



# Quantitative assessment of parameterization sensitivity and uncertainty in Noah-MP multi-physics ensemble simulations of gross primary productivity across China's terrestrial ecosystem

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14

## 16 Abstract

17 Understanding the carbon cycle and its interactions with climate systems requires precise  
18 simulation of Gross Primary Productivity (GPP). However, achieving this remains challenging  
19 due to the inherent complexity of the models. Current research lacks quantification of how  
20 uncertainties in physical process parameterization affect GPP simulation across various  
21 regions and land types, which is crucial for improving GPP predictability and identifying

To address these issues, this study generated a 48-member Noah Land Surface Model with multi-parameterization options (Noah-MP) ensemble by manipulating key physical parameterization schemes. The model was validated using ChinaFlux tower measurements and Penman-Monteith-Leuning Version 2 data. We employed the Sobol' total sensitivity index to assess the influence of four key physical processes on GPP: radiation transfer, the soil moisture limitation factor for transpiration ( $\beta$ -factor), turbulence, and runoff generation. Results demonstrate that Noah-MP effectively captured GPP's spatiotemporal patterns in Chinese ecosystems but overestimated GPP in forest and cropland during spring and summer. Sensitivity analysis indicates that the  $\beta$ -factor dominates GPP simulations across most of China, while radiation transfer is the primary



31 driver on the Tibetan Plateau. The main difference between the two radiation transfer schemes  
32 lies in whether vegetation gaps fraction are considered. On the Tibetan Plateau, where grasslands  
33 and shrublands exhibit large canopy gaps, consider it or not could lead to in substantial  
34 differences in simulated radiation and consequently in GPP, making GPP highly sensitive to the  
35 choice of radiation scheme. Across ecosystems, water-related factors ( $\beta$ -factor and runoff)  
36 mainly affect croplands and savannas, radiation transfer dominates grasslands and shrublands,  
37 and turbulence is most influential in forests. There are also distinct seasonal patterns: radiation  
38 and turbulence dominate in spring and summer, while radiation and  $\beta$ -factor prevail in autumn  
39 and winter, especially in arid regions. Based on systematic performance evaluations and  
40 sensitivity analyses, this study proposes optimized Noah-MP model configurations for China's  
41 terrestrial ecosystems. The radiation transfer scheme considering the three-dimensional canopy  
42 structure (option 1) is recommended for grasslands and shrublands. Our findings offer insights  
43 for enhancing GPP simulation accuracy in Noah-MP, thereby improving the model's ability to  
44 represent carbon–water dynamics from regional to continental scales.

45

46 **Keywords:** Gross Primary Productivity; Noah-MP; Uncertainty; Parameterization sensitivity;  
47 China

48



49 **1 Introduction**

50 Gross primary productivity (GPP) is an important indicator representing the total carbon  
51 assimilated by plants through photosynthesis (Qian et al., 2024; Wang et al., 2023). Precise  
52 estimation of GPP is crucial for examining ecosystem carbon cycles and evaluating ecosystem  
53 responses to global environmental changes (Chang et al., 2023; H. Wang et al., 2023; Zhang and  
54 Ye, 2022). The eddy covariance technique, often regarded as the most reliable approach for  
55 quantifying CO<sub>2</sub> fluxes between ecosystems and the atmosphere, is nonetheless limited in spatial  
56 coverage, being applicable primarily at local scales (Chen et al., 2020; Yu et al., 2016). Land  
57 surface models (LSMs) provide a powerful framework for enabling continuous simulation of  
58 GPP at regional scales, thereby advancing understanding of carbon cycle processes and their  
59 feedbacks with the climate system (Wei et al., 2017; Sims et al., 2008; Running et al., 2004).

60 During the last few decades, LSMs have undergone significant advancements through  
61 three major stages, each aimed at improving the realism of physical parameterization and  
62 achieving higher accuracy in simulating carbon, water, and energy cycles (Pitman, 2003; Sellers  
63 et al., 1997). First-generation LSMs conceptualize the land surface as a simple bucket with a  
64 constant water-holding capacity, significantly oversimplifying soil moisture dynamics and  
65 vegetation effects (Manabe, 1969). This simplification leads to unrealistic simulations of energy  
66 partitioning and water transferring. Second-generation LSMs are built on the Soil-Vegetation-  
67 Atmosphere-Transfer Model, explicitly incorporating interactions and feedback mechanisms  
68 among vegetation, atmosphere, and soil (Deardorff, 1977). These models provide a more realistic  
69 depiction of land surface processes by integrating stomatal conductance, which regulates  
70 transpiration, along with soil layer water exchange. Third-generation LSMs evolve from the  
71 second-generation LSMs by incorporating biochemical processes. They recognize vegetation's  
72 critical role in terrestrial carbon-water cycles, including its contribution to land  
73 evapotranspiration (Jasechko et al., 2013), its modulation of heat and water vapor exchanges to  
74 influence precipitation (Green et al., 2017), and its absorption of carbon dioxide via  
75 photosynthesis (Vicca, 2018). Currently, the typical third-generation LSMs, such as the Simple  
76 Biosphere Model (Denning et al., 1996), the Community Land Model (CLM, Oleson et al., 2010),  
77 and the Noah Model with Multiple Parameterizations (Noah-MP, Niu et al., 2011; Yang et al.,  
78 2011), have become mainstream tools for land surface research. Take Noah-MP as an example, it  
79 introduces a dynamic vegetation module to simulate canopy density and plant coverage across



80 different vegetation types while accounting for carbon allocation within plants (Yang and Niu,  
81 2003; Dickinson et al., 1998). The model incorporates stomatal-photosynthesis coupling for  
82 sunlit and shaded leaves, with distinct parameterizations for photosynthesis and respiration in C3  
83 versus C4 plants (Ball et al., 1987; Bonan, 1996). The canopy gaps are considered to compute  
84 the absorption of solar radiation by sunlit and shaded leaves (Niu and Yang, 2004; Yang and  
85 Friedl, 2003). Third-generation LSMs improve simulation accuracy and allow for the coupling of  
86 terrestrial-atmospheric carbon and nitrogen cycles with energy and water fluxes by  
87 comprehensively modeling processes such as canopy radiation transfer, soil heat and water  
88 transport, and biochemical activity (Pitman, 2003; Dickinson et al., 1998). These advancements  
89 have significantly propelled multi-scale studies of climate, ecosystems, and land-atmosphere  
90 interactions, thereby improving comprehension of land surface dynamics and their impacts on  
91 regional climate variability (He et al., 2024; Yang et al., 2021; Zhang et al., 2016; W. Cai et al.,  
92 2014; Baker et al., 2003).

93 Notwithstanding their broad application, these models continue to suffer from persistent  
94 issues that affect the reliability of GPP estimates over China (Wang et al., 2024; Li et al., 2022).  
95 For example, Zheng et al. (2023) found that CLM4.5 underestimated GPP in some temperate  
96 forests and C3 grasslands, while overestimating GPP in temperate broadleaf evergreen forests.  
97 This bias is linked to the model's tendency to overestimate specific leaf area, particularly at the  
98 canopy top and on sloped terrain. Similarly, Zhang et al. (2016) reported that while CLM4.5  
99 improved GPP simulation compared to CLM4.0, particularly in subtropical forests, it still  
100 exhibited a positive bias in annual GPP. These findings highlight the necessity for improving  
101 parameterizations of structural, physiological, and growth-status parameters under different  
102 vegetation types. Additionally, Li et al. (2022) noted that Noah-MP shows uncertainty in  
103 simulating GPP over China, with relative biases exceeding 40% in grasslands and reaching 100%  
104 in drylands, while it performs better in humid areas. The above results indicate that further  
105 uncertainty assessments are essential across China's diverse ecosystems. This will facilitate the  
106 identification of uncertainty sources and the optimization of parameterization schemes.

107 Among the above-mentioned LSMs, Noah-MP is particularly suited for uncertainty  
108 attribution because it offers multiple parameterization schemes for key physical processes (Clark  
109 et al., 2011). Parameterizations for a single physical process often rely on conflicting  
110 assumptions. This divergence, rooted in incomplete process knowledge, is a major source of



111 uncertainty in multi-physics ensemble modeling (Clark et al., 2015). By systematically  
112 comparing these schemes, researchers can identify optimal configurations tailored to specific  
113 climatic and surface conditions, thereby enhancing model adaptability and reliability across  
114 diverse environmental scenarios (Chang et al., 2020; Clark et al., 2016). Moreover, Noah-MP  
115 can generate ensembles by perturbing specific physical process parameterizations, and enable the  
116 quantification of the relative contributions of different parameterizations to total uncertainties  
117 through sensitivity analyses. For instance, Zheng et al. (2019) utilized a 48-member Noah-MP  
118 multi-physics ensemble with the Sobol' variance decomposition method (Saltelli et al., 2010;  
119 Sobol', 2001; Saltelli and Sobol, 1995) to assess the sensitivity of precipitation partitioning to the  
120 parameterizations of relevant physical processes. Yang et al. (2011) and You et al. (2024)  
121 employed the Noah-MP multi-physics ensemble to investigate various physical processes'  
122 contributions to soil moisture, ET, runoff, and snow depth. For carbon cycle simulations, Yang et  
123 al. (2021) employed Noah-MP to analyze the sensitivity of net ecosystem exchange (NEE) at the  
124 site scale, highlighting the soil moisture factor for stomatal resistance and surface layer  
125 turbulence as the most sensitive processes. Some studies (You et al., 2020; Li et al., 2019) also  
126 demonstrated that the primary source of uncertainty in multi-parameter ensemble simulations is  
127 attributed to sensitive parameterization schemes. Thus, sensitivity analyses on the Noah-MP  
128 multi-physics ensemble can facilitate the selection of effective parameterization scheme  
129 combinations, which is vital for enhancing GPP estimation accuracy in diverse ecosystems.  
130 Furthermore, quantitative analysis of the impacts of different physical processes on GPP can  
131 identify the key factors and driving mechanisms affecting carbon absorption across various  
132 ecosystems.

