



1 **How Does Assimilating a Large Commercial GNSS RO Dataset Impact HAFS Hurricane**  
2 **Forecasts? An Evaluation in Support of the ROMEX Experiment**

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## ABSTRACT

24 While Global Navigation Satellite System (GNSS) radio occultation (RO) data assimilation  
25 improves tropical cyclone (TC) intensity forecasts, the scaling of these impacts with RO  
26 observation volume remains unclear. This observing system experiment (OSE) study evaluates  
27 the impact of assimilating the large commercial GNSS RO profile dataset from the Radio  
28 Occultation Modeling Experiment (ROMEX) on 84 Hurricane Analysis and Forecast System  
29 (HAFS) model forecasts of four 2022 Atlantic hurricanes. The ROMEX dataset contains about  
30 20,000 daily global Spire and PlanetiQ profiles, which is roughly triple the volume of  
31 government-provided RO data that the National Centers for Environmental Prediction (NCEP)  
32 assimilated operationally in 2022. Compared to a Control experiment that uses only operational  
33 RO data, assimilating ROMEX data together with operational RO profiles in HAFS yields ~ 5-  
34 15% relative skill improvement in minimum central sea-level pressure ( $P_{MIN}$ ) absolute intensity  
35 forecast errors in short-range forecasts, and it nearly eliminates a ~ 2-3 hPa  $P_{MIN}$  over-  
36 intensification bias in medium-to-long range forecasts. Additionally, ROMEX commercial RO  
37 data assimilation reduces HAFS temperature and water vapor errors in the middle-to-upper  
38 troposphere. A sensitivity experiment shows that lower-tropospheric RO data assimilated below  
39 the 5-km impact height provide a substantial contribution to ROMEX forecast improvements  
40 relative to Control. These results demonstrate that quadrupling the volume of assimilated GNSS  
41 RO data yields a meaningful positive impact on regional model TC forecasts.

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## 52        **1. Introduction**

53            Global Navigation Satellite System (GNSS) radio occultation (RO) events occur when Low  
54 Earth Orbit (LEO) receiver satellites intercept radio signals transmitted by GNSS satellites [e.g.,  
55 Global Positioning System (GPS), Global Navigation Satellite System (GLONASS)] that have  
56 passed through the Earth's atmosphere along limb soundings. Atmospheric refraction bends the  
57 RO ray, causing a delay in the received radio signal relative to its predicted phase in a vacuum.  
58 Processing centers, such as the University Corporation for Atmospheric Research (UCAR)'s  
59 COSMIC Data and Archive Center (CDAAC), use physics-based inversion techniques (Kuo et  
60 al. 2004) and precisely determined transmitter and receiver satellite positions to retrieve vertical  
61 profiles of the RO ray bending angle from Doppler-shifted occultation radio signals. Since  
62 atmospheric refractivity depends on water vapor, temperature, and pressure, RO bending angles  
63 can also be simulated from numerical weather prediction (NWP) model output using a forward  
64 operator that integrates atmospheric refractivity field gradients along an approximation of the RO  
65 ray path (Healy et al. 2007; Cucurull et al. 2013).

66            GNSS RO bending angles have been assimilated in operational global NWP models over  
67 the last two decades, with their beneficial impacts on predicted temperature, wind, and pressure  
68 fields in the upper troposphere and lower stratosphere being well documented (Cucurull et al.  
69 2013; Bonavita 2014; Bowler 2020; Lien et al. 2021). RO profiles complement satellite  
70 radiances in sampling atmospheric water vapor and temperature, offering high vertical resolution  
71 (sub 200 m), deep tropospheric signal penetration, and all-sky observability (Ho et al. 2020a;  
72 Schreiner et al. 2020). Additionally, RO bending angles serve as anchor data for radiance bias  
73 correction in NWP models (Poli et al. 2010) because they exhibit relatively unbiased errors  
74 above the boundary layer (Schreiner et al. 2020; Lien et al. 2021). Launched in June 2019, the  
75 joint US-Taiwan Formosa Satellite Mission-7/Constellation Observing System for Meteorology,  
76 Ionosphere, and Climate-2 (COSMIC-2) six-satellite constellation currently yields about 6,000  
77 daily profiles globally, all within the  $\pm 45^\circ$  latitude band. Compared to previous missions,  
78 COSMIC-2 provides enhanced data coverage over the tropics (with approximately 5 daily  
79 profiles per  $500 \times 500 \text{ km}^2$  box) and a higher signal-to-noise ratio (SNR), owing to improved  
80 receivers and digital beamforming antennas (Ho et al. 2020b; Schreiner et al. 2020). Studies have  
81 demonstrated that tropospheric water vapor information derived from assimilating RO data from



82 COSMIC-2 or its predecessor mission could improve TC cyclogenesis detection in regional  
83 models (Liu, H. et al. 2012; Chen et al. 2020; Teng et al. 2021, 2023).

84 Despite a substantial increase in available GNSS RO data after the COSMIC-2 launch, the  
85 full benefits of assimilating these RO profiles in NWP models are likely still not realized due to  
86 their relatively sparse horizontal sampling density compared to satellite radiances. To  
87 theoretically evaluate how the forecast error improvement scales with RO profile volume,  
88 Harnisch et al. (2013) ran an ensemble of data assimilation (EDA) experiments  
89 using the European Centre for Medium-Range Weather Forecasts (ECMWF) global model.  
90 Using the reduction in ensemble spread as a proxy for forecast error reduction, they found  
91 incremental forecast improvement up to 128,000 globally assimilated profiles. Specifically,  
92 the improvement in upper-tropospheric temperature forecast error scaled logarithmically with the  
93 number of assimilated RO data, such that assimilating 16,000 daily profiles accounted for about  
94 50% of the benefit from assimilating 128,000 daily profiles. Based on these findings, Harnisch et  
95 al. (2013) recommended 16,000 – 20,000 daily global RO profiles as the minimum number  
96 for optimal utilization in a global NWP system. Current government-owned GNSS RO receiver  
97 platforms, such as COSMIC-2 and the Meteorological Operational (MetOp) satellites operated  
98 by the European Organisation for the Exploitation of Meteorological Satellites (EUMETSAT),  
99 supply fewer than 10,000 daily global profiles to operational NWP centers.

100 Over the past five years, companies such as Spire Global and PlanetiQ have launched  
101 LEO satellites equipped with GNSS RO signal receivers. The US National Oceanic and  
102 Atmospheric Administration (NOAA), ECMWF, and other federal weather services  
103 worldwide are currently evaluating the benefits of assimilating commercial RO data into their  
104 operational NWP models. Data assimilation impact studies have found that augmenting  
105 government-provided RO data with relatively small commercial RO datasets is generally  
106 beneficial, at least to some degree. Lonitz et al. (2021) reported that assimilating about 5,000  
107 daily Spire RO profiles on top of operationally assimilated RO data in the global NWP models of  
108 both the UK Met Office and ECMWF reduced short-range temperature errors, with the most  
109 substantial improvement found in the extratropical upper troposphere when verified against  
110 radiosondes. Miller et al. (2025) evaluated the impacts of assimilating about 5,500 daily global  
111 Spire RO profiles in NOAA's Hurricane Weather Research and Forecasting (HWRF) model  
112 forecasts of four 2022 Atlantic hurricanes. They found that assimilating the Spire bending angles



113 along with operational government-provided RO data reduced HWRF's tropical cyclone (TC)  
114 minimum central sea-level pressure ( $P_{MIN}$ ) over-intensification bias by 17-38% for medium-to-  
115 long range forecasts, although this only improved  $P_{MIN}$  absolute errors for short-to-medium range  
116 lead times up to  $t = 42$  h. They also showed that Spire data assimilation reduced short-range  
117 water vapor errors measured against dropsondes in the lower troposphere, which was consistent  
118 with previous studies demonstrating that TC genesis and intensification can be sensitive to low-  
119 to-middle tropospheric water vapor fields in the storm environment at earlier times (Nolan 2007;  
120 Doyle et al. 2012; Teng et al. 2021). This sensitivity results partly from the fact that drier air  
121 from the TC environment can intrude into the core and suppress updraft development (Cram et  
122 al. 2007; Molinari et al. 2013). Updrafts help drive the conversion of latent heat extracted from  
123 the ocean to a TC's swirling wind kinetic energy (Rotunno and Emanuel 1987).

124 The Radio Occultation Modeling Experiment (ROMEX; Anthes et al. 2024) is a multi-  
125 center study evaluating the impacts of assimilating a large commercial GNSS RO dataset on  
126 retrospective operational NWP forecasts for the September-November 2022 period. More than  
127 ten weather forecasting and research centers globally have participated, including NOAA, the  
128 Joint Center for Satellite Data Assimilation (JCSDA), ECMWF, the UK Met Office, Meteo-  
129 France, and the Korea Meteorological Administration. ROMEX seeks to demonstrate how data  
130 assimilation scales with increasing numbers of RO observations and to validate the theoretical  
131 results of Harnisch et al. (2013) using real observations across a variety of operational NWP  
132 model configurations. ROMEX participants are assimilating the largest commercial RO dataset  
133 evaluated to date, comprising approximately 20,000 global daily commercial RO bending angle  
134 profiles, into their NWP systems.

135 This study supports ROMEX by evaluating the impact of assimilating a large commercial  
136 RO bending angle dataset, comprising about 20,000 Spire and PlanetiQ RO bending angle  
137 profiles per day globally, on Hurricane Analysis and Forecasting System (HAFS) forecasts of  
138 four 2022 Atlantic hurricanes. HAFS has served as NOAA's primary operational regional TC  
139 forecasting model since 2023. Our objectives are to: (i) evaluate the benefit to HAFS regional  
140 TC intensity forecasts from assimilating an additional ~20,000 daily global commercial RO  
141 profiles, with and without assimilation of COSMIC-2 data; (ii) demonstrate how the assimilated  
142 ROMEX observation impacts vary across atmospheric layers and meteorological variables; and  
143 (iii) determine whether the ROMEX observations assimilated in the lower troposphere can



144 positively impact HAFS forecasts, given the tendency for these observations to have larger  
145 forward operator errors and/or likelihood of rejection there, compared to RO data from the  
146 middle or upper troposphere. The remainder of this paper is organized as follows. Section 2  
147 describes the selected hurricane cases, HAFS model configuration, ROMEX RO dataset, and the  
148 experiment design. Section 3 assesses how HAFS's DA system responds when provided with the  
149 ROMEX commercial RO profiles. Section 4 presents the impacts of assimilated RO data on  
150 forecast track, intensity, and gridded forecast field error statistics, and Section 5 describes a case  
151 study of these impacts on Hurricane Fiona (2022) forecasts. Section 6 provides a summary and  
152 concluding remarks.

