

# Machine learning-based alpine treeline ecotone detection on Xue Mountain in Taiwan

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**Abstract.** Taiwan is characterized by high mountains density, with over 200 peaks exceeding 3,000 meters in elevation. The alpine treeline ecotone (ATE) is a transitional zone between different vegetation types. The species distribution, range variations, and movement patterns of vegetation within the ATE are crucial indicators for assessing the impact of climate change and warming on alpine ecosystems. Therefore, this study focuses on the Xue Mountain glacial cirques in Taiwan (approximately 4 km<sup>2</sup>) and utilizes WorldView-2 satellite images from 2012 and 2021 to compute various vegetation indices and texture features (GLCM). By integrating these features with Random Forest (RF) and U-Net models, we developed a classification map of the ATE on Xue Mountain. We analyzed changes in bare land, forest, krummholz, and shadows within the ATE from 2012 to 2021. The results indicate that the classification accuracy reached an overall accuracy (OA) of 0.838 when incorporating raw spectral bands along with vegetation indices and texture features (GLCM) (77 features in total). Feature importance ranking and selection reduced training time by 14.3% while ensuring alignment between field survey treeline positions and classification results. From 2012 to 2021, tree cover density increased, with the total forest area expanding by approximately 0.101 km<sup>2</sup>. The elevation of tree distribution rose by  $32.00 \pm 4.00$  m, with the most significant area changes occurring between 3,600 and 3,700 m, while the 3,700 to 3,800 meters range remained relatively stable. This study integrates remote sensing imagery with deep learning classification methods to establish a large-scale ATE classification map. The findings provide a valuable reference for the sustainable management of alpine ecosystems in the Xue Mountain glacial cirques in Taiwan.

Taiwan is located in the subtropical region of Southeast Asia, with an elevation range of nearly 4,000 m, fostering diverse ecosystem types and rich biodiversity (Lin et al., 2021). The island contains more than 200 mountains exceeding 3,000 meters in elevation (Kuo et al., 2022), making it the highest-density alpine island in the world. Alpine zone ecosystems are particularly vulnerable to environmental change due to their high environmental heterogeneity and limited species migration distances, especially when compared to broader latitudinal climate gradients and more resilient lowland regions (Engler et al., 2011; Huss et al., 2017; Li et al., 2018; Zheng et al., 2020; Körner and Hoch, 2023). The transition zone between trees and treeless vegetation in alpine ecosystems is known as the alpine treeline or the Alpine Treeline Ecotone (ATE) (Körner 2012). The ecological processes and changes in this zone are considered indicators of climate change (Chen et al., 2022), reflecting the interactions of climate, topography, species composition, and disturbance history (Loranger et al., 2016; Johnson et al., 2017; Mohapatra et al., 2019; Bader et al., 2021). Based on many studies, changes in the alpine treeline ecotone (ATE) illustrate the impacts of climate change on mountain ecosystems, such as the upward migration of tree species and increased tree density. However, these shifts are also influenced by other drivers, including land-use history, altered disturbance regimes (e.g., fire disturbance), herbivory pressure, and species-specific physiological traits (Wang et al., 2016; Johnson et al., 2017; Du et al., 2018; Mohapatra et al., 2019).

Recent advancements in remote sensing technology have empowered extensive studies on alpine treelines using various imagery sources with diverse spatial resolutions (Garbarino et al., 2023). Xu et al. (2020) utilized Landsat satellite imagery (30 m resolution) from 1987 to 2018 for Wuyishan National Park, China to examine the relationship between treeline position and climate based on the local indicator of spatial autocorrelation (LISA). They found that for every 1°C increase in temperature from 1987 to 2018, the treeline shifted upward by approximately 50 meters. At medium to high resolution, Röscher et al. (2022) used PlanetScope (3 m) and Sentinel-2 (10 m) imagery from 2020, incorporating texture features from the gray level co-occurrence matrix (GLCM), topographic features, and the canopy height model (CHM) to map the distribution of mountain pine (*Pinus mugo ssp. Mugo Turra*) in the Sarntal Alps. Their study achieved classification accuracies of 90.9% (PlanetScope) and 90.6% (Sentinel-2), demonstrating the value of multi-source data fusion. At very high resolution, Terskaia et al. (2020) combined orthophoto aerial images (1–2 m) from 1952 and 1979 with WorldView-2 imagery (0.5 m resolution) from 2015 to assess shrub and tree encroachment in the western Brooks Range, Alaska. They reported significant changes in vegetation over 63 years, including the loss of tundra and an increase in forest and shrub coverage. While the study reported percentage changes in land cover types (e.g., an 84% increase in forest), it is essential to note that the reference areas for these changes were derived from historical photo interpretation and may not be directly comparable to current conditions. Collectively, these studies illustrate the potential and versatility of remote sensing at various resolutions and through diverse methods in detecting changes in alpine treeline ecotones.

The integration of machine learning with remote sensing has also been successfully applied to forest studies, with many scholars reporting promising classification results using the Random Forest (RF) and U-Net models. Jombo et al. (2020) used

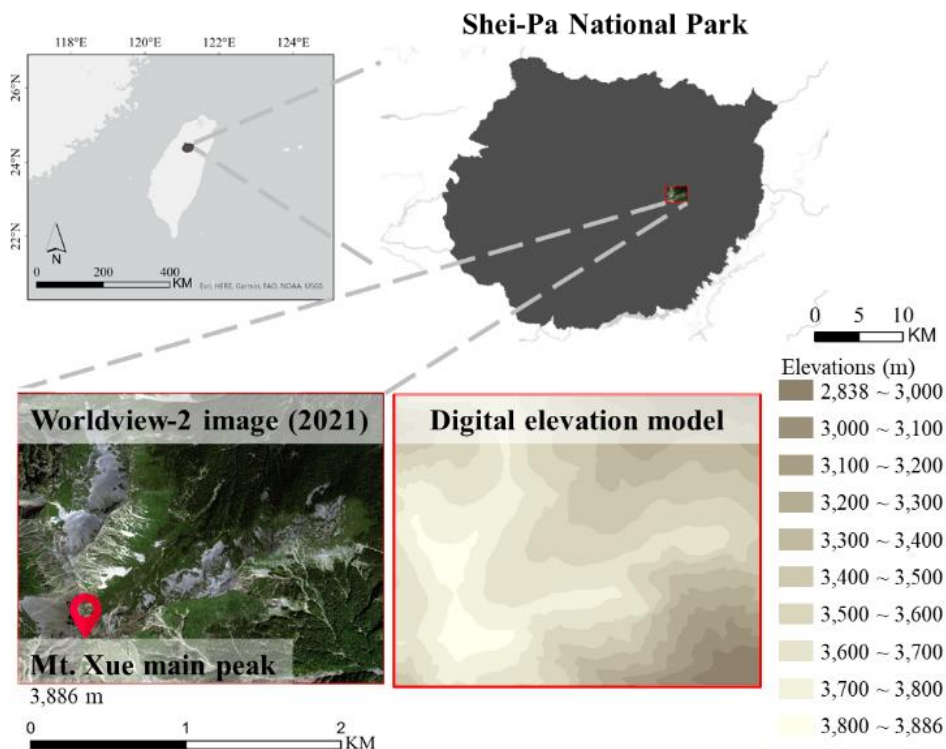
WorldView-2 imagery with RF and Support Vector Machines (SVM) models to classify five types of street trees in the complex urban environment of Randburg municipality, achieving overall accuracies of 84.2% and 81.2%, respectively. Similarly, Jackson and Adam (2021) employed WorldView-2 imagery with RF and SVM to classify endangered tree species in the Mount Kenya Forest Reserve (MKFR), finding that RF outperformed SVM. Wagner et al. (2019) applied a U-Net convolutional network to identify forests in the Atlantic rainforest region of Brazil using ultra-high-resolution WorldView-3 satellite imagery. They classified artificial forests, natural forests, and the *Cecropia hololeuca*, achieving a high overall segmentation accuracy. Freudenberg et al. (2019) used WorldView-2 and WorldView-3 imagery in Indonesia to detect Oil and coconut palm tree distribution. In addition to evaluating U-Net's detection accuracy, they assessed its processing speed, finding that the U-Net-based model achieved a maximum throughput of 235 hectares per second at a 40 cm resolution. The model demonstrated high generalizability, with detection accuracies ranging from 89% to 92% across different regions. Their study suggested that this method could be used for rapid nationwide detection of oil palm distribution. Based on these studies, we conclude that applying high-resolution WorldView satellite imagery combined with RF and U-Net machine learning models offers accuracy, cost efficiency, and generalizability advantages for ecological remote sensing classification. Therefore, this study will integrate WorldView-2 satellite imagery with RF and U-Net models to classify alpine treelines, find important features, and understand the change and spatial patterns in the Xue Mountain glacial cirques region in Taiwan.