133 However, existing uncertainty attribution studies based on the Noah-MP multi-physics  
134 ensemble have largely focused on hydrological processes at global and regional scales (Zheng et  
135 al., 2023; Li et al., 2022), while analyses specific to terrestrial carbon cycle processes remain  
136 limited, especially in China. Yang et al. (2021) investigated the key physical processes affecting  
137 GPP at the site scale, but their study was constrained to a relatively short period (less than 10  
138 years) and limited sites (eight sites). To date, there is a lack of comprehensive uncertainty  
139 attribution studies on GPP simulations over China that account for different vegetation types and  
140 both multi-year and seasonal scales. The contributions of different physical processes to GPP  
141 simulation uncertainty across China have not been quantitatively determined. The dominant



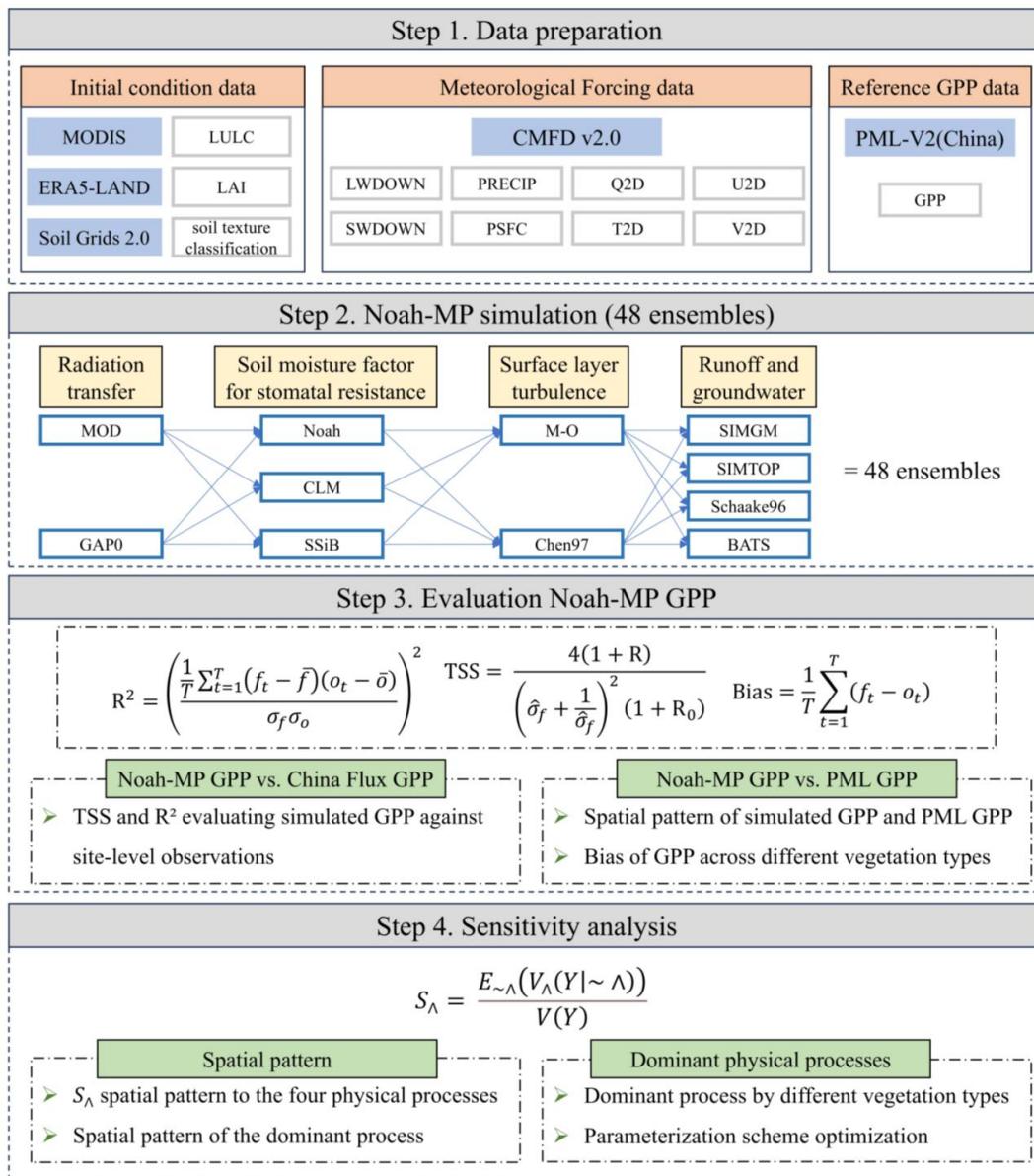
142 processes affecting GPP across different vegetation types remain unknown. This limitation  
143 restricts the improvement of Noah-MP, hindering its practical application in carbon sink  
144 assessments and policy-making in China.

145 To better understand model uncertainties, this study applied the Sobol' sensitivity  
146 analysis on a 48-member Noah-MP ensemble across different vegetation types in China over a  
147 20-year period (2001–2020). First, we evaluated the ensemble's uncertainty in simulating GPP  
148 over China at seasonal and multi-year mean scales against China Flux sites data and the Penman-  
149 Monteith-Leuning Version 2 (PML-V2) GPP dataset, to assess the accuracy and applicability of  
150 Noah-MP over China. Subsequently, we quantified and compared the sensitivity of four key  
151 physical processes—radiation transfer, soil moisture limitation factor to transpiration ( $\beta$ -factor),  
152 surface turbulent exchange (turbulence), and runoff in simulating GPP across China's diverse  
153 ecosystems. This study focuses on two main scientific questions: (1) the performance of the  
154 Noah-MP ensemble in simulating GPP for different vegetation types in China over seasonal and  
155 multi-year periods, and (2) the identification of key physical processes and mechanisms that  
156 govern GPP in diverse ecosystems. The study clarifies the influence of physical process  
157 parameterizations on GPP simulation within Noah-MP and provides ecosystem-specific  
158 recommendations for model configuration, offering valuable insights to enhance the accuracy of  
159 terrestrial carbon flux modeling in China. The flowchart of this study is shown in **Figure 1**.

160 The paper is structured as follows. Section 2 provides a description of the model and  
161 datasets, while Section 3 outlines the methods for model evaluation and sensitivity analysis. The  
162 results are presented in Section 4, followed by conclusions and discussion in Section 5.

163

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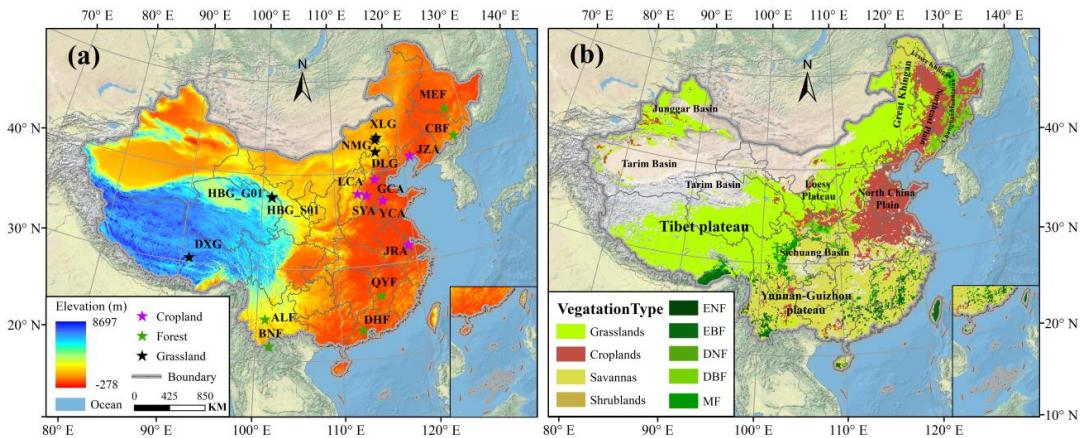




167 **2 Model and datasets**

168 **2.1 Study domain**

169 This study selected China (18.5°N–53.75°N, 73.25°E–135.25°E) as the study domain, as  
170 shown in **Figure 1**. China covers a land area of about 9.6 million square kilometers, extending  
171 across much of East Asia along the western Pacific margin.. The domain features a west-high-  
172 east-low topography, diverse land-cover types, and significant ecosystem variations influenced  
173 by climate and elevation. This study considered the following land-cover types in the domain:  
174 forests ( evergreen needleleaf (ENF), evergreen broadleaf (EBF), deciduous needleleaf (DNF),  
175 deciduous broadleaf (DBF), and mixed forests (MF)), grasslands, croplands, savannas, and  
176 shrublands, as shown in **Figure 2(b)**. China's vast territory features diverse climatic types.  
177 Eastern China experiences a monsoon climate, characterized by cold, dry continental monsoons  
178 in winter and warm, humid oceanic monsoons in summer, driving seasonal rainfall patterns. The  
179 region is dominated by forests, savannas, grasslands, and croplands. The Tibetan Plateau, with its  
180 high altitude and vast area, creates a unique alpine climate. The region is dominated by  
181 grasslands and bare soil areas. Northwest China, situated far inland, experiences minimal  
182 influence from oceanic monsoons, resulting in an arid climate primarily driven by westerlies.  
183 The region is dominated by grasslands and croplands.



184  
185 **Figure 2.** Spatial patterns of (a) elevation and (b) vegetation type in China. The vegetation map only includes  
186 the land-cover types analyzed in this study, while blank areas represent land-cover types excluded from the  
187 analysis. Vegetation classification follows the MODIS Land-cover type (MCD12Q1) dataset, including forests  
188 (ENF, EBF, DNF, DBF, MF), shrublands, savannas, grasslands, and croplands. Elevation data are from the



189 SRTM Digital Elevation Model (Version 4). The basemap is provided by the US National Park Service  
190 (<https://services.arcgisonline.com/arcgis/services>).

191 **2.2 The Noah-MP ensemble**

192 We applied the Noah-MP LSM (Niu et al., 2011; Yang et al., 2011), which represents an  
193 improved version of the original Noah LSM. The model features structural enhancements by  
194 separating vegetation canopy, snow, and soil into independent layers and incorporating key  
195 parameters (He et al., 2023). It adopts a multi-hypothesis framework (Clark et al., 2011), offering  
196 multiple parameterization options for key physical processes. The design enhances flexibility and  
197 improves performance across diverse environments. Moreover, the multi-parameterization  
198 structure enables generating large ensembles by altering certain physical parameterizations. This  
199 capability is particularly useful for analyzing the sources of uncertainty.

200 A 48-member ensemble of Noah-MP (version 5.0) was produced in this study by altering  
201 two radiative transfer schemes (MOD, GAP0), three  $\beta$ -factor schemes (NOAHB, CLM, SSiB),  
202 two turbulence schemes (M-O, Chen97), and four runoff generation schemes (SIMGM,  
203 SIMTOP, NOAHR, BATS). The parameterization schemes are provided in **Table 1**.

