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13 Abstract

14 Soil moisture plays a critical role in the land–atmosphere coupling system. It is
15 replenished by precipitation and transported back to the atmosphere through land
16 surface evaporation and vegetation transpiration. Soil moisture is, therefore, influenced
17 by both precipitation and evapotranspiration, with spatial heterogeneities and seasonal
18 variations across different ecological zones. However, the effects of precipitation
19 volume, frequency, and evapotranspiration on soil moisture at different temporal scales
20 still remain poorly understood. Negative correlations between soil moisture and
21 precipitation have been observed in different ecosystems of the Northern Hemisphere.
22 In this study, the response of soil moisture to precipitation from 2000 to 2019 was
23 investigated using reanalysis data to determine the factors driving the negative
24 correlations. The joint distributions of precipitation and soil moisture were analyzed at
25 monthly and annual scales, using soil moisture and precipitation data from ERA5-Land
26 and Global Precipitation Climatology Project, respectively. Nonlinear negative
27 dependencies of soil moisture to precipitation were revealed. Based on Ridge regression
28 models and Bayesian generalized non-linear multivariate multilevel models, these
29 negative dependencies were shown to be most prominent in temperate grasslands,
30 savannas, shrublands, deserts, xeric shrublands, and tundra regions and driven by the
31 land surface temperature and by the air temperature–gross primary production
32 relationship at the monthly scale. Additionally, the negative dependence was attributed
33 to soil property changes induced by freeze–thaw processes, precipitation seasonality,
34 and temperature fluctuations, which cause asynchronous variations between soil
35 moisture and precipitation at the seasonal scale. At the annual scale, the negative
36 dependence was linked to long-term shifts in global precipitation and temperature
37 patterns, which affect vegetation structure and surface characteristics, thereby reducing
38 soil water capacity. These findings enhance the understanding of land–atmosphere
39 interactions providing a valuable basis for future research on drought,
40 hydrometeorology, and ecological conservation.

41 Keywords: climate change, precipitation, soil moisture, ecoregions



42 1. Introduction

43 Soil moisture is a critical source of water for vegetation growth, replenished by
44 precipitation and groundwater, and returned to the atmosphere through
45 evapotranspiration. It plays a key role in weather conditions, vegetation dynamics, and
46 groundwater storage (Li et al. 2022; Qiao et al. 2023; Vereecken et al. 2008; Zhou et al.
47 2021), with significant implications for the global climate. Surface soil moisture
48 regulates the distribution of available energy at the land surface and exchanges energy
49 with the near-surface atmosphere through sensible and latent heat fluxes, thereby
50 controlling the surface energy balance (Haghighi et al. 2018; McColl et al. 2017). In
51 contrast, deep soil moisture is more directly influenced by vegetation growth,
52 particularly by the development of plant roots, which play a crucial role in the vertical
53 infiltration of precipitation into deeper soil layers (Szutu and Papuga 2019; Xiao et al.
54 2024; Xue and Wu 2024).

55 Precipitation variability, which refers to the amplitude of precipitation fluctuations
56 over different times, influences soil moisture and thereby land surface coupling (Koster
57 et al. 2009; Taylor et al. 2012). Precipitation patterns are reported to have undergone
58 significant changes in recent decades (Lv et al. 2023; Mao et al. 2022; Wu et al. 2021),
59 mainly manifested as anthropogenic amplification of precipitation variability (Zhang et
60 al. 2024). The increase in the frequency of extreme precipitation events (Myhre et al.
61 2019; Wang et al. 2022) and decrease in the frequency of smaller precipitation events
62 (Ma et al. 2015) amplify soil moisture fluctuations and prolong the moisture stress
63 periods between consecutive precipitation events (Knapp et al. 2008). This can directly
64 affect vegetation growth and soil moisture responses (Feldman et al. 2024; He et al.
65 2023), particularly through changes in the duration and intensity of soil evaporation and
66 plant transpiration (Gu et al. 2021; Wullschleger and Hanson 2006). Soil moisture has
67 been shown to be negatively correlated with precipitation in certain regions, based on
68 Pearson correlation analyses (Cook et al. 2006; Yang et al. 2018). The changes in soil
69 moisture at different depths also show notable discrepancies (Shen et al. 2016; Zhu et
70 al. 2014). Surface soil moisture has been shown to respond to precipitation



71 approximately a month earlier than deeper soil moisture, with a more pronounced
72 positive correlation between precipitation and soil moisture occurring at depths greater
73 than 50 cm (Zhang et al. 2020).

74 Most current analyses of the relationship between soil moisture and precipitation
75 assume a linear relationship. In reality, the response of soil moisture to precipitation is
76 extremely complex and often nonlinear (Drager et al. 2022). The nonlinear dependence
77 of soil moisture to precipitation is currently not well understood. Moreover, the factors
78 driving this negative dependence between soil moisture and precipitation remain poorly
79 understood due to the complicated land atmosphere coupling processes, particularly in
80 the Northern Hemisphere where different types of vegetation coverage are present.
81 Among the methods used to explore nonlinear relationships, the copula function is one
82 of the most widely applied approaches for modeling the joint distributions of
83 precipitation and soil moisture (Cammalleri et al. 2024). The copula is a stochastic
84 model that can reveal nonlinear and asymmetric dependence structures, which are
85 difficult to capture using traditional linear methods. It provides a flexible framework
86 for modeling joint distributions of multiple variables, allowing for a more precise
87 understanding of the evolving dependence of soil moisture on precipitation than that
88 offered by traditional linear regression and correlation methods.

89 In terms of the water cycle, soil moisture is replenished by precipitation and
90 groundwater, while also being absorbed by plant roots and lost through
91 evapotranspiration. Therefore, the change of soil moisture is actually simultaneously
92 influenced by precipitation volume, frequency, and evapotranspiration. However, the
93 response of soil moisture to precipitation and evapotranspiration varies across different
94 time scales. The long-term effects of changes in evapotranspiration and precipitation
95 on soil moisture are further shaped by seasonal transitions, with significant differences
96 observed at different soil depths (Szutu and Papuga 2019). These differences are
97 influenced by factors such as soil freeze–thaw processes and vegetation community
98 structure. Therefore, the relative contributions of evapotranspiration, precipitation
99 volume, and frequency to soil moisture changes should be quantified at different time



100 scales.

101 Although previous studies have identified the mechanisms of soil moisture
102 variation across different time scales (Shen et al. 2018; Vidana Gamage et al. 2020),
103 large-scale regional patterns of soil moisture response to precipitation frequency remain
104 poorly explored, especially in the context of climate change. In particular, the
105 dependence of soil moisture to precipitation and its interactions with evapotranspiration
106 under conditions of frequent extreme climate events require further investigation.

107 The aim of this study was to explore the nonlinear responses of soil moisture to
108 precipitation at monthly and annual scales from 2000 to 2019, with a focus on the
109 Northern Hemisphere where vegetation coverage is abundant. The joint distribution of
110 precipitation and soil moisture was established to examine differences in soil moisture
111 responses to precipitation and the varying influences of precipitation volume, frequency,
112 and evapotranspiration on soil moisture at monthly and seasonal scales. The gross
113 primary productivity (GPP), land surface temperature (LST), and near-surface air
114 temperature (T_a) were selected as key driving factors in a Bayesian model, since the
115 dependence between precipitation and soil moisture is influenced by factors such as
116 vegetation growth, temperature, and soil properties. The driving factors and regional
117 characteristics of the negative correlation observed between precipitation and soil
118 moisture in certain regions were identified. This study enhances the understanding of
119 complex interactions between key meteorological factors such as precipitation,
120 evapotranspiration, and soil moisture under climate change, and provides a basis for
121 future land–atmosphere coupling system modeling.

122 **2. Material and Method**

123 **2.1 Material**

124 **2.1.1 Soil moisture**

125 The soil moisture data used in this study were obtained from the fifth generation
126 of reanalysis from the European Centre for Medium-Range Weather Forecasts
127 (ECMWF), using atmospheric forcing to control the simulated land field variables and



128 provide the land components (ERA5-Land) (Muñoz Sabater 2019). ERA5-Land
129 provides a consistent description of the evolution of the energy and water cycles over
130 land, and therefore, has been widely used in various land surface applications such as
131 flood or drought forecasting (Joaquín Muñoz-Sabater 2021). The ERA5-Land soil
132 moisture data are available for four layers, 0 to 7, 7 to 28, 28 to 100, and 100 to 289 cm,
133 at a $0.1^\circ \times 0.1^\circ$ spatial and hourly temporal resolution from 1950 to present. The soil
134 moisture from the first three soil layers during 2000 to 2019 were used. They were
135 resampled to a $0.25^\circ \times 0.25^\circ$ spatial resolution and averaged to daily, monthly, and
136 yearly scales to be consistent with other variables in this study.

