



# TERRESTRIAL BROWNING FROM COLORED DISSOLVED ORGANIC MATTER (CDOM) CHANGES THE SEASONAL PHENOLOGY OF THE COASTAL ARCTIC CARBON CYCLE

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Abstract. Arctic warming affects land-to-ocean fluxes of organic matter, with significant impacts on coastal ecosystems and air-sea CO<sub>2</sub> fluxes. In this study, we modify a regional ECCO-Darwin ocean biogeochemistry simulation of the Mackenzie River region to include riverine export of colored dissolved organic matter (CDOM) and its effect on light attenuation, marine carbon cycling, and water-column heating from UV-A to visible light absorption. We find that CDOM light attenuation triggers both a two-week delay in the seasonal phytoplankton bloom and an increase in sea-surface temperature (SST) by 1.7°C. While the change in phytoplankton phenology has limited effect on air-sea CO<sub>2</sub> fluxes, the local increase in SST due to terrestrial browning switches the coastal zone from an annual sink of atmospheric CO<sub>2</sub> to a source (7.35 Gg C yr<sup>-1</sup>). Our work suggests that the projected increase in terrestrial CDOM has strong implications for phytoplankton phenology and coastal air-sea carbon exchange in the Arctic.

#### 10 1 Introduction

As anthropogenic emissions of carbon dioxide ( $CO_2$ ) continue to increase (IPCC, 2023), it is critical to understand the time variability and future trajectory of the ocean carbon sink and its regional-scale response. The Arctic Ocean (AO) region constitutes an important sink of atmospheric  $CO_2$ , estimated to be  $116 \pm 4$  Tg C yr<sup>-1</sup> (Yasunaka et al., 2023), or roughly 7% of the global-ocean sink (Roobaert et al., 2019). The intense cooling of inflowing waters from adjacent seas and favorable conditions for phytoplankton growth result in elevated  $CO_2$  uptake from increased  $CO_2$  solubility and biological consumption, respectively. With Arctic air temperatures rising three to four time faster than the global mean due to the ice-albedo feedback (Rantanen et al., 2022), retreating sea-ice cover allows for a larger ocean surface area to be exposed to sunlight for longer periods of time (Bliss et al., 2019; Ardyna and Arrigo, 2020). As a result, AO Net Primary Production (NPP) increased by 90 Tg C (38%) from 1998–2012 (Ardyna and Arrigo, 2020; Lewis et al., 2020). Additionally, recent work by Terhaar et al. (2021)

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showed that a third of Arctic Ocean (AO) primary production is sustained by terrestrial fluxes from coastal erosion and rivers, resulting in large lateral fluxes of carbon and nutrients (Dittmar and Kattner, 2003; Le Fouest et al., 2013; Nielsen et al., 2022). However, the quantity and the composition of terrestrial matter exported to coastal regions is also impacted by climate change (Bertin et al., 2022; Mann et al., 2022; Tank et al., 2023), with potential to affect the bio-physical conditions of coastal AO waters.

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As Arctic river freshwater discharge increases (Feng et al., 2021), the quantity of terrestrial dissolved organic matter (DOM) exported to AO coastal peripheries is expected to increase. Due to complex aromatic cycles, DOM chemical composition depends on its origin and encompasses more than 20,000 molecular formulae (Dittmar et al., 2021). As it transitions from land to ocean, microbial activity and light alter DOM molecules, with their chemical composition being highly dependent on the transit through the terrestrial-aquatic environment (Cory et al., 2014; Cory and Kling, 2018). Once in coastal waters, the composition of riverine-derived DOM varies seasonally, likely being more labile (i.e., more easily degraded by microbes) during spring freshet (Spencer et al., 2009). A fraction of DOM, termed colored DOM (CDOM), possesses unique optical characteristics that enable it to efficiently absorb shortwave radiation — from ultraviolet (UV) to the visible light spectrum. In Arctic rivers, CDOM molecular weight and aromaticity increases with discharge (Mann et al., 2016), rendering it more resistant to degradation by marine bacteria (i.e., more refractory). Simultaneously, its interaction with light transforms CDOM either into 1) more-labile components of DOM (Osburn et al., 2009; Cory and Kling, 2018) or 2) directly into Dissolved Inorganic Carbon (DIC; Bélanger et al., 2006; Aarnos et al., 2018), which can promote CO<sub>2</sub> outgassing. By dampening light penetration into the water column, CDOM can drastically impact primary production (Bélanger et al., 2006; Li et al., 2024) and upper-ocean temperature (Hill, 2008; Kim et al., 2016; Soppa et al., 2019), which can also modulate air-sea CO<sub>2</sub> exchange. Consequently, air-sea CO<sub>2</sub> flux magnitude and direction in AO river plume regions remain highly uncertain, with both localto-regional outgassing or uptake observed (Terhaar et al., 2019; Bertin et al., 2023; Roobaert et al., 2024). Additionally, as a result of global warming, accelerating permafrost thaw has the potential to change the composition of organic matter in coastal waters and therefore the coastal air-sea CO<sub>2</sub> fluxes via increased coastal erosion (Tanski et al., 2021; Nielsen et al., 2024) or river discharge (Mann et al., 2022). Thus, by a cascading effect, CDOM can locally amplify sea-ice melting due to increased sea-surface temperature (SST) from increased light attenuation (Pefanis et al., 2020). Therefore, understanding how terrestrial CDOM biophysical feedbacks influence coastal waters is critical to better characterize the consequences of climate change across Arctic coastal peripheries.

NPP in AO coastal regions also remains highly uncertain. The harsh polar conditions make it challenging to collect *in situ* observations and estimates from remote sensing are often contaminated by sea-ice, clouds, absence of light, and the high proportion of CDOM light absorption (Lewis and Arrigo, 2020; Li et al., 2024). Estimating NPP remotely also requires several key assumptions regarding the vertical distribution of phytoplankton, since satellites only capture near-surface data (Arrigo et al., 2011; Silsbe et al., 2016). Current estimates suggest AO NPP ranges from 203–516 Tg C yr<sup>-1</sup> (Bélanger et al., 2013; Arrigo and Van Dijken, 2015), but these values are likely overestimated in coastal regions due to high CDOM concentrations.





As a result, satellite estimates of air-sea CO<sub>2</sub> flux often fail to capture nearshore, river-plume regions (Bertin et al., 2023). To complement remote sensing, ocean biogeochemistry models (OBMs) permit full space-time coverage of AO coastal regions and can provide a mechanistic understanding of the processes that govern air-sea CO<sub>2</sub> flux (Manizza et al., 2019; Mathis et al., 2022). Yet while most regional-scale OBMs now incorporate land-to-ocean nutrient transport (Terhaar et al., 2019; Lacroix et al., 2021; Savelli et al., 2024), their representation of the intricacies due to the CDOM feedbacks described above often remains partial or completely absent (though see e.g. Kim et al., 2018; Gnanadesikan et al., 2019; Pefanis et al., 2020).

In this study, we utilize a regional ocean-sea-ice-biogeochemistry model (ECCO-Darwin) to examine how riverine CDOM impacts the seasonal cycle of plankton biomass, productivity, and carbon cycling in the coastal AO. Our objectives are to 1) separate and explicitly quantify how CDOM's light attenuation properties affect both the physics and biogeochemistry in the river plume and 2) estimate how riverine CDOM modulates coastal air-sea CO<sub>2</sub> flux. Here we focus on the Southeastern Beaufort Sea (SBS), where the Mackenzie River discharges substantial freshwater and DOM into the AO (Bertin et al., 2022; Juhls et al., 2022). The remainder of this paper is structured as follows. First, we describe improvements made to the existing ECCO-Darwin regional configuration of the Southeastern Beaufort Sea (ED-SBS) regional set-up (Run<sub>strat</sub> in Bertin et al., 2025) to incorporate CDOM processes and add riverine CDOM forcing. Second, we analyze the seasonal bio-physical conditions simulated by ED-SBS in the Mackenzie River plume. Third, we assess the impact of riverine CDOM on the physical characteristics of the plume region. Fourth, we analyze changes in phytoplankton phenology driven by riverine CDOM. Fifth, we estimate how CDOM impacts air-sea CO<sub>2</sub> flux within the plume region. Finally, we provide concluding remarks and suggestions for future work.

