

1 **A global view of the stratospheric background, volcanic and**
2 **wildfire aerosol in the CALIOP era (2006 – 2023)**

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6 **Abstract.** This study deals with the stratospheric aerosol during the 17 years of lidar
7 measurements with CALIOP. To obtain extinction from the backscattering
8 measurements, we estimated the lidar ratios of the main aerosol injections into the
9 stratosphere. The stratospheric background is estimated by making a subdivision of the
10 stratosphere into nine parts, spanned by three latitude and altitude intervals, reaching
11 background conditions individually at different times. The extracted background shows
12 excellent agreement with solar occultation measurements in the volcanically quiescent
13 period 1998 - 2000. Our results show that 70% of the background aerosol in the deep
14 Brewer-Dobson (dBD) branch is formed above 19 km altitude, indicating strong
15 influence of carbonyl sulfide on the stratospheric background aerosol. The stratosphere
16 was clearly affected by 15 volcanic eruptions and 5 wildfires. Their combined aerosol
17 load affected Southern extratropics, tropics and Northern extratropics almost equally,
18 and the altitude distribution shows that the shallow Brewer-Dobson branch was most
19 affected (43%) followed by the dBD (31%) and lowermost stratosphere (26%). The most
20 important events in order of maximum AOD were the Hunga Ha’apai eruption (2022),
21 Australian wildfires (2019-20) and the eruptions of Raikoke (2019), Sarychev (2009) and
22 Nabro (2011). These events induced strong variability in the stratospheric aerosol
23 optical depth (AOD), causing highly variable climate impact in the period studied with
24 yearly average global effective radiative forcing ranging from -0.14 W/m^2 at background
25 conditions to -0.4 W/m^2 . CALIOP provided important data for stratospheric aerosol
26 climatologies during its 17 years of operation.

27

28 **1. Introduction**

29 Tropospheric air, containing aerosol particles and the sulfurous aerosol precursor gases
30 carbonyl sulfide (OCS) and sulfur dioxide (SO₂), enter the stratosphere across the
31 tropical tropopause. These constituents form the stratospheric background aerosol
32 (Kremser et al., 2016), an aerosol layer that is located above 20 km altitude in the
33 tropics, and lower in the extratropics, of containing water-soluble sulfur-rich particles
34 (Junge et al., 1961). Additional background aerosol, that can be classified as
35 background due to its diffuse nature, originates from the Asian Tropopause Aerosol
36 Layer (ATAL), an aerosol layer between 13 – 18 km altitude over Asia (Vernier et al.,
37 2015). The stratospheric background aerosol contains sulfate, water, organics, and
38 minor traces of tropospheric aerosol and extraterrestrial material (Martinsson et al.,
39 2005; Murphy et al., 2007; Kremser et al., 2016; Martinsson et al., 2019).

40 The stratospheric aerosol load is highly variable due to special aerosol events
41 connected to volcanism (Bauman et al., 2003; Vernier et al., 2009; Solomon et al., 2011;
42 Andersson et al., 2015) and wildfires (Fromm et al., 2010; Martinsson et al., 2022;
43 Friberg et al, 2023, Peterson et al., 2025), which inject copious amounts of aerosol and
44 precursor gases affecting the stratospheric aerosol for months up to several years
45 (Friberg et al., 2018). These aerosol events induce a variability that needs to be
46 accounted for in climate models. From 1979, the satellite measurement era, the most
47 important volcanic eruptions, El Chichon in 1982 and Mt. Pinatubo (1991), caused a
48 maximum global 3-month average effective radiative forcing of -2 and -3 W/m²,
49 respectively (Schmidt et al., 2018). After a period of low volcanic influence on the
50 stratosphere around the turn of the millennium, many volcanic eruptions and wildfires
51 have affected the aerosol in the stratosphere. The most important are the 2019-20
52 Australian wildfires and the eruptions of Sarychev (2009), Raikoke (2019) and Hunga
53 Ha’apai (2022). The latter had the strongest influence on the stratospheric aerosol,
54 inducing a global 1-year average effective radiative forcing of -0.24 W/m² in addition to
55 the background forcing (Martinsson et al., 2025).

56 Fresh wildfire aerosol particles contain black carbon and a dominating fraction of
57 organics (Garofalo et al., 2019), where the latter is rapidly lost (half-life 10 days) in the

58 stratosphere due to photolysis (Martinsson et al., 2022). The composition of volcanic
59 stratospheric aerosol particles varies. SO₂-rich volcanic emissions, like the 2008
60 eruption of Kasatochi, are dominated by sulfate, some organics and a minor fraction of
61 ash (Martinsson et al., 2009, Andersson et al., 2013; Friberg et al., 2014). On the other
62 hand, SO₂-poor eruptions, like that of Puyehue-Cordón Caulle in 2011, ~~is~~ **are** dominated
63 by ash (Clarisse et al., 2013). Steam-boosted eruptions of submarine volcanoes (Mastin
64 et al., 2024), like the 2022 eruption of Hunga Ha’apai, can result in a stratospheric
65 aerosol with a strong contribution from sea salt (Martinsson et al., 2025).

66 From the beginning of extensive satellite data in the late 1970s the stratospheric aerosol
67 load has usually been measured using solar occultation (Sato et al., 1993). GloSSAC
68 ([Global Space-based Stratospheric Aerosol Climatology](#)), a later construction of a
69 continuous record of optical properties of stratospheric aerosol spanning 1979 to
70 present, has a core of solar occultation measurement with the notable 22 year era of
71 SAGE II continuing a few years of solar occultation measurement by SAM II and SAGE I
72 (Thomason et al., 2018). Solar occultation became unavailable during 2005 – 2017. To
73 continue the GloSSAC record, other satellite-based measurements were deployed. The
74 limb scatter instrument OSIRIS (Rieger et al., 2015) and the lidar CALIOP (Cloud-Aerosol
75 Lidar with Orthogonal Polarization) (Winker et al., 2010) were, after substantial
76 recalibration (Thomason et al., 2018, Kovilakam et al., 2020, Kovilakam et al., 2023),
77 used to bridge the gap to obtain continuous time series of stratospheric aerosol
78 properties. Mixing data from many sources that are relying on different measurement
79 principles is however complex, as pointed out by Thomason et al. (2018). We will
80 discuss this matter further in section 4.4.

81 This work deals with the stratospheric aerosol in the CALIOP era, spanning the 17-year
82 period 2006-06-12 to 2023-06-30. CALIOP data (level 1B, version 4-51) is corrected for
83 attenuation, and the lidar ratio is estimated for the stratospheric aerosol resulting from
84 12 volcanic eruptions and wildfires. The stratosphere from the tropopause to 35 km
85 altitude is divided into ~~nine~~ **three** altitude and **three** latitude parts, **in total nine parts**,
86 where the backscattering of the background stratospheric aerosol is identified and its
87 sources discussed. By subtraction of the signal from the background aerosol, the
88 backscattering from major stratospheric aerosol events is obtained. This is converted to

89 AOD using the estimated lidar ratios. We find that ~~the global average~~ aerosol
90 backscattering intensity on ~~average~~ exceeded the background by 55% in the 17 years
91 studied. The strongest influence from volcanism and wildfires was in 2022 and 2023
92 due to the submarine Hunga Ha’apai eruption. The second strongest occurred in 2020
93 due to the Australian wildfires, followed by 2009 (Sarychev eruption) and 2019 (mainly
94 the Raikoke eruption). 2013 was a year when the entire stratosphere was close to
95 background conditions. Finally, we discuss the validity of lidar data in comparison with
96 the more established data based on solar occultation.

97 **2. Methods**

98 This paper is based on measurements with the CALIOP lidar instrument aboard the
99 CALIPSO (Cloud-Aerosol Lidar and Infrared Pathfinder Satellite Observation) satellite
100 that completed approximately 15 orbits between latitudes -82 and 82° each day.

101 ***2.1 CALIOP properties and methods applied***

102 CALIOP with a laser of 532 nm wavelength produced vertical profiles of backscattering
103 intensity from air molecules, aerosol particles and cloud drops from the ground up to 35
104 km altitude with high vertical resolution depending on altitude. In the altitude ranges <
105 8.2, 8.2 - 20.2, 20.2 – 30.1 and >30.1 km the vertical resolution is 30, 60, 180 and 300 m,
106 respectively (Winker et al., 2007, 2010). Here we use data only from the stratosphere,
107 where the tropopause altitude according to MERRA-2 reanalysis (Modern-Era
108 Retrospective analysis for Research and Applications) (Gelaro et al., 2017) was used to
109 discriminate data from the troposphere. Only data recorded during nighttime were used
110 in the general evaluation concerning all the CALIOP data available (Friberg et al., 2018;
111 Martinsson et al., 2022), implying that data will be missing at high latitudes for part of
112 the year with the strongest influence at the summer solstice. The data were
113 extrapolated linearly to cover all the way to 80° latitude in both hemispheres. The
114 uncertainties in the global perspective used here are minor due to the small fraction of
115 the surface area of the earth affected by the extrapolation.

116 The evaluation is based on version 4-51 of CALIOP level 1B data (NASA/LARC/SD/ASDC,
117 2024). Clouds within 3km above-in the tropopause region were discriminated based on

118 depolarization of the signal obtained from the CALIOP instrument, and polar
119 stratospheric clouds were discriminated based on temperature and data taken in the
120 South Atlantic Anomaly are filtered out as explained in (Friberg et al., (2018); and
121 Martinsson et al., (2022). The backscatter data were corrected for attenuation by
122 methodology described in Martinsson et al. (2022) and were first converted to extinction
123 by the standard effective lidar ratio $S = 50 S_{sr}$ used for CALIOP (Kar et al., 2019).
124 Volcanic eruptions and wildfires with lidar ratio deviating from $50 S_{sr}$ by more than 5%
125 were corrected, see sections 2.3 and 4.2.

