



1        **Suspended sediment transport modulated by microbial**  
2        **activities in estuarine waters: Insights from molecular and**  
3        **structural perspectives**

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15



## 16    **Abstract**

17        Suspended sediment transport in coastal estuaries is profoundly shaped by  
18    microbial activities, yet the underlying molecular mechanisms remain poorly  
19    constrained during their flocculation. Here, we demonstrate that the estuarine bacterium  
20    *Stutzerimonas decontaminans* acts as a key mediator of sediment flocculation.  
21    Compared to purely physical aggregation, microbially-induced flocculation developed  
22    more slowly but yielded flocs fourfold larger, with looser fractal structures and higher  
23    organic carbon content, indicating strong microbial-mineral coupling. Bacteria  
24    modulated flocculation both physically via flagella-driven adhesion and biochemically  
25    through extracellular polymeric substances, which enhanced particulate organic carbon  
26    accumulation. Transcriptomic analyses revealed an early upregulation of flagellar genes  
27    initiating particle adhesion, followed by the activation of polysaccharide biosynthesis  
28    pathways to stabilize aggregates. This sequential regulation highlights a genetic trade-  
29    off between motility and biofilm-like stickiness in controlling floc growth. Our findings  
30    provide direct molecular and structural evidence that microbial activities fundamentally  
31    reshape sediment aggregation dynamics, thereby regulating suspended sediment  
32    transport and carbon cycling in coastal systems.

33

34    **Key words:** Bio-flocculation, microorganisms, extracellular polymeric substances  
35    (EPS), floc structure, gene regulation, estuary.

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## 1. Introduction

Estuaries, as critical ecological transition zones between land and ocean, play a central role in global biogeochemical cycles (Gattuso et al., 1998; Burchard et al., 2018). As hubs for land-derived materials and carbon cycling, the formation, growth, breakup, and settling of mud flocs exert fundamental controls on suspended sediment transport (Zhu et al., 2021), the fate of particulate organic carbon (POC) (Bauer et al., 2013), the transformation of pollutants (Li et al., 2023), and the cycling of biogeochemical elements (Turner & Millward, 2002).

Previous studies on mud flocculation dynamics mostly focused on physicochemical factors such as flow shear, salinity-induced charge neutralization, and particle concentration and composition (e.g., Mietta et al., 2009; Moruzzi et al., 2017; Tran et al., 2018; Guo et al., 2021; Ye et al., 2023). However, growing evidence indicates that purely physicochemical models cannot fully explain the developmental behaviors of natural suspended mud flocs. Particle-associated microbial processes have gradually been incorporated into conceptual and numerical models (e.g., Maggi, 2009; Lai et al., 2018; Nguyen et al., 2018; Shen et al., 2019), thereby extending traditional flocculation theory. Moreover, bioflocculation dynamics exert strong influence on estuarine-coastal material or elements cycling (e.g., Droppo, 2001; Nguyen et al., 2022) and thus provide key scientific guidance for estuarine dredging, channel maintenance, water quality management and estuarine restoration globally (Cox et al., 2022; Chen et al., 2024).

Bio-flocculation, driven by active microorganisms (bacteria, algae, viruses, fungi, protozoa, etc.), has been recognized as a central engine controlling the aggregation, transformation, and transport of suspended sediments in estuaries (Burd & Jackson, 2009; Dang & Lovell, 2016; Deng et al., 2022). Compared with mineral clay particles aggregation chemically, mud flocculation processes highly mediated by natural estuarine microbial strains, algae, fungus, and bacterial extracellular polymeric substances (EPS) profoundly alter surface physicochemical properties and structures, significantly affecting floc size, density, and porosity (e.g., Tang & Maggi, 2016; Deng et al., 2023; Ho et al., 2022; Nguyen et al., 2017; Labille et al., 2005; Ye et al., 2023). This produces bio-mineral aggregates that are larger, more porous, and structurally more complex than mineral-only flocs, thereby modifying settling velocities, transport dynamics, and adsorption behaviors (Passow & De La Rocha, 2006; Chapalain et al.,



2019). Bio-flocculation is inherently dynamic, and we hypothesize that bio–mineral mixed flocs under continuous shear forces reach a state of dynamic balance between aggregation and breakup. Yet, the molecular mechanisms by which marine microorganisms perceive environmental signals and regulate the formation, structural evolution, and transport behavior of suspended flocs remain poorly understood (Belas, 2014; Dang & Lovell, 2016; Berne et al., 2018; Sun & Zhang, 2021).

The molecular basis of bacterial colonization and EPS secretion, and their functional roles in bio-flocculation and sediment transport, remain insufficiently studied, especially in complex estuarine and marine systems. In recent years, high-throughput sequencing technologies such as transcriptomics have provided powerful tools to resolve EPS bio-synthetic pathways (e.g., Schmid et al., 2015; Shukla et al., 2019; Rana & Upadhyay, 2020; Sun & Zhang, 2021; He et al., 2024). Here, we investigate how dominant EPS-producing estuarine bacteria (e.g., *Stutzerimonas decontamans*) (Wu et al., 2017; Mulet et al., 2023) influence the flocculation of inorganic clay minerals (e.g., montmorillonite) under high turbulent shear. Specifically, this study addresses following key questions: Compared with inorganic mineral flocculation, how do prokaryotes and their EPS regulate the kinetics and structural characteristics of bio-mineral suspended flocs? How do microbial processes modulate environmental factors during flocculation? What are the key gene expression patterns and molecular regulatory mechanisms underlying bio-flocculation? To this end, we conducted series bacterial-mineral flocculation experiments using a custom-designed reaction system. By coupling AI-based image analysis with transcriptomic approaches, new insights into the dynamic processes and molecular mechanisms through which microbial activity modulates suspended sediment transport in estuaries have been provided.

## 2. Materials and Methods

### 2.1. Laboratory experimental setup

A self-designed Experimental Facility for Sediment Bio-flocculation (EFSB; Fig.1) equipped with a unified camera system has been used in this study. Each experiment comprised at least three replicate apparatuses to ensure reproducibility. The mixing chamber served as the core site for bacterial growth and bio-flocculation, and therefore underwent strict sterilization. A stainless-steel shaft (30 cm) with a 12 cm three-bladed



propeller was inserted into the chamber containing 5 L salt-supplemented medium. The chamber was sealed with a custom quartz plate ( $\phi$  20 cm) and a red rubber O-ring; the shaft tip passed precisely through the plate's central bore ( $\phi$  15 mm). The assembly was wrapped with plastic film and autoclaved at 121 °C for 15 min (GI80, Zealway). After cooling to room temperature, the chamber was mounted on the bench base, the stirrer was connected to a variable-speed drive, and the system was brought to a constant rotational speed of 100 rpm, corresponding to a shear rate of  $65 \text{ s}^{-1}$  pre-calibrated in a 5 L sediment suspension using an Acoustic Doppler Velocimeter (ADV; Nortek).

For on-line sampling and imaging, a peristaltic pump set to  $40 \text{ mL min}^{-1}$  drove the suspension through a sampling assembly comprising a 25 cm glass tube (ID 5 mm), a square quartz tube (length 10 cm; ID 3 mm; wall 0.5 mm), and two rubber connectors (ID 3.2 mm). As flocs traversed the square quartz tube, the “shooting point”, focal distance 3.5 cm, they were recorded by a high-speed camera (AcutEye-1M-2000) with a 300 W LED light source synchronized to a computer. After each sampling, an equal volume of fresh sterile medium was replenished via a sterile line using an electronic pipette (Model S1, Thermo Fisher Scientific) to maintain a constant shear history.

