



# Influence of Secondary Ice Production on cloud and rain properties: Analysis of the HYMEX IOP7a Heavy Precipitation Event

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**Abstract.** A significant part of precipitation originates from ice crystals while the representation of the cloud mixed phase by atmospheric models remains a challenging task. One of the well-known problem is the discrepancy between the concentration of ice nucleating particles (INPs) and the ice crystal number concentration. This study explores the effect of secondary ice production (SIP) on the properties of the intense precipitation event IOP7a observed during the HYMEX campaign. The effect of SIP on cloud and rain properties is assessed by turning on or off SIP mechanisms in the 3D bin microphysics scheme DESCAM. Our results indicate that including SIP gives better agreement with in situ aircraft observations in terms of ice crystal number concentration and supercooled drop number fraction. During the mature cloud stage, and for temperatures warmer than -30°C, 59% of ice crystals are produced by fragmentation due to ice-ice collisions, 38% by Hallet-Mossop process, 2% by fragmentation of freezing drops and only 1% by heterogeneous ice nucleation. Furthermore, ours results shows that the production of small ice crystals by SIP induces a redistribution of the condensed water mass toward particles smaller than 3 mm rather than larger ones. As ice crystals melt, this effect is also visible in the precipitating liquid phase. The shift toward smaller particles results in a reduced precipitation flux of both ice crystals and drops. Consequently, SIP induces a decrease of the accumulated precipitation at the surface by 8% and reduces heavy rainfall exceeding 40 mm by 20%.

#### 1 Introduction

The ice phase of clouds plays a crucial role on precipitation, contributing to 3/4 of the Earth's surface precipitation (Heymsfield et al., 2020) by either snowfall and cold rain (i.e. melted ice particles). As shown by Gupta et al. (2023), the contribution of the ice phase to surface precipitation varies from 27% for clouds with warm bases (e.g. tropical convective clouds) and up to 80% for clouds with cold bases (i.e. close to the melting layer). Consequently, the role of the ice crystals is particularly pronounced in mid and high latitude regions where the major part of precipitation events are linked to ice crystals originating from mixed or ice phase clouds (Field and Heymsfield, 2015). Furthermore, incorporating the ice phase in models has been shown to significantly influence both the onset and intensity of precipitation (Sawada and Iwasaki, 2007; Flossmann and Wobrock, 2010; Planche et al., 2014).

The properties and processes involving ice crystals are complex and remain poorly understood. Indeed, unlike water drops, ice crystals exhibit a wide variety of shapes influenced by processes such as vapor deposition, riming and aggregation. In

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addition to that, a major uncertainty still persists about the mechanisms driving their formation. Although heterogeneous ice nucleation which is the first pathway for ice crystal formation at T > -30°C has been intensely studied (e.g. Kanji et al., 2017), this process is highly variable as it depends on the physico-chemical properties of aerosol particles. Furthermore, it has long been assessed that heterogeneous ice nucleation alone is often insufficient to explain the observed concentrations of ice crystals in clouds, as shown by in situ aircraft observations of Hallett et al. (1978); Hobbs et al. (1980); Mossop (1985) or more recently by Ladino et al. (2017); Järvinen et al. (2022); Korolev et al. (2022). This indicates the presence of secondary ice production (SIP), which generates additional ice crystals from existing ones. Some of the SIP mechanisms presented by Field et al. (2017) or Korolev and Leisner (2020) have recently been incorporated into numerical models. Modeling studies have highlighted several important effects induced by SIP such as its impact on convection (Dedekind et al., 2021; Karalis et al., 2022; Qu et al., 2022; Grzegorczyk et al., 2025b), precipitation (Hoarau et al., 2018; Dedekind et al., 2021; Georgakaki et al., 2022), radiative properties (Young et al., 2019; Zhao and Liu, 2021; Waman et al., 2023). However, the mechanisms driving SIP and the understanding of its effects on cloud properties remain open research questions.

Two previous studies (i.e. Kagkara et al., 2020; Arteaga et al., 2020), conducted with the 3D bin microphysics scheme DESCAM (DEtailed SCAvenging and Microphysics; Flossmann and Wobrock, 2010), focused on an intense precipitation event (IOP7a) observed during the HYMEX (HYdrological Cycle in the Mediterranean EXperiment) campaign (Ducrocq et al., 2014). The HYMEX campaign (Ducrocq et al., 2014) aims to study flash flood events that often occur in the western Mediterranean basin (e.g. Sénési et al., 1996; Delrieu et al., 2005; Rebora et al., 2013) by means of different observational facilities. The cloud systems responsible for these events are driven by warm and humid air masses originating from the Mediterranean Sea which are lifted over the French coastal mountainous terrain. One of the main conclusions found in Kagkara et al. (2020) was the lack of ice particles smaller than 1 mm diameter given by DESCAM close to the -12°C level, while SIP was not included in the model at this time.

Therefore, the Hallet-Mossop process (also known as splintering during riming) (Hallett and Mossop, 1974), fragmentation due to ice-ice collisions (Grzegorczyk et al., 2023; Yadav et al., 2024), and drop shattering (fragmentation of freezing drops) (Lauber et al., 2018; Keinert et al., 2020) are SIP processes that have recently been implemented into DESCAM by Grzegorczyk et al. (2025a). These processes were tested using an idealized scenario of a deep tropical convective cloud as encountered during the HAIC/HIWC (High Altitude Ice Crystals and High Ice Water Content) campaign in French Guyana (Fontaine et al., 2020; Hu et al., 2021). The results of Grzegorczyk et al. (2025a) for these tropical conditions showed that incorporating SIP processes reduces supercooled liquid water while ice water content as well as ice crystal number concentration increases; improving the agreement between model outcomes and in situ aircraft observations. Furthermore, using the same idealized tropical convective case, Grzegorczyk et al. (2025b) showed that SIP affects both convection and precipitation properties, reducing the cloud top height by about 1.5 km and the total precipitation accumulation by 15%. However, these results need to be confirmed under real cloud case conditions among different cloud types and the causes of the observed reduction in precipitation require further investigation.

Building on our previous findings, this study aims to investigate the impact of SIP on the mixed-phase of a mid-latitude precipitating convective cloud (i.e. the HYMEX-IOP7a heavy precipitation event) and validates DESCAM model results through





comparisons with in situ aircraft measurements. Additionally, since a significant portion of precipitation may originate from ice, the second goal of this study is to assess and understand the impact of SIP on precipitation properties simulated by DESCAM which will be confronted against ground based observations.

