



1 **Quantitative assessment of parameterization sensitivity and uncertainty in Noah-MP**
2 **multi-physics ensemble simulations of gross primary productivity across China's**
3 **terrestrial ecosystem**

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15
16 **Abstract**

17 Understanding the carbon cycle and its interactions with climate systems requires precise
18 simulation of Gross Primary Productivity (GPP). However, achieving this remains challenging
19 due to the inherent complexity of the models. Current research lacks quantification of how
20 uncertainties in physical process parameterization affect GPP simulation across various
21 ecosystems, and the dominant physical processes governing GPP variability are poorly identified.
22 To address these issues, this study generated a 48-member Noah Land Surface Model with multi-
23 parameterization options (Noah-MP) ensemble by manipulating key physical parameterization
24 schemes. The model was validated using ChinaFlux tower measurements and Penman-Monteith–
25 Leuning Version 2 data. We employed the Sobol' total sensitivity index to assess the influence of
26 four key physical processes on GPP: radiation transfer, the soil moisture limitation factor for
27 transpiration (β -factor), turbulence, and runoff generation. Results demonstrate that Noah-MP
28 effectively captured GPP's spatiotemporal patterns in Chinese ecosystems but overestimated
29 GPP in forest and cropland during spring and summer. Sensitivity analysis indicates that the β -
30 factor dominates GPP simulations across most of China, while radiation transfer is the primary



31 driver on the Tibetan Plateau. The main difference between the two radiation transfer schemes
32 lies in whether vegetation gaps fraction are considered. On the Tibetan Plateau, where grasslands
33 and shrublands exhibit large canopy gaps, consider it or not could lead to in substantial
34 differences in simulated radiation and consequently in GPP, making GPP highly sensitive to the
35 choice of radiation scheme. Across ecosystems, water-related factors (β -factor and runoff)
36 mainly affect croplands and savannas, radiation transfer dominates grasslands and shrublands,
37 and turbulence is most influential in forests. There are also distinct seasonal patterns: radiation
38 and turbulence dominate in spring and summer, while radiation and β -factor prevail in autumn
39 and winter, especially in arid regions. Based on systematic performance evaluations and
40 sensitivity analyses, this study proposes optimized Noah-MP model configurations for China's
41 terrestrial ecosystems. The radiation transfer scheme considering the three-dimensional canopy
42 structure (option 1) is recommended for grasslands and shrublands. Our findings offer insights
43 for enhancing GPP simulation accuracy in Noah-MP, thereby improving the model's ability to
44 represent carbon–water dynamics from regional to continental scales.

45

46 **Keywords:** Gross Primary Productivity; Noah-MP; Uncertainty; Parameterization sensitivity;
47 China

48



49 1 Introduction

50 Gross primary productivity (GPP) is an important indicator representing the total carbon
 51 assimilated by plants through photosynthesis (Qian et al., 2024; Wang et al., 2023). Precise
 52 estimation of GPP is crucial for examining ecosystem carbon cycles and evaluating ecosystem
 53 responses to global environmental changes (Chang et al., 2023; H. Wang et al., 2023; Zhang and
 54 Ye, 2022). The eddy covariance technique, often regarded as the most reliable approach for
 55 quantifying CO₂ fluxes between ecosystems and the atmosphere, is nonetheless limited in spatial
 56 coverage, being applicable primarily at local scales (Chen et al., 2020; Yu et al., 2016). Land
 57 surface models (LSMs) provide a powerful framework for enabling continuous simulation of
 58 GPP at regional scales, thereby advancing understanding of carbon cycle processes and their
 59 feedbacks with the climate system (Wei et al., 2017; Sims et al., 2008; Running et al., 2004).

60 During the last few decades, LSMs have undergone significant advancements through
 61 three major stages, each aimed at improving the realism of physical parameterization and
 62 achieving higher accuracy in simulating carbon, water, and energy cycles (Pitman, 2003; Sellers
 63 et al., 1997). First-generation LSMs conceptualize the land surface as a simple bucket with a
 64 constant water-holding capacity, significantly oversimplifying soil moisture dynamics and
 65 vegetation effects (Manabe, 1969). This simplification leads to unrealistic simulations of energy
 66 partitioning and water transferring. Second-generation LSMs are built on the Soil-Vegetation-
 67 Atmosphere-Transfer Model, explicitly incorporating interactions and feedback mechanisms
 68 among vegetation, atmosphere, and soil (Deardorff, 1977). These models provide a more realistic
 69 depiction of land surface processes by integrating stomatal conductance, which regulates
 70 transpiration, along with soil layer water exchange. Third-generation LSMs evolve from the
 71 second-generation LSMs by incorporating biochemical processes. They recognize vegetation's
 72 critical role in terrestrial carbon-water cycles, including its contribution to land
 73 evapotranspiration (Jasechko et al., 2013), its modulation of heat and water vapor exchanges to
 74 influence precipitation (Green et al., 2017), and its absorption of carbon dioxide via
 75 photosynthesis (Vicca, 2018). Currently, the typical third-generation LSMs, such as the Simple
 76 Biosphere Model (Denning et al., 1996), the Community Land Model (CLM, Oleson et al., 2010),
 77 and the Noah Model with Multiple Parameterizations (Noah-MP, Niu et al., 2011; Yang et al.,
 78 2011), have become mainstream tools for land surface research. Take Noah-MP as an example, it
 79 introduces a dynamic vegetation module to simulate canopy density and plant coverage across



80 different vegetation types while accounting for carbon allocation within plants (Yang and Niu,
81 2003; Dickinson et al., 1998). The model incorporates stomatal-photosynthesis coupling for
82 sunlit and shaded leaves, with distinct parameterizations for photosynthesis and respiration in C3
83 versus C4 plants (Ball et al., 1987; Bonan, 1996). The canopy gaps are considered to compute
84 the absorption of solar radiation by sunlit and shaded leaves (Niu and Yang, 2004; Yang and
85 Friedl, 2003). Third-generation LSMs improve simulation accuracy and allow for the coupling of
86 terrestrial-atmospheric carbon and nitrogen cycles with energy and water fluxes by
87 comprehensively modeling processes such as canopy radiation transfer, soil heat and water
88 transport, and biochemical activity (Pitman, 2003; Dickinson et al., 1998). These advancements
89 have significantly propelled multi-scale studies of climate, ecosystems, and land-atmosphere
90 interactions, thereby improving comprehension of land surface dynamics and their impacts on
91 regional climate variability (He et al., 2024; Yang et al., 2021; Zhang et al., 2016; W. Cai et al.,
92 2014; Baker et al., 2003).

93 Notwithstanding their broad application, these models continue to suffer from persistent
94 issues that affect the reliability of GPP estimates over China (Wang et al., 2024; Li et al., 2022).
95 For example, Zheng et al. (2023) found that CLM4.5 underestimated GPP in some temperate
96 forests and C3 grasslands, while overestimating GPP in temperate broadleaf evergreen forests.
97 This bias is linked to the model's tendency to overestimate specific leaf area, particularly at the
98 canopy top and on sloped terrain. Similarly, Zhang et al. (2016) reported that while CLM4.5
99 improved GPP simulation compared to CLM4.0, particularly in subtropical forests, it still
100 exhibited a positive bias in annual GPP. These findings highlight the necessity for improving
101 parameterizations of structural, physiological, and growth-status parameters under different
102 vegetation types. Additionally, Li et al. (2022) noted that Noah-MP shows uncertainty in
103 simulating GPP over China, with relative biases exceeding 40% in grasslands and reaching 100%
104 in drylands, while it performs better in humid areas. The above results indicate that further
105 uncertainty assessments are essential across China's diverse ecosystems. This will facilitate the
106 identification of uncertainty sources and the optimization of parameterization schemes.

107 Among the above-mentioned LSMs, Noah-MP is particularly suited for uncertainty
108 attribution because it offers multiple parameterization schemes for key physical processes (Clark
109 et al., 2011). Parameterizations for a single physical process often rely on conflicting
110 assumptions. This divergence, rooted in incomplete process knowledge, is a major source of



111 uncertainty in multi-physics ensemble modeling (Clark et al., 2015). By systematically
 112 comparing these schemes, researchers can identify optimal configurations tailored to specific
 113 climatic and surface conditions, thereby enhancing model adaptability and reliability across
 114 diverse environmental scenarios (Chang et al., 2020; Clark et al., 2016). Moreover, Noah-MP
 115 can generate ensembles by perturbing specific physical process parameterizations, and enable the
 116 quantification of the relative contributions of different parameterizations to total uncertainties
 117 through sensitivity analyses. For instance, Zheng et al. (2019) utilized a 48-member Noah-MP
 118 multi-physics ensemble with the Sobol' variance decomposition method (Saltelli et al., 2010;
 119 Sobol', 2001; Saltelli and Sobol, 1995) to assess the sensitivity of precipitation partitioning to the
 120 parameterizations of relevant physical processes. Yang et al. (2011) and You et al. (2024)
 121 employed the Noah-MP multi-physics ensemble to investigate various physical processes'
 122 contributions to soil moisture, ET, runoff, and snow depth. For carbon cycle simulations, Yang et
 123 al. (2021) employed Noah-MP to analyze the sensitivity of net ecosystem exchange (NEE) at the
 124 site scale, highlighting the soil moisture factor for stomatal resistance and surface layer
 125 turbulence as the most sensitive processes. Some studies (You et al., 2020; Li et al., 2019) also
 126 demonstrated that the primary source of uncertainty in multi-parameter ensemble simulations is
 127 attributed to sensitive parameterization schemes. Thus, sensitivity analyses on the Noah-MP
 128 multi-physics ensemble can facilitate the selection of effective parameterization scheme
 129 combinations, which is vital for enhancing GPP estimation accuracy in diverse ecosystems.
 130 Furthermore, quantitative analysis of the impacts of different physical processes on GPP can
 131 identify the key factors and driving mechanisms affecting carbon absorption across various
 132 ecosystems.

