

# Retrieving Tropospheric Temperature and Humidity Profiles Over the Ocean Using Buoy-Based Microwave Radiometers

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**Abstract.** The acquisition of atmospheric temperature and humidity profiles over the sea is strategically vital for meteorological forecasting, marine monitoring, and national security. Achieving their real-time, stable, and routine retrieval under complex sea conditions is a critical and urgent challenge. Traditional retrieval methods rely heavily on large historical datasets. However, marine sounding stations are sparse, making data acquisition challenging. Ground-based microwave radiometers offer a unique capability for continuous, all-weather remote sensing of atmospheric thermal emission, enabling routine retrieval of temperature and humidity profiles over oceanic regions. Meanwhile, buoy platforms experience wave disturbance, causing real-time variations in zenith angle observations. Without correction, this induces significant random errors in target brightness temperature. To address these issues, this paper proposes a collaborative retrieval method. This method does not rely on large-scale historical datasets for model training and integrates platform attitude information. Our approach uses a multi-objective genetic algorithm to construct a small-scale joint prior database based on a limited amount of local radiosonde data, which serves only as an initial physical constraint for the retrieval process. It also incorporates an attitude error correction model, an empirical pressure-altitude equation, and a parallel optimization strategy. This thereby reduces dependence on extensive historical datasets. It also effectively mitigates attitude-induced brightness-temperature deviations/systematic errors from buoy attitude, enhances computational efficiency, and enables real-time, routine retrieval of marine atmospheric profiles. Simulation experiments and field tests in Qingdao's Jiaozhou Bay confirm the results. Under sparse-data conditions, the temperature RMSE is 2.1308 K, and the relative-humidity RMSE is of 210.4295%. This validates the method's stability and applicability in real marine environments. This research provides a potentially practical pathway for ocean areas with sparse radiosondes for real-time, stable, and routine detection of marine atmospheric parameters.

**批注 [清清1]:** To avoid confusion between attitude correction and the subsequent systematic bias correction, we revised the wording in the Abstract. The previous phrase "systematic errors from buoy attitude" could imply that all attitude-related errors are systematic and fully corrected. In this study, the attitude correction mainly addresses zenith-angle deviations caused by platform motion and the resulting brightness-temperature deviations, while random errors, spatiotemporal mismatch, and other observational uncertainties may still remain. Therefore, this phrase has been revised to "attitude-induced brightness-temperature deviations", which more accurately reflects the role of the attitude-correction procedure and distinguishes it from the LOOCV-based systematic bias correction described later.

**批注 [清清2]:** Thank you for the reviewers' suggestion. Following the revision of the systematic-bias-correction framework from a single train-test split to LOOCV, we updated the summary retrieval metrics in the Abstract accordingly. The reported temperature and relative-humidity RMSE values have been replaced with the LOOCV-based results to ensure consistency with the revised main text, Fig. 9, and Table 4. (RC1,RC2)

## 1 Introduction

The lower troposphere is the region where most human activities and weather phenomena take place. The systematic measurement of meteorological parameters in this region is a critical foundation for accurate high-precision weather forecasting and climate change research. It is also essential for ensuring the efficiency of aviation, travel, and radio communication systems (Maciejewska, 2025; Morbidelli et al., 2011). However, the precise detection of atmospheric in the marine environment is further complicated by its unique geographical characteristics and dynamic processes. Recent studies have further highlighted the complexity of marine atmospheric structures, particularly under extreme weather conditions such as tropical cyclones (Guimond et al., 2018; Ahern et al., 2019). For instance, Wei et al. (2025) demonstrated that vertical wind shear can induce significant asymmetry in atmospheric duct distributions, underscoring the spatial and temporal variability of atmospheric properties in oceanic regions.

The primary bottlenecks are the sparse distribution of oceanic sounding stations and the inherent limitations of traditional atmospheric retrieval methods, contributing to a significant observational gap in the atmospheric boundary layer (Cimini et al., 2020). This sparse distribution of stations makes data acquisition in the marine environment extremely difficult. The limitations are particularly evident when dealing with marine dynamic environments. Specifically, data-driven retrieval methods such as statistical and neural-network-based approaches exhibit strong dependence on large historical datasets, which are difficult to obtain in marine environments. In addition, buoy-based platforms are subject to wave-induced motion, which leads to variations in the observation zenith angle and consequently introduces brightness temperature deviations. These attitude-related effects must be addressed through appropriate measurement preprocessing and correction procedures before the retrieval stage.

Currently, various mainstream methods are used for atmospheric parameter profiling retrieval. These include the Bayesian maximum probability estimation algorithm (Clough et al., 2005), one-dimensional variational retrieval methods (Hewison, 2007), physically based retrieval methods based on radiative transfer theory (Zhou et al., 2024; Liu et al., 2024; Gaffard and Hewison, 2003; Reinhardt et al., 2009), and statistical retrieval methods (Zheng, 2010). Neural-network algorithms have also gained increasing attention (Renju et al., 2023). While high computational accuracy can theoretically be achieved with physical retrieval methods, their inherently large computational requirements severely limit real-time performance. Statistical retrieval methods are effective in terrestrial environments where sufficient data is available. However, their strong reliance on historical data makes them struggle in marine regions with limited data. Neural-network algorithms demonstrate outstanding performance due to their powerful nonlinear mapping capabilities. However, traditional neural network models, such as the classic backpropagation (BP) neural network, generally require a large amount of training data (Hu et al., 2023; Jiménez and Eriksson, 2016). This high data requirement conflicts sharply with the limited ability to obtain field data in the unique environment of the ocean. As a result, their training effects and retrieval performance are significantly limited (Yao and Guan, 2022; Mahdianpari et al., 2021). Early pioneering studies established the fundamental capabilities of ground-based microwave radiometry. For instance, Decker et al. (1978) made significant progress in ground-based detection, and Guiraud et al. (1979)

**批注 [清除3]:** Thank you for the reviewer's suggestion. In line with the more cautious wording adopted in the revised Discussion and Conclusion, we have adjusted this expression in the Introduction by replacing "high-precision" with the more moderate term "accurate," so that the tone remains consistent throughout the manuscript. (RC1)

revealed the detection capabilities of absorption spectra at different frequencies. However, applying these traditional methods to complex marine environments presents significant challenges. More recently, Turner et al. (2007) noted that physical retrieval methods, while accurate, are computationally inefficient for real-time applications. Furthermore, in their Arctic region study, Candlish et al. (2012) explicitly pointed out that the accuracy of ship-based platform data is significantly reduced when using traditional neural-network-based retrieval of atmospheric profiles. This is due to changes in attitude and insufficient on-site data. Recent studies also suggest that even state-of-the-art reanalysis data like ERA5 may exhibit systematic biases under complex marine weather conditions such as tropical cyclones (Wei et al., 2025), further emphasizing the need for observation-driven profiling methods in data-sparse oceanic environments. These studies collectively highlight a core issue: how to effectively overcome errors caused by platform attitude and improve the accuracy, achieve high-precision, high-efficiency retrieval of atmospheric parameter profiles. Although mechanical stabilization (Schnitt et al., 2024) can reduce platform motion, it introduces significant challenges for long-term autonomous buoy operation in terms of power consumption and maintenance complexity. Therefore, this study adopts a software-based approach using real-time zenith angle correction from attitude sensors. During field experiments, the buoy exhibited residual pitch and roll variations of approximately  $\pm 2.5^\circ$  and  $\pm 3.2^\circ$ , respectively. Our algorithm explicitly compensates for these residual pointing variations, enabling accurate retrieval of atmospheric parameters under dynamic marine conditions.

As discussed previously, ground-based microwave radiometers (MWR) provide a powerful remote sensing technology. They are capable of capturing atmospheric microwave radiation information in real-time and continuously. This enables the retrieval of key parameters, such as atmospheric temperature and humidity profiles, and atmospheric refractive index. On the other hand, buoys are flexible, real-time monitoring platforms. They can integrate multi-parameter measurement functions and offer the unique advantages of long-term, uninterrupted, and all-weather operation. This can effectively compensate for the observational deficiencies caused by the sparse distribution of traditional oceanic sounding stations (Roemmich et al., 2009; Cronin et al., 2023; Liu et al., 2019; Fang, 2018). Consequently, an effective approach to addressing the scarcity of tropospheric data in marine environments is the combination of advanced ground-based microwave radiometer technology with autonomous, multi-parameter buoy monitoring platforms. Industry initiatives have previously explored this potential, such as the deployment of the first floating microwave radiometer (Haun, 2017). In the academic field, several studies have also explored the application of microwave radiometers in marine environments. For instance, Schnitt et al. (2024) and Griesche et al. (2020) demonstrated ship-based profiling capabilities, while Yan et al. (2022) focused on improving retrieval algorithms for ocean-based platforms. More recently, Cimini et al. (2025) reviewed the capability of microwave radiometers for offshore wind energy applications, highlighting that while onshore retrievals show high correlation ( $>0.9$ ) with radiosondes (Cimini et al., 2003), offshore retrievals are significantly challenged by platform motion and data sparsity, necessitating advanced calibration and elevation scanning strategies.

Therefore, this study deploys a ground-based microwave radiometer on an ocean buoy platform. Through incorporating platform attitude correction methods and applying multi-objective optimization algorithms, a history-independent retrieval model is constructed to address the challenges above. Through simulation experiments and field sea trials, the method's

**批注 [清清4]:** Thank you for the reviewer's suggestion. In line with the more cautious wording adopted in the revised Discussion and Conclusion, we have adjusted this expression in the Introduction by replacing "high-precision" with the more moderate term "accurate," so that the tone remains consistent throughout the manuscript.  
(RC1)

95 performance, retrieval accuracy, and applicability under the tested conditions universality are comprehensively evaluated. Its  
potential for application in real marine environments is also assessed.

## 2 Instrument, Platform, and Sites

### 2.1 The QFW-6000 microwave radiometric profiler

The QFW-6000 microwave radiometer, developed by the China Electronics Technology Corporation No.22 Research Institute, is employed in this study (CETC-22, 2022; Zhang et al., 2025). The microwave radiometer is installed on the buoy's upper platform. It is used to detect, receive, and analyze microwave brightness temperatures from the zenith direction. Brightness temperature is observed from the zenith direction using 16 microwave channels. Of these, eight channels in the K-band (22.24-31.4 GHz) are primarily used to detect water vapor. Eight channels in the V-band (51.26–58.0 GHz) exploit the absorption of the oxygen to retrieve atmospheric temperature profiles. The device has a vertical resolution of 25 m in the 0–0.5 km range, 50 m in the 0.5-2 km range, and 250 m in the 2-10 km range. It should be noted that the vertical resolution reported here refers to the retrieval grid used for constructing the temperature and humidity profiles, rather than the intrinsic physical resolution of the microwave radiometer itself. The effective vertical resolution is mainly constrained by the information content of the observed microwave channels and the corresponding weighting functions. As a result, the number of independent pieces of vertical information (degrees of freedom for signal) is comparatively low, and the retrieved profiles represent smoothed atmospheric structures rather than fine-scale vertical details. Its maximum detection distance is 10 km. The QFW-6000 Microwave Radiometer features integrated temperature, humidity, and pressure sensors that provide real-time surface thermodynamic data for in-situ calibration and retrieval correction. Additionally, it incorporates a rain intensity sensor and an infrared cloud sensor to facilitate weather identification during rainy and cloudy conditions. The physical appearance of the QFW-6000 microwave radiometer is shown in Fig. 1.



115 Figure 1: The QFW-6000 microwave radiometric profiler developed by the CETC No.22 Research Institute was deployed for the experiment. The instrument features a K-band and V-band receiver for profiling tropospheric temperature and humidity.

批注 [清清5]: Thank you for the reviewer's suggestion. In line with the more cautious wording adopted in the revised Discussion and Conclusion, we have adjusted this expression in the Introduction by replacing "high-precision" with the more moderate term "accurate," so that the tone remains consistent throughout the manuscript. (RC1)

The QFW-6000 microwave radiometric profiler used in this study belongs to the class of ground-based multi-channel microwave radiometers for atmospheric profiling. Previous studies on comparable ground-based microwave radiometers have reported brightness-temperature uncertainties on the order of a few tenths of a kelvin under proper calibration conditions, with retrieved temperature-profile uncertainties typically on the order of 1–2 K in the lower troposphere and relative-humidity uncertainties commonly in the range of 10–20 %, depending on atmospheric conditions and retrieval configuration. (e.g., Hewison, 2007; Cimini et al., 2006).

These values are cited here only to provide background information on the typical performance range of comparable instrument classes, rather than as a complete field-based uncertainty characterization of the exact QFW-6000 system used in this study.

