



# Aerosol Scavenging in DC3 and SEAC<sup>4</sup>RS Deep Convective Storms

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**Abstract.** Convective storms frequently occur over the central US during the late spring and summer impacting upper tropospheric composition, which in turn affects the radiative forcing of the climate system. Two important processes in deep convection are vertical transport and removal of trace gases and aerosols by microphysical scavenging. We calculate scavenging efficiencies of speciated aerosol mass concentrations based primarily on aircraft observations from the Deep Convective Clouds and Chemistry (DC3) and the Studies of Emissions, Atmospheric Composition, Clouds and Climate Coupling by Regional Surveys (SEAC<sup>4</sup>RS) field experiments combined with process-scale modeling. Sulfate and ammonium scavenging efficiencies are generally greater than 75% for all storms analyzed. Particulate nitrate scavenging efficiencies are moderate (~40%). In some cases, the particulate nitrate concentrations are larger in the storm outflow region compared to the inflow region. Further analysis shows the role of entrainment of mid-tropospheric particulate nitrate layers and lightning production of nitrogen oxides in affecting the particulate nitrate outflow concentrations. Organic aerosol scavenging efficiencies are greater than 75% in severe storms, comparable to sulfate and ammonium, but ~50% for weak and moderate storms. Production of organic acids in cloud water is shown to contribute to organic aerosol mass in the outflow regions for the mid-day storms sampled, which may explain why those storms have lower apparent scavenging efficiencies. These results, which highlight the complex interactions between dynamics, physics, and chemistry in thunderstorms, can be used by chemistry transport models as a way to evaluate convective storm processing of aerosols.

## 1 Introduction

<sup>30</sup> It is well recognized that atmospheric aerosols play an important role in climate, weather, and air quality (e.g., IPCC 2021; Seinfeld and Pandis, 2016). Thus, it is important to understand aerosol concentrations and properties, which are determined by the sources and sinks of the different types and sizes of aerosols. Sources of primary aerosols, such as dust, sea salt, primary organic aerosol (POA), and black carbon (BC), are from emissions, while secondary aerosols, such as accumulation



mode sulfate ( $\text{SO}_4^{2-}$ ), particulate nitrate ( $\text{pNO}_3$ ), and organics (SOA), are produced through chemical and physical processes.

35 Although these sources and transport of aerosols have uncertainties associated with them, reducing the uncertainty associated with the wet and dry deposition of aerosols is equally important as these processes control the lifetime of aerosols in the atmosphere (Textor et al., 2006) and by extension aerosol-related effects on radiative forcing and clouds. Global chemistry transport models (CTMs) and chemistry-climate models have shown that aerosol wet deposition is the dominant atmospheric aerosol sink (e.g., Seinfeld and Pandis, 2016). Wet deposition occurs in synoptic-scale weather systems, stratiform clouds, 40 and convective storms. To represent this important sink, regional-scale and global-scale CTMs must reasonably simulate vertical transport and scavenging of aerosols. Of the three types of precipitation systems mentioned, determining vertical transport and scavenging in convective storms is the most challenging for CTMs as they parameterize convective processes on the sub-grid scale. Here, we aim to advance our knowledge on convective cloud processing of aerosols via analysis of measurements taken in the inflow and upper troposphere outflow regions of convective storms.

45 Deep convective clouds provide an important and efficient mechanism for vertical transport and redistribution of tropospheric particles and trace gases (Dickerson et al., 1987; Yang et al., 2015). The convectively driven vertical transport of aerosols and trace gases from the atmospheric boundary layer (BL) to the upper troposphere can occur on timescales of a few minutes to an hour (Skamarock et al., 2000; Bela et al., 2018) leading to a rapid change in the abundance of aerosols and trace gases transported to the upper troposphere. As the air is lofted in convective updrafts, aerosols and soluble trace gases 50 are incorporated into the cloud particles and removed from the atmosphere via precipitation (Flossmann et al., 1985). The representation of aerosol wet scavenging in CTMs includes nucleation, impaction, and Brownian diffusion scavenging. Nucleation scavenging, also known as cloud-drop activation and in-cloud scavenging, depends on the number of cloud condensation nuclei and supersaturation of the air (Jensen et al., 1984). The conversion of cloud water to precipitating hydrometeors (rain, snow, and graupel) moves the aerosols from the cloud drops to falling precipitation resulting in wet 55 deposition (Seinfeld and Pandis, 2016). Many global and regional-scale CTMs represent this removal mechanism in two steps, first representing the cloud drop activation and tracking aerosols in cloud water, and second computing the conversion rate of aerosols in cloud water to precipitation (e.g., Abdul-Razzak and Ghan, 2000). In cases like convection, cloud drop activation on aerosols entrained into the storm above cloud base occurs. Impaction scavenging is the collection of aerosols by falling precipitation and is represented by either the continuous collection equation or by a scavenging coefficient 60 (Seinfeld and Pandis, 2016; Croft et al., 2009). Impaction scavenging is sometimes termed below-cloud scavenging in the scientific literature. Brownian diffusion also occurs between cloud particles and aerosols but is often small compared to nucleation and impaction scavenging (Flossmann et al., 1985). Aerosol wet scavenging schemes must also represent the evaporation of precipitation and therefore the transfer of aerosol components within cloud hydrometeors back to particles in the gaseous atmosphere (Seinfeld and Pandis, 2016; Mitra et al., 1992).

65 Aerosol wet scavenging is sensitive to the approaches used to represent this process and the grid resolution used in models. Gong et al. (2011) showed that particulate matter (PM) concentrations are strongly affected by the choice of aerosol activation schemes and moderately affected by the choice of scavenging coefficients for below-cloud scavenging of aerosols.



On the other hand, Jones et al. (2022) found that the choice of below-cloud scavenging schemes caused substantial changes in accumulation-mode dust lifetime (from 5.4 to 44 days). Schill et al. (2020) suggested that hydrophobic aerosol can be 70 removed within a cloud via impaction scavenging, which improves model-measurement agreement of aerosol concentrations. Yu et al. (2019) also found improved model-measurement agreement when cloud drop activation on aerosols entrained into convection above cloud base was included in their CTM aerosol wet scavenging scheme. The uncertainties associated with aerosol scavenging also depend on how accurately cloud and convective physical and dynamical processes are represented in models, indicating a need for additional analysis of the role of this important sink in global and regional- 75 scale models.

Aqueous-phase chemistry in cloud and rain drops can also increase aerosol mass concentrations (Hegg and Hobbs, 1981; 1982; Ervens et al., 2011). Conversion of aqueous-phase sulfur dioxide ( $\text{SO}_2$ ) forms  $\text{SO}_4^{2-}$ , enhancing its mass concentration (Seinfeld and Pandis, 2016). As  $\text{SO}_4^{2-}$  production affects the drop acidity,  $\text{NH}_4^+$  and  $\text{pNO}_3$  concentrations in cloud water can change in response to the acidity change (Zheng et al., 2023). Further,  $\text{pNO}_3$  and  $\text{NH}_4^+$  concentrations within the cloud drops 80 can increase from dissolution and subsequent dissociation of nitric acid ( $\text{HNO}_3$ ) or organic nitrate gases and ammonia ( $\text{NH}_3$ ). Formation in cloud water of SOA from aqueous oxidation of aldehydes and carboxylic acids and from aqueous epoxide chemistry is less well characterized because of the non-linear organic aqueous chemistry (e.g., McNeill, 2015; Tsui et al., 2019; Ervens et al., 2011; Blando and Turpin, 2000).

Previous studies have estimated aerosol wet scavenging efficiencies in various types of clouds. Since nucleation (or in- 85 cloud) scavenging is often the dominant scavenging process (Ohata et al., 2016), comparisons of aerosol concentrations measured in the interstitial cloud air to those measured before a cloud or fog event or in the inflow region can be used to estimate scavenging (e.g., Noone et al., 1992). Similarly, comparisons of cloud water residual with interstitial aerosol concentrations can be used. Analyses of aerosol concentrations in the inflow and outflow regions of clouds have also 90 estimated aerosol scavenging efficiencies (Hegg et al., 1984; Yang et al., 2015; Hilario et al., 2025). The scavenging efficiencies for aerosol  $\text{SO}_4^{2-}$ ,  $\text{NH}_4^+$ , and  $\text{pNO}_3$  generally ranged from 55 to 85%. Organic aerosol scavenging efficiencies have been estimated only recently (Yang et al., 2015; Hilario et al., 2025). Yang et al. (2015) found 80-84% scavenging for 95 organic aerosols by a severe thunderstorm in Oklahoma, while Hilario et al. (2025) found 53-60% scavenging in shallow to moderate marine tropical convection near the Philippines. These previous studies each focused on a limited number of one to three case studies. Extending the analysis to several more convective storms as performed in this study should provide a more robust quantification of aerosol scavenging.

In this paper we quantify vertical transport and scavenging of aerosols through analysis of ten deep convective storms sampled over the central United States. The analysis uses a variety of measurements primarily obtained aboard the NASA DC-8 aircraft but also includes ground-based radar data and radiosonde data. These data are detailed in section 2. We next 100 describe the analysis method, which employs an entrainment model (section 3). The cases investigated, described in section 4, span a range of convective types from severe convection to moderate and airmass storms. Before presenting estimates of scavenging efficiencies, we discuss the vertical profiles in clear air for the cases studied (section 5), as they are a key



component for the scavenging efficiency calculation. In the Results section, we show the scavenging efficiencies derived for each case for  $\text{SO}_4^{2-}$ ,  $\text{NH}_4^+$ ,  $\text{pNO}_3$ , and organic aerosol (OA). We discuss reasons for the lower  $\text{pNO}_3$  scavenging efficiencies, including the role of particulate organic nitrates, a mid-troposphere  $\text{pNO}_3$  layer that is not as pronounced for  $\text{SO}_4^{2-}$  or  $\text{NH}_4^+$ , and lightning-generated nitrogen oxides ( $\text{NO}_x = \text{NO} + \text{NO}_2$ ) forming  $\text{HNO}_3$  and its subsequent partitioning onto aerosols in the upper troposphere convective outflow region. We also explore whether aqueous phase chemistry affects the OA scavenging efficiencies in the Southeast United States.

