



1        **Suspended sediment transport modulated by microbial**  
2        **activities in estuarine waters: Insights from molecular and**  
3        **structural perspectives**

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15



16 **Abstract**

17 Suspended sediment transport in coastal estuaries is profoundly shaped by  
18 microbial activities, yet the underlying molecular mechanisms remain poorly  
19 constrained during their flocculation. Here, we demonstrate that the estuarine bacterium  
20 *Stutzerimonas decontaminans* acts as a key mediator of sediment flocculation.  
21 Compared to purely physical aggregation, microbially-induced flocculation developed  
22 more slowly but yielded flocs fourfold larger, with looser fractal structures and higher  
23 organic carbon content, indicating strong microbial-mineral coupling. Bacteria  
24 modulated flocculation both physically via flagella-driven adhesion and biochemically  
25 through extracellular polymeric substances, which enhanced particulate organic carbon  
26 accumulation. Transcriptomic analyses revealed an early upregulation of flagellar genes  
27 initiating particle adhesion, followed by the activation of polysaccharide biosynthesis  
28 pathways to stabilize aggregates. This sequential regulation highlights a genetic trade-  
29 off between motility and biofilm-like stickiness in controlling floc growth. Our findings  
30 provide direct molecular and structural evidence that microbial activities fundamentally  
31 reshape sediment aggregation dynamics, thereby regulating suspended sediment  
32 transport and carbon cycling in coastal systems.

33

34 **Key words:** Bio-flocculation, microorganisms, extracellular polymeric substances  
35 (EPS), floc structure, gene regulation, estuary.

36

37



## 38 1. Introduction

39 Estuaries, as critical ecological transition zones between land and ocean, play a  
40 central role in global biogeochemical cycles (Gattuso et al., 1998; Burchard et al., 2018).  
41 As hubs for land-derived materials and carbon cycling, the formation, growth, breakup,  
42 and settling of mud flocs exert fundamental controls on suspended sediment transport  
43 (Zhu et al., 2021), the fate of particulate organic carbon (POC) (Bauer et al., 2013), the  
44 transformation of pollutants (Li et al., 2023), and the cycling of biogeochemical  
45 elements (Turner & Millward, 2002).

46 Previous studies on mud flocculation dynamics mostly focused on  
47 physicochemical factors such as flow shear, salinity-induced charge neutralization, and  
48 particle concentration and composition (e.g., Mietta et al., 2009; Moruzzi et al., 2017;  
49 Tran et al., 2018; Guo et al., 2021; Ye et al., 2023). However, growing evidence  
50 indicates that purely physicochemical models cannot fully explain the developmental  
51 behaviors of natural suspended mud flocs. Particle-associated microbial processes have  
52 gradually been incorporated into conceptual and numerical models (e.g., Maggi, 2009;  
53 Lai et al., 2018; Nguyen et al., 2018; Shen et al., 2019), thereby extending traditional  
54 flocculation theory. Moreover, bioflocculation dynamics exert strong influence on  
55 estuarine-coastal material or elements cycling (e.g., Droppe, 2001; Nguyen et al., 2022)  
56 and thus provide key scientific guidance for estuarine dredging, channel maintenance,  
57 water quality management and estuarine restoration globally (Cox et al., 2022; Chen et  
58 al., 2024).

59 Bio-flocculation, driven by active microorganisms (bacteria, algae, viruses, fungi,  
60 protozoa, etc.), has been recognized as a central engine controlling the aggregation,  
61 transformation, and transport of suspended sediments in estuaries (Burd & Jackson,  
62 2009; Dang & Lovell, 2016; Deng et al., 2022). Compared with mineral clay particles  
63 aggregation chemically, mud flocculation processes highly mediated by natural  
64 estuarine microbial strains, algae, fungus, and bacterial extracellular polymeric  
65 substances (EPS) profoundly alter surface physicochemical properties and structures,  
66 significantly affecting floc size, density, and porosity (e.g., Tang & Maggi, 2016; Deng  
67 et al., 2023; Ho et al., 2022; Nguyen et al., 2017; Labille et al., 2005; Ye et al., 2023).  
68 This produces bio-mineral aggregates that are larger, more porous, and structurally  
69 more complex than mineral-only flocs, thereby modifying settling velocities, transport  
70 dynamics, and adsorption behaviors (Passow & De La Rocha, 2006; Chapalain et al.,



71 2019). Bio-flocculation is inherently dynamic, and we hypothesize that bio-mineral  
72 mixed flocs under continuous shear forces reach a state of dynamic balance between  
73 aggregation and breakup. Yet, the molecular mechanisms by which marine  
74 microorganisms perceive environmental signals and regulate the formation, structural  
75 evolution, and transport behavior of suspended flocs remain poorly understood (Belas,  
76 2014; Dang & Lovell, 2016; Berne et al., 2018; Sun & Zhang, 2021).

77 The molecular basis of bacterial colonization and EPS secretion, and their  
78 functional roles in bio-flocculation and sediment transport, remain insufficiently  
79 studied, especially in complex estuarine and marine systems. In recent years, high-  
80 throughput sequencing technologies such as transcriptomics have provided powerful  
81 tools to resolve EPS bio-synthetic pathways (e.g., Schmid et al., 2015; Shukla et al.,  
82 2019; Rana & Upadhyay, 2020; Sun & Zhang, 2021; He et al., 2024). Here, we  
83 investigate how dominant EPS-producing estuarine bacteria (e.g., *Stutzerimonas*  
84 *decontamans*) (Wu et al., 2017; Mulet et al., 2023) influence the flocculation of  
85 inorganic clay minerals (e.g., montmorillonite) under high turbulent shear. Specifically,  
86 this study addresses following key questions: Compared with inorganic mineral  
87 flocculation, how do prokaryotes and their EPS regulate the kinetics and structural  
88 characteristics of bio-mineral suspended flocs? How do microbial processes modulate  
89 environmental factors during flocculation? What are the key gene expression patterns  
90 and molecular regulatory mechanisms underlying bio-flocculation? To this end, we  
91 conducted series bacterial-mineral flocculation experiments using a custom-designed  
92 reaction system. By coupling AI-based image analysis with transcriptomic approaches,  
93 new insights into the dynamic processes and molecular mechanisms through which  
94 microbial activity modulates suspended sediment transport in estuaries have been  
95 provided.

96

## 97 **2. Materials and Methods**

### 98 **2.1. Laboratory experimental setup**

99 A self-designed Experimental Facility for Sediment Bio-flocculation (EFSB; Fig.1)  
100 equipped with a unified camera system has been used in this study. Each experiment  
101 comprised at least three replicate apparatuses to ensure reproducibility. The mixing  
102 chamber served as the core site for bacterial growth and bio-flocculation, and therefore  
103 underwent strict sterilization. A stainless-steel shaft (30 cm) with a 12 cm three-bladed



104 propeller was inserted into the chamber containing 5 L salt-supplemented medium. The  
105 chamber was sealed with a custom quartz plate ( $\varphi$  20 cm) and a red rubber O-ring; the  
106 shaft tip passed precisely through the plate's central bore ( $\varphi$  15 mm). The assembly was  
107 wrapped with plastic film and autoclaved at 121 °C for 15 min (GI80, Zealway). After  
108 cooling to room temperature, the chamber was mounted on the bench base, the stirrer  
109 was connected to a variable-speed drive, and the system was brought to a constant  
110 rotational speed of 100 rpm, corresponding to a shear rate of 65  $\text{s}^{-1}$  pre-calibrated in a  
111 5 L sediment suspension using an Acoustic Doppler Velocimeter (ADV; Nortek).