133 However, existing uncertainty attribution studies based on the Noah-MP multi-physics
 134 ensemble have largely focused on hydrological processes at global and regional scales (Zheng et
 135 al., 2023; Li et al., 2022), while analyses specific to terrestrial carbon cycle processes remain
 136 limited, especially in China. Yang et al. (2021) investigated the key physical processes affecting
 137 GPP at the site scale, but their study was constrained to a relatively short period (less than 10
 138 years) and limited sites (eight sites). To date, there is a lack of comprehensive uncertainty
 139 attribution studies on GPP simulations over China that account for different vegetation types and
 140 both multi-year and seasonal scales. The contributions of different physical processes to GPP
 141 simulation uncertainty across China have not been quantitatively determined. The dominant



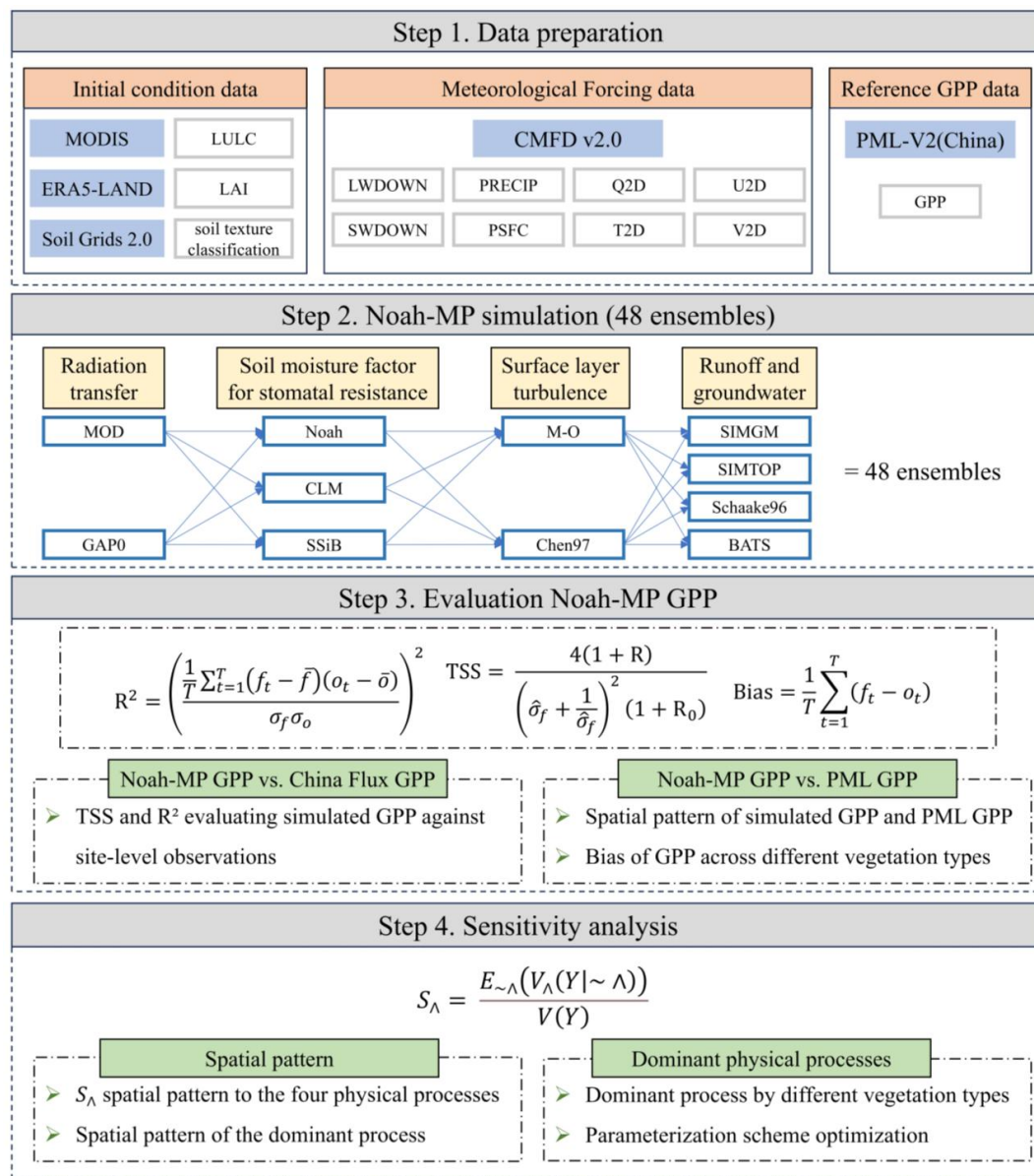
142 processes affecting GPP across different vegetation types remain unknown. This limitation
 143 restricts the improvement of Noah-MP, hindering its practical application in carbon sink
 144 assessments and policy-making in China.

145 To better understand model uncertainties, this study applied the Sobol' sensitivity
 146 analysis on a 48-member Noah-MP ensemble across different vegetation types in China over a
 147 20-year period (2001–2020). First, we evaluated the ensemble's uncertainty in simulating GPP
 148 over China at seasonal and multi-year mean scales against China Flux sites data and the Penman-
 149 Monteith-Leuning Version 2 (PML-V2) GPP dataset, to assess the accuracy and applicability of
 150 Noah-MP over China. Subsequently, we quantified and compared the sensitivity of four key
 151 physical processes—radiation transfer, soil moisture limitation factor to transpiration (β -factor),
 152 surface turbulent exchange (turbulence), and runoff in simulating GPP across China's diverse
 153 ecosystems. This study focuses on two main scientific questions: (1) the performance of the
 154 Noah-MP ensemble in simulating GPP for different vegetation types in China over seasonal and
 155 multi-year periods, and (2) the identification of key physical processes and mechanisms that
 156 govern GPP in diverse ecosystems. The study clarifies the influence of physical process
 157 parameterizations on GPP simulation within Noah-MP and provides ecosystem-specific
 158 recommendations for model configuration, offering valuable insights to enhance the accuracy of
 159 terrestrial carbon flux modeling in China. The flowchart of this study is shown in **Figure 1**.

160 The paper is structured as follows. Section 2 provides a description of the model and
 161 datasets, while Section 3 outlines the methods for model evaluation and sensitivity analysis. The
 162 results are presented in Section 4, followed by conclusions and discussion in Section 5.

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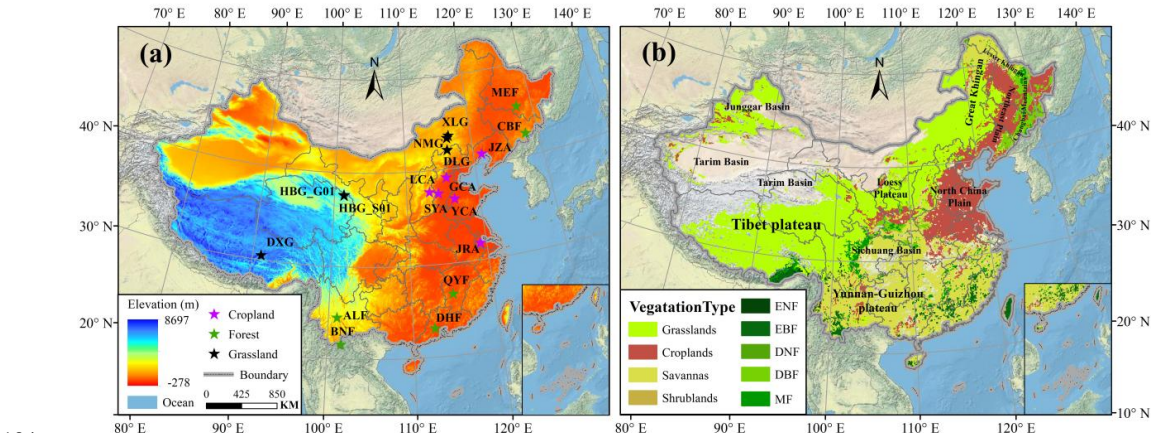
166 **Figure 1.** Flowchart of this study.



167 **2 Model and datasets**

168 **2.1 Study domain**

169 This study selected China (18.5°N–53.75°N, 73.25°E–135.25°E) as the study domain, as
170 shown in **Figure 1**. China covers a land area of about 9.6 million square kilometers, extending
171 across much of East Asia along the western Pacific margin.. The domain features a west-high-
172 east-low topography, diverse land-cover types, and significant ecosystem variations influenced
173 by climate and elevation. This study considered the following land-cover types in the domain:
174 forests (evergreen needleleaf (ENF), evergreen broadleaf (EBF), deciduous needleleaf (DNF),
175 deciduous broadleaf (DBF), and mixed forests (MF)), grasslands, croplands, savannas, and
176 shrublands, as shown in **Figure 2(b)**. China's vast territory features diverse climatic types.
177 Eastern China experiences a monsoon climate, characterized by cold, dry continental monsoons
178 in winter and warm, humid oceanic monsoons in summer, driving seasonal rainfall patterns. The
179 region is dominated by forests, savannas, grasslands, and croplands. The Tibetan Plateau, with its
180 high altitude and vast area, creates a unique alpine climate. The region is dominated by
181 grasslands and bare soil areas. Northwest China, situated far inland, experiences minimal
182 influence from oceanic monsoons, resulting in an arid climate primarily driven by westerlies.
183 The region is dominated by grasslands and croplands.



184 **Figure 2.** Spatial patterns of (a) elevation and (b) vegetation type in China. The vegetation map only includes
185 the land-cover types analyzed in this study, while blank areas represent land-cover types excluded from the
186 analysis. Vegetation classification follows the MODIS Land-cover type (MCD12Q1) dataset, including forests
187 (ENF, EBF, DNF, DBF, MF), shrublands, savannas, grasslands, and croplands. Elevation data are from the
188



189 SRTM Digital Elevation Model (Version 4). The basemap is provided by the US National Park Service
 190 (<https://services.arcgis.com/arcgis/services>).

191 **2.2 The Noah-MP ensemble**

192 We applied the Noah-MP LSM (Niu et al., 2011; Yang et al., 2011), which represents an
 193 improved version of the original Noah LSM. The model features structural enhancements by
 194 separating vegetation canopy, snow, and soil into independent layers and incorporating key
 195 parameters (He et al., 2023). It adopts a multi-hypothesis framework (Clark et al., 2011), offering
 196 multiple parameterization options for key physical processes. The design enhances flexibility and
 197 improves performance across diverse environments. Moreover, the multi-parameterization
 198 structure enables generating large ensembles by altering certain physical parameterizations. This
 199 capability is particularly useful for analyzing the sources of uncertainty.

200 A 48-member ensemble of Noah-MP (version 5.0) was produced in this study by altering
 201 two radiative transfer schemes (MOD, GAP0), three β -factor schemes (NOAHB, CLM, SSiB),
 202 two turbulence schemes (M-O, Chen97), and four runoff generation schemes (SIMGM,
 203 SIMTOP, NOAHR, BATS). The parameterization schemes are provided in **Table 1**.