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## 2.2. Experimental treatments

Bio-flocculation processes were investigated in systems containing montmorillonite clay and *Stutzerimonas decontaminans* (hereafter *S. decontaminans*), a dominant bacterium in the Pearl River Estuary known for high EPS production (Li et al., 2018). Montmorillonite (High-Purity Clay Mineral Repository of China) had a median particle size  $D_{50}$  of  $13.30 \mu\text{m}$  (Mastersizer 3000, Malvern Panalytical, UK). Three experimental series were established:

(1) **SD (pure biological material)**: *S. decontaminans* was inoculated into 5 L basal salt medium ( $1.2 \text{ g}\cdot\text{L}^{-1}$  R<sub>2</sub>A nutrients +  $15 \text{ g}\cdot\text{L}^{-1}$  NaCl) and cultured at room temperature for 72 h under high shear ( $65 \text{ s}^{-1}$ ).

(2) **MM (montmorillonite only)**: 10 mL mineral stock ( $50 \text{ g}\cdot\text{L}^{-1}$ ) was added to 5 L saline (15 PSU) and vigorously mixed at 300 rpm for 5 min to form initial flocculi, followed by 160 min at constant  $G = 65 \text{ s}^{-1}$ .

(3) **MSD (bio-material and mineral mixture)**: A 12-h culture of *S. decontaminans* was combined aseptically with 10 mL sterilized mineral stock ( $50 \text{ g}\cdot\text{L}^{-1}$ ) and maintained for 48 h at  $G = 65 \text{ s}^{-1}$ . Continuous turbulent mixing ( $G = 65 \text{ s}^{-1}$ )



minimized aggregation-driven settling. Replicates were  $n = 6$  for MSD and  $n = 3$  for MM and SD.

### 2.3. Image processing and floc structures

Sampling protocols differed between the MM and MSD. Specifically, the MM treatments were sampled every 20 minutes, whereas the MSD treatments were sampled initially every 3 hours (12-24 h) and then every 6 hours until 48 h (Fig.2a). At each imaging time point, flocs at the shooting point were recorded at 20 Hz for 2-3 min (~3000 frames per time) (Fig.2b). Images were curated and processed in Python 3.10 using an instance-segmentation workflow based on YOLOv8-seg (Fig.2d) (Jocher et, al., 2023), including preprocessing and standardization, object detection and instance segmentation with an improved YOLOv8-seg (confidence threshold 0.6 and size filters), contour refinement via Gaussian smoothing and morphological opening, morphological feature extraction, and secondary filtering and statistics.

Projected area ( $A$ ), perimeter ( $L$ ), ratios of major/minor axes, and related metrics were derived from segmented masks. The sphere-equivalent diameter was computed as  $D_{eq}=2A/\pi$  after converting pixels to physical units (1 pixel = 1.8  $\mu\text{m}$ ). The two-dimensional fractal dimension  $N_f$  was estimated from perimeter-area scaling (i.e.,  $L \propto A \cdot N_f/2$ ) (Moruzzi et, al., 2017). Particle-size distributions (PSD) were constructed in 5  $\mu\text{m}$  bins;  $D_{50}$  and  $D_{90}$  were reported. Flocs were also grouped into size classes of 0-20  $\mu\text{m}$ , 20-60  $\mu\text{m}$ , 60-120  $\mu\text{m}$ , and >120  $\mu\text{m}$  to compare MM and MSD dynamics.

### 2.4. Microstructural characterization for particles (SEM and AFM)

Mixed flocs (MSD) and mineral flocs (MM) were immobilized on ITO-coated conductive glass slides (20×10×1.1 mm; GOLO, China). Samples of MSD were equilibrated in phosphate buffer (pH 7.0), fixed with 2.5 % glutaraldehyde at 4 °C for  $\geq 4$  h, dehydrated through graded ethanol (30-100 %, 15 min per step), and air-dried (Anvari-Yazdi et, al., 2014). For **scanning electron microscopy (SEM)**, specimens were sputter-coated with Au–Pd and imaged (Axia ChemisEM HiVac, Thermo Scientific). Elemental mapping via SEM was used to revealed the elemental composition of these flocs. For **atomic force microscopy (AFM)**, dried samples were scanned (Dimension FastScan, Bruker) to obtain 3-D topography and surface potential;



169 NanoScope Analysis (v1.9) with third-order flattening provided surface roughness  
170 parameters (Ra, Rq) and potential distributions.

171

## 172 **2.5. Laboratory sampling and physicochemical measurements**

173 In MSD, imaging and sample collection were synchronized (Fig.2b-c). For  
174 transcriptomics, three biological replicates (100 mL each) were filtered onto 0.22 µm  
175 membranes (Pall Life Sciences, USA), flash-frozen in liquid N<sub>2</sub>, and stored at -80 °C  
176 until RNA extraction. An additional 100 mL aliquot was used for biochemical and  
177 microbial indicators: 10 mL through a 0.22 µm GF/F filter (Whatman International Ltd.,  
178 England) for particulate organic carbon (POC), and 20 mL through a sterile 0.22 µm  
179 membrane (Pall Life Sciences, USA) for 16S rRNA quantification; membranes were  
180 stored at -20 °C. Filtrates were used for (1) dissolved organic carbon (DOC; 10 mL),  
181 (2) EPS extraction by adding three volumes of absolute ethanol to 10 mL filtrate, and  
182 (3) dissolved inorganic nitrogen (NO<sub>x</sub> = NO<sub>2</sub><sup>-</sup> + NO<sub>3</sub><sup>-</sup> + NH<sub>4</sub><sup>+</sup>; 15 mL). Filtrates were  
183 stored at 4 °C until analysis.

184 POC and DOC were measured by combustion oxidation with non-dispersive IR  
185 detection (TOC-VCPN, Shimadzu, Japan). Proteins were quantified by the Lowry assay  
186 (BSA standard; 490 nm; Lowry et al., 1951) and polysaccharides by the phenol–  
187 sulfuric acid method (glucose standard; 750 nm; DuBois et al., 1956) on a microplate  
188 reader (Multiskan Sky, Thermo Fisher Scientific, USA). Total EPS was calculated as  
189 protein + polysaccharide. NH<sub>4</sub><sup>+</sup>-N, NO<sub>2</sub><sup>-</sup>-N, and NO<sub>3</sub><sup>-</sup>-N were measured with a  
190 continuous-flow analyzer (QuAatro 39, Seal Analytical, UK) and summed as NO<sub>x</sub>. pH  
191 and zeta potential were determined on 15 mL subsamples using a pH meter and a  
192 BeNano 90 Zeta analyzer (Dandong Better Instruments, China), respectively; turbidity  
193 was measured on the remaining suspension (2100N, HACH, USA).

194

## 195 **2.6. Bacterial Growth Curves**

196 Both SD and MSD treatments were sampled every 3 hours from 12 to 24 h,  
197 followed by 6-hour-interval sampling until 72 h (SD) and 48 h (MSD), respectively  
198 (Fig.2a). All microbial samples were immediately filtered for DNA extraction. After  
199 assessing the DNA concentration and integrity of all samples using a Qubit 3.0  
200 fluorometer and agarose electrophoresis, a mixture of synthetic spike-in standards at  
201 graded concentrations was added to each DNA pool. The V4-V5 region of the 16S



202 rRNA gene and spike-in sequences were amplified with primers 515F and 907R and  
203 sequenced on an Illumina NovaSeq 6000 (Tkacz et, al., 2018). Absolute quantification  
204 based on spike-in calibration was performed by Genesky Biotechnologies (Shanghai,  
205 China). The data obtained from viable DNA quantification were used to generate the  
206 bacterial growth curves. The following experiments were conducted during the  
207 logarithmic phase of growth in MSD.