204 The processes considered here were chosen due to their crucial roles in photosynthesis  
205 and carbon sequestration in vegetation, as highlighted in previous research. Radiative transfer  
206 regulates the energy input for photosynthesis, with optimal light intensity and duration enhancing  
207 carbon assimilation (Chen et al., 2012). However, too much radiation exposure can damage the  
208 photosynthetic apparatus and a decline in GPP (Misson et al., 2007).  $\beta$ -factor directly controls  
209 plant water availability, thus affecting stomatal conductance and photosynthetic efficiency  
210 (Wang et al., 2008; Yuan et al., 2007; Schlesinger et al., 1990). Insufficient soil moisture leads to  
211 stomatal closure, restricting carbon dioxide uptake and suppressing GPP (Niu et al., 2011).  
212 Turbulent processes influence the movement of  $\text{CO}_2$  and water vapor between vegetation and the  
213 atmosphere, affecting the rate of photosynthetic carbon uptake (Bonan et al., 2018, 2014). Strong  
214 turbulence enhances carbon dioxide supply and removes excess water vapor, optimizing  
215 photosynthesis and promoting GPP (Zheng et al., 2019). Runoff generation affects soil moisture  
216 availability, which in turn influences plant water status (Niu and Yang, 2007). Excessive runoff  
217 depletes soil moisture, intensifies water stress, and reduces GPP. In contrast, moderate runoff  
218 maintains favorable soil water conditions, sustaining photosynthesis and carbon accumulation  
219 (Zheng et al., 2019; Gan et al., 2019; Niu et al., 2011). These processes interact, regulating



220 carbon assimilation and plant productivity, and ultimately determining the carbon sequestration  
221 capacity of ecosystems. Besides, this study excluded the radiative transfer scheme 3 (two-stream  
222 applied to vegetated fraction, SELLERS, 1985; Dickinson, 1983) of Noah-MP, as it shares the  
223 same origin as scheme 1, and may overexpose understory vegetation or snow to solar radiation,  
224 potentially causing biased energy partitioning (Niu et al., 2011). Canopy stomatal resistance  
225 schemes can also influence GPP simulation, but due to model framework limitations, only the  
226 Ball-Berry scheme (Ball et al., 1987) is available. The dynamic vegetation scheme (Yang and  
227 Niu, 2003; Dickinson et al., 1998) was activated, and other schemes used default settings.

228 **Table 1**

229 Selected Noah-MP parameterization schemes for the four key physical processes in this study.

Symbol	Physical Process	Options	Notes
RAD	Radiation transfer	1	MOD: Standing for the modified two-stream approach (Niu and Yang, 2004). This improves upon the classical Two-Stream Model.
		2	GAP0: Two-stream with gap=0 (Niu and Yang, 2004). This version assumes no gaps or uneven distribution in the canopy, making it suitable for uniform canopy structures.
BTR	$\beta$ -factor	1	Noah: The Noah scheme, which focuses on soil moisture (Chen and Dudhia, 2001). The control factor $\beta$ for transpiration is a function of soil volumetric water content.
		2	CLM: The scheme used in the CLM (Oleson et al., 2010) assumes that the control factor $\beta$ for transpiration, related to soil moisture, is a function of soil water potential.
		3	SSiB: The scheme used in the SSiB (Xue et al., 1991) also considers the control factor $\beta$ for transpiration as a function of soil water potential. Compared to the CLM scheme, this model shows a more pronounced response to changes in soil moisture.
SFC	Turbulence	1	M-O: Monin-Obukhov Similarity Theory (Dyer, 1974). This scheme considers zero plane displacement.
		2	Chen97: The scheme used in the Noah LSM (Chen et al., 1997). It does not consider zero-plane displacements but does take into account the difference between thermodynamic roughness and kinetic roughness.
RUN	Runoff generation	1	SIMGM: The scheme used in CLM 4.5 (Niu and Yang, 2007), takes into account the dynamics of groundwater. Runoff is a function of groundwater level, the same as in the TOPMODEL model.
		2	SIMTOP: TOPMODEL with an equilibrium water table (Niu et al., 2005). TOPMODEL Modeling the interaction between groundwater and surface water flow based on soil moisture distribution and hydrologic response.



		3	Schaake96: The scheme used in the Noah LSM(Schaake et al., 1996), does not consider groundwater. Runoff is obtained by subtracting soil infiltration from precipitation, which is determined by soil moisture and soil texture.
		4	BATS: The scheme used in the BATS LSM (Yang and Dickinson, 1996), does not consider groundwater. Runoff depends on soil moisture and takes into account a sub-grid distribution of soil moisture saturation zones. Infiltration is the difference between precipitation and runoff.

230

### 231 2.3 CMFD v2.0 forcings

232 The China Meteorological Forcing Dataset Version 2 (He et al., 2025)  
233 (<https://doi.org/10.11888/Atmos.tpdc.302088>), including near-surface air temperature, surface  
234 pressure, relative humidity, precipitation, downward longwave radiation, and shortwave  
235 radiation, was used to force Noah-MP in this study. CMFD v2.0 is a gridded meteorological  
236 dataset at high resolution, which combines data from multiple sources: remote sensing,  
237 reanalysis, and in-situ observations.. It is specifically developed for land surface process studies  
238 in China (Wang et al., 2025; Zhang and Chen, 2025; Bu et al., 2024). Spanning January 1951 to  
239 December 2020, the dataset has a three-hourly temporal resolution and a 0.1° spatial resolution.

### 240 2.4 Eddy covariance data

241 Eddy covariance (EC) data were obtained from the Science Data Bank for sites within the  
242 ChinaFlux network (<https://www.scidb.cn/en>), comprising 6 forest sites, 6 grassland sites, and 6  
243 cropland sites (**Table 2**). High-temporal-resolution flux and meteorological data were logged  
244 every half hour across all sites. These datasets underwent rigorous standardization protocols,  
245 including quality control checks and post-processing corrections, ensuring high reliability for  
246 validating diverse GPP products (Yang et al., 2017).

### 247 **Table 2**

248 Basic information on the 18 flux sites.

Site	Station name	Longitude (°E)	Latitude (°N)	Vegetation type	Time Range
ALF	Ailaoshan	101.028	24.541	EBF	2009-2013
BNF	Xishuangbanna	101.577	21.614	EBF	2003-2015
CBF	Changbaishan	128.096	42.403	MMF	2003-2010
DHF	Dinghushan	112.534	23.173	EBF	2003-2010



MEF	Maoershan	127.668	45.417	DBF	2016-2018
QYF	Qianyanzhou	115.058	26.741	ENF	2003-2010
DLG	Duolun	116.284	42.047	Grassland	2006-2015
DXG	Dangxiong	91.066	30.497	Alpine meadow	2003-2010
HBG_G01	Haibei	101.313	37.613	Alpine meadow	2015-2020
HBG_S01	Haibei	101.331	37.665	Alpine meadow	2003-2013
NMG	Neimenggu	116.404	43.326	Grassland	2003-2010
XLG	Xilin	116.671	43.554	Grassland	2006-2014
GCA	Gucheng	115.735	39.149	Cropland	2020-2022
JRA	Jurong	119.21	31.807	Cropland	2015-2020
JZA	Jinzhou	121.202	41.148	Cropland	2005-2014
LCA	Luancheng	114.413	37.531	Cropland	2013-2017
SYA	Shouyang	113.200	37.750	Cropland	2012-2014
YCA	Yucheng	116.570	36.829	Cropland	2003-2010

249

250 **2.5 PML-V2 (China) dataset**

251 The Penman-Monteith–Leuning Version 2 (PML-V2) (China) terrestrial ET and GPP  
252 dataset (He et al., 2022), obtained from the National Tibetan Plateau Data Center  
253 (<https://data.tpdc.ac.cn/en/data/40f57c67-33a6-402d-bd37-6ede91919f23/>), was used as  
254 validation data. This dataset offers daily GPP estimates from February 26, 2000, to December 31,  
255 2020, at a 500 m spatial resolution. Generated via the PML-V2 water-carbon coupled model, the  
256 dataset estimates ET and GPP by integrating atmospheric and vegetation data. Specifically, it  
257 uses the Penman-Monteith equation for ET and a modified Leuning equation for GPP. Calibrated  
258 against 26 eddy covariance flux towers in China, it demonstrates high accuracy, particularly for  
259 GPP, outperforming the global PML version (Qian et al., 2024; He et al., 2022). Consequently, it  
260 is widely used in ecological research, carbon/water cycle modeling, and evaluation studies (Shi  
261 et al., 2024; Huang et al., 2023).

262 **2.6 MODIS LULC dataset**

263 The Moderate Resolution Imaging Spectroradiometer (MODIS) Land Use/Land-cover  
264 (LULC) product (MCD12Q1) ([https://developers.google.com/earth-  
265 engine/datasets/catalog/MODIS\\_061\\_MCD12Q1](https://developers.google.com/earth-engine/datasets/catalog/MODIS_061_MCD12Q1)), derived from the MODIS sensors onboard  
266 NASA's Terra and Aqua satellites, was used to set the land-cover types in Noah-MP. The dataset



267 offers global land-cover classification on an annual basis at 500 m resolution, covering the  
268 period from 2001 to the present. In this study, we employed the LC\_Type1 classification scheme  
269 from the MCD12Q1 product, which follows the International Geosphere-Biosphere Programme  
270 system (Sulla-Menashe and Friedl, 2022). To ensure consistency across all datasets, the land-  
271 cover dataset was converted to a 0.1° spatial resolution using interpolation and resampling,  
272 aligning with the CMFD dataset grid points. This study used land-cover types from 2001 and did  
273 not account for land-cover changes.

274 **2.7 ERA5-Land dataset**

275 The ERA5-Land dataset ([https://developers.google.com/earth-  
276 engine/datasets/catalog/ECMWF ERA5 LAND HOURLY](https://developers.google.com/earth-engine/datasets/catalog/ECMWF ERA5 LAND HOURLY)), developed by the European Centre  
277 for Medium-Range Weather Forecasts, was used to initialize the land surface states of Noah-MP.  
278 This dataset provides a global land surface reanalysis at 0.1° spatial resolution with hourly  
279 outputs, spanning from January 1981 to the present. It is generated by integrating a state-of-the-  
280 art land surface model with data assimilation, offering a wide range of land surface variables..  
281 ERA5-LAND land surface variables were bilinearly interpolated to the CMFD grid for model  
282 initialization.

283 **2.8 SoilGrids 2.0 dataset**

284 In this study, the SoilGrids 2.0 dataset (Poggio et al., 2021)  
285 ([https://developers.google.com/earth-  
286 engine/datasets/catalog/OpenLandMap SOL SOL\\_TEXTURE-CLASS USDA-TT M v02](https://developers.google.com/earth-engine/datasets/catalog/OpenLandMap SOL SOL_TEXTURE-CLASS USDA-TT M v02))  
287 was used to set soil types in Noah-MP. This dataset provides global soil property predictions at a  
288 250m spatial resolution, which is derived from machine learning-based integration of soil profile  
289 observations, remote sensing, and environmental covariates. In this study, we used the United  
290 States Department of Agriculture soil texture classification (Soil Survey Staff. 2022). It divides  
291 soils into 12 primary classes (e.g., sandy loam, silty clay) depending on the relative amounts of  
292 sand, silt, and clay. The soil type was also resampled to a 0.1° resolution, based on the dominant  
293 type.



294     3    Methods

295       3.1    Simulation experiment design

296       A two-stage spin-up process (Yang et al., 2021; Zheng et al., 2019) was conducted before  
297       each of the 48 Noah-MP simulations to establish initial conditions for January 1, 2000 (see Table  
298       S1). In the first stage, the atmospheric forcing from 1999 was cycled 30 times. In the second  
299       stage, a 1-year forcing period from January 1, 2000, to January 1, 2001, was applied. With a total  
300       spin-up period of 31 years, Noah-MP reached equilibrium under all climatic conditions and  
301       parameter configurations (Cai et al., 2014).

