



# An update to the expression of atmospheric refractivity for GNSS signals

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**Abstract.** This study revisits previous formulations of atmospheric refractivity at L-band frequencies, focusing on signals from Global Navigation Satellite Systems (GNSS). A refined model expression is proposed as a function of air density, temperature, and composition, evaluated using a comprehensive set of existing laboratory and atmospheric measurements. The key measurements that most affect the final accuracy are identified, establishing traceable error bounds and indicating where further experimental work could confirm or improve the model.

Recent studies on the use of large volumes of GNSS radio occultation (GNSSRO) observations in Numerical Weather Prediction (NWP) show that the precise formulation of refractivity becomes increasingly critical as data volumes grow. Although the revision is modest, its impact lies within the range where NWP sensitivity becomes non-negligible.

Compared to earlier work, this study (1) incorporates updated fundamental measurements, (2) accounts for the small but measurable variability in atmospheric composition, mainly increasing  $CO_2$  and decreasing  $O_2$ , emphasizing that refractivity traceability is composition-dependent, and (3) extends the model to include hydrometeors. A simplified formulation based on hydrometeor oblateness is proposed, suitable for NWP applications where only limited hydrometeor information is available. Nonspherical hydrometeors tend to align during fall, introducing weak birefringence that can be detected during GNSS occultations with dual-polarization receivers.

The resulting refractivity expression is presented as a function of air density, temperature, moisture, and composition, and (using a simplified model of atmospheric evolution) also as a function of density, temperature, moisture, and time.

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#### 1 Introduction

Global Navigation Satellite System (GNSS) Radio Occultation (GNSSRO) is a technique for remote sensing of the Earth's atmosphere (Melbourne et al., 1994; Kursinski et al., 1997). It has proven highly useful for operational numerical weather prediction, (e.g. Healy and Thépaut, 2006; Cucurull et al., 2007; Aparicio and Deblonde, 2008; Rennie, 2008; Poli et al., 2009),



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and climate research, (e.g. Anthes et al., 2000; Steiner et al., 2011), providing high-resolution vertical profiles of temperature and humidity.

A major reason for this success is the excellent absolute calibration of GNSSRO. The data are derived from highly accurate and traceable frequency observations, a procedure that is intrinsically robust against instrumental bias. For thermodynamic measurements of the atmosphere, GNSSRO has established a qualitatively new traceability link to the International System of Units (SI), complementing in-situ instruments such as surface thermometers, radiosondes, and aircraft sensors.

Although no measurement is entirely free from error or bias, radio occultation provides observations of exceptionally high raw precision. How much of this precision can be translated into accuracy in the retrieved atmospheric variables remains an open question. The issue is linked, on one hand, to the interpretation of raw measurements in terms of specific propagation paths (e.g. Ao et al., 2003; Sokolovskiy, 2003; Xie et al., 2010), and on the other, to the connection between the radio-optical and thermodynamic properties of air, expressed through the refractive index as a constitutive relation). This latter connection is the focus of this study.

A previous investigation (Aparicio and Laroche, 2011), hereafter referred to as AL11, concluded that for this constitutive relation, an average accuracy of 0.1% can be routinely achieved in linking the radio-optical and thermodynamic properties of air (i.e. refractivity, pressure, temperature and moisture). It also concluded that current understanding of the relevant physics should allow an accuracy of 0.01%. Accurate laboratory measurements of air's constitutive properties already exist, but to exploit these and achieve accuracy better than 0.1%, proper attention must be given to the precise definitions of thermodynamic quantities, to the equation of state of moist air and its deviations from ideal-gas behaviour, to the various standard formulations of atmospheric humidity (specific and relative humidity, vapour pressure, dew-point depression, etc.), and to the strongly non-ideal behaviour of water vapour. Such considerations are essential not only when formulating constitutive relations and their parameters but also, and most importantly, during their routine use in NWP.

For these reasons, the simplest approach for a user may be to accept that an accuracy better than 0.1% is unlikely to be achieved. In this case, the thermodynamic details of the air equation of state or the representation of water vapour concentration are not especially demanding. However, achieving an additional order of magnitude in accuracy is realistic and can be valuable for many scientific and operational applications. Such a goal has been expressed, for example, by CGMS (2012), to improve the traceability of radio occultation data for both weather forecasting and climate research. The motivation is twofold: first, to ensure that the use of GNSSRO data is not limited by uncertainties in the constitutive relations, and second, to provide SI-traceable estimates of the accuracy of these relations.

It is therefore assumed hereafter that exceeding the 0.1% accuracy level is of interest. To ensure traceability, it is not only desirable that the resulting expressions are as accurate as possible, but also that their uncertainties be quantifiable and attributable to specific sources. On the other hand, achieving accuracy better than 0.01% does not appear realistic at present. Accordingly, the analysis presented here focuses on this intermediate range of accuracy.

Recently, in connection with the Radio Occultation Meteorology Experiment (ROMEX), several studies have addressed the expansion of radio-optical GNSS measurements to volumes significantly higher than those available over the past two decades. The number of global GNSSRO profiles has increased from about 10000 profiles per day, typical in major NWP centers since



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the launch of the COSMIC-2 mission (Schreiner et al., 2020), to between 20000 and 40000 global profiles per day. The impact of GNSSRO data, already substantial, generally increases with the higher volume, but also becomes more sensitive to details of data processing and assimilation.

NWP systems are highly sensitive to biases between expected and observed data. While instrumental biases may sometimes need correction, it is common practice to apply bias correction simply to ensure statistical consistency between forecasts and observations (see Eyre, 1992, 2016). GNSSRO measurements, however, are known to exhibit very low intrinsic bias. Therefore, they should not be bias-corrected solely for statistical alignment; rather, it is preferable to ensure that the traceability link between the radio-optical and thermodynamic properties of air remains as bias-free as possible.

In the present context, "sufficiently free of bias" is taken to mean "accurate enough that NWP systems do not perceive a bias originating from GNSSRO data," even when used at the highest practical data volumes, currently about 40000 profiles per day. Both the earlier AL11 formulation and the expression presented here have been tested with these enhanced volume experiments in the global NWP system at Environment and Climate Change Canada (ECCC), as part of the ROMEX studies. In both cases, the results were found to be sufficiently free of bias in the sense defined above, albeit with some differences discussed below.

An ansatz expression is developed here as an extension of AL11, together with an associated error budget. AL11 has already proven valuable in operational forecasting environments, improving the consistency among different SI-traceability links of atmospheric measurements, notably against radiosondes and aircraft data (Aparicio and Laroche, 2015), and leading to enhanced forecast performance. Both AL11 and the updated ansatz expression proposed here are similar to existing ones in the literature but differ in recommending the use of density as an independent variable rather than pressure. This choice allows a refractivity expression independent of the equation of state, since air shows measurable deviations from ideal-gas behaviour, already noticeable in dry air and increasingly relevant when water vapour is present.

The motivation for this expansion in scope beyond AL11 is twofold. First, some properties of air are imperfectly known; second, certain properties are known not to be static. Both must be included in the error budget. Regarding imperfect knowledge, the dielectric response of air's constituents (mainly  $N_2$ ,  $O_2$ , etc.) to an electromagnetic wave is known with finite accuracy. Regarding variability, even if the molecular properties were perfectly known, the composition of air is not fixed in space and time. The most obvious source of variability is the moisture content, already explicit in standard refractivity formulations. However, the composition of dry air is also variable, albeit to a lesser extent. Records of  $CO_2$  and  $O_2$  concentration (Keeling et al., 2001; Keeling, 2015a, b) indicate that dry air is not an immutable mixture. Several modes of variability can be identified in these records: global drift, seasonal, geographical, and vertical. Most are small in amplitude for the purpose of this study, and their effect on refractivity is below the target accuracy defined above. Of all the variability modes in the composition of dry air, this work focuses on the largest one, the long-term global drift associated with the measurable accumulation of  $CO_2$  in the atmosphere and the associated decrease in  $O_2$ .

The main microphysical model describing the molecular response of gas-phase air to an electromagnetic wave remains unchanged from Aparicio and Laroche (2011). However, the sources of information have been revised to provide error bounds traceable to specific laboratory measurements. Some microphysical elements have been incorporated, notably allowing air to



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be treated as a multiphase heterogeneous mixture, described as an effective medium: a gaseous matrix that may contain small inclusions of liquid (drops), or solid water (ice, snow). As long as these heterogeneities are small, the medium is effectively homogeneous at the scale of the test electromagnetic field. With GNSS wavelengths in the range  $\lambda_{GNSS} = 0.18 - 0.26m$ , this approximation applies to rain drops, ice particles, and snowflakes in the atmosphere, all small with respect to  $\lambda_{GNSS}$ .

Compared to AL11, the accuracy of the various input parameters has been reassessed, and the most critical ones identified, to determine which limit the overall accuracy of the final model and to apportion the net uncertainty among contributing sources. An updated refractivity expression is then presented. Although the differences relative to the earlier AL11 expression are small, they may be significant for certain applications. Most of the dry-air difference arises from a slightly revised composition of dry air. The new expression and its accompanying error analysis enable several additional applications.

First, specific laboratory measurements that are critical to the model's accuracy are identified, linking the reliability of the refractivity expression directly to the accuracy of those experimental data. Second, the dependence of refractivity on atmospheric composition is made explicit, which may be relevant to decadal-scale climate studies. Since composition is slightly time-dependent, the thermodynamic relationship between refractivity, pressure, moisture, and temperature effectively becomes time-dependent as well. Third, hydrometeors are included. Expressions for their contribution have been presented previously (e.g. Kursinski et al., 1997; Zou et al., 2012), and the main results obtained here are consistent with those studies. However, the dependence on hydrometeor shape is explicitly explored, as nonspherical particles tend to reorient during fall, leading to anisotropic shape distributions that interact differently with each polarization component of the signal. Whereas specific microphysical studies of refractivity and polarization effects for individual ice-crystal habits are ongoing (Padullés et al., 2023, and references therein), this work provides an estimate of refractivity dependence on general hydrometeor shape properties. Because NWP systems typically have limited information about hydrometeors, this analysis focuses on two key parameters: the density of water in hydrometeor form (as a fraction of air) and the hydrometeor oblateness as perceived by the electromagnetic signal. Including this anisotropy enables estimates of polarimetric effects. Users should evaluate whether these two parameters suffice for their purposes or whether more detailed scattering models for specific ice or snow shapes are required.

The various aspects of the microphysical model, relating molecular properties, atmospheric composition, hydrometeor presence, and refractivity are developed in Section 2. This model is then applied under a range of conditions to produce a fit suitable for practical use, in Section 3. This fit contain several adjusted parameters whose precise value depend on input measurements. An uncertainty analysis of the fit attributes the main sources of parameter uncertainty and identifies which measurements are most critical, indicating where updated experimental data could improve model performance. This analysis is discussed in Section 4, along with the differences relative to the earlier AL11 model and to other existing expressions. Finally, in Section 5, user expressions are recommended.