204 The processes considered here were chosen due to their crucial roles in photosynthesis
 205 and carbon sequestration in vegetation, as highlighted in previous research. Radiative transfer
 206 regulates the energy input for photosynthesis, with optimal light intensity and duration enhancing
 207 carbon assimilation (Chen et al., 2012). However, too much radiation exposure can damage the
 208 photosynthetic apparatus and a decline in GPP (Misson et al., 2007). β -factor directly controls
 209 plant water availability, thus affecting stomatal conductance and photosynthetic efficiency
 210 (Wang et al., 2008; Yuan et al., 2007; Schlesinger et al., 1990). Insufficient soil moisture leads to
 211 stomatal closure, restricting carbon dioxide uptake and suppressing GPP (Niu et al., 2011).
 212 Turbulent processes influence the movement of CO₂ and water vapor between vegetation and the
 213 atmosphere, affecting the rate of photosynthetic carbon uptake (Bonan et al., 2018, 2014). Strong
 214 turbulence enhances carbon dioxide supply and removes excess water vapor, optimizing
 215 photosynthesis and promoting GPP (Zheng et al., 2019). Runoff generation affects soil moisture
 216 availability, which in turn influences plant water status (Niu and Yang, 2007). Excessive runoff
 217 depletes soil moisture, intensifies water stress, and reduces GPP. In contrast, moderate runoff
 218 maintains favorable soil water conditions, sustaining photosynthesis and carbon accumulation
 219 (Zheng et al., 2019; Gan et al., 2019; Niu et al., 2011). These processes interact, regulating



220 carbon assimilation and plant productivity, and ultimately determining the carbon sequestration
 221 capacity of ecosystems. Besides, this study excluded the radiative transfer scheme 3 (two-stream
 222 applied to vegetated fraction, SELLERS, 1985; Dickinson, 1983) of Noah-MP, as it shares the
 223 same origin as scheme 1, and may overexpose understory vegetation or snow to solar radiation,
 224 potentially causing biased energy partitioning (Niu et al., 2011). Canopy stomatal resistance
 225 schemes can also influence GPP simulation, but due to model framework limitations, only the
 226 Ball-Berry scheme (Ball et al., 1987) is available. The dynamic vegetation scheme (Yang and
 227 Niu, 2003; Dickinson et al., 1998) was activated, and other schemes used default settings.

228 **Table 1**

229 Selected Noah-MP parameterization schemes for the four key physical processes in this study.

Symbol	Physical Process	Options	Notes
RAD	Radiation transfer	1	MOD: Standing for the modified two-stream approach (Niu and Yang, 2004). This improves upon the classical Two-Stream Model.
		2	GAP0: Two-stream with gap=0 (Niu and Yang, 2004). This version assumes no gaps or uneven distribution in the canopy, making it suitable for uniform canopy structures.
BTR	β -factor	1	Noah: The Noah scheme, which focuses on soil moisture (Chen and Dudhia, 2001). The control factor β for transpiration is a function of soil volumetric water content.
		2	CLM: The scheme used in the CLM (Oleson et al., 2010) assumes that the control factor β for transpiration, related to soil moisture, is a function of soil water potential.
		3	SSiB: The scheme used in the SSiB (Xue et al., 1991) also considers the control factor β for transpiration as a function of soil water potential. Compared to the CLM scheme, this model shows a more pronounced response to changes in soil moisture.
SFC	Turbulence	1	M-O: Monin-Obukhov Similarity Theory (Dyer, 1974). This scheme considers zero plane displacement.
		2	Chen97: The scheme used in the Noah LSM (Chen et al., 1997). It does not consider zero-plane displacements but does take into account the difference between thermodynamic roughness and kinetic roughness.
RUN	Runoff generation	1	SIMGM: The scheme used in CLM 4.5 (Niu and Yang, 2007), takes into account the dynamics of groundwater. Runoff is a function of groundwater level, the same as in the TOPMODEL model.
		2	SIMTOP: TOPMODEL with an equilibrium water table (Niu et al., 2005). TOPMODEL Modeling the interaction between groundwater and surface water flow based on soil moisture distribution and hydrologic response.



		3	Schaake96: The scheme used in the Noah LSM(Schaake et al., 1996), does not consider groundwater. Runoff is obtained by subtracting soil infiltration from precipitation, which is determined by soil moisture and soil texture.
		4	BATS: The scheme used in the BATS LSM (Yang and Dickinson, 1996), does not consider groundwater. Runoff depends on soil moisture and takes into account a sub-grid distribution of soil moisture saturation zones. Infiltration is the difference between precipitation and runoff.

230

231 **2.3 CMFD v2.0 forcings**

232 The China Meteorological Forcing Dataset Version 2 (He et al., 2025)
 233 (<https://doi.org/10.11888/Atmos.tpcd.302088>), including near-surface air temperature, surface
 234 pressure, relative humidity, precipitation, downward longwave radiation, and shortwave
 235 radiation, was used to force Noah-MP in this study. CMFD v2.0 is a gridded meteorological
 236 dataset at high resolution, which combines data from multiple sources: remote sensing,
 237 reanalysis, and in-situ observations.. It is specifically developed for land surface process studies
 238 in China (Wang et al., 2025; Zhang and Chen, 2025; Bu et al., 2024). Spanning January 1951 to
 239 December 2020, the dataset has a three-hourly temporal resolution and a 0.1° spatial resolution.

240 **2.4 Eddy covariance data**

241 Eddy covariance (EC) data were obtained from the Science Data Bank for sites within the
 242 ChinaFlux network (<https://www.scidb.cn/en>), comprising 6 forest sites, 6 grassland sites, and 6
 243 cropland sites (**Table 2**) . High-temporal-resolution flux and meteorological data were logged
 244 every half hour across all sites. These datasets underwent rigorous standardization protocols,
 245 including quality control checks and post-processing corrections, ensuring high reliability for
 246 validating diverse GPP products (Yang et al., 2017).

247 **Table 2**

248 Basic information on the 18 flux sites.

Site	Station name	Longitude (°E)	Latitude (°N)	Vegetation type	Time Range
ALF	Ailaoshan	101.028	24.541	EBF	2009-2013
BNF	Xishuangbanna	101.577	21.614	EBF	2003-2015
CBF	Changbaishan	128.096	42.403	MMF	2003-2010
DHF	Dinghushan	112.534	23.173	EBF	2003-2010



MEF	Maoershan	127.668	45.417	DBF	2016-2018
QYF	Qianyanzhou	115.058	26.741	ENF	2003-2010
DLG	Duolun	116.284	42.047	Grassland	2006-2015
DXG	Dangxiong	91.066	30.497	Alpine meadow	2003-2010
HBG_G01	Haibei	101.313	37.613	Alpine meadow	2015-2020
HBG_S01	Haibei	101.331	37.665	Alpine meadow	2003-2013
NMG	Neimenggu	116.404	43.326	Grassland	2003-2010
XLG	Xilin	116.671	43.554	Grassland	2006-2014
GCA	Gucheng	115.735	39.149	Cropland	2020-2022
JRA	Jurong	119.21	31.807	Cropland	2015-2020
JZA	Jinzhou	121.202	41.148	Cropland	2005-2014
LCA	Luancheng	114.413	37.531	Cropland	2013-2017
SYA	Shouyang	113.200	37.750	Cropland	2012-2014
YCA	Yucheng	116.570	36.829	Cropland	2003-2010

249

250 2.5 PML-V2 (China) dataset

251 The Penman-Monteith–Leuning Version 2 (PML-V2) (China) terrestrial ET and GPP
 252 dataset (He et al., 2022), obtained from the National Tibetan Plateau Data Center
 253 (<https://data.tpdc.ac.cn/en/data/40f57c67-33a6-402d-bd37-6ede91919f23/>), was used as
 254 validation data. This dataset offers daily GPP estimates from February 26, 2000, to December 31,
 255 2020, at a 500 m spatial resolution. Generated via the PML-V2 water-carbon coupled model, the
 256 dataset estimates ET and GPP by integrating atmospheric and vegetation data. Specifically, it
 257 uses the Penman-Monteith equation for ET and a modified Leuning equation for GPP. Calibrated
 258 against 26 eddy covariance flux towers in China, it demonstrates high accuracy, particularly for
 259 GPP, outperforming the global PML version (Qian et al., 2024; He et al., 2022). Consequently, it
 260 is widely used in ecological research, carbon/water cycle modeling, and evaluation studies (Shi
 261 et al., 2024; Huang et al., 2023).

262 2.6 MODIS LULC dataset

263 The Moderate Resolution Imaging Spectroradiometer (MODIS) Land Use/Land-cover
 264 (LULC) product (MCD12Q1) ([https://developers.google.com/earth-](https://developers.google.com/earth-engine/datasets/catalog/MODIS_061_MCD12Q1)
 265 [engine/datasets/catalog/MODIS_061_MCD12Q1](https://developers.google.com/earth-engine/datasets/catalog/MODIS_061_MCD12Q1)), derived from the MODIS sensors onboard
 266 NASA's Terra and Aqua satellites, was used to set the land-cover types in Noah-MP. The dataset



267 offers global land-cover classification on an annual basis at 500 m resolution, covering the
 268 period from 2001 to the present. In this study, we employed the LC_Type1 classification scheme
 269 from the MCD12Q1 product, which follows the International Geosphere-Biosphere Programme
 270 system (Sulla-Menashe and Friedl, 2022). To ensure consistency across all datasets, the land-
 271 cover dataset was converted to a 0.1° spatial resolution using interpolation and resampling,
 272 aligning with the CMFD dataset grid points. This study used land-cover types from 2001 and did
 273 not account for land-cover changes.

274 **2.7 ERA5-Land dataset**

275 The ERA5-Land dataset ([https://developers.google.com/earth-](https://developers.google.com/earth-engine/datasets/catalog/ECMWF_ERA5_LAND_HOURLY)
 276 [engine/datasets/catalog/ECMWF_ERA5_LAND_HOURLY](https://developers.google.com/earth-engine/datasets/catalog/ECMWF_ERA5_LAND_HOURLY)), developed by the European Centre
 277 for Medium-Range Weather Forecasts, was used to initialize the land surface states of Noah-MP.
 278 This dataset provides a global land surface reanalysis at 0.1° spatial resolution with hourly
 279 outputs, spanning from January 1981 to the present. It is generated by integrating a state-of-the-
 280 art land surface model with data assimilation, offering a wide range of land surface variables..
 281 ERA5-LAND land surface variables were bilinearly interpolated to the CMFD grid for model
 282 initialization.