To better document the instrument-specific background of the present system, the main technical specifications relevant to retrieval uncertainty are summarized in Table 1.

**Table 1.** Main performance specifications of the QFW-6000 microwave radiometer used in this study

Metric	Uncertainty/RMSE
Brightness temperature sensitivity(1 s integration time)	Water-vapour channels $\leq 0.2$ K Oxygen channels $\leq 0.3$ K
Brightness temperature measurement error	$\leq 1$ K RMS
Relative-humidity-profile RMSE	$\leq 15\%$ RH
Temperature-profile RMSE	$H \leq 2$ km: $\leq 1$ K
	$H > 2$ km: $\leq 1.8$ K

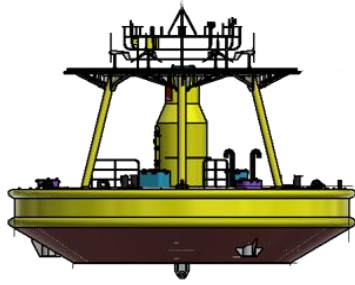
Note: The values listed in this table are technical specifications of the QFW-6000 microwave radiometer used in this study, extracted from the instrument functional/specification document. They provide instrument-specific performance background information, but should not be interpreted as a complete field-based uncertainty characterization under both fixed-site and buoy-based deployment conditions.

The present QFW-6000 belongs to the same instrument family as that described by Zhang et al. (2025), but the channel configurations are not identical. The system used here includes eight channels in each of the K- and V-bands, whereas the instrument described by Zhang et al. (2025) uses seven channels per band.

## 2.2 The Buoy Platform

The buoy platform was developed by the Institute of Oceanographic Instrumentation, Shandong Academy of Sciences. The buoy has a UFO or disc-shaped appearance. It is constructed from a highly durable and corrosion-resistant, fully sealed, welded steel structure. The buoy body is 10 m in diameter and weighs approximately 30 tons. After modification, the platform can stably accommodate key equipment, such as the QFW-6000 microwave radiometer and attitude sensors. This adaptation to the marine environment ensures reliable data collection. The buoy’s middle section houses a battery and an instrument

compartment. Its exterior is composed of six buoyancy chambers to ensure stability and safety. The overall structural configuration of the buoy platform is illustrated in Fig. 2.



145 **Figure 2: Structural design of the floating buoy platform independently developed by the Institute of Oceanographic Instrumentation, Shandong Academy of Sciences. The platform is equipped with the QFW-6000 microwave radiometer and attitude sensors and is designed for long-term stable operation in marine environments.**

### 2.3 Attitude Sensor

The attitude of the buoy is a key parameter affecting the measurement accuracy of microwave radiometers during maritime navigation. Attitude sensors are used to measure the roll angles and pitch angles of the measurement platform. The zenith angle  $\theta$  can be obtained by substituting the attitude sensor output data into the derived calculation formula for the buoy attitude and zenith angles. The calculation formula for the buoy's zenith angle is as follows eq. (1):

$$\cos\theta = \cos\alpha\cos\beta, \quad (1)$$

By substituting this observed zenith angle into the atmospheric radiative transfer equation, the oblique path brightness temperature can be obtained. This enables attitude compensation and correction by explicitly introducing the effective zenith angle into the radiative transfer forward model. The effective viewing geometry is computed from real-time pitch and roll measurements and applied before inversion, thereby removing systematic brightness temperature deviations induced by platform motion. A MEMS-based attitude sensor integrating a tri-axis accelerometer, gyroscope, and digital motion processor (DMP) is used, with a typical static accuracy of  $0.1^\circ$ - $0.3^\circ$  and a dynamic accuracy of  $0.3^\circ$ - $0.5^\circ$  under typical marine operating conditions. A dedicated pointing alignment calibration was performed before deployment to ensure that the radiometer antenna

160 corresponds to a zenith angle of  $0^\circ$  when the buoy is horizontally leveled.

## 2.4 The Sites

The Jiaozhou Bay area off the coast of Qingdao (36.0721540° N, 120.3047530° E) was used as the sea trial research area. As shown in Fig.3, the retrieval data of microwave radiometer atmospheric temperature and humidity profiles were systematically analyzed. For comparative analysis and validation, radiosonde data from the Qingdao Observatory (Station ID: 54857; 36.0702040° N, 120.3331640° E) in the upper-air sounding database of the University of Wyoming, USA, were selected (http://weather.uwyo.edu/upperair/bufr/aob.shtml). The two sites are 2.5 km apart. Therefore, the microwave radiometer data and the radio sounding data can be considered co-located observation data. The sea trial experimental environment is shown in Fig.4.



170 **Figure 3: Map of the Jiaozhou Gulf region showing the experimental sites. The red triangle indicates the location of the Buoy-based Microwave Radiometer (36.0721540° N, 120.3047530° E), and the yellow circle represents the Radiosonde Station (36.0702040° N, 120.3331640° E). The two instruments are separated by a distance of approximately 2.5 km. Basemap from Cartopy Quadtree Tiles.**



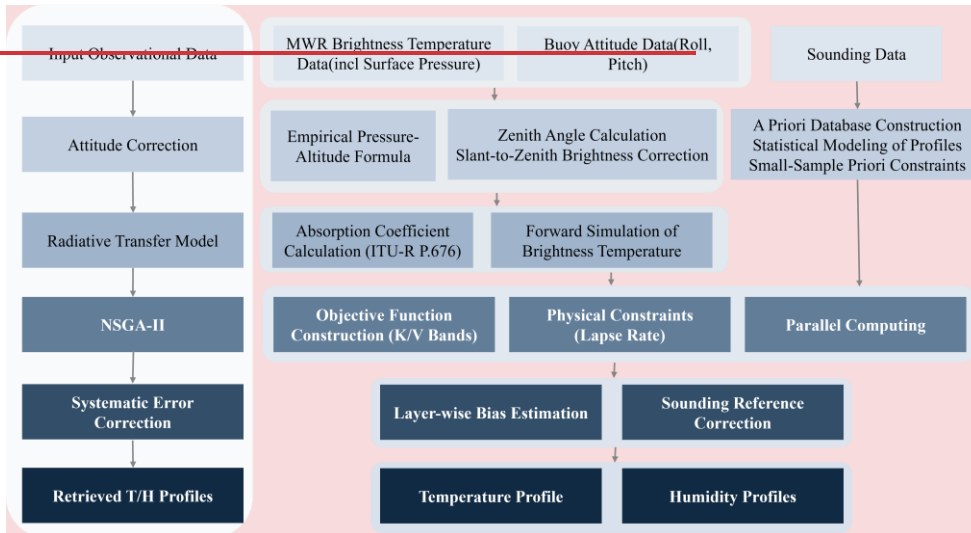
175 **Figure 4: Schematic of the sea trial experimental setup on the 10-meter diameter buoy platform. Key components include: the attitude sensor (top left) for monitoring platform roll and pitch; the QFW-6000 atmospheric brightness temperature measurement**

unit (bottom left) installed on the upper deck; and the OceanBuoy Host (right) for data aggregation and transmission. The red circles in the central photograph indicate the mounting positions of the sensors on the buoy structure.

180 In the Jiaozhou Bay area, the QFW-6000 microwave radiometer, which was modified and calibrated with liquid nitrogen on land before deployment, along with an attitude sensor, will be installed on a 10-meter buoy platform according to the layout shown in Fig.4. Brightness temperature and tilt angle will be collected simultaneously at the buoy's top level. Data will be aggregated via the serial port to the OceanBuoy Host. After data retrieval is completed, results will be transmitted back to the shore station.

### 3 Data, Model, and Method

185 To provide an intuitive overview of the proposed retrieval strategy, Fig. 5 illustrates the complete workflow of the buoy-based temperature and humidity profile retrieval. The procedure consists of four main parts: (1) preprocessing and attitude correction of microwave radiometer observations, (2) construction of the forward radiative transfer model and objective functions, (3) multi-objective optimization using the NSGA-II algorithm with physical constraints, and (4) systematic bias error correction and final profile generation.



批注 [清除6]: To clarify the meaning of the correction procedure, we have unified the terminology throughout the manuscript. The previous term "systematic error correction" has been revised to "systematic bias correction", because the present procedure estimates and removes height-dependent mean bias profiles from the matched samples rather than correcting all possible error sources. This revision more accurately reflects the actual role of the correction method and avoids implying that random errors, representativeness errors, instrument noise, or other residual uncertainties are fully removed.

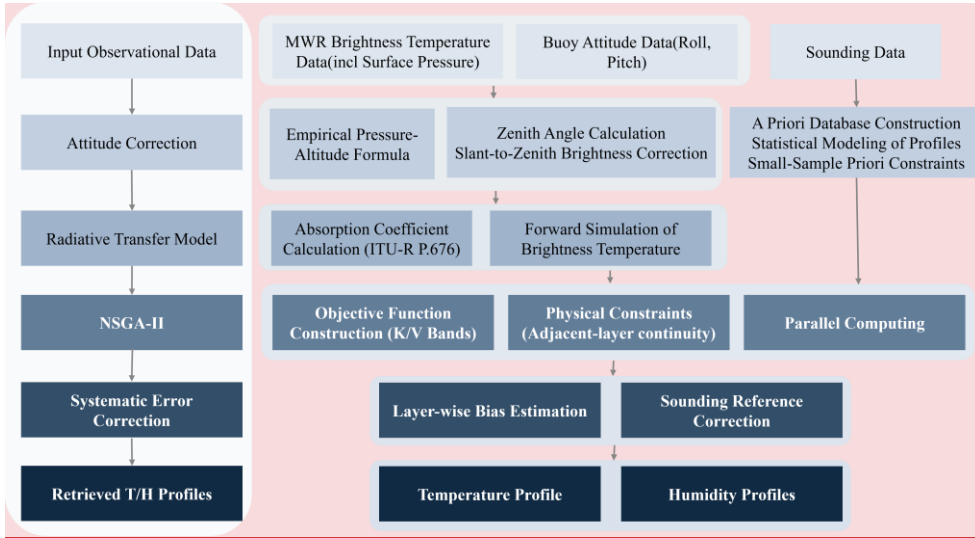


Figure 5: Schematic flowchart of the atmospheric temperature and humidity profile inversion method based on a microwave radiometer on a buoy platform. The workflow includes attitude correction of microwave radiometer observations, radiative transfer modeling and brightness temperature simulation, construction of multi-objective functions with physical constraints, NSGA-II optimization, and systematic bias error correction, resulting in the final retrieved temperature and humidity profiles.

### 3.1 The Data Source

Observed brightness temperature data were derived from the QFW-6000 microwave radiometer. The sounding data are from the University of Wyoming sounding station database. According to Tu et al. (2021), the Qingdao upper-air sounding system (Station ID: 54857) is based on the GTS1 radiosonde. According to the manufacturer's technical specifications, the GTS1 radiosonde provides continuous vertical profiles with a typical vertical resolution of approximately 5–10 m during ascent. The measurement accuracies are approximately  $\pm 0.2$  K for temperature,  $\pm 5\%$  for relative humidity, and  $\pm 1$  hPa for pressure. Specifically, data from the Qingdao Meteorological Observatory (Station ID:54857) are used. The data are collected twice daily at 00:00 and 12:00 UTC. Attitude data are obtained from the attitude sensor in the buoy platform. The sensor collects attitude data every second. The zenith angle data are derived using the buoy attitude angle and the zenith angle calculation formula. Using the microwave radiation transfer equation, brightness temperature data along the inclined path can be corrected to that of the zenith path.

批注 [清清7]: Following the reviewer's comment regarding the inappropriate use of the term "lapse-rate constraint," we further checked the terminology used in both the text and figures. The previous label "Physical Constraints (Lapse Rate)" in Fig. 5 has been revised to "Physical Constraints (Adjacent-layer continuity)" / "Adjacent-layer Continuity Constraints" to ensure consistency with the revised manuscript. This modification clarifies that the constraint is not a strict thermodynamic lapse-rate constraint, but is used to limit unrealistic layer-to-layer jumps in temperature and relative humidity and to maintain the continuity and physical plausibility of the retrieved profiles. (RC1,Comment 4)

批注 [清清8]: To clarify the meaning of the correction procedure, we have unified the terminology throughout the manuscript. The previous term "systematic error correction" has been revised to "systematic bias correction", because the present procedure estimates and removes height-dependent mean bias profiles from the matched samples rather than correcting all possible error sources. This revision more accurately reflects the actual role of the correction method and avoids implying that random errors, representativeness errors, instrument noise, or other residual uncertainties are fully removed.

