

# Exploring the processes of liquid water path sensitivity to aerosol-cloud interactions using output from a high-resolution large-eddy simulation

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**Abstract.** Diagnostics from high-resolution Large-Eddy Simulations (LES) are used to investigate aerosol impacts on the liquid water path (LWP) sensitivity in a non-precipitating, single-layer liquid cloud regime. In two LES simulations, the 2013 conditions represent a low aerosol scenario, while the 1985 conditions represent a high aerosol scenario. Joint histograms of cloud droplet number concentration ( $N_d$ ) and LWP reveal a non-linear relationship, with positive LWP sensitivity (increasing LWP with  $N_d$ ) at low  $N_d$  and negative sensitivity at high  $N_d$ . The transition from positive to negative LWP sensitivity occurs at higher  $N_d$  values in the 1985 simulation ( $\approx 300 \text{ cm}^{-3}$ ) compared to the 2013 simulation ( $\approx 100 \text{ cm}^{-3}$ ), indicating that enhanced aerosol loading shifts the transition point. This shift reflects stronger droplet activation and sustained LWP growth under high cloud condensation nuclei (CCN) conditions. Diagnostics of the cloud dilution indicate that negative LWP sensitivity is linked to enhanced cloud-top entrainment. The temporal evolution of the  $N_d$ -LWP relationship confirms increasing dominance of negative sensitivity in the 2013 case, while the 1985 case exhibits weaker LWP depletion. Additionally, aerosol perturbations also influence thermodynamic properties such as the apparent heating/cooling ( $Q_1$ ) and the moisture sink ( $Q_2$ ). Specifically, during negative LWP sensitivity phases, stronger cloud-top drying (moisture sinks) is simulated, particularly at high  $N_d$  in 2013, consistent with enhanced entrainment/mixing and evaporation-driven cloud dilution. Aerosol perturbations thus modulate both microphysical and thermodynamic processes, producing distinct LWP sensitivity regimes with important implications for understanding aerosol-cloud-climate interactions.

## 1 Introduction

The aerosol cloud interactions (ACI) and the resulting effective radiative forcing remain a large source of uncertainty when assessing anthropogenic climate change (Forster et al., 2021; Quaas et al., 2020b). The uncertainty in ACI stems from the response of the clouds to the aerosol perturbation (Forster et al., 2020). In liquid clouds, cloud droplets form on an aerosol particle, which can serve as cloud condensation nucleus (Charlson et al., 1992). An increase in atmospheric aerosol leads to an increase in the cloud droplet number concentration ( $N_d$ ). Twomey (1974) hypothesised that at a constant liquid water path (LWP), an increased aerosol burden leads to clouds with more numerous small droplets, which increase the cloud albedo. In addition, smaller droplets delay the precipitation formation by reducing the collision-coalescence efficiency and increasing

the cloud lifetime (Albrecht, 1989). The increase in the response in the  $N_d$  also leads to further rapid adjustment of the cloud  
25 properties. It includes the alteration of cloud drop size distribution, changes in the LWP, cloud fraction, and dynamic process  
(Ackerman et al., 2000; Mülmenstädt and Feingold, 2018). Thus, the instantaneous Twomey effect and cloud rapid adjustments  
contribute to the effective radiative forcing due to ACI (Bellouin et al., 2020; Quaas et al., 2024).

The response of cloud water path, the vertical integral of cloud water, to aerosol perturbation is a key component of cloud  
30 adjustments, and yet it is uncertain. This is particularly because of the elusive sign of the LWP adjustment/sensitivity to aerosol  
perturbations and its regime dependency (Fons et al., 2023; Dipu et al., 2022; Glassmeier et al., 2021; Possner et al., 2020;  
Gryspeerdt et al., 2019). A positive LWP adjustment is mainly observed in precipitating clouds, in which an increase in the  
aerosol results in enhanced  $N_d$  and smaller droplets, suppressing the precipitation and allowing for an accumulation of LWP  
(Albrecht, 1989). Thus, the positive LWP adjustment results in thicker and more reflective clouds with a stronger cooling effect.  
35 On the other hand, a negative LWP adjustment is associated with cloud droplet evaporation. Aerosol perturbations increase  $N_d$ ,  
yielding smaller droplets that evaporate more efficiently during mixing and reduce droplet sedimentation, thereby redistributing  
liquid water toward the inversion and potentially strengthening cloud-top long-wave radiative cooling. As radiative cooling  
occurs within a relatively thin layer near the cloud-top, it also promotes the entrainment of warm, dry free-tropospheric air, further  
enhancing evaporation and potentially reducing LWP - a negative LWP adjustment (Williams and Igel, 2021; Wood, 2012;  
40 Bretherton et al., 2007; Ackerman et al., 2004; Wang et al., 2003). Notably, entrainment can reduce LWP even when droplet  
mass decreases approximately homogeneously, not just due to the preferential evaporation of smaller droplets. Both observational  
and modelling studies demonstrate a strong offsetting warming effect from negative LWP adjustment (Gryspeerdt et al.,  
2021; Possner et al., 2020). However, the strength of the net LWP adjustment is modulated by the environmental condition.  
As a net result of the opposing LWP adjustment mechanisms, their net impact on the large-scale integral remains relatively  
45 small or neutral (Zhang et al., 2022). Thus, the bidirectional LWP adjustment/sensitivity, precipitation suppression, and droplet  
evaporations are difficult to disentangle as these processes coexist in the cloud (Fons et al., 2023).

Recent studies have focused on the sensitivity of LWP to  $N_d$  to quantify the impact of aerosols on LWP, (Dipu et al., 2022;  
Gryspeerdt et al., 2019; Bellouin et al., 2020). Using satellite observations, Gryspeerdt et al. (2019) demonstrated that the  
50 LWP- $N_d$  relation is non-linear over the global ocean. The LWP adjustment is also regime-dependent (Glassmeier et al., 2021).  
In marine stratocumulus clouds, the  $N_d$ -LWP relationship is non-linear, and the apparent coupling largely reflects co-variability  
between aerosol loading and meteorological conditions, which fundamentally drives variations in both  $N_d$  and LWP (Goren  
et al., 2024). The LWP adjustment estimated based on satellite observations may be highly uncertain and negatively biased  
(Arola et al., 2022) because of the retrieval errors and also due to correlated errors in the  $N_d$  and LWP retrievals (Quaas et al.,  
55 2020a; Gryspeerdt et al., 2019; Grosvenor et al., 2018). In contrast, modelling studies often reported positive LWP adjustments  
(Quaas et al., 2008; Gryspeerdt et al., 2020). However, high-resolution modelling evidence has also shown negative LWP ad-  
justment, in which the altered  $N_d$  leads to enhancement of entrainment mixing, thereby reducing the LWP (Glassmeier et al.,  
2021; Ackerman et al., 2004). Mülmenstädt et al. (2024b) reported that the latest generation of general circulation models

(GCMs) are able to produce negative LWP adjustment besides positive LWP adjustment through precipitation suppression in response to increased  $N_d$ . However, the earlier-generation GCMs fail to show negative LWP adjustments in response to anthropogenic aerosols.

Previous studies focused on the LWP- $N_d$  relationship to assist in understanding ACI. Observational studies use natural events where the aerosol perturbation is known and compared with unperturbed cloud regimes (Christensen et al., 2022). Such studies suggest an unchanged LWP or negative LWP adjustment (Malavelle et al., 2017; Toll et al., 2019); however, such cases are limited. Modelling studies, specifically high-resolution Large-eddy simulations (LES), are ideal for improving the understanding of the LWP adjustment by varying aerosol concentration while keeping the other boundary conditions constant. However, the LES simulations are computationally expensive, and the simulations are regime-dependent. Most of the previous LES studies suggest that a positive LWP adjustment is associated with precipitating cloud regimes and a negative LWP adjustment is simulated for non-precipitating cloud regimes (Glassmeier et al., 2021; Ackerman et al., 2004; Hill et al., 2009; Lee et al., 2009; Wang et al., 2011). In this study, we investigate LWP sensitivity in a non-precipitating continental cloud regime using high-resolution LES simulations in numerical weather prediction mode, with initial and boundary conditions from a real weather situation and an interactive land surface (Heinze et al., 2017; Costa-Surós et al., 2020). Aerosol–cloud interaction effects are quantified using control and aerosol-perturbed simulations that differ only in the prescribed cloud condensation nuclei (CCN) fields for droplet activation, while the meteorology is kept identical across the simulations. The following methodology section describes the model setup and aerosol perturbation. Using the same LES simulations, Dipu et al. (2022) demonstrated that the  $N_d$ –LWP sensitivity is bidirectional. Using the perturbed aerosol simulation of the same cloud regime, here we investigate the impact of aerosol on bidirectional LWP sensitivity. This allows us to infer the degree to which the LWP- $N_d$  relationship represents a causal influence of  $N_d$  on LWP. We examine the impact of aerosol on positive and negative LWP sensitivity and investigate the microphysical and thermodynamic processes controlling the sign and magnitude of LWP sensitivity.

