

1     **Interpretable Machine Learning Quantifies Composition and Size**  
2                     **Influences on Aerosol Spectral Absorption**

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14

## 15 **Abstract**

16       The spectral dependence of aerosol absorption, characterized by the absorption  
17 Ångström exponent (AAE), strongly influences radiative effects, yet the relative  
18 importance of controlling factors remains poorly quantified. We integrate multisource  
19 observations with an interpretable machine-learning framework (Shapley Additive  
20 Explanations, SHAP) to disentangle the roles of chemical composition and particle size  
21 in predicting AAE and to evaluate radiative impacts. Field observation in Beijing reveal  
22 that near-surface AAE is predominantly influenced by higher fine mineral dust and  
23 water-soluble inorganic ions fractions. Multi-year columnar data identify dust loading  
24 as the dominant predictor, followed by carbonaceous aerosols. The fine-mode radius  
25 accounts for 29% of size parameters cumulative importance and ranks closely with  
26 black carbon. SHAP diagnostics highlight that columnar AAE contributes to radiative  
27 forcing at the top of the atmosphere (TOA) comparably to single scattering albedo  
28 (SSA), while its impact is clearly weaker at the bottom of the atmosphere and in the  
29 atmosphere. These findings help clarify AAE determinants and reduce uncertainties in  
30 aerosol radiative effect assessments.

31

## 32 **1 Introduction**

33 Light-absorbing aerosols (LAAs), primarily black carbon (BC), brown carbon  
34 (BrC), and mineral dust, significantly influence regional and global climate by  
35 absorbing solar radiation (Bahadur et al., 2012; Cappa et al., 2016; Kok et al., 2017;  
36 Nishant et al., 2019). For instance, BC contributed a net positive effective radiative  
37 forcing of  $0.11 \text{ W}\cdot\text{m}^{-2}$  during 1750–2019, with a wide uncertainty range from  $-0.20$  to  
38  $+0.42 \text{ W}\cdot\text{m}^{-2}$  (Intergovernmental Panel on Climate Change (Ipcc), 2023), reflecting  
39 limited observational constraints on aerosol optical/microphysical properties and their  
40 inaccurate representation in models (Gliß et al., 2021; Lee et al., 2016). A practical  
41 diagnostic for the spectral shape of absorption is the Absorption Ångström Exponent  
42 (AAE) (Ångström, 1929; Lewis et al., 2008). For pure BC, AAE is theoretically close  
43 to 1.0, but observations show a range of 0.6–1.6 (Kirchstetter et al., 2004; Lack and  
44 Cappa, 2010; Gyawali et al., 2012; Chakrabarty et al., 2013; Wang et al., 2021). BrC  
45 and mineral dust exhibit relatively stronger absorption in the ultraviolet and visible  
46 spectral wavelengths, typically yielding AAE values greater than 2.0 (Russell et al.,  
47 2010; Park et al., 2018; Zhang et al., 2020; Cuesta-Mosquera et al., 2024). For example,  
48 BrC AAE is frequently reported to be  $\sim 2\text{--}6$  depending on source and aging, whereas  
49 dust AAE is typically  $\sim 2\text{--}4$  owing to shortwave absorption by iron oxides (Bergstrom  
50 et al., 2007). Because AAE encodes source and process information that governs  
51 aerosol absorption from ultraviolet to the near-infrared wavelength range, tighter

52 constraints on AAE can help reduce uncertainties in aerosol radiative effects (Cazorla  
53 et al., 2013; Lack and Langridge, 2013; Sand et al., 2021).

54 AAE has been characterized using multiple observational approaches, including  
55 in situ multi-wavelength absorption measurements and surface-based remote sensing  
56 retrievals (Li et al., 2022). In situ observations provide high-precision, process-  
57 resolving constraints on aerosol absorption spectra near the surface and therefore serve  
58 as an important benchmark for evaluating remote sensing products and model  
59 simulations (Gliß et al., 2021). In contrast, surface-based remote sensing can retrieve  
60 aerosol properties integrated over the entire atmospheric column, such as Aerosol  
61 Robotic Network (AERONET), enabling a broader view of aerosol spectral absorption  
62 and its radiative properties (Dubovik and King, 2000). Combining in situ and column  
63 retrievals is particularly valuable because they constrain complementary aspects of  
64 aerosol spectral absorption. In situ measurements are sensitive to near-surface processes  
65 (emissions, hygroscopic growth and aging) but have limited spatial and vertical  
66 representativeness, whereas AERONET provides column-averaged constraints that are  
67 directly connected to radiative impacts but can be influenced by vertical layering and  
68 retrieval assumptions (Li et al., 2022). Therefore, integrating near-surface with  
69 columnar AAE enables us to provide improved observational guidance for models, and  
70 better constrain column characteristics relevant to radiative forcing.

71 Both near-surface and columnar AAE vary with particle size distribution, chemical  
72 composition, and mixing state (Russell et al., 2010; Scarnato et al., 2013; Li et al., 2016;

73 Schuster et al., 2016a; Sotiropoulou et al., 2025). For instance, near-surface BC AAE  
74 may decrease as BC cores grow or as aggregates become more compact during aging  
75 processes (Liu et al., 2018). Recent numerical simulation further indicates that  
76 secondary organic coatings can increase near-surface AAE, with sensitivity to coating  
77 thickness (Zhang et al., 2025). In contrast, photochemical bleaching lowers BrC  
78 ultraviolet absorption and near-surface AAE (Wang et al., 2019). Russell et al., (2010)  
79 showed that columnar AAE values are strongly correlated with aerosol composition or  
80 type. Heterogeneous aging of long-range-transported dust may enhance absorption,  
81 also affecting columnar AAE (Tian et al., 2018). The magnitudes and signs of these  
82 effects depend on location, season, and processing history, complicating both  
83 measurements and modeling and propagating to radiative forcing uncertainty (Sand et  
84 al., 2021; Li et al., 2022; Ponczek et al., 2022).

85 Studying the impact of individual factors on AAE is relatively straightforward.  
86 Previous studies have already examined the effects of particle size, chemical  
87 composition, and mixing state on AAE in isolation (Wu et al., 2015; Schuster et al.,  
88 2016b; Li et al., 2024). However, quantitatively attributing the relative contributions of  
89 particle size and chemical composition to AAE remains a challenging task due to  
90 nonlinearity and collinearity among predictors. For example, observations show that  
91 composition appears dominant when the shape of size distribution is quasi-stationary  
92 (Utry et al., 2014), whereas Mie-theory studies highlight the role of the imaginary  
93 refractive index of organics over size in explaining absorption changes (Yang et al.,

94 2025). Although these studies effectively highlight the roles of particle size and  
95 chemical composition, they lack quantitative assessments of their relative importance.

96 The Shapley Additive exPlanations (SHAP) method offers a principled framework  
97 for feature attribution in machine learning predictions and has been widely adopted in  
98 atmospheric sciences, such as boundary-layer height inversion, ozone formation and  
99 cloud-condensation-nuclei studies (Peng et al., 2023; Tao et al., 2024; Wang et al.,  
100 2025a). SHAP analysis has also been applied to aerosol absorption studies to precisely  
101 quantify the relative contribution of various chromophores to BrC absorption,  
102 providing a mechanistic understanding of its key drivers (Wang et al., 2024). Its  
103 potential to predict AAE and quantify the relative contributions of individual factors  
104 remains unexplored. Addressing this gap would offer an interpretable, data-driven  
105 perspective on aerosol spectral absorption.

106 This study aims to quantify the relative importance of chemical composition and  
107 particle size influencing AAE using surface observations together with long-term  
108 AERONET column retrievals over Beijing. We apply multiple linear regression to  
109 identify their influence on near-surface AAE. Subsequently, we train an ensemble of  
110 machine-learning models to predict columnar AAE. The best-performing model  
111 (CatBoost) is selected for the final prediction, as described later in Section 2.4. Then  
112 SHAP is used for interpretative analysis to quantify the contributions of different  
113 columnar aerosol physical and chemical parameters. Finally, we also evaluate the  
114 diagnostic power of columnar AAE for radiative effects, including aerosol direct

115 radiative forcing (ADRF) and radiative forcing efficiency (ARFE) using interpretable  
116 machine-learning framework.

## 117 **2 Methods**

### 118 **2.1 Field Campaign and Data Processing**

119 An intensive observation campaign focusing on aerosol properties was conducted  
120 in urban Beijing, China, from 16 December 2023 to 15 January 2024. Online and offline  
121 instruments were deployed on the rooftop of the Institute of Atmospheric Physics,  
122 Chinese Academy of Sciences (Building #3; 39.98°N, 116.39°E), approximately 45 m  
123 above ground level. All online instruments were housed in a temperature-controlled  
124 room maintained at ~20 °C to ensure measurement stability, and sampling lines were  
125 equipped with Nafion dryers to minimize the influence of ambient humidity.

#### 126 **2.1.1 In Situ Online Aerosol Observations**

127 Aerosol absorption coefficients ( $b_{abs,\lambda}$ ) at 375, 532, and 870 nm were measured  
128 using photoacoustic extincimeters (PAX, DMT Inc., USA). The PAX measures  
129 aerosol light absorption using the photoacoustic technique, in which absorbed laser  
130 energy is converted into periodic heating of the surrounding gas, generating an acoustic  
131 pressure wave in an acoustic resonator that is detected by a sensitive microphone (Truex  
132 and Anderson, 1979). The light absorption ( $b_{abs,pax}$ ) can be calculated as:

$$133 \quad b_{abs,pax} = \frac{P_{mic} \times A_{res} \times \pi^2 \times f_{res}}{P_L \times (\gamma - 1) \times Q} \quad (1)$$

134 where  $P_{mic}$  and  $P_L$  are the pressure of the microphone and the laser power,  
135 respectively;  $A_{res}$ ,  $f_{res}$  and  $Q$  indicate the cross-sectional area, resonance frequency,  
136 and quality factor of the resonator;  $\gamma$  is the isobaric and isosteric specific heat ratio.  
137 Then the  $b_{abs,\lambda}$  is obtained by subtracting the background absorption measured with  
138 particle-free air from  $b_{abs,pax}$ . In addition, the PAX measures aerosol scattering with  
139 an integrated wide-angle reciprocal nephelometer.

