a comprehensive Scanning Mobility Particle Sizer (SMPS) system was employed to measure the PNSD from 3
118 to 800 nm with a 5-minute time resolution. This system consisted of two separate instruments from TSI Inc. A nanoparticle
119 SMPS (TSI, Model 3087) was used to measure particles in the size range of 3 to 40 nm, while a standard SMPS (TSI, Model
120 3080) measured particles from 20 to 800 nm. The two datasets were then merged to create a complete and continuous PNSD.
121 To ensure consistent and accurate measurements, the instruments were housed in a temperature-controlled container
122 maintained at 25 °C. This setup was located on the rooftop of a campus building, with the aerosol inlet positioned
123 approximately 20 meters above ground level. This elevated sampling height was chosen to minimize the influence of
124 localized ground-level emission sources like traffic exhaust and suspended road dust. Furthermore, a silicon diffusion dryer
125 was placed upstream of the SMPSs to reduce the relative humidity of the sampled air to below 40 %, preventing particle
126 growth from water vapour condensation. Ambient aerosols were sampled through a short, conductive tube with minimal
127 bends to reduce size-dependent diffusion losses. All SMPS data underwent rigorous corrections for these diffusion losses
128 and for multiple charging effects to ensure the reliability of the measured particle number concentrations. We also utilized
129 meteorological data from an on-site automatic weather station, which provided essential parameters including temperature
130 (T), relative humidity (RH), wind speed (WS), wind direction (WD), Sulfur Dioxide (SO₂), Nitrogen Dioxide (NO₂), and
131 Ozone (O₃) for a comprehensive analysis of the atmospheric conditions. Because gaseous H₂SO₄ was not directly measured,
132 a relative H₂SO₄-related photochemical proxy was additionally calculated for periods with available spectral measurements
133 during the autumn observation period; the calculation procedure and associated limitations are described in Sect. S1.

134 2.3 Calculation of variables characterizing new particle formation

135 The Condensation Sink (CS, unit: s⁻¹) is utilized in this study as a core parameter to quantify the regulating effect of pre-
136 existing aerosols on condensable vapors (Kerminen et al., 2018; Wu et al., 2021). Its calculation is based on the kinetic
137 theory of dilute gases and assumes sulfuric acid (H₂SO₄) as the characteristic condensable substance (Dal Maso, 2005). The
138 calculation process utilizes measured PNSD data and incorporates the influence of ambient temperature (T) and pressure (P).
139 First, the diffusion coefficient of sulfuric acid vapor in the air ($D_{x, \text{air}}$) is calculated using an empirical formula based on T and
140 P. Subsequently, the mean free path of the condensable vapor (λ) is calculated using the molar mass of sulfuric acid ($M_x =$
141 98.08 g/mol). Based on λ and the size-resolved particle radius (R_i), the Knudsen number ($Kn_i = \lambda/R_i$) is determined. To
142 correct the condensation rate from the continuous regime to the transition and free molecular regimes, the transition regime
143 correction factor (β) is introduced (Dinoi et al., 2021):

$$\beta = \frac{Kn+1}{0.377Kn+1+\frac{4}{3\alpha}(Kn^2+Kn)} \quad (1)$$

Here, the sticking coefficient (α) is set to 1.0. Finally, the size-resolved condensation sink (CSi) is determined by $4\pi \cdot D_{x,air} \cdot R_i \cdot \beta_i \cdot n_i$, and the total CS value is quantitatively calculated by numerically integrating CSi over all particle size ranges.

$$CS = 2\pi D \int_0^\infty d_p \beta_m(d_p) \times n(d_p) dd_p = 2\pi D \sum_i \beta_i d_{pi} N_i \quad (2)$$

The Coagulation Sink (CoagS, unit: s^{-1}) is another key parameter in atmospheric aerosol dynamics, quantifying the efficiency of pre-existing particles (scavenger particles) in removing specific size-range target particles from the system via the coagulation mechanism (Kulmala et al., 2001). Similar to CS, CoagS is calculated through PNSD integration.

$$CoagS_{d_p} = \int K(d_p, d_{p'}) n(d_{p'}) dd_{p'} \cong \sum_{d_{p'}=d_p}^{d_{p'}=\max} K(d_p, d_{p'}) N_{d_{p'}} \quad (3)$$

GR, defined as the rate at which the geometric mean diameter of nucleation mode particles increases from dp_1 to dp_2 , was calculated using the procedure outlined by (Kulmala et al., 2012).

$$GR = \frac{\Delta d_p}{\Delta t} = \frac{d_{p2} - d_{p1}}{t_2 - t_1} \quad (4)$$

To quantify the intensity of NPF events, we calculated the particle formation rate J (i.e., the rate of aerosol particle formation at a specific size) following the method described by (Baalbaki et al., 2021), which is derived from rearranging the equation governing the time evolution of particle number concentration (Kulmala et al., 2012). Specifically, J was computed for two size bins (dp): 3 nm (J_3), and 7 nm (J_7), with the upper size limits of the corresponding bins set to 7 nm and 20 nm, respectively. The growth rate term in the equation (Eq. 5) was defined as the average of GR measurements for total particles; this GR value was assumed constant during NPF events and set to zero outside the event duration or in non-event periods. The formation rate J_{dp} is expressed as:

$$J_{d_p} = \frac{dN_{d_p}}{dt} + CoagS_{d_p} \cdot N_{d_p} + \frac{GR}{\Delta d_p} \cdot N_{d_p} \quad (5)$$

CCN concentration is quantitatively calculated based on κ -Köhler theory (Petters and Kreidenweis, 2007). This method aims to determine the number of particles that can be activated at a specific supersaturation (S).

$$A = \frac{4\sigma_{s/a} M_w}{RT\rho_w} \quad (6)$$

$$\kappa = \frac{4A^3}{27D_c^3 \ln^2 S_c} \quad (7)$$

In Eqs. (6) and (7), D_c is the critical dry particle diameter for CCN activation, S_c is the critical saturation ratio, and A is the Kelvin term. The parameter κ represents the influence of particle chemical composition on hygroscopicity and solution

170 water activity. $\sigma_{s/a}$ is the surface tension of water against air. M_w and ρ_w are the molar mass and density of water,
171 respectively. R is the universal gas constant, and T is the absolute temperature (K). In this study, κ and S were set to 0.12
172 and 1.2 %, respectively.