137 2.1.2 Precipitation

138 The Global Precipitation Climatology Project (GPCP) is a global precipitation
139 project that integrates infrared and microwave data from multiple geostationary and
140 polar-orbiting satellites, and corrected by many meteorological station observations
141 (Adler et al. 2003; Huffman and Bolvin 2013). It is an important component of the
142 Global Energy and Water Cycle Experiment in the World Climate Research Programme.
143 A daily precipitation field with a $1^\circ \times 1^\circ$ resolution since 1996 was generated by
144 integrating the satellite products and then adjusting the daily precipitation by monthly
145 data observed from the ground to make it consistent with the meteorological
146 observations. Daily precipitation was resampled to a $0.25^\circ \times 0.25^\circ$ spatial resolution
147 and then used to calculate the total precipitation volume and precipitation frequency at
148 the monthly, seasonal, and annual scale from 2000 to 2019.

149 2.1.3 Covariate variables

150 2.1.3.1 Gross primary production

151 The gross primary production (GPP) dataset was from the Vegetation Optical
152 Depth Climate Archive v2, which used microwave remote sensing estimates of
153 vegetation optical depth to estimate the GPP at the global scale for the period 1988 to
154 2020 (Wild et al. 2022). These GPP data were trained and evaluated against FLUXNET
155 in-situ observations and compared with largely independent state-of-the-art GPP



156 datasets from the Moderate Resolution Imaging Spectroradiometer (MODIS). The
157 Vegetation Optical Depth Climate Archive v2 GPP dataset has a $0.25^\circ \times 0.25^\circ$ spatial
158 and half-monthly temporal resolution, covered from 2000 to 2019.

159 2.1.3.2 Near surface air temperature

160 The air temperature data (T_a) were obtained from the Climatic Research Unit
161 gridded Time Series (CRU TS), which is one of the most widely used climate datasets
162 and is produced by the National Centre for Atmospheric Sciences in the United
163 Kingdom. CRU TS v4.07 was derived by the interpolation of monthly climate
164 anomalies from extensive networks of weather station observations (Harris et al. 2020).
165 It provides monthly land surface data from 1901 to 2020 at a $0.5^\circ \times 0.5^\circ$ resolution
166 worldwide. The mean temperatures at the monthly, seasonal, and annual scales during
167 2000 to 2019 were calculated and resampled to a $0.25^\circ \times 0.25^\circ$ spatial resolution.

168 2.1.3.3 Land surface temperature

169 Land surface temperature (LST) data were accessed from the European Space
170 Agency Climate Change Initiative (CCI), which is funded by the European Space
171 Agency as part of the Agency's CCI Program. It aims to significantly improve current
172 satellite LST data records to meet the challenging Global Climate Observing System
173 requirements for climate applications and realize the full potential of long-term LST
174 data for climate science (Hollmann et al. 2013). These data were the first global LST
175 climate data records of over 25 years at a $0.25^\circ \times 0.25^\circ$ resolution and with an expected
176 error within 1 K. The LST dataset included ascending and descending orbit data, which
177 were used to calculate the mean value of separate annual and monthly averages during
178 2000 to 2019.

179 2.1.3.4 Evapotranspiration

180 Evapotranspiration data were accessed from the Global Land Evaporation
181 Amsterdam Model (GLEAM) v3.8a, which provides data of the different components
182 of land evapotranspiration, including transpiration, bare-soil evaporation, interception
183 loss, open-water evaporation, and sublimation, in addition to other related variables
184 such as surface and root-zone soil moisture, sensible heat flux, potential evaporation,

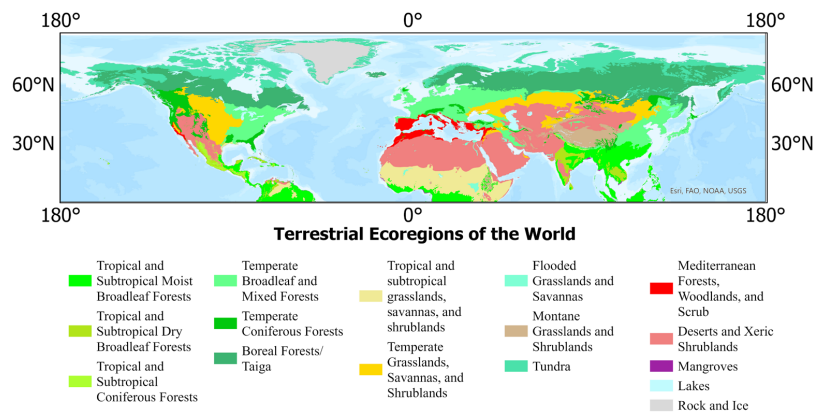


185 and evaporative stress conditions (Miralles et al. 2011). The monthly, seasonal, and
186 annual averages during 2000 to 2019 were calculated based on a $0.25^\circ \times 0.25^\circ$ spatial
187 resolution.

188 2.1.3.5 Terrestrial ecoregions

189 Data on terrestrial ecoregions around the globe were accessed from the
190 Conservation Biology Institute (Olson et al. 2001). These ecoregions are relatively large
191 units of land containing distinct assemblages of natural communities and species, with
192 boundaries that approximate the original extent of natural communities prior to major
193 land-use changes. The delineations were completed based on hundreds of previous
194 biogeographical studies and were refined and synthesized using existing information in
195 regional workshops over the course of 10 years to assemble the global dataset (Olson
196 et al., 2001). An ecological layer file encompassing 16 major categories was
197 downloaded.

198 All of the T_a , LST, GPP, soil moisture, and precipitation datasets were masked by
199 these 16 terrestrial ecoregions (Fig. 1) in a 0.25° grid, and monthly, seasonal, or annual
200 mean values in the regions were calculated separately.



201

202

Fig. 1 The 16 Terrestrial Ecoregions of the Northern Hemisphere.



203 2.2 Method

204 2.2.1 Joint distribution

205 In this study, the joint distribution between precipitation and soil moisture from
206 depths of 0 to 7 cm, 7 to 28 cm, and 28 to 100 cm, using the copula function at both the
207 monthly and annual scales was established. A copula function links multivariate
208 distribution functions with their one-dimensional marginal distributions, and is used for
209 the examination of dependencies between multiple variables. It captures nonlinear
210 dependence structures through joint and marginal probabilities of a pair of variables in
211 complex multivariate systems (Nelsen 2005). In this study, the copula function was
212 used to explore the nonlinear dependence between precipitation and soil moisture
213 (Equation 1):

$$214 \quad F_{P,SM}(x, y) = C(F_P(x), F_{SM}(y)), \quad (1)$$

215 where $F_P(x)$ and $F_{SM}(y)$ denote the marginal distribution of precipitation and soil
216 moisture, respectively, and $C(u, v)$ is the copula function linking these two variables.
217 The process for establishing the joint distribution was as follows: (1) The marginal
218 distributions of precipitation and soil moisture were fitted using an automatic
219 optimization function. (2) The most suitable copula function was selected based on the
220 Akaike Information Criterion (AIC) values at the grid level, including Gaussian copula,
221 Student's t copula, Clayton copula, and 37 other copula functions. Different copula
222 functions may be selected for different grid cells. (3) The chosen copula function was
223 then used to compute the corresponding Kendall's tau (τ), upper tail dependence (λ_U),
224 and lower tail dependence (λ_L).

