



1 **Improving the accuracy in particle concentration measurements of a**
2 **balloon-borne optical particle counter UCASS**

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14 **1 Abstract**

15 For balloon-borne detection of aerosols and cloud droplets (diameter $0.4 < D_p < 40 \mu\text{m}$), a passive-
16 flow Universal Cloud and Aerosol Sounding System (UCASS) was used, whose sample flow rate is
17 conventionally derived from GPS-based balloon's ascent rates. Improvements are achieved by
18 implementing thermal flow sensors (TFS) 94 mm downstream of the UCASS detection region for
19 continuously measuring true UCASS sample flow velocities. UCASS-mounted TFS were calibrated
20 during wind tunnel experiments at up to 10 m s^{-1} also under various angles-of-attack (AOA), as
21 these vary during actual balloon ascents. It was found that the TFS-calibration is determined with
22 sufficient precision using three calibration points at tunnel flows of $\sim 2, 5, \text{ and } 8 \text{ m s}^{-1}$, simplifying
23 efficient TFS-upgrades of numerous UCASS. In iso-axial alignment, UCASS flows are accelerated
24 (by $\sim 11.3 \%$) compared to tunnel flows (at $2 - 8 \text{ m s}^{-1}$). In-flight comparisons revealed that
25 UCASS sample flows rarely match the balloon's ascent rate, instead, equality ($v_{\text{GPS}} = v_{\text{TFS}}$) is
26 achieved only at $\text{AOA} \neq 0^\circ$, potentially affecting the UCASS-internal flow pattern and particle
27 transmission efficiency. To minimise errors on calculated UCASS-based particle number
28 concentrations, real-time measurements of the true UCASS flow velocity are recommended.



29 **2 Introduction**

30 Weather balloon soundings are an established method to carry out in-situ measurements up to
31 high altitudes in the atmosphere (e.g. Golden et al. (1986), Vömel and Fujiwara (2021), or Vömel
32 and Ingleby (2023)). Balloons filled with helium or hydrogen can reach heights of up to 40 km.
33 Due to a moderately variable ascent rate of $\sim 5 - 6 \text{ m s}^{-1}$ on average, the troposphere and
34 stratosphere are probed with a higher vertical resolution compared to aircraft measurement due
35 to the higher climb and descent rates of the latter (at least 6 m s^{-1} and usually more, cf. Eufar
36 (2000)). In conventional operation, a radiosonde is used as payload deployed on a thin cord of
37 about 50-60 m length below the ascending weather balloon. Radiosondes are used to directly
38 measure atmospheric pressure, humidity, temperature, and wind parameters during the ascent,
39 while the data are sent in real time via radio to the receiving station (see, e.g., Dirksen et al.
40 (2020)).

41 In this way, the current state of the atmosphere is profiled, which allows for deriving atmospheric
42 characteristics such as, e.g., its stability or stratification. The payload of the weather balloon can
43 be extended beyond the sole use of radiosondes, for example by including an ozone probe (cf. Smit
44 et al. (2024) and references therein) and/or optical particle counters (Matsumura et al. (2001);
45 Kasai et al. (2003); Smith et al. (2019); Kezoudi et al. (2021); Snels et al. (2021)). Both ozone, as
46 an atmospheric trace gas, as well as aerosols and clouds have a major influence on the Earth
47 system. Aerosols and clouds influence the Earth's energy balance (by absorbing and scattering
48 short-wave radiation), the global water cycle (through the formation of precipitation) and the
49 atmospheric dynamics (through the conversion of latent heat in the phase transitions of water).
50 Considering, that aerosols and cloud droplets modify radiative fluxes (see, e.g., Stier et al. (2024)
51 or Ipcc (2023)), a better understanding of their size, number and vertical distribution is required
52 and the vertical distribution of aerosols and cloud droplets need to be frequently investigated. The
53 University of Hertfordshire (UK) has developed an optical particle spectrometer for balloon
54 soundings: The "Universal Cloud and Aerosol Sounding System" (UCASS, see Smith et al. (2019);
55 Kezoudi et al. (2021); Girdwood et al. (2022); Girdwood (2023), Schön et al. (2024)) is used to
56 detect aerosol particles and cloud droplets with diameters of 0.4 to 40 μm . The probe's measuring
57 principle is described in detail in Sect. 3.

