Characteristics of gauged abrupt wave fronts (walls of water) in flash floods in Scotland

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Abstract. Extremely rapid rates of rise in river level and discharge are a subset of flash floods ('abrupt wave front floods', AWFs) and are separate hazards from peak river level. They pose a danger to life to river users and occur mainly in the summer. The rate of change in gauged river level and discharge can be used to assess and compare the severity of AWF events within and between catchments. We use several metrics of discharge severity to investigate AWFs on 260 Scottish gauged catchments. We use the full flow record for each station and map the occurrence of maximum 15 min change in river levels and discharge. We map a further three measures to compare risk between catchments including the multiple of the 15 min flow increase from the initial to terminal discharge. The concurrent increase in velocity is difficult to measure but wave celerity can be assessed where there are observations of the time of wave onset at more than one point on a channel. We investigate several such events on the River Findhorn in northeast Scotland. Such events need better monitoring forecasting and warning, particularly as extreme downpours are becoming more frequent with global warming. Extremely rapid rates of rise in river level and discharge are a subset of flash floods ('abrupt wave front floods', AWFs) and are separate hazards from peak river level. They pose a danger to life to river users and occur mainly in the summer. Using level and discharge records from 260 Scottish gauged catchments, we present the spatial distribution of annual maximum 15 minute rises in river level and discharge, along with derived metrics to assess the severity of AWF events. These include normalised and proportional measures of flow change, as well as ratios that characterise the intensity of AWF events. We estimate wave celerity by analysing the time difference in wave onset recorded successive gauging stations along a river channel. This approach is applied to several AWF events on the River Findhorn in northeast Scotland, allowing for detailed examination of their dynamics. Our findings suggest that flood forecasting models with outputs of peak discharge and river level, may not adequately represent the risk posed by rapidly rising flows, especially at national scales where hydroclimatic and geomorphological variability trigger different AWF metrics. We show that AWFs may intensify downstream, with wave fronts steepening as they travel through lowland river reaches, as observed in multiple events on the River Findhorn, showing

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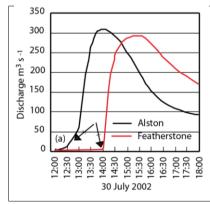
a necessity of more accurate and frequent river measurements. We conclude that AWFs need better monitoring forecasting and warning, particularly as extreme downpours are becoming more frequent with global warming.

Keywords: Flash flood, Abrupt wave front, kinematic shock, Scotland

1 Introduction

- Extremely rapid rates of rise in river level and velocity, often described as 'walls of water', are a subset of flash floods (also called 'abrupt wave front floods', AWFs) (Archer et al., 2017). They are separate hazards from peak levels whose principal impact is on the flooding of property. The rapidity of onset of AWFs, often as a visible wave, provides a critical danger to the lives of river users such as anglers and swimmers even when the peak river level is not severe. Archer et al., 2024 used gauged records of level and flow to examine the occurrence of such AWFs, noting their occurrence on every major catchment draining the Pennines in northern England. However, an understanding of the characteristics and geographical distribution of AWFs is limited in several ways:
 - The location of gauging stations and historical observations are often well downstream from the headwater tributary where they were generated. Gauged examples from the Pennines (Archer and Fowler, 2018) show that the flood wave may steepen as it progresses downstream (Fig. 1). At the upstream station at Alston (118 km²) there is a gradual rise of 62 m³ s¹in an hour before a sharp 15 min rise of 117 m³ s¹ followed by a 15 min rise of 80 m³ s¹. At the downstream gauge of Featherstone (322 km²), the initial gradual flow has been absorbed and discharge rises abruptly from 2 m³ s¹ to 168 m³ s¹ within 15 minutes. The hazard to river users is much lower at Alston where the progressive initial rise provides a greater opportunity to escape than at Featherstone. However, if only one record from a single station (such as Alston) were available with an initial gradual rise, it could also be considered an AWF in the process of development. In the same event, the flood wave in the main channel similarly absorbed an early tributary inflow from the River Allen between gauging stations at Haydon Bridge (751 km²) and Bywell (2176 km²). Therefore, although at some point downstream normal attenuation may be established, a rapid increase in level may persist as a serious hazard downstream from the point of observation as described for the River Tees (Watkiss and Archer, 2023). Both gauged and historical observations at a single location may not therefore represent the most severe hazard experienced in a flood event.
 - 2. The hazard of abrupt wave fronts is a combination of the simultaneous increase in level and velocity. Gauged observations on the River Tyne show that while river level can increase by more than 1 metre in 15 minutes, the velocity can increase from an initial value of less than 0.5m s⁻¹ to more than 3.0 m s⁻¹ within the same time interval. Since, the primary observation at most river gauging stations is of river level, with the subsidiary.

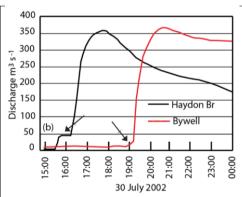
- 3. The standard interval of river level measurement in Britain has been 15 minutes since the 1960s. For gauged AWFs, it is unclear from the data whether the rise is distributed equally over the 15 min interval or whether it occurred nearly instantaneously. Archer et al. (2023) provide clear evidence that in some cases the wave front passes by in minutes or even seconds. In addition, during a rapid increase in level, in some AWF events the wave front may be broken between consecutive 15 min periods. In others, the peak may have passed between the start of the rise and the subsequent measurement so that the recorded peak falls on the recession and thus underestimates the actual peak. In this analysis we compare maximum level and discharge changes over 15 min intervals but note where the rise continues over two or more 15 min intervals.
- 4. Historical observations in Britain record that AWFs are often accompanied by an entrained bedload of boulders which may be a more than a metre in diameter (Carling, 1986; Watkiss and Archer, 2023) which add to the hazard of such events in steeply sloping upland catchments. Severe floating debris can extend much further downstream, impacting on river users and disrupting level and flow measurements (Archer et al., 2024). Video evidence from Europe and elsewhere demonstrates the severity of entrained bedload, for example at Murgang, Switzerland (https://www.youtube.com/watch?v=2Rfuoylv34k), and the impact of floating debris at Laui Giswil, Switzerland in May 2017 following a headwater thunderstorm (https://www.youtube.com/watch?v=ZM6Pkf5argY). Although there are historical descriptions of such severe events in Britain, video evidence has not been found.



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80 Figure 1. a. The progress of an AWF on the upper river South Tyne on 30 July 2002 illustrating the downstream absorption of an initial gradual rise, and b. The absorption of a tributary inflow from the River Allen between Haydon Bridge on the South Tyne and By well on the main Tyne.

The focus of this paper is on the use of gauged data in Scotland to assess the comparative severity of AWFs on individual catchments and risk to life. We examine and compare AWFs between Scottish catchments of different sizes using both gauged level and discharge data.

This study presents novel large-sample evidence of abrupt wave front floods (AWFs) in Scottish rivers, offering new perspectives on their spatial distribution, downstream evolution, and hazard potential. Here, we place these results in the context of hydrodynamic theory and risk analysis. After identifying key data and methodological limitations, we propose future directions for improving the detection and understanding of AWFs.

Extremely rapid rates of rise in river level and velocity, often described as 'walls of water', are a subset of flash floods (also called 'abrupt wave front floods', AWFs) (Archer and Fowler, 2018). They are separate hazards from peak levels whose principal impact is on the flooding of property and economic loss. The rapidity of onset of AWFs, often as a visible wave, provides a critical danger to the lives of river users such as anglers and swimmers even when the peak river level is not severe.

On a worldwide basis there is a growing recognition of the hazard of floods with a very rapid rate of rise. Collischonn & Kohiyama (2019) noted seven events in southern Brazil in the period 2008 to 2019 in which a total of 16 people were washed

Kobiyama (2019) noted seven events in southern Brazil in the period 2008 to 2019 in which a total of 16 people were washed away and drowned. Viggiani (2020) compiled a list of 19 'surge waves' from around the world which caused significant loss of life. Here we examine gauged records of such events in Scotland.

