





1 increasing attention.

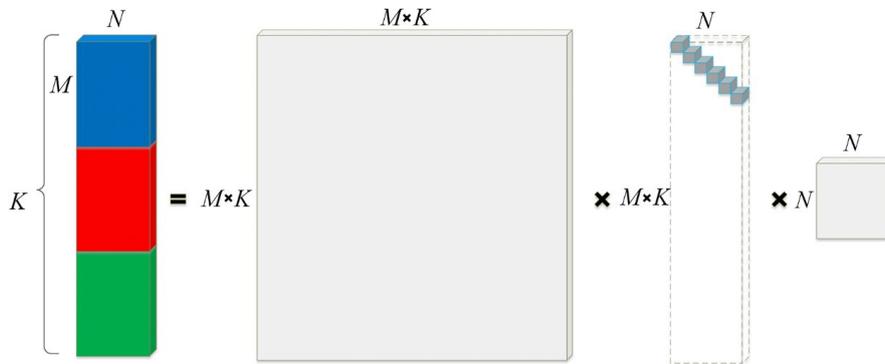
2 Spatial interpolation methods have already been used to address the issue of missing data.  
3 Kriging interpolation, a geostatistical method, was employed to generate complete satellite-derived  
4 sea surface temperature (SST) and salinity data for Chesapeake Bay (Urquhart et al., 2013).  
5 Additionally, optimal interpolation (OI) is a common method for satellite-derived oceanic data  
6 reconstruction (Reynolds et al., 1994, 2007; Fieguth et al., 1998). Several OI-based SST datasets,  
7 such as NOAA OISST (<https://www.ncei.noaa.gov/products/optimum-interpolation-sst>), and  
8 Extended Reconstructed SST (ERSST, [https://www.ncei.noaa.gov/products/extended-](https://www.ncei.noaa.gov/products/extended-reconstructed-sst)  
9 [reconstructed-sst](https://www.ncei.noaa.gov/products/extended-reconstructed-sst)), have been used to understand and predict climate system variations (Pawar and  
10 San, 2022). The OI method assesses unknown values by combining multiple existing observations  
11 with a prior estimate, thereby minimizing the error variance of the predictive outputs. However, this  
12 method may artificially smooth the reconstructed fields, limiting the detection of mesoscale features  
13 (Martin et al., 2023).

14 Beckers and Rixen (2003) proposed the Data Interpolating Empirical Orthogonal Function  
15 (DINEOF) method, which can be considered a self-consistent data reconstruction method. In the  
16 DINEOF method, original time-series satellite-derived oceanic data are transformed into a  
17 spatiotemporal matrix. An iterative approach is then applied to this matrix to obtain the optimal EOF  
18 mode, and missing values are subsequently reconstructed based on this optimal EOF mode.  
19 Compared to the OI method, DINEOF achieves similar reconstruction accuracy with less  
20 computational cost and without requiring prior knowledge (Beckers et al., 2006). Therefore, this  
21 method has been widely applied to the reconstruction of satellite-derived oceanic variable fields  
22 (Alvera-Azcarate et al., 2016; Ji et al., 2018; Liu and Wang, 2018, 2023; Binh et al., 2022). In recent  
23 years, several improved DINEOF methods have also been proposed. To enhance the temporal  
24 continuity of time-series satellite-derived oceanic data, Alvera-Azcarate et al. (2009) applied a  
25 temporal filter technique before the EOF decomposition step in DINEOF. Moreover, because  
26 DINEOF aims to optimally reconstruct the overall spatiotemporal matrix while ignoring local  
27 optimal reconstruction, Ping et al. (2015) proposed the I-DINEOF method. This method divides the  
28 spatiotemporal data into multiple subregions and performs optimal reconstruction for each  
29 subregion, thereby improving reconstruction accuracy. Furthermore, the iterative procedure in  
30 DINEOF involves significant computational effort, and the optimal EOF mode may not be the best  
31 option for intermediate spatiotemporal matrices. Ping et al. (2016) proposed the VE-DINEOF  
32 method, which performs optimal reconstruction for each intermediate iterative matrix. In VE-  
33 DINEOF, the optimal EOF mode changes progressively with each iteration, thereby improving the  
34 reconstruction accuracy and speed of the DINEOF method.

35 In addition to reconstructing a single oceanic variable, Alvera-Azcarate et al. (2007) proposed  
36 a multivariate DINEOF method (Multi-DINEOF) for the reconstruction of multiple ocean variables.  
37 This method comprehensively considers the inherent spatiotemporal correlations between these  
38 variables, thereby improving reconstruction accuracy. Similarly, Wang et al. (2019) adopted the  
39 Multi-DINEOF approach to generate a complete long-term sea surface chlorophyll-a (SCHL)  
40 dataset based on the Sea-viewing Wide Field-of-view Sensor (SeaWiFS) and Moderate Resolution  
41 Imaging Spectroradiometer (MODIS) SCHL products. Wang et al. (2023) utilized wind field data,  
42 photosynthetically active radiation (PAR) data, SST data, sea surface salinity (SSS) data, sea surface  
43 density (SSD) data, mixed layer depth (MLD) data, sea surface height (SSH) data, and Hybrid  
44 Coordinate Ocean Model (HYCOM) reanalysis data as inputs for the Multi-DINEOF method to



1 reconstruct a daily SCHL dataset in the Bay of Bengal. However, as shown in Fig. 1, the Multi-  
2 DINEOF method essentially remains a matrix-based DINEOF approach. It first transforms the input  
3 multivariate data into a matrix and then applies the original DINEOF approach to reconstruct the  
4 missing values. In Fig. 1, multivariate data are represented by different colors.  $M$  represents the  
5 spatial size of a specific input oceanic variable,  $K$  is the overall spatial size of multivariate data, and  
6  $N$  represents the number of input oceanic variable images. The first matrix on the right-hand side  
7 represents the spatial modes obtained from the singular value decomposition (SVD), the second  
8 matrix is the singular value matrix, and the third matrix is the temporal modes. Therefore, the Multi-  
9 DINEOF method cannot fully exploit the intrinsic correlations among multiple variables, as  
10 different parameters (e.g., SST, SCHL, and SSW) are forced to be represented along the same  
11 dimension. Consequently, the extracted principal components may contain mixed and  
12 heterogeneous signals, hindering the identification of genuine inter-variable relationships and  
13 reducing the physical interpretability of the results. In addition, Multi-DINEOF is limited to  
14 characterizing pairwise (second-order) correlations and thus cannot effectively capture higher-order  
15 interactions across spatial, temporal, and variable dimensions. This limitation highlights the need to  
16 develop an advanced multivariate DINEOF framework that can comprehensively utilize these inter-  
17 variable dependencies.



18

Fig. 1 Matrix decomposition in the Multi-DINEOF method.

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20 Multivariate data essentially take the form of a third-order tensor. Therefore, compared with  
21 matrix-based reconstruction, applying a third-order tensor formulation can better preserve the  
22 intrinsic correlations among various variables and consequently improve reconstruction accuracy.  
23 Tensor decomposition represents data as a higher-order array (e.g., space  $\times$  time  $\times$  variable), thereby  
24 maintaining the native multi-dimensional structure of the dataset. This framework enables the  
25 simultaneous extraction of spatial, temporal, and inter-variable modes, preserving the inherent  
26 coupling among dimensions. Furthermore, each decomposed mode corresponds to a distinct  
27 physical meaning (e.g., spatial pattern, temporal variation, or variable contribution), which enhances  
28 both the interpretability and physical consistency of the analysis. Current research on the tensor  
29 decomposition has made significant progress, with several decompositions developed, such as  
30 higher order SVD (Kolda and Bader, 2009) and hierarchical SVD (Grasedyck, 2010). Kilmer et al.  
31 (2008) proposed the tensor SVD (T-SVD) decomposition technique, treating a third-order tensor as  
32 a matrix with each element being a tube. The tube-based strategy gives the T-SVD decomposition a  
33 form similar to the matrix SVD decomposition (Zeng and Ng, 2020). Therefore, the T-SVD



1 decomposition can be easily integrated into the original DINEOF method. The T-SVD technique  
2 has been widely used in facial recognition (Hao et al., 2013), image denoising and reconstruction  
3 (Zhang et al., 2014, 2017; Hu et al., 2017a, 2017b; Zhou et al., 2018; Sun et al., 2019). Consequently,  
4 this study proposed a third-order tensor DINEOF model named T-DINEOF, based on the T-SVD  
5 decomposition. The effectiveness of the proposed T-DINEOF model for both overall and individual  
6 oceanic variables is demonstrated by comparing it with the Multi-DINEOF method and the original  
7 DINEOF method.

8 The structure of the remainder of this manuscript is as follows: materials will be introduced in  
9 the next Section; the proposed T-DINEOF model will be presented in Sect. 3; comparisons of the  
10 reconstruction accuracies for both overall and individual oceanic variables will be demonstrated and  
11 analyzed in Sect. 4; the details of the proposed T-DINEOF model will be discussed in Sect. 5; and  
12 finally, conclusions will be given in Sect. 6.

## 13 2. Materials

14 This study used monthly L3 mapped 4-km MODIS Aqua satellite-derived SST and SCHL data  
15 from April 2015 to December 2022, which are available for download from Ocean Color  
16 (<https://oceancolor.gsfc.nasa.gov/>) as well as monthly approximately 25-km L3 AMSR2 10-meter  
17 above sea surface wind (SSW) version 8.2 data, which can be downloaded from Remote Sensing  
18 Systems (RSS, <https://remss.com/missions/amr/>), as experimental data. Due to the unavailability  
19 of SSW data for August and September 2022, the SST and SCHL data for the same period were also  
20 removed. Consequently, the number of input images for each oceanic variable is 91, indicating that  
21 the temporal dimension is 91. As shown in Eq. 1, long-wave infrared bands 11 and 12  $\mu\text{m}$  aboard  
22 MODIS are employed to retrieve SST products based on a modified version of the nonlinear SST  
23 algorithm (Walton et al., 1998). The combined OC3/OC4 (OCx) band ratio algorithm (O'Reilly and  
24 Werdell, 2019, Eq. 2) and the color index (CI, Hu et al., 2019, Eq. 3), which establish the relationship  
25 between SCHL and spectral remote sensing reflectances ( $R_{rs}$ ), are used to retrieve SCHL products.  
26 Channels that match the low frequency, specifically the 10.65 GHz footprint, are used to retrieve  
27 SSW products because they are less affected by the atmosphere and rain. Due to the similar  
28 ascending time (1:30 PM local time), oceanic variables derived from these two satellites are  
29 obtained under similar sea conditions.