58 In general, the thought model underlies the balloon sounding, that the horizontal wind that drifts
59 the balloon or influences its geographical position has almost no or a negligible influence in the
60 inertial system of the balloon itself, unless gusty winds or strong wind shear prevail. Hence,
61 regarding the horizontal wind component, there is almost no relative horizontal wind aboard the
62 balloon or its payload. The predominant flow relative to the balloon and its payload that mainly
63 drives the air flow through a UCASS is the vertical wind component, which in our case is
64 determined by the balloon's lift, i.e. its ascent rate.

65 To calculate a particle number concentration from the particle number detected (per unit time)
66 by a balloon-borne UCASS, the sample volume flow rate through the UCASS detection region must
67 be known. Conventionally, the sample volume flow was determined using the GPS-measured
68 ascent rates v_{GPS} (Kezoudi et al., 2021). This approach has two major disadvantages: a) a zero
69 horizontal wind velocity is inherently assumed, and b) changes in airflow caused by the
70 instrument housing, or small fluctuations remain unconsidered. One goal of this work therefore
71 is to modify a UCASS such that a thermal flow sensor (TFS) is used to continuously determine the
72 flow rates through a balloon-borne UCASS. This enables the accurate and precise determination
73 of particle concentrations (and inferred microphysical quantities) at a higher confidence level.



74 Beyond that, this study is a prerequisite for investigations based on UCASS measurements during
75 our balloon missions in Central Europe during summer of the years 2023 and 2024. Ultimately,
76 the proposed improvements may provide support for UCASS applications by other users.

77 The present publication is structured as follows. First, the instrument is described. This is
78 followed by reports on the testing and characterization of the method of mechanically coupling
79 the TFS to the UCASS (Sect. 3.5.1). Subsequently, the experiments aiming at the optimum position
80 of the TFS within the flow through a UCASS are described (Sect. 3.5.2). The TFS are then calibrated
81 against a reference flow sensor (Prandtl pitot tube, PPT) within the flow through a UCASS (Sect.
82 3.5.3). Based on a numerical simulation, it has already been assumed that the flow velocity within
83 the iso-axially aligned UCASS would increase by 12 % as compared to the ambient air flow speed
84 of 5 m s^{-1} (Smith et al., 2019). Therefore, in this work the PPT-measured ambient flow velocities
85 and TFS-detected flow velocity inside the UCASS are compared (Sect. 4.2). The impact of a non iso-
86 axial alignment (i.e. when the angle of attack, AOA, towards the UCASS varies due to the balloon-
87 payload's pendulum motion) on the flow velocities through the UCASS and on the TFS calibration
88 curves are investigated (Sect. 4.3 and 4.4). Finally, balloon soundings with the UCASS - TFS
89 combination are used to prove the TFS performance under atmospheric conditions (Sect. 5). The
90 findings are summarised in the conclusion section.

91 **3 Instruments and methods**

92 **3.1 Universal Cloud and Aerosol Sounding System (UCASS)**

93 The UCASS is an optical particle spectrometer (Smith et al. (2019), Girdwood (2023)). Due to its
94 small size, low weight and comparatively low cost (approx. 2700 € per unit), the UCASS is well
95 suitable for balloon soundings. The UCASS is of tubular shape with 183 mm in length and with an
96 outer diameter of 64 mm at a weight of about 280 g. A hollow flow tube with quasi-elliptical cross-
97 section of $40 \text{ mm} \times 30 \text{ mm}$ diameter extends along the longitudinal axis of the UCASS, through
98 which the sample air flows and in which the particles are detected. Particle detection with UCASS
99 is carried out by optical detection of the scattered light caused by particles upon crossing a diode-
100 emitted laser beam. The optical system of the UCASS defines a particle detection region of 0.5 mm^2
101 in size (Girdwood, 2023). In Fig. 1, the principal setup of the UCASS instrument is illustrated, for
102 more details see Smith et al. (2019), Girdwood et al. (2022), or Girdwood (2023).

103 Particles are counted, which pass through the instrument's optically sensitive region along the
104 detection laser. The ratio of the scattered light generated by a penetrating particle is determined
105 by means of two annular elements in the detector optics. This signal ratio is judged according to
106 whether a detection event contributes an accepted count value or not. Based on scattered light
107 intensity, the accepted counts are categorised into size classes (16 bins) using size-based look-up
108 tables obtained by calibrations and based on Mie theory. Depending on the chemical composition
109 of detected particles, different refractive indices must be considered, for example between $1.31+0j$
110 (water) and $1.52+0.002j$ (Saharan dust) (see Girdwood (2023) for further, more specific details)
111 whilst for Saharan dust also other values are found, e.g. $1.53-0.0015j$ (Kandler et al., 2007).
112 Knowledge of the flow velocity and the dimensions of the sensing volume is required to
113 determining accurate particle concentrations.

