



1 Retrieving root-zone soil moisture from land surface modelling and 2 GRACE/-FO and validating its dynamics with in-situ data over West 3 Africa

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13

14 **Abstract**

15 Rainfall variability in West Africa, driven by the West African Monsoon, poses significant chal-
16 lenges to agricultural productivity and livelihoods. In this context, understanding root-zone soil
17 moisture (RZSM) dynamics is crucial since it serves as the primary water source for crops. While
18 surface soil moisture (SSM) has been widely studied, research on RZSM remains limited. This
19 study investigates RZSM dynamics across West Africa from 2003 to 2019 using multiple satellite-
20 derived and model-based datasets, including ESA CCI v0.81, GLWS2.0, WaterGAP, CLM5.0, and
21 in-situ observations. Results indicate that ESA CCI exhibits the strongest temporal and spatial
22 alignment with ground measurements, whereas CLM5.0 and GLWS2.0 effectively capture latitu-
23 dinal soil moisture gradients associated with climatic zones. A novel application of an analytical
24 solution to Richards' equation was employed to translate surface moisture signals to deeper soil
25 layers, demonstrating GLWS2.0's superior ability to reproduce seasonal patterns at various depths,
26 notably in Benin and Niger. Despite challenges posed by sparse in-situ data and vegetation-induced
27 signal attenuation, the study highlights the significant benefits of GRACE/-FO data assimilation



28 in enhancing model accuracy. The proposed depth-projection methodology improves the vertical
29 representation of soil moisture, offering new insights into the dynamics of surface and subsurface
30 water storage. These findings have important implications for agricultural forecasting, sustain-
31 able water resource management, and climate adaptation strategies in regions where accurate soil
32 moisture data are essential for resilience planning.

33 Keywords

34 West Africa, Root-zone soil moisture, Satellite-derived soil moisture, Richards' equation, GRACE/-FO
35 data assimilation

36 Highlights

- 37 • Comprehensive assessment of root-zone soil moisture (RZSM) dynamics across West Africa
38 (2003–2019) using multiple satellite- and model-based datasets.
- 39 • Novel depth-projection approach based on Richards' equation translates surface soil moisture
40 signals to deeper layers, enhancing geodetic representation of subsurface water storage.
- 41 • ESA CCI shows the strongest temporal alignment with in-situ observations, while GLWS2.0 and
42 CLM5.0 capture latitudinal and seasonal SM patterns effectively.
- 43 • Integration of GRACE/-FO satellite gravimetry improves GLWS2.0 accuracy, supporting geodetic-
44 based monitoring of water resources and hydrological forecasting.
- 45 • Methodological framework advances understanding of surface and subsurface water mass redistri-
46 bution, contributing to geodesy-informed sustainable water management and climate adaptation
47 strategies.



1 Introduction

Rainfall in West Africa is largely driven by the West African Monsoon (WAM), characterized by significant spatial and temporal variability (Diatta & Fink, 2014). This variability, often sporadic and unpredictable, increases the region's vulnerability to droughts and floods, severely impacting agricultural productivity and leading to crop failures in rainfed systems (Sonwa et al., 2017; Galle et al., 2018; Myeni et al., 2019). As a result, smallholder farmers, reliant on rainfed agriculture and constrained by financial limitations, face heightened risks to their livelihoods (IPCC, 2023). These challenges significantly hinder economic development and exacerbate poverty in this already vulnerable region, which relies on agriculture for the livelihoods of around 70% of its estimated 420 million people, with a rapidly growing population at an annual rate of 2.2 – 2.8% (UN Department of Economic and Social Affairs, 2020). Root-zone soil moisture (RZSM) which refers to the amount of water stored in the soil within the root zone of vegetation, typically the top 1-2 meters serve as the primary water source for crops (Helman et al., 2019). RZSM directly influences plant growth, agricultural productivity, and water availability for ecosystems (Pegram et al., 2010; Seneviratne et al., 2010; Chartzoulakis & Bertaki, 2015; Helman et al., 2019). Unlike surface soil moisture (SSM), which can quickly change due to weather conditions, RZSM represents the longer-term water storage available to plants, playing a key role in determining drought resilience and crop yields (Chartzoulakis & Bertaki, 2015). Given that approximately 75% of the total crop area harvested globally consists of non-irrigated crops (Portmann et al., 2010; Grillakis et al., 2021), the importance of RZSM in global food production and food security becomes even more pronounced. Monitoring RZSM is essential for understanding the water balance (Koster et al., 2004), drought and flood warning (Gavahi et al., 2020; Watson et al., 2022), managing irrigation (Rodríguez-Iturbe & Porporato, 2007; Brocca et al., 2017), and modeling climate impacts on agriculture and natural vegetation (Ruichen et al., 2023). It potentially enhances forecasts and climate projections, guides water resources management, and supports precision agriculture by optimizing water usage.

Currently, soil moisture products (SSM or RZSM) can be generated using three different key approaches: in situ observations, remote sensing, and modelling (Brocca et al., 2017). In situ observations involve ground-based sensors that measure soil moisture directly at specific points using gravimetric, tensiometric and nuclear methods (Myeni et al., 2019). These measurements are reasonably accurate and can capture soil moisture at different depths. However, while in situ observations offer precision, they are labor-intensive and expensive to maintain, and their limited spatial coverage makes them difficult to scale across large regions (Dorigo et al., 2013). This poses challenges in using them for extensive, long-term monitoring over large areas. Nevertheless, the point-scale ground ob-



81 servations are often used as a benchmark for calibrating and validating soil moisture estimates from
82 remote sensing and model simulations (Su et al., 2014; Brocca et al., 2017; Myeni et al., 2019). In
83 recent years, significant efforts have been made to establish in situ soil moisture monitoring networks
84 across Africa, particularly exemplified by the AMMA-CATCH (African Monsoon Multi-disciplinary
85 Analysis–Couplage de l’Atmosphère Tropicale et du Cycle Hydrologique) observatory (Galle et al.,
86 2018). Some of the data collected from these networks have been integrated into the International Soil
87 Moisture Network (ISMN)(<https://ismn.geo.tuwien.ac.at/>) (Dorigo et al., 2013). These sparse in situ
88 monitoring networks have played a crucial role in validating remotely sensed and simulated soil mois-
89 ture estimates over extended periods in various African regions (Jung et al., 2019). Remote sensing
90 utilizes various methods, such as microwave, optical, and thermal satellite sensors, to estimate surface
91 soil moisture across large areas (Brocca et al., 2017; Myeni et al., 2019). It is an effective technique for
92 detecting the dynamic patterns of soil moisture on regional and global scales. Various satellite instru-
93 ments, including the Soil Moisture Active Passive (SMAP), Soil Moisture and Ocean Salinity (SMOS),
94 METOP-A/B Advanced Scatterometer (ASCAT), Advanced Microwave Scanning Radiometer–EOS
95 (AMSR-E), and products from the European Space Agency’s Climate Change Initiative (ESA CCI),
96 have been successfully utilized to retrieve SSM at a global scale with a temporal resolution of 2 to 3
97 days (Njoku et al., 2003; Bartalis et al., 2007; Kerr et al., 2012; Entekhabi et al., 2010; Dorigo et al.,
98 2017; Montzka et al., 2017; Chen et al., 2018). While the remote sensing approach offers broad spatial
99 coverage and frequent updates, it primarily measures soil moisture in the uppermost few centimeters
100 (0–5 cm), often missing the deeper root zone dynamics. Additionally, its accuracy can be impacted by
101 factors such as vegetation, weather conditions, radio frequency interference (RFI), and topography,
102 which can reduce measurement reliability. In contrast, the GRACE (Gravity Recovery and Climate
103 Experiment) mission captures changes in total water storage by mapping variations in Earth’s gravity
104 field (Tapley et al., 2004). It has been demonstrated that GRACE-observed Total Water Storage
105 Anomalies (TWSA) can be translated into surface or root zone soil moisture (SSM or RZSM) using
106 a physically based approach (Grippa et al., 2011; Sadeghi et al., 2020). Although GRACE-based soil
107 moisture data have lower spatial resolution $\sim 3^\circ$ vs. 40 km for microwave data) and less frequent
108 temporal sampling (monthly vs. daily), data assimilation and downscaling algorithms can be applied
109 to make these two approaches comparable (Gerdener et al., 2023). Additionally, GRACE data are not
110 affected at all by vegetation density and RFI. This study will incorporate this approach. The modelled
111 data approach uses simulations that integrate climatic, soil, and vegetation information to estimate
112 both surface and root zone soil moisture across various spatial and temporal scales. Whether based
113 on hydrological or land surface models, both approaches rely on similar equations to simulate soil



moisture according a water balance approach (Famiglietti & Wood, 1994). Modelling allows for soil moisture estimates to be generated at high spatial and temporal resolution, offering detailed insights into soil moisture dynamics. However, while models provide comprehensive coverage and long-term predictions, their accuracy is highly dependent on the quality of meteorological input data and the assumptions made in parameterization, which can introduce significant uncertainties. As a result, each modelling approach has its strengths and limitations, and combining multiple methods can provide a more robust and reliable understanding of soil moisture dynamics. While numerous studies have focused on monitoring remotely sensed and modeled surface soil moisture data over West Africa (Pellarin et al., 2009a,b; Gruhier et al., 2010; Baup et al., 2011; Fatras et al., 2012; Louvet et al., 2015; Faridani et al., 2017) using in situ data, there has been little to no research, to our knowledge, specifically examining root-zone soil moisture in this region. Furthermore, while the remote sensing products monitored in this area primarily involve AMSR-E satellite data (Pellarin et al., 2009a,b; Gruhier et al., 2010), the SMOS satellite mission (Louvet et al., 2015; Jung et al., 2019), and ASCAT satellite data (Jung et al., 2019), soil moisture products based on ESA CCI, CLM5.0, and GRACE/-FO assimilated data have not been comprehensively validated in this region. However, while many physically-based land surface models (e.g., CLM5.0) simulate the soil moisture patterns at different depths by numerically solving Richard's equation, this remains a challenge for conceptual hydrological models. This study aims to retrieve the root-zone soil moisture from a conceptual model, WaterGAP, as well as the GRACE/-FO-based global assimilation model GLWS2.0 which is based on WaterGAP. The dynamics of these estimates will be validated against in-situ measurements, while additional soil moisture products, including ESA CCI and CLM5.0, will be used for comparative analysis across the West Africa region.

Our main research questions are:

1. What is the correlation between the SM from the GRACE/-FO-based global assimilation model (GLWS2.0) and ESA CCI, in-situ data, and other land surface models in the region?
2. How can the water content in the single soil moisture reservoir from the conceptual hydrological models be translated to a soil moisture vertical profile ?
3. How does the root-zone soil moisture (RZSM) changes at each retrieval depth over 2003–2019 in this region? What is the correlation of its dynamics with the physically-based model (CLM5.0), ESA CCI products, and in-situ data?