302       The subsequent 48 simulations covered the period from 2001 to 2020, using a 15-minute  
303       time step. The output frequency is every 8 days. Subsequently, we aggregated the outputs into  
304       monthly, seasonal, and annual scales.

305       3.2    Evaluation metrics

306       In this study, the Taylor Skill Score (TSS) was employed to provide a concise assessment  
307       of the Noah-MP ensemble (Taylor, 2001).

$$TSS = \frac{4(1+R)}{\left(\hat{\sigma}_f + \frac{1}{\hat{\sigma}_f}\right)^2(1+R_0)}, \quad (1)$$

308       where  $R$  is a metric for the agreement between observed and simulated time series, and  $R_0$  is the  
309       highest correlation among the ensemble simulations.  $\hat{\sigma}_f$  is the normalized standard deviation  
310       (NSD), which is calculated as the ratio between the standard deviation of observations and that  
311       of simulations (see **Equation (4)**). TSS is a metric bounded between 0 and 1, where the upper  
312       limit of 1 represents a perfect match between simulation and observation.

313       To calculate the TSS,  $R$ , and NSD were computed. For a model simulation ( $f$ ) and its  
314       corresponding observation ( $o$ ), the formulas are as follows:

$$\sigma_o = \sqrt{\frac{1}{T} \sum_{t=1}^T ((o_t - \bar{o})^2)}, \quad (2)$$

$$R = \frac{\frac{1}{T} \sum_{t=1}^T (f_t - \bar{f})(o_t - \bar{o})}{\sigma_f \sigma_o}, \quad (3)$$

$$\hat{\sigma}_f = \frac{\sigma_f}{\sigma_o} = \frac{1}{\sigma_o} \sqrt{\frac{1}{T} \sum_{t=1}^T ((f_t - \bar{f})^2)}, \quad (4)$$



315 where  $o_t$  represents the observation value at time step  $t$ ,  $\bar{o}$  is the temporal mean of the  
316 observation values, and  $T$  is the total time step number. Similarly,  $f_t$  denotes the model output at  
317 time step  $t$ ,  $\bar{f}$  and  $\bar{o}$  are the mean values of the model output ( $f_t$ ) and observation ( $o_t$ ),  
318 respectively.  $\sigma_f$  and  $\sigma_o$  are their respective standard deviations.

319 To ensure the diversity and comprehensiveness of evaluation metrics, bias, root-mean-  
320 square error (RMSE), and the square of the correlation coefficient ( $R^2$ ) was also employed, as  
321 follows:

$$\text{Bias} = \frac{1}{T} \sum_{t=1}^T (f_t - o_t). \quad (5)$$

$$\text{RMSE} = \sqrt{\frac{1}{T} \sum_{t=1}^T [(f_t - \bar{f}) - (o_t - \bar{o})]^2}, \quad (6)$$

322 A positive bias indicates an overestimation by Noah-MP compared to PML GPP, while a  
323 negative bias indicates an underestimation. Since RMSE quantifies the average error magnitude,  
324 values nearer to 0 therefore serve as a direct indicator of superior simulation accuracy..  $R^2$   
325 corresponds to the square of the R and indicates how well the Noah-MP model fits the  
326 observations.

### 327 3.3 Sobol' total sensitivity index

328 To determine which physical processes exert the greatest control on GPP, we utilized the  
329 Sobol' total sensitivity index (Saltelli et al., 2010; Sobol', 2001; Saltelli and Sobol, 1995). The  
330 Sobol' total sensitivity index ( $S_P$ ) is defined as:

$$S_\Lambda = \frac{E_{\sim\Lambda}(V_\Lambda(Y|\sim\Lambda))}{V(Y)}, \quad (7)$$

331 where  $S_\Lambda$  denotes the Sobol' total sensitivity associated with the schemes of a specific process  $\Lambda$ ;  
332  $\sim\Lambda$  represents all processes except  $\Lambda$ ;  $Y$  refers to the 48 Noah-MP model outputs, which include  
333 both multi-year means and seasonal averages;  $V(Y)$  represents the variance among the 48  
334 outputs;  $V_\Lambda(Y|\sim\Lambda)$  measures the variance induced by the  $\Lambda$  schemes, and  $E_{\sim\Lambda}$  is the average  
335 across all other parameterization combinations.

336 The Sobol' total sensitivity index measures the proportion of variance in model outputs  
337 attributable to the parameterization of process  $\Lambda$ , relative to the total ensemble variance. This



338 index is scaled between 0 and 1, where 0 indicates that  $\Lambda$ 's parameterization has no impact on  
339 the simulations, while 1 denotes complete control of the simulations by  $\Lambda$ . A higher value  
340 denotes a greater degree of dependence of the simulations on  $\Lambda$ .

341 **4 Results**

342 **4.1 Evaluation of the Noah-MP ensemble**

343 To comprehensively assess Noah-MP's performance in simulating GPP across 48 physics  
344 configurations, we adopted a two-tier validation approach. First, site-level evaluations leveraged  
345 eddy covariance flux tower observations at selected sites. This approach supports process-  
346 oriented assessment of model accuracy under diverse environmental and vegetation conditions.  
347 Second, regional-scale evaluations utilized the remote-sensing-based PML-GPP dataset. This  
348 provides spatially continuous estimates, enabling assessment of the model's performance to  
349 capture broad-scale spatial patterns and interannual variability, serving as a complementary and  
350 independent benchmark to site-level observations.

351 **4.1.1 Site-Based Validation Using Eddy Covariance Flux Towers**

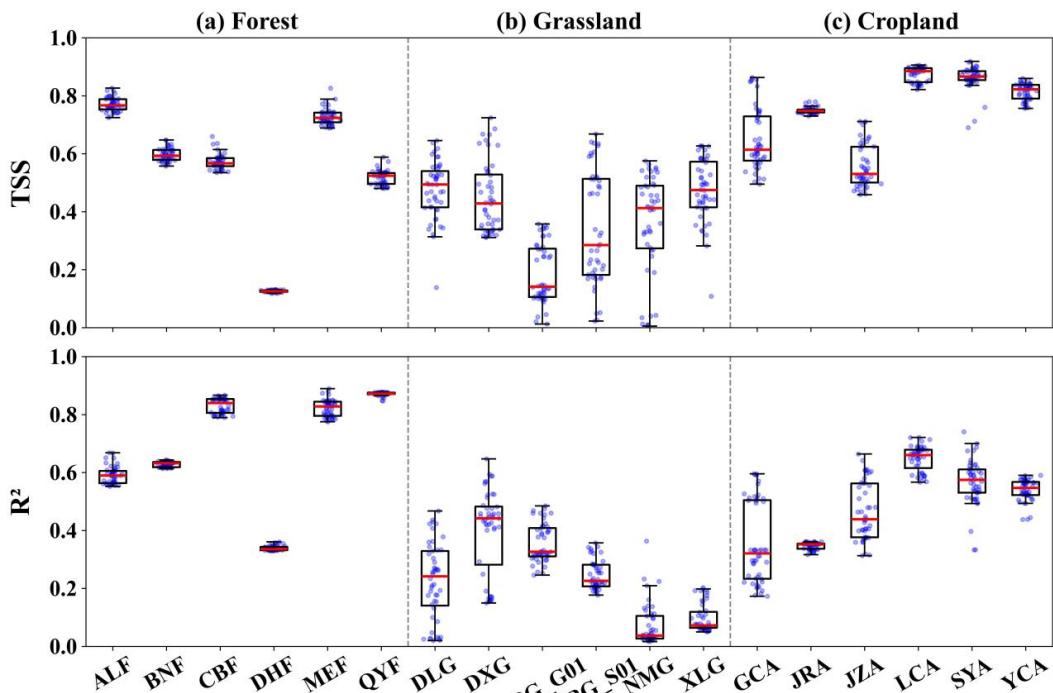
352 The site-level performance of Noah-MP was examined by comparing model outputs with  
353 observations from individual flux tower sites. Figure 3 shows a boxplot representing the  
354 distribution of TSS (max, min, median) from 48 site-level simulations.

355 Median TSS values consistently exceeded 0.50 at all cropland sites. For forest sites, TSS  
356 and  $R^2$  exceeded 0.50 at all sites except DHF. In contrast, both TSS and  $R^2$  values were generally  
357 below 0.60 at grassland and cropland sites. Specifically, the median TSS values at LCA, SYA,  
358 and YCA sites were greater than 0.80, while those at ALF, MEF, and JRA ranged between 0.60  
359 and 0.80. The DHF site and all grassland sites had median TSS values below 0.50, indicating  
360 limited GPP simulation capabilities at these locations as demonstrated by the notably low TSS.

361 The TSS range reflects the performance variability among different ensemble members.  
362 As shown in **Figure 3**, forest sites exhibited relatively small ensemble spreads, suggesting  
363 consistent performance across ensemble members. In contrast, all grassland sites showed large  
364 ensemble spreads. Among cropland sites, both GCA and JZA exhibited substantial TSS  
365 variability. These findings reveal considerable model performance divergence in grassland and



366 cropland ecosystems, under different parameterization schemes. In forest ecosystems, however,  
367 the model exhibited excellent performance with low sensitive to parameterizations choices,  
368 suggesting greater robustness across ensemble members.



