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2 Wind-induced collapse of the biopolymeric surface microlayer induces sudden
3 changes in sea surface roughness

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17 **Abstract**

18 All exchange between the ocean and atmosphere has to cross the sea surface microlayer (SML), yet the SML
19 impact on modulating air-sea exchange rates remains poorly understood. Surfactants, including biopolymers, can
20 influence exchange rates by altering the rheological properties of the SML, damping surface turbulence, and
21 capillary wave formation. We investigated the impact of wind speed on SML biopolymer enrichment, surface
22 roughness, and interfacial surfactant coverage at the Heidelberg ‘*Aeolotron*,’ a large annular wind-wave facility
23 filled with 18,000L seawater. Our results show that biopolymer enrichment, specifically the enrichment of
24 polypeptides and polysaccharides, in the SML declined sharply at wind speeds above 6 m/s, coinciding with a
25 sudden increase in the Mean Square Slope (MSS) of waves by 1–2 orders of magnitude. At wind speed $< 6\text{ m s}^{-1}$,
26 biopolymer enrichment in the SML was accompanied by high surfactant surface coverage and strongly reduced
27 MSS values compared to non-enriched or essentially surfactant-free clean freshwater surfaces, indicating a
28 substantial impact of biopolymer enrichment in the SML for air-sea exchange at lower wind speed. Selective SML
29 enrichment was observed, particularly for the amino acids arginine and glutamic acid, and the amino sugar
30 galactosamine. Amino acid and carbohydrate monomers in the SML also exhibited significant and compound-
31 specific wind-induced variability. Our findings suggest that biopolymers, particularly those derived from bacterial
32 production, accumulate in the SML and act as powerful biosurfactants. Unlike artificial surfactant films, natural
33 SML components were more susceptible to wind-induced disruption and to microbial production and
34 decomposition. Our findings reveal that ecological processes actively regulate the chemical and physical properties
35 of the SML, including surfactant surface coverage, and thereby potentially modulate air–sea heat and mass
36 exchange.

37

38 **1. Introduction**

39 All exchange between the ocean and atmosphere traverses a thin upper ocean boundary layer known as the sea
40 surface microlayer (SML) (Cunliffe et al., 2013; Engel et al., 2017). Less than 1mm thick, the SML is the
41 chemically and structurally complex organic interface layer right below the air-sea interface with distinct physical,
42 chemical, and biological properties, often enriched in high molecular weight biopolymers and surface-active
43 agents (surfactants). These surfactants are amphiphilic molecules with both hydrophilic (water-attracting) and
44 hydrophobic (water-repelling) groups. Under low-wind conditions, the accumulation of organic material and

45 surfactants in the SML dampens capillary waves and reduces light reflection, making the SML appear smooth, a
46 phenomenon often referred to as a slick. In the ocean, slicks appear shiny, calm, or darker than the surrounding
47 water because they reflect sunlight differently.

48 Various biochemicals, including heteropolymers of lipids, amino acids, and carbohydrates, contribute to the
49 oceanic surfactant pool (Cunliffe et al., 2013, Gašparović and Čosović, 2003). For example, in
50 lipopolysaccharides, the carbohydrate and lipid moieties represent the hydrophilic and the hydrophobic parts of
51 the molecule. Surfactants can impede air-sea gas exchange by modifying the surface rheological properties of the
52 SML. Specifically, surfactants increase the surface elasticity and effective surface viscosity of water. As a result,
53 Marangoni stresses arise from surface-tension gradients. This damps the formation of capillary waves, which
54 reduces small-scale roughness, and leads to a stronger turbulent energy dissipation near the surface (Wei and Wu,
55 1992; Frew et al., 1990; Jenkinson et al., 2018; Laxague et al., 2024). In this context, the overall effect of
56 surfactants arises from complex and dynamic competitive adsorption: an excess of highly surface-active
57 compounds inhibits the adsorption of less active surfactants, while a deficiency promotes the contribution of the
58 latter (Pogorzelski et al., 2006; Frka et al., 2012). In the open ocean, organic matter derived from phytoplankton
59 production contains surfactants (Croot et al., 2007; Frew et al., 1990; Wurl et al., 2011). Regions with elevated
60 primary production are therefore expected to have higher surfactant concentrations (Wurl et al., 2011). However,
61 chlorophyll *a* (Chl *a*), often used as a proxy for primary production, may not accurately predict surfactant
62 occurrence (Laß et al., 2013; Sabbaghzadeh et al. 2017). Instead, a mixture of more recalcitrant dissolved organic
63 matter (DOM) and freshly produced biopolymers seems to control surfactant dynamics in the SML (Barthelmeß
64 and Engel, 2022). Certain strains of heterotrophic bacteria produce surfactants (Satpute et al., 2010) and have also
65 been associated with surfactant-covered ocean surfaces (Kurata et al., 2016). In addition, surfactants present in
66 seawater have been associated with human-related and terrestrial sources, such as riverine runoff (Cuscov and
67 Muller, 2015; Shararom et al., 2018).

68 Variability of surfactants in the SML is likely one of the main reasons why parameterizations based solely on wind
69 speed struggle to accurately predict mass and momentum exchange between the sea and atmosphere, particularly
70 at low wind speeds where the number of observations is small (Wanninkhof et al., 2009; Nagel et al., 2019). This
71 significantly hinders accurate estimates of the ocean's contribution to the cycling of greenhouse gases. For
72 example, a substantial reduction of air-sea fluxes of CO₂ has been documented under high accumulation of natural
73 surfactants using surface seawater of the Atlantic in an on-board air-sea gas exchange tank experiment (Pereira et
74 al., 2018). In association with cyanobacteria blooms (*Trichodesmium* sp.) in the Baltic Sea, a drastic reduction of

75 the gas transfer coefficient (k_w) was associated with bloom-induced biosurfactants, leading to $\pm 20\%$ differences in
76 seasonal CO₂ uptake estimates (Schmidt and Schneider, 2011). Another study in the eastern tropical North Atlantic
77 indicated that surfactants, especially in areas of high biological productivity, may dampen the air-sea exchange of
78 other greenhouse gases like N₂O as well (Kock et al., 2012). Estimates on how surfactants in the SML reduce
79 global net oceanic CO₂ uptake vary between 15% and 60% (Pereira et al., 2018; Asher et al., 1997; Tsai and Liu,
80 2003; Wurl et al., 2016). However, at sea, the variability and complexity of organic matter composition, combined
81 with a dynamic physical environment, including waves, rain, and varying wind speed, make it hard to directly
82 quantify the influence of surfactants on air-sea gas exchange and to examine which biochemical components
83 contribute to the surfactant pool. Repeated conditions of constant wind speeds, especially in the low wind regime,
84 are challenging to meet in the open ocean.

85 To investigate the influence of wind speed and surfactants on air-water mass exchange under more controlled
86 conditions, wind-channel experiments have typically been conducted using freshwater and defined additions of
87 artificial surfactants such as oleyl alcohol, hexadecanol, Triton-X and hexadecylamine (Hühnerfuss et al., 1981;
88 Jähne, 1987, Alpers and Hühnerfuss, 1989; Mesarchaki et al., 2015; Frew et al., 1995; Gade et al., 1998; Krall,
89 2013). These studies demonstrated strong wave damping of surfactants up to a wind speed of 13 m s⁻¹ (Broecker
90 et al., 1978; Jähne, 1987). Only a limited number of wind-channel experiments have been conducted using natural
91 surface films and seawater. For example, Tang and Wu (1992) demonstrated the wave-damping capacity of natural
92 films under varying wind speeds, but did not investigate the biochemical composition of the surfactants.
93 Contributing to a joint effort to close this knowledge gap, by conducting an experimental campaign at the
94 Heidelberg *Aeolotron*, a unique large-scale facility capable of generating controlled wind conditions of up to 22
95 m/s, which we filled with 18000L natural seawater. Unlike previous investigations that relied largely on artificial
96 surfactants, freshwater, or simplified laboratory systems, our approach allowed us to directly examine natural
97 marine biochemicals under controlled yet realistic SML conditions. Specifically, we investigated how wind speed
98 influences the enrichment of the two quantitatively most abundant biopolymer classes, total hydrolysable amino
99 acids (THAA) and total combined carbohydrates (TCCHO), in the SML and how these biopolymers contribute to
100 capillary wave damping. To further link SML composition to surface physical properties, we also quantified
101 surface roughness in terms of mean square slope measurements and surfactant surface coverage.