The analytical solution of Richards' equation (Sadeghi et al., 2020) will be used to translate water content from the single soil moisture reservoir in GLWS2.0 and WaterGAP to different depths. Our



approach offers a distinct advantage over that of Sadeghi et al. (2020), who assumes that GRACE TWSA (Total Water Storage Anomaly) data have minimal contributions from sources such as ground-water, surface water, or lateral groundwater flow. In their case, any TWSA variation not physically attributable to soil moisture and incompatible with their model is classified as error. By contrast, we work directly with the soil moisture anomaly derived from GLWS2.0 (or WaterGAP), where non-soil moisture components have already been filtered out, offering a cleaner signal for analysis. However, it is important to note that complete separation of these additional hydrological signals from soil moisture may still be imperfect within the assimilation or WaterGAP model. WaterGAP (and thus GLWS2.0) represents soil moisture via a single layer that extends to the root zone. The model simulates varying surface water storages (lakes, wetlands, rivers, and reservoirs) and includes a conceptual groundwater representation. Human water use, i.e. surface and groundwater abstractions, are included in WaterGAP. This approach provides a promising solution to not only expand the shallow vertical support of the microwave satellites with good spatial resolution but also to better isolate the groundwater storage dynamics from the GRACE/-FO-based assimilated signal. This is particularly relevant in West Africa, where soil moisture has been shown to be the dominant component of Total Water Storage (TWS) (Getirana et al., 2017; Jung et al., 2019; Jensen et al., 2024).

2 Study area and datasets

2.1 Study area

The study area encompasses West Africa, spanning from 17°W to 17°E and 2°N to 21°N (Fig. 1). The terrain in this region is predominantly low and flat (Tappan et al., 2016). The area is characterized by a latitudinal gradient that includes three bioclimatic regions, progressing from north to south: the Sahelian, Sudanian, and Guinean zones (Galle et al., 2018). This gradient results in varying vegetation patterns (Fig.2), with the arid north experiencing a single rainy season and sparse vegetation, while the south has two rainy seasons and dense vegetation (Fink et al., 2010). Three meso-scale sites located at different latitudes in Benin, Niger, and Senegal, where AMMA SM observations have undergone advanced quality control procedures by the ISMN, have been selected for analysis in this study (Fig. 1). The Benin site, situated in the Sudanian climate zone, features sandy clay loam soils, woody savanna vegetation, and gently undulating topography (630–225 m asl), with about 1200 mm of annual rainfall concentrated in a single rainy season from April to October (Galle et al., 2018). Both the Niger and Senegal sites are located in the Sahel region characterized by a single rainy season between June and October. The Niger site experiences a semi-arid tropical climate, characterized by



177 a long dry season from October to May, with an average yearly temperature of 29.2°C and 520 mm of
 178 annual rainfall (1990–2007)(Galle et al., 2018). The landscape features flat lateritic plateaus and sandy
 179 valleys within the Iullemmeden sedimentary basin, which has endorheic hydrology and a continental
 180 terminal aquifer. Soils are sandy and weakly structured, contributing to erosion. Additionally, the
 181 original woody savannah has transformed into a mosaic of rainfed millet fields and shrubby savannah,
 182 mixed with degraded tiger bush vegetation (Cappelaere et al., 2009). The Senegal site, situated in the
 183 Dahra region (15.432°W – 15.403°N), has a Sahelian climate with a mean yearly temperature of 29°C,
 184 peaking in May, and an annual precipitation of approximately 420 mm. The area features herbaceous
 185 vegetation dominated by annual grasses and a tree cover of about 3%, with most water bodies being
 186 temporary, except for a few permanent ponds (Soti et al., 2010; Guilloteau et al., 2014).

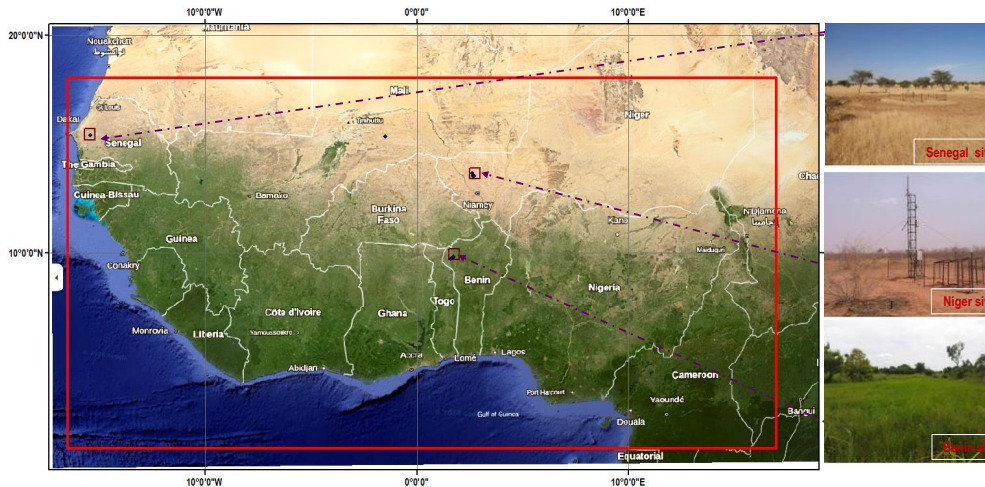


Figure 1: Location of the three meso-scale sites in Benin, Niger, and Senegal where in situ SM stations are installed. Purple boxes of 0.5° pixel of size include the in situ soil moisture stations illustrated with green dots (04 for Benin site, 03 for Niger site, and 01 for Senegal site). The red rectangular region is where the grid validation (ESA CCI versus CLM5.0, GLWS2.0 and WaterGap) is done. Photos used in this figure are adapted from (Louvet et al., 2015)

187 2.2 Datasets

188 2.2.1 WaterGAP and GLWS2.0

189 This study utilizes version 2.2e of the WaterGAP global hydrology model (Müller Schmied et al.,
 190 2021), which simulates daily water fluxes and storage on a 0.5° grid by solving water balance equa-
 191 tions across ten water compartments. These compartments represent different storage components
 192 within the hydrological cycle, including surface water (rivers, lakes, reservoirs, wetlands), soil mois-



ture, groundwater, snow, glaciers, canopy water, and river floodplains. The model's vertical water balance includes components such as the canopy, snow, and soil moisture, while the lateral water balance accounts for storage in groundwater, lakes, artificial reservoirs, wetlands, and rivers. The vertical water balance is expressed in terms of water height (measured in millimeters), whereas the lateral water balance is calculated using volumetric units (in cubic meters) (Müller Schmied et al., 2021). Unlike many land surface models, WaterGAP incorporates human water use for various purposes, including irrigation, livestock, industry, domestic consumption, and cooling of thermal power plants, and is calibrated against long-term annual river discharge. A significant update in this version is the enhanced algorithm for surface and groundwater abstraction. The model employs forcing data from the homogenized GSWP3-W5E5 reanalysis dataset, which includes precipitation, temperature, long-wave radiation, and shortwave radiation (Lange et al., 2022), as well as information on the characteristics of surface water bodies (lakes, reservoirs, and wetlands), land cover, soil type, topography, and irrigated areas. Since its inception in 1996, WaterGAP has been instrumental in assessing the dynamic development of the human-water system, both historically and into the future, particularly in the context of climate change. The model has significantly improved our understanding of changes in continental water storage, with a particular emphasis on the overuse and depletion of water resources (Müller Schmied et al., 2021). GLWS 2.0, or the Global Land Water Storage dataset version 2.0, is developed by assimilating monthly Total Water Storage Anomaly (TWSA) maps from GRACE and GRACE-FO into the WaterGAP global hydrological model. The Ensemble Kalman Filter (EnKF) (Evensen, 2003) was used for assimilation, which is implemented through the Parallel Data Assimilation Framework (PDAF) (Nerger & Hiller, 2013). The assimilation process includes vertical disaggregation to optimally combine GRACE/GRACE-FO data with inputs from the hydrological model, resulting in ten distinct water compartments. GLWS 2.0 covers global land areas, excluding Greenland and Antarctica, with a spatial resolution of 0.5° and spans the period from 2003 to 2019, ensuring no gaps in the data. It also incorporates monthly uncertainty quantification at the grid cell level. Key improvements in GLWS 2.0 compared to its predecessor, GLWS 1.0, include the integration of the updated WaterGAP version 2.2e and minor bug fixes in the assimilation process. Comprehensive details about the development of GLWS 2.0 can be found in Gerdener et al. (2023).

2.2.2 CLM5.0

In this study, we use CLM5.0, the latest version of the Community Land Model (CLM), which operates in land-only mode over the CORDEX-Africa domain (Bayat et al., 2023; ?). This configuration uses atmospheric reanalysis datasets as external forcings rather than coupling CLM5.0 with an atmospheric



model. CLM5.0 simulates key biophysical and biogeochemical processes, such as the interaction between incoming radiation and the canopy/soil, and the exchange of sensible heat, latent heat, and carbon with the atmosphere (Lawrence et al., 2019). Additionally, the model incorporates snow accumulation and melting, along with water and energy transport in the soil. It captures processes such as infiltration, surface runoff, deep percolation, stomatal physiology, and photosynthesis. To account for land surface variability, CLM5.0 divides each grid cell into multiple land units with unique soil or snow columns and plant functional types (PFTs), allowing for a more nuanced representation of surface heterogeneity (Lawrence et al., 2019). Compared to earlier versions like CLM4.5, CLM5.0 provides enhanced accuracy in simulating hydrological and ecological processes and introduces a more explicit representation of human land management, making it a powerful tool for analyzing land-atmosphere interactions and land-use impacts (Lawrence et al., 2019). The model relies on a comprehensive set of atmospheric forcing data, including precipitation, air temperature, shortwave and longwave radiation, specific humidity, surface air pressure, and wind speed. This data is available at different temporal resolutions: every 6 hours for CRUNCEP, every 3 hours for GSWP, and hourly for WFDE5. It operates at a high horizontal resolution of approximately 0.027° (around 3 km) with a 30-minute time step, and output data are further aggregated to a monthly scale for the analysis. Soil moisture in CLM5.0 is expressed in volumetric units (cm^3/cm^3) for each soil layer, structured through a 25-layer soil model extending to a depth of 42 meters. Of these, 20 layers are hydrologically and biogeochemically active, providing the simulation of vertical soil moisture transport through the numerical solution of the Richards equation (Zeng & Decker, 2009). This layered approach improves the model's capacity to capture the vertical distribution of water in the soil, critical for understanding root-zone hydrological processes. For further details on CLM5.0's methods for simulating processes, surface characterization, and vertical soil discretization, refer to Lawrence et al. (2019) and Oloruntoba et al. (2025).

2.2.3 In-situ soil moisture data

Soil moisture observations from three meso-scale sites in Benin, Niger, and Senegal (Figure 1) spanning from 2003 to 2019 were sourced from the International Soil Moisture Network (ISMN) (Dorigo et al., 2011) to evaluate the accuracy of model-simulated soil moisture in both surface soil moisture (SSM) and root zone soil moisture (RZSM). Table 1 details the geographical coordinates of the soil moisture stations, land-cover types, and the depths of the available soil moisture probes. The locations of the soil moisture stations within the 0.25° satellite pixels are illustrated in Figure 1. Specifically, there are four stations in Benin (Belefontou-top, Belefontou-middle, Nalohou-top, and Nalohou-middle), three stations in Niger (Banizoumbou, Tondikiboro, and Wankama), and one sta-



tion in Senegal (Dahra). Each soil moisture dataset includes hourly observations in volumetric units (cm^3/cm^3) at various depths, as outlined in Table 1. Only observations that have undergone rigorous quality control procedures and were flagged as "Good" by the ISMN, were selected for analysis (Dorigo et al., 2013). This ensures that the in-situ data used is of the highest reliability, having passed through stringent checks for accuracy, consistency, and completeness. By focusing exclusively on these high-quality observations, we aim to minimize errors and uncertainties in the results, leading to more robust and credible findings. Additional information regarding the instruments used and the data quality control procedures for the observations can be found in the network reports and the associated references, which are accessible at <https://ismn.geo.tuwien.ac.at>.

