# A Novel Identification Method for Stratospheric Gravity Waves in Nadir Viewing Satellite Observations

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**Abstract.** Atmospheric gravity waves (GWs) are an important mechanism for vertical transport of energy and momentum through the atmosphere. Their impacts are apparent at all scales, including aviation, weather, and climate. Identifying stratospheric GWs from satellite observations is challenging due to instrument noise and effects of weather processes, but they can be observed from nadir sounders such as the AIRS instrument onboard Aqua. Here, a new method (hereafter 'neighbourhood method") to detect GW information is presented and applied to AIRS data. This uses a variant of the 3D S-transform to calculate the horizontal wavenumbers of temperature perturbations, then find areas of spatially constant horizontal wavenumbers (assumed to be GWs), which allow for creating a binary wave-presence mask. We describe the concept of the neighbourhood method and use it to investigate GW amplitudes, zonal pseudomomentum fluxes, and vertical wavelengths over 5 years of AIRS data. We compare these results to those calculated from GWs detected using another widely used method based on a defined an amplitude cutoff. The neighbourhood method reveals GW patterns in seasonal means that are not visible when using the amplitude cutoff method. Time series analysis suggests that GWs have a larger impact than was previously analysed from the amplitude cutoff detection method.  $\sim 25\%$  of waves 35% of regions of wave activity detected using the neighbourhood method have amplitudes lower than is visible using the amplitude cutoff method, and so can not be observed. Three regions are studied in greater depth: the Rocky Mountains, North Africa, and New Zealand/Tasmania. GWs detected using the neighbourhood method have realistic-wave phase propagation angles, which are consistent with surface-levels winds from ERA5 elimatological reanalyses consistent with linear theory. Using the neighbourhood method produces new statistics for regional and global GW studies, which compares compare favourably to the amplitude cutoff GW detection method.

#### 1 Introduction

Atmospheric gravity waves (hereafter referred to as 'GWs') are a vital component in the dynamics of the atmosphere. They are one of the main processes by which energy and momentum are transferred vertically through the atmosphere (?)(Holton, 1982), and as such can have large impacts on aviation, atmospheric chemistry, the general circulation, and other atmospheric phenomena (Olafsson and Agústsson, 2009; Gardner, 2024; Garfinkel and Oman, 2018; Smith et al., 2005). GWs propagate throughout the atmosphere, but have their largest effects at higher altitudes, as they increase in amplitude with height because of according to linear theory, conservation of energy and the exponential drop in pressuredensity enforce their amplitudes to grow

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exponentially with height. They are generated by a wide array of mechanisms, with by far the two most important being such as flow over orography and deep convection (????) (Tsuda et al., 1994; Dörnbrack et al., 2002; Fritts and Alexander, 2003; Achatz et al., 2024). Other mechanisms include geostrophic adjustments from jet streams (?) and frontal systems (??) (Fritts and Luo, 1992), frontal systems (Plougonven and Teitelbaum, 2003; Zhang, 2004), and non-linear wave-wave interactions (Schlutow and Voelker, 2020)

These diverse mechanisms can lead to large differences in the waves they produce, but wave properties, with the vast majority of GWs have horizontal wavelengths having horizontal wavelengths in the range of a few kilometres up to thousands of kilometres (????), and horizontal packet sizes ranging from less than 10 km up to many hundreds of kilometres (?). GWs generated through these mechanisms have periods ranging from hours to days (???) (Choi et al., 2012; Kalisch et al., 2016; Trinh, 2016; Hájková and , and periods from minutes to days (Dunkerton, 1982; Baldwin et al., 2001; Ern et al., 2021).

The wide range of periods and wavelengths that GWs have make it difficult for instruments to observe the whole spectrum, an issue often referred to as the 'observational filter' problem (??) (Preusse et al., 2002; Alexander et al., 2010). For example, nadir-sounding satellite instruments are usually good at resolving fine-scale horizontal wavelength waves due to their high horizontal sampling and fine measurement resolution, but as a trade-off usually have coarse vertical resolution.

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The Atmospheric Infrared Sounder (AIRS) instrument onboard Aqua, a Sun-synchronous polar orbiting satellite, is a nadir-sounding instrument that scans across-track. This allows for 3D measurements of temperature and hence, detection of GWs. AIRS has been used for many GW studies over its near-22 year life to date (??????). Previous studies using AIRS data have used various approaches to study the GWs present. It used a technique to detect GWs where the variance of each AIRS temperature perturbation footprint was calculated from all footprints within a (Alexander and Teitelbaum, 2007; Hoffmann et al., 2013; Go., One problem previous studies were dealing with is the proper filtering and identification of GW signatures in AIRS temperature data. Hoffmann et al., (2013) calculated the temperature variance in a running fashion over regions of 100 km radius. If this variance was larger than a defined cutoff then the footprint was deemed to contain a GW. and compared the result with a predefined threshold to identify GW signatures. Hoffmann et al., (2016) improved on this by taking variance differences between two boxes, one over an orographic hotspot and the other upwind of the hotspot. This was used to identify whether orographically-generated GWs exhibited larger variances downstream of the mountain than upstream. Finally, ? this hotspot to define a background or reference variance. Ern et al. (2017) used an amplitude-cutoff approach to extract GWs from AIRS data, allowing them to study waves using the S3D technique (?)(Lehmann et al., 2012).

Previous work has also used the S-transform (?)(Stockwell et al., 1996), hereafter referred to as the ST(???), to detect GWs, to calculate GW information (Hindley et al., 2016; Wright et al., 2017; Hindley et al., 2019). This resembles a continuous wavelet transform combined with with a complex sinusoidal wavelet windowed with a scalable Gaussian (?)except that the complex phase is kept constant (Gibson et al., 2006). This provides localised frequency space information about an input signal. Such an analysis assumes that a wave is present at all locations in the data, and so will fit wave properties even if there is no wave. When no wave is actually present, such ST analyses generally assign low amplitudes to the associated voxels, which is why the amplitude cutoff method is able to detect strong present in a volume, the ST fits low-amplitude waves to the AIRS pointwise thermal noise in that volume. This is why methods that detect high-amplitude GWs from the ST output are

viable, as they reject all low-amplitude waves. However, since the ST uses information from many points, it canin principle, in principle, accurately characterise GWs that have with amplitudes lower than the AIRS pointwise thermal noise. The amplitude eutoff method Methods that rely on amplitude as a characteristic variable for GWs would not be able to detect these low amplitude GWs without setting a cutoff level low enough to pass noise features through the analysis, and as such low-amplitude GWs, and, as such, a method that is not based on the amplitude properties of the waves is useful to permit the identification of low-amplitude GWs.

In this study, we describe such a new method to detect stratospheric GWs in AIRS observations. This method uses an S-transform ST to calculate wave properties, and (amplitude and zonal, meridional and vertical wavenumbers) and then identifies GWs using from these properties. Section 2 and Section 3 describes AIRS and the steps we use to identify waves in the ST output, respectively, including a brief description of how we preprocess the AIRS data. This involves applying the ST to the data, identifying areas of stable constant spatial horizontal wavenumbers, and creating a binary mask over these areas identifying whether a wave has been detected or not. In Section 4, we explore differences between results obtained using this method and an amplitude cutoff approach, focusing on both which designates points from the ST with high amplitudes as GWs, focusing on global and local differences. To demonstrate these differences, three regions are analysed, specifically: (i) the Rocky Mountains, (ii) North Africa, and (iii) New Zealand and Tasmania. Finally, we draw conclusions in Section 5.