## 2 Data and Methodology

LES using the ICOSahedral Nonhydrostatic (ICON) model (Dipankar et al., 2015; Zängl et al., 2015) are analysed in this study. The atmospheric model ICON has been configured to a large-eddy simulation framework (Dipankar et al., 2015), and has been evaluated against standard LES models and multiple observations (Heinze et al., 2017). The high resolution, ICON-LES simulation has been performed as part of the High Definition Clouds and Precipitation for advancing Climate Prediction (HD(CP)<sup>2</sup>) project. The simulation ran over a large domain (over Germany) in a weather prediction mode, which uses realistic boundary conditions from the operational COSMOS-DE (Consortium for Small Scale Modelling, Baldauf et al., 2011), with a fully interactive land surface (Costa-Surós et al., 2020). The model is configured with a horizontal resolution of 156 m and 150 vertical levels with a model top at 21 km. A sub-grid scale turbulence scheme based on the classical Smagorinsky scheme is used in the model, which also accounts for thermal stratification (Lilly, 1962). The model uses a two-moment liquid and ice-phase bulk microphysics scheme (Seifert and Beheng, 2006). In the two-moment microphysical scheme the grid-scale cloud droplet

nucleation rate is estimated as a function of CCN concentration, vertical velocity, and supersaturation (Equation 7 of Seifert and Beheng, 2006). Following Kogan and Martin (1994), the two-moment scheme applies the standard saturation adjustment technique to treat condensational growth of cloud droplets. The CCN concentrations in the model are prescribed as a spatially and temporally varying distribution. The control simulation uses CCN concentrations as estimated for 02 May 2013 (Costa-Surós et al., 2020), and for the perturbed simulation, CCN concentrations valid for the year approximately 1985 were selected, in which the pollution level in Europe was at its peak (Smith et al., 2011). The 2013 CCN concentrations are generated from 2013 emissions using a regional coupled model system (Wolke et al., 2004, 2012). The 1985 CCN concentrations are obtained by scaling the 2013 CCN concentrations with species-dependent factors derived from emission ratios following Genz et al. (2020). A detailed description is provided in Costa-Surós et al. (2020). The simulations were performed over Germany for selected dates, of which the date 02 May 2013 is considered in the study based on the evaluation results from Heinze et al. (2017). The 02 May 2013 has been one of the extensive measurement campaigns for the HD(CP)<sup>2</sup> Observational Prototype Experiment (HOPE, Löhnert et al., 2015; Madhavan et al., 2016) and the evaluation results from Heinze et al. (2017) suggest the presence of a wide range of cloud regimes, compared to other HD(CP)<sup>2</sup> simulations. A detailed description of the ICON-LES model and HD(CP)<sup>2</sup> simulations can be obtained from Dipankar et al. (2015), Heinze et al. (2017), and Costa-Surós et al. (2020).

Here, we have used the coarse-gridded data with a resolution of 1.2 km (grid size of  $589 \times 637$ ), a standard reduced-volume product that has been used in previous studies and evaluations (Costa-Surós et al., 2020; Dipu et al., 2022). The actual ICON-LES simulation was performed with 156 m horizontal resolution, though. Our results rely on regime-conditioned, cloud-only statistics that mitigate grey-zone smoothing. While coarse-gridding may influence quantitative values, the qualitative sensitivities remain robust. Five-minute instantaneous model output from 1000 hrs to 2000 hrs is considered for the study. The analysis is restricted to single-layered liquid clouds by excluding the clouds with a cloud-top temperature below 273 K. Cloud-top is defined as the uppermost model level with liquid cloud water present (liquid water content,  $LWC > 1 \times 10^{-8} \text{ kg kg}^{-1}$ ). We additionally constrained  $N_d > 2 \text{ cm}^{-3}$  and restricted the analysis to overcast and optically detectable cloud= (cloud fraction = 1 at 1.2 km and cloud optical thicknesses greater than 2) to minimise cloud-edge contamination. In this study,  $N_d$  is primarily intended as a consistent metric for comparing aerosol perturbations and linking to cloud-top processes (radiative cooling and entrainment) that control LWP adjustments. Therefore, the cloud-top  $N_d$  is not interpreted as a volume-mean value but as an indicator of the droplet population near the region most relevant for the LWP adjustment mechanisms. In the specific ICON-LES, entrainment is not parameterised; it arises from resolved advection and subgrid turbulent mixing. In the selected case, the cloud-top height is at  $\approx 1700\text{--}2000$  m, with an effective vertical resolution of  $\approx 50\text{--}80$  m. Because of the high model resolution and high frequency of model output, the  $N_d$  is divided into logarithmic bin sizes of 1000 numbers. The corresponding bin mean cloud microphysical properties are used for the analysis. Additionally, to quantify the grid scale impact of aerosol, cloud properties at the same grid points for both simulations are considered, assuming that the initialization of the cloud fields leads to approximately in the same location in both simulations.

The apparent heating ( $Q_1$ ) and the moisture sink ( $Q_2$ ) at the cloud-top are calculated by following Yanai et al. (1973).  $Q_1$  represents the apparent heating/cooling of the atmospheric layer due to various processes such as radiation, condensation, and convection. The corresponding equation is given by,

$$130 \quad Q_1 = c_p \frac{\partial T}{\partial t} - c_p(\omega \sigma - V \cdot \nabla T) \quad (1)$$

where,  $\sigma = \left( \frac{RT}{c_p P} \right) - \left( \frac{\partial T}{\partial p} \right)$ , the static stability,  $p$  is the pressure,  $V$  is the horizontal velocity vector,  $\nabla$  horizontal gradient operator,  $c_p$  is the specific heat of dry air at constant pressure,  $T$  is the temperature,  $\omega$  is the vertical  $p$  velocity, and  $t$  is the time. Additionally,  $Q_2$  represents the drying/moistening due to condensation or evaporation and moisture flux convergence, and it is represented as,

$$135 \quad Q_2 = -L \frac{\partial q}{\partial t} - LV \cdot \nabla q - L\omega \frac{\partial q}{\partial p} \quad (2)$$

where  $q$  is the specific humidity and  $L$  is the latent heat of condensation. The adiabatic fraction ( $f_{ad}$ ) is a measure of cloud dilution, which is primarily due to entrainment, turbulent mixing and evaporation, and is defined as the ratio of LWP to adiabatic LWP ( $LWP_{ad}$ ), and is expressed as

$$f_{ad} = \frac{LWP}{LWP_{ad}} \quad (3)$$

140 Where, LWP is the liquid water path and  $LWP_{ad}$  is the adiabatic liquid water path (see Appendix A). The joint histograms analysed in this study are constructed as conditional probabilities (CP [%]) following Gryspeerd et al. (2016) and are defined as the probability of finding a certain LWP given that a certain  $N_d$  has been observed (CP = [P (LWP |  $N_d$ )  $\times$  100% ] ). For joint histogram analysis, the variables are binned with a bin size (number of bins) of 1000. In the following analysis,  $N_d$ -bin mean variables are used, which means  $\overline{\text{variable}}$  at certain  $N_d$  bins (P (variable |  $N_d$ ) ). Because the joint histograms use the  
145 conditional probability, they provide a regime-conditioned, distribution-based statistics that is less sensitive to the exact spatial co-location of individual clouds. In a large LES domain, environmental heterogeneity and aerosol–meteorology co-variability can still influence the apparent  $N_d$ –LWP relationship. However, our experimental design compares a control and an aerosol-perturbed simulation with identical meteorological forcing, differing only in the imposed CCN fields. Consistent with the HD(CP)<sup>2</sup> approach, (Costa-Surós et al., 2020) showed that aerosol signals can be quantified in such large-domain LES using  
150 domain-wide, regime-conditioned statistics rather than pointwise cloud matching. Accordingly, we use regime-conditioned statistics and distribution-based metrics to mitigate meteorological confounding while retaining the aerosol signal/contrast imposed by the CCN perturbation. Even with the identical large-scale forcing, the vertical velocity  $w$  would respond if the aerosol perturbation changes cloud-top cooling/evaporation and precipitation sufficiently. So, in this particular simulation, some dynamical differences (in vertical velocity) are expected in at least parts of the domain/time.

## 155 2.1 Results

The aerosol perturbation in the 1985 simulations results in a significant increase in the number of cloud droplets, as demonstrated by the shift in the  $N_d$  probability density function (PDF) distribution (Fig. 1a) towards higher  $N_d$ . In 2013, the  $N_d$  is

primarily distributed between 2 and  $700 \text{ cm}^{-3}$ . In contrast, the 1985 simulation shows a broader distribution that extends up to  $1000 \text{ cm}^{-3}$ , suggesting greater activation of  $N_d$  due to aerosol perturbation. Furthermore, this perturbation results in a 120% increase in the mean  $N_d$  compared to the 2013 simulation. However, the LWP distribution shows relatively small shifts towards higher LWP in the 1985 simulation compared to 2013 (Fig. 1b). The relatively small shift in 1985 indicates that aerosol perturbation has less impact on the LWP, contributing only to a 5% increase in the mean LWP when compared to the 2013 simulation. The contrasting response of the  $N_d$  and LWP to the aerosol perturbation suggests that higher droplet activation alone does not directly translate into proportional increases in bulk water content, highlighting the importance of compensating microphysical and dynamical processes (e.g., entrainment/mixing and cloud dilution) that can offset LWP increases.