140 Prior to deployment, each PAX was calibrated following the procedure described  
141 in Wu et al., 2015: (i) the scattering channel was calibrated using high-concentration  
142 ammonium sulfate aerosol by regressing the extinction coefficient ( $b_{ext}$ ) derived from  
143 laser power attenuation against the instrument-recorded scattering coefficient ( $b_{sca}$ ).  
144 The scattering calibration factor was then adjusted by applying the regression slope as  
145 a multiplicative correction; (ii) the absorption channel was calibrated using high-  
146 concentration Aquadag aerosol by regressing ( $b_{ext} - b_{sca}$ ) against the instrument-  
147 recorded photoacoustic absorption. The absorption calibration factor was then updated  
148 by dividing it by the regression slope.

149 The near-surface aerosol absorption Ångström exponent ( $AAE_{sfc}$ ) was calculated  
150 as:

$$151 \quad AAE_{sfc} = -\frac{\log(b_{abs,\lambda_1}) - \log(b_{abs,\lambda_2})}{\log(\lambda_1) - \log(\lambda_2)} \quad (2)$$

152 where  $\lambda_1 = 375$  nm,  $\lambda_2 = 870$  nm. Hourly  $PM_{2.5}$  (particle matters with an aerodynamic  
153 diameter  $\leq 2.5$   $\mu$ m) mass concentrations were obtained from the China National  
154 Environmental Monitoring Network for the Beijing urban site. These datasets were

155 used to calculate mass absorption efficiency (MAE) of PM<sub>2.5</sub>:

$$156 \quad MAE_{\lambda} = \frac{b_{abs,\lambda}}{PM_{2.5}} \quad (3)$$

157 Size distributions were measured with a scanning mobility particle sizer (SMPS,  
158 Model 3082, TSI Inc., 8.8–310.6 nm, Stokes diameter) and an aerodynamic particle  
159 sizer (APS, Model 3321, TSI Inc., 0.54–19.8 μm, aerodynamic diameter), with SMPS  
160 data converted to aerodynamic diameter (Text S1) (Shang et al., 2018). To ensure  
161 measurement accuracy, the flow systems of the SMPS and APS were periodically  
162 checked and calibrated by the manufacturer (TSI Inc.) through regular return-service  
163 calibration. In addition, hourly meteorological parameters (wind speed and direction,  
164 temperature, and relative humidity) were obtained from the 47 m meteorological tower  
165 at the Institute of Atmospheric Physics.

### 166 **2.1.2 Offline Aerosol Sampling**

167 Offline PM<sub>2.5</sub> samples were collected on quartz-fiber filters (90 mm diameter;  
168 Whatman 1855-090). Prior to sampling, quartz-fiber filters were pre-cleaned to  
169 minimize filter background. Briefly, filter cassettes were rinsed with absolute ethanol  
170 and air-dried, and aluminum-foil liners cut to the filter size were pre-baked at 550 °C  
171 for 3 h to remove residual carbon. The quartz filters were sequentially soaked in  
172 ultrapure water (5 min × 3 cycles, followed by 2 h × 2 cycles), oven-dried at 150 °C for  
173 1 h, and then prebaked at 550 °C for 5 h to reduce the influence of adsorbed organic  
174 and inorganic materials. After cooling, the filters were wrapped in prebaked aluminum  
175 foil and conditioned for 48 h in a constant temperature and humidity environment prior

176 to gravimetric determination. Then the pre-sampling filter mass was measured using an  
177 electronic microbalance (BSA124S-CW, Sartorius; readability  $\pm 0.1$  mg). Sampling was  
178 conducted using a medium-volume air sampler (Model 2030, Laoshan Electronic  
179 Instrument Co., Ltd.) operated at  $100 \text{ L} \cdot \text{min}^{-1}$  and equipped with a  $\text{PM}_{2.5}$  inlet. The inlet  
180 was installed at approximately 2 m above ground level. Daytime samples were collected  
181 from 09:00 to 20:30, and nighttime samples from 21:00 to 08:30 the following day.

182 After sampling, all filters were analyzed for major chemical compositions,  
183 including water-soluble inorganic ions ( $\text{Na}^+$ ,  $\text{K}^+$ ,  $\text{NH}_4^+$ ,  $\text{Ca}^{2+}$ ,  $\text{Mg}^{2+}$ ,  $\text{Cl}^-$ ,  $\text{NO}_3^-$ , and  $\text{SO}_4^{2-}$ )  
184 measured by ion chromatography (881 Compact IC Pro, Metrohm; and ICS-1500,  
185 Dionex Inc.), metallic elements (Al, Ca, Mg, Fe, and Ti) determined by inductively  
186 coupled plasma–atomic emission spectrometry (ICP-AES; iCAP 7400, Thermo), and  
187 organic carbon (OC), and elemental carbon (EC) quantified using the thermal/optical  
188 carbon analyzer (DRI Model 2015, USA) based on the thermal/optical reflectance  
189 (TOR) method (Chow et al., 2007). The chemical analysis process is described in detail  
190 in Supplementary Text S2.

191  $\text{PM}_{2.5}$  was reconstructed as the sum of organic matter ( $\text{OM} = 1.6 \times \text{OC}$ ) (Guinot et  
192 al., 2007), EC, non-dust water-soluble ions (nd-WSII, and fine mineral dust (FMD)  
193 derived from crustal elements (Malm et al., 1994; Tian et al., 2023), showing good  
194 agreement with measured  $\text{PM}_{2.5}$  ( $r = 0.82$ ). Here, nd-WSII was defined as the sum of  
195  $\text{K}^+$ ,  $\text{NH}_4^+$ ,  $\text{NO}_3^-$ , and  $\text{SO}_4^{2-}$ , while  $\text{Na}^+$ ,  $\text{Ca}^{2+}$ ,  $\text{Mg}^{2+}$ , and  $\text{Cl}^-$  were excluded.  $\text{Ca}^{2+}$  and  
196  $\text{Mg}^{2+}$  were treated as dust-related species,  $\text{Na}^+$  was excluded due to generally elevated

197 blanks associated with quartz-fiber filters and glassware, and  $\text{Cl}^-$  was excluded given  
198 its strong association with  $\text{Mg}^{2+}$  ( $r = 0.77$ , Table S1). The FMD was defined as follows:

$$199 \quad [FMD] = 2.20[Al] + 2.49[Si] + 1.63[Ca] + 2.42[Fe] + 1.94[Ti] \quad (4)$$

200 where  $[Si] = 1.5 [Al]$ .

## 201 **2.2 Multiple Linear Regression**

202 The influence of particle size and chemical composition on  $\text{AAE}_{\text{sfc}}$  was assessed  
203 using a standardized multiple linear regression:

$$204 \quad \widehat{\text{AAE}}_{\text{sfc}} = a + b \times \widehat{\text{FMD}} + c \times \widehat{\text{nd-WSII}} + d \times \widehat{\text{D}}_{\text{SMPS}} + e \times \widehat{\text{D}}_{\text{APS}} \quad (5)$$

205 where  $\widehat{\text{AAE}}_{\text{sfc}}$  denotes the standardized  $\text{AAE}_{\text{sfc}}$ ;  $a$  represents the intercept term, any  
206 remaining influence not parameterized by the selected predictors is captured by the  
207 intercept term;  $b$ ,  $c$ ,  $d$ , and  $e$  are regression coefficients;  $\widehat{\text{FMD}}$ ,  $\widehat{\text{nd-WSII}}$ ,  $\widehat{\text{D}}_{\text{SMPS}}$ ,  
208 and  $\widehat{\text{D}}_{\text{APS}}$  are standardized variables of FMD fraction, nd-WSII fraction, and mean  
209 diameters from SMPS and APS, respectively. To ensure consistent temporal support  
210 between offline chemistry and online optical measurements, we aggregate  $\text{AAE}_{\text{sfc}}$  (and  
211 size-related parameters) over the same sampling windows and use these window-mean  
212 values. We note that  $\text{AAE}_{\text{sfc}}$  can vary within a given sampling period; however, such  
213 within-period variability is not resolvable by the integrated filter chemistry and  
214 therefore cannot be explicitly attributed at finer temporal resolution. To transparently  
215 characterize the associated representativeness uncertainty, we quantify the within-  
216 window dispersion of  $\text{AAE}_{\text{sfc}}$  using the standard deviation across all sampling windows  
217 and provide its frequency and cumulative distributions (Fig. S2). In particular,  $\sim 90\%$

218 of the sampling periods show a standard deviation no greater than 0.35. This result  
219 indicates that window-mean  $AAE_{sfc}$  provides a reasonable representative value at the  
220 filter timescale. Due to power outage on 27 December 2023 and 3 January 2024,  
221 daytime data for 27 December and both daytime and nighttime data for 3 January were  
222 unavailable. In future studies, higher-time-resolution measurements of aerosol  
223 chemical composition would be valuable for more directly linking short-timescale  
224 composition variability with AAE.

225 Notably, to further evaluate the robustness of the regression coefficients, we  
226 conducted a nonparametric bootstrap analysis with 1000 resamples. We also tested an  
227 extended model including EC and OM fractions as additional predictors. However, the  
228 extended model yielded highly unstable coefficient estimates under bootstrap  
229 resampling, with strong dispersion and frequent sign changes (Table S1). In contrast,  
230 the reduced model provides stable and physically interpretable coefficients for the key  
231 predictors and demonstrates good predictive skill for  $AAE_{sfc}$  (the coefficient of  
232 determination ( $R^2$ ) = 0.75, root mean square error (RMSE) = 0.13, mean absolute error  
233 (MAE) = 0.10; Table S2). Consistent with these robustness results, our correlation  
234 analysis further indicates that EC and OM fractions are not significantly associated with  
235  $AAE_{sfc}$  during this campaign (Section 3.2). Therefore, we retained the parsimonious  
236 formulation without EC and OM fractions for subsequent analyses (Equation (5)).

### 237 **2.3 AERONET Data**

238 We used data from the Beijing Aerosol Robotic Network (AERONET) site (39.98°

239 N, 116.38° E). Level 2.0 quality-assured Version 3 inversion products were  
240 selected. Key parameters include aerosol absorption optical depth (AAOD) at 440, 675,  
241 870, and 1020 nm to calculate columnar AAE ( $AAE_{col}$ ):

$$242 \quad AAOD_{\lambda} = k \times \lambda^{-AAE_{col}} \quad (6)$$

243 where  $k$  is a constant. In addition, aerosol size-related parameters were obtained,  
244 including volume size distributions for radii in the 0.05–15.00  $\mu\text{m}$  range, mean radii of  
245 fine-mode and coarse-mode particles ( $R_{fine}$ ,  $R_{coarse}$ ), as well as volume concentrations  
246 of fine-mode (0.05–0.60  $\mu\text{m}$ ,  $vol_{fine}$ ) (Dubovik et al., 2002) and total particles ( $vol_{total}$ ).

