



**The Role of Chemical Boundary Conditions in Simulating Summer Ozone and Cross-Boundary Transport over China**

Yunsong Du<sup>1,2</sup>, Fumo Yang<sup>1</sup>, Sijia Lou<sup>3</sup>, Baolei Lyu<sup>4</sup>, Ran Huang<sup>5</sup>, Guangming Shi<sup>1</sup>, Yongtao Hu<sup>6</sup>, Yan Jiang<sup>7</sup>, Nan Wang<sup>1\*</sup>

<sup>1</sup>College of carbon Neutrality Future Technology, Sichuan University, Chengdu 610065, China

<sup>2</sup>Department of Environmental Science and Engineering, Sichuan University, Chengdu 610065, China

<sup>3</sup>School of Atmospheric Sciences, Nanjing University, Nanjing 210023, China

<sup>4</sup>Huayun Sounding Meteorological Technology Co. Ltd., Beijing 100081, China

<sup>5</sup>Hangzhou AiMa Technologies, Hangzhou, Zhejiang 311121, China

<sup>6</sup>School of Civil and Environmental Engineering, Georgia Institute of Technology, Atlanta, Georgia 30332, USA

<sup>7</sup>Sichuan Eco-environment Monitoring Station, Chengdu 610091, China

**\*Correspondence:** Nan WANG ([nan.wang@scu.edu.cn](mailto:nan.wang@scu.edu.cn))



22    **Key Points**

- 23    1. We systematically evaluated the impacts of chemical boundary conditions (static vs.  
24        dynamic) on regional O<sub>3</sub> simulations over China.
- 25    2. Chemical boundary conditions strongly modulate O<sub>3</sub> simulations via cross-  
26        boundary transport in both horizontal and vertical directions.
- 27    3. Synoptic circulation dynamically amplifies the impacts of chemical boundary  
28        conditions on regional O<sub>3</sub> levels.

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30



31    **Abstract**

32    Regional chemical transport models are vital for diagnosing and forecasting  
33    tropospheric ozone (O<sub>3</sub>) pollution. However, their accuracy is often limited by the  
34    simplified treatment of chemical boundary conditions (CBCs). This study provides a  
35    comprehensive evaluation of how different CBCs influence regional O<sub>3</sub> simulations  
36    over China using the WRF–CMAQ model. Four CBCs scenarios were assessed: a static  
37    BASE profile representing climatological conditions and three dynamic scenarios  
38    derived from H-CMAQ, GEOS-Chem, and CESM2.2. Model results were validated  
39    with surface networks, ozonesonde profiles, and satellite O<sub>3</sub> columns. The BASE  
40    scenario underestimated the average maximum daily 8-hour O<sub>3</sub> (avg-O<sub>3</sub>MDA8) and its  
41    90th percentile by −5.7% and −13.1%, respectively, while dynamic CBCs substantially  
42    improved the accuracy. GEOS-Chem achieved the lowest bias (−0.3%) and highest  
43    agreement (0.85 and 0.83) for avg-O<sub>3</sub>MDA8 and its 90th percentile. H-CMAQ  
44    performed best in high-elevation northwestern regions, and CESM2.2 excelled in  
45    southern and southwestern areas. Vertically, all CBCs reasonably matched observations  
46    within the troposphere, but elevated lower-stratosphere biases were identified in BASE,  
47    H-CMAQ, and CESM2.2. A case study contrasting cyclone-scavenging and post-trough  
48    accumulation phases revealed that dynamic CBCs enhance cross-boundary transport  
49    efficiency, raising O<sub>3</sub> by 10–20% over eastern China through combined continental and  
50    stratospheric inflows. These results underscore the crucial role of synoptic circulation–  
51    driven transboundary transport in shaping regional O<sub>3</sub> concentrations and demonstrate  
52    the importance of realistic, time-varying CBCs for improving regional O<sub>3</sub> simulations,  
53    air quality forecasting, and transboundary pollution management in China.

54  
55    **Key words:** O<sub>3</sub> simulation; cross-boundary transport; chemical boundary condition;  
56    chemical transport model

57



## 58 1 Introduction

59 Ozone (O<sub>3</sub>) pollution is a critical environmental issue with profound implications for  
60 air quality (Malley et al., 2017; Chiu et al., 2023). As a secondary pollutant,  
61 tropospheric O<sub>3</sub> is mainly formed through photochemical reactions involving  
62 precursors such as nitrogen oxides (NO<sub>x</sub>) and volatile organic compounds (VOCs)  
63 under sunlight. Elevated O<sub>3</sub> concentrations pose severe risks to public health,  
64 contributing to respiratory diseases and premature mortality, while also damaging  
65 ecosystems and suppressing agricultural productivity (WHO, 2016; Wang et al., 2017;  
66 Zhang et al., 2019a). In addition, as a highly reactive oxidant, tropospheric O<sub>3</sub> regulates  
67 the atmospheric lifetime of numerous reactive trace gases by governing their chemical  
68 transformations (Jacob, 2003).

69 O<sub>3</sub> pollution is currently one of the most pressing environmental challenges faced  
70 globally. In many Western countries, stringent air pollution controls implemented since  
71 the last century have led to stabilization or even declines in O<sub>3</sub> concentrations (Monks  
72 et al., 2015; Tarasick et al., 2019). Over the past decades, China have experienced  
73 frequent high-ozone episodes, drawing increasing attention from both the scientific  
74 community and policymakers. Even though, China has only more recently undertaken  
75 aggressive air quality improvement measures, most notably through the Air Pollution  
76 Prevention and Control Action Plan launched in 2012, which mandated substantial  
77 reductions in nitrogen oxide emissions. Despite these efforts, O<sub>3</sub> concentrations in  
78 China have not shown a sustained decline; instead, they have continued to rise in major  
79 urban agglomerations such as the North China Plain and the Yangtze River Delta  
80 (Zhang et al., 2019b; Lu et al., 2020; Wang et al., 2020; Wang et al., 2022). Nevertheless,  
81 the formation and distribution of O<sub>3</sub> are governed by the interplay of precursor  
82 emissions, meteorology, and transport processes. Variations in the magnitude and  
83 composition of anthropogenic and natural NO<sub>x</sub> and VOC emissions shape the chemical  
84 regime for O<sub>3</sub> production and loss. Meteorological conditions (e.g., temperature, solar  
85 radiation, humidity, boundary layer dynamics, and circulation patterns) further  
86 modulate photochemical reaction rates, vertical mixing, and horizontal transport, while  
87 surface characteristics and complex topography can influence local stagnation and  
88 recirculation. Together with regional and transboundary transport, as well as inflow  
89 from the free troposphere and occasional stratosphere–troposphere exchange, these  
90 processes determine background O<sub>3</sub> levels and lead to strong spatial and seasonal  
91 heterogeneity in O<sub>3</sub> pollution (Monks et al., 2015; Lu et al., 2018). Regional chemical  
92 transport models (CTMs) are essential tools for predicting and diagnosing air pollution,  
93 particularly photochemical O<sub>3</sub> pollution. Unlike global models, which emphasize large-  
94 scale atmospheric processes at coarse spatial resolutions, regional models such as the  
95 Community Multiscale Air Quality (CMAQ) and the Weather Research and Forecasting  
96 model with Chemistry (WRF-Chem) resolve chemical and physical processes at finer  
97 spatial and temporal scales (Byun and Schere, 2006; Grell et al., 2005). This capability  
98 enables them to capture the complex interactions among local emissions, meteorology,  
99 and topography that govern the formation, transport, and dispersion of O<sub>3</sub> and its  
100 precursors. However, the reliability of CTM-based O<sub>3</sub> simulations ultimately depends  
101 on the accuracy and consistency of the meteorological fields, emission inputs, and



102 chemical boundary conditions that define the model environment (Hogrefe et al., 2018;  
103 Solazzo et al., 2012).  
104 Over the past decade, CTMs have become central to air quality forecasting, scientific  
105 research, environmental assessment and policy evaluation (Yahya et al., 2015; Bai et  
106 al., 2018; Wang et al., 2021b; Gao et al., 2024). Their flexible domain configurations  
107 allow targeted simulations over regions with intense emissions or complex terrain, such  
108 as urban agglomerations and mountainous areas (Wang et al., 2019; Mao et al., 2022a;  
109 Dou et al., 2024). Besides, incorporating high-resolution emission inventories and  
110 region-specific meteorological inputs further enhances their accuracy. Numerous  
111 applications have demonstrated their scientific and practical value: Zhang et al. (2019b)  
112 used WRF-CMAQ to disentangle the relative roles of anthropogenic emissions and  
113 meteorology in PM<sub>2.5</sub> variability, while Mao et al. (2022a) reproduced multi-pollutant  
114 trends across China between 2013 and 2019, validating CMAQ's long-term  
115 performance. Wang et al. (2024) applied CMAQ to assess regional O<sub>3</sub> responses during  
116 heatwaves, highlighting the strong sensitivity of O<sub>3</sub> formation to both emissions and  
117 meteorological drivers. Collectively, these applications underscore the indispensable  
118 role of regional CTMs in advancing mechanistic understanding of air pollution and in  
119 guiding effective clean-air strategies (Yahya et al., 2015; Lei et al., 2023; Dou et al.,  
120 2024; Geng et al., 2024).  
121 Building on this foundation, substantial efforts have focused on improving the  
122 performance and reliability of regional CTMs. A major area of optimization lies in  
123 chemical mechanisms: updated frameworks such as Carbon Bond 6 (CB6) and SAPRC-  
124 11 enhance model fidelity in representing O<sub>3</sub> formation pathways and secondary organic  
125 aerosol production under diverse atmospheric conditions (Yarwood et al., 2010; Carter  
126 and Heo, 2013). Parallel improvements in meteorological simulations—through  
127 techniques such as four-dimensional data assimilation (FDDA) in WRF and the  
128 incorporation of high-resolution land-use datasets (e.g., MODIS, NLCD)—have  
129 sharpened the representation of surface temperature, planetary boundary layer height,  
130 and wind fields (Mallard et al., 2018; Campbell et al., 2019; Godowitch et al., 2015;  
131 Wang et al., 2021a; Siewert and Kroszczynski, 2023). Meanwhile, advances in  
132 anthropogenic and biogenic emission inventories, including the Multi-resolution  
133 Emission Inventory for China (MEIC) and the U.S. National Emissions Inventory (NEI),  
134 now provide finer spatial and temporal detail, capturing sector-specific variability and  
135 reducing input uncertainty (Li et al., 2017a; Zheng et al., 2021; Foley et al., 2023; Geng  
136 et al., 2024). Together, these continuous advancements have considerably strengthened  
137 the capacity of regional CTMs to support both scientific inquiry and evidence-based  
138 policy-making.  
139 Despite substantial advances in regional chemical transport models (CTMs),  
140 comparatively little attention has been devoted to chemical boundary conditions  
141 (CBCs), even though they critically influence model accuracy. CBCs specify the  
142 concentrations of air pollutants at the lateral and vertical boundaries of the simulation  
143 domain, thereby constraining internal chemical evolution and pollutant transport  
144 (Goldberg et al., 2015; Hogrefe et al., 2018). Accurate CBCs are essential for capturing  
145 the impact of long-range pollutant transport and representing background