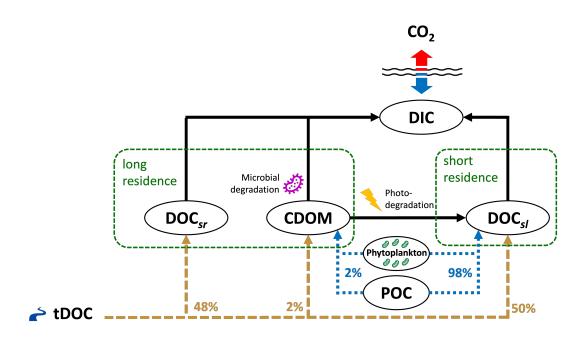
#### 2 Methods

#### 75 2.1 Explicit CDOM tracer parameterization

To simulate the coastal Arctic Ocean environment, we used the ED-SBS regional configuration, whose general numerical characteristics are fully detailed in Supporting Information Text S1 and in Bertin et al. (2023, 2025). ED-SBS simulated two marine dissolved organic carbon (DOC) pools with chemical properties representative of those found in the coastal AO: a semi-refractory pool (DOC $_{sr}$ ) characterizing the long-residence-time carbon loop with a lifetime of  $\tau = 10$  years (Manizza et al., 2009), and a semi-labile pool (DOC $_{sl}$ ) characterizing the short-residence-time carbon loop with a lifetime of  $\tau = 1$  month (including DOC molecules characterized by turnover rates ranging from weeks to months; Holmes et al., 2008; Spencer et al., 2015; Bertin et al., 2025). Land-to-sea forcing included daily discharge of freshwater and 6 biogeochemical tracers from the Mackenzie River, distributed over the three major Mackenzie Delta outlets: Shallow Bay (29.8%), Beluga Bay (37.6%), and Kugmallit Bay (32.6%) (Morley, 2012; Bertin et al., 2022). Freshwater discharge was driven by daily gauge measurements from the Arctic Great River Observatory (ArcticGRO; McClelland et al., 2023) and was linked to daily river temperature obtained from the Tokuda et al. (2019) dataset. Riverine concentrations of DOC, dissolved organic nitrogen (DON), dissolved organic phosphorus (DOP), dissolved silicate (DSi), dissolved inorganic carbon (DIC), and alkalinity (Alk) were forced as







**Figure 1.** Conceptual diagram of dissolved carbon mass fluxes in the ED-SBS model. Mackenzie River tDOC mass flux (dashed brown lines) is distributed into marine DOC and CDOM pools according to the percentages shown in brown text. The result of phytoplankton grazing/mortality and particulate organic carbon (POC) dissolution is distributed over the DOC<sub>sl</sub> and CDOM pools (dotted blue lines).

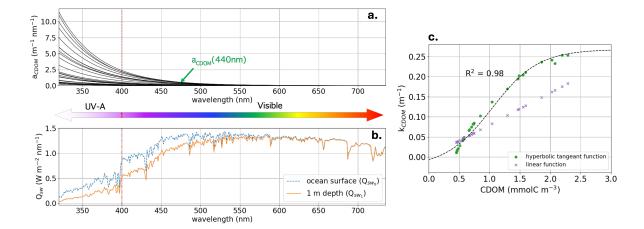
detailed in Bertin et al. (2025).

In this study, we added an explicit "CDOM like" tracer to ED-SBS, expressed as a carbon mass concentration (mmol C m<sup>-3</sup>), following the schematic shown in Figure 1. Terrestrial CDOM, which is observed to be non-labile (Blough and Del Vecchio, 2002; Aarnos et al., 2018), was added to the long-residence-time carbon loop of the model using the same microbial degradation rate as DOC<sub>sr</sub> (τ = 10 years). The CDOM tracer also interacted with the short-residence-time carbon loop by photochemical alteration of CDOM into more-labile carbon (Ward et al., 2017; Grunert et al., 2021; Clark et al., 2022). CDOM was photodegraded into DOC<sub>sl</sub> with a maximum bleaching rate of 1/6 days (Dutkiewicz et al., 2015) which was modulated by ocean temperature and light intensity. Bleaching linearly increased from 0 when light intensity is 0 W m<sup>-2</sup> to a maximum value when light is above 13 W m<sup>-2</sup> (Dutkiewicz et al., 2015). A fraction f<sub>CDOM</sub> (= 2%) of mass fluxes received by DOC<sub>sl</sub> through phytoplankton grazing/mortality and particulate organic carbon (POC) dissolution was also redistributed to CDOM.

In ED-SBS, Mackenzie River terrestrial DOC (tDOC) mass flux was equally distributed (50%) between semi-labile (DOC $_{sl}$ ) and semi-refractory (DOC $_{sr}$ ) DOC pools (based on recent estimates of the bioavailable tDOC fraction in the SBS, F. Joux, unpublished data from Nunataryuk field campaign; Lizotte et al., 2023). While 97% of DOC concentration variance is explained by CDOM absorption (Matsuoka et al., 2012), the mass concentration of riverine CDOM exported to SBS coastal waters re-







**Figure 2.** (a) In-situ CDOM spectral absorption measured over the Mackenzie Shelf during the 2009 Malina cruise for 31 water samples. (b) Shortwave solar spectrum ( $Q_{sw}$ ) at the ocean surface ( $Q_{sw_0}$ ; dashed blue line) and at 1-m depth after CDOM absorption ( $Q_{sw_1}$ ; solid orange line). (c) CDOM attenuation ( $k_{CDOM}$ ) relationship as it is described in Pefanis et al. (2020) (purple crosses) and in this study (green dots). The vertical red dashed line indicate the limit between UV-A and visible wavelength.

mains unknown. As CDOM is part of the long-residence-time loop, we redistributed a percentage of tDOC mass flux from DOC $_{sr}$  into the CDOM pool. After a sensitivity analysis (detailed in Appendix B), we set the ratio to 2% — re-partitioning Mackenzie River tDOC mass flux into 50%, 48%, and 2% DOC $_{sl}$ , DOC $_{sr}$ , and CDOM, respectively. Finally, we generated CDOM initial and boundary conditions following the methods detailed in Supporting Information Text S2.

#### 2.2 CDOM light attenuation relationship

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We first developed a new method for simulating CDOM light attenuation across the shortwave spectrum, from 320–735 nm. This allowed us to resolve the physical effect of CDOM light attenuation occurring in the UV-A (320–400 nm) and in the visible (400–735 nm) bands; the latter is often associated with Photosynthetically Active Radiation (PAR; spanning from 400–700 nm). An analysis of 31 CDOM spectral absorption measurements taken during the 2009 Malina campaign for different CDOM conditions across the SBS (see sampling locations in Supporting Information Figure S1; Matsuoka et al., 2012; Massicotte et al., 2021) revealed that 40%±10 (min:26–max:55) of light is absorbed by CDOM in the UV-A spectrum. These observations highlight the need to include full-band CDOM representation in OBMs, as most models only include light attenuation effects across PAR wavelengths. Note that in this study, we focus on light attenuation driven by CDOM absorption and disregard any backscattering effect from particulate matter.

In our ED-SBS configuration, we approximated the relationship between CDOM light attenuation and its mass concentration (mmol C  $\rm m^{-3}$ ) in high CDOM environments such as Arctic river-influenced waters. In this regard, we empirically estimated



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the CDOM diffuse attenuation coefficient ( $k_{CDOM}$ ; m<sup>-1</sup>) from 31 in-situ measurements of the CDOM spectral absorption ( $a_{CDOM}[\lambda]$ ; m<sup>-1</sup> nm<sup>-1</sup>) across the SBS (Figure 2a.). The standard solar irradiance spectrum (ASTM G-173; U.S. D.O.E., 2005) was used as the reference shortwave solar spectrum at the surface ocean ( $Q_{sw_0}$ ; W m<sup>-2</sup> nm<sup>-1</sup>) – Terms are listed in Table A1. We first calculated the shortwave spectrum attenuated at 1-m depth ( $Q_{sw_1}$ ; W m<sup>-2</sup> nm<sup>-1</sup>) by multiplying  $Q_{sw_0}$  with  $a_{CDOM}[\lambda]$  (Figure 2b.). Then,  $k_{CDOM}$  was retrieved by integrating  $Q_{sw_0}$  and  $Q_{sw_1}$  over the chosen wavelengths for each station using Equation 1.

$$k_{CDOM} = 1 - \frac{\int_{\lambda} Q_{sw_1} d\lambda}{\int_{\lambda} Q_{sw_0} d\lambda},\tag{1}$$

where lambda is the discrete wavelength (nm). Then, CDOM concentrations were estimated from  $a_{CDOM}$  [440nm] (m<sup>-1</sup>) using the relationship from Neumann et al. (2020) (Equation 2).

$$CDOM = \frac{a_{CDOM}[440nm] + 0.2409}{Mc \times 0.0478},$$
(2)

where Mc is the carbon atomic mass ( $Mc = 12.0107 \text{ g mol}^{-1}$ ). Finally, we fitted a hyperbolic tangent function (Equation 3) to obtain the relationship linking  $k_{CDOM}$  and CDOM concentrations across the range of conditions found in the SBS (Figure 2c).

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$$k_{CDOM} = a \times \tanh(b \times \text{CDOM} + c) + d.$$
 (3)

As shortwave radiation and PAR were simulated independently in the physical and biogeochemical components of the model, we calculated two different sets of parameters for the  $k_{CDOM}$ /CDOM concentration relationship for both components. Both relationships yielded an R<sup>2</sup> of  $\geq$  0.98. Parameters fitted with the full shortwave spectrum (used in the physical component) were: a = -0.15, b = -1.31, c = 1.04, and d = 0.12. Parameters fitted with PAR (used in the biogeochemical component) were: a = -0.14, b = -1.18, c = 1.04, and d = 0.10.