## 153 2. Datasets and Methods

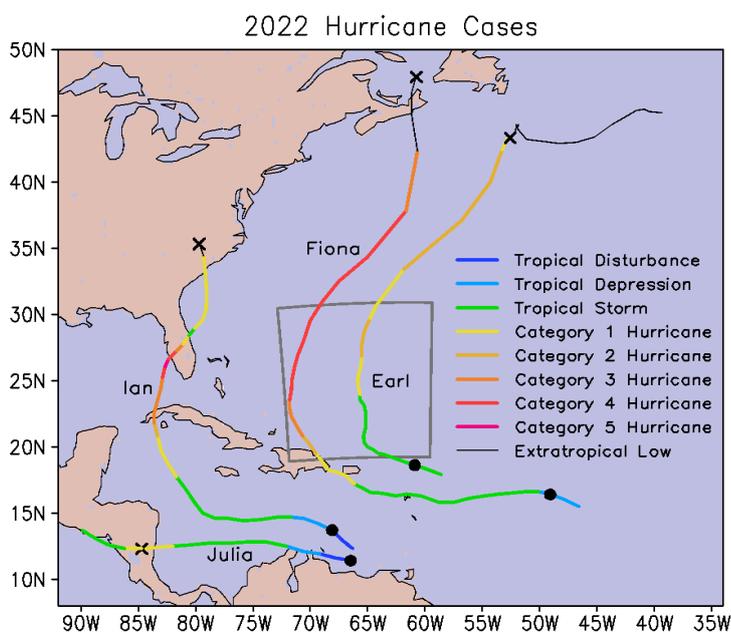
### 154 a) Selected TC cases

155 Figure 1 shows the observed tracks and Saffir-Simpson scale intensities of the four 2022  
156 Atlantic hurricanes selected for this study. These were the most significant TCs of the 2022  
157 Atlantic season in terms of strength, longevity, and impacts, and computational constraints  
158 precluded running additional cases. Data are taken from the National Hurricane Center (NHC)  
159 Best Track archive (Jarvinen et al. 1984). Category 2 Hurricane Earl (2 – 10 September) and  
160 Category 4 Hurricane Fiona (14 – 23 September) were long-tracked storms that spent most of  
161 their time at hurricane intensity, defined as having maximum surface wind speed ( $V_{MAX}$ )  
162 exceeding 65 kt, outside the tropics. Both storms originated as African Easterly Wave (AEW)  
163 disturbances in the eastern tropical Atlantic, intensified as they encountered a moister  
164 environment around the Lesser Antilles, recurved northward around the western Atlantic ridge,  
165 and eventually underwent extratropical transition. Earl passed close enough to Bermuda to  
166 generate tropical-storm-force winds, and its outer rainbands caused heavy rainfall over Puerto  
167 Rico, leading to isolated flooding reports. Compared to Earl, Fiona was a more impactful storm.  
168 Fiona dropped 10-20 inches (254-508 mm) of rainfall over Guadeloupe and Puerto Rico, causing  
169 significant to catastrophic flash flooding on those islands. Fiona later made landfall as an  
170 extratropical storm on Nova Scotia with hurricane-force winds and a minimum central pressure  
171 of 931 hPa, becoming the deepest cyclone on record to strike Canada. Fiona's flooding and  
172 winds caused seven direct fatalities in the Caribbean islands and Canada.

173 Major Hurricane Ian (23 – 30 September) also originated as an AEW and initially  
174 struggled to intensify while moving westward through the eastern and central Caribbean. Late on



175 25 September, upper-level winds around the storm relaxed, enabling the vortex’s upper- and  
 176 lower-level centers to align vertically. Ian then underwent a 39-hour rapid intensification episode  
 177 (Kaplan and DeMaria 2003) as it moved over an area of high ocean heat content, which ended  
 178 with landfall in western Cuba. During this period Ian’s  $V_{MAX}$  increased from 40 kt to 110 kt. After  
 179 slightly weakening from the land interaction, Ian resumed intensifying in the southeastern Gulf  
 180 of Mexico, and the storm made landfall at 1905 UTC on 28 September near Cape Coral, Florida,  
 181 as a 130-kt Category 4 hurricane with a 15-foot peak storm surge. Ian caused 156 fatalities and  
 182 an estimated \$113 billion of damage in the US, making it the third most costly US hurricane on  
 183 record. Category 1 Hurricane Julia (6 – 10 October 2022) developed and intensified in the  
 184 southwestern Caribbean and struck Nicaragua. Moving across Central America, Julia caused  
 185 severe flooding, leading to 35 fatalities. Please refer to the NHC tropical cyclone reports [Blake  
 186 2023 (Earl), Pasch et al. 2023 (Fiona), Bucci et al. 2023 (Ian), and Cangialosi 2023 (Julia)] for  
 187 further details on the meteorology, damage impacts, and operational forecast assessments of  
 188 these storms.



189

190 Figure 1. The observed tracks of the four 2022 Atlantic hurricanes selected for the ROMEX  
 191 HAFS forecast experiments, with colors indicating the stage of TC development at the time.  
 192  $V_{MAX}$  stratifies hurricane intensity according to the Saffir-Simpson Scale: Category 1 (64-82  
 193 kt), Category 2 (83-95 kt), Category 3 (96-112 kt), Category 4 (113-136 kt), and Category 5



194 (above 137 kt). Closed circles and X-symbols mark the beginning and end, respectively, of each  
195 hurricane's HAFS experiment cycling period. The dark gray polygon shows the HAFS moving  
196 nest boundaries for the 0600 UTC 07 September Hurricane Earl analysis.

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198 *b) HAFS model configuration and RO data assimilation algorithm*

199 This study uses version 2.0 of NOAA's HAFS configuration A (HAFS-A), a regional TC  
200 forecasting model operationally activated by the National Centers for Environmental Prediction  
201 (NCEP) following cyclogenesis. HAFS-A v2.0 features a fixed  $\sim 75^\circ \times 75^\circ$  sized outer domain  
202 with 5.4-km horizontal resolution and a TC-following  $\sim 12^\circ \times 12^\circ$  sized nest with 1.8-km  
203 horizontal resolution, coupled to the Modular Ocean Model version 6 (MOM6). Initial conditions  
204 and lateral boundary conditions for the outer domain are provided by NOAA's operational  
205 Global Forecasting System (GFS). The Gridpoint Statistical Interpolation (GSI; Wang et al.  
206 2013) software assimilates observations into HAFS-A's moving nest every six hours using a  
207 Four-dimensional Ensemble Variational (4DEnVar) algorithm that extracts flow-dependent  
208 background error covariances from the 80-member operational GFS ensemble. Assimilated  
209 observations include temperature, humidity, and winds from conventional platforms  
210 (radiosondes, ships, and buoys); GNSS RO bending angles; microwave and infrared satellite  
211 radiances; and in-situ data from aircraft reconnaissance missions (dropsondes, High Density  
212 Observations from aircraft sensors, and Tail Doppler Radar-measured winds).

213 Assimilating observations into a background vortex that poorly estimates a TC's  
214 intensity, structure, or position can cause analysis degradations because a TC's inner core can  
215 feature sharp horizontal gradients of wind, temperature, and water vapor (Christophersen et al.  
216 2022). To mitigate this potential problem, HAFS-A adjusts 6-h forecast fields within the moving  
217 nest using a vortex improvement (VI) algorithm during each DA cycle, prior to assimilating  
218 observations (Liu et al. 2020). The VI procedure relocates the background vortex's kinematic  
219 and thermodynamic fields to the position estimated by real-time TC Vitals data (Trahan and  
220 Sparling 2012). It then adjusts these fields to better match the TC Vitals  $V_{MAX}$ ,  $P_{MIN}$ , and storm  
221 size while keeping relative humidity (RH) unchanged. The background vortex is normally  
222 extracted from the 6-h HAFS forecast initialized from the previous cycle, unless the storm is  
223 very weak (TC Vitals  $V_{MAX} < 20 \text{ m s}^{-1}$ ), in which case the 6-h operational GFS-forecast vortex is  
224 used. In either case, HAFS-A pastes the background vortex onto the operational GFS's 6-h



225 forecast environmental fields interpolated to the moving nest. Therefore, the HAFS forecast  
226 background fields between the TC vortex edge and the moving nest boundaries are not cycled.  
227 HAFS-A assimilates RO bending angles occurring within a  $\pm 3$ -hour window around the  
228 analysis time using the NCEP Bending Angle Model (NBAM; Cucurull et al. 2013; Cucurull and  
229 Purser 2023), a one-dimensional forward operator. NBAM estimates RO bending angle  
230 observation errors using a piecewise exponential function of the observation's impact height with  
231 default tunable coefficients that have been determined from GFS background and analysis  
232 departure statistics using the Desrosiers et al. (2005) method. The HAFS-GSI quality control  
233 (QC) algorithm rejects RO observations that could adversely affect the analysis. Most rejections  
234 are triggered either by a "statistical check" when the fractional observation-minus-background  
235 (O-B) innovation  $|O-B|/O$  exceeds a latitude, height, and temperature-dependent cutoff value,  
236 or by the RO ray's tangent point being located within or below a background super-refractivity  
237 (SR) layer where the vertical refractivity gradient is large. The SR rejections are necessary  
238 because NBAM can yield an indeterminate solution for observations located inside or below a  
239 SR layer (Cucurull 2015; Cucurull and Purser 2023).

240 *c) ROMEX RO observation dataset*

241 The ROMEX dataset used in this study contains about 26,000 daily global RO bending  
242 angle profiles. EUMETSAT processed all ROMEX profiles, with the exception of the COSMIC-  
243 2 data, which were provided by UCAR. The largest data volume comes from Spire, which  
244 provides about 16750 profiles per day globally, followed by the COSMIC-2, PlanetiQ, and  
245 *MetOp-B/C* missions, which contribute about 4900, 2750, and 1150 daily profiles, respectively  
246 (Anthes et al. 2024). The government-owned *TerraSAR-X*, *TanDEM-X*, and *KOMPSAT-5*  
247 missions together contribute up to 500 daily global profiles. The ROMEX commercial RO  
248 observations are assigned the same observation errors and QC settings as those used for  
249 COSMIC-2, except that their QC cutoff height is set to reject all data above 45 km, compared to  
250 55 km for COSMIC-2. This follows the default settings for commercial RO data in the  
251 operational HAFS-GSI.

252 *d) RO Data Denial Experiment Design*

253 Four independent HAFS data denial experiments are run as follows:

- 254 (i) Control, assimilating only data from government-owned missions (primarily  
255 COSMIC-2 and *MetOp*);



- 256 (ii) Control\_noC2, the same as Control except without assimilating COSMIC-2;
- 257 (iii) ROMEX, assimilating the full ROMEX dataset; and
- 258 (iv) ROMEX\_noLowlevRO, like ROMEX except that all RO data below the 5-km impact
- 259 height are rejected.

260 Among these experiments, Control most closely replicates the RO dataset assimilated in  
261 NOAA's 2022 operational NWP models<sup>1</sup>, which included approximately 5500 daily Spire  
262 profiles through the Commercial Weather Data Pilot (CWDP) program during that year's  
263 Atlantic hurricane season. Each experiment begins with a HAFS cold start initialized soon after  
264 TC cyclogenesis (Fig. 1). The HAFS analysis is then cycled over a multi-day period, with each  
265 analysis initializing a 120-h HAFS free forecast (run without further observation assimilation).  
266 The last HAFS analysis is initialized soon after final landfall for Fiona, Ian, and Julia, and  
267 shortly before Earl's extratropical transition.