112 For on-line sampling and imaging, a peristaltic pump set to 40  $\text{mL min}^{-1}$  drove the  
113 suspension through a sampling assembly comprising a 25 cm glass tube (ID 5 mm), a  
114 square quartz tube (length 10 cm; ID 3 mm; wall 0.5 mm), and two rubber connectors  
115 (ID 3.2 mm). As flocs traversed the square quartz tube, the “shooting point”, focal  
116 distance 3.5 cm, they were recorded by a high-speed camera (AcutEye-1M-2000) with  
117 a 300 W LED light source synchronized to a computer. After each sampling, an equal  
118 volume of fresh sterile medium was replenished via a sterile line using an electronic  
119 pipette (Model S1, Thermo Fisher Scientific) to maintain a constant shear history.

120

## 121 **2.2. Experimental treatments**

122 Bio-flocculation processes were investigated in systems containing  
123 montmorillonite clay and *Stutzerimonas decontaminans* (hereafter *S. decontaminans*),  
124 a dominant bacterium in the Pearl River Estuary known for high EPS production (Li et  
125 al., 2018). Montmorillonite (High-Purity Clay Mineral Repository of China) had a  
126 median particle size  $D_{50}$  of 13.30  $\mu\text{m}$  (Mastersizer 3000, Malvern Panalytical, UK).  
127 Three experimental series were established:

128 (1) **SD (pure biological material):** *S. decontaminans* was inoculated into 5 L basal  
129 salt medium ( $1.2 \text{ g}\cdot\text{L}^{-1}$  R<sub>2</sub>A nutrients +  $15 \text{ g}\cdot\text{L}^{-1}$  NaCl) and cultured at room temperature  
130 for 72 h under high shear ( $65 \text{ s}^{-1}$ ).

131 (2) **MM (montmorillonite only):** 10 mL mineral stock ( $50 \text{ g}\cdot\text{L}^{-1}$ ) was added to 5  
132 L saline (15 PSU) and vigorously mixed at 300 rpm for 5 min to form initial flocculi,  
133 followed by 160 min at constant  $G = 65 \text{ s}^{-1}$ .

134 (3) **MSD (bio-material and mineral mixture):** A 12-h culture of *S.*  
135 *decontaminans* was combined aseptically with 10 mL sterilized mineral stock ( $50 \text{ g}\cdot\text{L}^{-1}$ )  
136 and maintained for 48 h at  $G = 65 \text{ s}^{-1}$ . Continuous turbulent mixing ( $G = 65 \text{ s}^{-1}$ )



137 minimized aggregation-driven settling. Replicates were  $n = 6$  for MSD and  $n = 3$  for  
138 MM and SD.

139

140 **2.3. Image processing and floc structures**

141 Sampling protocols differed between the MM and MSD. Specifically, the MM  
142 treatments were sampled every 20 minutes, whereas the MSD treatments were sampled  
143 initially every 3 hours (12-24 h) and then every 6 hours until 48 h (Fig.2a). At each  
144 imaging time point, flocs at the shooting point were recorded at 20 Hz for 2-3 min  
145 (~3000 frames per time) (Fig.2b). Images were curated and processed in Python 3.10  
146 using an instance-segmentation workflow based on YOLOv8-seg (Fig.2d) (Jocher et,  
147 al., 2023), including preprocessing and standardization, object detection and instance  
148 segmentation with an improved YOLOv8-seg (confidence threshold 0.6 and size filters),  
149 contour refinement via Gaussian smoothing and morphological opening, morphological  
150 feature extraction, and secondary filtering and statistics.

151 Projected area (A), perimeter (L), ratios of major/minor axes, and related metrics  
152 were derived from segmented masks. The sphere-equivalent diameter was computed as  
153  $D_{eq}=2A/\pi$  after converting pixels to physical units (1 pixel = 1.8  $\mu\text{m}$ ). The two-  
154 dimensional fractal dimension  $N_f$  was estimated from perimeter-area scaling (i.e.,  
155  $L \propto A \cdot N_f/2$ ) (Moruzzi et, al., 2017). Particle-size distributions (PSD) were constructed  
156 in 5  $\mu\text{m}$  bins;  $D_{50}$  and  $D_{90}$  were reported. Flocs were also grouped into size classes of  
157 0-20  $\mu\text{m}$ , 20-60  $\mu\text{m}$ , 60-120  $\mu\text{m}$ , and >120  $\mu\text{m}$  to compare MM and MSD dynamics.

158

159 **2.4. Microstructural characterization for particles (SEM and AFM)**

160 Mixed flocs (MSD) and mineral flocs (MM) were immobilized on ITO-coated  
161 conductive glass slides (20×10×1.1 mm; GOLO, China). Samples of MSD were  
162 equilibrated in phosphate buffer (pH 7.0), fixed with 2.5 % glutaraldehyde at 4 °C for  
163  $\geq 4$  h, dehydrated through graded ethanol (30-100 %, 15 min per step), and air-dried  
164 (Anvari-Yazdi et, al., 2014). For **scanning electron microscopy (SEM)**, specimens  
165 were sputter-coated with Au-Pd and imaged (Axia ChemisEM HiVac, Thermo  
166 Scientific). Elemental mapping via SEM was used to revealed the elemental  
167 composition of these flocs. For **atomic force microscopy (AFM)**, dried samples were  
168 scanned (Dimension FastScan, Bruker) to obtain 3-D topography and surface potential;



169 NanoScope Analysis (v1.9) with third-order flattening provided surface roughness  
170 parameters (Ra, Rq) and potential distributions.

171

172 **2.5. Laboratory sampling and physicochemical measurements**

173 In MSD, imaging and sample collection were synchronized (Fig.2b-c). For  
174 transcriptomics, three biological replicates (100 mL each) were filtered onto 0.22  $\mu$ m  
175 membranes (Pall Life Sciences, USA), flash-frozen in liquid N<sub>2</sub>, and stored at -80 °C  
176 until RNA extraction. An additional 100 mL aliquot was used for biochemical and  
177 microbial indicators: 10 mL through a 0.22  $\mu$ m GF/F filter (Whatman International Ltd.,  
178 England) for particulate organic carbon (POC), and 20 mL through a sterile 0.22  $\mu$ m  
179 membrane (Pall Life Sciences, USA) for 16S rRNA quantification; membranes were  
180 stored at -20 °C. Filtrates were used for (1) dissolved organic carbon (DOC; 10 mL),  
181 (2) EPS extraction by adding three volumes of absolute ethanol to 10 mL filtrate, and  
182 (3) dissolved inorganic nitrogen (NO<sub>x</sub> = NO<sub>2</sub><sup>-</sup> + NO<sub>3</sub><sup>-</sup> + NH<sub>4</sub><sup>+</sup>; 15 mL). Filtrates were  
183 stored at 4 °C until analysis.

184 POC and DOC were measured by combustion oxidation with non-dispersive IR  
185 detection (TOC-VCPN, Shimadzu, Japan). Proteins were quantified by the Lowry assay  
186 (BSA standard; 490 nm; Lowry et al., 1951) and polysaccharides by the phenol–  
187 sulfuric acid method (glucose standard; 750 nm; DuBois et al., 1956) on a microplate  
188 reader (Multiskan Sky, Thermo Fisher Scientific, USA). Total EPS was calculated as  
189 protein + polysaccharide. NH<sub>4</sub><sup>+</sup>-N, NO<sub>2</sub><sup>-</sup>-N, and NO<sub>3</sub><sup>-</sup>-N were measured with a  
190 continuous-flow analyzer (QuAAstro 39, Seal Analytical, UK) and summed as NO<sub>x</sub>. pH  
191 and zeta potential were determined on 15 mL subsamples using a pH meter and a  
192 BeNano 90 Zeta analyzer (Dandong Better Instruments, China), respectively; turbidity  
193 was measured on the remaining suspension (2100N, HACH, USA).