247 The fine-mode fraction (FMF) was then calculated as:

$$248 \quad FMF = \frac{vol_{fine}}{vol_{total}} \quad (7)$$

249 To investigate the influence of chemical composition on  $AAE_{col}$ , we used the  
250 chemical composition dataset derived from AERONET inversions (Zhang et al., 2024),  
251 including black carbon (BC), brown carbon (BrC), coarse-mode absorbing insoluble  
252 matter (CAI, representing coarse absorbing dust), coarse-mode non-absorbing insoluble  
253 matter (CNAI, representing coarse non-absorbing dust and aged carbonaceous aerosols),  
254 and fine-mode non-absorbing insoluble matter (FNAI, representing fine non-absorbing  
255 dust and organic carbon) (Li et al., 2019; Zhang et al., 2024).

256 Notably, the column chemical components (BC, BrC, CAI, CNAI, and FNAI) used  
257 here are retrieval-based and should not be interpreted as directly measured chemical  
258 mas. They are inferred from spectral Sun–sky photometer observations through the  
259 AERONET inversion (which retrieves column-integrated size distribution and complex

260 refractive index from AOD and sky radiances) and a subsequent component-mixing  
261 framework (GRASP/Component) that maps the retrieved optical constraints to  
262 optically equivalent component fractions (Dubovik et al., 2000; Sinyuk et al., 2020; Li  
263 et al., 2019). In doing so, the component retrieval necessarily relies on prescribed  
264 assumptions, notably fixed complex refractive indices for the dry components, an  
265 internal-mixing rule (commonly Maxwell–Garnett effective medium approximation) to  
266 compute effective optical properties, and constraints on how absorbing components are  
267 partitioned between fine and coarse modes (Li et al., 2019). For dust, non-sphericity is  
268 treated using spheroid-based scattering models rather than purely spherical Mie theory  
269 (Dubovik et al., 2006).

270       These assumptions introduce additional uncertainty beyond the base AERONET  
271 inversion. As background, absorption-related AERONET inversion products (e.g.,  
272 SSA/AAOD) are substantially less stable at low aerosol loading; under favorable  
273 loading conditions, SSA uncertainty is typically on the order of  $\sim 0.03$ , while it increases  
274 rapidly as AOD decreases (Dubovik et al., 2000; Sinyuk et al., 2020). Component  
275 volume fractions inherit this sensitivity and, in addition, respond to uncertainties in  
276 prescribed component optics and mixing rules. Sensitivity tests in the  
277 GRASP/Component literature indicate that, for  $\text{AOD}_{440} \geq 0.4$  and sufficiently non-  
278 negligible component fractions, the uncertainty in retrieved BC, CAI, FNAI, and CNAI  
279 volume fractions is commonly within  $\sim 50\%$ , whereas BrC generally remains more  
280 uncertain at low BrC fractions but can approach the  $\sim 50\%$  level when BrC becomes a

281 substantial contributor (Li et al., 2019). Nevertheless, this approach has been applied  
 282 by Zhang et al. (2022) and Zhang et al. (2024), who obtained reliable aerosol chemical-  
 283 component information from remote-sensing measurements. To reduce the low-loading  
 284 regime where absorption and component retrievals are most uncertain, we restricted our  
 285 analysis to  $AOD_{440} > 0.4$ .

286 To further assess the radiative impacts of aerosols, we also employed AERONET  
 287 shortwave instantaneous aerosol direct radiative forcing (ADRF) and aerosol radiative  
 288 forcing efficiency (ARFE) data, where ARFE is defined as ADRF per unit aerosol  
 289 optical depth (AOD) at 550 nm, reported at the top of the atmosphere (TOA), bottom  
 290 of the atmosphere (BOA), and in the atmosphere (ATM) (Holben et al., 1998). These  
 291 radiative quantities are computed within the AERONET inversion radiative-transfer  
 292 module under cloud-free conditions, using AERONET-retrieved aerosol optical  
 293 properties and surface albedo as inputs. ADRF is defined as the difference in broadband  
 294 shortwave radiative fluxes between aerosol-free and aerosol-laden conditions (García  
 295 et al., 2008):

$$296 \quad ADRF_{TOA} = F_{0,TOA}^{\uparrow} - F_{TOA}^{\uparrow} \quad (8)$$

$$297 \quad ADRF_{BOA} = F_{BOA}^{\downarrow} - F_{0,BOA}^{\downarrow} \quad (9)$$

$$298 \quad ADRF_{ATM} = ADRF_{TOA} - ADRF_{BOA} \quad (10)$$

299 where  $F$  and  $F_0$  denote radiative fluxes with and without aerosols, and arrows indicate  
 300 upward or downward fluxes. ARFE is defined as radiative forcing per unit aerosol  
 301 optical depth:

302 
$$ARFE = \frac{ADRF}{AOD_{550}} \quad (11)$$

303 where  $AOD_{550}$  is the AOD at 550 nm. Defined this way, negative ADRF and ARFE  
304 indicate shortwave cooling.

#### 305 **2.4 Shapley Additive Explanations (SHAP)**

306 To quantify how particle size and chemical composition control the  $AAE_{col}$ , we  
307 trained and compared three ensemble tree-based regressors: Extreme Gradient  
308 Boosting (XGBoost), Random Forest (RF), and Categorical Boosting (CatBoost). Each  
309 model was trained using seven predictor variables, including five chemical  
310 compositions (BrC, BC, CAI, CNAI, and FNAI), and four size parameters ( $R_{fine}$ ,  $R_{coarse}$ ,  
311  $vol_{fine}$ , and volume concentrations of coarse-mode ( $vol_{coarse}$ )).

312 Model performance was evaluated using a consistent training–testing split (80%  
313 of dataset were used for the training set, and 20% were used for the test set) and  
314 quantified by  $R^2$ , RMSE, and MAE. The RF model achieved an  $R^2$  of 0.58, an RMSE  
315 of 0.43, and an MAE of 0.30. In comparison, the CatBoost model yielded an  $R^2$  of 0.64,  
316 an RMSE of 0.40, and an MAE of 0.29, while the XGBoost model showed an  $R^2$  of  
317 0.64, an RMSE of 0.40, and an MAE of 0.30 (Fig. S3). The CatBoost model in our case  
318 was subsequently adopted for further interpretation. Furthermore, the SHAP analysis  
319 was applied to decompose the model output into additive feature contributions,  
320 enabling quantitative assessment of the relative contribution and sensitivity of  
321 individual aerosol composition and size parameters in predicting.

322 Similarly, to evaluate aerosol radiative impacts, XGBoost, RF, and CatBoost

323 models also were trained using distinct predictor sets for different radiative metrics.  
 324 The AERONET ADRF and ARFE products are generated by a radiative-transfer  
 325 calculation (Section 2.4); therefore, our goal is not to replace radiative transfer. Here  
 326 machine-learning model is used to quantify the relative importance of  $AAE_{col}$  as a  
 327 predictor of ADRF and ARFE variability, rather than implying a causal pathway where  
 328  $AAE_{col}$  independently drives ADRF and ARFE.

329 For ADRF, five optical properties (AOD, single scattering albedo (SSA),  
 330 asymmetry parameter (g), surface albedo (SA), and  $AAE_{col}$ ) were used as inputs. For  
 331 ARFE, the target definition ( $ARFE = ADRF/AOD$ ) was kept unchanged; however,  
 332 AOD was included during model fitting together with SSA, g, SA, and  $AAE_{col}$  so that  
 333 the models could learn any residual nonlinearity and interactions involving AOD.  
 334 Performance was again evaluated using a consistent training–testing split, with 80% of  
 335 the dataset used for training and the remaining 20% for testing. The evaluation was  
 336 quantified by  $R^2$ , RMSE, and MAE. The performance metrics for the three models are  
 337 summarized in Fig. S4-S5. CatBoost in our case was retained as the best-performing  
 338 model across TOA, BOA, and ATM, as it showed the highest or near-highest  $R^2$  together  
 339 with the lowest or near-lowest RMSE and MAE among the tested models.  $R^2$ , RMSE,  
 340 and MAE are defined as follows:

$$341 \quad R^2 = 1 - \frac{\sum_{i=1}^n (y_i - \hat{y}_i)^2}{\sum_{i=1}^n (y_i - \bar{y})^2} \quad (12)$$

$$342 \quad RMSE = \sqrt{\frac{1}{n} \sum_{i=1}^n (y_i - \hat{y}_i)^2} \quad (13)$$

343 
$$MAE = \frac{1}{n} \sum_{i=1}^n |y_i - \hat{y}_i| \quad (14)$$

344 where  $n$  represents the number of input samples.  $y_i$  and  $\hat{y}_i$  are the observed and  
345 predicted values, respectively;  $\bar{y}$  refers to the mean of the target values predicted by  
346 the model. In this study,  $y$  corresponds to the target variable, including  $AAE_{\text{sfc}}$  (Section  
347 2.2),  $AAE_{\text{col}}$ , ADFR, and ARFE in this Section.

348 To attribute ARFE variations while controlling for AOD, we employed SHAP with  
349 a scenario-based conditioning approach. Specifically, we recomputed SHAP values on  
350 the held-out test set after fixing AOD to four levels (25th, 50th, 75th percentiles, and  
351 mean), computed from the training set to avoid information leakage, while leaving all  
352 other predictors unchanged. This yields SHAP attributions for SSA,  $g$ , SA, and  $AAE_{\text{col}}$   
353 conditional on AOD at representative states. (The 50th percentile case is shown in the  
354 main text, others in Supplementary). For ADRF, SHAP was computed in the standard  
355 manner using all five predictors (AOD, SSA,  $g$ , SA, AAE) without conditioning.