146 concentrations, both of which strongly shape regional air quality. For regional O<sub>3</sub>, these  
147 boundary-driven background levels can modulate the effectiveness of local emission  
148 controls, alter the chemical sensitivity regime, and partly determine the spatial gradients  
149 between upwind and downwind areas. In regions strongly influenced by continental  
150 outflow, stratosphere–troposphere exchange, or marine inflow, poorly specified CBCs  
151 may therefore lead to systematic biases in simulated O<sub>3</sub> distributions (Zhu et al., 2024;  
152 Goldberg et al., 2015; Hogrefe et al., 2018). Oversimplified treatments—such as  
153 prescribing fixed background values or climatological means—can introduce  
154 substantial biases, resulting in misrepresentation of pollutant levels and misleading  
155 evaluations of source contributions, policy effectiveness, and health risks (Yahya et al.,  
156 2015; Hogrefe et al., 2018). Indeed, sensitivity studies show that uncertainties in CBCs  
157 can alter simulated O<sub>3</sub> by several parts per billion, with particularly pronounced effects  
158 in downwind and coastal regions influenced by transboundary transport (Hogrefe et al.,  
159 2018; Jerrett et al., 2005).

160 In China, few studies have systematically assessed the role of chemical boundary  
161 conditions in influencing model performance or pollutant attribution across different  
162 geographical regions (Zhu et al., 2024). This represents a critical gap, as the spatial  
163 heterogeneity of transboundary influences—from continental transport in the west to  
164 marine outflow in the east—could lead to regionally differentiated impacts on pollutant  
165 concentrations and control policy outcomes. For example, western and northern China  
166 may be more strongly affected by inflow of polluted air masses from upwind continental  
167 source regions, while eastern coastal areas can be influenced by recirculation and clean  
168 or polluted marine air, leading to distinct baseline O<sub>3</sub> levels and vertical structures.  
169 Without an explicit assessment of CBCs across these contrasting regimes, regional  
170 CTM applications may under- or overestimate O<sub>3</sub> burdens and misattribute observed  
171 spatial patterns to local emissions or meteorology alone (Solazzo et al., 2012; Ni et al.,  
172 2018; Sahu et al., 2021; Mao et al., 2022b; Shen et al., 2024). Therefore, a  
173 comprehensive evaluation of the role of chemical boundary conditions in regional CTM  
174 applications is urgently needed to enhance model reliability, reduce forecast uncertainty,  
175 and support the formulation of more effective O<sub>3</sub> mitigation strategies.

176 Herein, we used outputs from three global chemical transport models to provide  
177 downscaled CBCs for the regional CMAQ model and systematically evaluated the  
178 impact of including versus omitting CBCs on O<sub>3</sub> simulations. Surface observations,  
179 ozonesonde profiles, and satellite data were used to assess model performance across  
180 China. We also examine the mechanisms by which CBCs influence O<sub>3</sub>, including their  
181 regulation of background concentrations and propagation of transboundary pollutants  
182 into the domain. This study advances understanding of CBCs in regional air quality  
183 modeling and provides a foundation for more accurate high-resolution O<sub>3</sub> forecasts and  
184 improved air quality management strategies. By explicitly contrasting simulations with  
185 and without chemically consistent CBCs, while keeping emissions and meteorology  
186 unchanged, this study isolates the contribution of boundary conditions from other key  
187 drivers of O<sub>3</sub> variability. The resulting diagnostics provide a clearer physical  
188 interpretation of how CBCs interact with regional emissions and meteorological fields  
189 to shape O<sub>3</sub> distributions over China.



## 190 **2 Data and Method**

### 191 **2.1 Modelling Configuration**

192 In this study, O<sub>3</sub> concentrations during July–August 2019 were simulated using the  
 193 WRF-CMAQ modeling system. The Weather Research and Forecasting (WRF,  
 194 <https://www.mmm.ucar.edu/models/wrf>) model version 3.9.1 was used to generate  
 195 meteorological fields, which were then provided as inputs to drive the Community  
 196 Multiscale Air Quality (CMAQ) model version 5.3.3 (<http://www.epa.gov/cmaq>).  
 197 CMAQ solves the governing physical and chemical equations to simulate the three-  
 198 dimensional distribution of air pollutants. The simulations were conducted at a  
 199 horizontal resolution of 36 km, covering the entire Chinese mainland and surrounding  
 200 regions to ensure adequate representation of regional transport processes. (see Fig. 1).  
 201 The meteorological initial and boundary conditions were derived from the ERA5  
 202 reanalysis dataset (0.25° × 0.25° resolution), provided by the Copernicus Climate  
 203 Change Service via the Climate Data Store (CDS) (Hersbach et al., 2023) .  
 204 Anthropogenic emissions over China were obtained from the Multi-resolution Emission  
 205 Inventory for China (MEIC v1.4) for the year 2019 (Li et al., 2017a), which provides  
 206 sector-based emissions mapped to CMAQ species (<http://meicmodel.org>, last accessed:  
 207 January 1, 2024). For regions outside China, the MIX v1.1 inventory was used, which  
 208 is also developed by Tsinghua University (Qiang Zhang) with input from Asia Center  
 209 for Air Pollution Research (Jun-ichi Kurokawa and Toshimasa Ohara), Konkuk  
 210 University (Jung-Hun Woo), Argonne National Laboratory (Zifeng Lu and David  
 211 Streets), and Peking University (Yu Song) (Li et al., 2017b) and includes regionalized  
 212 emissions for East Asia. The biogenic emissions were estimated using the inline  
 213 Biogenic Emission Inventory System (BEIS3) embedded within CMAQ which  
 214 dynamically calculates emissions based on land use, vegetation type, and  
 215 meteorological conditions online. The gas-phase chemistry was represented using the  
 216 SAPRC07TC mechanism, while aerosol processes were simulated using the AERO6  
 217 module.

218 In order to assess the influence of CBCs on O<sub>3</sub>, four different CBC scenarios were  
 219 designed and applied as inputs to the CMAQ BCON (boundary condition) module. The  
 220 first scenario, referred to as BASE, employs a spatially uniform and temporally constant  
 221 boundary condition derived from the built-in ASCII vertical profiles in CMAQ. These  
 222 profiles were extracted from a hemispheric CMAQv5.3 beta2 simulation for the year  
 223 2016, representing annual mean concentrations at the grid cell nearest to (37N, -157W),  
 224 which is over the ocean in the central North Pacific region. Therefore, the BASE CBCs  
 225 represent the background profile of the open ocean environment. In contrast, the  
 226 remaining three scenarios utilize boundary conditions generated from the output of  
 227 three global chemistry models (GCMs), namely, Hemisphere version of the Community  
 228 Multiscale Air Quality model (H-CMAQ), Goddard Earth Observing System-  
 229 Chemistry (GEOS-Chem), and Community Earth System Model version 2.2  
 230 (CESM2.2). Each of these boundary datasets was processed and formatted consistently  
 231 to ensure compatibility with the CMAQ framework.

232 Specifically, CBCs for the H-CMAQ scenario were derived from daily averaged species



233 concentration outputs produced by a hemispheric CMAQ simulation under the U.S.  
234 EPA's Air Quality Time Series (EQUATES) Project  
235 (<http://www.epa.gov/cmaq/EQUATES>, last accessed: 1 August 2024). These  
236 simulations were conducted using a customized version of CMAQ v5.3.2, with a  
237 horizontal resolution of  $108 \times 108$  km on a polar stereographic projection, and  
238 employed the CB6R3M\_AE7\_KMTBR chemical mechanism.