To disentangle these processes, we have extended the analysis to the joint histogram of LWP and  $N_d$ . Fig. 2 shows the joint histogram between LWP and  $N_d$  for the 1985 and 2013 simulations. In both cases, the maximum condition probability (CP) depicts a spread for LWP values ranging from 10 to  $500 \text{ gm}^{-2}$ , particularly at low  $N_d$  ( $< 100 \text{ cm}^{-3}$ ). At the middle  $N_d$  values (between 100 and  $500 \text{ cm}^{-3}$ ), the CP narrows, with the highest values occurring in this range for both simulations. At higher  $N_d$ , the CP spread increases further, especially in 2013, though CP values above  $700 \text{ cm}^{-3}$  remain low. This low CP shows that there are limited cloud regimes with high  $N_d$  in the 2013 simulation. It is also evident in the  $N_d$  distribution (PDF) shown in Fig. 1a.

The  $N_d$ -bin mean LWP ( $\overline{\text{LWP}}$ ) in the joint histogram implies the tendency of the  $N_d$ -LWP relation. For both simulations, the  $\overline{\text{LWP}}$  shows a non-linear relationship consistent with prior studies (Gryspeerd et al., 2019; Dipu et al., 2022), but the magnitude and the  $N_d$  threshold of the transition differ due to differences in meteorological regime, sampling/aggregation scale, and aerosol loading. This non-linear relation implies a positive LWP sensitivity ( $\overline{\text{LWP}}$  increasing with  $N_d$ ) for lower  $N_d$  values and a negative LWP sensitivity ( $\overline{\text{LWP}}$  decreases with increasing  $N_d$ ) for higher  $N_d$  values. The transition point where the positive LWP sensitivity shifts to negative varies with the aerosol perturbation. In the 2013 simulation, the transition from positive to negative LWP sensitivity is simulated around  $N_d \approx 100 \text{ cm}^{-3}$  and in the 1985 simulation, it shifts to  $N_d \approx 300 \text{ cm}^{-3}$ . This shift toward higher  $N_d$  reflects higher CCN concentrations in 1985, which enhanced droplet activation during cloud formation, increasing  $N_d$  and delaying LWP depletion. Since the two simulations have identical meteorological forcing and initial conditions, and differ only in CCN, the shift in the transition point is attributed to the aerosol perturbation rather than thermodynamic warming. The effect of aerosol perturbation is also evident in the  $R_{\text{eff}}$ . In the 2013 simulation,  $\overline{R_{\text{eff}}}$  peaks at low  $N_d$  and then declines sharply beyond  $\approx 100 \text{ cm}^{-3}$  indicating stronger cloud depletion. Conversely, in the 1985 simulation, the  $\overline{R_{\text{eff}}}$  decreases at larger  $N_d \approx 300 \text{ cm}^{-3}$  indicating thicker, less diluted clouds (figure not shown). The adiabatic fraction ( $f_{ad}$ ), defined as the ratio of LWP to the adiabatic LWP ( $\text{LWP}_{ad}$ ), is a measure of dilution/subadiabaticity, which is primarily due to entrainment, turbulent mixing, and evaporation. Values of  $f_{ad} \approx 1$  indicate near-adiabatic, weakly diluted clouds (typically cloud cores), whereas  $f_{ad} \ll 1$  denotes strongly diluted, subadiabatic conditions, most commonly near cloud-top and cloud edges. In general,  $f_{ad}$  can increase with  $N_d$  at low to moderate  $N_d$  when enhanced droplet number delays collision-coalescence and reduces precipitation loss, allowing liquid water to accumulate. At higher  $N_d$ , the droplets are smaller and tend to evaporate more efficiently during entrainment/mixing, especially near the cloud-top, leading to cloud dilution and reducing  $f_{ad}$ . Fig. 3 shows the relation between bin mean  $\overline{N_d}$  and the  $\overline{f_{ad}}$ . In both simulations, the lower  $\overline{f_{ad}}$  represent subadiabatic cloud

regime. For positive LWP sensitivity,  $\overline{f_{ad}}$  increases slightly with increasing  $\overline{N_d}$  in both simulations. At higher  $\overline{N_d}$ , in the 1985 simulation,  $\overline{f_{ad}}$  continues to increase with  $\overline{N_d}$ , particularly during positive LWP sensitivity, indicating a relatively weaker dilution (i.e., more adiabatic). However, in the 2013 simulation, the  $\overline{f_{ad}}$  shows a decrease at higher  $\overline{N_d}$ , suggesting that a more subadiabatic cloud regime implies cloud dilution driven by entrainment/mixing. The analysis further suggests that in the 1985 simulation, the aerosol perturbation leads to thicker, higher LWP clouds at high  $N_d$  with weaker cloud-top dilution, whereas the 2013 simulation exhibits thinner clouds at high  $N_d$ , indicative of more effective entrainment-driven dilution.

Furthermore, the temporal evolution of the cloud field in both simulations illustrates time-dependent LWP sensitivity during the simulations. Fig. 4 illustrates the temporal evolution of the LWP sensitivity (time evolution of the joint histogram between  $\overline{LWP}$  and  $N_d$ ) for both simulations. Initially, both simulations exhibit positive LWP sensitivity because CCN acts to elevate  $N_d$ , reduce droplet size, and suppress or delay warm-rain formation, allowing LWP to accumulate (Albrecht, 1989). Both simulations use identical initial conditions and forcing and differ only in the prescribed CCN, so this positive LWP sensitivity reflects rapid microphysical adjustment rather than differences in initialisation. Over time, however, the relationship becomes more non-linear, with an increasing contribution from negative sensitivity. In the 1985 simulation, the positive LWP sensitivity dominates due to the high CCN concentration, which accounts for the activation of numerous smaller droplets. Additionally, the transition of positive to negative LWP sensitivity shifts toward a much higher  $N_d$  value in the 1985 simulation over time. Glassmeier et al. (2021) reported that the LWP sensitivity becomes increasingly negative over time in stratocumulus clouds. Likewise, the LWP sensitivity becomes more negative in both simulations over time. The numerical value of the negative LWP sensitivity is derived as the slope of the linear regression through the  $\overline{LWP}$  in the specific  $N_d$ -bins, depicted in Fig. 5. Notably, the 2013 simulation exhibits a steeper negative slope than the 1985 simulation, indicating a more rapid LWP depletion. Although the transition to negative LWP sensitivity shifts to higher  $N_d$  in 1985, the magnitude of the negative slope is smaller than in 2013. The steeper negative slope in 2013 suggests that the cloud field enters drying/entrainment-driven depletion more readily, leading to more rapid LWP depletion. In contrast, the 1985 perturbation primarily shifts the transition to higher  $N_d$  and delays the onset of the depletion regime. Importantly, a higher aerosol loading does not necessarily imply a more negative  $N_d$ -LWP slope. The strength of the negative LWP sensitivity is state-dependent and depends on whether the clouds are in a dilution-dominated (entrainment–evaporation) state.

From the temporal evolution of the LWP sensitivity, the critical  $\overline{N_d}$  and  $\overline{R_{eff}}$  values are estimated. The critical values are specified only for time steps at which the domain-wide  $\overline{LWP}$  exhibits a non-linear dependence on  $N_d$ , with a clear maximum (i.e., a transition from positive to negative LWP sensitivity). From the time the temporal evolution of the LWP sensitivity (Fig. 4), if a non-linear relationship is present, the mean  $N_d/R_{eff}$  of the bin at which LWP reaches its maximum (sign change in sensitivity) is taken as the critical value for that time step. If no clear non-linear relationship exists, no critical values are assigned. They are derived across the same spatial domain and time interval. Fig. 6 illustrates the critical  $\overline{N_d}$  and the corresponding  $\overline{R_{eff}}$  at the transition between positive and negative LWP sensitivity. In the 2013 simulation, the critical  $\overline{N_d}$  is mostly distributed between 48 and 490  $\text{cm}^{-3}$ , with maximum density centred around 100 to 200  $\text{cm}^{-3}$ , whereas in 1985, it ranges from 140 to 711  $\text{cm}^{-3}$ ,

with the maximum density centred around  $400 \text{ cm}^{-3}$  in the 1985 simulation. This confirms the rightward shift in the LWP transition point under high-aerosol conditions. At this critical  $\overline{N_d}$ ,  $\overline{R_{\text{eff}}}$  shows a relatively widespread distribution, between 4.7 and  $8 \mu\text{m}$ , in the 2013 simulation. Meanwhile, the 1985 simulation shows a relatively small spread in the  $\overline{R_{\text{eff}}}$  distribution, between 4.2 and  $6.5 \mu\text{m}$  further reflecting the more variable cloud dilution in the low-aerosol case.