#### 2.3 CDOM biophysical feedback

We included the effect of CDOM on light attenuation in the biogeochemical component of the model (which already included light attenuation by water and Chlorophyll-a (Chl-a)). PAR intensity (I(z), W m<sup>-2</sup>), at depth z is calculated according to the following equation:

$$I(z) = (1 - f_{ice}) \times 0.4 \times I_{sw} \times e^{-[k_w + k_{chl} \times chl(z) + k_{CDOM}(z)]dz},$$

$$(4)$$

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where  $I_{sw}$  (W m<sup>-2</sup>) is the shortwave downwelling irradiance (input from the physical component of the model), for which 40% is considered as PAR,  $f_{ice}$  is the ice-cover fraction,  $k_w$  is the diffuse attenuation coefficient for pure seawater ( $k_w = 0.04 \text{ m}^{-1}$ ),  $k_{chl}$  is the Chl-a diffuse attenuation coefficient ( $k_{chl} = 0.04 \text{ m}^2 \text{ mg Chl-a}^{-1}$ ), chl(z) (mg Chl-a m<sup>-3</sup>) is the total concentration in Chl-a at depth z, and  $k_{CDOM}$  is the diffuse attenuation coefficient for CDOM at depth z.

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We included the biophysical feedback of CDOM light attenuation ocean warming by including  $k_{CDOM}$ , integrated over the entire shortwave spectra in the physical component of the model (see section 2.2). The physical component of the model already included the thermal effect of light attenuation by seawater, calculating a downwelling light decay profile  $(dk_{sw}; 1\text{-D})$  based on Jerlov water types (Paulson and Simpson, 1977) and decreasing from a value of 1 at the ocean surface to 0 near the seafloor. We included the thermal effect of CDOM light attenuation by calculating a CDOM light decay profile  $(dk_{CDOM})$  based on  $k_{CDOM}$  (equation 5), also decreasing from 1 to 0. As CDOM concentrations are variable in space, the resulting light decay profile produces a 3-D field.

$$\begin{cases}
dk_{CDOM}(0) = 1 \\
dk_{CDOM}(z) = dk_{CDOM}(z-1) \times e^{-k_{CDOM}d(z-1)},
\end{cases}$$
(5)

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where  $dk_{CDOM}(0)$ , the decay at the surface ocean (0-m depth) is set to 1, since simulated light has not yet been affected CDOM and z-1 is the depth of the vertical grid cell above z. The  $dk_{CDOM}$  calculation is then propagated from the ocean surface to the seafloor, as its value at depth z depends on all the values above. We then multiplied both decay profile to yield the total decay profile ( $dk_{tot}$ ; 3-D) as follows:

$$175 \quad dk_{tot}(z) = dk_{sw}(z) \times dk_{CDOM}(z). \tag{6}$$

The setup described above represents a significant advancement over the previous model development by Pefanis et al. (2020). We included an updated parameterization of CDOM mass fluxes as they transition between short and long-residence-



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time carbon loops, where it was previously represented using a single DOC pool (Dutkiewicz et al., 2015). We also revisited the  $k_{CDOM}$ /CDOM relationship, transitioning from a linear to a hyperbolic tangent relationship (see Figure 2c.) This is particularly relevant for river plume regions where CDOM concentration reaches high values. Finally, our developments included the heating contribution of CDOM UV-A absorption, which contributes to roughly 40% of CDOM light absorption in the Mackenzie shelf region. The ED-SBS setup presented here is thus able to better represent the terrestrial browning effect on Arctic coastal regions.

#### 3 Results

The simulations presented herein include all model improvements detailed above (Run<sub>full</sub>), *i.e.*, a CDOM tracer communicating with two DOC pools; CDOM light attenuation as a hyperbolic tangent function, including UV-A attenuation heating effect; and riverine input (see Table 1). For the remainder of the study, we focus our analysis on the 2012 for two reasons: 1) sea-ice area showed a major reduction during this year (Parkinson and Comiso, 2013) and 2) previous results by Pefanis et al. (2020) focus on this specific year. We also limit our analysis to the Mackenzie River plume region, which we define by the time-mean sea-surface salinity (SSS) isohaline of 27 (Supporting Information Figure S1).

We also compute metrics that describe sea-ice phenology, as defined in Bliss et al. (2019); these metrics are then spatially averaged over the plume region. The day of opening (DofO) and the day of closing (DofC) are respectively the first and last days when sea-ice concentration is below 80%. The day of retreat (DofR) and the day of advance (DofA) are respectively the first and last days when sea-ice concentration is below 15%. The period between these two days is the inner ice-free period (IIFP) or open-water period. The period between DofO and DofR is defined as the seasonal loss of ice period (SLIP) and the period between DofA and DofC is the seasonal gain of ice period (SGIP). The above metrics are summarized in a schematic (see Appendix C) and are also indicated on the top of the following figures.

#### 200 3.1 Mackenzie River plume seasonal phenology

We first describe the seasonal phenology of several important physical and biogeochemical variables in the simulated Mackenzie River plume. In the river plume,  $\operatorname{Run}_{full}$  simulates an average surface CDOM concentration of  $0.85 \pm 0.08$  mmol C m<sup>-3</sup> from August to May, with a peak of 2.04 mmol C m<sup>-3</sup> during the spring freshet, followed by declining concentrations in July (Figure 3, black line). With regard to the sea-ice phenology in the river plume, the model simulates an open-water period of  $\sim$ 4 months (115 days), with SLIP and SGIP lasting 1 month (June 13 to July 9) and 1 week (November 2 to 10), respectively. From January to June, the SST is on average near the seawater freezing temperature (-1.93°C) and slowly starts heating up in June with increasing shortwave downwelling irradiance at the ocean surface ( $I_{sw_0}$ ; Figure 3b.) and accelerating freshwater discharge. In July, ocean-surface shortwave downwelling irradiance reaches a maximum, rapidly heating SST until it reaches a peak value of 10.3°C on August 8. Then, temperatures slowly cool until the end of SGIP. Phytoplankton rapidly bloom during



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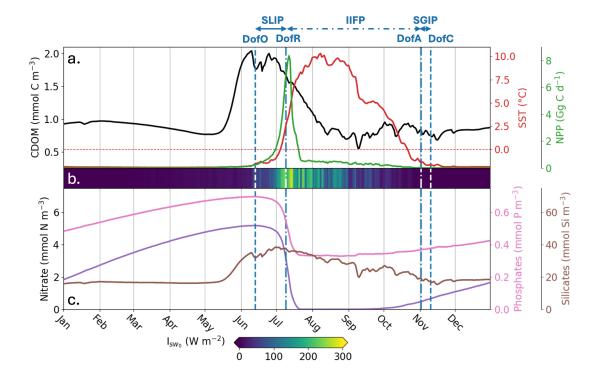


Figure 3. Spatially-averaged surface-ocean parameters simulated by  $\operatorname{Run}_{full}$  in the Mackenzie River plume during 2012. Parameters shown are: (a) CDOM concentration (mmol C  $m^{-3}$ ; black line), SST (°C; red line), NPP (Gg C  $d^{-1}$ ; green line), (b) shortwave downwelling irradiance at the ocean surface ( $\operatorname{I}_s w0$ ; W  $\operatorname{m}^{-2}$ ), (c) nitrate concentration (mmol N  $m^{-3}$ ; purple line), phosphate concentration (mmol P  $m^{-3}$ ; pink line) and, silicate concentration (mmol Si  $m^{-3}$ ; brown line). The vertical dotted blue lines show the spatial-mean day of opening (DofO) and day of closing (DofC) and the vertical dashed-dotted blue lines show the spatial-mean day of retreat (DofR) and day of advance (DofA). Sea ice melting periods are shown consecutively, the seasonal loss of ice period (SLIP), the inner ice-free period (IIFP), and the seasonal gain of ice period (SGIP).

the SLIP period, with a peak in surface NPP of 8.35 Gg C d<sup>-1</sup> occurring two days after DofR. The production period — defined as the duration when NPP exceeds half of its maximum — lasts 7 days and coincides with the period when subsurface light is the most intense. Nitrate and phosphate are quickly consumed during the phytoplankton bloom until the nitrate stock is depleted. Nutrient stocks are replenished through vertical mixing, advective transport, and remineralization from October to June. The simulated silicate tracer is directly connected to DSi riverine mass flux and therefore increases with elevated runoff.

Within the Mackenzie River plume region, Runfull captures the mean SST amplitude and variability during the open-water period depicted by observations (Figure D1). The model underestimates SST by 17% from mid-July to mid-September. This is due to a later simulated SLIP, which delays surface-ocean heating and causes simulated SST to increase later in the season. Runfull also reasonably reproduces the amplitude of the phytoplankton bloom observed by remote sensing, as the simulated





Table 1. Characteristics of the simulations tested in this study.