Scotland is subject to river flooding from several driving forces. As a mountainous country on the Atlantic fringe, it suffers

flooding from persistent, orographically enhanced frontal and cyclonic rainfall and from melting snow (SEPA, 2022). Convective activity, the source of flash floods, is much weaker in Scotland than in southern England and adjacent continent (Hayward et al., 2022). Nevertheless, intense summer convective rainfall has historically caused serious flash floods in Scotland both from surface water in urban areas and river flooding. In compiling a historical chronology of flash floods in Britain from 1700, Archer and Fowler (2021) listed 612 events in Scotland of a national total of 7921 (https://www.jbatrust.org/about-the-jba-trust/how-we-help/publications-resources/rivers-and-coasts/british-chronology-of-

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105 flash-floods/). Of these 43 were identified as abrupt wave front floods (AWFs) in rivers from observers' descriptions as 'walls of water' or implied by impact including loss of life by drowning and bridge destruction. The identification of historical AWFs prompted investigation of rapid rates of rise in gauged records of level and flow. Archer et al., 2024 examined the occurrence of such AWFs in northern England, noting their occurrence on every major catchment draining the Pennines. We use lessons learned from this analysis in the extension here to neighbouring Scotland.

110 AWFs are usually generated in steep upstream tributaries but may be transmitted downstream over tens of kilometres. A gauged example from the Pennines (Archer and Fowler, 2018) shows that the flood wave may steepen as it progresses downstream (Fig. 1). At the upstream station at Alston (118 km²) there is a gradual rise of 62 m³s⁻¹ in an hour before a sharp

15 min rise of 117 m³s⁻¹ followed by a 15 min rise of 80 m³s⁻¹ At the next downstream gauge of Featherstone (322 km²), the initial gradual increase in flow at Alston has been absorbed and discharge rises abruptly from 2 m³s⁻¹ to 168 m³s⁻¹ within 15 115 minutes (Fig 1a). The hazard to river users is much lower at Alston where the progressive initial rise provides a greater opportunity to escape than at Featherstone. The steep wave front continued downstream and absorbed an early tributary inflow from the River Allen between gauging stations at Haydon Bridge (751 km²) on the South Tyne and Bywell (2176 km²) on the main Tyne (Fig1b). Both gauged and historical observations at a single location may not therefore represent the most severe hazard experienced in a flood event.

The hazard of abrupt wave fronts is a combination of the simultaneous increase in level and velocity. Gauged observations on the River Type show that velocity can increase from an initial value of less than 0.5m s⁻¹ to more than 3.0 m s⁻¹ within the same time interval. Collischonn & Oliveira, 2023 note the celerity of a flood wave on the River Luthern in Switzerland in May 2023 and recorded the arrival time of an AWF at 3 different points. From this information the flood wave celerity was calculated as around 3.7 m s⁻¹. Since velocity is rarely measured in flood events and discharge is estimated from observed level 125 via a rating curve the assessment of mean or maximum velocity during AWFs at the gauging section is difficult. We therefore use a variety of measures of 15 min change in discharge using Scottish gauged data as a means of assessing the severity and rarity of AWFs for a single catchment and as a means of comparison between catchments.

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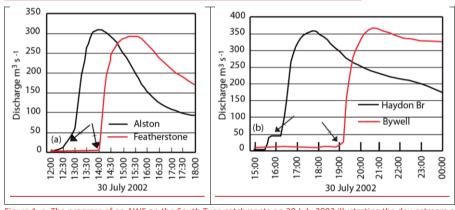


Figure 1. a. The progress of an AWF on the South Tyne catchments on 30 July 2002 illustrating the downstream absorption of an initial gradual rise, and b. The absorption of a tributary inflow from the River Allen, between Haydon Bridge on the South Tyne and Bywell on the main Tyne. Note that the peak discharge changes little over the 72 km reach between Alston and Bywell.

2 Data

135 The 15 min flow and level dataset used was sourced from the Scottish Environment Protection Agency (SEPA) time series data service (API). The website has 390 level and 315 flow stations available, with more than 20000 years of data in total (Fileni et al., 2023). For the study 260 stations were selected: these correspond to ones that present both flow/level data and a National River Flow Archive (NRFA) identifier (https://nrfa.ceh.ac.uk/data/search). The records provided a median length of 33 years with the earliest records dating back to the 1950s (Fig. 2). The rates of rise in level and discharge were computed by calculating the first derivative for every timestep of the timeseries, to which the annual maximum values of rise in level (H15) and discharge (Q15) were extracted.

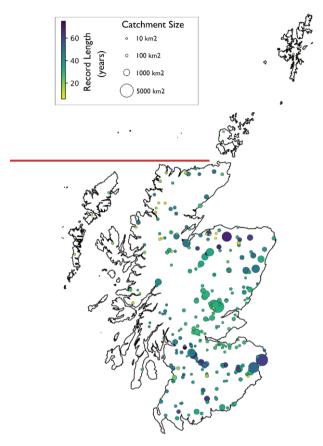


Figure 2: The 260 gauging stations in Scotland that were used for the study. Record length at each station is represented by the circle colour and catchment size represented by circle size.

145 From the annual maxima, the five highest rises for the months of April to September were selected, as this is the period when convective storms produce sufficiently intense rainfall to generate AWFs. These hydrographs were then visually inspected to validate each event as an AWF. Some events were eliminated as spurious spikes or otherwise inconsistent hydrological behaviour in the record; others were excluded as part of a 'normal' flood resulting from persistent heavy rainfall and usually near to the upper end of a rising limb rather than rising rapidly from low flow. Coincidence between level and flow station maxima, where the maximum H15 exceeded 0.6 m, occurred for 48% of stations. This variation

between level and flow maxima can be attributed to the logarithmic relationship between level and discharge so that a given level change results in a higher flow change at a higher starting point.

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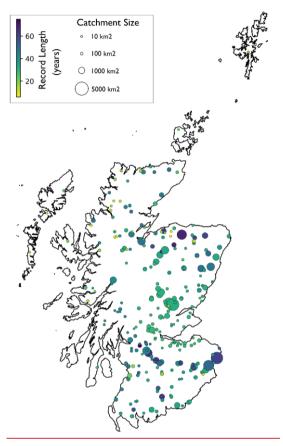


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170 From the annual maxima, the five highest rises for the months of April to September were selected, as this is the period when convective storms produce sufficiently intense rainfall in Scotland to generate AWFs. These hydrographs were then visually inspected to validate each event as an AWF, following a comprehensive QC procedure (Fileni et al. 2023). Some events were eliminated as spurious spikes or otherwise inconsistent hydrological behaviour in the record; others were excluded as part of a 'normal' flood resulting from persistent heavy rainfall and usually near to the upper end of a rising limb rather than rising
 175 rapidly from low flow. Coincidence between level and flow station maximum rates of rise, where the maximum HW15abs (Eq

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180 3 Methods

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3.1 Change in 15 min river level

Increase in river level and velocity combine to create the hazard to river users during AWFs. Previous analyses, especially when applied to multiple stations, has focused on changing level as the most obvious and visible feature of an AWF. We continue to use H15 for this study of Scottish AWFs

For an individual event, Archer (1994) and Archer et al. (2017) used an annual maximum series of 15 min rise in level (H15) to estimate the return period of an extreme rise on the River Wansbeck in northeast England. Assuming a generalised logistic distribution for gauged data only, the return period of the 1994 15 min rate of rise of 1.26 m was calculated as 140 years but reduced to 60 years when historical precedents beyond the digital record were considered. With the availability of annual maximum rate of rise statistics for Scotland, it is possible to apply flood frequency analysis to all stations. For analysis of events in the Pennines a simpler metric of the ratio of the absolute maximum to the observed median for each station was used (Archer et al., 2024) to assess the severity for an individual catchment. For example, on the South Tyne the mean maximum 15 min rise at Haydon Bridge is 0.70 m and the H15 is 1.49 m (a ratio of 2.1) compared with the River Wansbeck where the mean maximum 15-min rise is 0.28 m and the H15 is 1.26 m (a ratio of 4.5). This shows that for rivers with the greatest propensity for AWFs to occur (e.g. South Tyne) the ratio may be smaller than on those (e.g. Wansbeck) 195 where such events are rare. The hazard for river users may thus be greater on rivers where such events are least expected. In this study of Scottish rivers, we use the ratio of absolute maximum 15 min rise in flow to the median (rather than increase in level).

