

Wave effect mechanisms enhancing air-sea CO₂ exchange and modulating seawater carbonate-pH adaptation in the POP2-waves coupled model

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Abstract

Wave and bubble-mediated processes impact air-sea CO₂ flux by enhancing the gas transfer velocity—normalized to a Schmidt number of 660 ($K_{w,660}$)—primarily through their dependence on significant wave height (H_s). Neglecting wave and bubble processes may lead to an underestimation of air-sea CO₂ flux under high 10-m wind speeds (U_{10}) in most state-of-the-art climate models. In this study, a waves module from the Princeton Ocean Model (POM) is integrated into the Parallel Ocean Program version 2 (POP2) within the CESM1.2.2 framework. This coupled model, termed POP2-waves, is evaluated alongside a control simulation (B-CTL) against National Oceanic and Atmospheric Administration (NOAA) CarbonTracker (CT2022) air-sea CO₂ flux data. Overall, bubbles contribute up to 41.3% to the total air-sea CO₂ flux, a finding consistent with recent literature. Furthermore, the spatial distribution of air-sea CO₂ flux in POP2-waves broadly agrees with NOAA CT2022 product, although regional discrepancies remain. Under the dpCO₂-driven negative feedback, the POP2-waves experiment yields increases of 11.8% and 41.6% in negative and positive air-sea CO₂ flux regions, with a 1.8% increase in the global ocean carbon sink compared to the B-CTL control. The air-sea dpCO₂ (pH) exhibits the strongest positive (negative) regression coefficient with air-sea CO₂ flux across the global ocean. Additionally, $K_{w,660}$ shows a positive (negative) regression coefficient with the positive (negative) air-sea CO₂ flux regions, while SST displays the opposite pattern relative to $K_{w,660}$.

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已刪除: Under the sea-air partial pressure differences (dpCO₂) negative feedback associated with the interaction between CO₂ fluxes and the carbonate-pH system, POP2-waves show increases of 11.8%, 41.6%, and 1.8% in the CO₂ sink, source, and global average, respectively, compared to the B-CTL....

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1. Introduction

The exchange of CO₂ between the air and sea is a crucial component of the global carbon cycle, carrying significant implications for Earth's climate (Bange et al., 2024; Friedlingstein et al., 2022; McKinley et al., 2020; Müller et al., 2023; Shutler et al., 2019). Traditionally, the exchange of CO₂ across the air-sea interface can be characterized using the following bulk formula (e.g., Wanninkhof, 1992, 2014; McKinley et al., 2020; Dong et al., 2022; Fay et al., 2021; Zhou et al., 2023; Heimdal et al., 2024):

$$FCO_2 = K_{w,660} \cdot k_0 \cdot (pCO_2^w - pCO_2^a) \cdot (1 - ice) \quad (1)$$

where the air-sea CO₂ flux (FCO₂) is determined by the gas transfer velocity expressed relative to a Schmidt number of 660 ($K_{w,660}$), the difference in partial pressure of CO₂ (ΔpCO_2) between the seawater and atmosphere (indicated by superscripts "w" and "a", respectively), and the solubility constant (k_0), which varies with salinity and temperature (Weiss, 1974). The direction of positive or negative air-sea CO₂ flux follows $pCO_2^w - pCO_2^a$, with positive air-sea CO₂ flux indicating ocean outgassing to the atmosphere. Moreover, the fluxes are weighted by 1 minus the ice fraction (ice). The estimation of bulk air-sea CO₂ fluxes in $K_{w,660}$ is typically similar to the Wanninkhof (1992) equation:

$$K_{w,660} = 0.31 \cdot \langle U_{10}^2 \rangle \cdot (Sc/660)^{-0.5} \quad (2)$$

where $\langle U_{10}^2 \rangle$ represents the squared neutral mean wind speed at 10 m above the surface, and Sc denotes the Schmidt number. The flux estimate error can reach as high as 34% due to model limitations and uncertainties surrounding bulk parameters. (Signorini and McClain, 2009). However, significant uncertainties persist in estimates of air-sea CO₂ flux, primarily stemming from an incomplete understanding of the spatiotemporal variability in the governing mechanisms (Shutler et al., 2019).

Some studies have highlighted that estimating air-sea CO₂ fluxes involves

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considerations beyond just neutral wind speed and solubility factors. Monahan and Spillane (1984) previously regarded whitecaps as "low impedance vents", effectively "shortening" the water-side transfer resistance. Without wave breaking, air-sea CO₂ exchange occurs via mass transport, which under non-turbulent conditions is governed by molecular diffusion, while breaking acts as a transitional process from laminar to turbulent flow (Deike, 2022). Bubbles offer an extra surface for gas transfer, rising through the water-side mass boundary layer of the water surface and bursting at the water surface, enhancing near-surface turbulence (Soloviev and Lukas, 2010; Bell et al., 2017; Deike and Melville, 2018; Krall et al., 2019; Czerski et al., 2022). Gutiérrez-Loza et al. (2022) pointed out that sea spray transported into the atmosphere and ultimately evaporating serves an efficient mechanism for air-sea CO₂ exchange during high and intermediate wind speeds (above 6–8 m s⁻¹), whereas during low wind speeds (<6 m s⁻¹), water-side convection was identified as a significant control mechanism. Several studies corroborate the significance of breaking waves and bubble injection mechanisms in facilitating CO₂ transfer (e.g., Andreas et al., 2016; Brumer et al., 2017; Blomquist et al., 2017; Reichl and Deike, 2020; Li et al., 2023; Zhou et al., 2023; Rustogi et al., 2025). Deike and Melville (2018) demonstrated that the bubble-mediated contribution to CO₂ transfer exceeds 40% at a neutral 10-meter wind speed (U_{10}) is > 10 m s⁻¹, becoming dominant at $U_{10} \geq 15$ m s⁻¹ and reaching 60% at 20 m s⁻¹.

Monitoring the sea surface CO₂ content is crucial for comprehending the Earth system, as climate change has begun to impact the ocean's carbon uptake capacity (Behncke et al., 2024). However, indirect estimates of partial pressure of CO₂ (pCO₂) derived from pH and salinity measurements still entail uncertainties (e.g., Williams et al., 2017; Gray et al., 2018; Coggins et al., 2023; Behncke et al., 2024; Fay et al., 2024; Yang et al., 2024). Direct flux measurements in marine environments using the eddy covariance method can be conducted with both open- and closed-path analyzers. A

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已刪除: Direct observation is the most desirable tool for understanding the real world, but the scarcity of pCO₂ and sea-air CO₂ flux measurements results in relatively short time series. The direct measurements involved discrete samples with an equilibrator system and the use of closed-path CO₂ gas analyzers to measure pCO₂ (Sutton et al., 2014, 2021; Bakker et al., 2016; Sabine et al., 2020; Akhand et al., 2021; Wu and Qi, 2023). In addition to closed-path CO₂ measurements, direct measurements of the open-path CO₂ flux using the eddy covariance technique were conducted over the open ocean, revealing CO₂ fluxes were within a factor of 40 of those estimated by Eq. (1) (Edson et al., 2011; Tokoro et al., 2014; Bell et al., 2017; Dong et al., 2021; Van Dam et al., 2021).

closed-path infrared gas analyzer (e.g., LI-7000, LI-COR) operates with an enclosed measurement chamber (Sutton et al., 2014, 2021; Bakker et al., 2016; Sabine et al., 2020; Akhand et al., 2021; Wu and Qi, 2023), whereas open-path analyzers (e.g., LI-7500, LI-COR) measure infrared absorption directly in ambient air (Edson et al., 2011; Tokoro et al., 2014; Bell et al., 2017; Dong et al., 2021; Van Dam et al., 2021). Both types are affected by H₂O cross-sensitivity, but each has its own advantages and limitations (Honkanen et al., 2018). Local air-sea CO₂ flux observations present challenges for validating global Earth system models (ESMs) because direct long-term global observations of air-sea CO₂ flux are limited. As a result, most validation datasets are derived from sparse ship-based pCO₂ observations combined with statistical interpolation, machine learning, atmospheric inversion, and climatological averaging to construct gridded long-term flux products.

Several recent studies have estimated the air-sea CO₂ flux using Eq. (1), while current parameterizations in oceanic and atmospheric models still rely exclusively on neutral wind speed (Long et al., 2013; Moore et al., 2013; Couldrey et al., 2016; Jin et al., 2017; Lovenduski et al., 2019; Ziehn et al., 2020; Chikamoto and DiNezio, 2021) and through surface ocean observation-based products, with air-sea CO₂ flux calculated using a bulk parameterization approach (Wanninkhof et al., 1992, 2014; Fay et al., 2021). The uptake of anthropogenic CO₂ by the oceans is lowering pH and altering carbonate chemistry in nonlinear ways, further reducing the oceans' capacity to absorb additional CO₂ (Moore et al., 2013). The mechanisms of breaking waves and bubble injection in facilitating $K_{w,660}$ have been examined primarily through data analysis of non-model simulations (e.g., Andreas et al., 2016; Blomquist et al., 2017; Reichl and Deike, 2020; Zhou et al., 2023). Rustogi et al. (2025) employs a fully coupled ocean-biogeochemistry modeling system based on the MOM6 ocean circulation model and the COBALTv2 biogeochemical module. This framework simulates ocean dynamics,

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temperature, and carbon cycling processes including dissolved inorganic carbon (DIC), pCO₂, and wave effects in the air–sea CO₂ exchange. They also describe a nonlinear pCO₂ feedback mechanism within the coupled ocean–carbon system that regulates air–sea CO₂ flux.

In this study, we aim to investigate the significance of breaking waves and bubble injection mechanisms through ocean biogeochemistry response on the air–sea CO₂ flux and ocean’s buffering capacity through the carbonate–pH system, using the Parallel Ocean Program version 2 (POP2; Smith et al., 2010) of the Community Earth System Model version 1.2.2 (CESM1.2.2; Hurrell et al., 2013) coupled with waves module (Mellor et al., 2008) of the Princeton Ocean Model (POM; Blumberg and Mellor, 1987). The coupled model is referred to as POP2–waves.

The structure of this paper is organized as follows. Section 2 introduces the model, data, methodology, and experiments employed in this study. Twelve key regions of high air–sea CO₂ flux variability, identified by NOAA CT2022, are defined here to validate the model-simulated air–sea CO₂ fluxes. The performance of the POP2–waves coupled model in simulating air–sea CO₂ flux and its interaction with the carbonate–pH system over the Western Pacific region (WP, 160–180°E, 35–40°N) and the Equatorial Pacific region (EP, 230–250°E, 0–5°S) in Section 3, while Section 4, we compare the carbonate–pH dynamics of POP2–waves and B–CTL, examine the primary drivers of air–sea CO₂ exchange, and evaluate the uncertainties arising from the absence of dpCO₂-driven negative feedback. Finally, Section 5 presents the conclusions.

2. Data, model experiments, and methodology

2.1 Description of the model framework and experiments

In this study, we investigated the role of waves and bubble mechanisms through ocean biogeochemistry response between B–CTL and POP2–waves coupled model in

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The spatial patterns of sea–air CO₂ flux simulated by the coupled CESM1 and POP2–waves coupled model are compared with estimates from the National Oceanic and Atmospheric Administration’s (NOAA) CarbonTracker modeling and data assimilation system version 2022 (CT2022; Jacobson et al., 2023), which provides global surface–atmosphere CO₂ flux estimates spanning January 2000 through December 2020. We analyzed the seasonal variations and mean states of CO₂ flux globally, especially in primary sources and sinks of CO₂ flux. Some uncertainties in the assimilation dataset arise from factors such as anthropogenic influences and ice melt; therefore, following Fay et al. (2024), this study excludes the coastal ocean and high-latitude sea regions from the model comparison.