126 The stratospheric CALIOP level 3 product (Kar et al., 2019) and the data presented here
127 are both based on the CALIOP level 1B data set but differ with respect to latitude-,
128 longitude- and time-resolution, where CALIOP level 3 is based on monthly averages and
129 we normally use a time-resolution of 1 – 8 days depending on issue investigated. These
130 data sets also differ in with respect to lidar ratios: CALIOP level 3 extinction is obtained
131 based on a fixed lidar ratio of $50 sr$, whereas we, when possible, estimate effective lidar
132 ratios for aerosol from individual volcanic eruptions and wildfires as described in
133 sections 2.3, 3.1 and 4.2. The notion “effective” relates to that CALIOP is affected by
134 multiple scattering, implying that use of lidar ratios for measurements unaffected by
135 multiple scattering, i.e., the true physical relation between extinction and
136 backscattering of the aerosol studied, will result in overestimation the extinction (Prata
137 et al., 2017; Martinsson et al., 2022). Another difference between CALIOP level 3 and
138 our method is that we correct data for attenuation of the detected scattered light
139 (Martinsson et al., 2022), which is important for identification and quantification of
140 aerosol processes in wildfire aerosol (Martinsson et al., 2022; Friberg et al., 2023) and
141 volcanic aerosol (Martinsson et al., 2025) and to obtain the AOD without influence from
142 attenuation.

144 **2.2 Estimation of the stratospheric background**

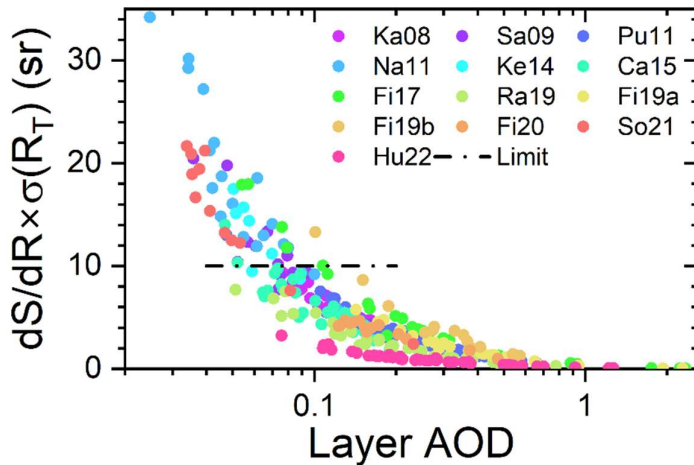
145 The stratospheric aerosol background can rarely be observed in the entire stratosphere.
146 The last time the stratosphere was practically unaffected by injections from volcanic
147 eruptions and wildfires for several years was a few years around the turn of the

148 millennium (Solomon et al., 2011). Still, we need to find means to estimate the
149 stratospheric background because we can estimate the lidar ratio of stratospheric
150 injections from volcanic eruptions and wildfires (presented in next section) but not for
151 the background aerosol. Injections from aerosol events seldom affect the entire
152 stratosphere. Therefore, parts of the stratosphere can be in background conditions
153 when other parts are affected by aerosol injections.

154 To study the background conditions, the stratosphere was subdivided into nine parts
155 spanned by three altitude layers: the lowermost stratosphere (LMS, from the
156 tropopause to the 380 K isentrope, where the latter was obtained from MERRA-2
157 pressures and temperatures), the shallow Brewer-Dobson branch (sBD, between
158 isentropes 380 and 470 K) and the deep Brewer-Dobson branch (dBD, from the 470 K
159 isentrope to 35 km altitude), and three latitude regions: the Southern extratropics
160 (latitudes -80 to -20°), the tropics (latitudes -20 to 20°) and the Northern extratropics
161 (latitudes 20 to 80°). ~~For each of the 17 years of CALIOP measurements, d~~Data were
162 averaged over 8 days resulting in 46 observations per year in each of the nine
163 stratospheric parts. To estimate the background conditions in this 17-year study, the
164 averages of the three years ~~with the lowest~~ average backscattering ~~measurements~~ of
165 each 8-day period were formed. For two of the nine stratospheric parts, the tropical sBD
166 and dBD, background conditions were rare, wherefore only the two lowest years were
167 used in these two stratospheric parts. The method applied results in the minimum
168 concentration observed during the 17-year period. This means that in addition to the
169 tropospheric aerosol and precursor gases entering the stratosphere across the tropical
170 tropopause in the large-scale stratospheric circulation, phenomena such as the ATAL
171 (Vernier et al., 2015) and other exchanges across the extratropical tropopause are
172 included in the background.

173 The extracted lowest 8-day values formed a seasonal pattern that was fitted by the sum
174 of a constant and a sinusoidal function. These fits were used to express the average
175 backscattering of the background aerosol in each of the nine stratospheric parts over
176 the 17 years spanned by CALIOP measurements. The average backscattering converts
177 to AOD when multiplied with the lidar ratio. The fitted background was subtracted from
178 the measured total backscattering to form the backscattering from volcanic eruptions

179 and wildfires. These background-subtracted average backscattering data were
 180 converted to AOD via the lidar ratios obtained from individual aerosol events, as
 181 described in the next section.



182

183 **Figure 1.** Relation between the layer AOD and the measure on the uncertainty of the
 184 lidar ratio estimation. dS/dR is the sensitivity of the lidar ratio (S) to small shifts of the
 185 target scattering ratio (R) and $\sigma(R_T)$ is the standard deviation of the target R of each
 186 eruption or wildfire obtained horizontally beside each aerosol layer investigated. Layers
 187 with uncertainty exceeding 10 Ssr (“limit”) are discarded in the following analysis.

188 **2.3 Lidar ratio**

189 The lidar ratio of the aerosol from the strongest volcanic eruptions and wildfires in the
 190 period studied was estimated based on methodology described in Martinsson et al.
 191 (2022), where individual dense aerosol layers are investigated. In that method a target
 192 value in scattering ratio (R) obtained horizontally beside the studied aerosol layer (R_T) is
 193 reached below the layer in an iterative procedure that results in an estimate of the
 194 effective lidar ratio, while correcting for attenuation of the backscattered signal. The
 195 effective lidar ratio obtained describes the average conditions of the entire layer where
 196 the optical properties in principle can vary. However, the lidar ratio estimates are
 197 obtained in dense aerosol layers, where the influence from background aerosol is small.

198 The uncertainty in the estimated lidar ratio depends on the AOD of the layer. A small
 199 change in the lidar ratio (S) results in a substantial change in the scattering ratio (R)
 200 below a dense layer, i.e., dS/dR is small for dense aerosol layers. dS/dR is obtained by

201 shifting R slightly around R_T . There is also an uncertainty in how well R_T represents the
202 aerosol beneath the layer. We estimate that uncertainty by the standard deviation of the
203 scattering ratio ($\sigma(R_T)$) obtained [horizontally](#) beside all the aerosol layers studied for
204 each volcanic eruption or wildfire. This is thus based on the assumption that the aerosol
205 [horizontally](#) beside and below the aerosol layer have the same standard deviation in R ,
206 but the actual scattering ratios [horizontally](#) beside and below an individual layer are
207 uncorrelated. The estimated uncertainty becomes $dS/dR \times \sigma(R_T)$. Figure 1 shows all
208 $dS/dR \times \sigma(R_T)$ related to the AOD of all the estimations of the lidar ratio. The uncertainty in
209 the lidar ratio estimate increases as the layer AOD decreases, hence a limit was set to
210 $dS/dR \times \sigma(R_T) < 10$ [Ssr](#) to pass as a lidar ratio estimate. As a result, most estimates for
211 three volcanic eruptions, 2011 Nabro (Na11), 2014 Kelut (Ke14), and 2021 Soufriere
212 (So21), among the [126](#) eruptions and wildfires analyzed were lost, as illustrated in
213 Figure 1.

214 For simplicity all the CALIOP data were evaluated using the standard lidar ratio of $S_0 =$
215 [50 Ssr](#) in the general evaluation. In the study of individual aerosol layers (Figure 1) both
216 the AOD based on the estimated lidar ratio and that based on S_0 were computed, where
217 the latter (AOD_{50}) was used to obtain the deviation caused by using S_0 . This deviation
218 depends on the S/S_0 ratio and AOD_{50} , where the effect of S/S_0 is the dominant one
219 except for very dense aerosol layers. The result from the general evaluation is corrected
220 afterwards based on the difference between S_0 and the estimated S , see section 4.2.

221 **3. Results**

222 Here we will present the stratospheric aerosol from the troposphere to 35 km altitude
223 and the latitude range -80 to 80° in the era of lidar measurements by the CALIOP
224 instrument aboard the CALIPSO satellite. CALIOP measured the backscattered intensity
225 from a 532 nm laser beam, which can be converted to extinction by multiplying with the
226 ratio of extinction to backscatter, i.e. the lidar ratio. Knowing the lidar ratio thus is
227 central for quantification by obtaining AOD from CALIOP measurements. We developed
228 methodology to estimate the effective lidar ratio from CALIOP measurements, a
229 methodology that also corrects for attenuation of the laser signal (Martinsson et al.,

230 2022). Here we start by presenting the lidar ratio of the main aerosol events of the
 231 CALIOP era before giving an overview of the AOD in the period studied.

232 **3.1 Lidar ratio**

233 The main aerosol events affecting the stratosphere in the CALIOP era are presented in
 234 Table 1. The methodology we use to estimate lidar ratios requires sufficiently dense
 235 aerosol layers as described in section 2, implying that some of the events mentioned in
 236 Table 1 are not suitable for the methodology. The lidar ratio was investigated for

237 **Table 1.** Major volcanic eruptions and wildfires affecting the stratospheric aerosol in the
 238 CALIOP era.