194

195 **2.6. Bacterial Growth Curves**

196 Both SD and MSD treatments were sampled every 3 hours from 12 to 24 h,  
197 followed by 6-hour-interval sampling until 72 h (SD) and 48 h (MSD), respectively  
198 (Fig.2a). All microbial samples were immediately filtered for DNA extraction. After  
199 assessing the DNA concentration and integrity of all samples using a Qubit 3.0  
200 fluorometer and agarose electrophoresis, a mixture of synthetic spike-in standards at  
201 graded concentrations was added to each DNA pool. The V4-V5 region of the 16S



202 rRNA gene and spike-in sequences were amplified with primers 515F and 907R and  
203 sequenced on an Illumina NovaSeq 6000 (Tkacz et, al., 2018). Absolute quantification  
204 based on spike-in calibration was performed by Genesky Biotechnologies (Shanghai,  
205 China). The data obtained from viable DNA quantification were used to generate the  
206 bacterial growth curves. The following experiments were conducted during the  
207 logarithmic phase of growth in MSD.

208

### 209 **2.7. Transcriptomic analysis**

210 To elucidate the differential expression and transcription of genes that regulate the  
211 flocculation process. Total RNA was extracted using the Soil RNA Extraction Kit  
212 (RNS485) (Mei5 Biotechnology Co., Ltd, China). RNA sequencing and analysis were  
213 carried out by Guangdong Magigene Technology Co., Ltd (Guangzhou, China)  
214 (Callahan et, al., 2016; Guo et, al., 2024).

215 Quality control was conducted on both the genome and transcriptome data,  
216 followed by genome assembly and subsequent annotation using the cleaned data. To  
217 analyze the gene expression abundance, sequencing reads were used to perform  
218 alignment using Bowtie2. Gene expression was quantified using FPKM (Fragments Per  
219 Kilobase per Million mapped reads). Differentially expressed genes (DEGs) were  
220 identified with a threshold of false discovery rate (FDR)  $\leq 0.05$  and  $|\log_2(\text{fold change})| \geq 1$ . Finally, all FPKM values were  $\log_2$ -transformed for subsequent downstream  
221 analyses. Selected genes encompassed core components of global regulators (e.g.,  
222 *PhoQ*, *RpoS*, *RcsC*), c-di-GMP metabolism (e.g., *PleD*), flagellar assembly (e.g., *flg*,  
223 *fli*, *mota/B*), polysaccharide synthesis (e.g., *gale*, *glk*, *epsL*). Information of key  
224 enzymes in the synthesis of EPS used in this study was shown in Table S1.

225

### 227 **2.8. Statistical analysis**

228 One-way ANOVA was used to test temporal differences in mean diameter ( $D_m$ ),  
229  $D_{50}$ ,  $D_{90}$ , and  $N_f$  between MSD and MM. Temporal trends in bacterial growth, genes  
230 exhibiting expression, and physicochemical variables were analyzed similarly. When  
231 ANOVA indicated significance ( $P < 0.05$ ), Tukey's HSD was applied for pairwise  
232 comparisons. Principal component analysis (PCA) was used to assess overall  
233 transcriptional shifts across different stages. Analyses and plots were produced in  
234 Origin 2024. Partial least-squares path modeling (PLS-PM) was used to evaluate direct



235 and indirect effects of *S. decontaminans* (flagellar motility/polysaccharide synthesis)  
236 on inorganic flocculation; models were built with the plspm package in R4.2.2.

237

### 238 **3. Results**

#### 239 **3.1. Microbial role on mud flocculation enhancement**

240 The mineral-only system (MM) reached equilibrium rapidly according to the  
241 experimental results, in one hour (Fig.3a-d), with a resulting equilibrium diameter ( $D_m$ )  
242 of approximately 30  $\mu\text{m}$  and a steady  $N_f$  value of 1.54 (Fig. 3a-d). As shown in Fig.1e,  
243 a predominance of small particles (20–60  $\mu\text{m}$ , 68.92%) was observed in the MM with  
244 the maximum  $D_{90}$  of 49  $\mu\text{m}$ . Larger aggregates ( $>60 \mu\text{m}$ ) were scarce and remained  
245 below 5.5 % of total abundance (Fig.3e).

246 In contrast, the bio-mineral system (MSD) underwent a prolonged three-stage  
247 trajectory spanning 12–48 h (Fig.3g-i), characterized by rapid growth (12–18 h) (Fig.3g),  
248 decelerated aggregation (21–30 h) (Fig.3h), and dynamic equilibrium (36–48 h) (Fig.3i).  
249 Even after the mean floc sizes ( $D_m=113 \mu\text{m}$ ) stabilized,  $D_{90}$  continued to increase  
250 (Fig.3d), a trend driven by the growing proportion of large flocs ( $>120 \mu\text{m}$ ; 37.03%)  
251 (Fig.3f), indicating a persistent capacity for large floc formation. At equilibrium, MSD  
252 produced aggregates 3.8 times larger than MM, with fractal dimensions consistently  
253 lower (1.43), reflecting looser and more open structures (Fig.3b).

254

#### 255 **3.2. Structural re-organization and self-adaption of mud flocs**

256 Beyond enhancing floc size, microorganisms restructured aggregate architecture  
257 at the microscale (Fig.4). SEM imaging clearly revealed that MSD flocs developed  
258 irregular, loosely bound morphologies, in contrast to the compact aggregates in MM  
259 (Fig.4a-d). Moreover, evidence of bacterial and EPS matrix binding to mineral grains  
260 was observed in Fig.4b,c,e,f.

261 As a key component of flocs, EPS, was observed to form a distinct structural entity,  
262 exhibiting a fibrous or ribbon-like morphology (Fig.4e, Fig.5d), which contributed to  
263 enhanced toughness and structural stability for mixed flocs.

264 The elemental composition confirmed microbial contributions to the mixed flocs,  
265 marked by significant carbon (C, 7.4%) and predominant oxygen (O, 70.4%) contents  
266 (Fig.5a-c)—both values closely aligned with those in a EPS dominant sample (C, 8.2%;  
267 O, 77.4%; Fig.5 d-f). Alongside this biological signature, the dominant mineral



268 composition was characterized by silicon (Si, 13.3%) and aluminum (Al, 3.5%) in the  
269 mixed flocs. In contrast to the patterns described above, we also observed that the  
270 carbon-dominated particles (53.9%) exhibited lower oxygen levels (32.3%) and,  
271 correspondingly, a smaller structural size (Fig.5g-i).

272 AFM analysis provides quantitative evidence for these structural modifications  
273 (Fig.6a-b). Mineral surfaces exhibited greater roughness ( $R_q = 66.6$  nm) and higher  
274 surface potentials (232 mV) than bacterial surfaces ( $R_q = 32.9$  nm; 137 mV) (Fig.6a-b).  
275 When combined, these contrasts reduced the effective electrostatic barrier. Zeta  
276 potential measurements corroborated this effect: Absolute potentials were highest in  
277 MM, followed by MSD and SD, with MSD displaying a marked decline as bacterial  
278 growth (Fig.6c-d).

279

### 280 **3.3. Microbial abundance, EPS, and physicochemical parameters**

281 Under pure culture conditions, *S. decontaminans* entered the logarithmic growth  
282 phase after 9 h post-inoculation and reached its peak density at 36 h, with a maximum  
283 bacterial abundance of  $2.05 \times 10^7$  copies/mL (Fig.7a). The population subsequently  
284 entered the stationary phase between 42 and 72 hours, maintaining a stable abundance  
285 level of approximately  $1.36 \times 10^7$  copies/mL.

286 In the MSD, the *S. decontaminans* population exhibited sustained logarithmic  
287 growth throughout the 12-48 h period, with abundance increased significantly from  
288  $2.99 \times 10^5$  copies/mL at 12 h to  $2.43 \times 10^7$  copies/mL at 48 h. During this period, the total  
289 EPS content and its primary components (polysaccharides and proteins) showed no  
290 significant variations during the experimental period both in the MSD and SD (Fig.7b).  
291 Nevertheless, MSD exerted a significant influence on other environmental factors.  
292 Specifically, the POC content in the MSD increased significantly after 30 h,  
293 accumulating to 29.18 mg/L at 48 h (Fig.7c).