114 **3.2 The thermal flow sensor (TFS)**

115 The thermal flow sensor (TFS) model "FLW-122" for the gaseous media by B+B Thermo-Technik
116 GmbH was used for this study. The TFS has a length of 6.9 mm, is 2.4 mm wide, and 0.2 mm high



117 (without electrical connections). The TFS surface consists of two platinum resistor elements, one
118 of which is the heater and the other is the reference element. The heater is a small-sized low-
119 resistance element ($R_H(0\text{ °C}) = 45\ \Omega$), the reference element ($R_S(0\text{ °C}) = 1200\ \Omega$) is of high
120 electrical resistance. The two platinum resistors are interconnected and are adjusted via applied
121 voltage to a specified temperature difference. The heat dissipation from the heater to the
122 environment corresponds to the energy loss per unit of time, which in turn corresponds to the
123 power P converted in the resistor.

124 The temperature of the heating resistor decreases with increasing rate of flow surrounding the
125 TFS. As platinum is a PTC thermistor, the conductivity of the resistor increases as the temperature
126 falls, hence the resistance of the heater decreases. To counteract this and to maintain the
127 differential temperature between heater and reference, the voltage is increased. The higher the
128 flow velocity, the higher is the voltage (hereafter: signal voltage) required to maintain the
129 temperature difference. According to the sensor data sheet (B+B-Thermo-Technik, 2016), the
130 sensor's response sensitivity is $0.01\ \text{m s}^{-1}$ with an accuracy of better than 3 % and a temperature
131 sensitivity of usually less than $0.1\ \%$ K^{-1} . The raw TFS data is recorded with a resolution of at least
132 4 Hz and then averaged to the common 1 Hz data basis. Thus, most important variabilities in the
133 UCASS-internal flow should be captured in sufficient resolution. In addition, low-frequency
134 influences such as the pendulum motion (order of magnitude 0.1 Hz) and the rotation (of 1 Hz at
135 most) of the balloon payload are also temporally resolved. Further detailed information on the
136 TFS (e.g. concerning power and sensitivity of the implemented Z-diode) may be found in the
137 manufacturer's manual (B+B-Thermo-Technik, 2016).

138 For TFS calibrations, the following parameterisation, a modified form of King's law (Guellouz and
139 Tavoularis, 1995), is often used:

$$140 \quad U^2 = A + B \cdot v_{\text{TFS}}^N, \quad 1$$

141 where A (in V^2), B (in $\text{V}^2\ \text{s m}^{-1}$), and N (dimensionless) are coefficients determined by calibrations,
142 v_{TFS} is the flow velocity (in m s^{-1}) and U is the electrical output voltage (V). Rearrangement of Eq. 1
143 leads to the desired expression for the flow velocity (here v_{TFS}) as a function of measured voltage.
144 King's law, a combination of Nusselt number and Reynolds number (see Cardell (1993) or
145 Bearman (1971)), is primarily influenced by temperature fluctuations due to the temperature
146 dependence of air's thermal conductivity and the dependence of the kinematic viscosity on the air
147 density. Extensions of this equation by correction terms allow for considering changes of ambient
148 temperature (see Cimbalá and Park (1990)). On the one hand, these corrections apply to
149 significantly warmer temperatures (300 – 307 K) than relevant for balloon soundings, and on the
150 other hand require a set of temperature measurements, including the accurate temperature of the
151 TFS surface itself. The TFS calibrations to assign a flow velocity to the output signal were carried
152 out under laboratory conditions. To account for the dependence of the in-flight flow measurement
153 on air density, a corresponding correction (dependent on static air pressures and ambient
154 temperatures upon vertical sounding) is applied to measured data during post-flight analyses.

155 Based on the ideal gas law and on laboratory conditions during calibrations (p_0 , T_0 , and humidity
156 expressed as virtual temperature), the correction $v_{\text{TFS}}^{\text{corr}}$ is obtained by multiplying v_{TFS} (according
157 to Eq. 1) with a correction factor (at given ambient conditions p_{amb} , T_{amb}):

$$158 \quad v_{\text{TFS}}^{\text{corr}} = v_{\text{TFS}} \frac{p_0}{p_{\text{amb}}} \cdot \frac{T_{\text{vi}}}{T_0} \quad 2$$



159 The virtual temperature T_{vi} was used instead of the ambient temperature T_{amb} . Hence, any change
160 in air's molar weight, air's mass density, and heat capacity due to water vapor load is accounted
161 for. As long as no phase conversion occurs the temperature ratio also reflects the current moisture
162 conditions in the atmosphere.

