



# 1 **Characteristics of potential evapotranspiration and its estimation** 2 **from hydrological observation in the Budyko framework**

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**Abstract.** Potential evapotranspiration ( $E_p$ ) is one of input variables in the Budyko framework, yet the diverse estimation methods cause discrepancies in its values. This raises a question about whether there exists a kind of  $E_p$  specially satisfying the Budyko framework. Based on the relationships among variables in the Budyko models and the deterministic value of  $E_p$  with known mean annual precipitation and runoff, we uncover the characteristics of  $E_p$  and its estimation method from hydrological observation in the Budyko framework. Accordingly, we introduce the concept of Budyko  $E_p$ . The non-parametric and parametric Budyko equations correspond to the reference and the adjustable Budyko  $E_p$ , respectively. For the Model Parameter Estimation Experiment catchments, the reference Budyko  $E_p$  is higher in the central and southern contiguous United States and lower in the northeastern and northwestern regions. The linear conversion functions are established from the meteorological  $E_p$  to the reference and optimized adjustable Budyko  $E_p$  separately. When estimating actual evapotranspiration ( $E$ ) by Budyko models with the same data resources, employing two conversion functions with the meteorological  $E_p$  reduces the mean absolute error of  $E$  estimation by 33 % and 35 %, respectively, compared to using the optimized Budyko model parameter with the meteorological  $E_p$ . Further investigation suggests that the complementary relationship for evapotranspiration is one factor affecting the expression of the region-specific conversion function. Future in-depth exploration of the spatiotemporal differences in conversion functions will advance  $E$  estimation and the applications of Budyko  $E_p$ .

## 1 Introduction

Potential Potential evapotranspiration ( $E_p$ ) refers to the maximum evaporation that can be achieved at a certain land surface with unlimited water supply (Thornthwaite, 1948), and it is an important basic parameter in hydrology, meteorology, agriculture, ecology, and other fields. The origin of  $E_p$  can be traced back to Ol'dekop (1911), with the term “maximum evaporation” being used to characterize the maximum evaporation capacity of a catchment. Nearly 40 years later, Thornthwaite (1948) introduced the concept of “potential evaporation” and proposed the first easily implemented method for  $E_p$  estimation based on basic meteorological observations. Subsequently, various meteorological equations have been proposed for  $E_p$  estimation, including the Penman (1948), Hamon (1960), Priestley and Taylor (1972), Hargreaves and Samani (1982), and Penman-Monteith (Allen et al., 1998) equations.

The Budyko framework is a classic approach for describing the coupled water-energy balances in a catchment. The original Budyko models were non-parametric and the most representative one was proposed by Budyko (1974). Subsequent studies have added one or more adjustable model parameters to the Budyko models to explain the influence of catchment characteristics (Zhang et al., 2004; Yang et al., 2008; Wang and Tang, 2014; Fu, 1981; Zhang et al., 2001). Typically, the Budyko-related studies use known precipitation ( $P$ ) and meteorological  $E_p$  for the estimation and change attribution of actual evapotranspiration ( $E$ ) or runoff ( $Q$ ). Despite the long history of research on  $E_p$ , its definitions and estimation methods remain diverse in practical applications (Yang et al., 2019; Tu and Yang, 2022; Allen et al., 2021; Zhou and Yu, 2024;



56 Mccoll, 2020; Liu et al., 2021; Granger, 1989). Consequently, the choice of  $E_P$  estimation methods in the Budyko framework  
57 has become increasingly complex (see Table S1).

58 The Budyko equations include both non-parametric and parametric forms. For the non-parametric Budyko equations,  
59 Budyko (1958, 1974) originally recommended using net radiation as a proxy for  $E_P$ ; however,  $E_P$  has also been estimated  
60 using the Penman method (Yin et al., 2019). For a given  $P$ , different  $E_P$  inputs directly lead to different  $E$  estimates. This  
61 sensitivity was already recognized by Pike (1964), who showed that the temperature-based  $E_P$  approach used in the non-  
62 parametric Budyko equation proposed by Turc (1954) underestimated  $E$ , and therefore recommended the Penman  $E_P$  and  
63 accordingly modified the Turc equation. For the parametric Budyko equations, previous studies have primarily focused on  
64 the model parameter, intending to improve  $E$  estimation by enhancing the accuracy of parameter estimation (Zhang et al.,  
65 2004; Yang et al., 2007; Cheng et al., 2022; Li et al., 2013). However, the model parameter has no prior physical meaning  
66 (Greve et al., 2015; Sposito, 2017), and it typically requires calibration using known  $P$ ,  $E_P$ , and  $Q$ . In Budyko-based  
67 hydrological simulations, the use of multiple  $E_P$  estimation methods renders  $P$  the only well-defined input. In this case, the  
68 Budyko model parameter essentially balances the influence of different  $E_P$  on  $P$  partitioning. That is, the parameter value  
69 varies with the  $E_P$  estimation method applied, which makes it somewhat arbitrary. This undoubtedly undermines the Budyko  
70 framework's consistency and standardization in practical applications. Therefore, a question naturally arises: Is there a kind  
71 of  $E_P$  that is specially suitable for the Budyko framework?

72 The Budyko framework is expressed in a functional form, in which the values of the function and the independent variables  
73 are constrained by a specific functional relationship. In the Budyko framework,  $P$  and  $E_P$  are the independent variables, and  
74  $E$  (or  $Q$ ) is the dependent variable. Typically,  $P$  and  $Q$  can be directly observed, and  $E$  can be obtained from the water  
75 balance equation. If  $P$  and  $Q$  (or  $E$ ) are known, this implies that  $E_P$  must satisfy the constraints imposed by the Budyko  
76 framework and cannot take arbitrary values. This indicates an intrinsic linkage between  $E_P$  and hydrological variables,  
77 suggesting the possibility of estimating  $E_P$  through the Budyko framework when  $P$  and  $Q$  are specified. Since such  $E_P$  is  
78 derived from the Budyko framework, it can be referred to as the Budyko  $E_P$ , which shares the same temporal and spatial  
79 scales as the hydrological variables therein. An important feature of the Budyko  $E_P$  is that it fully conforms to the  
80 requirements of the Budyko framework. In practice, ensuring that  $E_P$  derived from other methods embodies the feature of the  
81 Budyko  $E_P$  should theoretically enhance the accuracy of  $E$  estimation.