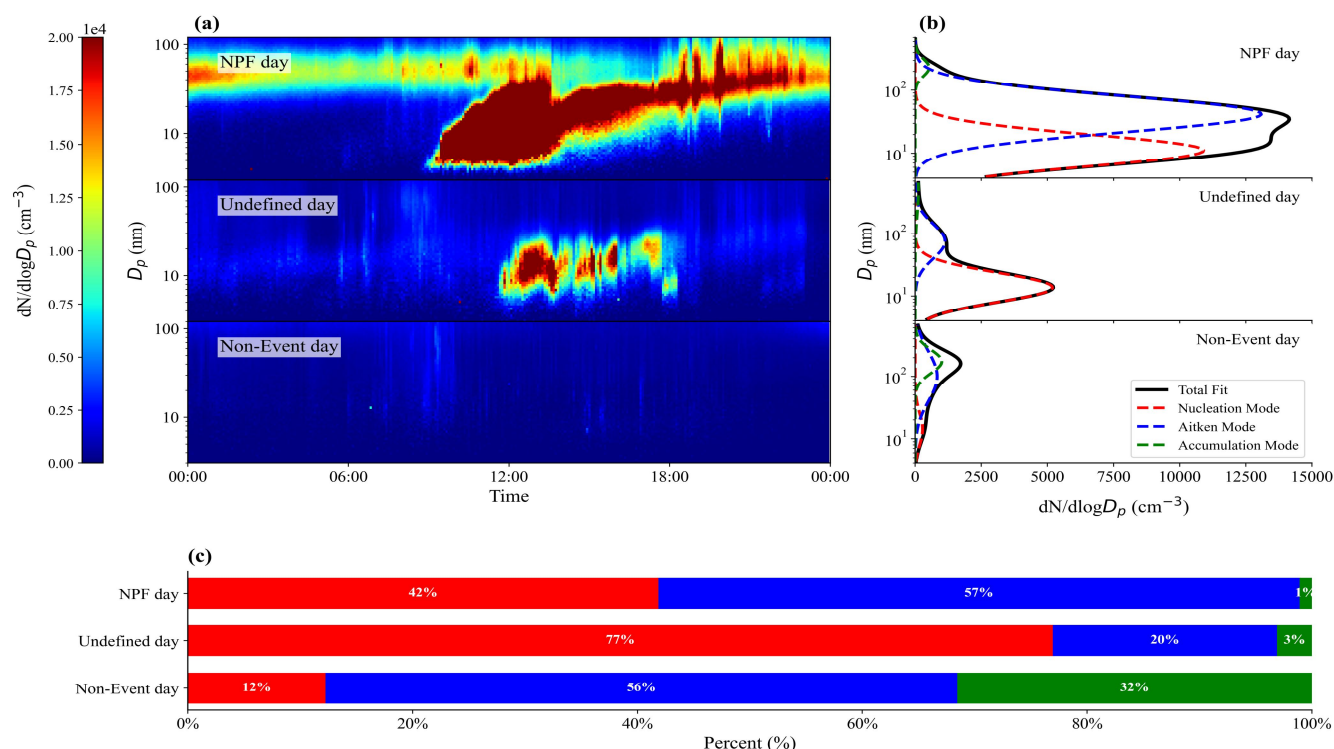
173 2.4 NPF Event Classification

174 In this study, atmospheric particles were divided into three diameter modes: the nucleation mode (< 25 nm), the Aitken
175 Mode (25–100 nm), and the accumulation mode (> 100 nm) (Wang et al., 2022; Hussein et al., 2020; Kalkavouras et al.,
176 2021; Shen et al., 2022). The classification followed the methodology proposed by Dal Maso et al. (2005), which has been
177 widely applied in previous NPF studies (Gao et al., 2025; Cramer et al., 2026; Lampilahti et al., 2025; Casans et al., 2025).
178 Based on visual inspection of the daily PNSD evolution, the observation days were classified as NPF days, Undefined days,
179 or Non-Event days. The classification was further supported by size-segregated particle number concentrations and
180 candidate particle growth rates.

181 NPF days were characterized by the appearance of a distinct new particle mode at small diameters followed by identifiable
182 growth toward larger sizes. Continuous growth throughout the entire day was not required because temporary interruptions
183 may result from changes in air masses, boundary-layer development, precursor availability, or missing measurements. All
184 remaining days were treated as non-NPF days and further divided into Undefined and Non-Event days. Undefined days
185 showed increases in small-particle concentrations or partial modal evolution, but the formation and growth patterns were too
186 weak, discontinuous, or ambiguous to be confidently classified as NPF. Non-Event days showed neither the distinct
187 appearance of a new particle mode nor its subsequent systematic growth. To improve the transparency of the classification,
188 the mean N_{3-25} and N_{25-100} concentrations during 09:00-18:00 local time and candidate GR_{7-20} values derived from continuous
189 growth trajectories within 07:00-18:00 were included as supporting metrics. These metrics were used to support the day-by-
190 day classification rather than as fixed classification thresholds. The revised classification, event timing, particle number
191 characteristics, and candidate GR_{7-20} values for individual observation days are provided in Table S1. Daily PNSD plots for
192 NPF, Undefined, and Non-Event days are presented in Figs. S1-S3. The former Class I and Class II categories were merged
193 into the NPF-day category for all main statistical analyses, while the original classification is retained in Table S1 only for
194 comparison and traceability.

195 Figure 2 presents representative dates for the NPF, Undefined, and Non-Event categories. Figure 2a shows their diurnal
196 PNSD evolution. On the NPF day, the number concentration of small particles began to increase at approximately 09:00
197 local time, followed by an identifiable shift of the particle mode from the nucleation mode toward the Aitken mode, forming
198 the characteristic “banana-shaped” pattern. On the Undefined day, the modal evolution was relatively weak, discontinuous,
199 or ambiguous. On the Non-Event day, no distinct formation of a new particle mode followed by systematic growth was
200 observed. Figure 2b shows the corresponding mean PNSD and modal fitting results for the nucleation mode, Aitken mode,
201 and accumulation mode. The NPF day was characterized by substantial contributions from both the nucleation mode and

202 Aitken mode, consistent with the formation and subsequent growth of newly formed particles. The Undefined day was
 203 dominated by particles in the nucleation mode but showed relatively limited development toward larger sizes, whereas the
 204 Non-Event day exhibited greater relative contributions from pre-existing particles in the Aitken mode and accumulation
 205 mode. Figure 2c shows the relative contributions of the three particle modes. On the NPF day, particles in the nucleation
 206 mode, Aitken mode, and accumulation mode contributed 42 %, 57 %, and 1 % of the total particle number concentration,
 207 respectively, consistent with the growth of newly formed particles toward the Aitken mode. On the Undefined day, the
 208 corresponding contributions were 77 %, 20 %, and 3 %, respectively, indicating that particles in the nucleation mode
 209 dominated but their subsequent growth remained limited or ambiguous. On the Non-Event day, the corresponding
 210 contributions were 12 %, 56 %, and 32 %, respectively, reflecting greater relative contributions from pre-existing particles in
 211 the Aitken mode and accumulation mode.



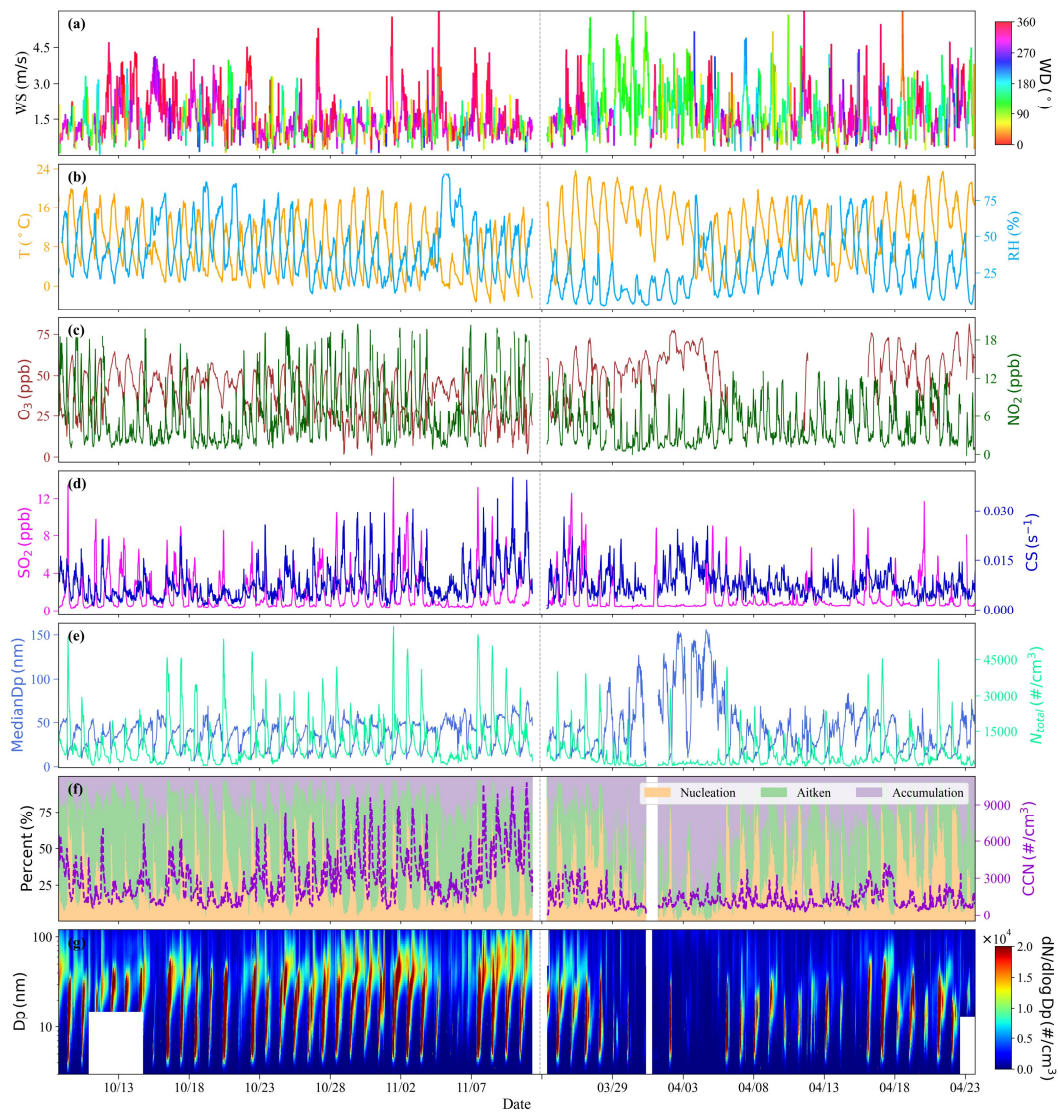
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 213 **Figure 2: (a) Particle number size distribution evolution, (b) averaged modal fitting, and (c) relative number contributions for**
 214 **three day categories.**