### 3.2 Atmospheric Radiative Transfer Principle and Model

This section describes the forward radiative transfer modelling framework used in this study, including the calculation of atmospheric absorption coefficients and the corresponding microwave radiative transfer formulation. Ground-based microwave radiometry retrieves atmospheric profiles by measuring the downwelling thermal emission from the atmosphere.

210 Under the Rayleigh-Jeans approximation, which is valid for microwave frequencies (300 MHz – 300 GHz), the brightness temperature  $T_b(\theta)$  ( $T_B$ ) observed by a ground-based radiometer a zenith-viewing radiometer can be expressed by the radiative transfer equation (RTE):

$$T = T_\infty \exp\left(-\int_0^\infty k_\tau \sec \theta dz\right) + \int_0^\infty T(z) k_\tau \exp\left(-\int_0^z k_\tau \sec \theta dz\right) \sec \theta dz \quad (2)$$

$$T_b(\theta) = T_\infty e^{-\tau(0,\infty)} + \int_0^\infty T(z) k_\alpha(z) \sec \theta e^{-\tau(0,z)} dz \quad (2)$$

215 where  $T_\infty$  is the cosmic background brightness temperature (approximately, 2.73 K),  $T(z)$  is the atmospheric physical temperature at altitude  $z$ ,  $k_\alpha(z)$  is the atmospheric absorption coefficient at altitude  $z$ , and  $\tau(0,z)$  denotes the optical depth from the surface to altitude  $z$ , defined as:

$$\tau(0,z) = \int_0^z k_\alpha(\xi) \sec \theta d\xi \quad (3)$$

220 where  $T_\infty$  is the cosmic background brightness temperature (approx. 2.73 K),  $T(z)$  is the atmospheric physical temperature at altitude  $z$ , an  $\tau(0,\infty)$  is the total optical depth. The term  $k_\alpha(z)$  represents the atmospheric absorption coefficient at altitude  $z$ , which is the summation of contributions from oxygen, water vapor, and liquid water.

Accurate calculation of  $k_\alpha$  is critical for the forward model. In this study, we selected the ITU-R P.676-13 model (ITU-R, 2022) to calculate the gaseous these absorption coefficients. Compared to the MPM series and MonoRTM models mentioned above, the ITU-R model is recognised as the international standard for radio propagation engineering. It uses a parameterized 225 utilises a line-by-line formulation summation method that offers a good an optimal balance between computational efficiency and accuracy, making it particularly suitable for the real-time retrieval requirements of the present this buoy-based system. This model uses a parameterized line-by-line summation formulation to compute the absorption lines of atmospheric gases. Specifically, the K-band channels (22-31 GHz) operate near the water-vapor absorption line (22.235 GHz) and are mainly to retrieve humidity information, while the V-band channels (51-58 GHz) operate along the oxygen absorption complex and are 230 mainly used to retrieve temperature profiles (Philip, 1998). Under the present non-precipitating conditions, the forward model

批注 [清清9]: Thank you for the reviewer's careful comment. We agree that the previous presentation of Equation (2) was not sufficiently clear in either formatting or notation. In the revised manuscript, we have reformatted Equation (2), corrected the alignment of the variables, and explicitly rewritten the radiative-transfer expression so that the optical-depth term is clearly included. We also revised the variable definitions below the equation and added the explicit definition of  $\tau(0,z)$  to ensure consistency between the equation and the accompanying text. (RC1, Comment 3)

focuses on gaseous absorption, while liquid-water effects are not explicitly retrieved. Liquid-water scattering is neglected since absorption dominates over scattering in non-precipitating clouds within these frequency bands.

### 3.3 The Retrieval Algorithm Construction

To provide a unified and reproducible description of the retrieval framework, the temperature–humidity profile inversion is formulated as a constrained multi-objective optimization problem and solved using the Non-dominated Sorting Genetic Algorithm II (NSGA-II).

The retrieval aims to simultaneously minimize the residuals between simulated and observed brightness temperatures of in the temperature-sensitive (V-band) channels and the humidity-sensitive (K-band) channels under level-dependent admissible bounds and adjacent-layer continuity constraints. physical consistency constraints derived from atmospheric lapse rate characteristics. The forward model is based on the atmospheric microwave radiative transfer equation and the ITU-R P.676-13 absorption model.

The optimization problem is solved through Pareto-front evolution using NSGA-II. To meet the real-time requirements of marine applications, a parallel computing strategy is introduced to accelerate population evaluation while keeping the mathematical formulation unchanged. The final retrieval profile is selected from the Pareto-optimal solution set using a joint error minimization criterion.

#### 3.3.1 Data Preprocessing

This study conducted a comprehensive statistical analysis of the routine radiosonde data. We aimed to accurately obtain the statistical distribution characteristics of temperature and humidity. Based on the statistical analysis, data points falling outside the confidence interval (defined as the  $\mu \pm 2$  standard deviations) were identified as outliers and excluded. Additionally, radiosonde measurements are influenced by ascent rate and horizontal drift caused by wind, leading to irregular vertical sampling intervals. To address these grid-mismatch issues, linear-interpolation was performed on the collected radiosonde data.

Furthermore, pressure profiles were obtained using actual ground-based pressure sensor data combined with empirical pressure-altitude formulas. To obtain pressure profiles for the specific experimental region, we adopted a hybrid empirical approach that combines local statistical climatology with standard engineering modeling. The pressure  $P$  (kPa) at a given altitude  $H$  (m) is calculated as the arithmetic mean of two components eq.(43):

$$P(H) = 0.5 \times P_{local}(H) + 0.5 \times P_{std}(H) \quad (43)$$

Where:

$P_{local}(H)$  represents the regional statistical fit, derived from a polynomial regression of historical pressure-altitude data from major cities in China:

$$P_{local} = 101.3 \times (5.3788 \times 10^{-9} H^2 - 1.1975 \times 10^{-4} H + 1) \quad (54)$$

批注 [清清10]: Thank you for the reviewer's valuable comment. We agree that the applicability boundary of the present retrieval framework under cloud-liquid-water conditions needed to be stated more explicitly. In the revised manuscript, we clarified here that the current forward model mainly focuses on gaseous absorption under the present non-precipitating conditions, while liquid-water effects are not explicitly retrieved. This revision is intended to make clear that LWP is used only as an auxiliary diagnostic indicator rather than as an inversion variable, and that the present validation results should be interpreted cautiously when considering broader offshore or open-ocean applications. (RC2, Comment 1)

批注 [清清11]: Thank you for this important comment. We agree that the reviewer's concern is well founded, and that our previous wording was not sufficiently well considered. Under the present implementation, the imposed condition should not be referred to as a lapse-rate constraint in the strict thermodynamic sense. In the revised manuscript, we therefore changed this description to the more appropriate term "adjacent-layer continuity constraint," which more accurately reflects the current formulation based on limits on neighbouring-layer differences. (RC1, Comment 4)

$P_{std}(H)$  represents the standard atmospheric model defined in the national electric power industry code DL/T 5240-2010(National Energy Administration):

$$P_{std} = 101.3 \times \left[ 1 - 0.0255 \times \frac{H}{1000} \left( \frac{6357}{6357 + \frac{H}{1000}} \right) \right]^{5.256} \quad (65)$$

265 This weighted combination effectively mitigates the systematic bias of the general standard model while retaining the physical consistency required for vertical profiling.

### 3.3.2 Construction of Atmospheric Prior Experience Database

It should be emphasized that only a limited amount of local radiosonde data is required to construct the small-sample prior experience database, which serves solely as an initial physical constraint for the retrieval process. During subsequent online retrieval, no historical data input is required. The preprocessed radiosonde data were interpolated to the same 83 height levels as the microwave radiometer using linear interpolation. A monthly statistical analysis revealed that temperature and humidity

270  $(T, H)$  at each height layer conformed to a normal distribution. The variables were substituted into the formulas  $N(\mu_i^T, \sigma_i^T)$  and  $N(\mu_i^H, \sigma_i^H)$ . To quantitatively assess the normality of the data distribution, we performed a monthly statistical analysis of temperature ( $T$ ) and relative humidity ( $H$ ) at each height layer. We calculated the Skewness ( $S$ ) and Excess Kurtosis ( $K$ ) for both variables. A distribution is considered to be approximately normal when  $|S| < 1$  and  $|K| < 1$  (Kim, 2013).

Taking the 50 m height layer in January as an example (Figure 56), the atmospheric temperature exhibits a high degree of symmetry with  $S = -0.58$  and  $K = 0.82$ , indicating a good fit with the normal distribution. Similarly, the relative humidity at this level also demonstrates approximate normality ( $S = -0.14$ ,  $K = -0.70$ ). Based on these statistical characteristics, we

constructed the empirical database by filtering out outliers falling outside the  $[\mu_i^T - 2\sigma_i^T, \mu_i^T + 2\sigma_i^T]$  interval to ensure strict

280 data quality. Specifically, values exceeding the boundaries were truncated to the threshold limits:

$$\begin{cases} T_i^{\min} = \mu_i^T - 2\sigma_i^T, T_i^{\max} = \mu_i^T + 2\sigma_i^T \\ H_i^{\min} = \mu_i^H - 2\sigma_i^H, H_i^{\max} = \max(H_i) \end{cases}.$$

This rigorous screening process effectively eliminated anomalous sounding data and

finalized the construction of the empirical database.

**批注 [清清12]:** Thank you for the reviewer's careful check. We agree that the figure reference was incorrect in the previous version. In the revised manuscript, we corrected the corresponding citation in the text so that the probability-density illustration at the 50 m level in January is now explicitly referred to as Figure 6. (RC2,Comment 3)

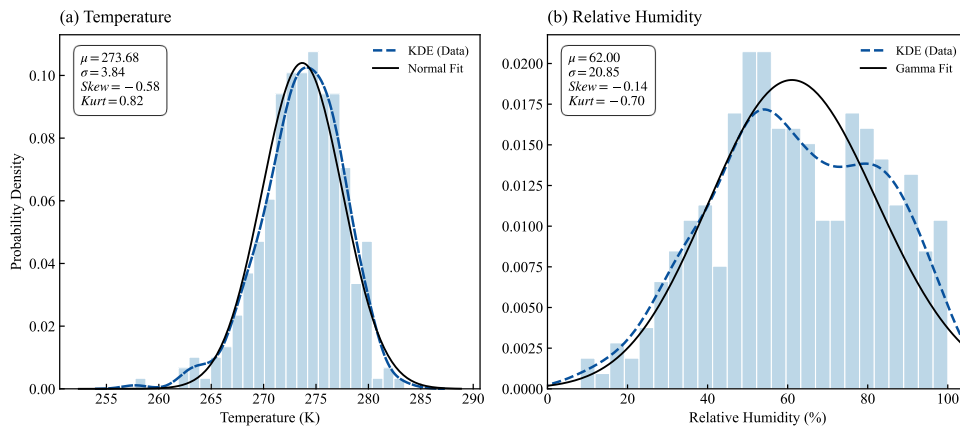


Figure 6: Probability density distributions of (a) temperature and (b) relative humidity at 50 m height in January. The black solid line represents the fitted normal distribution curve, and the blue dashed line represents the kernel density estimation (KDE). Statistical metrics ( $\mu$ ,  $\sigma$ ,  $S$ ,  $K$ ) are annotated.

### 3.3.3 NSGA-II

To clarify how the retrieval is actually performed, the complete inversion workflow is illustrated in Fig. 5. Based on this framework, the retrieval procedure consists of the following main steps: construction of the objective functions from brightness temperature observations, introduction of physical constraints, multi-objective optimization using the NSGA-II algorithm, and subsequent systematic bias correction to obtain the final temperature and humidity profiles. Addressing the challenges of sparse data, significant dynamic platform disturbances, and the high computational cost of traditional inversion methods requiring large training datasets in ocean observations, this study builds upon the NSGA-II (Deb et al., 2002) framework. It should be clarified that the proposed retrieval method is not based on the Optimal Estimation Method (OEM), but is formulated as a constrained multi-objective optimization problem solved using NSGA-II. Specifically, a small-sample prior knowledge based database is constructed to reduce dependence on large training datasets through statistical boundary generation and physical boundary constraints, as described in Section 3.3.2. Physical constraints, including adjacent-layer continuity constraints and physically admissible temperature–humidity bounds, are introduced as hard constraints to suppress inversion results that violate basic physical plausibility. In addition, real-time attitude sensor measurements are integrated to compensate for zenith-angle deviations caused by platform motion. The effective viewing geometry is computed from real-time pitch and roll measurements and applied in the radiative transfer forward model before inversion, as detailed in Section 2.3.