#### 75 **2 Data**

AIRS (the Atmospheric InfraRed Sounder) (??) (Aumann and Pagano, 2003; Chahine et al., 2006) is one of six remote sensing instruments aboard NASA's Aqua satellite. Aqua was launched in May 2002 into a Sun-synchronous polar orbit. AIRS provides derived global measurements of temperature, humidity, and greenhouse gases. The data collected by AIRS has contributed significantly to climate research, weather forecasting, and atmospheric science more generally (?) (Marshall et al., 2006). AIRS measures atmospheric radiances over a spectral range of  $3.74 - 15.4 \mu m$  using 2378 infrared channels, which can be used to characterise the Earth's atmosphere at different altitudes. The instrument scans in the nadir, with each cross-track scan covering  $\sim$ 1765 km on the ground, split between 90 footprints, with a complete scan taking 2.67 seconds. Due to the nadir-sensing geometry and constant angular velocity of the scan, across-track resolution is higher at swath centre and coarser at swath edge (2)(Hoffmann et al., 2014). The cross-track sampling distance varies between 13 km at nadir and 42 km at the scan edges. The distance along-track between consecutive scans is 18 km, and each set of 135 scans,  $\sim 6$  minutes, or 2430 km along-track, worth of data, is stored independently as a 'granule'. The instrument provides near-global coverage during its 14.5 daily orbits. In this study, we use 3D AIRS stratospheric temperature data, retrieved using the method of ?Hoffmann and Alexander (2009) . This retrieval uses radiance measurements at 4.3 and 15  $\mu$ m, where the observed radiance originates in the stratosphere (??)(Alexander and Barnet, 2007; Hoffmann and Alexander, 2009). The radiative transfer model used for the retrieval retains the horizontal resolution from the original radiance data in the across- and along-track directions (?)(Alexander and Barnet, 2007) . The vertical resolution of the retrievals is 7-15 km, varying depending on altitude, meaning GWs with vertical wavelengths

less than this resolution cannot be resolved. The majority of uncertainty in the stratosphere is retrieval noise, which varies between 1.4 - 2.1 K. Both the vertical resolution and the uncertainty are illustrated by Figure 2 of ?Hindley et al. (2019).

This retrieval assumes local thermodynamic equilibrium (LTE), meaning two schemes are needed, one for nighttime and one for daytime. The nighttime scheme uses both 4.3 and 15  $\mu$ m measurements, which are both valid under the assumption of LTE. During daytime, non-LTE effects arise in the 4.3  $\mu$ m measurements from solar excitation, so only the 15  $\mu$ m measurements are used in this scheme. Note that the daytime scheme does have larger retrieval noise than the nighttime scheme, for more information see Figure 2 in ?Hindley et al. (2019).

# 3 Methods

#### 100 3.1 Preprocessing

Each granule of the retrieved temperatures for each day is linearly interpolated onto a regular distance grid of  $\frac{128 \times 135}{128}$  pixels at each height level to  $\frac{128 \times 135 \times 13}{128}$  voxels ( $\frac{1765 \times 2430 \times 37}{128}$  km), corresponding to cross-track, along-track, and altitude, to support our spectral analysis. An across-track A cross-track spacing of 128 pixels is used as it is efficient for Fourier analysis, a key part of the spectral analysis applied later. When regridded, the resolution across-track cross-track is  $\sim 14$  km, which is representative of the original resolution at track-centre but is approximately a threefold oversampling at track-edge. We do not smooth the data before analysis The data is then smoothed, with a non-smoothed copy kept.

Planetary-scale waves and spatially large temperature fluctuations are removed using a fourth-order polynomial in the across-track direction at each height level, which is a widely used technique for separating GWs from planetary-scale waves and large scale background temperatures when working with AIRS data (???)(Wu, 2004; Hindley et al., 2019; Wright et al., 2021). The remaining temperature perturbations are assumed to be GWs. Nyquist's theorem, along with a sampling footprint distance of 14 km, limits the horizontal wavelengths we can measure in these observations to ~30 km at nadir, and ~80 km at track-edge. The data after the fourth-order polynomial detrending is sensitive to GWs with relatively short horizontal wavelengths (< 600 km) and long vertical wavelengths (~15 km). ?, ? and ? Hoffmann et al. (2014). Ern et al. (2017) and Hindley et al. (2019) provide more information on the scales of GWs resolved by this dataset.

# 115 3.2 S - Transform Method Technique

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The ST is then acts like a continuous wavelet transform with a complex sinusoidal wavelet windowed with a scalable Gaussian window (Stockwell et al., 1996). When used on spatial data, the scalable Gaussian localises perturbations in the spatial domain through localisation in the frequency domain.

After the preprocessing, the ST is applied to two granules at a time (270 cross-track rows, around ~ 12 minutes of data) of the smoothed and non-smoothed temperature perturbations, and from which the properties of the dominant wave in at each voxel are characterised determined. We use a modified ST to achieve this, which combines the approaches of the 3DST described by ? Hindley et al. (2019) and applied by ?Wright et al. (2017), and the 2D+1ST approach later described by ?Wright et al. (2021)

. We first apply a 3DST to the full three-dimensional dataseteach pair of granules ( $128 \times 270 \times 13$  voxels) mentioned above, then apply the 2D+1ST approach as described by ? described by Wright et al. (2021) with an additional restriction to detect only those spatial frequencies with the greatest spectral magnitude in the 3DST output. This allows us to benefit from the improved vertical wavelength discrimination of the 2D+1ST, while also retaining the robustness to noise of the 3DST.

We limit our analysis to along-track and cross-track wavelengths of values greater than 25 km, while vertical wavelengths are restricted to values greater than between 6 — 50 km. These limits are chosen in order to constrain the detected waves to those well-resolved by the ? AIRS retrieval (?). We make the assumption Hoffmann and Alexander (2009) AIRS retrieval (Hindley et al., 2019). We assume that all GWs propagate upwards, rather than downwards that ambiguity of propagation direction, so the propagation direction ambiguity is broken. To do so, we specifically set all vertical wavenumbers to be negative (?). as negative (Hindley et al., 2019). This is not necessarily a correct assumption, as (Reichert et al., 2021) found that 20% of detected GWs over the Southern Andes are downward propagating, but it is necessary.

Following this, the 39 km altitude level is selected for postprocessing to identify waves present in the data. This altitude level was selected because it lies within the region of highest resolution and lowest total noise in the 3D temperature retrieval of ? (??). The following wave detection method can be applied in the same way to other levels within AIRS that have the same resolution and total noise. It could be applied to other levels, but the variables within the neighbourhood methodwould have to be adjusted to best suit the final results Hoffmann and Alexander (2009) (Ern et al., 2017; Hindley et al., 2019).

# 3.3 Spectral Wave Detection Methods

Two methods for detecting GWs from the spectral output of the ST are applied separately to each pair of processed granules: the novel neighbourhood method and the conventional amplitude cutoff method. The amplitude cutoff method has been used extensively for detecting GWs in AIRS data (Ern et al., 2017; Hindley et al., 2020), and so is used as a comparator to the neighbourhood method. It is necessary for a wave detection method to be applied, as the pointwise thermal noise in AIRS dominates spectral analysis of GWs.