## 2 Field measurements

Measurements are analyzed from the NSF/NASA Deep Convective Clouds and Chemistry (DC3; Barth et al., 2015) and the NASA Studies of Emissions and Atmospheric Composition, Clouds and Climate Coupling by Regional Surveys (SEAC<sup>4</sup>RS; Toon et al., 2016) field experiments. The DC3 field campaign investigated the impact of deep, mid-latitude continental convective clouds, including their dynamical, physical, and lightning processes, on upper tropospheric composition and chemistry (Barth et al., 2015). The DC3 field campaign sampled storms in northeast Colorado and southwest Nebraska, central Oklahoma, and northern Alabama as well as a mesoscale convective system (MCS) occurring in the Missouri-Arkansas-Mississippi area. The campaign utilized two aircraft platforms (NASA DC-8 and NSF/NCAR GV) to sample inflow, outflow, and clear sky composition as well as extensive ground-based observations to characterize the morphology, kinematics, and lightning activity of the storms. For this analysis, composition measurements from only the NASA DC-8 are analyzed as this aircraft hosted several instruments measuring the concentrations and properties of aerosols.

The SEAC<sup>4</sup>RS campaign (Toon et al., 2016) included the goal of determining how pollutant emissions are redistributed via deep convection throughout the troposphere to understand how vertical transport modifies upper troposphere chemistry and composition. To achieve this goal, the NASA DC-8 sampled the inflow region and near-cloud-top region of convective storms occurring over the southern United States. The NASA DC-8 instruments used in this analysis are the same for both the SEAC<sup>4</sup>RS and DC3 field campaigns.

### 2.1 Aircraft measurements

The NASA DC-8 aircraft measurements used in the aerosol scavenging analysis (Table S1, which also includes acronym definitions) include passive trace gases, such as carbon monoxide (CO; DACOM instrument, Sachse et al., 1987), carbon dioxide ( $\text{CO}_2$ ; AVOCET instrument, Vay et al., 2011), n-, i-butane and n-, i-pentane, and other alkanes and alkenes (PTR-MS and WAS instruments, de Gouw and Warneke, 2007; Simpson et al., 2011), to determine both the connectivity between the BL and outflow air masses (using i- to n- ratios of butane and pentane) and the entrainment rate for the storm (Fried et al., 2016; Barth et al., 2016, Cuchiara et al., 2020). The wind components, temperature, pressure, and GPS altitude (MMS instrument, Chan et al., 1998) are used to provide environmental information for the analysis. Liquid or ice water content (FCDP and 2D-S instruments, Lawson et al., 2011) is used to determine when the DC-8 was in or out of cloud. Nitric acid



( $\text{HNO}_3$ ; CIT-CIMS instrument) is used for examining total inorganic nitrate scavenging. While  $\text{SO}_2$  was also examined, its measured values in the outflow or upper troposphere clear sky were reported as missing values for the cases studied, 135 preventing any calculations of total sulfur scavenging. More information on the use of these data is given in Barth et al. (2016) and Cuchiara et al. (2020).

In describing each storm case, several trace gases and aerosols were used to characterize the BL chemical environment. Isoprene and toluene (PTR-MS and WAS instruments) characterized the influence of biogenic and anthropogenic sources on the BL composition. The dry aerosol extinction (from the Langley Aerosol Research Group Experiment TSI 3563 integrating 140 nephelometer, Wagner et al., 2015) for accumulation and coarse aerosols characterized the aerosol abundance (Barth et al., 2015) in the BL, while the OA fraction of particulate matter at  $<1 \mu\text{m}$  in size ( $\text{PM}_1$ ) gave information on the composition of the aerosols.  $\text{PM}_1$  is calculated as the sum of the aerosol mass spectrometer (AMS, DeCarlo et al., 2006; Guo et al., 2021) aerosol concentrations plus the HD-SP2 BC concentration (Schwarz et al., 2013). Other trace gases were used to remove influences from the stratosphere or biomass burning. The CO to ozone ( $\text{O}_3$ ; CSD CL instrument, Ryerson et al., 2000; 145 Pollack et al., 2011) ratios were used to filter out stratospheric influence. The influence of biomass burning air masses was removed (or included) based on concentrations of hydrogen cyanide (HCN; CIT-CIMS, Crounse et al., 2006) and acetonitrile ( $\text{CH}_3\text{CN}$ ; PTR-MS). Aerosol number concentrations (SMPS instrument, Wang and Flagan, 1993), hydroxyl radical ( $\text{OH}$ ; ATHOS instrument, Faloona et al., 2004), and peroxy nitrates (MPN, ANs, PNs; TD-LIF instrument, Nault et al., 2015) were also examined for a few specific storm cases.

150 Aerosol mass concentrations of  $\text{SO}_4^{2-}$ ,  $\text{NH}_4^+$ ,  $\text{pNO}_3$ , and OA reported by the University of Colorado aircraft AMS (Canagaratna et al., 2007, DeCarlo et al., 2006) are analyzed in this work to derive scavenging efficiencies. The AMS chloride measurements are not discussed here because the chloride concentrations were negligible compared to the other 155 AMS constituents measured and often at or below the detection limit. AMS 1 Hz detection limits during DC3 were generally in line with the ones reported for the same instrument in Guo et al. (2021) despite significant differences in the data acquisition setup, while for SEAC<sup>4</sup>RS they were about two times higher, mostly due to the much more polluted conditions during that campaign. These detection limits were rigorously propagated for each storm sampling and data were flagged when average concentrations were below these detection limits, resulting in 100% scavenging efficiencies for those cases. This treatment had almost no impact on the DC3 cases discussed here, but limited the analysis of some SEAC<sup>4</sup>RS storm samplings since the outflow intercept time periods were generally short ( $< 1$  minute). Examination of the DC3 data showed 160 that the AMS was insensitive to most artifacts associated with ice particle shattering when there was sufficient signal-to-noise (Yang et al., 2015). While the AMS samples  $\text{PM}_1$  (see Guo et al., 2021 for a detailed description of  $\text{PM}_1$  for these campaigns), the scavenging efficiencies determined here should be representative for all accumulation mode aerosols as the convective clouds have high supersaturations that should activate hygroscopic aerosols at sizes  $< 1 \mu\text{m}$ .

The AMS-reported  $\text{pNO}_3$  includes both organic ( $\text{pRONO}_2$ ) and inorganic ( $\text{NO}_3^-$ ) nitrates (Day et al., 2022; Farmer et al., 165 2010). In this paper, we report separate efficiencies for both nitrate forms for cases where  $\text{pRONO}_2$  or  $\text{NO}_3^-$  in both inflow and outflow were above the detection limit. While AMS-reported  $\text{SO}_4^{2-}$  can suffer from similar organic interferences



(Schueneman et al., 2021; Chen et al., 2019) that are harder to quantify,  $\text{SO}_4^{2-}$  scavenging efficiencies reported here should be considered for inorganic  $\text{SO}_4^{2-}$  only based on the agreement of the AMS with the SAGA MC-IC instrument (Dibb et al., 2003). The AMS data have been reprocessed for this work to incorporate processing refinements used in the analysis of more 170 recent aircraft campaigns. This includes some features critical for this work, such as additional zero corrections and a refined p $\text{RONO}_2$  apportionment.

## 2.2 Thermodynamic calculations with E-AIM

Significant aerosol acidity gradients typically exist between the BL, the free troposphere and convective outflow (Nault et al., 2021). This can impact the partitioning of  $\text{NH}_4^+$  and  $\text{NO}_3^-$  between the gas and the aerosol phase (Guo et al., 2016; Pye et 175 al., 2020) and hence cause uncertainties in convective transport efficiencies if one assumes a non-volatile aerosol (Yang et al., 2015). To quantify these possible biases, aerosol pH and nitrate partitioning factors are calculated using the E-AIM Model IV (Clegg et al., 1998; Friese and Ebel 2010) for the AMS aerosol data (using only apportioned  $\text{NO}_3^-$ ) and gas-phase  $\text{HNO}_3$  from the CIT-CIMS instrument, respectively, using the AMATI (Ambient Aerosol Thermodynamic calculator in Igor) package (Campuzano-Jost et al., 2021). Since no in-situ  $\text{NH}_3$  data are available for either DC3 or SEAC $^4$ RS, the same 180 iterative approach as in Nault et al. (2021) was used to estimate  $\text{NH}_3$  from the other inputs. This approach works well in acidic environments where  $\text{HNO}_3$  partitioning is mostly controlling the pH (Pye et al., 2020; Li et al., 2024), which applies to most of the data reported here. Only the inorganic nitrate aerosol fractions  $\text{NO}_3^- / (\text{NO}_3^- + \text{HNO}_3)$  in DC3 convective outflow regions are discussed in the results, as  $\text{SO}_4^{2-}$  and  $\text{NH}_4^+$  are not volatile (Seinfeld and Pandis, 2016).

## 2.3 Ground-based measurements

185 For both DC3 and SEAC $^4$ RS, the National Weather Service (NWS) Next Generation Weather Radar (NEXRAD) reflectivity is used in the analysis. The S-band data from multiple radars are composited into a 3-d product following the gridded NEXRAD algorithm (GridRad v3.1) described by Homeyer and Bowman (2017). Measurements of radar reflectivity are used to identify time periods when the aircraft was in the inflow and outflow regions of the storm. The NEXRAD data also provide storm characteristics, such as the maximum radar reflectivity in a column and storm height of the 20 dBZ level. 190 Radiosondes are analyzed to obtain information on the thermodynamic environment of the storm. During DC3, radiosondes were launched near the storms from mobile facilities, including the NCAR Mobile Integrated Sounding System for Colorado storms and the NOAA/NSSL mobile sounding system. One DC3 case also utilized the NWS operational radiosonde. For SEAC $^4$ RS, the NWS operational radiosondes are used as well as analysis of DC-8 aircraft vertical profiles in clear air near the storms.



