



1 Wave effect mechanisms enhancing sea-air CO2 exchange

2 and modulating seawater carbonate-pH adaptation in the

# 3 POP2-waves coupled model

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## 8 Abstract

9 Wave and bubble mechanisms have demonstrated their impact on sea-air CO<sub>2</sub> flux 10 by enhancing gas transfer velocity  $(K_w)$  through significant wave height  $(H_s)$ . 11 Neglecting wave and bubble processes may lead to an underestimation of CO<sub>2</sub> flux 12 under high 10-m wind speeds (U<sub>10</sub>) in most state-of-the-art climate models. In this study, 13 a waves module from the Princeton Ocean Model (POM) has been incorporated into 14 the Parallel Ocean Program version 2 (POP2), referred to as POP2-waves, in the 15 Community Earth System Model version 1.2.2 (CESM1.2.2) framework. The POP2-16 waves and a control run of CESM1.2.2 (B-CTL) CO2 flux simulations are compared 17 with the National Oceanic and Atmospheric Administration's (NOAA) Carbon Tracker, 18 version 2022 (CT2022) data. Overall, bubbles contribute up to 41.3% to the total sea-19 air CO2 flux, consistent with recent studies, and POP2-waves exhibits a stronger CO2 20 flux than B-CTL under high U<sub>10</sub>. Likewise, the spatial distribution of POP2-waves CO<sub>2</sub> 21 flux is broadly agrees with that of NOAA CT2022, although some discrepancies remain. 22 Under the sea-air partial pressure differences (dpCO<sub>2</sub>) negative feedback associated 23 with the interaction between CO2 fluxes and the carbonate-pH system, POP2-waves 24 show increases of 11.8%, 41.6%, and 1.8% in the CO<sub>2</sub> sink, source, and global average, 25 respectively, compared to the B-CTL. The dpCO<sub>2</sub> (pH) exhibits the strongest positive 26 (negative) regression coefficient with CO<sub>2</sub> flux across the global ocean. Additionally, 27  $K_w$  shows a positive (negative) regression coefficient with CO<sub>2</sub> flux in source (sink) 28 regions, while SST displays the opposite pattern relative to  $K_w$ .





#### 1. Introduction

- The exchange of CO<sub>2</sub> between the air and sea is a crucial component of the global
- 31 carbon cycle, carrying significant implications for Earth's climate (Bange et al., 2024;
- 32 Friedlingstein et al., 2022; McKinley et al., 2020; Müller et al., 2023; Shutler et al.,
- 33 2019). Traditionally, the exchange of CO<sub>2</sub> across the sea-air interface can be
- 34 characterized using the following bulk formula (e.g., Wanninkhof, 1992, 2014;
- 35 McKinley et al., 2020; Dong et al., 2022; Fay et al., 2021; Zhou et al., 2023; Heimdal
- 36 et al., 2024):

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$$FCO_2 = K_w \cdot k_0 \cdot (pCO_2^w - pCO_2^a) \cdot (1 - ice)$$
 (1)

- 38 where the sea–air CO<sub>2</sub> flux ( $FCO_2$ ) is determined by the gas transfer velocity ( $K_w$ ), the
- 39 difference in partial pressure of CO<sub>2</sub> (pCO<sub>2</sub>) between the seawater and atmosphere
- 40 (indicated by superscripts " w " and " a," respectively), and the solubility constant ( $k_0$ ),
- 41 which varies with salinity and temperature (Weiss, 1974). Moreover, the fluxes are
- 42 weighted by 1 minus the ice fraction (*ice*). The estimation of bulk  $CO_2$  fluxes in  $K_w$  is
- 43 typically similar to the Wanninkhof (1992) equation:

44 
$$K_w = 0.251 < U_{10}^2 > (Sc/660)^{-0.5}$$
 (2)

- 45 where  $\langle U_{10}^2 \rangle$  represents the mean squared wind speed at 10 meters, and Sc denotes the
- 46 Schmidt number. The flux estimate error can reach as high as 34% due to model
- 47 limitations and uncertainties surrounding bulk parameters. (Signorini and McClain,
- 48 2009). However, significant uncertainties persist in estimates of sea-air CO<sub>2</sub> flux,
- 49 primarily stemming from an incomplete understanding of the spatiotemporal variability
- in the governing mechanisms (Shutler et al., 2019).
- 51 Some studies have highlighted that estimating CO<sub>2</sub> fluxes involves considerations
- 52 beyond just wind speed and solubility factors. Monahan and Spillane (1984) previously
- 53 regarded whitecaps as "low impedance vents", effectively "shortening" the water-side
- 54 transfer resistance. Without wave breaking, transport between the ocean and the





55 atmosphere occurs through slow conduction and molecular diffusion, while breaking 56 acts as a transitional process from laminar to turbulent flow (Deike, 2022). Bubbles 57 offer an extra surface for gas transfer, rising through the water-side mass boundary layer 58 of the water surface and bursting at the water surface, enhancing near-surface 59 turbulence (Soloviev and Lukas, 2010; Bell et al., 2017; Deike and Melville, 2018; 60 Krall et al., 2019; Czerski et al., 2022). Gutiérrez-Loza et al. (2022) pointed out that sea 61 spray transported into the atmosphere and ultimately evaporating serves an efficient 62 mechanism for sea-air CO<sub>2</sub> exchange during high and intermediate wind speeds (above 63 6-8 m s<sup>-1</sup>), whereas during low wind speeds (<6 m s<sup>-1</sup>), water-side convection was 64 identified as a significant control mechanism. Several studies corroborate the 65 significance of breaking waves and bubble injection mechanisms in facilitating CO<sub>2</sub> 66 transfer (e.g., Andreas et al., 2016; Brumer et al., 2017; Blomquist et al., 2017; Reichl 67 and Deike, 2020; Li et al., 2023; Zhou et al., 2023). Deike and Melville (2018) 68 demonstrated that bubble contribution to CO<sub>2</sub> transfer accounts for more than 40% when the 10-meter wind speed (U<sub>10</sub>) is  $\geq$  10 m s<sup>-1</sup>, becomes dominant at U<sub>10</sub>  $\geq$  15 m s<sup>-1</sup> 69 70  $^{1}$ , and reaches 60% at 20 m s $^{-1}$ . 71 Monitoring the sea surface CO<sub>2</sub> content is crucial for comprehending the Earth 72 system, as climate change has begun to impact the ocean's carbon uptake capacity 73 (Behncke et al., 2024). However, indirect estimates of pCO<sub>2</sub> derived from pH and 74 salinity measurements still entail uncertainties (e.g., Williams et al., 2017; Gray et al., 75 2018; Coggins et al., 2023; Behncke et al., 2024; Fay et al., 2024; Yang et al., 2024). 76 Direct observation is the most desirable tool for understanding the real world, but the 77 scarcity of pCO<sub>2</sub> and sea-air CO<sub>2</sub> flux measurements results in relatively short time 78 series. The direct measurements involved discrete samples with an equilibrator system 79 and the use of closed-path CO<sub>2</sub> gas analyzers to measure pCO<sub>2</sub> (Sutton et al., 2014, 80 2021; Bakker et al., 2016; Sabine et al., 2020; Akhand et al., 2021; Wu and Qi, 2023).