294 Concurrently, both DOC and  $\text{NO}_x$  exhibited significant decreasing trends  
295 throughout the experimental period (Fig.7d-e). Besides, the accumulation of organic  
296 matter in the MSD led to a significant increase in turbidity along with a pronounced  
297 decrease in pH (Fig.7f-h).

298

### 299 **3.4. Biological molecular regulation of mud flocculation dynamics**

300 Once the dynamics and structures of biophysical flocs can be affected by microbial



301 activity (Fig.3, Fig.4 and Fig.7a), we sought to delineate underlying changes in the gene  
302 transcriptome that may account for mud flocculation behavior. For this purpose, we  
303 investigated genes essential for EPS regulation, with a focus on the global regulatory  
304 system, flagellar system, and polysaccharide biosynthesis pathways (Fig.8, Tables S1-  
305 S4). Among the about 40 genes surveyed, over three-quarters showed differential  
306 expression during the 12-48 h experimental period (Tables S2-S4). To gain further  
307 insight into how genes regulate flocculation, we employed principal component  
308 analysis (PCA) to assess overall transcriptional shifts across different flocculation  
309 stages (Fig.9), and then identified the regulatory patterns of stage, specific differentially  
310 expressed genes (Fig.10).

311 The results from PCA analysis confirmed stage-specific transcriptional shifts  
312 throughout flocculation. The first two principal components (PC1 and PC2) captured  
313 52.40% and 16.20% of the total transcriptional variance (68.6%), respectively. As  
314 illustrated in Fig. 9, the distinct separation along PC1 revealed that time-dependent  
315 changes dominate the transcriptional profile, driving a continuum from motility and  
316 early attachment (Stage 1) through metabolic adaptation (Stage 2) to stable matrix  
317 assembly (Stage 3). Notably, polysaccharide synthesis genes and flagellar genes  
318 exhibited an inverse distribution along the PC2 axis, reflecting an underlying biological  
319 trade-off between motility and EPS production.

320 In the early phase (12-15 h) of Stage one, flagellar structural and motor genes (e.g.,  
321 *flgE/F/G/H/I/L, fliP/F/G/I/D, motA/B*) were upregulated (Table S3), corresponding to a  
322 burst of motility-driven adhesion that triggered a rapid rise in floc size. Finishing initial  
323 colonization, the cells gradually downregulated flagellar structural (Fig.10a) while  
324 upregulating genes involved in the metabolism of specific carbon sources (such as  
325 maltose, e.g., *malE/P/Q/S*), thereby storing energy and precursor substances (*glk*,  
326 *rmlC/D*) for the EPS production in Stage two (Fig. 10b, Tables S4). Compared to Stage  
327 one, the expression of *rpoN* was notably downregulated, while *rpoS* was significantly  
328 upregulated in Stage two (Fig.9a-b), acting in concert to regulate the shift in bacterial  
329 behavior from motility to adherence.

330 In Stage three, the stability of the floc structure was determined by both the low  
331 expression of flagella-related genes and the stable expression of EPS synthesis pathway.  
332 Specifically, the reduced expression of genes associated with flagellar structure (e.g.,  
333 *flg, fli*) and motility (*mot*) facilitated floc stabilization (Fig.10a, Tables S3). Although



334 the downregulation observed in one EPS-related pathways such as *pleD*, *glmM*, *epsL*,  
335 the steady state of the expression of genes, eg., two-component signaling (*rscC*), sigma  
336 factor(*rpoS*) and polysaccharide synthesis (*glk*, *rmlC/D*, *gale*) (Fig.10b, Tables S2,4)  
337 served as a major driver for floc stability.

338

### 339 **3.5. Effects of microorganisms**

340 Microbes not only restructure aggregates but also couple sediment dynamics to  
341 carbon cycling. A PLS-PM analysis ( $GOF = 0.70$ ) showed that bacteria production  
342 promoted POC accumulation, while DOC declined in parallel (Fig.11). Ultimately,  
343 however, this pathway exerted a net inhibitory effect on floc formation (path coefficient  
344 = -1.03; Fig.11). Also, path analysis confirmed this negative effect, with flagellar  
345 activity exerting a strong inhibitory influence on floc structure (path coefficient = -0.96;  
346 Fig.11). In addition, microbial activity significantly affected environmental variables  
347 such as pH, turbidity, and nitrogen oxides (path coefficient = 0.62), though these  
348 parameters did not directly alter floc structure (Fig.11).

349

## 350 **4. Discussion**

351 Synthesizing these findings, we propose a three-stage conceptual framework (Fig.  
352 12) to systematically elucidate the microbial-mediated dynamic restructuring and  
353 adaptive processes of flocs. The first stage initiates through flagella-mediated initial  
354 cellular adhesion; the second stage consolidates aggregates via EPS-mineral  
355 interactions; and the third stage enhances floc structural stability through  
356 polysaccharide biosynthesis. Traditional flocculation models emphasize the transient  
357 equilibrium governed by physicochemical factors, such as turbulence, ionic strength,  
358 and particle concentration (e.g., Winterwerp, 1998; Zhao et al., 2021; Cui et al., 2023),  
359 however these physicochemical approaches fail to explain the persistence of large,  
360 porous aggregates frequently observed in natural estuaries. Our controlled experiments  
361 demonstrate that microbial activity is essential to bridge this gap.

362 The ecological significance of microbial enhancement on mud flocculation is  
363 profound. In natural estuaries, bio-flocculation hardly proceeds to equilibrium due to  
364 turbulence and shear constantly disruptions. The slower but sustained growth of MSD  
365 suggests that bio-flocs can remain dynamic under fluctuating conditions, continually  
366 reaggregating and persisting in suspension (Fig.3b). This microbial extension of



367 flocculation timescales explains why field measurements consistently record larger,  
368 carbon-rich aggregates than predicted by mineral-only models (Fettweis et al., 2022;  
369 Deng et al., 2022; Nguyen et al., 2022; Baumas & Bizic, 2024). Microorganisms,  
370 therefore, provide a biological buffer that maintains suspended floc populations,  
371 modulating both sediment and organic matter transport.

372 The looser fractal structures ( $N_f=1.43$ ) observed in MSD (Fig.3b) imply aggregates  
373 with lower effective density, higher porosity, and greater deformability under shear.  
374 Such characteristics directly influence transport behavior: bio-flocs with EPS are  
375 expected to settle more slowly, remain longer in suspension (Maggi, 2009, 2013), and  
376 be advected further downstream compared to denser mineral flocs (Zhu et al., 2021).  
377 These properties also enhance the capacity of flocs to trap and carry particulate organic  
378 matter, nutrients, and contaminants. Previous studies have hypothesized that EPS-rich  
379 flocs are critical carriers of carbon and pollutants, elements (e.g., Passow, 2002; Decho  
380 & Gutierrez, 2017; Mari et al., 2017; Baumas & Bizic, 2024), but here we provide direct  
381 imaging and electrochemical evidence of the mechanisms by which microbes reshape  
382 floc architecture to achieve these transport functions. Results of AFM and zeta potential  
383 demonstrated (Fig. 6) that the decreasing repulsion facilitated cohesion among particles  
384 and allowed EPS-mediated adhesion to dominate floc formation (Labille et al., 2005;  
385 Ye et al., 2023; Walshire et al., 2024). Moreover, organic matter contributed 7.4 % -  
386 53.9 % of MSD composition (Fig.5), indicating that microbes not only accelerate  
387 aggregation but also fundamentally alter its biochemical composition.