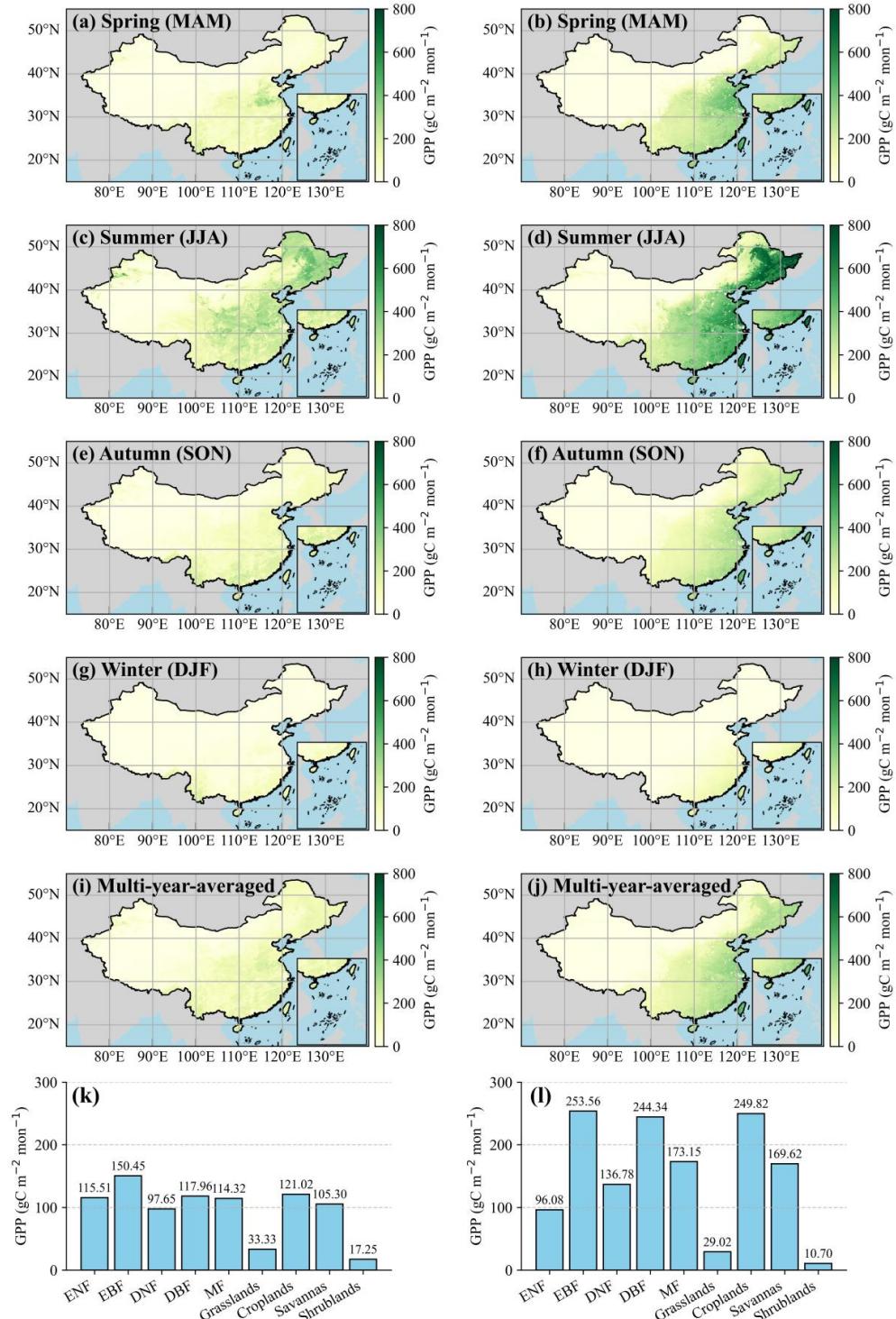
369  
370 **Figure 3.** Taylor skill score (TSS) and squared correlation coefficient ( $R^2$ ) evaluating Noah-MP simulated  
371 GPP against site-level observations across 48 ensemble experiments. Box plots represent the distribution of  
372 results from 48 ensemble experiments, showing the minimum, first quartile, median, third quartile, and  
373 maximum values.

#### 374 4.1.2 Spatial Validation Using the PML GPP Dataset

375 To further evaluate the spatial representativeness of Noah-MP simulated GPP, we  
376 conducted a spatial comparison with the PML-GPP product. **Figure 3** presents the spatial  
377 distribution and seasonal variations of GPP across China from two sources: the PML dataset  
378 (**Figures 3a, c, e, g, i, k**), serving as reference data, and the Noah-MP multi-physics ensemble  
379 mean (**Figures 3b, d, f, h, j, l**). Both datasets revealed clear seasonal GPP patterns, characterized  
380 by summer peaks and winter valleys, highlighting climate phenology carbon uptake. In spring,  
381 GPP in China showed a northwest-to-southeast increasing gradient, reaching highest values  
382 appearing in the central and lower Yangtze River Basin. During summer, GPP reached its peak



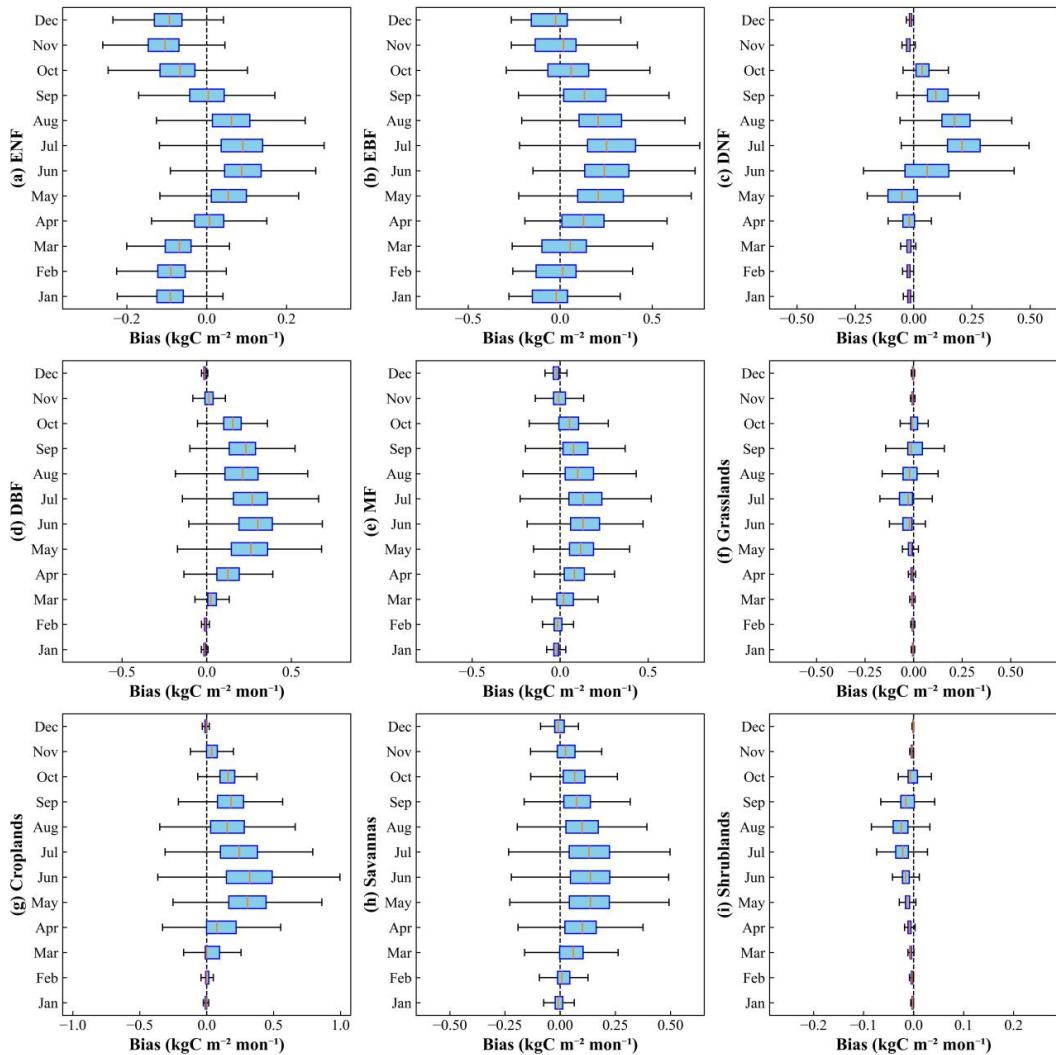
383 in the high-productivity zones, including eastern, southern, and northeastern China, probably  
384 attributed to favorable radiation, temperature, and precipitation conditions. In autumn, GPP  
385 declined along a southeast-to-northwest gradient. During winter, photosynthetic activity was  
386 minimal, with near-zero GPP in northern and high-altitude regions, while southern China  
387 maintained modest productivity. The multi-year mean GPP follows hydroclimatic patterns:  
388 highest in warm-humid southeast, lowest in cold-arid northwest. Vegetation growth is promoted  
389 by sufficient water and heat in water-rich regions, whereas in water-limited regions, extreme  
390 temperatures and scarcity of moisture often constrain vegetation productivity (Piao et al., 2013).  
391 **Figures 3k and 3l** show GPP variations across different vegetation types. Forests, croplands, and  
392 savannas exhibited relatively higher GPP, while grasslands and shrublands showed lower GPP.  
393 Vegetation exhibiting lower productivity is generally located in the northern drylands, where  
394 both moisture and temperature act as constraints (Li et al., 2023; Qiu et al., 2020). Additionally,  
395 GPP across different vegetation types is determined not only by climatic hydrological drivers but  
396 also by the physiological characteristics of the species (Waring et al., 1998; Reich et al., 1997).





398      **Figure 4.** Spatial distribution of GPP for different seasons and the multi-year mean. The left column presents  
399      the GPP distribution derived from the PML dataset, while the right column shows the ensemble mean GPP  
400      distribution from the Noah-MP model.

401      Monthly biases of the Noah-MP ensemble mean, compared to the PML dataset across  
402      vegetation types, are depicted in **Figure 5**. The results revealed substantial variability in monthly  
403      GPP bias. Grasslands and shrublands exhibited minimal biases, whereas EBF and croplands  
404      displayed notably larger positive biases, particularly during the growing season. The  
405      overestimation was more pronounced in high-productivity ecosystems in eastern China, which  
406      have substantial carbon sequestration capacity. The underlying causes of these discrepancies,  
407      especially in high-productivity seasons and regions, need further exploration.



408

409 **Figure 5.** Monthly bias of Noah-MP ensemble mean GPP across vegetation types. The box show pixel-level  
 410 variability and red lines indicate the mean.

#### 411 4.2 Physical process sensitivity

412 **Figure 6** presents the spatial pattern of the Sobol' sensitivity of the four physical  
 413 processes at multi-year and seasonal scales. Focusing on the multi-year scale, radiation transfer  
 414 exhibited the highest sensitivity on the Tibetan Plateau. Across most Chinese regions excluding  
 415 the Tibetan Plateau and the western part of the Yunnan-Guizhou Plateau, GPP showed the  
 416 highest sensitivity to the  $\beta$ -factor, indicating that water availability is the main factor limiting



417 carbon assimilation. The turbulence process showed low sensitivity to GPP simulation. The  
418 runoff generation schemes showed slight sensitivity across China, particularly in the Hai River  
419 Basin, Huai River Basin, and the Yunnan-Guizhou Plateau.

420 The Tibetan Plateau and northeastern Inner Mongolia exhibited the strongest GPP  
421 response to changes in radiation transfer (**Figure 6a**). Theoretically, in such radiation-rich  
422 environments, radiation transfer should not be the dominant limiting factor for GPP. However,  
423 Figure S2 reveals substantial differences in APAR (and thus GPP) between the RAD01 and  
424 RAD02 parameterization schemes across these areas. This discrepancy primarily arises from  
425 RAD01 incorporating vegetation gap effects in radiation transfer calculation, while RAD02 does  
426 not (Niu and Yang, 2004). For densely forested canopies with closed structures (Fig. S3-S7),  
427 differences between the two schemes are relatively small. In contrast, grasslands and sparse  
428 shrubs are the predominant vegetation types across the Tibetan Plateau and northeastern Inner  
429 Mongolia, where vegetation aggregation and gap distribution are more pronounced, thereby  
430 amplifying differences in canopy radiation transfer.