## 356 **3 Results and Discussion**

### 357 **3.1 Aerosol Characteristics During the Field Campaign**

358 Figure 1 provides the near-surface AAE ( $AAE_{\text{sfc}}$ ) variability and its co-variation  
359 with  $PM_{2.5}$  composition and particle size during the Beijing campaign. In Fig. 1a, the  
360 stacked bars show the window-resolved  $PM_{2.5}$  mass fractions of non-dust water-soluble  
361 ions (nd-WSII), fine mineral dust (FMD), organic matter (OM), and elemental carbon

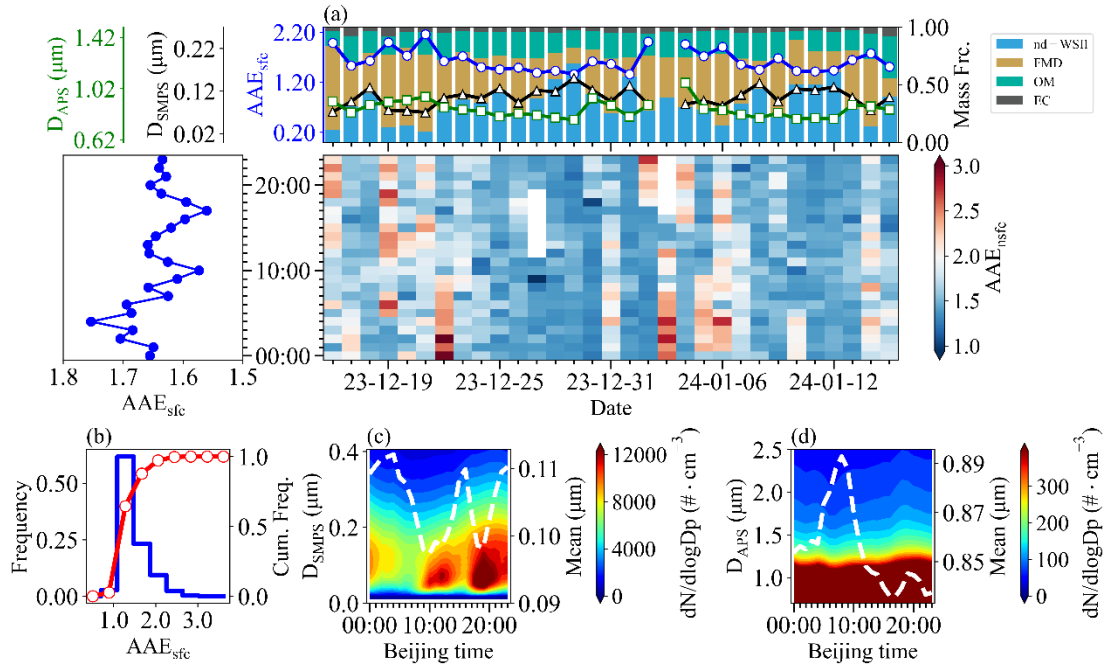
362 (EC), overlaid with  $AAE_{sfc}$  and the mean particle diameters derived from the fine-mode  
363 (SMPS) and coarse-mode (APS) measurements. Notably, periods with elevated FMD  
364 fractions generally coincide with higher  $AAE_{sfc}$ , whereas intervals dominated by nd-  
365 WSII tend to correspond to lower  $AAE_{sfc}$ , consistent with dust-related enhancement of  
366 short-wavelength absorption. These co-variations motivate the quantitative attribution  
367 in Section 3.2, where we assess how the fractions of FMD and nd-WSII relate to the  
368 observed spectral absorption dependence.

369 The overall distribution of  $AAE_{sfc}$  is summarized in Fig. 1b.  $AAE_{sfc}$  ranges from  
370 0.90 to 3.0 and occurs most frequently between 1.10–2.0, with a mean value of  
371  $1.64 \pm 0.32$ . A pronounced high- $AAE_{sfc}$  tail (values above 2.0) occurs episodically (Fig.  
372 1b), suggesting intermittent enhancement of short-wavelength absorption. Such  
373 elevated values likely resulted from winter heating emissions (Tian et al., 2019; Yan et  
374 al., 2017) and mineral dust contributions (Fig. 1a), both known to raise AAE (Liu et al.,  
375 2018).

376 The heat map in Fig. 1a further illustrates the time-of-day evolution of  $AAE_{sfc}$   
377 across the campaign, and the accompanying diurnal profile highlights a clear nighttime  
378 enhancement relative to daytime.  $AAE_{sfc}$  showed a clear night-high and day-low pattern  
379 (Fig. 1a), consistent with the evolution of particle size distributions. Fine-mode number  
380 concentrations derived from SMPS increased during the morning rush hours and  
381 nighttime residential activity (Fig. 1c). By contrast, coarse-mode diameters from APS  
382 were larger in the early morning and decreased during the day (Fig. 1d). These results

383 demonstrate that  $AAE_{sfc}$  was co-regulated by both composition and size, providing the  
384 observational evidence for the subsequent machine-learning analysis to quantify their  
385 relative contributions and radiative implications.

386 Figure S6 further shows the multi-wavelength absorption coefficients and their  
387 diurnal behavior. Aerosol absorption coefficients exhibit a clear spectral decrease from  
388 the near-UV to the near-IR, with mean values of  $13.19 \pm 9.91$ ,  $6.80 \pm 6.15$ , and  $3.77 \pm$   
389  $3.27 \text{ Mm}^{-1}$  at 375, 532, and 870 nm, respectively (mean  $\pm$  one standard deviation) (Fig.  
390 S6). The corresponding mass absorption efficiencies are relatively low ( $0.49 \pm 0.24$ ,  
391  $0.21 \pm 0.08$ , and  $0.12 \pm 0.04 \text{ m}^2 \cdot \text{g}^{-1}$ ), reflecting the dominance of nd-WSII, which  
392 accounted for 42.9% of  $PM_{2.5}$  mass (Fig. 1a). Absorption coefficients at three  
393 wavelengths are consistently higher absorption at night and a peak around 23:00 (Fig.  
394 S6), driven by reduced tropospheric boundary layer height, lower afternoon  
395 temperatures and wind speeds (Fig. S7), and enhanced emissions from nighttime traffic  
396 and heating (Guo et al., 2016; Zhao et al., 2019).



397

398 **Figure 1. Aerosol absorption characteristics during the campaign.** (a) Time series  
 399 of mean particle diameters derived from SMPS and APS, mass fractions of organic  
 400 matter (OM), elemental carbon (EC), non-dust water-soluble inorganic ions (nd-WSII),  
 401 and fine mineral dust (FMD), together with daily averaged near-surface AAE ( $AAE_{sfc}$ ).  
 402 (b) Frequency distribution of  $AAE_{sfc}$ . (c–d) Diurnal variations of aerosol particle  
 403 number size distributions from SMPS (c) and APS (d).

### 404 3.2 Influence of Composition and Size on Near-surface AAE

405 The EC mass fraction shows no correlation with  $AAE_{sfc}$  ( $r = 0.09$ ,  $p = 0.49$ ; Fig.  
 406 2a). This is plausible because EC is an operational thermal fraction and does not directly  
 407 represent the optically effective BC absorption, which can be substantially modified by  
 408 mixing state and coating (Petzold et al., 2013). Similarly, the OM mass fraction is not  
 409 significantly correlated with  $AAE_{sfc}$  ( $r = -0.11$ ,  $p = 0.40$ ; Fig. 2a). In contrast to study  
 410 dominated by biomass burning, where light-absorbing organic carbon can account for >

411 50% of the mass fraction and strongly enhance AAE (Wang et al., 2021). During the  
412 Beijing campaign, however, OM contributes only ~19% of total PM<sub>2.5</sub> mass and BrC  
413 fractions therefore are relatively low. Although BrC exhibits intrinsically high AAE  
414 values (Laskin et al., 2015; Moosmüller et al., 2011), its impact is diminished in the  
415 mixed aerosol matrix due to the influence of other dominant compositions.

416 We observed a statistically significant negative correlation between AAE<sub>sfc</sub> and  
417 carbonaceous aerosol AAE (AAE<sub>CA</sub>) (Fig. 2b), indicating that the non-carbonaceous  
418 aerosol had a significantly stronger role in shaping the absorption spectral dependence  
419 under complex pollution conditions. Due to nitrogen dioxide (NO<sub>2</sub>) concentrations were  
420 elevated at night (Fig. S8), which can interfere with PAX instruments, particularly at  
421 shorter wavelengths (Arnott et al., 2000; Gyawali et al., 2012). Therefore, we restrict  
422 the analysis here to daytime data (Fig S9). This pattern therefore cannot be ascribed  
423 simply to inter-instrument discrepancies.

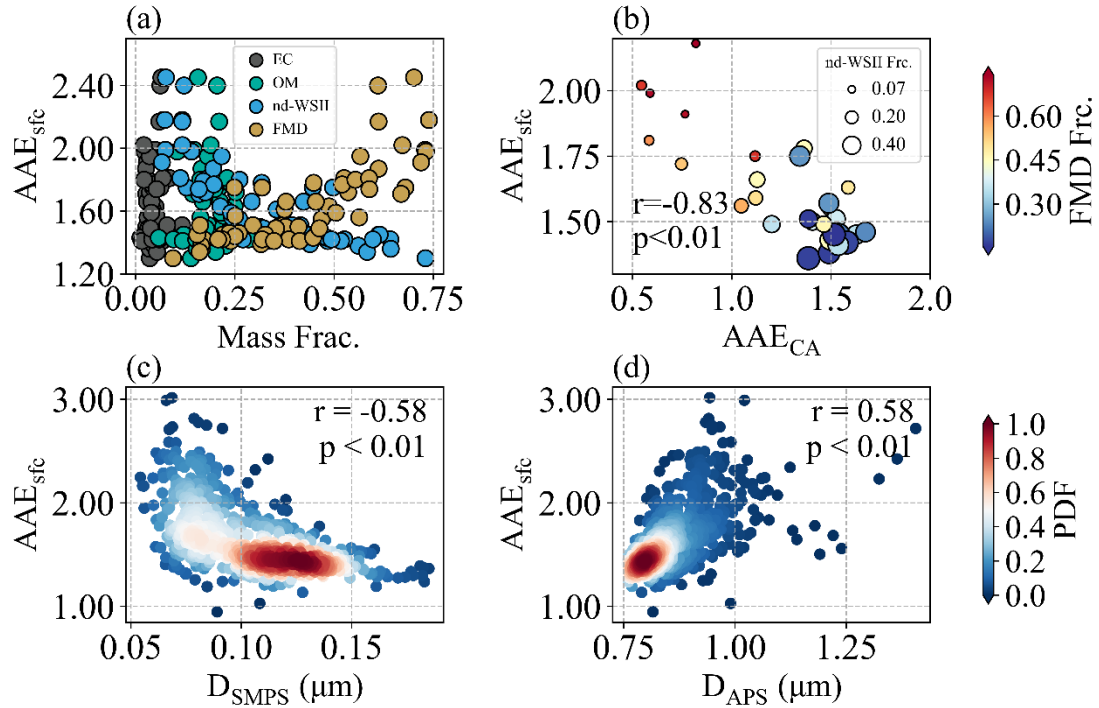
424 AAE<sub>sfc</sub> exhibits a significant positive correlation with the mass fraction of FMD  
425 ( $r = 0.79$ ,  $p < 0.01$ ) and a negative correlation with nd-WSII ( $r = -0.78$ ,  $p < 0.01$ ) (Fig.  
426 2a). The AAE<sub>sfc</sub> enhancement associated with FMD can be attributed to metal oxides  
427 such as hematite and goethite, which strongly absorb in the UV wavelengths and  
428 steepen the spectral dependence (Bi et al., 2016). By contrast, nd-WSII (mostly sulfates,  
429 nitrates, and ammonium particles) primarily behaves as a weakly absorbing (nearly  
430 scattering-only) component in the visible–near-infrared (Seinfeld & Pandis, 2016), and  
431 an increase in its mass fraction therefore tends to dilute the contribution of absorbing