Table 1: Geographic coordinates, stations grouped by site, probe depths, and land-cover types where the probes are installed.

Sites	Stations	Latitude	Longitude	Probes depths (cm)	Land-cover
Benin	Nalohou (top)	9.743° N	1.606° E	5, 10, 20, 40, 60, 100	Mixed crops
	Nalohou (middle)	9.745° N	1.605° E	5, 10, 20, 40, 120	Mixed crops
	Belefoungou (top)	9.790° N	1.710° E	5, 10, 20, 40, 60, 100	Forest
	Belefoungou (middle)	9.795° N	1.715° E	5, 10, 20, 40, 50, 100	Forest
Niger	Wankama	13.646° N	2.632° E	5, 10–40, 40–70, 70–100, 100–130	Millet
	Banizoumbou	13.532° N	2.660° E	5	Fallow
	Tondikiboro	13.548° N	2.696° E	5, 10–40, 40–70, 70–100, 100–130	Fallow
Senegal	DAHRA	15.403° N	15.432° W	5, 10, 30, 50, 100	shrubland

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2.2.4 ESA-CCI soil moisture

The ESA-CCI is a global satellite-observed soil moisture (SM) dataset developed under the European Space Agency's Climate Change Initiative (CCI). The ESA-CCI SM v0.81 is the latest version data used in this study, providing daily estimates of global surface soil moisture, covering the top 2–5 cm of soil, over a long term (1978–2022) at a spatial resolution of 0.25° . The ESA-CCI SSM v0.81 dataset is generated by merging SM data from 12 single-sensor active and 5 passive microwave sensors. For detailed information on the key features of these active and passive microwave sensors, please refer to Gruber et al. (2020). Despite some limitations associated with the chosen merging algorithm and the quality of individual data sources, the v0.81 version has shown significant promise for assessing model performance (Hirschi et al., 2023; Palagiri et al., 2024). In this study, the combined product, which integrates soil moisture retrievals from both active and passive microwave sensors, is selected, as it benefits from the strengths of both types of observations and generally outperforms products that rely solely on single-sensor input (Gruber et al., 2020; Hirschi et al., 2023). The dataset is available free of charge from the ESA website and other platforms, provided in volumetric units (cm^3/cm^3) and in NetCDF format, making it accessible for long-term climate and hydrological studies.



A detailed description of the ESA-CCI SSM product can be found at: <http://www.esa-soilmoisture-cci.org/node/139>.

2.2.5 Land cover

The land cover data used in this study is sourced from versions v2.0.7cds and v2.1.1 of the European Space Agency's Climate Change Initiative Land Cover (ESA CCI-LC) dataset, accessed from <https://www.esa-landcover-cci.org> (ESA, 2024; last access: 31 August 2024). Version v2.0.7cds covers the period 1992–2015, while v2.1.1 provides data for 2016–2019. However, for this research, the version v2.0.7cds is used for the period 2003–2015, and v2.1.1 for 2016–2019.

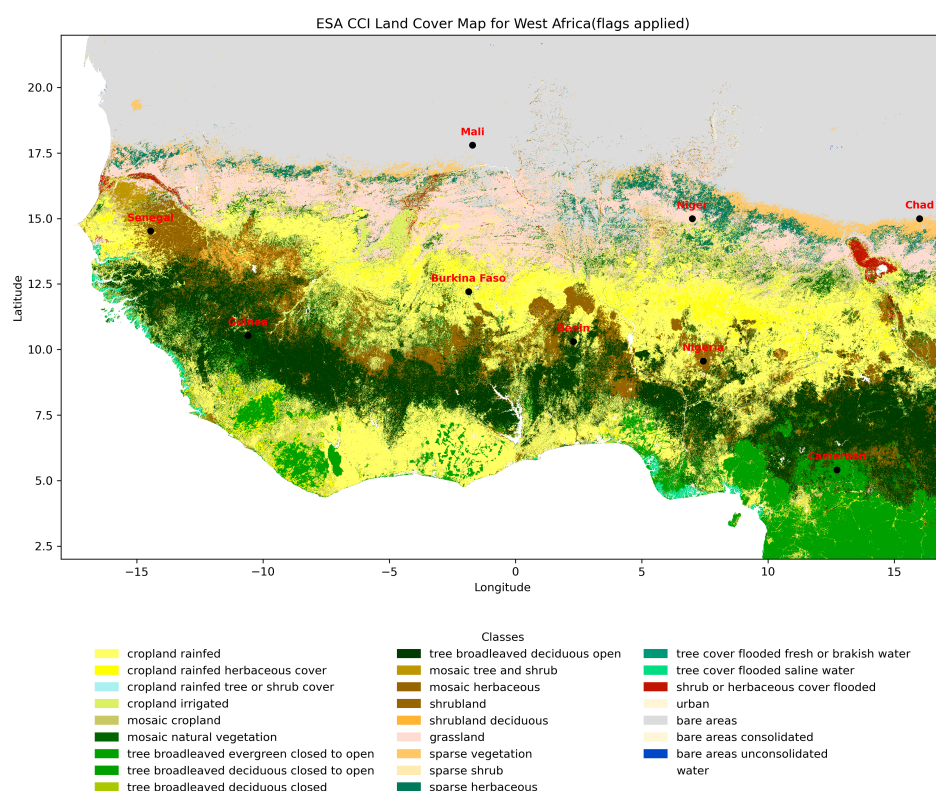


Figure 2: Land cover map over the study area.

The ESA CCI-LC dataset offers global annual land cover maps at a 300-meter spatial resolution in NetCDF format, spanning 1992 to 2019. These maps are produced by integrating observations from multiple satellite sensors (e.g., MERIS, SPOT-VGT, PROBA-V) using time-series analysis and supervised machine learning classification based on the Land Cover Classification System (LCCS) (Defourny et al., 2023). The dataset's accuracy has been validated by several studies (Defourny et al.,



294 2023; Chisanga et al., 2024). The land cover map for this study (Figure 2) was generated by processing
295 NetCDF files, clipping the data to the West Africa region, and calculating the dominant land cover
296 class per pixel for the period 2007–2019, with key land cover types including cropland, tree cover,
297 shrubland, grassland, urban areas, and bare areas.

298 **3 Methods**

299 The methods are organized into four main steps. The detailed procedure for retrieving root-zone
300 soil moisture from conceptual models (GLWS2.0 and WaterGap) is outlined in Section 3.1, while the
301 approach for assessing spatial footprint is discussed in Section 3.2. Data pre-processing procedure is
302 covered in Section 3.3, and the performance validation approach is presented in Section 3.4.

303 **3.1 Projecting root zone soil moisture to specific depth**

304 An approach based on the analytical solution of Richards' equation is used to translate the water
305 content from the single soil moisture reservoir in the conceptual hydrological models to different
306 depths. Equation (1) below was applied to retrieve the soil moisture (SM) signal at any given depth,
307 following the procedure outlined in (Sadeghi et al., 2020) :

$$308 \quad \frac{\partial \theta}{\partial t} = D \frac{\partial^2 \theta}{\partial z^2} - k \frac{\partial \theta}{\partial z} \quad (1)$$

309 Where:

- 310 • θ is the volumetric soil moisture content (cm^3/cm^3) ,
- 311 • t is the time (month),
- 312 • z is the soil depth (positive downward) (cm),
- 313 • D is the effective soil water diffusivity (an average value over the entire saturation range)
314 (cm^2/month),
- 315 • k is the average slope of the soil hydraulic conductivity function, which describes the relationship
316 between unsaturated hydraulic conductivity K (cm/month) and volumetric soil moisture θ .

317 The soil hydraulic parameters D and K vary with soil type and are calibrated at each site according to
318 the methodology outlined in Sadeghi et al. (2020). The equation (1) illustrates how the soil moisture
319 content, represented by prescribed boundary conditions, changes over time and depth due to soil water
320 diffusion and conductivity. The closed-form solution for determining soil moisture at any arbitrary



depth during the N^{th} time step with time intervals of ΔT (as shown in Equation (2)) is derived by
 utilizing Warrick (1975) approach to solve Equation (1). This solution is developed while following
 the boundary and initial conditions specified in Sadeghi et al. (2020). This equation (2) is given by:

$$\theta_N(Z) = \begin{cases} \exp(-0.5Z + 0.25T)F_1U(Z, T) & \text{for } N = 1 \\ \exp(-0.5Z + 0.25T) \left\{ F_1U(Z, T) + \sum_{i=2}^N (F_i - F_{i-1})U(Z, [N - i + 1]\Delta T) \right\} & \text{for } N > 1 \end{cases} \quad (2a)$$

$$U(Z, T) = -0.5 \exp(Z) (Z + T + 1) \operatorname{erfc} \left[0.5 \left(\frac{Z}{\sqrt{T}} + \sqrt{T} \right) \right] + \sqrt{\frac{T}{\pi}} \exp \left(- \left[0.5 \left(\frac{Z}{\sqrt{T}} - \sqrt{T} \right) \right]^2 \right) + 0.5 \operatorname{erfc} \left[0.5 \left(\frac{Z}{\sqrt{T}} - \sqrt{T} \right) \right] \quad (2b)$$

where erfc is the complementary error function and T , Z and F are dimensionless representations
 of time t , soil depth z , and net water flux f given by:

$$\begin{aligned} Z &= \frac{z \cdot k}{D}, \\ T &= \frac{t \cdot k^2}{D}, \\ F &= \frac{(f - k \cdot \theta_\infty)}{(k \cdot \theta_\infty)}. \end{aligned} \quad (3)$$

A closed-form solution is obtained by assuming a stepwise surface flux input F defined as follows:

$$F(T) = \begin{cases} F_1 & \text{for } 0 < T < \Delta T \\ F_2 & \text{for } \Delta T < T < 2\Delta T \\ \vdots & \\ F_N & \text{for } (N - 1)\Delta T < T < N\Delta T \end{cases} \quad (4)$$

θ_∞ as defined in F , represents the long-term temporal mean of relative soil moisture at a given site. The
 implementation of this approach in our research assumes that the time derivative of the soil moisture
 component, derived from either the GLWS 2.0 or WaterGAP models, approximates the net water
 flux f as shown in Eq. (3). Central differencing is employed to avoid introducing a phase lag. This
 approximation yields the dimensionless flux F , which is used in Eq. (2a). The analytical formulation
 of Eq. (2) enables the computation of the soil moisture profile from GLWS 2.0 or WaterGAP at
 monthly intervals, eliminating the risk of “truncation error” that can arise in numerical solutions of
 Richards’ equation (Zeng & Decker, 2009). The soil moisture profile at any desired depth, aligned



341 with the node depths of CLM5 for subsequent comparison, is calculated using Eq. (2).