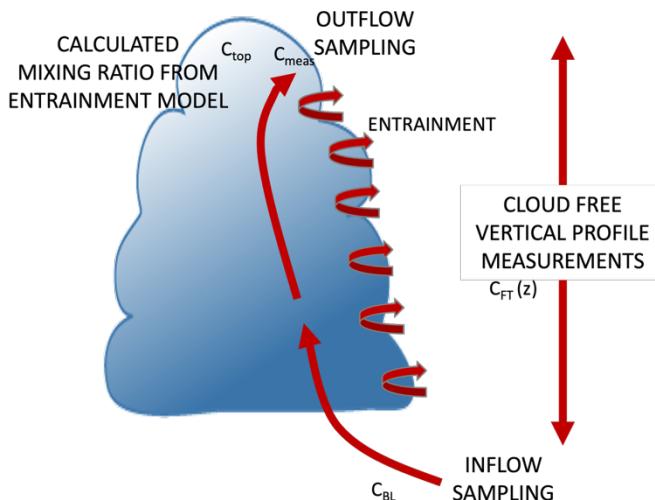
### 195 3 Determination of scavenging efficiency

The observational analysis for determining scavenging efficiency is a multistep process using an entrainment model that simply represents the mixing of an air parcel with the environment as it is lofted from cloud base to cloud top. This methodology extends the work of Cohan et al. (1999), Borbon et al. (2012), and Yang et al. (2015) from a two-, three-, and four-layer entrainment model approach, respectively, to a 7–10-layer (depending on the height of the storm) entrainment model that uses background air vertical profiles with improved vertical resolution. By having 1-km altitude bins, changes in the vertical distributions of the passive trace gas and aerosol species are better represented.

200 By sampling the composition of the air in the storm inflow, background free troposphere, and storm outflow regions (Fig. 1), entrainment rate can be estimated using passive trace gases that are insoluble and whose chemical lifetime is much longer than the transport time from cloud base to outflow sampling region. Mathematically, the entrainment rate  $\alpha$  (fraction  $\text{km}^{-1}$ ) of background free troposphere air can be determined from

$$C_{(k)} = (1 - \alpha)C_{(k-1)} + \alpha C_{FT(k)}, k = 1, \dots, N \quad (1)$$

where  $C$  is the mixing ratio at altitude bin  $k$  of the passive trace gas being lifted from cloud base ( $k=1$ ) to cloud top ( $k=N$ ), and  $C_{FT}$  is the passive trace gas mixing ratio in the free troposphere and varies with altitude. The values of  $k$  at the cloud base and top depend on the storm sampled. Cloud bases are 1.7–2.0 km MSL for Colorado storms, 1.0–1.3 km MSL for Oklahoma storms, and < 1.0 km MSL for SEAC<sup>4</sup>RS storms. Outflow heights are typically 10–12 km MSL, but two cases had outflow heights at ~8 km MSL (Table S2). In some cases, the outflow measurement is taken downwind from the top of the updraft but within the storm anvil because the aircraft did not fly into the cloud top for safety reasons. The entrainment rate can be found through an iterative process by integrating the equation, every 1 km, from cloud base to cloud top. When the cloud-top mixing ratio ( $C_{top}$ ) of the passive trace gas determined by the equation equals the aircraft-measured cloud-top passive trace 215 gas mixing ratio ( $C_{meas}$ ), the entrainment rate is found. Using the same analysis scripts by Barth et al. (2016), *n*-butane, *i*-butane, *n*-pentane, and *i*-pentane are used as the passive trace gases for most DC3 storms. These non-methane hydrocarbons have similarly shaped vertical profiles, which give consistent estimates of entrainment rate. The average entrainment rate derived from the four non-methane hydrocarbons is used to calculate the scavenging efficiency. For the 2 June 2012 case, CO is used as the passive trace gas. For the SEAC<sup>4</sup>RS storms, the passive trace gases employed are from Cuchiara et al. 220 (2020) and Cuchiara et al. (2023). CO and CO<sub>2</sub> are the passive trace gases for the 2 September 2013 storms, while CO<sub>2</sub> is the passive trace gas for the 18 September 2013 storms. More details on the entrainment rate calculations are given in Barth et al. (2016), Fried et al. (2016), Cuchiara et al. (2020), and Cuchiara et al. (2023).



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Figure 1. Schematic of airflow in a convective storm and sampling regions of the aircraft measurements to illustrate how the entrainment rate calculation is determined.  $C_{BL}$ ,  $C_{FT}(z)$ , and  $C_{meas}$  are aircraft sampled mixing ratios in the boundary layer, free troposphere, and upper troposphere outflow, respectively.  $C_{top}$  is the cloud top mixing ratio calculated by the entrainment model. The turbulence in the convection creates mixing throughout the width of the cloud.

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Using the calculated entrainment rate (Table S2), the same equation can be applied to each aerosol species,  $Y$ , to determine their concentrations at the cloud top if only transport and entrainment affected the concentration. The scavenging efficiency (SE, %) of the aerosol species is determined by simply subtracting the measured concentration of the aerosol species ( $Y_{meas}$ ) from the calculated cloud-top aerosol concentration ( $Y_{top}$ ) determined by the entrainment model and dividing by the 235 calculated cloud-top value ( $Y_{top}$ ):

$$SE = 100 \times \frac{Y_{top} - Y_{meas}}{Y_{top}} \quad (2)$$

The inflow and outflow time periods, listed in Table S2, were identified previously by Barth et al. (2016) and Cuchiara et al. 240 (2020; 2023). The inflow and outflow regions are identified from flight segments in proximity to the radar reflectivity data. For the inflow region, the aircraft horizontal wind direction are used to confirm that sampled BL air is flowing towards the storm, while outflow time periods are determined by the horizontal winds, chemical signatures of hydrocarbons and CO, and ice water content (IWC). Concentrations of  $\text{SO}_4^{2-}$ ,  $\text{NH}_4^+$ ,  $\text{pNO}_3$ , and OA measured by the AMS in the inflow and outflow regions are provided in Table S3. To ensure that the inflow and outflow time periods are connected, our previous work 245 (Barth et al., 2016, Fried et al., 2016, Cuchiara et al., 2020, and Cuchiara et al., 2023) used ratios of *i*- to *n*-butane and *i*- to *n*-pentane to confirm that the ratios did not change substantially.



The clear air vertical profiles are found by filtering the aircraft data to liquid plus ice water content  $< 0.001 \text{ g kg}^{-1}$ ,  $\text{O}_3/\text{CO} < 1.25$  (to remove stratospheric air mixing into the troposphere), and a latitude-longitude region near the storm. In some storm cases, a time period is also specified to ensure the background air was near the storm of interest or remove impacts of smoke plumes also sampled by the plane but not ingested by the storm.

This observational analysis methodology has successfully been used in our previous studies (Barth et al., 2016; Fried et al., 2016; Cuchiara et al., 2020; Cuchiara et al., 2023), but for determining scavenging efficiencies of formaldehyde, hydrogen peroxide, and methyl hydrogen peroxide. Uncertainties in this approach for calculating scavenging efficiencies arise when other processes affect the aerosol concentration. Production of sulfate and oxalate via aqueous-phase chemistry can lead to higher sulfate and organic aerosol mass concentrations in the outflow region resulting in a lower scavenging efficiency estimate. Production of  $\text{NO}_x$  from lightning will subsequently form  $\text{HNO}_3$  that partitions onto the aerosols, increasing  $\text{NO}_3^-$  aerosol mass concentrations in the outflow region and reducing  $\text{NO}_3^-$  and  $\text{pNO}_3^-$  scavenging efficiency estimates. In addition, the entrainment model relies on vertical profiles of the background air to have a similar shape as that of the passive tracer used to determine the entrainment rate. If the aerosol vertical profile exhibits an anomalous enhancement in the mid to upper troposphere, then the aerosol scavenging efficiency estimate can be reduced because of entraining higher aerosol concentrations. When presenting the scavenging efficiency results below, these other processes are discussed.

#### 4 Cloud chemistry parcel model

A prescribed cloud parcel model with gas and aqueous phase chemistry, described in Cuchiara et al. (2020), is used to examine the potential role of other processes on trace gas mixing ratios at cloud top. The cloud chemistry parcel model calculations focus only on the chemical transformations and do not interact with the entrainment model described above. Thus, the cloud chemistry parcel model calculations provide an indication of how much trace gas mixing ratios are altered, which, in turn, affect the aerosol concentrations in the convective outflow and the estimated aerosol scavenging efficiencies. The simulation and analyses are performed for only the 2 September 2013 SEAC<sup>4</sup>RS case (Cuchiara et al., 2020), for which additional information from a WRF-chem can be used. The parcel model uses prescribed liquid water content, temperature, and air density that are taken from a WRF-Chem simulation (Cuchiara et al., 2020). The parcel model simulation begins at 15:00 local time and at an altitude of 1.2 km mean sea level (MSL;  $p = 883 \text{ hPa}$ ,  $T = 295.8 \text{ K}$ ) and spins up the gas-phase chemistry at this location for a 10-minute period so that short-lived oxidants (e.g., OH and  $\text{HO}_2$ ) have typical concentrations. The parcel is then lofted at a constant vertical velocity until 11.5 km MSL. Initial gas-phase mixing ratios are taken from the observed 2 September 2013 BL mixing ratios (Cuchiara et al., 2020) or from WRF-Chem predicted values when observations are not available. A constant “lightning-generated” emission source of NO is applied between 262.15 K and 233.15 K so that  $\sim 1 \text{ ppbv}$   $\text{NO}_x$  is produced at cloud top, matching the 0.8-1.2 ppbv average enhanced  $\text{NO}_x$  sampled for this storm. The parcel model is run with two different assumed lightning-produced NO (LNO) emission profiles. For a constant updraft of 5 and  $2 \text{ m s}^{-1}$ , LNO emissions are set to 15 and 8 pptv per 10-second time step, respectively. The gas and aqueous



chemistry in the parcel model (Li et al., 2017; Barth et al., 2021) reasonably represents organic acid formation compared to  
280 other cloud chemistry models for a relatively clean rural location (Barth et al., 2021). The chemistry mechanism does not include any gas-phase production of organic acids, only aqueous-phase chemistry involving oxidation of dissolved aldehydes by OH. While formic acid (HCOOH) and acetic acid (CH<sub>3</sub>COOH) have initial gas-phase mixing ratios of 565 pptv and 224 pptv, respectively, the other organic acids (acetic acid, glycolic acid, glyoxylic acid, pyruvic acid, and oxalic acid) are zero at the initial time.