$$30 \quad SST = a_{ij_0} + a_{ij_1}BT_{11\mu\text{m}} + a_{ij_2}(BT_{11\mu\text{m}} - BT_{12\mu\text{m}})T_{sfc} + \quad (1)$$
$$a_{ij_3}(\sec(\theta) - 1)(BT_{11\mu\text{m}} - BT_{12\mu\text{m}}) + a_{ij_4}(\textit{mirror}) + a_{ij_5}(\theta^*) + a_{ij_6}(\theta^2)$$

31 where  $BT_{11\mu\text{m}}$  and  $BT_{12\mu\text{m}}$  are the brightness temperatures at 11  $\mu\text{m}$  and 12  $\mu\text{m}$ , respectively;  $\theta$  is  
32 the satellite zenith angle;  $T_{sfc}$  is the reference temperature; and  $a_{ij_0}$  to  $a_{ij_6}$  are regression coefficients  
33 determined regionally. The terms “*mirror*” and “ $\theta^*$ ” represent correction factors associated with  
34 viewing geometry and instrument calibration.

$$35 \quad \log_{10}(SCHL) = b_0 + \sum_{i=1}^4 b_i \left( \log_{10} \left( \frac{R_{rs}(\lambda_{blue})}{R_{rs}(\lambda_{green})} \right) \right)^i \quad (2)$$

$$36 \quad \log_{10}(SCHL) = -0.4287 + 230.47 \times \{ R_{rs}(\lambda_{green}) - [R_{rs}(\lambda_{blue}) + (\lambda_{green} - \lambda_{blue}) / (\lambda_{red} - \lambda_{blue}) \cdot (R_{rs}(\lambda_{red}) - R_{rs}(\lambda_{blue}))] \} \quad (3)$$



1 where  $R_s$  represents the spectral reflectance,  $\lambda$  is the central wavelength of the target spectral band,  
 2 and the coefficients  $b_0$ - $b_4$  are sensor-specific parameters.

3 Before constructing the third-order tensor, it is necessary to process the input data. Each  
 4 MODIS SST pixel has a corresponding numeric quality level, with level 0 being the highest quality  
 5 and level 4 being the lowest. In this study, only pixels with quality levels 0 (best) and 1  
 6 (good/acceptable) were flagged as existing pixels. During the reconstruction stage, due to the log-  
 7 normal distribution of SCHL data (Campbell, 1995), the log-transformed SCHL data were used in  
 8 the third-order tensor. Therefore, during the accuracy analysis stage, an inverse log-transformation  
 9 was applied to the reconstructed SCHL data. According to the RSS website, SSW values greater  
 10 than 50 or less than 0 were considered missing values in this study. To address the difference in  
 11 spatial resolution between the MODIS SST and SCHL data and the AMSR2 SSW data, the SST and  
 12 SCHL data were upsampled to the same resolution as the SSW data using the nearest-neighbor  
 13 interpolation method. In addition, land areas in the spatially registered SST, SCHL, and SSW  
 14 datasets were masked out using the land mask provided with the SSW data, which was generated  
 15 by resampling the high-resolution MODIS land mask onto the target footprint. Due to the large  
 16 uncertainties in satellite-derived oceanic parameters at high latitudes (Hoyer et al., 2012; Jia et al.,  
 17 2024), and in order to reduce computational burden, this study focuses on mid-to-low latitude  
 18 regions; therefore, the area between 60°N and 60°S was chosen as the experimental region. As  
 19 shown in Fig. 2a, due to limitations in computational power, the experimental area was divided into  
 20 three subregions, each spanning 40 degrees of latitude from north to south (subregions 1-3).  
 21 Furthermore, a 3×3 median filter was applied to each satellite-derived variable in each subregion  
 22 to eliminate abnormal values. Since the ranges of SST, SCHL, and SSW are different, the max-min  
 23 normalization method was used to scale each ocean variable in each subregion to the range [0-1].  
 24 The maximum and minimum values of each ocean variable in each subregion are shown in Table 1.  
 25 In general, compared to SST and SSW data, log-transformed SCHL data are more concentrated,  
 26 with smaller variations among different subregions. The highest SST in the mid-latitudes of the  
 27 Northern Hemisphere is slightly higher than that in the equatorial region and the mid-latitudes of  
 28 the Southern Hemisphere, while the lowest SST varies slightly among different subregions. The  
 29 maximum SSW values in the mid-latitude regions are significantly higher than those in the  
 30 equatorial regions.

31 Each type of processed data was stored in the corresponding  $m \times n$  matrix, where  $m$  is the spatial  
 32 dimension and  $n$  is the temporal dimension. The three matrices generated from the three satellite-  
 33 derived variables were then combined to form the final third-order tensor. In this study, the  
 34 dimensions of the final tensor for the three subregions are  $119,939 \times 91 \times 3$ ,  $174,091 \times 91 \times 3$ , and  
 35  $207,779 \times 91 \times 3$  after masking out land pixels.

36 Table 1 Maximum and minimum values of SST, SCHL and SSW in the three subregions.

	SST (°C)		SCHL (log(mg/m <sup>3</sup> ))		SSW(m/s)	
	Max	Min	Max	Min	Max	Min
Subregion 1	35.65	-1.50	4.05	-4.16	27.05	0
Subregion 2	33.57	0	4.18	-4.56	15.26	0
Subregion 3	31.83	-1.81	3.32	-5.16	24.91	0

37 In addition, the spatial and temporal proportions of missing data are shown in Fig. 2. In this  
 38 study, the missing data ratio was calculated as the total number of missing values in the target  
 39 variable, or across all three variables—after excluding land-masked areas in the target subregion—



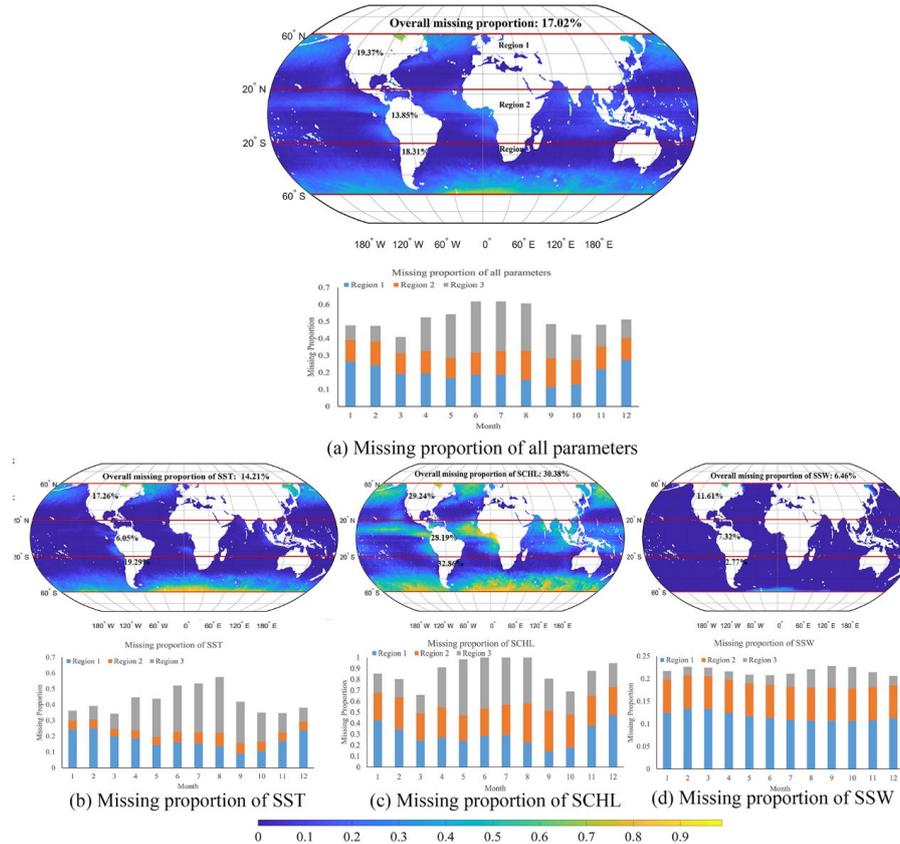
1 divided by the total number of data points in the corresponding tensor dimension or in the entire  
2 three-dimensional tensor. Additionally, by categorizing the time series data by date into their  
3 respective months, the missing data ratio for each month was obtained using the same method  
4 described above. Considering SST, SCHL and SSW data collectively (Fig. 2a), the global proportion  
5 of missing data is 17.02%. The proportions of missing data in subregions 1, 2, and 3 are 19.37,  
6 13.85, and 18.31%, respectively, indicating that the equatorial region has a lower proportion of  
7 missing data compared to the mid-latitude regions. Typically, the proportions of missing data are  
8 higher in the summer months (from June to August) than in other months, especially in subregion  
9 3. The proportion of missing data in subregion 2 does not vary significantly across the months, while  
10 subregion 1 typically has a lower proportion of missing data in the autumn (from September to  
11 October).

12 The global proportion of missing SST data is 14.21% (Fig. 2b). In subregions 1-3, the  
13 proportions are 17.26, 6.05, and 19.29%, respectively, indicating that the proportion in the equatorial  
14 region is significantly lower than in the mid-latitude regions. Overall, the proportion of missing SST  
15 data is higher in the summer months (from June to August). Subregion 2 shows minimal temporal  
16 variation in SST missing data proportions. In contrast, subregions 1 and 3 exhibit opposite trends:  
17 from April to October, subregion 3 has higher proportions than subregion 1, peaking in the summer  
18 months (from June to August). However, in other months, subregion 1 has higher missing data  
19 proportions compared to subregion 3.

20 The global proportion of missing SCHL data is 30.38% (Fig. 2c), which is the highest among  
21 the three oceanic variables. In subregions 1-3, the proportions are 29.24, 28.19, and 32.86%,  
22 respectively, showing no significant differences. Overall, the proportions of missing data are  
23 typically higher from April to August and in December. In subregion 1, the proportions are lower  
24 from August to October but higher from December to January compared to other months. Subregion  
25 2 shows higher missing proportions from August to October, while in other months, the proportions  
26 in this subregion do not vary significantly. From April to September, the missing proportions in  
27 subregion 3 are obviously higher than in other months.

28 The global proportion of missing SSW data is 6.46% (Fig. 2d), indicating that SSW has the  
29 most complete data among the three oceanic variables due to the penetration capability of  
30 microwave bands. In subregions 1-3, the proportions are 11.61, 7.32, and 2.77%, respectively,  
31 indicating that the mid-latitudes of the Southern Hemisphere are least affected by missing data.  
32 Overall, the proportions of missing data remain relatively stable across months. In February and  
33 March, subregion 1 experiences a peak in missing values, while in subregion 3, the peak occurs  
34 from August to October. The proportions of missing values in other months for these two subregions  
35 do not vary significantly. In subregion 2, the proportion of missing values remains stable throughout  
36 the year.