163 In addition, a cold chamber test was carried out at various temperatures down to -20°C
164 (electronic supplement, S1) to investigate the sensitivity of the TFS system (particularly its
165 electronic compounds) to significantly colder temperatures than those under laboratory
166 conditions.

167 To mount the TFS on the UCASS, a 3D-printed polylactide case was produced. This was precisely
168 adapted to the dimensions of the inner flow tube and the outer shape of the UCASS and extends
169 the total length of the UCASS by a further 49 mm (cf. Fig. 2).

170 3.3 The Prandtl-Pitot tube

171 The Prandtl-Pitot tube (PPt) model "TSI 8710 plus" (re-calibrated in July 2022 for flow velocities
172 of $1 - 10 \text{ m s}^{-1}$) by TSI Inc. was used as reference instrument for calibrating the TFS. Via the pitot
173 tube's entry, aligned against the direction of flow, the stagnation pressure (the sum of the dynamic
174 and static pressure components) is measured. The outer tube of the Prandtl-instrument with ring-
175 shaped perforation, allows for measuring the static pressure component. Conversion of
176 Bernoulli's theorem to resolve to the flow velocity, leads to:

$$177 \quad v_{\text{PPt}} = \sqrt{\frac{2(p-p_{\text{stat}})}{\rho}}, \quad 3$$

178 where p_{stat} is the static pressure (in hPa), ρ is air's mass density (in kg m^{-3}), v_{PPt} is the flow velocity
179 (in m s^{-1}), and p is the stagnation pressure (in hPa). According to the PPt's calibration certificate
180 an uncertainty of generally less than 1.5 % (at 1 m s^{-1}) is to be expected, which decreases to
181 $\sim 0.6 \%$ at a flow velocity of 10 m s^{-1} .

182 3.4 The wind tunnel

183 The calibrations have been conducted in the horizontal wind tunnel of the JGU Mainz. This facility
184 (schematic in the electronic supplement, Fig. S 3) has a total length of 4.6 m and a circular outlet
185 opening of 0.64 m. The maximum achievable wind speed is approximately 20 m s^{-1} generated by
186 a 12-bladed impeller rotor in combination with a 14-bladed stator, both of which have an outer
187 diameter of 0.8 m. The rotor hub (0.4 m in diameter) is encased by a tapered cone downstream of
188 the stator to aerodynamically optimise the transition from the impeller housing (total length
189 1.25 m) to the flow channel (3.41 m length). The flow channel widens at 7° towards the horizontal
190 over a horizontal distance of $\sim 2.0 \text{ m}$. In the area of the maximum channel diameter, a gauze, and
191 a honeycomb mesh with a total thickness of 0.1 m are installed to laminarise the flow. Further
192 downstream, the flow channel diameter reduces via a radiused narrowing to compress the air
193 flow. The channel's outlet with a constant diameter of 0.64 m extends over a distance of 0.35 m.

194 3.5 Calibration setup and procedures

195 Generally, all setups for the TFS calibration were placed centrally within the wind tunnel's laminar
196 exit flow and in line with the tunnel's ring outlet edge to prevent potentially forming turbulence
197 from affecting the calibration.



198 **3.5.1 TFS – Ppt interactions**

199 For exploring whether an extension of the UCASS by the TFS housing (with installed TFS) causes
200 a measurable impact on the flow at the position of the UCASS optical detection region, a 3D-
201 printed replica of the UCASS housing was used. Holes were drilled into the housing replica at two
202 different positions along its longitudinal axis in order to 1) place the Ppt inlet at the position of
203 the optical detection area within the flow tube and 2) place the Ppt inlet inside the TFS housing at
204 the TFS's position while replacing the TFS. The replica housing was lacking all optical elements
205 (laser diode, mirrors or photodiodes) that an operational UCASS comprises; all bulges along the
206 flow tube's inner wall were evened out for this experiment.

207 Furthermore, a thermal anemometer (TA, by TSI Inc., model TSI 8455-300-1) was used to control
208 the ambient flow generated by the wind tunnel. The TA was calibrated against the Ppt in the free
209 tunnel flow at flow velocities of 2 – 8 m s⁻¹. Then the experimental setup of the UCASS replica with
210 integrated Ppt was aligned iso-axially in the central flow of the wind tunnel. The experiments
211 carried out are listed in Table 1.