285 **5 Description of the case studies**

As noted in Section 3, analyses of several storms observed during DC3 and SEAC<sup>4</sup>RS have already been performed for trace gas wet removal (Barth et al., 2016; Fried et al., 2016; Bela et al., 2016, 2018; Cuchiara et al., 2020; Cuchiara et al., 2023). Here and in the supplement, we summarize the characteristics of these storms per these previous studies, to provide a sense of the range of conditions and variability of the storms studied. Scavenging efficiencies are calculated for four storms in the  
290 northeast Colorado – southwest Nebraska region and two storms in Oklahoma during DC3 (Table 1; Text S1). For SEAC<sup>4</sup>RS, the analysis is performed for two storms sampled in Mississippi on 2 September 2013 and two storms sampled on 18 September 2013, one over the Gulf of Mexico and the other south of San Antonio, Texas. The storms differ in type, ranging from airmass to multicell to severe supercell storms. The maximum column radar reflectivity (Fig. S1) during the outflow time periods gives a sense of the storm severity along with the maximum height of the 20 dBZ reflectivity and the  
295 severe weather threat (SWEAT) index (Table 1). The SWEAT index, which combines low-level moisture, instability, wind speeds, and warm air advection using values at 850 hPa and 500 hPa, is often used by weather forecasters to predict the potential for severe storms (NWS Environmental Parameters and Indices, 2025). A SWEAT index >300 indicates the potential for severe convection that could cause damaging winds and hail, while a SWEAT index >400 indicates the potential for tornado formation. The SWEAT Index for each storm is calculated from radiosonde data. For DC3 storms, the  
300 sondes launched near the time and location of the storm as part of the field campaign are used for all cases except for 18 May 2012, which used the North Platte, Nebraska 0000 UTC 19 May 2012 radiosonde that was launched and located near the sampled storm. For the SEAC<sup>4</sup>RS cases, aircraft vertical profiles in the storm vicinities are analyzed to determine the SWEAT index because the NWS routine radiosondes occurred several hours before the storms formed. Storm characteristics, anthropogenic and biogenic volatile organic compound (VOC) BL signatures, BL OA fraction ( $f(OA)$ ), and  
305 aerosol dry extinction measured at 532 nm wavelength of these cases are summarized in the supplement for each case (Barth et al., 2015). The PM<sub>1</sub> is based on the AMS inorganic and organic aerosol plus the SP2 black carbon mass concentration, while  $f(OA)$  is the OA mass concentration divided by PM<sub>1</sub>.

310 Table 1. Storm cases analyzed for aerosol mass concentration wet scavenging.



Date	Location	SWEAT Index <sup>a</sup>	Maximum Reflectivity (dBZ)	Maximum Height of 20 dBZ Level (km, MSL)
<i>DC3</i>				
18 May 2012	SW Nebraska	283	58	14
29 May 2012	N Oklahoma	422	69	18
02 June 2012	NE Colorado	256	66	15
06 June 2012	NE Colorado	296	69	15
16 June 2012	Oklahoma	360	64	15
22 June 2012	SW Nebraska	442	72	18
<i>SEAC<sup>4</sup>RS</i>				
02 Sept 2013	Mississippi	225	45	8
02 Sept 2013	Mississippi	209	55	13
18 Sept 2013	Gulf of Mexico	242	50	8
18 Sept 2013	Texas	257	46	8

<sup>a</sup>SWEAT Index = 12 [Td(850 hPa)] + 20 (TT - 49) + 2 (f8) + f5 + 125 (S + 0.2), where Td is the dewpoint temperature (°C) at 850 hPa, TT is the total totals index value (TT = T[850 hPa] + Td[850 hPa] - 2\*T[500 hPa], where T is air temperature [°C]), f8 is the wind speed (kts) at 850 hPa, f5 is wind speed (kts) at 500 hPa, and S is the sine of the 500 hPa minus 850 hPa wind direction (NWS Environmental Parameters and Indices, 2025). Maximum NEXRAD reflectivity and heights of 20 dBZ levels are taken from the outflow time period of the aircraft sampling.

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## 6 Clear air vertical profiles

Clear air vertical profiles of the aerosol mass concentrations are a key part of the analysis as they are used, along with the entrainment rate, to determine how much of the aerosol mass is transported to cloud top. For six out of the ten storms analyzed, the clear air vertical aerosol profiles exhibit typical shapes of high concentrations in the BL and low concentrations 320 in the free troposphere (Fig. 2). The other four storms (18 May 2012, 2 June 2012, 6 June 2012, and 22 June 2012) show a mid-troposphere aerosol layer, most pronounced for pNO<sub>3</sub>, in the mid-troposphere (Fig. 2a, c, d, f). The four storms with the mid-troposphere aerosol layer occurred in the northeast Colorado and southwest Nebraska region. For the 18 May case, many trace gases, including CO, HCN (a biomass burning tracer), SO<sub>2</sub>, and HNO<sub>3</sub>, do not show elevated mixing ratios in the mid-troposphere, but other trace gases or aerosol characteristics do. The measurements with higher values in the mid-325 troposphere layer include aerosol number concentration, surface area, and volume concentration, CO<sub>2</sub>, OH, and peroxy nitrates. In the mid-troposphere layers, the pNO<sub>3</sub> partitioning is dominated by NO<sub>3</sub><sup>-</sup> aerosol for all four storms (Fig. S2). Results from the E-AIM model in iterative mode show that the estimate of NH<sub>3</sub> gas-phase mixing ratios did not increase in these mid-troposphere layers. Both the observed and E-AIM predicted partitioning of NO<sub>3</sub><sup>-</sup> strongly suggest that neither gradients in aerosol acidity nor meteorological factors can explain the large observed increases in inorganic aerosol nitrate in

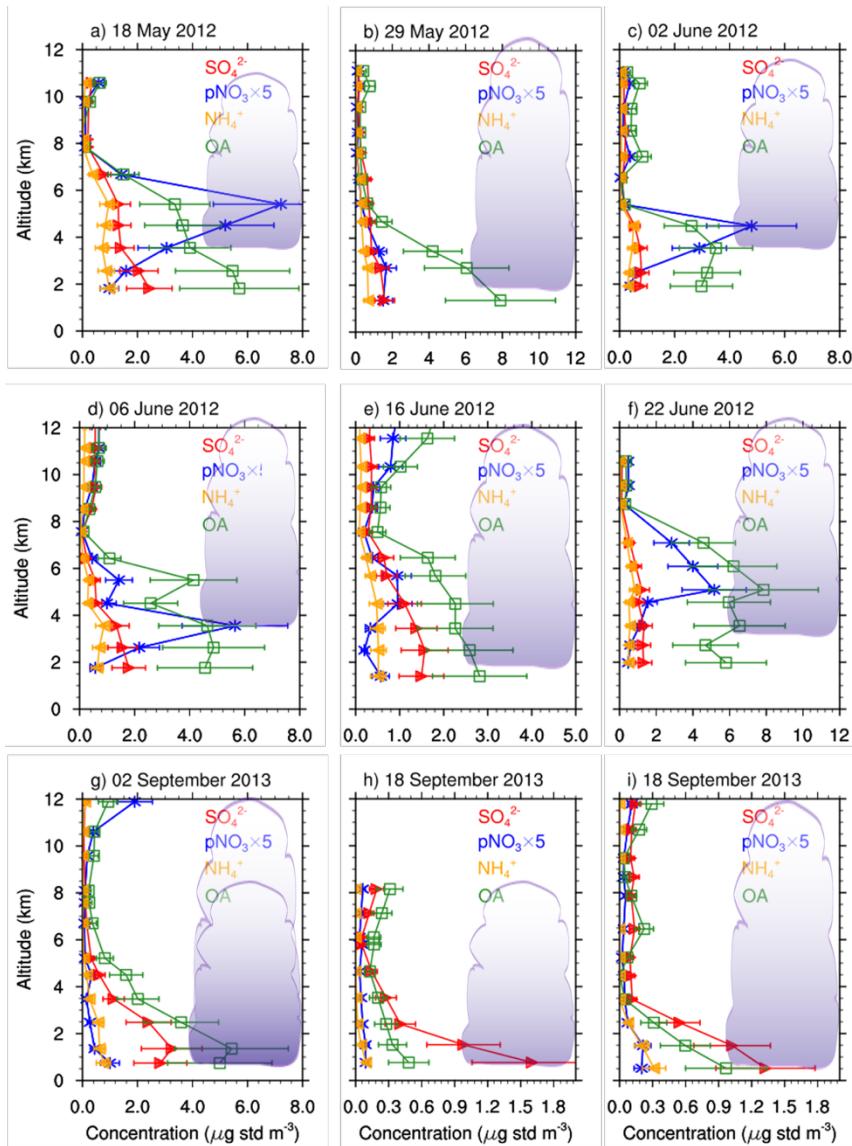


330 the mid-troposphere layers, but that rather higher total inorganic nitrate ( $\text{NO}_3^- + \text{HNO}_3$ ) is driving the shape of the altitude profiles.

The NOAA HYSPLIT model was used to generate back trajectories (Stein et al., 2015; Rolph et al., 2017) to understand potential source regions contributing to the mid-troposphere aerosol layers for the four cases in northeast Colorado and southwest Nebraska. The HYSPLIT back trajectories are initialized at aircraft locations where AMS p $\text{NO}_3$  concentrations are 335 high compared to background values. The back trajectory calculations are driven by the North American Mesoscale (NAM) model reanalysis ( $\Delta x = 12$  km) and run for 48 hours. For the 18 May, 6 June, and 22 June cases, several back trajectories are at low altitude (< 2 km AGL) in the central to southern Arizona region (Fig. S3), while the back trajectories for 2 June remain at mid-troposphere levels over the western US. On 2 June, the mid-troposphere aerosol layer may have arrived as outflow from convection upstream of the sampled storms, which are unlikely to be resolved by the NAM reanalysis. The 340 back trajectories from the southwestern US are likely influenced by desert and agricultural emissions and possibly anthropogenic emissions from Phoenix, indicating that the air is being lofted from the desert southwest over the Rocky Mountains and remaining at the mid-troposphere altitudes.

No matter the source of the mid-troposphere aerosol layers, their elevated concentrations can impact the estimates of scavenging efficiencies due to entrainment of the high concentrations into the convection. The impact of the mid-troposphere 345 aerosol layers is explored further in the next section by adjusting the clear-air vertical profiles for the four cases that had the mid-troposphere aerosol layers. Instead of using the measured clear air vertical profile, the vertical profile is adjusted to exclude the mid-troposphere layer (Fig. S4 exemplifies how this is done for the 18 May 2012 case). Using the entrainment model with the adjusted vertical profile, a new entrainment model predicted cloud top concentration is derived and used, together with the measured outflow concentration, to determine the scavenging efficiency by Eq. (2).