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CESM1.2.2 framework (Fig. 1). The CESM simulation is conducted using a fully coupled component set over a 30-year period, with well-mixed greenhouse gases (CO₂, CH₄, N₂O, etc.), ozone, and aerosols fixed to year-2000 values (B_2000_CAM5 compsets). It has a horizontal resolution of 1.9° × 2.5° in Community Atmosphere Model 5.3 (CAM5.3) and a nominal 1° horizontal resolution with 60 vertical layers in POP2, Community Land Model version 4 (CLM4), prognostic Los Alamos Sea Ice Model (CICE), and the coupler (CPL) (Hurrell et al., 2013). POP2 is forced by the atmosphere (CAM5.3) through the coupler (CPL), including (1) momentum fluxes (zonal and meridional wind stress and friction velocity), (2) heat fluxes (net shortwave radiation, sensible heat flux, longwave radiation, and heat flux from snow and ice melt), (3) freshwater fluxes (precipitation, evaporation, river runoff, and ice melt), and (4) surface winds (10 m zonal and meridional wind speeds). POP2 interacts with the Marine Biogeochemistry (MARBL; Long et al., 2021) module via ocean dynamics (currents and sea surface height), K-profile vertical mixing, and tracer transport, with additional contributions from the wave module, to influence carbonate chemistry and air-sea CO₂ flux. In the MARBL module, changes in DIC and nutrients (NO₃, PO₄, and Fe) are primarily controlled by biological processes. Photosynthesis consumes DIC and nutrients, while respiration and remineralization return carbon to DIC. Together with the wave module, these processes regulate the carbon cycle and influence air-sea CO₂ exchange. This study follows the NCAR CESM1 framework, in which the default friction velocity (u^*) is computed in the CPL as part of the bulk aerodynamic formulation for air-sea momentum exchange.

A control air-sea CO₂ flux and seawater acidification simulation (B-CTL) uses Eq. (1), where $K_{w,660}$ is the Wanninkhof (1992) equation (Eq. 2). We use the present-day scenario (starting from the year 2000) to estimate air-sea CO₂ flux, $pCO_2^w - pCO_2^a$ and pH across both B-CTL and POP2-waves experiments, allowing us to focus on the

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257 impact of wave effects on carbonate chemistry and to compare the results with the
 258 existing [NOAA CT2022 \(Jacobson et al., 2023\)](#). In the wave effect mechanism
 259 simulations, we coupled the waves module (Mellor et al., 2008) of the POM model into
 260 POP2 (POP2–waves experiment) and incorporated bubble-mediated gas transfer,
 261 computed from a mechanistic model for air bubble entrainment at the breaking wave
 262 scale (Deike and Melville, 2018), combined with the POP2 [biogeochemistry](#) response.

263 POP2–waves experiment adopts the parameterization proposed by Deike and
 264 Melville (2018), Reichl and Deike (2020), and Deike (2022) for [both the non-bubble-](#)
 265 [mediated and](#) bubble-mediated gas transfer velocity as a function of friction velocity,
 266 u_* (m s⁻¹) and significant wave height, H_s (m). This parameterization captures the main
 267 wave effect, as well as solubility and diffusivity, effectively consolidating for CO₂ by
 268 expressing [relative to a Schmidt number of 660 for](#) the gas transfer velocity as the sum
 269 of the non-bubble ($k_{wNB,660}$) and bubble ($k_{wB,660}$) components, following Deike and
 270 Melville (2018):

$$271 \quad K_{w,660} = K_{wNB,660} + K_{wB,660} = A_{NB} u_* \left(\frac{Sc}{660} \right)^{-1/2} + \frac{A_B}{K_0 R T_0} u_*^{5/3} (g H_s)^{2/3} \left(\frac{Sc}{660} \right)^{-1/2} \quad (3)$$

272 where A_{NB} is an empirical, nondimensional coefficient given as 1.55×10^{-4} , A_B is a
 273 dimensional fitting coefficient ($1.2 \times 10^{-5} \text{ s}^2 \text{ m}^{-2}$), R is the ideal gas constant (0.082 L
 274 $\text{atm mol}^{-1} \text{ K}^{-1}$), T_0 is the sea surface temperature, SST (K), and g is gravitational
 275 acceleration (9.806 m s^{-2}). In addition, Section 3.3 will examine the contribution ratios
 276 of the non-bubble and bubble components in simulating the [air-sea](#) CO₂ flux.

278 2.2 Coupling POP2–waves Methodology

279 The architecture for the POP2–waves experiment in the CESM1.2.2 framework is
 280 shown in Fig. 1. [Except for the ocean component, all other components follow the](#)
 281 [CESM 1.2.2 framework. The wave module requires variables to be passed through the](#)

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286 CPL, POP2, and an input file. In addition to the original component relationships in
287 CESM1.2.2, we obtain zonal and meridional 10-m winds, as well as friction velocity
288 (including sea surface and the ice fraction) from the CPL, to calculate the wave's
289 property and $K_{w,660}$. We require physical variables from POP2 to drive the wave module
290 calculations, including interpolating the depth-variable currents of the POP2 z-
291 coordinates (60 levels of zonal and meridional horizontal velocity) into the POM
292 (waves) sigma-coordinates (21 levels) for calculating the depth-dependent wave
293 radiation equation and the specified spectrum, as well as ocean grid information in the
294 x, y, and z coordinates and the timestep information. The wave module requires external
295 input variables (F1–F4), which are spectrally averaged components of wave radiation
296 stress used in the momentum equation.

297 When simulating wave energy or propagation, ignoring parallel computational
298 efficiency can cause artificial wave energy buildup at block boundaries in the domain
299 decomposition. This study follows the POP2 Message Passing Interface (MPI) protocol
300 for parallel computing, incorporating wave-module variables such as zonal and
301 meridional 10-m winds, wave radiation stress, subsurface momentum, and wave
302 radiation and energy densities, as illustrated by the blue circle in Fig. 1.

304 **2.3 Model Validation**

305 We compare the spatial patterns of air-sea CO₂ flux simulated by the control
306 CESM1 simulation (B–CTL) and the POP2–waves model against estimates from the
307 NOAA CarbonTracker data assimilation system (CT2022; Jacobson et al., 2023).
308 Unlike our ocean-centric simulations, CT2022 adopts an atmospheric perspective,
309 inferring air-sea CO₂ fluxes from observed atmospheric CO₂ mole fractions. This
310 product integrates the cumulative effects of anthropogenic and natural carbon processes
311 to provide global flux estimates from January 2000 to December 2020.

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Adopting the framework established by Jacobson et al. (2007), NOAA CT2022 partitions the global ocean into 30 basins to accurately represent large-scale circulation and biogeochemical dynamics. This basin-based approach groups regions by their internal coherence in physical processes—such as currents, upwelling, and mixing—and biogeochemical behaviors, including air–sea CO₂ exchange and biological activity. Moreover, since many observational datasets and ocean carbon products align with regional scales, basin-based flux estimation ensures greater consistency. We examined the seasonal variability and mean state of global CO₂ fluxes, excluding regions where flux sign reverses across seasons—an effect that may introduce substantial uncertainty in model–data comparisons—with particular emphasis on areas exhibiting the strongest oceanic CO₂ flux signals. Some uncertainties in the assimilation dataset arise from factors such as anthropogenic influences and ice melt; therefore, following Fay et al. (2024), this study excludes the coastal ocean and high-latitude sea regions from the model comparison.

Figure 2c highlights the positive and negative CO₂ flux in the Pacific using red dashed lines, while black dashed lines denote other significant regions. Based on these highlighted areas, Fig. 2d highlights 12 key regions of high air–sea CO₂ flux variability identified by NOAA CT2022. These are selected from the 30 ocean basins defined in the CT2022 model documentation (Jacobson et al., 2023) for optimizing flux inversions. These regions include: (1) Pacific Ocean – Northwestern Pacific (NWP), Eastern Equatorial Pacific (EEP), Northeastern Pacific (NEP), South Pacific Ocean 1 (SPO1), and South Pacific Ocean 2 (SPO2); (2) Indian Ocean – North Indian Ocean (NIO), South Indian Ocean 1 (SIO1), and South Indian Ocean 2 (SIO2); and (3) Atlantic Ocean – North Atlantic Ocean 1 (NAO1), North Atlantic Ocean 2 (NAO2), Equatorial Atlantic Ocean (EAO), and South Atlantic Ocean (SAO).

3. Results

3.1 The climatological mean and seasonal variations of air-sea CO₂ flux

We use the traditional air-sea CO₂ flux bulk formula (Eq. 1) in CESM1.2.2 while also incorporating the effects of waves and bubble-mediated processes with K_w , which is parameterized based on u_* , SST, and H_s (Eq. 3). Figure 2a–c compares the climatological mean ocean CO₂ flux ($\text{mol m}^{-2} \text{ yr}^{-1}$; shaded) and the monthly standard deviation (SD; contours) among B–CTL, POP2–waves, and NOAA CT2022. Overall, the spatial distribution of the model-simulated CO₂ flux is broadly consistent with that of CT2022, with most differences less than $0.5 \text{ (mol m}^{-2} \text{ yr}^{-1})$ shown in white (Fig. 2e–f), although some discrepancies persist. The primary positive and negative air-sea CO₂ flux patterns in the Pacific simulated by the POP2–waves experiment more closely resemble those in NOAA CT2022 than those in B–CTL, although the POP2–waves experiment exhibits a larger monthly SD than B–CTL over both the Pacific and Indian Oceans.

For the analysis of our results, this study categorized the 12 regions into two groups: those demonstrating close agreement with NOAA CT2022 (9 regions) and those exhibiting significant discrepancies (3 regions). Figure 3 presents a close agreement between the model-simulated CO₂ fluxes and the NOAA CT2022 dataset across the NWP, EEP, NEP, SPO1, NIO, SIO1, NAO1, EAO, and SAO. Monthly mean values for B–CTL (black dashed), POP2–waves (red solid), and NOAA CT2022 (blue solid) are plotted with corresponding standard deviations (SD) shown as colored bars. The POP2–waves simulation generally exhibits higher SD than B–CTL, indicating greater seasonal variability. While typically below $3 \text{ mol m}^{-2} \text{ yr}^{-1}$, the SD peaks during boreal winter, driven by intense negative air-sea CO₂ fluxes in high-latitude Northern Hemisphere regions such as the NWP, NEP, and NAO1. In contrast, the Southern Hemisphere exhibits large monthly SD alongside relatively strong negative air-sea CO₂

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已删除: Figure 2c highlights the primary sources and sinks of CO₂ flux in the Pacific using red dashed lines, while black dashed lines denote other significant regions. Based on these highlighted areas, Fig. 2d presents 12 prominent source and sink regions identified from NOAA CT2022, selected from the 30 regions defined in the NOAA atmospheric CO₂ inversion model. These regions include: (1) Pacific Ocean – Northwestern Pacific (NWP), Eastern Equatorial Pacific (EEP), Northeastern Pacific (NEP), South Pacific Ocean 1 (SPO1), and South Pacific Ocean 2 (SPO2); (2) Indian Ocean – North Indian Ocean (NIO), South Indian Ocean 1 (SIO1), and South Indian Ocean 2 (SIO2); and (3) Atlantic Ocean – North Atlantic Ocean 1 (NAO1), North Atlantic Ocean 2 (NAO2), Equatorial Atlantic Ocean (EAO), and South Atlantic Ocean (SAO).