225 The statistic τ measures the correlation between two variables to determine the
226 presence of a monotonic relationship. λ_U and λ_L represent the likelihood that, when one
227 variable reaches extreme high or low values, the other variable also reaches extreme
228 values. The calculations of τ , λ_U , and λ_L are based on the dependence parameters of the
229 joint distribution of precipitation and soil moisture, and depends on the selected copula
230 function using the AIC method. Taking the Tawn copula function as an example, the



231 calculation of τ , λ_U , and λ_L are based on the following equations.

$$232 \quad \tau = 1 - \frac{2\delta}{\theta+1} + \frac{2\delta^2}{2\theta+1}, \quad (2)$$

$$233 \quad \lambda_U = (1 - \delta) \cdot (2-2^{1/\theta}), \quad (3)$$

234 and

$$235 \quad \lambda_L = \delta \cdot (2-2^{1/\theta}), \quad (4)$$

236 where θ is the dependence parameter of the Tawn copula, and δ represents the
237 asymmetry parameter. For some copula functions, such as Clayton copula, the
238 Kendall's τ values get the priority over the upper and lower tail dependencies in the
239 estimation process. All the calculations were performed using R v4.3.3 with the
240 VineCopula and copula packages, for which detailed calculation methods for τ , λ_U , and
241 λ_L for all copulas are provided.

242 2.2.2 Ridge regression

243 Ridge regression is designed to address collinear data, although it is a biased
244 estimation method. It is an improved least squares estimation used to generate more
245 reliable regression coefficients at the cost of unbiasedness. Ridge regression
246 outperforms the traditional least squares method when fitting ill-conditioned data
247 (McDonald 2009). Due to the large uncertainty in precipitation and soil moisture data,
248 ridge regression models were applied for three soil layers, and for both monthly and
249 seasonal scales. Spring was defined as from March to May, summer from June to
250 August, autumn from September to November, and winter from December to February
251 of the following year. Precipitation frequency, volume, and evapotranspiration were
252 treated as predictor variables, with T_a as a control variable and soil moisture as the
253 response variable.

254 To clearly differentiate the influence of variables, the regression coefficients for
255 precipitation volume, frequency, and evapotranspiration were normalized using
256 Equation (5) and then assigned to the three primary colors. This approach resulted in a
257 gridded ternary phase diagram.

$$258 \quad W_i = 1 - \frac{v_i}{\sum_{i=1}^3 v_i}, \quad (5)$$



where $v_i(v_1, v_2, v_3)$ represent precipitation frequency, precipitation volume, and evapotranspiration (ET), respectively, and W_i refers to the adjusted weight of v_i .

2.2.3 Bayesian generalized non-linear multivariate multilevel models

The Bayesian generalized non-linear multivariate multilevel model integrates Bayesian inference, generalized linear models, non-linear modeling, multivariate analysis, and hierarchical structures, making it well-suited for complex hierarchical data. It can effectively capture non-linear dependencies among multiple response variables (Browne and Draper 2006; Bürkner 2017). The model parameters are treated as random variables with prior distributions under the Bayesian framework. Posterior distributions of the parameters are obtained by combining the likelihood function and prior distributions. The Markov Chain Monte Carlo (MCMC) algorithm is then used to resample from the posterior distribution and estimate the posterior means of the parameters to represent the optimal results. Given the hierarchical and multivariate nature of the data, a multilevel structure and multivariate analysis was introduced to model the mixed effects of variables and to capture the relationships among multiple related response variables. Random effects were also incorporated to account for heterogeneity among individuals and reflect the varying effects of univariate or multivariate mixtures on the response variables, thereby improving the accuracy of estimates.

Since the impact approaches of GPP, LST, and T_a on precipitation (P) and soil moisture (SM) are often unknown, the Gaussian distribution was specified as the prior distribution for these variables in the Bayesian model. To investigate how GPP, LST, and T_a influence the precipitation–soil moisture coupling relationship, both precipitation and soil moisture were treated as response variables. Bayesian non-linear multivariate multilevel models were developed at both the monthly and seasonal scales, with independent models for 16 ecological zones (Equation 6):

$$\begin{aligned} \text{Posterior estimates} = & \text{bf}(P \sim T_a + \text{GPP} + \text{LST} + T_a:\text{GPP} + T_a:\text{LST} + \text{GPP}:\text{LST} + T_a:\text{GPP}:\text{LST}) + \\ & \text{bf}(\text{SM} \sim T_a + \text{GPP} + \text{LST} + T_a:\text{GPP} + T_a:\text{LST} + \text{GPP}:\text{LST} + T_a:\text{GPP}:\text{LST}), \end{aligned} \quad (6)$$



where the colon represents multivariate mixed effects of different variables; bf stands for Bayesian formula, used to specify each part of the model for P and SM separately; and the “+” combines P and SM into a multivariate model. The model was implemented in R 4.3.3 using the brms package, which performs diagnostic checks on the sampling results using indicators such as the Gelman–Rubin diagnostic (Rhat statistic) and the effective sample size (ESS). To ensure stability and convergence, four MCMC chains were used for iterative sampling, with each chain running 4,000 iterations, including 2,000 warm-up iterations. A maximum tree depth of 10 was set. Estimate values of all ecoregions were classified into different clusters using the K-means method in R 4.3.3.

3. Results

3.1 Estimation from the copula function

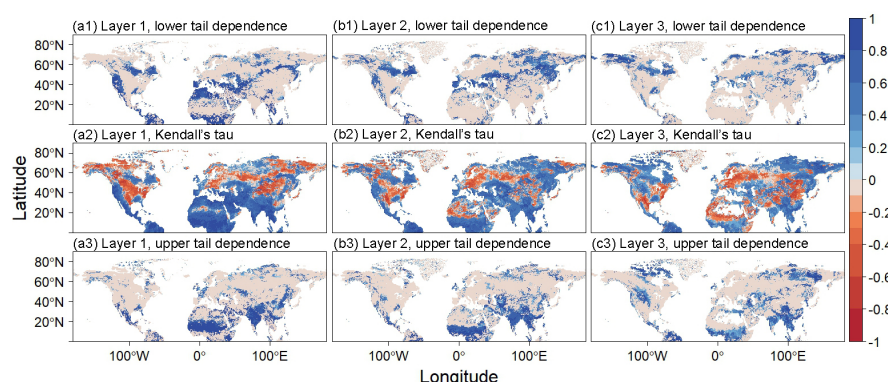


Fig. 2 Spatial distribution of Kendall's tau (τ), the upper tail dependence (λ_U), and the lower tail dependence (λ_L) on the $0.25^\circ \times 0.25^\circ$ grids between monthly precipitation volume and soil moisture during 2000 to 2019. The three columns are for the soil moisture from depths of 0 to 7 cm, 7 to 28 cm, and 28 to 100 cm, respectively.

The copula analysis of monthly average soil moisture and total monthly precipitation volume revealed a clear negative dependence at all three soil depths (a2, b2, and c2; Fig. 2). The percentages of grid cells exhibiting negative dependence at these depths were 29.3%, 25.3%, and 30.9%, respectively. Regions with negative



dependence were primarily located in the eastern United States, central and northern Russia, northern Asia, and the Sahara Desert. The extent of the negative dependence expanded significantly with an increase in soil depth in the Sahara, and covered much of northern Africa. In North America and northern Asia, the negative dependence was most prominent in the ecological zones of temperate grasslands, savannas, and shrublands, with additional occurrences in the deserts and xeric shrublands and tundra regions.

Regions exhibiting high λ_L values were primarily located in the northern and western United States, eastern Russia, the temperate coniferous forests of Mongolia, and the Mediterranean forests, woodlands, and scrublands of Africa (a1, b1, c1; Fig. 2). The extent of areas showing dependence decreased as soil depth increased. Similarly, λ_U exhibited a clear reduction in spatial extent with increasing soil depth, with the majority of these regions located in the southern Sahara Desert, India, southern and eastern China, and small parts of northern Russia. However, no clear correspondence between these regions and specific ecological zones was observed (a3, b3, c3; Fig. 2).

From the annual scale copula results (Fig. 3), precipitation and soil moisture generally exhibited positive dependencies across the entire soil profile. However, negative dependencies were observed in regions such as the southern Sahara Desert, Mongolia, and the Elizabeth Islands, reaching 3.0%, 4.0%, and 8.6%, respectively (a2, b2, c2; Fig. 3). The negative dependencies in these areas expanded outward, primarily concentrated in the montane grasslands and shrublands region. Both the λ_L and the λ_U displayed scattered, patchy distributions, with average values for each soil layer ranging from 0.4 to 0.6.