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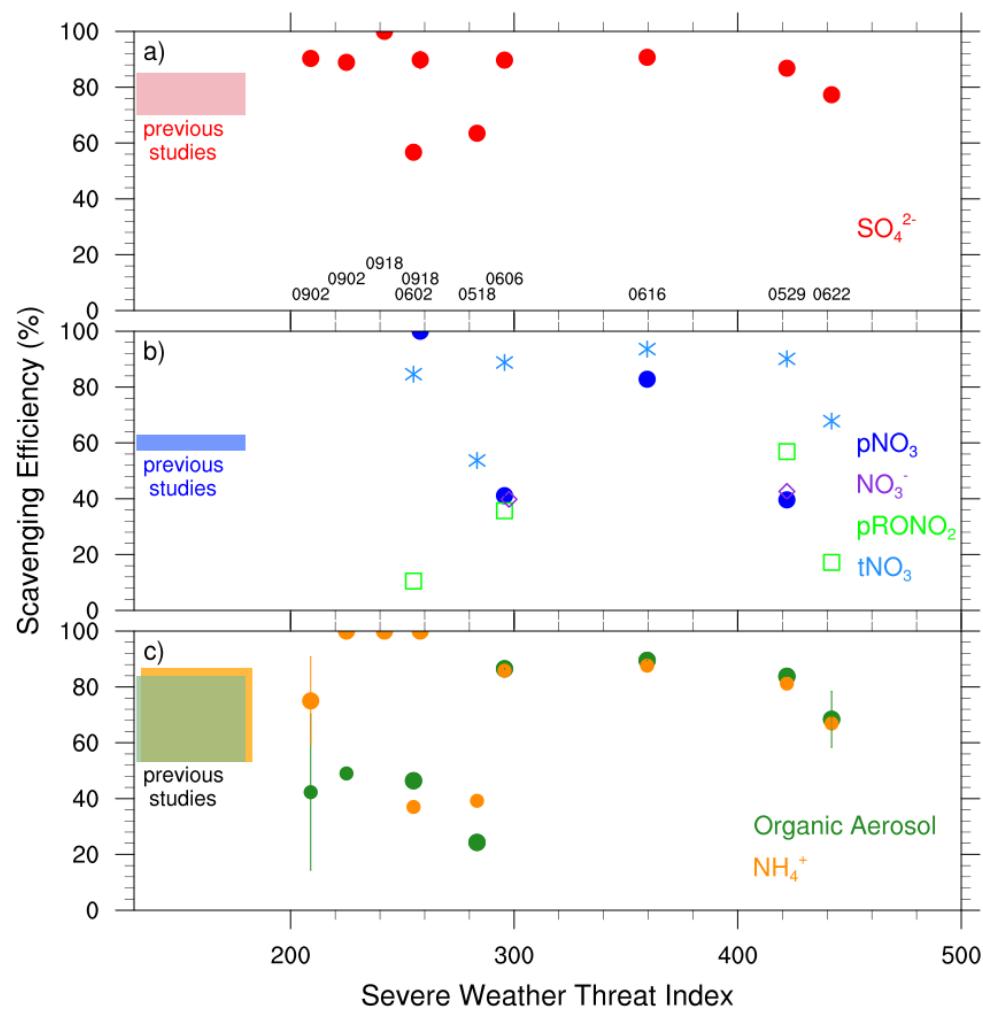


355 Figure 2. Clear air vertical profiles of sulfate (red with right arrows), nitrate (blue with asterisks), ammonium (gold with left arrows), and organic aerosol (green with squares) for a) 18 May 2012, b) 29 May 2012, c) 2 June 2012, d) 6 June 2012, e) 16 June 2012, f) 22 June 2012, g) 2 September 2013, h) 18 September 2013 over the Gulf of Mexico, and i) 18 September 2013 over South Texas. Average and standard deviations are plotted for each altitude bin, which is plotted at the average altitude of each 1-km bin. Nitrate concentrations shown are multiplied by a factor of 5 for visibility. The cloud schematic in each panel is used to locate the approximate cloud base and cloud top heights for each case. Cloud tops extending above the panel indicate that the actual cloud top was much greater than 12 km and is noted in Table 1. In g) two cloud tops are shown, ~8 km for the airmass storm and ~13 km for the multicell storm.



## 7 Aerosol scavenging efficiency

To visualize the aerosol mass scavenging efficiencies for the different convective storms, the average and standard deviation 365 scavenging efficiencies are plotted in the parameter space of the SWEAT Index (Fig. 3), providing a way for understanding the scavenging efficiency results for different strengths of convection. Table S3 lists the measured aerosol mass concentrations in the inflow and outflow regions, the calculated aerosol mass concentration at cloud top, and the scavenging efficiency for each storm.



370 Figure 3. Scavenging efficiencies for a) sulfate, b) particulate nitrate ( $\text{pNO}_3$ ; blue), and c) ammonium (orange), and organic  
 (green) aerosol mass concentrations for DC3 and SEAC<sup>4</sup>RS (filled circles) storms, plotted for each storm's SWEAT index.  
 Scavenging efficiencies for inorganic particulate nitrate ( $\text{NO}_3^-$ ), organic particulate nitrate ( $\text{pRONO}_2$ ), and total nitrate ( $\text{tNO}_3$   
 $= \text{pNO}_3 + \text{HNO}_3$ ) are shown in the middle panel as purple diamonds, green squares, and light blue asterisks, respectively.  
 The error bars seen for the 22 June 2012 and 2 September 2013 cases are standard deviations of the scavenging efficiency



375 averaged over the multiple outflow intercepts. Dates (mmdd) of each storm are noted in black in panel a). Scavenging efficiencies from previous studies are shown for comparison but do not have corresponding SWEAT indices.

## 7.1 Sulfate aerosols

The scavenging efficiencies for  $\text{SO}_4^{2-}$  aerosol mass concentrations exceed 75% for all cases except two cases which give  $\text{SO}_4^{2-}$  scavenging efficiencies of 50-60% (Fig. 3). Obtaining fairly constant  $\text{SO}_4^{2-}$  scavenging efficiencies with different 380 storm severity points to the dominant role of in-cloud scavenging (i.e. cloud drop activation) and efficiency of producing precipitation in comparison to below-cloud scavenging. Previous studies have shown that  $\text{SO}_4^{2-}$  deposition fluxes and precipitation fluxes at the surface are positively correlated (e.g., Barth et al., 1992), which would suggest lower  $\text{SO}_4^{2-}$  scavenging efficiencies for weaker convective storms, in contrast to our findings of consistently high  $\text{SO}_4^{2-}$  scavenging. The high  $\text{SO}_4^{2-}$  aerosol scavenging efficiencies agree with other previous studies for convective clouds that found  $\text{SO}_4^{2-}$  aerosol 385 scavenging efficiencies of 70-86% (Hegg et al., 1984; Hilario et al., 2025; Yang et al., 2015). Yang et al. (2015) also analyzed the 29 May 2012 DC3 storm using a 4-layer entrainment model approach, which is similar to the analysis approach in this work. They found a  $\text{SO}_4^{2-}$  aerosol scavenging efficiency of 80-84% while our analysis determined 86.8% for that storm (Table S3). Hilario et al. (2025) estimated 87-95%  $\text{SO}_4^{2-}$  scavenging efficiencies for shallow to moderate tropical convection over the west Pacific. The two cases with 50-60% scavenging efficiencies are the 18 May and 2 June DC3 cases 390 that both had a significant mid-troposphere aerosol layer (Fig. 2). The  $\text{SO}_4^{2-}$  scavenging efficiency for the 22 June case, which also has an mid-troposphere aerosol layer, has a somewhat smaller value (77%) compared to the other severe deep convection cases. By adjusting the clear air vertical profile to remove the mid-troposphere aerosol layer, the aerosol  $\text{SO}_4^{2-}$  transported to cloud top is reduced by  $0.06 \mu\text{g std m}^{-3}$  or less (where  $\text{std m}^{-3}$  refers to volume at  $T = 273 \text{ K}$  and  $p = 1013 \text{ hPa}$ ) and scavenging efficiencies are within 3% of estimated values reported in Table S3. Thus, the mid-troposphere aerosol layer 395 does not substantially affect  $\text{SO}_4^{2-}$  scavenging efficiencies. Instead, other processes, such as aqueous phase production of  $\text{SO}_4^{2-}$ , could play a role.

## 7.2 Ammonium aerosols

The  $\text{NH}_4^+$  aerosol scavenging efficiencies are >60% for most storms analyzed and 25-35% for the 18 May and 2 June DC3 400 storms (Fig. 3) which were influenced by the mid-troposphere aerosol layers (Fig. 2). Like  $\text{SO}_4^{2-}$  aerosol scavenging, the 22 June DC3 storm has a somewhat lower scavenging efficiency (67%) than the other severe storms. By adjusting the clear air vertical profile to remove the mid-troposphere aerosol layer, the  $\text{NH}_4^+$  transported to cloud top is reduced by  $0.06 \mu\text{g std m}^{-3}$  or less, reducing scavenging efficiencies because the denominator in Equation (1) is smaller. The fairly constant  $\text{NH}_4^+$  scavenging efficiencies with different storm severity suggests that in-cloud scavenging is the dominant scavenging mechanism. Previous estimates of  $\text{NH}_4^+$  aerosol scavenging efficiencies give a similar range (68-86%) as those calculated 405 here for most of the storms. Yang et al. (2015) found the  $\text{NH}_4^+$  aerosol scavenging efficiency to be 80% for the 29 May 2012 storm using their 4-layer entrainment model approach, which is very similar to the 81.2% estimated here. For tropical,



oceanic convection, Hilario et al. (2025) estimated a wide range (54-87%) of  $\text{NH}_4^+$  scavenging efficiencies. Note that the outflow  $\text{NH}_4^+$  concentrations are near zero for some DC3 and SEAC<sup>4</sup>RS storms (Table S3), which increases the relative uncertainty for those cases.