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 2 Fig. 2 Experimental areas and their corresponding spatiotemporal missing data proportions.

3 **3. Methodology**

4 Currently, several decomposition methods for third-order tensor, such as higher order SVD  
 5 (Kolda and Bader, 2009) and hierarchical SVD (Grasedyck, 2010), have been proposed. The T-SVD  
 6 decomposition has a form similar to that of the matrix SVD decomposition (Zeng and Ng, 2020).  
 7 Therefore, this study incorporated the T-SVD decomposition method into the original DINEOF  
 8 algorithm and proposed a T-DINEOF model. The algebraic framework of T-SVD is constructed by  
 9 defining the tensor-tensor product, identity tensor, transpose, orthogonal tensor, and tubal rank  
 10 (Braman, 2010; Kilmer and Martin, 2011; Kilmer et al., 2013; Kernfeld et al., 2015). Consequently,  
 11 the decomposition and reconstruction of the third-order tensor based on the T-SVD method are  
 12 introduced, followed by a detailed explanation of the T-DINEOF model.

13 **3.1 Decomposition and reconstruction of third-order tensor**

14 The T-SVD decomposition is the core of the T-DINEOF model and represents an improvement  
 15 over the original DINEOF algorithm. It can be performed based on Eqs. 4-7, with an illustration of



1 the T-SVD decomposition provided in Fig. 3.

$$2 \quad X = U * S * V^T \quad (4)$$

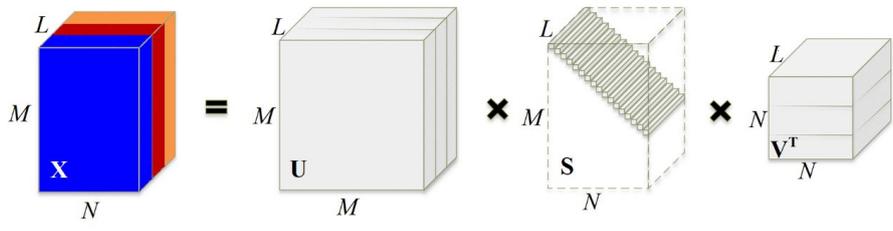
3 where  $\mathbf{X} \in \mathbf{R}^{M \times N \times L}$  is the input third-order tensor, and  $M, N,$  and  $L$  represents the dimensions of  
 4 the third-order tensor. In this study, since the temporal dimension is 91 and three oceanic variables  
 5 are used,  $N$  and  $L$  are 91 and 3, respectively.  $\mathbf{U} \in \mathbf{R}^{M \times M \times L}$  and  $\mathbf{V} \in \mathbf{R}^{N \times N \times L}$  are orthogonal tensors,  
 6 and  $\mathbf{S} \in \mathbf{R}^{M \times N \times L}$  is an  $f$ -diagonal tensor, meaning that each frontal slice of  $\mathbf{S}$  is a diagonal matrix.  
 7  $T$  denotes the transpose operation, which involves transposing each frontal slice of a third-order  
 8 tensor and then reversing the order of the results from the 2nd to the last frontal slice. As shown in  
 9 Fig. 4a, a frontal slice of a third-order tensor  $\mathbf{A} \in \mathbf{R}^{I_1 \times I_2 \times I_3}$  refers to a matrix of size  $I_1 \times I_2$  taken from  
 10 a specific value of the third dimension,  $I_3$ . The symbol  $*$  represents the tensor product, which can  
 11 be defined as follows:

$$12 \quad A * B = fold(bcirc(A) \cdot unfold(B)) \quad (5)$$

$$13 \quad bcirc(A) = \begin{bmatrix} A^{(1)} & A^{(I_3)} & \dots & A^{(2)} \\ A^{(2)} & A^{(1)} & \dots & A^{(3)} \\ \vdots & \vdots & \ddots & \vdots \\ A^{(I_3)} & A^{(I_3-1)} & \dots & A^{(1)} \end{bmatrix} \quad (6)$$

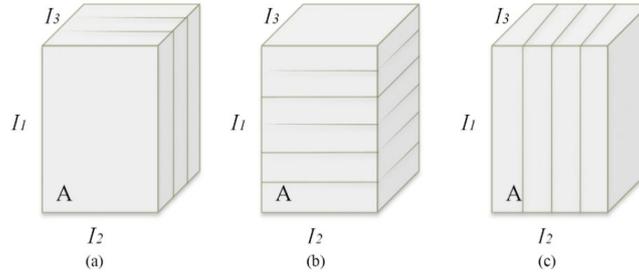
$$14 \quad unfold(A) = \begin{bmatrix} A^{(1)} \\ A^{(2)} \\ \vdots \\ A^{(I_3)} \end{bmatrix}, fold(unfold(A)) = A \quad (7)$$

15 where  $\mathbf{A} \in \mathbf{R}^{I_1 \times I_2 \times I_3}$  and  $\mathbf{B} \in \mathbf{R}^{I_2 \times I_4 \times I_3}$  are two third-order tensors, and the output size of  $\mathbf{A} * \mathbf{B}$  is  $I_1 \times$   
 16  $I_4 \times I_3$ .  $\mathbf{A}^{(m)}$  represents the  $m$ -th frontal slice. The *unfold* operation maps the third-order tensor  $\mathbf{A}$  into  
 17 a matrix of size  $I_1 I_3 \times I_2$ , while *fold* is its inverse transformation. The symbol  $\cdot$  represents matrix  
 18 multiplication.



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Fig. 3 Illustration of T-SVD decomposition.



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Fig. 4 An example of (a) frontal slices, (b) horizontal slices, and (c) lateral slices.

After decomposing  $\mathbf{X}$  based on Eq. 4, the reconstructed third-order tensor can be obtained using Eq. 8.

$$X_{recon} = \sum_{p=1}^q U(:, p, :) * S(p, p, :) * V(p, :, :)^T \quad (8)$$

where  $\mathbf{X}_{recon}$  signifies the reconstructed third-order tensor, and  $q$  represents the number of modes. In the original DINEOF algorithm, the  $p$ -th singular vectors extracted from the  $\mathbf{U}$  and transposed  $\mathbf{V}$  matrices, along with the  $p$ -th singular value extracted from the  $\mathbf{S}$  matrix, are multiplied to form the reconstruction matrix for the  $p$ -th mode. The reconstruction matrices for the  $p$ -th mode and all previous modes are then summed to obtain the final reconstruction matrix. In the T-DINEOF model, the  $p$ -th lateral and horizontal slices extracted from the third-order tensor  $\mathbf{U}$  and transposed third-order tensor  $\mathbf{V}$ , along with the  $p$ -th singular vector extracted from the third-order tensor  $\mathbf{S}$ , are used to construct the reconstructed tensor for the  $p$ -th mode. Similarly, the reconstructed third-order tensors for the  $p$ -th mode and all previous modes are summed to obtain the final reconstruction tensor. It can be seen that the original DINEOF algorithm decomposes a two-dimensional matrix into orthogonal spatial and temporal modes, capturing only pairwise correlations between these dimensions. In contrast, T-DINEOF extends this concept to higher-order tensors, decomposing data simultaneously along multiple dimensions such as space, time, and variable. By operating directly in the tensor domain, T-DINEOF preserves the intrinsic multi-dimensional structure of the data and explicitly models interactions across the variable dimension. This allows T-DINEOF to capture synergistic patterns arising from the coupled variations among multiple variables—patterns that the conventional DINEOF, constrained to a flattened two-dimensional representation, cannot inherently represent.

### 3.2 T-DINEOF model

In this study, the T-DINEOF model was implemented using Matlab R2023b. The flowchart of T-DINEOF is shown in Fig. 5, which consists of three main modules: Tensor preparation (Steps 1 & 2), Tensor reconstruction (Step 3), and  $q$  determination (Step 4). Once the optimal  $q$  is obtained, the final reconstructed tensor can be generated through the Tensor reconstruction module. The specific process of T-DINEOF is as follows:

- (1) **Spatiotemporal averages calculation:** The corresponding spatiotemporal averaged values for SST, SCHL, and SSW data were calculated and then these averages were subtracted from each oceanic variable.



1 (2) **Cross-validation pixels selection:** 3% of the existing data from the corresponding  
2 spatiotemporal matrix of each oceanic variable were randomly selected as cross-validation  
3 pixels. During the optimal mode determination phase, these cross-validation pixels were treated  
4 as missing pixels. Both the missing pixels and the cross-validation pixels were set to zero  
5 (unbiased guess).

6 (3) **Decomposition and reconstruction:** The third-order tensor was decomposed first based on Eq.  
7 4, and then reconstructed using Eq. 8 with  $q=1$ . After replacing the missing values with the  
8 corresponding reconstructed values, the new reconstructed tensor was re-decomposed and the  
9 missing values were recalculated. This process was iterated until the root mean square error  
10 (RMSE) between previous and current iterations was less than 0.00001 at the cross-validation  
11 pixels. To prevent an endless iterative loop and to save computational time, a maximum of 100  
12 iterations was predefined. The RMSE is defined as:

$$13 \quad RMSE = \sqrt{\frac{\sum (R - O)^2}{l}} \quad (9)$$

14 where  $R$  is the estimated value,  $O$  is the original value, and  $l$  is the number of cross-validation pixels.

15 (4) **Optimal  $q$  determination:** When convergence was achieved or the maximum number of  
16 iterations was reached, incremented  $q$  by 1 and repeated step (3). In this study, the maximum  
17 value of  $q$  was set to 100, resulting in the reconstruction of 100 third-order tensors. The RMSEs  
18 between the original and the reconstructed values at the cross-validation pixels for various  $q$   
19 values were calculated. The optimal  $q$  was determined by identifying the value that minimizes  
20 the RMSE.

21 (5) **Final reconstruction:** Once the optimal  $q$  value was determined, repeated step (3), treating the  
22 cross-validation pixels in the third-order tensor as existing pixels. The final missing data values  
23 were replaced with the corresponding reconstructed values obtained based on the optimal  $q$   
24 value.