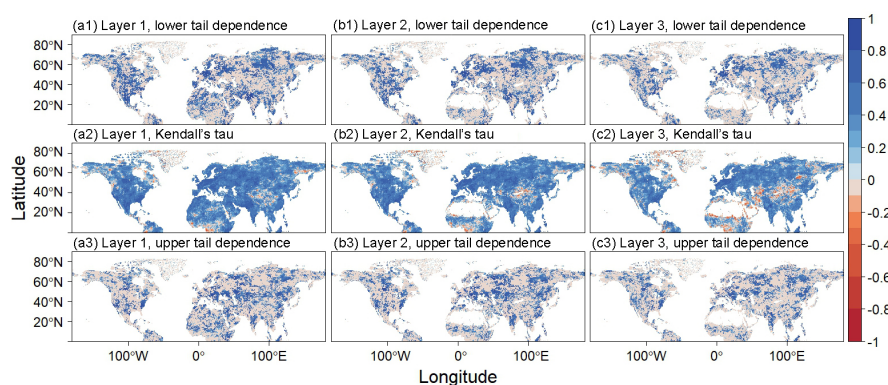


Fig. 3 Spatial distributions of the τ , λ_U , and λ_L on the $0.25^\circ \times 0.25^\circ$ grids between annual precipitation volume and soil moisture during 2000 to 2019. The three columns are for the soil moisture from depths of 0 to 7 cm, 7 to 28 cm, and 28 to 100 cm, respectively.

3.2 Control of soil moisture by precipitation and evapotranspiration

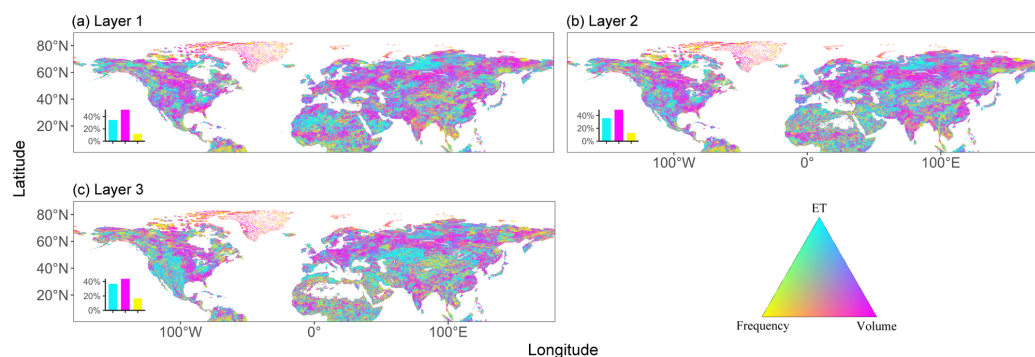


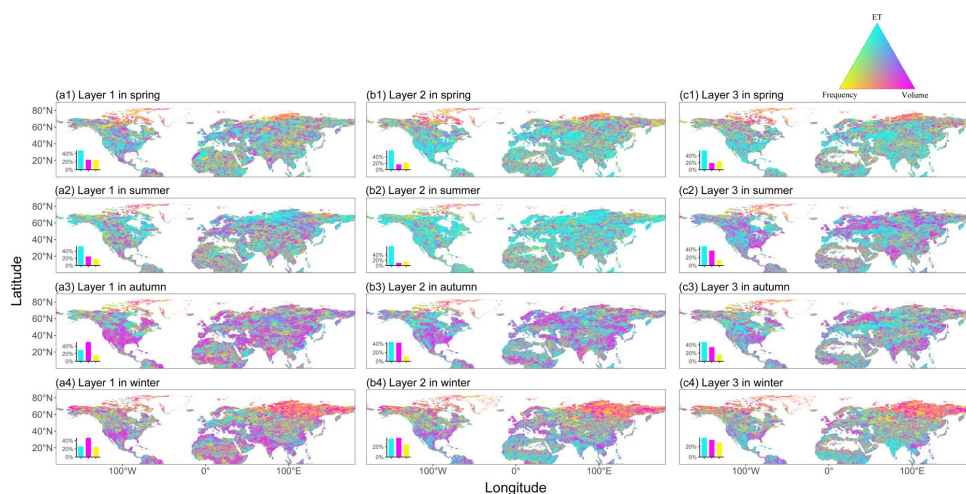
Fig. 4 Ternary map of factors controlling soil moisture, monthly, for the period 2000 to 2019. The bottom-left histogram in the subgraph represents the proportion of grid cells where one variable exerts strong univariate control (with a regression coefficient greater than 75% of the total sum of the three variables), suggesting that soil moisture was predominantly controlled by that specific variable.

On the monthly scale, precipitation exerted the strongest control over soil moisture (Fig. 4), with regions most influenced by precipitation accounting for more than 40% of the variation. These areas were primarily located in the boreal forest/taiga, temperate



346 grasslands, savannas, shrublands, and the eastern part of North America. In contrast,
347 regions where evapotranspiration predominated were found in Alaska–Northwest
348 Canada, the western United States, the Sahara Desert, and the Middle East. High-
349 latitude regions, especially northern Canada, were primarily influenced by precipitation
350 frequency. Areas where precipitation volume, frequency, and evapotranspiration had
351 similar levels of control were mainly found in Eastern Europe and Russia.

352 The results from ridge regression revealed more distinct patterns at the seasonal
353 scale compared to the monthly scale (Fig. 5). Soil moisture in spring and summer was
354 mainly controlled by evapotranspiration, which influenced over 40% of grid cells,
355 particularly in the middle soil layers, where it dominated nearly 80%. In contrast,
356 precipitation volume had a greater influence during autumn and winter, particularly in
357 the continental United States, southern Sahara Desert, coastal India, and eastern China.
358 Additionally, as soil depth increased, the influence of evapotranspiration and
359 precipitation frequency gradually intensified. However, in summer, as soil depth
360 increased, the area primarily controlled by precipitation volume expanded (indicated
361 by an increase in the intensity of magenta color in the figures) especially in the eastern
362 United States, Europe, and South Asia. These regions remained strongly influenced by
363 precipitation volume even as evapotranspiration control increased with increasing soil
364 depth during autumn. Northern Russia, Canada, Greenland, and northern Alaska were
365 notably influenced by both precipitation frequency and precipitation volume, with this
366 effect being more pronounced during the non-growing season. In winter, the area
367 controlled by precipitation frequency was larger than that in spring.

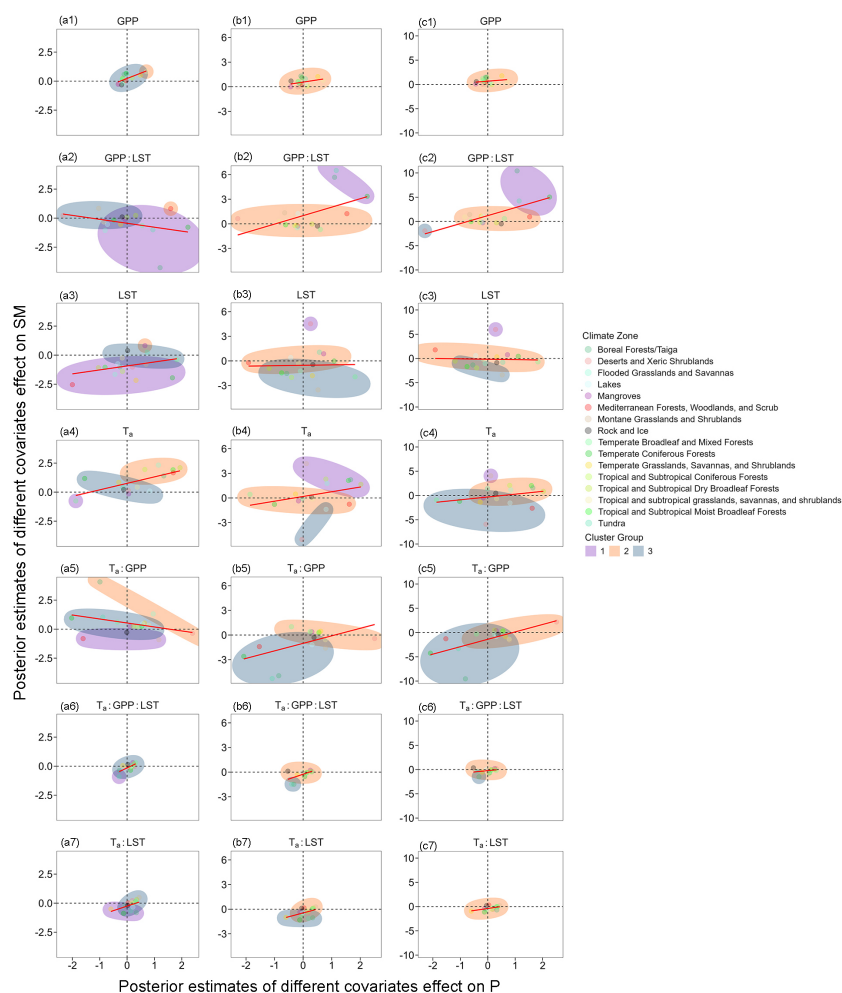


368

369 Fig. 5 Ternary map of factors controlling soil moisture, annually, for the period 2000 to 2019. The
 370 bottom-left histogram in the subgraph represents the proportion of the grid cells where one variable exerts
 371 strong univariate control (with a regression coefficient greater than 75% of the total sum of the three
 372 variables), suggesting that soil moisture was predominantly controlled by that specific variable.