#### 410 7.3 Nitrate aerosols

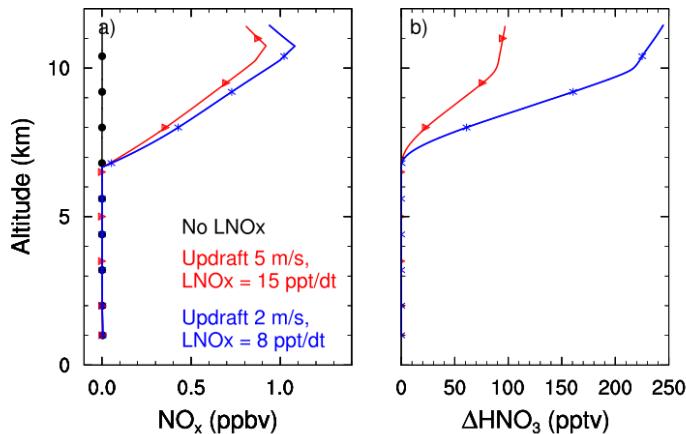
The estimated  $\text{pNO}_3$  scavenging efficiencies shown in Fig. 3b (blue markers) are for only four of the ten storms analyzed. For two storms (16 June 2012 and 18 September 2013 land convection),  $\text{pNO}_3$  scavenging efficiencies are > 80%, while the other two storms (6 June 2012 and 29 May 2012) have moderate  $\text{pNO}_3$  scavenging efficiencies (~40%). The remaining six cases have indeterminate scavenging efficiencies because the outflow  $\text{pNO}_3$  concentration is greater than the inflow concentration or the inflow and outflow concentrations are both below the detection limit (Table S3). Yang et al. (2015) found a moderate  $\text{pNO}_3$  scavenging efficiency (57%) for the 29 May DC3 case that is lower than the  $\text{SO}_4^{2-}$  and  $\text{NH}_4^+$  scavenging efficiencies yet still higher than the 39.6% determined in our analysis. The lower  $\text{pNO}_3$  scavenging efficiencies are surprising because  $\text{pNO}_3$  aerosols have hygroscopicity values similar to  $\text{SO}_4^{2-}$  and  $\text{NH}_4^+$  and are often internally mixed with  $\text{NH}_4^+$  and  $\text{SO}_4^{2-}$ . Thus, there are likely other processes causing higher outflow-to-inflow  $\text{pNO}_3$  ratios relative to those for  $\text{SO}_4^{2-}$  and  $\text{NH}_4^+$ . Yang et al. (2015) suggested that outflow  $\text{pNO}_3$  concentrations are likely affected by the partitioning between gas phase  $\text{HNO}_3$  and  $\text{pNO}_3$  in the higher acidity environment of the convective outflow. Their estimate of the total nitrate ( $\text{tNO}_3 = \text{HNO}_3(\text{g}) + \text{pNO}_3$ ) scavenging efficiency is 84%, supporting this idea. Our scavenging efficiency estimates of  $\text{tNO}_3$  in the 29 May storm is 90% (Fig. 3, light blue markers) and >85% for other storms except the 18 May and 22 June DC3 storms. We note that the low altitude  $\text{HNO}_3$  concentrations are a factor of 10 greater than  $\text{pNO}_3$  and that  $\text{HNO}_3$  does not exhibit a mid-troposphere enhancement (Fig. S2). These factors suggest our calculation of the combined scavenging efficiency is weighted toward the  $\text{HNO}_3$  scavenging, which is >85%.

Employing the mass spectral marker method (Campuzano-Jost et al., 2021) to separate  $\text{pNO}_3$  into inorganic ( $\text{NO}_3^-$ ) and organic ( $\text{pRONO}_2$ ) particulate nitrate and the E-AIM calculations (Section 2), the partitioning of inorganic nitrate between aerosol and gas phases is calculated, allowing us to quantify the  $\text{NO}_3^-$  fraction of  $\text{NO}_3^- + \text{HNO}_3$ . This fraction is small (<0.12) in DC3 inflow regions with  $\text{NO}_3^-$  concentrations <0.1  $\mu\text{g std m}^{-3}$  (Table S4). In convective outflow regions the  $\text{NO}_3^-$  fraction increases substantially. The three cases where  $\text{pNO}_3$  outflow concentrations are greater than its inflow concentrations have outflow  $\text{NO}_3^-$  fractions of 0.80-0.86. For both inflow and convective outflow regions, there is a positive correlation between the  $\text{NO}_3^-$  fraction and the  $\text{pNO}_3$  concentration (Fig. S5). Scavenging efficiencies for  $\text{NO}_3^-$  and  $\text{pRONO}_2$  are calculated only for storms where the inflow and outflow concentrations are at or above the detection limit. The scavenging efficiencies for  $\text{NO}_3^-$  match the  $\text{pNO}_3$  values for the 6 June 2012 and 29 May 2012 storms (Fig. 3, purple diamonds; Table S5). The  $\text{pRONO}_2$  scavenging efficiencies (Fig. 3, green squares) range from 11% to 57%. While the wide range of  $\text{pRONO}_2$  scavenging efficiencies causes uncertainties in drawing conclusions, the similarity between  $\text{NO}_3^-$  and  $\text{pNO}_3$  scavenging efficiencies suggest processes affecting  $\text{NO}_3^-$  are also affecting  $\text{pNO}_3$  scavenging efficiencies.



Entrainment of the mid-troposphere aerosol layer increases the calculated cloud top aerosol concentration. Consequently, the  
440 mid-troposphere aerosol layer may alter the apparent aerosol scavenging efficiency. To quantify the increase in calculated  
cloud top pNO<sub>3</sub>, the clear air vertical profile is adjusted to remove the mid-troposphere aerosol layer (Section 6). Without the  
mid-troposphere aerosol layer, the particulate nitrate transported to cloud top is reduced by 0.18 µg std m<sup>-3</sup> for the 18 May  
case, a 64% decrease, and 0.06 µg std m<sup>-3</sup> or less (30-55% decrease) for the other cases. For the 18 May, 2 June, and 22 June  
DC3 cases, the outflow pNO<sub>3</sub> concentrations are still greater than the transported cloud top pNO<sub>3</sub> concentrations. For the 6  
445 June case, the lower transported cloud top concentration causes a smaller scavenging efficiency than that reported in Table  
S2 because the denominator in Equation (1) is also smaller.

Lightning production of nitrogen oxides could be a source of aerosol nitrate as the lightning-NO<sub>x</sub> photochemically forms  
HNO<sub>3</sub>, which partitions to aerosol nitrate. Using a chemistry-climate model, Tost et al. (2017) showed that lightning-NO<sub>x</sub>  
increased nitrate aerosol concentrations by more than 50%, affecting aerosol size distributions and optical properties. Allen  
450 et al. (2012), using the CMAQ model, found that lightning-NO<sub>x</sub> production increased the wet deposition of oxidized  
nitrogen. Here, we provide an example of production of HNO<sub>3</sub> from lightning-NO<sub>x</sub> to determine the feasibility of this  
pathway in influencing the pNO<sub>3</sub> scavenging efficiency estimates. Results from a cloud chemistry parcel model that was  
analyzed to estimate the production of HNO<sub>3</sub>. This analysis is applied to the 2 September 2013 SEAC<sup>4</sup>RS case, which had  
455 moderate lightning associated with the multicell storm. Two updrafts, 5 m s<sup>-1</sup> and 2 m s<sup>-1</sup>, are prescribed with two lightning-  
NO source strengths that produce ~1 ppbv of NO<sub>x</sub> at the top of the cloud. In response to the added NO, the HNO<sub>3</sub> mixing  
ratio increases at cloud top by 100-200 pptv or 0.28-0.56 µg std m<sup>-3</sup> (Fig. 4). This increase is larger than the 40 pptv increase  
of the sum of HNO<sub>3</sub> and pNO<sub>3</sub> during the 21 June 2012 convective outflow study reported by Nault et al. (2016), who  
highlighted the multiple inorganic and organic nitrate formation pathways occurring in convective outflow regions. The 100-  
460 200 pptv increase in HNO<sub>3</sub> from a lightning-NO source indicates that outflow particulate nitrate concentrations can  
potentially exceed the inflow concentrations as found in our analysis (Table S3). As it is not possible to properly represent  
lightning NO production in the cloud chemistry parcel model and HNO<sub>3</sub> partitioning onto aerosols, these results only  
indicate the potential pNO<sub>3</sub> increase. Chemistry transport modeling that represents cloud morphology and dynamics,  
lightning NO<sub>x</sub> production, gas and aqueous phase chemistry, gas-phase HNO<sub>3</sub> partitioning onto ice, and gas-aerosol  
465 partitioning can be used in the future to further quantify the impact of lightning NO on pNO<sub>3</sub> in the upper troposphere.



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Figure 4. Results from the cloud chemistry parcel model of a) gas + aqueous NO<sub>x</sub> mixing ratio and b) change in gas + aqueous HNO<sub>3</sub> mixing ratio caused by a lightning-NO<sub>x</sub> source in the model. In a) the black line with filled circles is the NO<sub>x</sub> mixing ratio without a lightning-NO<sub>x</sub> source, while red with right triangles and blue with asterisks lines include a lightning-NO<sub>x</sub> source using two different updraft speeds; a 20 pptv per 10-second time step of NO for the 5 m s<sup>-1</sup> updraft and a 9 pptv 475 per 10-second time step for the 2 m s<sup>-1</sup> updraft. In b) the red line with right triangles is for the 20 pptv per 10-second time step lightning-NO<sub>x</sub> source and 5 m s<sup>-1</sup> updraft, while the blue with asterisks line is for 9 pptv per 10-second time step lightning-NO<sub>x</sub> source and updraft 2 m s<sup>-1</sup> speed.

#### 7.4 Organic aerosols

The scavenging efficiencies for organic aerosol mass concentrations vary from 20-90% (Fig. 3). For SWEAT indices 300 480 and greater, the OA mass concentration scavenging efficiencies are similar to SO<sub>4</sub><sup>2-</sup> and NH<sub>4</sub><sup>+</sup> scavenging efficiencies and indicate that OA is internally mixed with the more hygroscopic aerosols. Having OA internally mixed with the inorganic 485 aerosols is supported by Schroder et al. (2018) who showed O/C ratios for DC3 and SEAC<sup>4</sup>RS to be > 0.4 in their Fig. 4. Further, the severe storms have strong updrafts (>20 m s<sup>-1</sup>) resulting in high supersaturations that activate most of the CCN 490 to cloud drops.