81 In addition to closed-path CO<sub>2</sub> measurements, direct measurements of the open-path 82 CO<sub>2</sub> flux using the eddy covariance technique were conducted over the open ocean, 83 revealing CO<sub>2</sub> fluxes were within a factor of 40 of those estimated by Eq. (1) (Edson et 84 al., 2011; Tokoro et al., 2014; Bell et al., 2017; Dong et al., 2021; Van Dam et al., 2021). 85 Local CO<sub>2</sub> flux observations present challenges for validating global Earth system 86 models (ESMs) due to discrepancies in time and space scales, implementing a data 87 assimilation system is crucial for effective model evaluation. 88 Several recent studies have estimated the sea-air CO<sub>2</sub> flux using Eq. (1), while 89 current parameterizations in oceanic and atmospheric models still rely exclusively on 90 wind speed (Long et al., 2013; Moore et al., 2013; Couldrey et al., 2016; Jin et al., 2017; 91 Lovenduski et al., 2019; Ziehn et al., 2020; Chikamoto and DiNezio, 2021) and through 92 data analysis of non-model simulations (Wanninkhof et al., 1992, 2014; Fay et al., 2021; 93 Zhou et al., 2023). The uptake of anthropogenic CO<sub>2</sub> by the oceans is lowering pH and 94 altering carbonate chemistry in nonlinear ways, further reducing the oceans' capacity to 95 absorb additional CO<sub>2</sub> (Moore et al., 2013). The mechanisms of breaking waves and bubble injection in facilitating  $K_w$  have been examined primarily through data analysis 96 97 of non-model simulations (e.g., Andreas et al., 2016; Blomquist et al., 2017; Reichl and 98 Deike, 2020; Zhou et al., 2023). However, there is relatively limited discussion on the 99 impact of wave effect mechanisms in estimating the sea-air CO<sub>2</sub> flux and seawater 100 acidification within coupling the ocean biogeochemistry with wave models, whereas 101 local processes are more tightly controlled by the sea state. 102 In this study, we aim to investigate the significance of breaking waves and bubble 103 injection mechanisms through ocean geochemistry response on the sea-air CO<sub>2</sub> flux 104 and ocean's buffering capacity through the carbonate-pH system, using the Parallel 105 Ocean Program version 2 (POP2; Smith et al., 2010) of the Community Earth System 106 Model version 1.2.2 (CESM1.2.2; Hurrell et al., 2013) coupled with waves module





(Mellor et al., 2008) of the Princeton Ocean Model (POM; Blumberg and Mellor, 1987).
 The coupled model is referred to as POP2–waves.
 The structure of this paper is organized as follows. Section 2 introduces the model,
 data, methodology, and experiments employed in this study. The performance of the
 POP2–waves coupled model in simulating sea–air CO<sub>2</sub> flux and interaction between
 CO<sub>2</sub> flux and carbonate–pH system in Section 3, while Section 4 focuses on the impact
 of different configurations of waves and bubble effect on ocean geochemistry response

and uncertainty arising from the absence of interactions between ocean CO<sub>2</sub> flux and

the carbonate–pH system. Finally, Section 5 presents the conclusions.

## 2. Data, model experiments, and methodology

#### 2.1 Observational data

The spatial patterns of sea–air CO<sub>2</sub> flux simulated by the coupled CESM1 and POP2–waves coupled model are compared with estimates from the National Oceanic and Atmospheric Administration's (NOAA) CarbonTracker modeling and data assimilation system version 2022 (CT2022; Jacobson et al., 2023), which provides global surface-atmosphere CO<sub>2</sub> flux estimates spanning January 2000 through December 2020. We analyzed the seasonal variations and mean states of CO<sub>2</sub> flux globally, especially in primary sources and sinks of CO<sub>2</sub> flux. Some uncertainties in the assimilation dataset arise from factors such as anthropogenic influences and ice melt; therefore, following Fay et al. (2024), this study excludes the coastal ocean and high-latitude sea regions from the model comparison.

# 2.2 Description of the model framework and experiments

In this study, we investigated the role of waves and bubble mechanisms through ocean geochemistry response between control POP2 and POP2—waves coupled model





133 in CESM1.2.2 framework. The CESM simulation is conducted using a fully coupled 134 component set over a 30-year period, with well-mixed greenhouse gases (CO<sub>2</sub>, CH<sub>4</sub>, 135 N<sub>2</sub>O, etc.), ozone, and aerosols fixed to year-2000 values (B 2000 CAM5 compsets). 136 It has a horizontal resolution of 1.9° × 2.5° in Community Atmosphere Model 5.3 137 (CAM5.3) and a nominal 1° horizontal resolution with 60 vertical layers in POP2. A 138 control CO<sub>2</sub> flux and seawater acidification simulation (B-CTL) uses Eq. (1), where 139  $K_w$  is the Wanninkhof (1992) equation (Eq. 2). We use the present-day scenario (starting from the year 2000) to estimate sea-air CO<sub>2</sub> flux,  $pCO_2^w - pCO_2^a$  and pH across both 140 141 B-CTL and POP2-waves experiments, allowing us to focus on the impact of wave 142 effects on carbonate chemistry and to compare the results with the existing observations. 143 In the wave effect mechanism simulations, we coupled the waves module (Mellor et al., 144 2008) of the POM model into POP2 (POP2-waves experiment) and incorporated 145 bubble-mediated gas transfer, computed from a mechanistic model for air bubble 146 entrainment at the breaking wave scale (Deike and Melville, 2018), combined with the 147 POP2 geochemistry response. 148 POP2-waves experiment adopts the parameterization proposed by Deike and 149 Melville (2018), Reichl and Deike (2020), and Deike (2022) for the bubble-mediated gas transfer velocity as a function of friction velocity,  $u_*$  (m s<sup>-1</sup>) and significant wave 150 151 height,  $H_s$  (m). This parameterization captures the main wave effect, as well as 152 solubility and diffusivity, effectively consolidating for CO<sub>2</sub> by expressing the gas 153 transfer velocity as the sum of the non-bubble  $(k_{wNB})$  and bubble  $(k_{wB})$  components, 154 following Deike and Melville (2018):  $K_w = K_{wNB} + K_{wB} = A_{NB}u_* \left(\frac{Sc}{660}\right)^{-1/2} + \frac{A_B}{K_0 R T_0} u_*^{5/3} (g H_s)^{2/3}$ 155 (3) 156 where  $A_{NB}$  is an empirical, nondimensional coefficient given as  $1.55 \times 10^{-4}$ ,  $A_B$  is a 157 dimensional fitting coefficient (1.2  $\times 10^{-5}$  s<sup>2</sup> m<sup>-2</sup>), R is the ideal gas constant (0.082 L





atm mol<sup>-1</sup> K<sup>-1</sup>),  $T_{\theta}$  is the sea surface temperature, SST (K), and g is gravitational acceleration (9.806 m s<sup>-1</sup>). In addition, Section 3.3 will examine the contribution ratios of the non-bubble and bubble components in simulating the sea–air CO<sub>2</sub> flux.

## 2.3 Coupling POP2-waves Methodology

The architecture for the POP2–waves experiment in the CESM1.2.2 framework is shown in Fig. 1. In addition to the original component relationships in CESM1.2.2, we obtain zonal and meridional surface winds, as well as friction velocity over the ice fraction from the coupler (CPL) to calculate the wave's property and  $K_w$ . To obtain significant wave height from the wave's module, we (1) interpolate the depth-variable currents of the POP2 z-coordinates (60 levels of zonal and meridional horizontal velocity) into the POM (waves) sigma-coordinates (21 levels) for calculating the depth-dependent wave radiation equation and the specified spectrum, and (2) horizontal progression from one grid to the adjacent grid.to prevent discontinuities in wave energy at block boundaries.

### 3. Results

## 175 3.1 The climatological mean and seasonal variations of ocean CO<sub>2</sub> flux

We use the traditional sea–air  $CO_2$  flux bulk formula (Eq. 1) in CESM1.2.2 while also incorporating the effects of waves and bubble-mediated processes with  $K_w$ , which is parameterized based on friction velocity, SST, and  $H_s$  (Eq. 3). Figure 2a–c compares the climatological mean ocean  $CO_2$  flux (mol m<sup>-2</sup> yr<sup>-1</sup>; shaded) and the monthly standard deviation (SD; contours) among B–CTL, POP2–waves, and NOAA CT2022. Overall, the spatial distribution of the model-simulated  $CO_2$  flux is broadly consistent with that of CT2022, though some discrepancies persist. The primary sources and sinks of average  $CO_2$  flux in the Pacific simulated by the POP2–waves experiment more