342 **3.2 Spatial footprint**

343 Spatial footprint, or spatial representativeness, refers to the area surrounding a soil moisture (SM)
344 station within which temporal soil moisture dynamics closely align with those observed in nearby
345 regions, as captured in model outputs or remote sensing data. This metric is critical for evaluating a
346 model's ability to accurately reflect local soil moisture dynamics around each station, thereby support-
347 ing robust model validation. To understand spatial patterns of soil moisture dynamics in the study
348 area, an insightful approach based on the spatial representativeness is used (Nicolai-Shaw et al., 2015;
349 Orlowsky & Seneviratne, 2014; Molero et al., 2018). This approach quantifies the area surrounding
350 a SM station of interest for which its temporal dynamics are representative, i.e., its spatial footprint.
351 This area is determined by first calculating Spearman's rank-based correlation coefficient between the
352 time-series of the station under investigation and the surrounding pixels of the studied models and
353 then iteratively removing the furthest pixels away from the station of interest, focusing on keeping
354 only those pixels that have a correlation above a predefined threshold (denoted as $rcut$). The spa-
355 tial representativeness is ultimately defined by the area covered by the convex hull surrounding these
356 stations that exhibit a correlation above $rcut$ threshold (Molero et al., 2018). A convex hull is the
357 smallest polygon that can enclose all the stations that meet the correlation criteria.

358 **3.3 Pre-processing and performance validation approach of SM products**

359 The soil moisture products used in this study vary in spatial and temporal resolution, layer depths,
360 and units. Consequently, these datasets undergo preprocessing to standardize their specifications for
361 effective comparison through the following steps:

362 **3.3.1 Scaling**

363 To ensure consistency across the different soil moisture products used in this study, a few scaling
364 procedures are applied:

- 365 • The soil moisture products have varying spatial resolutions, such as 0.5° for WaterGAP and
366 GLWS2.0, 0.25° for ESA CCI, and 0.2° for CLM5.0. To enable consistent analysis, the outputs
367 from CLM5.0 and ESA CCI are aggregated to match the 0.5° spatial resolution of WaterGAP
368 and GLWS2.0. In addition to this spatial scaling, all datasets are also aggregated to a monthly
369 temporal resolution to align with the standard resolution of GLWS2.0.



370 • Furthermore, soil moisture is known to exhibit significant spatial variability. This presents
371 challenges in using single station measurements to accurately represent model simulations across
372 an entire soil moisture (SM) grid, which is set to 0.5° in this study. Direct comparisons between
373 grid-based simulations and point-based observations can introduce substantial errors (Bi et al.,
374 2016). To mitigate this, the study utilizes multiple in situ measurements at each site, which
375 are grouped within the $0.5^\circ \times 0.5^\circ$ model pixel resolution area, covering three sites (Benin, Niger,
376 and Senegal). The average of all SM measurements within each site is computed to provide the
377 best possible approximation of the soil moisture at ground level. This approach, adopted by
378 many authors such as Louvet et al. (2015), Bi et al. (2016), and Zhang et al. (2024), ensures
379 a more reliable comparison. To further refine the process, model values are interpolated to the
380 corresponding in situ points using the nearest neighbor method.

381 • Another challenge in the validation process is the mismatch in depths between the model-based
382 soil moisture (SM) estimates and the SM observations. The ESA CCI captures only near-surface
383 soil moisture, whereas CLM5.0 and in situ data provide soil moisture measurements at various
384 depths, including those within the root zone. In contrast, WaterGAP and GLWS2.0 represent the
385 water content within a single soil moisture reservoir spanning the entire root zone. Consequently,
386 these products are not directly comparable in terms of magnitude (Koster et al., 2009). This
387 issue is addressed in the first subsection.

388 3.3.2 Normalization

389 The soil water simulated by the GLWS2.0 and WaterGAP models, expressed as water depth (in mil-
390 limeters), cannot be directly compared to in-situ measurements, ESA CCI data, or CLM5.0 outputs,
391 which are reported in volumetric fraction. For validation purposes, numerous previous studies have
392 used a range of normalization techniques to address the inconsistencies among SM estimates derived
393 from global hydrological models, satellite-based retrievals, and in situ observations (Jung et al., 2019;
394 Tian et al., 2019). Common methods include percentile-based normalization, moving-window anoma-
395 lies, and statistical rescaling to standardize datasets, improving alignment across diverse sources and
396 enhancing the accuracy of validation analyses. In this study, we employed a percentile-based normal-
397 ization method using the 2nd and 98th percentiles (Eq. 4).

$$398 \quad w_t = \frac{\theta_t - \theta_{wt}}{\theta_{fc} - \theta_{wt}} \quad (4)$$



In this approach, each data point in the time series θ_t is normalized by subtracting the 2nd percentile of the entire time series from individual monthly values θ_{wt} , followed by dividing the resulting series by the difference between the 98th θ_{fc} and 2nd percentiles of the original time series θ_{wt} . This method, referred to as "relative wetness" by Tian et al. (2019) and applied in previous studies (e.g., Tian et al. (2019) and references therein), is robust and less sensitive to outliers, making it particularly suitable for our analysis. By normalizing in this way, the approach eliminates information about the original scale, enabling comparisons to focus exclusively on the relative seasonal patterns within each dataset. This avoids biases introduced by absolute magnitudes or imposed statistical alignments, as seen in methods like Raoult et al. (2018), ensuring meaningful comparisons across datasets.

3.3.3 Performance validation approach for SM products

After converting soil moisture simulated in the model and derived from satellites into relative wetness, quantitative evaluations were performed on the refined datasets. The seasonality of different SSM time series is analyzed by applying a 12-month rolling boxcar filter. This approach smooths out short-term fluctuations, effectively isolating long-term variations with periods longer than one year. Subtracting this smoothed, long-term component from the original time series reveals the residual signal, which captures the episodic and seasonal variations occurring on timescales shorter than one year. For the validation of surface soil moisture (SSM) and the ESA CCI grid, only soil moisture probes located at depths ≤ 5 cm were considered to ensure consistency. It was assumed that the microwave retrievals from ESA CCI represent moisture content within the top 0–5 cm of the soil column. Three performance metrics were applied in this study: the Pearson correlation coefficient (R), bias, and root-mean-square error (RMSE) for validating with the *in situ* data, as well as R and RMSE for grid-based validation using the ESA CCI soil moisture data. R is a key statistical metric in this study, as it assesses how well the temporal variability of *in situ* and soil moisture product time series align. It remains unaffected by differences in mean or variance, which can arise from varying soil properties or scale discrepancies between *in situ* data and model or satellite footprints (Koster et al., 2009; Gruber et al., 2020; Beck et al., 2021).

4 Results and Discussion

4.1 Performance validation of SSM products

In this study, we employed two validation approaches. First, we validated surface soil moisture (SSM) products specifically, ESA CCI, GLWS2.0, WaterGAP, and CLM5.0 by comparing model-soil moisture



429 with in situ measurements taken at a depth of 5 cm across different study sites in Benin, Niger, and
430 Senegal. Our analysis emphasized the temporal correspondence between the datasets (e.g., time/phase
431 lags, dry and wet spell event periods) rather than the magnitudes. Additionally, deseasonalized
432 and episodic time series were compared with the corresponding in situ time series to further assess
433 consistency and alignment. Second, we compared the GLWS2.0, WaterGAP, and CLM5 models against
434 the ESA CCI gridded soil moisture data.

435 **4.1.1 In situ-based data validation of different SSM products**

436 The normalized soil moisture time series from ESA CCI, GLWS2.0, WaterGAP, and CLM5.0, along
437 with the in situ time series at each study site are overlaid and presented in Figure 3.

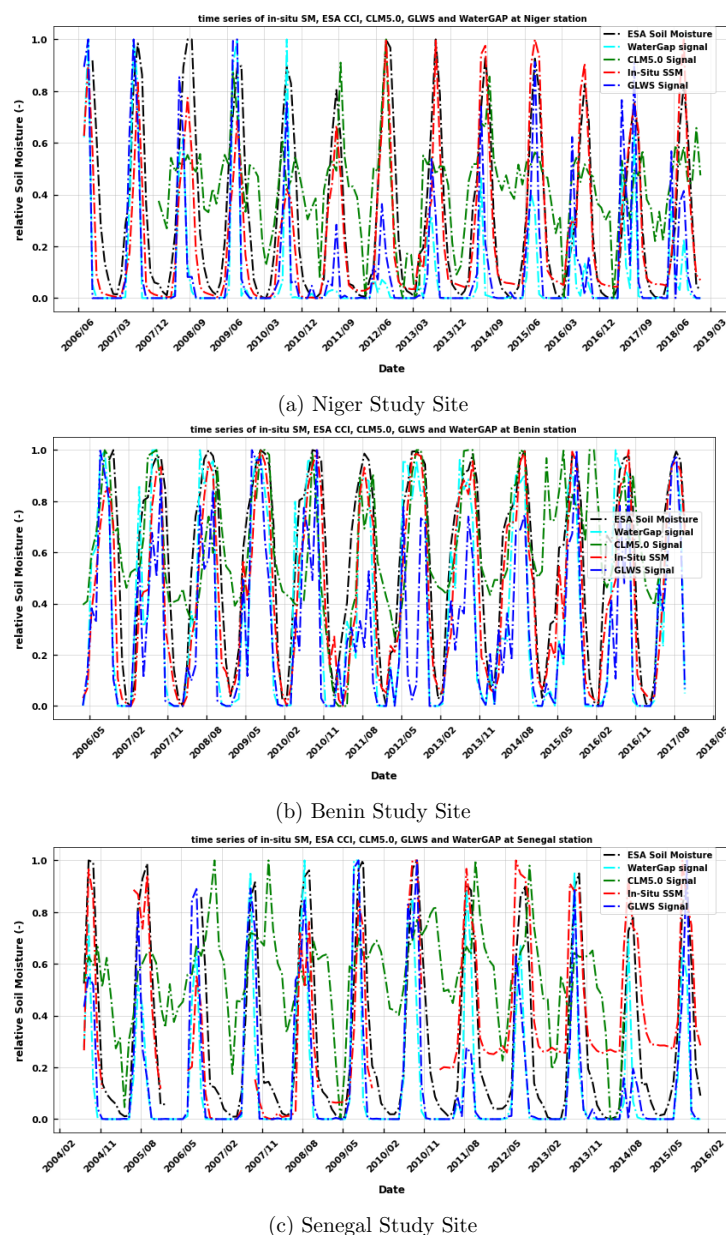


Figure 3: Normalized soil moisture time series for in situ measurements, ESA CCI, GLWS2.0, WaterGAP, and CLM5.0 at three distinct study sites at a depth of 5 cm.