The paper is organized as follow: Section 2 provides a general description of the IOP7a event and an overview of the observations available for this case. Section 3 details the numerical setup of the study and the methodology used to compare the model results to the observations. Results focusing on the cloud mixed-phase properties are presented in Section 4.1 while liquid rain properties are presented in Section 4.2. Finally, Section 5 summarizes the study and highlights the main conclusions.

## 2 Observations

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#### 2.1 HYMEX IOP7a case

The HYMEX program (HYdrological Cycle in the Mediterranean EXperiment) was a 10 year research project dedicated to study the Mediterranean water cycle (Drobinski et al., 2014). This program included a long-term observation period spanning from 2010 to 2020, as well as two Special Observation Periods (SOPs). The present case study took place during SOP1 in autumn 2012 (Ducrocq et al., 2014), focusing on flash flooding events in the northern Mediterranean basin. During this experimental period, a variety of observational facilities such as radars, rain gauges, disdrometers, radiosondes, research aircraft, as well as several other instruments were deployed in addition to the existing observation networks in the Cévennes-Vivarais region in France.

This study focuses on the 7th Intense Observation Period (IOP7a), which took place in the morning of September 29, 2012, (already studied by Hally et al., 2014; Kagkara et al., 2020; Arteaga et al., 2020). This event was characterized by the presence of a low-pressure system near the United Kingdom as well as a cold front at the west of the Cévennes-Vivarais region. These conditions generated a southerly wind flow transporting warm and moist air from the Mediterranean Sea toward the Cévennes mountains. The orographic lifting of this air mass triggered the formation of mesoscale convective systems over the mountainous region. More details about the development of such cloud systems can be found in Duffourg and Ducrocq (2011) and Nuissier et al. (2011).

## 2.2 Ground and airborne observations

During IOP7a, the ARAMIS operational network, comprising C, S and X-band radars covering the Cévennes-Vivarais region (Parent du châtelet, 2003), along with three rain gauge networks (from Météo France (Tardieu and Leroy, 2003), Service de Prévision des Crues (SPC) du Grand Delta, and Electricité de France (EDF)), allow to derive quantitative precipitation estimates. These estimates are obtained by using the KED (Kriging with External Drift) method developed by Boudevillain et al. (2016) and Delrieu et al. (2014). This approach consists in merging radar data with raingauge measurements to produce hourly estimates of the precipitation fields over the Cévennes-Vivarais region.



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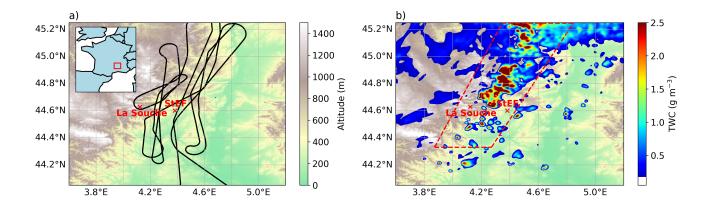
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Moreover, two Parsivel disdrometers (OTT Parsivel<sup>2</sup>) were deployed at La Souche and Saint-Étienne de Fontbellon (StEF) as shown in Fig. 1. These instruments provide 1 min resolution rain rates and raindrop size distributions (DSDs) using the method of Raupach and Berne (2015). The disdrometer at StEF, located at an altitude of 302 meters, recorded the precipitation event from 08:20 to 08:50 and showed a maximum intensity of around 100 mm h<sup>-1</sup>. The second disdrometer at La Souche, located at 920 meters, recorded the precipitation event from 09:40 to 11:00 with a maximum rain rate of 175 mm h<sup>-1</sup>. A detailed study about DSDs from these instruments is presented by Zwiebel et al. (2015).

In addition to ground based observations, two French research aircrafts operated by SAFIRE (Service des Avions Français Instrumentés pour la Recherche en Environnement) were deployed during HYMEX IOP7a. The first aircraft (ATR-42) flew around 100 to 150 km south of the precipitation event and was dedicated to study aerosol particles properties (see Rose et al., 2015, for details) that were advected to the Cévennes-Vivarais regions and contribute to the formation of the cloud system. This aircraft was equipped with a SMPS (Scanning Mobility Particle Sizer) instrument and a GRIMM OPC (optical particle counter). From these two instruments, aerosol particle size distributions for diameters ranging from 20 nm to 2  $\mu$ m were obtained for altitudes between 200 to 3700 m. The second aircraft (Falcon 20) dedicated to cloud microphysics measurements was equipped with a W-band doppler radar (RASTA), two optical array probes (OAP): a 2DS (2D-Stereo) probe and a PIP (Precipitation Imaging Probe) probe. These two probes provided composite hydrometeor particle size distributions from 50  $\mu$ m to 6.4 mm diameter. For smaller sizes, the CDP (Cloud Droplet Probe) instrument provided particle size distributions from 2 to 50  $\mu$ m diameter.



**Figure 1.** Third domain of the simulation with the topography and the Falcon 20 flight track in a). Total water content at 08:20 UTC and 4.7 km (SIP simulation) is displayed in b). The red dashed lines delimits the area of the domain selected for comparison with in situ observations. The position of La Souche and Saint-Étienne de Fontbellon (StEF) disdrometers are indicated by red crosses.

Fig. 2 presents the temporal evolution of microphysics measurements conducted by the Falcon 20 during IOP7a. In Fig. 2a both radar reflectivity (in dBZ) and aircraft altitude above sea level are shown. The cloud system was sampled at different constant heights: at 3.7 km, twice near 5.5 km, at 7 km, twice at 8.5 km, and at 10.5 km. Complementary to this, Fig. 1a shows the flight track of the Falcon 20 aircraft, which flew close to the two disdrometers sites deployed during IOP7a.