Further insights into aerosol-induced cloud changes are revealed by analysing thermodynamic diagnostics, particularly the apparent heating ( $Q_1$ ) and the moisture sink ( $Q_2$ ) at the cloud-top. The  $Q_1$  in a cloud layer is associated with various processes, local temperature changes, advection, and vertical motion. A substantial part of apparent heating/cooling is also associated with cloud microphysical processes in clouds. In liquid clouds, condensation of water vapour contributes to microphysical heating, and cloud droplet evaporation contributes to cooling (Keshtgar et al., 2023). Fig. 7a shows the relationship between  $\overline{Q_1}$  ( $N_d$ -bin mean) and  $N_d$  at the cloud-top. For lower  $N_d$  values, the  $\overline{Q_1}$  is negative, implying apparent cooling in both simulations. A negative  $\overline{Q_1}$  is mainly associated with cloud droplet evaporation, rising motion, and cold air advection in a cloudy layer. Specifically, in the selected case, at lower  $N_d$ , the  $\overline{Q_1}$  is negative, though it becomes less negative as  $N_d$  increases. The negative  $\overline{Q_1}$  observed at lower  $N_d$  may be related to processes such as droplet evaporation and/or rising motion. As the cloud develops, collision-coalescence shifts the droplet spectrum toward larger  $\overline{R_{\text{eff}}}$  and promotes precipitation processing. As a result, the relative contribution of evaporative cooling associated with mixing/entrainment decreases, and  $\overline{Q_1}$  becomes less negative with increasing  $N_d$ . This is consistent with concurrent increases in LWC and decreases in specific humidity at lower  $N_d$  (Fig. S2). In the 2013 simulation, as  $N_d$  increases ( $> 200 \text{ cm}^{-3}$ ),  $\overline{Q_1}$  becomes positive, indicating apparent heating. However, this apparent heating is only simulated at higher  $N_d$  ( $> 800 \text{ cm}^{-3}$ ) in the 1985 simulation. A positive  $\overline{Q_1}$  is associated with processes such as condensation and latent heat release, sinking motion, and entrainment/mixing of warm, dry air. In the 2013 simulation, however, the specific humidity increases, and the water content (LWC/LWP) decreases as  $N_d > 200 \text{ cm}^{-3}$ . Therefore, the only possible mechanism left to explain the positive  $\overline{Q_1}$  is the entrainment/mixing of warm, dry air and the resulting cloud droplet evaporation, which is in agreement with the dilution of clouds with higher  $N_d$  (Fig. 3). Similarly, in the 1985 simulation, the negative  $\overline{Q_1}$  further decreases (less negative) for higher  $N_d$  ( $> 300 \text{ cm}^{-3}$ ) and a positive  $\overline{Q_1}$  is simulated only at higher  $N_d$  ( $> 800 \text{ cm}^{-3}$ ), suggesting that aerosol perturbation shifts the onset of entrainment-induced cloud depletion to higher  $N_d$ .

The apparent moisture sink,  $Q_2$  ( $N_d$ -bin mean,  $\overline{Q_2}$ ), for the two simulations is depicted in Fig. 7b, which also supports the above interpretation. Generally, a positive  $Q_2$  indicates moisture removal through condensation or dry air advection, while a negative  $Q_2$  implies moisture addition through evaporation or moist air advection. In the 2013 simulation,  $\overline{Q_2}$  is negative for the lower  $N_d$  ( $< 100 \text{ cm}^{-3}$ ), indicating the dominant influence of moist air advection, along with cloud dilution. Simultaneously, the  $\overline{Q_2}$  increases as  $N_d$  increases for lower  $N_d$ , suggesting a reduction in cloud dilution, which correlates with an increase in LWC and a decrease in specific humidity (Fig. A1). In contrast, for higher ( $N_d > 100 \text{ cm}^{-3}$ ), in the 2013 simulation,  $\overline{Q_2}$  tends to be positive, indicating moisture removal, consistent with entrainment-driven evaporation. The 1985 simulation shows predominantly positive  $\overline{Q_2}$ , except for very low  $N_d$  ( $> 10 \text{ cm}^{-3}$ ). The positive  $\overline{Q_2}$  for  $N_d$  values less than  $300 \text{ cm}^{-3}$  is driven by condensation. At higher  $N_d$  ( $> 300 \text{ cm}^{-3}$ ), however, the same positive  $\overline{Q_2}$  results from cloud water removal through dry air

entrainment. This is also consistent with a concurrent increase in specific humidity and a decrease in LWC, indicating cloud dilution associated with entrainment.

### 265 3 Discussion

The ambiguity in the LWP adjustment/sensitivity due to aerosols varies with individual clouds (Smalley et al., 2024; Christensen et al., 2020), which adds uncertainty to effective radiative forcing due to the aerosol-cloud interactions (Mülmenstädt and Feingold, 2018). Recent studies utilise the sensitivity of  $N_d$  to LWP to improve the understanding of the aerosol-cloud interaction using modelling and observations (Gryspeerd et al., 2019; Dipu et al., 2022; Mülmenstädt et al., 2024a), in which  
270  $N_d$  represents an indirect proxy for aerosols. In a specific cloud regime, a positive LWP sensitivity implies a systematic increase of LWP with increasing aerosols, and a negative LWP sensitivity indicates cloud depletion. Here, we have investigated the significance of aerosol perturbation to the LWP sensitivity using the ICON-LES model.

In the selected cloud regime, the 1985 simulation, representing a high-aerosol scenario, consistently showed systematically  
275 higher  $N_d$  values under identical meteorological conditions compared to the 2013 simulation (low-aerosol scenario). The high CCN in the 1985 simulation led to more numerous and smaller droplets, sustaining positive LWP sensitivity compared to 2013. As a result, the transition point from positive to negative to positive LWP sensitivity has shifted to a higher  $N_d$  ( $>300 \text{ cm}^{-3}$ ), compared to that ( $>100 \text{ cm}^{-3}$ ) in 2013. This shift in the negative LWP sensitivity is also visible in the time evolution of the LWP sensitivity in the respective simulation. Despite this shift, both simulations exhibited negative LWP sensitivity at higher  
280  $N_d$ , linked to cloud dilution due to entrainment. Analysis of the thermodynamic diagnostics further reveals that in both simulations, cloud-top  $Q_1$  (apparent heating) and  $Q_2$  (moisture sink) became increasingly positive with  $N_d$ , indicating warm, dry air entrainment and associated evaporation. The positive trend is more pronounced in 2013, consistent with stronger dilution. Meanwhile, in 1985,  $Q_1$  and  $Q_2$  remained less positive, suggesting a more buffered response to entrainment due to sustained cloud development under high aerosol loading. Additional diagnostics of the temperature tendency term in  $Q_1$  (eq. 1) at cloud-  
285 top reveal that it becomes increasingly negative as  $N_d$  increases, particularly for negative LWP sensitivity (Fig. A2 a). The positive advection terms (the sum of horizontal and vertical advection) in  $Q_1$  (eq. 1) for low  $N_d$  indicating net warming due to advection, which later transitioned to neutral or negative values at higher  $N_d$ , indicating reduced warming and as entrainment increases (Fig. A2 c). The high CCN in the 1985 simulations resulted in a less negative temperature tendency (less cooling) and advection-induced cooling compared to the 2013 simulation. Similarly, specific humidity tendencies term in  $Q_2$  (eq. 2) also  
290 showed increased moisture loss at higher  $N_d$ , particularly in 2013, further confirming the role of entrainment-driven drying. In the 2013 case, the net moisture advection term in  $Q_2$  (eq. 2) is initially positive at lower  $N_d$ , and it becomes negative at higher  $N_d$ , consistent with entrainment-induced drying (Fig. A2 b). Conversely, in the 1985 simulation, net moisture advection remains persistently negative and intensifies slightly with increasing  $N_d$ , suggesting a weak drying tendency under high aerosol conditions (Fig. A2 d).

The analysis shows that the aerosol perturbation induces a clear microphysical and thermodynamic response and modulates the regime-conditioned  $N_d$ -LWP sensitivity, while the change in the bulk LWP distribution remains modest. In the 2013 simulation,  $Q_1$  becomes less negative and positive for negative LWP sensitivity ( $N_d > 100 \text{ cm}^{-3}$ ). Here, entrainment refers to turbulent mixing of relatively warm, dry free-tropospheric air across the inversion into the cloud-top layer, which promotes subsaturation and evaporation. Since  $Q_1$  is an apparent heating residual that includes advection and vertical motion, its sign reflects the net balance of warming and evaporative cooling rather than evaporation alone. Moreover, this evaporation, along with a decrease in local specific humidity, contributes to a moisture sink ( $Q_2 > 0$ ), particularly for the negative LWP sensitivity. However, in the 1985 simulation, aerosol perturbations led to a less positive tendency in  $Q_2$  for negative LWP sensitivity compared to prior simulations. This can be further explained by the weaker temperature tendencies, reduced local specific humidity, and decreased moisture sink in the 1985 simulation for negative LWP sensitivity. Furthermore, in the 1985 simulation, condensation processes dominate (positive  $Q_2$ ), which helps maintain a positive LWP sensitivity even at higher  $N_d$ . Nonetheless, as  $N_d$  increases further,  $Q_2$  also increases, which may be due to enhanced droplet evaporation linked to warm air entrainment. It should be emphasised, however, that evaporation and advection are not the only processes associated with negative LWP sensitivity. While they appear to be the dominant mechanisms in the above simulations, additional processes such as cloud-top radiative cooling, droplet sedimentation, and turbulence entrainment feedbacks may also play important roles in driving cloud depletion at high  $N_d$ . We also note that numerical diffusion can affect the magnitudes of the diagnosed apparent heating and moisture sink near cloud edges, which is a limitation of the present analysis and should be considered when interpreting the results. Future studies should aim to disentangle and quantify the relative contributions of these pathways, for example, by combining targeted LES experiments with process-level diagnostics and Lagrangian cloud tracking.