283 **2.8 SoilGrids 2.0 dataset**

284 In this study, the SoilGrids 2.0 dataset (Poggio et al., 2021)
 285 ([https://developers.google.com/earth-](https://developers.google.com/earth-engine/datasets/catalog/OpenLandMap_SOL_SOL_TEXTURE-CLASS_USDA-TT_M_v02)
 286 [engine/datasets/catalog/OpenLandMap_SOL_SOL_TEXTURE-CLASS_USDA-TT_M_v02](https://developers.google.com/earth-engine/datasets/catalog/OpenLandMap_SOL_SOL_TEXTURE-CLASS_USDA-TT_M_v02))
 287 was used to set soil types in Noah-MP. This dataset provides global soil property predictions at a
 288 250m spatial resolution, which is derived from machine learning-based integration of soil profile
 289 observations, remote sensing, and environmental covariates. In this study, we used the United
 290 States Department of Agriculture soil texture classification (Soil Survey Staff. 2022). It divides
 291 soils into 12 primary classes (e.g., sandy loam, silty clay) depending on the relative amounts of
 292 sand, silt, and clay. The soil type was also resampled to a 0.1° resolution, based on the dominant
 293 type.



294 3 Methods

295 3.1 Simulation experiment design

296 A two-stage spin-up process (Yang et al., 2021; Zheng et al., 2019) was conducted before
 297 each of the 48 Noah-MP simulations to establish initial conditions for January 1, 2000 (see Table
 298 S1). In the first stage, the atmospheric forcing from 1999 was cycled 30 times. In the second
 299 stage, a 1-year forcing period from January 1, 2000, to January 1, 2001, was applied. With a total
 300 spin-up period of 31 years, Noah-MP reached equilibrium under all climatic conditions and
 301 parameter configurations (Cai et al., 2014).

302 The subsequent 48 simulations covered the period from 2001 to 2020, using a 15-minute
 303 time step. The output frequency is every 8 days. Subsequently, we aggregated the outputs into
 304 monthly, seasonal, and annual scales.

305 3.2 Evaluation metrics

306 In this study, the Taylor Skill Score (TSS) was employed to provide a concise assessment
 307 of the Noah-MP ensemble (Taylor, 2001).

$$\text{TSS} = \frac{4(1+R)}{\left(\hat{\sigma}_f + \frac{1}{\hat{\sigma}_f}\right)^2 (1+R_0)} \quad (1)$$

308 where R is a metric for the agreement between observed and simulated time series, and R_0 is the
 309 highest correlation among the ensemble simulations. $\hat{\sigma}_f$ is the normalized standard deviation
 310 (NSD), which is calculated as the ratio between the standard deviation of observations and that
 311 of simulations (see **Equation (4)**). TSS is a metric bounded between 0 and 1, where the upper
 312 limit of 1 represents a perfect match between simulation and observation.

313 To calculate the TSS, R , and NSD were computed. For a model simulation (f) and its
 314 corresponding observation (o), the formulas are as follows:

$$\sigma_o = \sqrt{\frac{1}{T} \sum_{t=1}^T ((o_t - \bar{o}))^2}, \quad (2)$$

$$R = \frac{\frac{1}{T} \sum_{t=1}^T (f_t - \bar{f})(o_t - \bar{o})}{\sigma_f \sigma_o}, \quad (3)$$

$$\hat{\sigma}_f = \frac{\sigma_f}{\sigma_o} = \frac{1}{\sigma_o} \sqrt{\frac{1}{T} \sum_{t=1}^T ((f_t - \bar{f}))^2}, \quad (4)$$



where o_t represents the observation value at time step t , \bar{o} is the temporal mean of the observation values, and T is the total time step number. Similarly, f_t denotes the model output at time step t , \bar{f} and \bar{o} are the mean values of the model output (f_t) and observation (o_t), respectively. σ_f and σ_o are their respective standard deviations.

To ensure the diversity and comprehensiveness of evaluation metrics, bias, root-mean-square error (RMSE), and the square of the correlation coefficient (R^2) was also employed, as follows:

$$\text{Bias} = \frac{1}{T} \sum_{t=1}^T (f_t - o_t). \quad (5)$$

$$\text{RMSE} = \sqrt{\frac{1}{T} \sum_{t=1}^T [(f_t - \bar{f}) - (o_t - \bar{o})]^2}, \quad (6)$$

A positive bias indicates an overestimation by Noah-MP compared to PML GPP, while a negative bias indicates an underestimation. Since RMSE quantifies the average error magnitude, values nearer to 0 therefore serve as a direct indicator of superior simulation accuracy.. R^2 corresponds to the square of the R and indicates how well the Noah-MP model fits the observations.

3.3 Sobol' total sensitivity index

To determine which physical processes exert the greatest control on GPP, we utilized the Sobol' total sensitivity index (Saltelli et al., 2010; Sobol', 2001; Saltelli and Sobol, 1995). The Sobol' total sensitivity index (S_p) is defined as:

$$S_\Lambda = \frac{E_{\sim\Lambda}(V_\Lambda(Y|\sim\Lambda))}{V(Y)}, \quad (7)$$

where S_Λ denotes the Sobol' total sensitivity associated with the schemes of a specific process Λ ; $\sim\Lambda$ represents all processes except Λ ; Y refers to the 48 Noah-MP model outputs, which include both multi-year means and seasonal averages; $V(Y)$ represents the variance among the 48 outputs; $V_\Lambda(Y|\sim\Lambda)$ measures the variance induced by the Λ schemes, and $E_{\sim\Lambda}$ is the average across all other parameterization combinations.

The Sobol' total sensitivity index measures the proportion of variance in model outputs attributable to the parameterization of process Λ , relative to the total ensemble variance. This



index is scaled between 0 and 1, where 0 indicates that Λ 's parameterization has no impact on the simulations, while 1 denotes complete control of the simulations by Λ . A higher value denotes a greater degree of dependence of the simulations on Λ .

4 Results

4.1 Evaluation of the Noah-MP ensemble

To comprehensively assess Noah-MP's performance in simulating GPP across 48 physics configurations, we adopted a two-tier validation approach. First, site-level evaluations leveraged eddy covariance flux tower observations at selected sites. This approach supports process-oriented assessment of model accuracy under diverse environmental and vegetation conditions. Second, regional-scale evaluations utilized the remote-sensing-based PML-GPP dataset. This provides spatially continuous estimates, enabling assessment of the model's performance to capture broad-scale spatial patterns and interannual variability, serving as a complementary and independent benchmark to site-level observations.

4.1.1 Site-Based Validation Using Eddy Covariance Flux Towers

The site-level performance of Noah-MP was examined by comparing model outputs with observations from individual flux tower sites. Figure 3 shows a boxplot representing the distribution of TSS (max, min, median) from 48 site-level simulations.

Median TSS values consistently exceeded 0.50 at all cropland sites. For forest sites, TSS and R^2 exceeded 0.50 at all sites except DHF. In contrast, both TSS and R^2 values were generally below 0.60 at grassland and cropland sites. Specifically, the median TSS values at LCA, SYA, and YCA sites were greater than 0.80, while those at ALF, MEF, and JRA ranged between 0.60 and 0.80. The DHF site and all grassland sites had median TSS values below 0.50, indicating limited GPP simulation capabilities at these locations as demonstrated by the notably low TSS.

The TSS range reflects the performance variability among different ensemble members. As shown in **Figure 3**, forest sites exhibited relatively small ensemble spreads, suggesting consistent performance across ensemble members. In contrast, all grassland sites showed large ensemble spreads. Among cropland sites, both GCA and JZA exhibited substantial TSS variability. These findings reveal considerable model performance divergence in grassland and



cropland ecosystems, under different parameterization schemes. In forest ecosystems, however, the model exhibited excellent performance with low sensitive to parameterizations choices, suggesting greater robustness across ensemble members.