388 Gene expression sequential regulatory program (Fig.8,9,10, Tables S1-4) explains  
389 the slower kinetics yet larger and looser outcomes of bio-flocculation compared with  
390 mineral flocculation. It also highlights a central microbial strategy: balancing dispersal  
391 and cohesion through stage-dependent gene expression. The molecular evidence thus  
392 provides a mechanistic basis for the structural transformations observed in Figure 10  
393 and validates the pathway interactions revealed by the figure 11. This physiological  
394 shift represents a trade-off: initial motility enables contact (O'Toole et al., 1998; Belas,  
395 2014; Berne et al., 2018; Wadhwa & Berg, 2022), but stability requires suppression of  
396 movement and investment in EPS production (Gerbersdorf & Wieprecht, 2015; Dar et  
397 al., 2021). As microorganisms shift from a free-living planktonic existence to a sessile,  
398 EPS-associated lifestyle, they commonly suppress flagellar gene expression and  
399 simultaneously activate genes governing extracellular polymeric substance (EPS)



400 biosynthesis, two-component regulatory systems (TCS), global transcriptional  
401 regulators, and quorum sensing (QS) signaling pathways (Petrova & Sauer, 2012;  
402 Guttenplan et al., 2013; Dang & Lovell, 2016; Shang et al., 2021). The epimerase  
403 encoded by *galE* (EC 5.1.3.2) served as a critical catalyst in the biosynthesis of UDP-  
404 galactose (Frey, 1996), whereas *rmlC* (EC 5.1.3.13) and *rmlD* (EC 1.1.1.133) were  
405 indispensable for the production of dTDP-rhamnose (Giraud & Naismith, 2000). The  
406 availability of sugar nucleotide precursors represents a key determinant of EPS  
407 biosynthesis. Psl is characterized as a repeating pentasaccharide composed of D-  
408 mannose, D-glucose, and L-rhamnose, playing a key role in adherence to surfaces and  
409 biofilm architecture maintenance (Flemming & Wingender, 2010). Additionally,  
410 bacteria can sense subtle environmental changes (e.g., pH,  $\text{Ca}^{2+}$  and  $\text{Mg}^{2+}$ ) through  
411 specific sensing systems, such as *PhoQ* (EC 2.7.13.3) (Groisman, 2001; Groisman et  
412 al., 2021). Although the addition of cation-containing minerals (Fig.5) stimulated  
413 bacterial growth and promotes early flagellar motility (Tables S2), the downregulation  
414 of *PhoQ* further suppresses the expression of flagellar-related genes and the production  
415 of c-di-GMP (Fig.10a) (Li et al., 2024).

416 Microbially regulated flocculation processes directly influence the transformation,  
417 transport, and fate of carbon in estuarine environments (Bauer et al., 2013). Our path  
418 analysis elucidated the direct links between bacterial abundance and DOC, POC, and  
419 floc structure (Fig.11), confirming that this DOC-to-POC transformation is the material  
420 basis for floc stabilization (Chin et al., 1998; Verdugo et al. 2004; He et al. 2016). The  
421 equilibrium of the MSD flocs observed in this study was attributable to the consistent  
422 total EPS content. Once carbon sources (DOC and polysaccharides) become depleted,  
423 it inhibited microbial growth and induced EPS degradation or diffusion (Schleheck et  
424 al., 2009; Maalej et al., 2017), thereby compromising flocculation. This situation found  
425 support in illite bioflocculation experiments during the bacterial stationary phase (fig  
426 S1-3). Thus, microbial control of carbon availability directly limits the long-term  
427 trajectory of flocculation (Kovárová-Kovar & Egli, 1998).

428 Path analysis indicated that metabolism feed back into water chemistry (Fig.11),  
429 thereby indirectly affecting sediment transport. By reshaping suspended sediment  
430 transport, microorganisms influence estuarine turbidity regimes (Shi et al., 2017),  
431 nutrient and pollutant fluxes and the efficiency of carbon burial (Jiao et al., 2010; Bauer  
432 et al., 2013; Nguyen et al., 2022). Larger, carbon-enriched bio-flocs are more likely to



433 be exported offshore or deposited in carbon-rich estuarine sinks, strengthening the role  
434 of estuaries as hotspots for carbon cycling. These processes are particularly relevant  
435 under anthropogenic pressures such as eutrophication and climate-driven changes in  
436 river discharge (Harrison et al., 2008; Statham, 2012; Cloern et al., 2016; Wetzel &  
437 Yoskowitz, 2013; Zhao et al., 2020), which may alter microbial community  
438 composition and activity (Mai et al., 2018; Wong et al., 2021). Our findings emphasize  
439 that sediment transport models and estuarine management strategies must explicitly  
440 account for microbial processes if they are to predict sediment and carbon fluxes under  
441 future environmental change.

442

## 443 **5. Conclusions**

444 By investigating the flocculation of the estuarine dominant strain *S.*  
445 *decontaminans* with montmorillonite under constant shear, this work establishes that  
446 microorganisms and EPS critically shape floc dynamics and structure, reveals the  
447 governing molecular mechanism, and directly links this process to the estuarine carbon  
448 cycle. The detailed results are summarized as followed:

- 449 1) Bio-mineral flocs exhibit significantly slower flocculation kinetics than purely  
450 inorganic systems in reaching equilibrium. At steady state, the aggregates in MSD  
451 achieve a mean diameter approximately four times larger, coupled with a distinctly  
452 lower fractal dimension of 1.43, possessing a larger, more porous, and more loosely  
453 structured morphology.
- 454 2) *S. decontaminans* and its EPS play a definitive role in both restructuring floc  
455 architecture and modifying the elemental composition of aggregates, elevating  
456 carbon content within a range of 7.4% to 53.9%;
- 457 3) Genes associated with flagellar structure and motility promote the initial adhesion  
458 of bacteria to mineral particles, whereas polysaccharide biosynthesis genes are  
459 essential for stabilizing floc structure;
- 460 4) The microbe-mediated flocculation process actively drives the conversion of DOC  
461 into POC and significantly alters key water chemistry parameters, including  
462 turbidity, pH, and zeta potential, thereby exerting a profound influence on  
463 biogeochemical processes.

464

465



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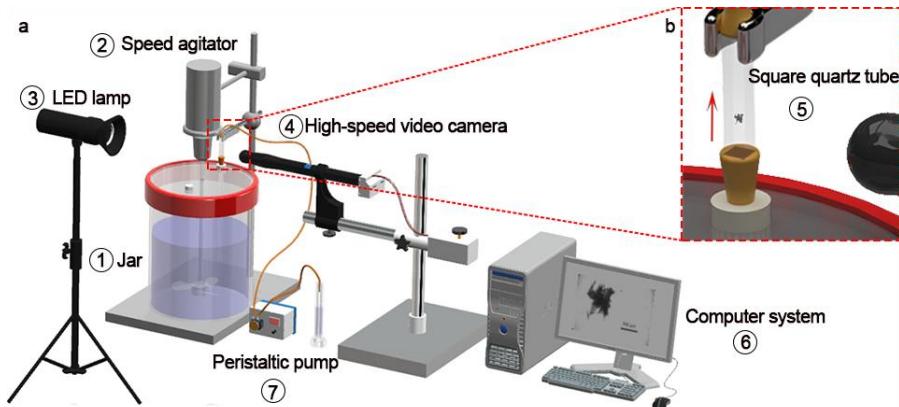


720 **Figures list and captions:**

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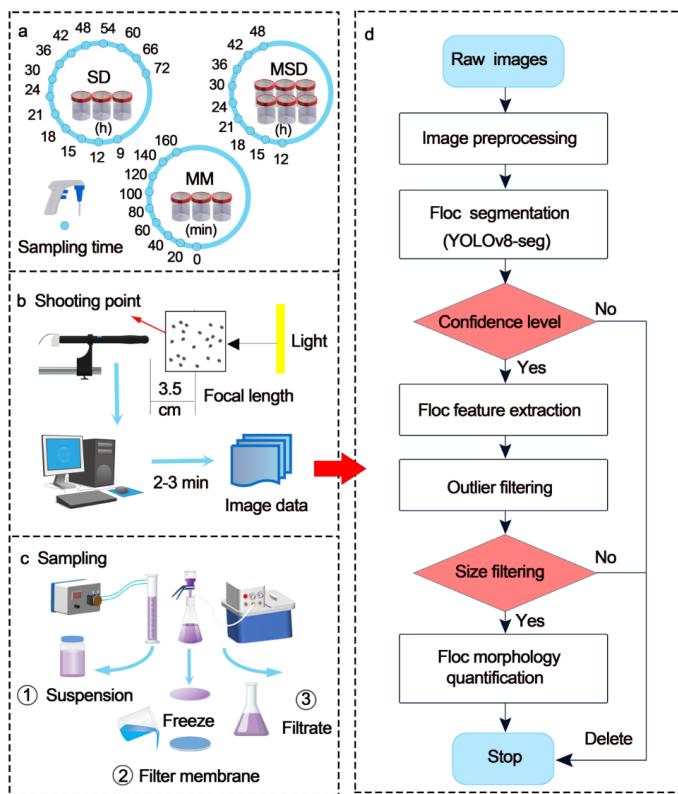
724 **Figure 1.** (a) Schematic of the laboratory experimental setup. The main components  
725 are: (1) jar, (2) LED lamp, (3) variable-speed agitator, (4) high-speed digital camera,  
726 (5) square quartz tube, (6) peristaltic pump, and (7) computer system. (b) Detailed  
727 view of the square quartz tube, showing the “shooting point” location. The red arrow  
728 indicates the flow direction of the suspension.