Because the attitude sensor samples at a higher frequency than the microwave radiometer, the raw attitude measurements are first synchronized to the radiometer integration period. All attitude samples within one radiometer observation window are averaged to derive an effective attitude state. Based on this averaged attitude, an effective zenith angle is calculated and used

批注 [清清13]: Thank you for this important comment. Following the reviewer's concern that the previous wording could be misleading, we revised this methodological description to avoid referring to the present implementation as a strict "lapse-rate constraint." Here, we now describe the imposed conditions more explicitly as adjacent-layer continuity constraints together with physically admissible temperature–humidity bounds, so that the physical meaning of the retrieval constraints is represented more accurately. (RC1,Comment4)

305 in the forward radiative transfer model. In this way, the observation geometry remains temporally consistent with the integrated brightness temperature measurement. This treatment ensures consistency between the time-integrated radiometric observation and the observation geometry used in the retrieval.

Furthermore, by employing a parallel computing architecture and a systematic error correction mechanism, the single-run inversion time is typically below 1 min under a parameter configuration of 175 individuals and 10 generations (with a crossover probability of 0.9 and a mutation probability of 0.2), when executed with multi-core parallel workers. This computational cost is acceptable for operational shipborne applications and enables quasi-real-time processing of the observed data.

In operational marine meteorology and nowcasting, real-time processing typically refers to observational data being processed and delivered within minutes in order to support rapid update cycles for monitoring and decision support. According to the WMO Guide to Meteorological Instruments and Methods of Observation (WMO, 2018), operational observing systems commonly operate with reporting intervals on the order of 1–10 minutes. In addition, Sun et al. (2014) indicate that convective nowcasting systems require update cycles of approximately 5–10 minutes to capture fast-evolving atmospheric states.

In this context, the proposed framework achieves a single-run inversion time within ~1 min, which is well within the typical reporting and update intervals required for operational maritime monitoring and nowcasting.

The Level-1 data processing workflow, including brightness temperature quality control, temporal synchronization, and vertical interpolation, is described in Section 3.1. The complete inversion workflow is designed as follows:

#### 1. Construction of the Objective Function

The core of the retrieval process is an established multi-objective optimization problem. The objective is to minimize the difference between simulated and measured brightness temperatures. Physical constraints are introduced to ensure the

reasonableness of the retrieval results. Specifically, the objective function  $\begin{cases} \min | \tilde{T}_{ret}^{22\text{GHz}} - T_{obs}^{22\text{GHz}} | \\ \min | \tilde{T}_{ret}^{58\text{GHz}} - T_{obs}^{58\text{GHz}} | \end{cases}$  is constructed from the

325 ~~residuals based on the difference between simulated and observed measured brightness temperatures from microwave radiometers in the K/V bands. Specifically, the objective function is constructed from the residuals between simulated and observed brightness temperatures~~ in the K-band (22 GHz) and V-band (58 GHz). In this formulation,  $\tilde{T}_{ret}$  and  $T_{obs}$  denote the simulated and measured brightness temperatures, respectively, and the superscripts indicate the corresponding frequency channels. Additionally, ~~adjacent-layer continuity constraints are incorporated into the constraint system to limit excessive layer-to-layer variations. These constrains are applied to all neighbouring retrieval levels and act mainly as smoothness controls under limited observational constraint.~~

330 ~~atmospheric physical characteristics, such as temperature and humidity lapse rates (implemented as inter-layer difference constraints; applied to all adjacent layers)~~

$$\begin{cases} \min_{i \in N, i < 83} |T_{i+1} - T_i| \leq \delta_1 \\ \min_{i \in N, i < 83} |H_{i+1} - H_i| \leq \delta_2 \end{cases} \quad (7)$$

335 incorporated into the constraint system. Here,  $N$  denotes the maximum index of the vertical retrieval levels. In this study, the adjacent-layer limits are set to  $\delta_1 = 8$  K for temperature and  $\delta_2 = 60\%$  for relative humidity. represents the maximum allowable humidity difference between adjacent levels. In practice, these constraints are applied to each pair of adjacent layers (i.e.,  $|T_{i+1} - T_i| \leq \delta_1$  and  $|H_{i+1} - H_i| \leq \delta_2$  for all  $i$ ), which serves as an inter-layer continuity (smoothness) constraint to limit excessive layer-to-layer variations, mainly serve as inter-layer continuity constraints to suppress unrealistically sharp layer-to-layer jumps.

340 In this study, the adjacent-layer limits are set to  $\delta_1 = 8$  K for temperature and  $\delta_2 = 60\%$  for relative humidity. These constraints limits are introduced primarily to suppress unrealistically sharp layer-to-layer jumps while maintaining basic physically reasonable profile continuity rather than to impose a strict thermodynamic lapse-rate condition. The lower and upper bounds of temperature and relative humidity at each retrieval height are not fixed universal constants; instead, they are generated from the month-dependent small-sample prior database and used as level-dependent admissible intervals for the optimization variables.

345 It should be emphasized that the present continuity constraint is not a strict lapse-rate condition in the thermodynamic sense. Rather, it limits only the absolute magnitude of differences between neighbouring retrieval levels and does not enforce a monotonic decrease of temperature with height. Therefore, local inversions and gradient-transition structures are not excluded a priori. Under the current threshold setting, the practical role of this constraint is mainly to avoid non-physical discontinuities rather than to impose a canonical tropospheric lapse-rate structure, so-called "lapse-rate constraint" does not enforce a monotonic decrease of temperature with height. Instead, it limits only the absolute magnitude of adjacent layer changes. Therefore, local inversions are not excluded a priori, and the constraint does not by itself guarantee a fully smooth mean retrieved profile.

## 355 2. Initialization of the Population

First, reasonable value ranges are defined for the temperature and humidity variables at each altitude layer using the constructed atmospheric prior experience database. Within this probability boundary, an initial population is generated through random sampling. Each individual represents a complete set of potential temperature-humidity profile solutions. Next, the fitness of each individual in the population is assessed. To ensure population diversity and convergence, key techniques from the non-dominance sorting genetic algorithm (NSGA-II) are employed. To ensure both convergence and diversity of the solution set, Pareto dominance is used to rank individuals into different non-dominated fronts according to the multiple objective functions, while a density-based sorting strategy (crowding distance) is applied to maintain solution diversity along the Pareto front. This multi-objective selection mechanism allows the algorithm to search for stable atmospheric temperature and humidity profiles while avoiding premature convergence (Deb et al., 2002).

## 365 3. Parallel Computing Optimization

批注 [清清14]: Thank you for this important and physically insightful comment. We agree that our previous description was not sufficiently precise, and that referring to the present implementation as a "lapse-rate constraint" was not appropriate. In the revised manuscript, we therefore rewrote this part to clarify the formulation of the objective function and the associated physical constraints. In particular, we now explicitly describe the imposed condition as an adjacent-layer continuity constraint, provide the corresponding parameter values, and clarify that it limits only neighbouring-layer differences rather than enforcing a strict thermodynamic lapse-rate condition. (RC1, Comment4)

We introduced parallel computing technology to optimize algorithm efficiency. Specifically, we used a controller-worker parallel mode. This mode divides the population into multiple sub-populations, which are then assigned to different CPUs for independent calculations. The controller processor manages task allocation and result aggregation, while the worker processors complete their computation and return the results. This approach significantly improves the computational efficiency of the retrieval process.

#### 4. Obtaining the Optimal Solution

After the genetic algorithm completes its preset number of iterations, a set of multiple Pareto optimal solutions is produced. To select the final optimal solution from this set, a hybrid reconstruction strategy is employed. First, the extreme optimal values for each objective function are identified. These are the solutions in the Pareto optimal solution set that minimize the difference between the simulated and measured brightness temperatures in the K/V band. Subsequently, these solutions with extreme optimal values are mixed and reconstructed across different objectives. This generates a solution with the best overall performance. This solution serves as the final retrieval result.

#### 5. System Bias Error Correction

To obtain a more robust estimate of the correction performance under limited-sample conditions, the systematic bias correction was evaluated using a leave-one-out cross-validation (LOOCV) strategy over the 38 collocated samples. In each validation round, one sample was held out as the validation case, while the remaining 37 samples were used to estimate the height-dependent systematic bias profiles for temperature and relative humidity.

For temperature and humidity, the systematic bias at height  $h$  was calculated as the mean difference between the retrieved profile and the corresponding radiosonde profile over the training subset. The resulting bias profiles were then applied only to the held-out validation sample in that round. This procedure was repeated until all 38 samples had been independently left out once, and the final corrected results were obtained by aggregating the corrected held-out cases over all validation rounds.

Under this framework, each corrected validation profile was generated without using its own information in the bias estimation step, thereby avoiding the ambiguity and potential optimism associated with applying a bias profile derived from a subset directly to all samples. In this way, the evaluation of the systematic bias correction becomes more robust and statistically interpretable.

For temperature and humidity, the systematic bias at each height layer  $h$  in the  $r$ -th LOOCV round can be written as:

To further improve the accuracy of the retrieval results, a system error correction method is designed and introduced. The 38 collocated samples were randomly divided into a training set (80%) and an independent testing set (20%). The training set was used to derive the systematic bias correction model, while the testing set was used exclusively for independent validation of the correction performance. For temperature and humidity, the systematic error  $E(h)$  at each height layer  $h$  is calculated as follows eq.(6) and eq.(7):

$$E_T^{(r)}(h) = \frac{1}{M_r} \sum_{i \in T_r} [T_{ret,i}(h) - T_{obs,i}(h)] \quad (8)$$

$$E_H^{(r)}(h) = \frac{1}{M_r} \sum_{i \in T_r} [H_{ret,i}(h) - H_{obs,i}(h)] \quad (9)$$

Where  $E_T^{(r)}(h)$  and  $E_H^{(r)}(h)$  denote the systematic bias profiles of temperature and relative humidity at height  $h$  in the  $r$ -th LOOCV round, respectively;  $M_r$  is the number of samples in the training subset of the  $r$ -th round;  $T_r$  denotes the corresponding training-sample set;  $T_{ret,i}(h)$  and  $H_{ret,i}(h)$  are the retrieved temperature and relative humidity values at height  $h$  for the  $i$ -th training sample; and  $T_{obs,i}(h)$  and  $H_{obs,i}(h)$  are the corresponding radiosonde observations.

For the held-out validation sample  $j$  in the  $r$ -th LOOCV round, the corrected temperature and relative-humidity profiles were obtained by subtracting the corresponding round-specific systematic bias profiles from the original retrievals:

Among these variables:  $E_T(h)$  and  $E_H(h)$  are the systematic errors in temperature and humidity at height  $h$ ;  $M$  is the number of samples in the training set.  $T_{ret,i}(h)$  and  $H_{ret,i}(h)$  are the original retrieval values at height  $h$  for the  $i$ -th sample, while  $T_{obs,i}(h)$  and  $H_{obs,i}(h)$  are the corresponding sounding observation values. After the systematic error model is established using this method, it is applied to correct all retrieval profiles. The corrected temperature and humidity profiles,  $T_{corr}(h)$  and  $H_{corr}(h)$ , are obtained by subtracting the corresponding systematic errors from the original retrieval profiles,  $T_{ret}(h)$  and  $H_{ret}(h)$ . For temperature and humidity, the  $T_{corr}(h)$  and  $H_{corr}(h)$  calculated as follows eq.(8) and eq.(9):

$$T_{corr,j}^{(r)}(h) = T_{ret,j}(h) - E_T^{(r)}(h) \quad (10)$$

$$H_{corr,j}^{(r)}(h) = H_{ret,j}(h) - E_H^{(r)}(h) \quad (11)$$

The corrected held-out profiles obtained in all LOOCV rounds were then aggregated to form the final LOOCV-based corrected dataset. In the present study, radiosonde observations are used only within the validation framework to estimate the height-dependent systematic bias profiles and to assess the correction performance. For future operational applications over the open ocean, the transferability and stability of the derived bias characteristics will require further validation under broader environmental conditions. The temperature and humidity profiles corrected for the system errors are the final retrieval results. It should be noted that the radiosonde observations are used only to estimate the height-dependent systematic error profile under land-based conditions as part of an instrument calibration procedure. In operational applications over the open ocean, the derived systematic error profile can be applied as a fixed correction term and does not require the availability of concurrent radiosonde data.

To avoid overly optimistic performance estimates, the systematic error profile is derived from the training subset only, while the independent test subset is used exclusively for validation.