373 3.3 Drivers of negative dependencies between soil moisture and 374 precipitation

375 For each model in this study, four MCMC chains were used for iterative sampling.
 376 The sampling results demonstrated that the chains for both the monthly and annual
 377 scales were well-distributed in the parameter space, with no noticeable trends or drifts,
 378 indicating convergence to the target posterior distribution. The convergence was
 379 considered satisfactory, with all models yielding a Rhat value below 1.05 (Fig. S1, S2).



380

381 Fig. 6 Posterior estimates of the covariate variables of the Bayesian generalized non-linear
382 multivariate multilevel model, built using monthly data. The columns represent soil depths of 0 to 7 cm,
383 7 to 28 cm, and 28 to 100 cm. Red lines indicate linear regressions of precipitation and soil moisture
384 across all ecoregions, with cluster groups represented by three circles.

385

386 The fitted values of multiple drivers for both precipitation and soil moisture were
387 calculated. When precipitation and soil moisture were either positive or negative
388 simultaneously (quadrants I and III), the driver promoted a positive dependence
389 between the two variables. Conversely, when they were in quadrants II and IV, the



390 driver induced a negative dependence. A comparison of these results with those in
391 Section 3.1 shows that the negative dependence observed at the monthly scale in the
392 temperate grasslands, savannas, and shrublands was driven by LST and T_a :GPP across
393 all three soil layers, particularly in quadrant IV, where precipitation increased while soil
394 moisture decreased. Other factors, however, drove a positive dependence (Fig. 6).

395 The negative dependence between precipitation and soil moisture in the surface
396 layer across the Northern Hemisphere was primarily driven by the interactions between
397 GPP:LST and T_a :GPP. The regression trend line intersected quadrants II and IV.
398 Ecological zones affected by GPP:LST included temperate coniferous forests, boreal
399 forests, tundra, and temperate broadleaf mixed forests. The negative relationship driven
400 by GPP:LST was predominantly concentrated in quadrant IV, where increased
401 precipitation lead to decreased soil moisture in the boreal forest, tundra, temperate
402 coniferous forest, and temperate broadleaf mixed forest, while GPP:LST driven
403 decreased precipitation and increased soil moisture in deserts and xeric shrublands. In
404 contrast, GPP:LST also drove a negative dependence in the middle soil layer and a
405 positive dependence in the deep soil layers of deserts and xeric shrublands. The negative
406 dependence driven by T_a :GPP was mainly found in quadrant II, with distributions in
407 deserts and xeric shrublands, boreal forests, montane grasslands and shrublands,
408 temperate broadleaf mixed forests, and tundra. LST also influenced temperate
409 coniferous forests, temperate broadleaf mixed forests, and deserts and xeric shrublands,
410 all of which were located in quadrant IV.

411 In contrast, for the middle soil layer, all regression slopes of the variables were
412 positive. GPP, T_a :GPP:LST, and T_a :LST had minimal impact across all ecological zones,
413 with estimated values near the origin and only two distinct clustering results. The first
414 cluster was located in quadrant I, while the second cluster exhibited some negative
415 dependence, affecting a small portion of forests and shrublands. This cluster was
416 relatively flat, particularly with LST and T_a crossing the x-axis, suggesting that changes
417 in precipitation were driven by LST and T_a , while soil moisture remained constant.

418 The negative dependence between precipitation and soil moisture in the deep soil



419 layers was partly driven by the second and third clusters. GPP:LST drove a reduction
420 in precipitation and an increase in soil moisture in tropical and subtropical grasslands,
421 savannas, shrublands, and tropical and subtropical coniferous forests. T_a and T_a :GPP
422 drove an increase in precipitation and a decrease in soil moisture in Mediterranean
423 forests, woodlands, and scrub, as well as in temperate grasslands, savannas, and
424 shrublands. The mixed effects of T_a :GPP:LST and T_a :LST had minimal impact across
425 all ecological zones, with all estimates concentrated near the origin and only two
426 clusters observed. The second cluster was primarily located in quadrant I, where both
427 precipitation and soil moisture increased, leading to a positive dependence between
428 them. The negative dependence observed in the third cluster, distributed across
429 quadrants II and IV, showed decreased precipitation and increased soil moisture in
430 quadrant II, and increased precipitation and decreased soil moisture in quadrant IV.
431 Most of the affected ecoregions were forest types.

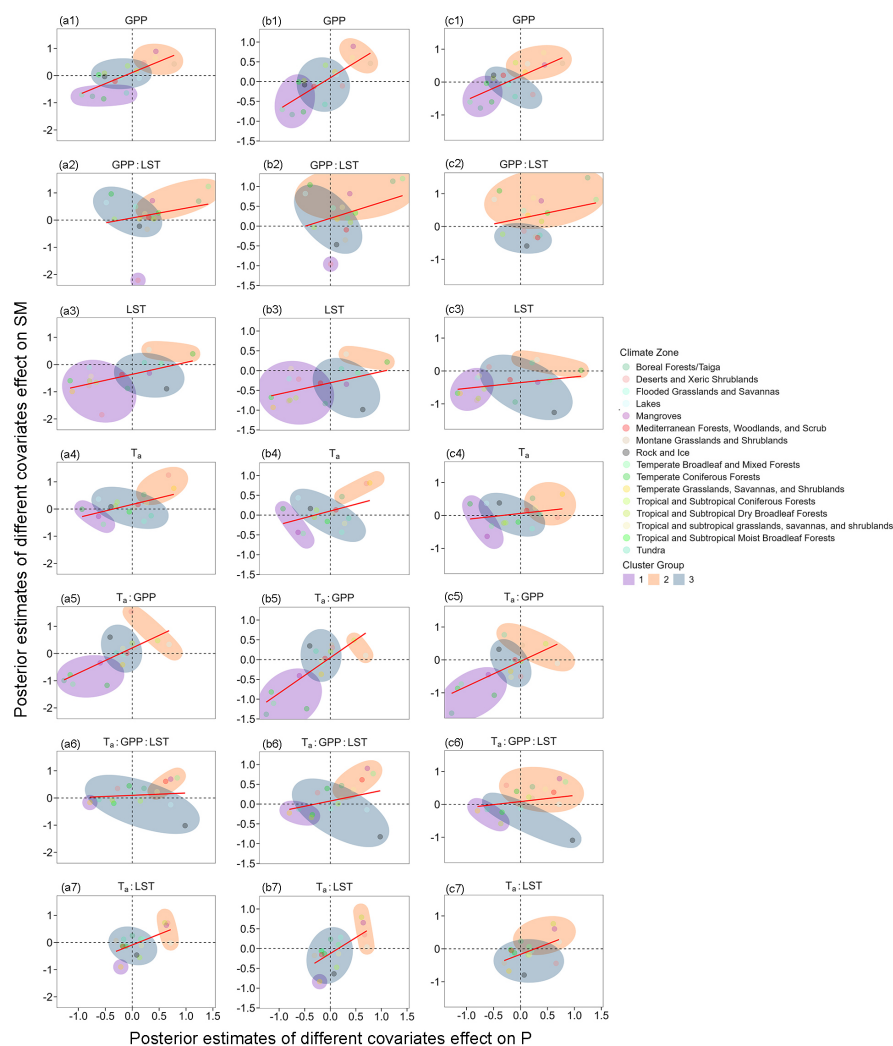


Fig. 7 Posterior estimates of the covariate variables of the Bayesian generalized non-linear multivariate multilevel model, built using annual data. The columns represent soil depths of 0 to 7 cm, 7 to 28 cm, and 28 to 100 cm. Red lines indicate linear regression of precipitation and soil moisture across all ecoregions, with cluster groups represented by three circles.