#### 145 3.4 Wave Detection

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# 3.3.1 Neighbourhood Method

We next apply the neighbourhood method to detect regions that contain GWs, so that we can use these GW properties in further analysis, and measurements from regions with no The neighbourhood method is applied to the spectral output of the S-transformed non-smoothed data to detect regions with GWs. The fundamental principle of this method is that we expect GWs to have similar wave properties between adjacent pixels, or over pixels in a local 'neighbourhood' (???), as is seen in ST outputs from (Wright et al., 2017; Hindley et al., 2019; Lear et al., 2023). Regions containing random noise are instead expected to have randomly changing wave spectral properties over the same neighbourhoods.

Areas of consistent wave properties at 39 km altitude are identified using the following methodology:

(a) Pairs of granules are concatenated in the along-track direction (Figure 1a.).

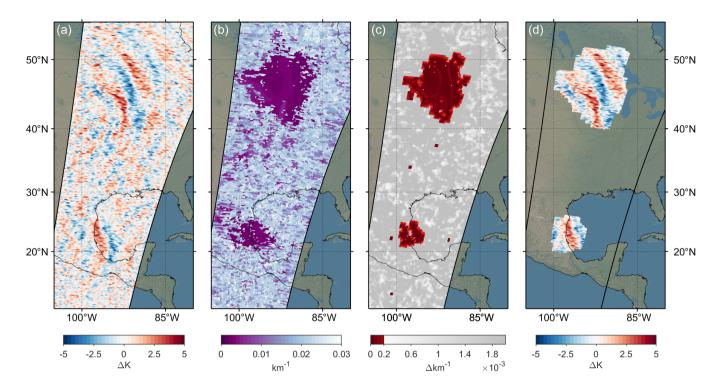


Figure 1. Step-by-step masking of two waves over North America, July 17th, 2010, granules 80-83. From left to right: (a) The temperature perturbations at 39 km altitude after preprocessing. (b) The horizontal wavenumbers found from the ST; the regions where the waves can be visually seen in the temperature perturbations appear as regions of similar wavenumber. (c) The average absolute difference between horizontal wavenumbers within each pixel's neighbourhood, the red indicates everywhere lower than the cutoff  $(2 \times 10^{-4} \text{ km}^{-1})$ . (d) The final mask applied to the original temperature perturbations.

- (b) The ST is applied, and the output used to identify the dominant wave amplitude and technique is applied to the concatenated granules providing wavenumbers along-track, cross-track and vertical wavenumbers for each voxel, which are then in the vertical as functions of space. After that, the satellite track referenced wavenumbers are projected into zonal, meridional, and vertical wavenumbers k, l, mk, l, and m. From this, it can be seen that GWs appear as regions of similar horizontal wavenumber amongst the noise, as illustrated by Figure 1b.
- (c) For each pixel, the mean absolute difference between the horizontal wavenumbers of each pixel horizontal wavenumbers (k & 1) and the pixels in its 5 × 5 pixel adjacency neighbourhood is calculated. (Figure 1c.). The reasoning for the size of the neighbourhood is discussed in Appendix A1.

(d) We In this proof of concept study, we apply a maximum tolerance of  $C = 2 \times 10^{-4}$  km<sup>-1</sup> to this mean absolute difference field, as discussed in Appendix A2. Regions where assigned values are lower than this cutoff indicate that horizontal wavenumbers in the region are not varying significantly spatially, which wavenumbers are very similar in a  $5 \times 5$  neighbourhood is consistent with our expectation of a GW requirement for an extended GW field.

- (e) Finally, contiguous Contiguous positive-detection regions with an area smaller than three times the area of the neighbourhood (i.e. 75 voxels) are then removed from our dataset. This is to ensure ensures that only plausible waves are detected, and removes small areas of coherent wavenumber noise (see Appendix A2 for more information).
- 170 This methodology creates
  - (f) Finally, the positive-detection regions are slightly smoothed, from which a binary mask , which is created. This mask can be applied to any wave parameter of the spectral outputs of the S-transformed smoothed data, such as in Figure 1d.

We have carried out a range of sensitivity tests for the values of the spatial wavenumber difference cutoff and the size of

#### 3.3.2 Amplitude Cutoff Method

The amplitude cutoff method (Ern et al., 2017) is applied separately onto the spectral output of the neighbourhood analysed, which are discussed in Appendix A1. We also discuss the selection of the cutoff value used in Appendix A2; based on these tests, we conclude that while the optimum value of the tolerance cutoff does inherently depend on the individual wave present in the data,  $2 \times 10^{-4}$  km<sup>-1</sup> represents a good compromise well-suited to the majority of waves present in our test casesS-transformed smoothed data. This method assumes that any temperature perturbations with amplitudes above the noise-floor of the data are associated with GWs. This study used an amplitude cutoff of 1.6 K, as this is the average retrieval noise at this altitude (39 km) (Hoffmann and Alexander, 2009; Hindley et al., 2019). A binary mask was generated, where areas with amplitudes greater than 1.6 K are classed as GWs, and areas lower than 1.6 K as noise. This is then applied onto any of the spectral outputs of the S-transformed data, as with the neighbourhood method.

The zonal pseudomomentum

# 185 3.4 Pseudo-momentum Flux

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The pseudo-momentum flux (momentum flux, or MF) is then calculated for each point-pixel where a wave is detected using Equation 1. MF is a derived value that characterises how much momentum is being transported by a GW (??) (Fritts and Alexander, 2003; Equation 1. MF) are needed so that models can more precisely parameterise GWs. As derived by ?Ern (2004), this can be calculated as:

$$(\mathbf{MF}_x, \mathbf{MF}_y) = \frac{\rho}{2} \left(\frac{g}{N}\right)^2 \left(\frac{A}{BG}\right)^2 \left(\frac{k}{m}, \frac{l}{m}\right) \tag{1}$$

where  $\rho$ , g and N are density, acceleration due to gravity (9.81 ms<sup>-2</sup>9.69 ms<sup>-2</sup>), and the Brunt-Väisälä frequency (0.02 s<sup>-1</sup>), respectively, A and BG are the temperature amplitude of the GW and the background temperature. k, l and m are the horizontal and vertical wavenumbers that describe the wave. These are taken from the output of the ST. We can use this simplified version of the momentum flux equation as all of the GWs' intrinsic frequencies lie within the mid-frequency approximation  $(N >> \hat{\omega} >> f)$ , where f is the Coriolis parameter (Wright et al., 2010).

# 4 Results and Discussion

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We compare the results from using the neighbourhood method to the results from using those from the amplitude cutoff method , here using a cutoff of 1.6 K that is categorised as the retrieval error from ? and selected in previous GW studies ?. Any points which have a value less than this are classified as noise, and are removed from our analysis. as detection methods for GWs in AIRS from 2010 – 2014.