102

103 1. Material and Methods

104 2.1 Experimental conditions and treatments

105 This study was part of the larger ‘*Aeolotron*’ experiment, conducted in November 2014 to investigate various air-
106 sea exchange processes under controlled wind conditions. The *Aeolotron* is an annular wind wave tank in
107 Heidelberg, Germany, with a diameter of approximately 10 m, a water depth of 1 m, a 1.4m air space above the
108 water, and a total surface area of 18.4 m² (Figure 1A).

109 Due to its unique annular geometry, the *Aeolotron* wind-wave tank offers distinct advantages over conventional
110 linear wind-wave tanks when aiming to replicate ocean-like conditions (Schmundt et. al, 1995). In linear tanks,
111 surfactants tend to accumulate near the wave absorber and are eventually rendered inactive, as they are transported
112 out of the active measurement region by wind and wave action. In contrast, the annular design of the *Aeolotron*
113 ensures that surface films remain uniformly distributed, allowing for sustained and realistic interactions at the air-
114 water interface. Additionally, while conventional linear tanks are limited by fetch, the *Aeolotron* permits the
115 continuous development of wind waves along an effectively unlimited fetch, allowing for the generation of older,
116 more ocean-like wave fields. This enables the study of processes that are otherwise difficult to capture in shorter
117 linear facilities.

118 Importantly, the limited absolute size of the *Aeolotron* does not compromise its relevance for studying interfacial
119 gas exchange processes. The key mechanisms governing air-sea gas exchange, particularly those involving the sea
120 surface microlayer (SML), operate on length scales of millimetres or less. These include molecular diffusion,
121 micro-scale turbulence, and surfactant-mediated suppression of short capillary waves, all of which are fully
122 resolved within the *Aeolotron*'s experimental framework (Schmundt et al., 1995; Mesarchaki et al., 2015). As such,
123 the *Aeolotron* provides an excellent platform for investigating the fundamental physics of gas transfer and
124 interfacial dynamics under highly controlled yet ocean-relevant conditions.

125 The setup of the *Aeolotron* experiment and the physical, chemical and biological treatments in the course of the
126 experiment are described in more detail elsewhere (Engel et al., 2018). Briefly, the wind wave channel was filled
127 with approximately 18000 L of seawater, which had been collected in September 2014 in the North Atlantic and
128 German Bight, North Sea. The seawater had been stored in the dark at 10°C for about a month until it was used to
129 fill the *Aeolotron*.

130 Seawater temperature within the *Aeolotron* ranged from 20.13 to 22.21°C. Light sources were operated over the
131 tank for two periods of eight (days 7-16) and six days (days 20-26), providing a photon flux of 115-120 μmol m⁻²
132 s⁻¹. Inorganic nutrients were added on day 12. About 800 ml of a culture of *Emiliania huxleyi* (cell density: 4.6 x

133 10^5 cell ml^{-1}) was added on day 20. In addition, 6L of biogenic microlayer sampled with the glass plate during a
134 previous phytoplankton mesocosm experiment, stored frozen at -20°C for about 6 months, was thawed and added
135 on day 21. The total duration of the *Aeolotron* experiment was 26 days.

136

137 During the *Aeolotron* experiment, a total of 7 wind experiments were conducted on days 2, 4, 9, 11, 15, 22, and
138 24 (Figure 1B, C). During each experiment, the wind speed was increased stepwise yielding a range of wind speeds
139 (U_{10}) from 1.3 m/s to 21.9 m/s. The duration of each wind speed setting varied from 30 min to 2 hrs, with longer
140 durations for the lower wind speeds. This scheme was chosen to facilitate robust concurrent measurements of air-
141 sea gas exchange for each wind speed condition in a parallel project (Mesarchaki et al., 2015).

142 Wind speeds during the experiments were measured using a Pitot tube, and water velocities were measured using
143 an acoustic Doppler velocimeter mounted equidistant from both the outer and inner wall at a water depth of
144 approximately 50 cm. The friction velocity U_* , a measure for the wind's momentum input into the water, was
145 calculated from the water velocity using a momentum balance method (Bopp, 2014). The friction velocity U_*
146 measured in the *Aeolotron* was subsequently converted to U_{10} using a parametrization of the drag coefficient
147 derived from the open ocean (Edson et al., 2013).

148

149 **2.2 Wave Slope Measurement**

150 The Mean Square Slope (MSS, a statistical dimensionless parameter for surface roughness) of the water surface is
151 strongly correlated with the air-sea gas transfer velocity (Jähne et al., 1987; Frew et al., 2004). The MSS is,
152 therefore, an important parameter linking sea surface properties to air-sea exchange processes. During this study,
153 the MSS of wind-induced waves was computed from wave slope images (Kiefhaber et al., 2014). These images
154 were taken by a high-speed camera, positioned in a telecentric setup above the water surface, capturing images of
155 a wave-height independent area at the water surface measuring 16 cm x 20 cm, achieving a resolution of 0.22
156 mm^2/pixel at a rate of 1500 frame per second. Illumination of the water body was achieved from below, utilizing
157 a programmable high-power LED light source in such a way that both the along-wind and cross-wind slopes, s_x
158 and s_y of the waves, could be computed. From the slope images, the MSS is simply computed as an average over
159 space and time, $\text{MSS}=(s_x^2 + s_y^2)$. As a reference, the variation of MSS with wind speed was determined for clean
160 freshwater in a separate *Aeolotron* study beforehand (Kunz, 2017). Uncertainties for MSS values are $<10\%$ for
161 values >0.002 . Close to the detection limit of 0.0003, uncertainties are in the order of the measured value.

162

163 2.3 Sampling

164 The SML was sampled on 12 days in the morning at low wind speed (U_{10} : 1.3-1.5 m s⁻¹) and towards the end of
165 each wind speed step during each of the seven wind experiments (Figure 1B). Sampling was carried out using the
166 glass plate technique in accordance with established protocols (Cunliffe and Wurl, 2014), employing a borosilicate
167 glass plate (500 × 250 × 5 mm) and a Teflon wiper. For sampling, the glass plate was inserted perpendicular to the
168 surface and withdrawn at a rate of ~20 cm/sec. Subsequently, the sample, retained by surface tension, was removed
169 utilizing a Teflon wiper. Each sampling involved between 23 and 48 dips and precise documentation of the number
170 of dips and total volume collected. All samples were collected in acid-cleaned (10% HCl) glass bottles, washed
171 with ultrapure water from a Milli-Q system, and rinsed with 20 mL of sample initially. Before each sampling
172 event, both the glass plate and wiper were cleaned with 10% HCl and extensively rinsed with Milli-Q water.

173 The thickness (d , μm) of the SML sampled with the glass plate was approximated:

$$174 \quad (1) \quad d = V / (A \times n)$$

175 where V represents the collected SML volume (ranging from 200-420 mL), A denotes the sampling area of the
176 glass plate ($A = 2000 \text{ cm}^2$), and n is the number of dips (Cunliffe and Wurl, 2014). In this study, d serves as an
177 operational estimate for the thickness of the SML and is referred to as apparent SML thickness.