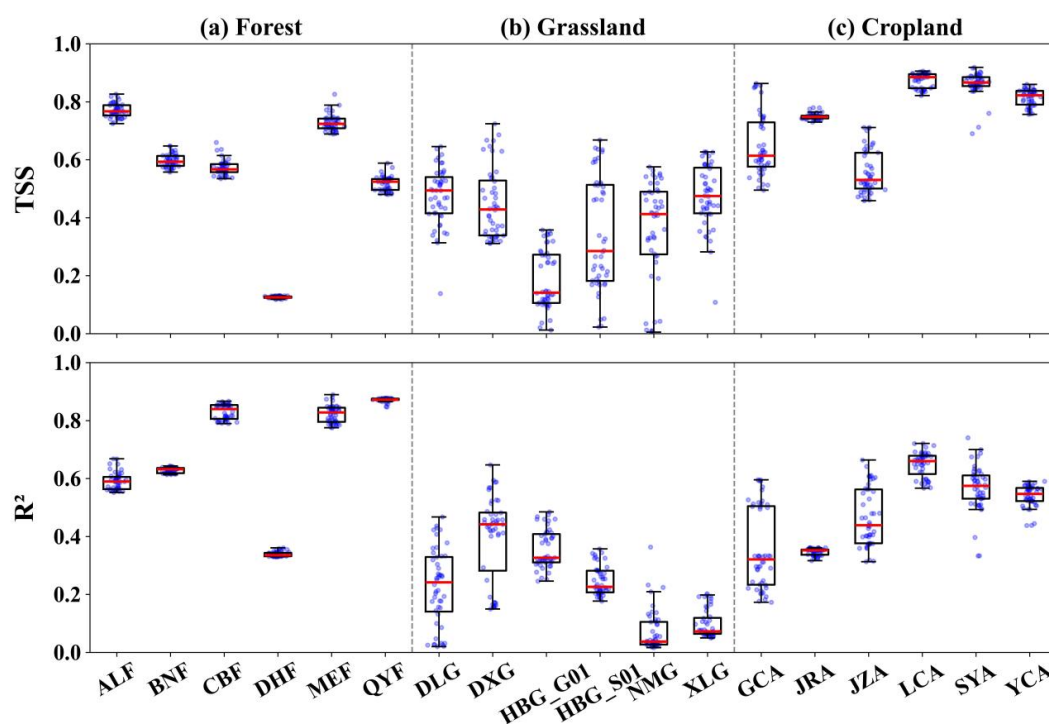


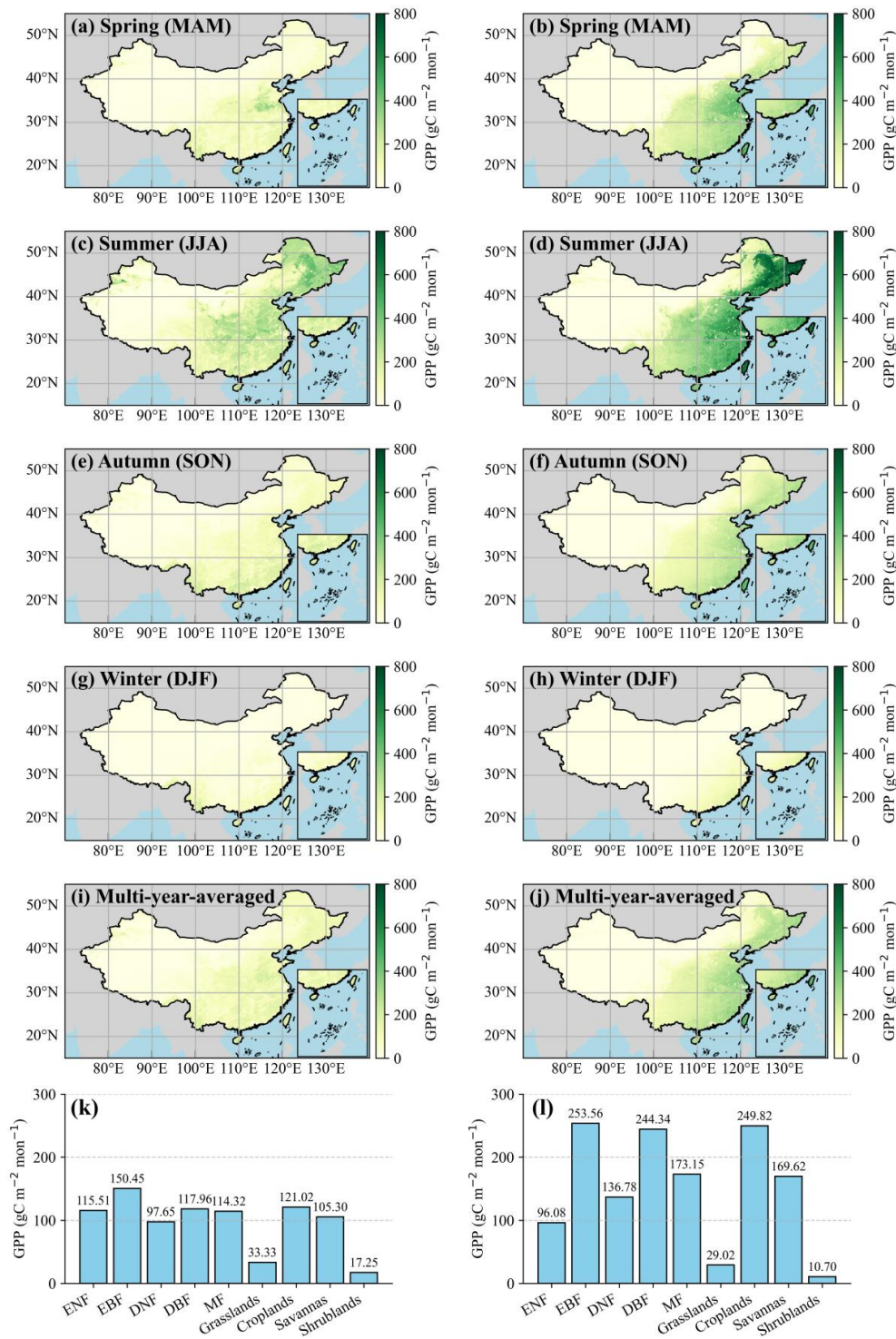
Figure 3. Taylor skill score (TSS) and squared correlation coefficient (R^2) evaluating Noah-MP simulated GPP against site-level observations across 48 ensemble experiments. Box plots represent the distribution of results from 48 ensemble experiments, showing the minimum, first quartile, median, third quartile, and maximum values.

4.1.2 Spatial Validation Using the PML GPP Dataset

To further evaluate the spatial representativeness of Noah-MP simulated GPP, we conducted a spatial comparison with the PML-GPP product. **Figure 3** presents the spatial distribution and seasonal variations of GPP across China from two sources: the PML dataset (**Figures 3a, c, e, g, i, k**), serving as reference data, and the Noah-MP multi-physics ensemble mean (**Figures 3b, d, f, h, j, l**). Both datasets revealed clear seasonal GPP patterns, characterized by summer peaks and winter valleys, highlighting climate phenology carbon uptake. In spring, GPP in China showed a northwest-to-southeast increasing gradient, reaching highest values appearing in the central and lower Yangtze River Basin. During summer, GPP reached its peak



383 in the high-productivity zones, including eastern, southern, and northeastern China, probably
384 attributed to favorable radiation, temperature, and precipitation conditions. In autumn, GPP
385 declined along a southeast-to-northwest gradient. During winter, photosynthetic activity was
386 minimal, with near-zero GPP in northern and high-altitude regions, while southern China
387 maintained modest productivity. The multi-year mean GPP follows hydroclimatic patterns:
388 highest in warm-humid southeast, lowest in cold-arid northwest. Vegetation growth is promoted
389 by sufficient water and heat in water-rich regions, whereas in water-limited regions, extreme
390 temperatures and scarcity of moisture often constrain vegetation productivity (Piao et al., 2013).
391 **Figures 3k and 3l** show GPP variations across different vegetation types. Forests, croplands, and
392 savannas exhibited relatively higher GPP, while grasslands and shrublands showed lower GPP.
393 Vegetation exhibiting lower productivity is generally located in the northern drylands, where
394 both moisture and temperature act as constraints (Li et al., 2023; Qiu et al., 2020). Additionally,
395 GPP across different vegetation types is determined not only by climatic hydrological drivers but
396 also by the physiological characteristics of the species (Waring et al., 1998; Reich et al., 1997).





398 **Figure 4.** Spatial distribution of GPP for different seasons and the multi-year mean. The left column presents
399 the GPP distribution derived from the PML dataset, while the right column shows the ensemble mean GPP
400 distribution from the Noah-MP model.

401 Monthly biases of the Noah-MP ensemble mean, compared to the PML dataset across
402 vegetation types, are depicted in **Figure 5**. The results revealed substantial variability in monthly
403 GPP bias. Grasslands and shrublands exhibited minimal biases, whereas EBF and croplands
404 displayed notably larger positive biases, particularly during the growing season. The
405 overestimation was more pronounced in high-productivity ecosystems in eastern China, which
406 have substantial carbon sequestration capacity. The underlying causes of these discrepancies,
407 especially in high-productivity seasons and regions, need further exploration.

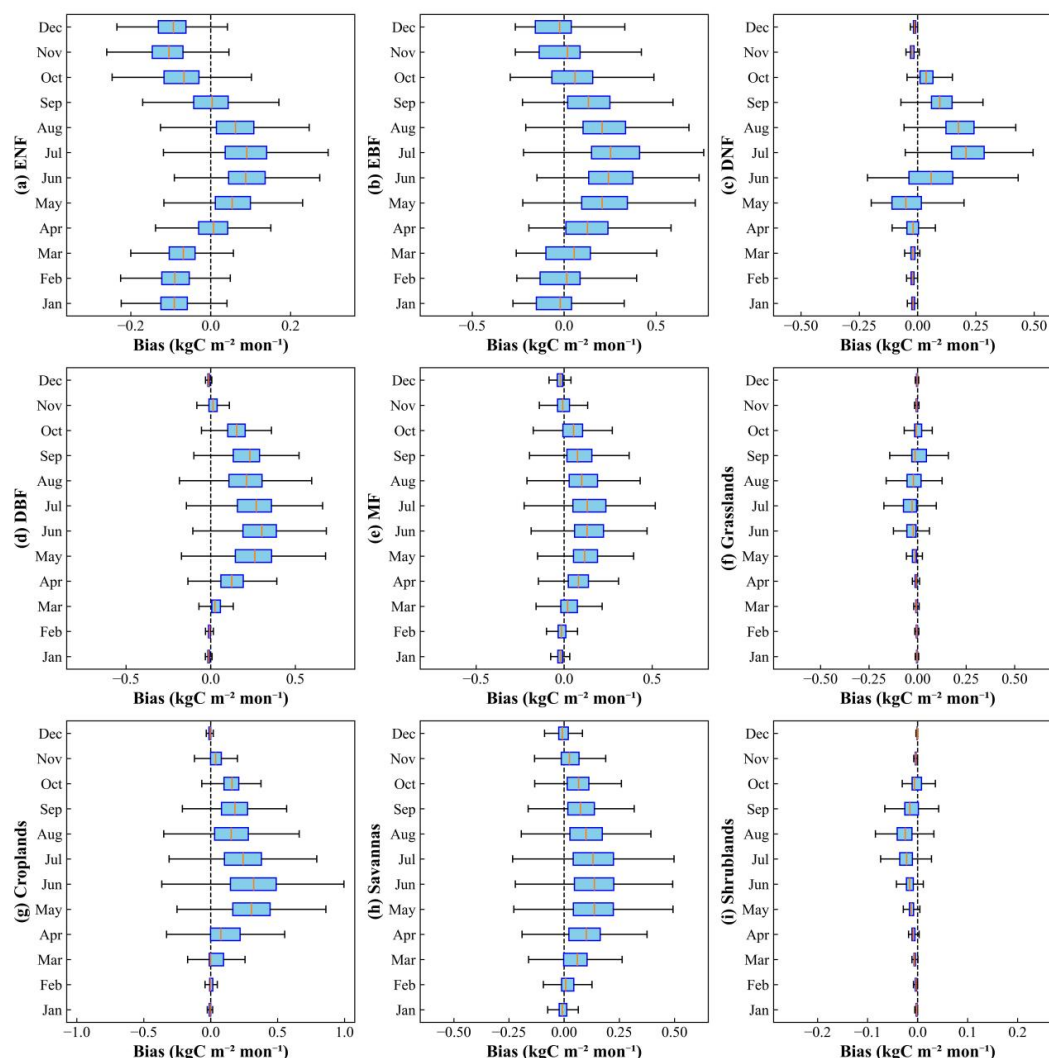


Figure 5. Monthly bias of Noah-MP ensemble mean GPP across vegetation types. The box show pixel-level variability and red lines indicate the mean.

4.2 Physical process sensitivity

Figure 6 presents the spatial pattern of the Sobol' sensitivity of the four physical processes at multi-year and seasonal scales. Focusing on the multi-year scale, radiation transfer exhibited the highest sensitivity on the Tibetan Plateau. Across most Chinese regions excluding the Tibetan Plateau and the western part of the Yunnan-Guizhou Plateau, GPP showed the highest sensitivity to the β -factor, indicating that water availability is the main factor limiting



417 carbon assimilation. The turbulence process showed low sensitivity to GPP simulation. The
 418 runoff generation schemes showed slight sensitivity across China, particularly in the Hai River
 419 Basin, Huai River Basin, and the Yunnan-Guizhou Plateau.