### 268 3. GNSS RO Data Assimilation Statistics

#### 269 a. RO data retention and quality control in HAFS-GSI

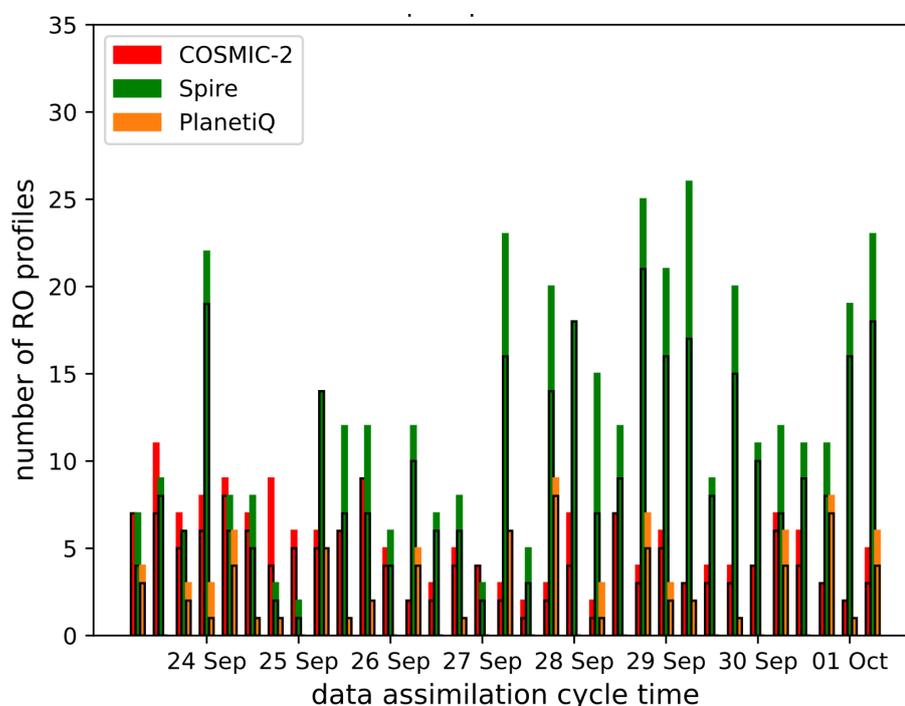
270 Figure 2 shows the number of Spire, PlanetiQ, and COSMIC-2 profiles available for  
271 assimilation in HAFS-A during each Hurricane Ian analysis cycle. The figure also shows the  
272 number of profiles with at least one bending angle that passes GSI's QC checks below 700 hPa.  
273 As expected, Spire provides the largest volume of RO data, although the number of profiles  
274 overlapping the  $\sim 12^\circ \times 12^\circ$  data assimilation domain varies substantially across different  
275 analysis cycles, ranging from fewer than 5 to over 20. Anthes et al. (2024) observed a bimodal  
276 distribution in the local time sampling density of ROMEX Spire profiles, with peaks at 0900-  
277 1200 and 2100-0000 (see their Fig. 12), which they attributed to the Spire satellites' sun-  
278 synchronous polar orbits. Spire's bimodal local time sampling pattern is not easily discernible  
279 during periods when the HAFS nest has limited zonal movement, such as Hurricane Ian's 27  
280 September - 01 October period, when the storm remains over the US Eastern Daylight Time  
281 zone's (GMT - 4 h) longitudinal range (Fig. 1). This is not surprising, given the HAFS nest's  
282 relatively small size and wide (6-h) DA window. Therefore, we attribute the variability in Spire  
283 profile availability over DA cycles mostly to randomness in Spire occultation swath locations

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<sup>1</sup> In 2022 NOAA was operationally using the Hurricane Weather Research and Forecasting (HWRF) model for regional TC forecasting guidance. HAFS became operational the following year.



284 over the TC case study periods. We also find more comparable Spire and COSMIC-2 profile  
 285 counts when the nest is at its lowest latitudes, namely for Ian during its 23-26 September tropical  
 286 depression and tropical storm phases. This is consistent with the COSMIC-2 sampling density  
 287 peaking close to the Equator, where it is comparable to the ROMEX Spire profile density  
 288 (Anthes et al. 2024).



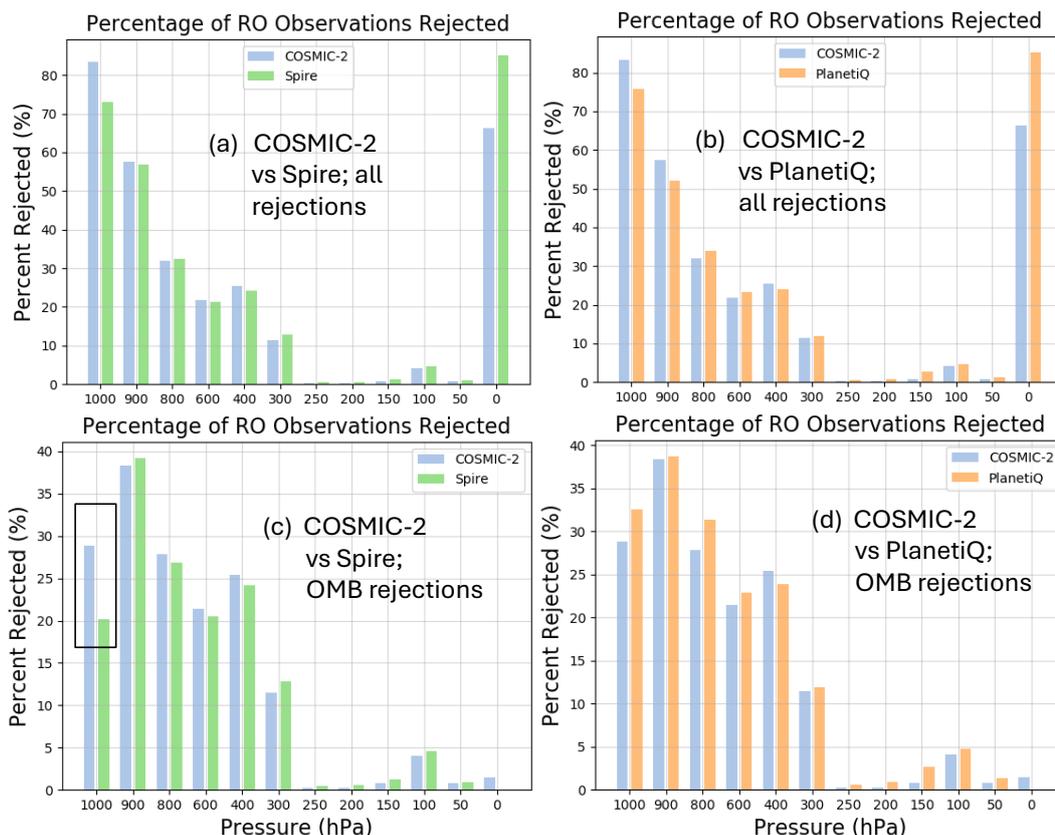
289  
 290 Figure 2. Number of COSMIC-2, Spire, and PlanetiQ GNSS RO profiles available for  
 291 assimilation in the HAFS moving nest for each Hurricane Ian (2022) data assimilation cycle,  
 292 shown as red, green, and orange bars, respectively. Embedded black-outlined open bars show the  
 293 number of profiles with at least one bending angle assimilated below 700 hPa.

294 Figure 2 also highlights a key challenge: a substantial fraction of available COSMIC-2  
 295 and commercial RO profiles lack assimilated data below 700 hPa. This is critical because TC  
 296 intensification is highly sensitive to water vapor distribution in the lower-to-middle troposphere  
 297 around the storm (e.g., Nolan 2007; Doyle et al. 2012; Teng et al. 2021). The GSI QC algorithm  
 298 is known to reject the largest percentage of RO data in the lower-to-middle troposphere (Miller  
 299 et al. 2023, 2025; Lien et al. 2021), primarily due to greater bending angle retrieval uncertainty  
 300 caused by water vapor effects (Kursinski et al. 1997; Kuo et al. 2004; Anthes et al. 2022).



301 Further work is needed to determine whether HAFS-GSI's QC criteria are optimally set for all  
302 RO data platforms.

303         Figures 3a and 3b present histograms of COSMIC-2, Spire, and PlanetiQ bending angle  
304 rejection percentages binned by pressure level. All three platforms show similar tropospheric  
305 retention statistics above 950 hPa, with the rejection rate increasing from ~20% in the 700-450  
306 hPa layer to ~55% in the 950-850 hPa layer. The majority of GSI's bending angle rejections  
307 between 950 and 50 hPa result from their  $|O-B|/O$  exceeding an empirically determined threshold  
308 (Section 2b) for COSMIC-2, Spire (cf. 3a, 3c), and PlanetiQ (cf. 3b, 3d). Notably, below 950  
309 hPa, Spire's O-B rejection percentage is almost 10% smaller than that of COSMIC-2  
310 (rectangular boxed region in Fig. 3c). Since GSI uses the same  $|O-B|/O$  rejection thresholds for  
311 both platforms, this indicates that Spire has a lower frequency of extreme O-B outliers within  
312 and near the boundary layer than COSMIC-2. This is an interesting result, considering that Spire  
313 RO data generally have a lower signal-to-noise ratio than COSMIC-2 (Ho et al. 2023). Almost  
314 all other tropospheric RO observation rejections are triggered by the super-refractivity check,  
315 which mainly depends on the background refractivity field (Cucurull 2015). In the stratosphere  
316 above 25 hPa, the commercial data show a higher rejection rate than COSMIC-2, due to the  
317 former having a lower QC cutoff height.



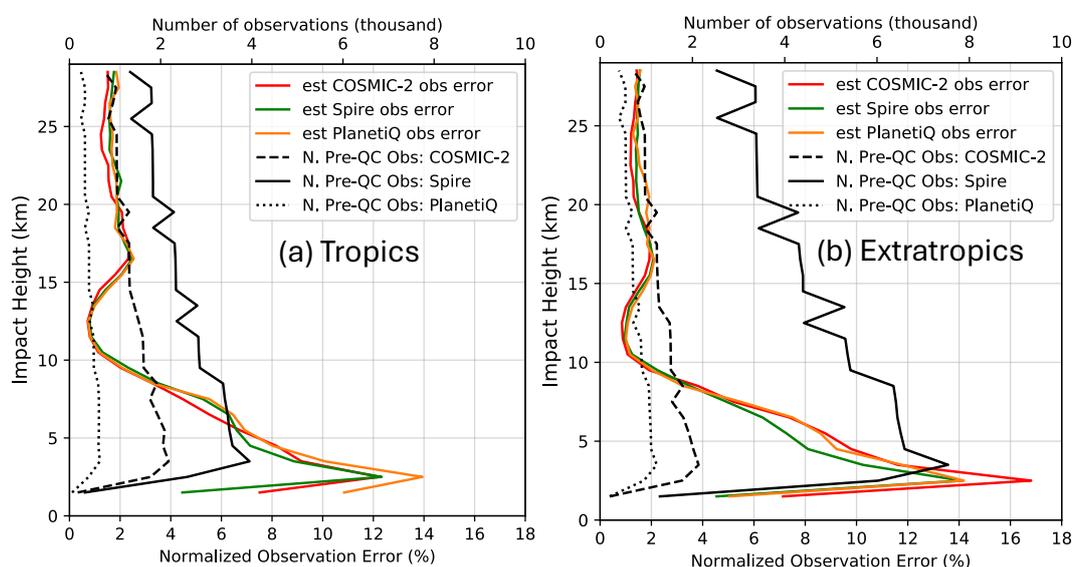
318

319 Figure 3. Top row [(a) and (b)]: percentage of RO bending angle observations binned by pressure  
 320 level that are rejected by HAFS-GSI QC screens. Pressure level height (hPa) bins labeled on the  
 321 abscissa as 1000, 900, 800, 600, 400, 300, 250, 200, 150, 100, 50, 0 are bounded as > 950, 950-  
 322 850, 850-700, 700-450, 450-350, 350-275, 275-225, 225-175, 175-125, 125-75, 75-25 and < 25  
 323 hPa, respectively. COSMIC-2 rejection percentages (blue bars) are compared to Spire rejection  
 324 percentages (green bars) in (a) and PlanetiQ rejection percentages (orange bars) in (b). Bottom  
 325 row [(c) and (d)]: as in (a) and (b), except showing only the percentage of observations rejected  
 326 by the observation-minus-background (OMB) outlier check.