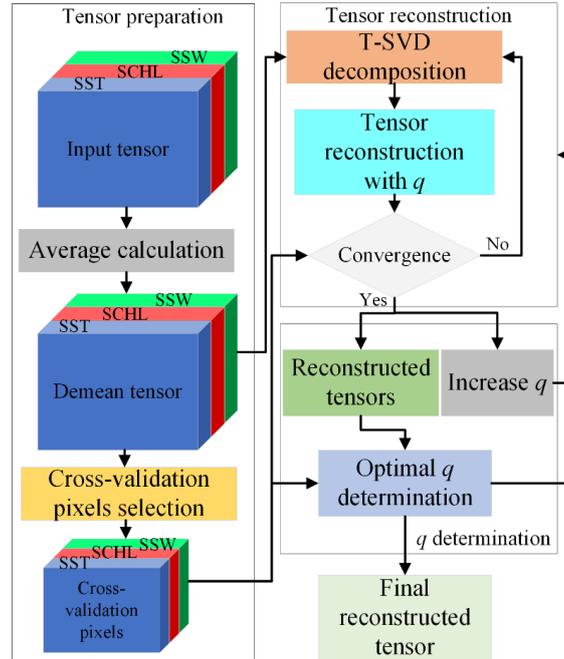


Fig. 5 Flowchart of T-DINEOF.

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### 3 3.3 Quantitative assessment

4 The original values and the reconstructed values at the existing pixels were used to assess the  
5 performance of the T-DINEOF model along with two other control models. In addition to RMSE,  
6 three other evaluation metrics were selected to quantitatively assess the performance: mean absolute  
7 error (MAE), coefficient of determination ( $R^2$ ), and mean absolute percentage error (MAPE). These  
8 metrics are calculated as follows:

$$9 \quad MAE = \frac{\sum |R_{exist} - O_{exist}|}{l_{exist}} \quad (10)$$

$$10 \quad R^2 = 1 - \frac{\sum (O_{exist} - R_{exist})^2}{\sum (O_{exist} - \bar{O}_{exist})^2} \quad (11)$$

$$11 \quad MAPE = \frac{\sum |R_{exist} - O_{exist}| / O_{exist}}{l_{exist}} \times 100\% \quad (12)$$

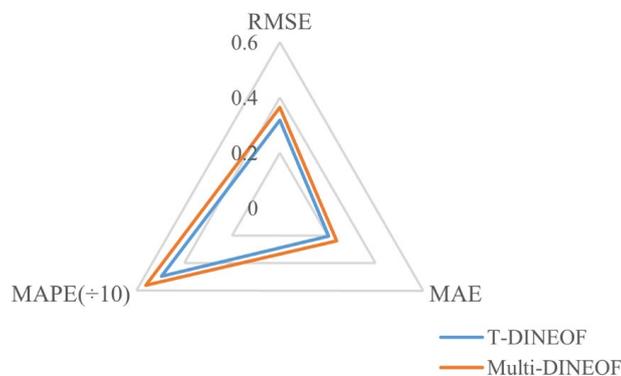
12 where  $R_{exist}$  and  $O_{exist}$  signify the reconstructed and original values at the existing pixels, respectively,  
13 and  $l_{exist}$  indicates the number of existing pixels. Lower RMSE, MAE, and MAPE values, along with  
14 higher  $R^2$  values, signify better reconstruction performance.



## 1 4. Results

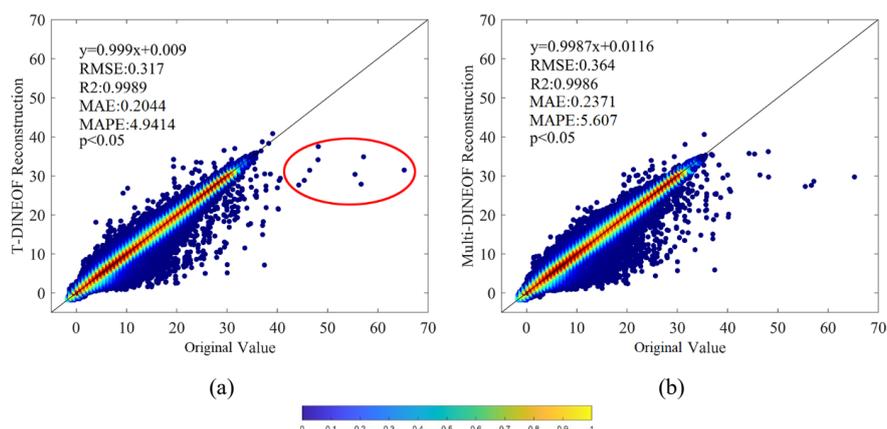
### 2 4.1 Performance of T-DINEOF

3 In this section, the three study areas for all three variables SST, SCHL and SSW are analyzed  
4 collectively to evaluate the performance of the T-DINEOF model. Since there are no true values at  
5 the missing points for comparison, the reconstructed values at the existing points are compared with  
6 the corresponding true values to assess the model's performance. Due to differences in magnitude,  
7 the MAPE value is divided by 10 in the radar chart. As shown in Fig. 6, compared with the Multi-  
8 DINEOF method, T-DINEOF performs better in terms of RMSE, MAE, and MAPE values, while  
9 achieving a comparable  $R^2$  value (0.9989 vs. 0.9986). This indicates that the T-DINEOF model for  
10 third-order tensor reconstruction is more effective in utilizing the correlations between various  
11 variables than the Multi-DINEOF method for matrix reconstruction, thereby improving  
12 reconstruction accuracy. Specifically, compared to the Multi-DINEOF method, the T-DINEOF  
13 model reduces RMSE by 12.9%, MAE by 13.8%, and MAPE by 11.9%.



14 Fig. 6 Radar chart of global statistical accuracies for all three variables SST, SCHL and SSW. The  
15 blue and red polygons represent T-DINEOF and Multi-DINEOF, respectively.

16 The scatter density plots comparing the reconstruction values from T-DINEOF and Multi-  
17 DINEOF with the original values are shown in Fig. 7. Generally, the reconstruction values from  
18 both T-DINEOF and Multi-DINEOF are closely aligned with the 1:1 line, indicating that both  
19 methods produce reasonable reconstruction results. However, both methods tend to underestimate  
20 high-value pixels, as highlighted by the red ellipse. Although the scatter plots of the two methods  
21 appear similar, the T-DINEOF model demonstrates superior statistical accuracy compared to the  
22 Multi-DINEOF method.



1 Fig. 7 Scatter density plots for SST, SCHL, and SSW, obtained from (a) T-DINEOF and (b) Multi-  
 2 DINEOF.

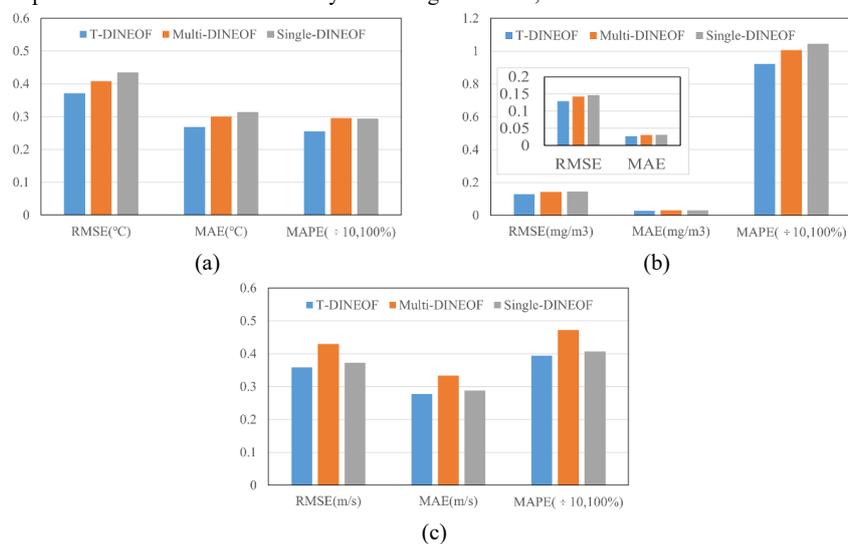
3 Next, the reconstruction accuracies for each ocean variable are analyzed separately. In this  
 4 section, the univariate DINEOF method (Single-DINEOF) is also included for comparative analysis  
 5 alongside the Multi-DINEOF method. For SST data (Fig. 8a), the T-DINEOF model achieves the  
 6 lowest RMSE, MAE, and MAPE values compared to both the Multi-DINEOF and Single-DINEOF  
 7 methods, indicating superior reconstruction accuracy for SST. Specifically, T-DINEOF reduces  
 8 RMSE by 9.0 and 14.7%, MAE by 10.5 and 14.6%, and MAPE by 13.7 and 13.4%, respectively,  
 9 compared to the Multi-DINEOF and Single-DINEOF methods.

10 The reconstruction accuracies for SCHL are shown in Fig. 8b, with a magnified view of RMSE  
 11 and MAE in the subplot. The T-DINEOF model again demonstrates the highest reconstruction  
 12 accuracy, achieving the lowest RMSE, MAE, and MAPE values for SCHL. Compared to the Multi-  
 13 DINEOF and Single-DINEOF methods, T-DINEOF reduces RMSE by 9.3 and 11.8%, MAE by 9.9  
 14 and 13.4%, and MAPE by 8.3 and 11.8%, respectively. Additionally, both multivariate  
 15 reconstruction methods (T-DINEOF and Multi-DINEOF) outperform the Single-DINEOF method  
 16 for SST and SCHL, suggesting that, as seen in previous research (Alvera-Azcarate et al., 2007;  
 17 Wang et al., 2023), multivariate methods better utilize the correlations between different oceanic  
 18 variables and the target variable, leading to improved reconstruction accuracy. In addition, the  
 19 Multi-DINEOF method reconstructs missing values by reshaping multiple spatiotemporal variables  
 20 into a two-dimensional matrix for decomposition. As a result, the spatial information of multiple  
 21 oceanic variables is combined into a single matrix. During reconstruction, the resulting optimal  
 22 spatiotemporal mode represents a compromise among the variables, which may lead to reduced  
 23 reconstruction accuracy for individual parameters. In contrast, the T-DINEOF method integrates  
 24 multiple oceanic variables into a three-dimensional tensor. The reconstruction is thus based on an  
 25 optimal spatiotemporal tensor (as described in Eq. 8), allowing the method to consider the  
 26 reconstruction accuracy of each variable individually. This approach avoids the compromise  
 27 inherent in Multi-DINEOF and improves the reconstruction accuracy for each individual oceanic  
 28 parameter.