## 2 Materials and methods

### 2.1 Study site

The Xue Mountain glacial cirques are located in Shei-Pa National Park in north-central Taiwan, covering an area of approximately 4 km<sup>2</sup> (Fig.1). The central peak of Xueshan has an elevation of 3,886 m. The cirque serves as a crucial habitat for Taiwan's endemic species, the Yushan Juniper (*Juniperus morrisonicola*), Yushan rhododendron (*Rhododendron pseudochrytam*), and the Taiwan fir (*Abies kawakamii*), which is primarily distributed at elevations between 3,000 and 3,600 m. Most ecological studies conducted in this research area have focused on Taiwan fir forests, with several researchers estimating wood volumes, competitive pressure, forest structure, and spatial distribution of the species primarily through field surveys conducted below the alpine treeline ecotone (Li et al., 2021; Wang et al., 2021; Chiu et al., 2022; Liao et al., 2023a; Liao et al., 2023b). In contrast, relatively little attention has been given to the dynamics of treeline ecotone shifts.

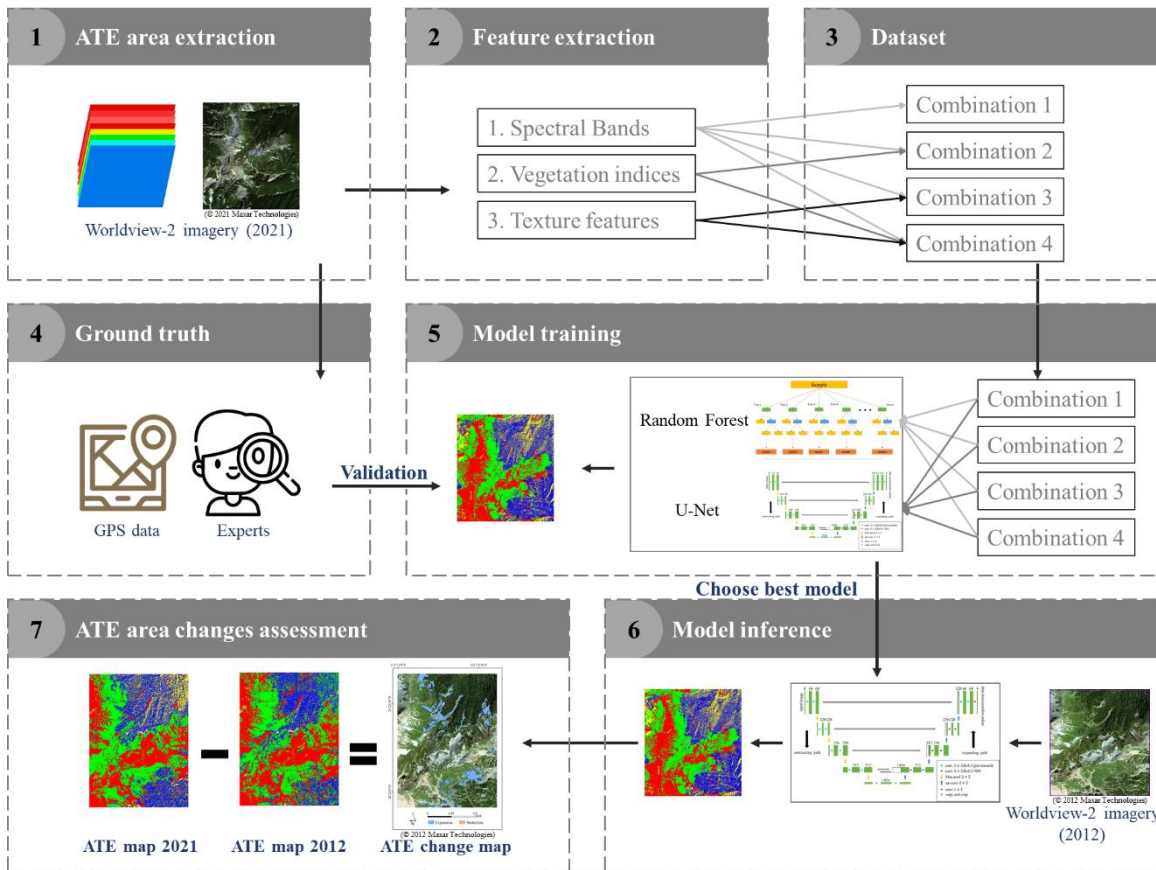
In this study, we define the treeline ecotone not as a fixed linear boundary but as a transitional zone where *krummholz*, such as Yushan Juniper and Yushan rhododendron, begin to appear within the alpine talus slope (Liao, 2016; Liao et al., 2023a). This ecotone represents an area of ecological transition from subalpine forest to alpine vegetation.