Interannual negative dependence was primarily observed in the montane grasslands and shrublands region, where GPP:LST drove this pattern across all three soil layers. All other variables lead to positive dependence (Fig. 7). The long-term trend



441 in the annual-scale Bayesian model revealed strong patterns, with the most significant
442 difference compared to the monthly scale being the influence of T_a :GPP:LST and
443 T_a :LST, where different ecological zones exhibited substantial variation. The second
444 cluster across all soil layers was consistently distributed in quadrant I, while the
445 boundaries of the first cluster were located in quadrant III, far from the origin. The third
446 cluster spanned quadrants II and IV, with its origin in quadrant IV, suggesting that nearly
447 all variables drove an increase in precipitation and a decrease in soil moisture in the
448 ecological zones of the third cluster. Among the multiple variables, T_a drove the most
449 negative dependence, with the greatest differences observed between ecological zones.

450 In the surface layer, LST alone drove the negative dependence in the mangrove,
451 rock, and ice regions, which are characterized by extremely wet soils. T_a drove the
452 negative dependence, resulting from decreased precipitation and increased soil
453 moisture, in tropical and subtropical coniferous forests, lakes, and rock and ice regions.
454 Additionally, T_a drove the negative dependence in temperate broadleaf and mixed
455 forests and tundra regions, where precipitation increased with a decrease in soil
456 moisture.

457 In the middle soil layers, the negative dependence driven by T was evenly
458 distributed across quadrants II and IV. In temperate forests, arid shrublands, and flooded
459 grasslands and savannas, the negative dependence was characterized by increased
460 precipitation and decreased soil moisture. In contrast, in tropical and subtropical
461 coniferous forests and temperate coniferous forests, the negative dependence was
462 marked by decreased precipitation and increased soil moisture. The negative
463 dependence driven by T_a :GPP was entirely due to reduced precipitation and increased
464 soil moisture in tropical and subtropical moist broadleaf forests. The negative
465 dependence driven by T_a :LST was fully distributed in quadrant IV, where it was
466 characterized by increased precipitation and decreased soil moisture. This pattern was
467 observed in regions such as the montane grasslands and shrublands; tropical and
468 subtropical coniferous forests; tropical and subtropical grasslands, savannas, and
469 shrublands; and rock and ice regions.



470 In the deep soil layers, the dependencies across different ecological zones were
471 more dispersed. GPP, GPP:LST, and T_a :GPP exhibited a strong positive dependence
472 trend, with slopes close to 1. In contrast, the positive dependence driven by T_a and
473 T_a :GPP:LST had smaller slopes, nearly parallel to the x-axis, suggesting an increase in
474 precipitation as soil moisture remained constant. The strongest drivers of negative
475 dependence in the deep layers were GPP:LST and T_a . The negative dependence driven
476 by GPP:LST was found in the ecological zones of quadrant IV, including rock and ice
477 regions, Mediterranean forests, woodlands, and scrub, as well as tundra and temperate
478 coniferous forests in quadrant II. The negative dependence driven by T_a was observed
479 in rock and ice regions, lakes, and temperate coniferous forests in quadrant II, and
480 flooded grasslands and savannas in quadrant IV.

481 **4. Discussion**

482 **4.1 Characteristics of negative dependence areas**

483 In this study, joint distributions of precipitation and soil moisture were constructed
484 using Kendall's τ to characterize the nonlinear relationship between them. The analysis
485 revealed a negative dependence between precipitation and soil moisture at both the
486 monthly and annual scales from 2000 to 2019. The τ value at the monthly scale was
487 higher than that at the annual scale, with a larger area exhibiting a negative dependence.
488 Therefore, seasonal variations likely contributed to the negative dependence at the
489 monthly scale, while long-term trends, particularly the Arctic amplification effect
490 associated with global climate change, drove the negative dependence at the annual
491 scale.

492 Regions exhibiting negative dependence, such as deserts and xeric shrublands,
493 temperate grasslands, savannas, shrublands, and tundra, are all arid or semi-arid and
494 characterized by shrub-dominated communities with little or no tree growth (Olson and
495 Dinerstein 1998). Deserts and xeric shrublands show significant annual precipitation
496 variability across the region. Except for the peripheral areas, annual precipitation
497 typically does not exceed 250 mm, and evaporation exceeds precipitation (Lockwood



498 et al. 2006). The tundra, a treeless desert found in high-latitude Arctic regions (also
499 known as polar desert), is primarily distributed in Alaska, Canada, Russia, Greenland,
500 Iceland, and Scandinavia, as well as the sub-Antarctic islands (Olson and Dinerstein
501 1998). Vegetation in this region is sparse, with dry winters and extremely low
502 temperatures (Xue et al. 2021). The average annual precipitation is approximately 350
503 mm, and the dominant vegetation includes sedges, heaths, and dwarf shrubs (Olson and
504 Dinerstein 1998).

505 Temperate grasslands, savannas, and shrublands differ from other ecosystems in
506 several ways. In North America, this ecosystem is known as prairie, in South America
507 as pampas, in Southern Africa as veld, and in Asia as steppe. These ecosystems differ
508 significantly from tropical grasslands, particularly in their annual temperature regime
509 and the types of species present. Typically, these regions lack trees, except for riparian
510 or gallery forests along streams and rivers. However, some areas support savanna
511 conditions, characterized by scattered individuals or clusters of trees (Olson and
512 Dinerstein 1998). A defining feature of temperate grasslands is that the dominant
513 vegetation consists of grasses, with little to no trees or large shrubs. These regions
514 experience a large temperature difference between summer and winter, moderate
515 precipitation, and fertile soils. On the monthly scale, regions with a negative
516 dependence between precipitation and soil moisture are generally arid or semi-arid and
517 lack tree growth (Olson and Dinerstein 1998). Additionally, studies have shown that
518 soil moisture influences precipitation primarily by increasing it in arid regions (Donat
519 et al. 2017).

520 The clear dependencies between precipitation and soil moisture in Mediterranean
521 forests, woodlands, and scrub were driven by the highly seasonal nature of
522 meteorological drought in the Mediterranean climate zone, typically occurring in the
523 summer. During the winter, when precipitation was insufficient, soil moisture was
524 rapidly depleted, leading to soil drought in the summer and consequently high levels of
525 soil temperature–drought (high λ_L). However, extreme precipitation did not necessarily
526 result in a sharp increase in soil moisture, and this was influenced by factors such as



527 vegetation community structure, soil texture, and geographical conditions, which
528 account for the lack of distinct ecological zone differences in λ_U .

529 4.2 Main control factors in negatively dependent regions

530 The seasonal scale exhibited a clear pattern: The growing season was primarily
531 controlled by evapotranspiration, while the non-growing season was driven by
532 precipitation. During spring and summer, adequate precipitation and higher
533 temperatures fostered vegetation growth. The high temperature and precipitation during
534 these periods accelerated evapotranspiration. Soil moisture in the surface layers of the
535 Northern Hemisphere was therefore controlled by evapotranspiration, while the middle
536 soil layers were influenced by plant transpiration. In deep soil layers (28–100 cm in
537 ERA5-Land), root density decreases (Stocker et al. 2023), and only certain forest
538 species and drought-adapted shrubs with extensive root systems contribute to
539 transpiration. Consequently, precipitation percolates into the deep soil layers, where it
540 is retained. In high-latitude regions, characterized by temperature-limited ecosystems
541 with low annual precipitation, conifer species (e.g., *Abies*, *Picea*, *Larix*, and *Pinus*)
542 dominate, with a limited presence of deciduous trees (Walker et al. 2016). In the boreal
543 forests of North America, Canada, and Siberia, winters are long and harsh, while
544 summers are short and cool. The low temperatures constrain evapotranspiration and
545 plant transpiration rates. Despite the presence of some deciduous forests, soil moisture
546 during the non-growing season is primarily controlled by precipitation rather than by
547 evapotranspiration due to the extremely low temperatures. Similarly, precipitation
548 dominates soil moisture regulation in temperate grasslands, savannas, and shrublands,
549 which experience semi-arid to humid climates. These regions receive annual
550 precipitation ranging from 150 to 1200 mm and average temperatures between 0 and
551 25°C (Sala et al. 2001). The soils in these grasslands are generally highly permeable
552 with moderate water-holding capacity, allowing precipitation to infiltrate easily and
553 replenish deep soil moisture. Grassland root systems both suppress surface evaporation
554 and promote transpiration. During the rainy season, most water percolates into the soil,
555 where it is stored in deep layers, creating a reservoir effect (Scholes and Walker 1993).