已删除: Figure 3 presents the nine characteristic regions of model-simulated CO₂ flux. The seasonal variations in these regions are generally consistent with those in CT2022

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已删除: The black dashed line represents B–CTL, the red solid line represents POP2–waves, and the blue solid line represents NOAA CT2022. The bar graph shows the monthly standard deviation as a percentage of the overall range (95% minus 5%). Black hollow bars correspond to B–CTL, red bars to POP2–waves, and light blue hollow bars to NOAA CT2022. Fay et al. (2024) attributed seasonal variations in

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423 flux during the boreal summer in regions such as SPO1, SIO1, and SAO. The monthly
424 SD of NOAA CT2022 exhibits substantial regional variability, with values in the NWP,
425 EEP, and NAO1 regions exceeding those of both the POP2-waves and B-CTL
426 simulations.

427 Three regions—SPO2, SIO2, and NAO2—exhibit model discrepancies when
428 compared with NOAA CT2022 (Fig. 4), which are not attributable to wave effects or
429 the CO₂ flux bulk formula. In NAO2, both B-CTL and POP2-waves show seasonal
430 variations similar to those in NAO1, with strong negative air-sea CO₂ flux during the
431 boreal winter, whereas NOAA CT2022 displays the opposite seasonal pattern in this
432 region. A similar pattern is observed in SPO2, where the seasonal variations in both B-
433 CTL and POP2-waves resemble those in SPO1 but contrast with the trend shown by
434 NOAA CT2022.

435
436 **3.2 The relationship between U_{10} and $K_{w,660}$ in two regions with contrasting wind**
437 **speed regimes.**

438 To demonstrate that the sea state-dependent $K_{w,660}$ (Deike and Melville, 2018)
439 provides a more suitable estimate of CO₂ flux than the wind-only $K_{w,660}$ (Wanninkhof,
440 1992) under high-wind conditions, we selected two regions with contrasting wind
441 regimes: (a) the WP denotes the Western Pacific region (160–180°E, 35–40°N), where
442 more than 30% of U_{10} exceeds 10 m s⁻¹, and (b) the EP denotes the Equatorial Pacific
443 region (230–250°E, 0–5°S), where all U_{10} values are below 10 m s⁻¹. These regions
444 also exhibit stable and significantly positive or negative oceanic CO₂ fluxes, enabling
445 a comparison of the correlation between $K_{w,660}$ and U_{10} , as shown in Fig. 5a and 5b.
446 Gray open circles represent $K_{w,660}$ calculated using the Wanninkhof (1992) 10-m wind
447 speed equation (B-CTL) with their simple linear regression (SLR) and squared
448 correlation coefficient (R^2) shown in black line and text. Green open squares represent

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EEP display minimal seasonal variability in CO₂ flux,
maintaining a persistent year-round efflux, consistent with the
findings of Fay et al. (2024) in the equatorial Pacific. In
contrast, NOAA CT2022 shows a greater degree of seasonal
variability in the EEP...

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POP2-waves and B-CTL experiments over the smaller area
of the Pacific's primary sources and sinks of CO₂ flux (Fig.
2c) is shown in Fig. 5 for (a) WP (160–180°E, 35–40°N, Fig.
5a) and (b) EP (230–250°E, 0–5°S, Fig. 5b).

POP2–waves data for $H_s < 1.5\text{ m}$ (normal conditions) with the orange solid line and text indicating its SLR and R^2 , while blue dots denote cases where $H_s > 1.5\text{ m}$ (enhanced conditions) with the red solid line and text depicting their SLR and R^2 .

Generally, the B–CTL data shows higher R^2 but lower $K_{w,660}$ values compared to POP2–waves $K_{w,660}$ across all WP and EP. POP2–waves exhibits a slightly lower R^2 than B–CTL due to greater deviations between $K_{w,660}$ and U_{10} , particularly at higher surface wind speeds, consistent with the findings of Gutiérrez-Loza et al. (2022) under conditions where $H_s > 1.5\text{ m}$. While the relationship between $K_{w,660}$ and U_{10} —when based on monthly normal conditions means ($H_s < 1.5\text{ m}$) and daily data filtered for $H_s > 1.5\text{ m}$ (enhanced conditions) and then aggregated into 30-year monthly averages—substantially diminishes differences in $K_{w,660}$ at the same U_{10} . However, short-term (30-min) observations indicate that $K_{w,660}$ can be up to twice as high under similar wind speeds (Gutiérrez-Loza et al., 2022, Fig. 7). Zhou et al. (2023) indicated that the wind-only formula for gas transfer velocity tends to underestimate values when U_{10} exceeds 10 m s^{-1} , and that enhanced gas transfer velocity frequently occurs under conditions of strong wave breaking and high significant wave height. Divergence between the models becomes significant when U_{10} exceed 12 m s^{-1} over the WP (Fig. 5a); whereas over the EP, the convergence of $K_{w,660}$ estimates is most notable around $U_{10} = 9\text{ m s}^{-1}$ (Fig. 5b), suggesting that mid-range wind speeds dominate the EP flux signal.

Figs. 5c and 5d display the monthly mean values of $K_{w,660}$ for WP and EP, respectively. Red, orange solid, and black dotted lines/texts indicate POP2–waves ($H_s > 1.5\text{ m}$), average of all POP2–waves data, and B–CTL, respectively. The bar graph illustrates the monthly SD values. Figure 5c shows that WP cases with $H_s > 1.5\text{ m}$ have monthly data available except in August and October, and their $K_{w,660}$ values are higher than those the averaged values from POP2–waves and B–CTL. In contrast, EP shows $H_s > 1.5\text{ m}$ data only during the summer months (July to October), and the

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已刪除: and around $U_{10} = 9\text{ m s}^{-1}$ (Fig. 5b) over the EP.

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corresponding $K_{w,660}$ values are also higher than those from POP2–waves and B–CTL. The percentage of monthly SD in the bar graph indicates no significant differences between $H_s > 1.5$ m data and POP2–waves experiments in the WP, while the $K_{w,660}$ difference between the two datasets from January to May remains small because the average H_s during this period exceeds 1.5 m. However, in EP, a significant difference is observed between the $H_s > 1.5$ m condition and the average in POP2–waves from July to September.

3.3 Regression of CO₂ flux against U_{10} under high-wind conditions

The wind-only parameterization of gas transfer velocity tends to underestimate values when $U_{10} > 10$ m s⁻¹ or $H_s > 1.5$ m (e.g., Gutiérrez-Loza et al., 2022; Zhou et al., 2023). Accordingly, Fig. 5 presents scatter plots of CO₂ flux from B–CTL and POP2–waves experiment against U_{10} (for $U_{10} > 10$ m s⁻¹) over the WP region (160–180°E, 35–40°N) to compare fluxes estimated with wind-only $K_{w,660}$ and wave-modified $K_{w,660}$. High-wind conditions over the WP occur primarily in winter (42% valid data), and the associated low ocean surface temperatures further enhance CO₂ solubility. The slopes of the regression equations (–0.77 in B–CTL and –1.40 in POP2–waves) and the mean CO₂ fluxes (Y_{avg} : –6.35 in B–CTL and –6.97 in POP2–waves) both indicate that POP2–waves simulates stronger negative air–sea CO₂ fluxes in the WP region under higher wind speeds. The correlation coefficient (R) of POP2–waves is higher than that of B–CTL, indicating that the sea state–dependent $K_{w,660}$ provides a more suitable estimate of CO₂ flux than the wind-only $K_{w,660}$ under high-wind conditions, consistent with the findings of Gutiérrez-Loza et al. (2022) and Zhou et al. (2023). The average air–sea CO₂ flux in B–CTL over the WP during DJF and JJA is –0.021 and –0.004 (mol m⁻² yr⁻¹), respectively. Meanwhile, POP2–waves shows only slight changes of –0.001 and +0.002 (mol m⁻² yr⁻¹) than B–CTL

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during DJF and JJA, respectively (not show). This indicates that when $U_{10} > 10 \text{ m s}^{-1}$, the air-sea CO_2 flux is significantly greater than the average value.

3.4 Analysis of surface fields and $K_{w,660}$ component in POP2-waves and B-CTL

Figures 7a presents the near-surface fields, along with sea level pressure (PSL), U_{10} , and H_s , all of which are factors influencing $K_{w,660}$ in the model. The region with $H_s > 1.5 \text{ m}$ is generally situated where $U_{10} > 8 \text{ m s}^{-1}$ and lies south of the high PSL region. Johansson et al. (2022) reported that H_s generally increases with wind speed, but the relationship is strongly modulated by wind direction and regional conditions, leading to spatially variable and nonlinear wind-wave coupling. The climatological U_{10} is shown as shaded colors in Fig. 7a using a high-contrast color bar, with spatial patterns similar to Reichl and Deike (2020), while the H_s contours in Fig. 7b are also consistent with those presented in Reichl and Deike (2020). Similarly, the ratio of $K_{wB,660}/K_{w,660}$ in POP2-waves greater than 0.5 (yellow shaded areas in Fig. 7b) mostly occurs in regions where $H_s > 1.5 \text{ m}$. However, high H_s does not always correspond to a higher $K_{wB,660}/K_{w,660}$ in POP2-waves, for example, in the North Pacific. Deike and Melville (2018) demonstrated that the bubble contribution to CO_2 transfer exceeds 40% when the U_{10} is $\geq 10 \text{ m s}^{-1}$. The bubble contribution to the total gas transfer velocity ($K_{wB,660}/K_{w,660}$) in POP2-waves is approximately 38%, which is slightly higher than ~30% reported by Reichl and Deike (2020). This discrepancy may be attributed to differences in the A_B coefficients used in this study compared to previous work. The coefficient in the sea-state formulation (A_B in Eq. (3)) is derived from field data, where gas transfer velocity is estimated from flux measurements using eddy covariance methods (Reichl and Deike, 2020; Brumer et al., 2017).

The contours in Fig. 7c shows that the larger differences in $K_{w,660}$ (POP2-waves – B-CTL) are mainly distributed in regions with higher H_s , particularly within 30°N –

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30°S. Although U_{10} in the Indian Ocean and tropical Pacific are relatively low ($< 5 \text{ m s}^{-1}$; Fig. 7a), the $K_{w,660}$ values in POP2-waves are still about 9 cm hr^{-1} higher than those in B-CTL. In these regions, the POP2-waves enhanced $K_{w,660}$ is primarily dominated by the non-bubble-mediated component ($K_{wNB,660}$), as shown in Fig. 7d. Although H_s is also large in the Southern Ocean (Fig. 7b), it does not contribute substantially to the $K_{w,660}$ difference between POP2-waves and B-CTL because the high U_{10} in this region (Fig. 7a) already produces large $K_{w,660}$ values through the empirical formulation of Wanninkhof (1992). The spatial distribution of B-CTL $K_{w,660}$ (shaded) and the contours difference $K_{w,660}$ (POP2-waves – B-CTL) shown in Fig. 7c is also similar to Reichl and Deike (2020). B-CTL $K_{w,660}$ and POP2-waves $K_{w,660}$ exhibit similar spatial distributions, with 30-year mean values of 17.0 cm hr^{-1} and 22.7 cm hr^{-1} , respectively, despite using different input parameters (U_{10} vs. u^* and H_s). Aside from the polar regions, $K_{w,660}$ in the POP2-waves coupled model is greater than that in B-CTL with the largest differences occurring in the tropics (Fig. 7c). It is interesting to examine whether the non-bubble ($K_{wNB,660}$) or bubble ($K_{wB,660}$) component dominates the POP2-waves $K_{w,660}$ across the global ocean. Figure 7d shows $K_{wNB,660}$ as shaded colors and the difference between $K_{wNB,660}$ and $K_{wB,660}$ as contours. The differences indicate that $K_{wB,660} > K_{wNB,660}$ in regions with high H_s (Fig. 7b contours), whereas $K_{wB,660} < K_{wNB,660}$ in regions where U_{10} is below 7 m s^{-1} (Fig. 7a shaded).