29 As shown in Fig. 8c, T-DINEOF also achieves the best performance for SSW data. Compared to  
 30 the Multi-DINEOF and Single-DINEOF methods, T-DINEOF reduces RMSE by 16.6 and 3.7%,  
 31 MAE by 16.8 and 3.5%, and MAPE by 16.4 and 3.1%, respectively. The improvements in

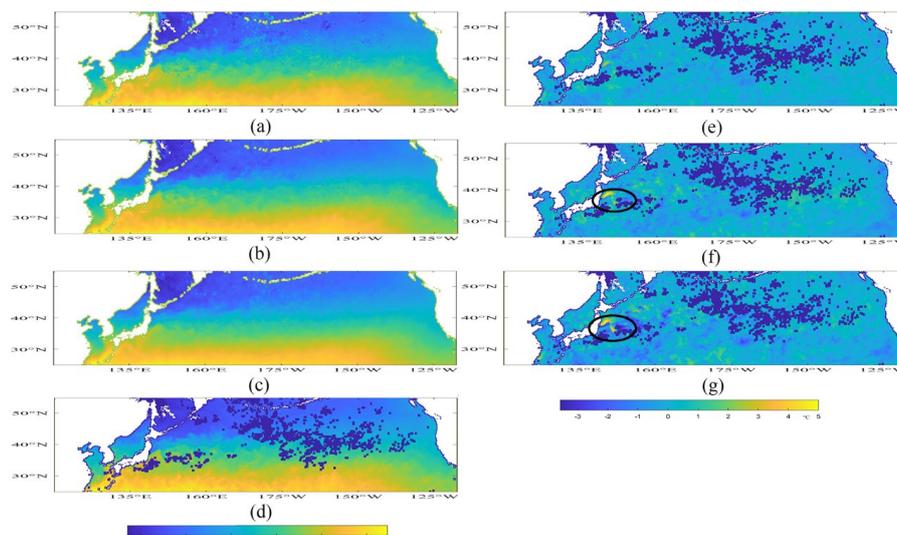


1 reconstruction accuracy for SSW data with T-DINEOF are more significant relative to the Multi-  
 2 DINEOF method, while the improvements relative to the Single-DINEOF method are  
 3 comparatively smaller. In the input third-order tensor, the correlation coefficient between SST and  
 4 SCHL is -0.60, between SCHL and SSW is 0.03, and between SST and SSW is -0.04. This indicates  
 5 that the correlation between SSW and the other two oceanic variables is weaker than the correlation  
 6 between SST and SCHL. The low correlation makes it challenging for the Multi-DINEOF method  
 7 to effectively reconstruct SSW data. However, T-DINEOF can still leverage other oceanic variables  
 8 to improve the reconstruction accuracy of the target variable, even in cases of low correlation.



9 Fig. 8 Comparison of accuracies for global (a) SST, (b) SCHL and (c) SSW obtained from T-  
 10 DINEOF, Multi-DINEOF, and Single-DINEOF.

11 In addition to statistical comparisons, visual comparisons of the reconstruction maps from T-  
 12 DINEOF, Multi-DINEOF, and Single-DINEOF in the northern Pacific are also presented. The SST  
 13 map for April 2022 serves as an example in this section. As shown in Fig. 9, T-DINEOF (Fig. 9a),  
 14 Multi-DINEOF (Fig. 9b), and Single-DINEOF (Fig. 9c) all successfully address SST missing values  
 15 and preserve the overall SST structure. However, the SST map reconstructed with Single-DINEOF  
 16 exhibits some smoothing of details. The difference maps between the reconstructed SST maps from  
 17 the three methods and the raw SST (Fig. 9d) reveal that T-DINEOF (Fig. 9e) has smaller differences  
 18 from the original SST values, with deviations more centered around zero compared to the other  
 19 methods. In addition, the Multi-DINEOF and Single-DINEOF methods result in some abnormally  
 20 high values (black ellipses in Figs. 9f and 9g), which are avoided by T-DINEOF, contributing to its  
 21 superior performance.



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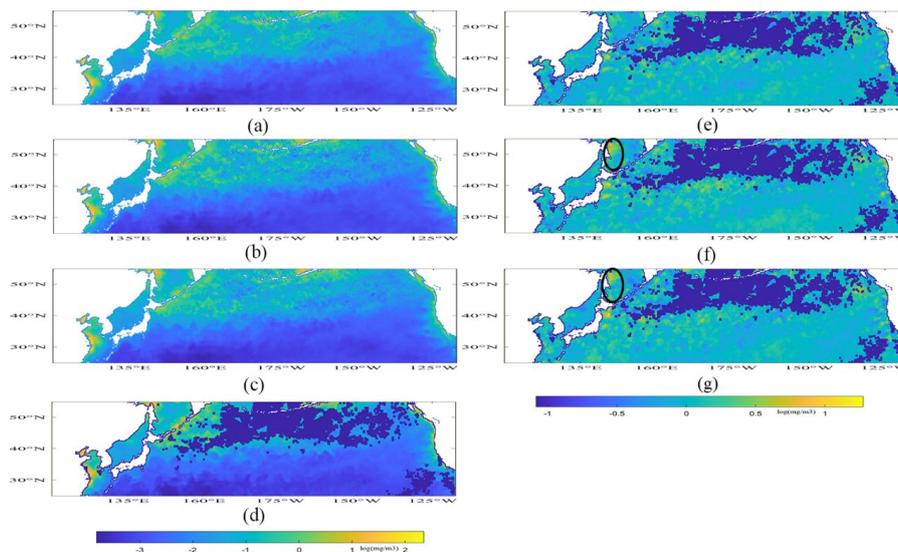
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14

Fig. 9 Reconstructed SST maps of the northern Pacific obtained from (a) T-DINEOF, (b) Multi-DINEOF, and (c) Single-DINEOF. (d) is the corresponding raw SST map. (e-g) show the difference maps between the reconstructed SST maps from T-DINEOF, Multi-DINEOF, and Single-DINEOF, and the raw SST map.

The SCHL map for July 2021 illustrates the performance of the reconstruction methods for SCHL. As shown in Fig. 10d, missing data in the northern Pacific are mainly concentrated in the northern area with high SCHL values and parts of the southeastern area. All three methods successfully reconstruct the missing values and maintain the spatial structure of the SCHL data. The reconstructed SCHL data from T-DINEOF (Fig. 10a), Multi-DINEOF (Fig. 10b), and Single-DINEOF (Fig. 10c) are not significantly different, probably due to the narrow range of the log-transformed SCHL data. However, as indicated by the black ellipses in Figs. 10f and 10g, Multi-DINEOF and Single-DINEOF produce some abnormally high values, which may affect the reconstruction accuracy.

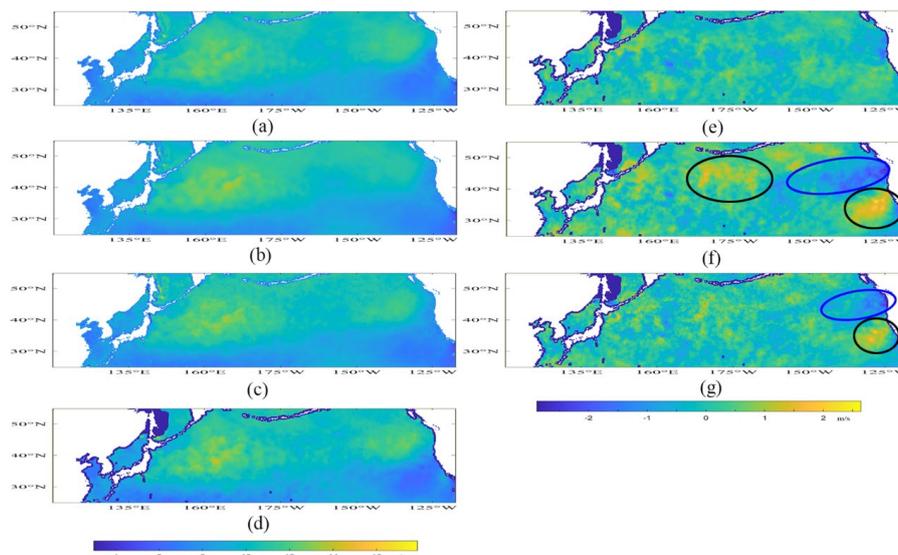


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2 Fig. 10 Reconstructed SCHL maps of the northern Pacific obtained from (a) T-DINEOF, (b) Multi-  
 3 DINEOF, and (c) Single-DINEOF. (d) is the corresponding raw SCHL map. (e-g) show the  
 4 difference maps between the reconstructed SCHL maps from T-DINEOF, Multi-DINEOF, and  
 5 Single-DINEOF, and the raw SCHL map.

6 The SSW data for January 2020 is used to evaluate the performance of the reconstruction  
 7 methods for SSW. Due to limitations in microwave imaging, the SSW data retrieved using the  
 8 microwave bands of AMSR2 exhibit more gaps in nearshore areas (black ellipses in Fig. 11d), while  
 9 there are fewer gaps in open ocean areas. While all three methods yield visually similar  
 10 reconstructions, the difference maps highlight distinct performance differences. The Multi-DINEOF  
 11 method exhibits significant overestimation and underestimation (black and blue ellipses in Fig. 11f),  
 12 reflecting lower accuracy. The Single-DINEOF method also shows regions with high and low  
 13 differences (black and blue ellipses in Fig. 11g) and has a less smooth difference map compared to  
 14 T-DINEOF (Fig. 11e), which provides a more continuous and accurate reconstruction of SSW.

15 Figs. 9 to 11 show that T-DINEOF outperforms Single-DINEOF and Multi-DINEOF in  
 16 specific regions, particularly along the coast. Coastal waters exhibit more complex environmental  
 17 conditions than the open ocean, resulting in intricate interactions among variables such as SST,  
 18 SCHL, and SSW. Matrix-based methods, including Single-DINEOF and Multi-DINEOF, cannot  
 19 fully capture these intrinsic correlations because different parameters are constrained along the same  
 20 dimension. By contrast, T-DINEOF employs tensor operations to simultaneously extract spatial,  
 21 temporal, and inter-variable modes, preserving the inherent coupling across dimensions and  
 22 enabling more accurate reconstruction in complex nearshore environments.



1  
2 Fig. 11 Reconstructed SSW maps of the northern Pacific obtained from (a) T-DINEOF, (b) Multi-  
3 DINEOF, and (c) Single-DINEOF. (d) is the corresponding raw SSW map. (e-g) show the  
4 difference maps between the reconstructed SSW maps from T-DINEOF, Multi-DINEOF, and  
5 Single-DINEOF, and the raw SSW map.