	Date	Volcano/wildfire	Lat ^a	Lon ^b	SO ₂ (Tg)	References
<i>Volcanic eruptions</i>						
1	2006-05-20	Soufriere Hills (Su)	17°	-62.2°	0.2	Carn and Prata (2010)
2	2006-10-07	Rabaul (Rb)	-4°	152°	0.23	Carn et al. (2009)
3	2008-08-07	Kasatochi (Ka)	52°	-176°	1.7	Thomas et al. (2011)
4	2009-06-12	Sarychev (Sa)	48°	153°	1.2	Haywood et al. (2010)
5	2010-10-05	Merapi (Me)	-7°	110°	0.44	Surono et al. (2012)
6	2011-06-05	Puyehue-Cordón Caulle (Pu)	-40°	-72°	0.25	Clarisse et al. (2012)
7	2011-06-12	Nabro (Na)	13°	42°	1.5	Clarisse et al. (2012)
8	2014-02-13	Kelut (Ke)	-8°	112°	0.18	Li et al. (2017)
9	2015-04-23	Calbuco (Ca)	-41°	-73°	0.3	Pardini et al. (2018)
10	2018-07-27	Ambae (Am)	-15°	168°	0.36	Malinina et al. (2021)
11	2019-06-22	Raikoke (Ra)	48°	153°	1.5	Kloss et al. (2021)
12	2019-06-26	Ulawun (Ul)	-5°	151°	0.14	Kloss et al. (2021)
13	2019-08-03	Ulawun (Ul)	-5°	151°	0.3	Kloss et al. (2021)
14	2021-04-10	Soufriere (So)	13°	-61°	0.31	Taylor et al. (2023)
15	2022-01-15	Hunga Ha'apai (Hu)	-21°	175°	0.45	Carn et al. (2022)
<i>Wildfires</i>						
16	2006-12-19	Australia (A1)	-37°	147°	-	McCarthy et al. (2012)
17	2009-02-07	Australia (A2)	-38°	146°	-	Cruz et al. (2012)
18	2017-08-12	Canada/USA (CU)	53°	-123°	-	Fromm et al. (2021)
19	2019-12-29	Australia (A3)	-37°	149°	-	Peterson et al. (2021)
20	2020-01-04	Australia (A4)	-37°	149°	-	Peterson et al. (2021)

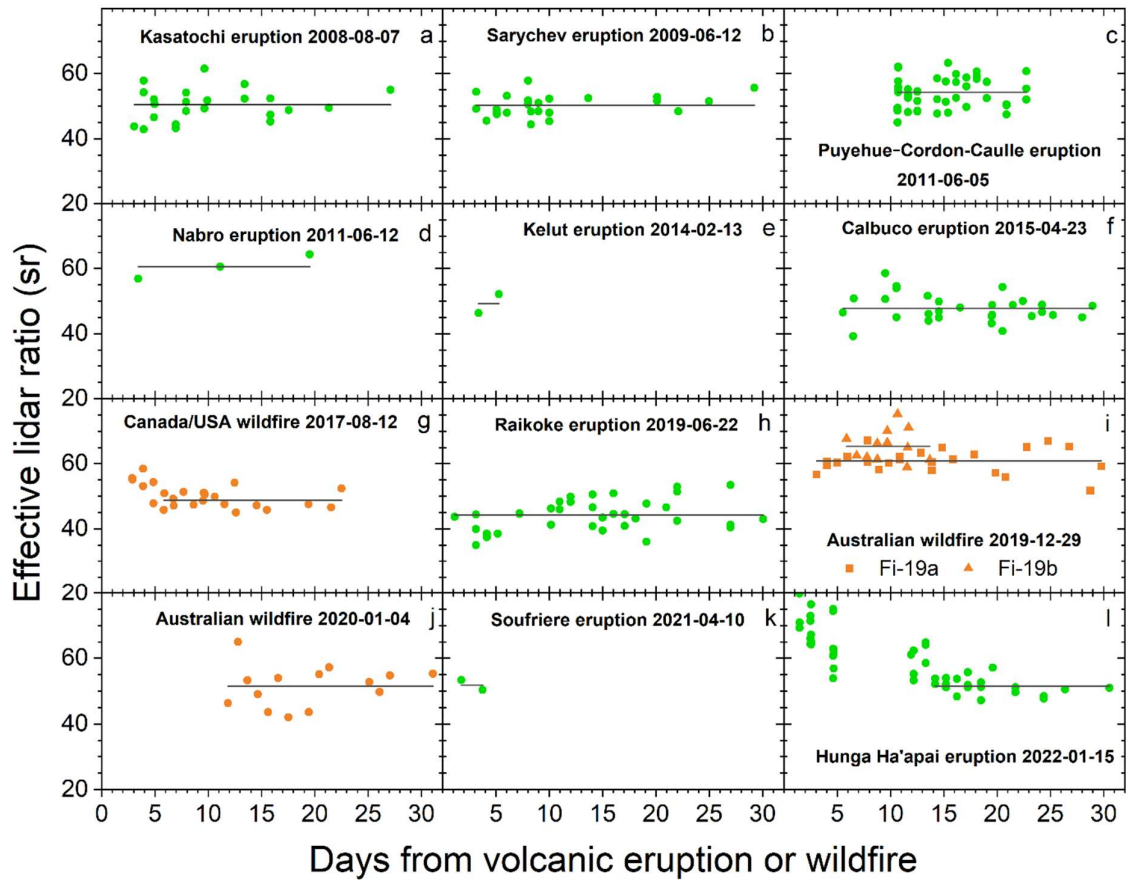
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240 stratospheric aerosol from nine volcanic eruptions and three wildfire events (Figure 2).
 241 For some of these aerosol events the screening related to the uncertainty in the
 242 estimated lidar ratio (Figure 1) resulted in few observations, namely for the 2011 Nabro,

243 2014 Kelut and the 2021 Soufriere eruptions. Most of the eruptions and wildfires display
244 a stable lidar ratio during the first month, whereas two of the events show an initial
245 decrease of the lidar ratio, the 2017 North American wildfire (Figure 2g) and the 2022
246 Hunga Ha’apai eruption (Figure 2l), towards a stable value.

247 Effective lidar ratios are presented here which are best suited for application to
248 measurements that, like CALIOP, are affected by multiple scattering (Martinsson et al.,
249 2022). Compared with previous estimates, the results presented here are approximately
250 20% lower than those of Prata et al. (2017) for the Kasatochi, Sarychev and Puyehue-
251 Cordon Caulle eruptions, who estimated lidar ratio for measurements that are not
252 affected by multiple scattering. Ohneiser et al. (2020) present Raman lidar measurements of
253 the 2019 Australian wildfire (Table 1) that are not affected by multiple scattering. On 2020-01-09
254 around 04:00 UTC (longitude -70.9, latitude -53.2) $S = 76$ sr was obtained. The closest CALIOP
255 measurement in space and time that we evaluated was taken on the same day at 04:05,
256 position (-43.4, -53.1) with $S = 75$ sr. The day before, at position (-57.2, -50.0) $S = 70$ sr and the
257 day after at position (-55.0, -57.1) $S = 71$ sr. All these three measurements belong to the fires
258 taking place last days of 2019, category B (outside the vortex) and are the three highest effective
259 lidar ratios obtained in this category.

260 Stratospheric aerosol resulting from most ~~of the~~ volcanic eruptions and wildfires have
261 a lidar ratio close to 50 ~~S~~sr, which is the commonly used lidar ratio for CALIOP data (Kar
262 et al., 2019). Notable exceptions with lidar ratio deviating by more than 5% from 50 ~~S~~sr
263 are the ash-dominated 2011 eruption of Puyehue-Cordón Caulle (Figure 2c), the 2019



264

265 **Figure 2.** Effective particle lidar ratios the first 30 days after a volcanic eruption or
 266 wildfire with a line displaying the average of each event. All measurements concurring
 267 with the condition $dS/dR \times \sigma(R) < 10$ are displayed for a) Kasatochi eruption 2008-08-07,
 268 b) Sarychev eruption 2009-06-12, c) Puyehue-Cordón-Caulle eruption 2011-06-05, d)
 269 Nabro eruption 2011-06-12, e) Kelut eruption 2014-02-13, f) Calbuco eruption 2015-04-
 270 23, g) Canada/USA wildfire 2017-08-12, h) Raikoke eruption 2019-06-22, i) Australian
 271 wildfire, 2019-12-29 subdivided in part Fi-19a (observations in the vortex (Kablik et al.,
 272 2020)) and Fi-19b (observations outside the vortex), j) Australian wildfire 2020-01-04, k)
 273 Soufriere eruption 2021-04-10 and l) Hunga Ha'apai eruption 2022-01-15. The averages
 274 include all data points except for the Canada/USA wildfire and the Hunga Ha'apai
 275 eruption where the initial decline in the lidar ratio is not part of the average represented
 276 by horizontal lines.