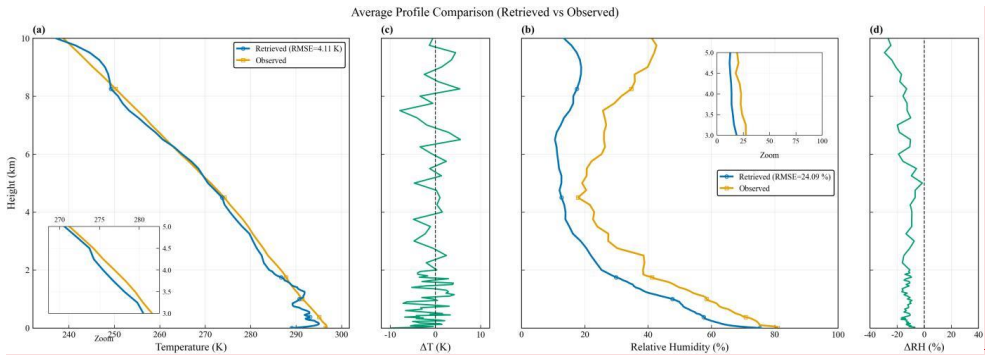
Specifically, Level-1 brightness temperature data are first calibrated and quality-controlled, followed by attitude-based geometric correction using real-time pitch and roll measurements. The effective zenith angle is computed and introduced into the radiative transfer forward model. A small sample prior database is then constructed from historical radiosonde profiles using statistical boundary generation. Atmospheric thermodynamic lapse rate constraints are introduced as hard physical constraints during optimization. The NSGA-II framework is subsequently employed to perform multi-objective inversion of temperature and humidity profiles under dynamic marine conditions.

**批注 [清清15]:** Following the reviewers' suggestions regarding the robustness and interpretation of the systematic-bias-correction procedure, we revised both the formulation and the notation here to make the LOOCV framework explicit. In the revised manuscript, the systematic bias is now defined separately for each LOOCV round using the corresponding training subset, and the corrected profile is written explicitly for the held-out validation sample in that round. This revision is intended to make the mathematical description fully consistent with the revised Fig. 9, Table 4, and the LOOCV-based evaluation framework. (RC1, Comment 8; RC2, Comment 2)

430 **4 Results**

To comprehensively evaluate the performance and suitability of the proposed models for marine environments, microwave radiometer observation experiments were conducted on a buoy platform. This was done in the coastal waters of Jiaozhou Bay, Qingdao (36.0721540°N, 120.3047530°E). The models include the tropospheric temperature and humidity profile retrieval model and the buoy platform zenith compensation correction model. During the experiment, 38 sets of valid observational data were obtained. These data were compared with radio sounding data from the Qingdao Meteorological Observatory (Station ID: 54857) for validation. For each radiosonde launch time (00:00 and 12:00 UTC), the temporally co-located microwave radiometer retrieval record closest in time was selected for comparison. A matchup was considered valid only if the radiosonde profile passed quality control and was successfully interpolated onto the standard height grid of the microwave radiometer (0–10 km). This procedure ensures temporal consistency between the two observing systems and provides a reliable basis for profile-to-profile validation. The sounding data are from the Wyoming State University sounding database (36.0702040°N, 120.3331640°E). The two observation points are 2.5 km apart. Therefore, their data can be considered co-located observations. This provides reliable reference true values for model validation.

To quantitatively assess the performance of the proposed retrieval model, the retrieval accuracy is evaluated using the root mean square error (RMSE), which represents the statistical uncertainty of the retrieved profiles with respect to radiosonde observations.



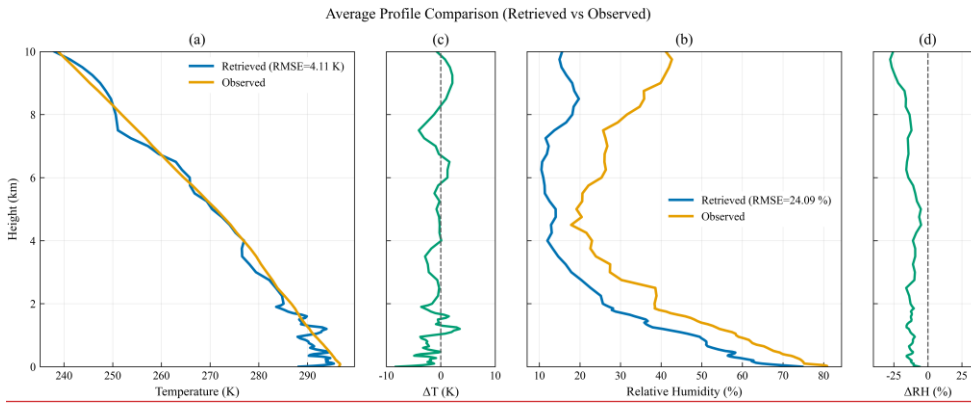


Figure 7: Statistical comparison of atmospheric profiles between the uncorrected NSGA-II retrievals and the collocated radiosonde observations for 38 matched cases collected during the Jiaozhou Bay field experiment. Panels (a) and (b) present display the mean temperature and relative humidity profiles, respectively, after time matching and interpolation onto the common retrieval grid. Panels (c) and (d) show the corresponding profile differences, defined directly as the mean retrieved profiles minus the mean radiosonde profiles shown in panels (a) and (b). The blue solid lines represent the initial retrievals from the buoy-based Microwave Radiometer (MWR) using the NSGA-II algorithm before systematic error correction, while the orange-yellow solid lines denote the co-located reference observations from the Qingdao Radiosonde Station (ID: 54857). Panels (c) and (d) illustrate the vertical distribution of the mean retrieval bias (Retrieved minus Observed) for temperature and humidity. The statistics are derived from the mean profiles of 38 valid matchups collected during the field campaign in Jiaozhou Bay from August 22 to September 23, 2023. The profiles are time-matched and interpolated onto a common vertical grid. The zoomed subplots use the same processed profiles with adjusted display ranges.

Figure 7 presents shows the statistical average comparison between the uncorrected temperature and humidity profiles retrieved by the NSGA-II retrievals and the collocated radiosonde observations for the 38 matched cases algorithm without systematic error correction (blue solid lines) and the corresponding radiosonde observations from Jiaozhou Bay (orange-yellow solid lines), together with the vertical distribution of their differences. The profiles are time-matched and interpolated onto a common vertical grid within the effective vertical resolution of the radiometer. The main panels present the full-profile comparison, while the zoomed subplots display selected vertical ranges using the same processed profiles with adjusted display scales. Panels (a) and (b) show the mean temperature and relative humidity profiles, respectively, while panels (c) and (d) present the corresponding mean profile differences, defined as the difference between the mean retrieved profiles and the mean radiosonde profiles.

It should be emphasized that the profiles shown in Fig. 7 represent averaged vertical structures over all matched cases, and the difference panels reflect the bias between the mean profiles rather than sample-wise error statistics.

As shown in Fig. 7(a) and (c), the retrieved temperature profile generally follows the radiosonde profile in terms of overall vertical structure. However, systematic deviations are still evident at certain altitude ranges. Near the surface, the retrieved temperature is slightly higher than the radiosonde observations, while in parts of the lower-to-middle troposphere it tends to

be lower. The corresponding bias profile exhibits clear height-dependent variations, indicating the presence of structured systematic deviations prior to bias correction.

475 For relative humidity, as shown in Fig. 7(b) and (d), the retrieved profile is generally lower than the radiosonde profile over a large portion of the troposphere. The negative bias is most pronounced in the mid-troposphere (approximately 2–6 km), suggesting a systematic underestimation in the mean humidity structure before correction.

Overall, Fig. 7 demonstrates that the uncorrected retrieval captures the general vertical structure of temperature and humidity, but exhibits clear height-dependent systematic deviations in the mean profiles. These results provide the basis for the subsequent systematic bias correction analysis.

480 The radiosonde profiles shown in Fig. 7 are here represent ensemble-averaged means over 38 matched cases, which suppress small-scale vertical variability and therefore appear smoother. By contrast, the retrieved profiles are generated through layer-by-layer optimization on a discrete vertical grid. In altitude ranges where the sensitivity of the microwave weighting functions is reduced, the information content available to constrain the retrieval also becomes weaker. Under such conditions, local layer-to-layer variations may be more readily retained in the retrieved profiles, particularly when the imposed continuity constraints are not strong enough to fully suppress such structures. Therefore, the oscillatory behaviour in the mean retrieved temperature profile should not be interpreted as a general or typical feature of microwave radiometer retrievals. Instead, it is primarily attributed to the combined effects of reduced sensitivity of the microwave weighting functions, discrete layer optimization, and the present regularization setting. In particular, this behaviour may also indicate that the current regularization strength is not fully sufficient to suppress small-scale layer-to-layer oscillations under conditions of limited observational constraint. Further refinement of the regularization strategy may help improve the smoothness and physical consistency of the retrieved profiles.

485 Figures 7(a) and 7(c) indicate that the retrieved temperature profiles show an overall agreement with the radiosonde observations in the near-surface layer (0–2 km), particularly in Fig. 7(c), where the deviations approach zero, demonstrating good retrieval performance in the lower troposphere. However, with increasing altitude, the temperature differences exhibit both positive and negative deviations in the middle troposphere (approximately 3–6 km). As shown in Fig. 7(c), the deviations generally remain within  $\pm 5$  K, with larger fluctuations occurring around 4–5 km, which is consistent with the reduced sensitivity of the microwave channels at these heights. This altitude range corresponds to the trough region of the microwave weighting functions, where the retrieval sensitivity is reduced. Above approximately 6 km, the temperature differences show a reduced magnitude compared to the 3–6 km layer, although non-negligible fluctuations are still observed (Fig. 7(c)).

495 The relative humidity profiles (Figs. 7(b) and 7(d)) exhibit more complex deviation patterns. A positive bias of 5–15% occurs below 1–2 km, followed by a transition to a systematic negative bias of 10–25% between 2 and 5 km, peaking near 4 km. The liquid water path (LWP), provided as a standard Level 2 product of the QFW microwave radiometer, is used only as an auxiliary indicator for diagnosing cloud contamination and residual retrieval errors, rather than as an input variable to the inversion.

批注 [清清16]: Line 415: "The radiosonde profiles shown here represent ensemble-averaged means over 38 matched cases" What is the meaning of an ensemble-average mean in the case of observations? What is the difference from a regular mean? (RC1,Comment 5)

Overall, temperature errors are mainly concentrated between 3 and 6 km, while humidity errors cluster between 2 and 5 km. Although their peak altitudes overlap, their vertical structures differ significantly, indicating that the middle troposphere represents a relatively vulnerable layer for simultaneous temperature and humidity retrieval. These results suggest that localized systematic errors require further correction through height-dependent error modeling.

The retrieval results shown in Fig. 7 already incorporate attitude correction in the forward modelling process. To further isolate and evaluate the effect of attitude correction, an additional comparison was the following analysis is conducted between by additionally comparing retrievals with and without applying the attitude correction, prior to the introduction of before the systematic bias error correction is introduced.

The overall Although the average improvement introduced by attitude correction is relatively limited for the present appears moderate, this behavior is consistent with the characteristics of the current dataset.

This behaviour is consistent with the characteristics of the buoy observations, where the platform attitude angles are generally small (typically within 1–3°), resulting in relatively weak perturbations to the observation geometry. Under such conditions, the influence of attitude-induced effects on brightness temperature is correspondingly modest.

The platform attitude angles are generally small (typically within 1–3°), resulting in relatively weak perturbations to the observation geometry. Under such conditions, the impact of attitude-induced geometric deviations is partially masked by other sources of retrieval uncertainty. A grouped statistical analysis based on attitude magnitude is presented in Table 2. The grouped results indicate that the effect of attitude correction benefit on attitude in the present dataset. Overall, the mean changes remain small across the three subsets, indicating that the effect of attitude correction under the present conditions is limited and case-dependent. tends to become more evident in the larger attitude subset, particularly for temperature retrieval, although the average improvement remains limited for the present dataset. The improvement in relative humidity is less consistent than that in temperature, which may be attributed to the higher sensitivity of humidity retrieval to measurement noise and vertical smoothing, as well as the comparatively weaker viewing-angle sensitivity of the humidity-sensitive channels.

**Table 2.** Grouped mean changes in retrieval RMSE under different attitude-angle ranges.

Attitude angle range	N	Mean $\Delta$ RMSE(After-Before,T,K)	Mean $\Delta$ RMSE(After-Before,RH,%)
$\leq 1.5^\circ$	4	-0.14	0.18
1.5–2.5°	22	-0.04	0.06
$> 2.5^\circ$	12	0.13	0.07

A grouped statistical analysis based on the magnitude of the attitude angle is presented in Table 2. The results do not exhibit a strictly monotonic relationship between attitude magnitude and retrieval improvement. Across the three subsets, the mean changes in RMSE remain small for both temperature and relative humidity, indicating that the impact of attitude correction under the present observational conditions is limited and case-dependent.