208

## 209 **2.7. Transcriptomic analysis**

210 To elucidate the differential expression and transcription of genes that regulate the  
211 flocculation process. Total RNA was extracted using the Soil RNA Extraction Kit  
212 (RNS485) (Mei5 Biotechnology Co., Ltd, China). RNA sequencing and analysis were  
213 carried out by Guangdong Magigene Technology Co., Ltd (Guangzhou, China)  
214 (Callahan et, al., 2016; Guo et, al., 2024).

215 Quality control was conducted on both the genome and transcriptome data,  
216 followed by genome assembly and subsequent annotation using the cleaned data. To  
217 analyze the gene expression abundance, sequencing reads were used to perform  
218 alignment using Bowtie2. Gene expression was quantified using FPKM (Fragments Per  
219 Kilobase per Million mapped reads). Differentially expressed genes (DEGs) were  
220 identified with a threshold of false discovery rate (FDR)  $\leq 0.05$  and  $|\log_2(\text{fold change})|$   
221  $\geq 1$ . Finally, all FPKM values were  $\log_2$ -transformed for subsequent downstream  
222 analyses. Selected genes encompassed core components of global regulators (e.g.,  
223 *PhoQ*, *RpoS*, *RcsC*), c-di-GMP metabolism (e.g., *PleD*), flagellar assembly (e.g., *flg*,  
224 *fli*, *motA/B*), polysaccharide synthesis (e.g., *galE*, *glk*, *epsL*). Information of key  
225 enzymes in the synthesis of EPS used in this study was shown in Table S1.

226

## 227 **2.8. Statistical analysis**

228 One-way ANOVA was used to test temporal differences in mean diameter ( $D_m$ ),  
229  $D_{50}$ ,  $D_{90}$ , and  $N_f$  between MSD and MM. Temporal trends in bacterial growth, genes  
230 exhibiting expression, and physicochemical variables were analyzed similarly. When  
231 ANOVA indicated significance ( $P < 0.05$ ), Tukey's HSD was applied for pairwise  
232 comparisons. Principal component analysis (PCA) was used to assess overall  
233 transcriptional shifts across different stages. Analyses and plots were produced in  
234 Origin 2024. Partial least-squares path modeling (PLS-PM) was used to evaluate direct





and indirect effects of *S. decontaminans* (flagellar motility/polysaccharide synthesis) on inorganic flocculation; models were built with the plspm package in R4.2.2.

## 3. Results

### 3.1. Microbial role on mud flocculation enhancement

The mineral-only system (MM) reached equilibrium rapidly according to the experimental results, in one hour (Fig.3a-d), with a resulting equilibrium diameter ( $D_m$ ) of approximately 30  $\mu\text{m}$  and a steady  $N_f$  value of 1.54 (Fig. 3a-d). As shown in Fig.1e, a predominance of small particles (20–60  $\mu\text{m}$ , 68.92%) was observed in the MM with the maximum  $D_{90}$  of 49  $\mu\text{m}$ . Larger aggregates (>60  $\mu\text{m}$ ) were scarce and remained below 5.5 % of total abundance (Fig.3e).

In contrast, the bio-mineral system (MSD) underwent a prolonged three-stage trajectory spanning 12-48 h (Fig.3g-i), characterized by rapid growth (12-18 h) (Fig.3g), decelerated aggregation (21-30 h) (Fig.3h), and dynamic equilibrium (36-48 h) (Fig.3i). Even after the mean floc sizes ( $D_m=113 \mu\text{m}$ ) stabilized,  $D_{90}$  continued to increase (Fig.3d), a trend driven by the growing proportion of large flocs (> 120  $\mu\text{m}$ ; 37.03%) (Fig.3f), indicating a persistent capacity for large floc formation. At equilibrium, MSD produced aggregates 3.8 times larger than MM, with fractal dimensions consistently lower (1.43), reflecting looser and more open structures (Fig.3b).

### 3.2. Structural re-organization and self-adaption of mud flocs

Beyond enhancing floc size, microorganisms restructured aggregate architecture at the microscale (Fig.4). SEM imaging clearly revealed that MSD flocs developed irregular, loosely bound morphologies, in contrast to the compact aggregates in MM (Fig.4a-d). Moreover, evidence of bacterial and EPS matrix binding to mineral grains was observed in Fig.4b,c,e,f.

As a key component of flocs, EPS, was observed to form a distinct structural entity, exhibiting a fibrous or ribbon-like morphology (Fig.4e, Fig.5d), which contributed to enhanced toughness and structural stability for mixed flocs.

The elemental composition confirmed microbial contributions to the mixed flocs, marked by significant carbon (C, 7.4%) and predominant oxygen (O, 70.4%) contents (Fig.5a-c)—both values closely aligned with those in a EPS dominant sample (C, 8.2%; O, 77.4%; Fig.5 d-f). Alongside this biological signature, the dominant mineral



composition was characterized by silicon (Si, 13.3%) and aluminum (Al, 3.5%) in the mixed flocs. In contrast to the patterns described above, we also observed that the carbon-dominated particles (53.9%) exhibited lower oxygen levels (32.3%) and, correspondingly, a smaller structural size (Fig.5g-i).

AFM analysis provides quantitative evidence for these structural modifications (Fig.6a-b). Mineral surfaces exhibited greater roughness ( $R_q = 66.6$  nm) and higher surface potentials (232 mV) than bacterial surfaces ( $R_q = 32.9$  nm; 137 mV) (Fig.6a-b). When combined, these contrasts reduced the effective electrostatic barrier. Zeta potential measurements corroborated this effect: Absolute potentials were highest in MM, followed by MSD and SD, with MSD displaying a marked decline as bacterial growth (Fig.6c-d).

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### 3.3. Microbial abundance, EPS, and physicochemical parameters

Under pure culture conditions, *S. decontaminans* entered the logarithmic growth phase after 9 h post-inoculation and reached its peak density at 36 h, with a maximum bacterial abundance of  $2.05 \times 10^7$  copies/mL (Fig.7a). The population subsequently entered the stationary phase between 42 and 72 hours, maintaining a stable abundance level of approximately  $1.36 \times 10^7$  copies/mL.

In the MSD, the *S. decontaminans* population exhibited sustained logarithmic growth throughout the 12-48 h period, with abundance increased significantly from  $2.99 \times 10^5$  copies/mL at 12 h to  $2.43 \times 10^7$  copies/mL at 48 h. During this period, the total EPS content and its primary components (polysaccharides and proteins) showed no significant variations during the experimental period both in the MSD and SD (Fig.7b). Nevertheless, MSD exerted a significant influence on other environmental factors. Specifically, the POC content in the MSD increased significantly after 30 h, accumulating to 29.18 mg/L at 48 h (Fig.7c).

Concurrently, both DOC and  $\text{NO}_x$  exhibited significant decreasing trends throughout the experimental period (Fig.7d-e). Besides, the accumulation of organic matter in the MSD led to a significant increase in turbidity along with a pronounced decrease in pH (Fig.7f-h).

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### 3.4. Biological molecular regulation of mud flocculation dynamics

Once the dynamics and structures of biophysical flocs can be affected by microbial



activity (Fig.3, Fig.4 and Fig.7a), we sought to delineate underlying changes in the gene transcriptome that may account for mud flocculation behavior. For this purpose, we investigated genes essential for EPS regulation, with a focus on the global regulatory system, flagellar system, and polysaccharide biosynthesis pathways (Fig.8, Tables S1-S4). Among the about 40 genes surveyed, over three-quarters showed differential expression during the 12-48 h experimental period (Tables S2-S4). To gain further insight into how genes regulate flocculation, we employed principal component analysis (PCA) to assess overall transcriptional shifts across different flocculation stages (Fig.9), and then identified the regulatory patterns of stage, specific differentially expressed genes (Fig.10).