420 The Tibetan Plateau and northeastern Inner Mongolia exhibited the strongest GPP
 421 response to changes in radiation transfer (**Figure 6a**). Theoretically, in such radiation-rich
 422 environments, radiation transfer should not be the dominant limiting factor for GPP. However,
 423 Figure S2 reveals substantial differences in APAR (and thus GPP) between the RAD01 and
 424 RAD02 parameterization schemes across these areas. This discrepancy primarily arises from
 425 RAD01 incorporating vegetation gap effects in radiation transfer calculation, while RAD02 does
 426 not (Niu and Yang, 2004). For densely forested canopies with closed structures (Fig. S3-S7),
 427 differences between the two schemes are relatively small. In contrast, grasslands and sparse
 428 shrubs are the predominant vegetation types across the Tibetan Plateau and northeastern Inner
 429 Mongolia, where vegetation aggregation and gap distribution are more pronounced, thereby
 430 amplifying differences in canopy radiation transfer.

431 GPP simulations were more strongly influenced by the β -factor across most Chinese
 432 regions, particularly the northern arid and semi-arid areas (**Figure 6b**). These regions are
 433 characterized by abundant available energy, but are primarily constrained by water availability.
 434 During spring and summer, increased ET intensified soil moisture stress, with the β -factor
 435 critically regulating GPP. This water-driven effect was predominantly observed in Northwest
 436 China and the Songliao Plain. In Northwest China, low precipitation made water the primary
 437 constraint on carbon assimilation. Similarly, in the Songliao River Basin, a key Chinese
 438 agricultural zone, high crop water demand, meant droughts substantially affected GPP dynamics.
 439 Although winter vegetation dormancy reduced GPP, the β -factor remained sensitive due to its
 440 "lag effect" on soil moisture, which influenced vegetation recovery in the subsequent spring
 441 (Knapp et al., 2008; Schwinning and Sala, 2004). As other processes showed low sensitivities in
 442 winter, the sensitivity of the β -factor became particularly pronounced.

443 At the multi-year scale, turbulence exhibited low sensitivity to GPP across most of China,
 444 with detectable effects confined to the forested area in eastern Northeast China. This is primarily
 445 because turbulence operates as a short-term micrometeorological process that typically fluctuates
 446 at sub-hourly to hourly timescales (Baldocchi, 2003). In addition, ecosystems such as grasslands,



447 croplands, and savannas usually exhibit weak vertical gradients of CO₂ and water vapor, making
 448 them less dependent on turbulent mixing. In contrast, forest ecosystems in eastern Northeast
 449 China are characterized by tall and dense canopies, where pronounced vertical stratification
 450 requires effective turbulent transport to facilitate the transfer of gases from the canopy to the
 451 atmosphere (Stoy et al., 2006).

452 Runoff generation exhibited high sensitivity in China's eastern regions, particularly in the
 453 Hai River Basin, the Huai River Basin, and Yunnan Province, with consistent spatial patterns
 454 in all seasons except winter. In Yunnan Province, runoff generation is most sensitive in spring.
 455 Yunnan's complex terrain and uneven water distribution make runoff vital for water
 456 redistribution during dry seasons (Winkler et al., 2018; Immerzeel et al., 2010). The region's
 457 warm climate and high elevation cause early spring snowmelt, which boosts soil moisture and
 458 supports timely vegetation growth (Barnett et al., 2005). Runoff generation is most sensitive in
 459 summer in the Hai River Basin and Huai River Basin. In these basins, concentrated summer
 460 precipitation and irrigation are crucial for maintaining cropland GPP during drier periods. During
 461 winter, the sensitivity weakened and was largely restricted to southern regions. Winter
 462 precipitation reduction and vegetation dormancy decreased runoff sensitivity to GPP simulations,
 463 yet some regions in southern China remained sensitive as winter soil moisture and water
 464 availability affected spring vegetation recovery.

465 Soil moisture stress (β -factor) and radiation transfer were the main limiting factors for
 466 GPP in dry regions, including Northwest China. By contrast, in wet regions such as southern
 467 China, runoff generation and turbulence seasonally regulated carbon assimilation. During peak
 468 growth periods (spring and summer), radiation transfer and soil moisture stress more strongly
 469 impacted GPP, increasing sensitivity. Although GPP was low in winter, soil moisture stress still
 470 impacted model outputs in eastern Inner Mongolia and Northwest China by influencing
 471 vegetation recovery, showing notable seasonal lag effects.

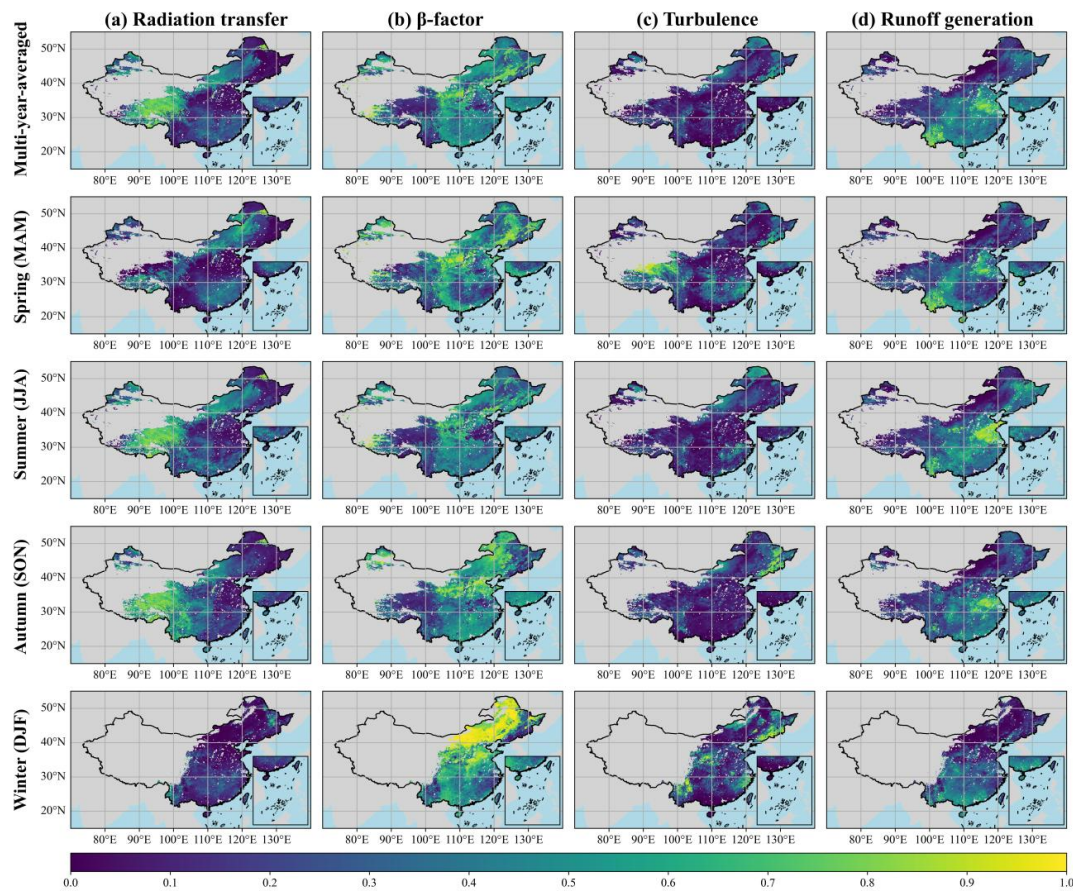


Figure 6. The Sobol' index of the Noah-MP ensemble-simulated multi-year-averaged and seasonal GPP to the four physical processes (i.e., radiation transfer, β -factor, turbulence, and runoff generation). Notably, blank areas represent regions with zero GPP under all 48 simulation schemes due to a lack of vegetation cover, making it impossible to assess sensitivity.

Figure 7 shows the seasonal patterns of the processes exerting the strongest control on GPP. As in **Figure 6**, radiation transfer was dominant process over the Tibetan Plateau at the multi-year scale as well as in summer and autumn while winter GPP in this region dropped to nearly zero. The β -factor dominated in the water-limited regions (i.e., northwest China, the Northeast Plain, and parts of southern China), reflecting its broad significance. Runoff mostly affected Yunnan and the North China Plain. Turbulence was the key influence in high-elevation forest areas (i.e., Changbai Mountains forest region) in spring and winter.

Spatially, the dominant factors controlling GPP exhibit significant spatial variability across China. At multi-year scales, radiation transfer was the primary controlling factor of GPP



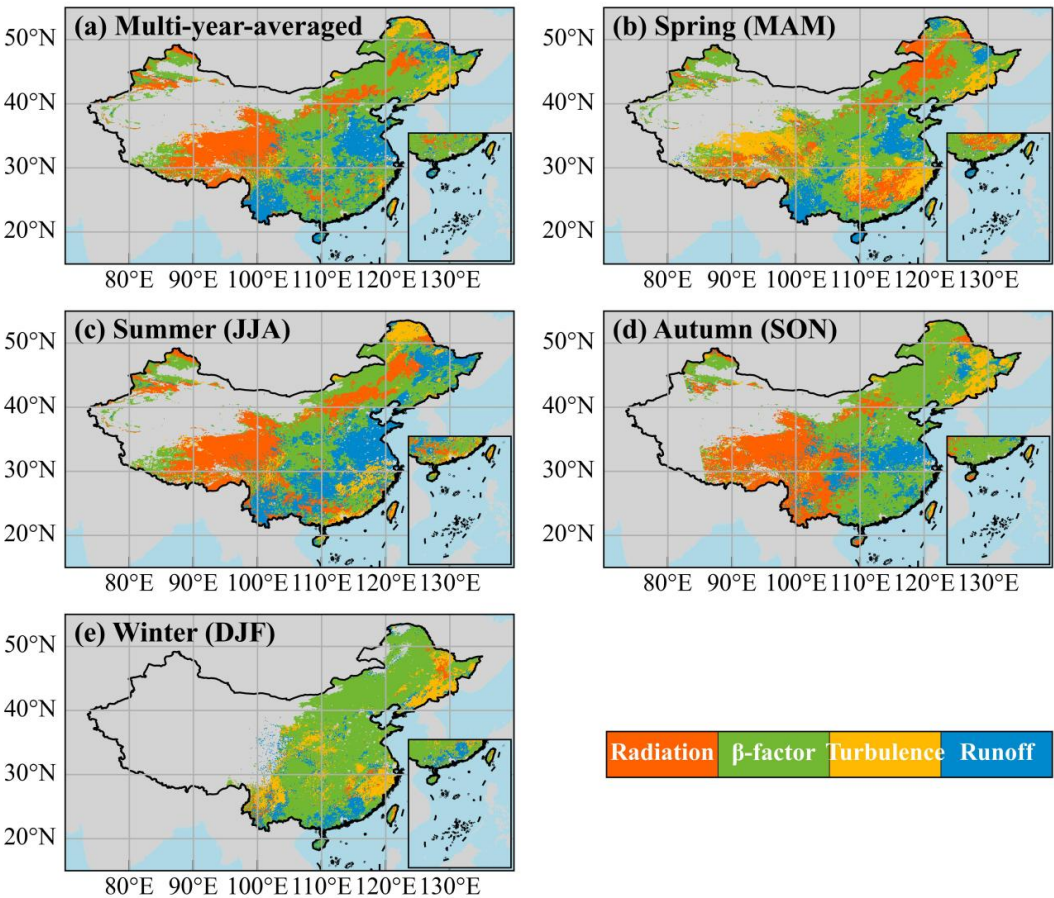
in the northeastern Inner Mongolia and Tibetan Plateau. This mainly results from the divergent performance of radiation schemes in grasslands and sparse shrubs (Figs. S2). The β -factor was particularly influential in most regions of China. In the arid northwest and northeast, as well as southern regions with seasonal water shortages, low soil moisture limited GPP, making the β -factor a key control, as water scarcity reduced photosynthetic efficiency. In high-elevation forest regions such as the Lesser Khingan and Changbai Mountains, turbulent heat flux plays a key role in regulating GPP, especially during cold seasons. Turbulent heat exchange helps maintain canopy temperatures above freezing, thereby extending the photosynthetic period (Bonan et al., 2018; Ensminger et al., 2006). In areas with enclosed terrain, including valleys and basins, turbulence mitigates the buildup of cold air, making this effect more noticeable (Wang et al., 2016).. Runoff played a dominant role in southwestern and eastern China, where complex terrain and uneven precipitation led to increased sensitivity to GPP in areas like river basins.