4. Discussion

4.1 Mean State and model differences in $p\text{CO}_2^w$ and surface pH

Several recent studies have estimated the air-sea CO_2 flux using Eq. (1), although current $K_{w,660}$ parameterizations in global models (Table 1) still rely exclusively on the neutral U_{10} (e.g., Chikamoto and DiNezio, 2021; Danabasoglu et al., 2020; Seland et

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al., 2020; Mauritsen et al., 2019; Ziehn et al., 2020; Lovato et al., 2022; Sigmond et al., 2023). A few recent studies have quantified wave effects on air–sea CO₂ flux and ocean carbon storage using wind–wave–bubble gas transfer formulations in OGCMs (Table 1) such as MOM6–COBALTv2 (Rustogi et al., 2025) and NEMO–PISCES (Wu et al., 2025). Wu et al. (2025) further emphasize the need to include wave-related processes in Earth System Models to better represent the carbon cycle.

Some studies incorporate wave effects following Deike and Melville (2018), using the Surface Ocean CO₂ Atlas (SOCAT) database (Bakker et al., 2016) to estimate air–sea CO₂ fluxes. In these approaches, air–sea CO₂ flux is treated independently of pCO_2^w values and ocean pH, with no feedback between them.

Figure 8 illustrates the means and differences in the spatial distribution of pCO_2^w and pH in the B–CTL and alongside the respective differences between the POP2–waves and B–CTL experiments. In B–CTL, high pCO_2^w is concentrated in the equatorial eastern Pacific (EEP), contributing to a larger oceanic CO₂ source (Fig. 2a). In contrast, low pCO_2^w is found in the high-latitude regions of the Pacific and Atlantic (e.g., NWP, NEP, NAO1, and NAO2), resulting in strong negative air–sea CO₂ fluxes (Fig. 2a). The standard deviation of pCO_2^w in the B–CTL simulation, indicated by the contours in Fig. 8a, fluctuates between 10 and 30 ppm over the study period.

In the CESM1 framework of the B 2000 CAM5 compsets, atmospheric pCO_2^a is held constant (367 ppm). The pCO_2^w in the EP exceeds 440 ppm, indicating an air–sea dpCO₂ greater than 73 ppm in B–CTL (109.8 and 92.7 ppm during DJF and JJA, respectively), whereas the pCO_2^w in the WP is below 320 ppm, indicating an air–sea dpCO₂ lower than –47 ppm (–53.4 and –31.4 ppm during DJF and JJA, respectively). Notably, POP2–waves reduces the high pCO_2^w by more than 10 ppm over the EEP and increases the low pCO_2^w in other regions (Fig. 8b). Consequently, in positive (negative) air–sea CO₂ flux regions, the POP2-waves coupled model decreases (increases) pCO_2^w ,

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已删除: Our study highlights that the ocean CO₂ system is influenced by the wave-induced K_w , affecting parameters such as alkalinity, pH, and carbonate species, which in turn feedback into the dynamics of CO₂ flux. In other words, perturbations in K_w influence both dpCO₂ and CO₂ flux in the Earth System Model, differing from approaches that treat wave-induced K_w and dpCO₂ independently when estimating CO₂ flux (e.g., Reichl and Deike, 2020).

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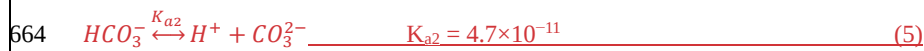
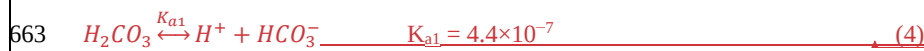
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654 thereby reducing the magnitude of the air-sea $\Delta p\text{CO}_2$. The SD of the $p\text{CO}_2^w$ difference
 655 between POP2-waves and B-CTL varies significantly in EEP, while SD changes in
 656 other regions are less pronounced.

657 The marine carbonic acid system is a nonlinear, coupled system governed by CO_2
 658 dissolution equilibrium, $(\text{CO}_{2(aq)} + \text{H}_2\text{O} \xrightleftharpoons{K_H} \text{H}_2\text{CO}_{3(aq)})$, where the Henry's constant
 659 (K_H) for CO_2 in water at 25°C is approximately 3.4×10^{-2} (m/atm), two-step
 660 dissociation reactions, and the conservation of the total dissolved inorganic carbon
 661 (DIC) and total alkalinity (TA), ultimately determining pH and the distribution of
 662 carbonate species. The H_2CO_3 has two hydrogen ions and dissociates in two steps:



665 The DIC can be expressed as

666
$$\text{DIC} = [\text{H}_2\text{CO}_3] + [\text{HCO}_3^-] + [\text{CO}_3^{2-}]$$

 667
$$= K_H p\text{CO}_2^w + \frac{K_{a1} K_H p\text{CO}_2^w}{[\text{H}^+]} + \frac{K_{a1} K_{a2} K_H p\text{CO}_2^w}{[\text{H}^+]^2}$$

 668
$$= K_H p\text{CO}_2^w \left(1 + \frac{K_{a1}}{[\text{H}^+]} + \frac{K_{a1} K_{a2}}{[\text{H}^+]^2} \right)$$

 669
$$= K_H p\text{CO}_2^w ([\text{H}^+]^2 + K_{a1} [\text{H}^+] + K_{a1} K_{a2}) / [\text{H}^+]^2 \quad (6)$$

670 The marine carbonate system describes the distribution of DIC among its chemical
 671 species in seawater and is jointly governed by TA and pH. From Eq. (6), if the oceanic
 672 $p\text{CO}_2^w$ and pH are known, the DIC can be determined, conversely, $p\text{CO}_2^w$ can be
 673 inferred from DIC. TA is defined as the sum of the proton-neutralizing capacities of all
 674 weak acid anions in seawater (Dickson, 1981) and can be expressed as:
 675 $\text{TA} = [\text{HCO}_3^-] + 2[\text{CO}_3^{2-}] + [\text{B(OH)}_4^-] + [\text{OH}^-] + [\text{HPO}_4^{2-}] + 2[\text{PO}_4^{3-}] + [\text{SiO(OH)}_3] + [\text{NH}_3] +$
 676 $[\text{HS}^-] - [\text{H}^+] - [\text{H}_3\text{PO}_4]$. In the POP2-waves framework, TA, pH and biogeochemistry are
 677 also used to calculate $p\text{CO}_2^w$.

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683 When TA increases, the seawater becomes more alkaline, leading to an increase in
684 CO₃²⁻ concentration and enhanced buffering of hydrogen ions. As a result, the [H⁺]
685 decreases, causing pH to rise and increasing the ocean's capacity to absorb CO₂.
686 Conversely, when TA decreases, the buffering capacity weakens, [H⁺] increases, pH
687 decreases, and the ocean becomes more acidic with a reduced ability to uptake CO₂.
688 The biogeochemical module of POP2 (MARBL) updates TA in each grid cell by
689 solving a coupled transport–reaction equation (Long et al., 2021).The average pH of
690 the ocean is currently around 8.1 in negative air–sea CO₂ flux regions (e.g., WP) and
691 8.0 in positive air–sea CO₂ flux regions (e.g., EP, Fig. 8c), reflecting slightly alkaline
692 conditions. Within the DIC system, bicarbonate ions (HCO₃⁻) are the dominant species
693 because the prevailing pH lies between pK_{a1} and pK_{a2}. However, continued uptake of
694 atmospheric CO₂ drives a decline in pH, a process termed ocean acidification.Our study
695 highlights that wave-induced $K_{w,660}$ significantly influences the marine CO₂ system,
696 altering alkalinity, pH, and carbonate speciation. These chemical changes, in turn,
697 provide a feedback mechanism that modulates the overall dynamics of the air–sea CO₂
698 flux. In other words, perturbations in $K_{w,660}$ influence both dpCO₂ and air–sea CO₂ flux
699 in the Earth System Model. This POP2–waves coupled behavior differs from traditional
700 approaches that treat wave-induced $K_{w,660}$ and dpCO₂ as independent variables when
701 estimating air–sea CO₂ flux (e.g., Reichl and Deike, 2020).
702 Ocean pH and pCO_2^w are strongly negatively correlated (Macovei et al. 2021),
703 with low pH values observed in the equatorial eastern Pacific (Fig. 8c), resulting from
704 high pCO_2^w concentrations in that region (Fig. 8a). Slightly higher pH values are
705 observed in regions with lower pCO_2^w , such as the NWP, NEP, NAO1, and NAO2.
706 Rustogi et al. (2025) indicate that faster equilibration of oceanic pCO₂ in the wind–
707 wave–bubble simulation, driven by enhanced gas exchange, reduces the air–sea dpCO₂.
708 This pCO₂ feedback is strongest in the extratropics, where it suppresses CO₂ uptake.

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Our POP2–waves experiment shows a similar response, with increasing $p\text{CO}_2$ (Fig. 8b) and hydrogen ion concentration, accompanied by decreasing pH (Fig. 8d) in the extratropics. Accounting for wave effects results in simulated pH differences of approximately + (-) 0.01 in positive (negative) air–sea CO_2 flux regions (Fig. 8d). This suggests that including this mechanism mitigates acidification in the equatorial Pacific but enhances ocean acidification in the NWP, NEP, NAO1, and NAO2 regions (Fig. 8d). Rustogi et al. (2025) also showed that the effects of ΔK_w (between wave-induced and based on U_{10}) and $\Delta p\text{CO}_2$ partially offset each other, although the ΔK_w effect is generally 20%–30% stronger than the counteracting $\Delta p\text{CO}_2$ effect, explaining the modest increase in both positive and negative air–sea CO_2 fluxes in the wave effect simulation.

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4.2 Impact of air–sea dpCO_2 , $K_{w,660}$, SST, and pH on air–sea CO_2 flux

To determine which factor is directly associated with air–sea CO_2 flux, Fig. 9 presents the linear regression coefficients (LRC) between air–sea CO_2 flux and various normalized variables: air–sea dpCO_2 (Fig. 9a), $K_{w,660}$ (Fig. 9b), SST (Fig. 9c), and pH (Fig. 9d). To confirm the statistical significance of the variables, we first conducted a t-test (at the 95% confidence level). Subsequently, we standardized both the air–sea CO_2 flux and the relevant variables into anomalies with a mean of 0 and a standard deviation of 1, thereby removing the influence of differing units and enabling comparison on a common scale. This allows the LRC to range between -1 and $+1$. Here, we do not delve into the complex chemical and biochemical mechanisms in carbonate species; although, the influence of carbonate species composition, including TA, and dissolved inorganic carbon (DIC), on $p\text{CO}_2^w$ is greater than that of solubility changes driven by sea surface salinity and SST (Koseki et al., 2023).

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745 Among these factors, air-sea $\Delta p\text{CO}_2$ and air-sea CO_2 flux exhibit the strongest
 746 positive LRC (0.6–0.8) across the global ocean, with the ocean acting as a source or
 747 sink of CO_2 depending on whether the air-sea $\Delta p\text{CO}_2$ is positive or negative.
 748 Additionally, wave effects increase the LRC by approximately 0.1 to 0.2, particularly
 749 in strong positive or negative air-sea CO_2 flux regions. There is a clear positive
 750 (negative) LRC between $K_{w,660}$ and air-sea CO_2 flux in positive (negative) air-sea CO_2
 751 flux regions. Furthermore, wave effects reduce the absolute LRC between $K_{w,660}$ and
 752 air-sea CO_2 flux in both positive and negative air-sea CO_2 flux regions (Fig. 9b),
 753 indicating that wave-influenced CO_2 flux is less correlated with $K_{w,660}$ and more closely
 754 linked to air-sea $\Delta p\text{CO}_2$, after considering interactions between modeled air-sea CO_2
 755 flux and the ocean carbonate-pH system.