The results from PCA analysis confirmed stage-specific transcriptional shifts throughout flocculation. The first two principal components (PC1 and PC2) captured 52.40% and 16.20% of the total transcriptional variance (68.6%), respectively. As illustrated in Fig. 9, the distinct separation along PC1 revealed that time-dependent changes dominate the transcriptional profile, driving a continuum from motility and early attachment (Stage 1) through metabolic adaptation (Stage 2) to stable matrix assembly (Stage 3). Notably, polysaccharide synthesis genes and flagellar genes exhibited an inverse distribution along the PC2 axis, reflecting an underlying biological trade-off between motility and EPS production.

In the early phase (12-15 h) of Stage one, flagellar structural and motor genes (e.g., *flgE/F/G/H/I/L*, *fliP/F/G/I/D*, *motA/B*) were upregulated (Table S3), corresponding to a burst of motility-driven adhesion that triggered a rapid rise in floc size. Finishing initial colonization, the cells gradually downregulated flagellar structural (Fig.10a) while upregulating genes involved in the metabolism of specific carbon sources (such as maltose, e.g., *malE/P/Q/S*), thereby storing energy and precursor substances (*glk*, *rmlC/D*) for the EPS production in Stage two (Fig. 10b, Tables S4). Compared to Stage one, the expression of *rpoN* was notably downregulated, while *rpoS* was significantly upregulated in Stage two (Fig.9a-b), acting in concert to regulate the shift in bacterial behavior from motility to adherence.

In Stage three, the stability of the floc structure was determined by both the low expression of flagella-related genes and the stable expression of EPS synthesis pathway. Specifically, the reduced expression of genes associated with flagellar structure (e.g., *flg*, *fli*) and motility (*mot*) facilitated floc stabilization (Fig.10a, Tables S3). Although



the downregulation observed in one EPS-related pathways such as *pleD*, *glmM*, *epsL*, the steady state of the expression of genes, eg., two-component signaling (*rcsC*), sigma factor(*rpoS*) and polysaccharide synthesis (*glk*, *rmlC/D*, *galE*) (Fig.10b, Tables S2,4) served as a major driver for floc stability.

### 3.5. Effects of microorganisms

Microbes not only restructure aggregates but also couple sediment dynamics to carbon cycling. A PLS-PM analysis (GOF = 0.70) showed that bacteria production promoted POC accumulation, while DOC declined in parallel (Fig.11). Ultimately, however, this pathway exerted a net inhibitory effect on floc formation (path coefficient = -1.03; Fig.11). Also, path analysis confirmed this negative effect, with flagellar activity exerting a strong inhibitory influence on floc structure (path coefficient = -0.96; Fig.11). In addition, microbial activity significantly affected environmental variables such as pH, turbidity, and nitrogen oxides (path coefficient = 0.62), though these parameters did not directly alter floc structure (Fig.11).

## 4. Discussion

Synthesizing these findings, we propose a three-stage conceptual framework (Fig. 12) to systematically elucidate the microbial-mediated dynamic restructuring and adaptive processes of flocs. The first stage initiates through flagella-mediated initial cellular adhesion; the second stage consolidates aggregates via EPS-mineral interactions; and the third stage enhances floc structural stability through polysaccharide biosynthesis. Traditional flocculation models emphasize the transient equilibrium governed by physicochemical factors, such as turbulence, ionic strength, and particle concentration (e.g., Winterwerp, 1998; Zhao et al., 2021; Cui et al., 2023), however these physicochemical approaches fail to explain the persistence of large, porous aggregates frequently observed in natural estuaries. Our controlled experiments demonstrate that microbial activity is essential to bridge this gap.

The ecological significance of microbial enhancement on mud flocculation is profound. In natural estuaries, bio-flocculation hardly proceeds to equilibrium due to turbulence and shear constantly disruptions. The slower but sustained growth of MSD suggests that bio-flocs can remain dynamic under fluctuating conditions, continually reaggregating and persisting in suspension (Fig.3b). This microbial extension of



367 flocculation timescales explains why field measurements consistently record larger,  
368 carbon-rich aggregates than predicted by mineral-only models (Fettweis et al., 2022;  
369 Deng et al., 2022; Nguyen et al., 2022; Baumas & Bizic, 2024). Microorganisms,  
370 therefore, provide a biological buffer that maintains suspended floc populations,  
371 modulating both sediment and organic matter transport.

372 The looser fractal structures ( $N_f=1.43$ ) observed in MSD (Fig.3b) imply aggregates  
373 with lower effective density, higher porosity, and greater deformability under shear.  
374 Such characteristics directly influence transport behavior: bio-flocs with EPS are  
375 expected to settle more slowly, remain longer in suspension (Maggi, 2009, 2013), and  
376 be advected further downstream compared to denser mineral flocs (Zhu et al., 2021).  
377 These properties also enhance the capacity of flocs to trap and carry particulate organic  
378 matter, nutrients, and contaminants. Previous studies have hypothesized that EPS-rich  
379 flocs are critical carriers of carbon and pollutants, elements (e.g., Passow, 2002; Decho  
380 & Gutierrez, 2017; Mari et al., 2017; Baumas & Bizic, 2024), but here we provide direct  
381 imaging and electrochemical evidence of the mechanisms by which microbes reshape  
382 floc architecture to achieve these transport functions. Results of AFM and zeta potential  
383 demonstrated (Fig. 6) that the decreasing repulsion facilitated cohesion among particles  
384 and allowed EPS-mediated adhesion to dominate floc formation (Labille et al., 2005;  
385 Ye et al., 2023; Walshire et al., 2024). Moreover, organic matter contributed 7.4 % -  
386 53.9 % of MSD composition (Fig.5), indicating that microbes not only accelerate  
387 aggregation but also fundamentally alter its biochemical composition.

388 Gene expression sequential regulatory program (Fig.8,9,10, Tables S1-4) explains  
389 the slower kinetics yet larger and looser outcomes of bio-flocculation compared with  
390 mineral flocculation. It also highlights a central microbial strategy: balancing dispersal  
391 and cohesion through stage-dependent gene expression. The molecular evidence thus  
392 provides a mechanistic basis for the structural transformations observed in Figure 10  
393 and validates the pathway interactions revealed by the figure 11. This physiological  
394 shift represents a trade-off: initial motility enables contact (O'Toole et al., 1998; Belas,  
395 2014; Berne et al., 2018; Wadhwa & Berg, 2022), but stability requires suppression of  
396 movement and investment in EPS production (Gerbersdorf & Wieprecht, 2015; Dar et  
397 al., 2021). As microorganisms shift from a free-living planktonic existence to a sessile,  
398 EPS-associated lifestyle, they commonly suppress flagellar gene expression and  
399 simultaneously activate genes governing extracellular polymeric substance (EPS)



400 biosynthesis, two-component regulatory systems (TCS), global transcriptional  
401 regulators, and quorum sensing (QS) signaling pathways (Petrova & Sauer, 2012;  
402 Guttenplan et al., 2013; Dang & Lovell, 2016; Shang et al., 2021). The epimerase  
403 encoded by *galE* (EC 5.1.3.2) served as a critical catalyst in the biosynthesis of UDP-  
404 galactose (Frey, 1996), whereas *rmlC* (EC 5.1.3.13) and *rmlD* (EC 1.1.1.133) were  
405 indispensable for the production of dTDP-rhamnose (Giraud & Naismith, 2000). The  
406 availability of sugar nucleotide precursors represents a key determinant of EPS  
407 biosynthesis. Psl is characterized as a repeating pentasaccharide composed of D-  
408 mannose, D-glucose, and L-rhamnose, playing a key role in adherence to surfaces and  
409 biofilm architecture maintenance (Flemming & Wingender, 2010). Additionally,  
410 bacteria can sense subtle environmental changes (e.g., pH,  $Ga^{2+}$  and  $Mg^{2+}$ ) through  
411 specific sensing systems, such as *PhoQ* (EC 2.7.13.3) (Groisman, 2001; Groisman et  
412 al., 2021). Although the addition of cation-containing minerals (Fig.5) stimulated  
413 bacterial growth and promotes early flagellar motility (Tables S2), the downregulation  
414 of *PhoQ* further suppresses the expression of flagellar-related genes and the production  
415 of c-di-GMP (Fig.10a) (Li et al., 2024).