The dominant processes controlling GPP varied significantly across the four seasons. Turbulence became more influential in spring, dominating GPP dynamics in the Tibetan Plateau, Changbai Mountains, and parts of central and eastern China. Rising temperatures and winds increased surface heating and atmospheric instability, enhancing turbulence. As plants enter their growing season with higher CO₂ demand, turbulence enhances gas exchange, boosting photosynthesis and thus increasing its impact on GPP (Baldocchi, 2014; Finnigan, 2000). In summer, the distribution of dominant physical processes closely resembled the multi-year average, likely because the Noah-MP ensemble showed the largest spread during summer. In autumn, the main processes in the Yunnan-Guizhou Plateau shifted from runoff generation to radiation transfer. Runoff generation was less impactful due to reduced rainfall and smaller runoff differences. Solar radiation became the main limiting factor (Wang et al., 2023). When radiation levels were sufficiently high, photosynthetic activity stayed high. In winter, the β -factor was the main driver of GPP, while radiation had minimal effect. This is because low temperatures suppress vegetation activity, making radiation less sensitive to GPP changes (Fu et al., 2017). Conversely, in regions with winter-spring dry seasons like Southwest China, soil moisture becomes the dominant control on GPP (Zhou et al., 2019).

Overall, the dominant physical processes controlling GPP exhibit both seasonal and spatial variability. Spatially, radiation transfer was the primary driver of GPP on the Tibetan Plateau, while the β -factor, which represents vegetation stomatal response to soil moisture,



517 played a dominant role across most other regions of China, including the northwest, the
518 Northeast Plains, and parts of southern China. Notably, the β -factor is the principal control on
519 GPP throughout most of the year, particularly in winter, when its influence extends nearly the
520 whole of China. During spring and winter, turbulence primarily affects GPP, whereas in summer,
521 runoff generation plays a larger role; overall, the β -factor remains the key driver..



522
523 **Figure 7.** Spatial distributions of the dominant physical process for the Noah-MP ensemble-simulated multi-
524 year-averaged and seasonal GPP.

525 **4.3 Parameterization scheme optimization across different vegetation types**

526 To determine the best parameterization scheme for dominant physical processes in GPP
527 simulations across different vegetation types, we analyzed the process with the highest Sobol'
528 sensitivity index for each vegetation type. Figure 8 presents the total Sobol' sensitivity indices of



529 Noah-MP-simulated GPP (multi-year average and seasonal) for four physical processes across
 530 vegetation types. These variations suggest that the dominant processes governing GPP differ
 531 depending on the balance between water and energy limitations.

532 The sensitivity of GPP simulations to key physical processes varied significantly across
 533 vegetation types, with shrubland ecosystems being most sensitive to the radiation transfer
 534 process (**Figure 8**). Shrublands, widely distributed in arid regions and the eastern Tibetan
 535 Plateau, exhibited high sensitivity to radiation transfer (index = 0.92), but showed minimal
 536 sensitivity to the β -factor, turbulence, and runoff generation. The RAD process directly
 537 influences the amount of shortwave radiation absorbed by vegetation (SAV) and the absorbed
 538 photosynthetically active radiation (APAR). As shown in **Figure S8**, simulations using the
 539 RAD01 scheme produced greater SAV and APAR values. In the Noah-MP model, the radiation
 540 process indirectly regulates vegetation growth and leaf area index (LAI) by modulating
 541 photosynthesis, which mainly depend on solar radiation and canopy PAR absorption.
 542 Consequently, the simulated LAI and fraction of vegetated area (FVEG) varied significantly
 543 across different radiation transfer schemes. The results suggest that the RAD01 scheme yields
 544 more realistic simulations and better aligning with actual conditions.

545 The β -factor process exhibited the highest sensitivity in GPP simulations over ENF,
 546 savannas, croplands, and grasslands (**Figure 8**). Although all β -factor parameterization schemes
 547 regulate photosynthetic and transpiration through modulating stomatal resistance, their
 548 performance varied substantially among ecosystem. Specifically, in ENF ecosystems, all three
 549 schemes showed a sharp increase in transpiration rate starting around DOY 60, quickly reaching
 550 a peak (**Figure S9**). Meanwhile, APAR exhibited a bimodal pattern, resulting in simulated GPP
 551 to peak earlier than observations. For savannas and croplands, the differences among the three
 552 schemes were minor but schemes systematically overestimated GPP. In grassland ecosystems,
 553 although the BTR03 scheme significantly enhanced the simulated APAR and transpiration rate,
 554 GPP was still substantially underestimated. These findings demonstrate systemic limitations in
 555 current β -factor parameterizations across different ecosystems, as even the most favorable
 556 scheme fails to accurately capture ecosystem-specific GPP dynamics.

557 The turbulence process exhibited, the highest Sobol' sensitivity index of GPP in DBF
 558 ecosystems. Noah-MP simulations revealed that the surface exchange coefficient directly



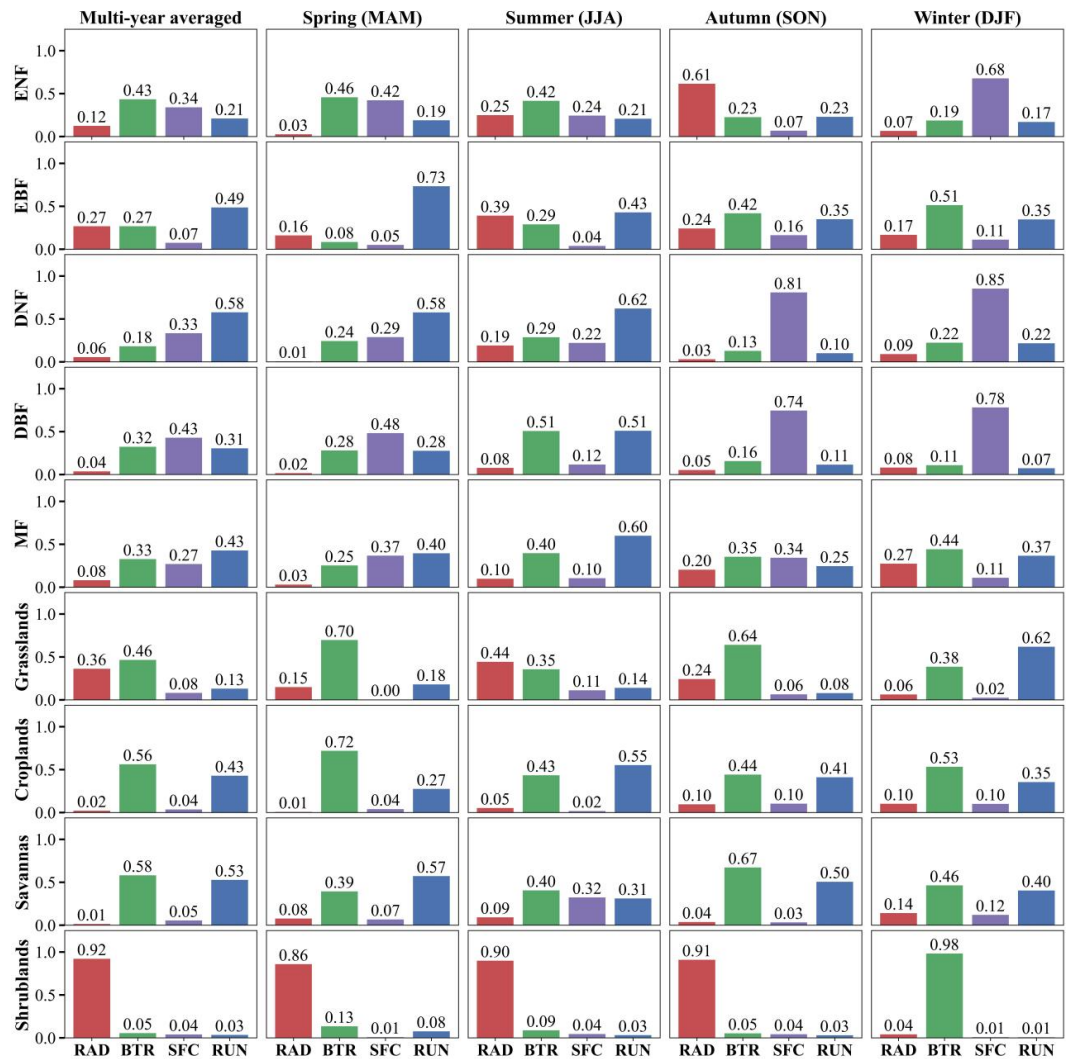
559 influences the vegetation-atmosphere exchanges of sensible and latent heat flux. The SFC01
 560 scheme generated higher sensible heat flux and turbulent exchange coefficients than to the
 561 SFC02 scheme, whereas SFC02 resulted in relatively higher latent heat values (**Figure S10**).
 562 Despite the substantial differences in energy flux simulations between the two schemes, their
 563 simulated GPP values differed only slightly. Compared with observations, both schemes showed
 564 systematic GPP overestimation, suggesting that vegetation energy-use efficiency may be
 565 overrepresented in the model.