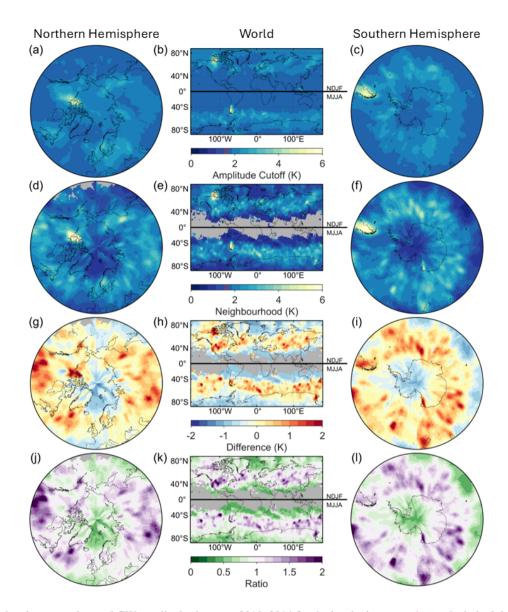
Figure 2 shows a global comparison between the amplitude cutoff method and the neighbourhood method methods. Specifically, it shows the mean amplitudes of detected GWs during local winter months, November-February (NDJF) for the Northern hemisphere and June-September (JJASMay-August (MJJA) for the Southern hemisphere. The differences (g, h, i) and ratios (j, k, l) between the two methods are also presented.

In most many areas where GWs are detected using both methods, the mean amplitude from GWs detected using the neighbourhood method are greater is lower than GWs detected using the amplitude cutoff method (Figure 2g-I). This is because ~ 45% of the areas where GWs were detected using the neighbourhood method shown here had an average amplitude below 1.6 K. This is possible because the ST can accurately categorise waves with low amplitudes, which the neighbourhood method then detects. Along the two lower stratospheric polar jets (Wright et al., 2017; Sato et al., 2012), the mean amplitude of GWs detected using the neighbourhood method is 1.13× greater than for GWs detected using the amplitude cutoff method, which corresponds to a mean difference of 0.28 K. This is because the neighbourhood method only detectsGWs, whereas the amplitude cutoff method incorporates all of the pixels with amplitudes above the defined cutoff, which can include noise. This brings the mean of the amplitudestowards amplitudes' mean towards the noise amplitude's mean. We expect to detect more GWs in the lower stratospheric polar jets, as the mean of the noise GWs rotate and refract in the jets, increasing the GWs' vertical wavenumbers. This elongation in the vertical allows them to be better resolved by AIRS (Wright et al., 2015). Over some regions that are well-known to be associated with high GW activity, such as New Zealand and Western North America (??), the mean amplitude of GWs detected using the amplitude cutoff method is lower than the mean amplitude of GWsdetected using the neighbourhood method.

The amplitudes of GWs associated with the lower stratospheric polar jets (??) are seen clearly using the neighbourhood method, especially the Northern polar jet, where a band of high amplitudes stretching from North America across to Iceland and through to Northern China can be observed (Figure 2d-f).

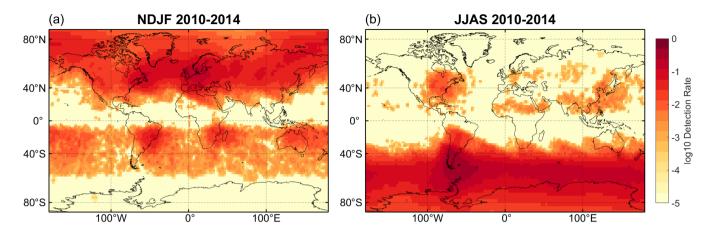
We see only small Over some areas associated with strong GW activity (high amplitude waves with a clearly defined wave structure), such as over the Antarctic peninsula, we see only minor changes in amplitude between the two methods (Figure 2g-i)in areas associated with strong and consistent GW activity, such as over the Antarctic peninsula. This is due to the two methods both-detecting the same waves, and hence converging on very and converging on similar time-mean amplitudes.

Figure 3 shows the occurrence rate of GWs detected using the neighbourhood method, which we define as the average number of GWs detected over a  $2^{\circ} \times 2^{\circ}$  area per AIRS overpass. Compared to Figures 6 and 7 of ?-Hoffmann et al. (2013) (hereafter referred to as H13), who used a temperature variance method to detect GWs, Figure 3 shows many of the same features and similar occurrence rates worldwide. There are some differences: GWs detected over the Southern Ocean in JJAS



**Figure 2.** Maps showing mean detected GW amplitudes between 2010–2014 for the local winter month months in both hemispheres. (a, b, c) GWs detected using the amplitude cutoff method, (d, e, f) GWs detected using the neighbourhood method, (g, h, i) the difference between amplitudes measured using the two methods, and (j, k, l) the amplitude ratio of the two methods.

have a much higher occurrence rate in this figure than in H13, and there are waves detected nearly everywhere in the Northern hemisphere in NDJF, whereas in H13 they were more sparse. The hotspots shown in H13's Figures 14 and 15 exhibit a higher occurrence rate than that seen in our Figure 3, but they each hotspot is spatially smaller and these hotspots were spread across



**Figure 3.** A map of the average wave detection rate at 39 km per AIRS overpass using the neighbourhood method. (a) shows boreal winter, November-February, and (b) shows austral winter, June-September. On a log10 scale.

the world more than is seen in Figure 3. These differences might be due to the H13 detection technique characterising peak events as having GW variance exceeding the zonal mean variance.

## 4.1 Histograms of Latitude Bands

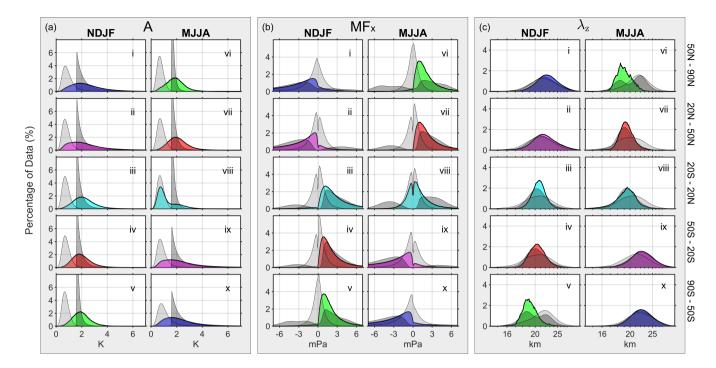
Figure 4 shows histograms of GW amplitudes, zonal momentum flux, and vertical wavelengths for the three methods (i.e. ST output with no detection method, amplitude-cutoff and neighbourhood) of detecting GWs, in each panel for their respective season and latitude bands.

Each variable presented in Figure 4 appears to exhibit exhibits meridional changes (e.g. b. i-v) in the GWs detected using the neighbourhood method. This is equivalent to seasonal changes throughout the year. We hypothesise that this is due to both the changing winds beneath the altitude of observation observation altitude (39 km), and the sources of GWs changing meridionally. These changes are seen as a movement of the curve's peak, or as a change in the width of the curve. We curve's width. We individually discuss the three variables: amplitude, zonal momentum flux, and vertical wavelengthindividually.