327 Figure 4 compares profiles of COSMIC-2, Spire, and PlanetiQ observation error standard  
 328 deviations estimated from the ROMEX experiment's O-B and observation-minus-analysis (O-A)  
 329 statistics using the Desroziers et al. (2005) method. This algorithm, widely used by operational  
 330 NWP centers (Cucurull et al. 2013; Bonavita 2014; Bowler 2020; Lien et al. 2021),  
 331 uses a forecast model's diagnostic output dataset to estimate the diagonal elements of the RO  
 332 bending angle observation error matrix, which includes contributions from instrument, retrieval,



333 and representative sources. We find that all three platforms have similar observation uncertainty  
 334 in the upper troposphere. However, Spire observations show the smallest uncertainty below the  
 335 5-km impact height in the tropics (Fig. 4a) and below the 7-km impact height in the extratropics  
 336 (Fig. 4b).



337

338 Figure 4. RO bending angle observation error standard deviation profiles estimated by the  
 339 Desroziers et al. (2005) method for (a) the tropics and (b) the extratropics. The red, green, and  
 340 orange lines show COSMIC-2, Spire, and PlanetiQ errors, respectively. Dashed, solid, and dotted  
 341 black lines, respectively, show the number of COSMIC-2, Spire, and PlanetiQ observations per  
 342 height bin that were used in the error estimation.

343

#### 344 4. Objective verification using the full HAFS forecast set

345

##### *a. TC track and intensity forecasts*

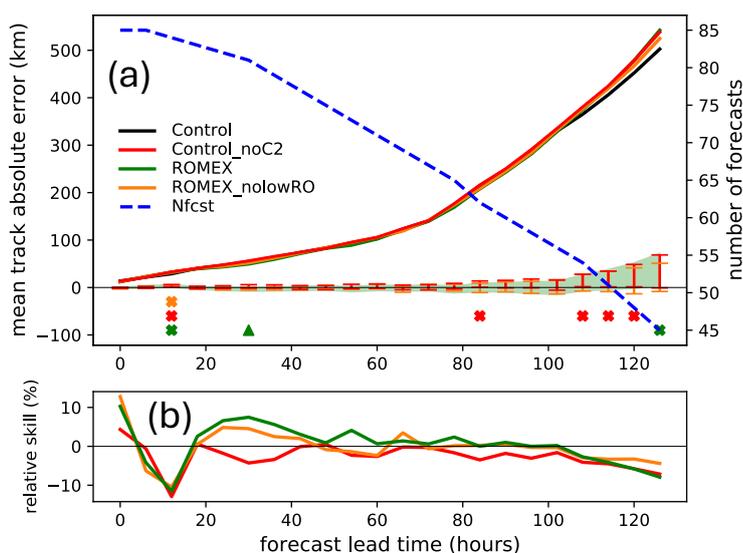
346

We now evaluate the impacts of assimilating ROMEX and COSMIC-2 RO observations  
 347 on HAFS TC track and intensity forecasts verified against NHC’s Best Track dataset. Forecasts  
 348 initialized during the first 48 cycling hours (containing eight analysis cycles) are not used for  
 349 computing verification statistics to allow HAFS time to “spin up” and respond to the  
 350 accumulative impacts of assimilated observations. Figure 5a compares the mean absolute TC  
 351 position errors across the four experiments as a function of forecast lead time. Also shown is  
 352 the number of Control forecasts used for averaging, which decreases with increasing lead time  
 353 because the HAFS TC vortex tracker does not provide output for storms that have weakened



354 after landfall or moved near the edge of the fixed outer domain. Differences in TC position error  
 355 among the four experiments are generally small, which is not surprising given that TC motion is  
 356 often most sensitive to larger-scale flows driven by synoptic weather features far from the storm  
 357 (Chan and Gray 1982; Galarneau and Davis 2013). The HAFS model’s  $12^\circ \times 12^\circ$  storm-  
 358 following nest receives the same GFS-provided initial and lateral boundary conditions from its  
 359 surrounding outer domain in all four experiments.

360 Nevertheless, we find that assimilating the commercial RO observations has a small  
 361 positive impact on TC position errors during the  $t = 18\text{-}78$  h period, as evidenced by the positive  
 362 ROMEX relative skill versus Control (Fig. 5b). We define relative skill for each experiment as  
 363 its absolute error difference from Control, normalized by the Control absolute error.  
 364 Control\_noC2’s negative relative skill during the same period indicates that COSMIC-2  
 365 observations are also beneficial for HAFS TC track forecasts. We hypothesize that these small-  
 366 to medium-range track forecast improvements, gained from assimilating COSMIC-2 and  
 367 commercial RO data in the HAFS moving nest, could come from improved HAFS predictions of  
 368 TC vortex size, depth, or circulations in the near-storm environment, which in turn influence  
 369 the storm’s response to larger-scale flow fields (Galarneau and Davis 2013).

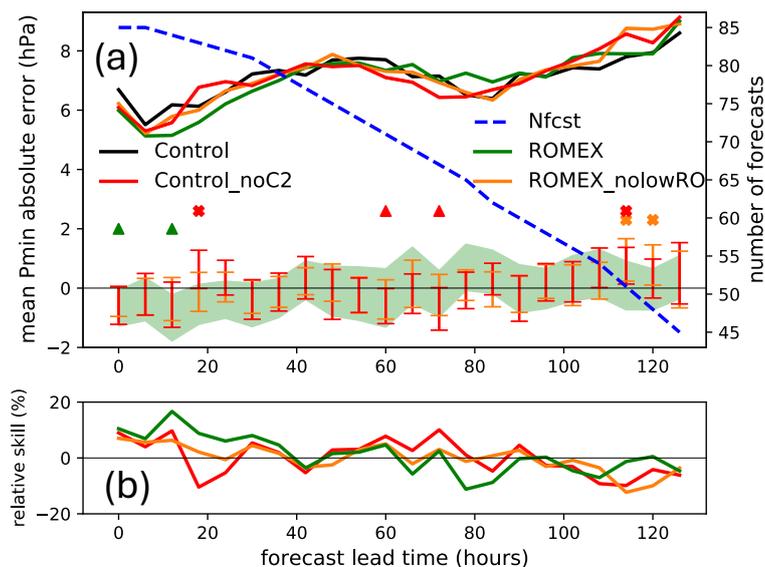


370

371 Figure 5. (a) Mean absolute errors in TC position (km) plotted as a function of forecast lead  
 372 time for the Control (black line), Control\_noC2 (red line), ROMEX (green line), and  
 373 ROMEX\_nolowRO (orange line) HAFS experiments. Errors are measured against the NHC



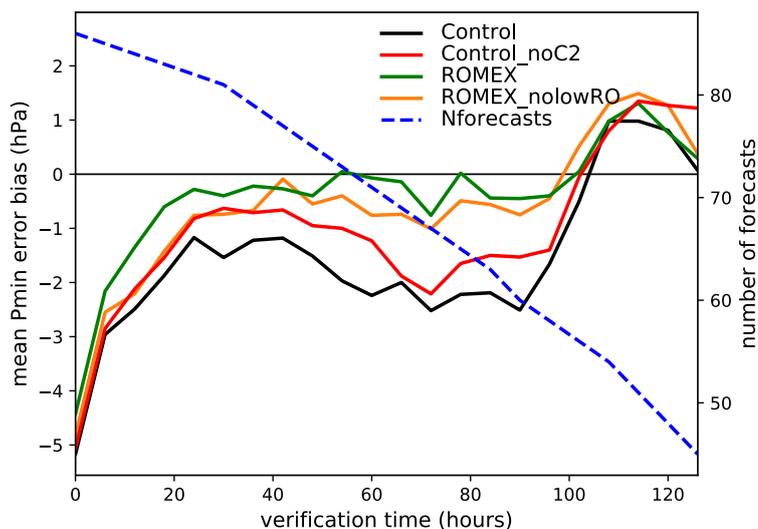
374 Best Track data. The dashed blue line shows the number of available verification forecasts.  
 375 Green shading shows the 90% confidence interval of the ROMEX-minus-Control forecast  
 376 TC position error difference generated by bootstrap resampling. Statistically significant  
 377 larger (smaller) ROMEX errors relative to Control occur at times when these confidence  
 378 intervals remain above (below) zero, as denoted by green cross symbols (triangles). Red  
 379 (orange) error bars and colored symbols likewise show ROMEX\_noC2-minus-Control  
 380 (ROMEX\_noLowlevRO-minus-Control) forecast TC position error confidence intervals and  
 381 statistically significant differences. (b) Time series of the TC position forecast relative skill  
 382 (%) for the Control\_noC2 (red line), ROMEX (green line), and ROMEX\_noLowlevRO  
 383 (orange line) experiments.



384

385 Figure 6. As in Fig. 5, but for TC  $P_{MIN}$  intensity (hPa).

386 Figure 6 compares time series of  $P_{MIN}$  mean absolute error for the HAFS experiments.  
 387 ROMEX commercial observations are modestly beneficial through  $t = 36$  h, when they provide a  
 388  $\sim 5$ -15% relative skill improvement, which is statistically significant for 0-h and 12-h forecasts.  
 389 A substantial portion of ROMEX's  $t = 0$ -36 h relative skill improvement is lost when RO data  
 390 below the 5-km impact height are removed (compare green and orange curves in Fig. 6b).  
 391 COSMIC-2 impacts on HAFS  $P_{MIN}$  intensity mean absolute errors are mixed. Assimilating the  
 392 ROMEX commercial observations nearly eliminates Control's negative 2-3 hPa mean  $P_{MIN}$  bias  
 393 over the  $t = 24$ -90 h period (Figure 7). COSMIC-2 assimilation partially contributes to Control's  
 394  $P_{MIN}$  over-intensification bias, as shown by comparing the red and black curves in Figure 7.



395

396 Figure 7. Forecast time series of TC  $P_{MIN}$  mean bias (hPa) for the Control (black line),  
 397 Control\_noC2 (red line), ROMEX (green line), and ROMEX\_noLowlevRO (orange line)  
 398 experiments, along with the number of forecasts used for verification (dashed blue line).