416 Microbially regulated flocculation processes directly influence the transformation,  
417 transport, and fate of carbon in estuarine environments (Bauer et al., 2013). Our path  
418 analysis elucidated the direct links between bacterial abundance and DOC, POC, and  
419 floc structure (Fig.11), confirming that this DOC-to-POC transformation is the material  
420 basis for floc stabilization (Chin et al., 1998; Verdugo et al. 2004; He et al. 2016). The  
421 equilibrium of the MSD flocs observed in this study was attributable to the consistent  
422 total EPS content. Once carbon sources (DOC and polysaccharides) become depleted,  
423 it inhibited microbial growth and induced EPS degradation or diffusion (Schleheck et  
424 al., 2009; Maalej et al., 2017), thereby compromising flocculation. This situation found  
425 support in illite bioflocculation experiments during the bacterial stationary phase (fig  
426 S1-3). Thus, microbial control of carbon availability directly limits the long-term  
427 trajectory of flocculation (Kovárová-Kovar & Egli, 1998).

428 Path analysis indicated that metabolism feed back into water chemistry (Fig.11),  
429 thereby indirectly affecting sediment transport. By reshaping suspended sediment  
430 transport, microorganisms influence estuarine turbidity regimes (Shi et al., 2017),  
431 nutrient and pollutant fluxes and the efficiency of carbon burial (Jiao et al., 2010; Bauer  
432 et al., 2013; Nguyen et al., 2022). Larger, carbon-enriched bio-flocs are more likely to



be exported offshore or deposited in carbon-rich estuarine sinks, strengthening the role of estuaries as hotspots for carbon cycling. These processes are particularly relevant under anthropogenic pressures such as eutrophication and climate-driven changes in river discharge (Harrison et al., 2008; Statham, 2012; Cloern et al., 2016; Wetz & Yoskowitz, 2013; Zhao et al., 2020), which may alter microbial community composition and activity (Mai et al., 2018; Wong et al., 2021). Our findings emphasize that sediment transport models and estuarine management strategies must explicitly account for microbial processes if they are to predict sediment and carbon fluxes under future environmental change.

## 5. Conclusions

By investigating the flocculation of the estuarine dominant strain *S. decontaminans* with montmorillonite under constant shear, this work establishes that microorganisms and EPS critically shape floc dynamics and structure, reveals the governing molecular mechanism, and directly links this process to the estuarine carbon cycle. The detailed results are summarized as followed:

- 1) Bio-mineral flocs exhibit significantly slower flocculation kinetics than purely inorganic systems in reaching equilibrium. At steady state, the aggregates in MSD achieve a mean diameter approximately four times larger, coupled with a distinctly lower fractal dimension of 1.43, possessing a larger, more porous, and more loosely structured morphology.
- 2) *S. decontaminans* and its EPS play a definitive role in both restructuring floc architecture and modifying the elemental composition of aggregates, elevating carbon content within a range of 7.4% to 53.9%;
- 3) Genes associated with flagellar structure and motility promote the initial adhesion of bacteria to mineral particles, whereas polysaccharide biosynthesis genes are essential for stabilizing floc structure;
- 4) The microbe-mediated flocculation process actively drives the conversion of DOC into POC and significantly alters key water chemistry parameters, including turbidity, pH, and zeta potential, thereby exerting a profound influence on biogeochemical processes.



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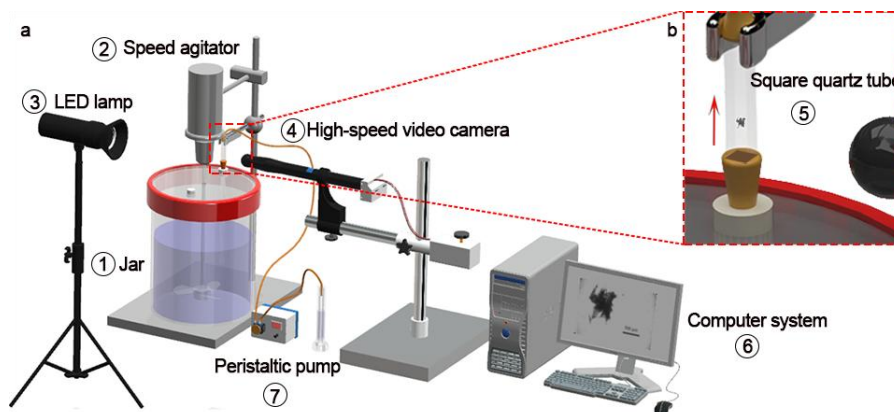
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# **Figures list and captions:**

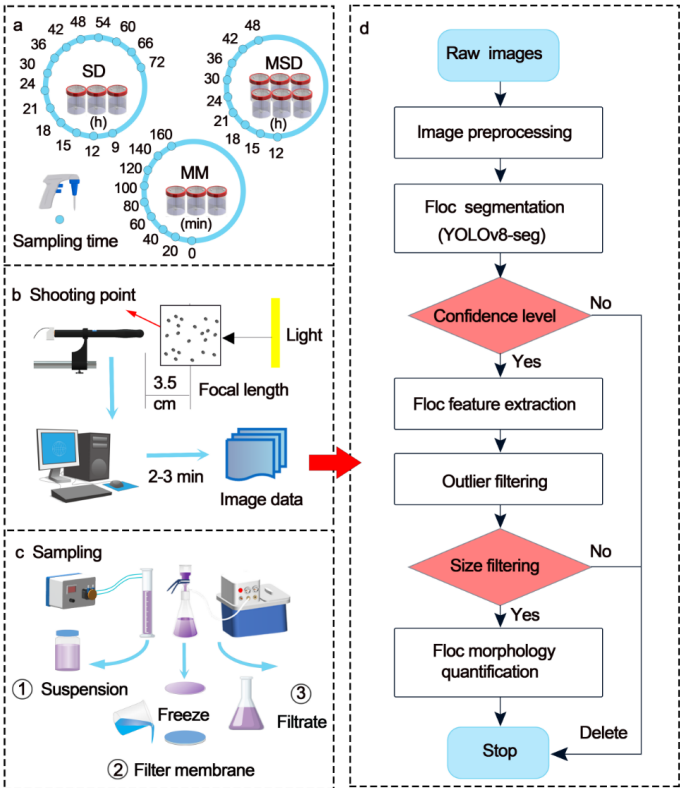


**Figure 1.** (a) Schematic of the laboratory experimental setup. The main components are: (1) jar, (2) LED lamp, (3) variable-speed agitator, (4) high-speed digital camera, (5) square quartz tube, (6) peristaltic pump, and (7) computer system. (b) Detailed view of the square quartz tube, showing the “shooting point” location. The red arrow indicates the flow direction of the suspension.