239 For the GEOS-Chem scenario, 3-hourly global simulation outputs were used. The  
240 GEOS-Chem model is a global 3-D chemical transport model driven by meteorological  
241 fields from NASA's Goddard Earth Observing System (GEOS), developed by the  
242 NASA Global Modeling and Assimilation Office. The chemical mechanism includes  
243 comprehensive tropospheric  $O_3$ – $NO_x$ –VOCs–aerosol–halogen chemistry (Mao et al.,  
244 2013; Park et al., 2004; Parrella et al., 2012; Wang et al., 1998), as well as stratospheric  
245 chemistry processes (Eastham et al., 2014). Further information is available at  
246 <https://geoschem.github.io/> (last accessed: 1 August 2024).

247 The CESM2.2 scenario utilized 6-hourly output from the Community Atmosphere  
248 Model with Chemistry (CAM-chem) embedded within the Community Earth System  
249 Model version 2.2 (CESM2.2). The CAM-chem simulations used the finite-volume  
250 dynamical core, with a horizontal resolution of  $1^\circ \times 1^\circ$  and 32 vertical levels. The  
251 MOZART-T1 mechanism was applied to simulate both tropospheric and stratospheric  
252 chemical processes. Details on the model setup and outputs are available at  
253 <https://www2.acom.ucar.edu/gcm/cam-chem>.

254 All the three global model outputs were converted to the I/O API format required by  
255 the CMAQ Chemical Transport Model (CCTM). A combination of data transformation  
256 tools and custom scripts was developed and applied to harmonize species mapping,  
257 spatial resolution, temporal alignment, and file formatting, thus enabling seamless  
258 integration of each global model dataset as boundary conditions for the regional CMAQ  
259 simulations. To minimize the influence of initial conditions and allow the imposed  
260 boundary conditions to fully propagate throughout the simulation domain, a 10-day  
261 model spin-up period was applied prior to the analysis period.

262





## 2.2 Observation data

### 2.2.1 Surface observation data

Surface observations across China for July–August 2019 were used to evaluate the simulated meteorological parameters and atmospheric pollutant concentrations from the WRF-CMAQ model. Meteorological data were obtained from the National Meteorological Information Center (<http://data.cma.cn>, last accessed 1 January 2024). Hourly meteorological observations from 2,394 monitoring stations were collected, including 2-meter air temperature (T2), 2-meter relative humidity (RH2), 10-meter wind speed (WS10), and surface pressure (PRS). Hourly O<sub>3</sub> observations were retrieved from the China National Environmental Monitoring Center (<https://air.cnemc.cn:18007/>, last accessed 1 January 2024), encompassing data from 1,480 air quality monitoring sites. The spatial distribution of meteorological and air quality monitoring stations is shown in Fig. 1 and Fig. S1. To investigate the spatial variability of chemical boundary condition impacts on O<sub>3</sub> simulation, monitoring sites were grouped into seven regions within China (Fig. 1 and Table S1): South (S), East (E), North (N), Central (C), Northeast (NE), Northwest (NW), and Southwest (SW). This study evaluates sites O<sub>3</sub> using the Maximum Daily 8-Hour Average concentration (O<sub>3</sub>MDA8), derived from both surface observations and model simulations. To comprehensively assess model performance across different pollution levels, we analyze two key indicators: the average O<sub>3</sub>MDA8 (avg-O<sub>3</sub>MDA8), which reflects the overall background and typical exposure level, and the 90th percentile of O<sub>3</sub>MDA8 (90th-O<sub>3</sub>MDA8), which is used to characterize high-O<sub>3</sub> events. The inclusion of the 90th percentile metric enables evaluation of the model's ability to capture peak O<sub>3</sub> pollution episodes that are most relevant to regulatory thresholds and public health risk assessments.

Model performance was quantitatively evaluated using multiple statistical metrics, including mean observed value (OBS), mean simulated value (SIM) for each of the four CBC scenarios (BASE, H-CMAQ, GEOS-Chem, CESM2.2), mean bias (MB), normalized mean bias (NMB), root mean square error (RMSE), index of agreement (IOA), and Pearson correlation coefficient (r). The mathematical definitions of these statistics are provided below.

$$\text{OBS} = \frac{1}{n} \sum_{i=1}^n O_i$$

$$\text{SIM} = \frac{1}{n} \sum_{i=1}^n S_i$$

$$\text{MB} = \frac{1}{n} \sum_{i=1}^n (S_i - O_i)$$

$$\text{NMB} = \frac{\sum_{i=1}^n (S_i - O_i)}{\sum_{i=1}^n O_i}$$



$$\begin{aligned}
 \text{RMSE} &= \sqrt{\frac{1}{n} \sum_{i=1}^n (S_i - O_i)^2} \\
 r &= \frac{\sum_{i=1}^n (S_i - \text{SIM})(O_i - \text{OBS})}{\sqrt{\sum_{i=1}^n (S_i - \text{SIM})^2} \sqrt{\sum_{i=1}^n (O_i - \text{OBS})^2}} \\
 \text{IOA} &= 1 - \frac{n * \text{RMSE}^2}{\sum_{i=1}^n (|S_i - \text{OBS}| + |O_i - \text{OBS}|)^2}
 \end{aligned}$$

where  $S_i$  and  $O_i$  are the simulated and observed site's avg-O3MDA8 or 90th-O3MDA8,  $n$  represents the number of the simulated days.

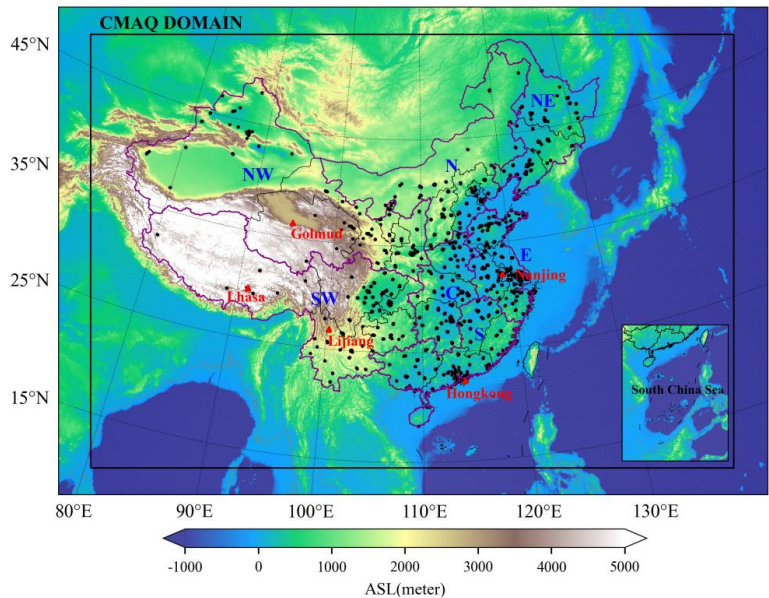
### 2.2.2 Vertical Observation Data

To evaluate the influence of CBCs on the vertical distribution of  $\text{O}_3$ ,  $\text{O}_3$  sounding data from five representative sites (Hong Kong, Nanjing, Golmud, Lhasa, and Lijiang) were collected and used to validate the model's vertical  $\text{O}_3$  simulations. These stations are strategically located in the eastern, southern, southwestern, and northwestern boundaries of the modeling domain (Fig 1), enabling a targeted assessment of how boundary conditions affect  $\text{O}_3$  concentrations aloft. Briefly, the Hong Kong profiles (King's Park Observatory; launched at 13:00 LST; 9 soundings during July–August 2019) were obtained from the World Ozone and Ultraviolet Radiation Data Centre (WOUDC, <https://woudc.org/data.php>, last accessed: October 8, 2024). Nanjing observations (from the National Benchmark Climate Station; launched between 13:00 and 14:00 LST; 4 soundings between July 23, 2019 and September 1, 2020) were sourced from the China Air Pollution Data Center (CAPDC, <https://www.capdatabase.cn>, last accessed: October 8, 2024). Data for Golmud (12 profiles), Lhasa (8 profiles), and Lijiang (5 profiles), collected between 2019 and 2022 with launch times ranging from 23:00 to 02:00 LST, were obtained from the National Tibetan Plateau Data Center (TPDC, <https://data.tpdc.ac.cn/home>, last accessed: October 10, 2024) (Bai Zhixuan, 2023; Zhixuan, 2023; Bai Zhixuan, 2022). To ensure consistency across datasets and comparability with the model output, all sonde data were processed for the 0–20 km altitude range and interpolated to match the model vertical structure. Observations during July–August were prioritized, and model outputs were extracted as time-averaged vertical profiles over the corresponding grid cells and times (13:00 -14:00 or 23:00 - 2:00 LST). Detailed information about the surface observation and sounding sites is provided in Table S2.

In addition, tropospheric  $\text{O}_3$  column data were also introduced to further evaluate the spatial performance of the model. This dataset was developed by the University of Science and Technology of China (USTC) and is derived from measurements by the Environmental Trace Gases Monitoring Instrument (EMI) aboard the Gaofen-5 satellite, China's first ultraviolet-visible hyperspectral spectrometer dedicated to atmospheric composition monitoring. The product provides a seamless tropospheric  $\text{O}_3$  column dataset at a high spatial resolution of  $1 \text{ km} \times 1 \text{ km}$ , offering detailed information on  $\text{O}_3$



334 distribution over complex terrains and urban regions. Further details on the retrieval  
335 algorithm and validation of the product can be found in (Zhao et al., 2024). Detailed  
336 information about the Tropospheric O<sub>3</sub> column data is also provided in Table S2.  
337



338  
339 **Figure 1.** Simulation domain of the CMAQ model with a horizontal resolution of  $36 \times 36$  km. Black dots  
340 represent surface O<sub>3</sub> monitoring sites, and red triangles denote O<sub>3</sub> sounding launch stations. Terrain  
341 elevation above sea level (ASL) is illustrated with shaded relief. Purple lines delineate the administrative  
342 boundaries of China's major regions—South (S), East (E), North (N), Central (C), Northeast (NE),  
343 Northwest (NW), and Southwest (SW). The provinces included in each region are listed in Table S1.