# 245 4.1.1 Amplitude (A)

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Figure 4a shows the normalised occurrence frequency of amplitudes from GWs detected using the amplitude cutoff method and the neighbourhood method, and also and neighbourhood methods, as well as the amplitudes calculated from the ST with no additional detection method applied. The peaks of the histograms During local winter, the histograms' peaks for waves detected using the neighbourhood method are consistently at larger amplitudes than the other two histograms, with the exception of the tropics during austral winter (Figure 4a. viii). This shows that the noise that the lower amplitudes than for waves detected using the amplitude cutoff methodineludes affects the data substantially. In the tropics during austral winter, the majority (~55%) of waves found, and slightly at greater amplitudes than those detected using only the ST. During local summer, the histograms'



**Figure 4.** Histograms of <u>GW variables from five different</u> latitude bands during boreal winter (NDJF, i-v) and austral winter (<u>JJASMJJA</u>, vi-x) between 2010–2014, presenting amplitude (a), zonal momentum flux (b), and vertical wavelength (c) from 39 km altitude. Each panel includes three histograms: light grey for <del>waves detected using only the output of the ST with no additional detection method</del>, dark grey for waves detected using the amplitude cutoff method, and coloured for waves extracted using the neighbourhood approach. Colours represent the season local to that latitude band. Each histogram has been internally normalised to sum to 100% for ease of comparison. It is noted that the axis for vertical wavelength (c) is linear in wavenumber.

peaks for waves detected using the neighbourhood method have amplitudes lower than the limit of the are at greater amplitudes than those detected using the amplitude cutoff method, and hence could not be detected using it. The mean percentage of regions of wave activity with amplitudes less than 1.6 K detected during local winter using the neighbourhood method is  $\sim 35\%$  over all seasons and latitudes.

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Strong meridional differences are apparent when using the neighbourhood method to extract amplitudes; during austral winter, the polar regions (Figure 4a. vi & x) have similar peak amplitudes ( $\sim$ 2.20 K), whereas in the tropies the peak lies at 1.25 K. There are a greater percentage of high amplitude number of regions with higher-amplitude GWs during local wintertime than during local summertime, with  $\sim$ 7× more waves with amplitudes larger than 6 Kin local wintertime. When using only the ST to detect GWs, there is little change between latitudes and seasons, with a peak at 1.6 K in all panels, which seems to mainly characterise the noise  $\sim$  31× more areas with waves having amplitudes greater than 2 K. This is a similar trend to the GWs detected using the amplitude cutoff, thus showing that both methods can accurately detect GWs with higher amplitudes.

## 4.1.2 Zonal Momentum Flux $(MF_x)$

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When GWs propagate into a region of wind travelling with the same speed and direction as the When a GW's horizontal phase speed approaches the horizontal wind speed, the wave will undergo critical level filtering (??)(Lindzen, 1981; Whiteway and Duck, 1996; B. This causes the wave to break dissipate and deposit its energy and momentum into the background wind, changing the speed and direction of the background flow. The morphology of the coloured histograms presented in Figure 4b is due to this phenomenon. For example, in Figure 4b. i, the waves detected using the neighbourhood method have mainly negative MF<sub>x</sub>, indicating that they propagate westwards. This concurs with ERA5 wind reanalysis data in this region at the altitude of this study (39 km), with the vast majority of wind being eastwardseastward. These results agree with the findings of ?, although the values for zonal momentum flux (MF<sub>x</sub>) calculated Hindley et al. (2020).

The shape of the curves for  $MF_x$  calculated from waves detected using the neighbourhood method are  $\sim 10 \times$  greater than found in the Hindley study. The  $MF_x$  calculated from GWs follows the same general morphology as those detected using the amplitude cutoff methoddoes not observe the same directionality of  $MF_x$  as when, except that a greater proportion of waves detected using the neighbourhood method, instead it exhibits a double-peaked curve throughout the year, have a low absolute  $MF_x$ . This is because MF is proportional to amplitude squared (Equation. 1). Thus, the amplitude cutoff method cannot detect most waves with low absolute  $MF_x$ , whereas the neighbourhood method can detect most of these waves. The low percentage of data close to 0 mPa can be attributed to the fact that the neighbourhood method cannot identify waves with very small amplitudes due to the noise floor of AIRS. Another explanation might be that the waves mainly propagate in the zonal direction and hence, k is never close to 0.

Some waves detected using the neighbourhood method have  $MF_x$  in the direction of the general background wind. These can be attributed to a variety of causes: the waves may have propagated meridionally and evaded a critical level, there may not have been a critical level for that particular wave where the waves propagated vertically, or the 3DST may have miscalculated the angle of propagation. The 3DST issue arises when the phase fronts of the GW are aligned near to the vertical; the technique must assign the wave to be angled in some direction an angle relative to the vertical, and if the vertical angle assigned is directly verticalto within uncertainty bounds that angle is nearly vertical, then errors can occur.

In the tropics (Figure 4b. iii, viii), the effects of the semiannual oscillation on the GWs (?) (Smith et al., 2017) can be seen elearly when using the neighbourhood method. The same pattern of eastwards GW activity and the amplitude cutoff method. This pattern of eastward GWs during boreal winter and near symmetrical eastwardseastward/westwards GW activity westward GWs during austral winter is observed in the ? study, although much greater values of MF<sub>x</sub> were calculated in our studyconsistent with Hindley et al. (2020). The background winds for this ean also be seen in ?. The effects of the quasi-biennial oscillation cannot be observed here, possibly due to the waves that drive it being shorter than 10 km vertically, i.e.outside the observational filter of AIRS (?), was also seen by Delisi and Dunkerton (1988).

The lack of signal that can be seen around 0 mPa is due to the noise floor of AIRS, as the 3DST cannot resolve very low amplitude waves, therefore the neighbourhood method can not detect the waves. Momentum flux is proportional to amplitude squared (Equation. 1), so lower amplitude waves not being included would impact upon measured  $MF_x$  values.

## 4.1.3 Vertical Wavelength $(\lambda_z)$

The vertical wavelengths in Figure 4c shows very few differences between the vertical wavelength values calculated from the amplitude cutoff method and from the data with no detection method applied. Using the neighbourhood method show a seasonal cycle can be seen, the when using the neighbourhood method. The peaks shift towards shorter vertical wavelengths and the curves distributions narrow as the local seasons change from winter to summer. For example, during boreal winter (Figure 4c. i - v)the peak location, the dominant wavelength changes from 22.2 km in the north to 18.5-18.7 km in the south, a difference of 3.7-3.5 km. The full-width half maximum during this period narrows, reducing by 62% from 90°N to 90°S. The longer wavelengths seen in the winter could be due to the stronger 53 km in the north to 33 km in the south. The strong zonal background winds that occur during wintertime. These winds during local wintertime allow the long vertical wavelength waves observed here to propagate into the stratosphere (?)(Hoffmann et al., 2013).

## 4.2 Regional Studies

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- 310 Three regions were chosen for deeper study (Figure 5), specifically:
  - the The Rocky Mountains, which are a well-studied source of high GW activity (?????) (Lilly and Kennedy, 1973; Wang and Geller, with complex orography when compared to other regions (e.g. southern Andes) (?), (Rapp et al., 2021; Reichert et al., 2021)
  - North Africa, where studies have identified the main drivers of GW in this region as the West African monsoon (?)

    (Birch et al., 2012) and the Hoggar mountains (?)(Orza et al., 2020), but in general, fewer studies have been carried out specific to the region when compared to the other two regions, and
    - New Zealand and Tasmania, which was previously studied during the DEEPWAVE campaign (??). ? (Bossert et al., 2017; Gisinger e. Hoffmann et al. (2016) detected GWs over this region 13.5% of the time in AIRS, with ? and ? Eckermann and Wu (2012) and Pautet et al. (2019) finding that Tasmania is an important driver of GWs.
- Figure 6 shows the annual cycle of GW activity over each region, where each column shows a distinct region. The top two rows (panels a f) show the amplitudes of detected GWs for each region, with the first row (panels a c) showing values calculated for GWs detected using the amplitude cutoff method, and the second row (panels d f) showing values calculated from GWs detected using the neighbourhood method. The bottom two rows (panels g l) show zonal momentum flux from GWs detected using the amplitude cutoff method (panels g i) and the neighbourhood method (panels j l). Each panel has been normalised to sum to 1.