184 closely resemble those in NOAA CT2022 than those in B-CTL, although the POP2-185 waves experiment exhibits a larger monthly SD than B-CTL over both the Pacific and 186 Indian Oceans. Figure 2c highlights the primary sources and sinks of CO<sub>2</sub> flux in the 187 Pacific using red dashed lines, while black dashed lines denote other significant regions. 188 Based on these highlighted areas, Fig. 2d presents 12 prominent source and sink regions 189 identified from NOAA CT2022, selected from the 30 regions defined in the NOAA 190 atmospheric CO2 inversion model. These regions include: (1) Pacific Ocean -191 Northwestern Pacific (NWP), Eastern Equatorial Pacific (EEP), Northeastern Pacific 192 (NEP), South Pacific Ocean 1 (SPO1), and South Pacific Ocean 2 (SPO2); (2) Indian 193 Ocean - North Indian Ocean (NIO), South Indian Ocean 1 (SIO1), and South Indian 194 Ocean 2 (SIO2); and (3) Atlantic Ocean - North Atlantic Ocean 1 (NAO1), North 195 Atlantic Ocean 2 (NAO2), Equatorial Atlantic Ocean (EAO), and South Atlantic Ocean 196 (SAO). 197 Figure 3 presents the nine characteristic regions of model-simulated CO<sub>2</sub> flux. The 198 seasonal variations in these regions are generally consistent with those in CT2022 over 199 the NWP, EEP, NEP, SPO1, NIO, SIO1, NAO1, EAO, and SAO. The black dashed line 200 represents B-CTL, the red solid line represents POP2-waves, and the blue solid line 201 represents NOAA CT2022. The bar graph shows the monthly standard deviation as a 202 percentage of the overall range (95% minus 5%). Black hollow bars correspond to B-203 CTL, red bars to POP2-waves, and light blue hollow bars to NOAA CT2022. Fay et al. 204 (2024) attributed seasonal variations in sea-air fluxes to a combination of factors, 205 including fluctuations in SST, biological uptake of carbon dioxide, and variations in 206 mixing and wind speeds. The percentage of monthly SD is generally within 30%, with 207 higher values observed during the boreal winter, corresponding to strong oceanic CO2 208 sinks in high-latitude regions of the Northern Hemisphere, such as NWP, NEP, and 209 NAO1. In contrast, the Southern Hemisphere exhibits large monthly standard





211 such as SPO1, SIO1, and SAO. Both B-CTL and POP2-waves simulations in the EEP 212 display minimal seasonal variability in CO2 flux, maintaining a persistent year-round 213 efflux, consistent with the findings of Fay et al. (2024) in the equatorial Pacific. In 214 contrast, NOAA CT2022 shows a greater degree of seasonal variability in the EEP. 215 Three regions—SPO2, SIO2, and NAO2—exhibit model discrepancies when compared with NOAA CT2022 (Fig. 4), which are not attributable to wave effects or 216 217 the CO2 flux bulk formula. In NAO2, both B-CTL and POP2-waves show seasonal 218 variations similar to those in NAO1, with strong oceanic CO2 sinks during the boreal 219 winter, whereas NOAA CT2022 displays the opposite seasonal pattern in this region. A 220 similar pattern is observed in SPO2, where the seasonal variations in both B-CTL and 221 POP2-waves resemble those in SPO1 but contrast with the trend shown by NOAA 222 CT2022. 223 224 3.2 The relationship between  $U_{10}$  and  $K_w$  over the areas of the Pacific's primary 225 sources and sinks of CO2 flux 226 The monthly gas transfer velocities for both the POP2-waves and B-CTL 227 experiments over the smaller area of the Pacific's primary sources and sinks of CO<sub>2</sub> 228 flux (Fig. 2c) is shown in Fig. 5 for (a) WP (160–180°E, 35–40°N, Fig. 5a) and (b) EP 229 (230–250°E, 0–5°S, Fig. 5b). Gray open circles represent  $K_w$  calculated using the 230 Wanninkhof (1992) 10-m wind speed equation (B-CTL) with their simple linear 231 regression (SLR) and squared correlation coefficient (R2) shown in black line and text. Green open squares represent POP2-waves data for  $H_s < 1.5$ m with the orange solid 232 233 line and text indicating its SLR and  $R^2$ , while blue dots denote cases where  $H_s > 1.5$  m 234 with the red solid line and text depicting their SLR and R2. Generally, the B-CTL data 235 shows higher  $R^2$  but lower  $K_w$  values compared to POP2-waves across all WP and EP.

deviations alongside relatively strong CO2 sinks during the boreal summer in regions

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236 POP2-waves exhibits a slightly lower R2 than B-CTL due to greater deviations 237 between  $K_w$  and U<sub>10</sub>, particularly at higher surface wind speeds, consistent with the 238 findings of Gutiérrez-Loza et al. (2022) under conditions where H<sub>s</sub> > 1.5 m. Zhou et al. 239 (2023) indicated that the wind-only formula for gas transfer velocity tends to underestimate values when U<sub>10</sub> exceeds 10 m s<sup>-1</sup>, and that enhanced gas transfer velocity 240 241 frequently occurs under conditions of strong wave breaking and high significant wave height. These deviations occur when  $U_{10} > 12 \text{ m s}^{-1}$  over the WP (Fig. 5a) and around 242  $U_{10} = 9 \text{ m s}^{-1}$  (Fig. 5b) over the EP. 243 244 Figs. 5c and 5d display the monthly mean values of  $K_w$  for WP and EP, respectively. 245 Red, orange solid, and black dotted lines/texts indicate POP2-waves (H<sub>s</sub> > 1.5 m), 246 average of all POP2-waves data, and B-CTL, respectively. The bar graph illustrates 247 the monthly SD as a percentage of the overall ranking range (95% minus 5%). The 248 annual mean value of POP2–waves  $K_w$  in WP is greater than that in EP. Figure 5c shows 249 that WP cases with  $H_s > 1.5$  m have monthly data available except in August and 250 October, and their  $K_w$  values are higher than those from POP2–waves and B–CTL. In 251 contrast, EP shows  $H_s > 1.5$  m data only during the summer months (July to October), 252 and the corresponding  $K_w$  values are also higher than those from POP2–waves and B– 253 CTL. The percentage of monthly SD in the bar graph indicates no significant 254 differences between  $H_s > 1.5$  m data and POP2-waves experiments in the WP, while 255 the  $K_w$  difference between the two datasets from January to May remains small because 256 the average  $H_s$  during this period exceeds 1.5 m. However, in EP, a significant difference is observed between the  $H_s > 1.5$  m condition and the average in POP2-257 258 waves from July to September.

3.3 Regression of CO<sub>2</sub> flux against U<sub>10</sub> under high-wind conditions





261	The wind-only parameterization of gas transfer velocity tends to underestimate
262	values when $U_{10}\!>\!10~m~s^{-1}$ or $H_s\!>\!1.5~m$ (e.g., Gutiérrez-Loza et al., 2022; Zhou et
263	al., 2023). Accordingly, Fig. 5 presents scatter plots of CO <sub>2</sub> flux from B-CTL and
264	POP2–waves experiment against $U_{10}$ (for $U_{10} > 10$ m s <sup>-1</sup> ) over the WP region (160–
265	180°E, 35–40°N) to compare fluxes estimated with wind-only $K_w$ and wave-
266	modified $K_{w}$ . High-wind conditions over the WP occur primarily in winter (42%
267	valid data), and the associated low ocean surface temperatures further enhance CO <sub>2</sub>
268	solubility. The slopes of the regression equations ( $-0.77$ in B–CTL and $-1.40$ in
269	POP2–waves) and the mean CO2 fluxes ( $Y_{avg}$ : –6.35 in B–CTL and –6.97 in POP2–
270	waves) both indicate that POP2-waves represents a stronger CO2 sink in the WP
271	region under higher wind speeds. The correlation coefficient (R) of POP2-waves is
272	higher than that of B–CTL, indicating that the sea state–dependent $K_w$ provides a
273	more suitable estimate of $CO_2$ flux than the wind-only $K_w$ under high-wind
274	conditions, consistent with the findings of Gutiérrez-Loza et al. (2022) and Zhou et
275	al. (2023).
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277	3.4 Surface climate fields and K <sub>w</sub> component differences between POP2-waves
278	and B-CTL
279	Figures 7a-b present the surface circulation along with sea level pressure (PSL),
280	$U_{10}$ , and $H_s$ , all of which are factors influencing $K_w$ in the model. The region with $H_s > 0$
281	1.5 m is generally situated where $U_{10} > 8$ m s <sup>-1</sup> and lies south of the high PSL region.
282	Johansson et al. (2022) reported that strong winds can either fill or empty small sub-
283	basins while simultaneously generating high waves. Similarly, the ratio of $K_{wB}/K_w$ in
284	POP2-waves greater than 0.5 (yellow shaded areas in Fig. 7b) mostly occurs in regions
285	where $H_s > 1.5$ m. However, high $H_s$ does not always correspond to a higher $K_{wB}/K_w$ in
286	POP2-waves, for example, in the North Pacific. Deike and Melville (2018)





287 demonstrated that the bubble contribution to CO2 transfer exceeds 40% when the U10 is  $\geq$  10 m s<sup>-1</sup>. The bubble contribution to the total gas transfer velocity ( $K_{wB}/K_w$ ) in POP2– 288 289 waves is approximately 38%, which is slightly higher than ~30% reported by Reichl 290 and Deike (2020). This discrepancy may be attributed to differences in the AB 291 coefficients used in this study compared to previous work. The coefficient in the sea-292 state formulation (A<sub>B</sub> in Eq. (3)) is derived from field data, where gas transfer velocity 293 is estimated from flux measurements using eddy covariance methods (Reichl and Deike, 294 2020; Brumer et al., 2017). 295 B-CTL  $K_w$  and POP2-waves  $K_{wNB}$  exhibit similar spatial distributions, with values 296 exceeding 0.006 cm s<sup>-1</sup> and 0.004 cm s<sup>-1</sup>, respectively, despite using different input 297 parameters ( $U_{10}$  vs. u\*). Aside from the polar regions,  $K_w$  in the POP2-waves coupled 298 model is greater than that in B-CTL with the largest differences occurring in the tropics 299 (Fig. 7c). However, the values of  $K_{wNB}$  are approximately 30–50% lower than  $K_w$  in B– 300 CTL (Fig. 7d).