Experiment name	$\mathbf{k}_{CDOM}$	CDOM heating	CDOM river input
$\operatorname{Run}_{full}$	hyperbolic tangent	UV-A & visible	yes
$Run_{noriv}$	hyperbolic tangent	UV-A & visible	no
$Run_{lin}$	linear	visible (PAR)	yes
$\operatorname{Run}_{ctrl}$	off	off	yes
$Run_{light}$	hyperbolic tangent	off	yes

Changes to  $Run_{full}$  are highlighted in bold.

surface-ocean Chl-a peaks at approximately the same concentration as reported by Lewis et al. (2020). However, the model underestimates the bloom's duration, simulating a bloom that lasts only half as long as observed by satellite. This discrepancy arises from the model's later simulated SLIP (similar to its SST behavior) and the rapid depletion of nitrates during the late open-water period. A more detailed and comprehensive model-data evaluation is provided in Appendix D.

#### 225 3.2 Adding riverine CDOM to ED-SBS

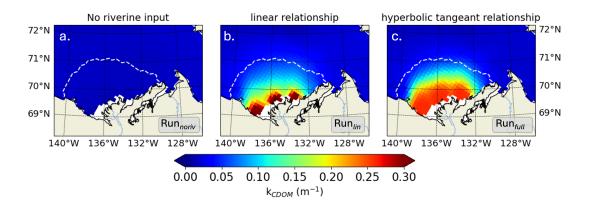
We next explore how the inclusion of riverine CDOM impacts light attenuation characteristics on the Mackenzie River shelf by comparing  $\operatorname{Run}_{full}$  (presented above) to two similar set-ups: 1) excluding CDOM riverine forcing ( $\operatorname{Run}_{noriv}$ ; autochthonous CDOM only) and 2) using a linear CDOM light attenuation only in visible light (similar to Pefanis et al. (2020);  $\operatorname{Run}_{lin}$ ). We analyze the differences for the month of July, when shortwave downwelling irradiance ( $\operatorname{I}_{sw}$ ) is maximum and terrestrial CDOM is more likely to affect the biophysical characteristics of the plume region. The simulation excluding river mass flux exhibits a space-time mean  $\operatorname{k}_{CDOM}$  of  $0.02~\mathrm{m}^{-1}$  ( $\operatorname{Run}_{noriv}$ ) in the plume region (Figure 4a). Including riverine CDOM increases  $\operatorname{k}_{CDOM}$  to  $0.13~\mathrm{m}^{-1}$  and  $0.16\mathrm{m}^{-1}$  when using a linear ( $\operatorname{Run}_{lin}$ ) and hyperbolic tangent ( $\operatorname{Run}_{full}$ ) relationship with CDOM, respectively. In the vicinity of the river mouth,  $\operatorname{k}_{CDOM}$  reaches values 6.5 to 8 times higher than simulations without riverine CDOM forcing, highlighting the importance including the riverine CDOM effect on light in the nearshore region. When using a linear relationship,  $\operatorname{k}_{CDOM}$  increases as CDOM concentration increases, triggering high values (>0.3  $\mathrm{m}^{-1}$  with a maximum at  $0.59~\mathrm{m}^{-1}$ ) in the direct vicinity of the river mouth, with a sharp transition to lower values further offshore (<0.2  $\mathrm{m}^{-1}$ ) (Figure 4b.). When using the hyperbolic tangent relationship,  $\operatorname{k}_{CDOM}$  is capped to  $0.26~\mathrm{m}^{-1}$ , given the  $\operatorname{k}_{CDOM}$ /CDOM relationship fitted with in-situ observations (see Figure 2c.). As a result, CDOM attenuation is more evenly spread along the nearshore region (Figure 4c.).

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**Figure 4.** For July 2012, time-mean CDOM diffuse attenuation coefficient ( $k_{CDOM}$ , m<sup>-1</sup>) for (a) Run<sub>noriv</sub>, (b) Run<sub>lin</sub>, and (c) Run<sub>full</sub>. The white dashed line marks the time-mean spatial extent of the Mackenzie River plume.

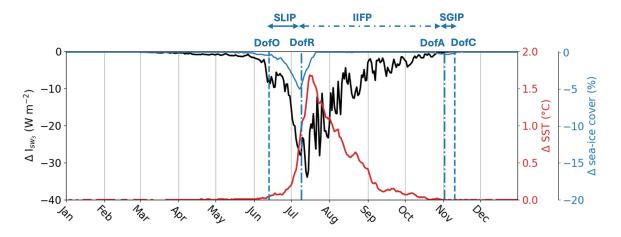


Figure 5. Difference in subsurface shortwave downwelling irradiance at 3m depth ( $I_{sw_3}$  in W  $m^{-2}$ ; black line), SST ( $^{\circ}$ C; red line) and seaice concentration (%; blue line) between  $Run_{full}$  and  $Run_{ctrl}$ . The vertical dotted blue lines show the spatial-mean Day of Opening (DofO) and Day of Closing (DofC) and the vertical dashed-dotted blue lines show the spatial-mean Day of Retreat (DofR) and Day of Advance (DofA) simulated by  $Run_{full}$ .

#### 3.3 Riverine CDOM biophysical feedback

We now examine how riverine CDOM influenced the physical conditions of the SBS during 2012, introducing a control simulation ( $Run_{ctrl}$ ) that differs from  $Run_{full}$  by turning off both CDOM light attenuation (Section 2.2) and its effect on seawater heating (section 2.3) (see Table 1).



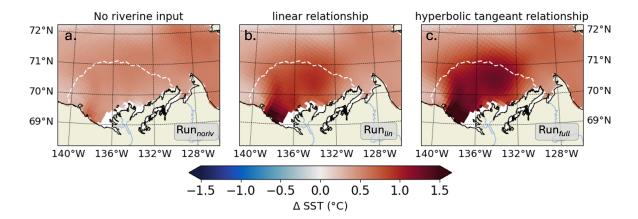
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**Figure 6.** Time-mean SST differences (°C) for July 2012 between (a)  $Run_{noriv}$ , (b)  $Run_{lin}$ , and (c)  $Run_{full}$ , relative to the baseline simulation which excludes the CDOM effect ( $Run_{ctrl}$ ). The white line in each panel indicates the mean extent of the Mackenzie River plume.

In the river plume,  $\operatorname{Run}_{full}$  simulates a peak of surface CDOM concentration during the spring freshet, which coincides with the SLIP and the increase in surface-ocean shortwave downwelling irradiance (Figure 3). As a result, the subsurface shortwave irradiance ( $\operatorname{I}_{sw_3}$ ) — defined as the shortwave irradiance ( $\operatorname{W} m^{-2}$ ) below the model surface layer (3-m depth) — decreases by 13.4 W  $m^{-2}$  (40%) on average during the SLIP (Figure 5) compared to the simulation without CDOM effects ( $\operatorname{Run}_{ctrl}$ ). CDOM light attenuation in the plume region then triggers an additional SST increase ( $\operatorname{\Delta SST}$  up to 1°C), driving a decrease in sea-ice cover by up to 5% (Figure 5). We note a delay of 1 day in the DofR in  $\operatorname{Run}_{full}$  compared to  $\operatorname{Run}_{ctrl}$  (not shown), demonstrating the limited influence of riverine CDOM on sea-ice phenology. Terrestrial CDOM has a maximum impact on the physical condition of the plume one week after the DofR, with a 45% decrease in subsurface shortwave downwelling irradiance and an increase of by up to 1.68°C (Figure 5). Finally, the impact of riverine CDOM gradually diminishes as the tracer becomes diluted in the open ocean during the IIFP.

Following the approach in subsection 3.2, we analyze the influence of the kCDOM parameterization on the river plume's temperature by comparing the changes in SST simulated by  $Run_{noriv}$ ,  $Run_{lin}$ , and  $Run_{full}$ , relative to  $Run_{ctrl}$ . We focus on the month of July, when CDOM has the greatest impact on SST in the Mackenzie River plume (Figure 6). In  $Run_{noriv}$ , the change in CDOM heating relative to  $Run_{ctrl}$  is solely attributed to marine CDOM produced by phytoplankton grazing and mortality. The spatially-averaged change in SST due to phytoplankton-generated CDOM, based on the improved CDOM-carbon loop connection (see section 2.1), is  $0.45 \pm 0.09$ °C. The specific contribution of riverine CDOM leads to increases of 84% (0.83  $\pm 0.24$ °C,  $Run_{lin}$ ) and 144% (1.10  $\pm 0.28$ °C,  $Run_{full}$ ) using the linear and hyperbolic tangent  $k_{CDOM}$ /CDOM relationships, respectively. We note that the  $k_{CDOM}$  relationship in  $Run_{lin}$  only considers a classic linear CDOM warming effect resulting from PAR attenuation, emphasizing the dominant role of UV-A in SST warming.



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#### 3.4 CDOM effect on marine productivity

In the remainder of the study, we explore the specific effects of CDOM light attenuation and ocean heating on the coastal ecosystems and the carbon cycle. From here, we only focus on three simulations:  $Run_{full}$ ,  $Run_{light}$ , and  $Run_{ctrl}$ . The later two simulations deviate from  $Run_{full}$  by turning off aspects of the CDOM light absorption (see Table 1): In  $Run_{ctrl}$ , we turn off both CDOM light attenuation (Section 2.2) and its effect on seawater heating (section 2.3). In  $Run_{light}$ , we turn off only the CDOM heating effect (section 2.3) but include its effect on light attenuation. We then disentangle the individual impacts of light attenuation and their influence on ocean temperature over seasonal timescales.