215 3 Results and discussion

216 3.1 Overview of the field observations

217 Meteorological conditions and gaseous pollutants exhibited strong short-term fluctuations and clear diurnal variability during
218 both measurement periods. As shown in Fig. 3a–b, WS, WD and RH varied substantially on synoptic and diurnal timescales.
219 The autumn observation period was characterized by higher RH (~~41.62~~ $\pm$ ~~17.788~~ %; median: 38.59 %) than the spring
220 observation period (~~27.4658~~ $\pm$ ~~18.232~~ %; median: 23.0 %). Wind speeds were comparable between the two periods
221 (arithmetic mean $\pm$ standard deviation: 1.6 ± 0.9 and 1.9 ± 1.1 m s⁻¹; median: 1.4 and 1.7 m s⁻¹ for the autumn and spring
222 observation periods, respectively), indicating predominantly low-to-moderate ventilation conditions that may facilitate
223 episodic accumulation of precursors and aerosols. Figure 3c–d presents the temporal evolution of SO₂, CS, O₃, and NO₂. O₃
224 was generally higher during the spring observation period (~~52.475~~ $\pm$ ~~14.111~~ ppb; median: 55.1 ppb) than during the autumn
225 observation period (~~37.8798~~ $\pm$ ~~12.7583~~ ppb; median: 38.79 ppb), suggesting a more oxidizing background in the spring
226 observation period. In contrast, SO₂ and NO₂ showed intermittent spikes in both observation periods, implying episodic
227 impacts from transport and/or local emissions. Notably, the CS remained similar across observation periods, with values of
228 $(7.9 \pm 5.3) \times 10^{-3}$ s⁻¹ (median: 6.5×10^{-3} s⁻¹) in the autumn observation period and $(8.1 \pm 3.8) \times 10^{-3}$ s⁻¹ (median:
229 7.2×10^{-3} s⁻¹) in the spring observation period, indicating that the observation-period difference in NPF occurrence is
230 unlikely driven solely by differences in the pre-existing particle sink. ~~Notably, the CS remained similar across observation~~
231 ~~periods (0.0079 ± 0.0053 s⁻¹ in the autumn observation period and 0.0081 ± 0.0038 s⁻¹ in the spring observation period),~~
232 ~~indicating that the observation-period difference in NPF occurrence is unlikely driven solely by differences in the pre-~~
233 ~~existing particle sink.~~
234 NPF events were frequently observed at the TU site and were associated with pronounced differences between the two
235 observation periods in PNSD and estimated CCN concentrations. In total, 43 NPF events, 6 Undefined days, and 9 Non-
236 Event days were identified during the 58 measurement days. The autumn observation period included 25 NPF days, 1
237 Undefined day, and 3 Non-Event days, whereas the spring observation period included 18 NPF days, 5 Undefined days, and
238 6 Non-Event days. Based on Fig. 3g, NPF occurrence displayed a marked observation-period contrast, with NPF observed
239 on 86.2 % of the measurement days during the autumn observation period but only on 62.1 % during the spring observation
240 period. Fig. 3e shows that the total particle number concentration (N_{3-700}) was substantially higher during the autumn
241 observation period, with an arithmetic mean $\pm$ standard deviation of $(10.5 \pm 9.8) \times 10^3$ cm⁻³ and a median of 7.1×10^3
242 cm⁻³, than during the spring observation period, when the corresponding values were $(5.3 \pm 6.8) \times 10^3$ and 2.9×10^3 cm
243 cm⁻³, respectively. The median particle diameter (median D_p) was smaller during the autumn observation period, with an
244 arithmetic mean $\pm$ standard deviation of 37 ± 14 nm and an observation-period median of 39 nm, than during the spring
245 observation period, when the corresponding values were 45 ± 34 and 38 nm, respectively. This difference indicates a
246 stronger contribution from smaller particles during the autumn observation period. Figure 3f further presents the estimated
247 CCN concentrations and the fractional contributions from different modes. Estimated CCN concentrations were higher

248 during the autumn observation period, with an arithmetic mean $\pm$ standard deviation of $(2.8 \pm 1.7) \times 10^3 \text{ cm}^{-3}$ and a
 249 median of $2.4 \times 10^3 \text{ cm}^{-3}$. These higher concentrations were accompanied by more frequent and persistent enhancements in
 250 the nucleation- and Aitken-mode fractions and episodic reductions in the accumulation-mode fraction, suggesting a shift of
 251 the particle population toward the small-to-intermediate size range. In contrast, the spring observation period showed a lower
 252 arithmetic mean $\pm$ standard deviation estimated CCN concentration of $(1.2 \pm 0.6) \times 10^3 \text{ cm}^{-3}$ and a median of $0.96 \times$
 253 10^3 cm^{-3} , together with weaker and less persistent increases in the nucleation- and Aitken-mode fractions and a more
 254 dominant background contribution from the accumulation mode. Consistent with this contrast, Fig. 3e shows that the autumn
 255 observation period exhibited a substantially higher total particle number concentration ($N_{3-700} = 10541 \pm 9771 \text{ cm}^{-3}$) than the
 256 spring observation period ($5335 \pm 6886 \text{ cm}^{-3}$), while the median particle diameter (median Dp) was smaller in the autumn
 257 observation period ($36.55 \pm 13.99 \text{ nm}$) than in the spring observation period ($45.38 \pm 33.60 \text{ nm}$), indicating a stronger
 258 contribution from smaller particles during the autumn observation period. Figure 3f further presents the estimated CCN
 259 concentrations and the fractional contributions from different modes. CCN levels were higher during the autumn observation
 260 period ($2812.99 \pm 1665.10 \text{ cm}^{-3}$), accompanied by more frequent and more persistent enhancements in the nucleation- and
 261 Aitken-mode fractions and episodic reductions in the accumulation-mode fraction, suggesting a shift of the particle
 262 population toward the small-to-intermediate size range. In contrast, the spring observation period showed lower CCN
 263 ($1152.05 \pm 608.91 \text{ cm}^{-3}$), weaker and less persistent increases in the nucleation- and Aitken-mode fractions, and a more
 264 dominant background contribution from the accumulation mode. Together, these observations highlight strong observation-
 265 period differences of particle sources and CCN-relevant size structure at the TU site and provide context for the process-
 266 based analysis in the following sections.



267

268 **Figure 3: Time series of (a) wind speed and wind direction, (b) ambient temperature and relative humidity, (c) O₃ concentration**
 269 **and NO₂ concentration, (d) SO₂ concentration and Condensation Sink, (e) median particle diameter and particle number**
 270 **concentration in the size range of 3–700 nm, (f) CCN concentration and percentage contributions of the nucleation mode, Aitken**
 271 **mode, and accumulation mode, (g) PNSD during the spring and autumn observation periods.**

272 3.2 PNSD and number concentration responses

273 3.2.1 Mean PNSD and modal contributions

274 The mean PNSD and modal contributions reveal clear differences in the campaign-mean particle size structure and surface-
 275 area/volume partitioning between the autumn and spring observation periods. Figure 4a shows that the mean PNSD during
 276 the two observation periods at the TU site was typically multimodal, reflecting the combined influences of secondary particle

production, particle growth, and the pre-existing aerosol population. The total particle number concentration differed markedly between the two observation periods. The arithmetic mean $\pm$ standard deviation of N_{3-700} was $(10.5 \pm 9.8) \times 10^3 \text{ cm}^{-3}$ during the autumn observation period and $(5.3 \pm 6.8) \times 10^3 \text{ cm}^{-3}$ during the spring observation period, with the former being approximately 2.0 times higher than the latter (Fig. 4a). The total number concentration differed markedly between the two observation periods: the mean N_{3-700} during the autumn observation period ($10541 \pm 9771 \text{ \# cm}^{-3}$) was 1.98 times higher than that during the spring observation period ($5335 \pm 6886 \text{ \# cm}^{-3}$) (Fig. 4a). In terms of modal partitioning, Fig. 4b indicates that while the Aitken mode dominates number concentration in both periods, the surface area and volume are strongly controlled by the accumulation mode in the spring observation period, accounting for 85 % (surface area) and 96 % (volume). In contrast, during the autumn observation period the Aitken mode contributes substantially more to surface area (42 %) and volume (25 %) than in the spring observation period (14 % and 4 %, respectively), consistent with a particle population shifted toward smaller-to-intermediate sizes. These differences between the two observation periods provide a baseline for interpreting event-day diurnal changes and their implications for CCN-relevant size fractions.