178 Underlying water (ULW) samples were taken in the morning at low wind speed from a tap ~50 cm below the water
179 surface, representing half the water column's height. These samples, ~500 ml each, were filled into 10% HCl-
180 cleaned borosilicate glass bottles, rinsed with Milli-Q water, and pre-rinsed with ~20 mL of the sample directly
181 before filling. ULW samples were collected daily between day 1 and day 26 of the experiment, except for day 6
182 (Figure 1C).

183 2.4 Analysis of organic compounds

184 2.4.1 Dissolved organic carbon (DOC)

185 Samples for DOC (20 ml) were collected in duplicate from the SML and ULW and filled into combusted glass
186 ampoules after filtration through combusted glass-fibre filters (GF/F) filters (8 hours, 500° C). Samples were
187 acidified with 80 μL of 85% phosphoric acid, heat sealed immediately, and stored at 4°C in the dark until analysis.
188 DOC samples were analyzed by applying the high-temperature catalytic oxidation method (TOC -VCSH,
189 Shimadzu) (Engel and Galgani, 2016). The instrument was calibrated every 8-10 days by measuring standard
190 solutions of 0, 500, 1000, 1500, 2500 and 5000 μg C L⁻¹, prepared from a potassium hydrogen phthalate standard

191 (Merck 109017). Every measurement day, Milli-Q water was used to determine the instrument blank, which was
192 accepted for values $<12 \mu\text{g C L}^{-1}$. DOC analysis was validated on every measurement day with deep seawater
193 reference (DSR) material provided by the Consensus Reference Materials Project of RSMAS (University of
194 Miami) yielding values within the certified range of $42\text{-}45 \mu\text{mol C L}^{-1}$. Additionally, two internal standards with
195 DOC within the range of those in samples were prepared each measurement day using a potassium hydrogen
196 phthalate (Merck 109017). DOC concentration was determined in each sample from 5 to 8 injections. The precision
197 was $<4\%$, estimated as the relative standard deviation of replicate measurements.

198 **2.4.2 Biopolymers**

199 Total hydrolysable amino acids (THAA), i.e., amino acids with a peptide bond, including amino acids contained
200 in polypeptides or heteropolymers, like lipopeptides and glycopeptides, were determined in ULW and SML
201 (Lindroth and Mopper, 1979; Dittmar et al, 2009). 5 mL of sample were filled into pre-combusted glass vials (8
202 hours, 500°C) and stored at -20°C until analysis. Duplicate samples were hydrolyzed for 20h at 100°C with HCl
203 (30% suprapur, Merck) and neutralized by acid evaporation under vacuum in a microwave at 60°C . Samples were
204 washed with Milli-Q water to remove the remaining acid. Analysis was performed on a 1260 HPLC system
205 (Agilent). Thirteen different amino acids were separated with a C18 column (Phenomenex Kinetex, $2.6 \mu\text{m}$, 150
206 $\times 4.6 \text{ mm}$) after in-line derivatization with o-phthalaldehyde and mercaptoethanol. The following standard amino
207 acids were used: aspartic acid (ASX), glutamic acid (GIX), serine (SER), arginine (ARG), glycine (GLY),
208 threonine (THR), alanine (ALA), tyrosine (TYR), valine (VAL), phenylalanine (PHE), isoleucine (ILEU), leucine
209 (LEU), γ -aminobutyric acid (GABA). α -aminobutyric acid was used as an internal standard to account for losses
210 during handling. Solvent A was 5% Acetonitrile (LiChrosolv, Merck, HPLC gradient grade) in
211 Sodiumdihydrogenphosphate (Merck, suprapur) Buffer (PH 7.0), Solvent B was Acetonitrile. A gradient was run
212 from 100% solvent A to 78% solvent A in 50 minutes. The detection limit for individual amino acids was 2 nmol
213 monomer L^{-1} . The precision was $<5\%$, estimated as the relative standard deviation of replicate measurements.

214 Based on THAA measurement, the Degradation Index (DI) was calculated as an indicator of the diagenetic status
215 of organic matter (Dauwe and Middelburg, 1998). For instance, leucine typically exhibits preferential degradation
216 compared to glycine. Mole percentages of amino acid were standardized using averages, and standard deviations
217 and multiplied with factor coefficients based on Principal Component Analysis (PCA) as given in Dauwe et al.
218 (1999). Lower DI values indicate more degraded organic matter, whereas higher DI values indicate more fresh
219 organic matter.

220

221 Total hydrolysable carbohydrates > 1 kDa (TCHO), i.e., carbohydrates with a glycosidic bond, including
222 carbohydrates contained in polysaccharides and heteropolymers like glycolipid and glycopeptides, were
223 determined in bulk seawater and in the SML. 20 mL were filled into pre-combusted glass vials (8 hours, 500 °C)
224 and kept frozen at -20 °C until analysis. The analysis was conducted by applying high-performance anion exchange
225 chromatography coupled with pulsed amperometric detection (HPAEC-PAD) on a Dionex ICS 3000 (Engel and
226 Händel, 2011). Samples were desalinated by membrane dialysis (1 kDa MWCO, Spectra Por) for 5 h at 1 °C,
227 hydrolyzed for 20 h at 100°C with 0.4 M HCl final concentration, and neutralized through acid evaporation under
228 vacuum and nitrogen atmosphere (1h, 60 °C). Two replicate samples were analyzed. For our system, the best
229 resolution of sugars was obtained at 25 °C and, therefore, applied constantly during all analyses. In order to
230 minimize degradation of samples before analysis, the temperature in the autosampler was kept at 4 °C. The system
231 was calibrated with a mixed sugar standard solution including the neutral sugars: fucose (4.6 µM, FUC), rhamnose
232 (3.1 µM, RHA), arabinose (2,0 µM, ARA), galactose (2.4 µM, GAL), xylose/ mannose (3.1 µM, XYL/ MAN),
233 glucose (2.4 µM, GLC), amino sugars: galactosamine (2,0 µM, GAL-N), glucosamine (2.8 µM, GLC-N), and
234 acidic sugars: galacturonic acid (2.8 µM, GAL-URA), gluconic acid (5.1 µM, GLC-AC), glucuronic acid (3.0 µM,
235 GLC-URA) and muramic acid (1.9 µM, MUR-AC). Regular calibration was performed by injecting 12.5 µl, 15.0
236 µl, 17.5 µl and 20 µl of mixed standard solution. The linearity of the calibration curves of individual sugar
237 standards was verified in the concentration range 10 nM-10 µM. Therefore, the standard mixture was diluted 10,
238 20, and 50-fold with Milli-Q water. The injection volume for samples and for the blank was 17.5 µl. To check the
239 performance of carbohydrate analysis and stability of the HPLC-PAD system, a 17.5 µl standard solution was
240 analyzed after every second sample. The detection limit was 10 nmol L⁻¹ for each sugar, with a standard deviation
241 between replicate runs of <2%. Milli-Q water was used as a blank to account for potential contamination during
242 sample handling. Blanks were treated and analyzed in the same way as the samples. Blank concentration was
243 subtracted from the sample concentration if above the detection limit.

244 The relative concentration of a substance (A) in the SML was compared to its concentration in ULW by the
245 enrichment factor (EF):

246
$$(4) \quad EF = (A)_{SML} / (A)_{ULW}$$

247 Because of normalization, EFs for different components can be readily compared. Enrichment of a component is
248 indicated by EF > 1, depletion by EF < 1. Statistical analyses were conducted using SigmaStat 4.0.