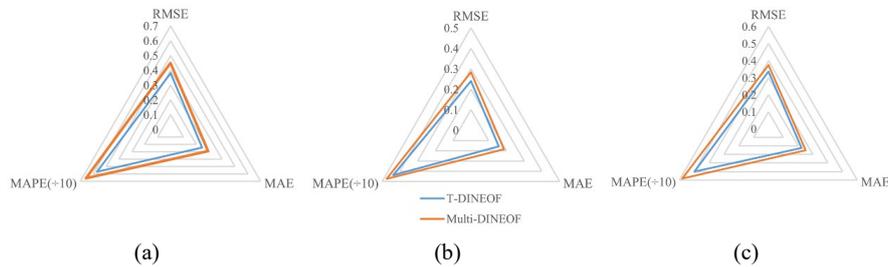
## 6 4.2 Accuracy analysis for sub-regions

7 In this section, the differences in reconstruction methods across various spatiotemporal ranges  
8 are analyzed. The reconstruction accuracies of the T-DINEOF and Multi-DINEOF methods for the  
9 three subregions are shown in Fig. 12. The radar charts reveal that T-DINEOF consistently  
10 outperforms Multi-DINEOF in all three subregions. Specifically, in subregion 2 (the equatorial area),  
11 the accuracy magnitudes are lower than those in subregions 1 and 3 (the mid-latitude areas), as  
12 indicated by the smaller polygon area on the radar chart. This suggests better reconstruction  
13 performance in the equatorial region. Fig. 2a shows that the proportion of missing values in the  
14 equatorial region is lower than in the mid-latitude regions of both hemispheres. A lower proportion  
15 of missing values means more information is available for reconstruction, resulting in higher  
16 reconstruction accuracy in the equatorial region. Additionally, the accuracy differences between the  
17 two methods are smaller in the equatorial region compared to the mid-latitude areas. This indicates  
18 that in regions with a small proportion of missing values, both methods can achieve similar  
19 reconstruction accuracy.

20 Compared to subregion 3, the accuracy differences between T-DINEOF and Multi-DINEOF  
21 are more pronounced in subregion 1. Specifically, compared to Multi-DINEOF, T-DINEOF  
22 improves RMSE by 15.4%, MAE by 16.1%, and MAPE by 13.1% in subregion 1, while improving  
23 RMSE by 9.8%, MAE by 10.9%, and MAPE by 14.0% in subregion 3. Since the proportion of  
24 missing values in subregion 1 is higher than in subregion 3 (as shown in Fig. 2a), it can be inferred  
25 that T-DINEOF is more suitable for regions with a high proportion of missing values compared to  
26 Multi-DINEOF. The difference may be attributed to the structural designs of the Multi-DINEOF

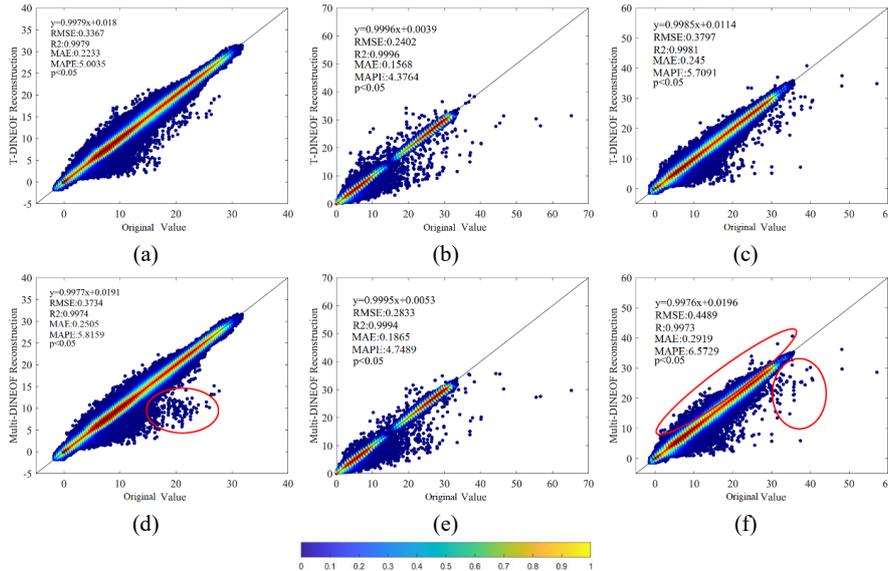


1 and T-DINEOF approaches. Multi-DINEOF reshapes all spatiotemporal variables into a single two-  
 2 dimensional matrix, making its reconstruction accuracy more susceptible to degradation when the  
 3 proportion of missing values is high. In contrast, the tensor structure of T-DINEOF distributes  
 4 missing data across the third dimension, thereby mitigating the adverse impact of high missing-  
 5 value proportions.



6 Fig. 12 Radar charts of statistical accuracies for subregions 1-3 (a-c). The blue and red polygons  
 7 represent T-DINEOF and Multi-DINEOF, respectively.

8 As shown in Fig. 13, the scatter density plots for T-DINEOF and Multi-DINEOF in all three  
 9 subregions are mostly concentrated around the 1:1 line, with the slopes of the fitting lines exceeding  
 10 0.99, indicating the effectiveness of both methods in these subregions. Additionally, by comparing  
 11 the scatter density plots, it can be observed that, similar to the results from the radar charts, the plots  
 12 for both methods are comparable in subregion 2, despite T-DINEOF having slightly better accuracy.  
 13 In subregions 1 and 3, however, Multi-DINEOF tends to produce some overestimated or  
 14 underestimated values (red ellipses in Figs. 13d and 13f), which may account for its lower accuracy.



15 Fig. 13 Scatter density plots for SST, SCHL, and SSW in subregions 1-3 (from left to right),  
 16 obtained from (a-c) T-DINEOF and (d-f) Multi-DINEOF.

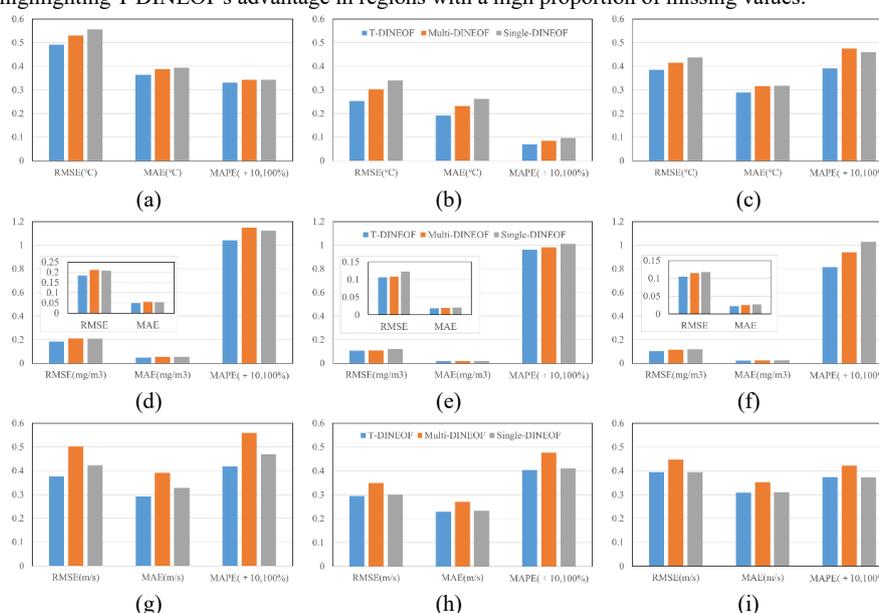
17 The reconstruction accuracies for each ocean variable across the three subregions are analyzed  
 18 separately. Given the significantly larger range of MAPE values for the SCHL data compared to the  
 19 corresponding RMSE and MAE values, enlarged versions of the RMSE and MAE plots are included



1 in the corresponding accuracy charts. As shown in Figs. 14a to 14c, for SST data, although the  
 2 accuracy differences among the three methods are consistent across different subregions, T-  
 3 DINEOF achieves better accuracies than both Multi-DINEOF and Single-DINEOF in all subregions.  
 4 Subregion 2, the equatorial region, shows better reconstruction accuracy than the mid-latitudes, with  
 5 lower RMSE and MAE values. Subregion 1 exhibits higher RMSE and MAE but a lower MAPE  
 6 compared to subregion 3. As shown in Fig. 2b, the proportion of missing SST data is significantly  
 7 lower in the equatorial region than in the mid-latitudes, providing more information for data  
 8 imputation and resulting in higher reconstruction accuracy.

9 As shown in Figs. 14d to 14f, for SCHL data, the differences in reconstruction accuracies  
 10 among the three methods are minimal in subregion 2. However, in subregions 1 and 3, T-DINEOF  
 11 achieves superior accuracy, particularly in subregion 3. Fig. 2c shows that subregion 3 has the  
 12 highest proportion of missing SCHL data, suggesting that T-DINEOF performs better in areas with  
 13 a higher proportion of missing values.

14 As shown in Figs. 14g to 14i, the trend in SSW reconstruction accuracy is consistent across all  
 15 three subregions: Multi-DINEOF exhibits the lowest accuracy, followed by Single-DINEOF, with  
 16 T-DINEOF achieving the highest accuracy. The accuracy differences are most pronounced in  
 17 subregion 1, while in subregions 2 and 3, T-DINEOF slightly outperforms Single-DINEOF.  
 18 According to Fig. 2d, subregion 1 has the highest proportion of missing SSW data, further  
 19 highlighting T-DINEOF's advantage in regions with a high proportion of missing values.



20 Fig. 14 Statistical accuracies for (a-c) SST, (d-f) SCHL, and (g-i) SSW in subregions 1-3 (from  
 21 left to right).

22 We also selected daily L3 mapped MODIS Aqua SST and SCHL data, along with daily L3  
 23 AMSR2 SSW data from January to March 2022, to assess the effectiveness of the T-DINEOF  
 24 method in reconstructing daily datasets with high missing-data proportions. As shown in Fig. S2,  
 25 despite the missing-data ratio exceeding 78% in all three subregions, T-DINEOF still outperforms  
 26 Multi-DINEOF for the daily datasets, consistent with the results obtained for the monthly data. This



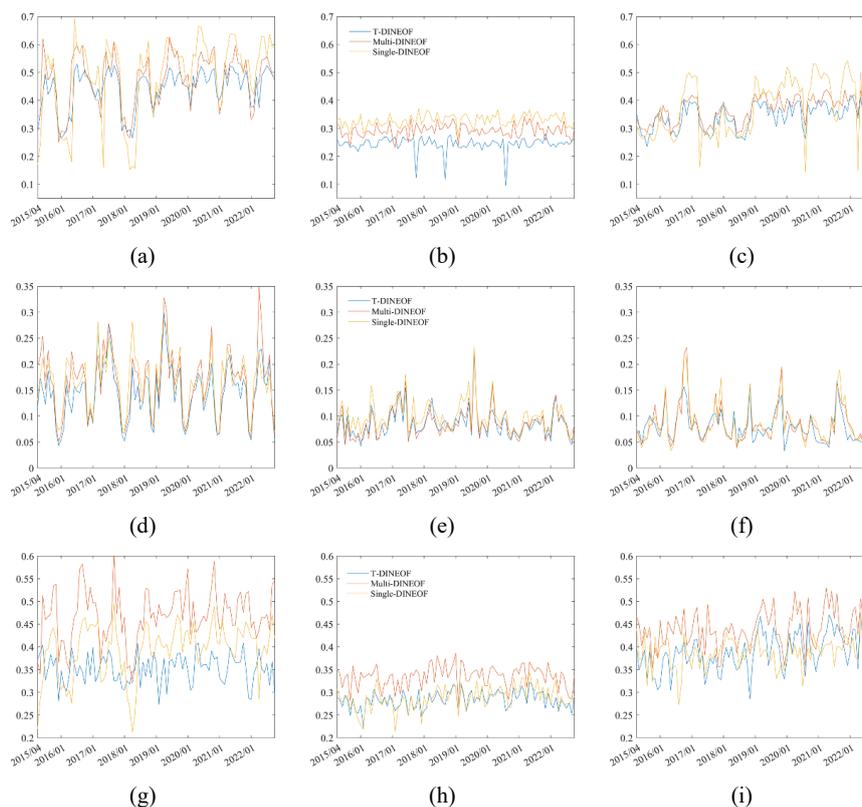
1 conclusion further confirms the robustness of the T-DINEOF method and its effectiveness in  
2 reconstructing datasets with a high proportion of missing values. It is also important to note that the  
3 RMSE values reported in this study were computed using only the existing pixels. Consequently,  
4 when the missing-data ratio is high, the reduced number of pixels available for the calculation may  
5 result in an underestimation of the RMSE.