Increase in river level and velocity combine to create the hazard to river users during AWFs. Previous analyses, especially when applied to multiple stations, has focused on changing level as the most obvious and visible feature of an AWF. We continue to use HW15_{abs} (Eq. 1) for this study of Scottish AWFs. 'Peak' here refers to the upper limit of the 15 min rise.

 $HW15_{abs} = HW_{max peak} - HW_{max peak-1} \underline{Eq 1}$

For an individual event, Archer (1994) and Archer et al. (2017) used an annual maximum series of 15 min rise in level (HW15) to estimate the return period of an extreme rise on the River Wansbeck in northeast England. Assuming a generalised logistic distribution for gauged data only, the return period of the 1994 15 min rate of rise of 1.26 m was calculated as 140 years but reduced to 60 years when historical precedents beyond the digital record were considered. With the availability of annual maximum rate of rise statistics for Scotland, it is possible to apply flood frequency analysis to all stations. For analysis of events in the Pennines a simpler metric of the ratio of the absolute maximum to the observed median for each station was used (Archer et al., 2024) to assess the severity for an individual catchment. For example, on the South Tyne the median maximum 15 min rise, HW_{med} at Haydon Bridge is 0.70 m and the HW15_{abs} is 1.49 m (a ratio of 2.1) compared with the River Wansbeck where the median maximum 15 min rise is 0.28 m and the HW15_{abs} is 1.26 m (a ratio of 4.5). This shows that for rivers with the greatest propensity for AWFs to occur (e.g. South Tyne) the ratio may be smaller than on those (e.g. Wansbeck) where such events are rare. The hazard for river users may thus be greater on rivers where such events are least expected. In this study of Scottish rivers, we focus on the ratio of absolute maximum 15 min rise in flow to the median, rather than increase in level.

3.2 Change in velocity

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Mean or maximum velocity in a cross section during an AWF is a key component of the hazard but is difficult to measure or assess. Use of in river measurement is impractical owing to bedload and heavy floating debris. Measurement of surface velocity may be achieved by methods using fixed cameras but drone photography may be precluded by the time taken to reach a site.

Initial velocity before the arrival of the AWF is low (a condition of the transmission of a kinematic wave) and the velocity at a station is likely to be dominated by wave celerity. Meyer et al., {2018} suggest two methods to estimate wave celerity—either at the reach scale or at the local scale. At the reach scale, celerity can be determined using the arrival time of a wave front at multiple sites with known distances between them. This method was applied to a single catchment in Scotland, the River Findhorn—

Meyer et al. (2018) also suggest the use of the basic equation c=dQ/dA at a local scale, where Q and A are discharge and cross-sectional area respectively, usually at a gauging station site where discharge is estimated by the usual rating curve. This expression is obtained by considering that the main bulk of the flood when contained within the channel moves essentially as a kinematic wave, meaning that the river discharge is a function of cross-sectional area (or depth) alone (Lighthill and Whitham 1955; Chanson 2004). However, the applicability of the standard rating curve to an approaching wave front is questionable—at the arrival of a wave, the velocity experienced will approximate the wave celerity before there is a significant increase in level. This method was found to be impractical for application to Scottish AWFs.

Mean or maximum velocity in a cross section during an AWF is a key component of the hazard but is difficult to measure or assess. Use of in-river measurement is impractical owing to bedload and heavy floating debris. Measurement of surface velocity may be achieved by methods using fixed cameras, but drone photography may be precluded by the time taken to reach a site.

Initial velocity before the arrival of the AWF is low (a condition of the transmission of a kinematic wave) and the velocity at a station for the duration of the AWF is likely to be dominated by wave celerity. Celerity can be determined using the arrival time of a wave front at multiple sites with known distances between them. This method was applied to a single catchment in Scotland, the River Findhorn.

3.3 Maximum change in 15 min discharge

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In the absence of velocity estimates, several aspects of discharge measurement are used to compare the severity of rapidrates of rise within and between catchments.

- The maximum absolute increase in discharge between the beginning and end of the 15 min period based on the standard rating curve (Eq. 1). A given increase will have greater impact on a small catchment with a narrow and confined cross-section but, for practical purposes, we have excluded most events and catchments where the increase is less than 10 m³ s⁻¹, except where the rise in level is greater than 0.4 m.
- 2. The rate of rise normalized by the median annual maxima peak flow (QMED Eq. 2). Normalizing the data by QMED facilitates inter-catchment comparisons of severity of flow increase, independent of catchment characteristics especially of size. Although AWFs are usually generated on only a small area of a catchment, there is the potential for larger catchments to generate larger flows where the flow from incoming tributaries is combined.
- The ratio of maximum to median 15 min annual maximum rise in discharge provides a measure of the comparative severity of the most extreme AWF within a catchment. In a similar fashion to QMED, the median annual maxima rate of rise was calculated (RoRMED). This metric then estimates the frequency of occurrence of AWFs by dividing the AWF absolute value by RoRMED (Eq. 3).
- 4: The proportional increase in flow from the initial flow to the peak of the 15 min rise (Eq. 4). This is a measure of the magnitude of the change in a 15 min period and is an important contributor to the hazard. However, the measure may be biased when the river is initially dry (when the measure is infinite) or when the flow is very low. To avoid infinity values and to compute only relevant relative increases, the relative rate of rise was computed solely when the final timestep exceeded the 10th percentile flow.

$$\begin{split} AWF_{abs} &= \mathbb{Q}_{peak} - \mathbb{Q}_{peak-1} \, (1) \\ AWF_{queed} &= \frac{AWF_{abs}}{QMED} \, (2) \\ AWF_{nonmed} &= \frac{AWF_{abs}}{RoR_{med}} \, (3) \\ AWF_{ret} &= \frac{AWF_{abs}}{Q_{peak-1}} \, (4) \end{split}$$

Significant AWF events were found on 93 catchments (out of 260)

In the absence of velocity estimates, several aspects of discharge measurement are used to compare the severity of rapid rates of rise within and between catchments.

- 1. The maximum absolute increase in discharge between the beginning and end of the 15 min period based on the standard rating curve, QW15_{Abs} (Eq. 2).. A given increase will have greater impact on a small catchment with a narrow and confined cross-section but, for practical purposes, we have excluded most events and catchments where the increase is less than 10 m³ s⁻¹, except where the rise in level is greater than 0.4 m.
- 2. The rate of rise normalized by the median annual maxima peak flow (QMED), described by QW15_{Qmed} (Eq. 3).Normalizing the data by QMED facilitates inter-catchment comparisons of severity of flow increase, independent of catchment characteristics especially of size. The alternative of normalising by catchment area has been used by Amengual (2025) as a means of characterising extreme flash floods in Mediterranean Spain. Although AWFs are usually generated on only a small area of a catchment, there is the potential for larger catchments to generate larger flows where the flow from incoming tributaries is combined.
- 3. The ratio of maximum to median 15 min annual maximum rise, QW15_{Ratio} (Eq. 4), provides a measure of the comparative severity of the most extreme AWF within a catchment. In a similar fashion to QMED (the median annual maximum peak flow), the median annual maxima rate of rise was calculated (QW15_{med}). This metric then estimates the frequency of occurrence of AWFs by dividing the AWF absolute value by QW15_{med} (Eq. 3).
- 4. The proportional increase in flow from the initial flow to the peak of the 15 min rise, QW15_{Prop.} (Eq. 5). This is a measure of the magnitude of the change in a 15 min period and is an important contributor to the hazard. However, the measure may be biased when the river is initially dry (when the measure is infinite) or when the flow is very low. To avoid infinity values and to compute only relevant relative increases, the relative rate of rise was computed solely when the final timestep exceeded the 10th percentile flow.

$$QW15_{abs} = QW15_{max\,peak} - QW15_{max\,peak-1} \underline{Eq\ 2}$$

$$QW15_{QMED} = \frac{QW15_{abs}}{QMED} - Eq 3$$

$$QW15_{Ratio} = \frac{QW15_{abs}}{QW15_{med}} Eq 4$$

$$QW15_{prop} = \frac{QW15_{abs peak}}{QW15_{abs peak-1}}$$
 Eq 5

Significant AWF events were found on 93 catchments (out of 260)

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- Absolute maximum change in 15 min river level (H15)
- Absolute maximum change in 15 min discharge (Q15)
- Rate of rise normalized by the median annual maxima peak flow (QMED)
- The ratio of absolute maximum change in 15 min discharge (Q15) to median maximum 15 min rise
- The proportional increase in flow from the initial flow to the peak of the 15 min rise.