### 344 3. Results and Discussions

#### 345 3.1 Comparative Analysis of four CBCs

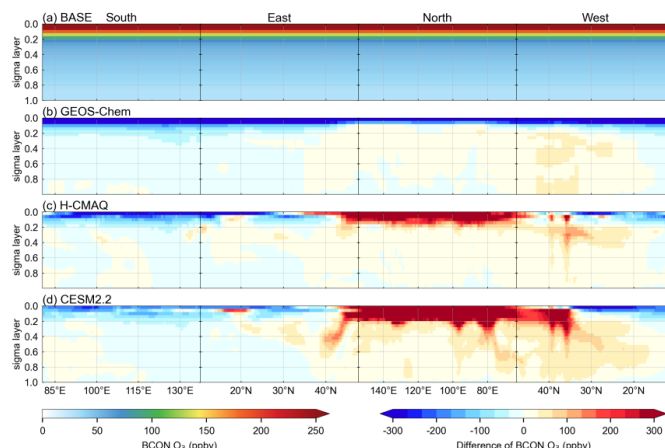
346 Fig. 2 displays the vertically averaged temporal O<sub>3</sub> distribution along the four lateral  
347 boundaries of the modelling domain during July-August 2019, under four different  
348 CBC scenarios. In the BASE scenario, the O<sub>3</sub> profile remains static, characterized by  
349 horizontally uniform mixing ratios at each altitude. A sharp increase in O<sub>3</sub> concentration  
350 is evident near the tropopause, with minimal vertical variation in the lower and mid-  
351 troposphere. This relatively uniform, three-dimensional O<sub>3</sub> distribution suggests that  
352 the BASE CBC scenario represents a background condition (i.e., over the open ocean),  
353 and thus fails to adequately capture the spatiotemporal variability of O<sub>3</sub> over mainland  
354 East Asia, where O<sub>3</sub> levels are strongly influenced by anthropogenic emissions and  
355 regional transport processes.

356 In contrast to the static pattern in the BASE scenario, the O<sub>3</sub> boundary conditions  
357 extracted from the three global models (H-CMAQ, GEOS-Chem, and CESM2.2)  
358 exhibit both horizontal and vertical variability across the four lateral boundaries. These



three scenarios display a generally consistent spatial and vertical structure. However, notable differences still exist across different boundaries. In the lower troposphere (0–3 km), the average O<sub>3</sub> concentrations from the three global models are 5–7 ppbv lower than those in the BASE scenario along the southern and eastern boundaries, with only minor differences among the models. For instance, over the oceanic portions of these boundaries, specifically the eastern segment of the southern boundary and the southern segment of the eastern boundary, the BASE scenario overestimates boundary O<sub>3</sub> concentrations by as much as 20–30 ppbv. In contrast, along the northern and western boundaries, the global models generally produce 4–20 ppbv higher O<sub>3</sub> concentrations than the BASE scenario, accompanied by greater inter-model variability. Among them, H-CMAQ and GEOS-Chem show relatively similar patterns, whereas CESM2.2 exhibits substantially higher O<sub>3</sub> levels, particularly along the western boundary (Fig. 2 and Table 1).

Conversely, compared to the BASE scenario, the differences in boundary O<sub>3</sub> concentrations among the three global models significantly increased in the mid-to-upper troposphere (3–10 km) and stratosphere (above 10 km). In the mid-to-upper troposphere (3–10 km), the BASE scenario generally underestimated O<sub>3</sub> concentrations along the northern and western boundaries, while significantly overestimating them along the southern boundary. The CESM2.2 scenario showed higher O<sub>3</sub> concentrations along the eastern, northern, and western boundaries. In the stratosphere (above 10 km), the global model results indicated that the BASE scenario significantly overestimated O<sub>3</sub> concentrations along the southern, eastern, and western boundaries, with GEOS-Chem exhibiting the lowest O<sub>3</sub> concentrations among the four scenarios. The H-CMAQ and CESM2.2 models showed large areas of high O<sub>3</sub> concentration near the northern boundary. These spatial variations in O<sub>3</sub> boundary conditions are likely to have a considerable impact on the simulation of surface O<sub>3</sub> concentrations over China during the summer months.



386

387 **Figure 2.** Temporally averaged O<sub>3</sub> chemical boundary conditions along the lateral boundaries of CMAQ  
388 modeling domain. Panels show (a) BASE scenario O<sub>3</sub> concentrations, (b) GEOS-CHEM minus BASE ,  
389 (c) H-CMAQ minus BASE, and (d), CESM2.2 minus BASE. Values are plotted clockwise, starting from  
390 the southwest corner of the CMAQ simulation domain, with the model's sigma coordinates.



**Table 1.** Statistical results of average O<sub>3</sub> concentrations (ppbv) at various vertical heights among the four boundaries for four CBC scenarios.

Vertical altitude	Boundary	BASE	H-CMAQ	GEOS-Chem	CESM2.2
0-3 km	South	31.7	27.3	25	26
	East	31.7	24.9	23.8	25.1
	North	31.7	39.5	36.4	47.4
	West	31.7	43.5	45.8	51.7
3-10 km	South	53.2	40.1	26.7	35.6
	East	53.2	54.9	43.4	61.7
	North	53.2	79.2	68.4	119.7
	West	53.2	76.2	60.6	88.7
Above 10 km	South	408.0	233.4	42.4	272.0
	East	408.0	351.6	82.0	373.8
	North	408.0	658.3	186.9	728.7
	West	408.0	338.6	88.2	324.1

## 3.2 Evaluation of Model Performance Using Different CBCs

### 3.2.1 Meteorological simulation evaluation

Table 2 presents an evaluation of WRF model simulations of 2-meter temperature (T2), 2-meter daily maximum temperature (T2max), 2-meter relative humidity (RH2), 10-meter wind speed (WS10), surface pressure (PRS), and precipitation (PRECIP). The data were averages from 2439 meteorological stations across China. Analysis of mean bias (MB), correlation coefficient (r), and index of agreement (IOA) revealed that the WRF model accurately simulated the meteorological fields. T2, T2max, RH2, and PRS exhibited IOA values exceeding 0.85, indicating strong agreement with observations. Correlation coefficients (r) exceeded 0.7 for all variables except WS10. However, some biases remained in the simulation results for certain variables. Specifically, RH2 and PRS were slightly underestimated, while PRECIP was overestimated; nevertheless, the r and IOA values remained relatively high. In contrast, WS10 was significantly overestimated (by 1.6 m/s), with both IOA and r below 0.5. This likely stemmed from the relatively coarse model grid resolution, hindering accurate representation of urban topography and its impact on wind speed—a phenomenon observed in other studies (Hu et al., 2016; Shen et al., 2022). Overall, the WRF model demonstrated good performance in meteorological simulations, providing reliable inputs for the CMAQ model.



414

**Table 2.** Evaluation results for the meteorological variables.

variable	OBS	SIM	MB	RMSE	IOA	r
T2 (°C)	25	24.4	-0.6	2.1	0.94	0.91
T2max (°C)	29.9	29.3	-0.6	2.5	0.91	0.86
RH2 (%)	73.6	69.1	-4.5	8.6	0.88	0.86
WS10 (m/s)	2	3.6	1.6	1.8	0.41	0.45
PRS (hPa)	937.8	922.7	-15.1	28.5	0.97	0.97
PRECIP (mm)	297.4	434.3	136.9	234.2	0.72	0.7

### 415 3.2.2 Surface O<sub>3</sub> Simulation Performance

416 Fig. 3 illustrated the spatial distribution of avg-O<sub>3</sub>MDA8 and 90th-O<sub>3</sub>MDA8  
 417 concentrations and their normalized mean bias (NMB) across China. Across all  
 418 monitoring sites, the observed avg-O<sub>3</sub>MDA8 and 90th-O<sub>3</sub>MDA8 for July-August 2019  
 419 were 59.4 ppbv and 82.8 ppbv, respectively (Table S3). Generally, O<sub>3</sub> concentrations in  
 420 North China were higher than in South China. For 90th-O<sub>3</sub>MDA8, elevated values were  
 421 widespread, notably in the North China Plain (NCP), Central China, Yangtze River  
 422 Delta (YRD), Pearl River Delta (PRD), and Sichuan Basin (SCB), highlighting the  
 423 severity of summer O<sub>3</sub> pollution across China.