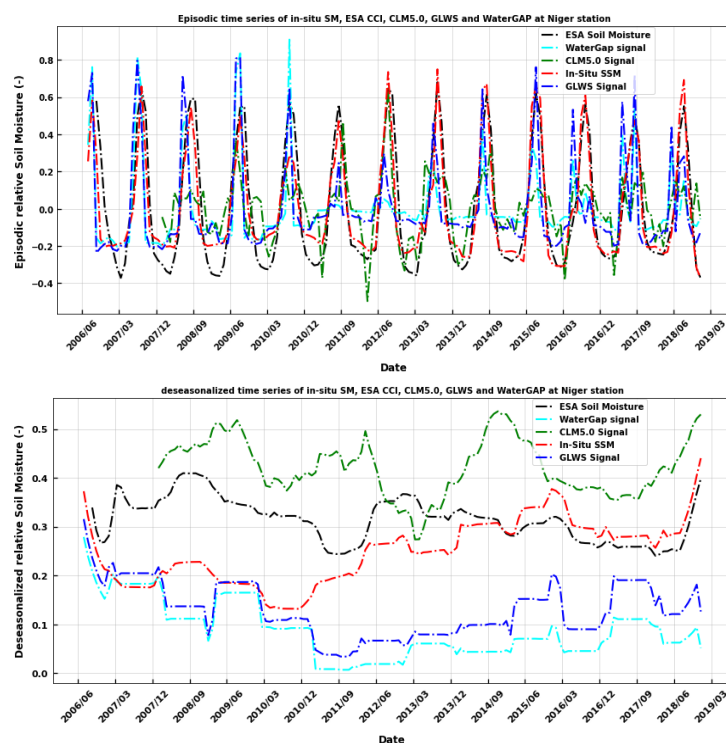
438 The ESA CCI, GLWS2.0 and CLM5.0 SM products effectively replicate the seasonal dynamics of
 439 monthly surface soil moisture (SSM) observed in ground-based measurements across different sites,
 440 demonstrating their robustness in capturing the effects of varying land cover and climate conditions
 441 in the region. The seasonal changes in soil moisture at a depth of 5 cm show marked variability, likely



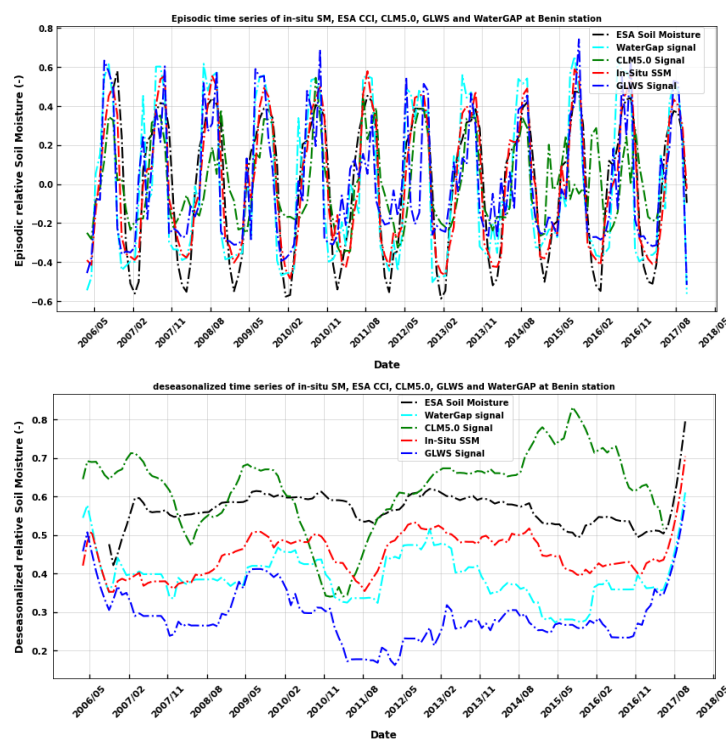
442 driven by the influence of the monsoon across the West African region. Similar dynamics are observed
443 over the Tibetan Plateau, where soil moisture cycles are shaped significantly by the South Asian
444 summer monsoon (Bi et al., 2016). These patterns underscore the importance of regional monsoon
445 systems in determining soil moisture fluctuations across distinct climatic zones. In situ observations
446 in Niger and Senegal reveal a typical Sahelian seasonal cycle, marked by a distinct wet season from
447 June to October, while the Benin site, located in the Sudanian climate zone, experiences a rainy
448 season extending from April to October, along with a longer monsoon period. The statistics from
449 the normalized SSM signals, as presented in Table 2, highlight the performance of various datasets in
450 terms of synchronization and correlation with in-situ measurements. In Benin, ESA, GLWS2.0, and
451 WaterGap generally exhibit strong synchronization, with time lags close to 0 months. These datasets
452 also demonstrate high correlations (ESA: 0.894, WaterGap: 0.856, GLWS2.0: 0.752). In Senegal,
453 these same datasets show minimal time lag (ranging from 0 to 1 month), though the correlations
454 are somewhat weaker (ESA: 0.646, WaterGap: 0.637, GLWS2.0: 0.574). In Niger, ESA remains
455 well-aligned with in-situ measurements (0-month lag and a 0.864 correlation), while both GLWS2.0
456 and WaterGap experience slight delays (1-month lag), accompanied by weaker correlations (0.655 and
457 0.515, respectively).

458 **4.1.2 SSM seasonality**

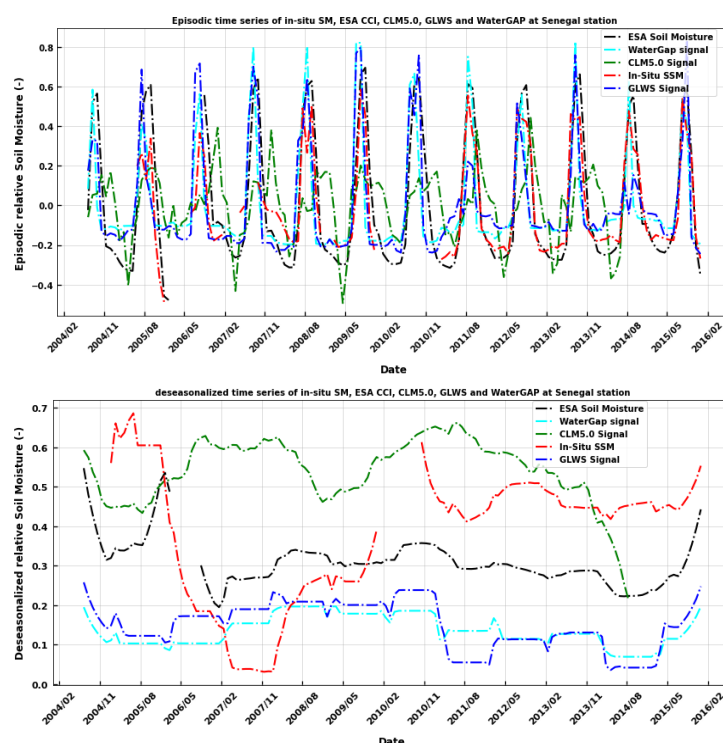
459 To better highlight events in the time series, a deseasonalization method is applied. The seasonal
460 maps derived from this process are presented in Fig. 4.



(a) Niger study site



(b) Benin study site



(a) Senegal study site

Figure 4: Episodic and Deseasonalized time series for soil moisture across study sites.

461 The analysis of episodic and deseasonalized time series for soil moisture across Senegal, Niger,
 462 and Benin reveals distinct regional patterns influenced by the West African Monsoon (WAM). In the
 463 Sahelian zones of Niger and Senegal, typical seasonal cycles show sharp increases in soil moisture during
 464 the monsoon (June to October) and declines in the dry season (November to May). Deseasonalized
 465 data highlight anomalies, such as unseasonal rainfall or dry spells, which suggest shifts in the timing
 466 or intensity of the monsoon and potential impacts of climate variability, such as droughts or flooding.
 467 In Benin, located in the Sudanian climate zone, a longer rainy season (April to October) leads to a
 468 more extended period of increased soil moisture, with anomalous events like flooding or unexpected
 469 dry spells reflecting changes in rainfall distribution. Overall, deseasonalizing the time series reveals
 470 how soil moisture is sensitive to shifts in the monsoon, with delayed rains in the Sahel potentially
 471 causing droughts, while early or heavy rains in Benin could lead to flooding or soil saturation. The
 472 correlation between the seasonality of the ESA CCI product and the in situ data is generally high,
 473 exceeding 0.9 for episodic time series across all sites. At the Benin site, ESA CCI demonstrates the
 474 strongest performance, followed by the assimilation-based model GLWS2.0. Meanwhile, at the Niger
 475 and Senegal sites, WaterGAP also performs well, ranking closely behind ESA CCI.



Table 2: Statistics from the normalized SSM time series.

	Benin				Niger				Senegal			
	Lag (month)	corr	bias	rmse	Lag (month)	corr	bias	rmse	Lag (month)	corr	bias	rmse
Insitu – ESA	0	0.894	-0.120	0.178	0	0.864	-0.073	0.164	0	0.646	0.046	0.214
Insitu – GLWS2.0	0	0.752	0.161	0.284	1	0.655	0.12	0.276	0	0.574	0.222	0.356
Insitu – WaterGap	0	0.856	0.060	0.211	1	0.515	0.161	0.312	1	0.637	0.241	0.351
Insitu – CLM5	6	0.618	-0.168	0.548	-18	0.497	-0.183	0.464	17	0.437	-0.112	0.470

Table 3: Statistics from the normalized SSM time series.

	Benin			Niger			Senegal		
	corr	bias	rmse	corr	bias	rmse	corr	bias	rmse
Episodic time series									
Insitu – ESA	0.932	-0.003	0.128	0.926	-0.006	0.123	0.900	-0.033	0.158
Insitu – GLWS2.0	0.762	0.002	0.225	0.617	0.000	0.234	0.661	-0.014	0.215
Insitu – WaterGap	0.861	0.002	0.200	0.509	0.000	0.251	0.702	-0.008	0.201
Insitu – CLM5	0.710	-0.006	0.240	0.505	-0.002	0.224	0.345	-0.017	0.256
Desseasonalized time series									
Insitu – ESA	0.765	-0.117	0.123	-0.182	-0.067	0.108	0.340	0.082	0.178
Insitu – GLWS2.0	0.400	0.158	0.174	0.043	0.119	0.145	-0.470	0.236	0.309
Insitu – WaterGap	0.588	0.058	0.079	-0.287	0.161	0.188	-0.412	0.246	0.308
Insitu – CLM5	0.096	-0.175	0.208	0.046	-0.168	0.188	-0.350	-0.172	0.280

4.1.3 ESA CCI grid based evaluation of the different models

To evaluate the accuracy and performance of the global models used in this study (GLWS2.0, WaterGAP, and CLM5.0) a grid-based comparison was conducted using remotely sensed surface soil moisture (SSM) data from ESA CCI. Figure 4 displays the Pearson correlation coefficient (R) and root-mean-square error (RMSE) metrics, providing insights into how well the GLWS2.0, WaterGAP, and CLM5.0 models perform relative to the ESA CCI grid. In this figure, gaps in the data particularly noticeable in forested and densely vegetated areas (shown as white regions near the coastline) highlight areas where soil moisture estimates are challenging. This data masking in the ESA CCI SSM datasets occurs because microwave-based observations typically exclude areas with moderate to dense vegetation due to the strong attenuation of soil signals by the vegetation canopy (Dorigo et al., 2017).

The figure also reveals that the patterns in RMSE and correlation metrics vary with land cover type, demonstrating that land cover exerts a clear influence on the performance of these models. GLWS2.0 shows a notably lower RMSE than the other models across the region and exhibits strong



spatial consistency across various land cover types. The lowest RMSE values for GLWS2.0 are observed in the semi-arid Sahel regions, likely due to its effective assimilation process that better captures soil moisture dynamics in these environments.