Results are presented as a series of maps of Scotland for each of the measures of level or discharge as follows:

- 1. Absolute maximum change in 15 min river level (HW15_{abs})
- Absolute maximum change in 15 min discharge (QW15_{abs})
- 3. 15 min rate of rise (QW15_{abs}) normalized by the median annual maxima peak flow (QMED)
- 4. The ratio of absolute maximum change in 15 min discharge (QW15_{abs}) to median maximum 15 min rise (QW15_{med})
- 5. The proportional increase in flow from the initial flow to the peak of the 15 min rise.

4.1 Change in 15 min river level - HW15abs

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310 For the purposes of identifying AWFs, our analysis has been restricted to the summer months of April to September where events are generated by intense, often localised, convective rainfall. Rapid increases in level also occur during the winter months at many stations resulting from persistent and widespread heavy rainfall. The maximum 15 min rise in level or discharge in winter events usually occurs as part of the rising limb of a normal hydrograph and provides much less risk to river users. However, it is possible that we have missed some AWFs outside of the summer period.

315 The geographical distribution of events, the magnitude of the largest event, and the number of stations in each range of maximum 15 min level change at each station is shown in Fig. 3a. AWFs have been observed over most of the country but with perhaps the greatest concentrations in the rivers of the northeast, including the very high H15 on the River Findhorn at Forres (1.87 m). Fewer events have been observed on rivers in the western Highlands; in the central lowlands and on the southern fringe of the mountains the magnitude of AWF events is smaller than elsewhere. AWFs are rare on the main stem of rivers with upstream lakes and reservoirs, such as the River Tay, although they may occur on upstream tributaries. AWFs usually originate on steep upland tributaries but there are few gauging stations near to the point of generation. The median catchment area where events were observed is 201 km² but they range in area up to 2,861 km² for the River Spey at Boat o Brig. Only 10 stations (10.8%) with AWFs are under 50 km², where such events are typically generated, which may reflect the fact that many small catchments are ungauged. The average elevation of gauging stations is less than 50 m asl and 16 of 93 stations (17%) are below 10 m asl, including the Findhorn at Forres with a catchment area of 782 km². At many stations only a single event with a rise greater than 0.40 m in 15m was observed. However, five such events occurred

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on Ruchill Water at Cultybraggan, where Cranston and Black (2006) previously noted the short lead times of floods but not the rapid rate of rise. The largest event had a 15 min rise of 1.88 m on a catchment area of just 99.5 km²

We note that level is not a completely reliable measure for comparison between stations, since increase in level depends on the stage/discharge relationship and the configuration of the control section, whether natural or constructed, at each station. With respect to natural channels, Wharton (1995) notes that for British rivers there is a strong relationship between channel width or cross-sectional area and river flood discharge, especially for flows confined within a channel. However, we suggest that other measures of severity are necessary for increased understanding of AWFs.

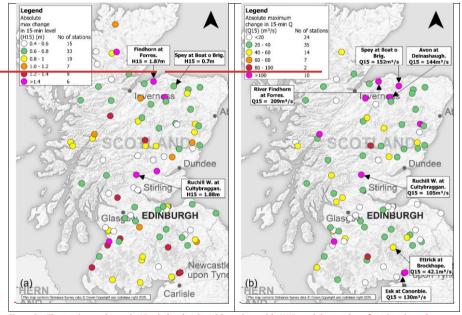


Figure 3a. The maximum change in 15 min level at Scottish stations with AWFs and the number of stations in each range, and b. The maximum change in 15 min discharge showing comparative magnitudes and the number of stations in each range.

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For the purposes of identifying AWFs, our analysis has been restricted to the summer months of April to September where events are generated by intense, often localised, convective rainfall. Rapid increases in level also occur during the winter months at many stations resulting from persistent and widespread heavy rainfall. The maximum 15 min rise in level or discharge in winter events usually occurs as part of the rising limb of a normal hydrograph and provides much less risk to river users. However, it is possible that we have missed some AWFs outside of the summer period.

The geographical distribution of events, the magnitude of the largest event, and the number of stations in each range of maximum 15 min level change at each station is shown in Fig. 3a. AWFs have been observed over most of the country but with perhaps the greatest concentrations in the rivers of the northeast, including the very high HW15_{abs} on the River Findhorn at Forres (1.87 m). Fewer events have been observed on rivers in the western Highlands; in the central lowlands and on the southern fringe of the mountains the magnitude of AWF events is smaller than elsewhere. AWFs are rare on the main stem of rivers with upstream lakes and reservoirs, such as the River Tay, although they may occur on upstream tributaries. AWFs usually originate on steep upland tributaries but there are few gauging stations near to the point of generation. The median catchment area where events were observed is 201 km² but they range in area up to 2,861 km² for the River Spey at Boat o Brig. Only 10 stations (10.8%) with AWFs are under 50 km², where such events are typically generated, which may reflect the fact that many small catchments are ungauged. The average elevation of gauging stations is less than 50 m asl and 16 of 93 stations (17%) are below 10 m asl, including the Findhorn at Forres with a catchment area of 782 km². At many stations only a single event with a rise greater than 0.40 m in 15m was observed. However, five such events occurred on Ruchill Water at Cultybraggan, where Cranston and Black (2006) previously noted the short lead times of floods but not the rapid rate of rise; the largest event had a 15 min rise of 1.88 m on a catchment area of just 99.5 km²

We note that level is not a completely reliable measure for comparison between stations, since increase in level depends on the stage/discharge relationship and the configuration of the control section, whether natural or constructed, at each station. With respect to natural channels, Wharton (1995) notes that for British rivers there is a strong relationship between channel width or cross-sectional area and river flood discharge, especially for flows confined within a channel. However, we suggest that other measures of severity are necessary for increased understanding of AWFs.

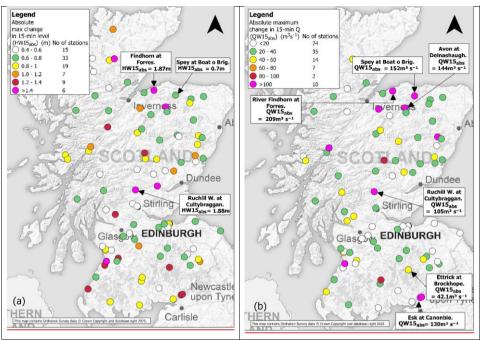


Figure 3a. The maximum change in 15 min level at Scottish stations with AWFs (HW15_{abs}) and the number of stations in each range, and b. The maximum change in 15 min discharge (QW15_{abs}) showing comparative magnitudes and the number of stations in each range.

4.2 Change in 15 min discharge - QW15abs

365 The geographical distribution and magnitude of the largest Q15 at each station and the distribution of values is shown in Fig 3b. The comparative magnitude of level and discharge may vary, especially on catchments of differing size. Thus, the Avon at Delnashaugh (catchment area 543 km²) and the large Spey catchment at Boat o Brig (2861 km²) have similar Q15 of 144 m³-s⁻¹ and 152 m³-s⁻¹ respectively but a differing H15 of 1.47 m and 0.70 m. These differences reflect the greater channel capacity of the larger river. Conversely, stations with a similar H15 may have a different Q15. Thus, Ettrick Water at Brockhope 370 (37.5 km²) and the Esk at Canonbie (495 km²) in southern Scotland have a similar H15 of 1.30 m and 1.36 m but very different Q15 of 42 m³-s⁻¹ and 130 m³-s⁻¹ respectively.