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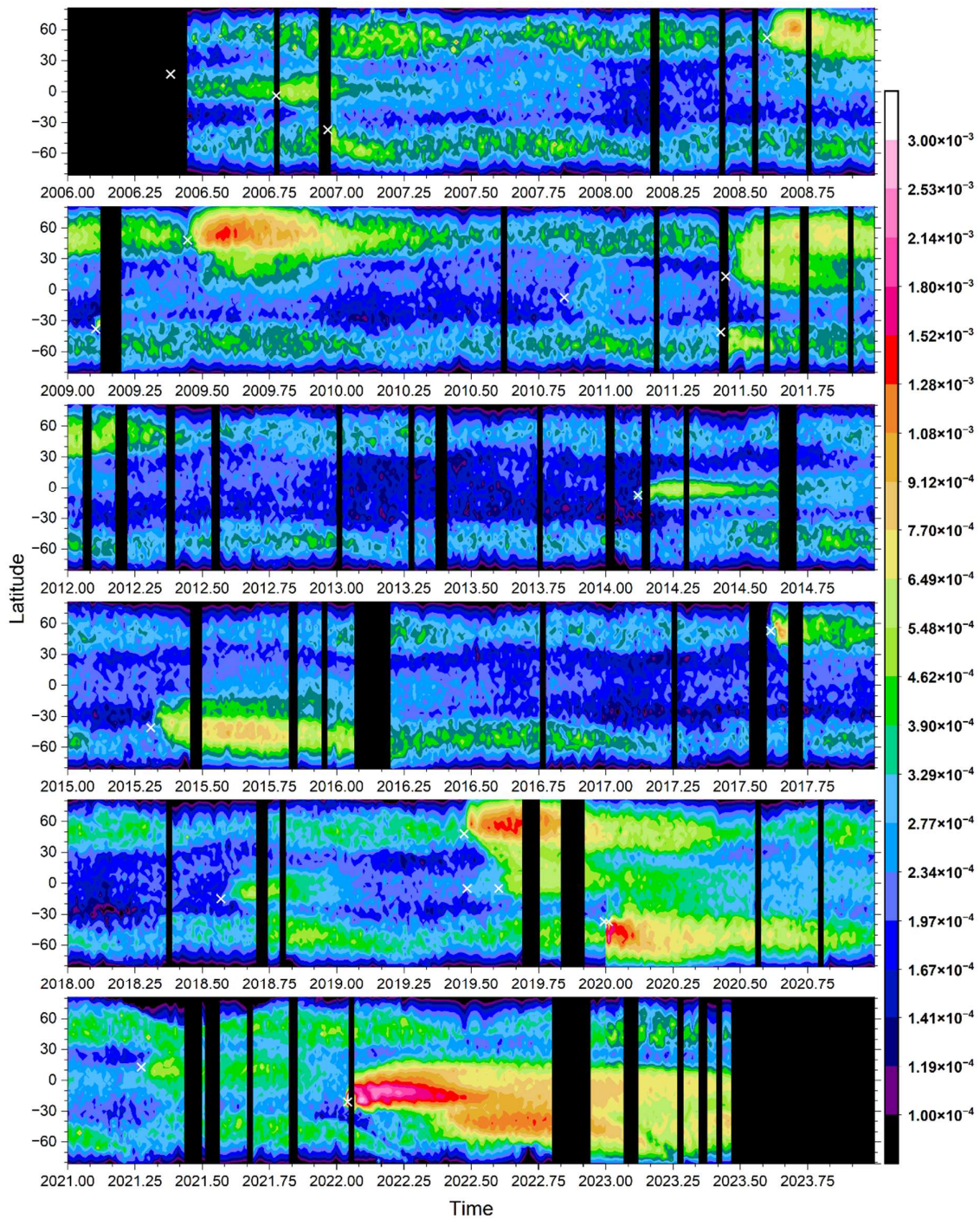
278 Raikoke eruption (Figure 2h) and the Australian wildfire in the last days of 2019 (Figure
279 2i). Also, the 2011 Nabro eruption (Figure 2d) tends to deviate from the commonly
280 adopted lidar ratio of 50 S_{sr} of the stratospheric aerosol, however the observations are
281 too few for a firm conclusion. In the forthcoming presentation the lidar ratio of 50 S_{sr}
282 will be used before a discussion on the influence from deviations is addressed in
283 sections 4.2 and 4.3.

284 **3.2 Stratospheric aerosol events overview**

285 At least 15 volcanic eruptions and 5 wildfires clearly affected the stratospheric aerosol
286 in the CALIOP era (Table 1). The latitude distribution of the stratospheric aerosol from
287 the tropopause to 35 km altitude is shown in Figure 3, and subdivided into three layers,
288 dBD, sBD, and LMS, in Figures S1 – S3. Additionally, the altitude distribution is shown in
289 three latitude ranges (Figures S4 – S6).

290 The influence from injections of aerosol from volcanic eruptions and wildfires has
291 durations of a few months to several years (Friberg et al., 2018). The latter category is
292 the aerosol events that enter the dBD branch in the tropics. The outstanding event
293 fulfilling this requirement in the period studied is the submarine eruption of Hunga
294 Ha’apai in 2022 (Figures S1 and S5) where intense volcanism – sea interaction
295 (Seabrook et al., 2023; Mastin et al., 2024) formed large quantities of stratospheric
296 aerosol (Martinsson et al., 2025). The remaining aerosol events in the dBD have much
297 lower AODs. The Kelut eruption in 2014 affected the dBD for approximately 4 years, the
298 combined effect of the 2006 eruptions of Soufriere Hills and Rabaul (Figure S5) show
299 similar long-term effects on the dBD in the tropics (Figure S1). The combined effects of
300 4 volcanic eruptions, the 2018 Ambae, the two 2019 Ulawun and the 2021 Soufriere
301 eruptions, gradually increased the dBD aerosol concentration in the tropics. In addition
302 to these tropical eruptions, some extratropical aerosol events affected the dBD: the
303 2015 Calbuco eruption and some overshooting plumes reaching above the main
304 effluents of the 2019 Raikoke eruption. Three wildfires also contributed aerosol to the
305 extratropical dBD, the 2009 Australian, the 2017 North American/Canada/USA and the
306 2019 Australian wildfires. The aerosol from the latter fire formed a vortex where the
307 aerosol rose above 31 km altitude (Kablick et al., 2020). The extratropical aerosol events

308 leave the dBD faster than the tropical ones because of the extratropical downward
309 motion of the BD circulation.



310

311 **Figure 3. Aerosol scattering AOD** integrated from the tropopause to 35 km altitude
312 averaged over 4 days and 3 degrees in latitude. The lidar ratio is set to 50 Ssr. Color
313 scale: Global AOD contribution per degree of latitude, i.e. the sum over latitude is the

314 total AOD at any given time. White crosses indicate time and latitude of aerosol events
315 mentioned in Table 1.

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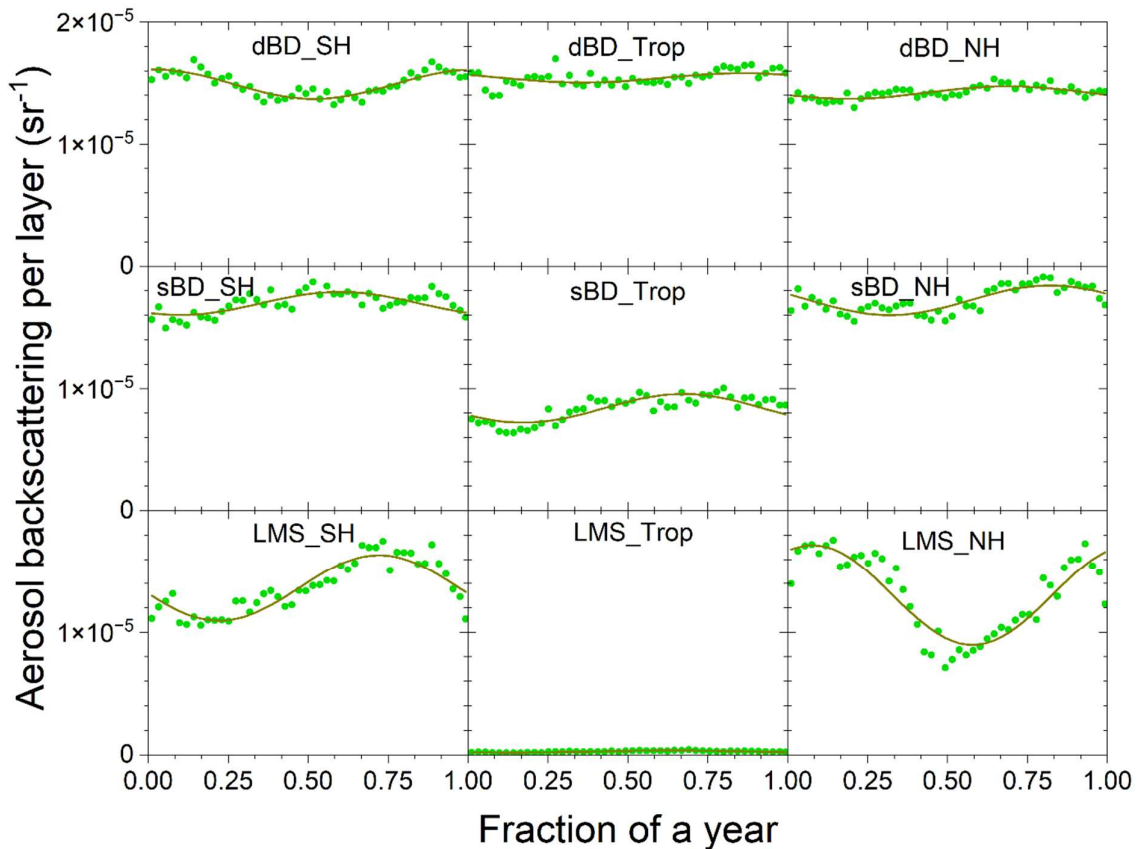
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318 The shallow Brewer-Dobson (sBD) branch displays no such strong aerosol event as the
319 effect of the 2022 Hunga Ha’apai eruption on the dBD (Figure S2). On the other hand,
320 many events had intermediate or small impacts. The Australian wildfires at the end of
321 2019 and the beginning of 2020 made an initial strong impact that rapidly (half-life 10
322 days) lost 90% of the aerosol likely due to photolysis of organic aerosol faded (Friberg et
323 al., 2023), as did the 2017 North American wildfire but with a lower aerosol load
324 (Martinsson et al. 2022) and, to a still lower extent, the 2009 Australian wildfire. The
325 main volcanic eruptions affecting the sBD branch were the 2008 Kasatochi, 2009
326 Sarychev, 2011 Nabro, 2015 Calbuco, 2019 Raikoke and, after a delay due to transport
327 from the dBD branch, the 2022 Hunga Ha’apai eruptions. Other volcanos with smaller
328 impact on the sBD branch were the 2006 Soufriere Hills and Rabaul, the 2010 Merapi,
329 2014 Kelut, 2018 Ambae, 2019 Ulawun (2 eruptions) and 2021 Soufriere eruptions.