Annual surface-ocean NPP integrated in the river plume region remains similar across simulations, whether including the influence of CDOM on light and temperature (Run<sub>full</sub>) or not (Run<sub>ctrl</sub>), yielding 0.10 and 0.13 Tg C yr<sup>-1</sup>, respectively. However, a mean delay of 15 ± 3 (min: 9–max: 23) days occurs in the seasonal phytoplankton bloom, defined here as the day when Chl-a reaches its peak value. The surface-ocean NPP maximum, initially occurring in the middle of SLIP, is delayed to DofR by the end of the sea ice melt season due to CDOM (Figure 7a). Introducing both CDOM light and biophysical parameterizations (Run<sub>full</sub>) results in a 85% increase in peak NPP, with 78% attributed to the change in CDOM/light interactions and 7% to increasing SST. However, the production period — defined as the duration when NPP exceeds half of its maximum — decreases from 12 to 5 days, thereby explaining the similar annual NPP.

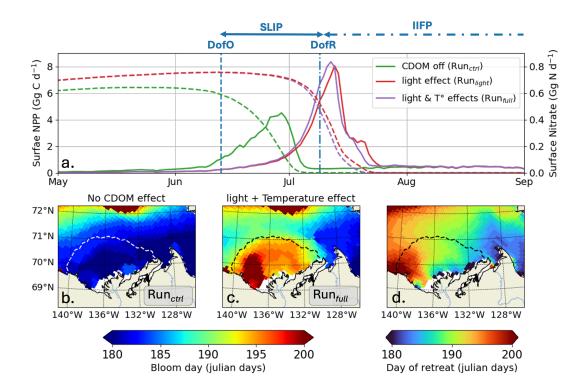
By early June, surface-ocean nutrient stocks are replenished through vertical mixing, advective transport, and remineralization that primarily occurred during winter. High sea-ice concentrations during most of the year result in light availability being primary limiting factor for phytoplankton growth, with temperature as a background limitation (See Appendix E). As the season progresses into SLIP the sea-ice concentration decreases, leading to higher light penetration into upper-ocean waters. In Run<sub>ctrl</sub>, this allows phytoplankton to utilize nutrients and initiates a bloom (Supporting Information Figure S2a.) that persists until the nitrate stock is entirely consumed and thus limits further phytoplankton growth. However, by early June, riverine CDOM (Run<sub>light</sub>) drives additional light attenuation, counterbalancing the increased light penetration resulting from sea-ice loss (see Figure 5), hence slowing down the bloom initiation and delaying it by roughly 2 weeks (see Figure 7 and Supporting Information Figure S2b). Consequently, phytoplankton bloom latter in the season until the nitrate stock is exhausted and again limits further growth. We find an east-west gradient in the maximum bloom day (Figure 7c), correlated with the DofR (Figure 7d). This supports our hypothesis that light attenuation from riverine CDOM export complements light attenuation from sea-ice during the melting period and delays the seasonal phytoplankton bloom until the open-water period.

#### 3.5 CDOM effect on coastal air-sea CO<sub>2</sub> fluxes

In the absence of CDOM effects (light and heating effect),  $Run_{ctrl}$  results in a net annual  $CO_2$  sink of -11.40 Gg C yr<sup>-1</sup> within the plume region. Over seasonal timescales, air-sea  $CO_2$  exchange occurs from DofO to DofC, with four distinct phases (Figure 8). The following figures show the net air-sea  $CO_2$  flux, integrated within the river plume region over the time period







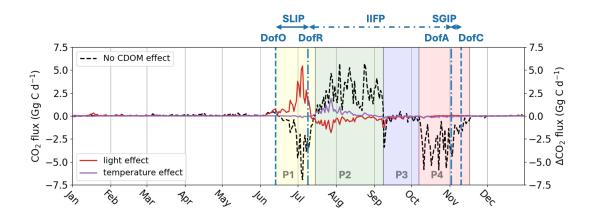
**Figure 7.** (a) Surface-ocean NPP (Gg G d<sup>-1</sup>; thick lines) and nitrate stock (Gg N d<sup>-1</sup>; dashed lines) simulated by ED-SBS without the CDOM light attenuation effect (green line;  $Run_{ctrl}$ ), including 1) CDOM PAR light attenuation (red line;  $Run_{light}$ ) and 2) CDOM light attenuation and ocean warming effect (purple line;  $Run_{full}$ ). Maps of bloom day (julian days) (b) without the CDOM light attenuation effect, (c) including CDOM PAR light attenuation, and (d) map of DofR (julian days) simulated by EDS-SBS. Dotted line on the maps show the time-mean SSS isohaline of 27; vertical dotted and dashed-dotted blue lines show the DofO and DofA, respectively.

considered. The initial phase, starting from DofO and extending to one week after DofR, exhibits a substantial net CO<sub>2</sub> sink of -53.2 Gg C, which is attributed to phytoplankton growth (see Figure 7). Following this, the second phase, which spans two months at the onset of the inner ice-free period (IIFP), is marked by a significant net CO<sub>2</sub> outgassing of 137.9 Gg C — this results from the decline in phytoplankton abundance and heightened local concentrations of DIC/DOC from river discharge (Bertin et al., 2023). Subsequently, a less-variable, one-month long phase follows, characterized by a delicate balance (air-sea CO<sub>2</sub> flux near 0 Gg C d<sup>-1</sup>) that results in a moderate net uptake of -10.3 Gg C. The third phase, stating in early October and extending to one week after DofC, exhibits a strong net CO<sub>2</sub> sink of -99.2 Gg C. During this last phase, phytoplankton decline due to depleted nitrate levels and DIC/DOC concentrations return to background levels as river discharge diminishes.

Over seasonal timescales, substantial changes in the timing and patterns of air-sea CO<sub>2</sub> flux occur during the two initial phases due to the inclusion of CDOM effects. As a result of CDOM light attenuation, we observe a delay in phytoplankton activity from the first phase (prior to DofR) to the subsequent phase (Figure 7), leading to a 79% reduction in simulated CO<sub>2</sub>







**Figure 8.** Air-sea  $CO_2$  flux  $(Gg \ C \ d^{-1})$  simulated by  $Run_{ctrl}$  in the plume region without CDOM biophysical feedback effects (black dotted line) and the change in air-sea  $CO_2$  flux  $(Gg \ C \ d^{-1})$  induced by the PAR light attenuation effect (red thick line) and warming effect (purple thick line). The vertical dotted blue lines show the average DofO and DofC and the vertical dashed-dotted blue lines show the average DofR and DofA. Phases with a switch in air-sea  $CO_2$  flux simulated by  $Run_{ctrl}$  are indicated by four colors (P1: yellow, P2: green, P3: blue, and P4: red)

uptake during phase 1 (+42.0 Gg C; Figure 8). Furthermore, the increase in SST due to CDOM is minimal during this period (Figure 5), resulting in an negligible impact on net air-sea CO<sub>2</sub> flux (0.4 Gg C).

As the phytoplankton bloom simulated by Run<sub>full</sub> peaks at the onset of the second phase, CDOM light attenuation reduces net CO<sub>2</sub> outgassing by 47.0 Gg C. However, the warming effect of SST counteracts the reduced CO<sub>2</sub> outgassing (caused by phytoplankton growth), driving a CO<sub>2</sub> outgassing of 19.8 Gg C during this period. Consequently, the net CO<sub>2</sub> outgassing for this period is reduced by 27.2 Gg C. Comparing the loss in CO<sub>2</sub> uptake on the first period (42.0 Gg C) and the gain in CO<sub>2</sub> uptake (-27.2 Gg C), the reduction in the CO<sub>2</sub> sink during the first period is 14.8 Gg C higher than the gain in the second period.

Thus, changes in CO<sub>2</sub> fluxes during these two periods represent 80% of the annual net loss in CO<sub>2</sub> sink. As a consequence, when including the CDOM bio-physical feedback (Run<sub>full</sub>), the plume switches to a net annual CO<sub>2</sub> outgassing of 7.35 Gg C yr<sup>-1</sup>. We show here that, despite the greater effect of light attenuation on the magnitude and sign of air-sea CO<sub>2</sub> flux, the temperature effect is the dominant contributor in the transition of the plume from a sink to a source of CO<sub>2</sub>, as it dampens the increased CO<sub>2</sub> uptake due to phytoplankton growth in early summer.

### 4 Discussion

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Assessing air-sea CO<sub>2</sub> fluxes in Arctic coastal environments remains challenging, as the carbon cycle and ecosystems are affected by a wide range of physical and biogeochemical processes that span the land-ocean continuum. As 11% of the global river discharge is fluxed into the Arctic Ocean (McClelland et al., 2012), coastal waters are highly influenced by terrestrial



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browning (Lewis et al., 2020; Li et al., 2024), motivating the need to include this effect in ocean biogeochemistry models. In this study, we develop a new regional-scale ECCO-Darwin model that simulates 1) the impact of marine CDOM on the physical properties of the water column (Kim et al., 2018; Gnanadesikan et al., 2019; Pefanis et al., 2020) and 2) the interaction of terrestrial CDOM with the marine carbon cycle (Neumann et al., 2021; Clark et al., 2022).