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#### 4. Discussion

# 4.1 Mean State and model differences in $pCO_2^w$ and surface pH

Previous studies have not clearly identified the discrepancy in CO<sub>2</sub> flux estimates between bulk formulas (e.g., Wanninkhof, 1992, 2014) and approaches that incorporate breaking waves and bubble injection to parameterize  $K_w$  in an Earth System Model. Some studies incorporate wave effects following Deike and Melville (2018), using the the Surface Ocean CO<sub>2</sub> Atlas (SOCAT) database (Bakker et al., 2016) to estimate CO<sub>2</sub> fluxes. In these approaches, CO<sub>2</sub> flux is treated independently of  $pCO_2^w$  values and ocean pH, with no feedback between them. Our study highlights that the ocean CO<sub>2</sub> system is influenced by the wave-induced  $K_w$ , affecting parameters such as alkalinity, pH, and carbonate species, which in turn feedback into the dynamics of CO<sub>2</sub> flux. In





313 other words, perturbations in  $K_w$  influence both  $dpCO_2$  and  $CO_2$  flux in the Earth 314 System Model, differing from approaches that treat wave-induced  $K_w$  and  $dpCO_2$ 315 independently when estimating CO<sub>2</sub> flux (e.g., Reichl and Deike, 2020). 316 Figure 8 illustrates the means and differences in the spatial distribution of  $pCO_2^w$ 317 and pH when using the  $U_{10}$  bulk formula for  $K_w$  versus the wave-induced  $K_w$ . In B-318 CTL, high  $pCO_2^w$  is concentrated in the equatorial eastern Pacific (EEP), contributing to a larger oceanic CO<sub>2</sub> source (Fig. 2a). In contrast, low pCO<sub>2</sub><sup>w</sup> is found in the high-319 320 latitude regions of the Pacific and Atlantic (e.g., NWP, NEP, NAO1, and NAO2), 321 resulting in a strong oceanic CO2 sink (Fig. 2a). The standard deviation of B-CTL 322  $pCO_2^w$  varies between 10 and 30 ppm over time (Fig. 8a). 323 Figure 2 shows that the CO<sub>2</sub> flux in POP2-waves is stronger than that in B-CTL 324 across both source and sink regions, consistent with the higher  $K_w$  indicated by contours in Fig. 7c. Notably, POP2-waves reduces the high  $pCO_2^w$  by more than 10 ppm over 325 the equatorial western Pacific and increases the low  $pCO_2^w$  in other regions (Fig. 8b). 326 327 In the CESM1 framework, atmospheric  $pCO_2^a$  is held constant. Consequently, in source (sink) regions, the POP2-waves coupled model decreases (increases)  $pCO_2^w$ , 328 329 thereby reducing dpCO<sub>2</sub>. This mitigates the large increase in CO<sub>2</sub> flux simulated by the 330 POP2-waves model—a process referred to as 'negative feedback from dpCO<sub>2</sub>—and is discussed further in Section 4.3. The SD of the  $pCO_2^w$  difference between POP2-waves 331 332 and B-CTL varies significantly in EEP, while SD changes in other regions are less 333 pronounced. 334 Carbonic acid is a weak acid that can dissociate into bicarbonate (HCO<sub>3</sub><sup>-</sup>) and 335 hydrogen ions (H<sup>+</sup>), thereby affecting the pH of the solution. The average pH of the 336 ocean is currently around 8.1 (Fig. 8c), which is slightly alkaline; however, as the ocean 337 continues to absorb more CO2, its pH decreases, making it more acidic. Ocean pH and 338  $pCO_2^w$  are strongly negatively correlated (Macovei et al. 2021), with low pH values





observed in the equatorial eastern Pacific (Fig. 8c), resulting from high  $pCO_2^w$  concentrations in that region (Fig. 8a). Slightly higher pH values are observed in regions with lower  $pCO_2^w$ , such as the NWP, NEP, NAO1, and NAO2. Accounting for wave effects results in simulated pH differences of approximately + (-) 0.01 in ocean source (sink) regions. This suggests that including this mechanism mitigates acidification in the equatorial Pacific but enhances ocean acidification in the NWP, NEP, NAO1, and NAO2 regions (Fig. 8d).

## 4.2 Impact of sea-air dpCO<sub>2</sub>, K<sub>w</sub>, SST, and pH on CO<sub>2</sub> flux

To determine which factor is directly associated with oceanic CO<sub>2</sub> flux, Fig. 9 presents the linear regression coefficients (LRC) between CO<sub>2</sub> flux and various normalized variables: dpCO<sub>2</sub> (Fig. 9a),  $K_w$  (Fig. 9b), SST (Fig. 9c), and pH (Fig. 9d). Here, we do not delve into the complex chemical and biochemical mechanisms in carbonate species; although, the influence of carbonate species composition, including alkalinity (ALK), and dissolved inorganic carbon (DIC), on pCO<sub>2</sub> is greater than that of solubility changes driven by sea surface salinity and SST (Koseki et al., 2023).

Among these factors, dpCO<sub>2</sub> and CO<sub>2</sub> flux exhibit the strongest positive LRC (0.6–0.8) across the global ocean, with the ocean acting as a CO<sub>2</sub> source or sink depending on whether the sea–air dpCO<sub>2</sub> is positive or negative. Additionally, wave

effects increase the LRC by approximately 0.1 to 0.2, particularly in regions with strong oceanic CO<sub>2</sub> sources and sinks. There is a clear positive (negative) LRC between  $K_W$  and CO<sub>2</sub> flux in oceanic CO<sub>2</sub> source (sink) regions. Furthermore, wave effects reduce the absolute LRC between  $K_W$  and CO<sub>2</sub> flux in both oceanic CO<sub>2</sub> source and sink regions (Fig. 9b), indicating that wave-influenced CO<sub>2</sub> flux is less correlated with  $K_W$  and more closely linked to dpCO<sub>2</sub>, after considering interactions between modeled CO<sub>2</sub> flux and the ocean carbonate-pH system.





In contrast to the former, there is a clear positive (negative) LRC between SST and CO<sub>2</sub> flux in oceanic CO<sub>2</sub> sink (source) regions (Fig. 9c). This pattern arises because CO<sub>2</sub> solubility increases at lower temperatures (e.g., high-latitude regions), enhancing oceanic uptake of atmospheric CO<sub>2</sub>, whereas higher oceanic temperatures (e.g., tropical regions) reduce solubility, leading to decreased absorption and greater CO<sub>2</sub> release from the ocean to the atmosphere. Wave effects only slightly reduce the LRC between SST and CO<sub>2</sub> flux—by approximately 0.1—in the tropics, with negligible differences observed in other regions. The strong negative correlation between ocean pH and  $pCO_2^w$  is attributed to the acid dissociation constants of H<sub>2</sub>CO<sub>3</sub> (Fig. 8a and 8c), which govern the relationship between dissolved CO<sub>2</sub> and hydrogen ion concentration (Williams et al., 2017). pH and CO<sub>2</sub> flux exhibit strong negative LRC (< -0.9) across the global ocean, with only a few exceptions in the EEP and along the Arctic margins (Fig. 9d). Wave effects again only slightly reduce the LRC between pH and CO<sub>2</sub> flux in the EEP—by approximately 0.1.