82 Therefore, the aim of this study is to define and calculate the Budyko  $E_P$ , and to investigate  $E$  estimation in the Budyko  
83 framework from the perspective of this  $E_P$ . To this end, we first explore the relationships between  $E_P$  and hydrological  
84 elements and propose a method to calculate the Budyko  $E_P$  under the Budyko framework. The reference and adjustable  
85 Budyko  $E_P$  are obtained by the non-parametric and parametric Budyko equations, respectively. Then, we calculate the  
86 reference Budyko  $E_P$  at the mean annual scale for the Model Parameter Estimation Experiment (MOPEX) catchments and  
87 explore its spatial pattern. Further, the linear conversion functions are established from the meteorological  $E_P$  to the reference  
88 Budyko  $E_P$  and the optimized adjustable Budyko  $E_P$  separately. Finally, the performance of the conversion functions in  $E$   
89 estimation is investigated.



## 90 2 Theory, methods, and materials

### 91 2.1 Budyko $E_P$ and its calculation

92 Budyko (1958, 1974) postulated that  $E/P$  at the mean annual catchment scale was mainly determined by  $E_P/P$  and proposed  
 93 the original non-parametric Budyko equation:

$$94 \frac{E}{P} = \sqrt{\frac{E_P}{P} \tanh\left(\frac{E_P}{P}\right)^{-1} [1 - \exp(-\frac{E_P}{P})]}, \quad (1)$$

95 Since the water storage variation can be approximately ignored at the mean annual scale, the original non-parametric Budyko  
 96 model can equivalently describe the relationship between runoff coefficient ( $Q/P$ ) and  $E_P/P$ :

$$97 \frac{Q}{P} = 1 - \sqrt{\frac{E_P}{P} \tanh\left(\frac{E_P}{P}\right)^{-1} [1 - \exp(-\frac{E_P}{P})]}, \quad (2)$$

98 For a given catchment, both  $P$  and  $Q$  are definite observations over a given period. Based on the  $P$  and  $Q$  data,  $E_P$  can be  
 99 determined using Eq. (2), which we designate as the reference Budyko  $E_P$  (hereafter denoted as  $E_{P-Bref}$ ). The  $E_{P-Bref}$  is  
 100 calculated by  $P$  and  $Q$  with clear meanings and definite values, indicating that the  $E_{P-Bref}$  itself also has a well-defined value.  
 101 Subsequent studies have added an adjustable model parameter to the Budyko framework to incorporate the effects of  
 102 catchment characteristics; thus many parametric Budyko equations have been developed (Zhang et al., 2004; Yang et al.,  
 103 2008; Wang and Tang, 2014; Fu, 1981; Zhou et al., 2015). In the parametric Budyko equations,  $E_P$  is related to  $P$ ,  $Q$ , and the  
 104 model parameter. Given  $P$  and  $Q$ , the Budyko  $E_P$  varies with the values of the Budyko model parameter, which we refer to as  
 105 the adjustable Budyko  $E_P$ .

106 Take the Budyko-MCY equation (Choudhury, 1999; Mezentsev, 1955; Yang et al., 2008) as an example:

$$107 \frac{E}{P} = \frac{1}{[1 + (\frac{E_P}{P})^{-n}]^{1/n}}, \quad (3)$$

$$108 \frac{Q}{P} = 1 - \frac{1}{[1 + (\frac{E_P}{P})^{-n}]^{1/n}}, \quad (4)$$

109 where  $n$  is the model parameter ranging from  $(0, +\infty)$  and it regulates the allocation of  $P$  to  $E$  and  $Q$ .

110 According to Eq. (4), the adjustable Budyko  $E_P$  ( $E_{P-Badj}$ ) based on the Budyko-MCY equation can be expressed as:

$$111 E_{P-Badj} = P[(1 - \frac{Q}{P})^{-n} - 1]^{-1/n}, \quad (5)$$

### 112 2.2 Conversion function and $E$ estimation

113 When using the meteorological  $E_P$  in the Budyko framework, the optimized Budyko model parameter for all study  
 114 catchments can be obtained by minimizing the error of estimated  $E$  against observed  $E$  (i.e., the water balance-based  $E$ ). The  
 115 optimized model parameter with both the meteorological  $E_P$  and  $P$  can yield a reasonable simulation of  $E$  (Zhang et al., 2004;



116 Potter et al., 2005; Donohue et al., 2011; Zhang and Brutsaert, 2021; Zhang et al., 2010). Typically, when auxiliary data on  
 117 catchment characteristics of both climate and land surface are available, using time-varying or catchment-specific model  
 118 parameters derived from empirical formulas tends to yield better performance in E estimation (Ning et al., 2019; Chen et al.,  
 119 2022; Han et al., 2011; Li et al., 2013).

120 Without auxiliary information, it can be expected that the simulation of E could be further improved by employing the  
 121 Budyko  $E_p$ , as it is better suited to the Budyko framework compared with the meteorological  $E_p$ . Therefore, by establishing  
 122 and using the conversion function from the meteorological  $E_p$  to the Budyko  $E_p$ , the converted meteorological  $E_p$  would be  
 123 more closely aligned with the Budyko  $E_p$ , and help to improve the accuracy of E simulation with Budyko models. Following  
 124 Zhang and Brutsaert (2021), the meteorological  $E_p$  is estimated based on the Penman equation (Penman, 1948; Brutsaert,  
 125 1982) in this study and is denoted as  $E_{p-Pen}$ :

$$126 \quad E_{p-Pen} = \frac{\Delta}{\Delta + \gamma} (R_n - G) / \lambda + \frac{\gamma}{\Delta + \gamma} f(u_2) (e_s - e_a) / \lambda, \quad (6)$$

127 where  $\Delta$  is the slope of the saturated vapor pressure with respect to the air temperature ( $\text{kPa } ^\circ\text{C}^{-1}$ ).  $\gamma$  is the psychrometric  
 128 constant ( $\text{kPa } ^\circ\text{C}^{-1}$ ).  $R_n$  is the net radiation ( $\text{MJ m}^{-2}$ ).  $G$  is the soil heat flux ( $\text{MJ m}^{-2}$ ) (usually being ignored at the daily scale).  
 129  $\lambda$  is the latent heat of vaporization ( $2.45 \text{ MJ kg}^{-1}$ ). The wind function  $f(u_2)$  can be represented as  $f(u_2) = 0.26(1 + 0.54u_2)$   
 130 (Brutsaert, 1982), where  $u_2$  is the wind speed at a height of 2 meters ( $\text{m s}^{-1}$ ).  $e_s$  and  $e_a$  are the saturated and actual air vapor  
 131 pressures (kPa), respectively.

132 To test this idea and compare the performance of E estimation using the meteorological  $E_p$  versus Budyko  $E_p$ , we randomly  
 133 divide the study catchments into two subsets: 2/3 for calibration and 1/3 for validation. Following the traditional practice in  
 134 Budyko-related studies, we obtain the optimized Budyko-MCY model parameter ( $n_1$ ) with known P,  $E_{p-Pen}$ , and Q by  
 135 minimizing the mean absolute error (MAE) of the estimated E for the calibration catchments, and then apply  $n_1$  and  $E_{p-Pen}$  in  
 136 the Budyko-MCY equation (Eq. (3)) to estimate E for the validation catchments.