**Figure 1.** Geographic location of the treeline ecotone study area in the Xue Mountain glacial cirques in Shei-Pa National Park (top-right map) in north-central Taiwan (top-left map). The red marker in the Worldview-2 image (bottom-left map) indicates the research area. The digital elevation model shown in the bottom-right image shows the same area as the Worldview-2 image and covers the entire study area

## 2.2 Research flow

This study utilized WorldView-2 satellite imagery from 2021 to extract raw spectral bands, vegetation indices, and texture features. Starting with the eight spectral bands, vegetation indices, and texture features were sequentially added to form four different feature combinations. Classification models were developed using the RF and U-Net models, and the optimal model is selected. This model was then applied to 2012 imagery to map the distribution of the alpine treeline and analysed changes over the decade. The research workflow was illustrated in Fig. 2



**Figure 2. Research flow for classifying WorldView-2 images of a treeline ecotone on Mt. Xue in Taiwan to detect treeline changes.** The process begins with WorldView-2 satellite image acquisition, followed by feature extraction (spectral bands, vegetation indices, and texture features), model training using Random Forest (RF) and U-Net, accuracy evaluation, feature selection, and temporal analysis of alpine treeline changes between 2012 and 2021.

### 2.3 Research data

The research data sources were categorized into satellite imagery and field surveys, with satellite imagery as the primary source and field surveys used as supplementary validation to ensure the accuracy of the treeline boundary. WorldView-2 was an environmental monitoring satellite operated by Maxar Technologies Inc. (Colorado, USA). It was launched on October 8, 2009, and its geolocation accuracy, even without any ground control points, is reported to be within 3 meters. Depending on the spatial resolution, the revisit time ranged from 1.1 to 3.7 days.

The satellite provided two imaging modes: panchromatic and multispectral. The spatial resolution was 0.41 m in the panchromatic mode, and the spectral range spans 450–800 nm. This mode offered high spatial resolution, allowing for detailed image representation. In the multispectral mode, the spatial resolution was 1.64 m, and the spectral range extended from 400 to 1040 nm, covering eight spectral bands, as shown in Table 1. To enhance spatial detail, all multispectral bands were pansharpening using the corresponding high-resolution panchromatic band, yielding a uniform spatial resolution of 0.4 meters

across all datasets used for feature extraction. The pansharpened multispectral imagery was the basis for deriving vegetation indices and texture features.

Two orthorectified, cloud-free WorldView-2 images acquired on November 3, 2012, and September 26, 2021, were obtained from RiChi Technology Co., Ltd. (New Taipei City, Taiwan). Both images were captured in the autumn season when vegetation had entered dormancy, minimizing the influence of phenological variability such as flowering. Histogram matching was applied to ensure radiometric consistency across the two images. In addition, GPS devices were used to record field survey points, which were subsequently used to verify ATE positions and assist in manual ground truth labeling.

**Table 1. Spectral characteristics of WorldView-2 satellite bands**

Band	Spectral range (nm)	Data quantization (Bits)
Costal Blue (CB)	400-450	11
Blue (B)	450-510	
Green (G)	510-580	
Yellow (Y)	585-625	
Red (R)	630-690	
Red Edge (RE)	703-745	
Near Infrared 1 (NIR1)	770-895	
Near Infrared 2 (NIR2)	860-1040	

2.4 Vegetation indices

The reflectance spectrum of plant leaves can reflect their internal physiological status, such as chlorophyll content, water content, intercellular spaces, and cell walls. The frequently discussed spectral bands include red (R), the red edge (RE), and the near-infrared (NIR) bands. Derived vegetation indices, such as the Normalized Difference Vegetation Index (NDVI) and the Enhanced Vegetation Index (EVI), have been widely used (Rouse et al., 1974; Huete et al., 2002). Additionally, some studies have suggested that the blue (B) and green (G) bands can be used to monitor vegetation phenology and forests. For example, indices such as the Green Chromatic Coordinate (GCC) and the Excess Green Index (ExG) have been developed for this purpose (Sonnentag et al., 2012; Larrinaga and Brotons, 2019). Since image acquisition was affected by terrain, leading to shadow occurrences that influence classification accuracy, this study also planned to adopt the Shadow-Eliminated Vegetation Index (SEVI) (Jiang et al., 2019). This study will utilize 11 vegetation indices, as summarized in Table 2.

**Table 2: List of vegetation indices and their formulas derived from spectral bands.**

Vegetation Index	Formula	Reference
Difference Vegetation Index (DVI)	$NIR - R$	Richardson and Wiegand, 1977

Enhanced vegetation index (EVI)	$2.5 \times \frac{(NIR - R)}{(NIR + 6 \times R - 7.5 \times B + 1)}$	Huete et al., 2002
Excess Blue Vegetation Index (ExB)	$\frac{1.4 \times B - G}{G + R + B}$	Mao et al., 2003
Excess Green Index (ExG)	$\frac{2 \times G - R - B}{G + R + B}$	Woebbecke et al., 1995
Excess Green minus Excess Red (ExGR)	$ExG - ExR$	Meyer and Neto, 2008
Excess Red Vegetation Index (ExR)	$\frac{1.4 \times R - G}{G + R + B}$	Meyer et al., 1999
The Green Chromatic Coordinate (GCC)	$G/(R + G + B)$	Gillespie et al., 1987
Normalized difference index (NDI)	$\frac{G - R}{G + R}$	Gitelson and Merzlyak, 1994
Normalized difference vegetation index (NDVI)	$\frac{NIR - R}{NIR + R}$	Rouse et al., 1974
Ratio Vegetation Index (RVI)	$\frac{NIR}{R}$	Jordan, 1969
Shadow- Eliminated Vegetation Index (SEVI)	$RVI + f(\Delta) \times \frac{1}{R}$	Jiang et al., 2019

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## 140 2.5 Texture Feature

With the improvement in the spatial resolution of satellite imagery, most ground objects were composed of multiple pixels, making the spatial attributes of images increasingly important (Wang et al., 2015). Texture features extracted the structural and arrangement properties of ground objects, which described the spatial attributes of objects in an image. As one of the key features for image interpretation, texture helped distinguish land cover types with similar spectral characteristics. Texture analysis methods were categorized into spectral, statistical, and structural approaches, with the Gray Level Co-occurrence Matrix (GLCM) in statistical approaches being the most commonly used (Hsu, 1978). Guo et al. (2020) applied texture features to map the forest–tundra ecotone in central Eurasia. They found that texture-based classification maps performed better than previous methods, achieving an average classification accuracy of 0.826. Similarly, Sibiya et al. (2021) used WorldView-2 satellite imagery to classify forest species in South Africa. They found that texture features improved overall classification accuracy by approximately 8% compared to vegetation indices and 13% compared to original spectral bands. Their study also observed that a moving window size of  $7 \times 7$  produced the best results. Therefore, this study adopted a  $7 \times 7$  moving windows to compute the GLCM matrix for each of the eight bands, analyzed seven statistical metrics, and generated 56 texture features. The seven statistical metrics used in this study were listed in Table 3.