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The hydrograph for the Ruchill Water at Cultybraggan (Fig. 4a) is typical of AWFs in Scotland, with a very rapid initial rise from a very low flow followed by the peak discharge less than an hour later and a rapid recession, returning to a low flow within 12 hours; the H15 of 1.88 m for this event was the highest observed in Scotland. The transition from rising limb to peak is even more pronounced for the events shown on the River Avon at Delnashaugh (Fig. 4b) and the River Dee at

Polhollick (Fig 4c)

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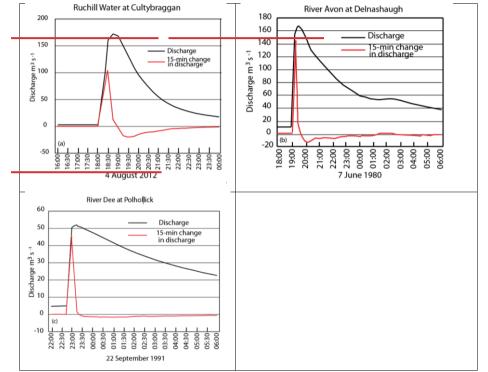


Fig. 4: Hydrograph of an AWF on: a. the Ruchill Water at Cultybraggan on 4 August 2012; b. the River Avon at Delnashaugh on 7 June 1980; c. River Dee at Polhollick on 22 September 1991. In each case the 15 min change in flow is shown.

The geographical distribution and magnitude of the largest QW15_{abs} at each station and the distribution of values is shown in Fig 3b. The comparative magnitude of level and discharge may vary, especially on catchments of differing size. Thus, the Avon at Delnashaugh (catchment area 543 km²) and the large Spey catchment at Boat o Brig (2861 km²) have events of similar QW15_{abs} magnitude of 144 m³ s⁻¹ and 152 m³ s⁻¹ respectively but a differing HW15_{abs} of 1.47 m and 0.70 m. These differences reflect the greater channel capacity of the larger river. Conversely, stations with a similar HW15_{abs} may have a different

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390 Polhollick (Fig 4c)

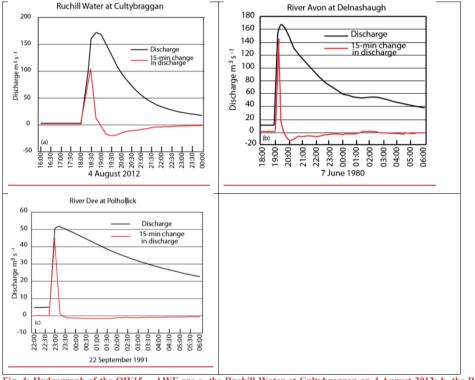


Fig. 4: Hydrograph of the QW15_{abs} AWF on: a. the Ruchill Water at Cultybraggan on 4 August 2012; b. the River Avon at Delnashaugh on 7 June 1980; c. River Dee at Polhollick on 22 September 1991. In each case the 15 min change in flow is shown.

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4.3 Rate of rise normalized by the median annual maximum peak flow (QMED) - OW150MED

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Comparison of the severity of an AWF between catchments is constrained by the influence of other catchment characteristics which influence the magnitude of floods, notably the influence of catchment area, as noted above. However, area is not the only factor and another measure of catchment susceptibility. QMED (the median annual peak flood), has been used to normalise the hazard of flood discharge between catchments. Normalised values are mapped for Scottish catchments in Fig. 5a and the distribution of values of the ratio is shown

Although Q15 is a high proportion of the peak flow in AWF events, as demonstrated in Figs. 2b and 4a and b, it is a modest

proportion of QMED. The median value is 0.36 on stations that are prone to AWFs, and only two stations have values >0.8. For example, in the River Strontian at Ariondle (25.2 km²) the largest Q15 exceeded QMED (Q15/QMED = 1.48) but at the same time its ratio of maximum to median (Sect 4.4) Q15 is the lowest in the dataset at 1.48, suggesting that AWFs at this station are both frequent and severe. In contrast, for the River Nethan at Kirkmuirhill where Q15/QMED =0.98, the maximum to median Q15 of 6.4 suggests that the event of 4 July 2001 for this station was very unusual. For large

catchments such as the Spey at Boat o Brig (2861 km²) and the Dee at Woodend (1370 km²) where actual Q15 values were high, Q15/QMED was not exceptional (<0.3). However, some stations displaying the largest H15 and Q15 also had very high Q15/QMED, for example the Avon at Dalnashaugh (0.68) and the Ruchill Water at Cultybraggan (0.67). This indicates that

410 AWFs here had an extreme severity, both with respect to their own catchment and when compared across catchments. Comparison of the severity of an AWF between catchments is constrained by the influence of other catchment characteristics which influence the magnitude of floods, notably the influence of catchment area, as noted above. However, area is not the only factor and another measure of catchment susceptibility, OMED (the median annual peak flood), has been used to normalise the hazard of flood discharge between catchments. Normalised values are mapped for Scottish catchments in Fig. 5a and the distribution of values of the ratio is shown.

Although QW15_{abs} is a high proportion of the peak flow in AWF events, as demonstrated in Figs. 2b and 4a and b, it is a modest proportion of QMED. The median value is 0.36 on stations that are prone to AWFs, and only two stations have values >0.8. For example, in the River Strontian at Ariondle (25.2 km²) the largest QW15_{abs} exceeded QMED (QW15_{asd}/QMED = 1.48) but at the same time its ratio of maximum to median (Sect 4.4) (QW15_{abs}/QW15_{med}) is the lowest in the dataset at 1.48, suggesting that AWFs at this station are both frequent and severe. In contrast, for the River Nethan at Kirkmuirhill where QW15_{abs}/QMED =0.98, the maximum to median (QW15_{abs}/QW15_{med}) of 6.4 suggests that the event of 4 July 2001 for this station was very unusual. For large catchments such as the Spey at Boat o Brig (2861 km²) and the Dee at Woodend (1370 km²) where actual QW15_{abs} values were high, QW15_{abs}/QMED were not exceptional (<0.3). However, some stations displaying the largest HW15abs and QW15abs also had very high QW15abs/QMED, for example the Avon at Dalnashaugh (0.68) and the Ruchill Water at Cultybraggan (0.67). This indicates that AWFs here had an extreme severity, both with respect to their own catchment and when compared across catchments.

4.4 Ratio of maximum to median 15 min rise in discharge OW15 Ratio

The ratio of the maximum to the median 15 min rise in discharge is a simple measure of the severity of the most extreme
430 event on a catchment and is thus a measure of the additional hazard provided by an AWF. This ratio is mapped for Scottish catchments and the distribution of values is shown in Fig. 5b.

For Scottish gauges the median ratio, on stations that are prone to AWFs, was found to be 2.9, but the most extreme ratios (>5.0) were experienced on catchments where the actual maximum level or discharge rise was not extreme. For example, the River Livet at Minmore with a catchment area of 104 km² and a 15 min rise of level and discharge of 0.73 m and 22.3 m² sr², had a ratio of 8.0. The River Nethan at Kirkmuirhill (66 km²) with a 15 min rise level and discharge of 1.05 m and

435 m² s⁻¹, had a ratio of 8.0. The River Nethan at Kirkmuirhill (66 km²) with a 15 min rise level and discharge of 1.05 m an 34.7 m² s⁻¹, had a ratio of 6.4. No catchment with a maximum rise > 1.0m and >100 m² s⁻¹ had a ratio greater than 3.8.

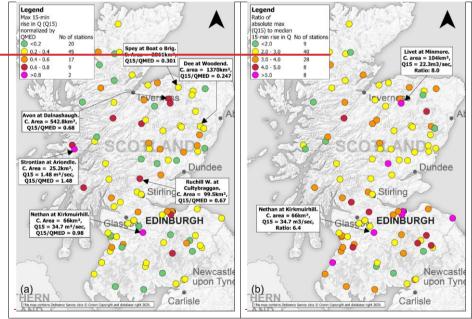


Fig. 5a. Maximum 15 min rise in flow normalised by QMED and the number of stations at which the range of values of the ratio of Q15 to QMED occurred and b. Ratio of the absolute maximum 15 min rise in flow (Q15) to the median flow and the number of stations for which the range of values of the ratio occurred.