# 4.3 Uncertainty arising from the absence of interactions between CO<sub>2</sub> flux and the

## 381 ocean carbonate-pH system

To assess the uncertainty in CO<sub>2</sub> flux resulting from the absence of interactions between CO<sub>2</sub> flux and the ocean carbonate—pH system (i.e., the lack of dpCO<sub>2</sub>-driven negative feedback), Fig. 10a presents the CO<sub>2</sub> flux calculated using dpCO<sub>2</sub> values (from B–CTL) and  $K_w$  incorporating wave effects (from POP2–waves), with shading representing the mean (labeled as "no dpCO<sub>2</sub> feedback") and contours indicating their SD. Only data significant at a 95% confidence level of CO<sub>2</sub> flux difference between "no dpCO<sub>2</sub> feedback" and POP2–waves are shown in Fig. 10b. Compared to Fig. 2b, the CO<sub>2</sub> flux in the "no dpCO<sub>2</sub> feedback" case is stronger than that in the POP2–waves simulation across both source and sink regions, and is accompanied by a larger SD. The





most pronounced differences occur in the Pacific source and sink regions; however, some areas (e.g., NEP, SIO2, and SPO2) do not reach the 95% confidence threshold.

The ocean's buffering capacity — including the interactions between CO2 flux (estimated from  $K_w$ ) and the ocean carbonate—pH system — incorporating wave effects mitigates pH acidification and reduces dpCO2 differences over CO2 source regions, while higher pH levels enhance the ocean's ability to absorb more CO2 from the atmosphere over CO2 sink regions in the POP2—waves coupled model (Fig. 8b and 8d). In contrast, the "lack of dpCO2-driven negative feedback" case, which lacks real ocean buffering effects, allows CO2 source regions to release excess CO2 into the atmosphere and leads to increased excess CO2 uptake in sink regions (Fig. 10b) compared to the POP2—waves coupled model.

#### **5 Conclusions**

This study quantified oceanic sea–air  $CO_2$  fluxes using both a control run of the CESM1 model and a newly developed POP2–waves coupled model, which builds on the CESM1 framework by incorporating the effects of wave dynamics, sea surface temperature, pH, and gas transfer velocity, including interactions between  $CO_2$  flux and the ocean carbonate–pH system. The architecture of the POP2–waves coupled model primarily addresses two challenges: (1) interpolating currents from the POP2 z-coordinate system to the sigma-coordinate system for wave radiation equation calculations, and (2) horizontal progression between adjacent grids is implemented to prevent discontinuities in wave energy at block boundaries (Fig. 1). Several recent studies have estimated the sea–air  $CO_2$  flux using Eq. (1), although current  $K_W$  parameterizations in global models (Table 1) still rely exclusively on  $U_{10}$  (e.g., Chikamoto and DiNezio, 2021; Danabasoglu et al., 2020; Seland et al., 2020; Mauritsen et al., 2019; Ziehn et al., 2020; Lovato et al., 2022; Sigmond et al., 2023).





417 In the POP2–waves coupled model,  $K_w$  follows the parameterization proposed by 418 Deike and Melville (2018), which relates the bubble-mediated  $K_w$  to friction velocity 419 and significant wave height. This parameterization captures the primary wave effects, 420 as well as solubility and diffusivity, and expresses  $K_w$  as the sum of non-bubble and 421 bubble components in Eq. (3), which is used to compare oceanic CO2 fluxes with 422 estimates from NOAA CarbonTracker CT2022 data. Overall, the spatial distribution of 423 the model-simulated CO<sub>2</sub> flux is broadly consistent with that of CT2022, although some 424 discrepancies remain. The prominent source and sink regions of the average CO2 flux 425 simulated by the POP2-waves experiment more closely resemble those in NOAA 426 CT2022 than those in B-CTL (Figs. 2 and 3), although notable discrepancies remain in 427 three regions—SPO2, SIO2, and NAO2—when compared with NOAA CT2022 (Fig. 428 4). 429 We find that larger deviations between POP2–waves  $K_w$  and U<sub>10</sub> occur when H<sub>s</sub>> 430 1.5 m (Fig. 5a-b), consistent with Gutiérrez-Loza et al. (2022), indicating that POP2-431 waves coupled model exhibits a stronger CO<sub>2</sub> flux than B-CTL under high U<sub>10</sub> (Fig. 6). 432 In addition, the bubble contribution to the total gas transfer velocity  $(K_{wB}/K_w)$  in POP2– 433 waves is approximately 38% over the entire space-time domain (Fig. 7(b)), slightly 434 higher than the  $\sim 30\%$  reported by Reichl and Deike (2020). These high  $K_{wB}/K_w$  regions 435 coincide with areas of elevated H<sub>s</sub> and U<sub>10</sub>. Likewise, our results show that bubbles 436 account for up to 41.3% of the total sea-air CO<sub>2</sub> flux, consistent with Zhou et al. (2023) 437 and Reichl and Deike (2020), who reported contributions of about 40%. 438 POP2–waves reduce high pCO<sub>2</sub> by more than 10 ppm over the equatorial western 439 Pacific and increase low  $pCO_2$  in other regions (Fig. 8b). Wave effects also result in 440 simulated pH differences of approximately positive (negative) 0.01 in ocean source 441 (sink) regions (Fig. 8d). To identify the factor most directly associated with oceanic 442 CO<sub>2</sub> flux, Figs. 9a and 9d shows that dpCO<sub>2</sub> (pH) exhibits the strongest positive





443 (negative) regression coefficient across the global ocean. Additionally,  $K_w$  shows a 444 positive (negative) regression coefficient with CO<sub>2</sub> flux in source (sink) regions, 445 whereas SST displays the opposite pattern relative to  $K_w$  (Figs. 9b and 9c). 446 Under the dpCO2-driven negative feedback associated with the interaction 447 between CO2 fluxes and the carbonate-pH system, POP2-waves show increases of 448 11.8%, 41.6%, and 1.8% in the CO<sub>2</sub> sink, source, and global average, respectively, 449 compared to B-CTL. However, in the absence of dpCO<sub>2</sub>-driven negative feedback, both 450 CO<sub>2</sub> sink and source regions exhibit unreasonably stronger fluxes than those in POP2– 451 waves (Fig. 10b), indicating that the increase in  $K_w$  due to wave effects—when coupled 452 with dpCO<sub>2</sub>-driven feedback—helps moderate the abnormal CO<sub>2</sub> flux enhancement, 453 bringing the estimates closer to NOAA CT2022 (Fig. 3). 454 Finally, the effects of the  $K_w$  bulk formula (Wanninkhof et al., 1992) and wave 455 dynamics (Deike and Melville, 2018) on sea-air CO2 flux (arrows), surface pH (tube 456 colors indicator), and dpCO<sub>2</sub> (circle markers) in the strongest sink (WP) and source (EP) 457 regions during the DJF and JJA seasons are schematically summarized in Fig. 11. B-458 CTL is illustrated in gray with black text, while differences between POP2-waves and 459 B-CTL are highlighted in red. Each panel features the ocean-atmosphere interface, with 460 ocean color shading representing relative SST levels across seasons, and the SST value 461 displayed in the lower-left corner of each panel. The B-CTL sea-air CO2 flux shows a 462 strong sink in DJF but only a weak sink in JJA over the WP, indicating that reduced 463 solubility at higher SSTs weakens the oceanic CO2 sink. POP2-waves further diminish 464 this sink effect. The seasonal differences in dpCO<sub>2</sub>, which correspond to changes in CO<sub>2</sub> 465 flux and are indicated by circle markers in Fig. 11a and 11c, reveal the interaction 466 between CO2 flux and the ocean carbonate-pH system. In contrast, the EP region 467 exhibits lower pH than the WP and acts as a strong CO2 source in both DJF and JJA





469 POP2-waves enhance the CO<sub>2</sub> flux but reduce the dpCO<sub>2</sub> difference. 470 471 Code and data availability. The model code of POP2–waves coupled model is available at https://doi.org/10.5281/zenodo.15795234. Input data of POP2-waves using the 472 473 climatological Hadley Centre Sea Ice and Sea Surface Temperature dataset and 474 GODAS data forcing, including 30-year numerical experiments, are available at 475 https://doi.org/10.5281/zenodo.5510795. 476 477 Author contributions. YYL is the sole developer of the POP2-waves coupled model 478 and writes the majority part of the paper. HHH provides computational support and 479 analysis suggestions. WLL supports reorganization and offers analytical 480 recommendations and SC offers a non-parallel wave module as part of the POM source 481 code. 482 483 Competing interests. The authors declare that they have no conflict of interest. 484 Acknowledgements. Our deepest gratitude goes to the editors and anonymous reviewers 485 for their careful work and thoughtful suggestions that have helped improve this paper 486 487 substantially. We sincerely thank the National Center for Atmospheric Research (NCAR) and their Atmosphere Model Working Group (AMWG) for release 488 489 CESM1.2.2. We are also grateful to the National Center for High- performance 490 Computing, Taiwan for providing the facilities for the computational procedures for 491 running POP2-waves simulations. Thanks, ChatGPT for correcting the English 492 grammar. 493 19

(Fig. 11b and 11d), with no obvious seasonal variation (consistent with Fay et al., 2024).