249

250 2.4.3 Surfactant Coverage and Enrichment

251 Samples for surfactant coverage (sc) were taken only for the initially low and at the highest wind speed. Duplicate
 252 50 mL SML samples were collected on 7 experimental days (days 2, 4, 9, 11, 15, 22, and 24) for initially low and
 253 at the highest wind speed; on day 2, only a low wind sample was available. The SML samples were transferred
 254 into polypropylene bottles, immediately frozen at -40°C for transport, and stored at -80°C before analysis using
 255 surface-sensitive non-linear vibrational sum-frequency generation (VSFG) spectroscopy with a commercial
 256 picosecond VSFG spectrometer (EKSPLA, 532 nm up-conversion wavelength). The use of VSFG spectroscopy
 257 for SML surfactant analysis and its interpretation has been shown previously (Engel et al., 2018; Laß and
 258 Friedrichs, 2011). The VSFG signal intensity $I_{\text{VSFG, SML}}$ (integrated over the spectral wavenumber range of C-H
 259 bond signatures, $2750\text{ cm}^{-1} - 3000\text{ cm}^{-1}$) can be related to the surfactant surface coverage via a square root
 260 relationship ($\sqrt{I_{\text{VSFG, SML}}}/\sqrt{I_{\text{VSFG, DPPC}}} \propto sc$), where $I_{\text{VSFG, DPPC}}$ refers to the intensity of a well-defined reference
 261 surfactant monolayer, here an artificial monolayer of the phospholipid dipalmitoylphosphatidylcholine (DPPC), a
 262 well-characterized and chemically stable model surfactant. In our previous work, which focused on the correlation
 263 of low wind speed data with the concentration of γ -aminobutyric acid (GABA) as an indicator for microbial
 264 decomposition (Engel et al., 2018), we have used a highly compressed monolayer of DPPC in its solid 2D phase
 265 as the $\sqrt{I_{\text{VSFG, DPPC}}}$ reference signal for a completely surfactant-covered surface. However, as the complex mixture
 266 of biosurfactants will prevent the formation of such a highly ordered monolayer, we now have adopted the onset
 267 of the DPPC 2D phase transition

268 In order to convert sc into an effective concentration measure for surfactants in the SML and thus enable a direct
 269 correlation with the measured concentration trends of the DOM fractions THAA and TCHO, the exact composition
 270 and surfactant properties of the substances present in the SML would have to be known. However, for a surfactant
 271 pool typically dominated by wet (i.e., “soluble” in contrast to “unsoluble” dry) surfactants (Laß and Friedrichs,
 272 2011), it is reasonable to assume an adsorption equilibrium of bulk SML surfactants with the air-water interface
 273 such that sc can be described by a reduced Langmuir isotherm (Burrows et al., 2014) according to:

$$274 \quad (2) \quad sc = \frac{c^*}{1-c^*} \quad \text{or} \quad (3) \quad c^* = \frac{sc}{1-sc}$$

275 Here, c^* is the reduced concentration $c^* = c/c_{1/2}$ with $c_{1/2}$ corresponding to the effective bulk SML surfactant
 276 concentration yielding a half-covered surfactant monolayer. Accordingly, sc increases linearly with c^* at low
 277 surfactant concentrations but levels out towards the limiting value of a completely covered surface at high

278 surfactant concentrations. While the surfactant indices c^* and sc derived from the VSFG measurements provide
279 semi-quantitative insights into surfactant abundance and surface coverage, it is important to note that they are
280 based on assumptions and approximations and should be interpreted accordingly. For example, the analysis may
281 be biased by the variable composition of the surfactant pool during the *Aeolotron* study. This may have induced
282 more or less pronounced variations in the effective $c_{1/2}$ value, which, however, was assumed to be constant.

283

284 1. Results

285 3.1 Organic matter variations in the SML in the course of the *Aeolotron* experiment

286 Biomass in the water column and variations in microbial abundance and organic matter composition in the course
287 of the *Aeolotron* experiment have been reported previously (Engel et al., 2018). To illustrate the conditions in
288 which the wind experiments were conducted, we briefly describe the relevant findings here. Particulate organic
289 matter remained low throughout the experiment, with particulate organic carbon (POC) concentrations ranging
290 between 4 and 29 $\mu\text{mol L}^{-1}$. Chlorophyll *a* (Chl *a*) concentration increased after introducing an *Emiliania huxleyi*
291 culture on day 19, reaching peak values of 0.042 $\mu\text{g L}^{-1}$ on day 25. DOC concentration in the bulk seawater
292 increased during the course of the experiment (days 2-24) from 85 $\mu\text{mol L}^{-1}$ to 120 $\mu\text{mol L}^{-1}$. Biopolymers
293 accumulating in the SML can be dissolved, colloidal and particulate. In particular, gel-like particles containing
294 amino acids -Coomassie stainable particles (CSP) and carbohydrate containing transparent exopolymer particles
295 (TEP)- have been shown to accumulate in the SML (Sun et al., 2018). To account for these components in our
296 analysis, and given that the cellular biomass was generally low, we here report total concentrations of the
297 biochemicals, where THAA ranged from 0.83 to 1.67 $\mu\text{mol L}^{-1}$ and TCHO from 0.66 to 1.28 $\mu\text{mol L}^{-1}$.

298 Throughout the *Aeolotron* experiment, the SML consistently showed enrichment in DOC, THAA, and TCHO,
299 except on day 15, where the difference between SML and ULW fell within analytical error limits. DOC enrichment
300 factors (EF_{DOC}) ranged from 1.0 to 1.6. THAA concentration in the SML was highest on day 4 with 35.5 $\mu\text{mol L}^{-1}$,
301 declined to the lowest concentration on day 15 (1.05 $\mu\text{mol L}^{-1}$), and increased again after the addition of natural
302 phytoplankton-derived organic matter on days 20 and 21 (Figure 2A). In general, the monomeric composition of
303 THAA in the SML was dominated by GLX (15.8- 25.3 Mol%), GLY (14.2- 21.5 Mol%), and ASX (8.84 – 16.0
304 Mol%). GABA, an indicator for bacterial degradation, was highest on day 15 with 0.41 Mol%. High enrichment
305 of THAA in the SML was observed during the first 11 days of the *Aeolotron* experiment ($EF_{\text{THAA}}=13-38$). THAA
306 were slightly depleted in the SML on day 15 ($EF_{\text{THAA}}=0.91$) and became enriched again thereafter ($EF_{\text{THAA}}=2.89-$
11

307 3.24). A selective enrichment of individual amino acids in the THAA pool of the SML was observed, with the
308 highest enrichment observed on day 4 for the basic amino acid ARG ($EF_{\text{ARG}}=74.4$), which contributed only 2.8-
309 6.2 % Mol to the THAA pool, and for the acidic amino acid GLX ($EF_{\text{GLX}}=53.7$).

310 Organic matter accumulating in the SML was generally less degraded than in the ULW, as indicated by the
311 degradation index (DI) based on THAA composition (Figure S1). This, in turn, suggested that it was the ‘fresher’
312 fraction of biopolymers that became selectively enriched in the SML. In particular, DI values for organic matter
313 in the SML were lowest on day 15, when biopolymer concentration was also lowest, indicating preferential
314 decomposition of the more labile organic matter.

315 TCHO in the SML varied between 2.14 and 1.03 $\mu\text{mol L}^{-1}$, and -similar to THAA- were higher during the first 11
316 days of the experiment, lowest on day 15, and increased again until day 24, but without reaching the high values
317 from the first days of the experiment (Figure 2B). TCHO were enriched in the SML with EF_{TCHO} of 1.5–5.6, with
318 higher values observed during the first four days of the experiment. TCHO composition in the SML was dominated
319 by GLC (33-45 Mol%), XYL/MAN (10-23%), and GAL (8.8-15 Mol%). FUC has been considered an indicator
320 of labile, phytoplankton-derived TCHO (Engel et al., 2012). FUC was 5 Mol% at the beginning of the experiment
321 and increased after the addition of the phytoplankton-derived material to 17 Mol%. Likewise, GLC-N, as an
322 indicator of more degraded TCHO, decreased from 12.6 Mol% initially to 8 Mol%. Within the pool of TCHO in
323 the SML, the highest enrichment was observed on day 4 for the amino-sugar GLC-N ($EF_{\text{GLC-N}}=12.89$) and the
324 acidic sugars GAL-URA and GLC-URA ($EF_{\text{GAL-URA}}= 6.70$, $EF_{\text{GLC-URA}}= 6.57$). On day 22, biopolymer
325 concentration in the SML had increased again as natural slick material was added on day 21, yielding EF_{TCHO}
326 values around 3 on days 22 and 24.