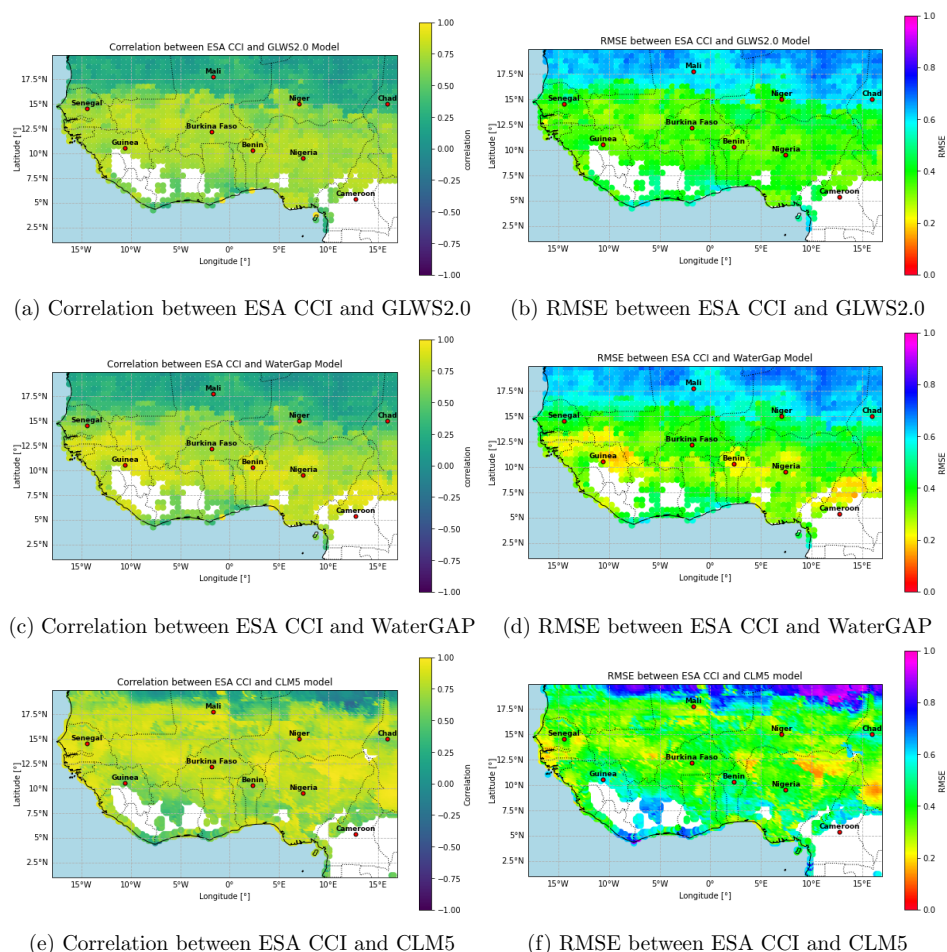


Figure 5: Pearson correlation coefficient (R) and RMSE metrics illustrating the performance of GLWS2.0, WaterGAP, and CLM5 models relative to the ESA CCI grid.

492

The figure underscores the complexities of soil moisture modeling across the diverse climates and land covers of the West African region, where model performance and error metrics vary significantly. While GLWS2.0 demonstrates spatial consistency across different land-cover types with relatively low RMSE values, this consistency is not as evident in other models. RMSE values are generally lower in the northern part of West Africa but higher in the southern regions. This variation may be attributed to the sporadic nature of soil moisture in these areas, where conditions are highly dependent on occasional rainfall events. In these regions, soil moisture levels can shift rapidly, with dry conditions prevailing and only brief, intense increases in moisture following rainfall. Both the satellite data

500



(ESA CCI) and the hydrological models encounter challenges in accurately capturing these short-lived, extreme moisture events. However, because arid and semi-arid regions experience prolonged dry spells, the overall RMSE between model predictions and satellite observations remains relatively low, despite the missed short-term fluctuations. This pattern underscores the inherent challenges of modeling soil moisture in arid and semi-arid regions, where infrequent but intense moisture changes add complexity to the dynamics. Additionally, the figures show that GLWS2.0 demonstrates stronger correlation with ESA CCI data compared to other models, particularly WaterGAP, which does not show dependency on land-cover types. This correlation is generally higher in southern West Africa and weaker in the north, where low soil moisture values make it difficult to discern clear patterns. In the southern regions, more frequent and consistent rainfall leads to stable soil moisture levels, allowing moisture dynamics to be easier to predict. Consequently, the spatial correlation pattern between GLWS2.0 and ESA CCI soil moisture data reveals a clear latitudinal gradient aligned with annual rainfall and climate zones. This pattern is particularly evident in semi-arid regions that serve as transition zones between wet and dry climates, where the highest correlation values are observed (i.e. area between 9.5°N and 15°N). Such transitional regions, including the Indian subcontinent, the North American Great Plains, and southeastern Brazil, also exhibit increased correlation values due to pronounced seasonal and inter-annual variability in soil moisture (SM) measurements (Al-Yaari et al., 2014). Similar findings were reported by Jung et al. (2019) over West Africa, where an evaluation of spatial correlations between GRACE-based assimilation, SSM simulations and satellite-derived SSM products (ASCAT, SMOS, and SMAP) indicated strong correlation patterns in transitional zones. This consistency suggests that SM measurements in these regions are particularly sensitive to seasonal dynamics and the distinct cycles of wet and dry phases. Moreover, GLWS2.0 effectively captures the general pattern of soil moisture in the south, where soils retain moisture better and vegetation moderates fluctuations.

4.2 Spatial Representativeness

Figure 5 illustrates the Spatial Representativeness (SR) of ESA CCI, GLWS2.0, WaterGAP, and CLM5.0 using a cutoff Spearman correlation of 0.6, which effectively highlights how well each soil moisture product reflects observed soil moisture dynamics at each study site. This similarity threshold distinguishes between datasets that demonstrate moderate to strong agreement with in situ observations, categorizing them as performing well (correlation > 0.6) or less effectively (correlation ≤ 0.6) in capturing real-world variations. Notably, only ESA CCI and GLWS2.0 exhibit Spearman correlations greater than 0.6 with in situ data, indicating that these models accurately capture the spatial and temporal dynamics of soil moisture within their respective spatial footprints. This alignment suggests



533 that their soil moisture estimates correlate quite well with the observed time series. Furthermore,
534 the efficacy of the GLWS2.0 assimilation process likely enhances its ability to reflect real-world soil
535 moisture conditions, particularly in arid and semi-arid regions characterized by sporadic moisture
536 changes. In contrast, the lower correlations observed for WaterGAP and CLM5.0 point to limitations
537 in these models' capacity to represent soil moisture variability at finer spatial scales. Overall, these
538 findings underscore the importance of integrating observational data to enhance model accuracy in
539 representing high-resolution soil moisture dynamics, suggesting that ESA CCI and GLWS2.0 are bet-
540 ter suited for regional applications requiring high spatial sensitivity. Although the SR approach has
541 not previously been applied to the satellite/model SM products examined in this study, it has proven
542 effective for validating other SM products across various regions worldwide. For example, in the Little
543 Washita watershed in the United States and the Yanco area in Australia, spatial representativeness
544 has been used to explore the connections between SM spatial scales and timescales within the 50
545 km satellite footprint of SMOS, AMSR2, and ECMWF SM products (Molero et al., 2018). These
546 authors demonstrate that the spatial representativeness of surface soil moisture increases with longer
547 timescales, but with greater variability in these regions. Nicolai-Shaw et al. (2015) showcased the
548 robustness and effectiveness of this approach for selecting appropriate soil moisture products. By
549 applying it to analyze the temporal dynamics of absolute soil moisture across North America, they
550 compared in situ observations with the European Space Agency's ECV-SM and ERA-Land datasets.
551 Orlowsky & Seneviratne (2014) demonstrating the robustness of this parameter-free method in cli-
552 matology to quantify the spatial footprint of weather stations across Europe. Their findings show
553 that temperature data generally exhibit greater representativeness than precipitation, with significant
554 seasonal changes influenced by atmospheric circulation patterns, particularly in boreal winter.

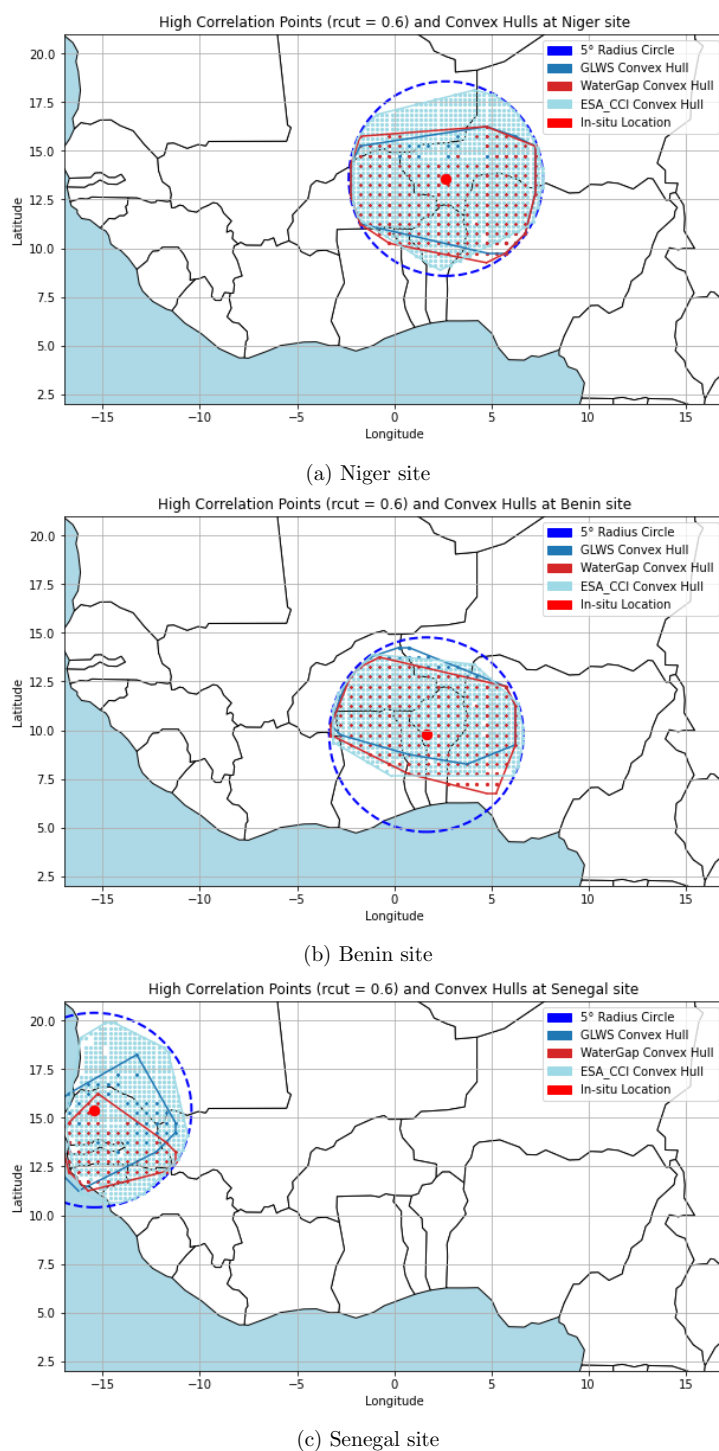


Figure 6: Spatial footprint of soil moisture products represented by a blue circle with a radius of 5° around each site, indicated in red. The convex hulls in light and dark blue represent the areas for which ESA CCI and GLWS2.0 exceed the specified cutoff threshold of 0.6, respectively.