#### 2 Microphysical model of the air

The expression of atmospheric refractivity combines two largely independent aspects: (1) the relationship between the electromagnetic response and the number of molecules in an air parcel, and (2) the relationship between the number of molecules



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and the parcel's mass or density. The first depends on molecular polarizability and dipole, whereas the second is governed by molecular mass.

A microphysical model linking molecular properties (polarizability, electric and magnetic dipoles, and molecular mass) to macroscopic refractivity was described in AL11. The present study follows a similar approach, updating the selection of experimental data. AL11 described a single-phase gaseous medium, which with minor modifications is retained here as the gas phase of atmospheric air. In the present work, the model is extended to a potentially multiphase mixture, allowing for small liquid or solid inclusions (droplets or snow, not necessarily spherical) may be present. Inclusions (solid or liquid) are assumed to occupy small fractions of the total volume and mass, and to be much smaller than the electromagnetic wavelength. Under these conditions the mixture can be treated as a homogeneous effective medium (Garnett, 1904; Bruggeman, 1935).

The microphysical model is here reviewed briefly. The refractive index of the effective medium will follow Maxwell's equation (Born and Wolf, 1999, Sec. 1.2):

$$n = \sqrt{\epsilon_r \mu_r} \tag{1}$$

where  $\epsilon_r$  and  $\mu_r$  are the relative electric permittivity and magnetic permeability. Although  $\epsilon_r$  and  $\mu_r$  are generally frequency-dependent, at GNSS frequencies (1.1-1.6GHz), which lie well below the lowest vibrational and rotational resonances of air and water vapour (Liebe et al., 1993), they can be accurately described by their static limits. Most of this response is electric, and only a small fraction is magnetic.

Air, of relative permittivity and permeability  $(\epsilon_r, \mu_r)$ , is here considered as a mixed phase medium containing:

- A matrix gas phase, with  $(\epsilon_r^g, \mu_r^g)$ , containing dry air and water vapour.
- A liquid phase (water drops), with  $\epsilon_r^l$ , while assuming  $\mu_r^l = 1$ .
- A solid phase (ice or snow), with  $\epsilon_r^i$ , while assuming  $\mu_r^i = 1$ .

The magnetic response of water and ice hydrometeors is thus neglected. The single-phase relative permittivities  $(\epsilon_r^g, \epsilon_r^l, \epsilon_r^i)$  will be evaluated, and from them the effective relative permittivity of the composite,  $\epsilon_r$ . Similarly, for the permeabilities, but since the magnetic response of water and ice is neglected, the permeability of the composite will be  $\mu_r = \mu_r^g$ .

Dry air is assumed to be well mixed at the spatial scales relevant to GNSSRO. However, the model allows for spatial and temporal variability at much larger scales (Earth's hemispheres, seasons, decades). This variability is primarily driven by the increasing concentration of  $CO_2$  (Keeling et al., 2001), and the generally anticorrelated concentration of  $O_2$ .

A list of values for the constituents' concentrations was presented in AL11, which were assumed to be static. The four leading substances in dry air  $(N_2, O_2, Ar$  and  $CO_2)$  are revisited here, and based on additional observations. Firstly, their concentrations are not considered here constant parameters. The dielectric and magnetic properties of these substances have been slightly updated from AL11, and we follow the expressions offered by some laboratory measurements (Schmidt and Moldover, 2003) that have been here selected as particularly accurate. The use of the provided expressions allows better linking between refractivity and measurement, for error traceability. All trace constituents (those found in air at concentration





below that of  $CO_2$ , such as Ne, He, etc.) are retained, as in AL11, unmodified. Since concentrations are not assumed to be fixed, the description of the state of a parcel of air will therefore include density, temperature, and moisture, as usual, but also some composition details (concentration of  $O_2$  and  $CO_2$ ).

Each chemical substance is assumed to have a fixed isotopic composition, and its properties assumed to be those of the average isotopic mixture found in nature. The isotopic composition affects nearly only molar mass, as molecular polarizability or magnetizability show very small isotopic dependence for dry air substances. Only water is split into a fixed isotopic mix, since besides mass, molecular electric dipole can be affected, which is one of the major sources of air refractivity. In the case of water, the isotopic composition is kept constant across the vapour, liquid, and solid phases.

# 2.1 The gas phase

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In the gas phase, intermolecular interactions are sufficiently weak that the standard Lorentz-Lorenz formulation applies (Aparicio and Laroche, 2011):

$$\epsilon_r^g = 1 + 4\pi \frac{2 + \epsilon_r}{3} \sum_i n_i \left[ \alpha_i + \frac{\mu_i^2}{3k_B T} f(\epsilon_r) \right]$$
 (2)

where  $\epsilon_r^g$  is the relative permittivity of the gas phase, and the sum is over all pure constituents i of the mixture. This expression relates the macroscopic dielectric response to microscopic properties of each species, such as molecular polarizability  $\alpha_i$ , permanent electric dipole moment  $\mu_i$ , and particle number density  $n_i$ .

The structure of Eq. (2) shows that the refractivity associated with molecular polarizability scales with  $n_i$  and therefore with the constituent mass density  $\rho_i$ . The refractivity arising from the permanent dipoles  $\mu_i$  scales as  $\rho_i/T$ . We emphasize that all microscopic contributions depend on the effective medium in which the molecules are embedded, through its effective permittivity  $\epsilon_r$ . The factors  $\frac{2+\epsilon_r}{3}$  and  $f(\epsilon_r)$  represent these medium interactions. Because the environment includes not only of the gas phase but also any coexisting condensed phases, Eq. (2) is implicit in  $\epsilon_r$ . The weak interactions in air allow this dependence to be resolved iteratively, starting from  $\epsilon_r = 1$ , with very fast convergence.

The function  $f(\epsilon_r)$  represents the interaction between a molecular dipole and its polarizable surroundings and can be written (Buckingham, 1956):

$$f(\epsilon_r) = g \frac{9\epsilon_r}{(2\epsilon_r + 1)(\epsilon_r + 2)} \tag{3}$$

where the correlation factor g quantifies the difference between the local environment of a polar molecule, and that of an average air parcel. When molecular interactions are weak, both f and g are close to unity. Since water vapour is the only major atmospheric constituent with a significant permanent electric dipole moment, the dipole term in Eq. (2) applies almost entirely to  $H_2O$ . Thus, the functions f and g of interest are essentially water-specific. An explicit expression for g is given in IAPWS (1997), which also enables evaluation of f. Although g can become large in condensed water phases and is easy measured at high molecular densities (IAPWS, 1997), here it is required only at the very low densities of atmospheric water vapour, where g-1 is small but not negligible. Existing parameterizations interpolate between the zero-density limit (g=1) and condensed-phase values, leaving uncertainty in g at the low densities relevant for atmospheric moist refractivity.



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The magnetic response is always very weak and follows a Lorentz-Lorenz relation analogous to the electric case. The molecular dipole contribution arises almost entirely from  $O_2$ . Because magnetic dipole interactions are much weaker than those of water electric dipoles, the associated correlation factors are negligible:  $f(\mu_r) \approx 1$ , and we take  $(2 + \mu_r)/3 \approx 1$ . The magnetic permeability of the gas phase then reduces to

$$\mu_r^g = 1 + 4\pi \sum_{i} n_i \left[ \chi_i + \frac{\nu_i^2}{3k_B T} \right] \tag{4}$$

where  $\chi_i$  and  $\nu_i$  are the molecular magnetizability and permanent magnetic dipole moment of species i. Unlike the electric case, Eq. (4) does not require iterative evaluation. Furthermore, water -vapour, liquid, or solid- has a very weak magnetic response, so the relative permeability of air may be taken to be that of its gas phase alone:  $\mu_r = \mu_r^g$ .

#### 2.2 Concentration of dry substances

Excluding water in any phase, and assuming that other particulates such as dust contribute negligibly, the remaining atmospheric constituents are referred to as  $dry \, air$ . A representative list of dry-air components and their approximate properties was provided in AL11. Here we examine in more detail the four dominant species  $(N_2, O_2, Ar \text{ and } CO_2)$ . For all other constituents, we assume that their concentrations and electromagnetic properties are known with sufficient accuracy for the present purpose.

The concentration of  $CO_2$  varies (Keeling et al., 2001), most prominently as a long-term drift, and also following seasonal and latitudinal patterns. To accommodate this variability, the present model explicitly treats the molar fraction of carbon dioxide,  $x_{CO_2}$ , as a free variable. Measurements and reconstructions over the industrial period (Keeling, 2015a) indicate  $275 < x_{CO_2}/10^{-6} < 430$ , with the upper end representative of recent values.

It is also well established (Keeling et al., 2001; Keeling, 2015b) that the  $O_2$  concentration exhibits a small but measurable variability. Its value is approximately  $x_{O_2} \approx 0.2095$ , with a decreasing trend that is, to a large extent, negatively correlated with  $x_{CO_2}$  (Keeling et al., 2001). For this reason, refractivity calculations in this study do not assume fixed values of  $x_{O_2}$  or  $x_{CO_2}$ . Instead, both are treated as independent variables, analogous to density, temperature, and water vapour content.

The molar fraction of Ar, and its uncertainty, has been determined (see Picard et al., 2008; Tohjima et al., 2005, and references therein) as  $x_{Ar} = 0.009332(3)$ . It will be assumed in this study that this value and error estimate are accurate, and that this concentration is stable. Similarly, the molar fractional concentration of all traces (Ne and other minor species) are taken as fixed at their AL11 values. Their abundances are low enough that any natural variability would have a negligible effect on L-band refractivity.

Finally, because  $N_2$  behave as a nearly passive background gas, its molar fraction is obtained by normalization within the dry-air mixture:

$$x_{N_2} = 1 - \sum_{i \neq N_2} x_i \tag{5}$$



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**Table 1.** Virial expression of the dielectric properties of the four leading constituents of dry air. Units of  $A_{\epsilon}$ , b, and  $A_{\tau}$  in  $cm^3/mol$ . Units of c and q in  $cm^6/mol^2$ .

Subst.	$A_\epsilon$	b	c	$A_{\tau}$	q
$N_2$	4.38748	0.417	-	0.00170	-
$O_2$	3.95875	-0.077	-	0.00648	-
Ar	4.14203	0.281	-	-	-
$CO_2$	7.34590	10.023	-557.	_	114.21

### 2.3 Electromagnetic properties of dry substances

Accurate measurements of the electromagnetic response of the four dominant dry-air constituents,  $N_2$ ,  $O_2$ , Ar and  $CO_2$  have been obtained using high-sensitivity cavity resonators (Schmidt and Moldover, 2003). These measurements do not directly provide the microscopic parameters required in Eqs. (2) and (4). Instead, they yield closely related macroscopic quantities, namely the virial coefficients describing the dielectric or paramagnetic response of the pure substances.