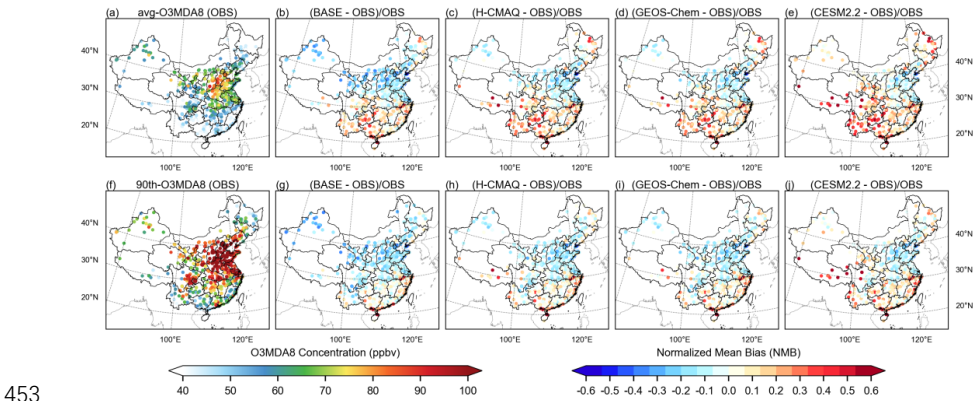
424 Substantial discrepancies existed between observed and simulated O<sub>3</sub> across all CBC  
 425 scenarios. The BASE scenario, in particular, systematically underestimated both mean  
 426 and extreme O<sub>3</sub>, especially in northern regions (latitude > 30° N). Avg-O<sub>3</sub>MDA8  
 427 underestimations reached -16.1 ppbv in North China and -11.2 ppbv in Northwest  
 428 China, with moderate underestimations in East (-5.1 ppbv) and Northeast China (-4.8  
 429 ppbv) (Fig. 3; Table S4). Similarly, 90th-O<sub>3</sub>MDA8 was underestimated by 32.9% in  
 430 North China and 26.2% in Northwest China, with smaller NMB (-9.3 to -14.2%)  
 431 elsewhere except South China (Table S5). These results indicate that the BASE scenario  
 432 poorly represents both average and high O<sub>3</sub> levels, limiting its ability to capture O<sub>3</sub>  
 433 formation and transport processes during hot seasons.

434 By incorporating global model-derived CBCs, significant improvements in both bias  
 435 and agreement are observed across China. Based on the NMB values for avg-O<sub>3</sub>MDA8  
 436 and 90th-O<sub>3</sub>MDA8, the three dynamic CBC scenarios can be ranked as follows: GEOS-  
 437 Chem (-0.3%, -6.5%) > H-CMAQ (-1.1%, -7.9%) > CESM2.2 (+4.9%, -0.7%)  
 438 (Tables S4-S5). GEOS-Chem consistently yielded the smallest bias, indicating the most  
 439 accurate representation of boundary and background O<sub>3</sub> inflow at both average and  
 440 extreme levels. Correspondingly, its index of agreement (IOA) reached 0.85 for avg-  
 441 O<sub>3</sub>MDA8 and 0.83 for 90th-O<sub>3</sub>MDA8, the highest among all scenarios, suggesting  
 442 excellent spatial and temporal consistency with observations. The H-CMAQ scenario  
 443 also improved upon the BASE case, albeit to a slightly lesser extent, reducing O<sub>3</sub>  
 444 underestimation while maintaining IOAs of 0.82 (avg-O<sub>3</sub>MDA8) and 0.81 (90th-  
 445 O<sub>3</sub>MDA8). In contrast, the CESM2.2 scenario exhibited a positive NMB for avg-  
 446 O<sub>3</sub>MDA8 (+4.9%), suggesting a slight overestimation in background inflow. However,  
 447 CESM2.2 substantially improved the simulation of O<sub>3</sub> extremes, with a much smaller  
 448 NMB (-0.7%) for 90th-O<sub>3</sub>MDA8 and a still-high IOA of 0.83, highlighting its strength  
 449 in reproducing high-O<sub>3</sub> pollution events, especially in regions influenced by complex  
 450 terrain and strong photochemistry. Overall, these results demonstrate that applying

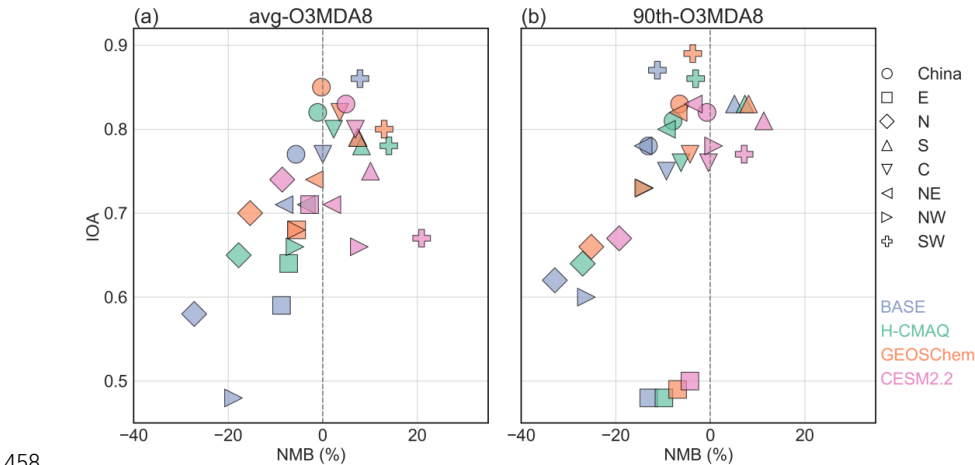




dynamic CBCs derived from global chemical transport models substantially enhances the simulation of both average and extreme O<sub>3</sub> concentrations.



**Figure 3.** Spatial distribution of avg-O<sub>3</sub>MDA8 and 90th-O<sub>3</sub>MDA8 from observations (OBS) and four CBC scenario simulations. Panels (a, f) show observed avg-O<sub>3</sub>MDA8 and 90th-O<sub>3</sub>MDA8 at 1,480 monitoring sites, while panels (b–e, g–j) present site-level normalized mean bias (NMB) for BASE, H-CMAQ, GEOS-Chem, and CESM2.2 simulations, respectively.



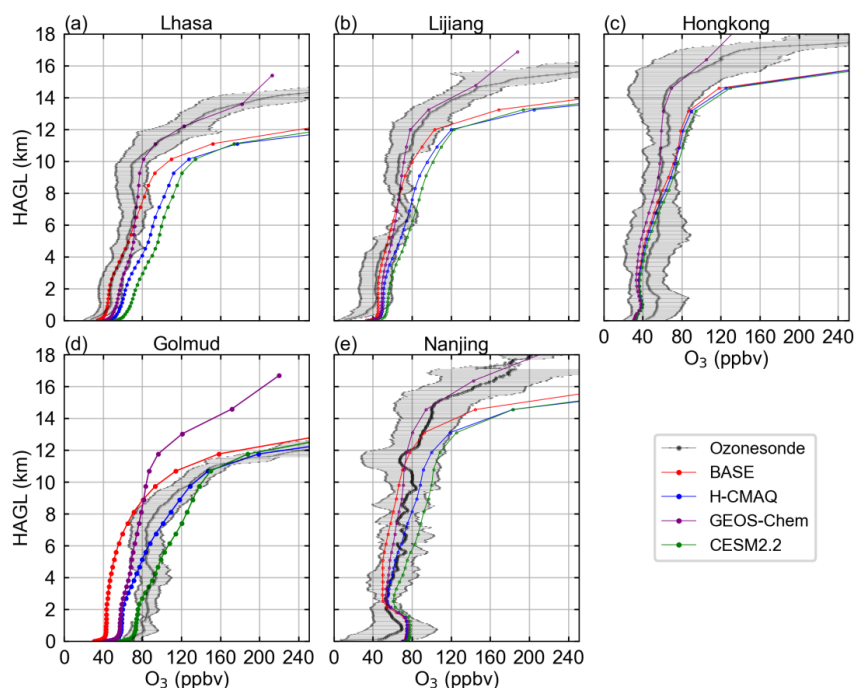
**Figure 4.** Comparison of model performance among four CBC scenarios (BASE, H-CMAQ, GEOS-Chem, and CESM2.2) in terms of Normalized Mean Bias (NMB, %) and Index of Agreement (IOA) for (a) average daily maximum 8-hour O<sub>3</sub> concentrations (avg-O<sub>3</sub>MDA8) and (b) the 90th percentile of daily maximum 8-hour O<sub>3</sub> (90th-O<sub>3</sub>MDA8) in China and its seven subregions (South (S), East (E), North (N), Central (C), Northeast (NE), Northwest (NW), and Southwest (SW)).

At the regional scale, however, differences among the three dynamic CBC scenarios become regionally differentiated (Fig. 4). Although GEOS-Chem and H-CMAQ consistently show the best nationwide performance, CESM2.2 demonstrates superior accuracy in several regions. For instance, CESM2.2 achieves the smallest NMB and highest IOA in the north (N), northeast (NE), east (E) and northwest (NW) regions for both avg-O<sub>3</sub>MDA8 and 90th-O<sub>3</sub>MDA8, reflecting its strength in capturing high O<sub>3</sub>



470 events in areas. In the SW region, CESM2.2 outperforms other models with a positive  
471 NMB yet high IOA, indicating a well-aligned simulation of elevated background O<sub>3</sub>  
472 levels. In contrast, GEOS-Chem exhibits balanced performance across most regions,  
473 notably achieving relatively low NMB and high IOA in the east (E), central (C), and  
474 northeastern (NE) regions. These areas are typically influenced by continental outflow  
475 and moderate photochemistry, conditions under which GEOS-Chem's background O<sub>3</sub>  
476 input appears to be well-optimized. H-CMAQ offers moderate improvement relative to  
477 the BASE scenario across most regions, with less extreme biases than BASE and  
478 slightly lower IOA compared to CESM2.2 or GEOS-Chem.