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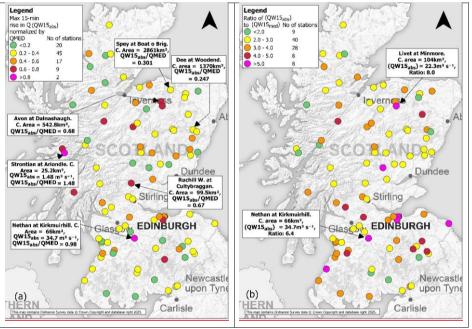


Fig. 5a. Maximum 15 min rise in flow normalised by QMED and the number of stations at which the range of values of the ratio of QW15_{abs} to QMED occurred and b. Ratio of the absolute maximum 15 min rise in flow (QW15_{abs}) to the median rise (QW15_{med}) and the number of stations for which the range of values of the ratio occurred.

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4.5 Proportional increase in flow-OW15 Prop

A key feature of risk for river users is the proportional increase in flow from the initial discharge to the magnitude of the AWF as assessed at the end of the 15 min observation interval. Some of these values can be very high and theoretically infinite if

455 the initial channel is dry (but then even more reason to be a hazard!). Values are mapped for Scottish catchments and the distribution of values is shown in Fig. 6.

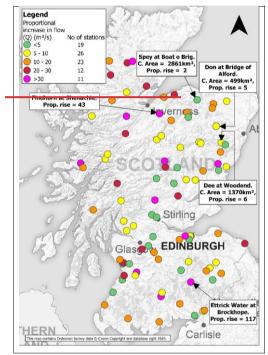


Fig. 6: The proportional increase from the initial flow to the end of the 15 min maximum rise and the number of stations at which the range of values of the proportional increase in flow was experienced.

460 The median value, on stations that are prone to AWFs, was found to be 10 times the initial flow but of the 11 stations with an increase of 30 times, the Ettrick Water at Brockhope (37.5 km²) had an increase of more than 100 times. The smallest gauged catchments were generally those with the largest increases but an exception is the River Findhorn at Shenachie

(416 km²) with a proportional rise of 43. Large catchments such as the Spey, Dee and Don had proportional rises of less than 10.

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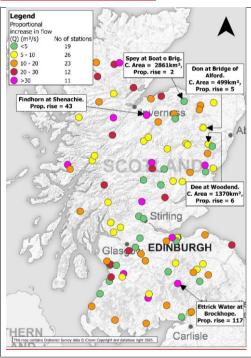


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4.6 Estimation of flood celerity - River Findhorn

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Wave celerity is the primary component of the perceived velocity at a station during an AWF; examples show that the initial velocity before the arrival of an AWF is low (a condition of the transmission of a kinematic wave). Collischonn & Meyer Oliviera (2023) give an example of the timing of a visible wave front between two points on the Luthern River in Switzerland where they calculate a wave celerity of 3.7 m s⁻¹-along a 5 km reach. For the event of July 2002 on the River Tyne (Fig. 1), the wave celerity between the upper stations of Alston and Featherstone was 3.6 m s⁻¹-over a 16.3 km reach and 3.1 m s⁻¹ for the lower 33.4 km reach between Haydon Bridge and Bywell. In either case, an increase from an initial velocity of less than 0.5 m s⁻¹ in 15 minutes or less would pose a serious risk to life to anglers, canoeists, and swimmers. The River Findhorn in northeast Scotland has a long narrow steep sided catchment, rising in the Monadhliath Mountains with its highest point at 945 m ASL. Bedrock is predominantly metamorphic, with an extensive blanket peat moorland and minimal tree cover except in the lowest reaches. It is gauged at two points: on the main stem at Shenachie (catchment area 416 km² and station elevation 252m ASL) and at Forres (catchment area 782 km² and station elevation 11 m ASL). The river distance from Shenachie to Forres is 40 km. There is one significant gauged tributary, the River Divie, gauged at Dunphail (catchment area 165 km² and station elevation 117 m ASL) which joins the main stem at approximately 18 km upstream from Forres.

The gauging stations at Shenachie and Forres have long digital records with a start date for digital records at Shenachie in 1961, at Forres in 1959 and at Dunphail in 1982. Several events in the record show evidence of major AWFs at one or both main stem stations. Timing of wave front and peak with the distance can be used to assess celerity over the reach and provide estimates of the celerity at Forres.

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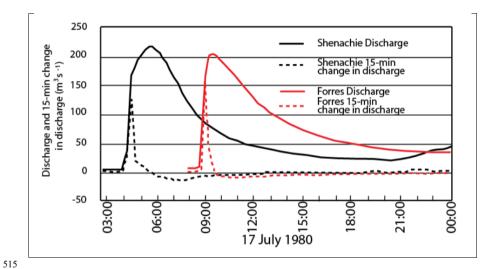


Fig. 7: Hydrographs of flow and 15 min rate of change at Shenachie and Forres for the 17 July 1980 event.

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Figure 7 shows an already established AWF at Shenachie with a 15 min rise of 124 m³-s⁻¹, progressing to an even steeper rise of 156 m³-s⁻¹-at Forres. There is clearly a problem with discharge estimation at one or both stations, with a decreasing flow volume downstream, but timings are expected to be correct. With a rise time of the wave front between the stations of 4.5 hours, the average celerity over the reach is 3.02 m sec⁻¹-. However, the downstream hydrograph seems compressed so that the travel time of 4 hours for the peak is less than that of the wave front, giving a celerity of 3.40 m s⁻¹-. Similar events occurred on 1 September 2005 and 17 August 2014, with average wave front celerities of 2.86 m s⁻¹- and 3.02 m s⁻¹-.

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525 The flood of 7 Jun 1990 shows a more remarkable transformation within the reach. At Shenachie there is a normal hydrograph, with a steady rise to peak and the highest 15 min rise of 0.39 m in the middle of the rising limb. However, at Forres, the water level rose suddenly from the low level of 0.3 m to 2.17 m and discharge rose from 7 m³ s⁻¹ to 216 m³ s⁻¹ in 15 minutes then continued to rise at a slower rate for a further 3 hours to peak at 529 m² s⁻¹. This event is the largest observed Q15 in Scotland. In each of these events, the flow in the River Divie remained below 10 m³ s⁻¹. Given the absence of a defined upstream wave front it was not possible to assess the celerity in the reach. This flood provided the annual maximum peak flow and was rank 8 in a 64 year record, yet still far short of the maximum gauged peak flow of 1,021 m³ s⁻¹ on 17 August 1970, and an estimated 1,484 m³ s⁻¹ for the 'Muckle spate' of 1829 at a point upstream on the Findhorn (McEwan 8-Werrity, 2007).

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and was rank 8 in a 64-year record, yet still far short of the maximum gauged peak flow of 1,021 m³ s⁻¹ on 17 August 1970, and an estimated 1,484 m³ s⁻¹ for the 'Muckle spate' of 1829 at a point upstream on the Findhorn (McEwan & Werritty, 2007).

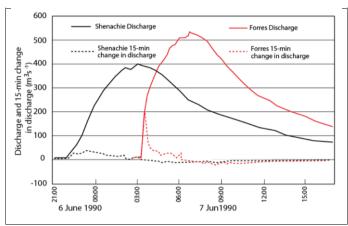


Fig. 8: Hydrographs of flow and 15 min rate of change at Shenachie and Forres for the 7 June 1990 event.

With the increasing wave front magnitude as it progresses downstream, it is probable that the wave accelerated to a celerity greater than the average for the reach as it approached the Forres gauging station. We suggest that it therefore would have posed a very serious threat to river users.

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5.5-Discussion

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All the AWFs described in this analysis are a potential threat to life of those engaged in activities in the river, such as a nglers, swimmers and canoeists. Many of the stations and events were observed at the lower end of their catchments but most are likely to have been generated on headwaters or upland tributaries; AWFs thus affected much of the upstream reach of the channel. Furthermore, whilst Figs. 3, 5 and 6 show the widespread occurrence of AWFs in Scotland, the restricted geographical distribution of gauging stations, especially for small to medium upstream catchments, means that the total number of such events must be far greater. We have concentrated on the maximum event at each station, but at some stations, four or more events with a level rise of > 1.0 m are recorded during the period of record. These include the River Findhorn at Shenachic and Forres, Ruchill Water at Cultybraggan and the River Crassley at Rosehall.