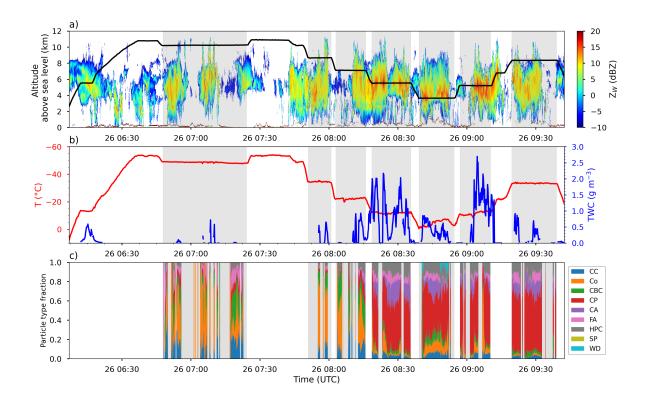


Figure 2. Time height measurements conducted by the Falcon 20 during IOP7a. a) RASTA W-band radar reflectivity observation and aircraft altitude. b) Temperature and total water content (TWC) estimated using by the method of Fontaine et al. (2014). c) Time series (10 seconds averaged) showing the fraction of hydrometeor types with  $D > 300~\mu m$  classified as Capped columns (CC), Columns and needles (Co), Combination of bullets or columns (CBC), Compact particles (CP), Complex assemblages of planes, columns, or dendrites (CA), Fragile aggregates (FA), Hexagonal planar crystals (HPC), splintered particles (SP), and Water drops (WD) following the method developed by Jaffeux et al. (2022).

Fig. 2b shows the air temperature as well as the estimated TWC (Total Water Content). The TWC is determined by the following equation  $TWC = \sum_{50\mu m}^{6400\mu m} N(D) \alpha D^{\beta} dD$ , where N(D) is the number of particles with diameter D, and the coefficients  $\alpha$  and  $\beta$  are the coefficients of the mass size relationship  $(m(D) = \alpha D^{\beta})$  determined by the variational method proposed by Fontaine et al. (2014). In this method,  $\alpha$  is determined by fitting the simulated radar reflectivity (T-matrix method) with measurements from the RASTA radar onboard the aircraft.  $\beta$  is derived from the surface-diameter relationship based on ice crystal images captured by the OAP probes. Note that contrary to the model, the estimated TWC is estimated from particles smaller than 6.4 mm. In the context of another airborne campaign, where IKP-2 (Isokinetic Total Water Content Evapora-



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tor, Strapp et al., 2016) probe was deployed, Fontaine et al. (2017) showed that the method used here to estimate the TWC overestimate it by about +16% compared to direct measurements of TWC with the IKP-2 probe.

Fig. 2c presents the time series of the fraction of hydrometeor types. The classification of these hydrometeors is carried out by the algorithm developed by Jaffeux et al. (2022), which employs a Convolutional Neural Network (CNN) to process non truncated particle images larger than 300  $\mu$ m, recorded by the 2DS probe. Particles are assigned to a specific hydrometeor type if the algorithm gives a probability of attribution exceeding 50% for that type.

Based on over 1 million particle images processed, Fig. 2c shows that columnar ice crystals (CO) are prevalent in areas with low radar reflectivities before 8:15 UTC. After that time, compact particles (CP) dominate, radar reflectivity increases (see Fig. 2a) and strong updrafts are measured (not shown here), which is characteristic of a convective region. Additionally, these areas exhibit the highest TWC values, suggesting that the riming process is particularly efficient in forming ice, which aligns with the occurrence of CP particles. It is also important to note that the fraction of water drops (WD) is almost negligible throughout the flight, with the exception of a localized peak reaching up to 20% near the melting layer (at 3.7 km).

## 130 3 Model setup

#### 3.1 Numerical experiment

Simulations of this study are performed using DESCAM bin microphysics scheme (Flossmann and Wobrock, 2010) implemented in the 3D dynamical model of Clark et al. (1996) and Clark (2003). DESCAM consists of six mass distribution functions: aerosol, drop, ice, rimed ice, aerosol particles inside ice crystals and drops, each including 39 bins (except 27 bins for rimed ice). The microphysics processes included in DESCAM are drop nucleation, deactivation, condensation, collision-coalescence, as well as heterogeneous and homogeneous ice nucleation, ice deactivation, vapor deposition growth, riming and aggregation.

Three SIP processes were recently implemented into DESCAM (see Grzegorczyk et al., 2025a, b). The first one is the Hallett-Mossop process (HM) which is set to 350 fragments per mg of rime at -5°C (Hallett and Mossop, 1974). The second is drop shattering during freezing (DS), following mode 1 and mode 2 of Phillips et al. (2018) parameterization. Finally, fragmentation due to ice-ice collisions (BRK) is based on Phillips et al. (2017b) formulation but with parameters derived from the laboratory study of Grzegorczyk et al. (2023). More details on ice microphysical processes, particularly the implementation of SIP parameterizations in DESCAM are presented in Grzegorczyk et al. (2025a), while a general description of the model is available in Flossmann and Wobrock (2010).

To assess the effects of SIP processes on both the liquid and ice phases, two simulations will be run, one with the three SIP processes activated (called 'SIP' simulation) and another without any SIP processes (called 'noSIP' simulation). The numerical setup is identical to the one used in Kagkara et al. (2020). It consists of three nested domains with horizontal resolutions of 8, 2, and 0.5 km. The vertical resolution of the three domains is non-equidistant with  $\Delta z = 40$  m near the ground and increasing continuously to  $\Delta z = 230$  m at 9 km. The third domain is centered over the Cévennes-Vivarais region, where ground and in situ measurements were conducted, as shown in Fig. 1. The simulations are performed on Sept. 26, 2012 from 00 UTC to 12





UTC with a time step  $\Delta t = 2$  s. The initiation and boundary conditions are forced from IFS ECMWF data at 6h intervals. Aerosol particle concentration and size distribution used for the model initiation are derived from measurements conducted by the ATR-42 aircraft, which flew 150 km further south of the cloud system. These measurements showed a concentration of  $3000 \text{ cm}^{-3}$  aerosol particles near the ground which corresponds to a polluted situation. This is consistent with the continental origin of the air masses coming from Spain (see Kagkara et al., 2020, for further details).

#### 3.2 Comparison with observations

Fig. 1a displays the flight track over the third domain of the model, while Fig. 1b shows the model area (indicated by the red dashed lines) selected for the comparisons with the in situ observations. Considering the selected area in Fig. 1b ensures that the cloud system is compared with aircraft observations from a corresponding region shown in Fig. 1a. Furthermore, the simulated ice water content (IWC) at 4.7 km altitude for 8:20 UTC is also shown in Fig. 1b. Airborne in situ observations at the same time illustrated in Fig. 1b confirm the presence of high TWC.

To compare simulation results to the in situ observations, only measurements taken at constant altitudes (indicated by the shaded areas in Fig. 2) were taken into account. Additionally, we only considered model grid points within the area shown in Fig. 1b, where TWC and vertical wind speeds ranged from 0.01 to  $2 \text{ g m}^{-3}$  and -4 to  $+3 \text{ m s}^{-1}$ , respectively, corresponding to the 5 th - 95 th percentile range of the airborne measurements. This approach ensures more robust comparability, as it excludes strong convective regions from the model wherein the aircraft did not fly for safety reasons.