212 The experiments were conducted at ambient wind tunnel air flows of $2 \leq v < 10$ m s⁻¹
213 in increments of ~ 1 m s⁻¹. Ten individual measurements were averaged for each of the seven
214 different wind speeds. The result of this experiments is shown in Fig. S 4 as correlations between
215 TA-measured flow speeds (v_{TA}) against those from the Ppt (v_{Ppt}) within the UCASS flow tube.
216 Notably, the UCASS tube flow velocity was generally increased compared to ambient wind speeds
217 if the UCASS is iso-axially aligned with the ambient flow field, which was previously described by
218 Smith et al. (2019) and confirmed during calibrations presented herein (see Sect. 4.2).

219 This experiment series demonstrate that applied modifications (extension of the flow tube
220 geometry and implementation of the TFS) have hardly any measurable influence on the flow
221 through a UCASS. At balloon ascent velocities, usually well below 10 m s⁻¹, none of the data series
222 stood out from the statistical uncertainty. Therefore, the technical modification proposed herein
223 is expected to have negligible impact on the measurement performance of the UCASS.

224 **3.5.2 Cross sectional flow profile**

225 For the following, a TFS housing shell without installed TFS was mounted on a UCASS to exclude
226 any obstacle for the air stream through the flow tube. The Ppt was attached near the outlet of the
227 TFS housing so that the Ppt inlet was iso-axially aligned and positioned as far as possible inside
228 the flow tube along the longitudinal axis of the UCASS. Thus, along the setup's longitudinal axis,
229 the Ppt inlet was located at the point where the TFS would normally reside. The Ppt was moved
230 perpendicularly to the flow and in small increments (~ 1 -2 mm) along a line between the two
231 extremes of the flow tube's elliptical cross-section. The flow speed in the wind tunnel was kept
232 constant, and for a single data point the average of fifteen velocity measurements was taken at
233 each Ppt position. The profile measurements of the tube flow profile were carried out for a tunnel
234 wind speed of about 5 m s⁻¹ and 7 m s⁻¹.

235 Figure 3 shows corresponding results where the horizontal bar indicates the maximum of the
236 standard deviation σ of the Ppt data obtained from this measurement series. The position where
237 the TFS would be aligned (if - except for this experiment - installed and adjusted by means of a
238 positioning device) is marked by the grey-shaded area in Fig. 3. The flow profiles exhibit
239 asymmetry. The boundary layer at the positive end of the y-axis seems broader than at its negative
240 end. The reason for this is most likely the quasi-elliptic but asymmetric flow tube cross section of
241 the UCASS flow tube. As the ambient flow increases, an increased boundary layer thickness is



242 visible in the UCASS internal flow profile. Moreover, the flattening of the flow profile is clearly
243 visible towards the centre of the flow tube. For typical UCASS flow rates (here $\sim 2\text{-}10\text{ m s}^{-1}$) this
244 demonstrates, that at intended installation position (grey shaded area), the TFS flow
245 measurement occurs in the free tube flow of the UCASS. Notably, the determined cross-sectional
246 profile applies to a straight-line flow (i.e. the AOA equals zero). Under variable AOA (see Sect.
247 3.5.4) and at the selected TFS position any wall effects still have the least influence on the TFS
248 measurement compared to other positions along the tube's cross-section.

249 3.5.3 Iso-axial flow calibrations

250 With the iso-axially aligned setup (see beginning of Sect. 3.5) and with the PPt inserted from the
251 rear into the UCASS/TFS housing, such that the PPt inlet is positioned close to the TFS (cf. 3.5.2
252 and Fig. 2b), the flow calibrations were performed. The TA installed in the free wind tunnel flow
253 (outside the UCASS housing, see 3.5.1) was used to control the ambient flow speed.

254 The TFS calibration comprised a series of measurements at different flow velocities. From slightly
255 more than 0 m s^{-1} stepwise increasing flow velocities were set in the wind tunnel until $\sim 10\text{ m s}^{-1}$
256 was reached. About 25 measured values were recorded for the calibration of a single TFS. As
257 disturbance-related fluctuations occurred in the measured values during the calibration of both
258 the PPt and the TFS, fifteen measured values were recorded for each of the sensors at each
259 ambient flow speed set. Mean values and standard deviations were determined from these PPt
260 and TFS data. Each TFS was calibrated individually, and the results of these calibration series are
261 summarised in Sect. 4.1 ff. After changing the TFS, the iso-axial and centred alignment of the
262 experiment setup at the wind tunnel outlet was frequently checked and readjusted if necessary.