155 **Table 3: Description of texture features calculated using the gray-level co-occurrence matrix (GLCM).**

Texture Feature	Formula	Reference
Contrast (Con)	$\sum_{i,j=0}^{N-1} P_{i,j}(i-j)^2$	Yuan et al., 1991
Dissimilarity (Dis)	$\sum_{i,j=0}^{N-1} P_{i,j} i-j $	Rubner et al., 2002
Energy (Ene)	$\sum_{i,j=0}^{N-1} P_{i,j}^2$	Hall-Beyer, 2017
Entropy (Ent)	$\sum_{i,j=0}^{N-1} P_{i,j}(-\ln P_{i,j})$	Yuan et al., 1991
Homogeneity (Hom)	$\sum_{i,j=0}^{N-1} \frac{P_{i,j}}{1+(i-j)^2}$	Hall-Beyer, 2017
Mean (M)	$\sum_{i,j=0}^{N-1} iP_{i,j}$	Materka and Strzelecki, 1998
Variance (Var)	$\sum_{i,j=0}^{N-1} P_{i,j}(i-\text{Mean})^2$	Materka and Strzelecki, 1998

$P_{i,j}$  is the gray-level co-occurrence matrix after normalization.

**2.6 Methods**

**2.6.1 Random Forest (RF)**

160 Random Forests (RF) was an ensemble classifier widely used in remote sensing due to its ability to handle high-dimensional data. It generated multiple decision trees (DTs), where each tree made predictions based on observed features through a series of decision-making steps, ultimately concluding the target variable. Decision trees, also known as classification trees, were a type of predictive model. Random Forests used the **Bagging algorithm (Bootstrap Aggregating)** as their core classification mechanism. The process began by randomly sampling the data to create training datasets. After each sampling, the selected data points were returned to the dataset for the next round of sampling (bootstrap sampling). This process  
165 was repeated multiple times, resulting in several training datasets, which were then used to train multiple decision trees. This approach allowed for scenarios in which specific data points were sampled multiple times while others were not. Each decision tree selected a random subset of features at each node to determine the best split, ultimately generating predictions from each



tree. The final classification result is determined by aggregating all decision tree predictions using a majority voting approach. To evaluate the importance of each feature, the Random Forest model uses the Gini Index, which measures the impurity of a node. A lower Gini value indicates better class separation. The Gini Index for a node  $m$  is calculated as follows:

$$Gini_m = \sum_{k=1}^K \hat{p}_{mk}(1 - \hat{p}_{mk}), \quad (1)$$

Where  $\hat{p}_{mk}$  was the probability of a sample at node  $m$  belonging to class  $k$ , and  $K$  was the total number of classes. The Gini Index also supported the out-of-bag (OOB) error estimation and was commonly used to determine feature importance in classification tasks (Belgiu and Drăguț, 2016; Breiman, 2001; Chen et al., 2023).

## 2.6.2 U-Net

Ronneberger et al. (2015) proposed the original U-Net model, which evolved from the fully connected network (FCN) and was initially applied to biomedical image segmentation. The model was named U-Net because its architecture resembled a U-shaped structure. It was also a shallow convolutional neural network (CNN) segmentation model. The U-Net model consisted of a contracting path (downsampling) and an expanding path (upsampling). Similar to FCN, U-Net did not have fully connected layers, and its use of convolutional layers significantly reduced the amount of training data required while allowing inputs of different sizes. Before entering the contracting or expanding path, the data underwent two consecutive convolutional layers, which helped the network extract target features more effectively. This process also enhanced the integration of fine details with feature maps, thereby improving segmentation quality. Each convolutional layer was followed by a rectified linear unit (ReLU) activation function, which enhanced training efficiency without affecting model accuracy. The pooling layer at the bottom served as a nonlinear form of downsampling, reducing the spatial size of the data, decreasing the number of parameters and computational costs, and helping to control overfitting. Since U-Net lacked fully connected layers, it effectively minimized information loss caused by downsampling and preserved finer image details.

## 2.6.3 Data set

The WorldView-2 satellite imagery consists of eight spectral bands (CB, B, G, Y, R, RE, NIR1, NIR2). Based on these eight bands, this study derived 13 vegetation indices and 56 texture features, resulting in 77 feature variables. The original eight bands were incrementally combined with vegetation indices and texture features, forming four different feature combinations (Table 4).

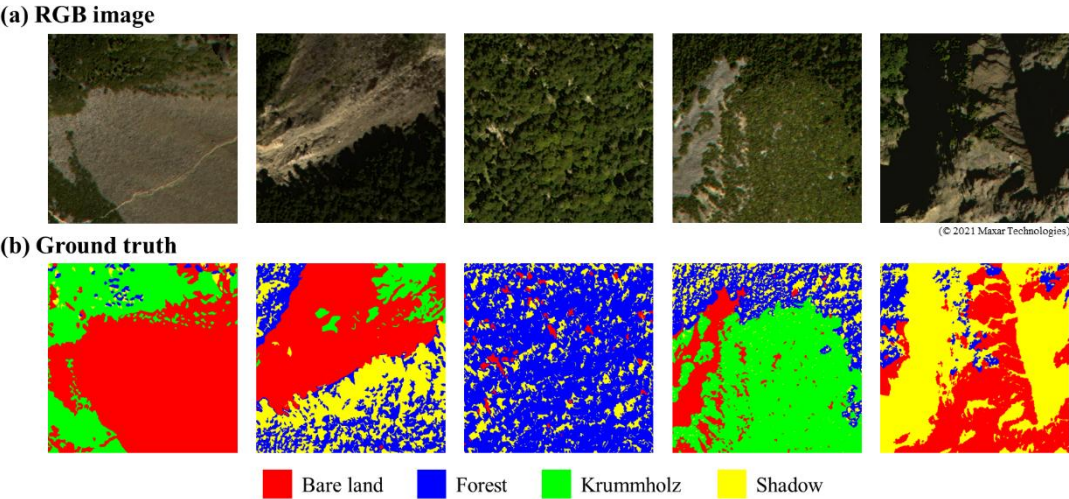
Ground truth data for the study area were manually labeled using a pixel-based approach and categorized into four classes: (1) Bare land, referring to areas of exposed soil, rock surfaces, or sparsely vegetated ground; (2) Forest, defined as regions with dense, continuous tree canopy cover; (3) Krummholz, representing stunted, shrub-like trees typically found at high elevations near the treeline and shaped by wind or snow pressure (Liao et al., 2023a); and (4) Shadow, representing regions with low reflectance caused by topographic shading or solar angle effects. The class definitions were established based on visual inspection and field knowledge of the study area (Fig. 3). The labeling process was independent and performed by

visually interpreting the pansharpened RGB composite imagery, referencing known terrain characteristics, and assisted by field-collected GPS survey points.

Each image ( $5380 \times 4671$  pixels) was segmented into 110 non-overlapping patches of  $512 \times 512$  pixels. The dataset split was performed at the patch level, not the pixel level, to avoid spatial autocorrelation and data leakage. Specifically, 80% of the patches were randomly selected for training and validation (with a 75/25 split), and the remaining 20% were used as an independent test set. In total, 66 patches were used for training, 22 for validation, and 22 for testing.