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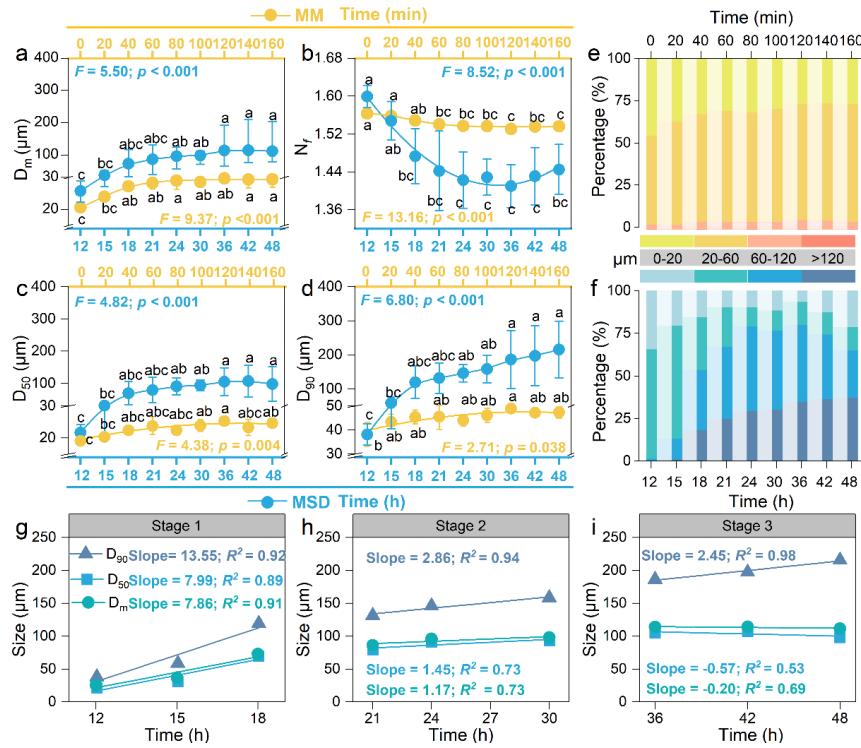
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732 **Figure 2.** The main experimental workflow includes (a) sampling time, (b) image  
733 acquisition, (c) sample collection and (d) image analysis. (The blue dots along the  
734 circles represent the sampling timepoints for the SD (9-72 h), and MSD (12-48 h),  
735 MM (0-160 min) treatments. A high-speed digital camera equipped with a fixed focal  
736 length of approximately 3.5 cm was employed for a 2-3 min imaging. At each  
737 sampling time, particle suspensions, filter membranes (flash-frozen in liquid N<sub>2</sub>), and  
738 filtrates were collected for SD and MSD.)  
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**Figure 3.** Comparative analysis of temporal variations in (a) mean diameter ( $D_m$ ), (b) fractal dimension ( $N_f$ ), (c)  $D_{50}$ , (d)  $D_{90}$  between MM and MSD. Temporal variations in size-class distributions in (e) MM and (f) MSD, respectively. Stage-resolved floc size dynamics and slope transitions in MSD in (g) phase one (12-18 h), (h) phase two (21-30 h), and (i) phase three (36-48 h). (MM: Montmorillonite mineral system; MSD: Montmorillonite mineral and *S. decontaminans* mixed system. Different lowercase letters represented significant differences between treatments ( $P < 0.05$ ) by HSD test.)