399 *b. Verification against ERA5 data*

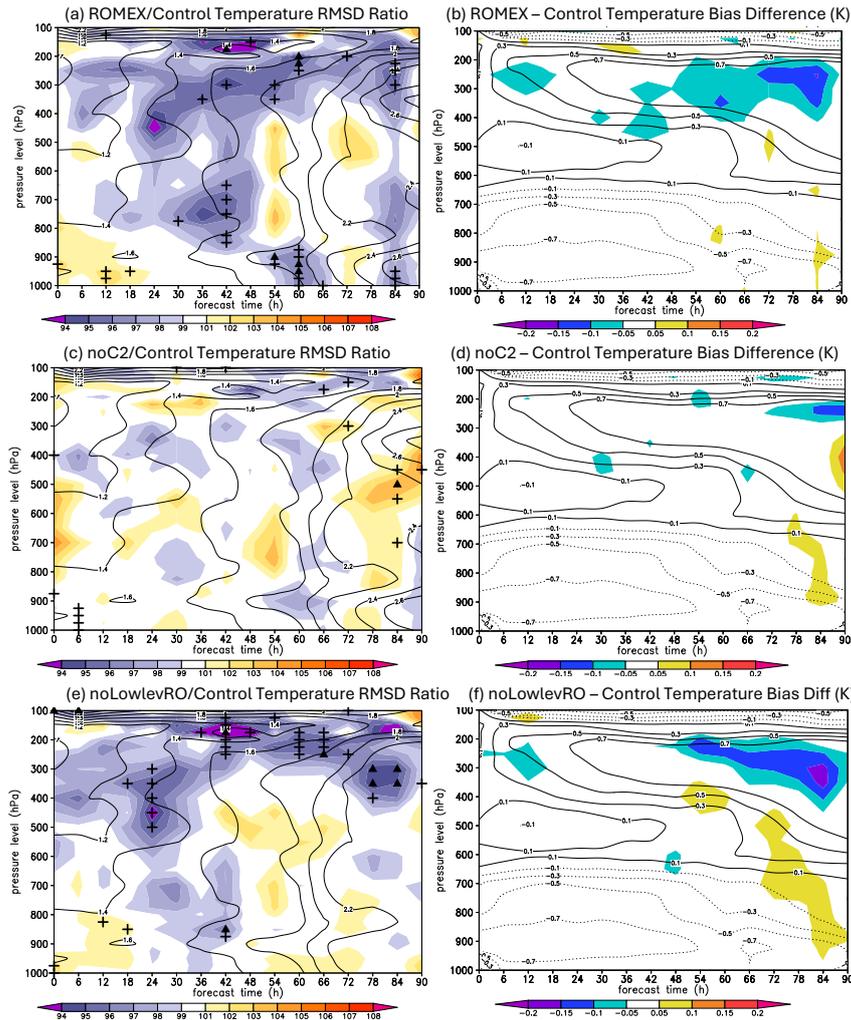
400 We next compare the HAFS experiments in terms of their forecast temperature  
 401 and water vapor errors, using the ECMWF Reanalysis version 5 (ERA5) as the verification truth.  
 402 Figure 8a shows that assimilating ROMEX commercial RO data reduces the temperature Root-  
 403 Mean-Square Deviation (RMSD) in the 200-400 hPa layer by several percentage points relative  
 404 to Control over most of the forecast period. This improvement in ROMEX temperature RMSD  
 405 extends down to around 800 hPa between  $t = 18$  h and  $t = 48$  h. In the lower troposphere, the  
 406 impacts of ROMEX commercial RO observation impacts on temperature RMSD are mixed,  
 407 showing degradation over the first 18 hours but mostly improvement after  $t = 54$  h. Regarding  
 408 bias, Figure 8b shows that Control has a cool bias in the lower troposphere and a warm bias in  
 409 the mid-to-upper troposphere. Assimilating the ROMEX commercial RO observations has little  
 410 impact on the HAFS temperature bias patterns, except for a slight mitigation of the warm bias  
 411 between 200 and 400 hPa in the later forecast period. Compared to the ROMEX commercial RO  
 412 data, the assimilated COSMIC-2 observations have more mixed and muted impacts on the HAFS  
 413 temperature fields, as evidenced by the Control and Control\_noC2 temperature RMSD and bias  
 414 field comparisons shown in Figures 8c and 8d, respectively.



415 Differences between the Control-normalized temperature RMSDs shown in Figures 8a  
416 and 8e reflect the impacts of RO data assimilated below 5 km. Removing all lower-tropospheric  
417 RO observations from the ROMEX configuration (Fig. 8e) results in a substantial portion of the  
418 ROMEX temperature RMSD improvement (relative to Control) below 600 hPa (Fig. 8a) being  
419 lost. Predictably, the temperature RMSD improvement in the upper troposphere is largely  
420 retained in ROMEX\_noLowlevRO. This outcome is expected, as localization in the HAFS  
421 background-error covariance matrix should cause assimilated observation impacts to dampen  
422 with increasing vertical distance from the observation.

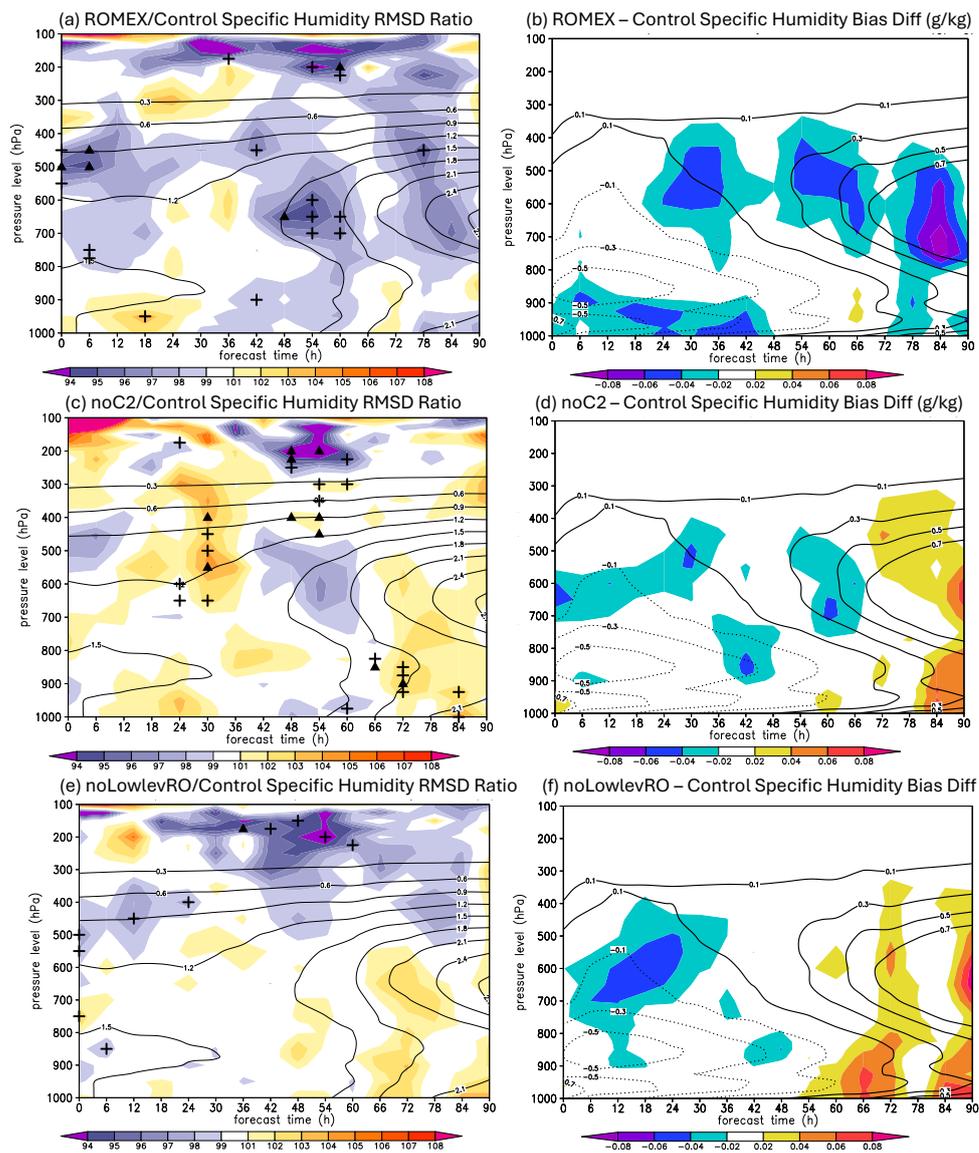
423 Overall, assimilating ROMEX commercial RO data reduces random forecast errors in  
424 HAFS tropospheric water vapor. Similar to temperature, the ROMEX specific humidity  
425 RMSD is reduced by several percentage points relative to Control over most layers and times in  
426 the middle and upper troposphere, with mixed impacts in the lower troposphere (Fig. 9a). Some  
427 of the most substantial improvement occurs during analyses and short-range forecasts around  
428 500 hPa, where the ROMEX specific humidity RMSD is 3-6% smaller than Control. The  
429 ROMEX commercial RO data have a modest drying impact; they mitigate a Control moist bias  
430 in the 400-700 hPa layer but exacerbate a Control dry bias in short-to-medium range forecasts  
431 below 850 hPa (Fig. 9b). However, these ROMEX-minus-Control specific humidity mean error  
432 differences are quite small, generally less than  $0.1 \text{ g kg}^{-1}$ .

433 Unlike the mixed results for temperature, COSMIC-2 observations show a clearer  
434 positive impact on water vapor fields, as evidenced by the widespread specific humidity RMSD  
435 degradation in Control\_noC2 relative to Control (Fig. 9c). COSMIC-2 assimilation also yields  
436 mixed and generally very small changes in HAFS water vapor mean biases (Fig. 9d). Finally,  
437 removing RO data below 5 km (ROMEX\_noLowlevRO) causes nearly all water vapor RMSD  
438 improvement below 400 hPa in ROMEX to be lost (cf. 9a, 9e). This implies that lower-  
439 tropospheric RO observations can also improve HAFS water vapor estimates in the middle  
440 troposphere via the background-error covariance matrix.



441

442 Figure 8. (a) Time-height plot showing the ratio of the ROMEX forecast temperature RMSD  
443 divided by the Control forecast temperature RMSD (shading, %), where the RMSD is computed  
444 with respect to the ERA5 within 300 km of the TC center. Black contours show the Control  
445 temperature RMSD (K). Crosses and triangles show times and layers where the difference  
446 between the Control and ROMEX temperature RMSD is statistically significant at the 95% and  
447 99% level, respectively. (b) Time-height plot showing the difference between the ROMEX and  
448 Control temperature biases (ROMEX-minus-Control) with respect to the ERA5 in shading (K),  
449 with the Control temperature bias (K) also plotted in black contours. (c) As in (a), but for the  
450 Control\_noC2/Control temperature RMSD ratio. (d) As in (b), but for the Control\_noC2-Control  
451 temperature bias difference. (e) As in (a), but for the ROMEX\_noLowlevRO/Control  
452 temperature RMSD ratio. (f) As in (b), but for the ROMEX\_noLowlevRO-Control temperature  
453 bias difference.