**Table 4. Definitions of the four feature combinations used in model training. The table shows the input feature types and their corresponding dimensionality.**

Feature combinations	Input feature	Number of features
1	spectral band	8
2	spectral band, vegetation indices	21
3	spectral band, texture features	64
4	spectral band, vegetation indices, texture features	77



**Figure 3. Ground truth label generation for land cover classification. (a) WorldView-2 RGB composite image from 2021; (b) manually annotated labels showing four classes: forest, krummholz, bare land, and shadow.**

### 2.6.4 Evaluation Index

This study uses overall accuracy (OA), F1-score, and the Kappa coefficient as assessment metrics to evaluate classification accuracy. The formulas for each metric are explained below.

$$OA = \frac{TP+TN}{TP+FP+TN+FN}, \tag{2}$$

$$F1 - score = \frac{2 \times TP}{2 \times TP + FP + FN}, \tag{3}$$

$$\text{Kappa} = \frac{P_o - P_e}{1 - P_e}, \text{ with} \quad (4)$$

$$P_o = \frac{TP + TN}{TP + FP + TN + FN}, \text{ and} \quad (5)$$

$$P_e = \frac{(TP + FN) \times (TP + FP) + (FP + TN) \times (FN + TN)}{(TP + FP + TN + FN)^2}, \quad (6)$$

Among them, *TP* (true positive), *TN* (true negative), *FP* (false positive), and *FN* (false negative).

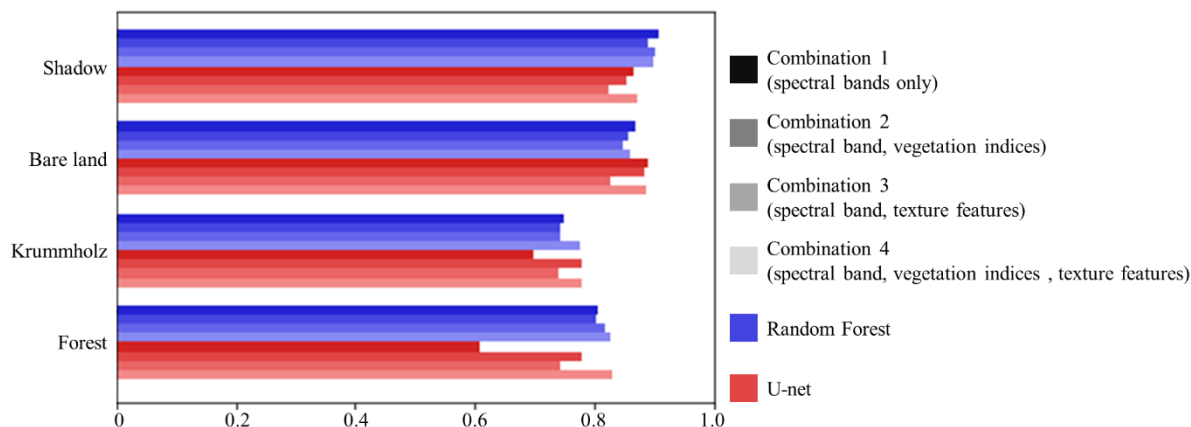
## 220 3. Results

### 3.1 Feature Combination Comparison

This study explored four **feature** combinations, including spectral bands (8 features), vegetation indices (13 features), and texture features (56 features), for classifying bare land, forest, krummholz, and shadow using both RF and U-Net models. The F1-scores, representing the harmonic mean of precision and recall, provided a balanced assessment of classification  
 225 performance. All classes achieved F1-scores above 0.6 (Fig. 4). Forest and krummholz were more frequently misclassified with one another due to their similar vegetation structures, while bare land and shadow were more easily distinguished, achieving F1-scores above 0.8.

Overall, the different feature combinations produced similar classification performance, with only minor differences  
 observed across classes and models. In the RF model, bare land and shadow achieved the highest F1-scores (0.905 and 0.866,  
 230 respectively) when using Combination 1 (spectral bands only). Forest and krummholz performed slightly better with Combination 4 (spectral bands, vegetation indices, and texture features), achieving F1-scores of 0.827 and 0.776, respectively. In the U-Net model, Combination 1 yielded the best result for bare land (F1 = 0.889), while Combination 4 slightly improved the classification of forest (0.828), krummholz (0.886), and shadow (0.869). These findings suggested that incorporating vegetation indices and texture features improved model performance for specific vegetation classes, particularly in the U-Net  
 235 model, although overall improvements remained relatively modest.

The overall accuracy (OA) and Kappa coefficient for each feature combination were summarized in Table 5. Similar to the accuracy patterns for the individual classes, in the U-Net models, the OA improved as the number of features increased, whereas for the RF models this was not the case (Table 5). A consistent increase in OA was observed as more features were incorporated. For both models, Combination 4 yielded the highest OA values: 0.830 for RF and 0.838 for U-Net, representing  
 240 improvements of 0.011 and 0.085, respectively, over Combination 1. The Kappa coefficients exhibited similar trends, increasing from 0.753 to 0.768 in RF and from 0.666 to 0.778 in U-Net. These results confirmed that both OA and Kappa supported the observed pattern of slightly enhanced classification performance with expanded feature sets.



**Figure 4. F1-scores for four land cover classes (forest, krummholz, bare land, shadow) using RF and U-Net models with different feature combinations.**

**Table 5. Evaluation of classification accuracy using different feature combinations and models. Overall accuracy (OA) and Kappa coefficient are shown for Random Forest (RF) and U-Net models. Numbers in parentheses indicate the number of input features. Bold values indicate the best results for each metric.**

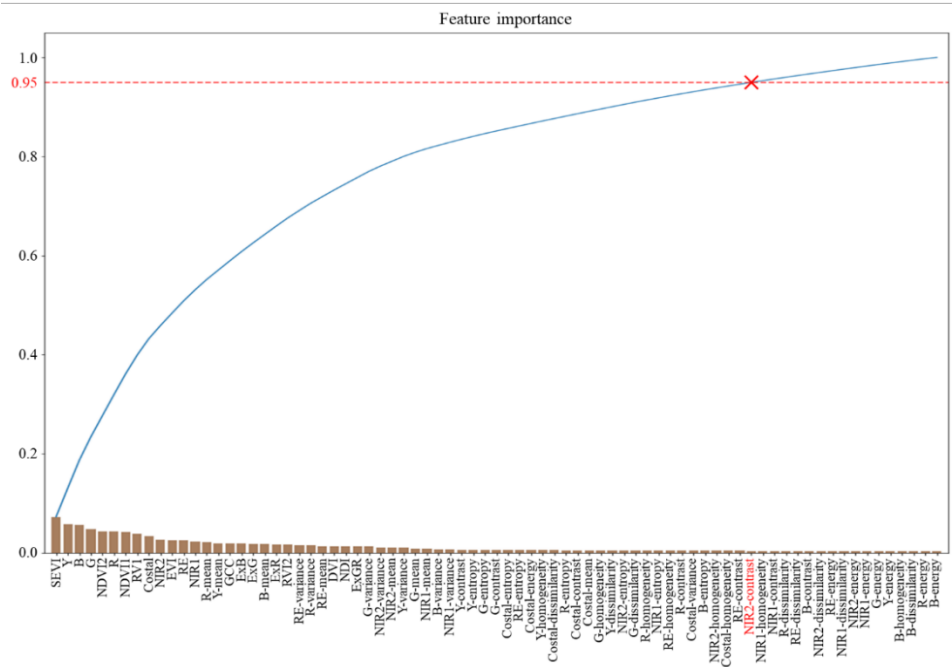
Feature (DIMs)	Combinations 1 (8)		Combinations 2 (21)		Combinations 3 (64)		Combinations 4 (77)	
Method	RF	U-Net	RF	U-Net	RF	U-Net	RF	U-Net
OA	0.819	0.753	0.817	0.780	0.812	0.819	0.830	<b>0.838</b>
Kappa	0.753	0.666	0.751	0.703	0.743	0.755	0.768	<b>0.778</b>