263 3.5.4 Flow calibrations under variable AOA

264 For balloon soundings, the payload (incl. UCASS) is usually attached approx. 60 metres (via
265 unwinder, model "UW1" by Graw GmbH & Co. KG) below the balloon on a cord. The long cord is
266 intended to dampen the pendulum motion of the payload. Due to the vertical offset between the
267 balloon and the payload, also a horizontal offset between both balloon and payload occurs
268 depending on the horizontal wind speed. The balloon may lead the payload by a certain horizontal
269 distance, unless the horizontal wind speed is zero. Hence, during the balloon's ascent and with
270 horizontal winds the UCASS is likely inclined at a certain angle relative to the direction of vertical
271 lift. In addition, pendulum motion and rotations of the payload during flight cannot be completely
272 prevented, which can lead to a variable alignment of the UCASS relative to a straight ascent due to
273 several superimposed effects. Therefore, the impact of inclinations of the UCASS with respect to
274 the direction of flow on 1) the TFS calibration and 2) on the flow velocity within the UCASS is to
275 be quantified.

276 The experimental setup was aligned and positioned as described previously (beginning of Sect.
277 3.5). The iso-axial alignment corresponds to the zero position ($\varphi = 0^\circ$, $\vartheta = 0^\circ$). As the UCASS flow
278 tube is mirror-symmetrical along the vertical axis, the UCASS was horizontally inclined only along
279 the positive x-axis (φ) for changing AOA. Due to the quasi-elliptical shape of the UCASS flow tube,
280 the effects of the flow angle in y-direction (ϑ) were analysed for both the positive (UCASS inlet
281 points upwards) and the negative (UCASS inlet points downwards) deviation from zero. 4
282 illustrates the measurement setup and provides an example of the angular distribution under
283 which the UCASS was aligned relative to the ambient flow field. Note, that the frame for holding
284 the UCASS/TFS setup also fixes the PPt (marked in Fig. 4a), which remains aligned with the UCASS
285 longitudinal axis and is positioned as close as possible at the TFS. Any angular change in the flow
286 direction to the UCASS inlet therefore affects the entire assembly (cf. Fig. 4c). At the beginning of



287 each measurement series, data were recorded for five different ambient flow speeds in the zero
288 position ($0^\circ, 0^\circ$) to verify the calibration curve of respective TFS used. The angles were then set
289 within the angle grid shown in Fig. 4b. Fifteen measured values of the PPT and the TFS were
290 recorded for five different tunnel flow speeds between about 3 and 7 m s^{-1} and the mean value
291 with standard deviation were determined from PPT and TFS data. The results are summarised in
292 Sect. 4.3. An attempt was made to reproduce the set tunnel flow velocities for each measurement
293 series at varied AOA, which allowed also for investigating the relationship between the flow
294 velocities outside and inside the UCASS housing at variable AOA (see Sect. 4.4).

295 **3.6 UCASS internal flow estimates**

296 Besides the counted and classified particles, UCASS also records the particles' average beam
297 transit times (named MToF, Mean Time of Flight) in four different size ranges. In principle, these
298 beam transit times could be used to estimate the flow speed in the measurement volume and
299 therefore would provide another option for a sampling volume assessment. Such an approach is
300 used for example in connection with the particle detectors by Alphasense OPC-N2 and OPC-N3
301 (Bezantakos et al., 2020). We therefore correlated the measured TFS velocities with the inverse
302 of the different MToF values reported for each interval, when particles were detected. A
303 considerable number of apparently clipped values (piling at the apparent bottom and top end of
304 the measurement scale) were removed. Still, while there was a very weak correlation found
305 between the inverse MToF and the TFS, the huge variations, the clipped values and the scarcity of
306 data - in most 0.5 second intervals no particles are detected - prevent this approach from being
307 used as a measurement for a flow rate in UCASS.

308 **4 Calibration results**

309 To this point, it has been demonstrated that

- 310 1) the insertion of the TFS into the flow tube of the UCASS has no measurable impact on its
311 particle-sensitive area (Sect. 3.5.1) and
- 312 2) as the TFS installation is achieved by using a positioning device it is systematic for all TFSs
313 used whose measurements occur outside the tube's boundary layer in the central UCASS
314 flow.

315 Hence, the assumption seems appropriate that integrating TFS into the UCASS flow tube did not
316 generate any additional interferences for the calibrations discussed hereinafter.