Considering first the amplitudes of GWs detected using the amplitude cutoff method (panels a – c), the Rocky Mountains and New Zealand/Tasmania (panels a & c) exhibit similar morphologies, but offset by 6 months, with more higher amplitude GWs being detected during local winter, and becoming less frequent going into local summertime. There is a small peak in amplitudes during local summertime. This is consistent with the stratospheric wind reversal close to 20 km in summer, limiting

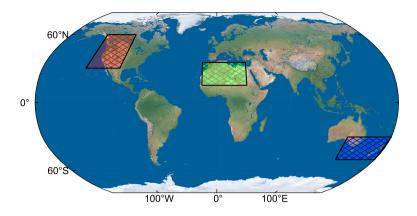


Figure 5. The regions chosen as case studies for this study. The Rocky Mountains, North Africa, and New Zealand/Tasmania

the vertical propagation of orographic GWs. This is due to the lower stratospheric polar jet becoming stronger and more stable during the local wintertime, allowing GWsgenerated lower in altitude to propagate upwards. This also indicates may indicate that these regions have similar drivers of GWs, in this case orography (Wang and Geller, 2003; Gong and Geller, 2010; Bossert et al., 2017; Panel b, which shows the same data but for GWs over North Africa, has no distinctive enhancement of large amplitude GWs, but has similar continual low amplitude activity to the other regionsexhibits a similar morphology to the Rocky Mountains, but with a much lower mean amplitude.

The amplitudes of GWs detected using the neighbourhood method (panels d-f) appear to follow the same pattern as in panels a-c, but the continual low amplitude signal is not present. This allows the seasonal low amplitude low-amplitude GWs to become more evident, and increases the proportion of high amplitude GWs. On average,  $\sim 25\%$  of the The mean fraction of GWs detected using the neighbourhood method in these three regions have with amplitudes below the amplitude cutoff and as such would is  $\sim 45\%$ . These waves could not be detected using the amplitude cutoff method. There is a large significant difference in the fraction of waves detected using the neighbourhood method during the local summer months between the Rocky Mountains and New Zealand, with  $\sim 9\times$  more GWs during the local summer months, with a  $\sim 20\times$  greater proportion of GW-containing regions detected over New Zealand (panel f). This may be due to the more stable winds around the South Pole than in the North during the summer, allowing GWs with a larger spectrum of phase speeds to propagate through, or because of the Southern Ocean storm belt interacting with the orography in New Zealand (?)(Chapman et al., 2015; Shaw et al., 2022). In panel e, GW activity from the West African monsoon is observed between May June and August. ?-Wright et al. (2011) demonstrated that monsoon-generated GWs in this region could be observed from HIRDLS satellite measurements.

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The MF<sub>x</sub> of GWs detected using the amplitude cutoff method (panels g - i) show similar results across shows roughly symmetrical results around 0 mPa for each region, with a 6 month offset for New Zealand/Tasmania. The MF<sub>x</sub> is largely symmetrical, with and increase in detected GWs with  $\sim 5$  mPa MF<sub>x</sub> during local summer months, and a consistent lack of activity around enhancements during the local winters and summers in the directions expected from theory. There is a lack of

values close to 0 mPa, as discussed in for each region at all times of the year, consistent with Figure 4. There is also a continual low MF<sub>x</sub> signal in all regions and at all times of the year.

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The MF<sub>x</sub> calculated from GWs detected using the neighbourhood method (panels j - 1) shows mainly negative MF<sub>x</sub> during the winter for seasonal changes in GW activity. During local winter over the Rocky Mountains and New Zealand/Tasmania(panels j & 1), which corresponds with westward propagation of the the MF<sub>x</sub> is mainly negative, corresponding with the westward propagating GWs against the background wind. ~88% of waves detected using the neighbourhood method during the winter over the Rocky Mountains have a negative (westward) MF<sub>x</sub>. The MF<sub>x</sub> for North Africa in wintertime has a near-Gaussian distribution, which is not observed in the other regions analysed. The absolute values of MF<sub>x</sub> for wintertime GWs detected using the neighbourhood method over North Africa are generally lower than those in the other two regions. It is found that the MF<sub>x</sub> calculated from GWs, between -4 - 3 mPa. Whereas those detected using the neighbourhood method are mostly larger (~10×) than in (?), which could be because they used an amplitude cutoff of 0.4 K to detect GWs, so would incorporate more lower amplitude signals, bringing the mean MF<sub>x</sub> lower.

The  $MF_x$  calculated from GWs detected using the neighbourhood method during local summer is mainly positive (eastward) for every region, although the Rocky Mountains (panel j) has a lower proportion of low value  $MF_x$  than the other two regions amplitude cutoff method mainly have values between -7 - -0.7 & 1.3 - 7 mPa. The continuous low  $MF_x$  signal mentioned above is not present here, highlighting GW activity's seasonality.

We next consider Figure 7, which shows polar histograms of wave phase propagation angle over the three regions for waves detected using the amplitude cutoff (panels a - c) and for waves detected using the neighbourhood method (panels d - f) during local wintertime. Panels a & d show results from the Rocky Mountains, panels b & e from North Africa, and panels c & f from New Zealand/Tasmania.

We primarily expect the waves observed in AIRS to propagate against the background wind (??), which is generally eastwards for each of the regions (Alexander et al., 2009; Hindley et al., 2020). The wind is generally eastward for each region during this time . This is due to the presence of the lower stratospheric polar night jet over the Rocky Mountains and New Zealand/Tasmania. Waves observed over these regions should primarily be propagating westwards. This is not seen when using the amplitude cutoff method (panels a – c), which exhibits a nearly uniform spread of propagation direction across each region.

The wave phase propagation angles for GWs detected using the neighbourhood method over the Rocky Mountains (panel d) are all approximately westwards, west-, and northwest-, wards, with the spread in values being potentially due to the Rocky Mountains not being a straight ridge (such as the Andes). The spread could also be due to the movement of the lower stratospheric polar night jet throughout the local wintertime.

A similar spreadin west-southwest This is also seen for angles for GWs detected using the amplitude cutoff method (panel a), but with a greater spread, including more southwestward phase propagation angles seen for waves. Significantly more waves propagate eastwards using the amplitude cutoff method than those detected using the neighbourhood method.

Waves over North Africa (panel e), although there are many waves that propagate north show a similar spread in west-southwest phase propagation angles, although many waves propagate eastwards too. The prevailing winds over North Africa during this

time are flowing flow eastwards at the altitude of this study, which was found using ERA5 climatological reanalyses, but the southern edges of the region are within the area of the African easterly jet, which could account for the waves propagating westwards and correlates with the primarily westwards phase propagation angles.