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# Table 1. Intercomparison of Earth System Model for estimating sea-air CO<sub>2</sub> flux

Earth	Ocean	CO <sub>2</sub> flux	$K_w$ 's element	Reference
System	components	consider		
Model	DOD2	waves	11 /37/ 11 C	C1.11 4 1
CESM1	POP2	no	U <sub>10</sub> (Wannikhof 1992)	Chikamoto and DiNezio, 2021;
			1992)	Chikamoto et al.,
				2023
CESM2	POP2	no	U <sub>10</sub> (Wannikhof	Danabasoglu et al.,
			2014)	2020
NorESM2	BLOM	no	U <sub>10</sub> (Wannikhof	Seland et al., 2020;
			2014)	Tjiputra et al.,
MDI	MDIOMA		II /W '11 C	2020
MPI- ESM1.2	MPIOM 1.6	no	U <sub>10</sub> (Wannikhof 2014)	Mauritsen et al., 2019:
ESWI1.2			2014)	Liu et al., 2021
ACCESS-	MOM5	no	U <sub>10</sub> (Wannikhof	Ziehn et al., 2020
ESM1.5			1992)	
CMCC-	NEMO v3.6	no	U <sub>10</sub> (Wannikhof	Lovato et al., 2022
ESM2			1992)	
CanESM5	CanNEMO	no	U <sub>10</sub> (Wannikhof	Sigmond et al.,
CEDI	MOM		1992)	2023
GFDL- ESM4.1	MOM6	no	U <sub>10</sub> (Wannikhof	Stock et al., 2020;
ESM4.1			2014)	Roobaert et al., 2024
IPSL-	NEMO-	no	U <sub>10</sub> (Wannikhof	Boucher et al.,
CM6A-LR	PISCES	110	1992)	2020
MIROC-	COCO 4.0	no	U <sub>10</sub> (Wannikhof	Hajima et al., 2020
ES2L			1992)	
UKESM1	NEMO v3.6	no	U <sub>10</sub> (Wannikhof	Yool et al., 2021
CHIDA	VIEWO		1992)	0/6/
CNRM-	NEMO-	no	U <sub>10</sub> (Wannikhof	Séférian et al.,
ESM2-1	PISCES POP2–wave	Yes	1992)	2019
CESM1- POP2-	coupled	res	<i>H<sub>s</sub></i> , u* (Deike and Melville, 2018)	This study
waves	model		1010101116, 2010)	
11 a 1 C 5	model			

956 Note: CESM1: the Community Earth System Model version 1 of the National Center

957 for Atmospheric Research (NCAR); CESM2: CESM version 2; POP2: the Parallel

Ocean Program version 2; NorESM2: The Norwegian Earth System Model version 2;

959 BLOM: Bergen Layered Ocean Model; MPI-ESM1.2: the Max Planck Institute for

Meteorology Earth System Model version 1.2; MPIOM 1.6: the Max-Planck Institute

Ocean Model version 1.6; ACCESS-ESM1.5: the Australian Community Climate and

962 Earth System Simulator form an Earth System Model version 1.5; MOM5: the GFDL

963 Modular Ocean Model version 5; CMCC-ESM2: the Euro-Mediterranean Centre on

964 Climate Change (CMCC) Earth System Model version 2; NEMO v3.6: Nucleus for

European Modelling of the Ocean version 3.6; CanESM5: the Canadian Earth System

Model version 5; CanNEMO: NEMO version 3.4 modified for CanESM; GFDL-

967 ESM4.1: the Geophysical Fluid Dynamics Laboratory's Earth System Model 4.1;

968 MOM6: the GFDL Modular Ocean Model version 6; IPSL-CM6A-LR :version 6 of

https://doi.org/10.5194/egusphere-2025-4773 Preprint. Discussion started: 19 December 2025 © Author(s) 2025. CC BY 4.0 License.





969	the Institut Pierre-Simon Laplace (IPSL) climate model; NEMO-PISCES: Nucleus for
970	European Modelling of the Ocean, Pelagic Interaction Scheme for Carbon and
971	Ecosystem Studies ocean general circulation and biogeochemistry model; MIROC-
972	ES2L: the Model for Interdisciplinary Research on Climate, Earth System version 2;
973	COCO 4.0: CCSR (Center for Climate System Research) Ocean Component Model
974	version 4.0: UKESM1: the U.K. Earth System Model; CNRM-ESM2-1: the Earth
975	system (ES) model of second generation developed by the Centre National de
976	Recherches Météorologiques (CNRM); CESM1-POP2-wave: POP2-waves coupled
977	model based on CESM1.
978	





979 Figure List 980 Figure 1. Architecture diagram for POP2–waves experiment in CESM1.2.2 981 framework, including components for the atmosphere [Community Atmosphere 982 Model version 5 (CAM5)], land [Community Land Model version 4 (CLM4)], ocean 983 [Parallel Ocean Program, version 2 (POP2), waves module (waves), and the Message 984 Passing Interface (MPI) utilities], sea ice [prognostic Los Alamos Sea Ice Model 985 (CICE)], and the coupler (CPL). 986 987 Figure 2. Climatological mean of ocean CO<sub>2</sub> flux (mol m<sup>-2</sup> yr<sup>-1</sup>; shaded) and monthly 988 standard deviation (SD: contour): (a) B-CTL; (b) POP2-waves; (c) NOAA CT2022: 989 red dashed lines highlight the primary sources and sinks of CO2 flux in the Pacific, 990 while black dashed lines denote other significant areas; (d) Based on NOAA CT2022, 991 12 prominent source and sink regions have been identified among the 30 areas in the 992 NOAA atmospheric CO2 inversion model. These include: (1) Pacific Ocean -993 Northwestern Pacific (NWP), Eastern Equatorial Pacific (EEP), Northeastern Pacific 994 (NEP), South Pacific Ocean 1 (SPO1), and South Pacific Ocean 2 (SPO2); (2) Indian 995 Ocean - North Indian Ocean (NIO), South Indian Ocean 1 (SIO1), and South Indian 996 Ocean 2 (SIO2); (3) Atlantic Ocean – North Atlantic Ocean 1 (NAO1), North Atlantic 997 Ocean 2 (NAO2), Equatorial Atlantic Ocean (EAO), and South Atlantic Ocean 998 (SAO). 999 1000 Figure 3. The monthly mean values of the high-correlation climatological average of 1001 CO<sub>2</sub> flux with NOAA CT2022 are based on nine characteristic regions (NWP, EEP, 1002 NEP, SPO1, NIO, SIO1, NAO1, EAO, and SAO) shown in Fig. 2d. The black dashed 1003 line represents B-CTL, the red solid line represents POP2-waves, and the blue solid 1004 line represents NOAA CT2022. The bar graph illustrates the monthly standard 1005 deviation as a percentage of the overall ranking range (95% minus 5%). Black hollow 1006 bars correspond to B-CTL, red solid bars to POP2-waves, and light blue hollow bars 1007 to NOAA CT2022. 1008 1009 Figure 4. Same as Fig. 3, but for the low-correlation climatological average of the 1010 simulated CO<sub>2</sub> flux compared with NOAA CT2022, based on the three characteristic 1011 regions (SPO2, SIO2, and NAO2). 1012 1013 **Figure 5.** Scatter plots of gas transfer velocity  $(K_w)$  versus  $U_{10}$  for (a) the Western 1014 Pacific (WP; 160–180°E, 35–40°N) and (b) the Eastern Pacific (EP; 230–250°E, 0– 1015 5°S). Gray open circles, green open squares, and blue dots with black edges represent 1016 B-CTL, POP2-waves data for  $H_s < 1.5$  m, and  $H_s > 1.5$  m, respectively.