The polarizability  $\alpha_0$  of a molecule is related to the dielectric virial  $B_{\epsilon}$  of a pure substance through:

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$$\alpha_0 = \frac{1}{\left(\frac{4\pi}{3} \cdot 10^{-24} \cdot N_A\right)} B_{\epsilon}$$
 (6)

where  $N_A$  is Avogadro's number. The measurements of Schmidt and Moldover (2003) show that  $B_{\epsilon}$  is not strictly constant but exhibits small dependencies on temperature and molar density. Their empirical fit is:

$$B_{\epsilon}(d_m, T) = A_{\epsilon} \left( 1 + b \cdot d_m + c \cdot d_m^2 \right) + A_{\tau} \left( \frac{T}{273.16K} - 1 \right) + q \cdot d_m \left( \frac{273.16K}{T} - 1 \right)$$
(7)

with  $d_m$  the molar density. The coefficients for the relevant substances are listed in Table 1; the values were provided with very high nominal accuracy.

It is noteworthy that the experimentally determined dielectric virial  $B_{\epsilon}$  depends on the thermodynamic state, despite being proportional in principle to the molecular property  $\alpha_0$ , which is often assumed constant. In AL11, the  $\alpha_0$  were indeed treated as constant. Part of the observed variation may reflect macroscopic effects such as uncertainties in the equation state used during data reduction in Schmidt and Moldover (2003). However, small physical variations of molecular polarizability with density or temperature are also plausible, due to intermolecular forces or molecular deformation, vibration or stretching.

Because measurements of Schmidt and Moldover (2003) are representative of highest current or foreseeable achievable accuracy for this type of experiment, we retain Equations (6) and (7) in the present study. This choice accepts that the molecular polarizabilities may not be strictly constant and that Table 1 captures these subtle effects. Including the tabulated coefficients preserves direct traceable connection between laboratory measurements and the refractivity model.

Most dry-air constituents exhibit only very weak magnetic response. To verify the order of magnitude of the contributions, simple estimates of molecular magnetizability were used (Lide, 2001). The sole non-negligible contribution is from  $O_2$ , which is paramagnetic and possesses a comparatively large magnetic dipole moment  $\nu_i$  (Aparicio and Laroche, 2011). Following





AL11, a simplified model is adopted for all species except  $O_2$ , for which a more accurate expression from May et al. (2008) is used to represent the magnetic dipole  $\nu_{O_2}$ . The contribution of  $O_2$  to Equation (4) is:

$$245 \quad 4\pi \left[ \chi_{O_2} + \frac{\nu_{O_2}^2}{3k_B T} \right] = \left[ -0.13 \cdot 10^{-9} + \frac{N_A \cdot \mu_0 \cdot \mu_m^2}{3k_B T} \right] \tag{8}$$

where  $\mu_0 \equiv 4\pi \cdot 10^{-7} NA^{-2}$  is the vacuum permeability, and  $\mu_m = \sqrt{2} \cdot 2.00386 \cdot \mu_B$  is the molecular magnetic moment, with  $\mu_B = 9.274 \cdot 10^{-24} JT - 1$  the Bohr magneton. The comparatively large bulk permeability arises from the substantial magnetic dipole moment  $\nu_{O_2}$ , rather than a large molecular susceptibility  $\chi_{O_2}$ .

#### 2.4 Water fraction

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Water contributes to atmospheric refractivity primarily through its permanent electric dipole  $\mu_{H_2O}$ , which is comparatively large among small molecules; by contrast most other air constituents are non-polar. The static polarizability  $\alpha_{H_2O}$ , however, is similar in magnitude to that of the other major atmospheric species.

Because water is a major contributor to refractivity, and for consistency with AL11, several isotopologues are considered. These exhibit slightly different electric responses, mainly through variations in their molecular dipole moments. The overall effect on refractivity is minor, since the most abundant isotopologue (light water) comprises approximately 99.8 % of naturally occurring water. Although various processes in the hydrological cycle fractionate the isotopologues away from the global mean composition, this effect is neglected here, because it influences only a small fraction of water molecules. The isotopic fractions and microscopic properties used in this study follow AL11 and are based on IAPWS (2001) and Shostak et al. (1991).

The average molar mass of water is determined from the assumed isotopic composition and is taken to be constant across vapour, liquid and solid phases. The adopted value is  $m_w = 18.01525$ .

## 2.4.1 Precipitated inclusions

Besides water vapour, atmospheric water also occurs in liquid (drops) and solid form (ice and snow). Under such conditions, condensed water contributes to the refractivity of the air matrix (Kursinski et al., 1997). This contribution may be represented by treating condensed water as small inclusions embedded in the air matrix (see Garnett, 1904), or, more symmetrically between phases, by an effective medium formulation that mixes multiple phases (Bruggeman, 1935). The resulting refractivity depends not only on the fraction of water present as hydrometeors but also on their shape and orientation.

Here, it is assumed that drops, ice crystals, and snowflakes may deviate from perfect sphericity, but only the simplest mode of asphericity is considered: rotational ellipsoids, with symmetry axes aligned with gravity.

Real hydrometeors are neither perfectly ellipsoidal, nor perfectly aligned. Random tilt of the principal axis is expected, and aerodynamic forcing (e.g. wind shear) may induce systematic tilt (canting). Moreover, drops, flakes and ice particles exhibit wide variability in size, shape, and orientation, with substantial spatial and temporal variability. Therefore, the present exploration of non-sphericity is not intended to be exhaustive; its purpose is to estimate the approximate effect on refractivity of the dominant main modes of asphericity. For the same reason, this model is not designed to retain high accuracy when hydrometeor concentrations are large, and the traceability link is not expected to remain robust in such cases.



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An ellipsoidal dielectric inclusion embedded in a homogeneous matrix is polarizable and responds to an external test field (e.g. Stratton, 1941). The response includes a depolarizing field within the inclusion, which partially screens the interior from the applied field. The magnitude of this depolarization depends strongly on the object's shape and orientation relative to the field, and conversely, for a fixed shape and orientation of the object, the response depends on the direction of the test field with respect to the object.

All phases considered here -the matrix gas, liquid water, and to a very good approximation ice- are optically isotropic, responding identically to all electromagnetic polarizations. However, the geometric orientation of the hydrometeors may introduce anisotropy if they assume preferential orientations while falling. This constitutes a case of form anisotropy (Born and Wolf, 1999, Sec 15.5.2). Falling drops deform into oblate shapes (Pruppacher and Beard, 1970), with the minor axis roughly vertical and two equal major axes lying horizontally. Ice and snow particles also tend to reorient.

Because depolarization depends on the relative alignment between the test field and the oblate hydrometeor, the effective medium consisting of the air matrix and the inclusions becomes anisotropic. Two principal configurations arise: the electric vector is aligned with the major axis of the hydrometeor, or with the minor axis (see Fig. 1). In the atmosphere, for horizontally propagating electromagnetic signals and vanishing canting, the electric vector aligns with the major axis for linear horizontal polarization (H, in Fig. 1), and with the minor axis for linear vertical polarization (V). All other combinations of drop orientation and polarization can be expressed as mixtures of these two cases.

Interestingly, the dielectric response of the inclusions depends on the amount of condensed matter that they contain, as well as on their shape, but not directly on their size. Size and shape are correlated due to the fall (Pruppacher and Beard, 1970), and also through their association with meteorological variables such as rain rate. Because the aim is to characterise the optical properties of air, the independent variables are chosen to be those directly entering the refractivity expression –namely the amount of condensed water (in drops, ice or snow) and the particle shape–, rather than meteorological quantities that correlate with them.

Shape will be expressed as the axis ratio between the vertical (V) and horizontal (H) axes, denoted  $a_l$  for liquid drops and  $a_i$  for frozen particles. This ratio is 1 if they are spherical. Falling drops tend to become oblate, so typically  $a_l \le 1$ .

A volume fraction  $\eta_l$  is occupied by liquid water, whose bulk electric permittivity many be represented by (Malmberg and 300 Maryott, 1956):

$$\log_{10} \epsilon_l = 1.94315 - 0.0019720 \cdot (T - 273.15K) \tag{9}$$

These authors report an uncertainty of  $\sigma_{\epsilon_l} = 0.050$ . This permittivity is very large, and produces large depolarization fields in the drops, strongly screening the molecules inside from the test field. Since the overall contribution of liquid water to air's refractivity is small, this expression is here considered to be sufficiently accurate.

Similarly, a volume fraction  $\eta_i$  is occupied by ice or snow, with axis ratio  $a_i$ , which may differ from unity. For ice, the electric permittivity from Mätzler and Wegmüller (1987) is chosen here:

$$\epsilon_i = 3.1884 + 9.1 \cdot 10^{-4} \cdot (T - 273.15K) \tag{10}$$





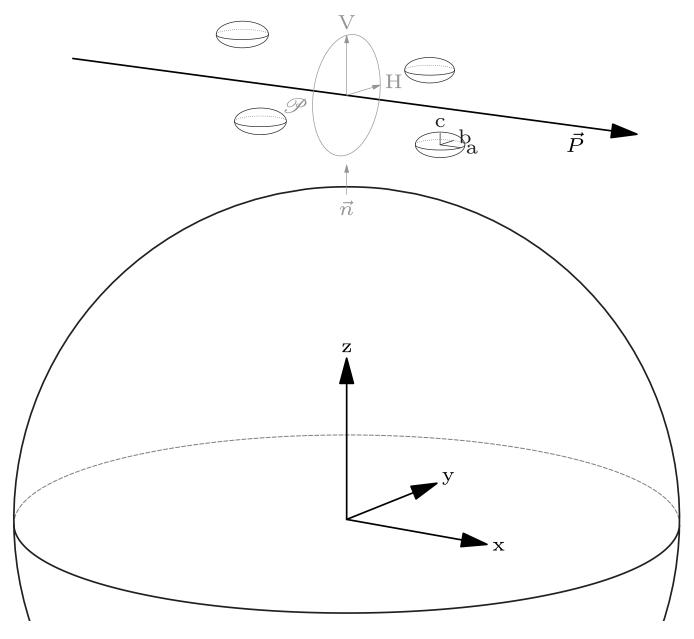


Figure 1. Scheme for a signal propagating along P, through the Earth's atmosphere. The signal finds flattened drops, of axes a=b>c in its path. The electric field oscillates within the polarization plane  $\mathscr{P}$ . Due to the symmetry of the drops, there is always in  $\mathscr{P}$  a direction H that lies inside the symmetry plane (a,b). Oscillations along this direction H meet the drops along their major axis. Oscillations in the perpendicular direction V meet the drops at some other angle, that depends on the elevation angle of the ray and the canting angle of the drops. In the case depicted, a horizontal ray and zero canting, V is oriented along the minor axis of the drops.





where they estimate the error to be  $\sigma_{\epsilon_i} = 0.0050$ . This permittivity is also large, though less than that of liquid water. This expression is considered adequate, since even under extreme conditions (e.g. intense hail) ice contributes only a small fraction air's refractivity.