### 3.2 Feature Importance Selection Based on Random Forest Model

The upper limit of the ATE was determined based on the spatial distribution boundary where patches of forest transitioned into krummholz and bare land. This boundary reflected a gradual to abrupt ecological shift in vegetation types and was identified using classification results derived from satellite imagery. To ensure accuracy, these results were cross-validated with GPS-based field survey points. Since forest classification accuracy played a key role in delineating this boundary, particular emphasis was placed on improving forest classification performance. Therefore, after integrating the results from Section 3.1, further analysis was conducted using Feature Combination 4 and the U-Net model. As the number of features increased, model training times also lengthened, making it necessary to evaluate both classification accuracy and computational cost. To address this, the study employed the feature importance ranking function of the RF model to the 77 features (Fig. 5). Based on the cumulative model interpretability results, 95% cumulative interpretability was achieved using 61 features. Further analysis revealed that the most important features, according to the ranking, were SEVI, Y, B, G, and NDVI2. In contrast, texture features were relatively less important, as also suggested by the low F1 scores for combination 3 (spectral, texture; Fig. 4). However, for the forest class in particular, texture features significantly improved classification

accuracy compared to using only spectral bands, and the inclusion of vegetation indices contributed even more to the performance.

265 Using the top 61 selected features based on feature importance, a retraining process was carried out. The classification results remained similar before and after feature selection (Fig. 6), while training time was reduced by 14.3%. Although improving computational efficiency was not the primary objective, feature selection helps achieve model parsimony, balancing model complexity with performance, which in turn enhances interpretability and generalization. Notably, the overall accuracy and Kappa coefficient increased slightly by 0.4% (Table 6). While the numerical gain may appear small, such improvement is  
270 relevant in ecological applications where even minor increases in accuracy can enhance the detection of subtle land cover changes, such as shifts in forest boundaries over time.

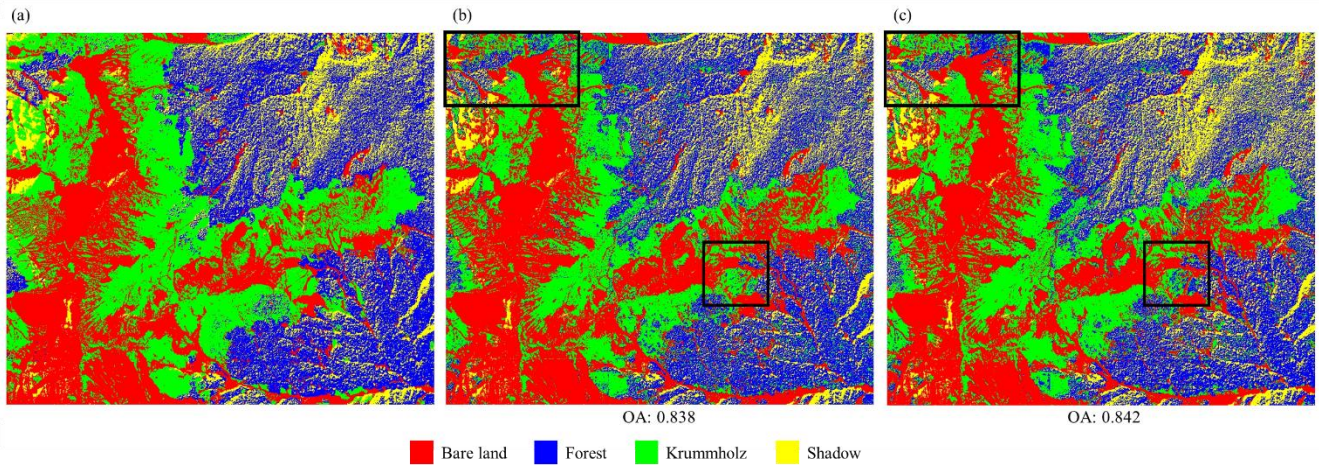


275 **Figure 5.** Feature importance ranking derived from the Random Forest model. Features are ranked based on their contribution to classification accuracy, with the top-ranked features including SEVI, Y (yellow), B (blue), G (green), and NDVI2. Most of the top features are spectral bands and vegetation indices, while texture features rank lower.

**Table 6.** Comparison of model performance before and after feature selection. Training time is presented in hours. The results show reduced training time and slightly improved classification accuracy after feature selection.

	Without feature selection	With feature selection	Difference (%)
Training time(hr)	7.708	6.608	-14.3
OA	0.838	0.842	+0.4
Kappa	0.778	0.784	+0.4