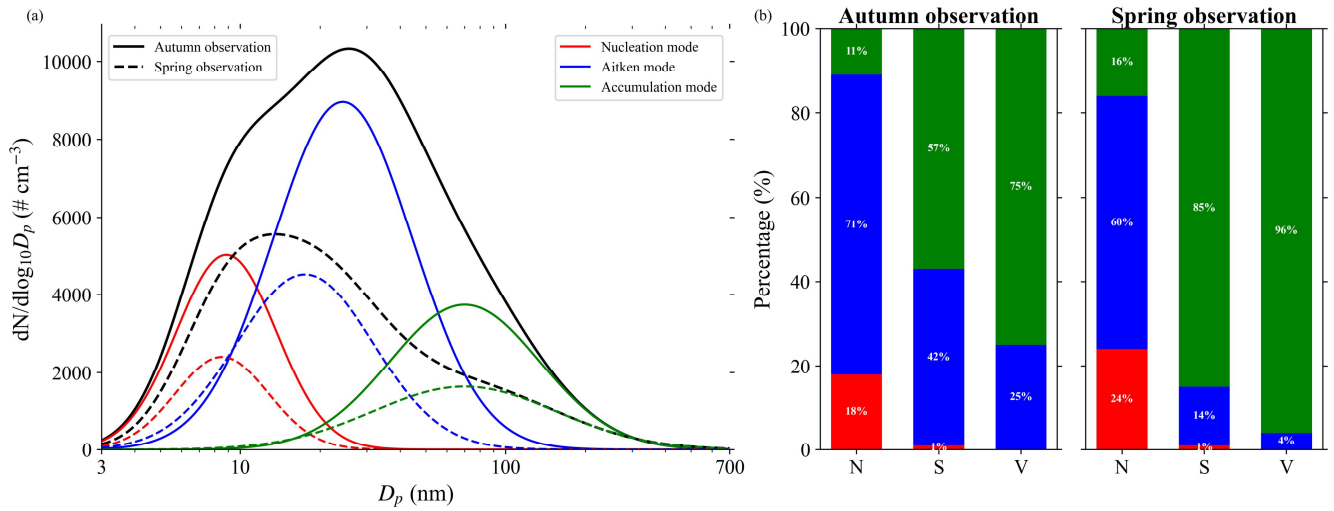
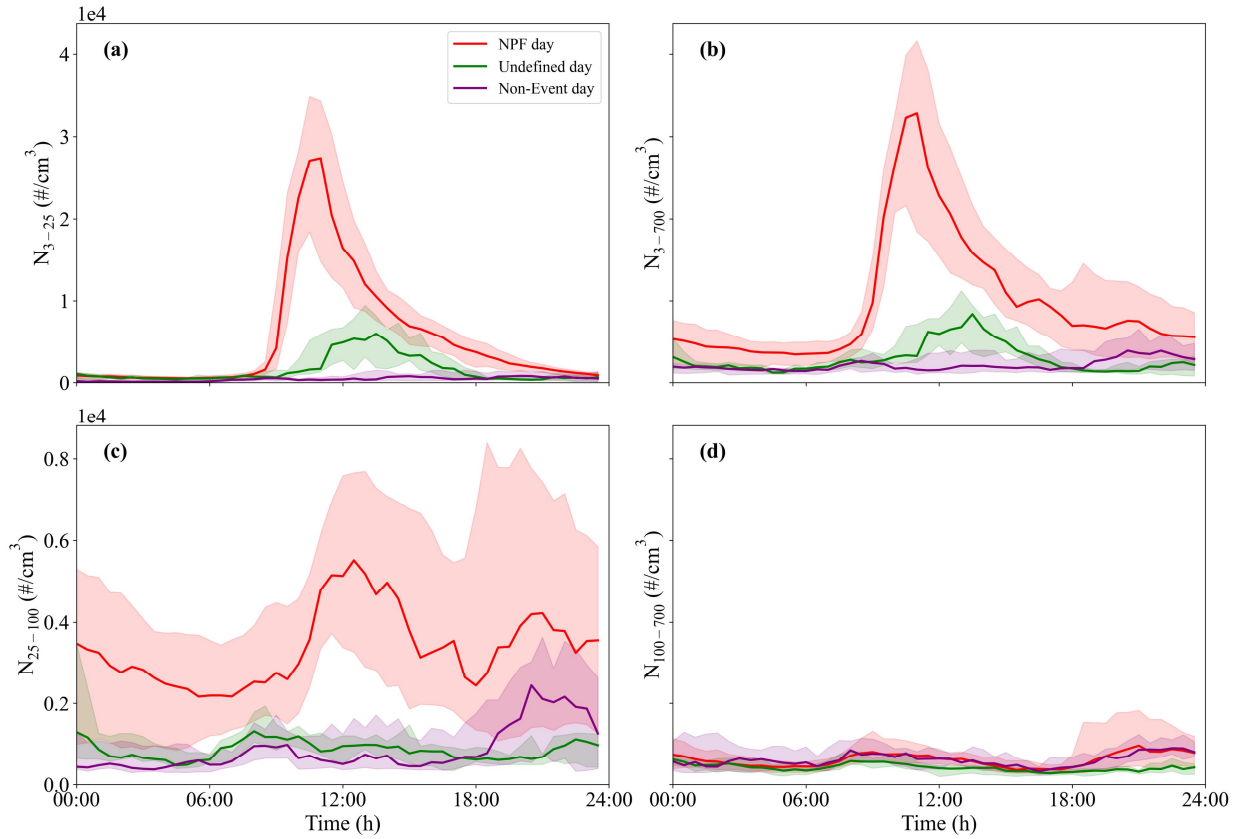


Figure 4: (a) Particle number size distribution characteristics and (b) modal contributions to number (N), surface area (S), and volume (V) distributions during the spring and autumn observation periods.

3.2.2 Diurnal variations of size-segregated number concentrations

The diurnal profiles of size-segregated particle number concentrations differed clearly among NPF, Undefined, and Non-Event days. Figure 5 summarizes diurnal profiles of particle number concentrations for the nucleation mode (N_{3-25}), Aitken mode (N_{25-100}), accumulation mode ($N_{100-700}$), and total measured size range (N_{3-700}) across the three day categories. On NPF days, N_{3-25} increased rapidly during the morning, reached a pronounced maximum at approximately 11:00–12:00 local time, and subsequently declined (Fig. 5a). Undefined days exhibited a weaker and broader increase from late morning to afternoon, whereas Non-Event days maintained substantially lower N_{3-25} concentrations without a preceding N_{3-25} peak. N_{3-700} showed

299 a similar pattern, with the highest and most pronounced daytime maximum occurring on NPF days (Fig. 5b). N_{25-100} on NPF
 300 days exhibited a broad daytime enhancement from approximately 11:00 to 15:00, followed by a secondary increase in the
 301 evening (Fig. 5c). The daytime enhancement is consistent with the growth of newly formed particles into the Aitken mode,
 302 whereas the evening increase may also be influenced by local urban emissions. Undefined days showed relatively weak
 303 variations in N_{25-100} , while Non-Event days exhibited a more evident evening increase without a preceding increase in the
 304 nucleation mode. Differences in $N_{100-700}$ among the three categories were relatively small, although modest morning and
 305 evening enhancements were observed (Fig. 5d). These diurnal statistics indicate that the impact of NPF on the size
 306 distribution depends not only on daytime particle production, but also on the persistence of growth into the Aitken mode.



307
 308 **Figure 5: Diurnal variation of aerosol number concentrations ($\# \text{ cm}^{-3}$) at the size ranges of (a) 3–25 nm, (b) 3–700 nm, (c) 25–100**
 309 **nm, and (d) 100–700 nm, on NPF, Undefined, and Non-Event days. Shaded areas represent the interquartile range (25th to 75th**
 310 **percentiles).**

311 3.3 Factors controlling the formation and development of NPF events

312 3.3.1 Formation and growth characteristics

313 Figure 6 summarizes the diurnal variations in particle formation rates at 3 nm (J_3) and 7 nm (J_7) for the three day categories.
 314 On NPF days, both formation rates increased rapidly during the morning and reached pronounced maxima at approximately

09:00–11:00, followed by a gradual decline. Undefined days exhibited weaker and less persistent daytime enhancements, whereas Non-Event days generally showed the lowest formation-rate signals and lacked a distinct morning peak. These contrasting diurnal patterns support the occurrence of active daytime particle formation on NPF days.