The tight spacing between the most south-westward waves for panel f (New Zealand/Tasmania) indicates that these waves propagate into the wind. This agrees with the findings of ?, ? and ?Smith et al. (2016), Jiang et al. (2019) and Pautet et al. (2019), whose results found that most GWs from New Zealand and Tasmania travel upwind, with wavefronts nearly perpendicular to the Southern Alps. Using ERA5 climatological reanalysis data, we found that the winds in this region during this period are directed north-eastwards, which agrees with these results. These findings can be seen when using the amplitude cutoff method as well (panel c), but they are surrounded by other, potentially noise driven, results . 33% of waves detected using the amplitude cutoff approach propagate in the south-westward direction, compared to 76% of waves with a tighter spread of results than those found using the neighbourhood method.

# 4.3 Sensitivity Analysis

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Figure 8a and b show density maps of wave amplitude versus the zonal-to-vertical wavenumber ratio (k/m) for all data points.

Panel (a) includes all data without applying a GW detection method; the red line indicates the detection limit of the amplitude cutoff method—points below this line would be excluded. Panel (b) shows only the GWs detected using the neighbourhood method.

Each panel in Figure 7 exhibits a distinctive bias where relatively few wavesare seen propagating in the eastward and westward direction. This is due to an underlying bias in our ST analysis, which is due to the across-track fourth-order polynomial used to remove long wavelength background signatures, along with wavelength restrictions imposed upon the ST. These restrictions, coupled with Both methods capture a similar number of high-amplitude, low-k/m waves. However, the different resolutions in the across- and along track directions, create this bias. The fourth-order polynomial method for removing the background signatures is the standard, identifying a new method for this remains a subject of future workneighbourhood method also detects many low-amplitude, low-k/m waves that the amplitude cutoff method misses. Notably, the neighbourhood method does not detect any waves with k/m values greater than 0.6, which is reasonable, as larger values would mean the GWs have unreasonably sized wavelengths. This shows that the neighbourhood method is sensitive to GWs with low and high amplitudes and to GWs with k/m values from 0 to 0.6. The amplitude cutoff method can not observe the low amplitude waves, but it captures the same spread of k/m values as using the neighbourhood method.

#### 5 Conclusions

In this study, a method for the detection of detecting stratospheric GWs in AIRS/Aqua observations was developed, which we refer to as the "neighbourhood method". This uses a variant of the 3D S-transform to calculate the horizontal wavenumbers of temperature perturbations, then find areas of spatially stable near - constant horizontal wavenumbers (assumed to be GWs), which allow for the creation of a binary wave-presence mask. This allows us to produce a new global climatology of GW prop-

erties and in particular, in particular, identify key results that are accessible using this method, which could not be achieved using older techniques. To quantify this, we have applied both the neighbourhood method and the existing widely-used amplitude cutoff method to 5 years of data between 2010–2014.

Analysing these data, we conclude that:

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- ~25% of all About 35% of all regions with GWs detected using the new neighbourhood method could not be detected using the older amplitude cutoff method, and would be indistinguishable from instrument noise if neither method were applied. In regions dominated by non-orographic low-amplitude GW activity, such as North Africa, this difference increases to as much as ~50% of all GWs, while ~81% of all areas with GWs. In contrast, in other regions dominated by strong orographic wavessuch as , such as New Zealand and the Rockies, the Southern Andes the fraction is lower.
  , ~32% & ~21% respectively.
- Mean GW amplitudes calculated The meridional change of GWs' vertical wavelengths can be seen when using the neighbourhood methodare generally greater than those computed using the amplitude cutoff method. An example of this is seen in Figure 2, where the maximum difference between amplitudes is ~ 3 K, a value comparable to global maximum values when neither method is applied. This large difference is consistent with the inclusion of a greater fraction of instrument noise when using the amplitude-cutoff method, which will tend to skew results towards lower values.
- . It presents as a change in the dominant wavelength of 3.5 km from the south to the north and as a widening of the full-width half maximum by 62% over the same area.
  - The effects of the semi-annual oscillation on GW momentum flux are clearly observed around the tropics when using the neighbourhood method, but are barely visible when using an amplitude cutoff approach. The zonal momentum flux calculated using this method is ~ 40× greater than seen in ?, who applied no filtering method.
- Mean Net zonal momentum fluxes and angles for GWs detected using the neighbourhood method exhibit plausible directionality when compared to theory, especially when corroborating corroborated against ERA5 background winds.
  - In general, the results produced using the neighbourhood method have a global morphology consistent with linear wave
    theory and consistent with that produced by the The neighbourhood method is sensitive to waves with all amplitudes
    within a reasonable range of k/m values (0 0.6). The amplitude cutoff method given the known limitations of that
    technique. This applies at both global and regional scales.
    - is only sensitive to waves with amplitudes larger than its cutoff (1.6 K), with the same range of k/m values as the neighbourhood method.

The neighbourhood method can be used on any data that can be S-transformed from nadir-sounding satellite that has a reliable ST output instruments, with minor changes to the parameters needed for each instrument to get the most accurate GW

detections. In particular, the outputs from the neighbourhood method could be used to make GW parameterisations in models more accurate, especially over regions of typically low-amplitude waves. In addition, they could support backwards ray-tracing of GWs, or be used as a training set for a large scale large-scale convolutional neural network. We are currently investigating the latter option, and plan to present it in a future study have presented this in a companion paper (Okui et al., 2025).

## 455 Appendix A: Appendix Values used in the Neighbourhood Method

There are two variables that must be chosen as fixed values in the neighbourhood method: the neighbourhood size, and the average wavenumber difference cutoff. These must be set to maximise the amount of real GW information, but minimise the amount of noise present. The perfect values for these variables vary greatly on a case-by-case basis, so for broad application we must empirically-determine values that suit the majority of waves. A decision was made to be conservative with the size and number of waves detected, to be confident that all the waves detected have no extraneous noise, or that no noise is detected as wave signals of the detected signals contained only waves, and we note clearly that different tuning parameters could detect more waves, but at a greater cost in terms of false positives.

## A1 Neighbourhood Size

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Figure A1 shows the case study described in Figure 1, with four different neighbourhood sizes used for the wave detection.

Using a small, 3 x 3, neighbourhood (panel a), the neighbourhood method detects too many waves, most of which cannot be seen in the temperature perturbations. Panels c (7 x 7) and d (9 x 9) appear to have stabilised around the large wave in the North, but they do not capture the GW over Central America. Only panel b (5 x 5) detects both waves visible in the temperature perturbations and no extra noise. This analysis was done for multiple other cases, and the 5 x 5 neighbourhood was chosen.

#### **A2** Average Difference Cutoff

- 470 Using the  $5 \times 5$  neighbourhood chosen in Appendix A1, the average difference cutoffs were varied. For clarity, the larger the cutoff, the more, and larger, waves would be expected to be detected. This is seen in Figure A2; panel a uses a cutoff of  $0.5 \times 10^{-4}$  km<sup>-1</sup> and detects the smallest total area of waves, but it does not detect any noise. This was deemed to be too conservative. Panel d uses a cutoff of  $0.1 \times 10^{-2}$  km<sup>-1</sup>, which has the same issue as in Figure A1a of detecting too many waves. Using many other cases,  $2 \times 10^{-4}$  km<sup>-1</sup> was chosen as the average difference cutoff used on the dataset.
- 475 Data availability. The data that supported this study can be found at Hoffmann, Lars, 2021, "AIRS/Aqua Observations of Gravity Waves", https://doi.org/10.26165/JUELICH-DATA/LQAAJA, Jülich DATA, V1

Author contributions. Peter Berthelemy created the method building on the S-Transform, all the figures, and wrote the text. Neil Hindley supplied the code and the expertise for the S-Transform. Corwin Wright, Neil Hindley, and Phoebe Noble supervised the work, with necessary inputs for the direction in which to take the work. Lars Hoffmann supplied the AIRS retrieval data.