137 When using  $E_{p-Bref}$ , we first establish the conversion function from  $E_{p-Pen}$  to  $E_{p-Bref}$  based on their relationship for the  
 138 calibration catchments. This conversion function is then extrapolated to the validation catchments to convert  $E_{p-Pen}$ . Finally,  
 139 the converted  $E_{p-Pen}$  is used to estimate E under the non-parametric Budyko equation (Eq. (1)) for the validation catchments.

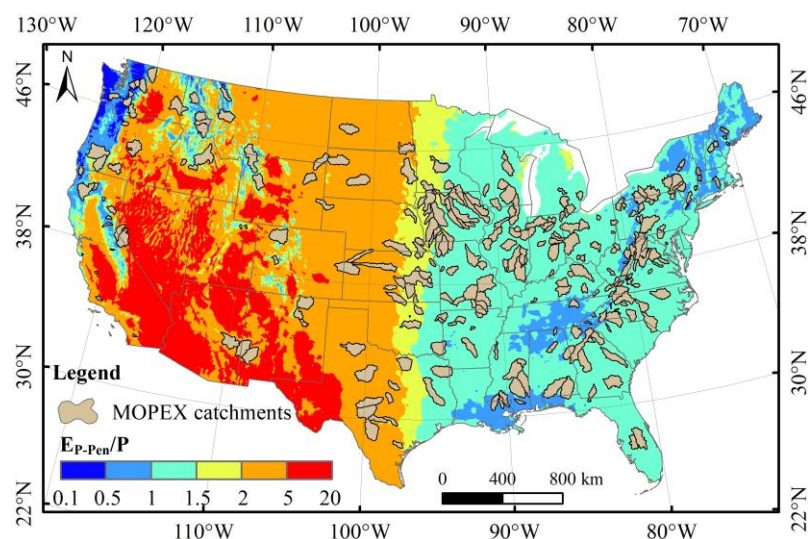
140 When using  $E_{p-Badj}$ , both the Budyko-MCY model parameter ( $n_2$ ) and the conversion function are obtained with the goal of  
 141 minimizing the MAE of the estimated E for the calibration catchments. The corresponding  $E_{p-Badj}$  at  $n_2$  is referred to as the  
 142 optimized  $E_{p-Badj}$  ( $E_{p-Badj-opt}$ ). The conversion function, which shows the relationship between  $E_{p-Badj-opt}$  and  $E_{p-Pen}$ , is then  
 143 extrapolated to the validation catchments to convert  $E_{p-Pen}$ . Finally, the converted  $E_{p-Pen}$  and  $n_2$  are used to estimate E for the  
 144 validation catchments with the Budyko-MCY equation (Eq. (3)).

### 145 2.3 Hydrometeorological data

146 We take the MOPEX catchments as an example to calculate the Budyko  $E_p$ , establish the conversion function, and explore  
 147 the potential application of the conversion function in E estimation. The MOPEX dataset contains daily P, Q,  $E_p$  (based on



pan evaporation), and maximum and minimum temperatures of 438 catchments during 1948-2003 (Duan et al., 2006), which are widely used in the catchment hydrological research (Wang and Hejazi, 2011; Xu et al., 2013; Kim et al., 2023; Ghotbi et al., 2020). Since the collected meteorological dataset is available from 1979 to 2021, this study uses the MOPEX dataset for the overlapping period, i.e., from 1979 to 2003. Daily-scale MOPEX data are aggregated to annual data, and catchments with continuous annual data for less than 11 years are removed. Therefore, 373 catchments are left for further analysis (Fig. 1). After examination, these catchments all satisfy the water balance constraint (i.e.,  $Q < P$ ) at the mean annual ( $\geq 11$  years) scale. The time window of 11 years is selected because previous research showed that water storage variation can be approximately ignored at time scales longer than 11 years, so  $E$  can be obtained from  $P$  minus  $Q$  (Han et al., 2020; Shao et al., 2021). The gridMET dataset supplies gridded meteorological data at a 4-km resolution covering the contiguous United States during 1979-2021 (Abatzoglou, 2013). The daily meteorological variables include the minimum and maximum air temperature, wind speed, vapor pressure deficit, and downward shortwave radiation, which enables  $E_P$  estimation by multiple meteorological equations (Ficklin et al., 2015; Abatzoglou and Ficklin, 2017; Mcevoy et al., 2020). The daily gridded  $E_{P-Pen}$ , calculated based on the gridMET dataset, is aggregated to the annual scale and averaged over multiple years. The resulting mean annual  $E_{P-Pen}$  is then spatially averaged over each MOPEX catchment. To ensure comparability, the temporal coverage of  $E_{P-Pen}$  is aligned precisely with that of the Budyko  $E_P$  for each catchment.



**Figure 1: Spatial distribution of 373 MOPEX catchments.  $E_{P-Pen}/P$  is used to indicate the dryness index.**

## 3 Results

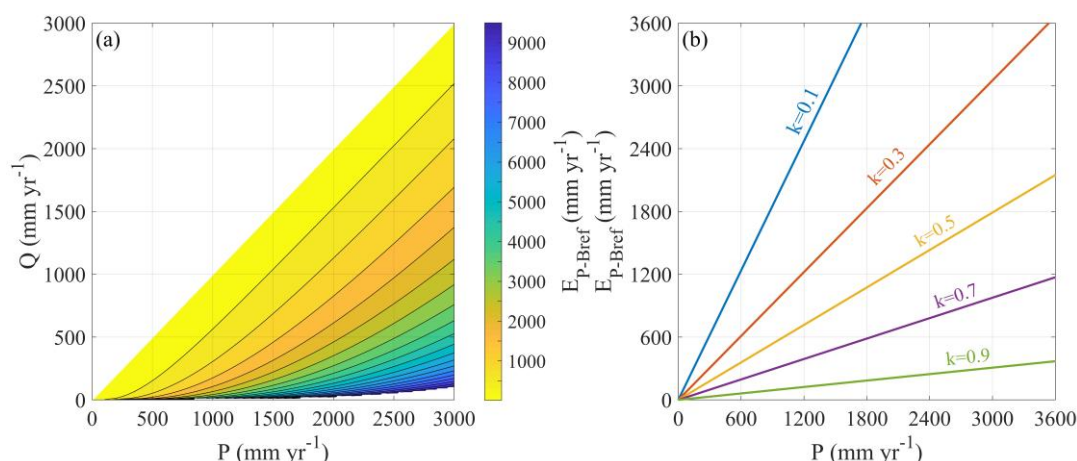
### 3.1 Relationship of $E_P$ with $P$ and $Q$ in the Budyko framework