The model results at 08:20 UTC are selected for comparison with the observations, as this time is right in the middle of the 2h period of in situ measurements. During this interval, the simulated cloud was developed at its mature stage and stationary over the Mountainous regions. Consequently, model results show only small variations during the time span from 07:30 to 09:30 UTC, and thus lead the same conclusions.

# 4 Results

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# 4.1 Mixed-phase properties

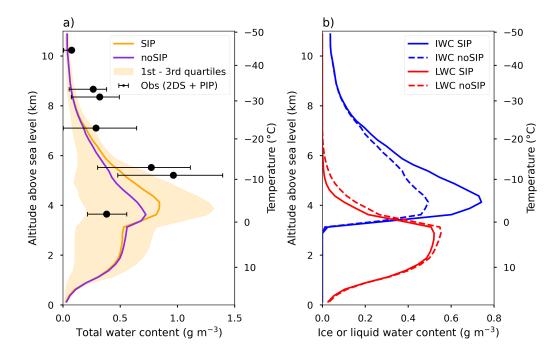
To evaluate whether DESCAM can reproduce the properties of the observed cloud system, Fig 3a shows the average total water content (TWC) profiles of the SIP and noSIP simulations compared with the mean observed TWC estimated from in situ measurements.

While the simulated TWC profiles follow a similar trend compared to the observed TWC, the amount of TWC for SIP and noSIP underestimates the values observed in some flight levels by about 50%. However, it is important to note that the observed TWC is based on indirect measurements which probably slightly overestimate the TWC (16% according to Fontaine et al., 2017), and should therefore be interpreted with caution. Nevertheless, both simulations are within the variability range of the observed TWC.



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**Figure 3.** a) Vertical mean profiles of total water content (TWC) for SIP and no SIP simulations as well as observed TWC. Error bars and shaded area show the 1st and 3rd quartiles of observations and SIP simulations respectively. b) Vertical mean profiles of ice water content (IWC) and liquid water content (LWC) for SIP and no SIP simulations. (Note that the x-axis are different).

Fig. 3a also shows that the SIP case has a higher TWC in the mixed phase region (0°C to -25°C) and slightly lower TWC in the liquid phase (T > 0°C) compared to noSIP case. These differences can be explained by the ice water content (IWC) and liquid water content (LWC) profiles (Fig. 3b). Indeed, within the mixed-phase regions, the SIP simulation gives higher IWC values, reaching a maximum of 0.74 g m<sup>-3</sup> at 4 km altitude compared to 0.49 g m<sup>-3</sup> in the noSIP simulation at the same height. In contrast, in both the liquid and the mixed-phase region, the LWC decreases by up to 0.05 g m<sup>-3</sup> in SIP simulation. These differences arise from the increased number of ice crystals in the SIP simulation (see Fig. 4) which enhances vapor deposition, riming and drop evaporation as reported by Dedekind et al. (2021) or Grzegorczyk et al. (2025b). This specific result will be further discussed in the following paragraphs.

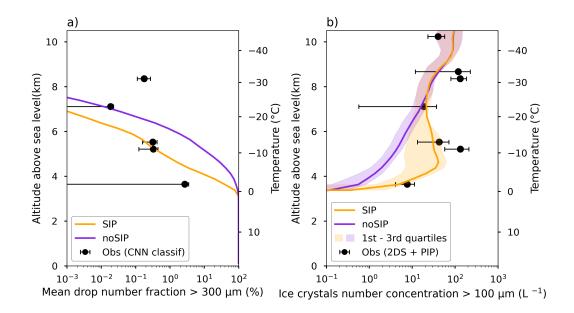
Fig. 4a shows the mean number fraction of water drops larger than 300  $\mu$ m from in situ observations, as well as from noSIP and SIP simulations. The observed fraction is obtained from the ratio of the particle number classified as water drops to the total number of other particle types by the CNN algorithm (Jaffeux et al., 2022). In Fig. 4a, the mean fraction of drops is lower than 10% which is consistent with Fig. 2c where the time series of water drop particle (WD) never exceeds 20% of the total particle number.

Fig. 4a shows that the SIP simulation gives a drop fraction that is one order of magnitude lower than the noSIP simulation across all altitudes. Consequently, for temperatures warmer than -20°C, the SIP simulation is closer to the observed drop





fraction, particularly near -10°C. However, around 0°C, the drop fraction in SIP simulation remains too high. This could be explained by the fact that melting is set to occur instantaneously (Planche et al., 2014, contrary to), which could lead to an overestimation of the supercooled drop number near 0°C. At higher altitudes, it is important to note that in two cases (at 8.5 km and 10 km), the observed drop fraction is zero, and that these points are therefore not represented in Fig. 4a. Consequently, we can not determine which simulation aligns more closely with the observations at temperatures colder than -20°C. Even if the same size ranges of hydrometeors are compared, the present analysis should be taken with caution, as the observed drop fraction is based on a CNN classification algorithm for 2DS probe images sampled by the aircraft in a limited portion of the cloud system.



**Figure 4.** a) Vertical mean profiles of the drop number fraction (> 300  $\mu$ m) from the SIP and noSIP simulations at 08:20 UTC compared with the fraction derived from the CNN classification. b) Vertical mean profiles of ice crystal number concentration (N<sub>ice</sub>) for particles larger than 100  $\mu$ m from both simulations and 2DS and PIP probes measurements. Error bars and shaded areas indicate the 1st and 3rd quartiles.

The mean observed and simulated ice crystals number concentration (N<sub>ice</sub>) for ice crystals over 100 μm is presented in Fig. 4b. Since the mean drop fraction larger than 300 μm observed in Fig. 4a is low (<3%), we consider all particles larger than 100 μm detected by the 2DS and PIP probes as ice crystals, comparable to N<sub>ice</sub> in DESCAM. As expected, N<sub>ice</sub> in the SIP simulation significantly increases for T > -20°C, reaching a maximum of 50 L<sup>-1</sup> at -5°C. Furthermore, N<sub>ice</sub> rises at 10 L<sup>-1</sup> near 0°C in the SIP simulation which is close to the observations, compared to only 0.5 L<sup>-1</sup> in the noSIP simulation. At -12°C, the SIP simulation gives 40 L<sup>-1</sup> which is significantly higher than 5 L<sup>-1</sup> in noSIP. However, at this temperature level, the observations report even higher values of 50 L<sup>-1</sup> and 100 L<sup>-1</sup>. At temperatures colder than -20°C, the N<sub>ice</sub> profiles from noSIP and SIP are nearly identical, matching with observations at -20°C and -45°C levels.