327 The biopolymer ratio [THAA]:[TCHO] was highest on days 2 and 4 with values of 9.4 and 11, respectively, and
328 decreased thereafter. [THAA]:[TCHO] was lowest on day 15 with equal concentrations and yielded 4.3 and 3.6
329 on days 22 and 24.

330 Variations in biopolymers in the SML aligned well with the surfactant surface coverage index sc . Surface coverage
331 was generally high, with $sc > 0.74$ for 5 out of 7 days. The overall similar trend in biopolymer and surfactant
332 abundance was even more evident in the reduced surfactant-concentration data, which have been normalized to
333 the maximum value of $c^* = c_{\text{max}}$ measured on day 2 for clarity. The correlation between the normalized reduced
334 surfactant concentration c^*/c_{max} and THAA was slightly higher ($r = 0.84$; $n = 7$; $p = 0.019$) than for TCHO ($r =$
335 0.79 ; $n = 7$, $p = 0.034$). Together with the higher abundance of THAA and presumably higher surface activity of

336 polypeptides compared to polysaccharides (Burrows et al., 2014), this is another indication that, in particular,
337 protein-rich material was important for the formation of the highly surfactant-covered air-water interface.

338

339 **3.2 Sea surface properties and biochemical SML composition at increasing wind speed**

340 During the *Aeolotron* study and all seven wind experiments, the water column was covered by an SML, with an
341 apparent thickness (d) of 31 - 50 μm . In the course of the *Aeolotron* experiment, SML thickness increased from
342 $d=36\mu\text{m}$ on day 2 to $d=45\mu\text{m}$ on day 24, determined at low wind speed for each measurement day. Combining all
343 data from wind speed experiments days 4 to 24 showed clear patterns regarding the relationship between SML
344 thickness and wind speed (Figure 3). Overall, d increased gradually and significantly with wind speeds ($r=0.63$,
345 $n=34$, $p < 0.001$). The value of d varied between the same wind speed of different experiments, but was always
346 lowest at low wind speeds, suggesting that SML disruption and mixing between the SML and ULW and surface
347 accumulation of organic components during high wind speeds had no long-lasting (>24hrs) memory effects on
348 SML thickness.

349 The surface roughness, a measure of the small- to medium-scale structure of the wave field that controls how the
350 sea interacts with wind and light, has been determined by means of the MSS value, a parameter directly correlated
351 with air-sea exchange of gases and heat. Reference freshwater MSS values showed a gradual increase with wind
352 speed (U_{10}) from 5.35×10^{-3} at 3.2 m s^{-1} to 1.90×10^{-1} at 22.1 m s^{-1} (Figure 4). The total range of MSS values for
353 natural seawater was $3.0 \times 10^{-4} - 1.67 \times 10^{-1}$. Compared to freshwater, MSS values abruptly changed around 6 m
354 s^{-1} and were about 1-2 orders of magnitude lower at wind speeds of $U_{10} < 6\text{ m s}^{-1}$ during experiments conducted on
355 days 2, 4, 9, 11 and 24. This strong wave-damping effect at $U_{10} < 6\text{ m s}^{-1}$ was accompanied by high surfactant
356 surface coverage values of $sc > 0.74$. On days 15 and 22, with $sc < 0.47$, surface coverage was significantly lower,
357 and the corresponding MSS values at low windspeed were clearly higher and close to those observed for
358 freshwater, yielding values of 1.32×10^{-3} - 3.52×10^{-3} . At wind speeds $> 6\text{ m s}^{-1}$, MSS generally continued to increase
359 with wind speed for all available natural seawater samples and, despite some variability between the experimental
360 days, closely followed the freshwater trend.

361 For a better representation of biopolymer accumulation in the SML at different wind speeds, we grouped data for
362 days 2 and 4, and for days 9 and 11. Days 15, 22 and 24 showed different patterns and are shown individually. In
363 accordance with previously observed enrichment patterns, concentrations of biopolymers in the SML declined
364 with increasing wind speed, showing a pronounced step to lower values at wind speeds $> 5\text{-}6\text{ m s}^{-1}$. This effect

365 was most evident for experiments days 2-11, having the highest initial SML biopolymer concentration (Figure 5A-
366 O). At wind speed $>5-6 \text{ m s}^{-1}$, THAA and TCHO concentrations in the SML were similar or equal to ULW
367 concentration. This collapse of the biopolymeric SML enrichment coincided with the sudden and pronounced
368 change in MSS. On day 15, biopolymer concentration in the SML was not different from the ULW at initially low
369 wind speed. The absence of an organic SML enrichment on day 15 may be attributed to enhanced microbial
370 decomposition and is supported by the amino-acid-based degradation index (DI), which was lowest on day 15,
371 suggesting a high degree of degradation (Engel et al., 2018). In this sense, the slight increase of DOC with
372 increasing wind speeds on day 15 and even more pronounced on days 22 and 24, i.e., after the addition of
373 phytoplankton and phytoplankton-derived organic matter to the ULW, suggests that organic matter of the
374 underlying water enriched the SML again, likely due to enhanced mixing and rising of film-covered bubbles after
375 wave-breaking, which is an established mechanism discussed in literature (Blanchard, 1975; Stefan and Szeri,
376 1999; Sabbaghzadeh et al., 2017) (Figure 5I). On days 22 and 24, higher biopolymer concentrations in the SML
377 were observed again, likely due to the addition of organic matter from a phytoplankton culture (day 20) and slick
378 material from an earlier mesocosm study (day 21). Biopolymer concentration and enrichment, however, stayed
379 below values observed during the first two weeks of the experiment. Enrichment of the biopolymers THAA and
380 TCHO in the SML ranged between 0.74 and 38 for EF_{THAA} and between 0.70 and 5.77 for EF_{TCHO} and fell to values
381 ~ 1 at $U_{10} > 5-6 \text{ m s}^{-1}$ also.

382

383 Enrichment of DOC in the SML varied between EF_{DOC} 1.04 and 2.78 and was not directly related to wind speed.
384 In contrast to THAA and TCHO, DOC concentration in the SML remained higher than in the underlying bulk
385 seawater or even increased at increasing wind speed. Differences in DOC concentrations between SML and bulk
386 seawater were moderate during experiments days 2, 4, 9 and 11 (Figure 5C, F), lowest on day 15 (Figure 5I) and
387 highest for the experiments conducted after the addition of organic material (Figure 5L, O).

388

389 Surfactant surface coverage and the corresponding reduced surfactant concentration in the bulk SML were
390 determined only at the lowest and highest wind speeds, respectively (Figure 6). Both quantities were clearly
391 reduced at high wind speed, except for day 15. As already outlined above, in contrast to all other days, day 15 did
392 not show an enrichment of organics in the SML, along with a presumably high degree of degradation.
393 Consequently, surfactant surface coverage closely resembled biopolymer accumulation in the SML. In general

394 (excluding day 15), surfactant surface coverage (factor 1.6 ± 0.2 , $n = 5$) and effective surfactant concentration
395 (factor 4.6 ± 1.5 , $n = 5$) were smaller at high wind speed and less variable at low wind speed, supporting the idea
396 of surfactant accumulation in slicks.