During 08:00–16:59, the mean J_3 and J_7 on NPF days were $1.249 \pm 2.00 \text{ cm}^{-3} \text{ s}^{-1}$ and $2.247 \pm 3.263 \text{ cm}^{-3} \text{ s}^{-1}$, respectively, with corresponding median values of 0.44 and $1.12 \text{ cm}^{-3} \text{ s}^{-1}$. The observed J_3 was of the same order of magnitude as the formation rates reported at Nam Co ($J_4 = 1.152 \pm 0.586 \text{ cm}^{-3} \text{ s}^{-1}$) (Tang et al., 2023) and the Mt. Yulong station ($J_3: 1.182 \text{ cm}^{-3} \text{ s}^{-1}$) (Shang et al., 2018). The arithmetic mean $\pm$ standard deviation values of GR_{3-7} and GR_{7-20} were 3.7 ± 1.1 and $2.0 \pm 1.7 \text{ nm h}^{-1}$, respectively, with corresponding median values of 3.6 and 1.3 nm h^{-1} . The mean GR_{3-7} was $3.73 \pm 1.12 \text{ nm h}^{-1}$, whereas the mean GR_{7-20} was $2.03 \pm 1.72 \text{ nm h}^{-1}$. These growth rates were broadly comparable to values at Nam Co ($GR_{4-25}: 4.0 \pm 1.2 \text{ nm h}^{-1}$) and Mt. Yulong (3.2 nm h^{-1}), but higher than the value reported at the NCO-P station ($1.182 \pm 0.7 \text{ nm h}^{-1}$) (Venzac et al., 2008). In summary, the J and GR values at TU are well within the ranges reported for global high-altitude environments, indicating that the site experiences characteristic high-altitude NPF with strong daytime formation and sufficiently rapid growth to promote downstream enhancement of Aitken-mode number concentrations.

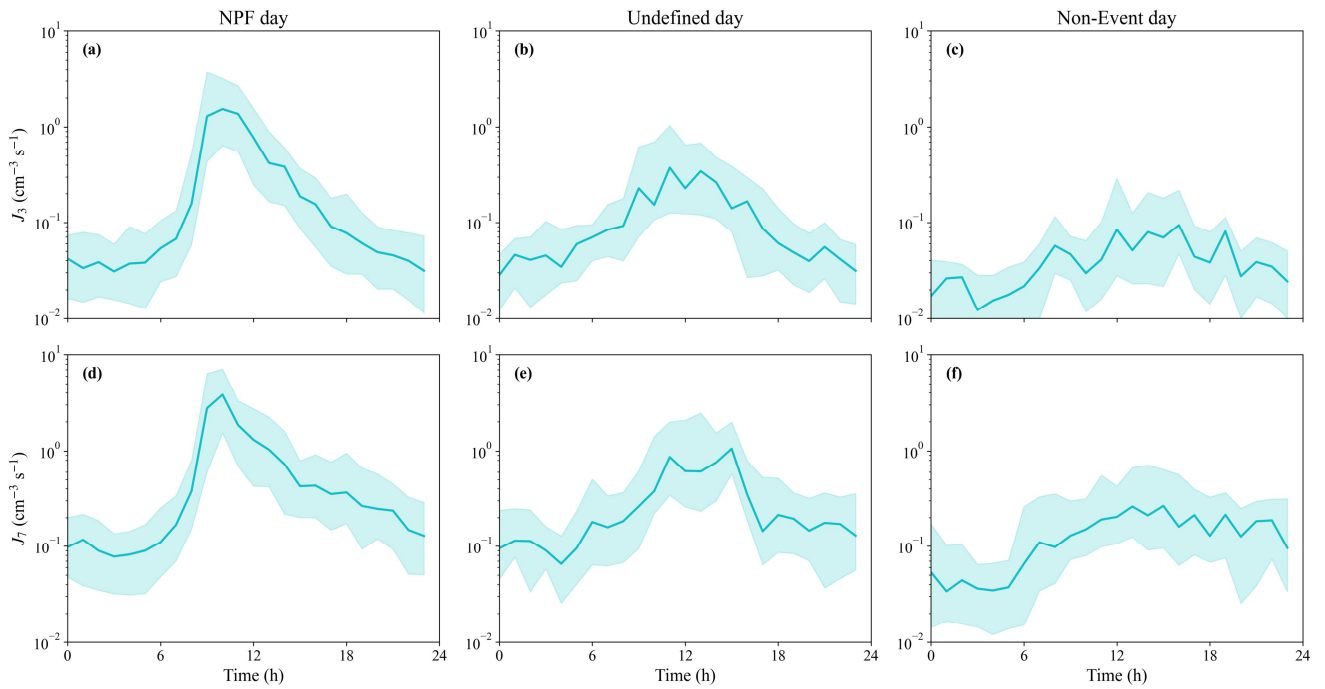


Figure 6: Diurnal variations of the formation rates J_3 during (a) NPF day, (b) Undefined day, and (c) Non-Event day periods. The corresponding diurnal variations of the formation rate J_7 are shown in panels (d), (e) and (f). The shaded areas represent the 25th and 75th percentile boundaries, and the solid lines denote the median values.

333 3.3.2 Condensation sink and its role

334 CS represents the ability of the pre-existing aerosol population to remove condensable vapors via condensation, while CoagS
335 describes the loss of freshly formed clusters and ultrafine particles through collision and coalescence with larger background
336 particles (Victor et al., 2024). Figure 7 shows the diurnal variations of CS and CoagS for different day types and observation
337 periods. Both sink terms exhibit clear diurnal structure and substantial variability, indicating that the removal capacity for
338 vapors and nascent particles can change markedly over the course of a day and between different regimes.

339 Consistent with many studies reporting that NPF tends to be favored under relatively low CS (Cai et al., 2017; Saha et al.,
340 2018; Kalivitis et al., 2019; Kalkavouras et al., 2020; Aktypis et al., 2023), the TU site nevertheless shows that NPF can
341 occur even when CS is not minimal. The mean CS levels ($\pm 1\sigma$) were $(6.9 \pm 4.5) \times 10^{-3} 0.0069 \pm 0.0045 \text{ s}^{-1}$ on NPF days,
342 $(5.1 \pm 4.3) \times 10^{-3} 10^{-3} 0.0051 \pm 0.0043 \text{ s}^{-1}$ on Undefined days, and $(6.4 \pm 4.4) \times 10^{-3} 0.0064 \pm 0.0044 \text{ s}^{-1}$ on Non-Event
343 days (Fig. 7a–c), with corresponding median values of 5.8×10^{-3} , 3.5×10^{-3} , and $5.0 \times 10^{-3} \text{ s}^{-1}$. Thus, CS on NPF
344 days was slightly higher than that on Non-Event days, and the NPF-day mean CS at TU is higher than values reported for
345 remote plateau background sites in China (Tang et al., 2023), yet lower than those reported for the major urban environment
346 of Beijing (Du et al., 2017), suggesting an intermediate sink environment characteristic of a high-altitude urban setting.

347 Moreover, the mean CS values were broadly similar between the autumn and spring observation periods, at $(6.9 \pm 4.1) \times$
348 10^{-3} and $(6.2 \pm 4.7) \times 10^{-3} \text{ s}^{-1}$, respectively, with corresponding median values of 5.9×10^{-3} and $4.5 \times 10^{-3} \text{ s}^{-1}$
349 Moreover, the mean CS values were broadly similar between the autumn and spring observation periods ($0.0069 \pm 0.0041 \text{ s}^{-1}$
350 and $0.0062 \pm 0.0047 \text{ s}^{-1}$) (Fig. 7d–e). Therefore, differences in the pre-existing particle sink were unlikely to be the primary
351 explanation for the contrast in NPF frequency between the two observation periods.

352 In contrast, CoagS shows a stronger separation among day types and observation periods. The mean CoagS values were
353 $(4.253 \pm 2.586) \times 10^{-5} \text{ s}^{-1}$ on NPF days, $(2.182 \pm 1.23) \times 10^{-5} \text{ s}^{-1}$ on Undefined days, and $(2.54 \pm 1.43) \times 10^{-5} \text{ s}^{-1}$
354 on Non-Event days, with corresponding median values of 3.6×10^{-5} , 1.9×10^{-5} , and $2.2 \times 10^{-5} \text{ s}^{-1}$, respectively (Fig. 7f–
355 h). The mean CoagS was also higher during the autumn observation period ($4.495 \pm 2.576) \times 10^{-5} \text{ s}^{-1}$ than during the
356 spring observation period ($2.898 \pm 1.972.0) \times 10^{-5} 10^{-5} \text{ s}^{-1}$, respectively, with corresponding median values of 3.9×10^{-5}
357 and $2.4 \times 10^{-5} \text{ s}^{-1}$, respectively (Fig. 7i–j). Because CoagS reflects the scavenging loss of newly formed clusters and
358 ultrafine particles, its elevated value during the autumn observation period indicates a stronger constraint on particle survival.

359 However, NPF occurred more frequently during this period, suggesting that particle-production processes were sufficiently
360 strong to offset the enhanced coagulation loss.

361 Taken together, these results suggest that the occurrence and development of NPF at TU are better interpreted as the
362 outcome of competition between particle-production and loss processes rather than as being controlled by the sink alone.

363 This interpretation is consistent with previous observations that NPF can be observed under moderate sinks when vapor
364 production is sufficiently strong (Zhang et al., 2021; Sellegri et al., 2019; Wang et al., 2022; Baalbaki et al., 2021). In this
365 framework, CoagS provides an additional constraint on whether newly formed clusters can survive long enough to grow into

larger sizes, while CS limits the availability of low-volatility vapors required for sustained growth; hence, event intensity and downstream impacts are expected to depend on the joint evolution of formation/growth rates and sinks over the diurnal cycle.