### 479 3.2.3 Vertical O<sub>3</sub> profile Evaluation



480  
481 **Figure 5.** Comparison of vertical O<sub>3</sub> profiles between four CBC scenario simulation (BASE, H-CMAQ,  
482 GEOS-Chem, and CESM2.2) and sounding observations at five stations across China.  
483  
484





**Table 3** Comparison and evaluation of vertical O<sub>3</sub> concentration profiles (ppbv) at each sounding station.

	Lhasa	Lijiang	Hongkong	Golmud	Nanjing
Lower troposphere (0-3 km)					
OBS	45.4	39.1	49.9	64.9	57.9
BASE	45.3	45.1	40.8	43.0	64.8
H-CMAQ	60.6	50.6	41.3	59.4	67.0
GEOS-Chem	54.8	48.8	39.5	57.5	67.3
CESM2.2	70.4	55.7	41.9	74.6	71.0
Mid-to-upper troposphere (3-10 km)					
OBS	66.7	62.1	53.9	85.0	68.2
BASE	75.1	62.0	51.4	62.7	56.1
H-CMAQ	94.9	74.8	53.9	94.7	71.5
GEOS-Chem	72.6	62.9	45.8	73.8	62.2
CESM2.2	104.0	81.4	56.7	112.0	83.5
lower stratosphere (10-16 km)					
OBS	230.8	122.1	68.1	174.6	92.3
BASE	306.6	211.7	106.5	288.1	117.7
H-CMAQ	394.4	259.3	112.8	367.7	149.7
GEOS-Chem	147.5	106.6	66.8	131.8	85.7
CESM2.2	371.3	249.3	116.0	332.6	153.8

To assess the performance of the model in simulating vertical O<sub>3</sub> distribution under different Chemical Boundary Conditions (CBCs), we compared the simulated O<sub>3</sub> profiles from the four scenarios with observational data from five ozonesonde stations (Fig. 5). To better evaluate model performance at different altitudes, we computed mean O<sub>3</sub> concentrations within three representative vertical layers: the lower troposphere (0–3 km), the middle-to-upper troposphere (3–10 km), and the lower stratosphere (10–16 km), as summarized in Table 3.

In lower troposphere (0–3km), observed O<sub>3</sub> concentrations in this layer ranged from ~39 to 65 ppbv across the five sites. O<sub>3</sub> concentrations in the lower troposphere are sensitive to both local emissions and background inflow. The BASE scenario generally underestimated O<sub>3</sub>, whereas incorporating dynamic CBCs increased near-surface concentrations. Among the scenarios, GEOS-Chem exhibited the most balanced performance, with mean biases typically within ± 10 ppbv. CESM2.2 overestimated O<sub>3</sub> substantially at high-altitude sites, e.g., +25.0 ppbv at Lhasa and +9.7 ppbv at Golmud, indicating excessive inflow of near-surface O<sub>3</sub>. H-CMAQ also slightly overestimated O<sub>3</sub>, but with smaller magnitudes. These results indicate that while dynamic CBCs improve near-surface O<sub>3</sub> representation, overestimation in clean or elevated regions (e.g., Lhasa) must be carefully considered, especially when using CESM2.2.

The mid-to-upper troposphere (3–10km) reflects regional background transport, deep convection, and vertical mixing. Observed O<sub>3</sub> levels typically increased with altitude, ranging from ~54 to 85 ppbv. The BASE scenario consistently underestimated O<sub>3</sub> in this layer, particularly in Golmud (–22.3 ppbv) and Nanjing (–12.1 ppbv), due to



insufficient O<sub>3</sub> inflow. Dynamic CBCs significantly reduced this bias. H-CMAQ and CESM2.2 both improved model–observation agreement, but CESM2.2 often overestimated O<sub>3</sub> (e.g., +37.3 ppbv in Lhasa), potentially reflecting overly strong entrainment of free-tropospheric O<sub>3</sub>. GEOS-Chem again performed best overall, producing values close to observations in Lijiang, Nanjing, and Lhasa, demonstrating a good balance between under- and overestimation. This suggests that GEOS-Chem CBCs offer the most realistic representation of free-tropospheric O<sub>3</sub>, while CESM2.2 may be too aggressive in polluted or convective regions.

In the lower stratosphere (10–16 km), O<sub>3</sub> levels increased sharply in this layer, with observed values ranging from ~68 to 231 ppbv. The BASE scenario significantly overestimated stratospheric O<sub>3</sub> at all sites, especially in Golmud and Lhasa, indicating excessive intrusion of stratospheric O<sub>3</sub> in default boundary inputs. This bias was further amplified in H-CMAQ and CESM2.2, with overestimations exceeding ~164 ppbv and ~140 ppbv in Lhasa and ~193 ppbv and ~158 ppbv in Golmud. From the vertical O<sub>3</sub> profile comparison, the elevated biases in the lower stratosphere of BASE, H-CMAQ, and CESM2.2 scenarios may enhance the stratosphere–troposphere exchange (STE), especially in southwestern and southern China, which is significantly influenced by the Qinghai-Tibet Plateau (Fig. 5a-c,e). In contrast, GEOS-Chem was the only CBC that consistently reduced this overestimation, bringing modeled values closer to observations at all sites. For example, it lowered the stratospheric bias in Golmud from +113.5 ppbv (BASE) to –42.8 ppbv and achieved near-perfect agreement in Hong Kong (–1.3 ppbv). Overall, the vertical profile analysis underscore that GEOS-Chem provides the most accurate representation of upper tropospheric and stratospheric O<sub>3</sub> inflow, especially important for western China where STE processes are more active.

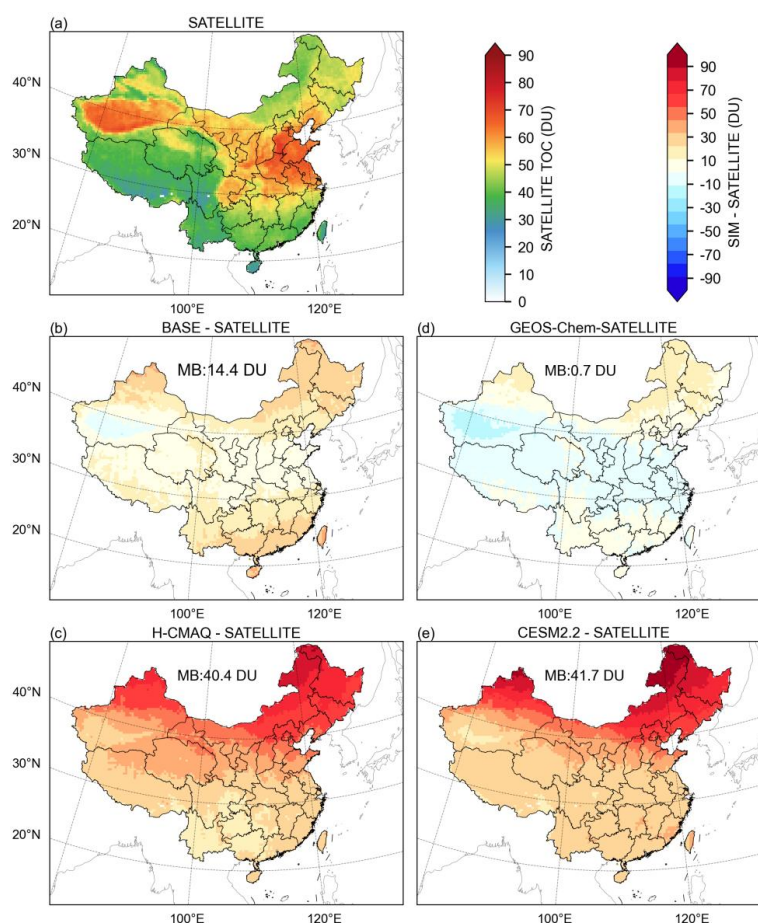
### 3.2.4 Satellite-Based O<sub>3</sub> Column Assessment

The spatial distribution of tropospheric ozone column (TOC) concentrations provides valuable insights into regional O<sub>3</sub> pollution patterns. In this study, TOC concentrations retrieved from the Environmental Trace Gases Monitoring Instrument (EMI) aboard the Gaofen-5 satellite during July–August 2019 were compared with simulation (SIM) results from four different scenario models (Fig. 6). Observational data from EMI indicate a general increase in TOC concentrations with latitude across China, consistent with previous studies (Zhu et al., 2022; Liu et al., 2022). North China exhibits the highest TOC values among the eastern regions, corresponding to areas known for severe surface-level O<sub>3</sub> pollution (Lu et al., 2018).

From the numerical modeling perspective, the simulation scenarios based on the BASE, H-CMAQ, and CESM2.2 models predominantly reflect an overestimation of TOC concentrations. Among them, the BASE scenario demonstrates the least degree of overestimation, particularly in the South China and Northeast China regions, where overestimations range from 20 to 30 DU, while other regions exhibit overestimations between 10 and 20 DU. Both the H-CMAQ and CESM2.2 models show robust overestimations exceeding 20 DU, especially in northern China (north of 35°N), where the overestimation can surpass 40 DU, with the Northeast region registering the highest overestimation, reaching beyond ~50–60 DU. In contrast, the CBCs boundary conditions provided by the GEOS-Chem model yield superior results in simulating the



553 spatial distribution of TOC, with slight overestimations noted in South China and areas  
554 north of 40°N, while underestimating concentrations within the latitude range of 30°N  
555 to 40°N. The regional mean bias (MB) of model-simulated TOC versus satellite  
556 observations was calculated for the mainland of China. The MB of model-simulated  
557 TOC (DU) for the four scenarios—BASE, H-CMAQ, CESM2.2, and GEOS-Chem—  
558 were 14.4 DU, 40.4 DU, 41.7 DU, and 0.7 DU, respectively, consistent with the analysis  
559 results shown in Fig. 5. And the simulation discrepancies for TOC across China are  
560 confined to approximately  $\pm 10$  DU, indicating that GEOS-Chem's CBCs represent the  
561 optimal boundary condition input for regional O<sub>3</sub> modeling in China area.