4.3 Retrieving the root-zone soil moisture from GLWS2.0 and WaterGap and validating its dynamics using in-situ and CLM5

An analytical solution to Richards' equation is used to convert water content from GLWS2.0 and WaterGAP to different depths, enabling comparison of model-derived root zone soil moisture with in-situ observations across depths (Figures 6 to 9).

• Projection depth (node depth) = 5 cm

GLWS2.0, which incorporates GRACE/FO data, demonstrates superior performance than WaterGAP and yields results comparable to those of CLM5.0 in capturing the monthly root zone soil moisture (RZSM) dynamics at the Niger and Benin sites at this depth (Figure 6). However, the temporal dynamics at the Senegal site are less consistent, particularly during the initial two years (2004–2006) of the in situ observation system. This discrepancy can be partially attributed to the Senegal site being represented by a single station, unlike Niger and Benin, which have at least three stations at different locations. The limited data from a single station poses challenges in accurately representing model simulations over a broader 0.5° soil moisture grid study (Louvet et al., 2015). Furthermore, the WaterGAP model struggles to capture long-term (2006–2018) seasonal dynamics at all sites as recorded by in situ sensors. At a depth of 5 cm, a comparison of model performances in capturing seasonal soil moisture dynamics shows that the ESA CCI model aligns most closely with in situ measurements. ESA CCI achieves the lowest RMSE and the highest R^2 values across all study sites: Benin (RMSE = 0.194, R^2 = 0.714), Niger (RMSE = 0.166, R^2 = 0.663), and Senegal (RMSE = 0.213, R^2 = 0.533). The GLWS2.0 assimilation-based model ranks second in accuracy, with corresponding values in Benin (RMSE = 0.336, R^2 = 0.224), Niger (RMSE = 0.317, R^2 = -0.031), and Senegal (RMSE = 0.307, R^2 = 0.403). CLM5.0 provides performance metrics similar to those of GLWS2.0, with values in Benin (RMSE = 0.339, R^2 = 0.229), Niger (RMSE = 0.339, R^2 = -0.351), and Senegal (RMSE = 0.386, R^2 = 0.257). These results indicate that ESA CCI demonstrates the highest accuracy in reflecting seasonal soil moisture patterns, while GLWS2.0 and CLM5.0 show comparable but lower precision in fitting observed in situ measurements across all sites.

• Projection depth (node depth) = 10 cm, 40 cm, 100 cm

As illustrated in figures 7, 8, and 9, which represent RZSM at depths of 10, 40, and 100 cm respectively, both GLWS2.0 and CLM5.0 capture reasonably the seasonal dynamics of soil moisture at the Niger and Benin sites. These models show good alignment with in situ measurements across different depths, demonstrating their ability to reflect seasonal moisture changes as recorded by the local



sensors. However, the WaterGAP model does not reflect this seasonality effectively. At the Senegal site, these seasonal patterns are notably absent, likely due to previously mentioned issues with the limited data from a single station, which may not fully represent local soil moisture variability at the model's grid scale (0.5° resolution). Furthermore, seasonal consistency in GLWS2.0 projections across depths is less reliable at the Senegal site, situated near the coastline. This discrepancy could stem from coastal regions' unique characteristics, such as tidal influences and potential signal interference from the nearby ocean, which may impact the accuracy of GRACE/-FO-based observations used in the model's assimilation process. In comparing RZSM estimates retrieved from GLWS2.0 and WaterGAP to in situ observations and the physically based model CLM5.0 at depths of 10 cm and 40 cm, CLM5.0 demonstrates slightly better performance. This is reflected in CLM5.0's smaller RMSE and higher R^2 values across the study sites, indicating a closer alignment with observed moisture dynamics. However, at a depth of 100 cm, GLWS2.0 performs marginally better than CLM5.0, with slightly lower RMSE and higher R^2 metrics, suggesting a potential advantage of GLWS2.0 in capturing RZSM dynamics at this depth. Overall, while GLWS2.0 exhibits solid performance, particularly in comparison to WaterGAP, WaterGAP's RZSM estimates show more significant discrepancies from both in situ measurements and the results provided by CLM5.0, especially at all depths observed. In addition, CLM5.0 performed quite poor at 5cm, but relatively good at greater depths. This might be related to inclusion of measurement data by ESA CCI at 5cm., while CLM5.0 did not have data assimilation at this depth. The influence of 5 cm soil moisture measurements diminishes at greater depths, while apparently the model for vertical soil moisture transport scheme that CLM5.0 uses is better than for the other models. GLWS2.0, on the other hand, benefits from GRACE-based data assimilation at depth, which likely explains its comparatively stronger performance at 100 cm.

5 Conclusions and implications

This study assessed root-zone soil moisture (RZSM) dynamics across West Africa between 2003 and 2019 using multiple data products, including GLWS2.0, WaterGAP, CLM5.0, ESA CCI v0.81 product, and in-situ measurements. Results show that ESA CCI, CLM5.0 and GLWS2.0 effectively capture the seasonal soil moisture dynamics, while WaterGAP performs less reliably. ESA CCI demonstrates the strongest temporal alignment with in-situ observations, characterized by near-zero time lags and high correlation across all regions. CLM5.0 and GLWS2.0 show moderate to good performance, with ESA CCI remaining the most reliable overall in terms of both synchronization and correlation.

A grid-based validation of GLWS2.0, WaterGAP, and CLM5.0 models against ESA CCI data which has shown high accuracy in the region reveals that CLM5.0 and GLWS2.0 correlate more strongly with



618 ESA CCI across West Africa, displaying a distinct latitudinal gradient aligned with annual rainfall
619 and climate zones. This gradient, particularly strong in the transition zones between wet and dry
620 climates (9.5°N to 15°N) as similarly noted by Jung et al. (2019), highlights their capacity to capture
621 spatial patterns in SM dynamics. In contrast, WaterGAP lacks this dependency on land-cover types,
622 suggesting its limitations in capturing regional SM variations.

623 To evaluate how well each product captures spatially coherent soil moisture (SM) dynamics, a
624 Spearman correlation threshold of 0.6 was applied. This helped identify the extent to which temporal
625 SM variations at a given location resemble those in surrounding areas. The results show that ESA
626 CCI, GLWS2.0, and WaterGAP consistently meet this threshold around the studied sites, indicating
627 that these models accurately capture the spatial and temporal dynamics of SM within their respective
628 spatial footprints. Although this approach has not previously been applied to the satellite/model SM
629 products examined in this study, it has proven effective for validating other SM products across various
630 regions worldwide (Orlowsky & Seneviratne, 2014; Nicolai-Shaw et al., 2015; Molero et al., 2018).
631 However, it is important to acknowledge several limitations that may affect both the in-situ and grid-
632 based validation, as well as the spatial footprint analysis. First, the limited number of in-situ probes at
633 each study site may not adequately capture the local variability in soil moisture (SM), especially when
634 compared to the coarser 0.5° spatial resolution of the models. Additionally, the availability of ESA
635 CCI data is often restricted in forested and densely vegetated regions due to the strong attenuation
636 of microwave signals by vegetation canopies, as noted by Dorigo et al. (2017). Another source of
637 uncertainty lies in the mismatch between the spatial representativeness of point-based observations
638 and the model grid size, which can introduce discrepancies in the validation process. Moreover, the
639 normalization applied to the datasets, while useful for comparative purposes, may have masked true
640 differences in SM magnitudes across products. Finally, temporal gaps in data coverage—whether in
641 in-situ records or satellite-derived products—can affect the consistency and reliability of the validation
642 outcomes.

643 An analytical solution of Richards' equation was applied to translate water content from the
644 single soil moisture reservoir from WaterGAP and GLWS2.0 to various depths. At 5 cm soil depth,
645 ESA CCI consistently shows the closest alignment with in situ SM data, achieving the lowest RMSE
646 and the highest R^2 values across all study sites. The GLWS2.0 and CLM5.0 models rank next in
647 accuracy, with GLWS2.0 showing RMSE values around 0.317–0.336 and R^2 values from -0.031 to
648 0.403, while CLM5.0 demonstrates similar metrics, indicating that both provide comparable but less
649 precise tracking of observed soil moisture dynamics. The findings reinforce prior in situ and grid-based
650 validation results and spatial footprint analysis, which highlight GLWS2.0's sensitivity to the top 0–5



651 cm soil layer. At greater depths (10, 40, and 100 cm), the GLWS2.0 model reasonably captures the
652 seasonal SM dynamics observed in both in situ measurements and CLM5.0 outputs at the Benin and
653 Niger sites. However, these seasonal patterns are notably absent at the Senegal site, likely due to the
654 limited number of probes and signal contamination from the nearby ocean.

655 Overall, GLWS2.0 performs better than WaterGAP at various depths, largely due to the advantages
656 provided by the GRACE/-FO data assimilation process, which enhances its accuracy in capturing root
657 zone soil moisture dynamics. Additionally, even without GRACE/-FO data assimilation, CLM5.0
658 shows substantially better performance than WaterGAP across all study sites, reflecting its stronger
659 capability to track soil moisture variations in West Africa.

660 The novel application of this depth-translation approach, based on analytical solutions of Richards'
661 equation, enabled the projection of water content from a single soil moisture reservoir to various depths
662 across West Africa, providing insights that go beyond traditional SM analysis in the region. This
663 methodology not only extends the shallow vertical range typically captured by microwave satellite
664 sensors, but it also offers a way to differentiate surface and groundwater storage variations within the
665 GRACE/-FO data, potentially expanding our understanding of water storage dynamics in complex
666 hydrological settings. By enhancing the representation of SM across different depths, this framework
667 can improve agricultural forecasting and deepen our understanding of water cycle interactions across
668 diverse landscapes, especially in regions where accurate SM data are essential for sustainable water
669 management.

670 **Competing interests**

671 Harrie-Jan Hendricks Franssen is a member of the editorial board of Hydrology and Earth System
672 Sciences. The authors declare that they have no other competing interests.

673 **Author contributions**

674 LY: Data curation, methodology, software, formal analysis, visualization, interpretation, validation,
675 writing (original draft, review and editing). JK: Conceptualization, methodology, writing (review
676 and editing), supervision. BO: Methodology, model running, writing (review and editing). HG:
677 Interpretation, writing (review and editing). HJHF: Conceptualization, supervision, writing (review
678 and editing).