431 GPP simulations were more strongly influenced by the  $\beta$ -factor across most Chinese  
432 regions, particularly the northern arid and semi-arid areas (**Figure 6b**). These regions are  
433 characterized by abundant available energy, but are primarily constrained by water availability.  
434 During spring and summer, increased ET intensified soil moisture stress, with the  $\beta$ -factor  
435 critically regulating GPP. This water-driven effect was predominantly observed in Northwest  
436 China and the Songliao Plain. In Northwest China, low precipitation made water the primary  
437 constraint on carbon assimilation. Similarly, in the Songliao River Basin, a key Chinese  
438 agricultural zone, high crop water demand, meant droughts substantially affected GPP dynamics.  
439 Although winter vegetation dormancy reduced GPP, the  $\beta$ -factor remained sensitive due to its  
440 "lag effect" on soil moisture, which influenced vegetation recovery in the subsequent spring  
441 (Knapp et al., 2008; Schwinnig and Sala, 2004). As other processes showed low sensitivities in  
442 winter, the sensitivity of the  $\beta$ -factor became particularly pronounced.

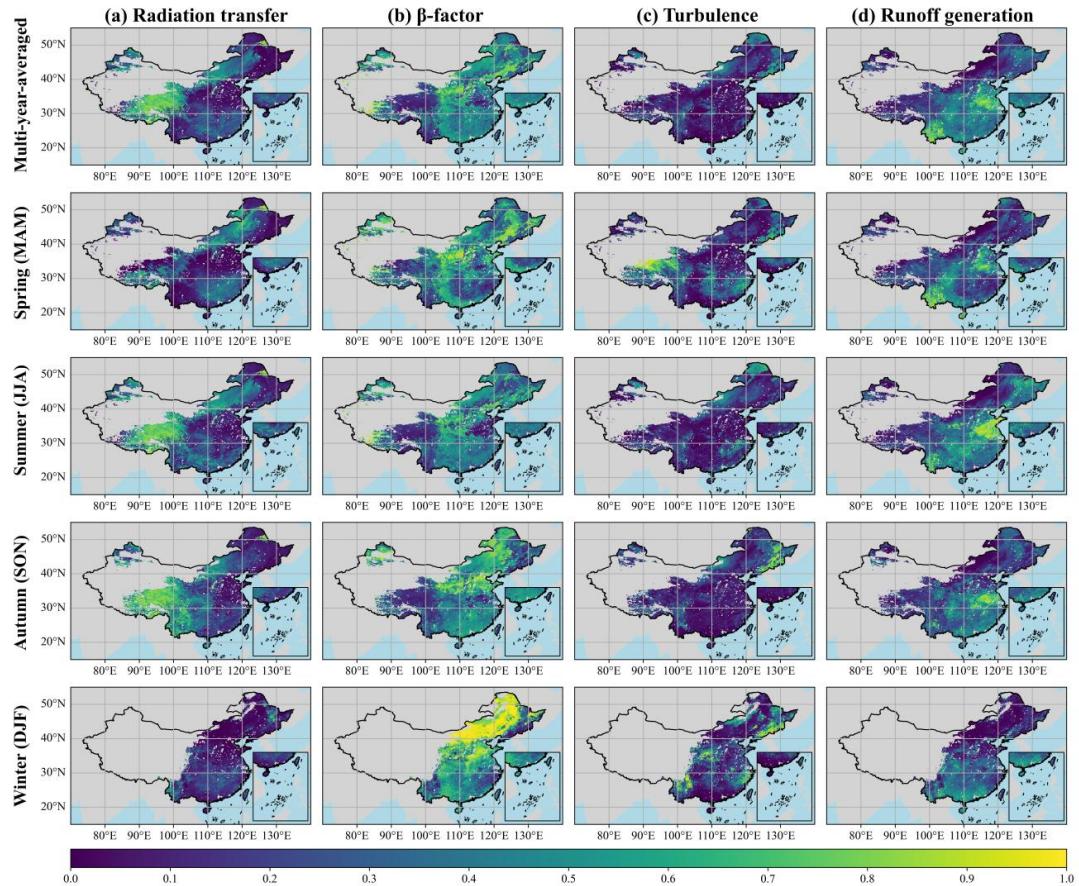
443 At the multi-year scale, turbulence exhibited low sensitivity to GPP across most of China,  
444 with detectable effects confined to the forested area in eastern Northeast China. This is primarily  
445 because turbulence operates as a short-term micrometeorological process that typically fluctuates  
446 at sub-hourly to hourly timescales (Baldocchi, 2003). In addition, ecosystems such as grasslands,



447 croplands, and savannas usually exhibit weak vertical gradients of CO<sub>2</sub> and water vapor, making  
448 them less dependent on turbulent mixing. In contrast, forest ecosystems in eastern Northeast  
449 China are characterized by tall and dense canopies, where pronounced vertical stratification  
450 requires effective turbulent transport to facilitate the transfer of gases from the canopy to the  
451 atmosphere (Stoy et al., 2006).

452 Runoff generation exhibited high sensitivity in China's eastern regions, particularly in the  
453 Hai River Basin, the Huai River Basin, and Yunnan Province, with consistent spatial patterns  
454 in all seasons except winter. In Yunnan Province, runoff generation is most sensitive in spring.  
455 Yunnan's complex terrain and uneven water distribution make runoff vital for water  
456 redistribution during dry seasons (Winkler et al., 2018; Immerzeel et al., 2010). The region's  
457 warm climate and high elevation cause early spring snowmelt, which boosts soil moisture and  
458 supports timely vegetation growth (Barnett et al., 2005). Runoff generation is most sensitive in  
459 summer in the Hai River Basin and Huai River Basin. In these basins, concentrated summer  
460 precipitation and irrigation are crucial for maintaining cropland GPP during drier periods. During  
461 winter, the sensitivity weakened and was largely restricted to southern regions. Winter  
462 precipitation reduction and vegetation dormancy decreased runoff sensitivity to GPP simulations,  
463 yet some regions in southern China remained sensitive as winter soil moisture and water  
464 availability affected spring vegetation recovery.

465 Soil moisture stress ( $\beta$ -factor) and radiation transfer were the main limiting factors for  
466 GPP in dry regions, including Northwest China. By contrast, in wet regions such as southern  
467 China, runoff generation and turbulence seasonally regulated carbon assimilation. During peak  
468 growth periods (spring and summer), radiation transfer and soil moisture stress more strongly  
469 impacted GPP, increasing sensitivity. Although GPP was low in winter, soil moisture stress still  
470 impacted model outputs in eastern Inner Mongolia and Northwest China by influencing  
471 vegetation recovery, showing notable seasonal lag effects.



472  
 473 **Figure 6.** The Sobol' index of the Noah-MP ensemble-simulated multi-year-averaged and seasonal GPP to the  
 474 four physical processes (i.e., radiation transfer,  $\beta$ -factor, turbulence, and runoff generation). Notably, blank  
 475 areas represent regions with zero GPP under all 48 simulation schemes due to a lack of vegetation cover,  
 476 making it impossible to assess sensitivity.

477 **Figure 7** shows the seasonal patterns of the processes exerting the strongest control on  
 478 GPP. As in **Figure 6**, radiation transfer was dominant process over the Tibetan Plateau at the  
 479 multi-year scale as well as in summer and autumn while winter GPP in this region dropped to  
 480 nearly zero. The  $\beta$ -factor dominated in the water-limited regions (i.e., northwest China, the  
 481 Northeast Plain, and parts of southern China), reflecting its broad significance. Runoff mostly  
 482 affected Yunnan and the North China Plain. Turbulence was the key influence in high-elevation  
 483 forest areas (i.e., Changbai Mountains forest region) in spring and winter.

484 Spatially, the dominant factors controlling GPP exhibit significant spatial variability  
 485 across China. At multi-year scales, radiation transfer was the primary controlling factor of GPP



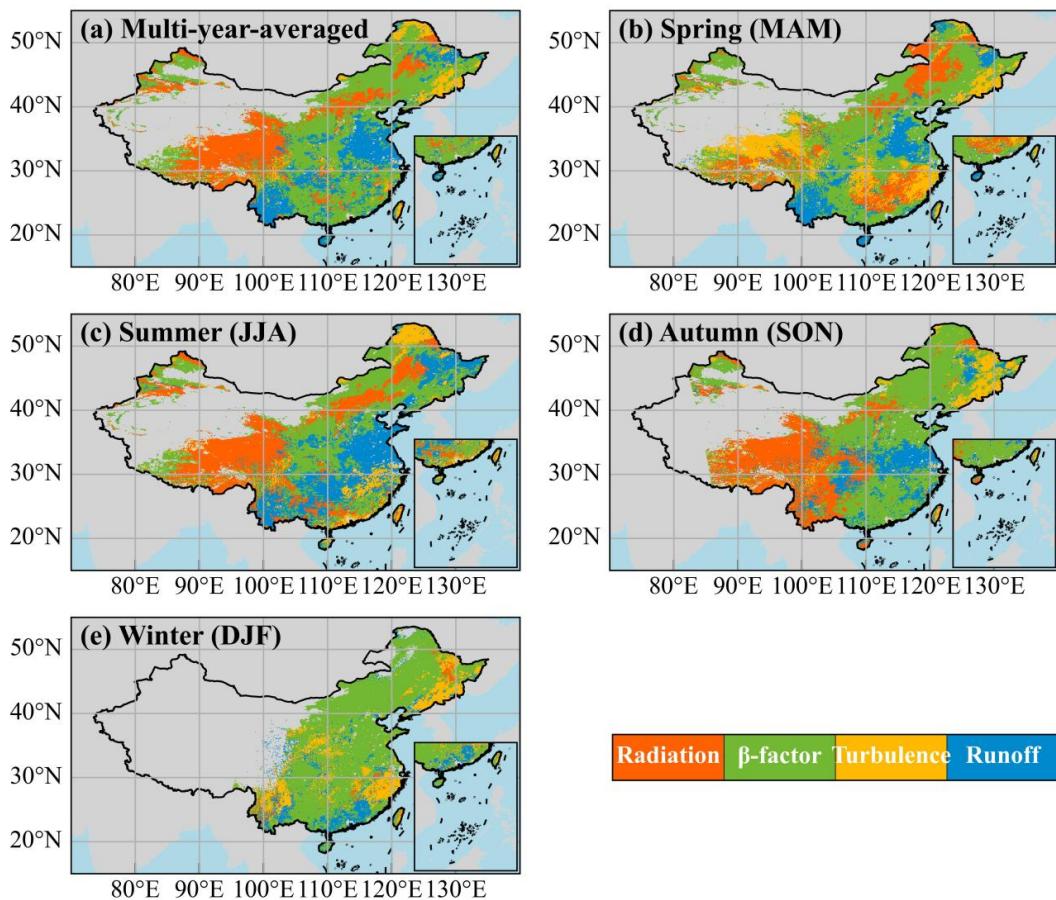
486 in the northeastern Inner Mongolia and Tibetan Plateau. This mainly results from the divergent  
487 performance of radiation schemes in grasslands and sparse shrubs (Figs. S2). The  $\beta$ -factor was  
488 particularly influential in most regions of China. In the arid northwest and northeast, as well as  
489 southern regions with seasonal water shortages, low soil moisture limited GPP, making the  $\beta$ -  
490 factor a key control, as water scarcity reduced photosynthetic efficiency. In high-elevation forest  
491 regions such as the Lesser Khingan and Changbai Mountains, turbulent heat flux plays a key role  
492 in regulating GPP, especially during cold seasons. Turbulent heat exchange helps maintain  
493 canopy temperatures above freezing, thereby extending the photosynthetic period (Bonan et al.,  
494 2018; Ensminger et al., 2006). In areas with enclosed terrain, including valleys and basins,  
495 turbulence mitigates the buildup of cold air, making this effect more noticeable (Wang et al.,  
496 2016).. Runoff played a dominant role in southwestern and eastern China, where complex terrain  
497 and uneven precipitation led to increased sensitivity to GPP in areas like river basins.