562

563 **Figure 6.** Comparison of tropospheric ozone column (TOC) distributions over China between satellite  
564 observations and model simulations. Panel (a) shows the TOC retrieved from satellite measurements,  
565 while panels (b–e) depict the differences (MB) between simulated TOC from the BASE, H-CMAQ,  
566 GEOS-Chem, and CESM2.2 scenarios and the satellite retrieval.

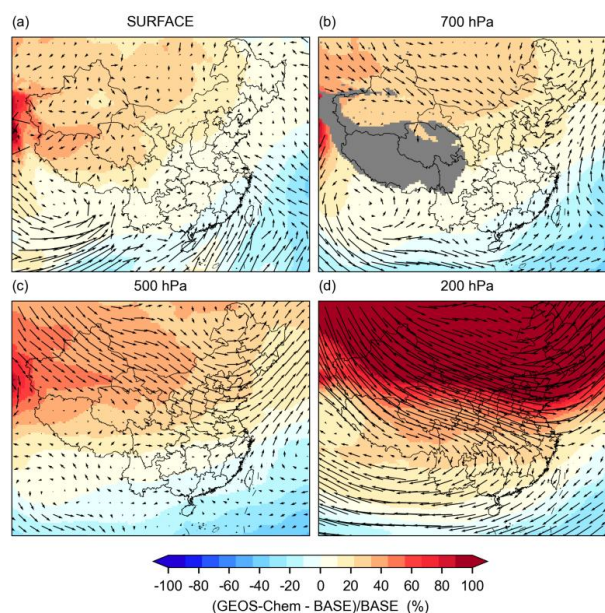
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### 3.3 Mechanism of the impact of CBCs on O<sub>3</sub> formation

#### 3.3.1 General impact of synoptic-scale circulation

CBCs regulate regional O<sub>3</sub> by controlling the inflow of background O<sub>3</sub> and precursors at model boundaries. Given the superior performance of GEOS-Chem in reproducing surface and vertical O<sub>3</sub> based on our validations, we further contrast GEOS-Chem with the BASE scenario to highlight the role of CBCs in cross-boundary transport at the surface and at 700, 500, and 200 hPa isobaric surfaces (Fig. 7).



**Figure 7.** Normalized mean bias (NMB, (GEOS-Chem – BASE)/BASE) of mean O<sub>3</sub> concentrations and corresponding mean flow fields at surface and 700, 500, and 200 hPa isobaric surfaces over the simulation domain. (The grey area indicates invalid value.)

In southeastern China, summer monsoonal flow carried relatively clean marine air into the mid–lower troposphere, lowering background O<sub>3</sub> and suppressing accumulation over eastern and southern regions, where GEOS-Chem boundary conditions produced slightly reduced concentrations (<4%, Fig. 7a–7b). This dilution effect is consistent with the characteristic influence of the Western Pacific Subtropical High during summer, which effectively flushes the coastal boundary layer with cleaner oceanic air masses. In contrast, along the northern and western boundaries, GEOS-Chem introduced substantially higher O<sub>3</sub> than BASE. Advected by prevailing northwesterlies, these inflows penetrated deep into inland China, increasing surface O<sub>3</sub> by more than 10% across most regions and by over 20% in northern and northwestern China. The magnitude of this enhancement aligns with the recognized impact of long-range transport from Eurasia, which often elevates the ozone baseline in northern China. The influence of CBC was even stronger at higher altitudes (Fig. 7c–7d). At 500 and 200 hPa, GEOS-Chem introduced markedly higher O<sub>3</sub> than BASE, reflecting enhanced



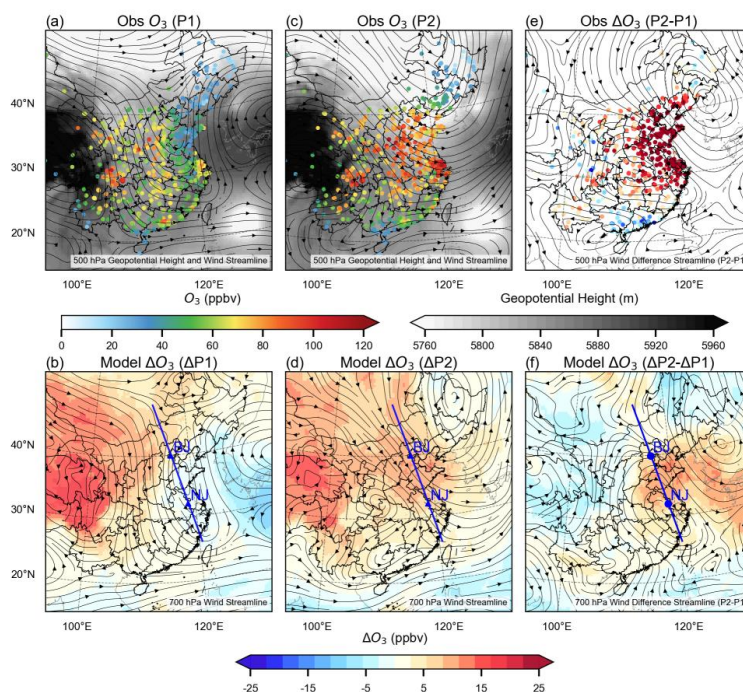
593 background inflows and contributions from stratospheric air masses. This vertical  
594 gradient in CBC sensitivity underscores the role of the free troposphere as a reservoir  
595 for long-lived O<sub>3</sub>. Such upper-level enhancements have important surface implications,  
596 as downward mixing and stratosphere–troposphere exchange (STE) can transport high-  
597 O<sub>3</sub> air into the boundary layer under favorable meteorological conditions, especially  
598 during the passage of cold fronts or deep convective mixing, further exacerbating  
599 pollution episodes.

600 Overall, these results highlight that the mechanistic impact of CBC on O<sub>3</sub> formation  
601 arises from a synergistic combination of boundary inflow composition and large-scale  
602 circulation. While oceanic inflows tend to dilute O<sub>3</sub> in southern and eastern regions,  
603 strong continental and stratospheric inflows from the north and west can significantly  
604 elevate both free-tropospheric and surface O<sub>3</sub>, amplifying pollution severity in inland  
605 China. These findings confirm that accurate CBCs are not merely a model constraint  
606 but a vital component for capturing the dynamic interplay between local  
607 photochemistry and global atmospheric circulation.

### 608 **3.3.2 Case Study: Synoptic-Scale Circulation Dynamics Modulating CBC** 609 **Impacts**

610 The influence of CBC varies dynamically with large-scale meteorological conditions  
611 rather than remaining static. During summer, synoptic disturbances such as the Western  
612 Pacific Subtropical High extensions, tropical cyclone activity, and East Asian westerly  
613 jet fluctuations reshape regional circulation patterns and modulate the transport of  
614 polluted or clean air masses into the model domain. These circulation changes,  
615 characterized by alternating cyclonic and anticyclonic flows, substantially alter the  
616 efficiency of transboundary transport and consequently affect CBC impacts on near-  
617 surface O<sub>3</sub> simulations. Here, we examined two sequential circulation regimes during  
618 August 2019 associated with successive typhoon events: Super Typhoon Lekima and  
619 Typhoon Krosa. These events created distinctly different transport patterns that  
620 modulated how boundary conditions influenced surface O<sub>3</sub> across China. Based on this  
621 evolution, we define two phases: Phase 1 (P1, 10–14 August, during Lekima's landfall  
622 and decay in Eastern China) and Phase 2 (P2, 15–19 August, controlled by post-trough  
623 northwesterlies) (Fig. 8).





624

**Figure 8.** (a) Distribution of 500 hPa winds (streamlines), geopotential height (contours), and surface  $O_3$  observations (dots) during P1; (b) Distribution of 700 hPa winds (streamlines), and difference in modeled surface  $O_3$  between GEOS-Chem CBC and BASE during P1; (c) same as (a) but for P2; (d) same as (b) but for P2; (e) differences in observed surface  $O_3$  and 500 hPa winds between P1 and P2; (f) differences in simulated surface  $O_3$  and 700 hPa winds between P1 and P2. Blue lines indicate the locations of vertical cross-sectional analyses, extending from north to south through Beijing (BJ) and Nanjing (NJ).