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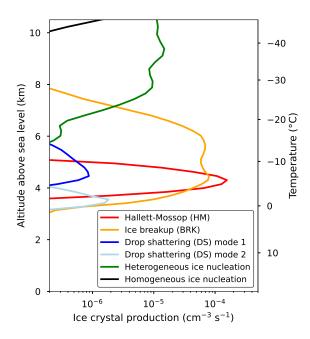


Figure 5. Vertical profiles of average ice crystal production rates from secondary and primary ice processes at 08:20 UTC for IWC =  $[0.01, 2] \text{ g m}^{-3}$  within the selected area inside the third domain (see Fig. 1b).

To further explain the variability of  $N_{ice}$  presented by Fig. 4b, Fig. 5 shows the mean vertical profiles of ice crystal production in the SIP simulation. In Fig. 5, Hallett-Mossop process (HM) is the most efficient process, with its maximum (around 3 ×  $10^{-4}$  cm<sup>-3</sup> s<sup>-1</sup>) occurring at -5°C where  $N_{ice}$  and also IWC are reaching their maximum (see Fig. 4b and Fig. 3b). Even though the maximum production rate of fragmentation due to ice-ice collisions (BRK) is about 3 times lower than HM, BRK remains efficient from 3.5 to 8 km with up to  $10^{-4}$  cm<sup>-3</sup> s<sup>-1</sup>. Regarding the process of drop shattering during freezing (DS), mode 2 is efficient close to the melting layer when T > -5°C conjointly with BRK, while mode 1 is two orders of magnitude lower than BRK and HM below -5°C. The limited efficiency of DS is coherent with the low drop number fraction (D > 300  $\mu$ m) presented in Fig. 4a, indicating an insufficient number of raindrops to make DS as effective as HM or BRK. While the rate of heterogeneous ice nucleation is around  $10^{-7}$  cm<sup>-3</sup> s<sup>-1</sup> at temperatures warmer than -10°C (not represented in Fig. 5), this process becomes dominant at temperatures colder than -20°C, when SIP processes are less efficient. It is also important to note that homogeneous ice nucleation is especially effective next to the cloud top.

The results depicted by Fig. 5 show that HM and BRK are the most productive processes which is consistent with the conclusions of Grzegorczyk et al. (2025b) for the tropical deep convective cloud case simulated by DESCAM. However, in the present case, HM process plays a more significant role, producing 38% of ice particles (compared to 17% in Grzegorczyk et al., 2025b) while BRK account of 59% (compared to 81% in Grzegorczyk et al., 2025b). Furthermore, all production rates for this case are one order of magnitude lower than in the deep convective cloud case of Grzegorczyk et al. (2025b). As the

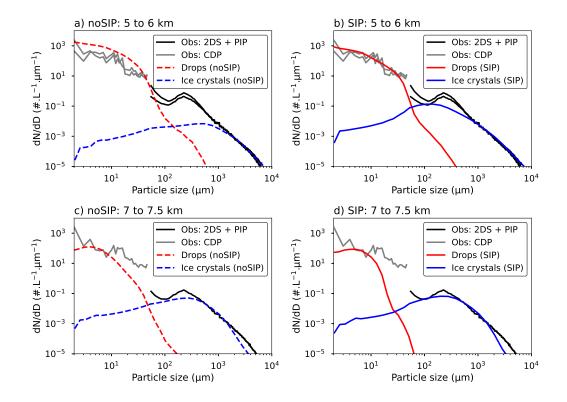


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IOP7a cloud case is less convective, it results in smaller IWC which may inhibit SIP and the BRK process, which depends on the number and mass of colliding ice particles. For the other processes, similarly to Grzegorczyk et al. (2025b), we found that DS produced only 2% of ice crystals and, heterogeneous ice nucleation accounted for 1% for T > -30°C.



**Figure 6.** Mean drop and ice crystal particle size distributions (PSDs) of noSIP and SIP simulations from 5 to 6 km in (a) and (b), compared with the mean measured PSDs of merged 2DS and PIP probes (for D > 50  $\mu$ m) and CDP probe (for D < 50  $\mu$ m) at 5.2 and 5.5 km. The same results are presented for both simulations from 7 to 7.5 km in (c) and (d) and compared with observations at 7.1 km.

To highlight the features of ice and liquid cloud phases, Fig. 6 shows the modeled mean particle size distributions (PSDs) of ice crystals and drops for SIP and noSIP simulations at altitudes of 5 to 6 km and 7 to 7.5 km, corresponding to three steady flight altitudes in Fig. 2. These modeling results are compared with the composite PSD (merged observations of PIP + 2DS probes) and the size distribution for droplets smaller than 50  $\mu$ m from the CDP probe, observed at similar altitudes (i.e., 5.2 km, 5.5 km, and 7.1 km).

In Fig. 6a, at 5.5 km (-12°C), the noSIP simulation underestimates the number concentration of ice crystals smaller than 2 mm compared to the observations (2DS + PIP) as assessed in Kagkara et al. (2020). Consequently, the mode of the ice crystal PSD is close to 700  $\mu$ m for this simulation while it is observed at 200  $\mu$ m by the measurements. Additionally, the noSIP simulation overestimates the droplet concentration by approximately three times compared to the CDP measurements. The SIP simulation (Fig. 6b) provides a higher concentration of small ice crystals, up to 30 times more than noSIP close to 100  $\mu$ m,



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which better matches the observed PSD at 5.5 km. However, the concentration of ice crystals near 200  $\mu$ m still appears to be underestimated by a factor of 3. Furthermore, in SIP simulation, the concentration of droplets smaller than 50  $\mu$ m is lower and thus in better accordance with the CDP probe measurements which indicate around 4500 L<sup>-1</sup>.

Fig. 6c and 6d also show drop and ice particle size distributions for the noSIP and SIP simulations but for altitudes between 7 and 7.5 km (i.e. around -22°C). For this level, the results from SIP and noSIP simulations do not differ significantly, consistent with the fact that heterogeneous ice nucleation becomes more dominant than SIP processes below -20°C (see Fig. 5). This shows that the cloud properties were already well represented without any SIP processes at this level. However, including SIP leads to a significant decrease in the number of droplets with D > 10  $\mu$ m, probably due to their consumption at lower levels by riming or depositional growth of ice crystals generated by SIP. Consequently, this reduces the agreement with the CDP measurement. This result is also coherent with the lower drop fraction given in the SIP simulation for this level (see Fig. 4a) compared to observations.