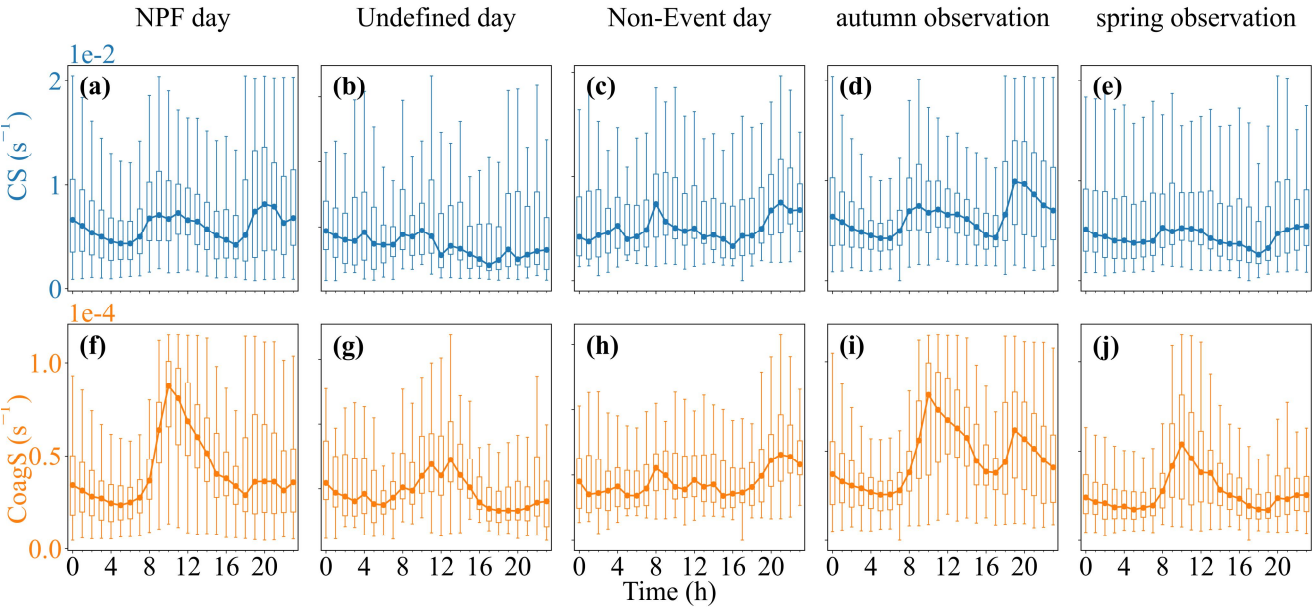


Figure 7: Diurnal variations of condensation sink and coagulation sink for different event classifications and observation periods.

3.3.3 Meteorology and precursor modulation, with transport context

Meteorological conditions during the study period exhibited clear diurnal variability, consistent with the dependence of NPF on atmospheric state and precursor availability reported in previous studies (Hakala et al., 2022; Kalkavouras et al., 2020; Kalkavouras et al., 2019; Victor et al., 2024; Singh et al., 2025). The site was predominantly under clear to partly cloudy conditions with sufficient solar radiation, occasionally interrupted by precipitation. Notably, an extended rainfall episode occurred from 5 to 6 November during the autumn observation period, during which no NPF events were identified. The absence of NPF during this episode may have been associated with wet scavenging and reduced photochemical production of condensable vapors under rainy and cloudy conditions.

~~To examine the associations of meteorological conditions and key gaseous precursors with NPF, we compared SO₂, O₃, and NO₂, together with temperature, RH, and wind speed, across day types and between the two observation periods. The mean temperature was higher in the spring observation period (11.384 ± 5.778 °C) than in the autumn observation period (7.778 ± 5.60 °C), whereas RH was substantially higher during the autumn observation period (42.020 ± 18.11 %) than during the spring observation period (28.576 ± 20.42 %). Wind speed was slightly higher during the spring observation period (2.465 ± 1.788 m s⁻¹) than during the autumn observation period ($1.972.0 \pm 1.32$ m s⁻¹), indicating comparatively weaker ventilation during the autumn observation period, which may have favored the episodic accumulation of gaseous precursors and particles.~~
To examine the associations of meteorological conditions and key gaseous precursors with NPF, we compared SO₂,

386 [O₃, and NO₂, together with temperature, RH, and wind speed, across day types and between the two observation periods. As](#)
 387 [described in Sect. 3.1, the spring observation period was warmer and slightly windier, whereas the autumn observation](#)
 388 [period was more humid. The comparatively weaker ventilation during the autumn observation period may have favored the](#)
 389 [episodic accumulation of gaseous precursors and particles.](#)
 390 [During the autumn observation period, SO₂ and NO₂ mixing ratios were higher on NPF days than on non-NPF days. The](#)
 391 [mean SO₂ mixing ratio was \$1.9 \pm 2.0\$ ppb on NPF days and \$0.7 \pm 0.7\$ ppb on non-NPF days, with corresponding median](#)
 392 [values of 0.9 and 0.5 ppb, respectively. The mean NO₂ mixing ratios were \$7.2 \pm 4.9\$ and \$4.6 \pm 3.9\$ ppb, with corresponding](#)
 393 [median values of 5.9 and 3.2 ppb, respectively. Wind-sector analysis further showed that elevated SO₂ and NO₂ mixing](#)
 394 [ratios occurred more frequently under southerly winds on NPF days, whereas generally lower values were observed in the](#)
 395 [corresponding sectors on non-NPF days \(Fig. 8\)](#)~~During the autumn observation period, NPF days exhibited substantially~~
 396 ~~higher SO₂ and NO₂ than non-NPF days: SO₂ averaged 1.92 ± 2.081 ppb on NPF days, clearly exceeding 0.73 ± 0.875 ppb~~
 397 ~~on non-NPF days, while NO₂ averaged 7.74 ± 5.697 ppb on NPF days compared with $4.384 \pm 2.993.0$ ppb on non-NPF days.~~
 398 ~~The sector plots further showed that elevated SO₂ and NO₂ occurred more frequently under southerly winds on NPF days,~~
 399 ~~whereas concentrations in the corresponding sectors were generally lower on non-NPF days (Fig. 8).~~ Because SO₂ oxidation
 400 by OH[•] produces H₂SO₄, and H₂SO₄ is widely recognized as a key nucleation precursor and critical for early particle growth,
 401 the higher SO₂ concentrations observed on NPF days suggest that sulfur-containing precursors may have played an
 402 important role in NPF during the autumn observation period (Olin et al., 2020; Yao et al., 2018; Kerminen et al., 2018).
 403 Although elevated NO₂ can alter atmospheric radical chemistry and potentially reduce OH availability under some
 404 conditions, the clearly elevated SO₂ observed on NPF days suggests that plentiful SO₂ increases the potential for H₂SO₄
 405 formation. This interpretation is further supported by Fig. S4, which shows that during the particle-formation period SO₂
 406 concentrations on NPF days are clearly higher than those on non-NPF days. Moreover, for periods with available spectral
 407 measurements, the relative H₂SO₄-related proxy was also higher on NPF days during the particle-formation period (Fig. S5),
 408 providing additional evidence for an association between photochemical H₂SO₄ production and autumn NPF. These results
 409 suggest that the increased availability of sulfur-containing precursors may have partly compensated for potentially
 410 unfavorable effects associated with elevated NO_x and supported NPF occurrence (Gao et al., 2025). Although the proxy is
 411 not a direct measurement of H₂SO₄, these results support an important contribution from sulfur-containing precursors to
 412 autumn NPF rather than demonstrating their exclusive control.