317 **4.1 High resolution (HRC) and three-point calibration (TPC) of the TFS**

318 According to the functional relationship described in Eq. 1 the measured TFS output voltage is
319 calibrated versus the PPT-measured flow velocity, which is used hereafter as the calibration curve
320 of a TFS (further details in Sect. S 2 in the electronic supplement). For each measuring point, the
321 mean value plus (minus) the associated standard deviation - i.e. the extremes of variability - was
322 inserted into the calibration curve function yielding a deviation from the averaged measurement
323 point by not more than 0.08 m s^{-1} corresponding to a percentage maximum of 1.3 %. The results
324 show that the different TFS exhibit very similar behaviour and that the parameterisation of the
325 calibration curve function is valid for all calibrated TFS. Table S 1 (see electronic supplement) lists
326 the parameterisation coefficients for each TFS. Repeated calibration series with a larger time
327 offset and with the same TFS after disassembly/reassembly of the setup also reproduced previous
328 values within 1.8 % at maximum, and on average within 1 %, in the relevant range of ambient
329 flows. However, the measurements of the calibration curves were likely influenced by the ambient



330 temperature and pressure conditions in the laboratory as both affect air's mass density, which in
331 turn controls the heat advection at the TFS.

332 In the process of these relatively high-resolution calibrations (HRC) and analyses of the
333 calibration curves, it was found that only three calibration points reproduce the calibration curve
334 with acceptable accuracy (see electronic supplement, Sect. S 2, for details). For the TFS used
335 (TFS 8), and at tunnel flow speeds between 2 and 8 m s⁻¹, the three-point calibration (TPC) curves
336 did not deviate by more than 1 % from the highly resolved initial calibration curve.

337 **4.2 Internal versus external flow velocity**

338 The PPt was repositioned next to and slightly upstream of the UCASS inlet to measure in the free
339 flow of the wind tunnel (setup is shown in Fig. S 5). The UCASS-integrated TFS 8 had previously
340 been calibrated with the PPt. The UCASS was aligned iso-axially to the ambient flow of the wind
341 tunnel and centred at the annular outlet of the tunnel. The wind tunnel flows were stepwise
342 increased from slightly more than 0 to up to 11 m s⁻¹ and then decreased with a total of seventeen
343 different settings. For each of the ambient flow speeds, fifteen velocity values were recorded as
344 measured by the PPt to be averaged. In Fig. S 6, the PPt-measured flow velocity outside the UCASS
345 is displayed in reference to the UCASS internal flow velocity determined with the TFS 8. The
346 velocity range relevant for balloon soundings (2 - 8 m s⁻¹) is indicated by the black dashed square.

347 Within this range, ten different values of ambient flow speed were set for each measurement of
348 this series. The standard deviation σ of the PPt data range between 0.01 and 0.04 m s⁻¹. Only the
349 mean value of the TFS output voltage was noted, which resulted from the automated averaging by
350 the multimeter used. During the TFS 8 calibrations, standard deviations of at the most 0.08 m s⁻¹
351 were obtained corresponding to a maximum relative deviation of 1.3 % (extremes of variability,
352 cf. first paragraph in Sect. 4.1). A first-order polynomial fit was created for the recorded
353 measurement. The resulting line-fit indicates that in an iso-axial arrangement the UCASS internal
354 flow velocity exceeds the outside flow speed. Calculated from ten data points at external wind
355 velocities between 2 and 8 m s⁻¹, the UCASS internal flow velocity is increased by 10.7 to 11.9 %,
356 with an average relative deviation of 11.3 %, as compared to the external flow speed.

357 This result quantitatively compares well with the results of Smith et al. (2019), who simulated an
358 increase in the UCASS internal flow velocity by 12 % under an iso-axial alignment of the UCASS
359 and at an ambient flow speed of 5 m s⁻¹. Taking into account the standard deviations of averaged
360 data points determined here may put the small deviation between previous and current results
361 into perspective.

362 However, in balloon-borne UCASS field applications, the GPS-based ascent rate is usually used to
363 determine the sample volume flow and thus the measured particle number concentrations (e.g.
364 Kezoudi et al. (2021)). So, when a UCASS was iso-axially aligned during a straight balloon ascent
365 at rates of 2 to 8 m s⁻¹, the GPS-based calculation would lead to an underestimated UCASS sample
366 volume flow by about 11-12 % and the particle number concentrations would be overestimated
367 accordingly. Therefore, from current point, a direct measurement of the UCASS internal flow
368 velocity during flight appears useful, but as will be shown (Sect. 5), the conditions during balloon
369 soundings are more complex and the necessity for a flow velocity detector integrated into the
370 UCASS gets all the more obvious.



371 **4.3 UCASS internal flow velocity under variable AOA**

372 Compared to previous experiment series, the UCASS was intentionally misaligned from the iso-
373 axial orientation (cf. Sect. 3.5.4).