556 This stored moisture becomes the primary water source for vegetation during the dry
557 season. As the dry season progresses, soil moisture is gradually depleted until it is
558 completely exhausted. Thus, the reduction in soil moisture during the growing season
559 is mainly due to rapid consumption through evapotranspiration, while in the non-
560 growing season, it is primarily caused by insufficient precipitation replenishment.

561 Autumn and winter mark the dry season for much of the Northern Hemisphere,
562 when evapotranspiration rates decrease. Studies have shown that during the freezing
563 seasons, larger snow depths help maintain soil temperature and mitigate the impact of
564 frost on the soil. For certain narrow frozen soils, sufficiently thick snow may also allow
565 more meltwater to infiltrate into the soil (Jafarov et al. 2018). As a result, high-latitude
566 regions are more strongly influenced by precipitation frequency and volume during
567 autumn and winter.

568 4.3 Mechanism of negative dependence between precipitation and soil 569 moisture

570 The negative dependence between precipitation and soil moisture in the temperate
571 grasslands, savannas, and shrublands was primarily driven by LST and T_a :GPP. These
572 regions, characterized by a semi-arid climate, experienced joint control by precipitation
573 and evapotranspiration in both surface and deep soil layers. During the growing season,
574 high evaporation and plant transpiration rates rapidly depleted soil moisture in the
575 surface layer, with the combined effects of higher LST and T_a :GPP driving the negative
576 dependence. This negative relationship was also observed in deserts, xeric shrublands,
577 montane grasslands, shrublands, and tundra. The climate of these ecoregions are
578 typically dry, with high evapotranspiration and low precipitation, limiting the
579 accumulation and retention of soil moisture. In high-temperature environments,
580 increased evapotranspiration rates and VPD exacerbate soil moisture depletion, even
581 when precipitation increases. These regions generally have a low GPP, and the effective
582 use of precipitation by vegetation is limited (Xue and Wu 2023), intensifying the
583 negative dependence between precipitation and soil moisture. The montane grasslands



584 and shrublands, located at higher altitudes, experience more extreme temperature
585 fluctuations (Olson and Dinerstein 1998). In mountainous regions, the likelihood of
586 warming is higher than in lowland areas (Pepin et al. 2022), which leads to a rapid
587 increase in evapotranspiration rates. Although GPP may increase with higher
588 temperatures, the vegetation in these areas typically grows slowly and is characterized
589 by shallow root systems (Stocker et al. 2023), limiting its ability to use additional
590 precipitation and resulting in a reduction in surface soil moisture.

591 Soil moisture reduction in the 7-to-28-cm depth due to evapotranspiration was
592 driven by several factors, the primary one being the absorption of soil water by plant
593 roots under conditions of high LST and GPP. Additionally, the arid surface soil induced
594 upward movement of soil water from the middle layer due to the osmotic and matric
595 potential, further contributing to moisture depletion. The water compensation
596 mechanism of plant roots can also lead to reduced water uptake in the surface layer
597 during dry conditions and an increased uptake in the wetter layers (Yadav Brijesh et al.
598 2009). Furthermore, summer precipitation is often unstable, and heavy rainfall fails to
599 rapidly penetrate the deep soil layers. As a result, at this depth, soil moisture reduction
600 is predominantly driven by high land surface temperature and evapotranspiration.
601 Intense evapotranspiration during the growing season transports moisture to the
602 atmosphere, increasing vapor pressure and promoting precipitation. However, even
603 with increased rainfall, the water demands of vegetation and evapotranspiration are
604 insufficiently met. Additionally, high temperatures can lead to surface soil sealing,
605 preventing rainfall from effectively entering the root zone.

606 During the non-growing season, low temperatures reduce evapotranspiration rates,
607 and soil moisture is primarily controlled by precipitation volume and frequency. In cold
608 conditions, precipitation often falls as snow, which accumulates on the surface. A low
609 LST can cause soil freezing, and the presence of surface litter may further insulate the
610 soil, preventing timely moisture replenishment. Although evapotranspiration is reduced,
611 some deep-rooted plants may continue to absorb water to a limited extent. Additionally,
612 during precipitation intervals, soil moisture may gradually decrease due to residual



613 evapotranspiration, micro-scale runoff, or plant water consumption (Tomlinson et al.
614 2013), leading to a negative dependence between precipitation and soil moisture.
615 Empirical studies have shown that in temperate grasslands, savannas, and shrublands,
616 plants retain their leaves during the dry season to facilitate evaporative cooling and
617 protect against temperature fluctuations (Prior et al. 1997). This strategy is also
618 observed in regions with similar climatic conditions, such as deserts and xeric
619 shrublands, where during dry winters, precipitation and soil freezing reduce soil
620 moisture.

621 The boreal forest and tundra ecosystems, located in the circumpolar Arctic, are
622 temperature-limited systems. Permafrost in these regions can lead to surface runoff of
623 some precipitation, preventing effective infiltration into the soil. Additionally, canopy
624 interception further limits soil moisture. As a result, in higher-latitude ecosystems such
625 as the boreal forest, tundra, temperate coniferous forests, and temperate broadleaf-
626 mixed forests, surface soil moisture tends to decrease. In these regions, an increase in
627 LST and T_a mitigates the effects of temperature limitation, allowing precipitation to
628 infiltrate the soil. This transforms the precipitation–soil moisture relationship in boreal
629 forests and tundra from a negative to a positive dependence. In contrast, in temperate
630 broadleaf and mixed forests, the negative dependence of increased precipitation and
631 reduced soil moisture is primarily driven by high evapotranspiration. These forests
632 experience warm, humid summers and cool, moderate winters, with ample and evenly
633 distributed annual precipitation. The vegetation is a mix of deciduous species (e.g., *oak*,
634 *maple*, *beech*) and coniferous species (e.g., *pine*, *spruce*) (Olson and Dinerstein 1998).
635 Due to the moderate climate, species diversity in these forests is relatively high. While
636 precipitation and soil moisture infiltration support the water cycle, the high rate of
637 evapotranspiration can lead to rapid depletion of surface soil moisture, despite ample
638 precipitation.

639 The negative dependence observed in mid-to-deep soil layers may occur when a
640 single variable predominates, limiting the compensatory mechanisms within the
641 ecosystem. In contrast, a positive dependence driven by mixed effects can result from



642 a synergistic interaction between GPP and LST. For instance, an increase in GPP
643 suggests an enhanced water-use efficiency or a deeper root growth, which allows for
644 more effective water uptake. At the same time, an increase in LST may facilitate
645 moisture release from the soil, providing additional water resources for plants. This
646 synergistic effect can counterbalance or even reverse the negative effects of a single
647 variable, leading to a positive dependence. Moreover, feedback mechanisms within the
648 ecosystem may be strengthened when both GPP and LST interact. For example, a higher
649 GPP indicates an increased rate of photosynthesis and a higher biomass accumulation,
650 which help improve the soil structure by increasing the organic matter content and
651 thereby enhancing soil water retention. In this scenario, the rise in LST may not result
652 in significant moisture loss, as the improved soil structure can offset the increase in
653 evapotranspiration, fostering a positive dependence. Another possibility is that when
654 both GPP and LST act together, the ecosystem may exhibit greater resilience, enabling
655 it to adapt to changes in soil moisture and precipitation. For example, an increased GPP
656 could improve the plant's water-use efficiency, while the rise in LST could release more
657 soil moisture through freeze–thaw processes in colder regions, resulting in more
658 available water for plant uptake. This balancing mechanism helps maintain ecosystem
659 stability, resulting in a positive dependence.