454

455 Figure 9. As in Figure 8, but for the HAFS specific humidity RMSD ( $\text{g kg}^{-1}$ ).

456

457

458

459



460 *c. Verification against dropsonde observations*

461 NOAA P-3 reconnaissance aircraft sampled Hurricanes Earl, Fiona, and Ian at 12-24-  
 462 hour intervals during their intensification and mature phases. Flying around 10,000 ft, the P-3  
 463 aircraft crossed the TC inner core in several transect legs while releasing GPS dropsondes and  
 464 collecting other in-situ observations. Two NOAA Gulfstream-IV missions also flew into  
 465 Fiona’s near-storm environment and released upper-tropospheric dropsondes. For verification,  
 466 we compute 12-h HAFS forecast errors for temperature, specific humidity, and wind speed  
 467 from 21 initialization cycles (7 from Earl, 7 from Fiona, 6 from Ian, and 1 from Julia; see Table  
 468 1) against dropsondes available within  $\pm 3$  hours of the verification time. Dropsondes were  
 469 equipped with GPS sensors, enabling tracking of their three-dimensional trajectories during free  
 470 fall. Since most dropsondes were collected by the lower-flying P-3 missions, our verification is  
 471 restricted to the sub-700 hPa layer.

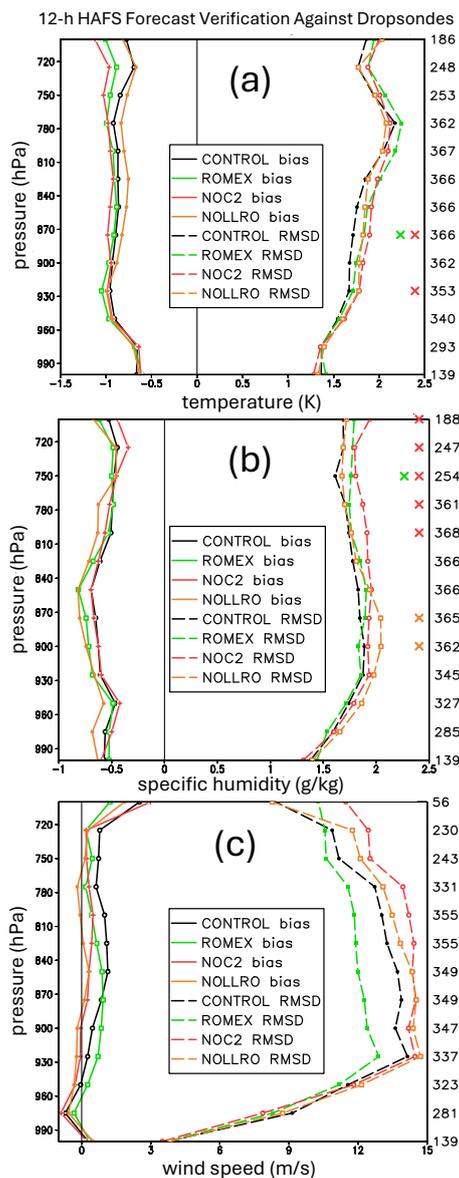
472

Case	Initialization time (UTC)	Aircraft platform	Number of dropsondes
473	Earl 1200 05 September	NOAA P-3	14
474	Earl 0000 06 September	NOAA P-3	18
475	Earl 1200 06 September	NOAA P-3	17
476	Earl 0000 07 September	NOAA P-3	26
477	Earl 1200 07 September	NOAA P-3	16
478	Earl 0000 08 September	NOAA P-3	26
479	Earl 1200 08 September	NOAA P-3	22
480	Fiona 0000 17 September	NOAA P-3	19
481	Fiona 0000 18 September	NOAA P-3	23
482	Fiona 1200 18 September	NOAA G-IV	5
483	Fiona 1200 19 September	NOAA P-3	21
484	Fiona 0000 20 September	NOAA P-3	25
485	Fiona 1200 20 September	NOAA P-3 and G-IV	42
486	Fiona 1200 21 September	NOAA P-3	17
487	Ian 1200 25 September	NOAA P-3	1
488	Ian 0000 26 September	NOAA P-3	27
489	Ian 1200 26 September	NOAA P-3	18
490	Ian 0000 27 September	NOAA P-3	13
491	Ian 1200 27 September	NOAA P-3	4
492	Ian 0000 28 September	NOAA P-3	11
493	Julia 1200 08 October	NOAA P-3	25

494 Table 1. List of all HAFS 12-h forecasts verified against aircraft reconnaissance mission  
 495 dropsonde data.

496

497



498

499 Figure 10. (a) Solid lines show profiles of the 12-h HAFS temperature forecast bias (K)  
 500 computed against NOAA aircraft reconnaissance mission dropsondes for Control (black),  
 501 ROMEX (green), Control\_nOC2 (red), and ROMEX\_noLowlevRO (orange). The number of  
 502 dropsonde temperature observations per verification layer is shown on the right y-axis. Dashed  
 503 lines show the 12-h HAFS forecast temperature RMSD (K) relative to dropsonde data, colored  
 504 by experiment. (b) As in (a) but for 12-h HAFS forecast specific humidity ( $\text{g kg}^{-1}$ ). (c) As in (a)  
 505 but for 12-h HAFS forecast horizontal wind speed ( $\text{m s}^{-1}$ ). Green, red, and orange X-symbols,



506 respectively, mark layers where the ROMEX, Control\_noC2, and ROMEX\_noLowlevRO  
507 forecast RMSDs differ statistically significantly from the Control RMSD at the 95% level.

508 Figure 10a compares the 12-h forecast temperature biases and RMSDs of the four HAFS  
509 experiments against collocated dropsonde temperature observations. Control shows a negative  
510 0.5–1 K cool bias in the sub-700 hPa layer. Compared to Control, the ROMEX temperature bias  
511 profile is similar, with one exception: it shows a ~0.25 K stronger cool bias in the 700-750 hPa  
512 layer. Removing the sub-5 km RO data from ROMEX reduces the cool-temperature bias in  
513 this layer, bringing it closer to that of Control, suggesting that sub-5 km RO observations drive  
514 ROMEX degradation there. The Control\_noC2 cool bias is also ~ 0.25 K stronger than Control's  
515 between 700 and 750 hPa, indicating a positive impact from assimilating COSMIC-2 in that  
516 layer. For temperature RMSD, both the ROMEX and ROMEX\_noLowlevRO are comparable to  
517 or larger than Control's over the sub-700 hPa layer. However, assimilating COSMIC-2 in  
518 Control yields a 0.1-0.2 K RMSD improvement over Control\_noC2 within a large portion of the  
519 sub-700 hPa layer.

520 For the 12-h forecast specific humidity verification (Fig. 10b), we find that Control has a  
521 ~ 0.5 g kg<sup>-1</sup> dry bias below 700 hPa, and that ROMEX's dry bias is similar or slightly worse. RO  
522 data assimilated below 5 km are slightly beneficial, as removing them increases the dry bias by ~  
523 0.1 g kg<sup>-1</sup> over portions of the sub-700 hPa layer. COSMIC-2 assimilation has little impact on  
524 Control's dry bias. While the ROMEX specific humidity RMSD profile resembles that of  
525 Control, the RO data assimilated below 5 km are generally beneficial for reducing random water  
526 vapor errors, particularly around 900 hPa. At this level, the ROMEX\_noLowlevRO specific  
527 humidity RMSD is a statistically significant ~ 0.2 g kg<sup>-1</sup> larger than those of both ROMEX and  
528 Control. Above 800 hPa, COSMIC-2 assimilation in Control also yields a statistically significant  
529 ~ 0.2 g kg<sup>-1</sup> specific humidity RMSD improvement over Control\_noC2.

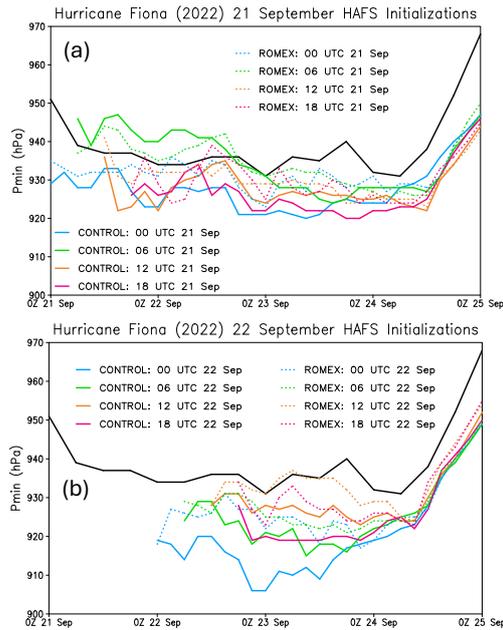
530 The assimilated ROMEX RO data improves the horizontal wind speed RMSD by ~ 1-2 m  
531 s<sup>-1</sup> in the 750-950 hPa layer, although this result is not statistically significant. Removing the sub-  
532 5 km RO data more than reverses all of ROMEX's wind RMSD improvement, and additionally,  
533 removing the COSMIC-2 observations degrades the Control wind RMSD. Therefore, the lower-  
534 tropospheric RO dataset from Spire, PlanetiQ, and COSMIC-2 is beneficial for reducing random  
535 horizontal wind-speed errors below 750 hPa in HAFS. Even though the RO forward operator  
536 does not depend on kinematic variables, this result is consistent with Chen et al. (2020), who



537 showed that cycled RO refractivity assimilation in a regional model could improve short-range  
538 forecasts of vertical velocity and vorticity during TC cyclogenesis via dynamical coupling  
539 between thermodynamic and kinematic fields.

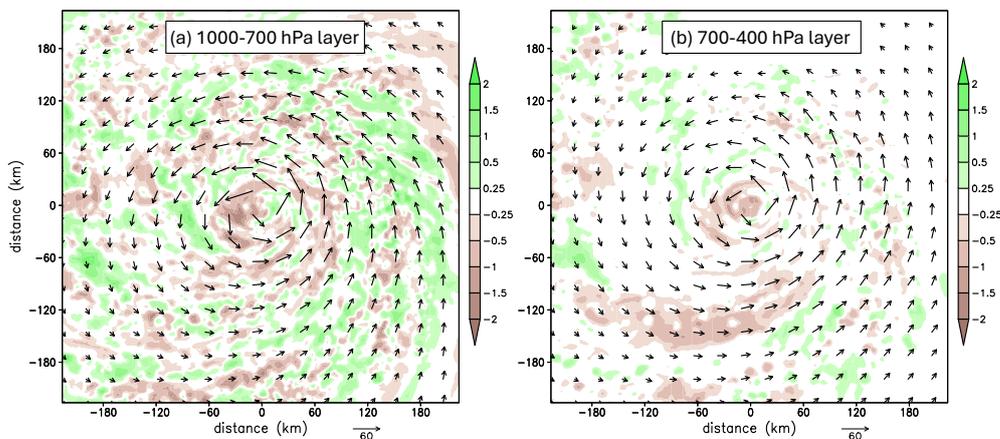
#### 540 **5. ROMEX Observation Impacts on the Structure and Intensity of Hurricane Fiona** 541 **(2022)**

542 After examining individual HAFS forecasts from all four cases, it was found that Hurricane  
543 Fiona intensity forecasts initialized on 21 and 22 September stood out from the rest for their  
544 greater improvement when assimilating the ROMEX data. This is shown in Fig. 11, which  
545 compares the Control and ROMEX  $P_{MIN}$  forecast time series against Best Track  $P_{MIN}$  for the  
546 eight 21-22 September initialization cycles. During this period, Fiona's intensification rate  
547 slowed as the Category 4 storm reached a steady state while moving northeastward through the  
548 subtropical North Atlantic about 1000 km off the southeastern US coast. Favorable  
549 environmental conditions, including warm sea surface temperatures (SSTs) and low vertical  
550 wind shear (VWS), maintained Fiona's mature TC structure and 930-935 hPa  $P_{MIN}$  through 12  
551 UTC 23 September. Except for the 06 UTC 21 September cycle (Fig. 11a), the Control  $P_{MIN}$   
552 forecasts shown here feature a persistent 10-20 hPa over-intensification (i.e., negative) bias  
553 starting at the model initialization. Assimilating the ROMEX dataset yields a ~ 5-10 hPa  
554 mitigation of Control's negative  $P_{MIN}$  analysis and forecast bias. The track forecasts initialized on  
555 21 and 22 September show minimal differences between the Control and ROMEX  
556 experiments (not shown).