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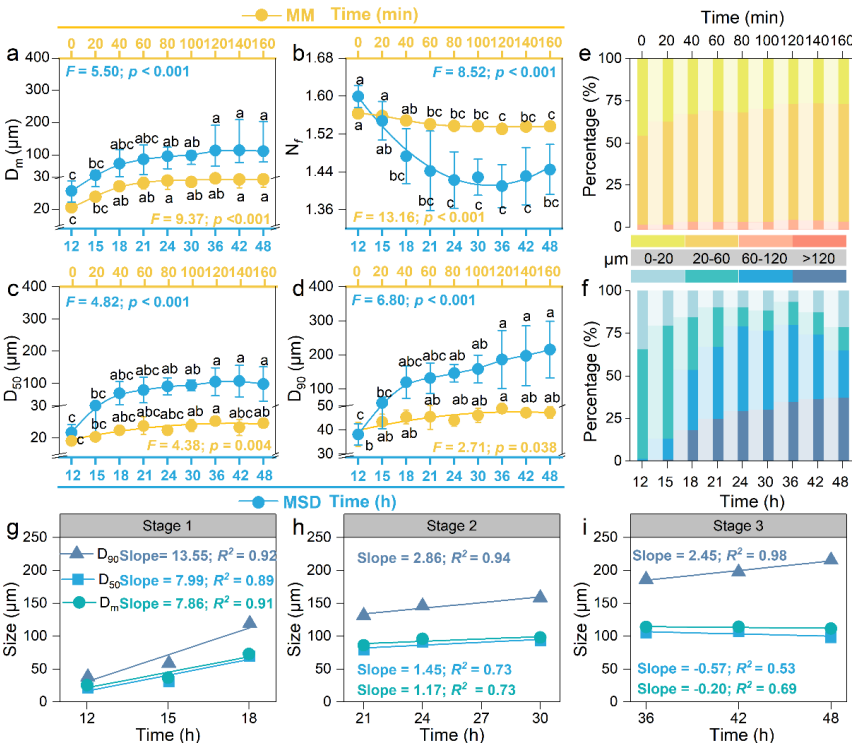


**Figure 2.** The main experimental workflow includes (a) sampling time, (b) image acquisition, (c) sample collection and (d) image analysis. (The blue dots along the circles represent the sampling timepoints for the SD (9-72 h), and MSD (12-48 h), MM (0-160 min) treatments. A high-speed digital camera equipped with a fixed focal length of approximately 3.5 cm was employed for a 2-3 min imaging. At each sampling time, particle suspensions, filter membranes (flash-frozen in liquid N<sub>2</sub>), and filtrates were collected for SD and MSD.)

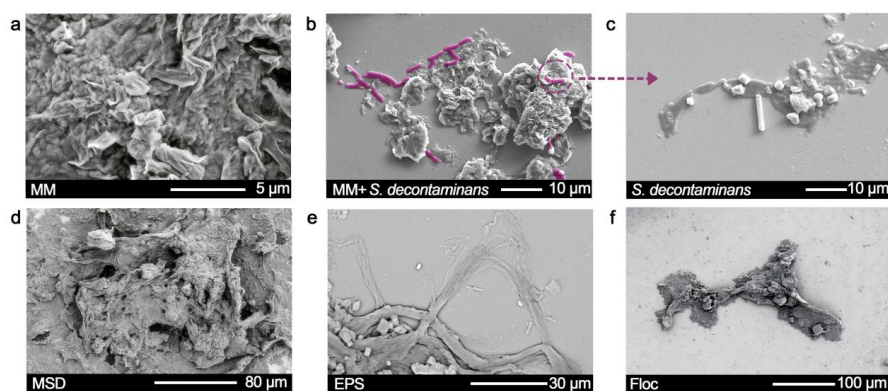
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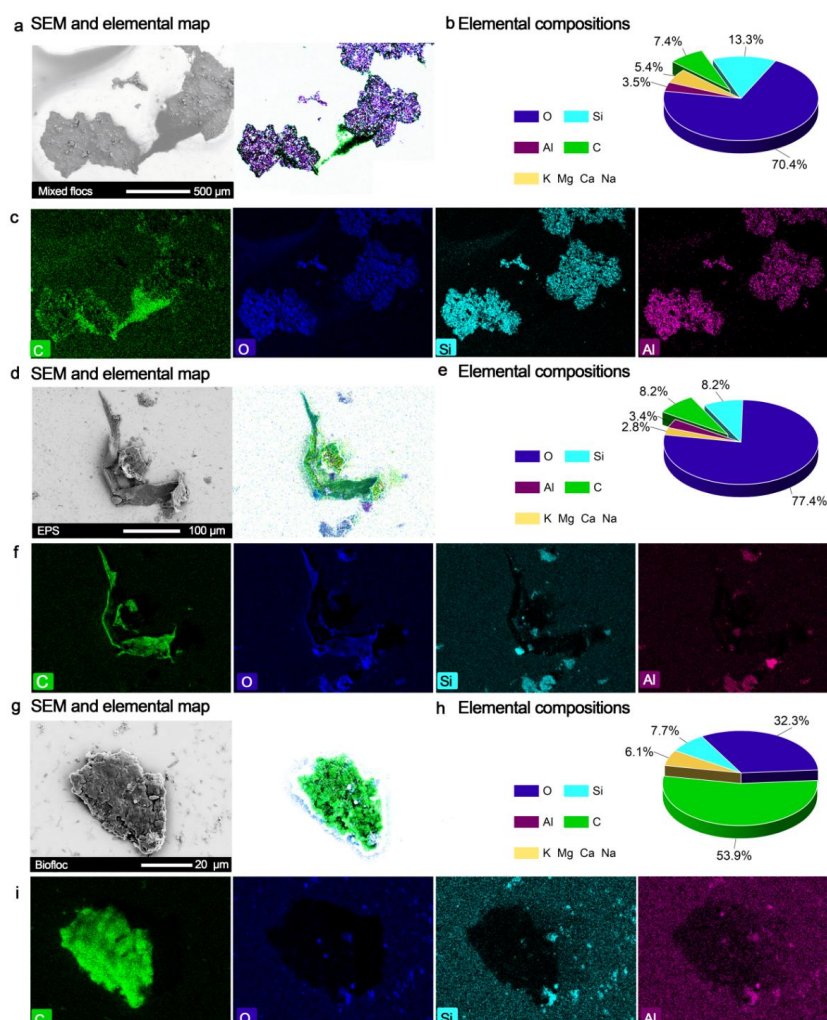
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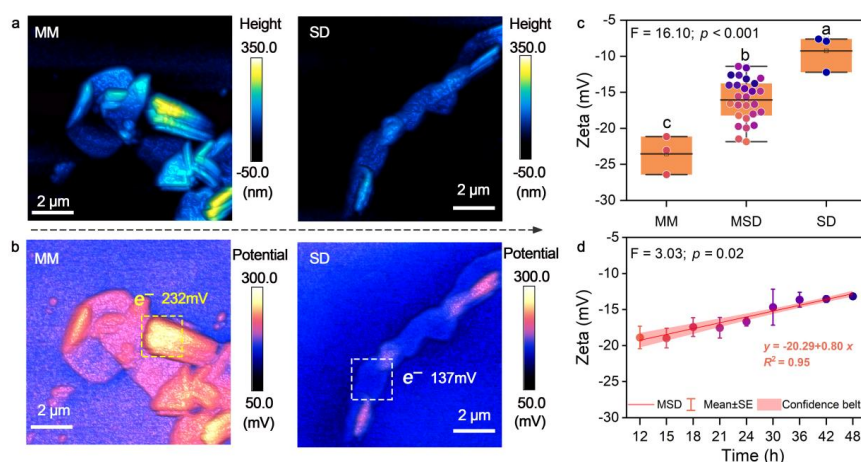
741 **Figure 3.** Comparative analysis of temporal variations in (a) mean diameter ( $D_m$ ), (b)  
742 fractal dimension ( $N_f$ ), (c)  $D_{50}$ , (d)  $D_{90}$  between MM and MSD. Temporal variations  
743 in size-class distributions in (e) MM and (f) MSD, respectively. Stage-resolved floc  
744 size dynamics and slope transitions in MSD in (g) phase one (12-18 h), (h) phase two  
745 (21-30 h), and (i) phase three (36-48 h). (MM: Montmorillonite mineral system;  
746 MSD: Montmorillonite mineral and *S. decontaminans* mixed system. Different  
747 lowercase letters represented significant differences between treatments ( $P < 0.05$ ) by  
748 HSD test.)  
749  
750