In summary, the independent variables introduced here are the precipitated mass of liquid and solid water per unit volume of air,  $\rho_l$  and  $\rho_i$ . These correspond to the liquid water content (LWC) and ice water content (IWC), typically ranging  $0 \le \rho_l < 10^{-2}$  and  $0 \le \rho_i \le 4 \cdot 10^{-3}$ , in  $kg/m^3$ . Axis ratios (vertical/horizontal) for liquid and solid ellipsoids  $a_l$  and  $a_i$  have been considered between 0.5 and 1.25.

#### 315 2.5 Multiphase model

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Air is represented here as an effective medium composed of three phases: a gas matrix occupying most of the volume, and possibly liquid and ice phases present as small inclusions. Their respective volume fractions are  $\eta_g$ ,  $\eta_l$ , and  $\eta_i$ , which are subject to  $\eta_g + \eta_l + \eta_i = 1$ , and typically  $\eta_g \gg \eta_l + \eta_i$ .

The phases have permittivities  $\epsilon_g$ ,  $\epsilon_l$ , and  $\epsilon_i$ . These are assumed to be known:  $\epsilon_g$  from the microscopic gas-phase model described above, and  $\epsilon_l$  and  $\epsilon_i$  from published measurements (Malmberg and Maryott, 1956; Mätzler and Wegmüller, 1987). At scales large enough for the mixture to appear homogeneous, the ensemble of the gas matrix and embedded inclusions exhibits an effective permittivity  $\epsilon_r$ , which we aim to determine.

Following Choy (1999) (and references therein), the effective medium corresponds to a certain average of the different phases k. The average can be obtained by solving:

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$$\sum_{k} \eta_{k} \left( \frac{\epsilon_{k} - \epsilon_{r}}{\epsilon_{k} + p_{k}^{i} \cdot \epsilon_{r}} \right) = 0$$
 (11)

which is approximately a volume-weighted average. Here  $p_k^i = 1/P_k^i - 1$  is a shape factor that depends on the depolarizing factor  $P_k^i$ . The depolarizing factors describe the geometric exposure of the material inside the inclusions of phase k, to a test field oriented along direction i direction, and satisfy, for each phase k:  $\sum_{i \in (x,y,z)} P_k^i = 1$ . Because the depolarization depends on both the shape of the inclusions and their relative orientation relative to the test field (Stratton, 1941), the effective dielectric response  $\epsilon_r$  is direction-dependent. Thus, instead of a single scalar permittivity, the model yields directional components  $\epsilon_r^i$ , corresponding for example to different polarization states of the test field.

A rearrangement of Eq. (11), using the dominance of the gas phase and allowing for direction-dependent effective permittivities  $\epsilon_r^i$ , gives:

$$\epsilon_r^i = \epsilon_g + \frac{\epsilon_g + p_g^i \cdot \epsilon_r^i}{\eta_g} \sum_{k \neq g} \eta_k \cdot \frac{\epsilon_k - \epsilon_r^i}{\epsilon_k + p_k^i \cdot \epsilon_r^i} \tag{12}$$

As noted in Sect. (2.1),  $\epsilon_g$  is implicitly defined and depends weakly on the dielectric response of the surrounding medium, previously denoted  $\epsilon_r$ , but which becomes direction-dependent  $\epsilon_r^i$  in the presence of hydrometeors. The nonlinearities in Eq. ((2)), for  $\epsilon_g$ , and in Eq. (12), for  $\epsilon_r^i$ , suggest an iterative solution as the most appropriate approach. Starting with an initial guess  $\epsilon_r^i = 1$  leads to rapid convergence.



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The simplest inclusions are small spheres, representative for example of small droplets. Their symmetry implies  $P^i = 1/3$  for all i. Here, the possible inclusions are generalized to rotational ellipsoids whose axis of rotation is nearly vertical, possibly with some canting. Because particles fall under gravity, the two quasi-horizontal directions are expected to be equivalent. The degree of asphericity is represented by the axial ratio a, defined as the ratio of the quasi-vertical to quasi-horizontal axes. Typical atmospheric values lie between 0.5-1 (Pruppacher and Beard, 1970). Exact closed-form expressions exist for the depolarization factors  $P^i$  of arbitrary ellipsoids (e.g. Landau et al., 1984), but given the variability of real hydrometeors in shape and orientation, a simpler but accurate approximation is sufficient.

We therefore use two depolarization factors for each inclusion type:  $P_v$  for test fields along the quasi-vertical axis (the axis of symmetry), and  $P_h$  for fields in the quasi-horizontal plane. These must satisfy  $P_v + 2 \cdot P_h = 1$ . The following approximate expressions, proposed by Jones and Friedman (2000), satisfy the closure relations and reproduce the limiting behaviours:

$$P_v = \frac{1}{1 + 1.6 \cdot a + 0.4 \cdot a^2} \tag{13}$$

and  $P_h = 0.5 \cdot (1 - P_v)$ . For spherical hydrometeors (a = 1), these reduce to  $P_v = P_h = 1/3$ . For aligned oblate spheroids, as drops, where a < 1, they satisfy  $P_v > 1/3 > P_h$ .

#### 2.6 Axial ratios

Liquid and frozen hydrometeors (drops, ice particles, and snowflakes) have been treated as inclusions within an effective-medium, the matrix being the gas phase of air, which includes dry air and water vapour. The effective index of refraction of the mixture depends primarily on mass fraction of each phase (gas, liquid, and ice), and the dielectric properties of those phases, with an additional, weaker dependence on the shapes of the inclusions. Even if each individual phase is dielectrically isotropic, preferential hydrometeor shapes introduce geometric anisotropy into the mixture, resulting in a macroscopically anisotropic optical response.

When liquid water and snow particles are preferentially aligned around a quasi-vertical direction, two refraction indices must be evaluated, one for electric test fields along the alignment direction ("vertical"), and another for fields perpendicular to it ("horizontal"). While the alignment need not be perfectly vertical or horizontal because of canting, these terms remain convenient. These resulting refraction indices depend on the amount of precipitated water, its thermodynamic phase, and the mean axial ratios of the inclusions, but not directly on particle size. Indirectly, however, size does influence the axial ratios: larger raindrops and higher rain rates are associated with more pronounced flattening (see Pruppacher and Pitter, 1971; Beard and Chuang, 1987; Beard et al., 2010, and references therein).

Numerous studies of raindrop shape indicate that liquid hydrometeors can be represented as oblate spheroids with axial ratios between 0.5 and 1. Several empirical relationships have been proposed linking axial ratio, drop size, and rain rate. A simple, order of magnitude example, Pruppacher and Beard (1970) suggests:

$$a_l = min(1.030 - 0.124r_0, 1) \tag{14}$$

where  $r_0$  is the drop-equivalent (volume-based) radius. In this study, however, we focus solely on the optical coupling between drops and the electromagnetic field, which does not depend explicitly on drop size.



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Ice particles are not flexible to adjust their shapes as they fall, but they do exhibit preferential orientation. Depending on the specific hydrometeor type (pellets, hail, crystals, etc.), both oblate and prolate forms are commonly observed. For the purposes of this work, limited to only the axial ratio, we explore a representative range of  $0.5 < a_i < 1.25$ .

#### 2.7 Approximation to the evolution of composition

Long-term atmospheric composition records show that, beyond seasonal patterns, the atmospheric fraction of  $CO_2$  has been steadily increasing, while the fraction of  $O_2$  has been decreasing (e.g. Keeling et al., 2001). Both trends reflect carbon-oxidation processes. The quantitative relationship between the two is not fixed, and depends on the stoichiometry of oxidation processes, as well as on additional sources and sinks of carbon and oxygen.

The predominant forms of carbon oxidation would suggest that substitution of  $O_2$  to  $CO_2$  in air approximately a 1:1 molar ratio, implying that the molar sum of  $O_2$  and  $CO_2$  should remain nearly constant. This behaviour is often observed in short-term laboratory studies (e.g. Picard et al., 2008), and in urban environments (e.g. Keeling, 1988). However, long-term background observations of molar fraction in well-mixed non-urban areas, show that the decline in  $O_2$  is significantly larger –by roughly a factor of two, (Keeling et al., 2001)– than the increase of  $CO_2$ . This disparity is interpreted as evidence that a substantial fraction of the newly produced atmospheric  $CO_2$  is transferred to land and ocean reservoirs, where is accumulates -particularly in dissolved form within the oceans- while atmospheric  $O_2$  experiences comparatively limited exchange (Manning and Keeling, 2006).

Given the availability of multi-decadal background observations from numerous stations (see Keeling et al., 2001; Keeling, 2015a), we may produce fits to the composition over recent decades. A quadratic function of time provides a good fit to the deseasonalized record from 1958 to the present. Because there is a significant latitudinal gradient, a hemispheric asymmetry term is included, proportional to the sine of latitude  $\lambda$ . Since the period of interest for the present study corresponds to the availability of GNSS observations (approximately 1994-present), time is expressed relative to the year 2000, as  $y_{2000} = y - 2000$ . The residuals of the fit are small, suggesting that expression can reasonably be extrapolated into the near future.

$$x_{CO_2}(y,\lambda) = 10^{-6} \cdot \left[368.625 + 1.798 \cdot y_{2000} + 0.0118 \cdot y_{2000}^2 + 2.224 \cdot \sin(\lambda)\right]$$
(15)

395 which captures both a linear trend and a measurable acceleration.

Oxygen is not measured by Keeling et al. (2001) in absolute units, but relative to a reference sample. The deseasonalized trends from this relative dataset (Keeling, 2015b) constrain the rate of decline, its acceleration, and the latitudinal dependence. The absolute level is set using measurements by Tohjima et al. (2005), who report  $x_{O_2} = 0.209392 \pm 3$ , at the year 2000 and at latitude 24N. The constant term in Eq. (16) is chosen to reproduce that value, with the remaining terms reproduce the relative measurements:

$$x_{O_2}(y,\lambda) = 10^{-6} \cdot [209393 - 3.953 \cdot y_{2000} - 0.0363 \cdot y_{2000}^2 - 3.064 \cdot \sin(\lambda)]$$
(16)

Both fits are intended to capture the long-term background evolution. These measurements reflect well-mixed tropospheric air, which becomes homogenized over a time scale of a few months. Stratospheric air exchanges more slowly with the troposphere, leading to detectable differences in  $CO_2$  concentration (e.g. Georgii and Jost, 1969) and to a typical lag of about 4 years





in stratospheric  $CO_2$  relative to the deseasonalized tropospheric mean. This lag varies with latitude and altitude, typically between 1-6 years (Andrews et al., 2001). A further refinement could incorporate the seasonal cycle in the troposphere -especially pronounced in the northern hemisphere and reaching a few ppm- but for the purposes of this study the above expressions are sufficiently accurate. In particular, the absolute abundance of  $O_2$  is not known to within better than about 3 ppm, comparable to the amplitude of the seasonal cycle.