6 Fig. 15 shows the monthly RMSE distributions for the three oceanic variables across different  
7 subregions during the experimental period. As illustrated in Figs. 15a to 15c, the RMSE variations  
8 for SST in subregion 2 are relatively small, indicating more stable reconstruction performance,  
9 while subregion 1 shows the greatest RMSE variation. In subregion 2, T-DINEOF (blue line)  
10 consistently achieves the lowest RMSE values during most periods, particularly in October 2017,  
11 September 2018, and August 2020. Despite not always having the lowest RMSE in subregions 1  
12 and 3, T-DINEOF exhibits the least variation, highlighting its stable performance. Single-DINEOF  
13 (green line) shows significant fluctuations, achieving the lowest RMSE in some months but  
14 reflecting overall instability and lower accuracy. Multi-DINEOF, although more stable than Single-  
15 DINEOF, still trends higher in RMSE compared to T-DINEOF.

16 As shown in Figs. 15d to 15f, for SCHL data, the RMSE values for T-DINEOF are slightly  
17 lower than those for Multi-DINEOF, with both showing similar RMSE distributions across  
18 subregions. Single-DINEOF occasionally shows higher RMSE, indicating poorer reconstruction  
19 accuracy. Generally, in subregion 1 (the mid-latitude regions in the Northern Hemisphere), the  
20 RMSE values exhibit pronounced fluctuations and follow a periodic pattern, with lower RMSE  
21 values in winter and higher in summer. The periodic RMSE variations observed in subregion 1 may  
22 be associated with the homogeneity of the SCHL data. During winter, subregion 1 exhibits lower  
23 standard deviation (std) values (Fig. S1), indicating higher SCHL homogeneity. Generally, more  
24 homogeneous regions tend to exhibit lower reconstruction errors. Conversely, in summer, higher std  
25 values reflect greater variability in SCHL, leading to correspondingly larger reconstruction errors.  
26 In subregion 3, the RMSE also shows a certain degree of periodic variation; however, compared  
27 with subregion 1, its RMSE values lack a clear periodic pattern. This finding is consistent with the  
28 relatively small std values of subregion 3 shown in Fig. S1. In subregion 2, RMSE variations are  
29 smaller and exhibit no apparent regularity.

30 For SSW data, as illustrated in Figs. 15g to 15i, the RMSE values are generally lower in  
31 subregion 2 (the equatorial region) compared to the mid-latitudes. Multi-DINEOF consistently  
32 shows higher RMSE values across all subregions, especially in subregion 1, indicating poorer  
33 performance in SSW reconstruction. Single-DINEOF occasionally achieves lower RMSE, but its  
34 values fluctuate more and are generally higher than those for T-DINEOF, which demonstrates  
35 greater stability and lower RMSE.

36 Since T-DINEOF, Multi-DINEOF, and Single-DINEOF all perform reconstruction on the  
37 entire spatiotemporal dataset, no clear relationship can be found between the missing data  
38 proportion of individual scenes and their corresponding reconstruction accuracy (not shown in  
39 manuscript).



1 Fig. 15 Monthly RMSEs for (a-c) SST, (d-f) SCHL, and (g-i) SSW in subregions 1-3 (from left to  
 2 right).

### 3 5. Discussion

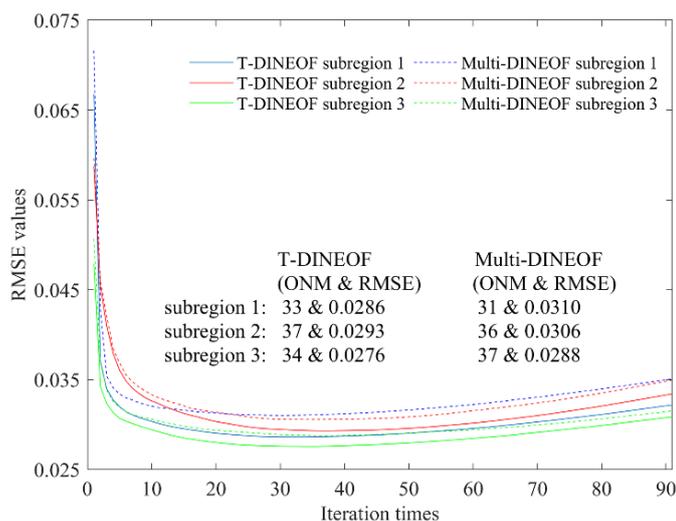
4 The current multivariate DINEOF method essentially performs matrix-based reconstruction,  
 5 which cannot fully utilize correlations between variables. With the development of third-order  
 6 tensor research, there has been growing interest in the decomposition and reconstruction of third-  
 7 order tensor. A T-DINEOF model based on the T-SVD technique was proposed in this study to better  
 8 explore the relationships among multivariable data. Multiple accuracy metrics demonstrate that T-  
 9 DINEOF outperforms the conventional Multi-DINEOF method both globally and across various  
 10 subregions, indicating the superiority of third-order tensor reconstruction over matrix-based  
 11 reconstruction. In addition, the proportion of missing data significantly impacts reconstruction  
 12 accuracy. The experiments confirm that T-DINEOF shows a more substantial improvement in  
 13 accuracy for regions with a high proportion of missing values. Furthermore, the correlations among  
 14 different oceanic variables also affect reconstruction accuracy, with better performance observed  
 15 when reconstructing multiple highly correlated variables together compared to reconstructing each  
 16 variable individually. In addition, the statistical results demonstrate that even when data correlation  
 17 is low, T-DINEOF still improves the reconstruction accuracy of the relevant oceanic variables. This  
 18 improvement arises from performing tensor decomposition in a high-dimensional space (space  $\times$



1 time  $\times$  variable), which allows T-DINEOF to exploit cross-dimensional dependencies and capture  
2 coherent spatial-temporal patterns shared across variables. Consequently, the model can more  
3 effectively reconstruct low-correlation variables, as their spatial and temporal structures remain  
4 embedded within the overall tensor framework.

5 Although T-DINEOF outperforms the original DINEOF and Multi-DINEOF methods, it still  
6 relies on a single global optimal mode, which may not be ideal for capturing heterogeneous local  
7 dynamics within the study area or achieving the best reconstruction in specific regions and during  
8 intermediate iterations. Therefore, integrating improvements from original DINEOF methods and  
9 their variants into third-order tensor reconstruction is necessary. Furthermore, this study uses  
10 monthly oceanic variables, so temporal correlations are not considered. If daily or weekly data were  
11 used, incorporating temporal correlations among various oceanic variables would be essential for  
12 enhancing reconstruction accuracy. Additionally, compared to monthly data, daily or weekly  
13 datasets typically exhibit higher proportions of missing values. In this study, we demonstrated that  
14 T-DINEOF outperforms both Multi-DINEOF and Single-DINEOF in regions with high missing data  
15 proportions, suggesting that it may be more advantageous for reconstructing daily or weekly data  
16 (Fig. S2). However, due to the tensor operations involved, T-DINEOF requires longer computation  
17 times to reconstruct the same region. This may limit its application to larger-scale tensors, such as  
18 longer time-series images (91 scenes were used in this study) or tensors with more dimensions (three  
19 variables in this study). It is evident that, over the same time span, the volume of daily or weekly  
20 data is significantly greater than that of monthly data. Given the substantial data volume inherent in  
21 a third-order tensor, optimizing computational processes and accelerating processing speeds are  
22 crucial for the effective application of T-DINEOF to high-temporal-resolution datasets. Finally, it  
23 should be noted that if the source datasets contain systematic biases, such errors may be propagated  
24 through the reconstruction process, potentially affecting the accuracy of the final results.

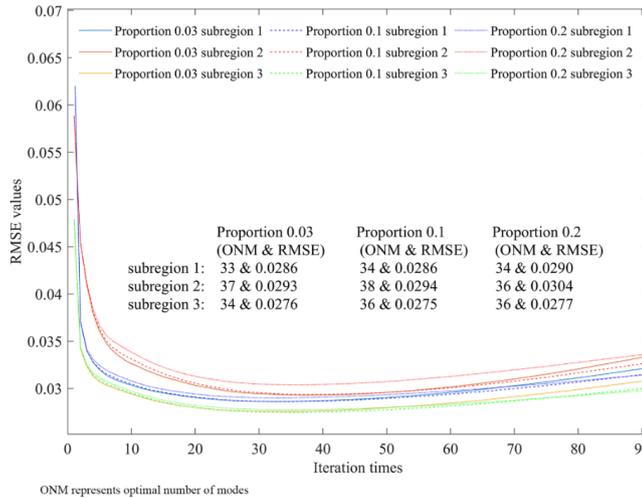
25 The optimal number of modes is an essential parameter for both T-DINEOF and Multi-  
26 DINEOF, typically determined by the smallest RMSE value at the cross-validation pixels during the  
27 iterative process. As shown in Fig. 16, during the iterative process, the RMSE distributions from the  
28 cross-validation pixels for T-DINEOF in the three subregions are lower than those for Multi-  
29 DINEOF, indicating superior reconstruction performance by T-DINEOF. In these three subregions,  
30 the optimal number of modes for T-DINEOF is 33, 37, and 34, respectively, compared to 31, 36,  
31 and 37 for Multi-DINEOF. The similarity in the optimal number of modes suggests that both  
32 methods converge after a similar number of iterations, but T-DINEOF consistently achieves lower  
33 RMSE values.