660 The interannual negative dependence between precipitation and soil moisture is
661 increasingly observed under global climate change. The biomes of the montane
662 grasslands and shrublands include the Puna and Paramo in South America, the
663 Subalpine Heath in New Guinea and East Africa, the Steppes of the Qinghai–Tibetan
664 Plateau, and other subalpine habitats worldwide (Olson and Dinerstein 1998). These
665 ecoregions, located in tropical, subtropical, and temperate regions, are particularly
666 vulnerable to the effects of climate change. High-altitude ecosystems are expected to
667 experience more frequent warm periods and fluctuations in precipitation, with more
668 pronounced feedbacks as they face long-term climatic stress (Lamprecht et al. 2018).
669 Montane grasslands and shrublands are adapted to long-term cold, moist conditions and
670 strong solar radiation, with vegetation extending to altitudes of up to 4500 to 4600 m



671 (Olson and Dinerstein 1998). However, global climate change, particularly in the Arctic
672 and the Qinghai–Tibetan Plateau, is driving rapid warming in permafrost regions. Field
673 experiments have shown that higher temperatures may lead to a decrease in species
674 abundance and an increase in GPP in high-altitude vegetation communities (Berauer et
675 al. 2019). Studies also suggest that the standardized abundance of montane grasslands
676 and shrubland communities is negatively correlated with soil magnesium and
677 phosphorus content, carbohydrate metabolism, virulence, motility, and organic nitrogen
678 and sulfur (Graham Emily et al. 2024). The increased frequency of extreme
679 precipitation and rising LST facilitate the release of soil minerals and decrease
680 microbial biomass (Siebielec et al. 2020). Improvements in soil nutrient status often
681 lead to intensified competition for radiation, further reducing ecosystem stability. The
682 decline in biodiversity directly diminishes ecosystem resilience, which in turn lowers
683 soil water capacity. Additionally, 14.5% of global montane grasslands face a high risk
684 of water erosion (Straffellini et al. 2024), presenting significant challenges for soil and
685 water conservation. While the Arctic tundra is also at risk of shrubification due to
686 warming, it remains relatively drier, with extreme precipitation far below that of the
687 montane grasslands and shrublands. On an annual scale, montane grasslands and
688 shrublands are primarily controlled by evapotranspiration, and the combination of this
689 control with a decline in soil water capacity results in a clear negative dependence: As
690 precipitation increases, soil moisture decreases, driven by the interaction between GPP
691 and LST.

692 In semi-arid and arid grassland systems, brief precipitation events typically only
693 moisten the upper clay layers, where most grass roots are concentrated (Sala and
694 Lauenroth 1985). Well-developed clay layers can store infiltrated water, but they also
695 prevent the deeper expansion of shrub vegetation roots (Buxbaum and Vanderbilt 2007).
696 As GPP and LST increase, the loss of water stored in the upper clay layers through
697 evapotranspiration is exacerbated, resulting in a negative dependence between
698 precipitation and soil moisture. Additionally, model simulations suggest that in regions
699 with simple topographic structures, such as arid and semi-arid grasslands and



700 shrublands, precipitation has a significant effect on soil moisture (Koukoula et al. 2021).
701 Dry soils are also more prone to surface runoff during precipitation events, a
702 phenomenon known as the “dry soil advantage.”

703 4.4 Data reliability

704 The CRU TS dataset used in this study is based on ground-based meteorological
705 station observations, while the ESA CCI dataset is derived from satellite-based surface
706 temperature measurements. The GPCP dataset combines both ground-based
707 observations and satellite data, which are directly based on actual observational data.
708 In contrast, the ERA5-Land dataset is generated using ERA5 as the forcing data. While
709 ERA5 provides a comprehensive range of meteorological data and is widely used, it
710 relies on numerical weather prediction models, which are based on principles of
711 atmospheric physics. These models use observational data to calibrate their outputs,
712 and using ERA5 meteorological data, uncertainties inherent in the model are introduced.
713 Consequently, different sources of meteorological data were selected for this study.

714 All data were clipped according to the boundaries of Terrestrial Ecoregions, which
715 were integrated from multiple studies by the Conservation Biology Institute. These
716 ecoregions are based on different criteria across regions and are widely accepted,
717 although they may be controversial in some areas. Therefore, discussing the driving
718 factors of the negative dependence between precipitation and soil moisture in these
719 regions may involve potential biases and uncertainties.

720 Given the clear seasonal variations in precipitation and evapotranspiration and the
721 minimal interannual variability, precipitation volume, frequency, and control of
722 evapotranspiration on soil moisture were analyzed at both the monthly and seasonal
723 scales. The study also explored the precipitation–soil moisture dependence and its
724 driving factors at the monthly and annual scales. While seasonal and interannual
725 variations were observed, the seasonal scale was omitted to emphasize the seasonality
726 of evapotranspiration. In the Bayesian models, the discussion focused on GPP,
727 temperature, and LST as driving factors. Since temperature and soil moisture are input
728 variables for evapotranspiration calculations, evapotranspiration was excluded from the



729 analysis as a negative dependence driver.

730 In addition to the factors discussed in this study, other variables such as wind
731 patterns and topography may also influence the negative dependence between
732 precipitation and soil moisture. While this study provides a foundational analysis of the
733 negative dependencies across different ecoregions, future research should explore these
734 aspects further.

735 **5. Conclusion**

736 This study explored the dependence relationships between precipitation and soil
737 moisture at depths of 0 to 7 cm, 7 to 28 cm, and 28 to 100 cm from 2000 to 2019, by
738 examining the control effect of precipitation volume, precipitation frequency, and
739 evapotranspiration on soil moisture. Bayesian models were used to analyze the driving
740 factors in the dependence of soil moisture to precipitation in different ecoregions of the
741 Northern Hemisphere. The results suggest that, at the monthly scale, precipitation
742 volume predominantly controlled soil moisture in the Boreal forest/taiga, temperate
743 grasslands, savannas, and shrublands, while precipitation frequency primarily
744 controlled soil moisture in the high-latitude regions of the Northern Hemisphere. The
745 combined influence of evapotranspiration and precipitation exhibited clear seasonal
746 patterns. Evapotranspiration was the main driver during the growing season, while
747 precipitation volume dominated in the non-growing season. As latitude increased, the
748 influence of precipitation frequency on soil moisture also increased.

749 In regions such as temperate grasslands, savannas, shrublands, deserts, xeric
750 shrublands, and tundra, negative dependencies between precipitation and soil moisture,
751 driven by LST and T_a :GPP interactions, were observed. These negative dependencies
752 were mainly attributed to the seasonality of precipitation in arid and semi-arid areas and
753 the freeze–thaw processes in the soil, which hinder effective moisture replenishment,
754 especially during winter when soil freezing prevents rainwater infiltration. In the
755 intermediate and deep soil layers, negative dependencies were primarily driven by
756 single variables, whereas positive dependencies resulted from multivariate interactions,
757 likely due to the lack of compensatory mechanisms when a single variable dominated,



758 or the enhancement of ecosystem feedbacks when both GPP and LST interacted.
759 Additionally, when the ecosystem is simultaneously driven by GPP and LST, greater
760 resilience may be exhibited.

761 At the annual scale, the area of negative dependence increased with soil depth,
762 with the most pronounced negative dependencies occurring in the montane grasslands
763 and shrublands region. In this region, negative dependencies at all three soil depths
764 were driven by the GPP:LST interaction. The main cause of the negative dependence
765 in the montane regions was the long-term variability in precipitation and temperature,
766 which lead to changes in geomorphology and vegetation community structure,
767 ultimately reducing the soil water capacity. Another potential cause of the negative
768 dependence is the detrimental effect of increased extreme precipitation on microbial
769 activity and ecosystem resilience in these regions.

770

771 **Data availability**

772 ERA5-Land soil moisture dataset can be accessed at
773 <https://cds.climate.copernicus.eu/datasets/reanalysis-era5-land?tab=overview>
774 (accessed on 18 Mar 2024). GPCP precipitation dataset can be accessed at
775 [https://www.ncei.noaa.gov/data/global-precipitation-climatology-project-gpcp-](https://www.ncei.noaa.gov/data/global-precipitation-climatology-project-gpcp-daily/access/)
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787

788 **Author Contributions**

789 **SX:** Conceptualization, Data curation, Formal analysis, Methodology, Software,
790 Validation, Visualization, Writing - Original Draft, Writing - Review & Editing. **GW:**
791 Conceptualization, Funding acquisition, Investigation, Supervision, Writing - Original
792 Draft, Writing - Review & Editing.

793

794

795 **Conflicts of Interest**

796 The authors declare that they have no conflict of interest.

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