498 The dominant processes controlling GPP varied significantly across the four seasons.  
499 Turbulence became more influential in spring, dominating GPP dynamics in the Tibetan Plateau,  
500 Changbai Mountains, and parts of central and eastern China. Rising temperatures and winds  
501 increased surface heating and atmospheric instability, enhancing turbulence. As plants enter their  
502 growing season with higher CO<sub>2</sub> demand, turbulence enhances gas exchange, boosting  
503 photosynthesis and thus increasing its impact on GPP (Baldocchi, 2014; Finnigan, 2000). In  
504 summer, the distribution of dominant physical processes closely resembled the multi-year  
505 average, likely because the Noah-MP ensemble showed the largest spread during summer. In  
506 autumn, the main processes in the Yunnan-Guizhou Plateau shifted from runoff generation to  
507 radiation transfer. Runoff generation was less impactful due to reduced rainfall and smaller  
508 runoff differences. Solar radiation became the main limiting factor (Wang et al., 2023). When  
509 radiation levels were sufficiently high, photosynthetic activity stayed high. In winter, the  $\beta$ -factor  
510 was the main driver of GPP, while radiation had minimal effect. This is because low  
511 temperatures suppress vegetation activity, making radiation less sensitive to GPP changes (Fu et  
512 al., 2017). Conversely, in regions with winter-spring dry seasons like Southwest China, soil  
513 moisture becomes the dominant control on GPP (Zhou et al., 2019).

514 Overall, the dominant physical processes controlling GPP exhibit both seasonal and  
515 spatial variability. Spatially, radiation transfer was the primary driver of GPP on the Tibetan  
516 Plateau, while the  $\beta$ -factor, which represents vegetation stomatal response to soil moisture,



517 played a dominant role across most other regions of China, including the northwest, the  
518 Northeast Plains, and parts of southern China. Notably, the  $\beta$ -factor is the principal control on  
519 GPP throughout most of the year, particularly in winter, when its influence extends nearly the  
520 whole of China. During spring and winter, turbulence primarily affects GPP, whereas in summer,  
521 runoff generation plays a larger role; overall, the  $\beta$ -factor remains the key driver..



522  
523 **Figure 7.** Spatial distributions of the dominant physical process for the Noah-MP ensemble-simulated multi-  
524 year-averaged and seasonal GPP.

#### 525 4.3 Parameterization scheme optimization across different vegetation types

526 To determine the best parameterization scheme for dominant physical processes in GPP  
527 simulations across different vegetation types, we analyzed the process with the highest Sobol'  
528 sensitivity index for each vegetation type. Figure 8 presents the total Sobol' sensitivity indices of



529 Noah-MP-simulated GPP (multi-year average and seasonal) for four physical processes across  
530 vegetation types. These variations suggest that the dominant processes governing GPP differ  
531 depending on the balance between water and energy limitations.

532 The sensitivity of GPP simulations to key physical processes varied significantly across  
533 vegetation types, with shrubland ecosystems being most sensitive to the radiation transfer  
534 process (**Figure 8**). Shrublands, widely distributed in arid regions and the eastern Tibetan  
535 Plateau, exhibited high sensitivity to radiation transfer (index = 0.92), but showed minimal  
536 sensitivity to the  $\beta$ -factor, turbulence, and runoff generation. The RAD process directly  
537 influences the amount of shortwave radiation absorbed by vegetation (SAV) and the absorbed  
538 photosynthetically active radiation (APAR). As shown in **Figure S8**, simulations using the  
539 RAD01 scheme produced greater SAV and APAR values. In the Noah-MP model, the radiation  
540 process indirectly regulates vegetation growth and leaf area index (LAI) by modulating  
541 photosynthesis, which mainly depend on solar radiation and canopy PAR absorption.  
542 Consequently, the simulated LAI and fraction of vegetated area (FVEG) varied significantly  
543 across different radiation transfer schemes. The results suggest that the RAD01 scheme yields  
544 more realistic simulations and better aligning with actual conditions.

545 The  $\beta$ -factor process exhibited the highest sensitivity in GPP simulations over ENF,  
546 savannas, croplands, and grasslands (**Figure 8**). Although all  $\beta$ -factor parameterization schemes  
547 regulate photosynthetic and transpiration through modulating stomatal resistance, their  
548 performance varied substantially among ecosystem. Specifically, in ENF ecosystems, all three  
549 schemes showed a sharp increase in transpiration rate starting around DOY 60, quickly reaching  
550 a peak (**Figure S9**). Meanwhile, APAR exhibited a bimodal pattern, resulting in simulated GPP  
551 to peak earlier than observations. For savannas and croplands, the differences among the three  
552 schemes were minor but schemes systematically overestimated GPP. In grassland ecosystems,  
553 although the BTR03 scheme significantly enhanced the simulated APAR and transpiration rate,  
554 GPP was still substantially underestimated. These findings demonstrate systemic limitations in  
555 current  $\beta$ -factor parameterizations across different ecosystems, as even the most favorable  
556 scheme fails to accurately capture ecosystem-specific GPP dynamics.

557 The turbulence process exhibited the highest Sobol' sensitivity index of GPP in DBF  
558 ecosystems. Noah-MP simulations revealed that the surface exchange coefficient directly



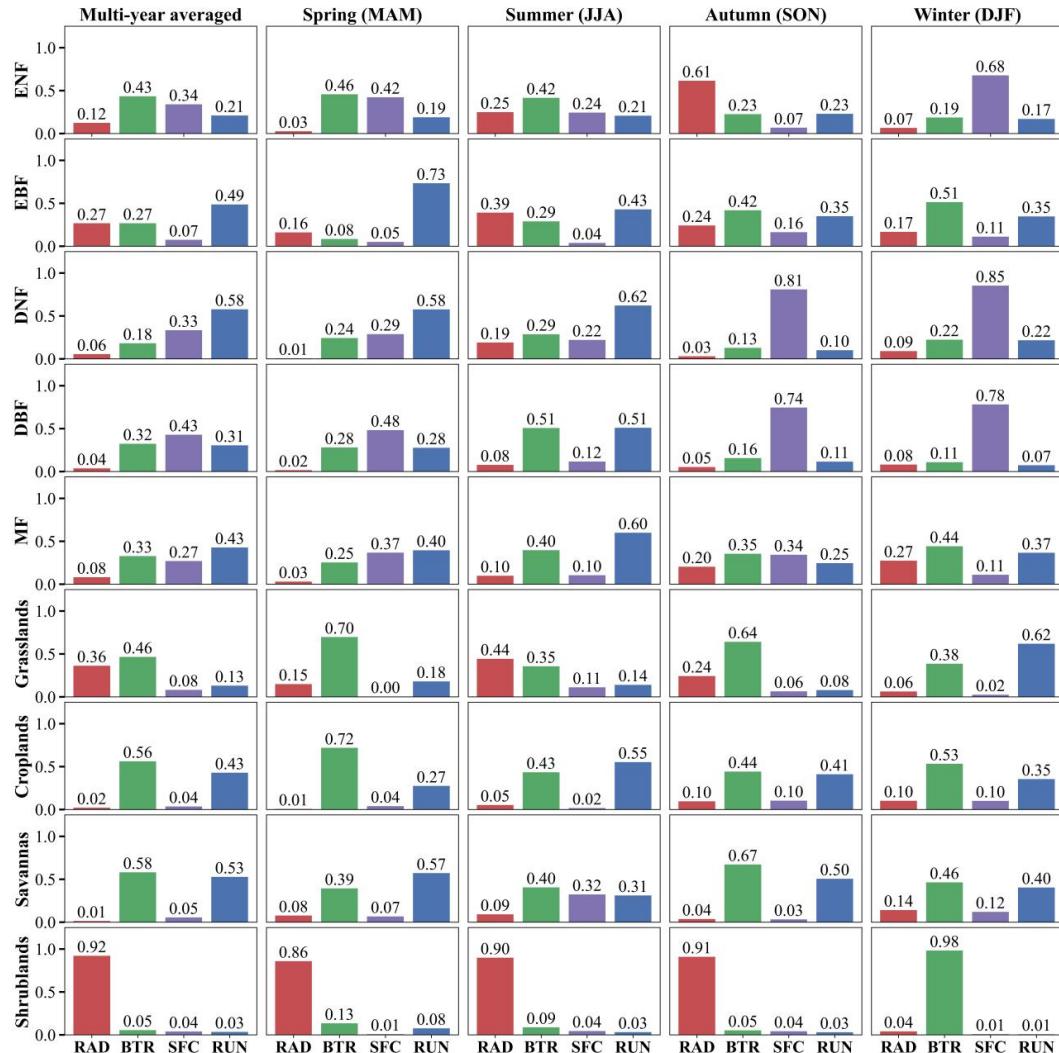
559 influences the vegetation-atmosphere exchanges of sensible and latent heat flux. The SFC01  
560 scheme generated higher sensible heat flux and turbulent exchange coefficients than to the  
561 SFC02 scheme, whereas SFC02 resulted in relatively higher latent heat values (**Figure S10**).  
562 Despite the substantial differences in energy flux simulations between the two schemes, their  
563 simulated GPP values differed only slightly. Compared with observations, both schemes showed  
564 systematic GPP overestimation, suggesting that vegetation energy-use efficiency may be  
565 overrepresented in the model.