557

558 Figure 11. Hurricane Fiona (2022)  $P_{MIN}$  forecasts initialized on (a) 21 September and (b) 22  
559 September, shown for Control (solid lines) and ROMEX (dotted lines). Lines plotting forecast  
560  $P_{MIN}$  time series are colored by initialization time, as indicated in the inset key boxes. The black  
561 line shows the NHC Best Track  $P_{MIN}$  for Fiona.



562

563 Figure 12. (a) ROMEX-minus-Control specific humidity differences ( $\text{g kg}^{-1}$ ) averaged over the  
564 1000-700 layer and over the 00, 06, 12, and 18 UTC 21 September analyses. Vectors show  
565 ROMEX 900-hPa horizontal winds ( $\text{m s}^{-1}$ ) averaged over the same four analyses. (b) As in (a)  
566 but for ROMEX-minus-Control specific humidity differences averaged over the 700-400 hPa  
567 layer with 500-hPa ROMEX horizontal winds.

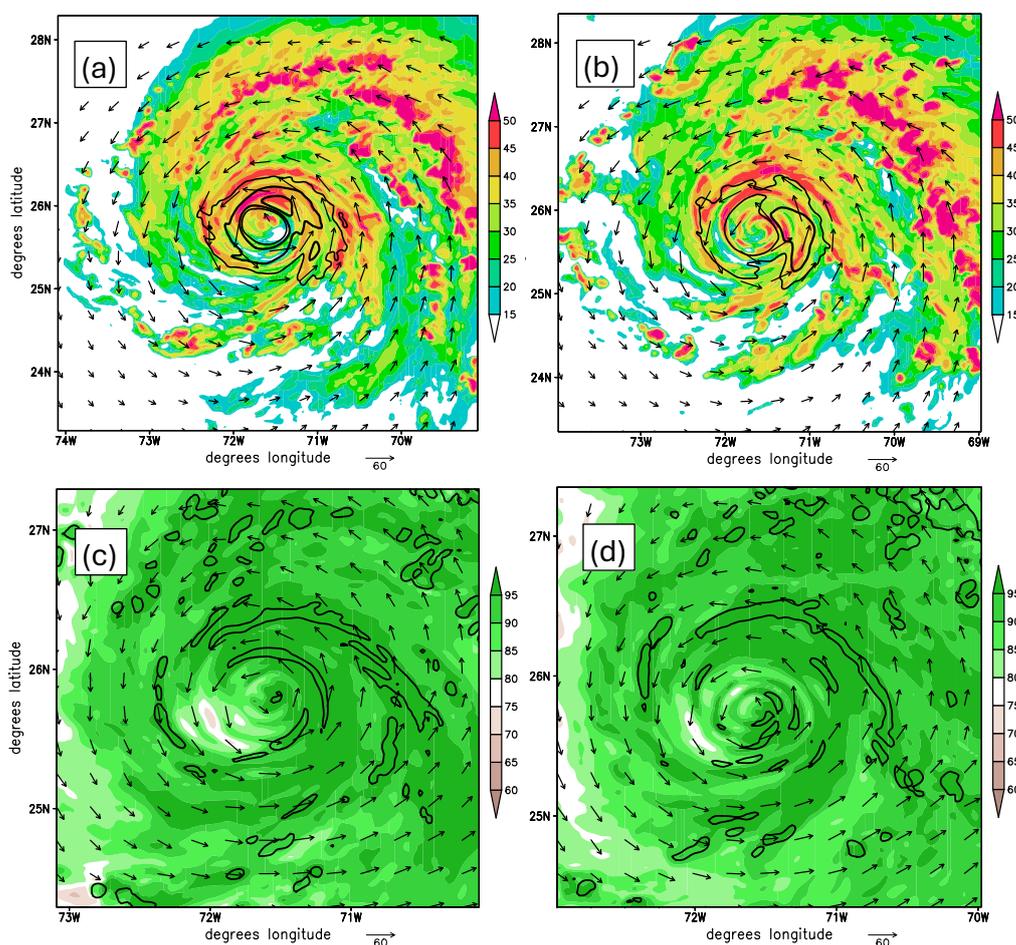


568 This persistent pattern of reduced over-intensification biases in ROMEX initializations on  
569 21-22 September suggests that the ROMEX DA cycles led to the evolution of a less favorable  
570 near-storm environment. Figure 12a shows ROMEX-minus-Control analysis specific humidity  
571 differences averaged vertically below 700 hPa and temporally over the 00, 06, 12, and 18 UTC  
572 cycles on 21 September. Most areas inside the 100-km radius are 0.25-1 g kg<sup>-1</sup> drier in ROMEX,  
573 compared to Control. This is consistent with our finding of drier 12-h ROMEX forecasts versus  
574 Control along inner-core P-3 dropsonde trajectories in the 825-925 hPa layer (Fig. 10b). Beyond  
575 the 100-km radius, particularly in the storm's northeastern quadrant, the ROMEX lower  
576 troposphere analysis is found to be moister than that of Control. Examining ROMEX-minus-  
577 Control specific humidity analysis differences averaged in the 700-400 hPa mid-troposphere  
578 layer for the same four cycles (Fig. 12b), the most prominent differences are evident near the TC  
579 center as well as in a band about 120 km to the south and southwest, where ROMEX analyses  
580 are about 0.25-1 g kg<sup>-1</sup> drier.

581 Figure 13 compares Hurricane Fiona's structure in 12-h Control and ROMEX forecasts  
582 initialized at 12 UTC 21 September. While both the Control- (Fig. 13a) and ROMEX-forecast  
583 (Fig. 13b) storms' convective patterns share many characteristics, including a prominent  
584 rainband located in the northeastern quadrant around 2 degrees' radius from the center, the  
585 Control storm has higher reflectivity values within its eyewall. The latter appears here as the  
586 annular-shaped region around Fiona's center where 900-hPa horizontal wind speeds exceed 50 m  
587 s<sup>-1</sup>. Compared to ROMEX, the Control forecast's near-surface eyewall winds are about 5-10 m s<sup>-1</sup>  
588 stronger, broadly consistent with these forecasts'  $P_{MIN}$  differences (compare the dotted and solid  
589 orange lines at 00 UTC 22 September in Fig. 11a) under an empirical TC vortex pressure-wind  
590 relationship (Chavas et al. 2025). Control also generates stronger and more organized midlevel  
591 updrafts, arcing around the ~ 0.3-degree radius in the northern and southeastern quadrants of its  
592 eyewall (cf. 13c, 13d). These differences in reflectivity and mid-level updraft strength between  
593 the Control and ROMEX 12-h forecasts reveal that the Control has more vigorous inner-core  
594 convection, which aligns with its analysis showing a moister lower-to-middle troposphere within  
595 a 100-km radius (Fig. 12). This is dynamically significant: deep convective updrafts in a TC  
596 inner core provide a key dynamical link between the favorable thermodynamic conditions of  
597 high relative humidity (RH) in the lower-to-middle troposphere and the storm's wind field  
598 intensification. A moister near-storm environment limits dry air entrainment and favors stronger,



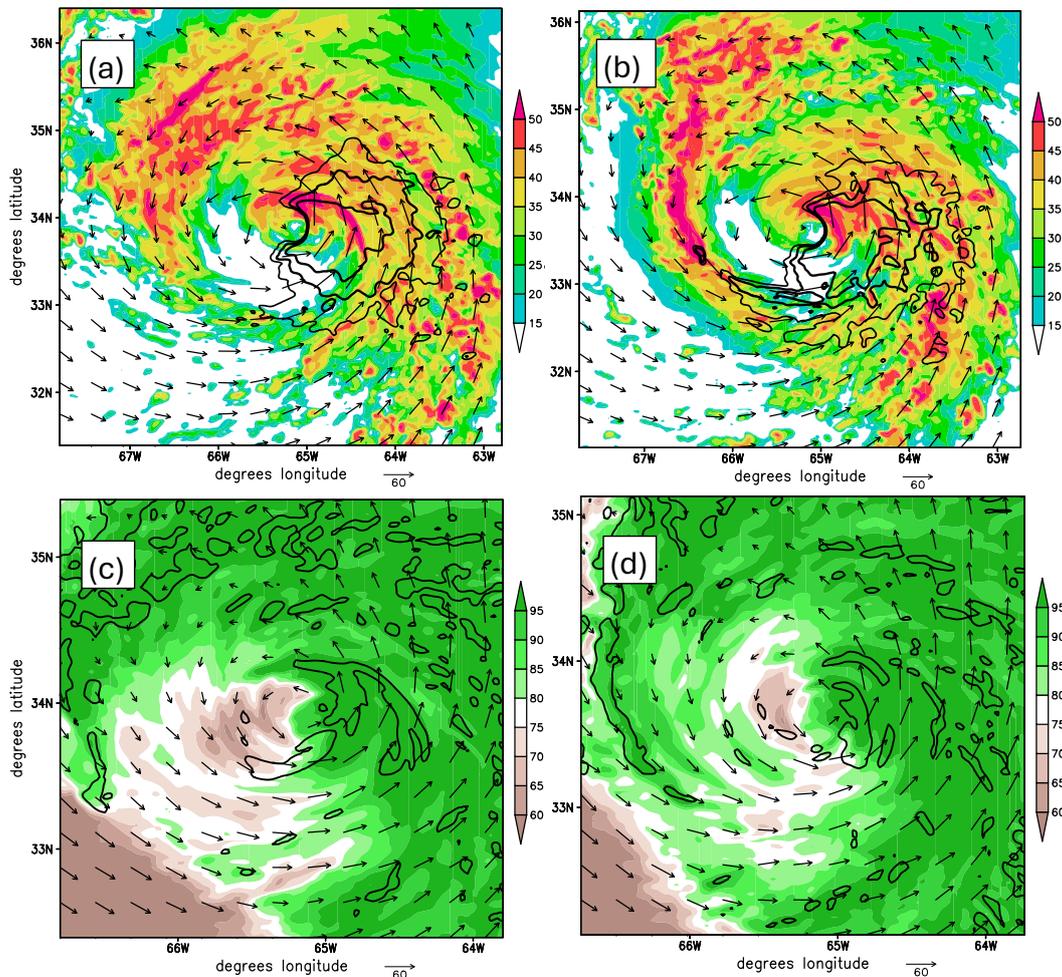
599 more organized eyewall updrafts (Cram et al. 2007; Braun et al. 2012). These deep updrafts  
600 enhance the convergence of higher absolute angular momentum (AAM) air from outer regions  
601 into the inner core, increasing the eyewall tangential wind speed in the middle troposphere due to  
602 AAM conservation and in the boundary layer when AAM advection overcomes frictional  
603 dissipation (Montgomery and Smith 2014). Additionally, eyewall updrafts facilitate vortex  
604 deepening through vertical advection of AAM (Zhang et al. 2002) and the tilting of horizontal  
605 relative vorticity filaments into the vertical direction (Chen et al. 2018). Therefore, it is likely  
606 that the drying impact of the assimilated ROMEX observations on Hurricane Fiona's 21  
607 September analyses helped to reduce the storm's over-intensification bias in HAFS forecasts  
608 initialized from those analyses.