432 species to total  $\text{PM}_{2.5}$  absorption. In our surface dataset, this dilution effect is expected  
433 to reduce the relative importance of short-wavelength absorbers and, in turn, weaken  
434 the apparent wavelength dependence of bulk absorption, leading to lower  $\text{AAE}_{\text{sfc}}$  when  
435 nd-WSII dominates. We note that a “lensing effect” associated with non-absorbing  
436 coatings has been reported to enhance  $\text{AAE}_{\text{CA}}$  (Cappa et al., 2012; Zhang et al., 2025).  
437 However, the carbonaceous components contributed only a small fraction of  $\text{PM}_{2.5}$  mass  
438 during our campaign. Consequently, any potential lensing-related enhancement was  
439 likely too small relative to the total aerosol and variability to yield a detectable positive  
440 correlation between  $\text{AAE}_{\text{sfc}}$  and the nd-WSII mass fraction. In this regime, nd-WSII is  
441 better interpreted as a marker of secondary inorganic aerosol loading that mainly  
442 increases scattering and dilutes absorber fractions.

443 Particle size also plays a critical role.  $\text{AAE}_{\text{sfc}}$  is negatively associated with the fine-  
444 mode mean diameter from SMPS ( $D_{\text{SMPS}}$ ,  $r = -0.58$ ; Fig. 2c) and positively associated  
445 with the coarse-mode mean diameter from APS ( $D_{\text{APS}}$ ,  $r = 0.58$ ; Fig. 2d). Here, the  
446 correlation analysis is used as an exploratory step to describe these first-order  
447 relationships, whereas the standardized multiple linear regression (MLR) estimates the  
448 multivariate associations after accounting for predictor covariation. Consistent with the  
449 bivariate results, the MLR yields a negative standardized coefficient for  $D_{\text{SMPS}}$  ( $-0.02$ )  
450 and a positive coefficient for  $D_{\text{APS}}$  (0.44), confirming that the coarse-mode size metric  
451 provides the stronger size-related contribution in the multivariate setting.

452 The composition terms show a similarly coherent pattern across the two analyses.

453 FMD is positively associated with  $AAE_{sfc}$  in the bivariate correlations and remains  
454 positive in the MLR (0.35), whereas nd-WSII shows a negative association and remains  
455 negative in the MLR (-0.16). Importantly, particle size and composition are not  
456 independent in this winter dataset. Periods with larger coarse-mode diameters tend to  
457 coincide with enhanced fine mineral dust fraction ( $r=0.64$ ; Fig. S10), consistent with  
458 stronger dust influence. Conversely, periods characterized by smaller fine-mode  
459 diameters are associated with elevated nd-WSII fraction ( $r=0.89$ ; Fig. S10), consistent  
460 with secondary inorganic build-up and hygroscopic growth that increase scattering and  
461 dilute the relative contribution of absorbing components. Together, these results  
462 indicate that higher  $AAE_{sfc}$  is associated with a regime of larger particles and stronger  
463 dust contribution, whereas lower  $AAE_{sfc}$  occurs when secondary inorganic matter is  
464 more influential and dust contributions are reduced. Overall,  $AAE_{sfc}$  is influenced not  
465 only by carbonaceous aerosols, but also strongly by other chemical components,  
466 particularly mineral dust-related particles, non-dust water-soluble inorganic ions, and  
467 particle-size distributions.



468

469 **Figure 2. Relationships of near-surface absorption Ångström exponent ( $AAE_{sfc}$ )**

470 **with chemical composition and particle size. (a) Scatter plots of chemical mass**

471 **concentration fraction and total aerosol  $AAE_{sfc}$ . (b) Relationship between  $AAE_{sfc}$  and**

472 **carbonaceous aerosol AAE ( $AAE_{CA}$ ), with symbol size representing the non-dust**

473 **water-soluble ions (nd-WSII) fraction and color denoting the fine mineral dust (FMD)**

474 **fraction. (c–d) Correlations of  $AAE_{sfc}$  with mean particle diameters derived from SMPS**

475 **(c) and APS (d), respectively. Shaded colors indicate probability density.**

### 476 **3.3 Quantitative Contributions of Composition and Size to Columnar AAE**

477 The relationships between mass fractions of chemical composition and  $AAE_{col}$

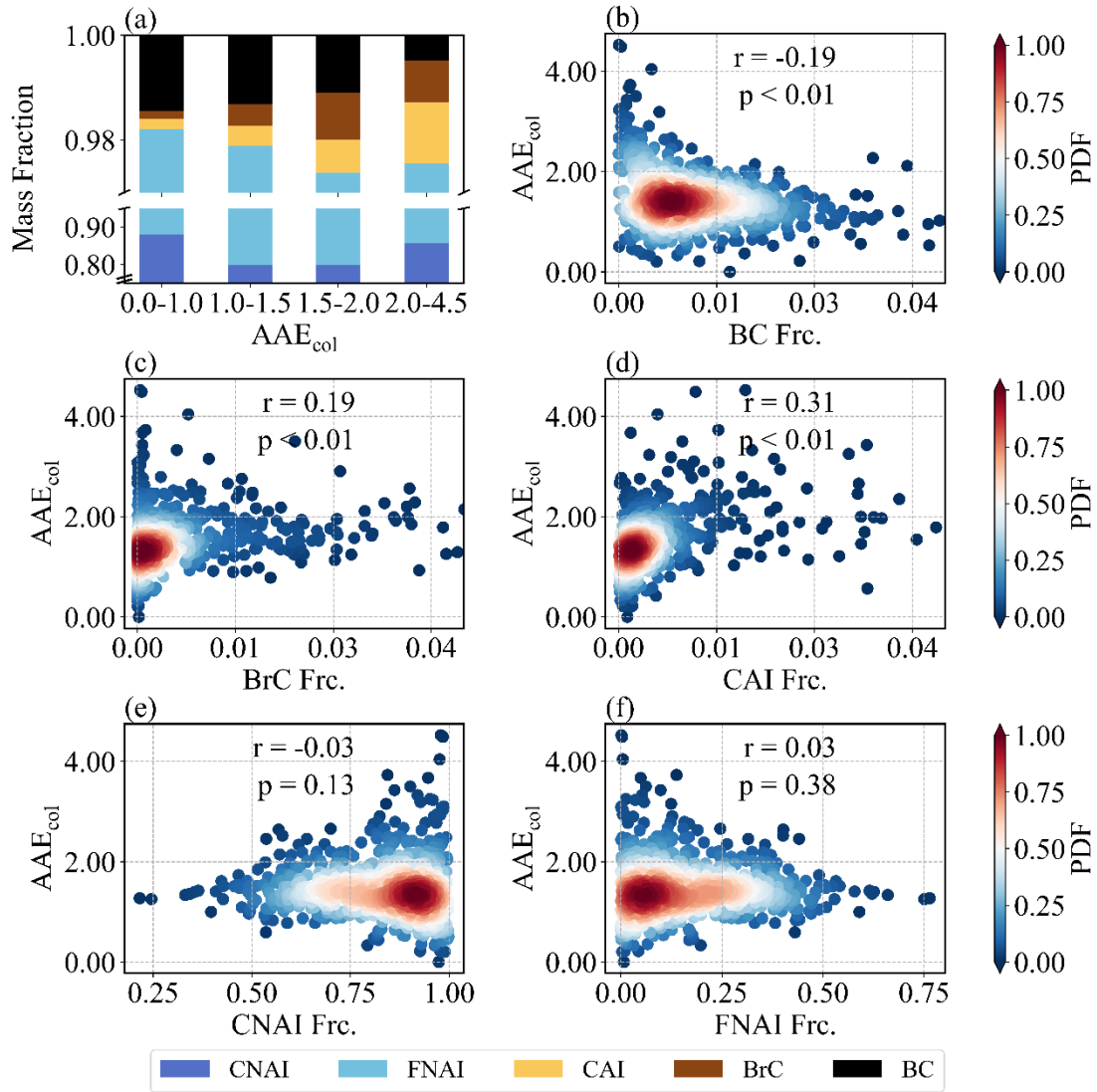
478 were studied using AERONET data (Fig. 3). The CNAI and FNAI mass fractions varied

479 little and exhibited no significant correlations with  $AAE_{col}$  ( $r = -0.03$  and  $0.03$ ,  $p > 0.1$ ),

480 indicating a negligible role in setting the absorption spectral dependence. In contrast,

481 BC, BrC, and CAI displayed clear associations. Higher  $AAE_{col}$  ( $>1.5$ ) were associated  
482 with marked increases in BrC and CAI, whereas lower  $AAE_{col}$  ( $<1.5$ ) corresponded to  
483 relatively higher BC contributions. Correlation analysis is consistent with these patterns:  
484  $AAE_{col}$  was negatively correlated with BC ( $r = -0.19$ ,  $p < 0.01$ ), in line with its weak  
485 wavelength dependence, but positively correlated with BrC ( $r = 0.19$ ,  $p < 0.01$ ) and CAI  
486 ( $r = 0.31$ ,  $p < 0.01$ ), underscoring the strong wavelength dependence of BrC and dust.  
487 These findings were similarly to our surface campaign, particularly regarding dust's  
488 amplifying effect on  $AAE_{col}$ .

489 The impacts of aerosol size distribution on  $AAE_{col}$  were clearly reflected (Fig. 4).  
490 With  $AAE_{col}$  increasing, the peaks of both fine and coarse modes shifted to smaller sizes  
491 (Fig. 4a), indicating an overall refinement of the size distribution. The  $R_{fine}$  and  $R_{coarse}$   
492 were both negatively correlated with  $AAE_{col}$  ( $r = -0.27$  and  $-0.26$ ,  $p < 0.01$ ; Fig. 4c,  
493 4d), demonstrating that reductions in particle size in both modes enhanced the spectral  
494 dependence. Interestingly, FMF decreased with increasing  $AAE_{col}$  (Fig. 4b), suggesting  
495 that coarse-mode particles retained a substantial volumetric contribution even under  
496 high  $AAE_{col}$  conditions.