397

398 **3.4 Wind-induced changes in biopolymer composition**

399 In addition to concentration changes, wind speed also altered the monomeric composition of biopolymers in the
400 SML. Wind speed clearly affected THAA composition in the SML, with a significant decrease in molar
401 contributions of PHE, VAL, ARG, and ISO ($p < 0.001$) and significant increases ($p < 0.001$) in GLY, GABA, SER,
402 and LEU, while changes were less or not significant for TYR, ALA, GLX, ASX, and THR (Table 1). At times of
403 high THAA enrichment ($EF_{THAA} > 6$), i.e. days 2-11, a strong selective enrichment of ARG and GLX was observed
404 in the SML at all wind speeds, with EF_{Arg} being approximately twice as high as EF_{THAA} (Figure 7A). In contrast,
405 GABA was relatively depleted in the SML, with EF_{GABA} being less than half as much as EF_{THAA} . Selective
406 enrichment of ARG vanished after day 15 and was only slightly higher on days 22 and 24, with the highest
407 $EF_{ARG} = 6.8$ at $EF_{THAA} = 5.3$.

408 Wind-induced changes in THAA composition indicate that the rather fresh organic material that accumulated at
409 the SML under low wind conditions was mixed into the underlying seawater and replaced at higher wind speeds
410 by more diagenetically altered material. This was evident from the DI index being systematically higher at wind
411 speed $< 6 \text{ m s}^{-1}$ than above (Figure 1S). Again, only day 15 stood out of this pattern with similarly low DI indices
412 at all tested wind speeds. In contrast to THAA, wind-induced effects on the carbohydrate composition of
413 biopolymers were less pronounced. A clearly significant selective decrease with increasing wind speed was
414 observed for GLC-N, GAL and RHA (Table 1). Also, GAL became depleted at increasing winds, while no impact
415 was observed on the uronic acids GLC-URA and GAL-URA, as well as on FUC. ARA was the only sugar that
416 became clearly enriched in the SML with increasing wind speed, while GLC and XYL/MAN, being quantitatively
417 the most important sugars, showed only a moderate relationship with wind speed.

418 Like individual amino acids, some sugars were selectively enriched in the SML, in particular when TCHO
419 enrichment was relatively high ($EF_{TCHO} > 2$) (Fig. 7B). This was most pronounced for the amino-sugar GAL-N with
420 $EF_{GAL-N}: 1.08-12.9$ compared to TCHO with $EF_{TCHO}: 0.70-5.77$. Interestingly, only a slight selective enrichment
421 was observed for the two uronic acids determined during this study when compared to EF_{TCHO} , i.e., GAL-URA
422 ($EF_{GAL-URA}: 0.51-6.57$) and GLC-URA ($EF_{GLC-URA}: 1.04-6.57$). Uronic acids are building blocks of complex gel-

423 like colloidal and particulate material suggested to form the SML (Sieburth, 1983; Cunliffe and Murrell, 2009),
424 and accumulation of carbohydrate-rich gel-like transparent exopolymer particles (TEP) was also observed during
425 this study (Sun et al., 2018). ARA and XYL/MAN were consistently less enriched than TCHO, showing
426 $EF_{\text{ARA}}:0.44-3.12$ and $EF_{\text{XYL/MAN}}:0.41-3.55$, respectively.

427

428 **4. Discussion**

429 **4.1 Accumulation of biopolymers at the air-sea interface**

430 Seven experiments were conducted with natural seawater in the annular wind-wave channel *Aeolotron* and
431 revealed distinct patterns regarding the accumulation of natural organic matter in the SML, the impact of wind
432 speed on biopolymer enrichment and composition, as well as the effects of biopolymer enrichment on capillary
433 wave damping. Firstly, biopolymers, specifically substances containing amino acids and carbohydrates, were
434 found to be highly enriched in the SML at low wind speeds ($<6 \text{ m s}^{-1}$).

435 Biopolymers have long been considered important in the SML dynamics; the SML itself proposed to be a highly
436 hydrated loose gel of tangled macromolecules and colloids (Sieburth, 1983; Cunliffe and Murrell, 2009). During
437 this study, the range of biopolymer (THAA + TCHO) concentration in the SML was $1.4-40 \mu\text{mol L}^{-1}$, which is
438 comparable to the range observed in the ocean. For instance, average SML concentrations of $1.72 \pm 0.44 \mu\text{mol L}^{-1}$
439 TAA and $1.1 \pm 0.49 \mu\text{mol L}^{-1}$ TCHO were determined in the tropical Eastern North Atlantic (Barthelmeß et al.,
440 2021) and approximately $2 \mu\text{mol L}^{-1}$ THAA and $2.5-3.8 \mu\text{mol L}^{-1}$ TCHO were found in the western Baltic Sea
441 (Barthelmeß and Engel, 2022). In the highly productive upwelling system off Peru, SML concentrations can reach
442 up to $6 \mu\text{mol L}^{-1}$ THAA and $7.8 \mu\text{mol L}^{-1}$ TCHO (Engel and Galgani, 2016). For the North-Western Atlantic
443 Ocean, THAA concentrations of up to $10 \mu\text{mol L}^{-1}$ have been reported (Kuznetsova et al., 2004). Likewise, variable
444 and high enrichments of biopolymers in the SML have been observed. For instance, EFs of dissolved amino acids
445 varied between 5 and 43 in the subtropical Atlantic and Mediterranean Seas (Reinthal et al., 2008) and between
446 1.1 and 9 in the Eastern Tropical South Pacific (Zäncker et al., 2017). As observed during this study, the enrichment
447 of amino acids in the SML often exceeds the enrichment in carbohydrates (Engel and Galgani, 2016; Zäncker et
448 al., 2017; van Pinxteren et al., 2012).

449 Based upon high biopolymer enrichment, the SML often shows typical biofilm properties (Wurl and Homes,
450 2008), with high biological activity and specifically adapted organisms, i.e., neuston. Hydrolysis experiments

451 revealed that microbial activity can significantly reduce amino acid concentrations in microlayer samples, even to
452 values below those found in the underlying water (Kznetsova and Lee, 2001). During this study, the amino acid
453 and carbohydrate concentrations in the SML were reduced to the ULW level by day 15, indicating that microbial
454 degradation may indeed counteract biopolymer accumulation and, therefore, slick formation in the sea.

455

456 **4.2 Interactions of wind speed and biopolymer accumulation in the SML**

457 The conditions in the *Aeolotron* at low wind speeds resembled typical slick conditions as observed in the field.
458 The most prominent property of surfactants is their damping of capillary waves, as indicated by a reduction in the
459 MSS value. The damping effect results from the dissipation of wave energy due to changes in the viscoelasticity
460 of the interfacial surface layer (Cini et al., 1983) and is referred to as the Marangoni effect (McKenna and Bock,
461 2006). The intensity of the Marangoni effect depends on the quantity and composition of surface-active compounds
462 in slicks. Under slick conditions, accompanied by high values of surfactant coverage, MSS values in the *Aeolotron*
463 were reduced by about 1-2 orders of magnitude compared to the freshwater reference. However, the damping
464 effect largely vanished at $>6 \text{ m s}^{-1}$. At a wind-speed threshold of approximately 6 m s^{-1} , the collapse of the
465 biopolymeric surface layer induced an abrupt change in sea-surface roughness. Because the MSS is widely
466 recognized as a predictor of air–sea gas transfer velocity (McKenna and Bock, 2006; Frew et al., 2004), such an
467 abrupt shift in surface roughness should likewise be reflected in the gas-transfer measurements. Indeed, Ribas-
468 Ribas et al. (2018) reported a decrease in N_2O gas transfer velocities at wind speeds of approximately $U_{10} = 5.5\text{--}8$
469 m s^{-1} , during an accompanying *Aeolotron* experiment—findings that are highly consistent with our observations.