566 The runoff generation process exhibited the highest sensitivity in GPP simulations for  
567 EBF, DNF, and MF (**Figure 8**). Different runoff parameterization schemes alter the partitioning  
568 of surface and subsurface runoff, which in turn modifies soil moisture conditions and drives  
569 differences in simulated vegetation dynamics, including LAI, fraction of vegetated area (FVEG),  
570 and ultimately GPP (**Figure S11**). The impact of runoff generation parameterizations on GPP is  
571 primarily mediated through changes in soil moisture. However, the four runoff generation  
572 schemes produced similar GPP simulations, with only minor differences relative to observed  
573 GPP. Moreover, all Noah-MP scheme combinations systematically overestimated GPP. These  
574 results suggest that further refinement of model parameterizations is necessary to improve the  
575 accuracy of GPP simulations.

576 This systematic analysis reveals distinct ecosystem-dependent controls on GPP  
577 simulations in Noah-MP. Among the key physical processes, the radiation transfer scheme  
578 dominates in shrublands, with RAD01 performing best due to its better capturing radiation  
579 absorption (SAV/APAR) and subsequent vegetation dynamics (LAI/FVEG) (**Table S2, S3**). For  
580  $\beta$ -factor, while exhibiting high sensitivity across ENF, savannas and croplands, all current  
581 schemes show critical limitations - even the optimal BTR03 scheme substantially underestimates  
582 GPP. The turbulence process proves most influential in DBF ecosystems, though both SFC01  
583 and SFC02 similarly overestimate GPP, suggesting fundamental issues in energy-carbon  
584 coupling. Similarly, for runoff generation processes in tropical/temperate forests, all four  
585 parameterizations produce comparable but consistently overestimated GPP results. Importantly,  
586 these systematic biases across multiple ecosystems indicate the model's inherent tendency to  
587 overestimate vegetation resource use efficiency. These findings collectively underscore the need  
588 for: (1) adopting RAD01 for shrubland simulations, (2) comprehensive recalibration of energy-



589 water-carbon coupling mechanisms across all ecosystems to reduce persistent overestimation  
 590 biases.



591  
 592 **Figure 8.** The Sobol' index of the Noah-MP ensemble-simulated multi-year-averaged and seasonal GPP to the  
 593 four physical processes across different vegetation types. Here, RAD denotes radiation transfer, BTR denotes  
 594 the  $\beta$ -factor, SFC denotes turbulence, and RUN denotes runoff generation.

## 595 5 Conclusions and discussion

596 We examined the performance of the Noah-MP ensemble with multiple  
 597 parameterizations in reproducing GPP, based on flux tower measurements and PML GPP  
 598 datasets. The Noah-MP ensemble was generated by perturbing parameterization schemes of four



599 key physical processes: radiation transfer, turbulence, the  $\beta$ -factor, and runoff generation. In  
600 China, GPP showed significant spatial-temporal variation, with spring/summer as peak seasons  
601 and southeastern/northeastern regions acting as major carbon sinks. Vegetation type greatly  
602 shaped GPP, with forests being the largest carbon contributors, while grasslands and shrublands  
603 exhibited lower productivity. The Noah-MP effectively captured the spatiotemporal patterns of  
604 GPP, but overestimated forest and cropland GPP in peak seasons, potentially due to  
605 underestimating the photosynthesis inhibition under soil moisture deficits. The model exhibited  
606 strong performance across most Chinese ecosystems, with moderate accuracy in shrublands, and  
607 notably inferior results in evergreen forest, demonstrating its applicability in GPP simulation for  
608 Chinese terrestrial ecosystem.

609 Our results align with Arsenault et al. (2018), indicating that Noah-MP overestimates  
610 GPP throughout the growing season, notably in forests and croplands. This overestimation likely  
611 arises from the model's insufficient response to water stress and stomatal regulation under  
612 drought conditions, which leads to the overestimation of carbon assimilation rates. Additionally,  
613 limitations in phenology-related parameterizations and the dynamic vegetation module might  
614 lead to excessive carbon allocation to photosynthetic organs, such as buds in spring (Ma et al.,  
615 2017; Niu et al., 2011). Addressing current model limitations requires advancing carbon  
616 allocation schemes, refining photosynthetic temperature regulation, and integrating nutrient  
617 constraints, with an emphasis on nitrogen, within vegetation processes (Gim et al., 2017; Cai et  
618 al., 2016; Schaefer et al., 2012; Stöckli et al., 2008).

619 With the Noah-MP ensemble, the Sobol' total sensitivity index was applied to determine  
620 the impact of major physical processes on GPP in China's terrestrial ecosystems. China's  
621 ecosystem GPP was influenced by multiple processes, showing spatial heterogeneity. In arid  
622 regions like Northwest China, the  $\beta$ -factor (soil moisture stress) and radiation transfer limited  
623 GPP, while in humid southern China, runoff generation and turbulence regulated carbon  
624 assimilation. During peak growth periods, radiation transfer and the  $\beta$ -factor strongly impacted  
625 GPP. For different ecosystems, water-related factors, including the  $\beta$ -factor and runoff  
626 generation, mainly influenced cropland and savanna GPP, while radiation transfer and turbulence  
627 affected shrublands and forests respectively. GPP's dominant processes varied seasonally and  
628 spatially, with the  $\beta$ -factor dominated in most Chinese regions and radiation transfer showed  
629 stronger control on the Tibetan Plateau. Except in summer, the  $\beta$ -factor was the main GPP driver,



630 especially in winter. In spring, there are no obvious limiting factors, except for a slight sensitivity  
631 exhibited by turbulence; in summer, both radiation transfer and runoff generation show moderate  
632 influence on GPP; in autumn, the dominant process was radiation transfer.

633 The sensitivity of physical process parameterizations is critical for identifying the  
634 primary drivers and mechanisms underlying the spatiotemporal variations of GPP. In spring,  
635 turbulence significantly influences GPP by modulating surface energy fluxes, which in turn  
636 regulate vegetation–atmosphere gas exchange and surface temperature (Misson et al., 2007).  
637 Specifically, turbulent transport of heat and moisture helps maintain canopy temperatures above  
638 freezing and enhances carbon dioxide exchange efficiency, thereby extending the active  
639 photosynthetic period (Misson et al., 2007). Additionally, turbulence mitigates cold air  
640 accumulation in topographically enclosed areas such as valleys and basins, further supporting  
641 microclimatic conditions favorable for vegetation growth (Ensminger et al., 2006). In China's  
642 arid and semi-arid regions, including the Northwest and Northeast Plain, the  $\beta$ -factor exerted a  
643 pronounced influence on autumn and winter GPP (Kannenberg et al., 2024), emphasizing the  
644 critical influence of soil moisture availability on transpiration and carbon uptake in these water-  
645 limited ecosystems, aligning with previous findings (Wang et al., 2023; Zheng et al., 2019;  
646 Nelson et al., 2018; Wolf et al., 2016). Runoff played a significant role in controlling GPP in  
647 humid regions, including the Yunnan-Guizhou Plateau and eastern China. It impacts  
648 photosynthetic efficiency by altering surface and subsurface water availability (Lei et al., 2014).  
649 Incorporating detailed runoff-soil moisture interactions and vegetation-specific hydrological  
650 processes may enhance simulation accuracy in these regions. Overall, GPP variability in China  
651 arose from complex interactions of climatic drivers, vegetation types, and ecosystem-specific  
652 physiology. This highlights the need for model improvements in simulating radiation transfer,  
653 soil moisture transport, and vegetation dynamics to reduce uncertainties. Notably, sensitivity  
654 patterns varied regionally even within the same vegetation type, reflecting local climate and  
655 hydrological influences. Such spatial heterogeneity indicates the importance of conducting  
656 region-specific modeling and implementing targeted management, such as optimizing water  
657 resources in arid areas and boosting light-use efficiency in humid regions.

658 Based on systematic model performance evaluations and parameterization scheme  
659 sensitivity analyses, this study proposes optimized Noah-MP model configurations for terrestrial  
660 ecosystems in China. The findings indicate that the modified two-stream approximation scheme



661 (RAD01) exhibits superior performance in simulating radiation transfer processes, particularly  
662 showing significant advantages in grasslands and shrubland ecosystems. For  $\beta$ -factor, while the  
663 three  $\beta$ -factor schemes show minimal differences across most vegetation types, BTR03  
664 demonstrates relatively better performance in cropland ecosystems. Importantly, both surface  
665 exchange (SFC01/SFC02) and runoff parameterizations consistently overestimate GPP without  
666 showing substantial inter-scheme performance variations. Consequently, we recommend: (1)  
667 adopting RAD01 for radiation transfer simulations, (2) prioritizing BTR03 for cropland  
668 applications, and (3) focusing on fundamental improvements in energy-carbon coupling and  
669 hydro-vegetation interaction mechanisms to address the identified systematic biases and enhance  
670 overall model accuracy.

671 This study assessed the ability of Noah-MP to simulate GPP across Chinese ecosystems,  
672 explored key physical processes shaping GPP variations, and offered optimal parameterization  
673 scheme recommendations for GPP modeling. The findings contribute to improving ecosystem  
674 carbon uptake modeling and support the improvement of carbon management strategies.  
675 However, several limitations warrant attention in future work:

676 (1) Parameterization schemes limitations: only a subset of parameterization schemes was  
677 included due to computational constraints, with other parameters and parameterization schemes  
678 remaining not considered. Future research should expand the ensemble by incorporating more  
679 parameters and schemes related to vegetation carbon sequestration, such as canopy height and  
680 rain-snow partitioning schemes. Furthermore, plant physiology-related processes, like plant  
681 hydraulics, were not incorporated in the Noah-MP 5.0 version used (Li et al., 2021). Subsequent  
682 research is needed to include these processes and evaluate their influence on GPP. (2) Validation  
683 data uncertainty: though the PML GPP (China) dataset used here was deemed superior by prior  
684 studies, it still deviates from site observations. The PML GPP shows strong agreement with flux  
685 tower observations, with an NSE of 0.82 and an RMSE of  $1.71 \text{ g C m}^{-2} \text{ d}^{-1}$  (He et al., 2022). (3)  
686 Model structural constraints: current physical process models like Noah-MP simplify the  
687 parameterization of vegetation carbon fluxes, introducing uncertainties in GPP simulation.  
688 Integrate data assimilation and machine-learning-based modeling can effectively reduce such  
689 uncertainties and enhance simulation accuracy.

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697        **Data availability statement**

698        All public datasets used in this study (including CMFD, PML-V2, MCD12Q1, ERA5-  
699        Land, and SoilGrids 2.0) were obtained from their respective official sources cited in the article.  
700        The source code and simulated data generated in this study have been uploaded to Zenodo  
701        (<https://doi.org/10.5281/zenodo.18158937>, Lai, 2026).

702        **CRediT authorship contribution statement**

703        J.L. conceived the study, processed and analyzed the data, and wrote the original draft.  
704        W.F. and J.W. secured funding, supervised the project, and contributed to conceptualization and  
705        manuscript revision. A.W., Y.L., L.S., Y.Z., Y.D., R.C., and R.L. participated in reviewing and  
706        editing the manuscript. All authors contributed to the final version and approved its submission.

707        **Declaration of competing interest**

708        The authors declare no conflicts of interest.

709        **References**

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