315

#### 4 Conclusions

This study uses the ICON-LES model as part of the HD(CP)2 project to investigate the effect of aerosols on LWP sensitivity. Simulations were conducted over Germany on May 2, 2013, with high (1985 CCN condition) and low aerosol (2013 CCN condition) scenarios. The joint histogram analysis reveals a non-linear relationship between  $N_d$  and LWP in both simulations, which is consistent with previous studies. The non-linear relationship implies for low  $N_d$  values, LWP increases with  $N_d$  (positive LWP sensitivity), while at higher  $N_d$ , LWP decreases (negative LWP sensitivity). The transition from positive to negative LWP sensitivity occurs at a lower  $N_d$  ( $\approx 100 \text{ cm}^{-3}$ ) in the 2013 simulation and shifts to higher  $N_d$  ( $\approx 300 \text{ cm}^{-3}$ ) under the 1985 aerosol scenario. This indicates that increased aerosol concentration leads to sustained droplet activation, thereby shifting the cloud depletion to higher  $N_d$ . The 1985 simulation exhibits more persistent positive LWP sensitivity, associated with enhanced droplet activation and thicker clouds. In contrast, the 2013 simulation reveals a greater degree of cloud dilution, as indicated by a more pronounced decrease in the  $R_{\text{eff}}$  and  $f_{ad}$  at higher  $N_d$ . Temporal analysis of the  $N_d$ -LWP joint histogram further illustrates a non-linear relationship with negative LWP sensitivity becomes dominant over time in 2013. However, in

325

the 1985 simulation, the positive LWP sensitivity is dominant, with weaker negative LWP sensitivity observed over time.

330 Furthermore, thermodynamic features such as cloud-top apparent heating ( $Q_1$ ) and moisture sink ( $Q_2$ ) also reveal a significant impact of aerosol perturbation. Our analysis suggests that negative  $Q_1$  dominates at low  $N_d$ , due to droplet evaporation and/or rising motion. In contrast, the apparent heating (positive  $Q_1$ ) observed at higher  $N_d$  is attributed to cloud dilution and warm air advection. In the 2013 simulation, we found apparent heating or positive  $Q_1$  for  $N_d > 200 \text{ cm}^{-3}$ . In contrast, the 1985 simulation showed positive  $Q_1$  only at a much higher  $N_d$  value ( $> 800 \text{ cm}^{-3}$ ). Thus, the aerosol perturbation results  
335 in sustained negative  $Q_1$  for higher  $N_d$ , with a weaker positive  $Q_1$  or cloud dilution through entrainment. Similarly, negative  $Q_2$  or moisture gain is simulated at low  $N_d$  despite the negative  $Q_1$ , indicating dominant cloud growth. While positive  $Q_2$  or moisture sink is simulated at higher  $N_d$ , indicating a drying effect through warm air entrainment. Our analysis suggests that high CCN concentration in the 1985 simulation exhibits greater moisture retention (negative  $Q_2$ ), supporting sustained cloud growth and positive LWP sensitivity to higher  $N_d$ . In contrast, the moisture sink (positive  $Q_2$ ) is observed in relatively higher  
340  $N_d (> 800 \text{ cm}^{-3})$  in the 1985 simulation.

Both simulations reinforce the hypotheses that negative LWP sensitivity at high  $N_d$  are closely associated with entrainment-driven cloud dilution, evidenced by increased  $Q_1$  (warming), increased  $Q_2$  (moisture loss), reduced LWC, and droplet evaporation. However, the threshold  $N_d$  for dilution shifts to higher  $N_d$  in the 1985 simulation, indicating the enhanced effect of  
345 aerosol perturbation on mitigating cloud depletion. The response in the  $N_d$ -LWP relationship under aerosol perturbations implies a modified cloud radiative response, with sustained positive LWP sensitivity enhancing cloud albedo towards high  $N_d$  with weaker cloud depletion through entrainment at higher  $N_d$ . These dynamics are critical for quantifying the effective radiative forcing of aerosol-cloud interactions in convective cloud regimes. Future studies will focus on investigating  $N_d$ -LWP sensitivity and its effect on aerosol perturbation using Lagrangian cloud tracking, which can improve the understanding of the  
350 aerosol effect on LWP sensitivity.

*Data availability.* The model output data used for the development of the research in the frame of this scientific article is securely saved in the tape archives at the Deutsches Klimarechenzentrum (DKRZ), which will be accessible for 10 years. Additionally, backup copies are stored in the University of Leipzig and University of Cologne backup services.

## 355 **Appendix A: Derivation of the adiabatic liquid water path, $LWP_{ad}$**

Following Toledo et al. (2021), The adiabatic liquid water path is computed from the standard adiabatic assumption, in which the adiabatic LWC increases linearly with height above cloud-base and corresponding equation is given by,

$$LWP_{ad} = \frac{1}{2} \gamma_{ad} H^2,$$

where  $\gamma_{ad}$  is the vertical gradient of adiabatic LWC, and the cloud depth H is

$$360 \quad H = z_t - z_b \quad z_b \leq z \leq z_t \text{ (m)}$$

The adiabatic LWC gradient  $\gamma_{ad}$  is evaluated at cloud-base using the cloud-base temperature  $T_b$  (K) and the pressure  $p_b$  (Pa):

$$\gamma_{ad} = \rho_d \left[ \left( \frac{(\varepsilon + w_s) w_s L_v}{R_d T_b^2} \right) \Gamma_w - \frac{g w_s p_b}{(p_b - e_s) R_d T_b} \right],$$

where  $\Gamma_w$  is the moist-adiabatic temperature lapse rate (*units: K m<sup>-1</sup>*),  $\rho_d$  is the dry-air density (*units: kg m<sup>-3</sup>*),  $w_s$  is the saturation mixing ratio (*units: kg kg<sup>-1</sup>*),  $e_s = e_s(T_b)$  is the saturation vapour pressure (*units: Pa*) at  $T_b$  (cloud base temperature),

365 and  $p_b$  (cloud base pressure).

The moist-adiabatic lapse rate is given by

$$\Gamma_w = \frac{g}{c_p} \frac{1 + \frac{L_v w_s}{R_d T_b}}{1 + \frac{\varepsilon L_v^2 w_s}{R_d c_p T_b^2}},$$

the dry-air density by

$$\rho_d = \frac{p_b - e_s}{R_d T_b},$$

370 and the saturation mixing ratio by

$$w_s = \varepsilon \frac{e_s}{p_b - e_s},$$

with  $\varepsilon = R_d/R_v$ .

The saturation vapour pressure is computed using the Bolton formulation (Bolton, 1980):

$$e_s(T_b) = 611.2 \exp\left(\frac{17.67(T_b - 273.15)}{T_b - 29.65}\right) \text{ Pa.}$$

375 The constants used are

$$R_d = 287 \text{ J kg}^{-1} \text{ K}^{-1} \quad (\text{specific gas constant for dry air}),$$

$$R_v = 461.5 \text{ J kg}^{-1} \text{ K}^{-1} \quad (\text{specific gas constant for water vapour}),$$

$$g = 9.81 \text{ m s}^{-2} \quad (\text{gravitational acceleration}),$$

$$c_p = 1005 \text{ J kg}^{-1} \text{ K}^{-1} \quad (\text{specific heat at constant pressure}),$$

$$L_v = 2.5 \times 10^6 \text{ J kg}^{-1} \quad (\text{latent heat of vaporization}).$$

*Author contributions.* All authors participated in the design of the study. DS & JQ conceived and refined the overall structure of the investigation based on discussions with and feedback from all co-authors. All authors assisted in the interpretation of the results and commented on the paper. All authors have read and agreed to the published version of the manuscript.

380 *Competing interests.* Some authors are members of the editorial board of the journal Atmospheric Chemistry and Physics.