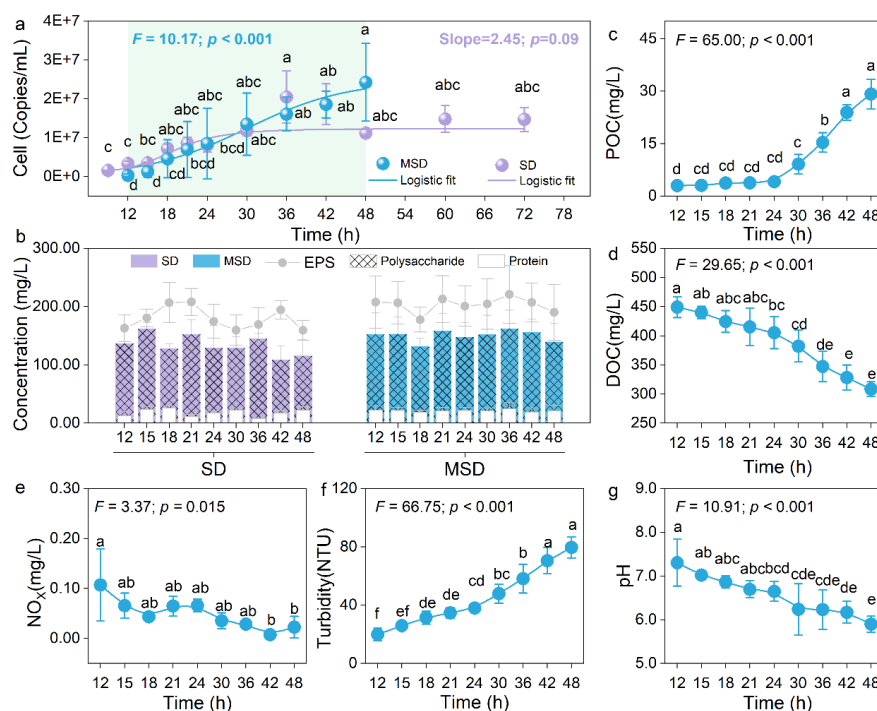
**Figure 4.** Scanning electron micrographs of samples in MM and MSD. (a) Mineral surface structure in MM, (b) Mineral particles and microorganisms in MSD, (c) *S. decontaminans*, (d) Mixing sample including mineral, microorganisms and EPS in MSD, (e) EPS, (f) Floc of MSD. (MM: Montmorillonite mineral system, MSD: Montmorillonite mineral and *S. decontaminans* mixed system.)



**Figure 5.** SEM characterization and elemental analysis of floc components in  
 MSD:SEM images, elemental compositions and maps (C, O, Si, Al) for mixed flocs  
 (a-c), EPS (d-f), and bio-floc (g-i).



**Figure 6.** Atomic force microscope (AFM) images of MM and SD's (a) surface roughness and (b) potential mapping, (c) Comparison of Zeta-potential among MM, MSD and SD, and (d) temporal characters of Zeta-potential in MSD. (MM: Montmorillonite mineral system, SD: a pure culture of *S. decontaminans*, MSD: Montmorillonite mineral and *S. decontaminans* mixed system. Different lowercase letters represented significant differences between treatments ( $P < 0.05$ ) by HSD test.)

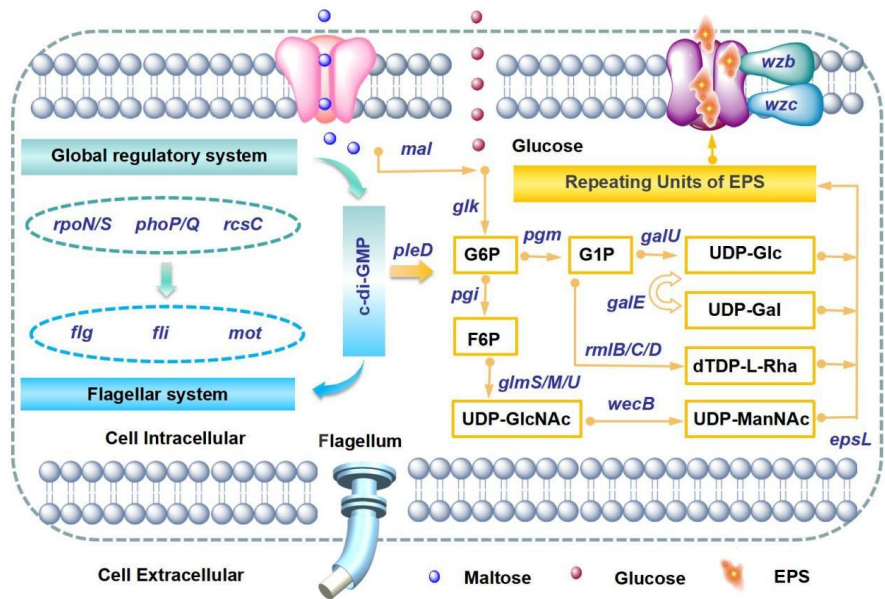


**Figure 7.** Temporal variations of (a) bacteria abundance, (b) EPS (gray line), polysaccharide (patterned boxes), and protein (white boxes) concentrations in MSD (blue) and SD (purple), and (c) particulate organic carbon (POC), (d) dissolved organic carbon (DOC), (e)  $\text{NO}_x = \text{NO}_2^- + \text{NO}_3^- + \text{NH}_4^+$ , (f) turbidity (NTU), (g) pH value in MSD. (MSD: Montmorillonite mineral and *S. decontaminans* mixed system. Different lowercase letters represented significant differences between treatments ( $P < 0.05$ ) by HSD test.)