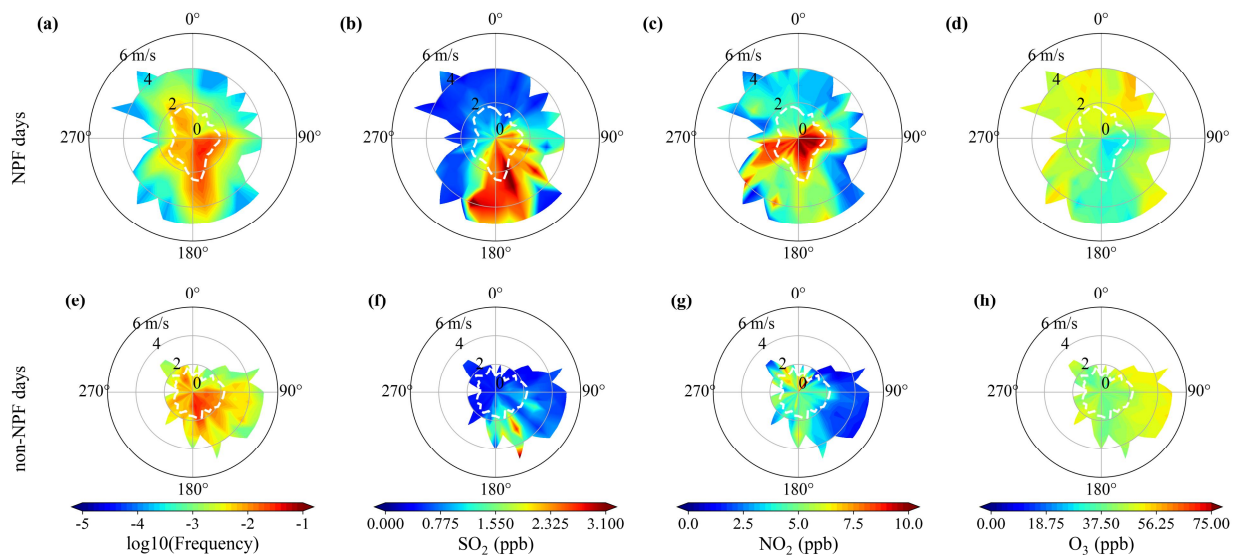
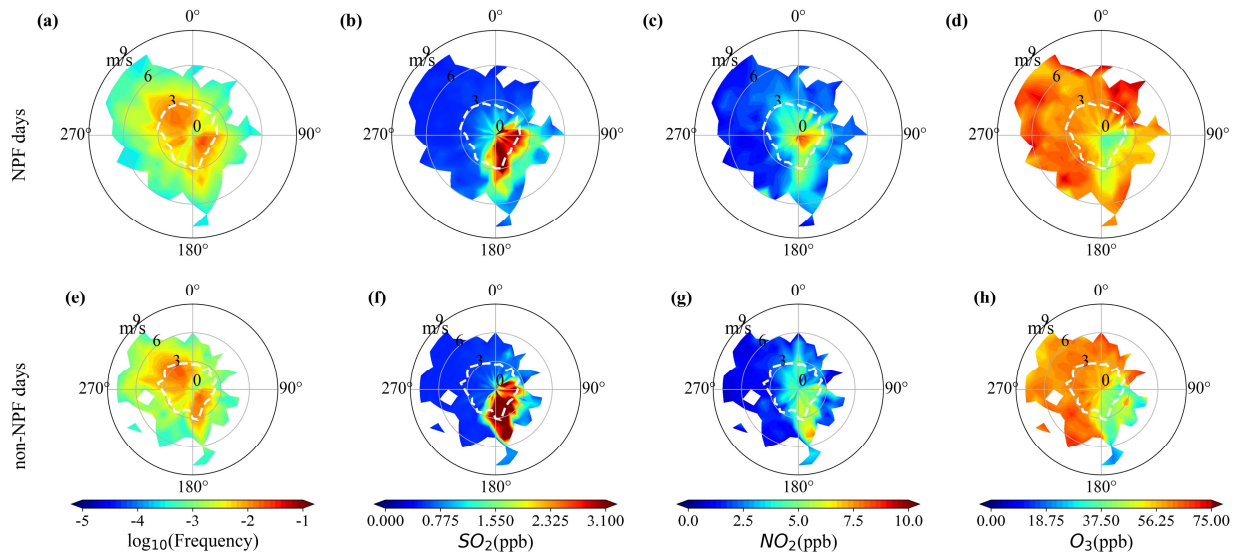


Figure 8: Wind-sector analysis comparing the distributions of (a) wind speed and wind direction frequency together with sector-averaged concentrations of (b) SO₂, (c) NO₂, and (d) O₃, for NPF days during the autumn observation period; panels (e), (f), (g), and (h) show the corresponding wind-sector frequency and gas concentrations for non-NPF days during the autumn observation period.

During the spring observation period, no single, well-defined factor controlling NPF occurrence was evident. As shown in Figs. 9 and S6, SO₂, NO₂, and O₃ exhibited differences between NPF and non-NPF days during certain hours and under specific wind conditions. However, no consistent separation between the two categories was observed across all gaseous species or throughout the particle-formation period. Wind speed and wind direction likewise did not show a distinctive pattern that consistently characterized NPF days. These results suggest that spring NPF was more likely associated with the combined effects of multiple processes, including precursor availability, atmospheric oxidation, and boundary-layer evolution, rather than with any single dominant factor (Wu et al., 2024). Given the relatively weak and inconsistent contrasts in the measured inorganic gaseous precursors, a contribution from VOC-related oxidation processes cannot be excluded, particularly for the subsequent growth of newly formed particles (Zhang et al., 2026; Yang et al., 2026). However, because direct observations of VOCs and their oxidation products are not available in this study, their specific role cannot be further constrained and still requires additional investigation.

Overall, the comparison between the two observation periods indicates differences in the factors associated with NPF, although the relatively short measurement periods preclude establishing a general seasonal pattern. During the autumn observation period, NPF was associated with higher SO₂ concentrations and an enhanced relative H₂SO₄-related proxy during the particle-formation period, suggesting an important contribution from sulfur-containing precursors rather than demonstrating a uniquely sulfur-precursor-driven regime. Elevated NO₂ may have modified atmospheric radical chemistry, but its net effect on NPF could not be determined from the available measurements. In contrast, during the spring

435 observation period, no single dominant factor was identified. Instead, precursor availability, atmospheric oxidation,
 436 boundary-layer evolution, and potentially VOC-related oxidation may have jointly affected particle formation and growth.
 437 Because H_2SO_4 , ammonia, amines, VOCs, and their oxidation products were not directly measured, their respective
 438 contributions could not be quantified, and the detailed molecular mechanisms during both observation periods remain
 439 uncertain.



440
 441 **Figure 9: Wind-sector analysis comparing the distributions of (a) wind speed and wind direction frequency together with sector-**
 442 **averaged concentrations of (b) SO_2 , (c) NO_2 , and (d) O_3 , for NPF days during the spring observation period; panels (e), (f), (g), and**
 443 **(h) show the corresponding wind-sector frequency and gas concentrations for non-NPF days during the spring observation period.**

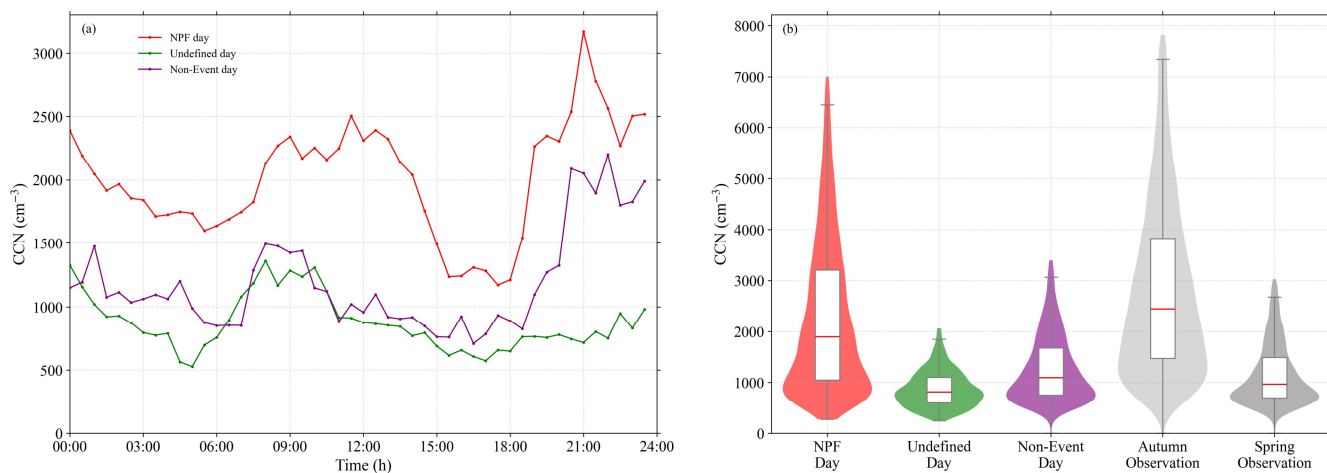
444 3.4 Modulation of CCN by NPF in urban air

445 To quantify the influence of NPF on CCN in the urban atmosphere of the Tibetan Plateau, we examined both the diurnal
 446 evolution and the distribution of estimated CCN for different event categories as well as for the two periods (spring and
 447 autumn). Because direct measurements of CCN concentration were unavailable in this study, CCN was estimated from the
 448 measured PNSD using the κ -Köhler framework described in Sect. 2.3, assuming a constant particle hygroscopicity parameter
 449 κ of 0.12 and a supersaturation S of 1.2 %. Because the estimated CCN concentrations depend on these assumptions, a
 450 sensitivity analysis was conducted using κ values of 0.08, 0.12, and 0.20 and supersaturations of 0.6 %, 0.8 %, and 1.2 %
 451 (Fig. S7). Although the absolute CCN concentrations varied with κ and S , estimated CCN remained consistently higher
 452 during the autumn observation period than during the spring observation period across the tested parameter
 453 combinations. The estimated CCN concentrations were higher than $N_{100-700}$, because the assumed κ and supersaturation
 454 corresponded to a critical activation diameter below 100 nm, allowing part of the Aitken-mode particle population to
 455 contribute to the estimated CCN.