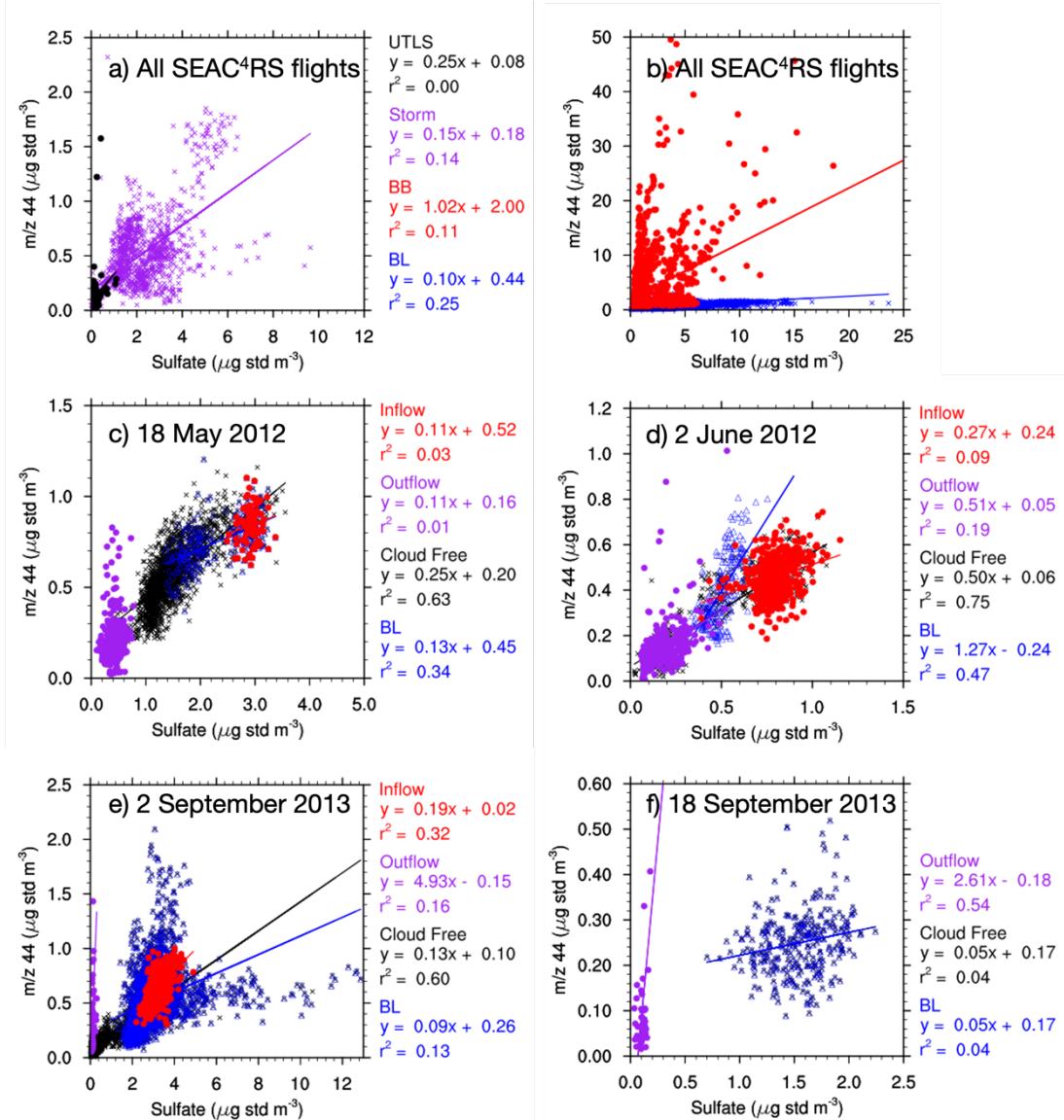
485 The OA mass scavenging efficiencies in the less severe storms are <60%, suggesting other processes, such as aerosol or cloud chemistry, could be forming SOA resulting in higher OA concentrations in the convective outflow. While formation of SOA via aerosol chemistry can contribute, the presence of cloud drops through most of the depth of deep convection is a more likely SOA formation pathway. In liquid water, organic aerosol mass can increase from the aqueous phase OH oxidation of aldehydes and monocarboxylic acids to form dicarboxylic acids (Blando and Turpin, 2000; Ervens et al., 2011). 490 Oxalic acid (HOOC-COOH) has been found to be the most abundant dicarboxylic acid in tropospheric aerosol particles (e.g., Kawamura and Sakaguchi, 1999; Ziembka et al., 2011). To assess the extent of aqueous processing, previous studies have used oxalate (the de-protonated form of oxalic acid) in combination with SO<sub>4</sub><sup>2-</sup> for various regions (Hilario et al., 2021,

Sorooshian et al., 2007; Yu et al., 2005). Here, we use the same approach as Hilario et al. (2021) to evaluate the potential role of aqueous phase chemistry on OA mass concentrations in the convective outflow of the less severe storms.

495 Hilario et al. (2021) used the SAGA filter measurements of oxalate and  $\text{SO}_4^{2-}$  concentrations that in the analyzed datasets have a time resolution of 5 minutes or longer. For comparing oxalate to  $\text{SO}_4^{2-}$  ratios in convective outflow, this time resolution is much longer than the DC-8 aircraft sampling time in some cloud outflow regions (<40 seconds). Therefore, we use the 1-s AMS data for this analysis where the  $m/z$  44 measurement represents oxalate or oxalic acid. This approximation is valid as oxalic acid has been shown to correlate strongly with and contribute a large fraction to  $m/z$  44 (Takegawa et al., 500 2007), with the rest of the signal being strongly impacted by other acids (Yatavelli et al., 2015). Furthermore, we compared all the SEAC<sup>4</sup>RS oxalate to sulfate ratios from the SAGA measurements to the AMS  $m/z$  44 to  $\text{SO}_4^{2-}$  ratios and found that the ratios have the same behavior for the two instruments in different types of air masses, indicating the AMS  $m/z$  44 to  $\text{SO}_4^{2-}$  ratios can be used to look for signatures of cloud chemistry.

We first examine the  $m/z$  44 to  $\text{SO}_4^{2-}$  ratios in different air mass regions for all SEAC<sup>4</sup>RS flights. The UTLS region is for 505 cloud free data (total water content  $< 0.001 \text{ g kg}^{-1}$ ) sampled above 8 km that also had  $\text{HCN} < 400 \text{ pptv}$  and  $\text{CH}_3\text{CN} < 150 \text{ pptv}$  to remove the influence from biomass burning (BB). The atmospheric BL region has the same criteria as the UTLS region, but for measurements sampled below 2 km altitude. Convective storm regions are where the DC-8 was sampling convective storms based on the flight descriptions in Toon et al. (2016) and with low HCN and  $\text{CH}_3\text{CN}$  mixing. BB regions are denoted by cloud free data with  $\text{HCN} > 400 \text{ pptv}$  and  $\text{CH}_3\text{CN} > 150 \text{ pptv}$  sampled near fires as described by Toon et al. 510 (2016). Similar to Hilario et al. (2021) for oxalate to  $\text{SO}_4^{2-}$  ratios, the  $m/z$  44 to  $\text{SO}_4^{2-}$  ratios for SEAC<sup>4</sup>RS flights in BB air masses are higher than those without BB influences (Fig. 5). Measurements sampled near convection have higher  $m/z$  44 to  $\text{SO}_4^{2-}$  ratios than those sampled in the BL. However, ratios in the UTLS have even higher  $m/z$  44 to  $\text{SO}_4^{2-}$  ratios.

The 18 May 2012, 2 June 2012, 2 September 2013, and 18 September 2013 cases, which are the storms with OA scavenging efficiencies  $< 60\%$  and SWEAT indices  $< 300$ , are individually analyzed. The OA clear air vertical profiles (Fig. 2) do not 515 exhibit a mid-troposphere layer, although the 2 June case does have a somewhat higher concentration ( $3.50 \mu\text{g std m}^{-3}$ ) at 3.5 km altitude compared to the  $2.97 \mu\text{g std m}^{-3}$  at 1.9 km altitude. The data are separated into BL, inflow, outflow, and cloud free regions (Fig. 5). All the cases have higher  $m/z$  44 to  $\text{SO}_4^{2-}$  ratios in convective outflow air compared to the inflow air, except for the 18 May 2012 case, which has the same ratio in both air masses. The two SEAC<sup>4</sup>RS convection cases sampled during midday show a substantial increase in  $m/z$  44 to  $\text{SO}_4^{2-}$  ratios in the outflow region compared to the inflow and BL 520 regions, as illustrated in Figs. 5e and 5f. The 18 May and 2 June 2012 DC3 convective storms have less of an influence of aqueous-phase chemistry, which may be because those storms were sampled during the late afternoon and early evening after the peak diurnal OH concentration and occurred in a low biogenic VOC environment.



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Figure 5. Scatter plots of AMS  $m/z$  44 and AMS sulfate concentrations. In a) and b) concentrations from all the SEAC<sup>4</sup>RS flights are shown separating the data by region where a) shows cloud free UTLS data (black circles) at altitudes > 8 km and data near storms (purple crosses), and b) shows cloud free BL data (blue crosses) at altitudes < 2 km and data in BB regions (red circles). In panels c-f) data are separated by inflow (red), outflow (purple), cloud free (black), and BL regions (blue) as defined in the scavenging analysis (and BL data is below 2 km) for c) 18 May 2012, d) 2 June 2012, e) 2 September 2013, and f) 18 September 2013.

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A second approach for investigating the role of aqueous-phase chemistry on OA concentrations in the convective outflow is to use the cloud chemistry parcel model described in Section 4 for the 2 September 2013 example case. For this discussion, 535 the source of NO from lightning was set to zero, but results from the simulation with lightning-generated NO did not differ substantially. The liquid water content, prescribed by the WRF-Chem simulation (Cuchiara et al., 2020), shows a deep layer from 1.5 km MSL to 10 km MSL altitude with a peak value at  $0.75 \text{ g kg}^{-1}$  (Fig. 6a). Formic acid (HCOOH) is the predominant organic acid in both the aqueous phase and combined gas + aqueous phases (Fig. 6) for altitudes below 4 km. 540 Near cloud base HCOOH and acetic acid ( $\text{CH}_3\text{COOH}$ ) both rapidly form, while the other organic acids show a steady increase from just above cloud base to cloud top. At the top of the cloud, the estimated SOA mass concentration from the six organic acids is  $1.79 \mu\text{g C std m}^{-3}$  and is  $2.43 \mu\text{g C std m}^{-3}$  when glycolaldehyde, glyoxal, methylvinylketone, and hydroxyacetone are included in the summation, which is based on the particulate to gas phase partitioning ratios in the absence of clouds listed in Ervens et al. (2008). Of this total SOA estimate,  $0.80 \mu\text{g C std m}^{-3}$  is from oxalic acid, which is more than the  $0.40 \mu\text{g std m}^{-3}$  for the  $m/z$  44 measurement in the outflow region of the 2 September case. Considering that 545 the parcel model calculations predict higher SOA mass than the measured  $1.5 \mu\text{g OA std m}^{-3}$  in the outflow of the 2 September 2013 multicell storm, it is quite likely that aqueous-phase chemistry contributed to higher outflow OA concentrations and thereby reducing the calculated OA scavenging efficiency for this storm. However, further investigation with CTMs of the contribution of organic aqueous phase chemistry to OA concentrations in individual convective storms is needed in order to represent the interactions between dynamics, physics, and chemistry more completely.