535 It should be noted that the slightly positive mean  $\Delta$ RMSE in the  $>2.5^\circ$  subset does not necessarily imply a degradation of retrieval performance due to attitude correction. Instead, this result likely reflects the combined influence of multiple uncertainty sources in a limited-sample marine dataset. In practice, larger attitude angles are often associated with stronger platform motion and increased short-term atmospheric variability. As a result, the geometric improvement introduced by attitude correction may be partially offset by other sources of error, including instrument noise, temporal–spatial mismatch, and environmental variability.

540 Given that the magnitude of the mean  $\Delta$ RMSE in this subset remains small, this result should be interpreted with caution and should not be generalized beyond the present dataset. Overall, these findings suggest that while the average impact of attitude correction is modest under weak-motion conditions, it remains physically necessary and is expected to become more important under conditions with larger viewing-angle deviations.

545 For a representative case with relatively large attitude variation, the quantitative comparison before and after attitude correction is summarized in Table 3. The temperature RMSE decreases from 3.69 K to 2.99 K, while the relative humidity RMSE decreases from 18.95% to 18.57%. These results indicate that incorporating attitude information improves the physical consistency of the forward model and becomes increasingly important under conditions with stronger platform motion.

**Table 3.** Retrieval performance before and after attitude correction for a representative case.

Case time	Angle ( $^\circ$ )	Temperature RMSE (K)			Relative humidity RMSE (%)		
		Before	After	Reduction	Before	After	Reduction
2023-09-22	2.91	3.69	2.99	0.70	18.95	18.57	0.38
20:00							

550 Nevertheless, sensitivity analysis indicates that variations in viewing angle can introduce systematic brightness temperature deviations across multiple channels, particularly in specific K-band and V-band frequencies (Fig. 8). This indicates that attitude-induced geometric effects can propagate into the retrieval process through the forward radiative transfer model. Therefore, even though the average improvement is limited for the present dataset, explicit attitude correction remains physically necessary for buoy-based microwave radiometer retrievals and is expected to become increasingly important under conditions with stronger platform motion and larger viewing-angle deviations.

555 Figure 8 was generated from a theoretical sensitivity experiment in which a standard atmospheric profile from ITU-R P.835 was combined with ITU-R P.676 gaseous absorption calculations to simulate channel brightness temperatures at different viewing angles;  $\Delta$ TB was defined relative to the  $0^\circ$  nadir-view case.

**批注 [清清17]:** Thank you for the reviewer's careful comments. We agree that the previous version of Fig. 7 was not presented clearly enough and that the bias curves were not sufficiently consistent with the corresponding mean-profile panels, which could lead to misunderstanding. After re-checking the plotting workflow, we found that the original figure design was inappropriate. In the revised manuscript, Fig. 7 has therefore been re-plotted using a unified calculation and plotting procedure, so that the bias profiles are now directly derived from the same mean retrieved and mean radiosonde profiles shown in panels (a) and (b). At the same time, the zoomed subplots were removed to avoid redundant or potentially confusing visual information. (RC1,Comment1;RC2,Comment 2)

**批注 [清清18]:** Thank you for this important comment. We agree that the grouped result for the  $>2.5^\circ$  subset required further explanation. In the revised manuscript, we explicitly added the sample numbers of the three attitude-angle groups and clarified that the slightly positive mean  $\Delta$ RMSE in the  $>2.5^\circ$  subset does not necessarily imply that attitude correction becomes ineffective. Rather, under limited-sample marine observations, the grouped mean likely reflects the combined net effect of multiple uncertainties. We therefore added a more cautious interpretation here and emphasized that this result should not be generalized beyond the present dataset. (RC1, Comment 7)

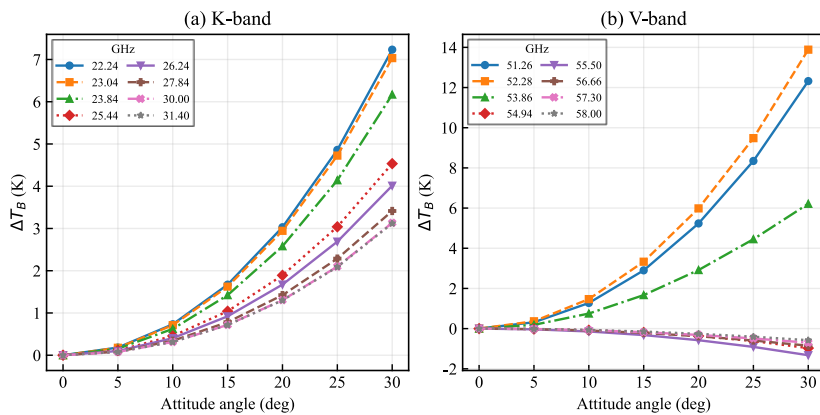
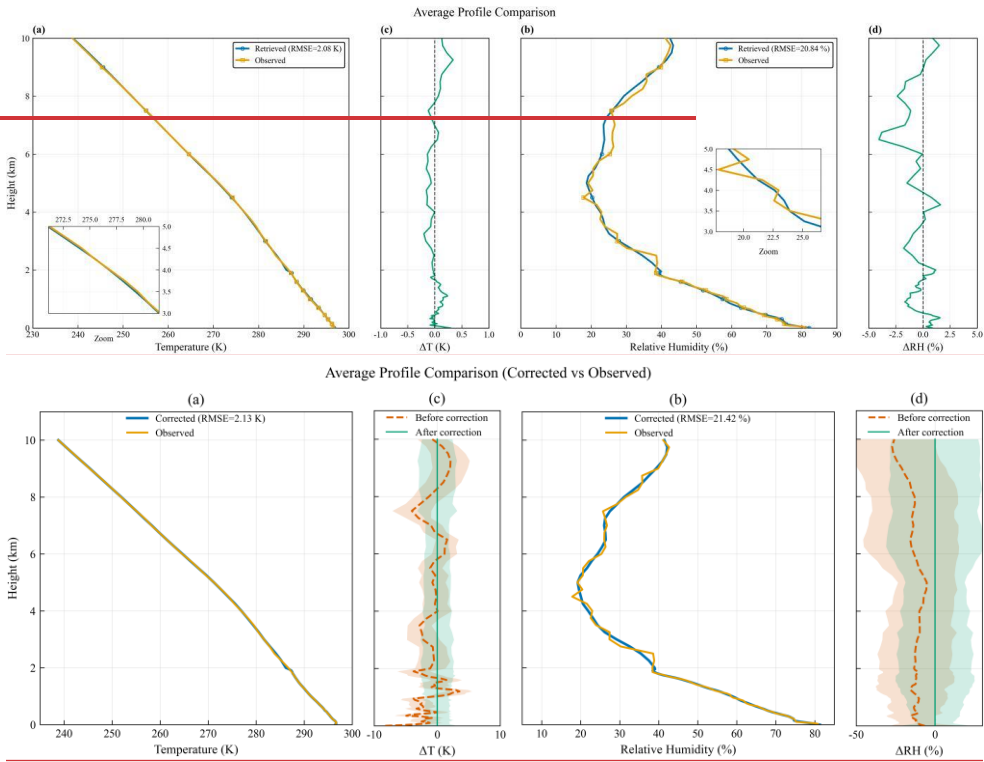


Figure 8: Sensitivity of brightness temperature ( $\Delta T_B$ ) to viewing angle for selected K-band (a) and V-band (b) channels. A reference atmospheric profile was generated using the ITU-R P.835 standard atmosphere, and channel brightness temperatures were simulated under different viewing angles using gaseous absorption from the ITU-R P.676 model. Here,  $\Delta T_B$  denotes the difference between the brightness temperature at a given viewing angle and the nadir-view reference ( $0^\circ$ ). Each curve corresponds to a specific frequency channel, as indicated in the legends. The results show a nonlinear increase in  $\Delta T_B$  with viewing angle for several channels, indicating that attitude-induced changes in observation geometry can introduce systematic brightness-temperature deviations and thereby affect retrieval accuracy.

To more clearly illustrate the effect of the systematic bias correction, Fig. 9 presents the average-profile comparison based on the 38 valid collocated cases on the common vertical grid. Panel (a) compares the mean corrected temperature profile with the mean observed temperature profile, while panel (c) shows the corresponding mean temperature bias profiles before and after correction. Similarly, panel (b) compares the mean corrected and mean observed relative humidity profiles, and panel (d) shows the corresponding mean relative humidity bias profiles before and after correction. In panels (c) and (d), the shaded regions represent  $\pm 1$  standard deviation of the profile errors across the 38 matched cases.



**Figure 9:** Average-profile comparison between the LOOCV-corrected retrievals and the collocated radiosonde observations over 38 valid matched cases on the common vertical grid. Panels (a) and (b) show the mean corrected and mean observed temperature and relative humidity profiles, respectively. Panels (c) and (d) show the corresponding mean bias profiles before and after correction. In panels (c) and (d), the shaded regions denote  $\pm 1$  standard deviation of the profile errors across the 38 matched cases.

Comparison of retrieval results after applying the systematic error correction model. Panels (a) and (b) display the corrected temperature and relative humidity profiles, respectively. The blue solid lines represent the MWR retrieval results corrected by subtracting the systematic error profiles  $ET(h)$  and  $ER(h)$  as defined in Eqs. (6) and (7). The orange-yellow solid lines represent the reference radiosonde observations. Panels (c) and (d) display the residual error profiles after correction. Based on the 38 valid matchups, the Root Mean Square Error (RMSE) for the corrected profiles is reduced to 2.08 K for temperature and 20.95% for humidity across the 0–10 km vertical range. The corrected profiles shown in this figure are based on the independent testing subset and are not used in the construction of the bias correction model.

As shown in Fig. 9, the corrected mean retrieval profiles are generally consistent with the corresponding mean radiosonde profiles for both temperature and relative humidity. The bias-profile comparison further shows that the mean systematic deviations are reduced after correction, and that the post-correction bias is centred closer to zero through much of the 0–10 km

layer. In addition, the shaded regions become narrower in many layers after correction, suggesting a reduction in profile-to-profile variability of the retrieval errors.

Figure 9 presents the comparison between the NSGA-II retrieved profiles (after systematic error correction) and the radiosonde observations. Compared to the pre-correction results, the retrieval accuracy has significantly improved. To further quantify the correction effectiveness and the overall retrieval performance, a detailed statistical summary of the 38 LOOCV-based held-out validation cases is provided in Table 4.

**Table 4.** Statistical summary of retrieval performance after LOOCV-based systematic bias correction for temperature and humidity profiles across different altitude layers, based on 38 matched validation cases.

Variable	Altitude Layer	RMSE	Correlation ( $R$ )
Temperature (K)	0-2 km	1.99214	0.9683
	2-10 km	2.2034	0.99
	<b>Overall (0-10 km)</b>	<b>2.1308</b>	<b>0.99</b>
Relative Humidity (%)	0-2 km	18.2179	0.6257
	2-10 km	245.5265	0.27
	<b>Overall (0-10 km)</b>	<b>210.4295</b>	<b>0.696</b>

Temperature retrieval performance: As summarized in Table 4, the corrected temperature retrieval shows improved agreement with the radiosonde observations, with an overall RMSE of 2.13 K and a correlation coefficient of 0.99 over the 0–10 km layer. The correction effect is evident in both the lower troposphere and the middle-to-upper troposphere, although the magnitude of improvement is not entirely uniform with height. This result indicates that the retrieval contains a relatively stable height-dependent bias component that can be effectively mitigated through the present correction scheme. Nevertheless, after removal of the dominant systematic bias component, the residual temperature error is still influenced by case-dependent variability and observational uncertainty.

Temperature Retrieval Performance: As shown in Fig. 9(a) and Table 4, the corrected temperature retrieval demonstrates good retrieval accuracy, with an overall correlation coefficient ( $R$ ) of 0.99 and an RMSE of 2.08 K across the full vertical profile. The bias correction mainly removes the systematic (mean) component of the retrieval error, while random discrepancies caused by instrument noise and instantaneous atmospheric variability remain. The residual error profile in Fig. 9(c) confirms that the systematic bias has been largely eliminated (Overall MBE = 0.22 K). It is worth noting that while the correlation coefficient for the 0–2 km layer ( $R=0.83$ ) is slightly lower than that of the 2–10 km layer ( $R=0.99$ ), the RMSE indicates superior absolute accuracy in the near-surface layer (2.14 K vs. 2.34 K). The exceptionally high correlation in the 2–10 km range is largely driven by the significant temperature lapse rate across the troposphere, which dominates the statistical calculation. In contrast, the 0–2 km layer exhibits more complex thermal structures, which are inherently smoothed by the radiometric retrieval, leading to a slightly lower correlation despite the higher measurement precision.