#### 410 3 Proposed expression

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An ansatz analogous to the one proposed in Aparicio and Laroche (2011) is adopted in this study. Relative to that earlier formulation, two principal modifications are introduced. First, the main dry-air term is no longer treated as constant, because the atmospheric composition is not assumed to be static. Although the resulting dependence is small for compositions observed in recent decades, it is included here to assess the long-term signature of composition changes in refractivity. Second, additional terms are incorporated to represent the contributions of precipitated water. These are known to be moderately small (Kursinski et al., 1997), but particular attention is paid in order to explore the optical anisotropy that they may develop. The proposed ansatz is:

$$N \equiv (n-1) \cdot 10^6 = N_0 \cdot \left(1 + \frac{10^{-6}}{6} N_0\right) \tag{17}$$

$$N_0 = [q_1(x_{O_2}, x_{CO_2}) + q_2 \cdot \tau] \rho_d +$$
(18)

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$$[q_3 + q_4 \cdot \tau] \rho_w +$$
 (19)

$$q_5 \cdot f_l(a_l; p) \cdot \rho_l +$$
 (20)

$$q_6 \cdot f_i(a_i; p) \cdot \rho_i$$
 (21)

where the refractivity N depends on the amount of matter per unit volume in each component: dry air  $\rho_d$ , water vapour  $\rho_w$ , liquid water  $\rho_l$ , and frozen water  $\rho_i$ . Refractivity also depends on the absolute temperature T through  $\tau = 273.15 K/T - 1$ . The function  $q_1$ , which is nearly constant, is assumed to take the form:

$$q_1(x_{O_2}, x_{CO_2}) = q_{10} + q_{11} \cdot (x_{O_2} - 0.2095) + q_{12} \cdot x_{CO_2}$$
(22)

where the first term represents the average composition of dry air, in the limit of vanishing  $CO_2$ . The second accounts for small deviations of the  $O_2$  molar fraction relative to the reference value of  $x_{O_2} = 0.2095$ , close to present-day conditions. The third term represents the contribution of  $CO_2$ . A linear dependence is considered sufficient for both the second and third terms, because variations of  $O_2$  around the reference value are small, and  $CO_2$  remains a trace constituent.

Since Eq. (17) is expressed in terms of density, and its conversion to pressure is frequently required, we provide the corresponding expression for the mean molar mass of dry air that is consistent with the assumed composition.

$$m_d(x_{O_2}, x_{CO_2}) = m_{d0} + m_{d1} \cdot (x_{O_2} - 0.2095) + m_{d2} \cdot x_{CO_2}$$

$$\tag{23}$$





The polarization functions are modelled as quadratic functions of the axial ratio and depend on the linear polarization state p, which may be aligned with the rotation axis of the hydrometeor ellipsoids (denoted vertical or V), or perpendicular to it (horizontal, H), see Fig. 1). For liquid inclusions:

$$f_l(a_l; V) = 1 + c_{1V} \cdot (a_l - 1) + c_{2V} \cdot (a_l - 1)^2$$
(24)

$$f_l(a_l; H) = 1 + c_{1H} \cdot (a_l - 1) + c_{2H} \cdot (a_l - 1)^2 \tag{25}$$

and solid:

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$$f_i(a_i; V) = 1 + d_{1V} \cdot (a_i - 1) + d_{2V} \cdot (a_i - 1)^2$$
 (26)

$$f_i(a_i; H) = 1 + d_{1H} \cdot (a_i - 1) + d_{2H} \cdot (a_i - 1)^2 \tag{27}$$

The closure relation for the depolarization coefficients imposes constraints between the two polarizations, namely:  $c_{1V} + 2 \cdot c_{1H} = 0$ , and  $d_{1V} + 2 \cdot d_{1H} = 0$ .

#### 3.1 Fit to a user expression

The coefficients introduced above are determined by constructing a large ensemble of physical conditions -spanning pressure, temperature, moisture, dry air composition, and hydrometeor shape- that reflects a broad range of realistic atmospheric states.

Pressures are selected to represent conditions from the surface to the mid-stratosphere. Surface temperatures range from  $-60^{\circ}C$  to  $40^{\circ}C$ , and are extrapolated to upper-air temperatures using typical lapse rates (NOAA et al., 1976). Water vapour is varied from zero up to 25% above saturation at the local conditions. To avoid unrealistically moist stratospheric states, a constraint is imposed to prevent the water fraction from increasing with altitude. In supersaturated cases, water vapour is capped at the saturation density, with any excess assigned to hydrometeors. Hydrometeor mass therefore reaches up to 25% of the saturation density of the local saturation vapour density, partitioned liquid or solid according to temperature.

Carbon dioxide concentrations range from 300 and 450 ppm, and the  $O_2$  molar fraction from 0.209 and 0.210. Axial ratios (vertical/horizontal) of hydrometeor ellipsoids span the interval 0.5-1.25.

The full ensemble comprises more than 150000 atmospheric states. For each state, the microphysical model is used to compute the refractivity for both linear polarizations, and the molar mass of dry air is evaluated.

To ensure numerical stability, the fitting procedure is carried out in two stages. In the first stage, all coefficients not related to hydrometeor asphericity are adjusted. This stage involves Eqs. ((17)) to ((23)), and determines coefficients  $q_1$  to  $q_6$ , including  $q_{10}$  to  $q_{12}$ , and  $m_{d0}$  to  $m_{d2}$ . Only the subset of conditions that contain either no hydrometeors, or hydrometeors of spherical shape is included. This first fit yields the following expression:

$$N_0 = [q_1(x_{O_2}, x_{CO_2}) + 0.097 \cdot \tau] \rho_d +$$
(28)

$$[6703.497 + 6393.484 \cdot \tau] \rho_w +$$
 (29)

$$1447.827 \cdot f_l(a_l; p) \cdot \rho_l +$$
 (30)

$$686.944 \cdot f_i(a_i; p) \cdot \rho_i \tag{31}$$



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with refractivity expressed in N-units, densities in  $kg/m^3$ , and  $\tau = (273.15K/T - 1)$ . The function  $q_1$  is determined from the first-stage fit to be:

$$q_1(x_{O_2}, x_{CO_2}) = 222.637 - 51.817 \cdot (x_{O_2} - 0.2095) + 30.266 \cdot x_{CO_2}$$
(32)

To preserve the intended accuracy of the formulation, the expression must be used together with a consistent relationship between mass and molar density, and therefore with a consistent value of the mean molar mass of dry air, which is likewise obtained from the fit:

$$m_d(x_{O_2}, x_{CO_2}) = 28.95949 + 3.985 \cdot (x_{O_2} - 0.2095) + 15.996 \cdot x_{CO_2}$$
(33)

As noted in Sec. 2.4, the molar mass of water is taken as constant in this model, with value:

$$m_w = 18.01525 \tag{34}$$

With all these coefficients fixed, a second fit is performed to determine the shape-dependent and polarization-dependent functions, now extending the physical conditions to include aspherical hydrometeors. For liquid hydrometeors, the functions for the two linear polarizations are:

$$f_l(a_l; V) = 1 + 0.743 \cdot (a_l - 1) + 0.043 \cdot (a_l - 1)^2$$
(35)

$$f_l(a_l; H) = 1 - 0.371 \cdot (a_l - 1) + 0.753 \cdot (a_l - 1)^2$$
(36)

and for ice:

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$$f_i(a_i; V) = 1 + 0.330 \cdot (a_i - 1) - 0.125 \cdot (a_i - 1)^2$$
 (37)

$$f_i(a_i; H) = 1 - 0.165 \cdot (a_i - 1) + 0.215 \cdot (a_i - 1)^2$$
(38)

By construction, this second fit satisfies the closure condition on the coefficients that are linear in (a-1).

Across the full range of atmospheric conditions considered -spanning and exceeding those expected in practice- the fitted expressions reproduce the microphysical model with an average absolute residual of 0.0016%, and a maximum residual of 0.009%. This performance exceeds both the estimated accuracy of the microphysical model and the intended accuracy of this work. The overall accuracy is therefore limited solely by the microphysical model itself, not by the fitting procedure.

# 3.2 A time-dependent form of the proposed expression

The fit to the microphysical model spans a range of physical conditions and dry-air compositions representative of recent decades and the foreseeable future. Two composition parameters are retained as independent variables, appearing in the functions  $q_1(x_{O_2}, x_{CO_2})$  and  $m_d(x_{O_2}, x_{CO_2})$ . Because measurements exist for both quantities, and can be described, for example, by the functions introduced in Sect. 2.7, it is possible to further reduce  $q_1$  and  $m_d$  to explicit functions of time. In this section, we illustrate this procedure using Eqs. ((15)) and ((16)). The user must judge whether adopting only the long-term trends of  $O_2$  and  $CO_2$  is sufficient, or whether latitudinal, seasonal, or altitude variations should also be incorporated.





Using the temporal fits of molar fractions yields:

$$q_1(x_{O_2}, x_{CO_2}) \simeq q_1(y) = 222.654 + 0.000259 \cdot y_{2000} + 2.24 \cdot 10^{-6} \cdot y_{2000}^2$$
(39)

and

$$m_d(x_{O_2}, x_{CO_2}) \simeq m_d(y) = 28.96496 + 1.30 \cdot 10^{-5} \cdot y_{2000} + 4.41 \cdot 10^{-8} \cdot y_{2000}^2$$
 (40)

A time-dependent user expression is therefore obtained by combining Eqs. (28), (39) and (40).