756 In contrast to the former, there is a clear positive (negative) LRC between SST and
 757 CO_2 flux in negative (positive) air-sea CO_2 flux regions (Fig. 9c). $K_{w,660}$ and SST are
 758 negatively correlated, reflecting the latitudinal gradient where colder, high-latitude
 759 waters are associated with more intense sea states and higher $K_{w,660}$. This pattern occurs
 760 because lower temperatures in high-latitude regions increase CO_2 solubility, thereby
 761 enhancing oceanic uptake. Conversely, higher SST in tropical regions reduce solubility,
 762 promoting CO_2 outgassing. As SST increases, CO_2 molecules become more mobile in
 763 seawater, enhancing diffusion and reducing the Schmidt number ($Sc=v/D$, the ratio of
 764 kinematic viscosity to the diffusion coefficient). Because $K_{w,660}$ is parameterized as
 765 $Sc^{-0.5}$, a decrease in Sc leads to an increase in $K_{w,660}$ and air-sea CO_2 flux. Fay et al.
 766 (2024) attributed the variations in air-sea CO_2 fluxes to a combination of factors,
 767 including fluctuations in SST, biological uptake of CO_2 , and variations in mixing and
 768 wind speeds. Wave effects only slightly reduce the LRC between SST and CO_2 flux—
 769 by approximately 0.1—in the tropics, with negligible differences observed in other
 770 regions. The strong negative correlation between ocean pH and $p\text{CO}_2^w$ is attributed to

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the acid dissociation constants of H_2CO_3 (Fig. 8a and 8c), which govern the relationship between dissolved CO_2 and hydrogen ion concentration (Williams et al., 2017). When seawater pH decreases, the concentration of H^+ increases. Carbonate ions (CO_3^{2-}) react with these excess H^+ , reducing the ocean's buffering capacity for CO_2 uptake. As a result, $p\text{CO}_2^w$ increases and dpCO_2 decreases, leading to a reduction in the air-sea CO_2 flux into the ocean. pH and CO_2 flux exhibit strong negative LRC (< -0.9) across the global ocean, with only a few exceptions in the EEP and along the Arctic margins (Fig. 9d). Wave effects again only slightly reduce the LRC between pH and CO_2 flux in the EEP—by approximately 0.1.

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4.3 Uncertainty arising from the absence of interactions between air-sea CO_2 flux and the ocean carbonate–pH system

Rustogi et al. (2025) indicate that as $p\text{CO}_2^w$ responds to changes in DIC and alkalinity, the air-sea dpCO_2 is partially reduced. This induces a negative feedback loop, whereby enhanced CO_2 uptake (or outgassing) is damped by chemical re-equilibration within the marine carbonate system, consistent with the findings of this study. To assess the uncertainty in CO_2 flux resulting from the absence of interactions between air-sea CO_2 flux and the ocean carbonate–pH system (i.e., the lack of dpCO_2 -driven negative feedback), Fig. 10a presents the air-sea CO_2 flux calculated using air-sea dpCO_2 values (from B–CTL) and $K_{w,660}$ incorporating wave effects (from POP2–waves), with shading representing the mean (labeled as "no dpCO_2 feedback") and contours indicating their SD. Only data significant at a 95% confidence level of air-sea CO_2 flux difference between "no dpCO_2 feedback" and POP2–waves are shown in Fig. 10b. Compared to Fig. 2b, the CO_2 flux in the "no dpCO_2 feedback" case is stronger than that in the POP2–waves simulation across both positive and negative air-sea CO_2 flux regions, and is accompanied by a larger SD. The most pronounced differences occur in Pacific regions

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814 with both positive and negative air-sea CO₂ fluxes; however, some areas (e.g., NEP,
815 SIO2, and SPO2) do not reach the 95% confidence threshold.

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816 Rustogi et al. (2025) demonstrate that while wave-enhanced $K_{w,660}$ accelerates
817 local air-sea CO₂ exchange, it simultaneously reduces the air-sea dpCO₂. In this study,
818 we refer to this process as the ocean's buffering capacity which includes the interactions
819 between CO₂ flux (estimated from $K_{w,660}$ and the ocean carbonate-pH system.
820 Incorporating wave effects mitigates pH acidification and reduces air-sea dpCO₂ over
821 positive air-sea CO₂ flux regions, while relatively higher pH levels enhance the ocean's
822 capacity to absorb additional atmospheric CO₂ in regions characterized by negative air-
823 sea CO₂ flux region in the coupled POP2-waves model. (Fig. 8b and 8d). In contrast,
824 the "lack of dpCO₂-driven negative feedback" case, which does not include real ocean
825 buffering effects, allows positive air-sea CO₂ flux regions to release excess CO₂ into
826 the atmosphere ($> 0.4 \text{ mol m}^{-2} \text{ yr}^{-1}$) while simultaneously enhancing excess CO₂ uptake
827 ($< -0.4 \text{ mol m}^{-2} \text{ yr}^{-1}$) in negative air-sea CO₂ flux regions (Fig. 10b) compared to the
828 coupled POP2-waves model.

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已刪除: — including the interactions between CO₂ flux (estimated from K_w) and the ocean carbonate-pH system — incorporating wave effects mitigates pH acidification and reduces dpCO₂ differences over CO₂ source regions, while higher pH levels enhance the ocean's ability to absorb more CO₂ from the atmosphere over CO₂ sink regions in the POP2-waves coupled model

已刪除: In contrast, the "lack of dpCO₂-driven negative feedback" case, which lacks real ocean buffering effects, allows CO₂ source regions to release excess CO₂ into the atmosphere and leads to increased excess CO₂ uptake in sink regions (Fig. 10b) compared to the POP2-waves coupled model.

830 5 Conclusions

831 The primary contribution of this study lies in its online coupling framework in
832 Earth System Model, which explicitly incorporates wave effects into air-sea CO₂ flux
833 simulations, thereby capturing the ocean's buffering capacity with dpCO₂-driven
834 negative feedback in the ocean carbonate-pH system. In contrast, treating dpCO₂ and
835 wave-induced $K_{w,660}$ independently leads to a substantial overestimation of air-sea CO₂
836 fluxes when compared to online coupling POP2-waves simulations (Fig. 10).

已刪除: This study quantified oceanic sea-air CO₂ fluxes using both a control run of the CESM1 model and a newly developed POP2-waves coupled model, which builds on the CESM1 framework by incorporating the effects of wave dynamics, sea surface temperature, pH, and gas transfer velocity, including interactions between CO₂ flux and the ocean carbonate-pH system.

837 Coupling a wave model into an ocean circulation model to simulate air-sea CO₂
838 flux presents substantial challenges arising from multi-scale physical processes, cross-

已刪除: The architecture of the POP2-waves coupled model

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component coupling, and nonlinear carbon cycle feedbacks. The integration of wave effects into ocean biogeochemical models involves three core challenges:

1. Technical implementation: Overcoming coordinate interpolation issues, boundary discontinuities in wave energy, and MPI parallelization (Fig. 1).
2. Interdisciplinary analysis: Blending kinematic, thermodynamic, and biogeochemical frameworks.
3. Validation constraints: Navigating sparse and inconsistent observational data, where air–sea CO₂ fluxes must be indirectly inferred from atmospheric inversions (e.g., NOAA CT2022), ships, and buoys.

Within the POP2–waves coupled model, $K_{w,660}$ is determined using the parameterization of Deike and Melville (2018), which attributes bubble-mediated transfer to the combined effects of friction velocity and significant wave height. Furthermore, Wu et al. (2025) also investigated the influence of these mechanisms within a global ocean coupled model, aiming to better capture the indirect effects of changes in surface DIC—driven by air–sea CO₂ flux—on the flux itself. In the 12 key regions exhibiting significant air–sea CO₂ flux variability identified by NOAA CT2022, the POP2–waves experiment simulates patterns that more closely resemble NOAA CT2022 than B–CTL simulation (Figs. 2 and 3), although notable discrepancies persist in three regions: SPO2, SIO2, and NAO2 (Fig. 4).

Significant deviations between POP2–waves and B–CTL emerge in $K_{w,660}$ – U_{10} scatter plots specifically when H_s exceeds 1.5 m (Fig. 5a–b), consistent with Gutiérrez-Loza et al. (2022), indicating that POP2–waves coupled model exhibits a stronger CO₂ flux than B–CTL under high U_{10} and H_s (Fig. 6). In addition, the bubble contribution to the total gas transfer velocity ($K_{wB,660}/K_{w,660}$) in POP2–waves averages approximately 38% across the entire space–time domain (Fig. 7b), slightly exceeding the ~30% reported by Reichl and Deike (2020). These high $K_{wB,660}/K_{w,660}$ regions align with areas

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已刪除: (1) interpolating currents from the POP2 z-coordinate system to the sigma-coordinate system for wave radiation equation calculations, and (2) horizontal progression between adjacent grids is implemented to prevent discontinuities in wave energy at block boundaries (Fig. 1). Several recent studies have estimated the sea–air CO₂ flux using Eq. (1), although current K_w parameterizations in global models (Table 1) still rely exclusively on U_{10} (e.g., Chikamoto and DiNezio, 2021; Danabasoglu et al., 2020; Seland et al., 2020; Mauritsen et al., 2019; Ziehn et al., 2020; Lovato et al., 2022; Sigmond et al., 2023).

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已刪除: In the POP2–waves coupled model, K_w follows the parameterization proposed by Deike and Melville (2018),

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of elevated H_s and U_{10} . Furthermore, our results indicate that bubbles account for up to 41.3% of the total air-sea CO_2 flux, which is consistent with the ~40% contribution reported by both Zhou et al. (2023) and Reichl and Deike (2020).

POP2-waves reduces high pCO_2^w over the positive air-sea CO_2 flux regions and increases low pCO_2^w elsewhere (Fig. 8b), resulting in pH shifts of roughly ± 0.01 that align with regional air-sea CO_2 signs (Fig. 8d). On a global scale, air-sea dpCO_2 (pH) displays the strongest positive (negative) regression coefficients with the flux (Figs. 9a, d). Additionally, $K_{w,660}$ scales positively with the absolute air-sea CO_2 flux, whereas SST demonstrates an inverse relationship to $K_{w,660}$ sensitivity (Figs. 9b, c).

POP2-waves show increases of 11.8%, 41.6%, and 1.8% in the air-sea negative CO_2 flux regions, positive CO_2 flux regions, and the global ocean carbon sink, respectively, compared to B-CTL. Consequently, although wave-induced enhancements in $K_{w,660}$ increase instantaneous air-sea CO_2 flux, the coupled carbonate-pH system limits the net long-term impact through this pCO_2 -driven feedback (Rustogi et al., 2025). This explains why local increases in CO_2 flux do not translate proportionally into global mean changes in the ocean carbon sink.

Finally, the effects of the $K_{w,660}$ bulk formula (Wanninkhof et al., 1992) and wave dynamics (Deike and Melville, 2018) on air-sea CO_2 flux (arrows), surface pH (tube colors indicator), and air-sea dpCO_2 (circle markers) in the strongest negative air-sea CO_2 flux (WP) and positive air-sea CO_2 flux (EP) regions during the DJF and JJA seasons are schematically summarized in Fig. 11. B-CTL is illustrated in gray with black text, while differences between POP2-waves and B-CTL are highlighted in red. Each panel features the air-sea interface, with ocean color shading representing relative SST levels across seasons, and the SST value displayed in the lower-left corner of each panel. The B-CTL model captures a pronounced seasonal contrast in WP air-sea CO_2 fluxes, with strong uptake in DJF that is heavily suppressed in JJA by SST-driven

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已刪除: POP2-waves reduce high pCO_2 by more than 10 ppm over the equatorial western Pacific and increase low pCO_2 in other regions (Fig. 8b). Wave effects also result in simulated pH differences of approximately positive (negative) 0.01 in ocean source (sink) regions (Fig. 8d). To identify the factor most directly associated with oceanic CO_2 flux, Figs. 9a and 9d shows that dpCO_2 (pH) exhibits the strongest positive (negative) regression coefficient across the global ocean. Additionally, K_w shows a positive (negative) regression coefficient with CO_2 flux in source (sink) regions, whereas SST displays the opposite pattern relative to K_w (Figs. 9b and 9c).