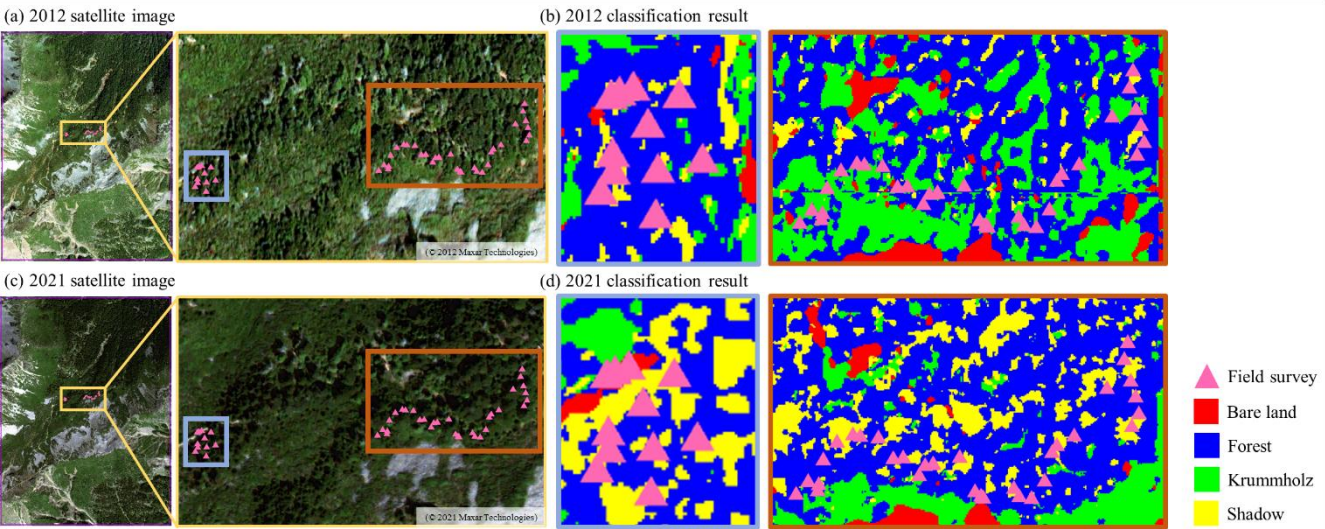


280 **Figure 6: Comparison of 2021 image classification results before and after feature selection. (a) Ground truth; (b) model result using**  
 285 **77 features; (c) model result using 61 features.**

### 3.3 Decadal changes in the treeline ecotone

A U-Net model was trained using 61 selected features derived based on feature importance. The trained model was applied to classify satellite images from 2012 and 2021. The classification results were validated against field survey data collected in  
 285 2021, which recorded vegetation types and the position of the tree line along an elevational gradient. As shown in Fig. 7, the tree line derived from the classification closely aligns well with the tree line identified through GPS-based field survey points. Over the decade, the proportion of forest area increased by 3.4%, indicating a trend of forest expansion. Meanwhile, the proportion of shadow area also increased by 8.5%; however, this is likely due to differences in lighting conditions and satellite  
 viewing angles between the 2012 and 2021 image acquisitions rather than an actual ecological change. Additionally,  
 290 krummholz and bare land areas decreased by 3.2% and 8.7%, respectively (Table 7). For the forest category, the forest area expanded by 0.105 km<sup>2</sup> and was reduced by 0.004 km<sup>2</sup> between 2012 and 2021 (Fig. 8). Based on the 95th percentile of DEM elevation values of all pixels classified as forest (Fig. 9), the treeline showed an upward shift of 32.00 meters between 2012 and 2021. The 95% confidence interval ( $\pm 4.00$  meters) was estimated using a bootstrap resampling method (5,000 iterations). Differences in area changes across various elevation ranges are detailed in Table 8, with the most significant changes occurring  
 295 in the 3,600- to 3,700-m range, which corresponds to the primary treeline ecotone change zone in the Xue Mountain region. In comparison, the most stable area was observed in the 3,700 to 3,800 m range, where minimal forest presence was detected in both 2012 and 2021, reflecting physiological limits of trees.

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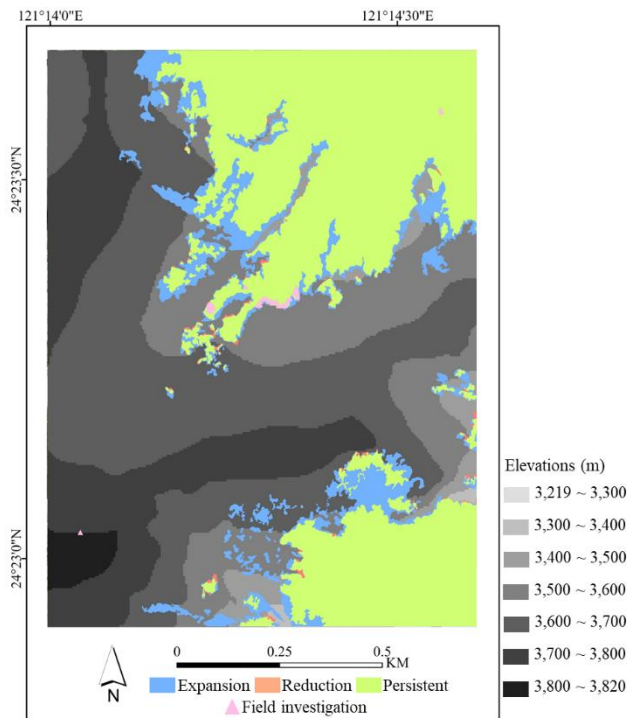


**Figure 7** Comparison of satellite imagery and classification results from 2012 and 2021. Panels (a) and (c) show high-resolution satellite images for 2012 and 2021, respectively. Colored boxes in these images indicate the enlarged areas shown in (b) and (d). Panels (b) and (d) present the classification results of the corresponding enlarged regions using a U-Net model trained with 61 selected features. Triangles mark field survey locations.

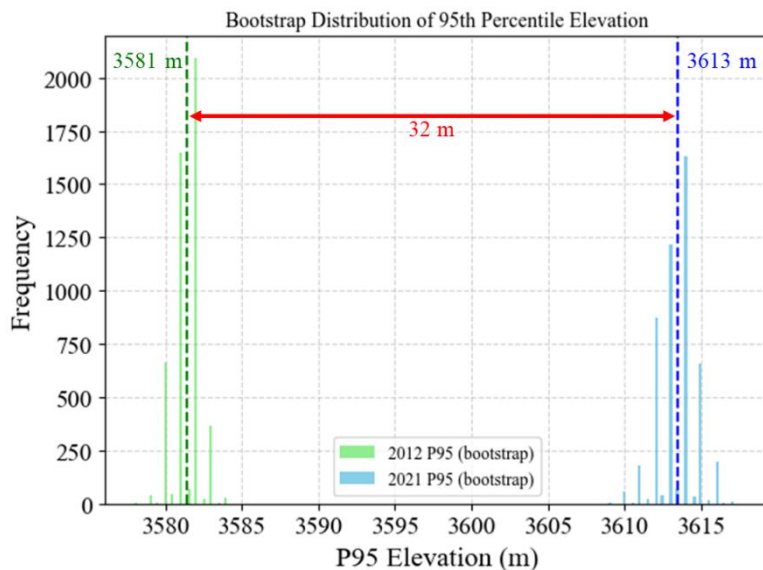
**Table 7.** Percentage of each land cover class in 2012 and 2021 classification results. Forest and shadow areas increased over time, while krummholz and bare land decreased.