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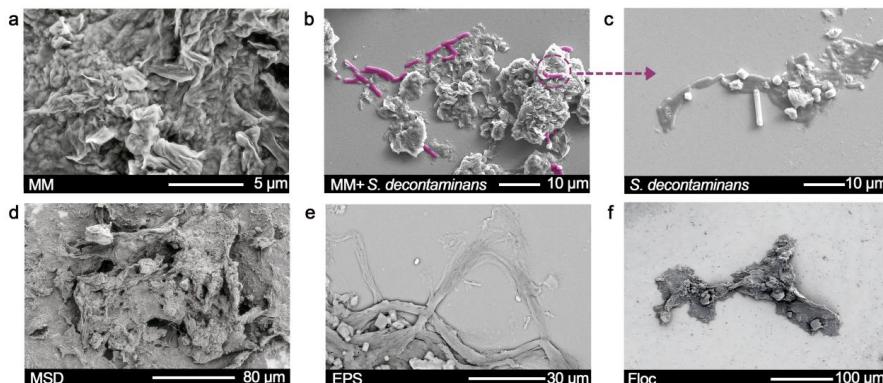
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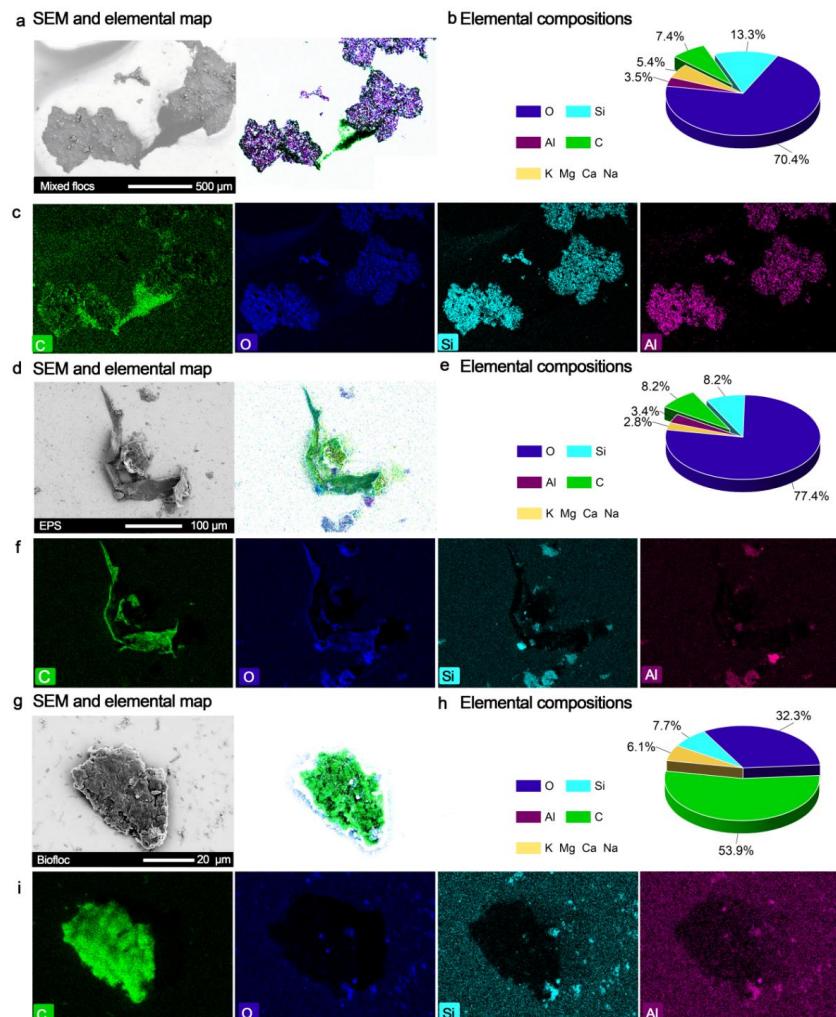
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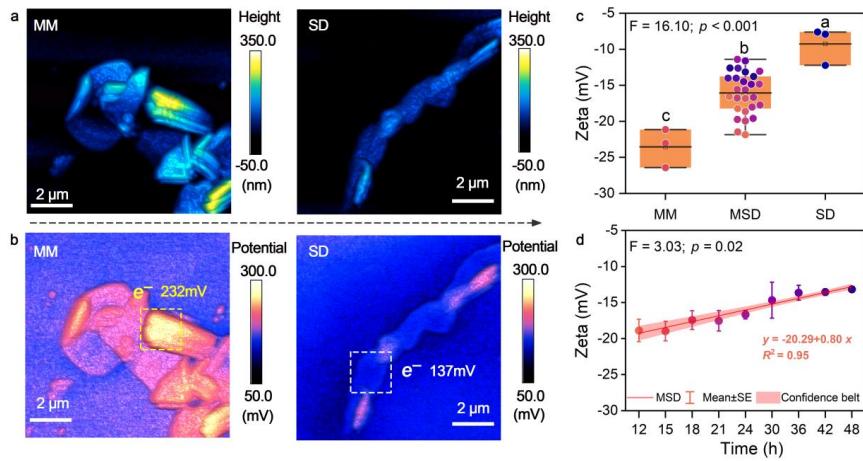
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752 **Figure 4.** Scanning electron micrographs of samples in MM and MSD. (a) Mineral  
753 surface structure in MM, (b) Mineral particles and microorganisms in MSD, (c) *S.*  
754 *decontaminans*, (d) Mixing sample including mineral, microorganisms and EPS in  
755 MSD, (e) EPS, (f) Floc of MSD. (MM: Montmorillonite mineral system, MSD:  
756 Montmorillonite mineral and *S. decontaminans* mixed system.)  
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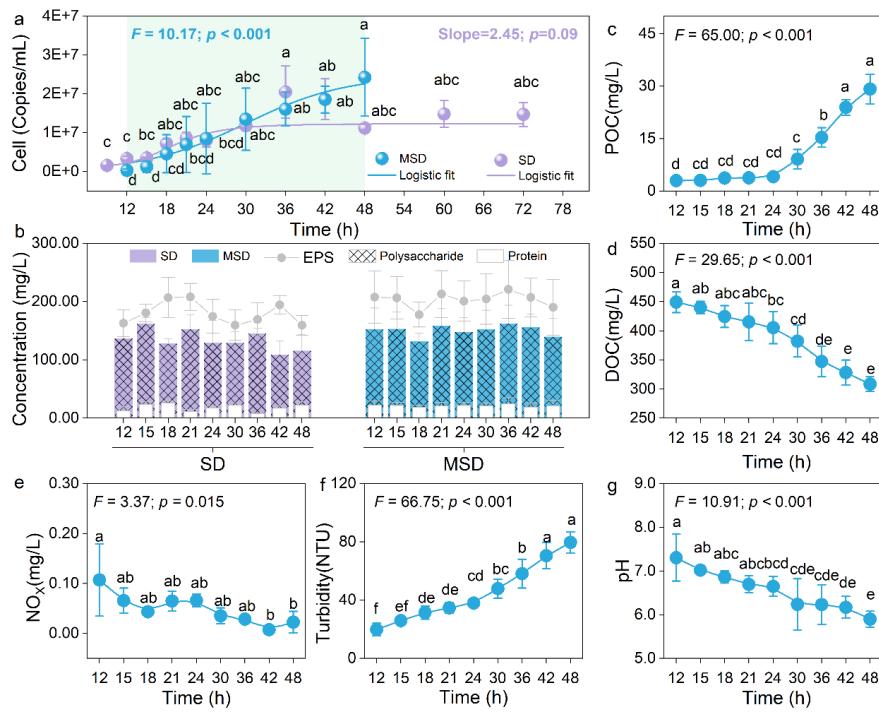


758 **Figure 5.** SEM characterization and elemental analysis of floc components in  
759 MSD:SEM images, elemental compositions and maps (C, O, Si, Al) for mixed flocs  
760 (a-c), EPS (d-f), and bio-floc (g-i).  
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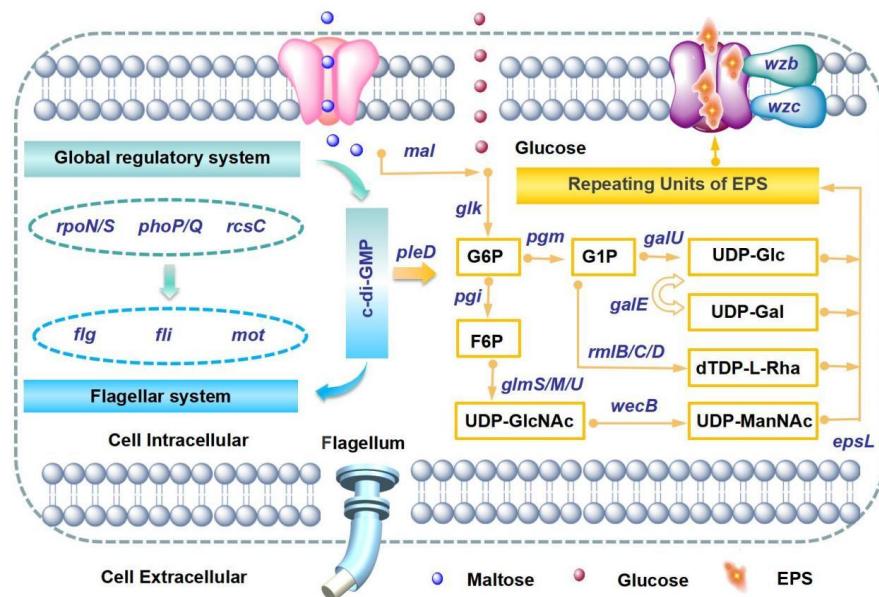
763 **Figure 6.** Atomic force microscope (AFM) images of MM and SD's (a) surface  
764 roughness and (b) potential mapping, (c) Comparison of Zeta-potential among MM,  
765 MSD and SD, and (d) temporal characters of Zeta-potential in MSD. (MM:  
766 Montmorillonite mineral system, SD: a pure culture of *S. decontaminans*, MSD:  
767 Montmorillonite mineral and *S. decontaminans* mixed system. Different lowercase  
768 letters represented significant differences between treatments ( $P < 0.05$ ) by HSD test.)  
769