Our model includes CDOM light attenuation ( $k_{CDOM}$ ) as a hyperbolic tangent function of CDOM concentration, estimated from in-situ observations of CDOM spectral absorption from 280–750 nm on the Mackenzie Shelf. Using this relationship, simulated CDOM in the plume region compares reasonably well with both in-situ and satellite measurements (Matsuoka et al., 2012, 2017; Massicotte et al., 2021, see Appendix B). Furthermore, we show that using a hyperbolic tangent for  $k_{CDOM}$  limits the effect of CDOM light attenuation in high CDOM concentration regions, allowing for the light attenuation from CDOM to be distributed more evenly along the nearshore region (Figure 4). Based on these results, we suggest that similar relationships be used in future models that aim to realistically represent coastal regions where CDOM concentrations reach high values. Additionally, this relationship was calculated from CDOM absorption integrated over the entire shortwave spectra, which includes the UV light absorption component, which is estimated to contribute up to 40% of CDOM absorption on the Mackenzie Shelf. Therefore, our study considers the complete effect of CDOM attenuation on ocean heating, inducing a 36% increase in the seasonal cycle of SST compared to previous methods (CDOM heating from PAR and  $k_{CDOM}$  as a linear relationship; see Run $t_{lin}$  and Gnanadesikan et al., 2019; Pefanis et al., 2020; Neumann et al., 2021).

Many ocean biogeochemistry models now incorporate land-to-ocean nutrient fluxes (Terhaar et al., 2019; Lacroix et al., 2021; Savelli et al., 2024), however, ocean circulation and physics often shape the biogeochemical state without possible biogeochemical feedbacks. In Arctic coastal regions, CDOM absorption has been reported to be a significant factor in the ocean heat budget (Hill, 2008; Soppa et al., 2019), but models still fail to include this feature. We find that including the CDOM heating effect in ED-SBS improved the model's ability to simulate the space-averaged SST observed during the early openwater season (Good et al., 2020, See Appendix D). We further show that riverine CDOM absorption contributes to a 1.7°C increase in SST in the Mackenzie River plume, which is consistent with the increased seasonal amplitude previously reported for the AO (Gnanadesikan et al., 2019). The maximum increase occurs at the onset of the open-water season (0.2°C/day), which is the same order of magnitude as observed in the Laptev Sea (Soppa et al., 2019). Although our model includes a component of CDOM generated by phytoplankton mortality and its associated light attenuation, we lack light attenuation by Chl-a (Dutkiewicz et al., 2019), which has been shown to increase the SST signal by ~0.5°C along the Arctic continental shelves (Lengaigne et al., 2009). We note that the simulated increase in SST has a limited impact on sea ice, as we only observe only a 5% decrease in sea-ice cover and a change in DOR by a single day.

By adding CDOM light attenuation to ED-SBS, we are also able to improve simulated phytoplankton bloom phenology in the Mackenzie River plume compared to Lewis and Arrigo (2020) satellite observations (See Appendix D). During the freshet season (early June), in Run full, riverine CDOM triggers a small difference in light limitation (see Appendix E), which delays



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the phytoplankton bloom by two weeks to the end of melting season. As a result of increased light penetrating the water column, the simulated phytoplankton bloom amplitude is 85% higher and 1 week shorter due to rapid nitrate consumption. In the plume region, we further observe a westward gradient in the phytoplankton bloom peak day, which is correlated with the day of sea-ice retreat (Figure 7 c. and d.). These results highlight that the coupling between CDOM and sea-ice have an important role in shaping phytoplankton phenology, while the CDOM heating effect has a second order effect.

Although the model successfully simulates the timing of the bloom peak compared to Lewis et al. (2020), we note that 370 further improvements to the sea-ice model and its interaction with phytoplankton are required to accurately simulate the initiation of the bloom. Importantly, ED-SBS does not consistently represent under-ice blooms, which have been shown to be an important processes in Arctic phytoplankton phenology (Ardyna and Arrigo, 2020; Ardyna et al., 2020). The next version of ED-SBS, which will have high horizontal ( $\sim$ 1 km) and vertical resolution ( $\sim$ 1 m), will permit improved representation of fine-scale sea-ice dynamics, such as cracks, leads, and specific features of the Mackenzie Delta such as the Stamukhi (Carmack 375 and Macdonald, 2002; Matsuoka et al., 2016) —- this work is presently underway. Including melt ponds in future version of the model will also be necessary to improve the initiation of the phytoplankton bloom, as there impact on light penetration through sea-ice has been reported to be important for the development of under-ice blooms (Clement Kinney et al., 2023). Finally, as a consequence of climate change and delayed sea-ice freeze-up, Arctic phytoplankton phenology has been reported 380 to transition to double bloom characteristics (Manizza et al., 2023); with a spring bloom initiated by under-ice blooms and lowlight-adapted diatoms followed by an autumn bloom characterized by low nitrogen ecosystems (Ardyna and Arrigo, 2020). The inclusion of the later ecosystem in ED-SBS could improve the phytoplankton representation in the latter open-water period, as our ecosystem is rapidly limited by nitrate concentrations. Furthermore, this hypothesis aligns with previous work by Choi et al. (2024), who demonstrated that the inclusion of a nitrogen fixer (not dependent on nitrate) better represents the secondary 385 fall bloom.

While the CDOM heating effect has a limited impact on phytoplankton phenology, its role in modulating air-sea CO<sub>2</sub> fluxes is crucial, especially for the annual budget. With the inclusion of CDOM light attenuation, and as a consequence of two week delay of the phytoplankton bloom, the strong CO<sub>2</sub> uptake that occurs during the melting period (without CDOM effect) disappears and shifts into a dampening of the early open-water period CO<sub>2</sub> outgassing (Figure 8). Over annual timescales, this results in a decrease in the net CO<sub>2</sub> sink of 4.6 Gg C yr<sup>-1</sup>, with the Mackenzie River plume region remaining a CO<sub>2</sub> sink. However, the inclusion of the CDOM heating effect and the 1.7°C increase in SST at the onset of the open-water season promotes an increase in CO<sub>2</sub> outgassing due to reduced pCO<sub>2</sub> solubility, which balances the decrease in CO<sub>2</sub> outgassing driven by the phytoplankton bloom. Annually, CDOM heating promotes a 14.1 Gg C yr<sup>-1</sup> decrease in CO<sub>2</sub> uptake and switches the Mackenzie River plume region to a net CO<sub>2</sub> outgassing of 7.35 Gg C yr<sup>-1</sup>. Although the contribution of Mackenzie River to the Arctic CO<sub>2</sub> budget is small (Yasunaka et al., 2023), we demonstrate that CDOM is an important factor contributing to CO<sub>2</sub> fluxes in coastal regions. In the future, the projected increase in terrestrial organic matter fluxes may drive elevated CDOM levels in Arctic coastal regions, thus affecting the solubility pump and local marine ecosystems (Nguyen et al., 2022). This



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effect is likely to be even more important in the Eurasian Basin, where terrestrial CDOM export is more pronounced (Stedmon et al., 2011).

Our study focuses on the Mackenzie River plume region, which is the main contributor of particulate organic carbon (POC) at the pan-Arctic scale (McClelland et al., 2016). We acknowledge that ED-SBS does not account for terrestrial POC mass flux and the effect of suspended particulate matter on the attenuation of light (backscattering effect), which might be significant in this region (Lizotte et al., 2023). However, we aim here to focus on the effect of the dissolved fraction and do not explore further assumptions regarding the particulate fraction effect, since our model does not account for solid sedimentation parameterization and bottom-sediment/seawater interactions. Future work will focus on the addition of a sediment model to fill this gap (Sulpis et al., 2022). Finally, the Estimating the Circulation and Climate of the Ocean (ECCO) framework has paved the way for the use of adjoint modeling at the global-ocean scale (Brix et al., 2015; Carroll et al., 2022). Future simulations may involve the use of adjoint modeling to optimize ED-SBS based on available physical and biogeochemical observations in the SBS.

#### 5 Conclusions

We have developed a new regional model (ED-SBS) which includes terrestrial CDOM export from the Mackenzie River. The CDOM component interacts with the marine carbon cycle (DOC and DIC) and its feedback on the physical properties of the water column, such as light intensity and temperature. In particular, our model simulates UV-light absorption, which has been thus far ignored in model studies and is estimated to contribute to 40% of the light absorption in the SBS. We also suggest a new CDOM attenuation relationship as a hyperbolic tangent of CDOM concentration, which is able to better simulate light absorption in high CDOM concentration environments, such as river plumes.