It is still challenging to distinguish small ice crystals from droplets smaller than 100  $\mu$ m from in situ observations. Our results from Fig. 6 seem to indicate that particles detected by the CDP below 50  $\mu$ m are mainly liquid droplets. This further confirms that considering particles larger than 100  $\mu$ m as ice crystals from the observations in Fig. 4b is appropriate. However, the current results regarding the partitioning of small ice crystals and liquid droplets (from the CNNor the CDP probe) should be interpreted with caution, as they require further validation using in situ probes capable to distinguishing the phase type of small hydrometeors.

## 4.2 Rain properties

#### 4.2.1 Precipitation distribution

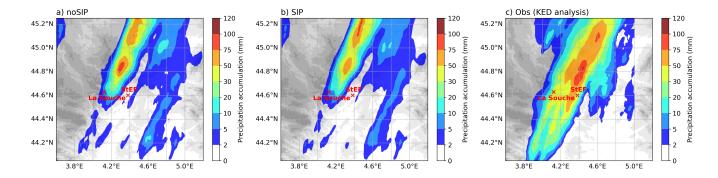
Fig. 7 shows the accumulated precipitation between 06:00 and 12:00 UTC for both simulations and observations (provided by the KED analysis) within the third simulation domain. The simulated precipitation fields (Figs. 7a and 7b) closely match those obtained previously with DESCAM by Kagkara et al. (2020) and Arteaga et al. (2020) in terms of precipitation amount and location. Compared to the observations (Fig. 6c), both simulated precipitation fields are narrower and underestimate the amount of precipitation in the southern part of the domain (at the south of the two domain). Despite this, the simulated precipitation distribution at the ground (Fig. 7a,b) is analogous to the observations (Fig. 7c) since two maxima are visible. The first precipitation maximum (at 4.3°E, 44.8°N) is more pronounced in the noSIP simulation (Fig. 7a) compared to the SIP simulation (Fig. 7b), while the second one (at 4.4°E, 45.1°N) is stronger in the SIP simulation. While the spatial difference in precipitation maxima between the two simulations may arise from the influence of SIP on convection, as showed in some previous studies (Dedekind et al., 2021; Karalis et al., 2022; Qu et al., 2022; Grzegorczyk et al., 2025b), only a slight intensification of convection at the active SIP altitude have been found for this case of orographic convection. Consequently, the impact of SIP on precipitation is more likely due to changes in microphysical properties than convection.

To further investigate the precipitation properties, Fig. 8 presents the normalized frequency of the simulated and observed precipitation accumulation. First, in Fig. 8, both simulations gives lower frequencies of precipitation accumulations greater



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**Figure 7.** Precipitation accumulation (6:00 – 12:00 UTC) in the third domain for the noSIP simulation (a), SIP simulation (b) and observations using KED analysis (c). The locations of the La Souche and Saint-Étienne de Fontbellon (StEF) disdrometers are represented by red crosses.

than 15 mm compared to the observations (around 20% less). Secondly, Fig. 8 shows that both simulations produce comparable precipitation frequencies below 40 mm, while above this value, the SIP simulation predicts less precipitation. As a result, the SIP simulation results in 8% less total precipitation and 20% less heavy precipitation exceeding 40 mm compared to noSIP. Similar conclusions are found for the deep convective cloud case of Grzegorczyk et al. (2025b), where the total precipitation amount is reduced by 15% and the accumulated precipitation exceeding 40 mm is reduced by 25% due to the presence of SIP. The effect of SIP on precipitation is therefore more pronounced for the deep convective case studied in Grzegorczyk et al. (2025b) compared to the present study. This could be due to the fact that the production rates of ice crystals by SIP (Fig. 5) are here 10 times lower than those in Grzegorczyk et al. (2025b).

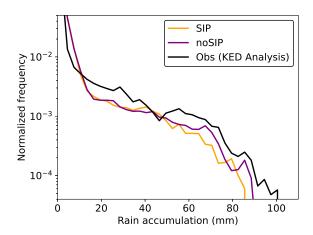


Figure 8. Normalized frequency of simulated (noSIP and SIP) and observed (KED Analysis) rain accumulation from 06:00 to 12:00 UTC.

Several other studies have found that SIP reduces precipitation: Dedekind et al. (2021) reports a decrease of regions with invigorated precipitation rates for an alpine mixed-phase orographic clouds; Phillips et al. (2017a) show a reduction in accumu-



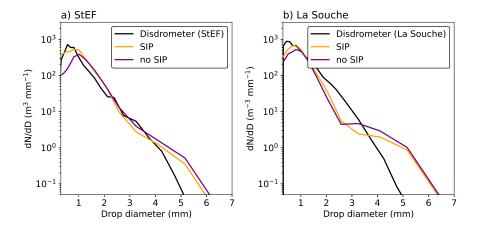
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lated precipitation of 20 to 40% due to BRK for a convective storm; similarly, Han et al. (2024) indicates a reduction in surface precipitation by up to 20% for a deep convective cloud case; Hoarau et al. (2018) shows a decrease in surface precipitation depending on the intensity of the BRK process for a thunderstorm. Conversely, two other studies report the opposite effect: Sullivan et al. (2018) found that SIP enhances precipitation rate in convective regions of a cold frontal system; Georgakaki et al. (2022) depict an increase in mean surface precipitation by up to 30% due to SIP for wintertime alpine mixed-phase clouds.

## 4.2.2 Drop size distributions

Fig. 9 shows the mean drop size distributions (DSDs) from the two disdrometers (locations indicated in Fig. 7) as well as those from the noSIP and SIP simulations. However, as precipitation is absent in the southern part of the 3rd domain in Fig. 7, it is not possible to directly compare the simulated DSDs with the disdrometer measurements at their exact locations. Consequently, to perform the comparison, we selected model grid points at the surface, whose elevations were close (within ± 150 m) to those of the distrometers. Disdrometer data were taken at 8:30 UTC for StEF and 9:40 for La Souche. These times correspond to the strong precipitation periods recorded by the disdrometers, as described in section 2.2. Furthermore, mean observed and modeled DSDs are compared for rain intensities between 10 to 20 mm h<sup>-1</sup>. This comparison method is similar to the one used in Kagkara et al. (2020).