$E_{P-Bref}$  derived from the non-parametric Budyko equation is closely related to  $P$  and  $Q$ , as shown in Fig. 2. Overall, when the runoff coefficient ( $k=Q/P$ ) is large,  $E_{P-Bref}$  tends to be small; as  $P$  increases and  $Q$  decreases (i.e.,  $k$  decreases),  $E_{P-Bref}$





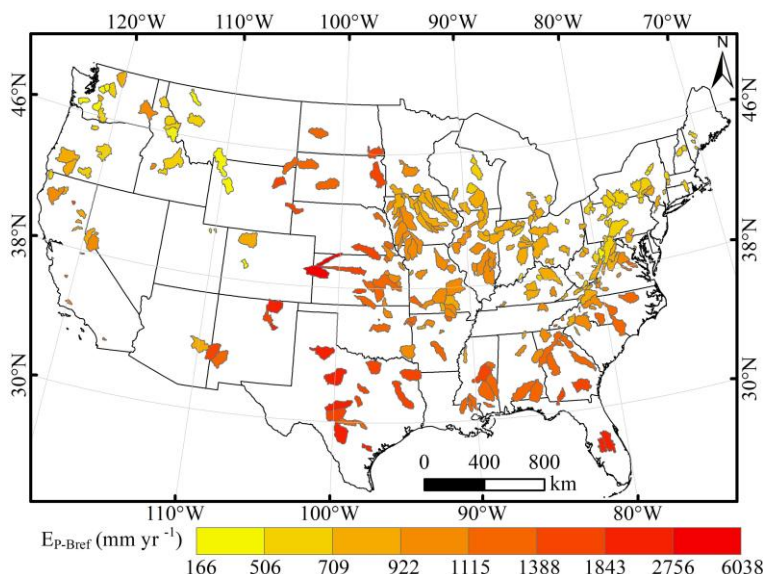
169 becomes larger (Fig. 2(a)). When  $k$  is held constant,  $E_{P-Bref}$  increases linearly with  $P$  (Fig. 2(b)). A smaller  $k$  corresponds to a  
 170 larger slope of the  $P$ - $E_{P-Bref}$  line, indicating that  $E_{P-Bref}$  is more sensitive to  $P$ . When  $P$  is constant, the smaller the  $k$ , the larger  
 171 the  $E_{P-Bref}$ , and correspondingly the larger  $E_{P-Bref}/P$ , which indicates the limited  $P$  is converted more to  $E$  and less to  $Q$  in a  
 172 drier climate. For a larger  $k$  (usually corresponding to wetter catchments),  $E_{P-Bref}$  is less affected by  $P$ . Therefore, it can be  
 173 concluded that  $E_{P-Bref}$  is closely related to the hydrological elements, with its variation largely affected by the runoff  
 174 coefficient of the catchment.



175  
 176 **Figure 2: Relationship of  $E_{P-Bref}$  with hydrological elements.  $k$  is the mean annual runoff coefficient ( $Q/P$ ) for a catchment.**

### 177 3.2 Spatial distribution of the reference Budyko $E_P$

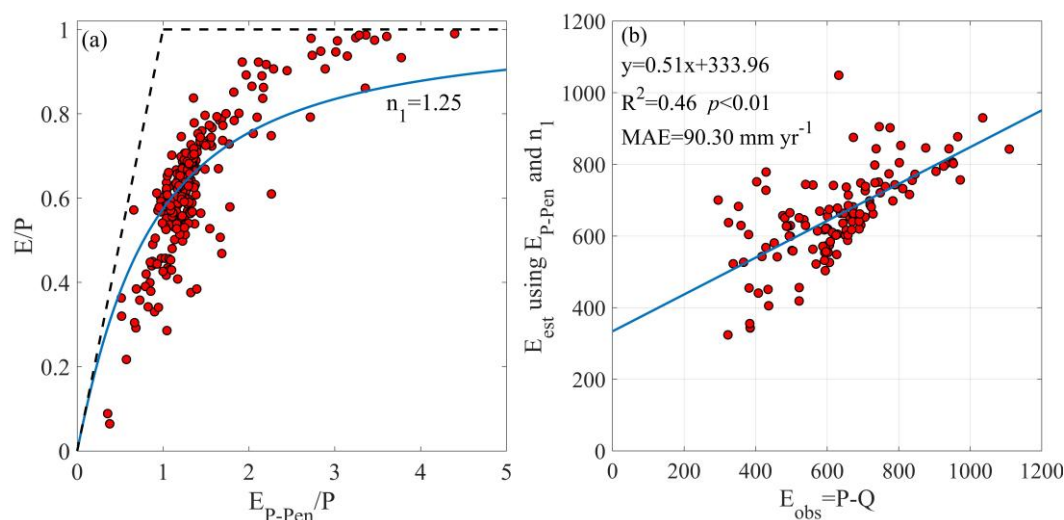
178 The spatial distribution of  $E_{P-Bref}$  across the MOPEX catchments shows that the high  $E_{P-Bref}$  values are predominantly found  
 179 in the central and southern areas, while low values in the northeastern and northwestern regions (Fig. 3).  $E_{P-Bref}$  values in the  
 180 vast majority of catchments range from 166 to 2756  $\text{mm yr}^{-1}$ . Only two catchments with mean annual  $Q$  less than 1  $\text{mm yr}^{-1}$   
 181 have  $E_{P-Bref}$  values exceeding the upper limit, both of which are located in the central contiguous United States. This is  
 182 consistent with the results that a very low runoff coefficient leads to a substantially large Budyko  $E_P$  (Fig. S1). Therefore, we  
 183 retain 371 catchments with  $E_{P-Bref}$  less than 2756  $\text{mm yr}^{-1}$  for subsequent  $E$  estimation.



**Figure 3: Spatial distribution of  $E_{P-Bref}$  for 373 MOPEX catchments.**

### 3.3 E estimation by the conversion function

Following the traditional practice in the Budyko-based E estimation studies, we obtain the optimized value of the Budyko–MCY model parameter ( $n_1=1.25$ ) for the MOPEX calibration catchments (Fig. 4(a)). The parameter calibration is performed by minimizing the MAE of the estimated E against the water balance-based E for the calibration catchments. The optimized model parameter  $n_1$  is then extrapolated to the MOPEX validation catchments to estimate E. It is found that the MAE of E estimation is 90.30 mm yr<sup>-1</sup> and the  $R^2$  is 0.46 (Fig. 4(b)). Although using  $E_{P-Pen}$  and the optimized Budyko model parameter generally provides acceptable E estimation, we observe an underestimation of E at larger values and an overestimation at lower ones.