330 The LMS, the last stop-passage for air and its trace substances in the large-scale
331 stratospheric circulation before exiting to the stratotroposphere, are-is affected by all
332 stratospheric aerosol events. In addition, some extratropical aerosol events do not
333 reach beyond the LMS. The Kasatochi eruption resulted in two distinct aerosol layers, a
334 thin layer in the sBD whereas the main part of its effluents was injected both sides of
335 and close to the tropopause (Andersson et al., 2015). Other exclusive LMS events in the
336 period studied here are the 2011 Puyehue-Cordón Caulle eruption and the 2006
337 Australia wildfire.

338 Most volcanic eruptions show a gradual increase in AOD over few months before
339 reaching its maximum because of the time required for aerosol dynamical processing
340 and to transform sulfur dioxide to sulfate, which usually is the main component of
341 the aerosol from volcanic eruptions. Notable exceptions are the 2022 Hunga Ha’apai
342 and the 2011 Puyehue-Cordón Caulle eruptions (Figure 2). The aerosol of the latter
343 eruption mainly consisted of volcanic ash (Vernier et al., 2013) and the former by

344 aerosol **containing sulfate and sea-salt** from volcanism – sea interaction (Martinsson et
 345 al., 2025). These eruptions are thus less influenced by delay in aerosol formation from
 346 chemical transformation. The wildfires in the years 2009, 2017, 2019 and 2020 also
 347 rapidly reach the maximum AOD before a decline due to photolysis of organic
 348 compounds with a half-life of ten days reduces the AOD **by 90%** (Martinsson et al.,
 349 2022; Friberg et al., 2023).

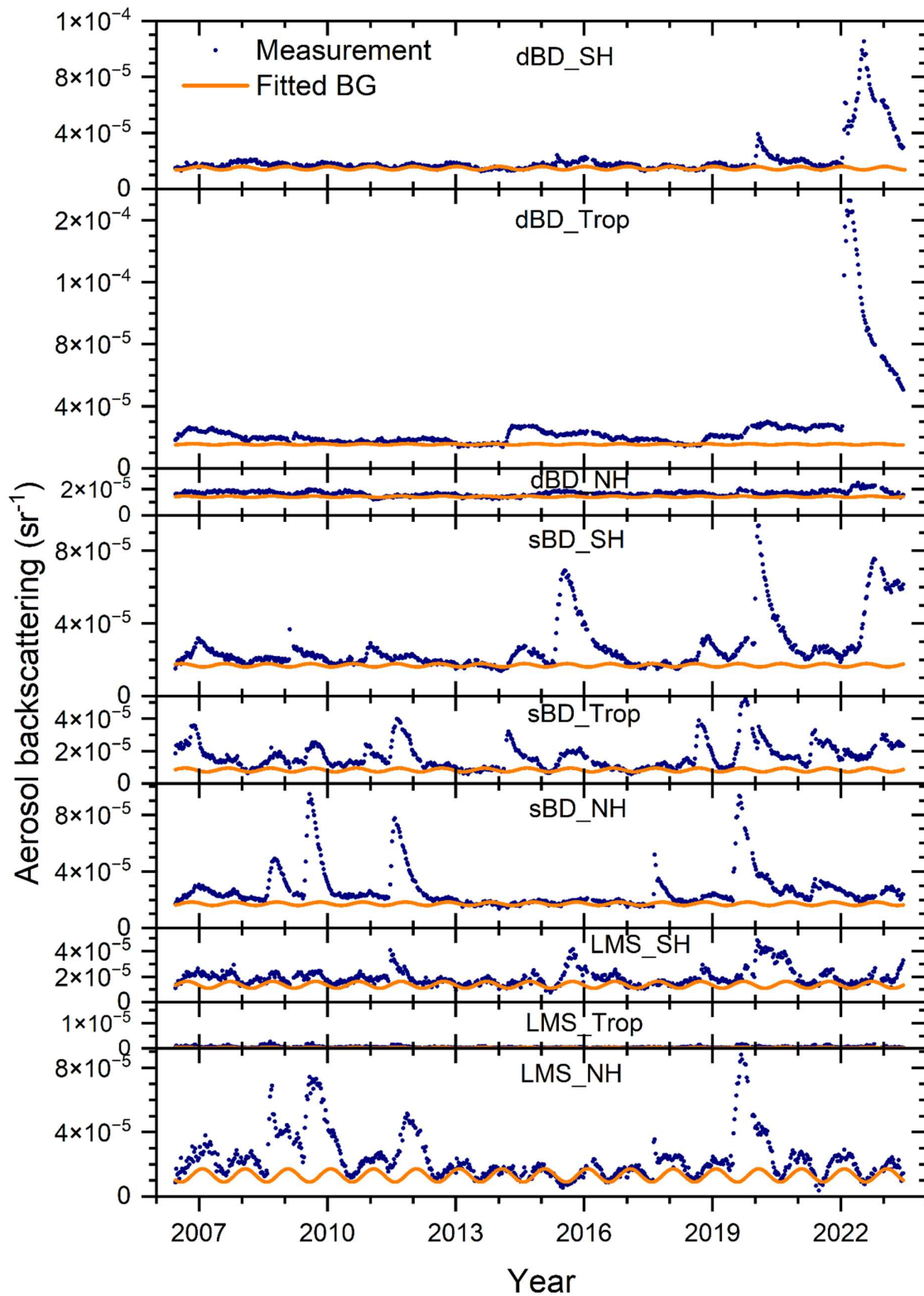


350

351 **Figure 4. B** Average backscattering, which when multiplied with the lidar ratio becomes
 352 the AOD of the layer, of the background aerosol backscattering extracted based on the
 353 three lowest average values of each 8-day period over the year in the CALIOP era (2006 –
 354 2023). The extracted data were fitted to a constant and a sinusoidal function.
 355 (Exceptions: the two lowest 8-day averages were used for “dBD_Trop” and “sBD_Trop”
 356 due to infrequent background values.) The extraction was undertaken in nine regions
 357 spanned by latitudes: -80 to -20° (SH), -20 to 20° (Tropics), 20 to 80° (NH) and altitude
 358 ranges: the tropopause to 380 K isentrope (LMS), 380 to 470 K isentrope (sBD), 470 K to
 359 35 km altitude (dBD). The data were latitude weighted in the way that the sum of the
 360 nine layers is the global aerosol backscattering.

361 4. Discussion

362 We discuss separation of aerosol signals of aerosol events due to volcanic eruptions
363 and wildfires from signals due to stratospheric background aerosol. This is followed by
364 sections on corrections of AOD due to lidar ratio deviations from the commonly
365 assumed 50 Ssr. Then we overview the AOD and climate impact and, finally, discuss the
366 validity of AODs from CALIOP relative to solar occultation-based instruments.



367

368 **Figure 5.** Stratospheric aerosol **average** backscattering, **which when multiplied with the**
 369 **lidar ratio becomes the AOD,** and fitted background in nine latitude and altitude regions:
 370 the deep BD branch (470 K isentrope to 35 km altitude), the shallow BD branch

371 (between isentropes 380 and 470 K) and the LMS (from the tropopause to the 380 K
372 isentrope) and three latitude regions the southern hemisphere extratropics (-80 to -20°),
373 the tropics (-20 to 20°) and the northern hemisphere extratropics (20 to 80°) to find time-
374 sections not or weakly affected by stratospheric aerosol events (see Fig. 4 and text for
375 details).

376

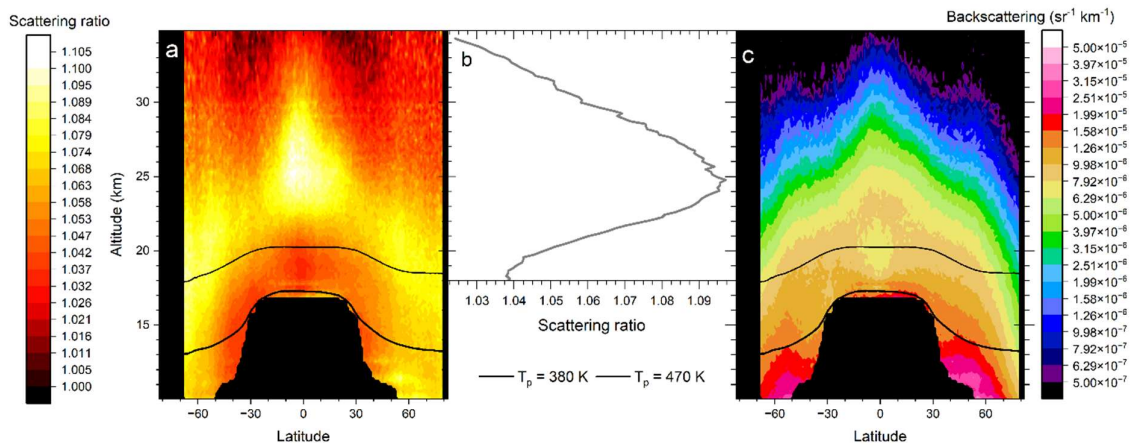
377 **4.1 Stratospheric background**

378 The stratospheric background aerosol is not a well-defined concept. One way is to
379 include all but major aerosol events in the background to obtain a persistently variable
380 background (Solomon et al., 2011). An alternative background is based on SAGE II
381 measurements in the volcanically quiescent period in the late 1990s to early 2000s
382 (Kremser et al., 2016). CALIOP measurements were not available in those years. A
383 volcanic eruption or wildfire rarely affects the entire stratosphere. Therefore, we divided
384 the stratosphere into nine sections by altitude and latitude thereby increasing the
385 probability of finding conditions close to background separately in each of the layers
386 using the average of the three lowest [average](#) backscattering values (in two cases the
387 two lowest) of each layer over the year (Figure 4), as described in the methods section.