497

498 **Figure 3. Relationships between columnar absorption Ångström exponent (AAE<sub>col</sub>)**

499 **and major aerosol chemical compositions. (a) Mass fractions of fine-mode non-**

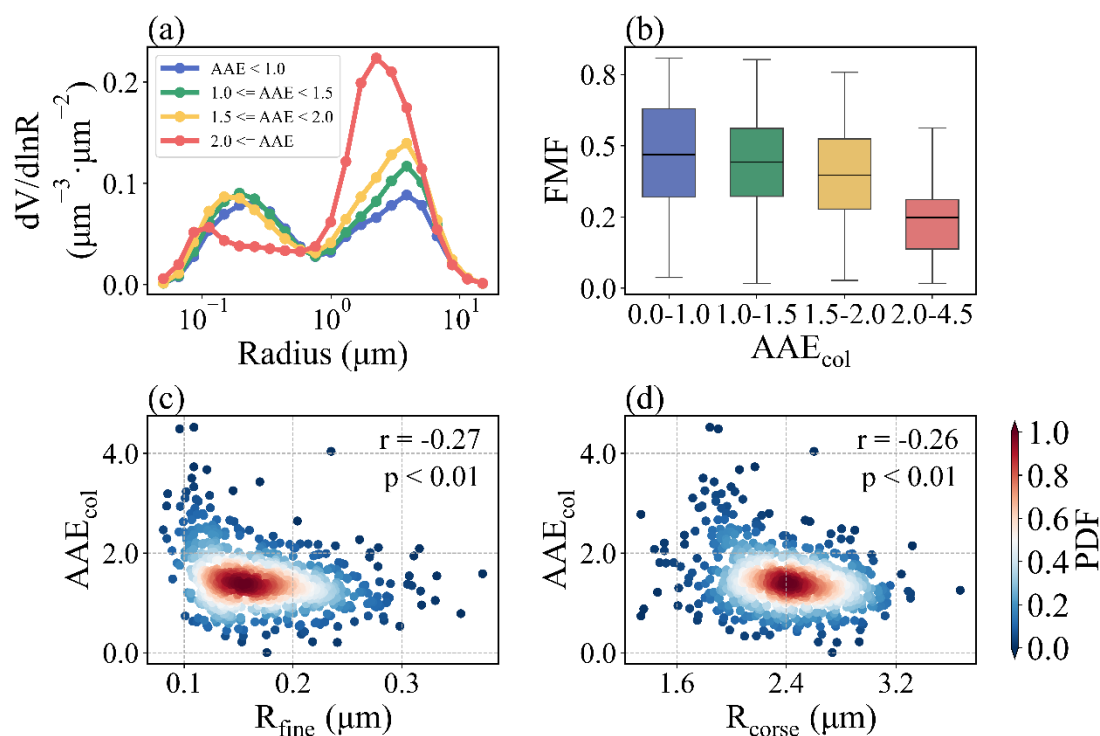
500 **absorbing soluble matter (FNAI), coarse-mode non-absorbing soluble matter (CNAI),**

501 **coarse-mode absorbing soluble matter (CAI, representing dust), brown carbon (BrC),**

502 **and black carbon (BC) across different AAE<sub>col</sub> bins. (b-f) Correlations between AAE<sub>col</sub>**

503 **and the mass fractions of BC, BrC, CAI, CNAI, and FNAI, respectively; shaded colors**

504 **denote probability density.**



505

506 **Figure 4. Relationships between columnar absorption Ångström exponent (AAE**

507 **col) and aerosol size distribution characteristics. (a) Volume size distributions**

508 **grouped by AAE<sub>col</sub> bins. (b) Fine-mode fraction (FMF) by AAE<sub>col</sub> bins, with horizontal**

509 **lines indicating means. (c–d) Correlations of AAE<sub>col</sub> with effective radius of fine-mode**

510 **(R<sub>fine</sub>) and coarse-mode (R<sub>coarse</sub>) particles, respectively.**

511 Machine learning analysis further quantified relative contributions, as illustrated

512 in Fig. 5a. It is found that showed that CAI had the strongest explanatory power,

513 accounting for ~19% of the model's predictive power, confirming the dominant role of

514 dust in amplifying spectral absorption. BrC was second (18.5%) and BC was third

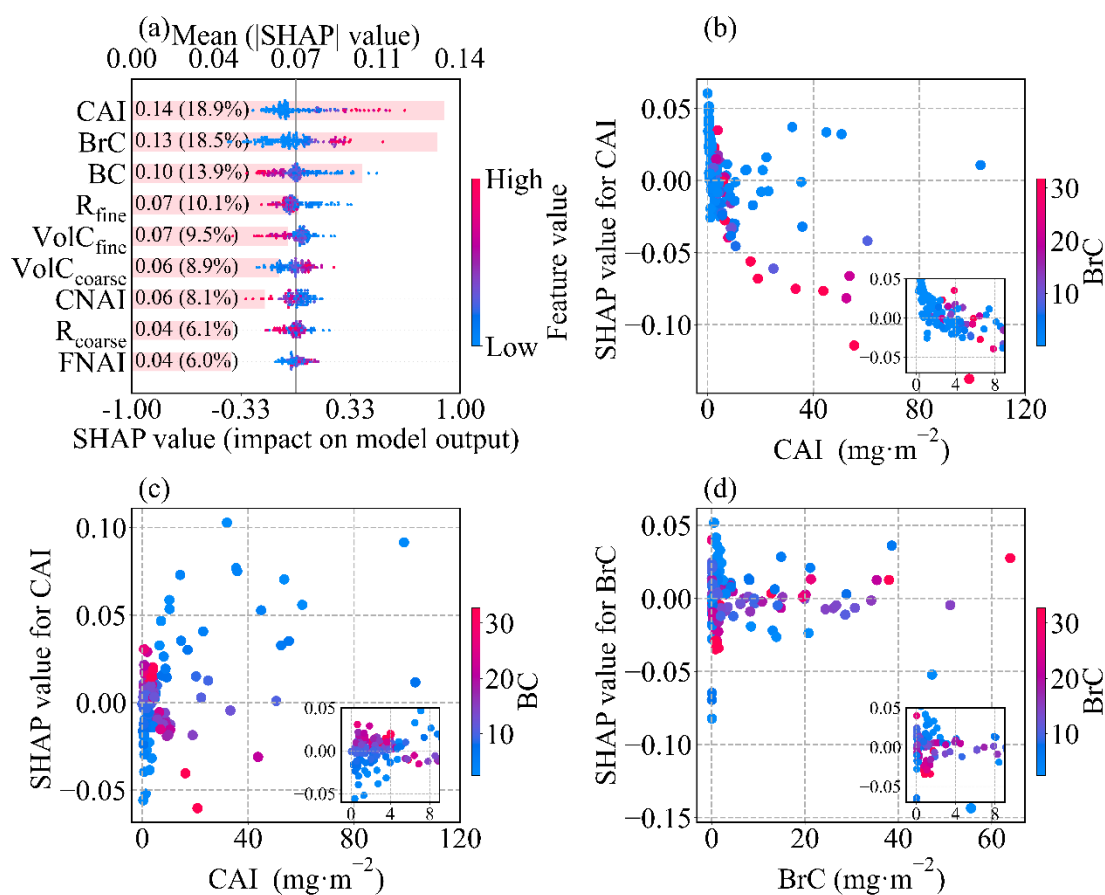
515 (13.9%), together with CAI explaining ~50% of model's predictive power (as measured

516 by mean absolute SHAP value). Among the size-related predictors, R<sub>fine</sub> alone

517 accounted for about one quarter (~29%) of the cumulative importance of all size metrics,

518 making it the most influential size parameter. In addition, its importance was also

519 clearly higher than CNAI and FNAI (Fig. 5a). During the prediction process, it is  
 520 observed that higher values of BrC, CAI, and volume concentrations of coare-mode  
 521 ( $\text{vol}_{\text{coarse}}$ ) corresponded to higher SHAP values and higher values of other predictors  
 522 corresponded to smaller SHAP values (Fig. 5a). These responses are fully consistent  
 523 with the correlations between  $\text{AAE}_{\text{col}}$  and these parameters (Fig. 3b–d; Fig. 4c–d).



524

525 **Figure 5. SHAP interpretation of the machine-learning model for columnar AAE.**

526 (a) Attribution of chemical compositions and particle size parameters to columnar AAE.

527 Each horizontal bar represents the mean absolute SHAP value of a feature, indicating

528 its overall impact on the model output; the color gradient shows the effect of feature

529 values on columnar AAE, with red indicating a positive influence and blue indicating a

530 negative influence. Features are ranked by importance. (b–c) SHAP dependence plots

531 corresponding to CAI. (d) SHAP dependence plots corresponding to BrC.

532 To connect these statistical attributions to physically plausible behavior, we  
533 performed a simple Mie-theory sensitivity analysis (Text S3; Fig. S11). First, we varied  
534 the imaginary part of the refractive index at 440 nm ( $k_{440}$ ) while keeping the size  
535 distribution fixed. Second, keeping refractive index fixed and shifting the fine- and  
536 coarse-mode radii to 80%, 90%, 100%, 110%, and 120% of their baseline values (with  
537 mode-integrated volume conserved).

538 Varying  $k_{440}$  produces a substantially larger change in the modeled  $AAE_{col}$  than the  
539 size-shift experiments (Fig. S12), indicating that changes in short-wavelength  
540 absorptivity exert stronger leverage on AAE than variations in modal radii. Because we  
541 altered  $k_{440}$  while keeping  $k_{870}$  unchanged, this experiment specifically isolates  
542 enhanced absorption in the short wavelengths which is consistent with increased  
543 contributions from aerosols that preferentially absorb at shorter wavelengths, such as  
544 absorbing mineral dust and brown carbon (Russell et al., 2010). Spectral refractive  
545 indices retrieved by AERONET are known to vary systematically across aerosol types  
546 and can be used to infer absorbing components, supporting the interpretation of  $k$  as a  
547 proxy for composition-related absorption variability (Dubovik et al., 2002; Wang et al.,  
548 2013). Fine-mode shifts produce a clearer change in the  $AAE_{col}$  than coarse-mode shifts  
549 (Fig. S12b, S12c), consistent with  $R_{fine}$  being the leading size predictor in Fig. 5a. Taken  
550 together, the SHAP results and the Mie sensitivity tests support a consistent  
551 interpretation that  $AAE_{col}$  is not influenced by BC or BrC alone; it is primarily

552 associated with dust and secondarily by particle-size structure (size metrics together  
553 ~35%) (Fig. 5a), underscoring the need to account for both composition and size when  
554 evaluating spectral absorption.