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reductions in solubility. As illustrated by the seasonal changes in air–sea dpCO_2 (Figs. 11a and 11c), a negative feedback loop in the POP2–waves model acts to modulate the overall air–sea CO_2 flux. In contrast, the EP region exhibits lower pH than the WP and

acts as a strong CO_2 source in both DJF and JJA (Fig. 11b and 11d), with no obvious seasonal variation (consistent with Fay et al., 2024).

Code and data availability. The model code of POP2–waves coupled model is available at <https://doi.org/10.5281/zenodo.15795234> (Lan, 2025). Input data of POP2–waves using the climatological Hadley Centre Sea Ice and Sea Surface Temperature dataset, including 30-year numerical experiments, are available at <https://doi.org/10.5281/zenodo.5510795> (Lan et al., 2021). CarbonTracker CT2022 data are provided by the National Oceanic and Atmospheric Administration (NOAA) and available from Global Monitoring Laboratory <https://gml.noaa.gov/aftp/products/carbontracker/co2/CT2022/> (Jacobson et al., 2023).

Author contributions. YYL is the sole developer of the POP2–waves coupled model and writes the majority part of the paper. HHH provides computational support and analysis suggestions. WLL supports reorganization and offers analytical recommendations and SC offers a non-parallel wave module as part of the POM source code.

Competing interests. The authors declare that they have no conflict of interest.

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已刪除: POP2–waves further diminish this sink effect.

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1034 providing the CarbonTracker CT2022 data from the website at
1035 <http://carbontracker.noaa.gov> (last access: 20 May 2026). We sincerely thank the
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1039 computational procedures for running POP2-waves simulations. Thanks, ChatGPT for
1040 correcting the English grammar.

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⁷MPIOM 1.6: the Max-Planck Institute Ocean Model version 1.6;
⁸ACCESS-ESM1.5: the Australian Community Climate and Earth System Simulator
 form an Earth System Model version 1.5;
⁹MOM5: the GFDL Modular Ocean Model version 5;
¹⁰CMCC-ESM2: the Euro-Mediterranean Centre on Climate Change (CMCC) Earth
 System Model version 2;
¹¹NEMO v3.6: Nucleus for European Modelling of the Ocean version 3.6;
¹²CanESM5: the Canadian Earth System Model version 5;
¹³CanNEMO: NEMO version 3.4 modified for CanESM;
¹⁴GFDL-ESM4.1: the Geophysical Fluid Dynamics Laboratory's Earth System Model
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¹⁵MOM6: the GFDL Modular Ocean Model version 6;
¹⁶IPSL-CM6A-LR :version 6 of the Institut Pierre-Simon Laplace (IPSL) climate
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¹⁸MIROC-ES2L: the Model for Interdisciplinary Research on Climate, Earth System
 version 2;
¹⁹COCO 4.0: CCSR (Center for Climate System Research) Ocean Component Model
 version 4.0;
²⁰UKESM1: the U.K. Earth System Model;
²¹CNRM-ESM2-1: the Earth system (ES) model of second generation developed by
 the Centre National de Recherches Météorologiques (CNRM);
²²The simulations were conducted using global ocean-only models rather than full
 Earth System Models.
²³MOM6-COBALTv2: Geophysical Fluid Dynamics Laboratory global ocean model
 (Modular Ocean Model, MOM6) coupled with sea ice and biogeochemistry (Carbon,
 Ocean Biogeochemistry and Lower Trophics, COBALTv2);
²⁴CESM1-POP2-waves: POP2-waves coupled model based on CESM1.

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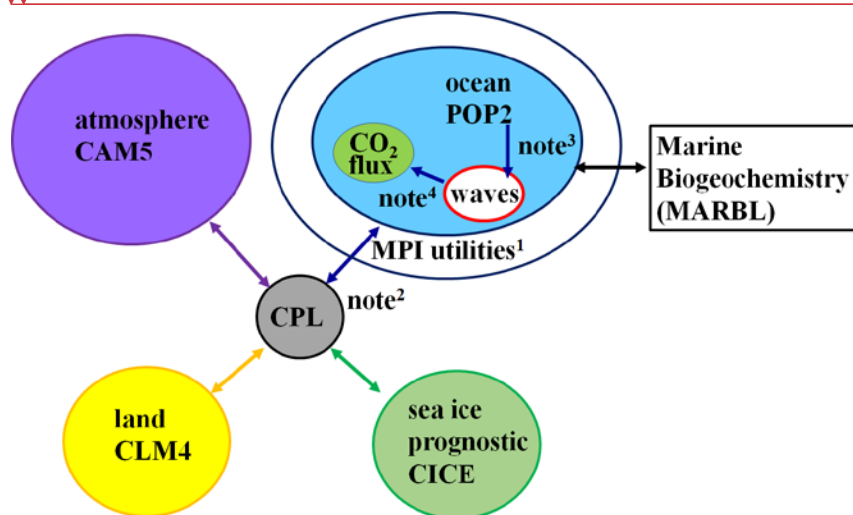


Figure 1. Architecture diagram for POP2–waves experiment in CESM1.2.2 framework, all components adhere to the CESM 1.2.2 framework, except for certain parts of the ocean component. The model components including components for the atmosphere [Community Atmosphere Model version 5 (CAM5)], land [Community Land Model version 4 (CLM4)], ocean [Parallel Ocean Program, version 2 (POP2)], along with its associated modules—the waves module (waves) and the Marine Biogeochemistry (MARBL) module—sea ice [prognostic Los Alamos Sea Ice Model (CICE)], and the coupler (CPL).

Note: ¹The wave-module variables are communicated between neighboring blocks via MPI, including zonal and meridional 10-m winds, wave radiation stress, subsurface momentum, and wave radiation and energy densities.

²To transfer variables such as zonal and meridional 10-m winds and friction velocity (including sea surface and ice fraction) from the CPL to POP2.

³The wave module receives variables from POP2, including the interpolation of depth-varying currents from z-coordinates (60 levels) to the wave module’s vertical sigma coordinates (21 levels), along with ocean grid information in the x, y, and z directions.

⁴The wave module outputs significant wave height and friction velocity from the CPL for calculating the bubble-mediated gas transfer velocity in Eq. (3).

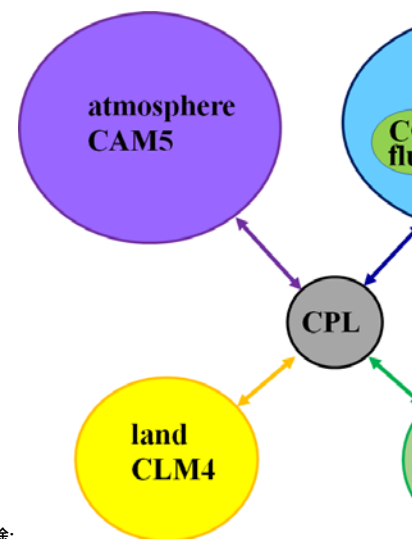
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Figure List

Figure 1. Architecture diagram for POP2–waves experiment in CESM1.2.2 framework, including components for the atmosphere [Community Atmosphere Model version 5 (CAM5)], land [Community Land Model version 4 (CLM4)], ocean [Parallel Ocean Program, version 2 (POP2), waves module (waves), and the Message Passing Interface (MPI) utilities], sea ice [prognostic Los Alamos Sea Ice Model (CICE)], and the coupler (CPL).

Figure 2. Climatological mean of ocean CO₂ flux (mol m⁻² yr⁻¹; shaded) and monthly standard deviation (SD; contour): (a) B–CTL; (b) POP2–waves; (c) NOAA CT2022: red dashed lines highlight the primary sources and sinks of CO₂ flux in the Pacific, while black dashed lines denote other significant



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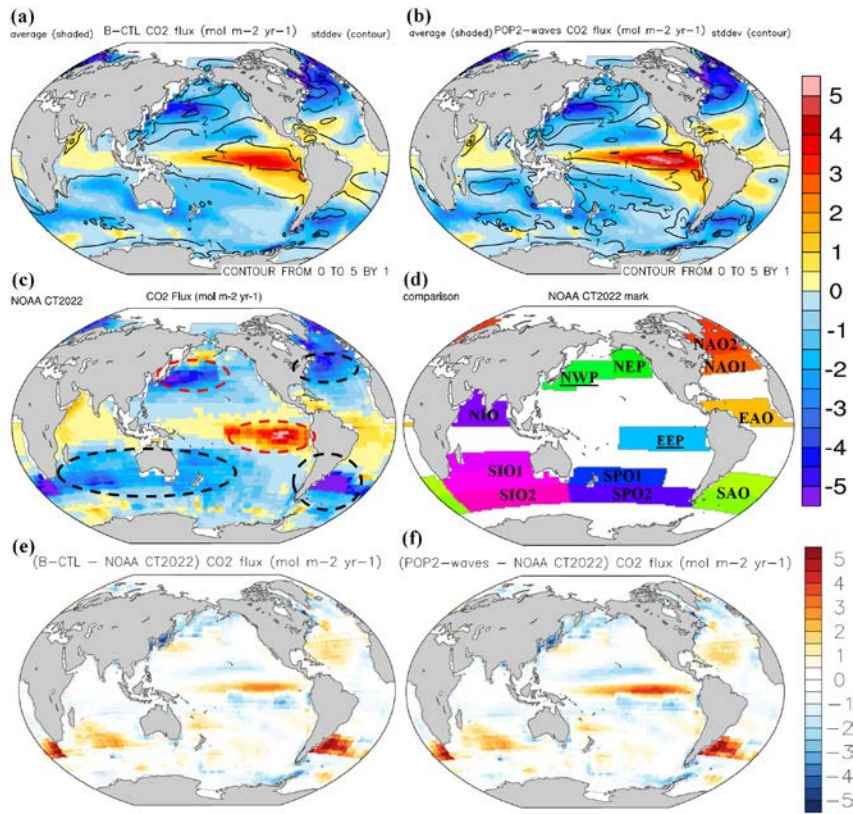
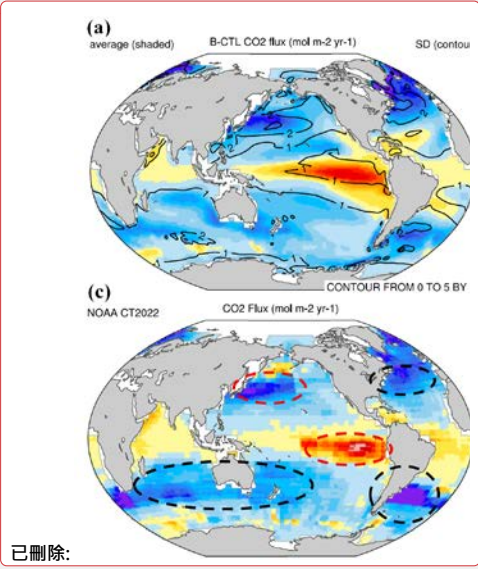


Figure 2. Climatological mean air-sea CO₂ flux (mol m⁻² yr⁻¹; shaded) and monthly standard deviation (SD: contour): (a) B-CTL; (b) POP2-waves; (c) NOAA CT2022: red dashed lines highlight the positive or negative CO₂ flux in the Pacific, while black dashed lines denote other significant areas; (d) Based on NOAA CT2022, 12 key regions exhibiting significant air-sea CO₂ flux variability have been identified among the 30 ocean basins defined in the CT2022 model documentation (Jacobson et al., 2023) for optimizing flux inversions. These include: (1) Pacific Ocean – Northwestern Pacific (NWP), Eastern Equatorial Pacific (EEP), Northeastern Pacific (NEP), South Pacific Ocean 1 (SPO1), and South Pacific Ocean 2 (SPO2); (2) Indian Ocean – North Indian Ocean (NIO), South Indian Ocean 1 (SIO1), and South Indian Ocean 2 (SIO2); (3) Atlantic Ocean – North Atlantic Ocean 1 (NAO1), North Atlantic Ocean 2 (NAO2), Equatorial Atlantic Ocean (EAO), and South Atlantic Ocean (SAO). (e) the air-sea CO₂ flux difference between B-CTL and NOAA CT2022, and (f) the air-sea CO₂ flux difference between POP2-waves and NOAA CT2022.