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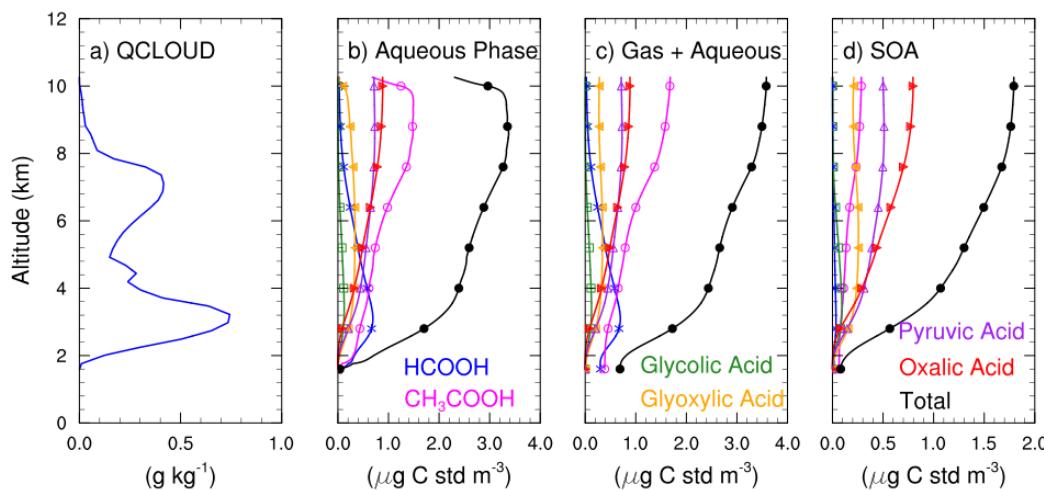


Figure 6. Prescribed cloud parcel model results for organic acid formation using the no lightning- $\text{NO}_x$  scenario for the 2 September 2013 case. Panel a) shows the prescribed cloud water content ( $\text{g kg}^{-1}$ ) for the model run, panel b) the aqueous-phase organic acid mixing ratios ( $\mu\text{g C std m}^{-3}$ ), panel c) the total (gas + aqueous) organic acid mixing ratios ( $\mu\text{g C std m}^{-3}$ ), 555 and panel d) potential SOA ( $\mu\text{g C std m}^{-3}$ ) using partitioning ratios, for formic acid (blue with asterisks), acetic acid



(magenta with open circles), glycolic acid (green with open square), glyoxylic acid (gold with left pointing triangles), pyruvic acid (purple with triangles), oxalic acid (red with right pointing triangles), and the sum of the six organic acids (black with closed circles).

## 8 Conclusions

560 This paper quantifies the vertical transport and scavenging of aerosol mass concentrations through analysis of ten deep convective storms observed during the 2012 DC3 and 2013 SEAC<sup>4</sup>RS field campaigns. The storms sampled range in severity from weaker airmass convection to severe supercell convection. Similar to previous studies (Barth et al., 2016, Fried et al., 2016, Hilario et al., 2025, Yang et al., 2015), the analysis uses an entrainment model with input from aircraft measurements sampled in the inflow and outflow regions of the convective storms as well as clear-air vertical profiles to 565 determine the scavenging efficiencies of sulfate, ammonium, nitrate, and organic aerosol mass concentrations.

The observationally derived scavenging efficiencies of sulfate and ammonium aerosol mass concentrations are consistently greater than 75% for weak to severe convection. The fairly constant scavenging efficiencies with different storm severity indicate that in-cloud scavenging is the dominant scavenging mechanism. The nitrate aerosol mass apparent scavenging efficiencies can be lower than the sulfate and ammonium scavenging efficiencies. For some storms the nitrate aerosol mass 570 concentration in the outflow region of the storm is higher than its concentration in the air ingested by the storm in the BL. Examination of the clear air vertical profiles and their back trajectories suggest that entrainment of an elevated particulate nitrate mid-troposphere layer in some northeast Colorado / southwest Nebraska storms partially contribute to the higher nitrate concentrations in the outflow region. The production of NO<sub>x</sub> from lightning followed by photochemical production of HNO<sub>3</sub> and its partitioning onto existing particles in the convective outflow can also contribute to higher particulate nitrate 575 concentrations in the outflow region. A prescribed cloud parcel model with chemistry calculation shows that 100-200 pptv of HNO<sub>3</sub> (0.28-0.56  $\mu\text{g std m}^{-3}$ ) can be produced for  $\sim$ 1 ppbv NO emitted into the mid to upper updraft region of the cloud. While partitioning onto aerosols depends on the thermodynamic state, it is expected that this lightning-NO<sub>x</sub> production is sufficient for explaining the high aerosol nitrate concentrations in several storms. To better quantify the influence of lightning-NO<sub>x</sub> emissions on particulate nitrate concentrations in convective outflow regions, detailed cloud-scale chemistry 580 transport modeling should be performed. The particulate organic nitrate scavenging efficiencies range from 11-57% for four DC3 storms with no obvious trend with storm severity, while particulate inorganic nitrate scavenging efficiencies for two DC3 storms are  $\sim$ 40% and match the total particulate nitrate scavenging for the two storms. In summary, the derived nitrate apparent scavenging efficiencies are influenced by entrainment and lightning-NO<sub>x</sub> production with gas-aerosol partitioning, suggesting that chemistry transport models must represent these processes well within their convective schemes.

585 The observationally derived scavenging efficiencies for organic aerosol mass concentrations are greater than 60% in mostly severe storms and 20-50% in less severe storms. The DC3 storms in Colorado with elevated organic aerosol concentrations located in a mid-troposphere layer may have reduced the OA scavenging efficiencies. The SEAC<sup>4</sup>RS storms consistently



have OA scavenging efficiencies < 50%. An explanation for the lower scavenging efficiencies is the aqueous-phase production of OA. The ratio of the AMS  $m/z$  44 measurement can be used as a proxy for oxalate to sulfate as an indicator of 590 cloud chemistry (Hilario et al., 2021). The higher  $m/z$  44 to sulfate ratios found in the outflow region compared to the atmospheric boundary layer indicate that cloud chemistry likely affect the calculated scavenging efficiency. This is supported by the prescribed cloud parcel model with chemistry calculations that shows SOA mass from oxalic acid to be 0.8  $\mu\text{g C std m}^{-3}$  and from the sum of semi-volatile organic compounds to be  $2.4 \mu\text{g C std m}^{-3}$ . Thus, organic aerosol scavenging 595 efficiencies can be influenced by cloud chemistry, suggesting that chemistry transport models should include organic aqueous-phase cloud chemistry production of aerosols.

Since scavenging of aerosols by clouds directly affects the aerosol concentrations and lifetime in the atmosphere, this important sink is critical for representing well in air quality and climate models because of the role aerosols have on human health and the atmosphere's energy balance. While it is especially difficult for regional and global scale models to represent the specific storm cases analyzed in this paper, connecting this analysis with a hierarchy of chemistry transport models, 600 cloud scale to regional scale to global scale, is a way to utilize this detailed observational analysis. The results presented here can be used directly for evaluating cloud-scale chemistry transport model representation of aerosol scavenging. Then, the cloud-scale model results can be expanded to examine ratios of aerosol concentrations to trace gases that are primarily transported through convection. These ratios can then be evaluated in the regional and global scale chemistry transport models. This hierarchical modeling approach, which has not been performed in the past for scavenging studies as far as we 605 know, should also benefit investigations of other chemistry and aerosols processes (e.g., aerosol-cloud interactions) occurring in clouds.

## 9 Code Availability

The Extended AIM (E-AIM) aerosol thermodynamics model is available at <https://www.aim.env.uea.ac.uk/aim/aim.php>. The NCAR Command Language version 6.6.2 (2019) was used for the data analysis and is available at 610 <https://www.ncl.ucar.edu/>. The cloud chemistry box model source code and input files are available upon request to the corresponding author. The AMATI package is available at <https://gitlab.com/JimenezGroup/amati>.

## 10 Data Availability

All data from the DC3 field project can be found at [http://data.eol.ucar.edu/master\\_list/?project=DC3](http://data.eol.ucar.edu/master_list/?project=DC3). Specifically, the DC3 and SEAC<sup>4</sup>RS aircraft data are located at <http://www-air.larc.nasa.gov/cgi-bin/ArcView/dc3-seac4rs> and <https://www-air.larc.nasa.gov/cgi-bin/ArcView/seac4rs>, respectively. NWS radiosonde data are from the NOAA National Centers for Environmental Information and University of Wyoming archive (<https://weather.uwyo.edu/upperair/sounding.shtml>), respectively. Output from the reprocessed AMS data, NEXRAD composite radar data processed by GridRad, and the cloud



chemistry box model output are available from the Zenodo data repository (<https://doi.org/10.5281/zenodo.18089619>, Barth et al., 2025).

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## 11 Supplement Link

## 12 Author Contribution

MB designed and carried out the analysis. PCJ and JLJ collected the AMS measurements, advised on the analysis method, and provided insight and interpretations of the measurements. GC and AP advised on the analysis method and interpretation  
625 of the results. MRAH provided back-trajectory information and GL conducted the sulfate to oxalate analysis, both under the guidance of AS. MB prepared the manuscript with contributions from all co-authors.

## 13 Competing Interests

The authors declare that they have no conflict of interest. Armin Sorooshian is a member of the editorial board of the Atmospheric Chemistry and Physics journal.

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