555 To further investigate the interaction effects of major parameters on  $AAE_{col}$   
556 prediction, we selected CAI, BrC, and BC, the three most influential predictors by  
557 SHAP, to analyze their interactions (Fig. 5b-5f). When CAI loading was below 10  
558  $mg \cdot m^{-2}$ , BrC suppressed the positive impact of CAI loading and progressively drove it  
559 toward a negative contribution. When CAI loading exceeded 10  $mg \cdot m^{-2}$ , lower BrC is  
560 more likely than higher BrC to sustain or enhance the positive marginal effect of CAI,  
561 although the magnitude is weaker than in the CAI loading  $< 10 mg \cdot m^{-2}$  regime. For  
562 CAI loading in the range 0–4, higher BC yielded a positive marginal effect of CAI on  
563  $AAE_{col}$ , whereas lower BC yielded a negative one. Once CAI loading is greater than 4  
564  $mg \cdot m^{-2}$ , this relationship reverses, with higher BC more likely to make further increases  
565 in CAI contribute negatively to  $AAE_{col}$ . As BrC increases, BC progressively reduces  
566 the positive and negative contributions of BrC to  $AAE_{col}$ . These interactions indicate  
567 that models of aerosol spectral absorption should explicitly represent the mutual  
568 constraints among CAI, BrC, and BC to better identify and quantify AAE drivers.

### 569 **3.4 The comparison between surface and columnar AAE.**

570 Sections 3.2 and 3.3 provide two complementary perspectives on AAE. The near-  
571 surface campaign (December 2023–January 2024) represents a specific winter  
572 pollution regime, whereas the AERONET analysis provides a longer-term perspective

573 (2001–2019). Despite these differences, the two analyses converge on a consistent  
574 mechanistic interpretation. AAE increases when short-wavelength absorption becomes  
575 relatively stronger, and dust-related absorption plays a central role in influencing this  
576 spectral dependence. In the surface analysis, the fine mineral dust fraction within PM<sub>2.5</sub>  
577 is significantly associated with elevated AAE<sub>sfc</sub> (Fig. 2a). In the column analysis, the  
578 absorbing dust component (CAI), which includes substantial coarse-mode  
579 contributions (radius about 0.6–15 μm), likewise ranks among the most informative  
580 predictors for AAE<sub>col</sub> (Fig. 5a). Despite the different size ranges and vertical weighting,  
581 both indicators consistently support the interpretation that dust-related enhancement of  
582 short-wavelength absorption, and is linked to higher AAE.

583 It is also worth noting that the AAE<sub>col</sub> ( $1.47 \pm 0.56$ ) was found to be lower than  
584 that derived from the surface field campaign (Fig. 1), but this difference should not be  
585 interpreted as a comparison between column and surface values. The two quantities  
586 differ in both temporal representativeness (multi-year climatology versus a one-month  
587 winter campaign) and measurement definition (AAOD-based column integration  
588 versus near-surface absorption coefficients), so their absolute magnitudes are expected  
589 to vary with aerosol regime, meteorology, and the contribution of elevated layers.  
590 Therefore, our emphasis is on the consistency of predicting factors and mechanisms,  
591 rather than a direct comparison of mean values.

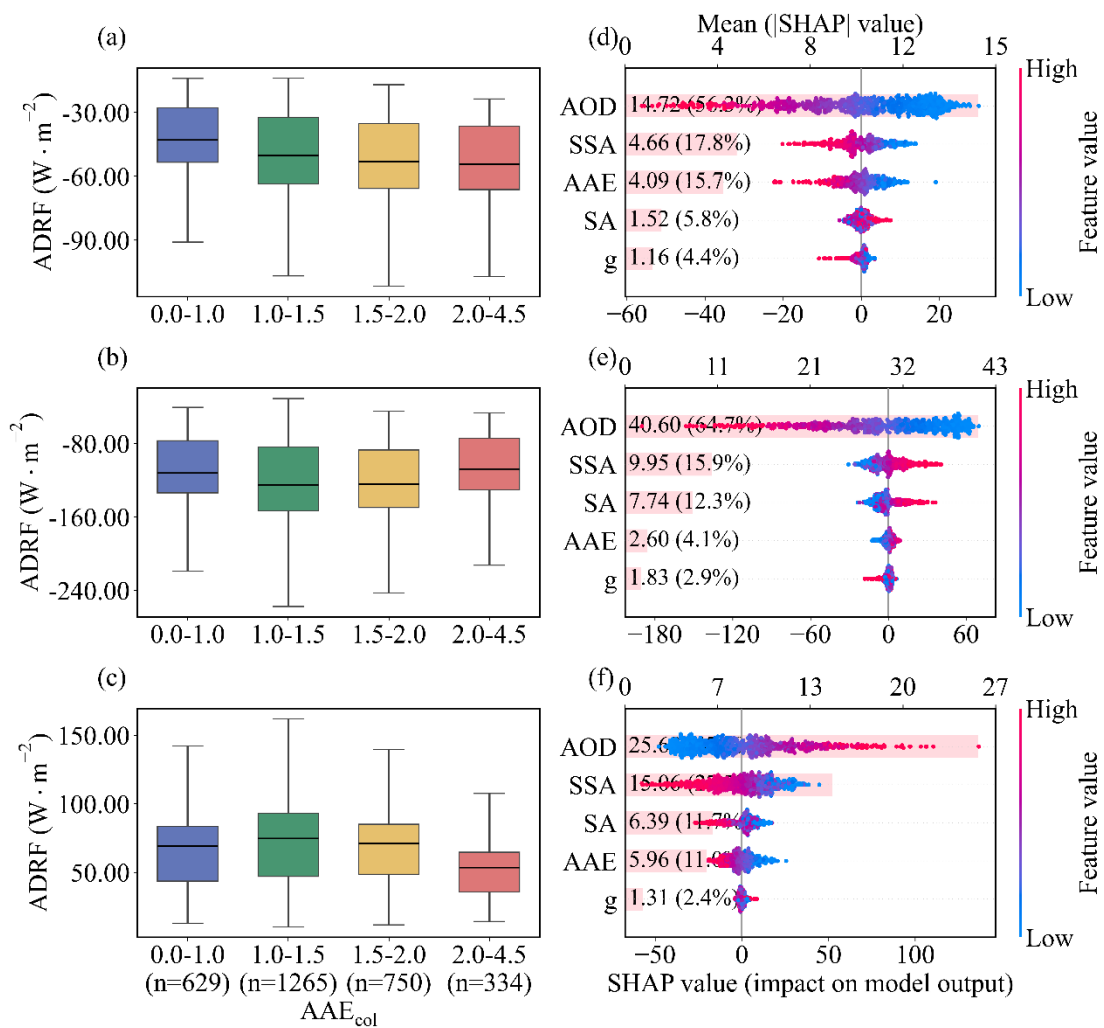
592 Finally, the two datasets complement each other in terms of strengths and  
593 limitations. The surface measurements provide chemically explicit constraints but are

594 restricted to PM<sub>2.5</sub>, thereby under-representing coarse-mode dust and any elevated-layer  
595 contributions. The AERONET analysis offers direct links to radiative quantities, but its  
596 component variables are retrieval-based optical constructs that depend on prescribed  
597 optics and mixing assumptions (Dubovik et al., 2000; Sinyuk et al., 2020; Li et al.,  
598 2019). As a result, several categories are not directly interchangeable (e.g., surface nd-  
599 WSII versus retrieved non-absorbing components, surface OM versus optically defined  
600 BrC, and thermal EC versus optically defined BC). Taken together, the surface  
601 campaign provides process-level chemical context for short-term variability, while the  
602 AERONET record generalizes the interpretation across regimes and links AAE to  
603 column radiative effects with dust-related absorption emerging as the clearest cross-  
604 scale consistency.

### 605 **3.5 The Diagnostic Power of Columnar AAE for Radiative Forcing and Efficiency** 606 **in Beijing**

607 Joint analysis of the boxplots and SHAP diagnostics revealed a robust, layer-  
608 dependent correlation between the  $AAE_{col}$  and ADRF. As  $AAE_{col}$  increases from 0–1 to  
609 2–4.5, cooling at the TOA intensifies, atmospheric heating weakens, and cooling at the  
610 BOA is alleviated (Fig. 6a-6c). This pattern is consistent with a shift from more BC-  
611 like absorption toward regimes with stronger short-wavelength absorption signatures  
612 and higher scattering fractions, commonly associated with mixtures involving BrC and  
613 mineral dust. SHAP method confirm that  $AAE_{col}$  is the third strongest predictor (~16%)  
614 after AOD (~56%), and comparably to SSA (~18%) at TOA and consistently shifts

615 ADRF toward more negative values (Fig. 6d). At BOA,  $AAE_{col}$  explains only ~4% of  
 616 the model importance. BOA cooling is primarily explained by AOD (~65.0%) and SSA  
 617 (~16 %) (Fig. 6e). In the ATM, AOD and SSA remain the leading predictors, while  
 618  $AAE_{col}$  still shows importance comparable to surface albedo (SA) (both ~12%) (Fig.  
 619 6f). Mechanistically, higher  $AAE_{col}$  is commonly associated with BrC and dust, which  
 620 exhibit higher SSA but lower mass absorption efficiencies (MAE), thereby enhancing  
 621 backscattering and solar escape (more negative TOA forcing), reducing absorption  
 622 (weaker atmospheric heating), and producing a net transmission effect that mitigates  
 623 BOA cooling.