615 Relative humidity retrieval performance: For relative humidity, the overall RMSE after correction is 21.42 %, with a correlation coefficient of 0.69 over the 0–10 km layer, as shown in Table 4. Compared with temperature, the improvement in humidity retrieval is more limited and less vertically uniform. This behaviour is consistent with the stronger case-to-case variability of water-vapour structure, the reduced sensitivity of humidity-sensitive channels in the middle and upper troposphere, and the additional influence of spatial mismatch between the buoy and radiosonde observations. Therefore, although the systematic bias correction reduces the mean humidity error, the residual uncertainty in humidity retrieval remains comparatively larger than that in temperature retrieval.

620 Humidity Retrieval Performance: For relative humidity (Fig. 9(b)), the overall RMSE is 20.95% with a correlation of 0.66. As illustrated in Fig. 9(d) and quantified in Table 4, the systematic bias has been effectively minimized (Overall MBE = -1.12%). However, the correlation coefficients for humidity are lower compared to temperature (0.57 for 0–2 km and 0.27 for 2–10 km). This is attributed to three primary factors: (1) Vertical Resolution: Radiosondes capture high-frequency variations and sharp humidity gradients (e.g., cloud layers), whereas microwave radiometer retrievals are inherently smooth; (2) Spatial Mismatch: The high spatial variability of water vapor, combined with the 2.5 km separation between the buoy and the radiosonde station, introduces unavoidable discrepancies; and (3) Sensitivity Decay: In the middle and upper troposphere (2–10 km), the water vapor content is low, and the sensitivity of the K-band channels decreases, making the retrieval more susceptible to noise.

625 The layered statistics further show that the corrected temperature retrieval remains more accurate in the 0–2 km layer than in the 2–10 km layer in terms of absolute RMSE, although both layers benefit from the reduction of systematic bias. For relative humidity, the retrieval uncertainty remains larger in the 2–10 km layer than in the 0–2 km layer, reflecting the decrease of water-vapour content and retrieval sensitivity with height.

630 Despite these physical limitations, the RMSE values still indicate that the proposed method can provide operationally useful humidity information under the present nearshore observational conditions. Consistent with previous studies using ground-based microwave radiometers, retrieval RMSE values on the order of 1–2 K for temperature and 10–30% for relative humidity are generally regarded as operationally useful (Massaro et al., 2015; Yan et al., 2020; Cimini et al., 2011).

635 This level of performance is broadly consistent with the error ranges reported in previous studies using ground-based microwave radiometers under comparable profiling conditions (e.g., Massaro et al., 2015; Yan et al., 2020; Cimini et al., 2011). It should be noted, however, that the 38 matched radiosonde profiles used in this study were collected during a limited nearshore campaign period in Jiaozhou Bay. Therefore, the dataset does not provide seasonal or broad regional coverage and should not be interpreted as a comprehensive sampling of all marine atmospheric states. Although all matched cases were obtained within one month, they are not strictly identical repetitions, because they include both daytime and nighttime launches and still exhibit case-to-case variability in near-surface thermodynamic conditions as well as lower-tropospheric temperature and humidity stratification.

640 In summary, the sea-trial results support the effectiveness and feasibility of the proposed method under the present nearshore observational conditions. By constructing a small-scale prior experience database and integrating platform attitude information, the NSGA-II-based retrieval framework reduces the dependence on large historical training datasets. Under the LOOCV-based

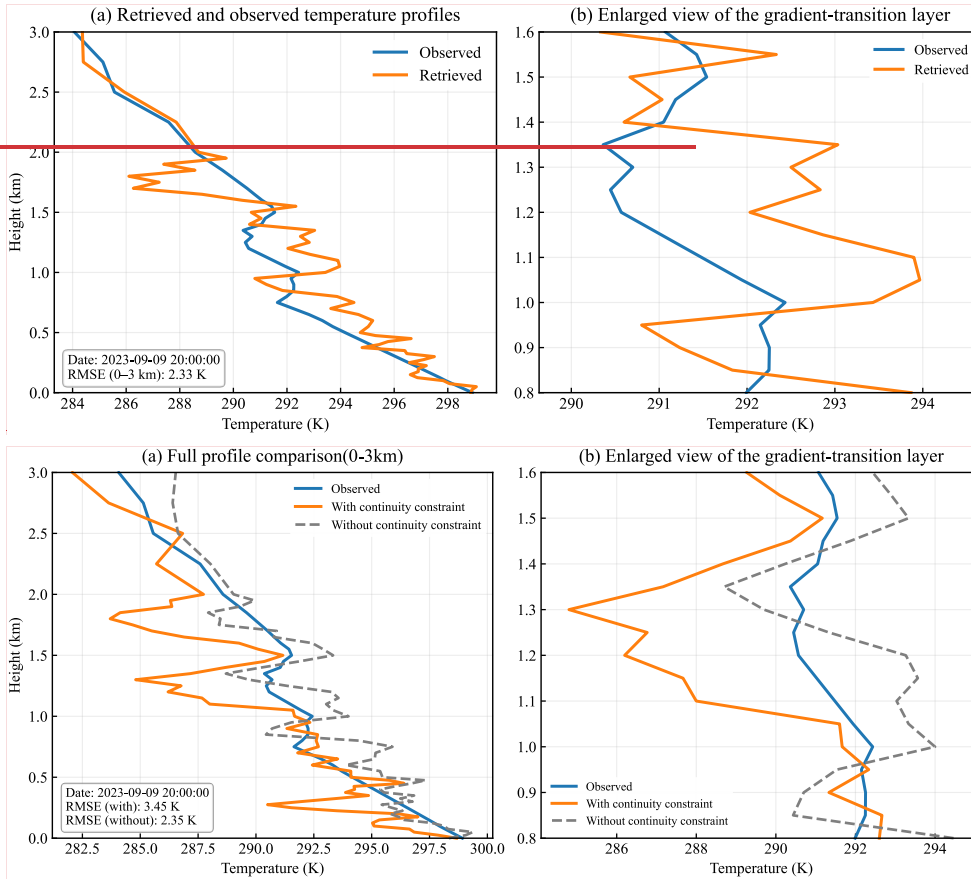
correction framework, the retrieval results after systematic bias correction should be interpreted primarily as evidence of retrieval feasibility and of the usefulness of height-dependent bias mitigation under the tested buoy-based nearshore conditions, rather than as a generalized validation across all marine environments.

650 It should be noted, however, that the 38 matched radiosonde profiles used in this study were collected during a limited nearshore campaign period in Jiaozhou Bay. Therefore, the dataset does not provide seasonal or broad regional coverage and should not be interpreted as a comprehensive sampling of all marine atmospheric states. Although all matched radiosonde cases were obtained within one month, they are not strictly identical repetitions, because the cases include both daytime and nighttime launches and still exhibit case-to-case variability in near-surface thermodynamic conditions as well as lower-tropospheric temperature and humidity stratification and gradient-transition structures.

655 In summary, the sea-trial results support the effectiveness and feasibility of the proposed method under the present nearshore observational conditions. By constructing a small-scale prior experience database and integrating platform attitude information, the NSGA-II-based retrieval framework reduces the dependence on large historical training datasets. It should be noted that historical radiosonde observations are used only to characterize a height-dependent systematic bias profile, whereas the retrieval process itself does not rely on extensive historical training samples. The systematic bias profile is estimated from a training subset, and its correction performance is evaluated using an independent testing subset. Accordingly, the results in 660 Fig. 9 should be interpreted primarily as evidence of retrieval feasibility and systematic error characterization under the tested nearshore buoy-based conditions, rather than as a generalized validation across all marine environments.

665 To more directly illustrate the structural effect of the adjacent-layer continuity constraint on the retrieved temperature profile, Fig. 10 presents a representative case comparing retrievals obtained with and without the continuity constraint, together with the collocated radiosonde profile. It should be emphasized that the purpose of this constraint is not to guarantee a lower RMSE for every individual case, but to suppress unrealistic layer-to-layer variability and to maintain basic profile continuity under limited observational constraint. To further clarify the practical effect of the imposed bounds and adjacent-layer continuity constraints on the retrieved profile structure, a representative temperature profile case is shown in Fig. 10.

**批注【清清19】:** Thank you for the reviewers' valuable suggestions. Following RC2's recommendation and RC1's concern about the interpretation of Fig. 9, we replaced the previous single 80%/20% train-test split with a leave-one-out cross-validation (LOOCV) framework. Correspondingly, the description of the systematic-bias-correction procedure, Fig. 9, Table 4, and the related discussion were all revised. In the current version, each corrected profile is obtained as a held-out prediction in its corresponding LOOCV round, and the final corrected results are formed by aggregating all LOOCV-based held-out cases. This revision is intended to make the evaluation more robust and the statistical meaning of Fig. 9 clearer and more interpretable. (RC1, Comment 8; RC2, Comment 2)



670 **Figure 10: Representative case illustrating the effect of the imposed bounds and adjacent-layer continuity constraints on the**  
**retrieved temperature profile. The blue line denotes the collocated radiosonde profile, and the orange line denotes the retrieved**  
**profile. Panel (a) shows the full temperature profile from 0 to 3 km, while panel (b) presents an enlarged view of a lower-tropospheric**  
**layer. The enlarged view shows that local gradient-transition structures are still retained in the retrieved profile, indicating that the**  
**imposed constraints act primarily as continuity controls rather than enforcing a strict monotonic decrease with height. Figure 10:**  
**Representative case comparing retrieved temperature profiles with and without the adjacent-layer continuity constraint. The blue**  
**line denotes the collocated radiosonde profile, the orange line denotes the retrieved profile with the continuity constraint, and the**  
**grey dashed line denotes the retrieved profile without the continuity constraint. Panel (a) shows the full temperature profile from 0**  
**to 3 km, while panel (b) presents an enlarged view of the lower-tropospheric gradient-transition layer. The comparison indicates**  
**that the continuity constraint mainly affects the structural smoothness and layer-to-layer variability of the retrieved profile, although**  
**it does not necessarily reduce the RMSE for every individual case.**

For the representative case shown in Fig. 10, the unconstrained solution yields a lower RMSE with respect to the collocated radiosonde profile, whereas the constrained solution is more strongly regularized in profile structure. In particular, the unconstrained retrieval exhibits larger layer-to-layer fluctuations, while the constrained retrieval shows improved continuity in the vertical structure. This behaviour reflects the trade-off between local profile fitting and structural continuity in the present retrieval framework. Therefore, Fig. 10 should be interpreted primarily as an illustration of the structural role of the continuity constraint, rather than as evidence of guaranteed case-wise accuracy improvement.

Under limited observational constraints, a less regularized solution may fit the collocated radiosonde profile more closely in an individual case, but such a profile may also contain stronger small-scale oscillations and weaker physical smoothness.

To further evaluate the performance of the proposed method, a quantitative comparison with the built-in retrieval algorithm of the microwave radiometer is conducted over the 0–10 km altitude range.

All methods are evaluated on the same matched samples and interpolated onto a common vertical grid to ensure consistency. The comparison results are summarized in Table 5.

**Table 5.** Comparison of retrieval performance between the proposed method and the built-in radiometer algorithm (0–10 km).

Method	Temperature RMSE (K)	Relative Humidity RMSE (%)
Proposed Method (Before Correction)	4.11	24.09
Proposed Method (After Correction)	2.1308	210.4295
Built-in Radiometer Algorithm	4.13	29.50

For temperature retrieval, the built-in algorithm yields an RMSE of 4.13 K. The proposed method shows a comparable performance before correction (4.11 K), indicating that the baseline inversion framework is consistent with the operational product. After applying the proposed system error correction, the temperature RMSE is significantly reduced to 2.1308 K, demonstrating a clear improvement. For relative humidity, the built-in algorithm exhibits an RMSE of 29.50%, while the proposed method achieves lower errors both before (24.09%) and after correction (21.4295%). This indicates that the proposed method provides a more accurate representation of humidity profiles throughout the troposphere.

Overall, the results indicate that the proposed method maintains a comparable baseline performance to the built-in algorithm and achieves improved retrieval accuracy after correction for the present matched dataset.

## 5 Discussion

The sea-trial results obtained in Jiaozhou Bay support the effectiveness and feasibility of the proposed buoy-based retrieval framework under the present nearshore observational conditions. For temperature, the retrieval contains a relatively stable height-dependent bias component that can be effectively reduced through the present LOOCV-based systematic bias correction. For relative humidity, the improvement is more limited and less vertically uniform, indicating that the residual error is still strongly influenced by case-dependent variability, reduced channel sensitivity aloft, and spatial mismatch between the buoy and the radiosonde station.