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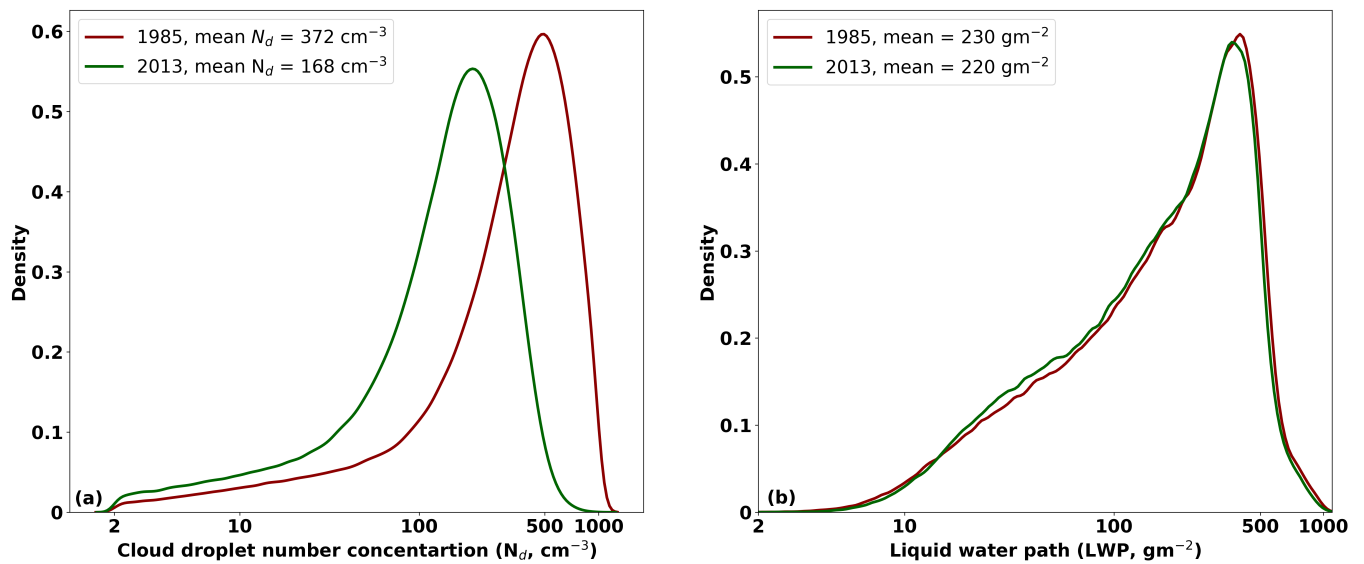
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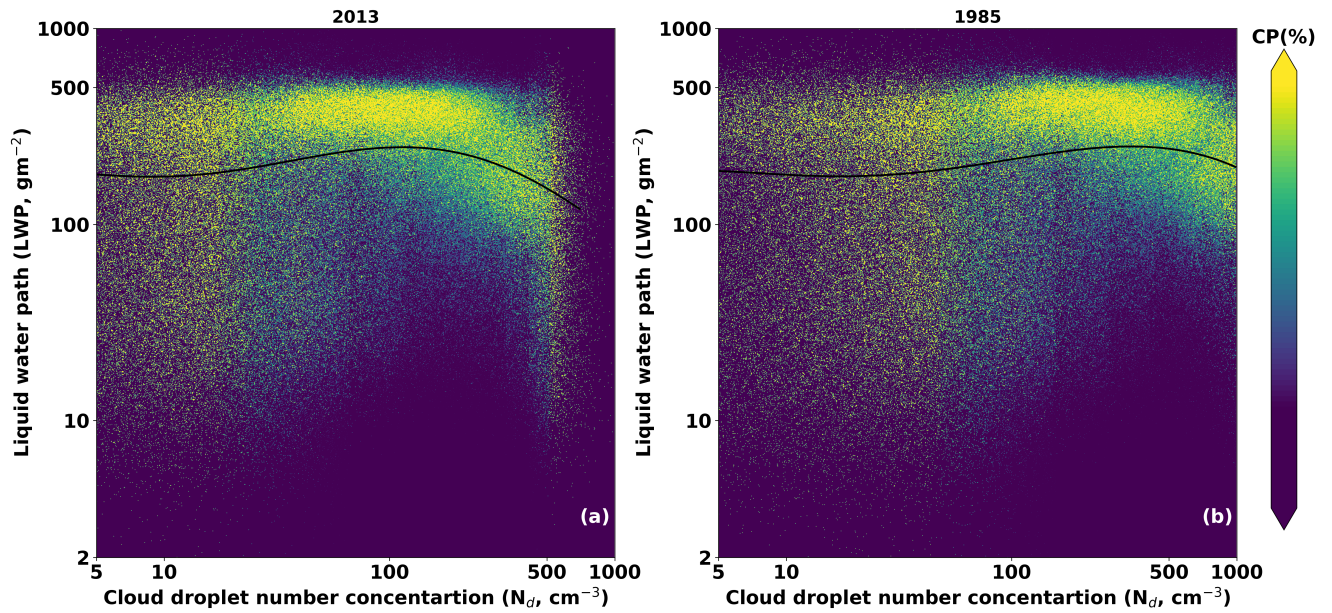
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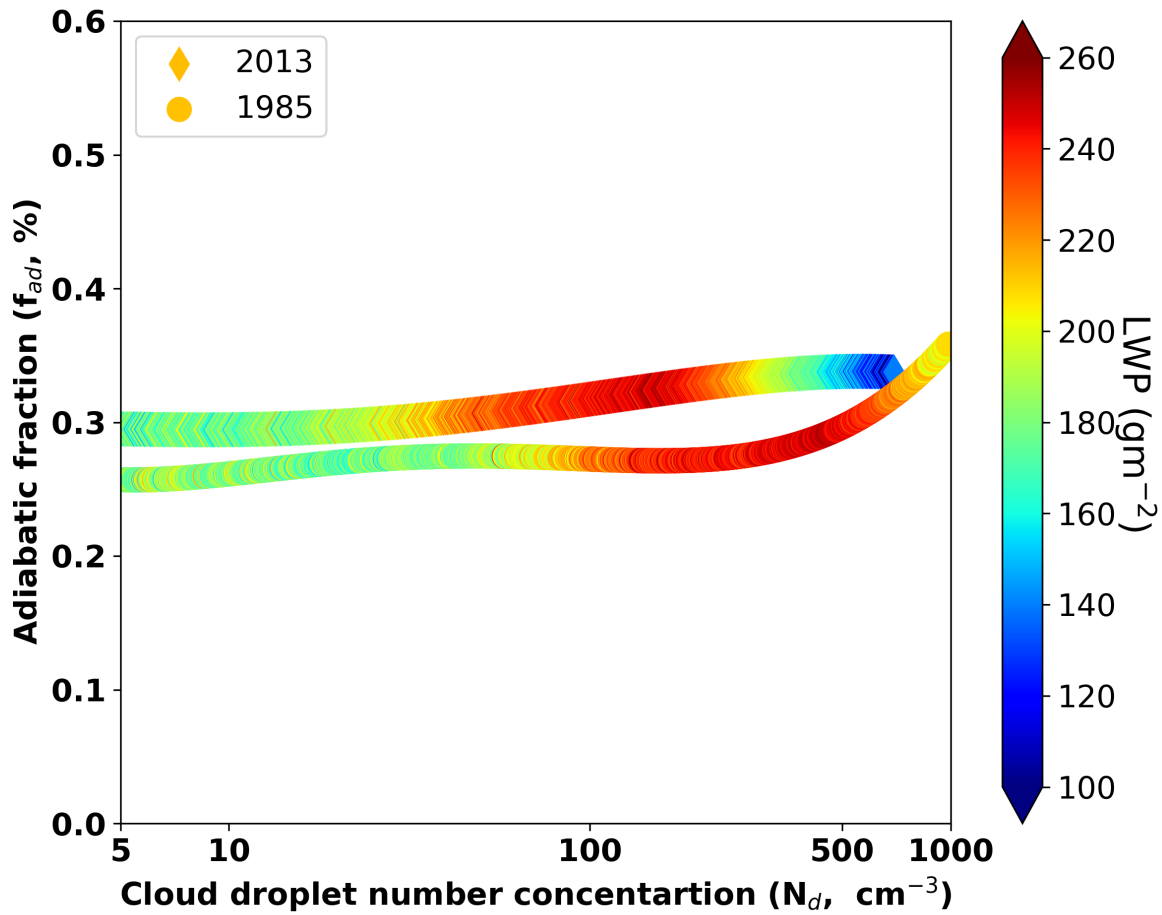
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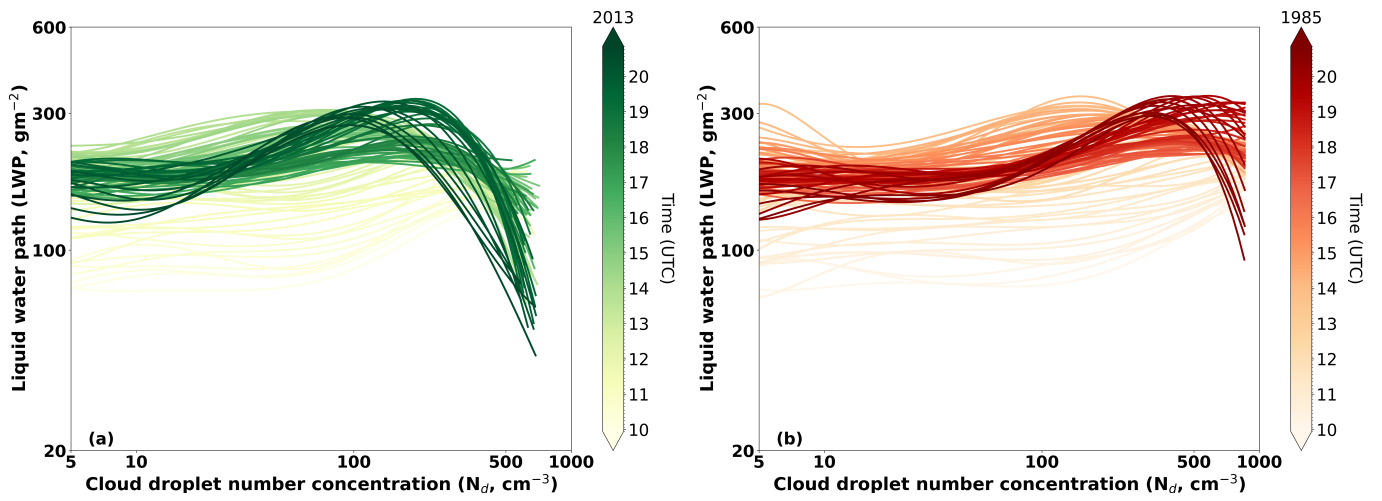
**Figure 1.** Comparison of (a)  $N_d$  ( $\text{cm}^{-3}$ ) and (b) LWP ( $\text{gm}^{-2}$ ) probability density function (PDF) for the 2013 and 1985 simulations. The green line denotes the 2013 simulation using present-day (2013) CCN concentrations, while the 1985 simulation applies CCN concentrations representative of peak aerosol loading over Europe around 1985.