Classification percentage (%)	Year		Increment / Decrement
	2012	2021	
Forest	22.5	25.9	+3.4
Krummholz	36.4	33.2	-3.2
Bare land	38.1	29.4	-8.7
Shadow	3.0	11.5	+8.5
Total	100	100	

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**Figure 8. The spatial distribution of forest area changes from 2012 to 2021. Forest expansion is marked in blue, reduction is marked in orange, and persistent is marked in green.**



**Figure 9. Bootstrap distribution of the 95th percentile elevation of forest cover for 2012 and 2021. The histogram shows the frequency of estimated 95th percentile elevations (P95) based on resampling. Green bars represent 2012 estimates, while blue bars represent 2021. The dashed vertical lines indicate the mean P95 value for each year.**



315 **Table 8. Forest area, expansion, and reduction across different elevation from 2012 to 2021. The table includes forest area in 2012, net changes in area, and corresponding percentage changes.**

Elevations (m)	Forest Area in 2012 (ha)	Expansion area (ha)	Reduction area (ha)	Net Change (ha)	Change (%)
3300~3400	6.99	0.28	0.03	0.25	3.6
3400~3500	12.43	2.21	0.08	2.13	17.1
3500~3600	8.40	5.10	0.23	4.87	58.0
3600~3700	3.26	2.88	0.06	2.82	86.4
3700~3800	0.78	0.02	0.00	0.02	2.5

**4. Discussion**

**4.1 Treeline change and spatial pattern**

Our findings reveal that, from 2012 to 2021, the alpine treeline ecotone (ATE) in the Xue Mountain glacial cirque experienced an upward shift of  $32.00 \pm 4.00$  meters, along with a pronounced densification of forest cover. This finding aligns with patterns observed in other mountainous regions worldwide. For example, in Taiwan’s Hehuan Mountain and Yushan, similar upward shifts in treeline position and increases in forest density have been reported (Greenwood et al., 2014; Chung et al., 2021). Likewise, Davis et al. (2020) observed an upslope advance of  $0.83 \pm 0.67$  m/year for several tree species in the Rocky Mountains of Canada. In contrast, studies in the European Alps have noted significant reductions in snow cover and increased alpine vegetation productivity, potentially enhancing local carbon sequestration, although with a limited global impact (Rumpf et al., 2022). Additionally, in the eastern Himalayas, over 80% of trees have already reached the thermal treeline, with projected upslope migration of 140 meters by the end of the 21st century due to warming (Wang et al., 2022). These comparisons support the robustness of our observed treeline dynamics and highlight both global consistency and regional variation in alpine ecosystems response to climate change.

Regarding ATE spatial patterns, Bader et al. (2021) classified alpine treeline patterns into discrete and gradual categories, further distinguishing them into gradual, diffuse, abrupt-diffuse, abrupt, and tree island patterns. Based on the classification results derived from high-resolution satellite imagery, this study identified the treeline patterns in the Xue Mountain glacial cirque as abrupt and tree island treeline patterns. However, additional long-term field observations are required to further investigate the underlying treeline dynamics and demographic processes (Liao et al., unpublished data).

## 4.2 Feature importance

In this study, we derived 77 features from satellite imagery, including eight spectral bands, 13 vegetation indices, and 56 texture features. To improve model efficiency, we applied feature importance ranking using the Random Forest (RF) model and selected the top 61 features, which accounted for 95% of the cumulative importance. Among them, SEVI, Yellow (Y), Blue (B), Green (G), and NDVI2 were identified as the most important for classifying the treeline ecotone. Notably, most of these were spectral or vegetation index features, while texture features contributed less. This feature selection not only reduced training time by 14.3% but also slightly improved the overall accuracy (+0.4%) and Kappa coefficient. While OA was used as the primary selection criterion, we also confirmed that these top-ranked features maintained or improved F1-scores for the forest class, which is the primary concern in detecting treeline changes. We recognize that the process of optimizing OA values may sometimes overlook minority or ecologically important classes. Therefore, we specifically examined the F1-score for the forest class—our primary concern for treeline detection—and verified that its classification performance was not compromised. This indicates that our feature selection strategy effectively balanced overall model performance with the accuracy of the most ecologically relevant land-cover category.

These findings align with previous studies on vegetation classification using multispectral satellite imagery, though the most informative spectral bands may vary depending on the sensor, study region, and forest type. For instance, studies using Sentinel-2 imagery (10–20 m resolution) found the shortwave infrared (SWIR), red, and near-infrared (NIR) bands to be particularly effective in forest classification tasks. Bolyn et al. (2018) identified SWIR, red, and NIR as the most important features for classifying forest types, while Immitzer et al. (2019) emphasized the role of red and NIR in time-series-based tree species mapping. Similarly, Hościło and Lewandowska (2019) reported improved forest type discrimination when using multi-temporal red, NIR, and red-edge bands. In contrast, studies using WorldView-2 imagery (high-resolution, 0.4–1.6 m) revealed different key spectral bands. Abutaleb et al. (2021) found that the green, yellow, red, and NIR2 bands were most relevant for mapping eucalyptus trees in a subtropical environment. On the other hand, Immitzer et al. (2012) reported that blue, green, red, and NIR1 bands were particularly effective in classifying coniferous forest types in Austria.

These variations underscore the contextual nature of feature importance, suggesting that optimal band selection depends on factors such as spatial resolution, vegetation structure, and topographic complexity. Our results—emphasizing SEVI, Y, B, G, and NDVI2—are well-suited to the alpine treeline environment of Taiwan, where coniferous species such as *Abies kawakamii* dominate.

## 5. Conclusions

This study investigates changes in the ATE of the Xue Mountain glacial cirques in Taiwan from 2012 to 2021, utilizing WorldView-2 imagery in conjunction with Random Forest and U-Net models. By incorporating spectral bands, vegetation indices, and texture features, we achieved improved classification accuracy and computational efficiency. Feature selection identified the most important variables as the Shadow-Eliminated Vegetation Index (SEVI), Yellow (Y), Blue (B), Green (G)

bands, and Normalized Difference Vegetation Index (NDVI2). The treeline was defined not as a fixed linear boundary but as a transitional ecotone where krummholz species—such as Yushan juniper (*Juniperus morrisonicola*) and Yushan rhododendron (*Rhododendron pseudochrysanthum*)—begin to appear within the alpine talus slope. This delineation was based on both satellite classification results and GPS-referenced field survey data. Over the past decade, forest cover in the study area expanded by approximately 0.101 km<sup>2</sup>, indicating both denser canopy growth and outward expansion. In addition, the upper limit of forest distribution rose by 32.00 ± 4.00 meters, indicating an upslope shift of the treeline at higher elevations. These findings provide new insights into treeline dynamics in Taiwan’s alpine environment and demonstrate the potential of high-resolution satellite imagery for long-term ecological monitoring.

**Data availability**

Data are available upon request from the corresponding author (Hui Ping Tsai).

**Author contributions**

Conceptualization, GGW, MCL, WW, HPT and HYT; methodology, GGW, MCL, WW, HPT and HYT; software, GGW, and HPT; validation, GGW, MCL, WW, HPT and HYT; formal analysis, GGW, HPT and HYT; investigation, GGW, MCL, WW, HPT and HYT; resources, MCL, HPT and HYT; data curation, GGW, MCL and WW; writing—original draft preparation, GGW and HPT; writing—review and editing, MCL, HPT and HYT; visualization, GGW and HPT; supervision, HPT and HYT; project administration, HPT and HYT; funding acquisition, MCL, HPT and HYT. All authors have read and agreed to the published version of the manuscript.

**Competing interests**

The authors declare that they have no conflict of interest.

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