批注 [清清20]: Thank you for this helpful suggestion. We agree that the previous version of Fig. 10 was not sufficiently informative for illustrating the actual effect of the constraint. In the revised manuscript, we therefore replaced it with a direct comparison of retrievals obtained with and without the continuity constraint, together with the collocated radiosonde profile. We also revised the corresponding text and caption to clarify that the main role of this constraint is to improve structural continuity and suppress unrealistic layer-to-layer variability, rather than to guarantee a lower RMSE for every individual case. (RC1, Comment 9)

批注 [清清21]: Thank you for the reviewer's suggestion. In line with the more cautious wording adopted in the revised Discussion and Conclusion, we have adjusted this expression in the Introduction by replacing "high-precision" with the more moderate term "accurate," so that the tone remains consistent throughout the manuscript. (RC1)

710 The present results should be interpreted within the limits of the current dataset. The 38 matched radiosonde profiles were collected during a limited nearshore campaign period in Jiaozhou Bay and therefore do not provide seasonal or broad regional coverage. Although the matched cases include both daytime and nighttime launches, they still represent a relatively narrow observational sample and should not be interpreted as a comprehensive validation across all marine atmospheric conditions. A further limitation is that the present retrieval framework is mainly validated under non-precipitating and relatively weak-  
715 cloud-liquid-water conditions. In the current study, liquid water path (LWP) is used only as an auxiliary diagnostic indicator for possible cloud contamination and residual retrieval uncertainty, rather than as an inversion variable. Therefore, the present results are more appropriately interpreted as demonstrating retrieval feasibility under the tested nearshore conditions, rather than as establishing the same level of accuracy for broader offshore or open-ocean applications under all weather conditions. In addition, the current framework includes level-dependent physical bounds and adjacent-layer continuity constraints, which  
720 act mainly to suppress unrealistic layer-to-layer oscillations and to maintain basic profile continuity. The representative case comparison in Fig. 10 further shows that these constraints primarily affect the structural smoothness of the retrieved profile, rather than guaranteeing lower RMSE for every individual case. This reflects the trade-off between local profile fitting and structural continuity in the present inversion framework. Future work should focus on three aspects. First, broader validation is needed using marine observational datasets with greater  
725 temporal span and environmental diversity, especially under more complex offshore and open-ocean conditions. Second, the current retrieval framework may be improved by introducing more comprehensive physical constraints and by further optimizing the NSGA-II parameter settings. Third, multi-source data fusion, such as the combination of buoy-based microwave radiometry with satellite microwave observations, GNSS remote sensing, or other marine observing systems, may help alleviate data scarcity and improve the robustness and generalizability of marine atmospheric-profile retrieval. This study  
730 addresses the issues of sparse marine data and the reliance of traditional retrieval methods on large amounts of historical data. A method to retrieve tropospheric temperature and humidity profiles using a ground-based microwave radiometer is proposed and preliminarily validated. The method is based on a multi-objective genetic algorithm (NSGA-II). It has been successfully deployed on a buoy platform, thereby expanding the marine application scenarios of ground-based microwave radiometers. The core contribution of this study is the construction of a small-scale joint prior experience database for temperature and  
735 relative humidity. This effectively overcomes the excessive reliance of traditional methods on large amounts of historical training data. Additionally, the robustness and accuracy of retrieval results in dynamic marine environments have been enhanced. This was done by integrating a pressure-altitude model and a buoy attitude compensation mechanism. The sea trial results obtained in Jiaozhou Bay demonstrate the effectiveness and feasibility of the proposed method under the present campaign conditions. The statistical analysis of the 38 validation cases yields overall RMSE values of 2.08 K for  
740 temperature and 20.95% for relative humidity, indicating that the NSGA-II-based framework can provide practically useful retrieval performance for nearshore marine atmospheric profiling under data-sparse conditions. The average-profile comparison further shows that the retrieved profiles generally agree with radiosonde observations, especially near the surface and in the upper troposphere. However, some fluctuations and deviations remain in the middle troposphere (approximately 2-

**批注【清清22】:** Thank you for the reviewer's valuable comment. We agree that the applicability boundary of the present retrieval framework under cloud-liquid-water conditions needed to be stated more explicitly. In the revised manuscript, we clarified here that the current forward model mainly focuses on gaseous absorption under the present non-precipitating conditions, while liquid-water effects are not explicitly retrieved. This revision is intended to make clear that LWP is used only as an auxiliary diagnostic indicator rather than as an inversion variable, and that the present validation results should be interpreted cautiously when considering broader offshore or open-ocean applications. (RC2, Comment 1)

8 km). These are closely related to the reduced sensitivity of the microwave weighting functions in their trough region, where the available vertical information becomes more limited. For water vapour in particular, rapid vertical variations and layered structures may exceed the constraints provided by the limited prior experience database, leading to larger retrieval fluctuations in this altitude range.

At the same time, it should be emphasized that the present sea-trial results primarily demonstrate the feasibility of the proposed framework under nearshore Jiaozhou Bay conditions, rather than a generalized validation under all marine environments.

Because only 38 valid matched profiles were obtained during a short campaign period, and because the dataset lacks broader temporal and environmental coverage, the reported retrieval accuracy should not be directly extrapolated to larger offshore areas, extreme weather conditions, or open-ocean environments. Extension of the present framework to broader offshore and open-ocean applications will require further validation using more diverse marine datasets with larger temporal and environmental variability.

A further limitation of the present study is that a dedicated comparison experiment between stationary land-based deployment and buoy-based deployment was not included. Therefore, the current work should not be interpreted as a complete platform-dependent uncertainty characterization of the instrument. Future work will focus on two directions: expanding offshore observational coverage and developing multi-source data-fusion strategies. First, by increasing the number of buoy deployments, a broader marine observation network may be established for more extensive monitoring of atmospheric parameters. Second, buoy observations may be combined with satellite microwave and GNSS remote-sensing data to alleviate data scarcity and provide richer information for marine atmospheric analysis and forecasting. In addition, transfer learning methods may help improve the generalizability of the retrieval framework.

These mid-tropospheric fluctuations are more appropriately interpreted as manifestations of limited information content in the weighting function trough region, combined with the present regularization setting, rather than as a typical or unavoidable characteristic of microwave radiometer retrievals in general. Nevertheless, the proposed method still demonstrates significant application potential under the tested conditions.

In terms of algorithm optimization, the atmospheric prior experience database and multi-objective optimization strategy (NSGA-II) provide preliminary and effective constraints, but there is still room for further improvement. The current retrieval framework includes boundary constraints and adjacent-layer continuity constraints. Future research may explore the introduction of more comprehensive physical constraints to further reduce retrieval uncertainty and improve accuracy. In addition, the settings of evolutionary parameters in NSGA-II have a critical impact on convergence speed and global optimization capability. Subsequent work may employ more systematic simulation-based optimization strategies to fine-tune these parameters, with the aim of achieving higher retrieval accuracy and computational efficiency.

## 6 Conclusion

This study proposed a buoy-based retrieval framework for marine atmospheric temperature and humidity profiles using a ground-based microwave radiometer, attitude correction, a small-sample prior database, and NSGA-II optimization. The results from the Jiaozhou Bay field campaign show that the proposed method can achieve good retrieval accuracy under the present nearshore observational conditions.

The analysis indicates that the retrieval contains a stable height-dependent bias component, particularly for temperature, which can be reduced through the present LOOCV-based systematic bias correction. After correction, the overall retrieval performance over the 0–10 km layer reaches an RMSE of 2.13 K for temperature and 21.42 % for relative humidity for the 38 matched cases.

Overall, the present study demonstrates the feasibility of buoy-based continuous remote retrieval of marine atmospheric profiles under sparse-data and dynamically varying observational conditions. However, the current results should be interpreted within the limits of the tested nearshore dataset and should not be generalized directly to broader offshore or open-ocean environments without further validation. This study addresses challenges posed by data sparsity and platform attitude in traditional marine atmospheric detection. An innovative method is proposed to retrieve tropospheric atmospheric parameter profiles. The core work and main conclusions are summarized as follows:

(1) A retrieval model independent of historical data was established: A small-scale joint prior experience database for temperature and relative humidity was innovatively constructed. This was based on limited historical sounding data and a pressure-height model. It provides reasonable boundary constraints for subsequent retrieval algorithms. This mechanism effectively overcomes the reliance of traditional methods on massive historical data. It offers a solution for marine environments where data acquisition is challenging.

(2) Development and validation of a retrieval model based on a multi-objective genetic algorithm: A convective tropospheric temperature and humidity profile co-retrieval method was proposed. This method is based on a multi-objective genetic algorithm (NSGA-II). It integrates the microwave radiometer observed brightness temperature with physical constraints into a multi-objective optimization problem. It also significantly improves retrieval efficiency through parallel computation optimization. Field sea trial results demonstrate that this method can achieve high-precision temperature and humidity profile retrieval. The RMSE values are 2.08 K for temperature and 20.95 % for relative humidity. This provides an effective solution for data-scarce marine environments.

(3) Integrated application of microwave radiometers on buoy platforms was achieved: Ground-based microwave radiometers were successfully integrated with buoy platforms. Changes in zenith angle caused by buoy sway were compensated for using attitude sensors. This effectively improved the accuracy of marine observations. This integrated innovative method provides a new technical approach for meteorological detection in mesoscale marine regions. This is particularly useful in marine areas with sparse traditional sounding stations. It enables real-time, continuous atmospheric monitoring.

Overall, the method proposed in this study provides new insights into addressing the challenges of tropospheric-atmospheric parameter detection in marine environments. Future research will continue to focus on three areas: the refinement and optimization of algorithms, multi-source data fusion, and the expansion of observation networks. The aim is to further enhance the model's universality and application value.

**批注 [清清23]:** Thank you for this helpful suggestion. We agree that the previous version contained some overlap between the Discussion and Conclusion sections, and that the wording in the Conclusion was not fully consistent with the more cautious interpretation adopted elsewhere in the manuscript. In the revised manuscript, we therefore compressed and coordinated these two sections: the Discussion now focuses mainly on result interpretation, limitations, and future work, whereas the Conclusion has been shortened to a concise summary of the main findings. At the same time, stronger expressions such as "high-precision retrieval" were revised to more moderate wording so that the overall tone is better aligned with the revised manuscript. (RC1 Comment 2)

## 810 Author contribution

Zhiqian Li was responsible for conceptualization, methodology, software (model code development), data curation, and validation. He also wrote the original draft with support from all co-authors. Fuqing Liu contributed to the investigation (experimental execution) and data curation, and participated in the review and editing of the manuscript. She also performed a critical review and editing of the manuscript. Shuo Jiang, Zhongling Zhou, Zhijin Qiu, Jing Zou, Tong Hu, and Ke Qi  
815 contributed to the investigation (field experiments) and provided essential resources and technical support. Bo Wang was responsible for project management, fundraising, reviewing and editing. Bin Wang was also responsible for project management, reviewing and editing.

## Competing interests

The authors declare that they have no conflict of interest. Disclaimer

## 820 Acknowledgements

The authors thank the University of Wyoming for providing the radiosonde data.

## Code and data availability

~~The source code, sea-trial observational dataset, and processing scripts used in this study are publicly available from related to this paper have been uploaded to Zenodo(Li et al., 2026). under a CC-BY-4.0 license (https://doi.org/10.5281/zenodo.17389912).~~The runtime environment is Python 3.9. Historical radiosonde data from Qingdao  
825 station(ID 54857)were obtained from the University of Wyoming upper-air database and are publicly accessible (University of Wyoming,2026)public database (Qingdao station, ID 54857) and are freely available at <http://weather.uwyo.edu/upperair/bufr/aob.shtml>.

**批注 [清清24]:** Thank you for the editorial reminder. In the revised manuscript, we simplified the "Code and data availability" statement to better comply with the journal's formatting requirements. The section now provides only the essential repository/source information and DOI/link, without using full in-text citation style.

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835 Development and Application Demonstration of a Shipborne Lidar-Based Marine Atmospheric Duct Detection System (Grant  
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840 **Review statement**

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**批注 [清清25]:** This line is a template note from the journal, indicating that the review statement will be included by Copernicus after acceptance. It is not content to be written by the author, so it has been removed in the revised manuscript.

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**批注 [清清26]:** During our final formatting check, we found that the reference list in the previous version had been arranged according to the order of appearance in the text. In the revised manuscript, we have corrected this and rearranged the references in alphabetical order by the first author's surname, in accordance with the journal's formatting requirements.