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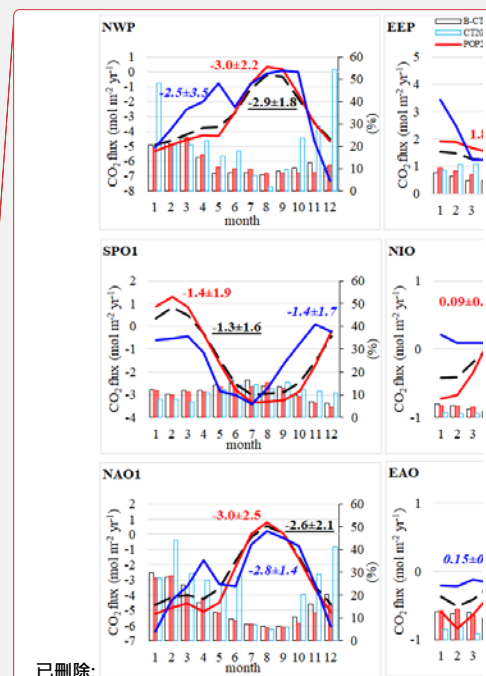
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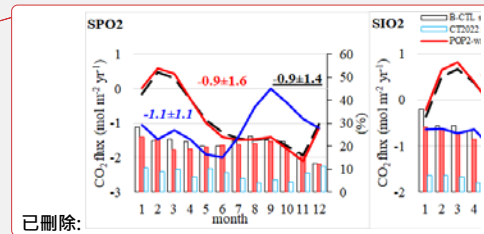
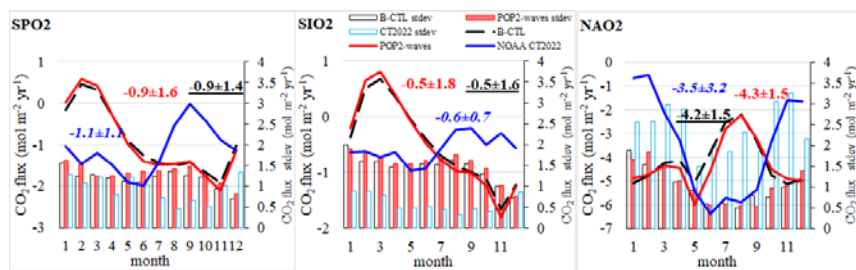
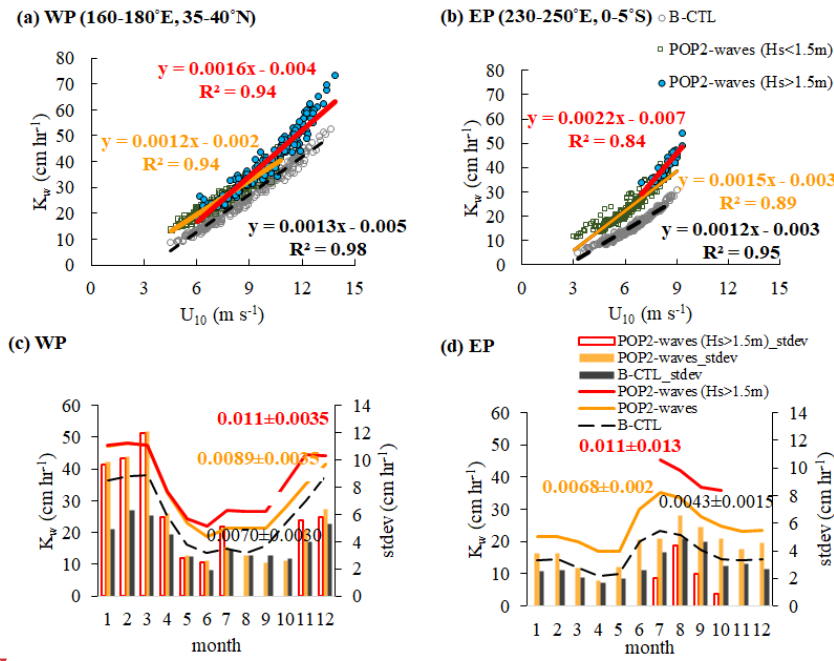
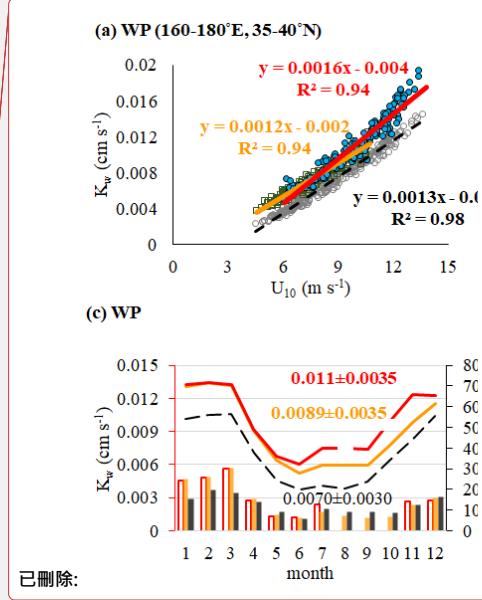


Figure 4. Same as Fig. 3, but for the low-correlation climatological average of the simulated CO₂ flux compared with NOAA CT2022, based on the three characteristic regions (SPO2, SIO2, and NAO2).



1731 **Figure 5.** Scatter plots of monthly averaged gas transfer velocity ($K_{w,660}$) versus U_{10}
1732 for (a) the Western Pacific (WP; 160–180°E, 35–40°N) and (b) the Eastern Pacific
1733 (EP; 230–250°E, 0–5°S). Gray open circles, green open squares, and blue dots with
1734 black edges represent B-CTL, POP2-waves data for $H_s < 1.5$ m, and $H_s > 1.5$ m,
1735 respectively. Corresponding simple linear regressions (SLRs) and squared correlation
1736 coefficients (R^2) are shown with black dotted lines/text (B-CTL), orange solid
1737 lines/text (POP2-waves, $H_s < 1.5$ m), and red solid lines/text (POP2-waves, $H_s > 1.5$
1738 m). Panels (c) and (d) display the monthly mean values of $K_{w,660}$ for WP and EP,
1739 respectively. Red and orange solid lines/text indicate POP2-waves ($H_s > 1.5$ m) and
1740 the average of all POP2-waves data, while the black dotted line/text represents B-
1741 CTL. The bar graph illustrates the standard deviation (stdev) for each month over the
1742 WP and EP, red hollow bars for POP2-waves ($H_s > 1.5$ m), orange for average POP2-
1743 waves, and black for B-CTL.



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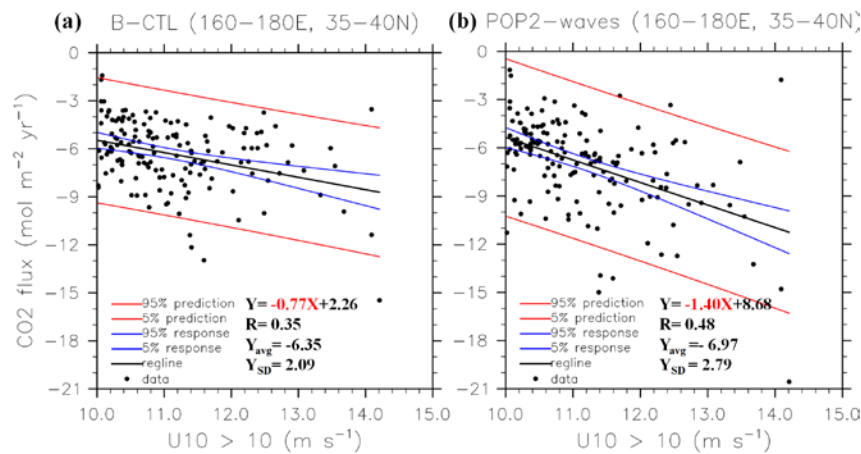
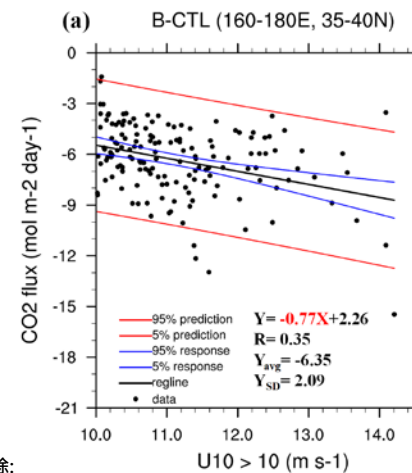


Figure 6. Scatter plots of air-sea CO₂ flux versus U₁₀ with regression lines over the Western Pacific (160–180°E, 35–40°N) under high-wind conditions (U₁₀ > 10 m s⁻¹) for (a) B-CTL and (b) POP2-waves experiments. Black dots indicate monthly mean values from each experiment. Blue lines denote the 95% and 5% confidence limits of the mean response, while red lines denote the 95% and 5% prediction intervals. The regression equation ($Y = mx + b$), correlation coefficient (R), mean CO₂ flux (Y_{avg}), and standard deviation (Y_{SD}) are shown to the right of the legend.