195 **Figure 4: (a) The distribution of data points for the MOPEX calibration catchments in the Budyko space and (b) the comparison**  
196 **of estimated E ( $E_{\text{est}}$ ) based on the Budyko-MCY equation using  $E_{\text{P-Pen}}$  and the optimized model parameter  $n_1$  against the water**  
197 **balance-based E ( $E_{\text{obs}}$ ) for the MOPEX validation catchments.**

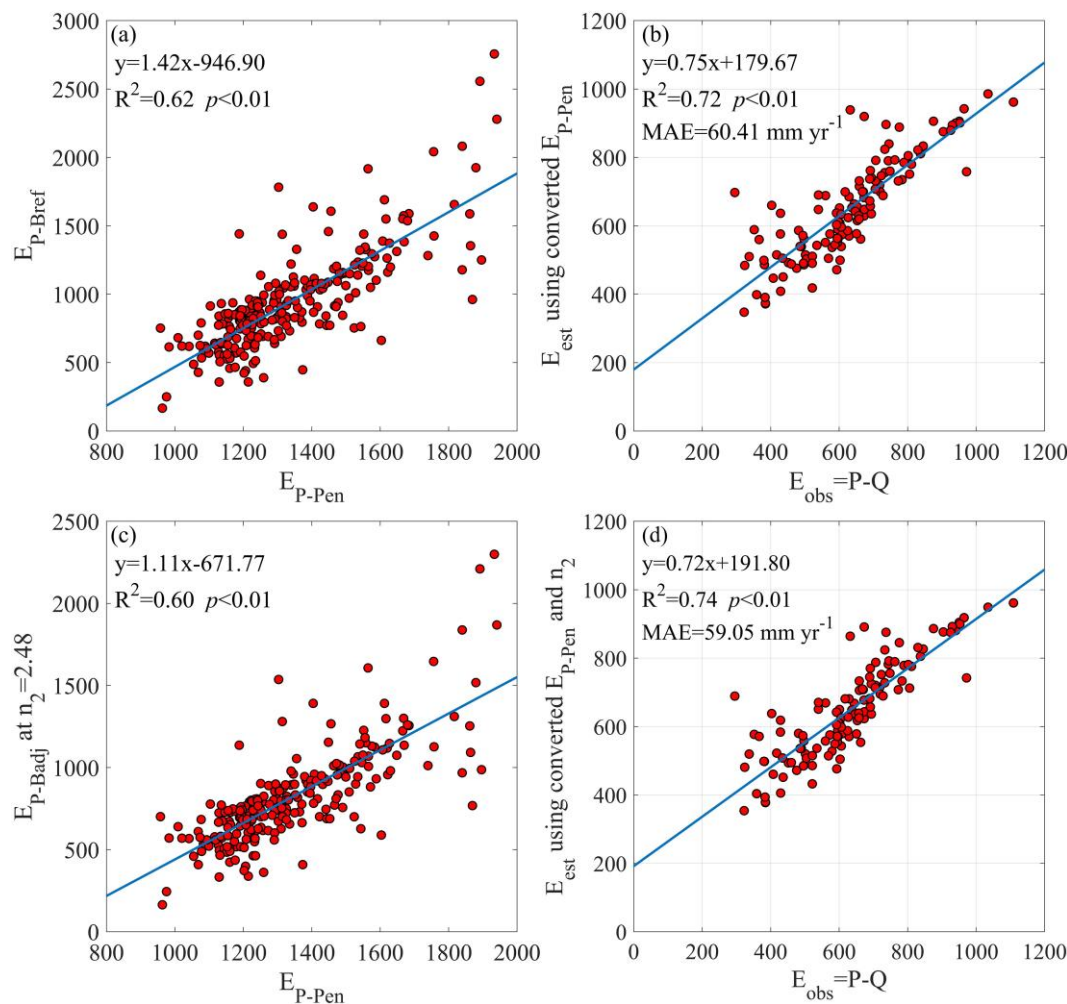
198 In this study, we analyze the relationships and constraint conditions of the variables within the Budyko framework and  
199 introduce the concept of Budyko  $E_{\text{P}}$ . Using the same data resources as the traditional Budyko-based E estimation studies, we  
200 further explore the available data information to establish the conversion function from the meteorological  $E_{\text{P}}$  to the Budyko  
201  $E_{\text{P}}$ , aiming to further improve the accuracy of E estimation.

202 Considering the scatter distribution characteristics between the Budyko  $E_{\text{P}}$  (including both the reference and adjustable  
203 Budyko  $E_{\text{P}}$ ) and  $E_{\text{P-Pen}}$  (Figs. 5(a) and 5(c)), the conversion function takes a linear form:

$$204 \quad E_{\text{P-Budyko}} = aE_{\text{P-meteor}} + b, \quad (7)$$

205 where  $E_{\text{P-Budyko}}$  represents the Budyko  $E_{\text{P}}$ , which comprises  $E_{\text{P-Bref}}$  and  $E_{\text{P-Badj}}$ .  $E_{\text{P-meteor}}$  represents the meteorological  $E_{\text{P}}$ ,  
206 which refers to  $E_{\text{P-Pen}}$  in this study. The coefficients a and b are the slope and intercept of the linear regression equation,  
207 respectively.

208 A linear conversion function is established based on the relationship between  $E_{\text{P-Pen}}$  and  $E_{\text{P-Bref}}$  for the MOPEX calibration  
209 catchments, i.e.,  $E_{\text{P-Bref}} = 1.42E_{\text{P-Pen}} - 946.90$  (Fig. 5(a)). This conversion function is then extrapolated to convert  $E_{\text{P-Pen}}$  and then  
210 to estimate E using the non-parametric Budyko equation (Eq. (1)) for the MOPEX validation catchments. The results show  
211 that the MAE of estimated E decreases from  $90.30 \text{ mm yr}^{-1}$  (when using the optimized model parameter and  $E_{\text{P-Pen}}$ ) to  $60.41$   
212  $\text{mm yr}^{-1}$ , and the  $R^2$  increases from 0.46 to 0.72. When estimating E using the adjustable Budyko  $E_{\text{P}}$ , a conversion function  
213 from  $E_{\text{P-Pen}}$  to  $E_{\text{P-Badj-opt}}$  is established for the MOPEX calibration catchments, i.e.,  $E_{\text{P-Badj-opt}} = 1.11E_{\text{P-Pen}} - 671.77$  (Fig. 5(c)).  
214 Extrapolating this conversion function to the MOPEX validation catchments and combining it with the Budyko-MCY  
215 equation (Eq. (3)) slightly improve the accuracy of E estimation compared to using  $E_{\text{P-Bref}}$ , with the MAE of  $59.05 \text{ mm yr}^{-1}$   
216 and the  $R^2$  of 0.74 (Fig. 5(d)). In summary, compared to using the optimized model parameter and meteorological  $E_{\text{P}}$ , the  
217 MAEs of E estimation with the reference and adjustable Budyko  $E_{\text{P}}$  decrease by 33 % and 35 %, respectively. This  
218 demonstrates the effectiveness of the Budyko  $E_{\text{P}}$  and conversion function in catchment E estimation.