A particularly relevant limit is that of pure dry air, often invoked in a variety of atmospheric applications:

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$$N_{dry} = [q_1(x_{O_2}, x_{CO_2}) + 0.097 \cdot \tau] \rho_d$$
 (41)

It is useful to compare this expression with others commonly found in the literature, such as the classical form in (Smith and Weintraub, 1953):

$$N_{dry}^a = k_1 \frac{P}{T} \tag{42}$$

in which the parameter here labeled  $k_1$  is not exactly a constant, but is modulated by the compressibility factor Z, for instance in Thayer (1974):

$$N_{dry}^{Th74} = \frac{77.60}{Z} \frac{P}{T} \tag{43}$$

An accurate expression of the compressibility factor from (Picard et al., 2008) is used in the microphysical model. For the purposes of this section, however, it is sufficient to adopt the approximation:

$$Z_{app} \approx 1 - P \cdot (5.789 \cdot 10^{-9} - 3.512 \cdot 10^{-8} \cdot \tau) \tag{44}$$

with *P* in pascals. In the dry-air limit -appropriate for instance to the stratosphere, and to good approximation, in dry tropospheric air where deviations of Z from unity remain small-, the following holds:

$$k_1 = \frac{q_1 \cdot m_d}{10 \cdot RZ} \simeq \frac{77.5655}{Z_{app}} + 1.25 \cdot 10^{-4} \cdot y_{2000} + 8.98 \cdot 10^{-7} \cdot y_{2000}^2$$

$$\tag{45}$$

If we compare this with the formulation of AL11, which used a composition approximately representative of 2010, we would obtain here  $k_1 \simeq \frac{77.5668}{Z_{app}}$ , against an asymptotic low-density value of AL11 is  $k_1 \simeq \frac{77.575}{Z_{app}}$ . For the ROMEX experiment, which is performed with measurements from 2022, the asymptotic low-density behaviour is  $k_1 \simeq \frac{77.5687}{Z_{app}}$ . The difference between AL11 and the current model arises primarily from the use of a slightly different fit in Schmidt and Moldover (2003) to the same underlying laboratory data for dielectric gas properties, and also to a different choice of atmospheric conditions to reduce the microphysical model to a practical expression. Both formulations remain compatible within their intended target of accuracy. Among these available dielectric fits, the expression adopted here is preferred because it provides uncertainty estimates for all coefficients, thereby enabling traceability of the error budget back to the laboratory measurements, which is one of the purposes of this study.



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#### 3.3 Double refraction

The refractivity model in Eq. (28) describes an isotropic medium in the absence of hydrometeors, or when these are spherical  $(a_l\rho_l=0)$  and  $a_i\rho_i=0$ . In contrast, aligned aspherical hydrometeors introduce anisotropy, yielding different refractivities for test fields (i.e. linear polarizations) aligned with the major and minor axes of the hydrometeors. In this section we estimate, at an order of magnitude level, the resulting impact on wave propagation in the two polarizations, focusing on the geometry of a radio occultation.

Because GNSS applications are a primary motivation for the present formulation, it is useful to recall that the carrier of a standard GPS signal is, upon emission, right-hand circularly polarized. This can be viewed as the superposition of two orthogonal linearly polarized components, one of them delayed by a quarter wavelength with respect to the other.

The electric-field polarization is defined in the plane perpendicular to the local Poynting vector P. In an occultation (a limb observation) this vector is approximately horizontal, and the normal plane can be described with a basis of a horizontal and a vertical orthogonal unit vectors, which align to the major and minor axes of the hydrometeors; see Figure 1. In contrast, in a quasi-zenithal observation, as with Ground-Based GNSS receivers, P is aligned to the hydrometeors' rotation axis. The plane perpendicular to P is then symmetric concerning Eq. (28), and all polarizations behave as the H component in that equation, with identical refractivity.

Polarization components in a GNSS observation may therefore experiance different refractive indices. In the limb-viewing geometry, the plane of the electric field contains a subspace aligned with a horizontal axis of the hydrometeors. Electic activity in this subspace senses a refraction index  $n_H$ , a component referred to as *ordinary* (Born and Wolf (1999), Sec 15.3.2). Activity in the perpendicular subspace of the same plane, which is aligned with the vertical minor axis V, senses instead  $n_V$ , a component referred as *extraordinary*.

If both polarizations encounter the same refractive index, they follow identical trajectories and retain their relative phase delay. If instead one polarization experiences a higher refractive index, two effects arise:

- a modification of the relative delay between the two components, and
- a differential geometric propagation path (i.e. double refraction) between transmitter and receiver.

Although both effects vanish in many atmospheris situations (no hydrometeors, or hydrometeors of spherical average shape), we estimate here the magnitude they may reach under extreme but still realistic conditions during an occultation in the low troposphere:

- a vertical/horizontal axis ratio  $a_l = 0.5$  for liquid or  $a_i = 1.25$  for ice, representative of large raindrops (Pruppacher and Beard, 1970) and hail (Knight and Knight, 2005);
- maximum precipitated densities (FAA, 2016) of  $\rho_l = 10^{-2} \text{kg/m}^3$  for rain and  $\rho_i = 4 \times 10^{-3} \text{kg/m}^3$  for frozen hydrometeors;
- a horizontal propagation distance of 50km under these conditions (Kessler (1975), and references therein).

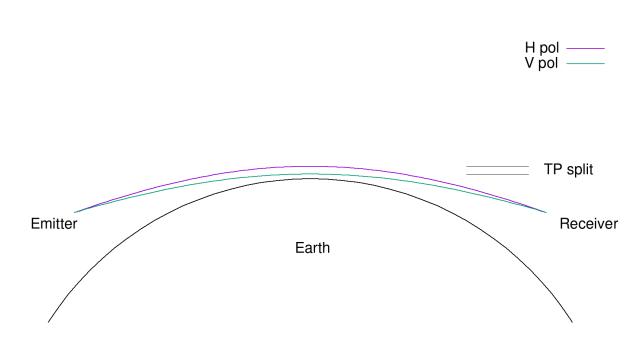


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**Figure 2.** Schematic representation of the tangent point (TP) separation between polarizations in the case of oblate hydrometeors, typical of rain. In the path between two Earth-orbiting satellites, the horizontal polarization component (H) senses a higher refractive index, and follows a longer (higher) path than the vertical polarization (V). The scale is exaggerated for clarity.

The rain case suggests a liquid contribution to the total refractivity (in N-units) of about  $N_l \approx 15$ , with a large asymmetry between polarizations:  $N_{lH} \approx 20$  and  $N_{lV} \approx 10$ . Here,  $N_{lH} > N_{lV}$ , because the drops are substantially oblate (Pruppacher and Beard, 1970). In the hail case, contribution to refractivity is smaller < 3, with moderate asymmetry,  $N_{iH} \approx 2.7$  and  $N_{iV} \approx 3.0$ , i.e.  $N_{iH} < N_{iV}$ , for the assumed prolateness.

Accumulated over the  $50 \mathrm{km}$  of horizontal propagation, the refractivity asymmetry yields an optical path difference (at equal geometric paths) of  $\Delta L_{H-V} \approx 0.5 \mathrm{m}$  (H minus V polarizations) for the rain case, and  $\Delta L_{H-V} \approx -0.015 \mathrm{m}$  for the hail case. Rain is both more refractive and can reach larger extreme mass densities than ice, but even in these extreme cases the resulting differences remain moderate. These path differences are detectable by receivers capable of discriminating both polarizations, see for instance (Cardellach et al., 2015; Padullés et al., 2020, 2023, 2025; Talpe et al., 2025).

In addition to producing a differential optical path length, a difference in refractivity between the two polarization components also causes their propagation paths to split (birefringence). Under typical occultation geometry, and given an assumed typical background atmospheric gradient, the rain example implies that the horizontal polarization component propagates *above* the vertical polarization, as the horizontal component encounters a larger refractivity at the same altitude, in this example by about 200m. At fixed reception time, an occulting signal containing both polarizations (e.g. a circularly polarized GPS signal) would therefore exhibit different tangent point heights in each polarization, separated by roughly this amount. This is illustrated in Figure 2. During the occultation scan, the tangent point reaches a given altitude with a time delay between the two components; with a typical vertical scan rate of 1km/s, the delay reaches about 0.2s in this extreme scenario –still smaller





than the Fresnel diameter. In the hail example case, the path split is correspondingly smaller, about 10m, and the order of the polarizations is reversed: the vertical component lies above the horizontal, consistent with the assumed prolateness. The resulting time difference between measurements at fixed tangent altitude should also be detectable, in addition to the path delay, by receivers capable of discriminating both linear polarizations.

### 575 3.4 List of microphysical input quantities

We summarize here the quantities –and their sources– that have play a significant role in the microphysical model and thus in the determination of the final refractivity expression. These quantities are obtained from external experiments and come with associated uncertainties. They are considered sufficiently accurate for deriving a useful refractivity model and for assessing the influence of individual physical processes on the observable refractivity. Nonetheless, the expression retains a non-negligible residual uncertainty and, if SI-traceability is desired, it becomes important to associate this final uncertainty with the underlying experimental inputs.

The microphysical formulation contains a set of parameters  $p_j$  (molecular polarizabilities, electric dipoles, standard concentrations, magnetic susceptibilities, etc). A final user-oriented expression was obtained by numerical optimization, yielding a set of coefficients  $q_i$ , fitted to the microphysical model over a wide range of realistic atmospheric conditions. Realistic deviations of  $p_j$  within their experimental uncertainties lead, over the same set of physical conditions, to modified best-fit coefficients  $q_i$ . To quantify this dependence, derivatives

$$d_{ij} = \frac{dq_i}{dp_j} \tag{46}$$

were evaluated.

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Because of the chosen ansatz for the macroscopic expression, most of the derivatives are negligible, and most experimental inputs influence only a single coefficient. The input parameters whose uncertainties can produce significant changes in the fitted  $q_i$  are collected in Table 2.

# 3.5 Error budget

Table 2 collects the list of primary inputs entering the microphysical model, and correspond to quantities that are either mdirectly measurable in laboratory conditions or determined through dedicated measurement campaigns. In total, the list contains 19 real-valued primary inputs.

The user expressions (28)-(37) contain a number of coefficients obtained by fitting to the microphysical model constructed upon those primary inputs. Consequently, each user-level coefficient inherits the dependence on the numerical values, and uncertainties of the primary inputs from which the microphysical model is built.

The user expression contains 18 coefficients, grouped as follows:

 4 coefficients to describe the reference gas phase –namely refractivity of dry air and water vapour, and associated temperature dependences– following the structure of Aparicio and Laroche (2011).