480 Competing interests. The authors declare that they have no conflict of interest.

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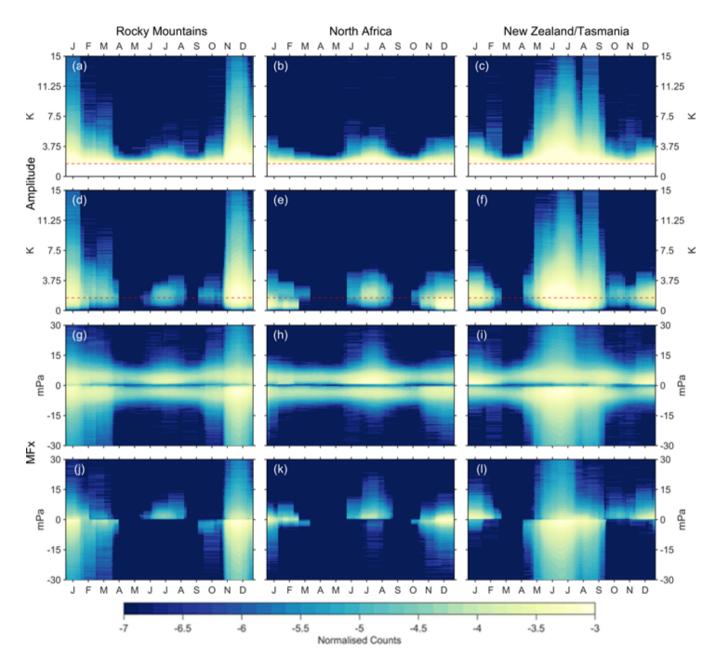
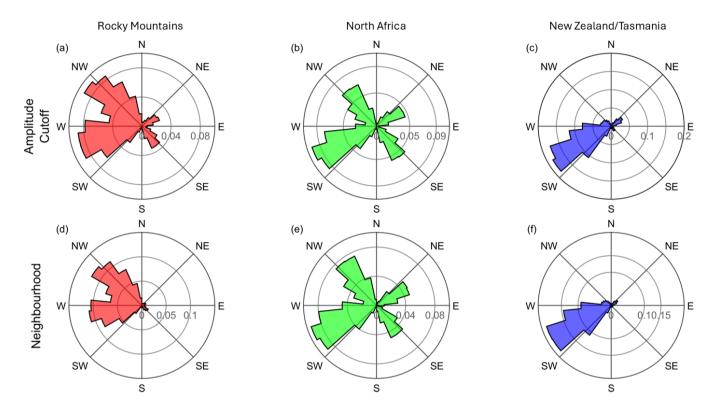
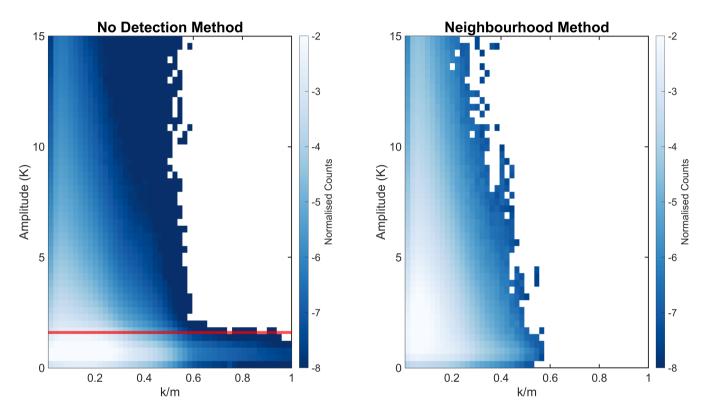


Figure 6. Histograms for each of normalised counts per day of the year (2010–2014), with a monthly-moving mean applied for each day, over the three regions: the Rocky Mountains (a, d, g, j), North Africa (b, e, h, k), and New Zealand/Tasmania (c, f, i, l) of amplitude (a – f) and zonal momentum flux (g – l). Panels a – c and g – i present the values of waves detected using the amplitude cutoff method, and panels d – f and j – l present the values of waves detected using the neighbourhood method. All waves are detected at 39 km. Colours are on a log 10 scale, and have been normalised to sum to one. The red lines on panels a – e indicates f indicate the amplitude cutoff used.



**Figure 7.** Polar histograms of the angles of wave phase propagation angles over the Rocky Mountains, North Africa, and New Zealand/Tasmania in local winter (NDJF for the Rocky Mountains and North Africa, and JJAS MJJA for New Zealand/Tasmania). Panels a – c show wave phase propagation angles for waves detected using the amplitude cutoff method, and panels d – f show wave phase propagation angles for waves detected using the neighbourhood method. Each histogram is normalised to sum to one.



**Figure 8.** Density plots of the normalised counts for every day between 2010 – 2014, showing the relationship between amplitude and zonal wavenumber/vertical wavenumber (k/m). Colours are on a log 10 scale. Panels a and c show these results from the ST output with no GW detection method applied, and panels b and d show the results from the ST output with the neighbourhood method applied. The red line on panel a is at the amplitude cutoff. Panels c and d show the normalised summed values over all amplitudes, with the yellow curve in panel c showing only values from above the amplitude cutoff.

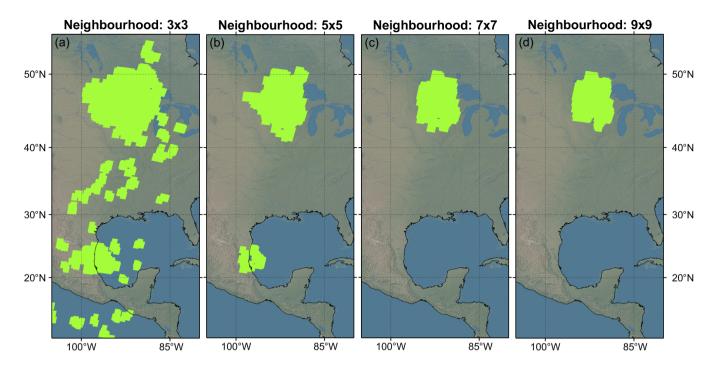


Figure A1. Various neighbourhood sizes for the case study described in the method

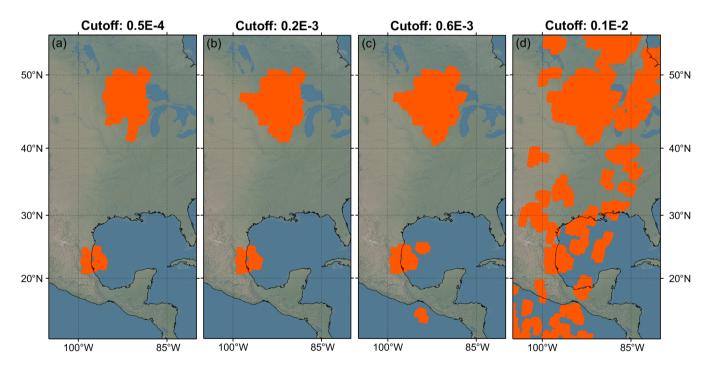


Figure A2. Various average difference cutoffs for the case study described in the method