**Figure 5: The conversion functions of  $E_{P-Pen}$  in the MOPEX calibration catchments and the performance of  $E$  estimation in the MOPEX validation catchments. Panels (a-b) show the conversion function from  $E_{P-Pen}$  to the reference Budyko  $E_P$  and the corresponding  $E$  estimation performance, respectively. Panels (c-d) display the conversion function from  $E_{P-Pen}$  to the optimized adjustable Budyko  $E_P$  and the corresponding  $E$  estimation performance, respectively.**

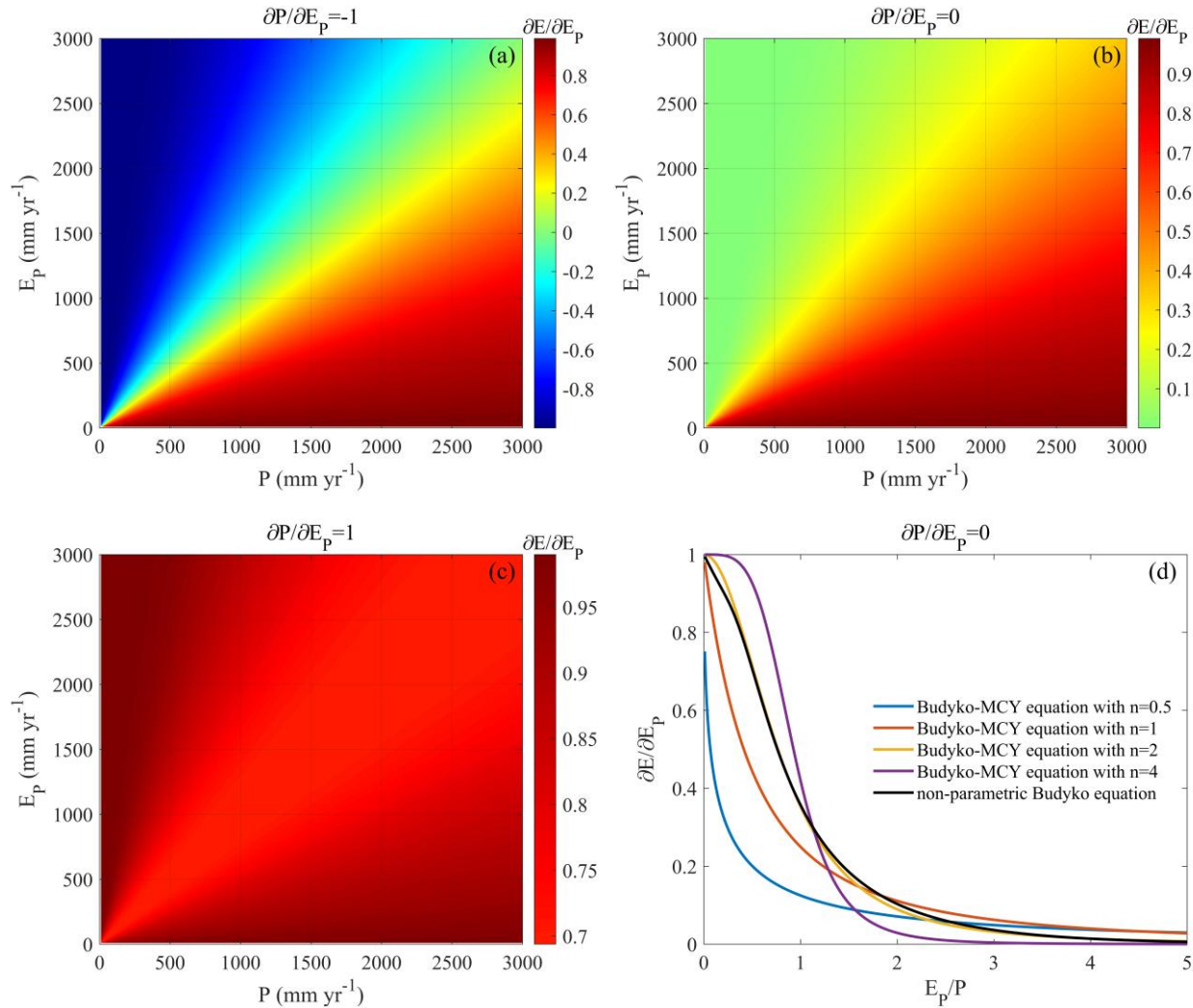
## 4 Discussion

### 4.1 Characteristics of the Budyko $E_P$

$E_P$  has traditionally been estimated using meteorological approaches, which is generally based on the relationships of  $E_P$  with energy balance and aerodynamic processes. This has led to the development of various meteorological methods for  $E_P$  estimation. By examining the water balance characteristics in a catchment, this study suggests that the  $E_P$  value, which specially satisfies the Budyko framework, is deterministic for a given catchment with known values of  $P$  and  $Q$ . Consequently, we introduce the concept of Budyko  $E_P$  and propose its inversion-based calculation method. This inversion



231 logic is conceptually similar to the idea that runoff variability integrates and conveys information about rainfall inputs,  
 232 allowing  $P$  to be inferred from  $Q$  under the physical constraints of the catchment water balance (Manoj et al., 2025).  
 233 Calculated from catchment-scale  $P$  and  $Q$  data within the Budyko framework, the Budyko  $E_p$  fully conforms to the  
 234 framework's spatial and temporal scales. It characterizes the atmospheric evaporative demand as manifested in terrestrial  
 235 hydrological processes in the context of land-atmosphere coupling.  
 236 Since the Budyko  $E_p$  is derived from the Budyko framework, clarifying its relationship with  $E$  is crucial for determining the  
 237 appropriate  $E_p$  values when using the Budyko models. Therefore, we derive the partial derivatives of  $E$  with respect to  $E_p$   
 238 ( $\partial E / \partial E_p$ ) under the non-parametric Budyko equation (Eq. (S9)) and the Budyko-MCY equation (Eq. (S15)), respectively. The  
 239 variations of these two types of  $\partial E / \partial E_p$  with  $P$  and  $E_p$  (or  $E_p/P$ ) are shown in Fig. 6. For the non-parametric Budyko equation,  
 240 the response of  $E$  to changes in  $E_p$  can be divided into two situations. When  $P$  and  $E_p$  vary inversely (e.g.,  $\partial P / \partial E_p = -1$ ),  $E$   
 241 increases with  $E_p$  under humid conditions but decreases with  $E_p$  under dry conditions (Fig. 6(a)). When  $E_p$  and  $P$  are  
 242 relatively independent ( $\partial P / \partial E_p = 0$ ) (Fig. 6(b)) or vary in the same direction (e.g.,  $\partial P / \partial E_p = 1$ ) (Fig. 6(c)),  $\partial E / \partial E_p$  varies with  
 243 climatic conditions but remains positive in both humid and dry environments, indicating that  $E$  always increases with  $E_p$ . In  
 244 particular, when  $E_p$  and  $P$  are relatively independent,  $\partial E / \partial E_p$  in the non-parametric Budyko equation is solely a function of  
 245  $E_p/P$ , whereas in the Budyko-MCY equation it depends on both  $E_p/P$  and  $n$ . In this case, the derivative remains positive for  
 246 both equations (Fig. 6(d)).