388 [The distribution of aerosol over the nine layers used to extract the background aerosol is](#)
389 [shown in Table 2.](#) Seven of the nine layers each contain 11 – 15% of the background
390 aerosol in the stratosphere from the tropopause to 35 km altitude during conditions that
391 are close to background ([Table 2](#)). The smallest contribution comes from the tropical
392 LMS, which is to be expected given the small air volume of that layer. The tropical sBD
393 also has a small contribution, but that cannot be explained by the air volume. This layer
394 where tropospheric air enters the stratosphere extends to approximately 20 km altitude,
395 where UV radiation intensity is too weak to efficiently oxidize carbonyl sulfide
396 (Weisenstein et al., 1997), which is an important precursor gas of the stratospheric
397 background aerosol (Crutzen, 1976; Kremser et al., 2016), a topic we return to below.
398 Seasonal changes in aerosol background [average](#) backscattering are most pronounced
399 in the extratropical LMS, especially in the NH. The volume of LMS varies over the year.
400 That variation (Appenzeller et al., 1996) approximately coincides with the variation in
401 Figure 4 both in terms of seasonality and the stronger amplitude in the LMS of the NH.
402 [This is also connected to aerosol concentrations of the LMS. Poleward transport in the](#)

403 [BD circulation maximizes in the winter resulting in increased extratropical downward](#)
 404 [motion of the stratospheric aerosol layer in the spring resulting in low aerosol load in the](#)
 405 [summer LMS when the mass transport across its upper boundary is at its minimum. The](#)
 406 [latter also coincides with the weakening of the subtropical Jetstream which increases](#)
 407 [the tropospheric influence on the LMS. In the summer/early fall there is also influence](#)
 408 [from ATAL \(Vernier et al., 2015\) and small wildfires briefly affecting the stratosphere](#)
 409 [\(Peterson et al., 2025\). The chemical composition of the LMS aerosol of the Northern](#)
 410 [hemisphere in that period differs from winter/spring/early summer by having a larger](#)
 411 [carbon than sulfur content \(Martinsson et al., 2019\). The change in composition can be](#)
 412 [caused by the ATAL and/or small wildfires which thus contribute to the effect of the](#)
 413 [large-scale stratospheric circulation in the build-up of the NH LMS aerosol load during](#)
 414 [late summer and fall.](#)

415 The [average](#) backscattering of the stratospheric aerosol and the estimated background
 416 (Figure 4) in nine altitude and latitude layers is shown in Figure 5. By comparing these
 417 two quantities, we verify the underlying assumption in the method used to obtain the
 418 background that the stratospheric aerosol background has no long-term trend, which
 419 agrees with previous observations (Kremser et al., 2016). Subtracting the background,



420

421 **Figure 6.** The stratospheric aerosol averaged over year 2013, which was close to
 422 background conditions. a) The scattering ratio, i.e., the ratio between the total to the
 423 modeled backscattering of air molecules. This intensive parameter is not latitude
 424 weighted. b) Average scattering ratio in the central tropics (latitudes -10 to 10°)
 425 dependence on altitude. c) [Average](#) aerosol backscattering, this extensive quantity is
 426 latitude weighted. Black lines in a) and c) are the yearly average positions of the
 427 potential temperatures (T_p) 380 and 470 K.

429 we obtain [the average](#) backscattering from volcanic eruptions and wildfires. The net
 430 [average](#) backscattering [of the layers](#) was converted to AOD of the layers [using by](#)
 431 [multiplication with](#) the lidar ratio of 50 S_{sr} in Figure S7 with contributions from volcanic
 432 eruptions and wildfires as described in section 3.2.

433 Except for a tiny peak in the LMS in the Northern extratropics, 2013 is close to
 434 background conditions (Figure 5). The stratospheric background aerosol is often
 435 thought of as a layer located above 20 km altitude [in the tropics and lower in the](#)
 436 [extratropics](#). This is approximately true in terms of scattering ratio (R), the optical
 437 equivalent of mixing ratio (Figure 6a). More than half of the air entering the tropical
 438 stratosphere is transported polewards in the sBD (Lin and Fu, 2013), where the
 439 scattering ratio remains low in a band closest to the tropopause (Figure 6a). This band
 440 contains young stratospheric air compared to air at the same altitude but at higher
 441 latitude (Austin and Li, 2006; Butchart, 2014; Ploeger et al., 2021). In the air rising
 442 further in the tropical stratosphere a dramatic increase of the aerosol mixing ratio can
 443 be seen above 20 km altitude. The aerosol signal increases by a factor 2.5 (Figure 6b)
 444 from 19 to 25 km altitude in the latitude range -10 to 10°, i.e., 70% of the aerosol at 25
 445 km is formed above 19 km altitude. The dBD air is transported polewards and descends
 446 at higher latitudes than the sBD air (Figure 6a). With a typical vertical

447 **Table 2.** Average backscattering of background aerosol and AOD of aerosol events in
 448 2006 - 2023 and the distribution over nine stratospheric layers.

Backscattering background ^a				
	<i>Global</i>	SH	Tropics	NH
<i>Total</i>		40%	21%	39%
dBD	39%	13%	14%	12%
sBD	37%	15%	7.3%	15%
LMS	24%	12%	0.2%	11%
AOD aerosol events ^b				
	<i>Global</i>	SH	Tropics	NH
<i>Total</i>		33%	31%	35%
dBD	31%	9.0%	18%	4.0%
sBD	43%	15%	13%	15%
LMS	26%	9.0%	0.4%	17%

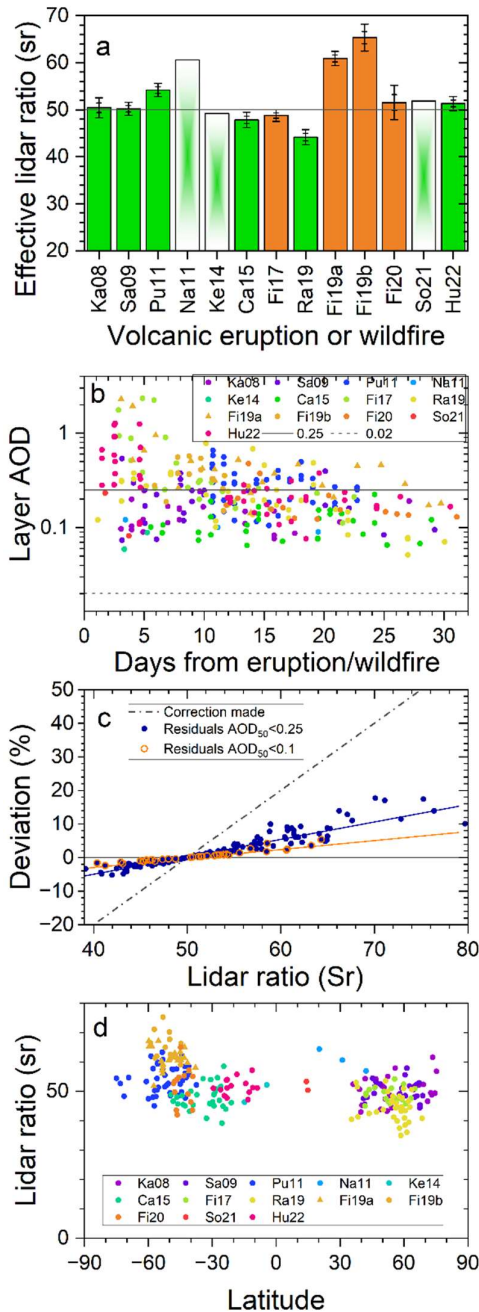
449 ^aAverage backscattering of background = 0.00011 S_{sr}^{-1}

450 ^bAverage AOD from aerosol events (2006 – 2023) = 0.0031

451

452 velocity of 20 m/day (Mote et al., 1998) the transport from the tropical tropopause (at 17
453 km) to 19 km altitude requires ~~the order~~approximately 100 days, providing ample time
454 for conversion of SO₂ before reaching the latter altitude (Nicknisch et al., 2025). Hence,
455 little SO₂ enters the dBD, implying particle formation from another source. Intensifying
456 UV radiation with altitude causes oxidation of the most abundant sulfur compound in
457 the atmosphere, i.e., carbonyl sulfide (OCS) (Crutzen, 1976; Kremser et al., 2016),
458 whereas this compound remains intact in the sBD. The requirement of intense UV
459 radiation for oxidation makes OCS an important aerosol formation pathway mainly in
460 the dBD. The formed aerosol is transported polewards where downward transport
461 brings the aerosol to the sBD and LMS layers before the transport out of the
462 stratosphere (Figure 6a). The formation pathways of the stratospheric background
463 aerosol are still debated. The estimated contribution of OCS to the stratospheric
464 background aerosol ranges from 20 – 50% (Sheng et al., 2015; Chin and Davies, 1995) to
465 70% or more (Crutzen, 1976; Brühl et al., 2012). High-resolution lidar data, like that of
466 CALIOP, can be used to constrain modeling efforts by reconstructing the CALIOP
467 observations in Figure 6 to understand the sources of the background aerosol.