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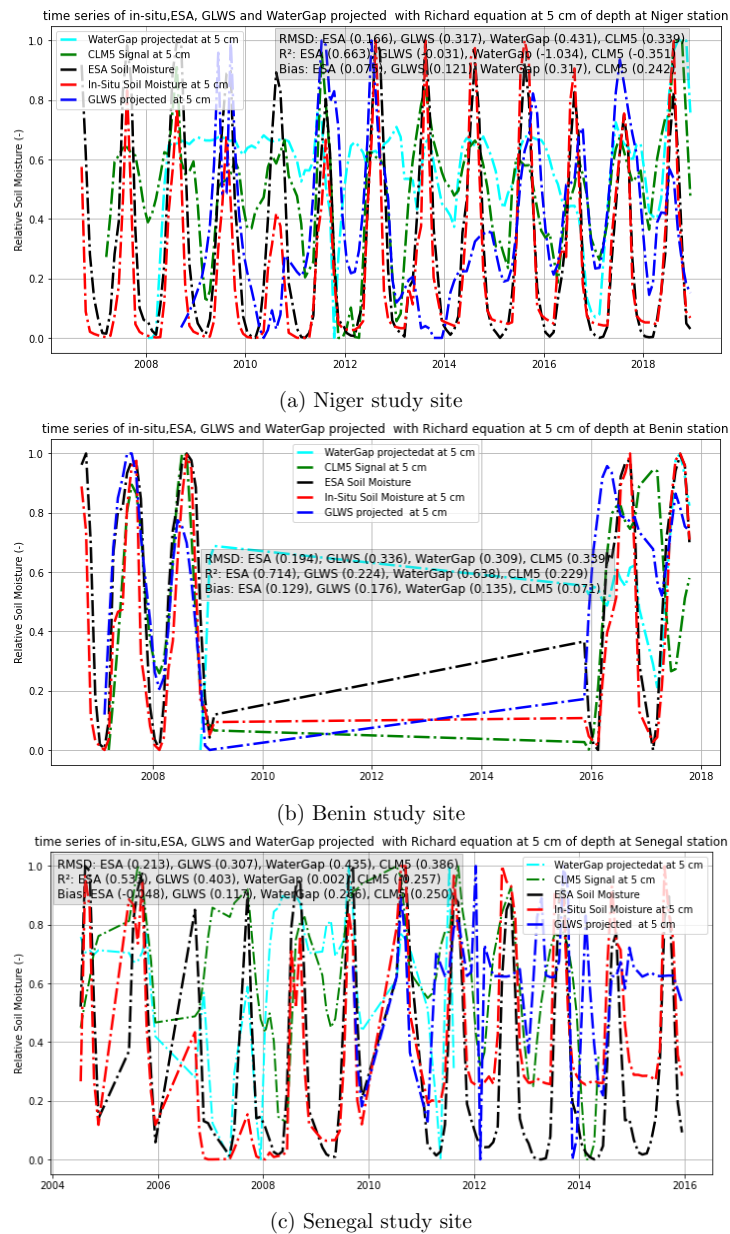
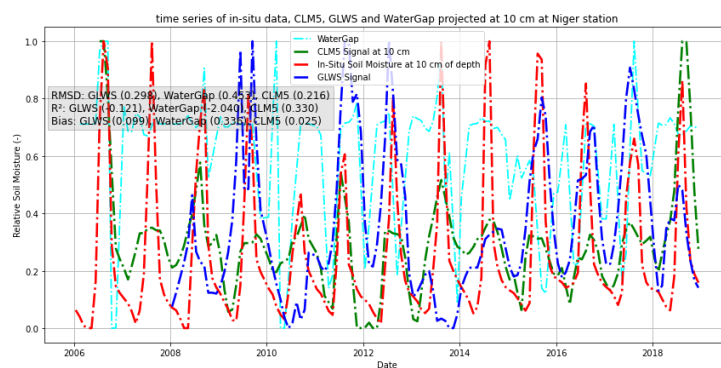


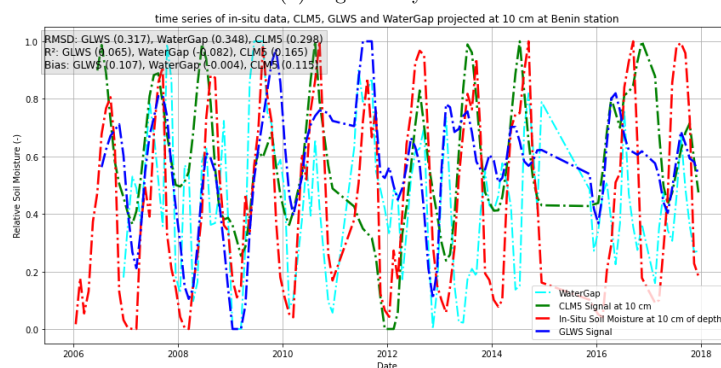
Figure 7: Soil moisture time series for in situ measurements, ESA CCI, GLWS2.0, WaterGAP, and CLM5.0 at three distinct study sites, projected at a depth of 5 cm.



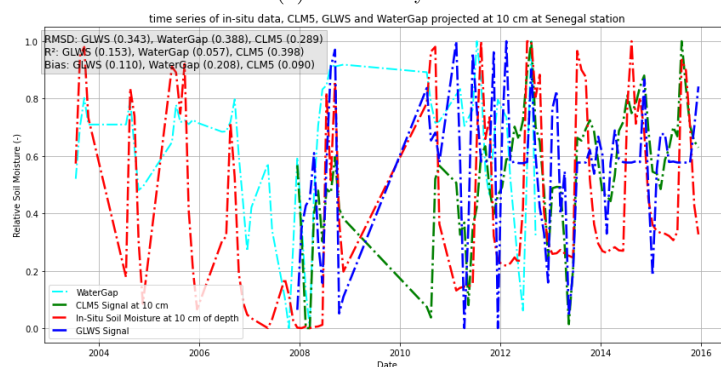
• Node depth = 10 cm



(a) Niger study site



(b) Benin study site

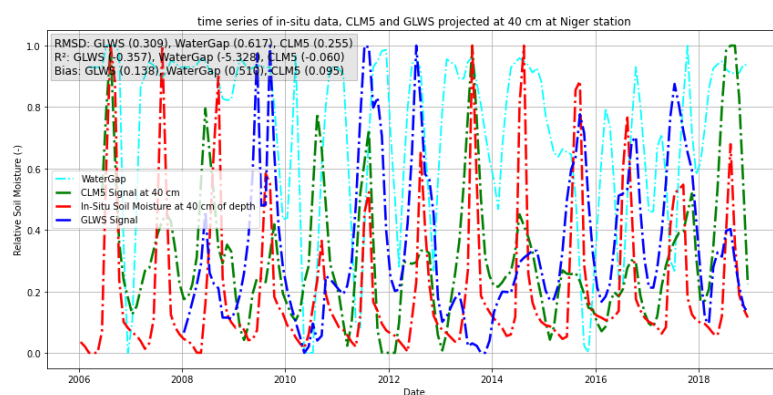


(c) Senegal study site

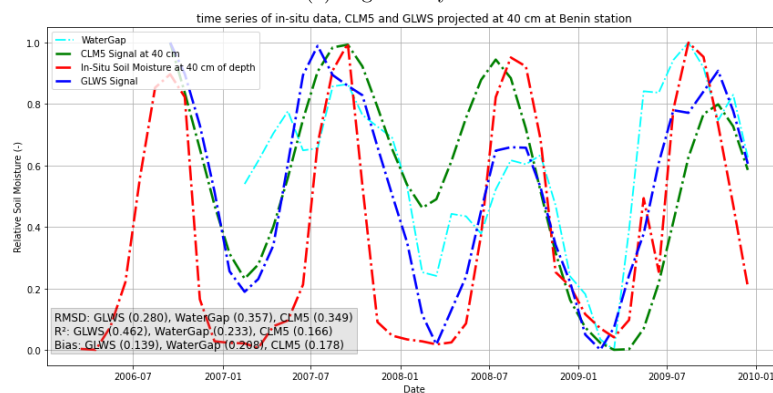
Figure 8: Soil moisture time series for in situ measurements, ESA CCI, GLWS2.0, WaterGAP, and CLM5.0 at three distinct study sites, projected at a depth of 10 cm.



• Node depth = 40 cm



(a) Niger study site

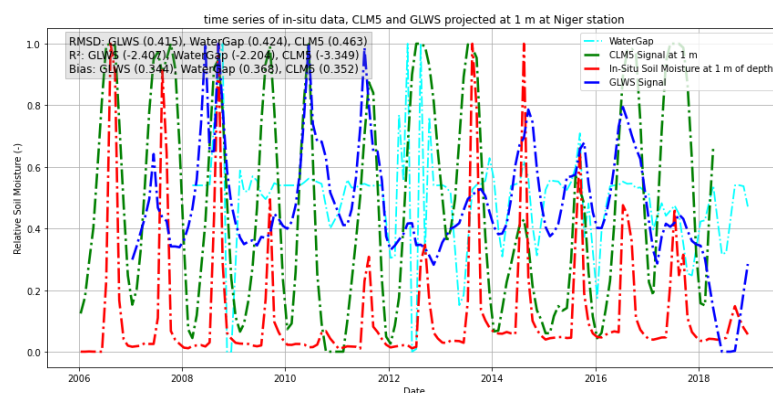


(b) Benin study site

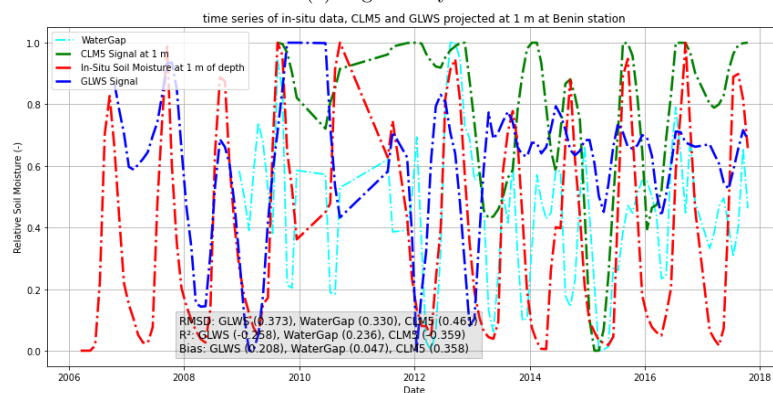
Figure 9: Soil moisture time series for in situ measurements, ESA CCI, GLWS2.0, WaterGAP, and CLM5.0 at three distinct study sites, projected at a depth of 40 cm.



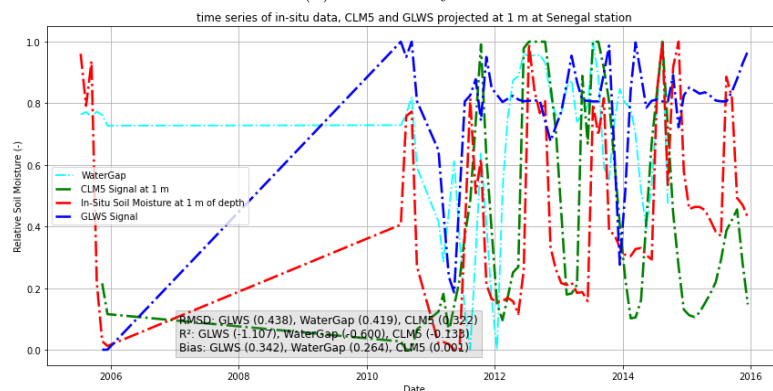
• Node depth = 100 cm



(a) Niger study site



(b) Benin study site



(c) Senegal study site

Figure 10: Soil moisture time series for in situ measurements, ESA CCI, GLWS2.0, WaterGAP, and CLM5.0 at three distinct study sites, projected at a depth of 100 cm.