**Figure 6: Variations of  $\partial E / \partial E_P$  derived from the original non-parametric Budyko equation (Eq. (1)) and the Budyko-MCY equation (Eq. (3)) under varying  $\partial P / \partial E_P$  conditions. Panels (a-c) illustrate  $\partial E / \partial E_P$ , derived from the non-parametric Budyko equation, under conditions where  $P$  and  $E_P$  are negatively correlated, independent, and positively correlated, respectively, while panel (d) additionally includes the results from the Budyko-MCY equation (with different parameter values) under the condition  $\partial P / \partial E_P = 0$ .**

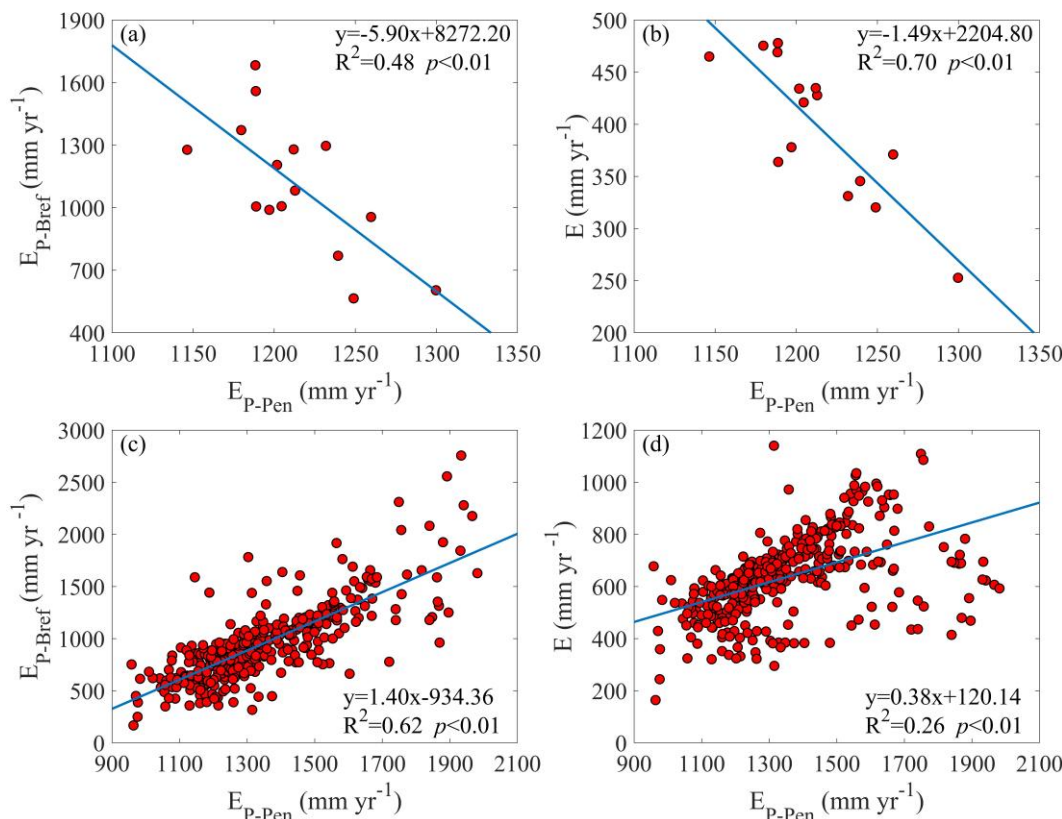
## 4.2 Form of conversion function and its spatial variation

Budyko  $E_P$ ,  $E$ , and  $P$  satisfy the constraints of the Budyko framework. When estimating  $E$  by the Budyko models, the theoretical value of  $E_{P\text{-meteor}}$  should match that of Budyko  $E_P$ . However, in most cases,  $E_{P\text{-meteor}}$ , such as  $E_{P\text{-pen}}$ , does not coincide with Budyko  $E_P$ . The purpose of developing a conversion function for  $E_{P\text{-meteor}}$  is to reduce its discrepancies with Budyko  $E_P$ , ensuring that the  $E_P$  input into the Budyko model exhibits the characteristics of Budyko  $E_P$ . This enhances  $E$  estimation without the need for additional data or catchment-specific adjustments to the model parameter. In this study,  $E_{P\text{-pen}}$  and Budyko  $E_P$  show positive correlations across MOPEX catchments in the spatial process. However, the regression



lines do not follow the 1:1 line, indicating the differences between  $E_{P-Pen}$  and Budyko  $E_P$  in both magnitudes and trends (Figs. 5(a) and 5(c)). If  $E_{P-Pen}$  is used directly in the Budyko model without conversion, it will not yield the  $E$  estimates with the minimum MAE. This highlights the necessity of incorporating a conversion function when using  $E_{P-meteor}$  in the Budyko framework. Such necessity becomes particularly pronounced when the slope of the linear conversion function is negative, because in this case low  $E_{P-meteor}$  values correspond to high Budyko  $E_P$  values and vice versa.

It is found that the slopes of the linear conversion functions from  $E_{P-Pen}$  to Budyko  $E_P$  are positive (Figs. 5(a) and 5(c)) for the MOPEX catchments. However, we observe a significant negative correlation between  $E_{P-Pen}$  and  $E_{P-Bref}$  for catchments in the Chinese Loess Plateau (CLP) and the conversion function is  $E_{P-Bref} = -5.90E_{P-Pen} + 8272.20$ , implying a negative conversion relationship (Fig. 7(a)). As a further illustration, the relationship between  $E_{P-Pen}$  and  $E_{P-Bref}$  is also clearly contrasted between the two catchment groups in Fig. S2. This indicates that the slope and intercept of the linear conversion function may vary with the hydroclimatic conditions of catchments. A preliminary analysis indicates that the complementarity relationship between  $E$  and  $E_{P-Pen}$  is an important factor affecting the specific expression of the conversion function. To be specific, a strong complementary relationship between  $E$  and  $E_{P-Pen}$  exists for catchments in the Chinese Loess Plateau in the spatial process (Fig. 7(b)), where  $E_{P-Bref}$  is significantly negatively correlated with  $E_{P-Pen}$ . In contrast, no significant evidence of such a complementary relationship is observed for the MOPEX catchments in the spatial process (Fig. 7(d)), where  $E_{P-Bref}$  is significantly positively correlated with  $E_{P-Pen}$  (Fig. 7(c)). Considering the spatial differences of the complementary relationships, the form and expression of the conversion function need to be adjusted accordingly. Although the dry/wet climate conditions are considered to have affected the performance of the complementary relationships (Roderick and Farquhar, 2002; Golubev et al., 2001; Han et al., 2014), its spatial differentiation characteristics may also be shaped by other factors. How the complementary relationship between  $E$  and  $E_{P-Pen}$  influences the relationship between Budyko  $E_P$  and  $E_{P-Pen}$  merits more in-depth analysis.