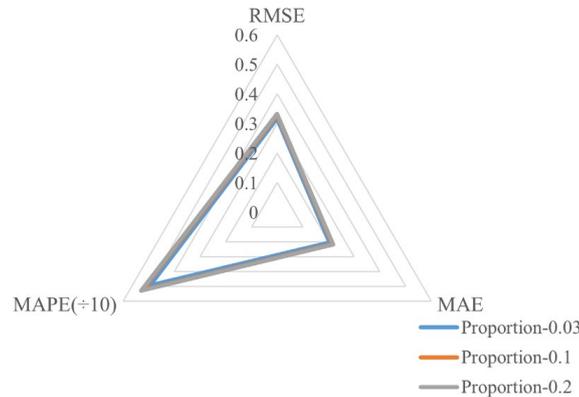
ONM represents optimal number of modes

1  
2 Fig. 16 Distributions of RMSE values at the cross-validation pixels for T-DINEOF and  
3 Multi-DINEOF across three subregions during the iterative process.

4 In both the original DINEOF and Multi-DINEOF methods, 3% of existing pixels are randomly  
5 selected as cross-validation pixels to determine the optimal number of modes. In this study, we  
6 individually selected 3%, 10% and 20% of the existing pixels as the cross-validation pixels to  
7 analyze the impact of different proportions of cross-validation pixels on T-DINEOF. As shown in  
8 Fig. 17, the RMSE distributions for different proportions of cross-validation pixels are similar across  
9 the three subregions. The optimal numbers of modes and the corresponding RMSE values obtained  
10 from these varying proportions show only minor differences. This suggests that the proportion of  
11 cross-validation pixels has a negligible impact on determining the optimal number of modes for T-  
12 DINEOF. Since cross-validation pixels are considered as missing values in the process of  
13 determining the optimal number of modes, the fact that T-DINEOF yields similar optimal numbers  
14 of modes and corresponding RMSE values across different proportions of cross-validation pixels  
15 indicates its stability in handling varying amounts of missing data.



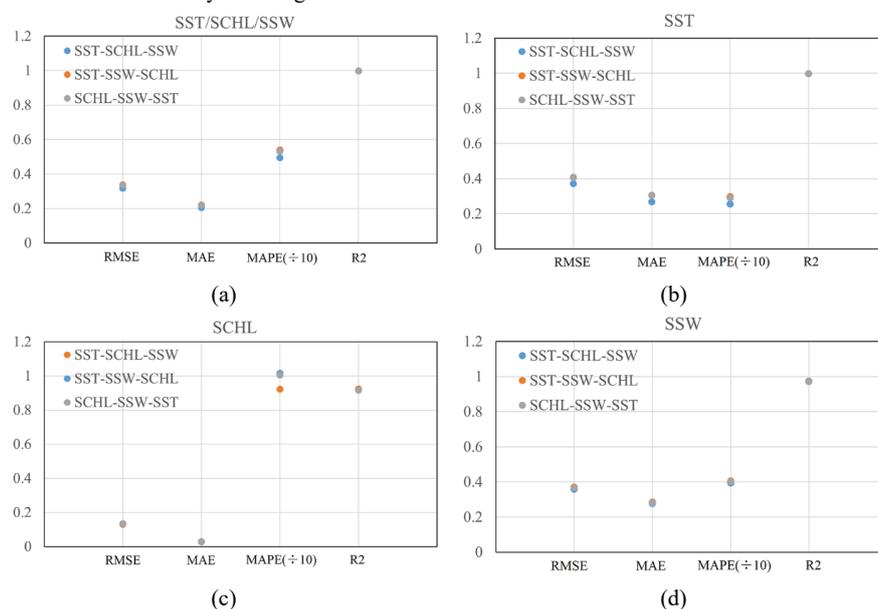
1  
 2 Fig. 17 Distributions of RMSE values for T-DINEOF with cross-validation point proportions  
 3 of 0.03, 0.1, and 0.2 across three subregions during the iterative process.  
 4 The global reconstruction accuracies of the T-DINEOF model under different proportions of  
 5 cross-validation pixels are illustrated in Fig. 18. Overall, the accuracies for the three proportions are  
 6 quite similar, with the corresponding polygons nearly overlapping. The only difference is that the  
 7 MAPE value for T-DINEOF using a 3% proportion is slightly lower. This suggests that the  
 8 proportion of cross-validation pixels has a minimal impact on the reconstruction accuracy of the T-  
 9 DINEOF model. Therefore, consistent with the conventional DINEOF method, 3% of the existing  
 10 pixels were randomly selected as the cross-validation pixels in this study.



11  
 12 Fig. 18 Radar chart of global statistical accuracies. The blue, red, and green polygons  
 13 represent T-DINEOF with cross-validation point proportions of 0.03, 0.1, and 0.2, respectively.  
 14 In this study, the order of the oceanic variables in the input third-order tensor, specifically, the  
 15 third dimension, was SST-SCHL-SSW. To analyze the impact of variable ordering on T-DINEOF,  
 16 two alternative input orders, SST-SSW-SCHL and SCHL-SSW-SST, were randomly selected for  
 17 comparative analysis. As shown in Fig. 19, the reconstruction accuracies for SST, SCHL, and SSW,  
 18 as well as for each individual oceanic variable, are similar across the different input orders,  
 19 particularly for the SCHL and SSW data. For SST data, the order of the input data has a minor



1 impact, with an average RMSE difference of 0.04°C, and the optimal configuration for  
 2 reconstruction is the SST-SCHL-SSW order. Since the T-DINEOF method is built on a tensor  
 3 reconstruction framework, it simultaneously optimizes multiple variables during the reconstruction  
 4 process, and the order of input variables has minimal effect on reconstruction accuracy, which is  
 5 one of the method’s key advantages.



6 Fig. 19 Differences in accuracies from different input orders for (a) the three oceanic  
 7 variables, (b) SST, (c) SCHL and (d) SSW.

8 Finally, the proposed T-DINEOF method, with its capability to reconstruct missing values in  
 9 multi-dimensional spatiotemporal datasets, has broad applications beyond oceanography. In  
 10 numerical weather prediction, it can fill gaps in key variables such as SST and SSW, improving data  
 11 completeness and forecast accuracy by preserving inter-variable correlations. During natural  
 12 disasters—such as hurricanes or harmful algal blooms—it helps restore data lost due to cloud cover  
 13 or sensor failure, enabling more accurate real-time monitoring and timely emergency response. In  
 14 marine biodiversity research, T-DINEOF ensures the continuity of datasets like SST and SCHL,  
 15 which are critical for tracking ecological changes and assessing climate impacts. Additionally, in  
 16 fisheries and aquaculture, reconstructed environmental baselines support stock assessments, site  
 17 selection, and early detection of stressors such as hypoxia, thereby promoting sustainable resource  
 18 management. Future research will focus on the following directions: achieving locally optimal  
 19 reconstruction within the third-order tensor and refining the iterative process of the T-DINEOF  
 20 model to further enhance its performance; integrating temporal autocorrelations among multiple  
 21 oceanic variables into the tensor reconstruction framework to more comprehensively capture the  
 22 dynamic coupling of oceanic parameters; and improving computational efficiency to enable large-  
 23 scale, high spatiotemporal resolution reconstruction and applications of multiple oceanic variables.



## 1 **6. Conclusions**

2 This study proposed the T-DINEOF model, which leverages the T-SVD technique for  
3 multivariable data reconstruction, aiming to improve the accuracy of multiple oceanic variables  
4 reconstruction. In comparison to the Multi-DINEOF and original DINEOF methods, which rely on  
5 matrix-based reconstruction, T-DINEOF demonstrates superior accuracy for both overall and  
6 individual oceanic variables. This indicates that third-order tensor reconstruction more effectively  
7 utilizes the correlations among multiple oceanic variables, thus enhancing reconstruction  
8 performance. Additionally, T-DINEOF is particularly well-suited for regions with a high proportion  
9 of missing values, showing significant accuracy improvements. Even in cases of low data  
10 correlation, T-DINEOF can still improve the reconstruction accuracy of the relevant oceanic  
11 variables.

12 Both T-DINEOF and Multi-DINEOF converge with a similar number of iterations; however,  
13 T-DINEOF achieves higher accuracy at convergence. Various proportions of cross-validation pixels  
14 yield similar optimal numbers of modes and corresponding RMSE values, suggesting that the  
15 proportion of cross-validation pixels does not significantly impact the T-DINEOF model.  
16 Additionally, the order of multiple oceanic variables in the third-order tensor has a minimal effect  
17 on the T-DINEOF model.

18 The T-DINEOF model represents a novel approach for reconstructing multiple oceanic  
19 variables. However, attaining local optimal reconstruction within the third-order tensor and  
20 optimizing the iterative process of the T-DINEOF model are crucial for further enhancing its  
21 performance. Additionally, integrating temporal correlations among multiple oceanic variables into  
22 the tensor reconstruction process requires further research. Furthermore, improving computational  
23 efficiency is essential for the large-scale reconstruction of multiple oceanic variables. One potential  
24 approach is to use machine learning methods (e.g., Random Forests, XGBoost, or neural networks)  
25 for the initial estimation of missing values, followed by the application of the T-DINEOF method  
26 for further refinement, thereby improving the algorithm's efficiency. Lastly, the effectiveness of the  
27 T-DINEOF method in reconstructing other types of datasets, such as sea surface salinity, and  
28 reanalysis products, will also be evaluated in future research.

## 29 **Author Contributions**

30 Ping Bo: Conceptualization, Data Curation, Writing-Original Draft, Methodology, Supervision,  
31 Funding Acquisition, Yang Ruiting: Writing-Review & Editing, Meng Yunshan: Methodology,  
32 Writing-Review & Editing, Su Fenzhen: Supervision, Writing-Original Draft, Writing-Review &  
33 Editing, Xue Cunjin: Writing-Review & Editing.

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## 1 **Data Availability Statement**

2 Publicly available datasets were analyzed in this study. The processed SST, SCHL, and SSW  
3 datasets used to construct the objective tensors can be found on Zenodo (Ping et al., 2025,  
4 <https://doi.org/10.5281/zenodo.17489278>). Monthly L3 mapped 4-km MODIS Aqua satellite-  
5 derived SST and SCHL data can be download from Ocean Color (<https://oceancolor.gsfc.nasa.gov/>);  
6 monthly approximately 25-km L3 AMSR2 10-meter above sea surface wind (SSW) version 8.2 data  
7 can be downloaded from Remote Sensing Systems (RSS, <https://remss.com/missions/amsr/>).

## 8 **Competing interests**

9 The authors declare that they have no conflict of interest.

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