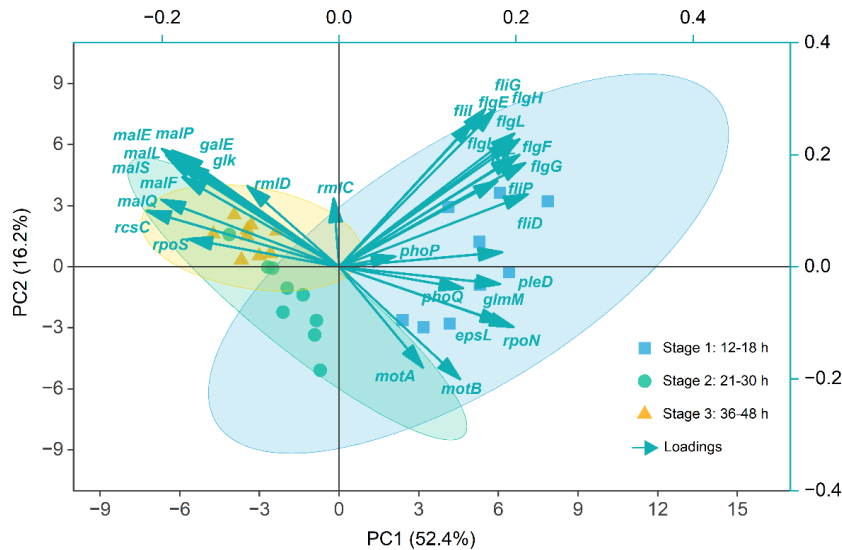
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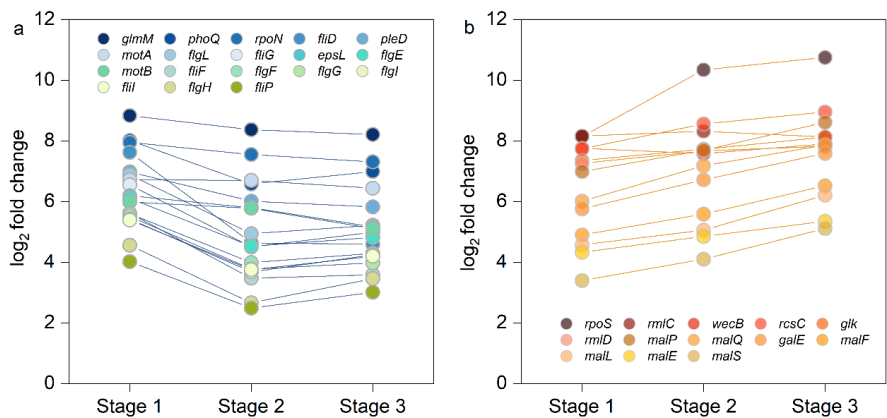
779 **Figure 8.** Gene regulatory network of *S. decontaminans* implicated in EPS regulation.  
780 The depicted systems include: (1) global regulatory system (*rpoN/S*, *phoP/Q*, *rcsC*)  
781 that activate cell motility and polysaccharide production; (2) flagellar system (*flg*, *fli*,  
782 *mot*) that mediate bacterial motility; (3) polysaccharide system (e.g., *pleD*, *mal*, *glk*,  
783 *pgi*, *pgm*, *galU*, *galE*, *rmlB/C/D*, *glmS/M/U*, *wecB*, *epsL*) essential for EPS assembly  
784 and export. (F6P: Fructose-6-phosphate, G1P: Glucose-1-phosphate, UDP-GlcNAc:  
785 Uridine diphosphate N-acetylglucosamine, UDP-Glc: Uridine diphosphate glucose,  
786 UDP-Gal: Uridine diphosphate galactose, dTDP-L-Rha: Deoxythymidine  
787 diphosphate L-rhamnose, UDP-ManNAc: Uridine diphosphate N-  
788 acetylmannosamine).  
789



790



791 **Figure 9.** PCA of expressed genes and the differentially expressed genes across  
792 flocculation Stages one (12-18 h), two (21-30 h), and there (36-48 h). (All gene data  
793 were log2-transformed.)  
794  
795

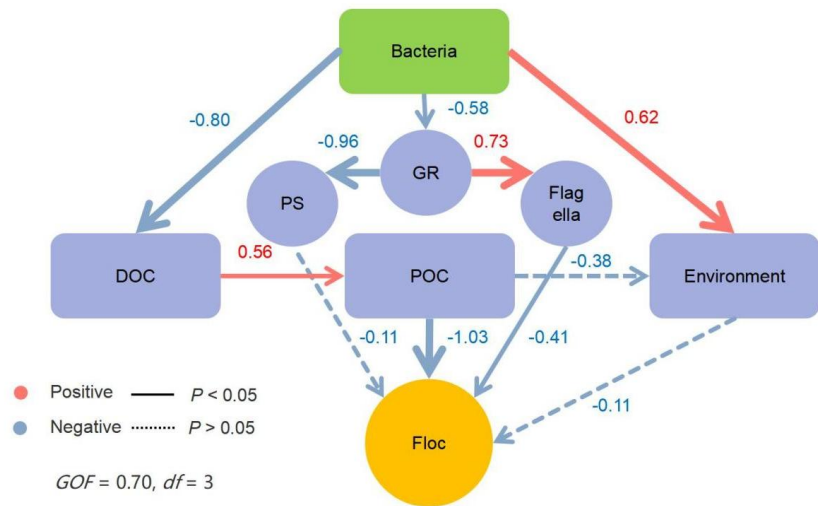


796 **Figure 10.** Dynamic expression changes of (a) up- and (b) down-regulated genes  
797 across flocculation Stages one (12-18 h), two (21-30 h), and there (36-48 h).  
798  
799

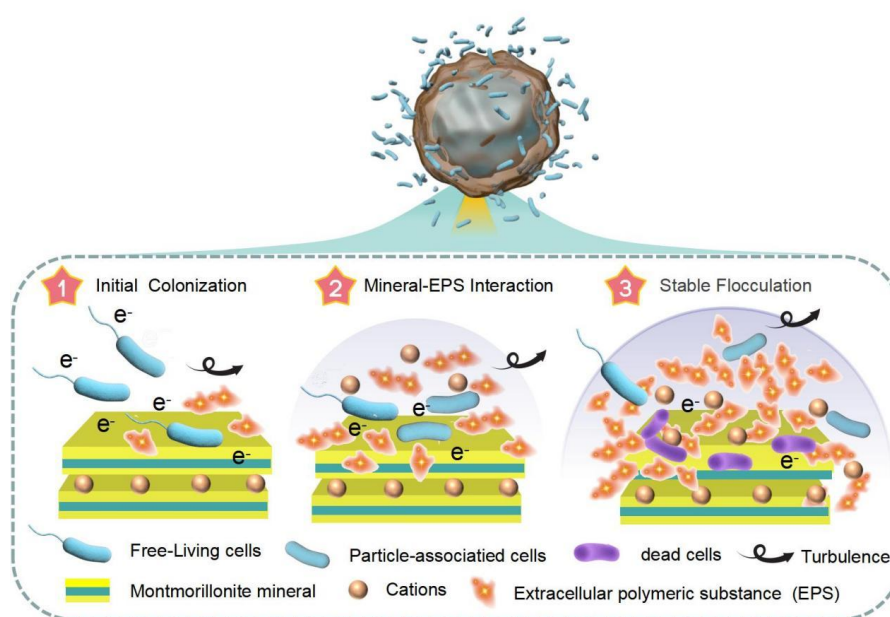




800



801 **Figure 11.** Structural equation model linking bacteria, gene, environmental response  
802 and floc structures in MSD. (Numbers beside arrows indicated standardized  
803 coefficients. Solid lines indicated significant relationships. The red lines and arrows  
804 indicated positive effects. Bacteria, copy number of the bacterial cells; GR, Global  
805 regulatory system, PS, polysaccharide system, Flagella, Flagellar system, POC,  
806 particulate organic carbon, DOC, dissolved organic carbon, Environment included  
807 pH, turbidity, NOX-, Zeta, Floc structure included  $D_m$ ,  $D_{50}$ ,  $D_{90}$ ,  $N_f$ .)  
808



**Figure 12.** A three-stage conceptual framework for microbial modulation of flocculation.