**Figure 7: Relationships among  $E_{P-Pen}$ ,  $E_{P-Bref}$ , and  $E$  for 15 catchments in the Chinese Loess Plateau and 371 MOPEX catchments, respectively. Panels (a-b) show the results of the catchments in the Chinese Loess Plateau and the data is from Cheng et al. (2023). Panels (c-d) show the results of the MOPEX catchments.**

Worth noting, the MOPEX catchments are less distributed across the arid western United States, where  $E_{P-Pen}$  values are generally higher. In Fig. 7(d),  $E$  shows a certain degree of negative correlation with  $E_{P-Pen}$  for higher  $E_{P-Pen}$ , indicating that the complementary relationships for evapotranspiration may exist in arid regions. Additionally, it is important to emphasize that the values of  $E$  and  $E_P$  in this study are multi-year averages, so their relationships (including the complementary relationships) reflect their variations across different catchments (i.e., in the spatial process). Based on 192 data pairs of annual  $E$  and pan evaporation from 25 catchments (each having data over different periods) across the contiguous United States, Ramírez and Hobbins (2005) concluded that significant complementary relationships for evapotranspiration existed in the contiguous United States. Considering the insignificant complementary relationships in the spatial process (Fig. 7(d)), their results highlight the complementary relationships for evapotranspiration in the interannual process. The distinctions and connections between the complementary relationships for evapotranspiration in the spatial and temporal processes require further exploration, which will help to understand the differences in conversion functions under varying spatiotemporal conditions. Moreover, under certain conditions, Budyko  $E_P$  and  $E$  may also display a complementary relationship, which is an aspect worth considering. The conversion function can be established in both spatial and interannual processes, and its formulation





varies across regions and is influenced by different complementary relationships for evapotranspiration in spatiotemporal processes and other factors.

#### 4.3 Implications and perspectives of the Budyko $E_P$ and the conversion function

The Budyko  $E_P$  not only shows the relationship between  $E_P$  and the water balance or hydrological elements in a catchment but also breaks through the conventional thinking of  $E_P$  estimation solely from the meteorological perspective, thus providing a new angle to understand  $E_P$ . This study further examines the performance of the Budyko  $E_P$  in estimating  $E$  at the catchment scale. Without relying on auxiliary data such as climate, vegetation, topography, and soil characteristics, we established a conversion function from meteorological  $E_P$  to Budyko  $E_P$ , achieving  $E$  estimates that outperform those obtained with fixed Budyko model parameter. Previous studies have shown that when auxiliary data are available, empirical functions for the model parameter derived from such data often yield more accurate  $E$  estimates than using a fixed parameter (Huang et al., 2024; Xu et al., 2013; Ning et al., 2019). Notably, the conversion function method establishes a direct link between meteorological  $E_P$  and Budyko  $E_P$ , simplifying the estimation process by eliminating the need for auxiliary data, thereby improving its practicality while maintaining accuracy, particularly in regions where auxiliary data are scarce or where complex regional conditions pose challenges to developing a unified parameter function (Chen et al., 2023; Cheng et al., 2022).

Future research on the Budyko  $E_P$  and the corresponding conversion function could address the following aspects. First, by incorporating water storage variation, Budyko  $E_P$  can be calculated at the annual scale, which would enable its application in annual  $Q$  or  $E$  estimation. Second, further investigation of the complementary relationship of evapotranspiration under different spatial and temporal conditions will help advance the precise expression of the conversion functions. Third, similar to the parameter-function approach, the conversion function could also be parameterized when auxiliary data are available, which would further improve the accuracy of  $E$  estimation.

#### 5 Conclusions

Traditional meteorological methods of  $E_P$  estimation generally link  $E_P$  to meteorological elements and different meteorological equations lead to changes in  $E_P$  values. This study uncovers the connection between  $E_P$  and the components of the catchment water balance, and propose the concept of Budyko  $E_P$  under the Budyko framework and calculate its mean annual values by  $P$  and  $Q$  data. The reference and adjustable Budyko  $E_P$  are obtained based on the non-parametric and parametric Budyko equations, respectively. To facilitate the use of Budyko  $E_P$  in hydrological simulations, we developed conversion functions from  $E_{P-Pen}$  to both the reference and the optimized adjustable Budyko  $E_P$ . Using the converted  $E_{P-Pen}$  in Budyko models significantly improves the accuracy of  $E$  estimation for the MOPEX catchments, compared to using  $E_{P-Pen}$  directly with the optimized Budyko model parameter. By extracting deeper information from the same inputs, our method enhances the performance of Budyko models in hydrological simulations without increasing data demand.



Further investigation suggests that the region-specific conversion function needs to be adjusted according to the spatial differentiation of the complementary relationships for evapotranspiration, as shown in the MOPEX and Chinese Loess Plateau catchments. When the complementary relationships for evapotranspiration are prominent, applying the conversion function becomes particularly important when estimating  $E$  using the Budyko model. This study further clarifies the relationships among variables in the Budyko framework and proposes the Budyko  $E_p$  calculation method. The results improve the estimation accuracy of land evapotranspiration and promote the understanding of the relationship between  $E$  and  $E_p$ .

*Code availability.* The code used for all analyses is available from the authors upon request.

*Data availability.* All data used in this study are publicly available. The Model Parameter Estimation Experiment (MOPEX) data are available from Duan et al. (2006). The gridMET data (Abatzoglou, 2013) can be accessed at <http://www.climatologylab.org/gridmet.html>.

*Supplement.* The supplement related to this article is available online at: ....

*Author contributions.* CC carried out the main analyses and prepared the initial manuscript; WL offered conceptual direction and overall supervision; RC assisted with investigation and visualization; ZM and HZ supported data collection and manuscript reviewing; XH and ZL contributed methodological assistance; HF provided support in reviewing and refining the manuscript. All the authors have read and agreed to the submitted version of the paper

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