566 The runoff generation process exhibited the highest sensitivity in GPP simulations for
 567 EBF, DNF, and MF (**Figure 8**). Different runoff parameterization schemes alter the partitioning
 568 of surface and subsurface runoff, which in turn modifies soil moisture conditions and drives
 569 differences in simulated vegetation dynamics, including LAI, fraction of vegetated area (FVEG),
 570 and ultimately GPP (**Figure S11**). The impact of runoff generation parameterizations on GPP is
 571 primarily mediated through changes in soil moisture. However, the four runoff generation
 572 schemes produced similar GPP simulations, with only minor differences relative to observed
 573 GPP. Moreover, all Noah-MP scheme combinations systematically overestimated GPP. These
 574 results suggest that further refinement of model parameterizations is necessary to improve the
 575 accuracy of GPP simulations.

576 This systematic analysis reveals distinct ecosystem-dependent controls on GPP
 577 simulations in Noah-MP. Among the key physical processes, the radiation transfer scheme
 578 dominates in shrublands, with RAD01 performing best due to its better capturing radiation
 579 absorption (SAV/APAR) and subsequent vegetation dynamics (LAI/FVEG) (**Table S2, S3**). For
 580 β -factor, while exhibiting high sensitivity across ENF, savannas and croplands, all current
 581 schemes show critical limitations - even the optimal BTR03 scheme substantially underestimates
 582 GPP. The turbulence process proves most influential in DBF ecosystems, though both SFC01
 583 and SFC02 similarly overestimate GPP, suggesting fundamental issues in energy-carbon
 584 coupling. Similarly, for runoff generation processes in tropical/temperate forests, all four
 585 parameterizations produce comparable but consistently overestimated GPP results. Importantly,
 586 these systematic biases across multiple ecosystems indicate the model's inherent tendency to
 587 overestimate vegetation resource use efficiency. These findings collectively underscore the need
 588 for: (1) adopting RAD01 for shrubland simulations, (2) comprehensive recalibration of energy-



589 water-carbon coupling mechanisms across all ecosystems to reduce persistent overestimation
590 biases.



591
592 **Figure 8.** The Sobol' index of the Noah-MP ensemble-simulated multi-year-averaged and seasonal GPP to the
593 four physical processes across different vegetation types. Here, RAD denotes radiation transfer, BTR denotes
594 the β -factor, SFC denotes turbulence, and RUN denotes runoff generation.

595 5 Conclusions and discussion

596 We examined the performance of the Noah-MP ensemble with multiple
597 parameterizations in reproducing GPP, based on flux tower measurements and PML GPP
598 datasets. The Noah-MP ensemble was generated by perturbing parameterization schemes of four



599 key physical processes: radiation transfer, turbulence, the β -factor, and runoff generation. In
 600 China, GPP showed significant spatial-temporal variation, with spring/summer as peak seasons
 601 and southeastern/northeastern regions acting as major carbon sinks. Vegetation type greatly
 602 shaped GPP, with forests being the largest carbon contributors, while grasslands and shrublands
 603 exhibited lower productivity. The Noah-MP effectively captured the spatiotemporal patterns of
 604 GPP, but overestimated forest and cropland GPP in peak seasons, potentially due to
 605 underestimating the photosynthesis inhibition under soil moisture deficits. The model exhibited
 606 strong performance across most Chinese ecosystems, with moderate accuracy in shrublands, and
 607 notably inferior results in evergreen forest, demonstrating its applicability in GPP simulation for
 608 Chinese terrestrial ecosystem.

609 Our results align with Arsenault et al.(2018), indicating that Noah-MP overestimates
 610 GPP throughout the growing season, notably in forests and croplands. This overestimation likely
 611 arises from the model's insufficient response to water stress and stomatal regulation under
 612 drought conditions, which leads to the overestimation of carbon assimilation rates. Additionally,
 613 limitations in phenology-related parameterizations and the dynamic vegetation module might
 614 lead to excessive carbon allocation to photosynthetic organs, such as buds in spring (Ma et al.,
 615 2017; Niu et al., 2011). Addressing current model limitations requires advancing carbon
 616 allocation schemes, refining photosynthetic temperature regulation, and integrating nutrient
 617 constraints, with an emphasis on nitrogen, within vegetation processes (Gim et al., 2017; Cai et
 618 al., 2016; Schaefer et al., 2012; Stöckli et al., 2008).

619 With the Noah-MP ensemble, the Sobol' total sensitivity index was applied to determine
 620 the impact of major physical processes on GPP in China's terrestrial ecosystems. China's
 621 ecosystem GPP was influenced by multiple processes, showing spatial heterogeneity. In arid
 622 regions like Northwest China, the β -factor (soil moisture stress) and radiation transfer limited
 623 GPP, while in humid southern China, runoff generation and turbulence regulated carbon
 624 assimilation. During peak growth periods, radiation transfer and the β -factor strongly impacted
 625 GPP. For different ecosystems, water-related factors, including the β -factor and runoff
 626 generation, mainly influenced cropland and savanna GPP, while radiation transfer and turbulence
 627 affected shrublands and forests respectively. GPP's dominant processes varied seasonally and
 628 spatially, with the the β -factor dominated in most Chinese regions and radiation transfer showed
 629 stronger control on the Tibetan Plateau. Except in summer, the β -factor was the main GPP driver,



630 especially in winter. In spring, there are no obvious limiting factors, except for a slight sensitivity
 631 exhibited by turbulence; in summer, both radiation transfer and runoff generation show moderate
 632 influence on GPP; in autumn, the dominant process was radiation transfer.

633 The sensitivity of physical process parameterizations is critical for identifying the
 634 primary drivers and mechanisms underlying the spatiotemporal variations of GPP. In spring,
 635 turbulence significantly influences GPP by modulating surface energy fluxes, which in turn
 636 regulate vegetation–atmosphere gas exchange and surface temperature (Misson et al., 2007).
 637 Specifically, turbulent transport of heat and moisture helps maintain canopy temperatures above
 638 freezing and enhances carbon dioxide exchange efficiency, thereby extending the active
 639 photosynthetic period (Misson et al., 2007). Additionally, turbulence mitigates cold air
 640 accumulation in topographically enclosed areas such as valleys and basins, further supporting
 641 microclimatic conditions favorable for vegetation growth (Ensminger et al., 2006). In China’s
 642 arid and semi-arid regions, including the Northwest and Northeast Plain, the β -factor exerted a
 643 pronounced influence on autumn and winter GPP (Kannenberget al., 2024), emphasizing the
 644 critical influence of soil moisture availability on transpiration and carbon uptake in these water-
 645 limited ecosystems, aligning with previous findings (Wang et al., 2023; Zheng et al., 2019;
 646 Nelson et al., 2018; Wolf et al., 2016). Runoff played a significant role in controlling GPP in
 647 humid regions, including the Yunnan-Guizhou Plateau and eastern China. It impacts
 648 photosynthetic efficiency by altering surface and subsurface water availability (Lei et al., 2014).
 649 Incorporating detailed runoff-soil moisture interactions and vegetation-specific hydrological
 650 processes may enhance simulation accuracy in these regions. Overall, GPP variability in China
 651 arose from complex interactions of climatic drivers, vegetation types, and ecosystem-specific
 652 physiology. This highlights the need for model improvements in simulating radiation transfer,
 653 soil moisture transport, and vegetation dynamics to reduce uncertainties. Notably, sensitivity
 654 patterns varied regionally even within the same vegetation type, reflecting local climate and
 655 hydrological influences. Such spatial heterogeneity indicates the importance of conducting
 656 region-specific modeling and implementing targeted management, such as optimizing water
 657 resources in arid areas and boosting light-use efficiency in humid regions.

658 Based on systematic model performance evaluations and parameterization scheme
 659 sensitivity analyses, this study proposes optimized Noah-MP model configurations for terrestrial
 660 ecosystems in China. The findings indicate that the modified two-stream approximation scheme



(RAD01) exhibits superior performance in simulating radiation transfer processes, particularly showing significant advantages in grasslands and shrubland ecosystems. For β -factor, while the three β -factor schemes show minimal differences across most vegetation types, BTR03 demonstrates relatively better performance in cropland ecosystems. Importantly, both surface exchange (SFC01/SFC02) and runoff parameterizations consistently overestimate GPP without showing substantial inter-scheme performance variations. Consequently, we recommend: (1) adopting RAD01 for radiation transfer simulations, (2) prioritizing BTR03 for cropland applications, and (3) focusing on fundamental improvements in energy-carbon coupling and hydro-vegetation interaction mechanisms to address the identified systematic biases and enhance overall model accuracy.

This study assessed the ability of Noah-MP to simulate GPP across Chinese ecosystems, explored key physical processes shaping GPP variations, and offered optimal parameterization scheme recommendations for GPP modeling. The findings contribute to improving ecosystem carbon uptake modeling and support the improvement of carbon management strategies. However, several limitations warrant attention in future work:

(1) Parameterization schemes limitations: only a subset of parameterization schemes was included due to computational constraints, with other parameters and parameterization schemes remaining not considered. Future research should expand the ensemble by incorporating more parameters and schemes related to vegetation carbon sequestration, such as canopy height and rain-snow partitioning schemes. Furthermore, plant physiology-related processes, like plant hydraulics, were not incorporated in the Noah-MP 5.0 version used (Li et al., 2021). Subsequent research is needed to include these processes and evaluate their influence on GPP. (2) Validation data uncertainty: though the PML GPP (China) dataset used here was deemed superior by prior studies, it still deviates from site observations. The PML GPP shows strong agreement with flux tower observations, with an NSE of 0.82 and an RMSE of $1.71 \text{ g C m}^{-2} \text{ d}^{-1}$ (He et al., 2022). (3) Model structural constraints: current physical process models like Noah-MP simplify the parameterization of vegetation carbon fluxes, introducing uncertainties in GPP simulation. Integrate data assimilation and machine-learning-based modeling can effectively reduce such uncertainties and enhance simulation accuracy.

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697 **Data availability statement**

698 All public datasets used in this study (including CMFD, PML-V2, MCD12Q1, ERA5-
 699 Land, and SoilGrids 2.0) were obtained from their respective official sources cited in the article.
 700 The source code and simulated data generated in this study have been uploaded to Zenodo
 701 (<https://doi.org/10.5281/zenodo.18158937>, Lai, 2026).

702 **CRedit authorship contribution statement**

703 J.L. conceived the study, processed and analyzed the data, and wrote the original draft.
 704 W.F. and J.W. secured funding, supervised the project, and contributed to conceptualization and
 705 manuscript revision. A.W., Y.L., L.S., Y.Z., Y.D., R.C., and R.L. participated in reviewing and
 706 editing the manuscript. All authors contributed to the final version and approved its submission.

707 **Declaration of competing interest**

708 The authors declare no conflicts of interest.

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