1017 Corresponding simple linear regressions (SLRs) and squared correlation coefficients 1018 (R2) are shown with black dotted lines/text (B-CTL), orange solid lines/text (POP2-1019 waves, H<sub>s</sub> < 1.5 m), and red solid lines/text (POP2-waves, H<sub>s</sub> > 1.5 m). Panels (c) and (d) display the monthly mean values of  $K_w$  for WP and EP, respectively. Red and 1020 1021 orange solid lines/text indicate POP2-waves (H<sub>s</sub> > 1.5 m) and the average of all 1022 POP2-waves data, while the black dotted line/text represents B-CTL, red hollow bars 1023 for POP2-waves (H<sub>s</sub> > 1.5 m), orange for average POP2-waves, and black for B-1024 CTL. 1025 1026 Figure 6. Scatter plots of CO<sub>2</sub> flux (Kw) versus U<sub>10</sub> with regression lines over the 1027 Western Pacific (160–180°E, 35–40°N) under high-wind conditions ( $U_{10} > 10 \text{ m s}^{-1}$ ) 1028 for (a) B-CTL and (b) POP2-waves experiments. Black dots indicate monthly mean 1029 values from each experiment. Blue lines denote the 95% and 5% confidence limits of 1030 the mean response, while red lines denote the 95% and 5% prediction intervals. The regression equation (Y = mx + b), correlation coefficient (R), mean  $CO_2$  flux (Yavg), 1031 1032 and standard deviation (YSD) are shown to the right of the legend. 1033 1034 Figure 7. The average gas transfer velocity is influenced by the atmospheric factor 1035 and its corresponding ratio, as depicted in the figure: (a) Shaded areas represent 10-m wind speed ( $U_{10}$ , m s<sup>-1</sup>), with contours indicating sea level pressure (PSL, mb). (b) 1036 1037 The ratio of bubble-mediated components ( $K_{wB}$ ) to POP2–waves  $K_w$  is shown in color, 1038 with contours representing significant wave height (m). (c) Shaded areas represent  $K_w$ 1039 (B–CTL), with contours depicting the ratios of  $K_W$  ((POP2–waves–B–CTL)/B–CTL). (d) Shaded areas show the non-bubble-mediated component ( $K_{wNB}$ ), while contours 1040 1041 represent the ratios of  $(K_{WNB}-K_w(B-CTL))/K_w(B-CTL)$ . 1042 1043 Figure 8. The 30-year average and model difference of pCO<sub>2</sub> (ppm; panels a and b) 1044 and ocean surface pH (panels c and d), with the average represented by shading and 1045 the standard deviation by contours. Panels (a) and (c) present results from the B-CTL 1046 experiment, while panels (b) and (d) depict the differences between the POP2-waves 1047 and B-CTL experiments with a T-score corresponding to a 95% confidence level. 1048 1049 Figure 9. The 30-year averages of the linear regression coefficients (LRC) between 1050  $CO_2$  flux and (a)  $dpCO_2$ , (b)  $K_w$ , (c) SST, and (d) pH are shown for B–CTL (shaded), 1051 with contours representing differences between POP2-waves and B-CTL. White 1052 areas indicate LRCs that are insignificant at the 95% confidence level. All variables 1053 were normalized before regression.





Figure 10. Estimated $CO_2$ flux assuming lack of $dpCO_2$ -driven negative feedback. (a)
${ m CO_2}$ flux calculated using independent $dp{ m CO_2}$ values (from B-CTL) and $K_{ m W}$
incorporating wave effects (labeled as "lack of dpCO2-driven negative feedback"),
with shading representing the mean and contours indicating the SD, (b) Difference in
CO <sub>2</sub> flux between the "lack of dpCO <sub>2</sub> -driven negative feedback" case and the POP2-
waves simulation, with shading for the mean difference and contours for the SD
passing a 95% confidence level.
Figure 11. Schematic diagrams illustrate B-CTL (gray colors) and the differences
between POP2-waves and B-CTL (red colors) in CO2 flux (arrows), surface pH (tube
colors indicator), and sea-air dpCO2 (circle markers) over the CO2 sink (WP) and
CO <sub>2</sub> source (EP). Each panel includes an ocean-atmosphere interface, with ocean
color shading representing relative SST levels across different seasons. The lower-left
corner displays the SST value, (a) WP in DJF seasonal mean, (b) EP in DJF seasonal
mean, (c) WP in JJA seasonal mean, and (d) EP in JJA seasonal mean.



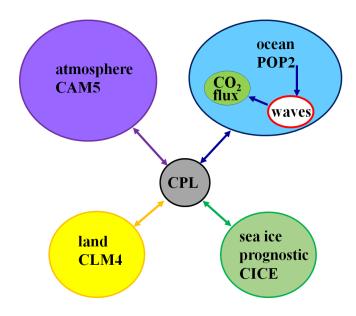
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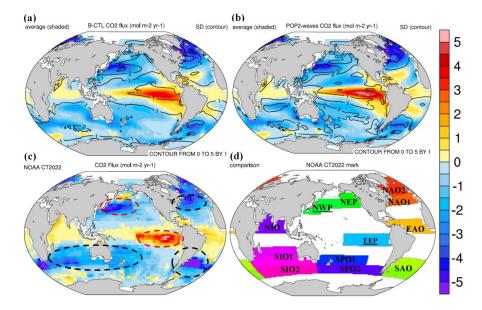
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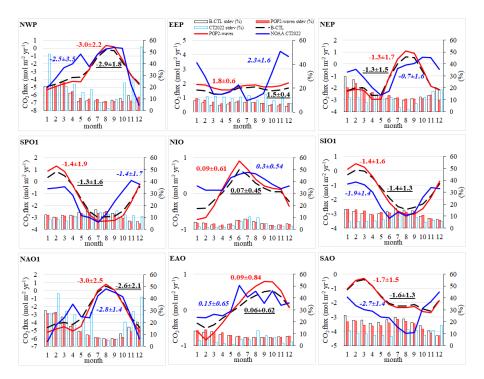
**Figure 1.** Architecture diagram for POP2–waves experiment in CESM1.2.2 framework, including components for the atmosphere [Community Atmosphere Model version 5 (CAM5)], land [Community Land Model version 4 (CLM4)], ocean [Parallel Ocean Program, version 2 (POP2), waves module (waves), sea ice [prognostic Los Alamos Sea Ice Model (CICE)], and the coupler (CPL).





**Figure 2.** Climatological mean of ocean CO<sub>2</sub> flux (mol m<sup>-2</sup> yr<sup>-1</sup>; shaded) and monthly standard deviation (SD: contour): (a) B–CTL; (b) POP2–waves; (c) NOAA CT2022: red dashed lines highlight the primary sources and sinks of CO<sub>2</sub> flux in the Pacific, while black dashed lines denote other significant areas; (d) Based on NOAA CT2022, 12 prominent source and sink regions have been identified among the 30 areas in the NOAA atmospheric CO<sub>2</sub> inversion model. These include: (1) Pacific Ocean – Northwestern Pacific (NWP), Eastern Equatorial Pacific (EEP), Northeastern Pacific (NEP), South Pacific Ocean 1 (SPO1), and South Pacific Ocean 2 (SPO2); (2) Indian Ocean – North Indian Ocean (NIO), South Indian Ocean 1 (SIO1), and South Indian Ocean 2 (SIO2); (3) Atlantic Ocean – North Atlantic Ocean 1 (NAO1), North Atlantic Ocean 2 (NAO2), Equatorial Atlantic Ocean (EAO), and South Atlantic Ocean (SAO).

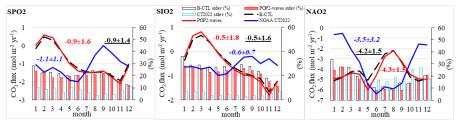




**Figure 3.** The monthly mean values of the high-correlation climatological average of CO<sub>2</sub> flux with NOAA CT2022 are based on nine characteristic regions (NWP, EEP, NEP, SPO1, NIO, SIO1, NAO1, EAO, and SAO) shown in Fig. 2d. The black dashed line represents B–CTL, the red solid line represents POP2–waves, and the blue solid line represents NOAA CT2022. The bar graph illustrates the monthly standard deviation as a percentage of the overall ranking range (95% minus 5%). Black hollow bars correspond to B–CTL, red solid bars to POP2–waves, and light blue hollow bars to NOAA CT2022.