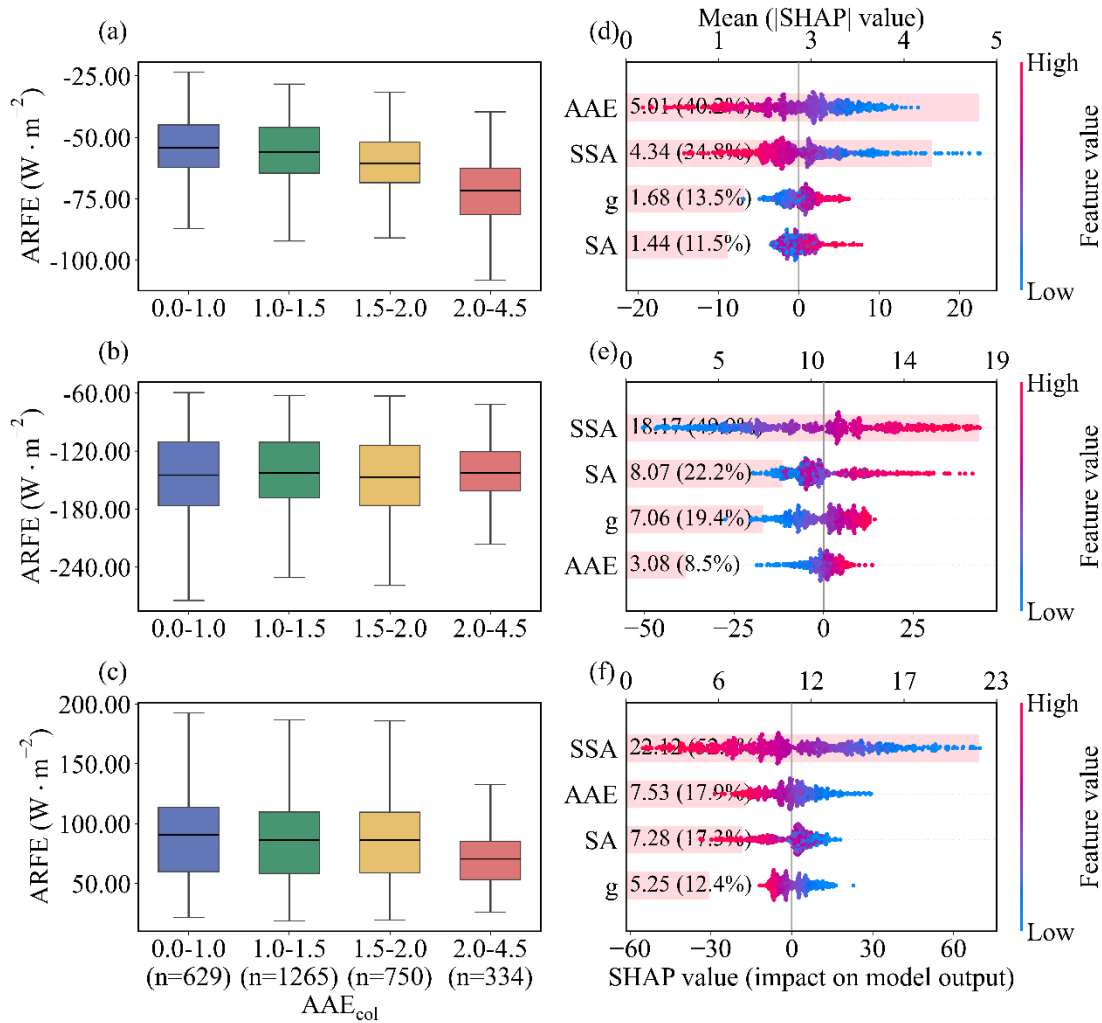
624

625 **Figure 6.** The relationship between columnar AAE ( $AAE_{col}$ ) and aerosol direct  
626 radiative forcing (ADRF). (a–c) Box plots of ADRF at the top of the atmosphere (a),  
627 bottom (b), and in the atmosphere (c) as a function of  $AAE_{col}$ . The sample sizes for the  
628  $AAE_{col}$  bins 0.0–1.0, 1.0–1.5, 1.5–2.0, and 2.0–4.5 are  $n = 629, 1265, 750,$  and 334,  
629 respectively, and are identical for panels (a)–(c). (d–f) SHAP analysis quantifies the  
630 relative contributions of aerosol optical depth (AOD), single scattering albedo (SSA),  
631 asymmetry parameter (g), surface albedo (SA) and  $AAE_{col}$  in predicting ADRF  
632 variations at the top of the atmosphere (d), at the bottom of the atmosphere (e), and in  
633 the atmosphere (f). The mean absolute SHAP values (numbers in parentheses) indicate  
634 the relative contribution of each predictor to the model output.

635 To better show columnar AAE's impact on ADRF, we introduce the ARFE, which  
636 removes the scaling by aerosol loading and highlights intrinsic optical controls. At TOA,  
637  $AAE_{col}$  serves as a key diagnostic of cooling efficiency in the model, with mean |SHAP|  
638 reaching ~40.0%, exceeding the asymmetry factor (g), SSA, and SA even when AOD  
639 was conditioned at 25th (Fig. S12), 50th (Fig. 7), 75th percentiles (Fig. S13), or mean  
640 (Fig. S14). Larger  $AAE_{col}$  is associated with more negative TOA ARFE (Fig. 7d),  
641 indicating that, for comparable loading, regimes with steeper absorption spectra tend to  
642 exhibit stronger TOA cooling efficiency.

643 At BOA, ARFE is predicted primarily by SSA (~50%), followed by g and SA,  
644 with  $AAE_{col}$  predicting more modestly (~8%) (Fig. 7e). In this layer, higher SSA and  
645 larger g tend to make ARFE less negative, consistent with reduced absorption and more

646 forward-directed scattering leading to greater transmittance for a fixed AOD. In the  
 647 ATM, SSA is the dominant predictor of the heating-efficiency (>50%), with  $AAE_{col}$   
 648 and SA providing secondary information (both ~17%), while  $g$  plays a minor role (Fig.  
 649 7f).



650  
 651 **Figure 7.** The relationship between columnar AAE ( $AAE_{col}$ ) and aerosol radiative  
 652 forcing efficiency (ARFE). (a–c) Box plots of ARFE at the top of the atmosphere (a),  
 653 bottom (b), and in the atmosphere (c) as a function of  $AAE_{col}$ . The sample sizes for the  
 654  $AAE_{col}$  bins 0.0–1.0, 1.0–1.5, 1.5–2.0, and 2.0–4.5 are  $n = 629$ , 1265, 750, and 334,  
 655 respectively, and are identical for panels (a)–(c). (d–f) SHAP analysis with AOD fixed

656 at its median (50th percentile) quantifies the relative contributions of single scattering  
657 albedo (SSA), asymmetry parameter (g), surface albedo (SA) and  $AAE_{col}$  in predicting  
658 ARFE variations at the top of the atmosphere (d), bottom (e), and in the atmosphere (f).  
659 The mean absolute SHAP values (numbers in parentheses) indicate the relative  
660 contribution of each predictor to the model output.

661 Higher  $AAE_{col}$  is linked to lower atmospheric heating efficiency, reflecting a shift  
662 toward aerosol types with weaker mass absorption than BC, and higher SSA further  
663 suppresses in-column absorption. Overall, these results do not imply that  $AAE_{col}$  is a  
664 causal driver of radiative forcing and radiative forcing efficiency; rather,  $AAE_{col}$  acts  
665 as a compact descriptor of absorption spectral shape that co-varies with underlying  
666 composition and size regimes. The strong association between radiative forcing and  
667 ARFE therefore suggests that constraining AAE can meaningfully improve estimates  
668 of forcing efficiency in radiative assessments.

## 669 **4 Conclusions**

670 LAAs exert a strong influence on the Earth's radiation budget, yet the spectral  
671 dependence of their absorption, commonly summarized by the AAE, remains poorly  
672 constrained in urban regions. Here we combined a winter in situ observation in Beijing  
673 with a long-term AERONET column data (2001–2019) and an interpretable machine-  
674 learning framework to quantify how composition and particle size influence AAE and  
675 to evaluate what AAE implies for radiative effects.

676 Near the surface in wintertime Beijing, AAE variability co-varied primarily with  
677 enhanced fractions of fine mineral dust and water-soluble inorganic ions, underscoring  
678 that non-carbonaceous species can substantially modulate local absorption spectra in  
679 addition to BC and BrC. At the column level, SHAP diagnostics identified CAI is the  
680 most informative predictor of columnar AAE, followed by BrC and BC. Among particle  
681 size metrics, the fine-mode effective radius is the leading size-related predictor and  
682 accounts for about 29% of the cumulative importance of all size parameters, whereas  
683 non-absorbing composition (coarse and fine non-absorbing dust and non-absorbing  
684 carbonaceous aerosols) played only a minor role. Because this study is based on Beijing  
685 observations, the identified predictor importance reflects a polluted urban environment  
686 influenced by both anthropogenic aerosol and episodic dust. In cleaner regions the  
687 relationships may weaken due to lower absorption signal, whereas in more dust-  
688 influenced regions the role of dust-related predictors would likely become even stronger.

689 For radiative impacts, our results highlight the diagnostic value of columnar AAE  
690 rather than implying a causal control. In the model trained on AERONET radiative  
691 products, columnar AAE is among the most informative predictors for TOA ADRF  
692 (~16%, comparable to SSA) and becomes the leading predictor for TOA ARFE (~40%),  
693 with higher columnar AAE associated with more efficient TOA cooling under loading-  
694 controlled conditions. By contrast, columnar AAE contributes much less to the  
695 prediction of ATM and BOA ADRF and ARFE, where AOD and SSA remain the  
696 primary predictors.

697 Overall, the findings of our study demonstrate the multifactorial influences of AAE  
698 by composition and size and highlight its strong correlation with the vertical  
699 partitioning of radiative forcing, especially at the TOA. Consequently, accurately  
700 constraining AAE is essential for a realistic representation of aerosol radiation  
701 interactions in regional and global models.

## 702 **Data and code availability**

703 The data that support the findings of this study are available in the Zenodo data  
704 repository (<https://doi.org/10.5281/zenodo.17852818>, Wang et al., 2025b). The  
705 AERONET data is freely available on the AERONET website  
706 (<https://aeronet.gsfc.nasa.gov/>). The aerosol chemical composition derived from  
707 AERONET inversion data is available from [https://doi.org/10.1175/BAMS-D-23-](https://doi.org/10.1175/BAMS-D-23-0260.1)  
708 0260.1. The code scripts are also available in the Zenodo data repository  
709 (<https://doi.org/10.5281/zenodo.17852818>, Wang et al., 2025b).

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## 721 **Author contribution**

722 PT designed the study. PT and WW received the funding. WW, PT, SZ, ZY and  
723 MC analyzed the data and led the writing. WW, YZ, YW and PT conducted the field  
724 campaign. WW, PT, SZ, YZ, ZY, CC, YW, MC and LZ contributed to discussion, review,  
725 and edit the manuscript.

## 726 **Competing interests**

727 The authors declare that they have no conflict of interest.

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