Figure 10a shows clear differences in the diurnal variation of estimated CCN concentrations among NPF, Undefined, and Non-Event days. NPF days generally exhibited the highest CCN concentrations, with pronounced daytime variations and a marked enhancement during the late afternoon and evening. This late-day enhancement is consistent with the continued growth and survival of newly formed particles into CCN-relevant sizes (Shen et al., 2019). Undefined days showed the lowest CCN concentrations and relatively weak diurnal variability. Non-Event days generally exhibited intermediate CCN concentrations, including an evening increase that may reflect the influence of pre-existing particles and local urban emissions rather than daytime NPF. The distributions in Fig. 10b likewise show that estimated CCN concentrations were generally higher and more broadly distributed on NPF days than on Undefined and Non-Event days. To further examine the role of particle growth, NPF days were divided into high- and low- N_{25-100} groups using the observation-period-specific median of daily mean N_{25-100} during 09:00–18:00. The high- N_{25-100} group exhibited higher estimated CCN concentrations, particularly in the afternoon and evening (Fig. S8), supporting the importance of continued particle growth and survival for potential CCN production.

The distributions in Fig. 10b show substantially higher estimated CCN concentrations during the autumn observation period than during the spring observation period. The median (interquartile range) estimated CCN concentration was $2.4 \times 10^3 \text{ cm}^{-3}$ ($1.5\text{--}3.8 \times 10^3 \text{ cm}^{-3}$) during the autumn observation period, with an arithmetic mean $\pm$ standard deviation of $(2.8 \pm 1.7) \times 10^3 \text{ cm}^{-3}$. During the spring observation period, the corresponding median was $0.96 \times 10^3 \text{ cm}^{-3}$ ($0.70\text{--}1.5 \times 10^3 \text{ cm}^{-3}$), and the arithmetic mean $\pm$ standard deviation was $(1.2 \pm 0.6) \times 10^3 \text{ cm}^{-3}$. These differences coincided with the higher NPF frequency during the autumn observation period and suggest that frequent NPF, together with subsequent particle growth, may have contributed to the higher estimated CCN-relevant particle concentrations at the TU site.
~~The distributions during the two observation periods show substantially higher estimated CCN concentrations during the autumn observation period than during the spring observation period (Fig. 10b), consistent with the higher NPF frequency reported in Sect. 3.1. The autumn observation period median CCN was 2448.9 ($1471.5\text{--}3820.6$) cm^{-3} with a mean of $2813.0 \pm 1665.2 \text{ cm}^{-3}$, whereas the spring observation period median was 964.7 ($696.4\text{--}1489.6$) cm^{-3} with a mean of $1152.1 \pm 608.9 \text{ cm}^{-3}$. These observation period differences suggest that the more frequent occurrence of NPF, together with subsequent particle growth during the autumn measurements, may have contributed to the higher estimated CCN-relevant particle concentrations at the TU site.~~

Overall, our results indicate that NPF days were associated with higher estimated CCN concentrations in the TP urban atmosphere, although the magnitude of the estimates depended on the assumed κ and supersaturation. The pronounced late-day enhancement on NPF days, particularly in the high- N_{25-100} group, highlights the importance of sustained post-formation growth and particle survival in determining the potential CCN response. At the observation-period scale, the higher estimated CCN concentrations during the autumn observation period may reflect the combined influence of frequent NPF and favorable particle-growth conditions. Because CCN concentrations were estimated rather than directly measured, these results indicate potential CCN production rather than direct confirmation of CCN activation.



488

489 **Figure 10: (a) Diurnal variations and (b) statistical distributions of estimated CCN concentrations for NPF, Undefined, and Non-**
 490 **Event days and for the autumn and spring observation periods. CCN concentrations were estimated using $\kappa = 0.12$ and $S = 1.2\%$.**

491 4 Conclusion

492 This study provides a comprehensive characterization of NPF in Lhasa, a high-altitude urban center on the Tibetan Plateau,
 493 with particular emphasis on the controlling factors of NPF and its implications for CCN during the spring and autumn
 494 observation periods. Frequent NPF was observed throughout the campaigns. The contrast between the two observation
 495 periods in NPF occurrence was pronounced, with event frequencies of 86.2 % during the autumn observation period and
 496 62.1 % during the spring observation period, indicating that the NPF regime in Lhasa is highly sensitive to variations in
 497 meteorology and atmospheric chemistry between the observation periods.

498 The results indicate that differences in the pre-existing particle sink alone cannot explain the contrast in NPF frequency
 499 between the two observation periods, and that precursor availability and atmospheric oxidation likely also played important
 500 roles. The CS remained comparable between the two observation periods, suggesting that the observed contrast in NPF
 501 frequency cannot be explained by sink suppression alone. Instead, the chemical–meteorological context differs substantially
 502 across observation periods. During the autumn observation period, NPF days were associated with elevated SO₂ and a higher
 503 relative H₂SO₄-related proxy during the particle-formation period, suggesting that sulfur-containing precursors likely
 504 contributed to particle formation and early growth. In contrast, the spring observation period NPF did not show a single,
 505 well-defined controlling factor, but was more likely influenced by the combined effects of precursor supply, atmospheric
 506 oxidation, and boundary-layer evolution. Under such conditions, VOC-related oxidation may contribute to the early growth
 507 of newly formed particles, although its specific role remains to be further constrained.

508 A key outcome of this work is that NPF days were associated with higher estimated CCN concentrations in Lhasa, and that
 509 the potential CCN response depended critically on whether newly formed particles continued to grow and survive after
 510 formation. Estimated CCN concentrations were generally higher on NPF days than on Undefined and Non-Event days.

Moreover, NPF days with higher N_{25-100} exhibited greater afternoon and evening CCN enhancements than those with lower N_{25-100} , supporting the importance of continued particle growth and survival in determining whether newly formed particles reach CCN-relevant sizes. The mean estimated CCN concentration during the autumn observation period was more than twice that during the spring observation period, suggesting that frequent NPF together with favorable particle-growth conditions may have contributed to higher CCN-relevant particle concentrations during the autumn measurements. These findings improve our understanding of the potential contribution of urban NPF to CCN-relevant particle populations in high-altitude environments over the Tibetan Plateau.

Despite the insights gained in this study, several limitations should be acknowledged. First, the day classification was based primarily on the daily PNSD evolution and modal development, supported by particle number concentrations in the 3-25 and 25-100 nm ranges and particle growth rates. Although these supporting metrics reduced reliance on visual inspection alone, some classification uncertainty remained for days with weak, discontinuous, or ambiguous particle evolution; these days were therefore retained as Undefined days and included in the non-NPF group for comparisons between NPF and non-NPF conditions. Second, CCN concentrations were not directly measured but estimated from the measured PNSD using the κ -Köhler framework, which may introduce uncertainties associated with the assumed hygroscopicity parameter and supersaturation. Therefore, the estimated CCN values are more suitable for interpreting relative differences and response patterns than for over-interpreting their absolute magnitudes. In addition, due to the lack of direct observations of VOCs and their oxidation products, the mechanisms of NPF, especially during the spring observation period, could not be further constrained. Future studies should combine longer-term continuous observations with direct CCN measurements and additional observations of VOCs, H_2SO_4 , and related oxidation products, in order to better identify the controlling factors of NPF and its contribution to CCN in high-altitude urban environments over the Tibetan Plateau.

Code and data availability

The elevation data used in this study are openly available from the Geospatial Data Cloud at <https://www.gscloud.cn>. The raw observational datasets used in this study have been deposited in Zenodo and can be freely downloaded from <https://doi.org/10.5281/zenodo.19876491>. The codes used for data processing and figure generation have been deposited in a separate Zenodo repository and can be freely downloaded from <https://doi.org/10.5281/zenodo.19879177>.

Author contributions

QM and LW wrote the manuscript. QM, LW, GZ, CY, JS and TZ discussed the original idea and results. JS, FS, TL, YG, and YC conducted the field measurement. QM, LW and JS processed and analysed the data.

540 Competing interests

541 The authors declare that they have no conflict of interest.

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