468 When instead considering the absolute background aerosol load (Figure 6c) we find the
469 highest aerosol load at low stratospheric altitudes. The air in the Brewer-Dobson



470

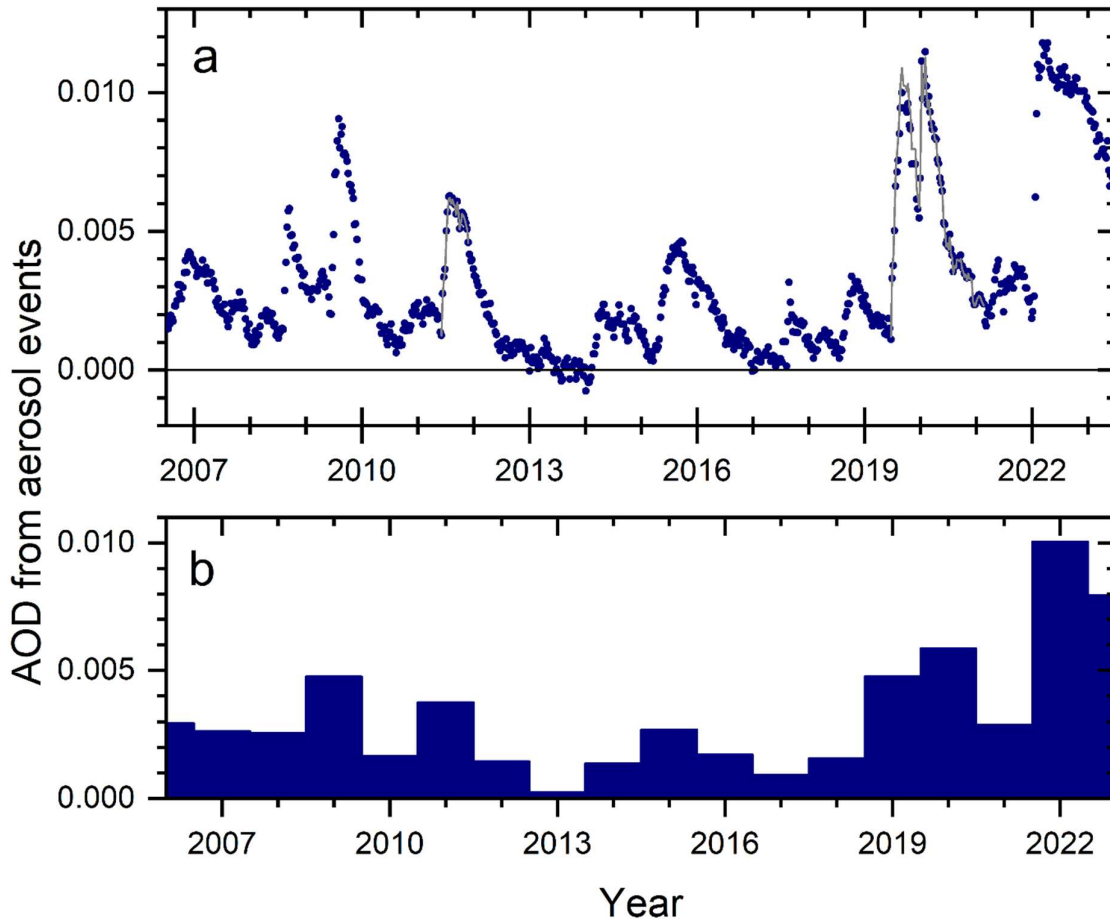
471 **Figure 7.** a) Average lidar ratios according to Fig. 2 with standard errors and 95% ranges
 472 of volcanic eruptions and wildfires. Too few observations for error estimations were
 473 obtained for the eruptions of Nabro (Na11), Kelut (Ke14) and Soufriere (So21). b) AODs
 474 of aerosol layers with $dS/dR \times \sigma(R) < 10 \text{ Ssr}$ Vs. time from the eruption or wildfire. The full
 475 line illustrates approximate maximum layer AOD after 1 month, and the broken line
 476 indicates the approximate maximum layer AOD observable by limb-viewing techniques
 477 (note: logarithmic y-scale). c) Correction of AOD obtained by setting the lidar ratio to 50
 478 Ssr (AOD_{50}), based on a linear dependence of the AOD on the lidar ratio. The residual
 479 deviation after the correction of two categories is also shown: aerosol layers with AOD_{50}
 480 < 0.1 and < 0.25 . d) Estimated lidar ratios in Figure 2 Vs. latitude.

481 circulation becomes compressed during the downwelling in the extratropics [in](#)
482 [accordance with the altitude-dependence of the atmospheric pressure](#). During
483 background conditions approximately 60% of the aerosol backscattering signal ([AOD](#)
484 [divided by the lidar ratio](#)) is found in the two lower layers, sBD and LMS (Table 2),
485 containing aerosol transported from both the sBD and dBD of the tropics.

486 **4.2 Correction of lidar ratio**

487 ~~This~~ Thus far we have presented AODs with the lidar ratio set to 50 S_{sr} . The lidar ratios of
488 the individual measurements are shown in Figure 2. In Figure 7a we show the averages
489 with statistical uncertainty (standard error and double-sided 95% confidence interval).
490 As already pointed out, three of the eruptions (Nabro 2011, Kelut 2014 and Soufriere
491 2021) cannot be evaluated statistically due to few available measurements. Most of the
492 aerosol events show lidar ratios of approximately 50 S_{sr} , whereas the aerosol from
493 Puyehue-Cordón Caulle (2011), Raikoke (2019) and the Australian wildfires in the end of
494 2019 deviates from 50 S_{sr} by more than 5%.

495 To convert the AOD obtained using $S_0 = 50 S_{sr}$ to the estimated lidar ratio (S) we need to
496 consider the linear dependence of the AOD on the lidar ratio. A secondary effect relates
497 to the level of AOD. For the latter, we need to evaluate the occurrence of dense aerosol
498 layers. All the measurements fulfilling the criteria on uncertainty of the lidar ratio
499 estimate (Figure 1) are displayed in Figure 7b. Initially layer AODs sometimes exceed 1.
500 After 20 days the AOD of the individual aerosol layers is mostly 0.25 and lower, except
501 for the 2019 Australian wildfire that remain somewhat higher probably due to less air
502 mixing in the vortex formed (Kablick et al., 2020). We corrected the AODs by S/S_0 for
503 volcanic eruptions and wildfires that formed an aerosol with effective lidar ratio
504 deviating more than 5% from $S_0 = 50 S_{sr}$, whereas the residual correction connected
505 with the AOD of an aerosol layer was not accounted for (see the methods section for
506 further detail) because the effect is small (Figure 7c). In the general evaluation we did
507 not separate the aerosol backscattering from the 2019 and 2020 Australian wildfires
508 that were only a few days apart. The 2020 fire was dominant in terms of AOD with 80 –
509 90% of the total AOD from the two fires (Friberg et al., 2023). Here, we weigh the lidar
510 ratios of the two fires accordingly to obtain $S = 53.3$ representing both fires.



512

513 **Figure 8.** Background-subtracted AOD of the stratosphere from the tropopause to 35
 514 km altitude and averaged from -80 to 80° in latitude. a) AOD from main stratospheric
 515 aerosol events caused by volcanic eruptions and wildfires. AOD_{50} is shown (full grey
 516 line) where correction due to lidar ratio deviating from 50 S_{sr} is undertaken (Pu11,
 517 Ra19 and Fi19&20). b) Yearly averages of data in a). Note that the horizontal tick marks
 518 indicate start of a year in a) and the middle of a year in b). Also note that the averages of
 519 years 2006 and 2023 span only half years due to the mid-year start (2006) and finish
 520 (2023) of the CALIOP measurements.

521

522 The blue dots in Figure 8a over the stratospheric AOD were corrected for deviant lidar
 523 ratios in 2011 (Puyehue-Cordón Caulle eruption) and 2019 – 2020 (Raikoke eruption and
 524 Australian wildfires). The corresponding AOD using $S_0 = 50$ S_{sr} is represented by a thin
 525 gray line showing that the AOD was practically not affected by the correction in 2011
 526 because that year was dominated by aerosol from another eruption (Nabro, Figure 3).

527 The AOD from the Raikoke (2019) eruption shifted down slightly by the correction, and
528 that of the 2019 – 2020 Australian wildfires ~~was~~ shifted upwards. Altogether the change
529 in AOD from the corrections due to deviant lidar ratio were minor.

530 **4.3 AOD of stratospheric aerosol events**

531 The AOD from aerosol events were approximately evenly distributed over the three
532 latitude regions (SH, Tropics and NH) studied (Table 2). The altitude distribution showed
533 most influence from volcanic eruptions and wildfires in the sBD (43%), followed by the
534 dBD (31%), and the often overlooked LMS (Andersson et al., 2015) held 26% of the AOD
535 from aerosol events in the period 2006 – 2023.

536 The average stratospheric AOD, with the contribution from background aerosol
537 subtracted, from the tropopause to 35 km altitude in the latitude range -80 to 80° is
538 shown in Figure 8a. The intense volcanism – sea interaction of the Hunga Ha’apai
539 eruption in the beginning of 2022 (Martinsson et al., 2025) resulted in the highest and
540 broadest AOD peak (Figure 8a). Other prominent events were the Australian wildfires at
541 the end of 2019 and the beginning of 2020, the eruptions of Raikoke (2019), Sarychev
542 (2009), Nabro (2011), Calbuco (2015) and Kasatochi (2008) affecting the stratospheric
543 AOD together with several eruptions and wildfires having smaller contributions (Table
544 1).

545 The average influence of volcanic eruptions and wildfires each year is shown in Figure
546 8b. The most affected year was 2022 with an average AOD of 0.01 from aerosol events.
547 That year is likely followed by 2023, for which we have no data from the second half of
548 the year. Both these years were mainly affected by the 2022 Hunga Ha’apai eruption.
549 Then follows 2020 (mainly the 2019-20 Australian wildfires with some contribution from
550 the Raikoke eruption) with background-subtracted AOD of 0.006, 2009 (Sarychev) and
551 2019 (Raikoke) both years with ~~event~~ AOD of 0.005, whereas 2011 (mainly Nabro) reach
552 AOD from aerosol events of almost 0.004. The average background-subtracted AOD
553 from volcanic eruptions and wildfires from 2006 to 2023 is 0.0031. The background
554 aerosol produces global average backscattering of 0.0011 S_{sr}^{-1} , which, with the
555 ~~unverified commonly used~~ assumption of a lidar ratio of 50 S_{sr} , corresponds to a
556 stratospheric background AOD of 0.0057.

557 The yearly average AOD from aerosol events ranges from 0.0002 (in 2013) to 0.010
558 (2022), ~~resulting in a variability range of 0.010 around and~~ the average over the 17 years
559 studied is of 0.0031. Making use of previous estimates of the relation between radiative
560 forcing (F) and stratospheric AOD ($F = -24 \times \text{AOD}$ in W/m^2) (Schmidt et al., 2018), we
561 estimate the radiative effect of the stratospheric aerosol events. This relation is based
562 on volcanic sulfate aerosol, which is the dominant type of stratospheric aerosol event in
563 the 17-year period studied. The relation is not designed to deal with absorbing wildfire
564 aerosol, which could cause some uncertainty in the average radiative forcing of the
565 period estimated here. ~~the~~The global stratospheric yearly average total effective
566 radiative forcing due to volcanic eruptions and wildfires varies between -0.006 and -0.24
567 W/m^2 , with the average -0.074 W/m^2 in the period 2006 to 2023. Assuming a lidar ratio of
568 50 Ssr , the stratospheric background aerosol effective radiative forcing becomes -0.14
569 W/m^2 .