470 Previous wind-wave tank experiments, not carried out on natural samples but with strong artificial surfactants,
471 showed significant wave damping until wind speeds of $U_{10} \sim 18 \text{ m s}^{-1}$ (Alpers and Hühnerfuss, 1989). During
472 experiments in a linear wind-wave tunnel, an artificial surface film (oleyl alcohol) began to tear at a wind speed
473 of 13 m/s (Broecker et al., 1978). Previous *Aeolotron* experiments with surface films of hexadecanol and olive oil
474 and with the soluble surfactants Triton X-100 and Tergitol 15-S-12 at a concentration of 5 ppm also showed
475 damping effects at higher wind speed (Jähne, *unpublished*). So far, wind-wave tank experiments with natural
476 seawater and, hence, natural surfactants and surface films remain scarce. Our data show that the wave-damping
477 effects of biogenic surface films may behave differently from artificial films. Natural SML components may be
478 more susceptible to wind-induced disruption and more variable over time and space. Biopolymer variability in the
479 SML may thus be expected over the diurnal cycle, as wind speed often increases during the night. Our data also

480 show that the amount and chemical composition of biopolymers and, in consequence, the surface activity can vary
481 with microbial production and decomposition. Due to natural chemical heterogeneity, the impact of natural surface
482 film on air-sea gas exchange, however, may differ from our observations. In particular, where stronger surfactants
483 are present, also natural films may resist higher wind speeds. For instance, surfactant enrichment in the SML has
484 been reported for wind speeds of up to 9.5 m s^{-1} (Wurl et al., 2011); DOC enrichment up to 9.7 m s^{-1} in the
485 Mediterranean Sea (Reinthal et al., 2008), and enrichment of combined amino acids in the North Atlantic Ocean
486 at wind speeds of 7 m s^{-1} (Kuznetsova et al., 2004). Clearly, a mechanistic understanding of wind speed and SML
487 biopolymer enrichment has yet to be established. In this regard, conducting controlled wind-wave experiments
488 using natural seawater can offer important insights into the role of natural surfactants in modulating air-sea gas
489 exchange.

490 On the one hand and in contrast to THAA and TCHO biopolymers, no significant relationship between wind speed
491 and DOC enrichment was observed. On the other hand, the apparent thickness of the SML increased significantly
492 with rising wind speeds. The sensitivity of SML thickness to wind speed has been reported previously, with an
493 increase in apparent SML thickness up to wind speeds between 5.5 and 7.9 m s^{-1} (Beaufort 4) in the Baltic Sea
494 (Falkowska, 1999), or a decrease in SML thickness with wind speeds ranging from 1 to 5 m s^{-1} (Liu and Dickhut,
495 1998). This may be explained by different and partly antagonistic processes influencing organic matter enrichment
496 in the SML. On the one hand, wind can reduce microlayer enrichment through turbulent mixing, which increases
497 with wind speed. Conversely, wind can enhance the enrichment of certain components by promoting bubble
498 formation, which facilitates the scavenging of organic matter from the ULW to the microlayer (Hunter and Liss,
499 1981). In the environment, other processes also interact with organic matter enrichment, such as the production or
500 decomposition of organic matter in the SML or the mixing and advection of water masses. Our study allowed us
501 to follow the changes in SML composition with increasing wind speed and suggests that the enrichment of organic
502 matter in the SML and its response to wind is highly compound-specific. Biopolymers, i.e. TCAA and TCHO,
503 showed the highest EFs and responded similarly to increasing wind, with no discernible difference between SML
504 and underwater concentrations at wind speed $> 6 \text{ m s}^{-1}$. DOC is a bulk measure and includes a variety of different
505 substances that may be more or less prone to mixing or enrichment. Indeed, EFs for DOC were rather low
506 compared to THAA and TCHO. DOC concentration in the SML may simply be high because of diffusive exchange
507 with high background concentration of organic substances do not have surfactant properties. Hence, a uniform
508 relationship of DOC enrichment in the SML with regard to wind speed seems unlikely. In this study, DOC
509 concentration and enrichment in the SML increased with wind speed on day 15 and were even more pronounced

510 on days 22 and 24. This indicates a net upward transport of DOC from the ULW to the SML due to increased
511 turbulence or rising bubbles at higher wind speeds. Because of the high concentration of DOC, an increase in DOC
512 may have contributed to the increase in apparent thickness (d) of the SML with wind speed observed during this
513 study, although it cannot fully explain it. This also shows that apparent SML thickness and visually apparent slick
514 conditions are not necessarily related.

515 During the first two weeks in the *Aeolotron*, slicks showed THAA accumulation up to 10 times higher than TCHO
516 accumulation. This aligns with observations that protein-rich, gel-like particles were highly enriched at low wind
517 speeds, especially in the early stages of the experiment (Sun et al., 2018). At the same time, the highest surfactant
518 coverage, as determined by VSFG-spectroscopy, was observed. The [THAA]:[TCHO] or, more generally, the
519 protein/carbohydrate (P/C) ratio in the SML was highest on days 2 and 4. This suggests that polypeptides not only
520 played an important role in slick formation but also included particularly powerful biosurfactants. The P/C ratio
521 of biopolymers has been interpreted as an indicator for the relative hydrophobicity of extracellular polymeric
522 substances (EPS) (Santschi et al., 2020), based on observations of increasing hydrophobic contact area (HCA)
523 with increasing P/C ratio (Xu et al., 2011). Exopolymers with high P/C ratios are mainly produced by bacteria,
524 whereas phytoplankton EPS contain more carbohydrates (Santschi et al., 2020). The high P/C ratio of surface
525 slicks at the beginning of this study can be explained by the predominance of bacterial biomass in the seawater,
526 which was collected in the deep North Sea and not exposed to light until day 8 of the experiment. Our observations
527 showed that capillary waves were most strongly damped on days 2-11. In contrast, the P/C ratio was much lower,
528 with values ~ 4 , when SML material from phytoplankton origin replenished the organic matter pool of the SML on
529 days 22 and 24. Since the seawater, which was used to fill the *Aeolotron*, had been stored in the dark for about one
530 month prior to the experiment, any fresh material must have been derived mainly from heterotrophic bacterial
531 production. The high P/C ratio, together with the high DI value of the organic material in the SML at the beginning
532 of the study, suggests that bacterial-derived biopolymers accumulate and act as powerful biosurfactants in the
533 SML.

534 Within the pool of THAA and THCO, monomers with dielectric properties (basic/acidic AAs and basic/acidic
535 CHO) were most enriched and most sensitive to wind speed, suggesting that surfactant properties are linked to
536 those monomeric components. Among the amino acids, significantly enriched in the SML were GLX and ARG.
537 High GLX and ARG enrichment has been reported for oceanic SML previously (Barthelmeß et al., 2021; Sun et
538 al., 2018; van Pinxteren et al., 2012). In general, the enrichment of amino acids at the air-sea interface depends on
539 their amphiphilic properties (Ćosović and Vojvodić, 1998), which arise from the degree of polarity exhibited on

540 their molecular surfaces. Among the amino acids discussed here, ARG and GLX are considered hyperpolar and
541 represent typical hydrophilic head groups of biosurfactants. Lipoamino acids derived from ARG have increasingly
542 gathered interest in biotechnological applications as they represent nontoxic and degradable cationic biosurfactants
543 with anti-microbial properties (Singh and Tyagi, 2014). Surfactant activity in surface waters of the Tropical
544 Eastern North Atlantic has been directly related to ARG concentration (Barthelmeß et al., 2011). The GLX-
545 containing lipopeptide *Surfactin*, produced by *Bacillus spp.*, a species also found in seawater, is one of the most
546 effective biosurfactants (Zhen et al., 2023). During this study, we didn't identify the molecular structure of
547 surfactants. However, the high THAA enrichment in the SML, together with even higher selective enrichment of
548 ARG and GLX, and strong surfactant activity, point to lipoamino acids with a bacterial source during the first days
549 of this study.