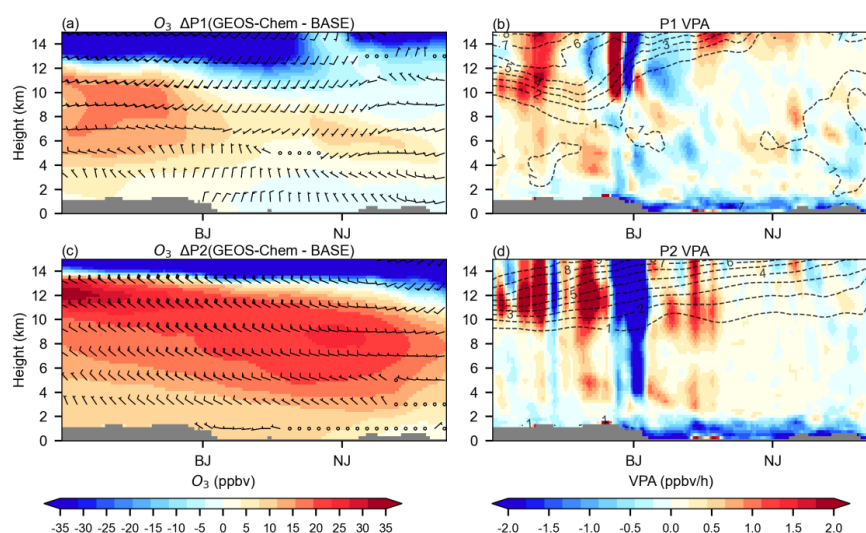
P1 occurred during the landfall and decay of Super Typhoon Lekima over the Yangtze River Delta (Fig 8a and 8b, and Fig. S2). The tropospheric circulation was dominated by a deep trough linked to the typhoon's remnant system, which enhanced southeasterly flow of marine air into eastern China. This pattern promoted deep convection and vigorous vertical mixing, leading to a pronounced coastal-inland gradient in surface  $O_3$ : marine-influenced coastal areas exhibited low concentrations ( $<50$  ppbv), while inland regions maintained moderate-to-high levels (60–90 ppbv). The cyclonic circulation disrupted typical westerly transport pathways, reducing transboundary  $O_3$  influence from northern and western source regions. Consequently, the BASE scenario overestimated  $O_3$  by 15–25 ppbv over oceanic regions where static boundary conditions failed to capture typhoon-enhanced marine influence, while underestimating concentrations by 10–20 ppbv in northwestern China where continental transport remained active but was inadequately represented by the Pacific-based boundary profile (Fig. 8b).

By contrast, P2 was characterized by a dominant northwesterly flow across central and eastern China, situated behind a mid-level trough, while a high-pressure system strengthened over western China (Fig. 8c–8d). This "east-trough, west-ridge" configuration facilitated the efficient advection of  $O_3$ -rich air from western and northern



649 source regions, resulting in the noticeable O<sub>3</sub> elevations observed across the region (Fig.  
650 8c-d). Model sensitivity analysis confirms that accurately representing these high-O<sub>3</sub>  
651 boundary inflows under such transport-favorable conditions elevates surface  
652 concentrations by 10–15 ppbv in the most affected areas (Fig. 8d). These results  
653 demonstrate that the BASE scenario, employing static boundary conditions,  
654 systematically underestimates cross-boundary pollution contributions during  
655 dynamically active periods when long-range transport is of importance.  
656 The difference between P2 and P1 (Fig. 8e–f) illustrates a marked meteorological  
657 transition from a pollution-scavenging cyclonic regime during P1 to a pollution-  
658 accumulating regime in P2, characterized by trough-driven northwesterly transport and  
659 high-pressure-induced stability. This synoptic shift corresponded with observed surface  
660 O<sub>3</sub> increases of 30–60 ppbv across northern and central-eastern China. These regions  
661 aligned spatially with the anticyclonic circulation, where enhanced subsidence favored  
662 the accumulation of transported O<sub>3</sub>. By incorporating chemically realistic CBCs, the  
663 simulation attributes approximately 10 ppbv of this O<sub>3</sub> increase to cross-boundary  
664 transport during P2 (Fig. 8f), highlighting the essential role of CBCs in accurately  
665 capturing O<sub>3</sub> buildup under transport-favorable synoptic regimes.

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670

671 **Figure 9.** (a) Vertical cross-sectional analysis of the O<sub>3</sub> difference between GEOS-Chem CBC and the  
672 BASE scenario during P1, the wind bar denotes vertical wind field; (b) vertical distribution of potential  
673 vorticity (PV, dashed contours) and vertical transport (VPA, calculated by CMAQ process analysis as the  
674 sum of vertical diffusion and vertical advection) during P1; (c) same as (a) but for P2. (d) same as (b) but  
675 for P2. The x-axis labels BJ and NJ indicate the locations of Beijing and Nanjing, respectively.

676 To further clarify the role of dynamic CBC in O<sub>3</sub> simulations, we performed vertical  
677 cross-sectional analyses using the CMAQ process analysis module along the major



transport pathways during P1 and P2 (Fig. 9). Both phases consistently revealed strong cross-boundary transport, with upstream inflows from outside the domain substantially influencing downstream O<sub>3</sub> levels across mainland China. During P1, Typhoon Lekima disrupted the transport corridor near the Yangtze River Delta (approximately 0–4 km), restricting cross-boundary influences mainly to northern inflows affecting the North China Plain (Fig. 9a). In contrast, under post-trough northwesterly flow during P2, cross-boundary transport extended southward from the northern boundary, reaching as far as the Yangtze River Delta (Fig. 9b). The difference between P1 and P2 highlights a distinct transport corridor extending from higher to lower latitudes and from the mid–upper troposphere toward the surface (Fig. S3), further emphasizing the crucial role of dynamic CBCs in shaping O<sub>3</sub> distributions.

Here, we demonstrate that cross-boundary transport also occurs in the vertical dimension, with O<sub>3</sub>-rich air descending from the upper to the lower troposphere, while stratosphere–troposphere exchange (STE) provided an additional pathway for transboundary inflow. To identify possible STE occurrences, potential vorticity (PV) between 10 and 14 km (above sea level) was examined, adopting a threshold of 2 PVU (PV units, 1 PVU = 10<sup>−6</sup> m<sup>2</sup> s<sup>−1</sup> kg<sup>−2</sup>) to distinguish stratospheric from tropospheric air masses. STE events were evident over northern China during both P1 and P2 (Fig. 9c–d and Fig. S4). In addition, the CMAQ process analyses with GEOS-Chem CBCs corroborated intensified vertical transport between 10 and 14 km in both phases, with distinctly positive contributions from vertical advection and turbulent diffusion. As a result, the joint impact of large-scale advection and vertical mixing processes enabled high-altitude O<sub>3</sub> to intrude into the lower troposphere and ultimately affect downstream regions, even in YRD (such as Nanjing city).

#### 4. Conclusion

This research demonstrates that CBCs represent a critical but often underappreciated component of regional air quality modeling systems. We systematically evaluated the influence of CBCs on regional O<sub>3</sub> simulations over China using the WRF-CMAQ model. Four CBC scenarios were compared: a static BASE scenario using climatological profiles and three dynamic scenarios derived from global chemical transport models (H-CMAQ, GEOS-Chem, and CESM2.2). Overall, dynamic CBCs substantially improved the representation of surface O<sub>3</sub> compared to the static BASE scenario, with GEOS-Chem CBCs performing best. Across China, the normalized mean bias (NMB) for avg-O<sub>3</sub>MDA8 was reduced from −5.7% (BASE) to −0.3% (GEOS-Chem), and the index of agreement (IOA) increased from 0.77 to 0.85, while the 90th-O<sub>3</sub>MDA8 percentile NMB improved from −13.1% to −6.5%, and the IOA increased from 0.66 to 0.77. Based on ozonesonde profiles and satellite TOC evaluations, elevated biases were identified in the lower stratosphere for BASE, H-CMAQ, and CESM2.2, which may lead to overestimation of background O<sub>3</sub> concentrations, particularly during STE events.

The influence of CBCs varies dynamically with large-scale meteorological conditions rather than remaining static. During summer, synoptic disturbances such as the Western





Pacific Subtropical High extensions, tropical cyclone activity, and East Asian westerly jet fluctuations reshape regional circulation patterns and modulate the transport of polluted or clean air masses into the model domain. These circulation changes, characterized by alternating cyclonic and anticyclonic flows, substantially alter the efficiency of transboundary transport and consequently affect CBC impacts on near-surface O<sub>3</sub> simulations. Generally, oceanic inflows from the south dilute O<sub>3</sub> in southeastern and coastal areas, whereas strong continental and stratospheric inflows from northern and western boundaries significantly modulate tropospheric O<sub>3</sub>, especially in downwind regions of the synoptic systems.

A comparative analysis of two successive synoptic regimes in July - August 2019, which shifted from a cyclone-dominated, pollution-scavenging phase to a post-trough northwesterly flow favorable for accumulation, revealed that dynamic circulation patterns enhanced cross-boundary transport both horizontally (via continental inflows from northern and western boundaries) and vertically (via stratosphere-troposphere exchange). The combined effects of these transport processes increased O<sub>3</sub> concentrations by 10–20% during high-pollution events over eastern China. These results underscore that accurate representation of dynamic CBCs is essential to capture circulation-driven horizontal and vertical transport and their integrated impact on regional O<sub>3</sub> distributions.

Our findings demonstrate that the choice of CBCs is not merely a technicality but a dynamic determinant of simulated O<sub>3</sub> levels for regional CTM, especially when facing synoptic regimes that favor long-range transport or vertical exchange. This underscores the necessity of moving beyond static boundary conditions in regional air quality modeling. To advance predictive capability, future efforts should pursue multi-model ensembles to quantify CBC uncertainty and explore the integration of real-time global fields into regional CTM forecasting systems. By elucidating the critical interplay between large-scale transport and regional pollution, this study provides a scientific foundation not only for improving O<sub>3</sub> forecasting but also for designing effective transboundary air quality management strategies.

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764 **Author Contributions**

765 N.W. and F.Y. designed the research. Y.D. conducted the simulation. Y.D. and N.W.  
766 wrote the manuscript. S.L., Y.H., B.L. and G.S. contributed to the interpretation of the  
767 results. R.H., B.L., Y.J., N.W. and Y.F. provided critical feedback and helped to improve  
768 the manuscript.

769 **Competing Interests**

770 The authors declare that they have no known competing financial interests or personal  
771 relationships that could have appeared to influence the work.

772 **Data Availability**

773 The numerical simulation results were stored on Shuguang supercomputer, and results  
774 can be acquired from Nan Wang (nan.wang@scu.edu.cn)

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