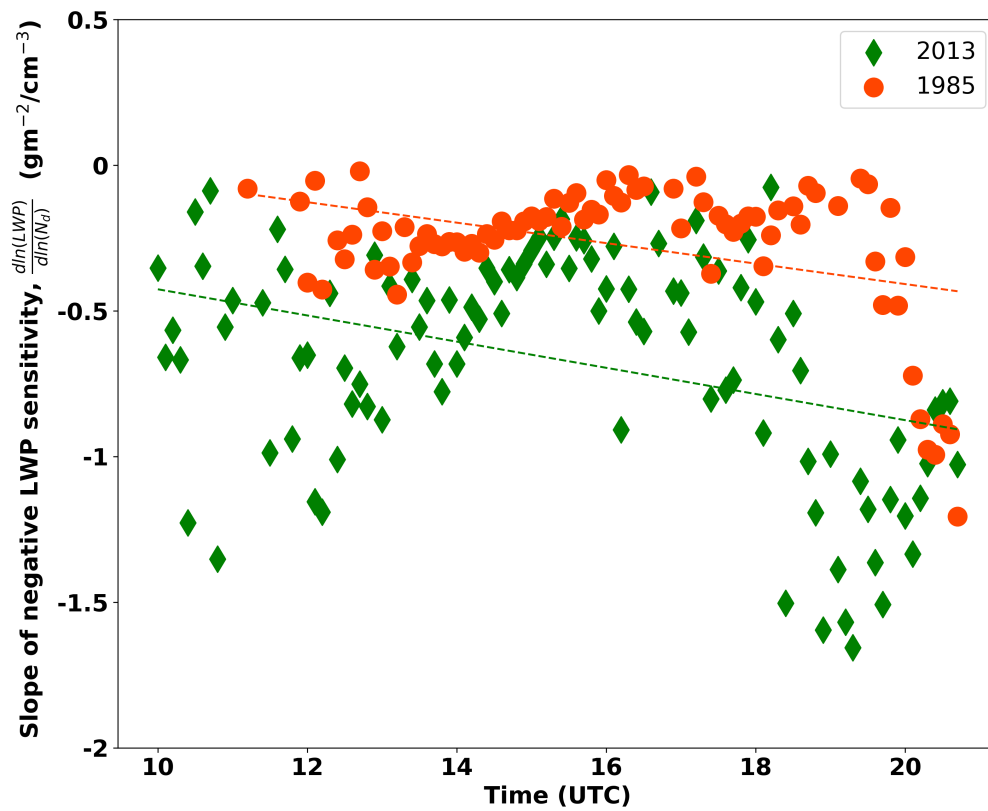
**Figure 2.** The  $N_d$ -LWP joint histogram for the (a) 2013 and (b) 1985 simulations. The thick black line in each plot shows the smoothed mean LWP ( $\overline{LWP}$ ) at certain  $N_d$  bins ( $P(LWP | N_d)$ ). CP(%) is condition probability: the probability of finding a certain LWP given certain  $N_d$ . The green line denotes the 2013 simulation using present-day (2013) CCN concentrations, while the 1985 simulation applies CCN concentrations representative of peak aerosol loading over Europe around 1985.



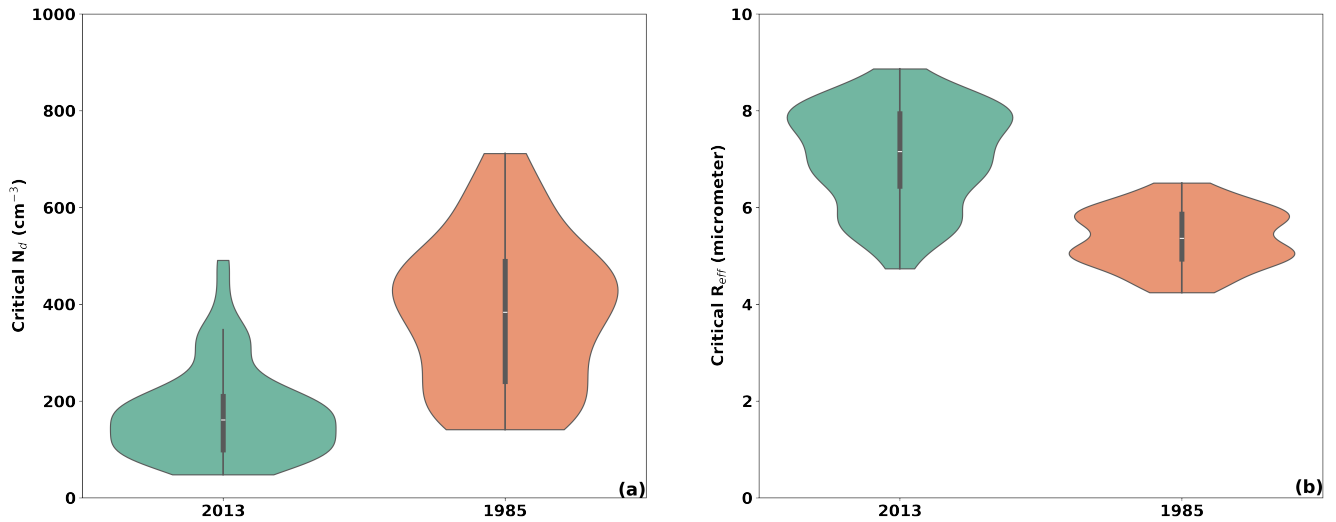
**Figure 3.** The  $N_d$ -bin mean adiabatic fraction ( $f_{ad}$ , %) for the 2013 and 1985 simulations. The points represent the  $\overline{f_{ad}}$  at certain  $P(f_{ad} | N_d)$ . The diamond and the circle shape denote the corresponding mean LWP ( $\overline{LWP}$ ) for 2013 and 1985 simulations, respectively.



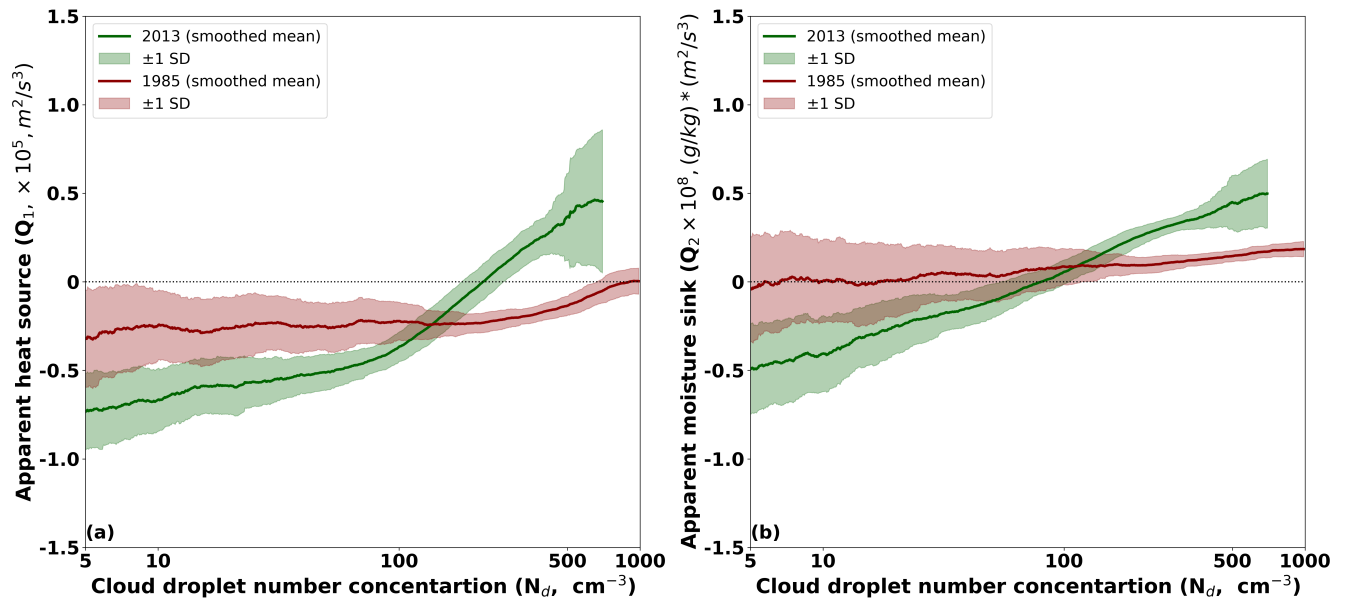
**Figure 4.** Temporal evolution of the mean LWP ( $\overline{\text{LWP}}$ ) at certain  $N_d$  bins ( $P(\text{LWP} | N_d)$ ) for (a) 2013 and (b) 1985 simulations. Each line indicates the  $N_d$ -LWP relationship at every model time step (5-minute interval), and the colour gradient indicates the Temporal evolution of the  $N_d$ -LWP relationship (from 1000 UTC to 2000 UTC).