CDOM export leads to an earlier simulated seasonal phytoplankton bloom (2 weeks). By including riverine CDOM influence, the bloom occurs after the melting season, where light conditions are optimal, with a simulated phytoplankton bloom 85% higher than simulations without effect of CDOM, but also 1 week shorter due to quicker consumption of nitrate. We further find that including the riverine CDOM biophysical feedback switches the net CO<sub>2</sub> sink in the plume region from -11.40 Gg C yr<sup>-1</sup> (without CDOM effects) to a net outgassing of 7.35 Gg C yr<sup>-1</sup>. Although the change in phytoplankton phenology has limited impact on the air-sea CO<sub>2</sub> fluxes, we find that the simulated outgassing is driven by reduction in pCO<sub>2</sub> solubility resulting from a 1.7°C increase in SST. Our modeling study demonstrates the importance of CDOM biophysical feedback in Arctic river plume regions, and the strong implications of CDOM radiative heating on pCO<sub>2</sub> solubility and air-sea CO<sub>2</sub> fluxes.

In the plume region, we find that not including the coupled effects of light attenuation from sea-ice cover and riverine

In the context of climate change, we suggest that future increases in terrestrial organic matter exports could substantially affect ecosystems and air-sea CO<sub>2</sub> fluxes in shallow Arctic coastal regions where CDOM export is high.





# Appendix A: List of terms

**Table A1.** List of terms used in this study

Terms	Abbreviation	Unit	Definition
Shortwave solar spectrum	$Q_{sw}$	$\mathrm{W}~\mathrm{m}^{-2}~\mathrm{nm}^{-1}$	Solar irradiance spectrum – at the surface of the ocean
			it corresponds to ASTM G-173 standard spectrum
Shortwave downwelling irradiance	$I_{sw}$	${\rm W}~{\rm m}^{-2}$	Integrated solar irradiance used in the physical
			component of the model
CDOM diffuse attenuation coefficient	$k_{CDOM}$	$\mathrm{m}^{-1}$	loss of light intensity through CDOM.
CDOM absorption	$a_{CDOM}$	$\mathrm{m}^{-1}~\mathrm{nm}^{-1}$	loss of light absorbed by CDOM for each wavelength



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#### **Appendix B: Terrestrial CDOM ratio validation**

We set the percentage of  $DOC_{sr}$  redistributed into the CDOM pool by performing a sensitivity experiment. Three different parameterizations of the riverine CDOM input were tested: Marine CDOM tracer is forced at the Mackenzie River mouth by a. 1%, b. 2%, and c. 4% of the total riverine tDOC mass flux. This percentage is subtracted from  $DOC_{sr}$  to CDOM as detailed in section 2.1 (Figure 1). Then, we compared the simulated light absorption  $(a_{CDOM}[\lambda])$  derived from simulated CDOM concentration (see equation 1) in the Mackenzie river plume, with in-situ observations of  $a_{CDOM}[440nm]$  measured during the Malina Campaign (see location of station in Figure S1 Matsuoka et al., 2012; Massicotte et al., 2021) and remotely-sensed  $a_{CDOM}[443nm]$  (Matsuoka et al., 2017). We then calculated the CDOM light absorption at a specific wave length from the simulated CDOM concentration using the following equation (Dutkiewicz et al., 2015):

$$a_{CDOM}[\lambda] = C_{CDOM} \times e^{-S_{CDOM}(\lambda - \lambda_0)} \times CDOM, \tag{B1}$$

where  $\lambda_0$  is the reference waveband ( $\lambda_0$  = 450 nm),  $C_{CDOM}$  is the CDOM absorption at  $\lambda_0$  ( $C_{CDOM}$  = 0.18 m<sup>2</sup> mmolC<sup>-1</sup>), and  $S_{CDOM}$  is the CDOM absorption spectral slope ( $S_{CDOM}$  = 0.018 m<sup>-1</sup>).

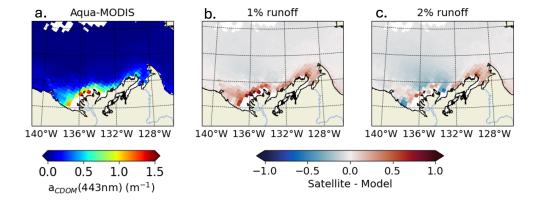
We used 4 comparison metrics to compare retrieved CDOM absorption ( $a_{CDOM}[\lambda]$ ) from simulated CDOM against observations: the median ( $\pm$  standard deviation), the correlation coefficient (r), the median percent error (MPE) and the unbiased root-mean-square error (MPE). Additional information and equations for the comparison metrics are detailed in Supporting Information Text S3. We find that changing the percentage of tDOC forcing CDOM as no impact on the correlation coefficient (Table B1). Size of discrepancies between the simulated and observed values (URMSE) are equivalent when riverine CDOM takes 1% or 2% of tDOC input but increases by 55 to 117% when forcing is set to 4%. The MPE increases by 40% to 89% when doubling the tDOC exported to CDOM from 1% to 2% and increases from 84% to 109% when doubling the tDOC exported to CDOM from 2% to 4%. The median of  $a_{CDOM}[\lambda]$  is  $0.08 \pm 0.26$  and  $0.03 \pm 0.25$  m<sup>-1</sup> for in-situ and satellite observations, respectively. With 4% and 2% of tDOC forcing the CDOM pool, the simulated median of  $a_{CDOM}[\lambda]$  is respectively fourfold (and doubled compared to observations). The simulated median is closer to observations when forcing with 1% of riverine tDOC. Comparing the time-mean 2009 CDOM absorption in the Mackenzie River plume region (Figure B1), the model forced with 2% of tDOC best fits the satellite data within the river plume area, while the model forced with 1% of tDOC results in a consistent underestimate. According to metrics and the comparison of time-mean  $a_{CDOM}[\lambda]$  fields, parameterization b. was selected as the method best able to reproduce observed CDOM in the Mackenzie River plume region.





**Table B1.** Comparison metrics between simulated and observed  $a_{CDOM}[\lambda]$  (m<sup>-1</sup>

CDOM forcing parameterization	Observations	n	r	MPE	URMSE	$Median_{obs} \pm std$	$Median_{mod} \pm std$
1% of tDOC	Malina	18	0.78	45.10	0.18	$0.08\pm0.26$	$0.10 \pm 0.14$
	AMODIS	15,250	0.65	101.73	0.20	$0.03\pm0.25$	$0.06\pm0.10$
2% of tDOC	Malina	18	0.78	76.00	0.18	$0.08 \pm 0.26$	$0.16 \pm 0.28$
	AMODIS	15,250	0.65	192.06	0.19	$0.03\pm0.25$	$0.08 \pm 0.20$
4% of tDOC	Malina	18	0.79	159.20	0.39	$0.08 \pm 0.26$	$0.30 \pm 0.56$
	AMODIS	15,250	0.65	353.57	0.31	$0.03 \pm 0.25$	$0.14 \pm 0.41$

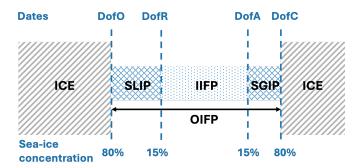


**Figure B1.** 2009 annual-mean CDOM absorption at 443 nm from (a) remotely-sensed observations and differences from simulated CDOM fields with (b) 1% and (c) 2% of tDOC redistributed into CDOM tracer. Simulated CDOM absorption is compared with satellite observations that are space-time colocated with the simulations.





## Appendix C: Sea-ice phenology parameters



**Figure C1.** Conceptual diagram of sea ice seasonal evolution from spring/summer retreat (left) through fall/winter advance (right). Adapted from Bliss et al. (2019)



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#### Appendix D: Comparison with observations

We compared the weekly surface-ocean Chl-a (mg Chl-a m $^{-3}$ ) and daily primary production (mg C m $^{-2}$  d $^{-1}$ ) simulated by ED-SBS (Run*ctrl* and Run *full*) with satellite observations (Lewis et al., 2020). We also compared simulated daily-mean SST ( $^{\circ}$ C) in both models with *in-situl*/satellite observations (OSTIA Good et al., 2020). As both observational products have a finer horizontal resolution than ED-SBS, we bin averaged the observations within each model grid cell. We then calculated the spatially-averaged value within the Mackenzie River plume region to assess the model's ability to represent these observations (Figure D1). As both observational products provide sea-ice concentration data, we also calculated observed sea-ice phenology metrics to compare with our model simulations.

Within the Mackenzie River plume region, both simulations (Runctrl and Runfull) generally reproduce the amplitude of the phytoplankton bloom, since simulated surface-ocean Chl-a peaks roughly at the same concentration as observations; simulated NPP is underestimated by 25% (Figure D1a. and b.). By adding CDOM effects in the model (Runfull), the phytoplankton bloom peaks during same week as observations (it peaks 2 weeks earlier without CDOM). This demonstrates that including CDOM effects improves ED-SBS's ability to represent phytoplankton bloom phenology. However, ED-SBS still does not capture the complete duration of the phytoplankton bloom as it simulates a bloom that lasts half as long compared to satellite observations. This difference is due to the sea-ice model's inability to realistically simulate the timing of seasonal loss of ice period (SLIP = DofR-DoO). Based on observations, the phytoplankton bloom initiates during the SLIP and peaks after the DofR (Figure D1b., pink line and grey area). In Runfull, the model is able to reproduce this phenology in the start of the phytoplankton bloom (Figure D1b., purple line and blue area), but as sea-ice melt and break-up occurs later the simulation, the model is not able to match the start time of the observed phytoplankton bloom. In contrast, Runctrl (Figure D1b., green line) is able to match the initiation of the bloom only because the bloom peaks during the sea-ice melting period. Finally, the observed production remains high latter in the open-water season (August to September); ED-SBS is not able to sustain a high rate of productivity during this period as nitrate is entirely consumed, shunting down the bloom.