550 Enrichment in the SML was generally smaller for TCHO than for THAA. On day 22, after material from a
551 phytoplankton culture and bloom experiment was added, and the P/C ratio in the SML decreased, MSS values at
552 comparable wind speeds were higher. Marine photoautotrophic plankton is the major source of biomolecules in
553 the ocean, providing ~50 Gt of organic carbon yr⁻¹. In general, the biochemical composition of autotrophic cells
554 comprises the following major components by weight: proteins (17–57%), carbohydrates (4.1–37%), and lipids
555 (2.9–18%) (Parsons et al., 1961). Extracellular polymers released from the autotrophic cell, however, contain
556 largely polysaccharides (Engel et al., 2004; Thornton, 2014). Among the carbohydrates that showed a selective
557 enrichment in the SML was FUC, a sugar that is typically found in polysaccharides released from phytoplankton
558 and seaweeds (Buck-Wiese et al., 2023), and GLC-N, a sugar contained in bacterial exopolymers (Maßmig et al.,
559 2024). GLC-N, RHA and Gal were particularly sensitive to wind speed. GLC-N is often contained in biosurfactants
560 and, like arginine, has received attention in the biotechnological search for replacement of toxic synthetic
561 surfactants. Rhamnolipids are typical biosurfactants consisting of one or two rhamnose sugar molecules linked to
562 hydroxy fatty acid chains. Galactolipids can be found in some cyanobacteria and algae and include galactose
563 residues linked to lipid moieties. However, compared to peptide-based surfactants, carbohydrate-based surfactants
564 seem to be less abundant or less effective during this study.

565 The amino-acids-based DI, as well as the presence of FUC, suggested that organic matter accumulating at the SML
566 was less degraded than in the underlying seawater. This finding is consistent with earlier findings on SML
567 biopolymer composition and surfactant activity in the Baltic Sea (Barthelmeß and Engel, 2022), which show that
568 the highest surface activity was triggered by the microbial release of fresh organic matter. Marine microorganisms
569 release surfactants for several ecological and physiological reasons. For example, they act as emulsifiers and aid

570 in substrate uptake, in particular hydrophobic organic compounds, such as oil, and are produced by a variety of
571 marine bacteria (Floris et al., 2020). Moreover, surfactants facilitate the colonization of surfaces by helping
572 microorganisms adhere to substrates and form biofilms. In higher organisms, e.g., mammals, surfactants are critical
573 to maintaining lung function or for skin protection. A common feature of surfactants is their accumulation at
574 interfaces. The air-sea interface, including both the SML and bubbles, represents the largest interface in the ocean
575 and serves as a trap for surfactants released to seawater. Since microbial surfactants are used extracellularly, they
576 must be stable enough in the marine environment to fulfil their ecological roles. The production and subsequent
577 accumulation of biopolymers, including surfactants, in the SML illustrate how marine life can alter the physical
578 environment at the ocean's surface. This biotic effect on upper ocean physics has direct implications for climate
579 regulation, as changes in gas exchange and surface turbulence can impact the ocean's role in sequestering carbon
580 dioxide and regulating atmospheric gases. Thus, these effects may be particularly pronounced in areas of high
581 surfactant productivity, highlighting the complex interplay and feedback between biodiversity, chemical diversity,
582 and air-sea exchange in the ocean.

583

584 **5. Conclusion**

585 Our research revealed that biopolymers, particularly polypeptides, produced by marine microorganisms, serve as
586 efficient natural surfactants in the SML. Natural surfactants that accumulated in the SML during this study
587 exhibited a significant damping effect on wave formation up to wind speeds of $U_{10} \approx 6 \text{ m s}^{-1}$. However, at even
588 higher wind speeds and going along with the collapse of the biopolymeric SML, the damping effect largely
589 vanished. This sheds light on the ecological role of marine biopolymers and underscores their influence on physical
590 air-sea exchange processes. A better understanding of the dynamic linkages between marine life and gas exchange
591 could be pivotal to accurately assessing the ocean's present and future contributions to the climate system,
592 including the uptake or release of climate-relevant gases like CO_2 and methane.

593 **5. Author contribution**

594 AE conceptualized the study and provided the biopolymer data. GF provided the surfactant data. KK and BJ
595 contributed the MSS data. AE wrote the original manuscript. GF, KK, and BJ reviewed and edited the original
596 manuscript.

597 **6. Data availability**

598 The data supporting the findings of this study will be published on the PANGAEA data repository.

599 **7. Competing interests**

600 The contact author has declared that none of the authors has any competing interests.

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- 812

813 **Tables:**814 Table 1: Correlation between wind speed (U_{10}) and monomeric components (Mol%) of TCHO (left column) and

815 THAA (right column) as observed for the SML samples. n.s.: not significant.

	<i>r</i>	<i><p</i>		<i>r</i>	<i><p</i>
GLC-N	-0.761	0.001	PHE	-0.885	0.001
RHA	-0.687	0.005	VAL	-0.774	0.001
GAL	-0.425	0.01	ARG	-0.705	0.001
FUC	-0.216	n.s.	ISO	-0.675	0.005
GLC-URA	-0.197	n.s.	TYR	-0.389	0.01
GAL-URA	-0.077	n.s.	ALA	-0.34	0.01
GLC	0.419	0.01	GLX	-0.339	0.01
XYL/MAN	0.457	0.01	ASX	-0.203	n.s.
ARA	0.731	0.001	THR	0.0956	n.s.
			GLY	0.645	0.001
			GABA	0.674	0.001
			SER	0.712	0.001
			LEU	0.836	0.001

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825 **Figure captions**

826 Figure 1, a-c: Top-view on the Heidelberg annular wind-wave channel *Aeolotron* (a), where experiments with
827 increasing wind speed were conducted on seven days. Step-wise increase in wind speed applied during each
828 wind experiment (b) and timeline of the *Aeolotron* study with different seawater modifications and the seven
829 wind experiments (crossed circles) (c).

830 Figure 2a, b: Concentration/composition of (a) THAA and (b) THCO in the SML at low initial wind speed (1.3-
831 2.0 ms^{-1}) and variation of surfactant surface coverage (sc , blue circles), as well as normalized reduced bulk SML
832 surfactant concentration (c^*/c_{max} , red circles). Based on a dataset first published in Engel et al. (2018).

833 Figure 3: Relationship between wind speed (U_{10}) and the apparent thickness of the SML (d) as assessed by glass-
834 plate sampling during the *Aeolotron* experiment.

835 Figure 4: Mean Square Slopes (MSS, dimensionless) relative to wind speed (U_{10} , m s^{-1}) during experiments with
836 natural seawater. Days 2, 4, 9, 11 and 24 (red circles) with significant wave damping at wind speeds $<6 \text{ m s}^{-1}$; day
837 15 (light grey circles), and day 22 (grey circles) with little wave damping compared to pure freshwater (asterisk).

838 Figure 5a-o: Changes in organic matter components in the SML (red squares) and ULW (open squares) at different
839 wind speeds (U_{10}), and associated MSS values (grey circles). For better coverage of wind speeds, samples with
840 similarities in SML biopolymer concentrations and surface coverage were grouped, specifically, samples were
841 grouped for days 2 and 4: a-c and days 9 and 11: d-f. Day 15: g-i, day 22: j-l, day 24: m-o. Drop lines indicate
842 associated SML-ULW pairs.

843 Figure 6: Surfactant surface coverage sc (blue symbols) and normalized reduced bulk SML surfactant
844 concentration c^*/c_{max} (bars), as determined by VSFG spectroscopy for SML samples at the end of the lowest
845 (light color) and highest wind speed (dark color) setting. No surfactant data were obtained at high wind on day 2.

846 Figure 7a, b: Relationships between Enrichment Factors (EFs) of individual amino acids ($\text{EF}_{\text{AA}i}$) and total
847 hydrolysable amino acids (EF_{THAA}) (a) and between EFs of individual sugars ($\text{EF}_{\text{CHO}i}$) and total combined
848 carbohydrates (EF_{TCHO}) (b). Lines shown for reference: 2-fold enrichment (2:1), no enrichment (1:1) and 2-fold
849 depletion (1:2).

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