609



610 Figure 13. (a) Control 12-h forecast of Hurricane Fiona (2022) composite radar reflectivity  
611 (shading, dBZ) valid at 00 UTC 22 Sep. Horizontal winds at 900 hPa ( $\text{m s}^{-1}$ ) are shown as  
612 vectors (see arrow scale at lower right) and their magnitudes are contoured (thin for 50 and thick  
613 for 55 and 60). (b) As in (a) but for the ROMEX experiment. (c) Same Control 12-h forecast  
614 shown in (a), but here plotting 700-400 hPa layer-averaged RH (shading, %), the 1- $\text{m s}^{-1}$  vertical  
615 velocity contour at 600 hPa, and 500-hPa horizontal wind vectors ( $\text{m s}^{-1}$ ). (d) As in (c) but for the  
616 ROMEX experiment.



617  
618 Figure 14. As in Fig. 13, but for 48-h forecasts valid at 12 UTC 23 Sep.

619 Revisiting these Control and ROMEX forecasts thirty-six hours later at 12 UTC 23  
620 September, we find more similar intensities, both in terms of their 900-hPa wind speed, which  
621 exceeds  $60 \text{ m s}^{-1}$  in the eastern quadrant (Figs. 14a and 14b), and in their  $\sim 930 \text{ hPa } P_{MIN}$  (orange



622 lines in Fig. 11a). Compared to the 12-h forecast, Fiona’s inner-core reflectivity, horizontal wind  
623 (Figs. 14a, 14b), and updraft (Figs. 14c, 14d) structures are more asymmetric. This asymmetry is  
624 influenced by increasing southwesterly 850-200 hPa VWS ahead of an upper-level trough  
625 moving off the northeastern US coast, which strengthens from 21.4 kt to 25.0 kt over the 00 UTC  
626 22 - 12 UTC 23 September period according to the NHC’s Statistical Hurricane Intensity  
627 Prediction Scheme (SHIPS; DeMaria et al. 2005) analysis. Differential advection of TC eyewall  
628 vorticity with height caused by moderate-to-strong VWS creates a more favorable (unfavorable)  
629 environment for deep convection on the vortex’s downshear (upshear) side (DeMaria 1996;  
630 Jones 1995; Chen et al. 2018; Ryglicki et al. 2018), which explains the asymmetries shown in  
631 Fig. 14. Although Fiona is currently near peak intensity, the storm begins weakening soon  
632 thereafter (Fig. 11), likely due to downshear displacement of its midlevel warm core as the  
633 vortex starts to tilt (Frank and Ritchie 2001) and the entrainment of midlevel dry air (Simpson  
634 and Riehl 1958; Cram et al. 2007) lurking in the upshear (southwestern) quadrant (Figs. 14c,  
635 14d). The results shown in Fig. 14 suggest that beginning around 12 UTC 23 September, the  
636 environmental VWS becomes an increasingly important driver of Fiona’s intensity and structure,  
637 outweighing the impact of subtle changes in the analysis of the water vapor field. Like TC  
638 steering flows, environmental VWS is mostly driven by larger-scale wind fields surrounding the  
639 storm, which are not affected by data assimilation in HAFS (Section 2b). Therefore, it is not  
640 surprising to see smaller differences between the Control and ROMEX forecasts during this later  
641 period of Fiona’s lifecycle when VWS becomes stronger (Fig. 11).

## 642 **6. Summary and Conclusions**

643 This study evaluated the impacts of assimilating the ROMEX GNSS RO bending  
644 angle dataset on 84 HAFS regional model forecasts for four 2022 Atlantic hurricanes. The  
645 ROMEX dataset contains about 20,000 daily global commercial RO profiles from Spire and  
646 PlanetIQ, processed by EUMETSAT, in addition to government-owned RO data. Spire provided  
647 the bulk of the ROMEX observations – about 16750 per day globally. While Spire data are  
648 known to have a lower signal-to-noise ratio than COSMIC-2, their observation error standard  
649 deviation, derived from HAFS O-B and O-A statistics, was found to be smaller than that of  
650 COSMIC-2 in most layers of the lower-to-middle troposphere. HAFS assimilated RO  
651 observations that passed QC checks within its  $\sim 12^\circ \times 12^\circ$  TC-following domain.



652           Compared to a Control experiment using only the government-owned RO dataset  
653 containing about 7,000 daily global profiles, ROMEX assimilation yielded a modest ~ 5-15%  
654 relative skill improvement in  $P_{MIN}$  absolute intensity forecast errors through  $t = 36$  h, with mixed  
655 results at longer lead times. Additionally, ROMEX assimilation nearly eliminated a ~ 2-3 hPa  
656  $P_{MIN}$  over-intensification bias in medium-to-long range forecasts. While short-range Control  
657 forecasts had a ~ 0.5 g kg<sup>-1</sup> lower-tropospheric dry bias relative to aircraft reconnaissance  
658 mission dropsondes and ERA5, this dry bias was slightly stronger in ROMEX forecasts,  
659 consistent with the marginally weaker ROMEX-forecast storms. Notably, ROMEX assimilation  
660 reduced a large fraction of Control's persistent 10-20 hPa  $P_{MIN}$  over-intensification bias in short-  
661 to-medium range forecasts of Hurricane Fiona (2022) initialized on 21 and 22 September, when  
662 the TC was approaching its peak intensity. ROMEX analyses were about 0.25-1 g kg<sup>-1</sup> drier in  
663 the lower-to-middle tropospheric TC core region than those of Control during this period, which  
664 likely helped to reduce the vigor of inner-core convection modestly, as was shown for the Fiona  
665 forecasts initialized at 12 UTC on 21 September.

666           The assimilated ROMEX observations reduced Control's specific humidity RMSD  
667 measured against ERA5 by ~ 1-5% in the mid-to-upper troposphere and showed a similar  
668 temperature RMSD improvement in the upper troposphere. However, lower-tropospheric  
669 temperature RMSD was slightly degraded up to  $t = 18$  h. Additionally, ROMEX assimilation  
670 reduced the HAFS 750-950 hPa layer horizontal wind speed RMSD against dropsondes by ~ 1-2  
671 m s<sup>-1</sup>, demonstrating that the thermodynamic information provided by the additional RO  
672 observations can also improve HAFS forecast kinematic fields through cross-variate covariances  
673 in the DA system and/or dynamical adjustments during the cycled model advances. Assimilating  
674 the ROMEX data also yielded a modest  $\lesssim 5\%$  relative skill improvement in medium-range  
675 forecast TC position errors, suggesting a slight improvement in the near-storm flow environment  
676 and vortex size. Track forecast impacts were likely limited because all HAFS experiments  
677 received the same larger-scale steering flow information supplied by the operational GFS to the  
678 outer domain's initial and lateral boundary conditions.

679           Two sensitivity experiments provided further insight. The Control\_noC2 (Control  
680 without COSMIC-2 data) showed that COSMIC-2 observations marginally improved track,  
681 short-range  $P_{MIN}$  intensity, and lower-to-mid tropospheric water vapor forecasts but had small  
682 and mixed impacts on temperature forecasts. The ROMEX\_noLowlevRO experiment, which



683 rejected all RO data below the 5-km impact height, assessed the value of assimilating lower  
684 tropospheric RO data. Despite HAFS rejecting a large fraction of sub-5 km RO observations,  
685 those assimilated in ROMEX contributed to  $\geq 50\%$  of its  $P_{MIN}$  relative skill improvement over  
686 Control in short-range forecasts. Although removal of the sub-5 km RO observations alleviated  
687 the modest ROMEX temperature and water vapor RMSD degradations against ERA5 found in  
688 the lower troposphere, it also largely eliminated ROMEX's water vapor RMSD improvements  
689 over a deeper layer between 800 and 400 hPa.

690 In conclusion, this study demonstrates that nearly quadrupling the volume of globally  
691 available GNSS RO data by adding commercial Spire and PlanetiQ observations has an overall  
692 beneficial impact on HAFS track,  $P_{MIN}$ , lower-tropospheric wind speed, and middle-to-upper-  
693 tropospheric temperature and water vapor forecasts for the four 2022 Atlantic TCs evaluated.  
694 However, the impacts of these assimilated commercial observations on HAFS  $P_{MIN}$  intensity  
695 varied substantially across the 84-forecast sample, with the most notable improvement ( $\geq 50\%$   
696 reduction in over-intensification bias) found in short-to-medium-range Fiona forecasts initialized  
697 on 21-22 September. Also, we should note that the statistical forecast error analysis described in  
698 Section 4 was heavily influenced by the long-lived Earl and Fiona cases, which spent most of  
699 their time as hurricanes outside the tropics. Considering the marked decrease in COSMIC-2  
700 profile density with latitude in the extratropics (Ho et al. 2020b), the incremental benefits of  
701 assimilating additional RO data may be proportionally greater outside the tropics, especially  
702 given the more uniform latitudinal distribution of sun-synchronous polar orbiting Spire and  
703 PlanetiQ satellites (Anthes et al. 2024).

704 For future work, HAFS forecast impact studies with a larger number of hurricane cases,  
705 particularly those weighted more towards the tropics, would provide a more definitive  
706 assessment of the commercial value of RO data. A major limitation of this study's data denial  
707 experiments is that HAFS, by design, only assimilates data in its inner moving nest, measuring  
708 only impacts within the TC vortex and near-storm environment. The HAFS outer domain's  
709 initial and lateral boundary conditions are downscaled from the operational GFS. Ideally,  
710 experiments would also vary the RO data assimilated into the GFS, allowing impacts from data  
711 far from the TCs to affect larger-scale steering flows or advected water vapor fields in the HAFS  
712 inner nest later in the forecast period. However, running GFS sensitivity experiments was not  
713 possible due to limited computational resources. Future improvements to the HAFS RO data



714 assimilation algorithm, such as its QC screening criteria, observation error specification, and the  
715 implementation of nonlocal bending-angle forward operators, could further enhance the benefits  
716 of assimilating commercial RO data alongside the backbone government missions.

717

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727

728 **Data Availability Statement:**

729 The HAFS simulation datasets and software used for generating the results presented in this  
730 study are stored on the NOAA Research and Development High-Performance Computing  
731 System and on University of Maryland Linux servers. These resources can be made available  
732 upon request.

733

734 **Author contributions:**

735 WM, YC, and SH designed the experiments, and WM carried them out. YC, SH, and XS  
736 coordinated with EUMETSAT in obtaining the commercial ROMEX dataset and they performed  
737 an initial GNSS RO observation quality evaluation. WM developed codes for processing and  
738 plotting the HAFS model output. WM also prepared the manuscript with contributions from all  
739 co-authors.

740

741 **Competing interests:**

742 The contact author has declared that none of the authors has any competing interests.

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