However, risk to river users depends not only on the external hazard but also on their vulnerability, notably the presence of a population living adjacent to the river. Francis (2010) used vulnerability as part of the screening methods to produce a register of 'Rapid Response Catchments' (RRCs) in Britain with respect to extreme peak flows and the hazard of overbank

flow. Although AWFs may create exceptional flood peaks in the upland tributaries where they are generated, downstream they are mostly held within bank; indeed, overbank flow attenuates the flood wave. Vulnerability with respect to AWFs is therefore concerned with the likelihood of being in the river. Our analysis shows that AWFs may progress far downstream on major rivers, including some of the best salmon fishing rivers of Scotland such as the River Spey and the River Dee, where anglers are inevitably in or adjacent to the river. They may be far removed from the storm precipitation which created the wave and therefore unprepared for its arrival.

Our analysis also supports kinematic wave theory (Lighthill and Whitlam 1955), providing real-world examples of kinematic shock waves. Lighthill and Whitlam (1955) note that kinematic shock waves can develop due to the overtaking of slower waves by faster ones and that they can increase in strength (magnitude of wave front level or discharge) and unite with other shock waves to form a single shock wave. However, the existence of shock waves in real rivers (as opposed to hydraulic models) has been subject to uncertainty and dispute in the absence and rarity of real examples (Henderson, 1966; Cunge, 1969; Kibler and Woolhiser, 1970; Miller, 1984; Ponce and Windingland, 1985; Ponce, 1991; Beven, 2012). We have supplemented the AWFs or kinematic shock waves detailed in Archer et al. (2024) from historical descriptions and gauged flow, with striking Scottish gauged examples and in particular events on the River Findhorn. Whilst our previous analysis of events in northeast England indicates their generation by intense rainfall on small upstream tributaries (Watkiss and Archer, 2023), the Findhorn flood of June 1990 demonstrates that shock waves can be achieved by the transformation of a normal flood wave in the main stem of a river. Several events in our analysis show a steepening and increase in the magnitude of the wave front between the upstream station at Shenachie and the downstream one at Forres and the absorption of several initial upstream waves into a single wave front at the downstream station.

There are several aspects of AWFs which we have not considered in our analysis:

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- 1. Many annual maximum 15 min rate of rise values are the result of 'normal' floods in winter, caused by heavy persistent rain, whilst the absolute maximum may be caused by an AWF. Watkiss and Archer (2023) used both level and discharge to demonstrate the difference in rates of rise between AWFs and annual maximum peak flows on the river Tees at Middleton. In this paper the contrasts with 'normal' floods have not been considered.
- 2. It is assumed that AWFs are examples of kinematic shock waves in which a condition for their development is the contrast between the celerity of the initial flow and the developing shock wave (Ponce 1991; Vigianni 2020). The requirement for an initial low flow contrasts with normal floods which are enhanced by initial catchment wetness and previous high flows. Initial flow conditions can be investigated by reference to the station flow duration curve, but the low initial flow is evident in the examples in Figs. 1, 4, 7 and 8.
- 3. Archer et al. (2024) assessed catchment vulnerability by examining the catchment attributes of Pennine catchments to AWF events, using the Flood Estimation Handbook (IH, 1999) catchment descriptors derived from the FEH Web Service. Similar analysis for Scottish catchments will be presented elsewhere (Archer et al., in prep).

- 4: Ground-based rain gauges are often absent from upland catchments where AWFs are generated. In some cases, especially for more recent events, rainfall radar provides a guide to the location and intensity of storm rainfall which is still being investigated.
- 5. Here we have considered only gauged data. Previous analysis of Pennine catchments in northern England analysed the catchment characteristics of historical AWFs collated in the Flash Flood Chronology on the JBA Trust website at https://www.ibatrust.org/how-we-help/publications-resources/rivers-and-coasts/uk-chronology-of-flash-floods-1/ (Archer and Fowler, 2021). Similar historical AWF data are available for Scotland and we intend to perform a similar analysis (Archer et al., in prep).

5.1 Integration of AWF metrics in flood risk to life analysis

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Real time forecasting in Scotland, as elsewhere, focuses on predicting the progress towards peak discharge and reach peak levels (using linked hydrological and hydraulic models) most often from persistent heavy rainfall causing overbank flow to risk to land and property. The AWF events described here are rarely overbank in Scotland and their peaks are rarely significant except near their source. Nevertheless, they pose a serious risk to the lives of river users exposed in or on the banks of a river from the very rapid increase in level and velocity.

There is substantial historical evidence in Scotland that the rapid rise in water levels significantly contributes to fatalities among individuals (Archer and Fowler, 2021, Archer et al., in prep). For example, in June 1835 the Caledonian Mercury reported that a man and his wife were carried away in the upper Gala Water (a tributary of the Tweed); the man was drowned but the woman was saved by being dragged by the hair to the bank. In the neighbouring River Leader three children were washed away and drowned in the same thunderstorm. British Rainfall (1882) reports that Rev MacIntyre was fishing in the Glenhinsdale River on Skye when he was carried off and drowned. He was standing along with a lad up to his knees in the water a few feet from the bank and was taken unawares by the flood; the lad had a narrow escape being carried some distance down the stream.

Water depth and velocity are generally considered the main factors in the stability of people in floodwaters (Ramsbottom et al., 2006). However, velocity per se is rarely included in flood forecasting and warning models, with discharge used as a proxy for its impact. Standard models for flood hazard assessment in the UK do not explicitly account for the additional hazard posed by rapidly rising flows which are the key to risk from AWFs. Recent hydrodynamic approaches in the literature have moved beyond static assessments and incorporate the mechanics of toppling and sliding instabilities to reflect the dynamic interaction between humans and floodwaters, particularly in rapidly varying flow conditions (Xia et al., 2014; Kvočka et al., 2018).

In Scotland, our findings suggest that the use of depth and discharge alone is insufficient for fully characterising risk to life at a national scale. The inclusion of metrics that capture the rate at which water level and flow increase offers critical additional insights. In this study, we developed and applied additional metrics to characterise the hazard associated with rapidly rates of rise.

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Our analysis is based on the first derivative of level and discharge, using the full station records of 15 min flow at 260 gauging stations. The simplest of these metrics are the maximum increase in level and flow in 15 minutes (Fig 3a and b). Events are widespread but with a predominance in drier northeast Scotland and southern Scotland but with fewer observations in rivers draining the western Highlands. AWFs are suppressed by upstream lakes and reservoirs. Few are observed on upland tributaries mainly because of the paucity of gauging stations in such small catchments, but events generated in the headwaters may be transmitted downstream to catchments such as the Spey and Dee with catchment area greater than 1000 km². Normalising the absolute maximum 15 min rise in flow by QMED (the mean annual maximum peak flow (Fig 5a) provides a means of comparing the severity of AWFs between catchments of different characteristics including size. The severity of the largest AWF on a catchment compared to the median (Fig 5b) provides a measure of the additional hazard on a catchment where extreme events do not normally occur and may be least expected. Fig 6 shows the proportional increase in flow between the start and end of the maximum 15 min rise with 23 stations showing an increase of more than 20 times, which would clearly pose a challenge to even the fittest river user. Our results show that these metrics do not spatially coincide, each metric highlights different catchment types.

Floods are a major concern for the Scottish Government and the Scottish Environment Protection Agency (SEPA), which have developed rigorous, country-wide flood forecasting methodologies and comprehensive warning service frameworks aimed at reducing risk to life (Cranston et al., 2011; Scottish Environment Protection Agency, 2022; Speight et al., 2018, 2019). We show here that an important step in the prevention of the risk to life is to account beyond traditional peak level and discharge hazard indicators, particularly when considering a national scale approach, where different hydroclimatic and geomorphologic characteristics will present different types of AWFs.

We recommend that the hazard of rapid rise in river level, velocity and discharge be given separate consideration from peak flows in monitoring, modelling and forecasting in Scotland, especially given the rising number of intense, localised extreme rainfall events from warming temperatures and the projected increases in extreme downpours with global warming (Fowler et al. 2021).

5.2 Intensification of AWFs downstream and implications during flood hazards

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Although AWFs are generally assumed to originate in steep, upland tributaries, our analysis of two events on the Findhorn reveals that the most pronounced rates of rise occurred downstream, in lowland areas with relatively gentle slopes. This suggests that AWFs can propagate and intensify in main river channels, affecting stretches of river where flood hazard assessments are not commonly applied. This is supported by other case studies in the River South Tyne (Archer et al., 2024; Archer and Fowler, 2018) (Fig 1). the Findhorn flood of June 1990 (Fig. 8) demonstrates that shock waves can be achieved by the transformation of a normal flood wave in the main stem of a river. Several events in our analysis show a steepening and increase in the magnitude of the wave front between the upstream station at Shenachie and the downstream one at Forres and the absorption of several initial upstream waves into a single wave front at the downstream station.