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**Table 2.** Collected list of input quantities that have a sizeable impact in the final user expression

Quantity	Source	Symbol(s)	$Value(\sigma)$	Affected coeffs.
Permittivity $N_2$	Schmidt and Moldover (2003)	$A_{\epsilon,N_2}$	4.38748(15)	$q_{10}$
—"— T dependence	Schmidt and Moldover (2003)	$A_{\tau,N_2}$	0.00170(30)	$q_2$
Permittivity $O_2$	Schmidt and Moldover (2003)	$A_{\epsilon,O_2}$	3.95875(09)	$q_{11}$
—"— T dependence	Schmidt and Moldover (2003)	$A_{\tau,O_2}$	0.00648(27)	$q_2$
Magnetic g-factor $O_2$	May et al. (2008)	$g_{O_2}$	2.00386(30)	$q_2$
Permittivity Ar	Schmidt and Moldover (2003)	$A_{\epsilon,Ar}$	4.14203(19)	$q_{10}$
Permittivity $CO_2$	Schmidt and Moldover (2003)	$A_{\epsilon,CO_2}$	7.34590(63)	$q_{12}$
Concentration $O_2$	Tohjima et al. (2005)	$x_{O_2}(t,\lambda))$	0.209392(3)	
	Keeling et al. (2001)		-3.95(2)	
			-0.036(2)	
			-3.06(14)	
Concentration $Ar$	Park et al. (2004)	$x_{Ar}$	0.009332(3)	$q_{10}$
Concentration $CO_2$	Keeling et al. (2001)	$x_{CO_2}(t,\lambda)$	368.6(6)	
			1.80(5)	
			0.012(2)	
			2.2(8)	
Polarizability $H2O$	IAPWS (2001)	$\alpha_{H_2O}$	1.494(7)	$q_3$
Electric dipole $H2O$	Shostak et al. (1991)	$\mu_{H_2O}$	1.85498(9)	$q_3,q_4$
Permittivity liquid $H2O$	Malmberg and Maryott (1956)	$\epsilon_l$	87.730(50)	$q_5$
Permittivity ice $H2O$	Mätzler and Wegmüller (1987)	$\epsilon_i$	3.1884(50)	$q_6$

- 2 coefficients describing the refractivity sensitivity dependence to atmospheric composition, specifically linked to variations in  $CO_2$  and  $O_2$ .
- 4 coefficients related to average molar masses, three for the dry-air fraction (allowing variable  $CO_2$  and  $O_2$  composition), and one for water vapour.
- 8 coefficients describing contributions of hydrometeors –four for each condensed phase (liquid and solid)– including their dependence on hydrometeor shape under vertical and horizontal field polarizations.

In principle, propagating the dependence of these fitted coefficients on the 19 primary inputs would require the full matrix of partial derivatives, of dimension (18x19). However, the structure of the ansatz was chosen explicitly to minimize cross-dependencies between primary inputs and fitted parameters. As a result, most primary measurements influence only a single coefficient, and their impact on the remaining is either very small or entirely negligible.





Table 3. List of significant dependencies of the dry user coefficients  $q_1$  and  $q_2$ , with respect to primary inputs. User coefficients are in SI units  $(N - \text{units} \cdot \text{kg}^{-1}\text{m}^3)$ . Units of the inputs and derivatives, follow the source units from their respective references, and are diverse:  $A_{\epsilon}$  and  $A_{\tau}$  in cm<sup>3</sup>/mol, molecular mass in amu,  $g_{O_2}$  adimensional, polarizability  $\alpha$  in  $10^{-24}\text{cm}^3$ , electric dipole in Debye units. Uncertainty statistics  $\sigma$  are those that propagate to the coefficients, arising from the estimated uncertainties of the inputs.

Quantity	Coef value	Dependencies	Derivative	Deriv. value	$\sigma_{Coef}$
Main dry term $q_{10}$	222.637	Permittivity $N_2$	$\partial q_{10}/\partial A_{\epsilon,N_2}$	40.46	0.00607
		Permittivity $O_2$	$\partial q_{10}/\partial A_{\epsilon,O_2}$	10.89	0.00098
		Permittivity $Ar$	$\partial q_{10}/\partial A_{\epsilon,Ar}$	0.47	0.00009
		Mass $N_2$	$\partial q_{10}/\partial m_{N_2}$	-6.00	0.00240
		Mass $O_2$	$\partial q_{10}/\partial m_{O_2}$	-1.61	0.00118
		Mass $Ar$	$\partial q_{10}/\partial m_{Ar}$	-0.07	0.00002
		Concentration $Ar$	$\partial q_{10}/\partial x_{Ar}$	-104.46	0.00031
		Composed			0.0067
Dry term's $O_2$ -dep $q_{11}$	-51.817	Permittivity $N_2$	$\partial q_{11}/\partial A_{\epsilon,N_2}$	-57.35	0.0086
		Permittivity $O_2$	$\partial q_{11}/\partial A_{\epsilon,O_2}$	50.29	0.0045
		Mass $N_2$	$\partial q_{11}/\partial m_{N_2}$	9.91	0.0040
		Mass $O_2$	$\partial q_{11}/\partial m_{O_2}$	-7.09	0.0052
		Composed			0.012
Dry term's $CO_2$ -dep $q_{12}$	30.266	Permittivity CO <sub>2</sub>	$\partial q_{12}/\partial A_{\epsilon,CO_2}$	51.78	0.033
Dry term's T-dep $q_2$	0.097	T-dep of permit $N_2$	$\partial q_2/\partial A_{\tau,N_2}$	-20.47	0.0061
		T-dep of permit $O_2$	$\partial q_2/\partial A_{\tau,O_2}$	-5.49	0.0015
		Magnet. Dipole $O_2$	$\partial q_2/\partial g_{O_2}$	0.25	0.00008
		Composed			0.0067

The practical traceability table is therefore far simpler than the full derivative matrix. The primary inputs that significantly affect each fitted coefficient are identified in the last column of Table 2. The reduced set of non-negligible dependencies is presented in Tables 3 and 4.

# 615 4 Discussion and analysis

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The microphysical model and the corresponding fitted user expression have been evaluated using best estimates of the primary laboratory or field-measured parameters listed in Table 2. These quantities carry uncertainties, that propagate into the user-level coefficients. This propagation serves two purposes: (i) assigning a total uncertainty to each fitted parameter, and (ii) identifying the dominant experimental sources of these uncertainties. The detailed propagation is summarized in Tables 3 (dry-air terms) and 4 (all other terms). As customary, uncertainties are expressed as std errors affecting the last digits. We discuss the fitted coefficients in turn:





**Table 4.** As Table 3, for moist user coefficients  $q_3$  and  $q_4$ , precipitated terms  $q_5$  and  $q_6$ , and main molar mass coefficients  $m_{d0}$  and  $m_w$ .

Quantity	Coef value	Dependencies	Derivative	Deriv. value	$\sigma_{Coef}$
Main moist constant $q_3$	6703.497	Polarizability $H_2O$	$\partial q_3/\partial \alpha_{H_2O}$	312.96	0.03
		Elec. Dipole $H_2O$	$\partial q_3/\partial \mu_{H_2O}$	6869.42	0.62
		Harris Alder corr.			0.03
		Composed			0.62
Moist constant T-dep q <sub>4</sub>	6393.484	Elec. Dipole $H_2O$	$\partial q_4/\partial \mu_{H_2O}$	6868.56	0.62
		Harris Alder corr.			0.8
		Composed			1.0
<del>_</del> _	<del></del>	Composed			1.0
Main liquid term $q_5$	1447.827	Permit. liq. $H_2O$	$\partial q_5/\partial \epsilon_{l,H_2O}$	0.69	0.034
			$\partial q_5/\partial \epsilon_{l,H_2O}$ $\partial q_6/\partial \epsilon_{i,H_2O}$	0.69 182.67	
Main liquid term $q_5$	1447.827	Permit. liq. $H_2O$			0.034
Main liquid term $q_5$ Main solid term $q_6$	1447.827 686.943	Permit. liq. $H_2O$ Permit. sol. $H_2O$	$\partial q_6/\partial \epsilon_{i,H_2O}$	182.67	0.034
Main liquid term $q_5$ Main solid term $q_6$ Main dry molar mass $m_{d0}$	1447.827 686.943 28.95949	Permit. liq. $H_2O$ Permit. sol. $H_2O$ Mass $N_2$	$\partial q_6/\partial \epsilon_{i,H_2O}$ $\partial m_{d0}/\partial m_{N_2}$	182.67 0.78	0.034 0.91 0.00031

- Main dry parameter  $q_{10}$ : The uncertainty is dominated by the dielectric response of  $N_2$ . The next most relevant contribution originates from the molar mass of  $N_2$  (via the  $^{15}N/^{14}N$  ratio), owing to the explicit dependence of the ansatz on density. The dielectric response of  $O_2$ , assuming the quoted uncertainty is reliable, contributes very little, whereas the mass uncertainty of  $O_2$  (through the  $^{18}O/^{16}O$  ratio) contributes more strongly than its dielectric term. The resulting coefficient,  $q_{10} = 222.637(7)$ , is sufficiently accurate for the intended applications (fractional uncertainty  $3 \cdot 10^{-5}$ ). Improved dielectric measurements of  $N_2$  could modestly reduce the uncertainty (down to  $1.3 \cdot 10^{-5}$ ), though at that level limitations of the ansatz (e.g. missing nonlinearities) would likely dominate. This term contributes most to the refractivity.
- $O_2$  dry parameter  $q_{11}$ : For  $O_2$ , which is close in mass and polarizability to the mean air molecule, the uncertainty is shared among the dielectric responses and molar masses of both  $N_2$  and  $O_2$ . The fitted value  $q_{11} = -51.817(12)$  is sufficiently accurate given the relatively small overall contribution of this term.
  - $CO_2$  dry parameter  $q_{12}$ : The uncertainty is governed by the polarizability and mass of the  $CO_2$  molecule. The coefficient  $q_{12} = 30.266(33)$  has adequate accuracy, as its contribution to refractivity is small.
- Temperature-demendent dry term  $q_2$ : This coefficient is small and would be vanish for an ideal gas of nonpolar molecules. It arises from temperature-dependent polarizability (intermolecular interactions), and the magnetic susceptibility of  $O_2$ . The latter is known very precisely, hence the quoted uncertainty is dominated by the temperature-dependent polarizability of  $N_2$ , attributed by Schmidt and Moldover (2003) to anharmonic vibrational effects. The coefficient  $q_2 = 0.097(6)$  is sufficiently accurate given its modest contribution.