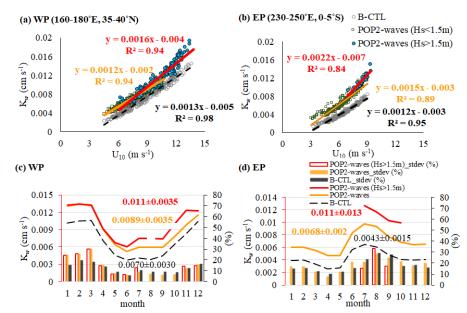






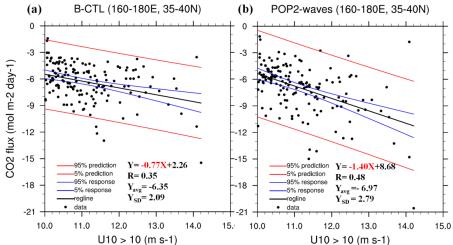
**Figure 4.** Same as Fig. 3, but for the low-correlation climatological average of the simulated CO<sub>2</sub> flux compared with NOAA CT2022, based on the three characteristic regions (SPO2, SIO2, and NAO2).





**Figure 5.** Scatter plots of gas transfer velocity ( $K_w$ ) versus U<sub>10</sub> for (a) the Western Pacific (WP; 160–180°E, 35–40°N) and (b) the Eastern Pacific (EP; 230–250°E, 0–5°S). Gray open circles, green open squares, and blue dots with black edges represent B–CTL, POP2–waves data for H<sub>s</sub> < 1.5 m, and H<sub>s</sub> > 1.5 m, respectively. Corresponding simple linear regressions (SLRs) and squared correlation coefficients (R²) are shown with black dotted lines/text (B–CTL), orange solid lines/text (POP2–waves, H<sub>s</sub> < 1.5 m), and red solid lines/text (POP2–waves, H<sub>s</sub> > 1.5 m). Panels (c) and (d) display the monthly mean values of  $K_w$  for WP and EP, respectively. Red and orange solid lines/text indicate POP2–waves (H<sub>s</sub> > 1.5 m) and the average of all POP2–waves data, while the black dotted line/text represents B–CTL. red hollow bars for POP2–waves (H<sub>s</sub> > 1.5 m), orange for average POP2–waves, and black for B–CTL.

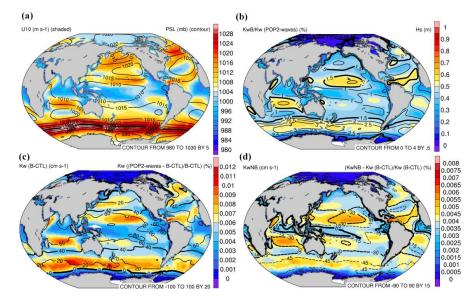




**Figure 6.** Scatter plots of CO<sub>2</sub> flux ( $K_w$ ) versus U<sub>10</sub> with regression lines over the Western Pacific (160–180°E, 35–40°N) under high-wind conditions (U<sub>10</sub> > 10 m s<sup>-1</sup>) for (a) B–CTL and (b) POP2–waves experiments. Black dots indicate monthly mean values from each experiment. Blue lines denote the 95% and 5% confidence limits of the mean response, while red lines denote the 95% and 5% prediction intervals. The regression equation (Y = mx + b), correlation coefficient (R), mean CO<sub>2</sub> flux (Y<sub>avg</sub>), and standard deviation (Y<sub>SD</sub>) are shown to the right of the legend.



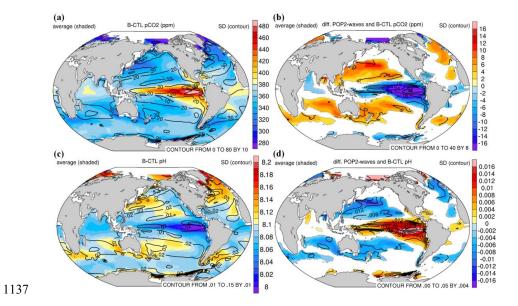




**Figure 7.** The average gas transfer velocity is influenced by the atmospheric factor and its corresponding ratio, as depicted in the figure: (a) Shaded areas represent 10-m wind speed (U<sub>10</sub>, m s<sup>-1</sup>), with contours indicating sea level pressure (PSL, mb). (b) The ratio of bubble-mediated components ( $K_{wB}$ ) to POP2–waves  $K_w$  is shown in color, with contours representing significant wave height (m). (c) Shaded areas represent  $K_w$  (B–CTL), with contours depicting the ratios of  $K_w$  ((POP2–waves–B–CTL)/B–CTL). (d) Shaded areas show the non-bubble-mediated component ( $K_{wNB}$ ), while contours represent the ratios of ( $K_{wNB}$ – $K_w$  (B–CTL))/ $K_w$  (B–CTL).



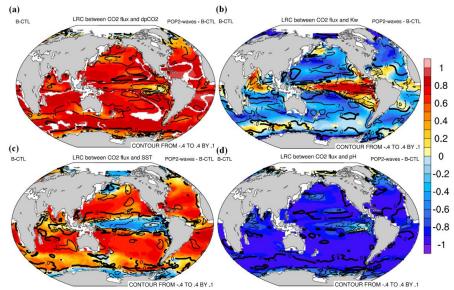
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**Figure 8.** The 30-year average and model difference of pCO<sub>2</sub> (ppm; panels a and b) and ocean surface pH (panels c and d), with the average represented by shading and the standard deviation by contours. Panels (a) and (c) present results from the B–CTL experiment, while panels (b) and (d) depict the differences between the POP2–waves and B–CTL experiments with a T-score corresponding to a 95% confidence level.



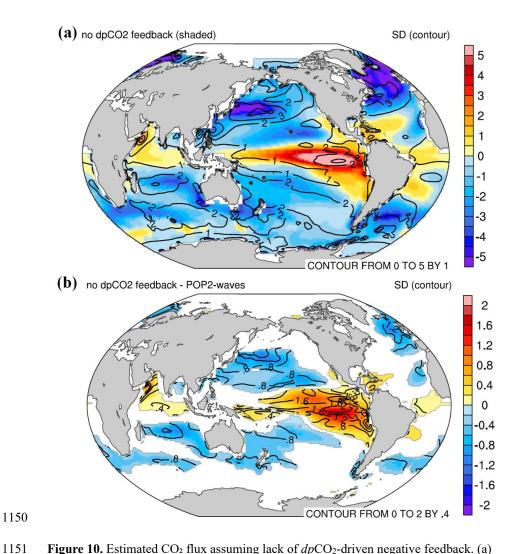




**Figure 9.** The 30-year averages of the linear regression coefficients (LRC) between CO<sub>2</sub> flux and (a) dpCO<sub>2</sub>, (b)  $K_w$ , (c) SST, and (d) pH are shown for B–CTL (shaded), with contours representing differences between POP2–waves and B–CTL. White areas indicate LRCs that are insignificant at the 95% confidence level. All variables were normalized before regression.



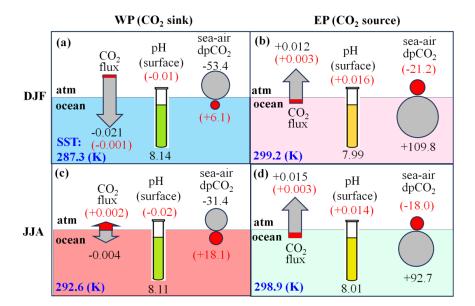




**Figure 10.** Estimated CO<sub>2</sub> flux assuming lack of dpCO<sub>2</sub>-driven negative feedback. (a) CO<sub>2</sub> flux calculated using independent dpCO<sub>2</sub> values (from B–CTL) and  $K_w$  incorporating wave effects (labeled as "lack of dpCO<sub>2</sub>-driven negative feedback"), with shading representing the mean and contours indicating the SD, (b) Difference in CO<sub>2</sub> flux between the "lack of dpCO<sub>2</sub>-driven negative feedback" case and the POP2—waves simulation, with shading for the mean difference and contours for the SD passing a 95% confidence level.







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