**Figure 9.** Mean drop size distributions (DSDs) for noSIP and SIP simulations compared to disdrometer observations at Saint-Étienne de Fontbellon (StEF) a) and La Souche b) for rain intensities between 10 to 20 mm h<sup>-1</sup>. Model results are taken at elevations close (within  $\pm$  150 m) to those of the distrometers stations. Disdrometer data were taken at 8:30 UTC for StEF and 9:40 for La Souche.

For both disdrometers (Figs. 9a and 9b), the SIP simulation exhibits a higher number of drops with D < 2 mm, while the number of larger drops with D > 3 mm decreases in comparison to the noSIP simulation. Although including SIP gives a DSD in better accordance with the observations compared to noSIP, it does not sufficiently match the observations. Indeed, the slopes of both simulated DSDs show a sudden change at 3 mm diameter, resulting in an overestimation of the number of drops larger than 4 mm compared to the observations. For La Souche (Fig. 9b), even if SIP increases the number of small drops, the





simulated DSD peaks at  $7 \times 10^2~\text{m}^{-3}~\text{mm}^{-1}$  for 0.80 mm drops, whereas the observed DSD peaks at  $10 \times 10^2~\text{m}^{-3}~\text{mm}^{-1}$  for 0.45 mm drops.

The change in the DSD slope at 3 mm and the associated overestimation in the number of larger drops may arise from an inappropriate representation of the coalescence or collision efficiency. The coalescence efficiency currently implemented in DESCAM for D > 0.8 mm is derived from Beard and Ochs (1995) and the collision efficiency is based on Hall (1980). Furthermore, the simulations performed in this study were conducted without considering the collisional raindrop breakup process (Low and List, 1982) (currently under implementation), which might explain the underestimation of small drops number concentration in our results. Even if the total rain amounts are reasonnably represented in our simulations, a detailed investigation of drop collision, coalescence and breakup processes needs to be done in DESCAM to address the misrepresentation of the DSDs.

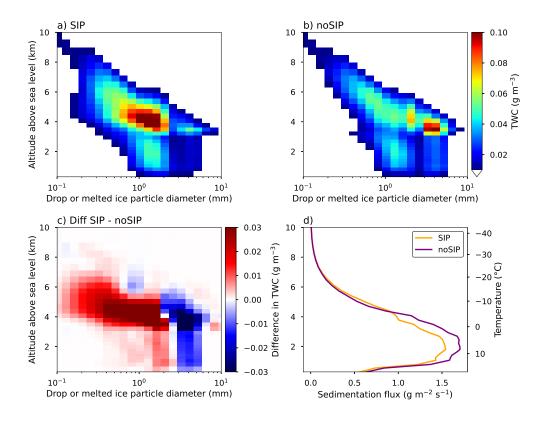


Figure 10. Mean total water content (TWC) as function of the altitude and drop diameter or melted ice particle diameter in SIP a) and noSIP simulation b). The differences between panel a) and b) are illustrated in c). Sedimentation fluxes of SIP and noSIP simulation as function of the altitude are shown in d).



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Figs. 10a,b show the mean vertical profile of the total water content (TWC) for the SIP and noSIP simulations obtained in mass bins (i.e. drop or melted ice diameter) of the distribution used in DESCAM (see Section 3) to described the hydrometeors. The TWC of the SIP simulation (Fig. 10a) reaches a maximum (up to 0.1 g m<sup>-3</sup>) for hydrometeors sizes close to 1 mm at 4 km altitude, whereas for the noSIP simulation (Fig. 10b), this maximum occurs for larger particles sizes (around 4 mm). To further highlight this change in mass distribution of ice and liquid water, Fig. 10c shows the differences in TWC between the SIP (Fig. 10a) and noSIP (Fig. 10b) simulations. Fig. 10c confirms that SIP processes cause a shift in condensed mass towards smaller particle sizes in the cloud mixed-phase (up to -20°C). This shift may result from the increased concentration of small ice crystals (< 2 mm melted equivalent diameter) which triggers riming and vapor deposition (as presented in Fig. 8 of Grzegorczyk et al., 2025b), thereby enhancing the TWC at smaller particle diameters. Furthermore, the ice mass resulting from vapor deposition or riming is distributed over a larger number of ice crystals when SIP is active, leading to competition between crystals across different sizes, as highlighted by Phillips et al. (2017a). This could explain why Fig. 10c shows a smaller amount of condensed mass in the SIP simulation for particles larger than 3 mm compared to the noSIP simulation.

Fig. 10c shows that the TWC shift is also present in the liquid phase (T > 0°C below 3.7 km) for the same particle masses (or equivalent melted diameters) as those of the overlying mixed phase region with a maxima at 2 mm and minima at 4 mm. The TWC differences are visible from the melting layer to the ground which is coherent with the differences in DSDs obtained in SIP and noSIP (Fig. 9). One reason for the shift in the liquid mass distribution is certainly a consequence of the melting of the numerous smaller ice crystals present in SIP simulation. In addition, the increased number of small drops (< 3 mm) in the SIP case could enhance drop condensation at smaller diameters while reducing it at larger diameters due to competition between drops, as previously mentioned for ice crystals.

Fig. 10d shows the vertical profile of the sedimentation fluxes of ice crystals and drops. In Fig. 10d, sedimentation is found to be up to 15% lower in the SIP case compared to noSIP at altitudes lower than 5 km. Although the mean LWC profile is similar between noSIP and SIP (Fig. 3b), the shift in condensed mass distribution toward smaller diameters in the SIP simulation (Fig. 10c), along with the fact that small drops fall slower than larger ones, probably explains the reduction of the sedimentation flux (Fig. 10d) and the precipitation accumulation in the SIP simulation (Fig. 8). Additionally, the reason of the stronger reduction of heavy precipitation (20 % for rainfall accumulation > 40 mm) by SIP compared to total precipitation, may be due to the fact that intense rainfall events are often associated with deep convection and high total water content (TWC) which are favorable conditions for SIP (see Korolev et al., 2020).