770 **Figure 7.** Temporal variations of (a) bacteria abundance, (b) EPS (gray line),  
771 polysaccharide (pattened boxes), and protein (white boxes) concentrations in MSD  
772 (blue) and SD (purple), and (c) particulate organic carbon (POC), (d) dissolved  
773 organic carbon (DOC), (e)  $\text{NO}_x = \text{NO}_2^- + \text{NO}_3^- + \text{NH}_4^+$ , (f) turbidity (NTU), (g) pH  
774 value in MSD. (MSD: Montmorillonite mineral and *S. decontaminans* mixed system.  
775 Different lowercase letters represented significant differences between treatments ( $P <$   
776 0.05) by HSD test.)  
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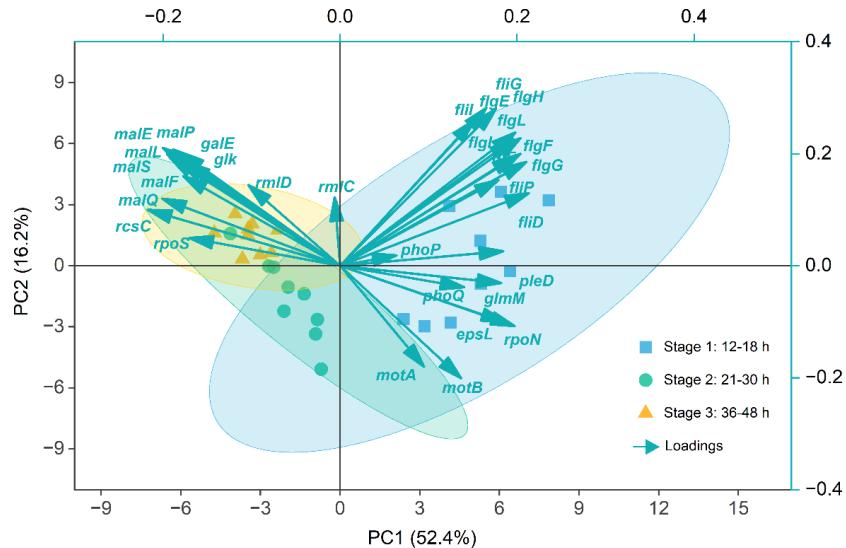


779 **Figure 8.** Gene regulatory network of *S. decontaminans* implicated in EPS regulation.  
780 The depicted systems include: (1) global regulatory system (*rpoN/S*, *phoP/Q*, *rscC*)  
781 that activate cell motility and polysaccharide production; (2) flagellar system (*flg*, *fli*,  
782 *mot*) that mediate bacterial motility; (3) polysaccharide system (e.g., *pleD*, *mal*, *glk*,  
783 *pgi*, *pgm*, *galU*, *galE*, *rmlB/C/D*, *glmS/M/U*, *wecB*, *epsL*) essential for EPS assembly  
784 and export. (F6P: Fructose-6-phosphate, G1P: Glucose-1-phosphate, UDP-GlcNAc:  
785 Uridine diphosphate N-acetylglucosamine, UDP-Glc: Uridine diphosphate glucose,  
786 UDP-Gal: Uridine diphosphate galactose, dTDP-L-Rha: Deoxythymidine  
787 diphosphate L-rhamnose, UDP-ManNAc: Uridine diphosphate N-  
788 acetylmannosamine).

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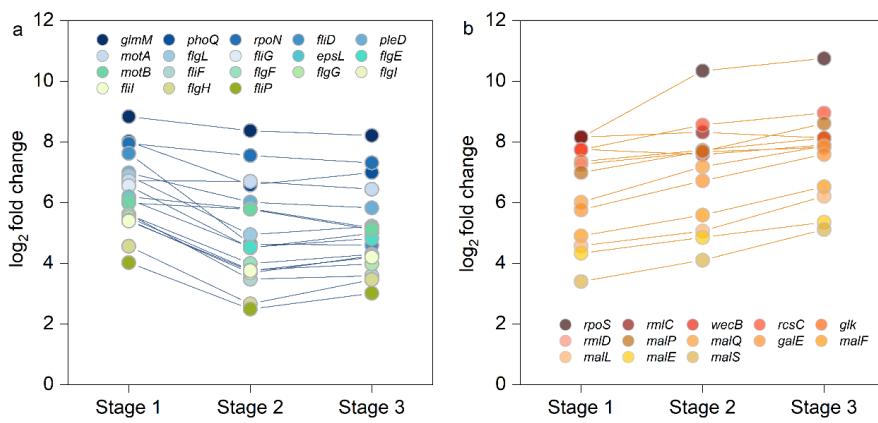
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791 **Figure 9.** PCA of expressed genes and the differentially expressed genes across  
792 flocculation Stages one (12-18 h), two (21-30 h), and three (36-48 h). (All gene data  
793 were log2-transformed.)

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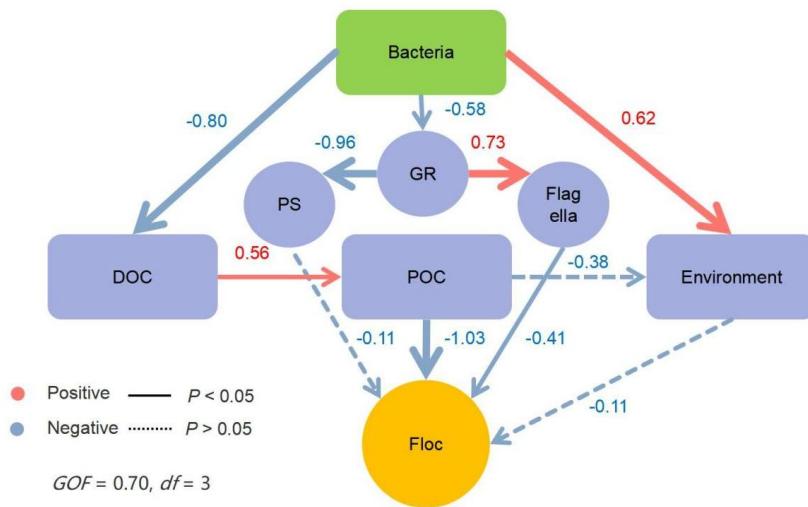
796 **Figure 10.** Dynamic expression changes of (a) up- and (b) down-regulated genes  
797 across flocculation Stages one (12-18 h), two (21-30 h), and three (36-48 h).

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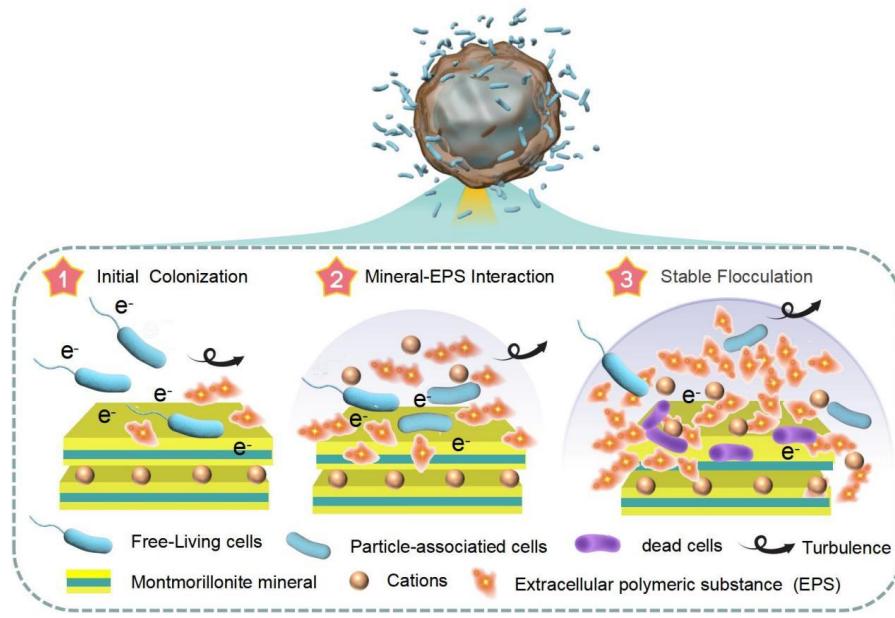
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801 **Figure 11.** Structural equation model linking bacteria, gene, environmental response  
802 and floc structures in MSD. (Numbers beside arrows indicated standardized  
803 coefficients. Solid lines indicated significant relationships. The red lines and arrows  
804 indicated positive effects. Bacteria, copy number of the bacterial cells; GR, Global  
805 regulatory system, PS, polysaccharide system, Flagella, Flagellar system, POC,  
806 particulate organic carbon, DOC, dissolved organic carbon, Environment included  
807 pH, turbidity, NOX-, Zeta, Floc structure included  $D_m$ ,  $D_{50}$ ,  $D_{90}$ ,  $N_f$ .)  
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**Figure 12.** A three-stage conceptual framework for microbial modulation of flocculation.