570 **4.4 The validity of AODs from CALIOP**

571 Stratospheric aerosol optical properties are often described using solar occultation
572 data, especially from the 22 years of SAGE II measurements (Bauman et al., 2003;
573 Thomason et al., 2018). ~~Prior C~~comparisons of CALIOP lidar-based results with solar
574 occultation (SAGE III/ISS) show agreement within approximately 10% in the latitude
575 range -30 to 30° and increasing discrepancy at midlatitudes- and reaching above 50% at
576 high latitudes for background aerosol in the altitude range 20 – 30 km (Kar et al., 2019),
577 and discrepancies exceeding 50% is reported and at low altitudes below 17 km
578 (Kovilakam et al., 2023). The main reason for these differences was attributed to the
579 unknown lidar ratio of CALIOP (Kar et al., 2019; Kovilakam et al., 2023). Here we have
580 estimated the CALIOP lidar ratio of the aerosol from several volcanic eruptions and
581 wildfires (Figure 2), and in Figure 7d the latitude distribution of the estimates is shown.
582 Using the standard lidar ratio of 50 Ssr cannot explain the latitude- and altitude-
583 dependence in the lidar – solar occultation comparison claimed-obtained in Kar et al.
584 (2019) and Kovilakam et al. (2023) for aerosol from volcanic eruptions and wildfires in
585 the CALIOP era.

586 The latitude-dependent discrepancy at 532 nm wavelength between SAGE III/ISS and
587 CALIOP at high altitudes in the period June 2017 to August 2018 above 20 km (, i.e.,

588 essentially in the dBD) reported by Kar et al. (2019) concerns a period when the dBD
589 was close to background (Figure 5). The method used here for estimating the lidar ratio
590 does not work for background conditions (Figure 1). Using 50 S_{sr} for background aerosol
591 results in the global average background AOD of 0.0057. SAGE II measurements during
592 the volcanically quiescent period 1998 – 2000 resulted in AOD of 0.0040 (estimated
593 from Solomon et al. (2011), their Figure 2), who integrated the stratospheric AOD from
594 15 km altitude. When removing the stratospheric aerosol data below 15 km from the
595 CALIOP measurements, the stratospheric background AOD is reduced by 31% to
596 0.0039 using lidar ratio 50 S_{sr} . This is almost identical to the background AOD reported
597 in Solomon et al. (2011), thus indicating that the stratospheric background aerosol on
598 average has a lidar ratio close to 50 S_{sr} . Kar et al. (2019) found that [aerosol](#)
599 backscattering during background conditions at altitudes above 20 km in the
600 extratropics should be converted [to AOD](#) by a variable lidar ratio. [Mid- and high-latitude](#)
601 [air in this altitude range has a high stratospheric age \(~5 years\) \(Ploeger et al., 2021\),](#)
602 [implying that particle settling has long time to affect the size distribution, and hence the](#)
603 [optical properties of the aerosol.](#) In Figure 6c we find most of the aerosol above 20 km
604 altitude in the tropics, implying that the deviations at high latitudes according to Kar et
605 al. (2019) have little impact on global AOD, and thus [little impact on our the](#) comparison
606 dealing with the entire stratosphere above 15 km altitude.

607 In a comparison by Kovilakam et al. (2023) between CALIOP and SAGE III/ISS during
608 November 2017, 2 – 3 months after the Canada/USA fire (Table 1) [similar large](#)
609 deviations were found at high [latitudes and](#) altitudes as in Kar et al. (2019), as described
610 above. That comparison also found large differences in the densest part of the
611 stratosphere at altitudes below 17 km, where clouds frequently interfere with limb-
612 viewing measurements. In GloSSAC the more than 50% lower values of limb-viewing
613 techniques (SAGE and OSIRIS) than CALIOP were implemented citing uncertainties in
614 the lidar ratio to discard CALIOP results at low altitudes (Kovilakam et al., 2023).

615 The main advantages of solar occultation measurements are that extinction is
616 measured and that several wavelengths are available. With known lidar ratio, lidar
617 measurements with nadir view have some distinct advantages compared to solar
618 occultation limb views. [Firstly-of all](#), lidars have several hundred kilometers shorter

619 measurement path enabling measurements in dense aerosol layers (Martinsson et al.,
620 2022, their Figure 7) providing viable, quantitative results when limb views fail.
621 Secondly, the lidar vertical resolution is superior and is not relying on assumptions on
622 homogeneity of the aerosol layer measured, like solar occultation measurements do
623 (Damadeo et al., 2013). Accurate altitude descriptions with high vertical resolution of
624 stratospheric injections (Sandvik et al., 2021) is vital for the outcome of stratospheric
625 aerosol modeling (Axebrink et al., 2025). With these clear advantages we argue that
626 lidar measurements should be given a more prominent role in stratospheric aerosol
627 climatologies presented to the modeling community than in the present version [\(2.2\)](#) of
628 GloSSAC (Thomason et al., 2018, Kovilakam et al., 2020; Kovilakam et al., 2023).

629 Hopefully the lidar ratio of stratospheric aerosol can be further clarified when the
630 aerosol load is close to background conditions by lidar systems measuring both
631 backscattering and extinction. The ATLID aboard the EarthCARE satellite (Illingworth et
632 al., 2015) that started to produce data in July 2024 and the NASA and Italian Space
633 Agency collaboration on the 3 wavelength lidar CALIGOLA planned for launch in the
634 early 2030s (Behrenfeld et al., 2023) are future means to further clarify extinction
635 obtained from lidars, and to optimally combine solar occultation and lidar
636 measurements for future long-term records on the optical properties of the
637 stratospheric aerosol with high and unambiguous vertical resolution.

638 **5. Conclusions**

639 The entire backscattering record at 532 nm wavelength of the satellite-based lidar
640 system CALIOP spanning years 2006 to 2023 was investigated in this study. During this
641 period injections of aerosol and precursor gases into the stratosphere of 15 volcanic
642 eruptions and 5 wildfires were identified. The [effective](#) lidar ratios of 12 volcanic
643 eruptions and wildfires were investigated to convert the measured backscattering to
644 extinction. The measurements were evaluated and corrected for attenuation using the
645 lidar ratio $S_0 = 50 S_{sr}$. The aerosol events having a lidar ratio deviating by more than 5%
646 from S_0 were corrected after the general evaluation.

647 Background conditions are more probable in sublayers than in the entire stratosphere.
648 The stratosphere was subdivided into 9 layers spanned by altitude (lowermost
649 stratosphere (LMS), shallow Brewer-Dobson branch (sBD), deep Brewer-Dobson branch
650 (dBD)) and latitude intervals (tropics and Southern and Northern extratropics). The
651 backgrounds of layers were combined to obtain that of the entire stratosphere. The
652 backscattering of background aerosol was converted to aerosol optical depth (AOD)
653 using a lidar ratio of 50 S_{sr} . That AOD agrees well with measurements with solar
654 occultation (SAGE II) during 1998 – 2000 in the volcanically quiescent period. The
655 average backscattering of S_{sr} even of the nine layers each contains 11 - 15% of the entire
656 background aerosol. The tropical LMS has a small contribution due to very small
657 volume compared to the other layers. The tropical sBD was also clearly lower (7%)
658 because oxidation of carbonyl sulfide (OCS) occurs at higher altitudes in the upwards
659 moving air in the tropical stratosphere. We find that 70% of the aerosol in the tropical
660 dBD is formed above 19 km altitude during background conditions, due to formation
661 from OCS. Poleward followed by downward transport of the dBD aerosol to the sBD and
662 LMS results in high aerosol concentrations takes place at mid and high latitudes,
663 whereas the polewards transport of tropical sBD air is transported polewards in causes
664 a band of low concentrations close to the tropopause ending up in the LMS at lower
665 latitudes than the dBD air. Considering the ongoing debate on the sources of
666 stratospheric background aerosol, these highly resolved CALIOP data could be useful to
667 constrain modeling efforts on the subject.

668 The background aerosol was subtracted from the measurements to obtain the influence
669 from aerosol and trace gas injections into the stratosphere. The most important aerosol
670 events in the 17-year period are the 2022 Hunga Ha'apai eruption and the Australian
671 wildfires (2019-20) followed by the volcanic eruptions Raikoke (2019), Sarychev (2009)
672 and Nabro (2011). With the background AOD (0.0057) subtracted, the yearly average
673 AOD spans 0 to 0.010. Using a simplified relation F the yearly average effective radiative
674 forcing of the background-subtracted aerosol is estimated to be in the range -0.006
675 (year 2013) to -0.24 W/m² (2022).

676 Limb-viewing solar occultation measurements have some distinctive advantages in that
677 much of the early measurements in the satellite era were undertaken with that method.

678 They also deliver direct measurements of extinction, and at several wavelengths. Here,
679 we have estimated the **effective** lidar ratio of the CALIOP measurements to obtain
680 extinction from backscattering measurements. Lidars operating in nadir view, like
681 CALIOP, have several hundred kilometers (or a factor of more than 100) shorter
682 measurement path than limb-viewers, allowing measurements in dense aerosol layers
683 where limb-viewers fail. Lidars have unambiguous and superior vertical resolution over
684 other satellite instruments providing models with important input data of aerosol and
685 trace gas injections into the stratosphere. Newer lidars that measure extinction are
686 launched, under construction and planned. Now is the time to better sort out
687 differences between lidars and solar occultation measurements, especially in the
688 dense stratospheric air located below 17 km altitude in records over the optical
689 properties of the stratospheric aerosol.

690 **References**

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963 *Data availability.* The data used are publicly available: CALIOP V4.51 lidar data
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