ED-SBS generally represents the spatially-averaged SST amplitude and variability in the Mackenzie River plume region during the open-water period compared to observations (Figure D1b.). The model underestimates SST by 25% from mid-July to mid-September when CDOM is not included (Run*ctrl*). Adding CDOM effects improves simulated SST by decreasing this underestimate to 17% over the same period. However, similar to phytoplankton, we observe a delay in the surface-ocean heating, which is linked to later simulated SLIP. In the observations, SST starts increasing halfway through the melting season (Figure D1c., orange line and grey area). In both simulations, SST also increases halfway through the melting season (Figure D1c., purple and grey lines and blue area), but the SLIP occurs later in the season and thus surface-ocean warming also occurs later. This confirms that sea-ice plays an important role in the ability of ED-SBS to represent physics and biogeochemistry during the spring period. We are working on a high resolution version of ED-SBS and expect to improve the sea-ice phenology by including fine-scale processes that drive sea-ice melting, such as explicit representation of leads and cracks.





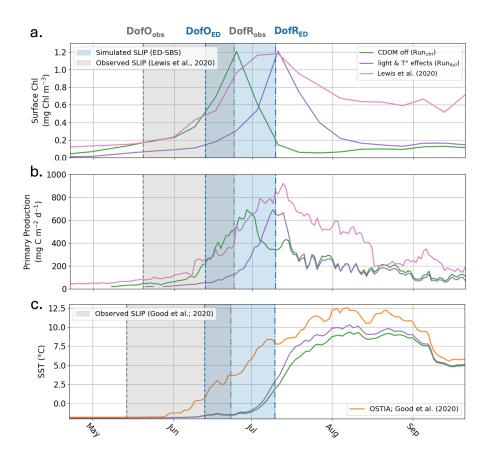


Figure D1. (a) Surface Chl-a (mg Chl-a  $m^{-3}$ ), (b) surface NPP (mg C  $m^{-3}$   $d^{-1}$ ), and (c) SST (°C) spatially averaged over the Mackenzie River plume region for Runctrl (green line), Runfull (purple line), satellite observations (pink line Lewis et al., 2020), and in-situ/satellite observations (orange line Good et al., 2020). The blue (grey) area indicates the simulated (observed) seasonal loss of ice period.



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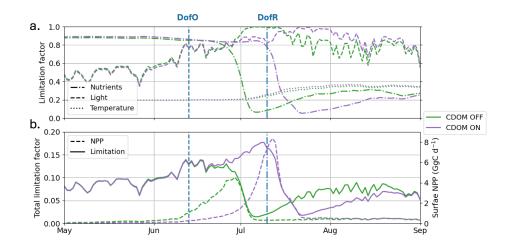
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#### **Appendix E: Phytoplankton limitation factors**



**Figure E1.** Simulated limitation factors for nutrients (solid lines), light (dashed lines), and temperature (dash-dotted lines), averaged between the two phytoplankton functional types and in the plume region for  $Run_{ctrl}$  (green lines) and  $Run_{full}$  (purple lines).

In ED-SBS, phytoplankton growth for each species (j) is limited by light, temperature, and nutrient availability. The three limitation factors (equations E1, E2, and E3), which yield values between 0 and 1, are combined (multiplied) to provide the total phytoplankton limitation factor. In this study, we average both phytoplankton type limitation factors in the plume region (Figure E1) to analyze the parameters affecting phytoplankton growth.

The factor with the lowest value is generally considered as the factor limiting phytoplankton growth. In the Arctic Ocean, as ocean temperatures are typically low, temperature is a consistent limitation factor year-round, shaping the background state of phytoplankton growth. In the SBS, the temperature limitation factor ( $\gamma^{temp}$ ; dashed dotted lines in Figure E1) in the the plume region ranges from 0.2 in winter to 0.4 during the open-water season. This seasonal change is mainly due to increased light penetration as a result of melting of sea ice and mixing of Mackenzie River-derived freshwater into the coastal ocean. The inclusion of CDOM heating has a limited influence on phytoplankton limitation. Therefore, in this study temperature limitation drives a consistent dampening effect in phytoplankton growth but does not influence phytoplankton phenology.

In winter, elevated sea-ice cover causes high light limitation, with the spatially-averaged factor ranging between 0.4–0.6 in the plume region (Figure E1). Additionally, nutrients concentrations are high (see Figure 7), with a nutrient limitation factor over 0.8. Therefore, phytoplankton growth is limited by physics (both light and temperature). As sea ice begins to melt and break up (DofO), the light limitation factor increases up to 0.8 — triggering the start of phytoplankton growth in both simulations. By early June, as riverine CDOM spreads in the plume region, a difference of 0.02 in the light limitation occurs between the simulations with  $(Run_{full})$  and without  $(Run_{ctrl})$  CDOM effects. This small difference is sufficient to trigger a



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slight difference in phytoplankton growth at this time, which allows the bloom to initiate in  $Run_{ctrl}$  (green dotted line; Figure E1b.) and thus delays the phytoplankton bloom by two weeks. In both simulation, elevated phytoplankton growth (mid-June or early July for  $Run_{ctrl}$  and  $Run_{full}$ , respectively) consumes nutrients, rapidly decreasing the nutrient limitation factor to  $\sim 0$  and arresting the phytoplankton bloom. Therefore, as the nutrient limitation factor exceeds the temperature limitation factor (on July 3rd and July 15th without and with CDOM, respectively), the phytoplankton growth becomes primarily limited by nutrients. Analyzing each nutrient's (nitrate, phosphate, and silicate) limitation factor (not shown), we find that nitrate is the primarily limiting nutrient in the plume region.

In ED-SBS, the limitation factors for light (Equation E1), temperature (Equation E2), and nutrients (Equation E3) are computed using the following equations:

$$\begin{cases} \gamma_{j}^{light} = 1 - \exp\left(\frac{\langle \alpha I \rangle_{j} Chl - a:C_{j}}{P_{j}^{Cm}}\right) & \text{if } I_{tot} > I_{min} \\ \gamma_{j}^{light} = 0 & \text{else}, \end{cases}$$
 (E1)

where  $I_{tot}$  and  $I_{min}$  are the total and minimum light intensity for phytoplankton growth (W m<sup>-2</sup>), respectively,  $\alpha$  is the Chl-a specific initial slope of the photosynthesis-light curve for each species,  $Chl - a : C_j$  is the maximum Chl-a carbon ratio for each species, and  $P_j^{Cm}$  is the maximum growth rate.

$$\gamma_j^{temp} = c^{Arr} \left[ e^{A_e^{Ar} ((T+273.15) - T_{ref}^{Ar^{-1}}) e^{-e_{2j|T-T_j^{opt}|^{p_j}}}} \right]_{\geq 10^{-10}}, \tag{E2}$$

where T is the ocean temperature;  $c^{Arr}$ ,  $A_e^{Ar}$  and  $T_{ref}^{Ar}$  the pseudo-Arrenhius equation coefficients set to 0.5882, -4000K, and 293.15K, respectively, and  $T_i^{opt}$  is the optimal phytoplankton temperature for each species.

The nutrient limitation factor takes the value of the most limiting factor between Phosphorus, Nitrogen, Silicate and Iron:

As nitrate is the primary limiting nutrient in this study, we describe below the nitrate limitation factor:

$$\gamma_j^{N0_3} = \frac{N0_3}{N0_3 + k_j^{N0_3}} e^{-\sigma_j^{amm} NH_4},\tag{E4}$$

where  $k_j^{N0_3}$  is the half-saturation concentration for nitrate limitation and  $\sigma_j^{amm}NH_4$  is the coefficient for  $NH_4$  inhibition of  $N0_3$  uptake.





540 Code and data availability. Model output from all simulations described in this study (Run<sub>ctrl</sub>, Run<sub>full</sub>, Run<sub>light</sub>, Run<sub>noriv</sub>, and Run<sub>lin</sub>) are available on the ECCO Drive: https://ecco.jpl.nasa.gov/drive/files/ECCO2/LLC270/Mac\_Delta/CDOMsetup. Model code and platform-independent instructions for running ED-SBS simulations are available at https://github.com/MITgcm-contrib/ecco\_darwin/tree/master/regions/mac\_delta/llc270/biogeochem\_setup/carroll\_2020\_ecosystem/CDOM\_setup. ED-SBS forcing files are available at https://ecco.jpl.nasa.gov/drive/files/ECCO2/LLC270/Mac\_Delta. Note that users must register for an Earthdata account at https://urs.earthdata.nasa.gov/steps/media-nasa.gov/s

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