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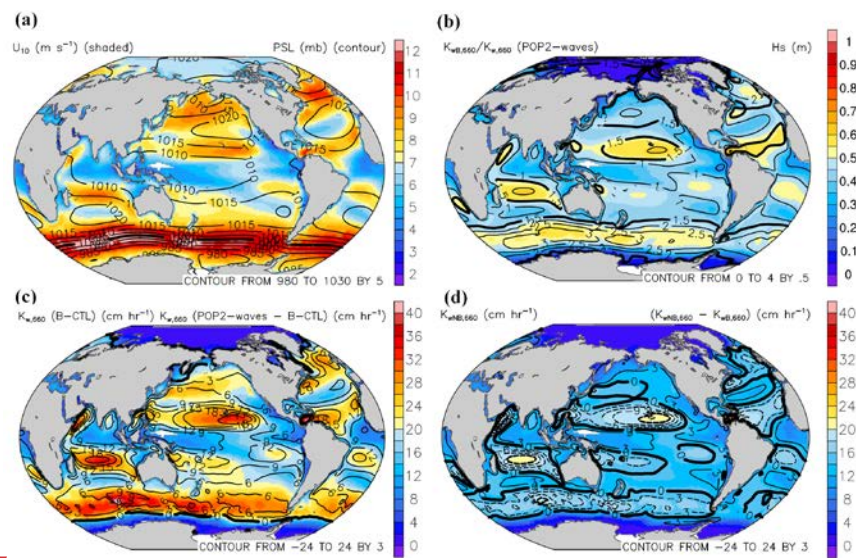
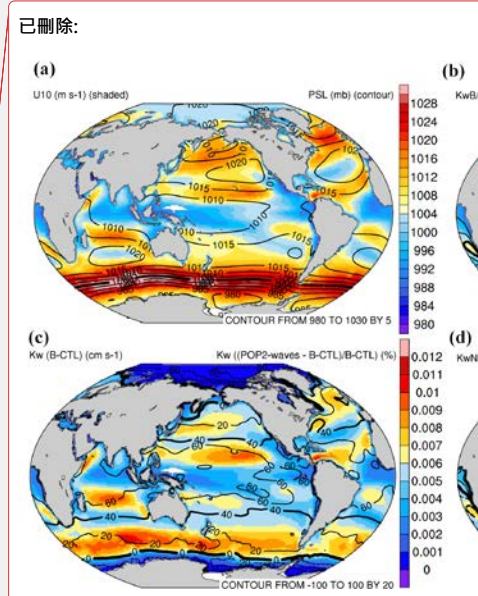


Figure 7. The average gas transfer velocity is influenced by the atmospheric factor and its corresponding ratio, as depicted in the figure: (a) Shaded areas represent 10-m wind speed (U_{10} , m s^{-1}), with contours indicating sea level pressure (PSL, mb). (b) The ratio of bubble-mediated components ($K_{wB,660}$) to POP2-waves $K_{w,660}$ is shown in color, with contours representing significant wave height H_s (m). (c) Shaded areas represent $K_{w,660}$ (B-CTL), with contours depicting the difference $K_{w,660}$ (POP2-waves - B-CTL) in cm hr^{-1} . (d) Shaded areas show the non-bubble-mediated component ($K_{wNB,660}$), while contours represent the difference ($K_{wNB,660} - K_{w,660}$) in cm hr^{-1} .



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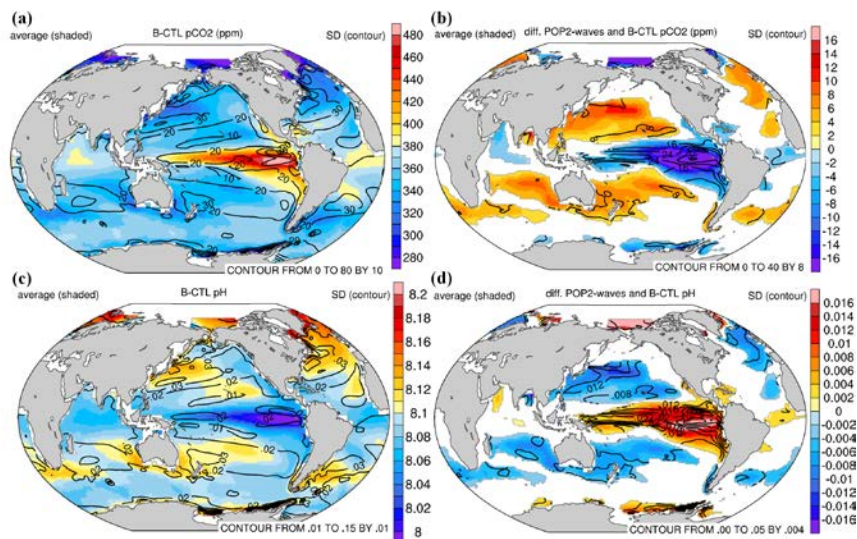


Figure 8. The 30-year average and model difference of $p\text{CO}_2^w$ (ppm; panels a and b) and ocean surface pH (panels c and d), with the average represented by shading and the standard deviation by contours. Panels (a) and (c) present results from the B-CTL experiment, while panels (b) and (d) depict the differences between the POP2-waves and B-CTL experiments with a T-score corresponding to a 95% confidence level.

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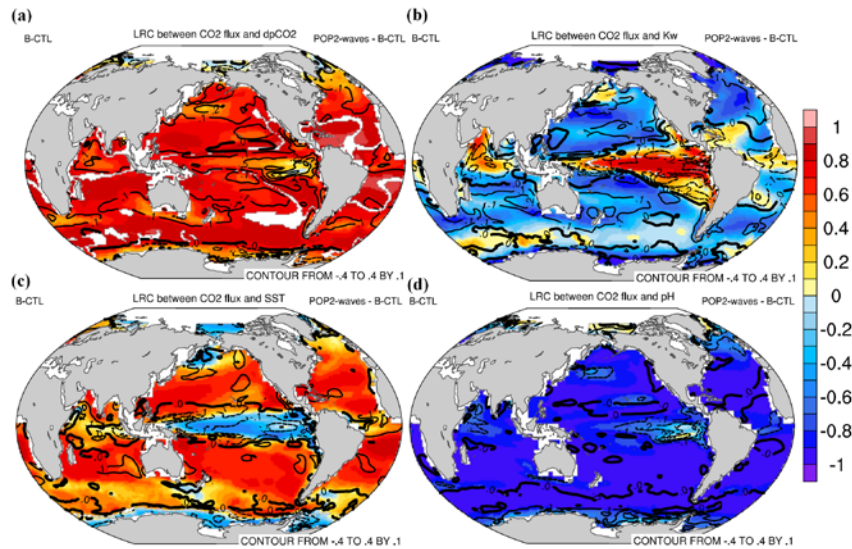


Figure 9. The 30-year averages of the linear regression coefficients (LRC) between CO₂ flux and (a) dpCO₂, (b) K_w , (c) SST, and (d) pH are shown for B-CTL (shaded), with contours representing differences between POP2-waves and B-CTL. White areas indicate LRCs that are insignificant at the 95% confidence level. All variables were normalized before regression.

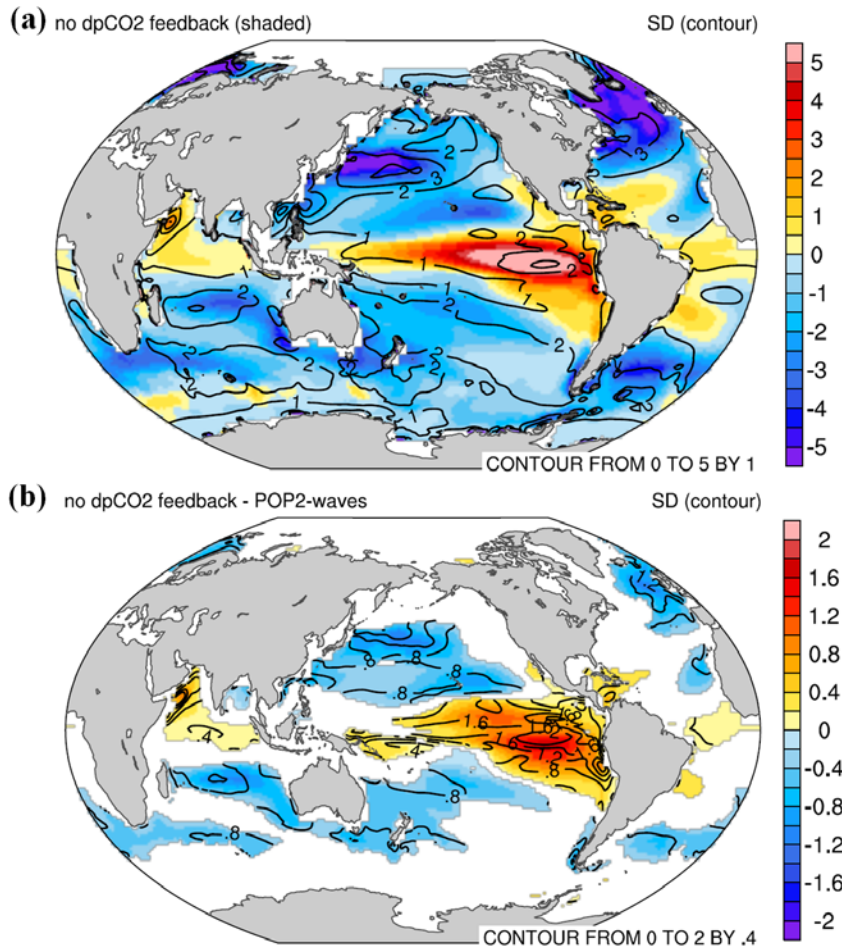


Figure 10. Estimated air-sea CO₂ flux assuming lack of dpCO₂-driven negative feedback. (a) CO₂ flux calculated using independent dpCO₂ values (from B-CTL) and $K_{w,660}$ incorporating wave effects (labeled as "lack of dpCO₂-driven negative feedback"), with shading representing the mean and contours indicating the SD, (b) Difference in CO₂ flux between the "lack of dpCO₂-driven negative feedback" case and the POP2-waves simulation, with shading for the mean difference and contours for the SD passing a 95% confidence level.

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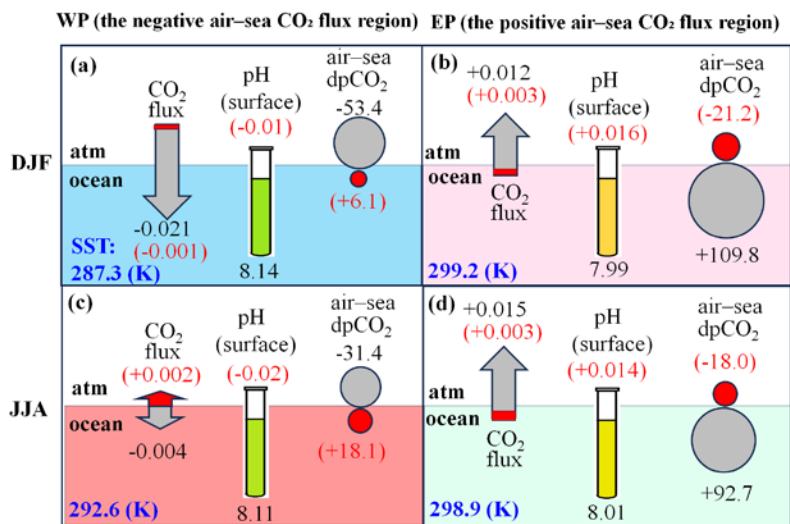
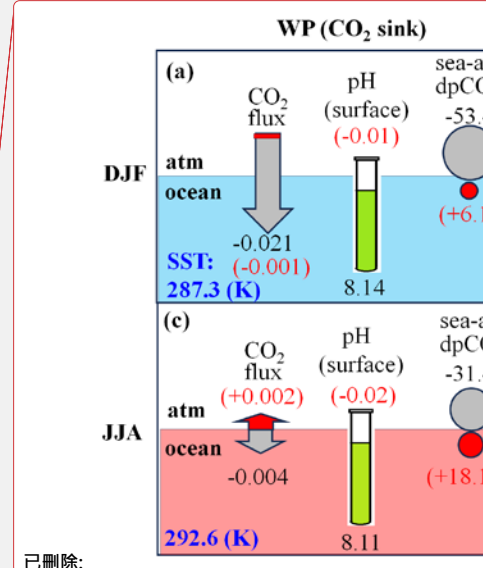


Figure 11. Schematic diagrams illustrate B-CTL (gray colors) and the differences between POP2-waves and B-CTL (red colors) in air-sea CO₂ flux (arrows), surface pH (tube colors indicator), and air-sea dpCO₂ (circle markers) over the negative air-sea CO₂ flux region (WP; 160–180°E, 35–40°N) and the positive air-sea CO₂ flux region (EP; 230–250°E, 0–5°S). Each panel includes an ocean-atmosphere interface, with ocean color shading representing relative SST levels across different seasons. The lower-left corner displays the SST value, (a) WP in DJF seasonal mean, (b) EP in DJF seasonal mean, (c) WP in JJA seasonal mean, and (d) EP in JJA seasonal mean.



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