The behaviour observed in Scotland and in previous examples is consistent with kinematic wave theory (Lighthill and Whitham, 1955). Our analysis supports this interpretation, providing real-world examples of such wave steepening and

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highlighting the need to account for these dynamics in hazard assessments. Lighthill and Whitlam (1955) note that kinematic shock waves can develop due to the overtaking of slower waves by faster ones and that they can increase in strength (magnitude of wave front level or discharge) and unite with other shock waves to form a single shock wave. However, the existence of shock waves in real rivers (as opposed to hydraulic models) has been subject to uncertainty and dispute in the past absence and rarity of real examples (Henderson, 1966; Cunge, 1969; Kibler and Woolhiser, 1970; Miller, 1984; Ponce, 1991; Beven, 2012). The Findhorn events are a practical example of how flood waves can evolve and increase with a steepening of the flood wave in downstream reaches, evolving into life-threatening events.

5.3 Limitations and future directions for AWF analysis

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A key limitation of this study is the rarity of gauged observations in small upland catchments where AWFs are most likely to originate. Only 6% of gauged AWFs were recorded on catchments with an area less than 50 km². However, because of the near random occurrence of AWF generating storms, a gauge placed on a given upstream tributary may not record an AWF for decades. Many stations in this study recorded only a single event in a 30 to 40 record. General expansion of the network of headwater gauging stations is therefore unlikely to be cost effective but it may be feasible to target headwaters of catchments where multiple AWFs have been observed downstream, such as the rivers Findhorn, Spey, Cassley and Ruchill Water. As well as providing warning for vulnerable downstream river users, these sites would be used to better understand the initial creation and transformation of the wave front as it moves downstream.

Rapid increase in level and velocity/discharge contribute to hazard in AWF events. Errors are likely to be limited in level measurement which provides the initial evidence for the occurrence and severity of AWFs. The assessment of discharge in AWFs using rating curves can be more problematic. Rating curves are a known source of imprecision in hydrology (Coxon et al., 2015; Di Baldassarre et al., 2009). They are typically developed in steady flow conditions and do not account for the hysteresis effects in rapidly varying flow where the level in the rising limb of the AWF can produce a much greater flow than at the same level in steady flow conditions. Discharge measurement during these events is often impractical using traditional in-river techniques but emerging technologies using noncontact measurements to estimate river discharge (Dolcetti et al., 2022; Perks et al., 2020; Vandaele et al., 2023) offer promising solutions for observing AWF dynamics in otherwise hard-to-monitor environments.

A further limitation concerns the temporal resolution of available data. Since the 1960s, river level in the UK has typically been recorded at 15-min intervals. However, this resolution may not fully capture the dynamics of AWFs. In many cases, it is unclear whether the recorded rise occurred steadily across the interval or within a matter of seconds. Archer et al., (2023) show that wave fronts can pass a gauging station almost instantaneously. In some cases, the most rapid rise may be split across two consecutive 15-minute periods, or the true peak may pass between measurements, resulting in underestimation of both the rate and magnitude of change. To improve future observations, we recommend that selected key stations in the catchments noted above to be tested with sub-15-minute logging intervals, particularly during the summer period.

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A final limitation relates to the role of debris during AWF events, which is not captured in our analysis. Historical accounts in the UK describe AWFs in steep upland catchments transporting large bedload material, including boulders over a metre in diameter, which significantly increases the hazard to river users (Carling, 1986; Watkiss and Archer, 2023). Floating debris, such as logs or vegetation, can travel much farther downstream and disrupt both flow conditions and gauging station measurements (Archer et al., 2024). While video evidence from European events, such as those in Murgang (https://www.youtube.com/watch?v=2Rfuoylv34k) and Laui Giswil (https://www.youtube.com/watch?v=ZM6Pkf5argY), Switzerland, illustrates the destructive potential of entrained debris, comparable visual records are currently lacking for the UK, despite similar events being described historically (Archer & Fowler, 2021).

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6 Conclusions

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- 1. AWFs have been observed on most gauged catchments in Scotland and at 36% of the gauging stations. Their occurrence at downstream locations likely indicates that the wave front has persisted from a source in a headwater tributary and persisted over a long river reach.
- 2. 15 min increases in level of more than 1.4 m and/or discharge of over 100 m³ s⁻¹ at 12 stations in Scotland illustrate the severity of the threat to the lives of river users. Much smaller increases in level are also a serious hazard.
- 3. How extreme an event is for a given catchment is illustrated by the ratio of the absolute maximum to the median maximum 15 min change in discharge (equivalent to a growth factor for peak flow). Eight catchments had ratios greater than 5.0. Larger catchments with the highest Q15, such as the River Findhorn, tended to have lower ratios.
- 4. The largest Q15 discharges tend to occur on larger catchments where there is opportunity for several tributaries to contribute to the flood wave. To account for the effects of area and other catchment characteristics, we normalised rates of rise by QMED to enable better comparison of severity between catchments.
- The magnitude of the wave front, expressed as a multiple of the initial flow, provides another measure of the AWF hazard. We found that 11 stations had discharges rising by more than 30 times over the 15 min interval.
- 6. Examples of flood wave transformation on the River Findhorn provides further evidence of real-world kinematic shock generation and transmission.
- 7. We recommend that the hazard of rapid rise in river level, velocity and discharge needs to be given separate consideration from peak flows in monitoring, modelling and forecasting in Scotland, especially given the rising number of intense, localised extreme rainfall events from warming temperatures and the projected increases in extreme downpours projected with global warming (Fowler et al. 2021).

- This study presents novel large-sample evidence of abrupt wave front floods (AWFs) in Scottish rivers, offering
 new perspectives on their spatial distribution, downstream evolution, and hazard potential. The rapidity of onset of
 AWFs, often as a visible wave, provides a critical danger to the lives of river users even when the peak river level is
 not severe.
- 2. Mapped metrics of extreme rise in river level and discharge show that events are widespread including on catchments greater than 1000 km² in area. Their observation at downstream locations indicates that wave fronts persist from a usual source in a headwater tributary through a long river reach.
- The severity and threat to life of AWFs is illustrated by 15 min increases in level of more than 1.4 m and/or discharge of over 100 m³ s⁻¹ at 12 stations in Scotland.
- 4. Further metrics of discharge illustrate different aspects of risk. The ratio of the absolute maximum QW_{abs} to the median maximum QW_{med} 15 min change in discharge shows how severe the most extreme event was on a given catchment. Normalising the absolute maximum 15 min rise in flow by QMED (the mean annual maximum peak flow) provides a means of comparing the severity of AWFs between catchments of different characteristics including size.
- The magnitude of the wave front, expressed as a multiple of the initial flow, provides another measure of the AWF hazard. We found that 11 stations had discharges rising by more than 30 times over the 15 min interval.
- Examples of flood wave transformation including steepening on the River Findhorn provide further evidence of real-world kinematic shock generation and transmission.
- We recommend that the hazard of AWFs needs to be given separate consideration from peak flows in monitoring, modelling and forecasting in Scotland, especially given the rising number of intense, localised extreme rainfall events from warming temperatures and the projected increases in extreme downpours with global warming (Fowler et al. 2021

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Competing interests

The authors declare that they have no conflict of interest.

Author contributions

760 David Archer: conceptualisation, methodology, formal analysis, writing draft and review

Felipe Fileni: data curation, software, formal analysis, methodology, writing review and editing

Samuel Watkiss: investigation, visualisation

Hayley Fowler: project administration, supervision, writing review and editing

765 Data and code availability

The 15 min flow and level data used in this study is available at the SEPA Time Series Data Service (API) (https://timeseriesdoc.sepa.org.uk/) the code and rates of rise data extracted from the timeseries may be accessed by other researchers: https://doi.org/10.5281/zenodo.14771542 or via GitHub repository for the latest version.

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