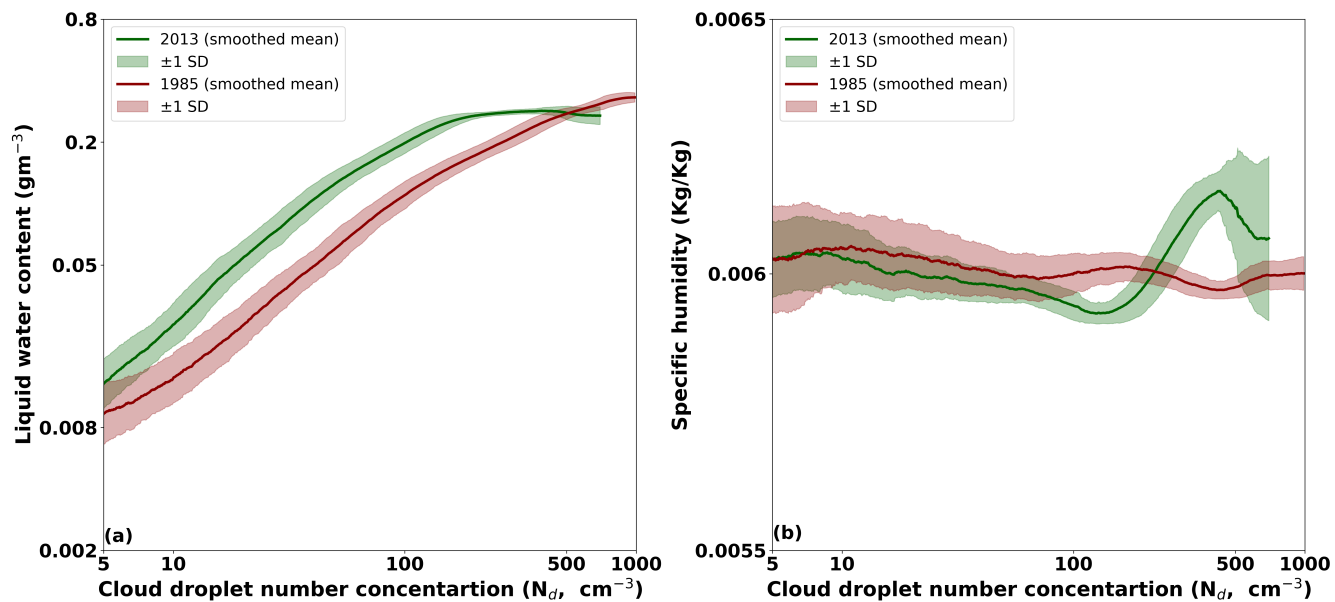
**Figure 5.** The magnitude of negative LWP ( $\text{gm}^{-2}$ ) adjustment, as calculated by the  $N_d$ -LWP slope over time. The green diamond shape denote 2013 simulation, and the red circles denote the 1985 simulation. The respective dotted line indicates the linear regression.



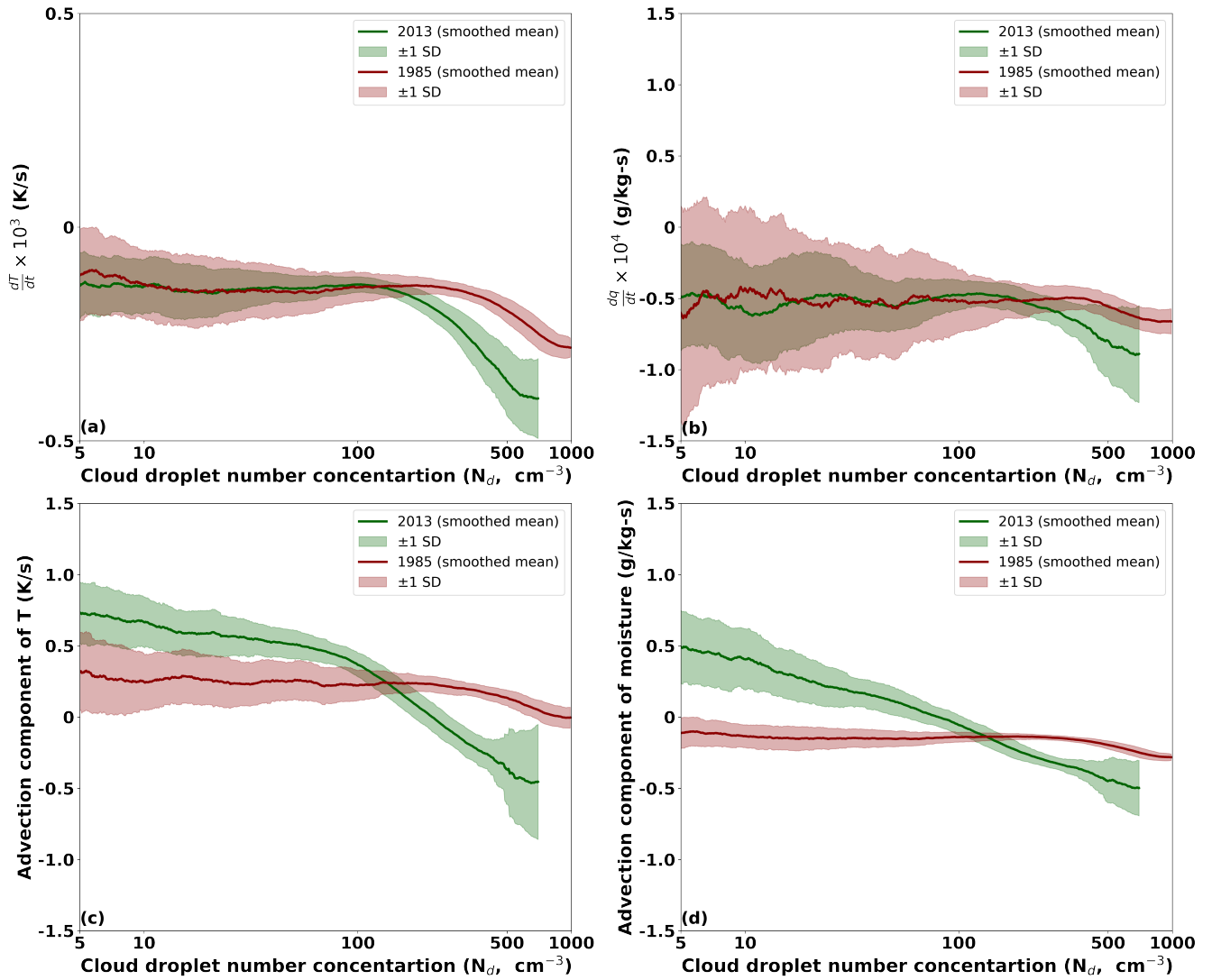
**Figure 6.** Violin plots for critical (a)  $N_d$  ( $\text{cm}^{-3}$ ) and (b)  $R_{\text{eff}}$  ( $\mu\text{m}$ ). The critical indicates the  $N_d / R_{\text{eff}}$  at which the LWP adjustment becomes negative over time. The green colour denote 2013 simulation, and the red colour denotes the 1985 simulation. On each side, the grey line indicates the distribution shape of the data (PDF). The white dot on the violin plot represents the median, the black bar in the centre represents the interquartile range (first and third quartile), and the lower and upper parts of the violin plot represent the lower/upper adjacent values.



**Figure 7.** The  $N_d$ -bin mean (a)  $Q_1$  and (b)  $Q_2$  for the 2013 and 1985 simulations. The solid lines represent the smoothed mean of the mean  $Q_1$  ( $\overline{Q_1}$ ) and mean  $Q_2$  ( $\overline{Q_2}$ ) at certain  $N_d$  bins (same as Fig. 1). The shaded region represents the rolling standard deviation of the respective  $N_d$ -bin mean values. The green line denotes the 2013 simulation using present-day (2013) CCN concentrations, while the 1985 simulation applies CCN concentrations representative of peak aerosol loading over Europe around 1985.



**Figure A1.** The  $N_d$ -bin mean (a)  $\overline{LWC}$  and (b) Specific humidity ( $\overline{q_s}$ ) for the 2013 and 1985 simulations. The solid lines represent the smoothed mean of the mean LWC and specific humidity at certain  $N_d$  bins (same as Fig. 1). The shaded region represents the rolling standard deviation of the respective  $N_d$ -bin mean values. The green line denotes the 2013 simulation using present-day (2013) CCN concentrations, while the 1985 simulation applies CCN concentrations representative of peak aerosol loading over Europe around 1985.



**Figure A2.** The  $N_d$ -bin mean (a)  $\overline{\frac{dT}{dt}}$ , (b)  $\overline{\frac{dq}{dt}}$ , (c) advection component of temperature, and (d) advection component of moisture for the 2013 and 1985 simulations. The solid lines represent the smoothed mean of the mean values of the above variables at certain  $N_d$  bins (same as Fig. 1). The shaded region represents the rolling standard deviation of the respective  $N_d$ -bin mean values. The green line denotes the 2013 simulation using present-day (2013) CCN concentrations, while the 1985 simulation applies CCN concentrations representative of peak aerosol loading over Europe around 1985.