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- Main moist term  $q_3$ : The term includes contributions from the electric popularizability and permanent dipole moment of water, toguether with a dependence on molar mass. The uncertainty is dominated by the dipole moment of water, even though its measured value (Table 2) is already precise. The coefficient is  $q_3 = 6703.5(6)$ , and this term is the second largest contributor to refractivity, though only in moist conditions.
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- Temperature-dependent moist term  $q_4$ : The uncertainty is again dominated by the electric dipole moment of water, but mostly through the correlation factor g. The dipole is enhanced by molecular interactions described by a Buckingham factor and, critically, by a density and temperature-dependent correlation factor  $g(\rho,T)$  which is very specific to the interaction of water with other near molecules. Water forms transient aggregates such as dimers (Scribano et al., 2006), which increase the effective dipole moment beyond the single-molecule value. While the high-density behaviour of g is well constrained (Fernández et al., 1997), the low-density regime relevant here relies on the approximation  $g(\rho,T) \approx 1 + h(T)\rho_w$ , which critics significant uncertainty, and dominates the error estimate. The resulting coefficient is  $q_4 = 6393.5(10)$ . Although moderately important in moist conditions, the current uncertainty is acceptable.
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- Liquid water term  $q_5$ : Somewhat surprisingly, this term depends only weakly on the permittivity of liquid water  $\epsilon_r \approx 90$ . With this strong response, water screens itself from the test field, so the uncertainty of  $\epsilon_r$  has a modest effect. Most of the remaining uncertainty is aliased from correlations with water vapour in the fitting dataset. The fitted value  $q_5 = 1447.83(13)$  is satisfactory given the typically small amounts of liquid water.
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- Solid water term  $q_6$ : Ice and snow have much lower permittivity  $\epsilon_r \approx 3$  and therefore exhibit weaker self-screening. The refractivity contribution is thus more sensitive to the dielectric uncertainty, which dominates the error budget of this term. The coefficient  $q_6 = 686.94(91)$  remains adequate given the small contribution of frozen water to refractivity.

A key point is that the dominant uncertainty in the main dry term,  $q_{10}$  derives from the physical properties of the nitrogen molecule. This uncertainty is effectively constant in time to a precision much better than the quoted error. As discussed in Section 3.2, the dry-air term exhibits a slow temporal drift due to evolving atmospheric composition. However, this drift does not involve  $N_2$ , thus we can conclude that  $q_{10}$  is constant to high accuracy.

The user model also includes several parameters describing the asphericity of hydrometeors. The model assumes uniform asphericity and a single canting angle, which are not expected to hold with high accuracy. Realistic hydrometeor populations involve heterogeneous and poorly known shape and orientation distributions, far more complex than an ensemble of aligned rotational ellipsoids. Consequently, SI-traceability cannot be guaranteed when aspherical hydrometeors contribute appreciably. For this reason, the propagation of the microphysical error has not been carried to the functions  $f_l(a_l;p)$  and  $f_i(a_i;p)$ , nor to the eight parameters that govern them. Their purpose is not to yield precise refractivities for hydrometeors of known shape, but rather to bound the plausible range of refractivities when shapes and orientations are inherently uncertain, and to estimate the order of magnitude of hydrometeor-related effects such as polarization-dependent differential delay or the splitting of propagation paths.

We may compare the current proposed formulation with several others in the literature. To illustrate the basic behaviour of dry-air refractivity, it is useful (Aparicio and Laroche, 2011) to examine  $N \cdot T/P$ , which is very close to 77.6 N – units K/hPa,



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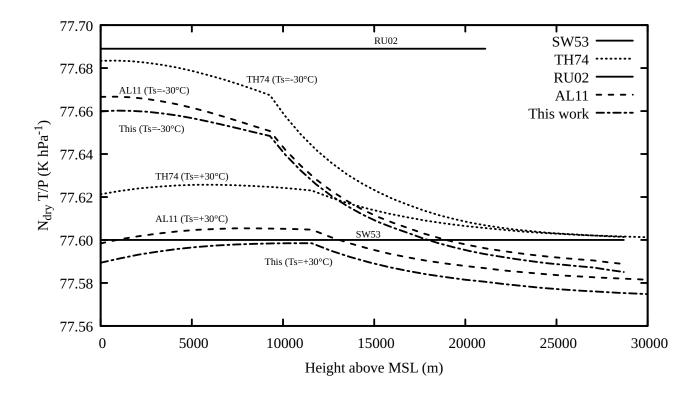


Figure 3. Quantity  $N_{dry} \cdot T/P$  evaluated with various available formulations, and including the present one. For realistic examples, the atmospheric states follow the lapse rates of a US Standard atmosphere profile, illustrating typical behavior at different altitudes and latitudes. Warm and cold examples are shown, with surface temperatures of  $T_s = -30C$ , and  $T_s = +30C$ . The compared expressions are (Smith and Weintraub, 1953, SW53), (Thayer, 1974, TH74), (Rüeger, 2002, RU02), (Aparicio and Laroche, 2011, AL11), and the present work. The current formulation depends on the composition; for consistency with the ROMEX experiment, 2022 values have been adopted.

and for some formulations exactly constant and denoted  $k_1$ . This comparison is shown in Figure 3. Representative atmospheric states reveal a temperature and density dependence: at lower density (lower pressure, higher altitude), the asymptotic value of  $k_1$  decreases; at lower altitude, and particularly in colder conditions,  $k_1$  increases. Since GPSRO impact in NWP peaks at around 200-300 hPa (approximately 8-10km of altitude), the mean effective  $k_1$  is likely closer to its lower-density value. This work also confirms a refractivity generally in the lower band within the literature formulations, except in colder denser conditions, where suggests results in the upper band. In general, these results suggest that the formulation by Rüeger (2002) appears to be too high by between 0.05 - 0.1%, which agrees with the appearence of NWP bias if this formulation is used Aparicio et al. (2009); Healy (2011). The formulation (Thayer, 1974) reproduces significantly the qualitative dry-air behaviour indicated in (Aparicio and Laroche, 2011) and here, although also appears systematically high. The excess, though, is smaller, about 0.03% in dry-air refractivity. However, although the dry-air behaviour of (Thayer, 1974) is good, it does not clarify how



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moist refractivity should be calculated, given the strongly nonideal behaviour of water vapor, which renders the expression ambiguous (Aparicio and Laroche, 2011). The formulation in (Smith and Weintraub, 1953) does not reproduce the temperature or density dependence, but appears to be an average compromise except at the densest conditions (cold conditions in the low troposphere). Finally, compared to AL11, the ensemble of modifications proposed here reduces slightly the average refractivity, by about 0.01%, but maintains most of the density and temperature dependence.

#### 5 Conclusions

A previous study has been revisited, with particular attention to re-evaluating the error budget and to identifying the main limitations to improved accuracy. Beyond several minor refinements, the atmospheric composition is identified as one of the elements that constrain accuracy within the desired performance range. In view of the secular evolution of  $O_2$  and  $CO_2$  abundances, their fractions are made explicit independent variables.

$$N = [q_1 + 0.097(6) \cdot \tau] \rho_d + \tag{47}$$

695  $[6703.5(6) + 6393.5(10) \cdot \tau] \rho_w +$  (48)

$$1447.83(13) \cdot f_l(a_l; p) \cdot \rho_l + \tag{49}$$

$$686.94(91) \cdot f_i(a_i; p) \cdot \rho_i \tag{50}$$

where  $q_1$  may be expressed as a function of  $O_2$  and  $CO_2$ , if these are available:

$$q_1(x_{O_2}, x_{CO_2}) = 222.637(7) - 51.817(12) \cdot (x_{O_2} - 0.2095) + 30.266(33) \cdot x_{CO_2}$$

$$(51)$$

In all cases, the parentheses express the uncertainty in the coefficients as stemming from the uncertainty in the experimental sources collected in the microphysical model.

Alternatively, using fits to recent decades of measured atmospheric composition, the expression for  $q_1$  may be written instead as a function of time. Such a fit to the composition is suggested in Eqs. (15) and (16) and will be valid as long as it continues to represent the main trend of the observed evolution, presumably at least several decades. The time-dependent  $q_1$  may then be expressed as:

$$q_1(y) = 222.654(7) + 0.000259 \cdot y_{2000} + 2.24 \cdot 10^{-6} \cdot y_{2000}^2$$
(52)

with  $y_{2000}$  time in years since 2000. This is the only coefficient in Eq. (47) that shows a significant dependence on composition. At Environment and Climate Change Canada, expressions (47) and (52) have been implemented operationally as an update to AL11, which was in use since 2011. For practical operational use, it was selected to use the time-dependent form of  $q_1$ , which implicitly describe composition. As noted above, the uncertainty associated with seasonal and latitudinal variability in composition is not dominant relative to the uncertainty in absolute concentrations. Under these circumstances, the expression  $q_1(y)$ , which has been simplified, is not inferior to a more complex  $q_1(x_{O_2}, x_{CO_2})$ .



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The refractivity expression exhibits a small dependence on the polarization state when hydrometeors (rain, snow, or ice) are non-spherical. This dependence is captured through the shape factors  $f_l(a_l;p)$  and  $f_i(a_i;p)$ . The approximate magnitude of their impact has been evaluated: it is modest, but is measurable as differential path delay, and should also be measurable as a form of double refraction for rays traversing extended regions of dense precipitation during an occultation. Measuring this effect requires a dual-polarization receiver. Such receivers, sensitive to both linear polarizations independently, have been launched in recent years.

For many applications of the refractivity expression –such as its routine use in the assimilation of refractivity in Numerical Weather Prediction–, this update introduces only small differences, and the changes with respect to Aparicio and Laroche (2011) are minor. As observed at other operational centers, ECCC identified that the absence of bias between GNSSRO and model fields becomes particularly critical at higher observational volumes. This motivated the implementation of the present update, even though AL11 had remained generally adequate.

The comparison between the different existing formulations suggests that the threshold of 0.1% uncertainty (that is, fractional uncertainty of  $10^{-3}$ ) is exceeded, provided a non-ideal gas formulation is adopted. The difference between existing formulations, with some differences between the implementation details, in the assumptions about the nature of atmospheric air, and the link to fundamental measurements, indicates rather a margin of 0.01% (thus  $10^{-4}$ ) between studies, provided they account for non-ideal gas effects. Finally, the formal uncertainty as propagated in this study from fundamental measurements indicates a fractional dry-air uncertainty of about  $3 \cdot 10^{-5}$  of dielectric origin, thus if the variabilities in composition are accounted.

This study suggests that, whereas a confirmation of the low dielectric uncertainty would be welcome, the remaining sources of refractive uncertainty are, first, of thermodynamic origin, in the non-ideal gas behaviour of air, and particularly water vapour, and second, in details of composition, from the evolution of  $CO_2$  and  $O_2$  to uncertainty in the molar mass, by uneven isotopic composition, where notably water vapour and  $N_2$  should be mentioned. These diverse residual sources of uncertainty —of the order of  $10^{-5}$ —, limit our knowledge of refractivity to not be better than  $10^{-4}$  in fractional uncertainty unless a model of considerably more complex nature was prepared. However, these residual sources of uncertainty *do not prevent* reaching that stated level of  $10^{-4}$ , or 0.01%, which we judge as sufficient for NWP purposes.

Several other applications may benefit from the expressions presented here. These include:

- 1. Radio-optical measurements, such as GNSSRO, in decadal climate studies, where cumulative changes in atmospheric composition produce a non-negligible drift.
- 2. Situations where traceability between radio-optical and thermodynamic measurements is essential, since traceability requires a quantified uncertainty chain.
- 3. Investigations of the polarization dependence introduced by hydrometeors.





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