#### 340 5 Conclusions

This study examines how secondary ice production (SIP) influences the cloud and rain microphysical properties of the IOP7a heavy precipitation event encountered during the HYMEX campaign which took place in September 2012 in southern France over the Cévennes-Vivarais mountainous region. Numerical experiments with SIP switched on and off (SIP and noSIP simulation) are conducted using the 3D bin microphysics scheme DESCAM. The SIP simulation encompasses Hallet-Mossop (HM), fragmentation due to ice-ice collision (BRK) and fragmentation of freezing drops (DS) processes. First, the simulated



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mixed-phase properties are compared to in situ aircraft observations obtained from 2DS and PIP optical array probes as well as CDP probe. Secondly, the influence of SIP on rainfall properties is evaluated by comparing simulations to ground-based observations, including disdrometer and quantitative precipitation estimates. Finally, the physical mechanisms driving the changes in rainfall properties are examined in detail.

Our results show that, including SIP increases the mean concentration of ice crystals ( $N_{ice}$ ) from 0°C to -20°C, reaching up to 60 L<sup>-1</sup> at 4.5 km, which is 30 times higher than the noSIP simulation. The simulated particle size distribution (PSD) of ice crystals from the SIP simulation aligns well with the observed PSD from the 2DS and PIP probes, showing an increase in  $N_{ice}$  for ice crystals smaller than 2 mm diameter compared to the noSIP case. However, the number of ice crystals near 200  $\mu$ m (mode of the PSD) is slightly underestimated in the SIP simulation which might explain why  $N_{ice}$  is in some cases lower than measurements peaking up to  $N_{ice}$ =100 L<sup>-1</sup>.

Modeling results indicate that Hallett-Mossop (HM) process gives the highest production rate of ice crystals at -5°C, while ice-ice breakup (BRK) is four times lower than HM at this temperature but more efficient across a broader altitude range (up to -25°C). Overall, for temperatures warmer than -30°C, the SIP simulation shows that 38% of ice crystals are generated by HM, 59% by BRK, and only 2% and 1% by drop shattering (DS) and heterogeneous nucleation.

Observations from 2DS and CDP probes showed an increase in particle concentration below 100  $\mu$ m, consistent with the presence of liquid droplets in the model. Indeed, an analysis of the 2DS probe images using a convolutional neural network (CNN) to classify hydrometeor types with diameters > 300  $\mu$ m, shows that drops represent less than 10% of hydrometeors. Furthermore, the SIP simulation reveals a reduction of the number fraction of drops (with D > 300  $\mu$ m), leading to a better agreement with the observed drop fraction retrieved from the CNN classification. However, for temperatures colder than -20°C, SIP appears to reduce the droplet number concentration too much compared with the CDP probe measurements and the results of the CNN classification. It is important to note that the changes in liquid and ice partitioning caused by SIP could significantly influence the radiative properties of mixed-phase clouds (Matus and L'Ecuyer, 2017).

Compared to the results of the quantitative precipitation estimates derived by the method of Boudevillain et al. (2016), noSIP and SIP simulations underestimate both the total amount of precipitation. This result is similar to those of the two previous studies on the HYMEX IOP7a case conducted with DESCAM (Arteaga et al., 2020; Kagkara et al., 2020). Additionally, the drop size distributions (DSD) of SIP and noSIP simulations show an overestimation of rain drops larger than 4 mm and an underestimation of rain drops smaller than 3 mm, compared to the disdrometers observations.

When SIP is included, the total precipitation amount is reduced by 8% while strong rainfall accumulation exceeding 40 mm decreases by 20%. Additionally, including SIP leads to a rise in drop number smaller than 2 mm as well as a reduction in drop number larger than 3 mm. By analyzing the vertical structure of the total water content (TWC) and the corresponding mass size distributions, we find that SIP induced a shift of the TWC mass toward smaller particle diameters. This effect seems induced by the high concentration of ice crystals produced by SIP, which triggers riming or vapor deposition at smaller diameters. As a result of the competition between small and large ice crystals, less mass condenses into larger ice crystals when SIP is active. A similar shift in TWC is observed in the liquid phase, coming from the melting of ice particles. As liquid water mass shifts toward smaller drops which fall more slowly than large ones, the sedimentation flux becomes reduced (by up to 15%), further



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diminishing precipitation accumulation. Given that SIP is particularly effective in convective conditions, it might explain why its impact is especially pronounced for heavy rainfall (20% reduction for rainfall exceeding 40 mm).

The effects of SIP depicted here, are similar to those presented in Grzegorczyk et al. (2025a, b) for an idealized tropical deep convective cloud corresponding to the HAIC/HIWC campaign (Fontaine et al., 2020; Hu et al., 2021). However, in the present study, the HM process plays a more important role while the reduction in precipitation accumulation is slightly lower compared to Grzegorczyk et al. (2025b). Therefore, the convection depth as well as the cloud type seems to influence the importance and effect of SIP processes.

This study demonstrates the importance of SIP for the cloud mixed-phase as well as its significant effect on the rainfall properties of a heavy precipitation event. While SIP improves the agreement between simulated and observed DSDs, rain processes such as drop collision, coalescence, and breakup need to be reevaluated in DESCAM to better fit with the observations. Furthermore an accurate quantification of SIP processes is still lacking, the current results should be taken with caution. Future laboratory and field studies should focus on better quantifying SIP processes to improve parameterizations used in microphysical schemes.

Data availability. Falcon 20 aircraft and ground based observations of the HYMEX IOP7a case are available in https://mistrals.sedoo.fr/en/HyMeX/

for aircraft position and air temperature (https://mistrals.sedoo.fr/catalogue/?uuid=c5fe564f-e05a-3e5c-48e2-6e0b687976a3), CDP probe
(http://dx.doi.org/10.6096/MISTRALS-HyMeX.1228), composite PSD of 2DS and PIP probe (http://dx.doi.org/10.6096/MISTRALS-HyMeX.1225),
Pluviometric KED reanalysis (https://mistrals.sedoo.fr/catalogue/?uuid=c4804e27-d5f2-3883-3b9b-ba31e31593b8), disdrometers at La Souche
(http://dx.doi.org/10.17178/OHMCV.DSD.SOU.12-16.1) and Saint-Étienne de Fontbellon (http://dx.doi.org/10.17178/OHMCV.DSD.SEF.1216.1. The convolutional neural network program used to process the 2DS data is available in https://github.com/LJaffeux/JAFFEUX\_et\_al\_AMT\_2024)

400 Competing interests. The authors declare no competing interest.

Author contributions. PG: Draft the original manuscript, conducted the run and analysis of the numerical simulations, analyzed the observational datasets, and contributed to the conceptualization of the study. WW: Edited the manuscript, performed numerical simulations, and conceptualized the study. AD: Edited the manuscript and analyzed the observational datasets. CP: Edited the manuscript, conceptualized the study, supervised the project and acquired the funding.

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