374 Figure 5 shows the highly resolved calibration curve TFS 8. The mean values from experiment
375 series at five ambient flow speeds are also depicted for each AOA. The standard deviations are not
376 shown for the sake of clarity of the figure. The error in setting the UCASS deflection angle was
377 estimated to be $\pm 0.5^\circ$ in each case. In the zero position ($0^\circ, 0^\circ$), which was calibrated once at the
378 beginning and at the end of the series, the σ -values ranged between 0.002 and 0.003 V (TFS 8
379 output voltage) or between 0.02 and 0.04 m s^{-1} (PPT). With increasing AOA, the standard
380 deviations (σ) rose to a maximum of 0.025 V (TFS 8) and 0.09 m s^{-1} (PPT). Hence, the fluctuations
381 of measured variables generally increased with increasing AOA.

382 Based on the TFS 8 calibration curve, the flow velocities $v_{\text{TFS}}^a(U)$ were determined with the mean
383 TFS output voltage values (\bar{U}). These are compared with the flow velocities $v_{\text{TFS}}^b(U)$ resulting from
384 the extreme values of standard deviation at each mean output voltage (i.e. $\bar{U} \pm \sigma$). The maximum
385 standard deviation range observed was $\pm 0.31 \text{ m s}^{-1}$ (8.3 %). Oscilloscope records of the variations
386 in the TFS output voltage over 20 s clearly indicated an increasing scatter intensity when varying
387 the AOA of UCASS, e.g. from ($0^\circ, 0^\circ$) to ($20^\circ, -40^\circ$). The output voltage fluctuations were invariant
388 in time (in particular non-periodic) and stationary, i.e. rather of a statistical nature.

389 The mean values of the TFS measurements were inserted into the calibration curve function of
390 TFS 8 to determine the flow velocities (v_{TFS}) to be juxtaposed to the mean PPT-measured flow
391 velocities (v_{PPT}). The flow velocity determined with the calibration curve was used as the reference
392 and an error is considered with

$$393 \quad \varepsilon = \frac{v_{\text{TFS}} - v_{\text{PPT}}}{v_{\text{TFS}}} \cdot 100, \quad 4$$

394 out of which the maximum percental error and its mean resulted for each AOA. Table 3 shows the
395 maximum and the mean of the relative percentage deviation of measured values at variable AOA
396 compared to the calibration curve TFS 8. At AOA of up to 30° , the maximum relative percentage
397 deviation was 3.5 %, and above 30° , the relative percentage deviation of measured values from
398 the calibration curve increased significantly. At such large AOA, the σ of TFS-measured voltages
399 also rose substantially. During balloon sounding, UCASS inclinations of more than 30° from the
400 vertical are not expected. In an earlier study (Smith et al., 2019), a model was used to simulate the
401 angular inclination of the UCASS in relationship to the direction of ascent. Initial pendulum
402 oscillations of up to $\pm 20^\circ$ were assumed, which were damped to around $\pm 5^\circ$ within about
403 30 seconds. If these conditions occurred at an actual balloon sounding with UCASS, the percentage
404 relative deviation of measured velocities as determined here (based on the TFS 8 calibration
405 curve) would be a maximum of 2.8 % under variable AOA smaller than 20° . Hence, even at UCASS
406 inclinations of up to 20° , the particle concentration is affected by this angle deflection with a
407 maximum uncertainty of less than 3 % (maximum relative deviation). To validate the TFS 8 data
408 series, the measurements were repeated with TFS 7 in the same setup. Corresponding results are
409 summarised in Sect. S 3 (electronic supplement) representing a qualitative and largely
410 quantitative confirmation of the results and conclusions made.

411 **4.4 Internal versus external flow velocity under variable AOA**

412 In Fig. 6, the velocity of TA-measured ambient wind tunnel flows (as reference) is plotted against
413 the PPT-measured flow velocity inside UCASS. Standard deviations of 0.01 to 0.10 m s^{-1} were



414 determined for the ambient flow velocity between 2 and 8 m s⁻¹. For PPT data, σ -values ranged
415 from 0.02 to 0.09 m s⁻¹, which increased with rising ambient flow velocities and AOA. With
416 increasing AOA, the UCASS-internal flow velocity decreased. For AOA of (0°, 30°) and (0°, -30°),
417 and beyond, the UCASS-internal flow was slower compared to external flow velocities.

418 The UCASS-internal flows in zero-position (0°, 0°) were used as reference and parameterised by
419 a first-order polynomial fit. The comparison of a) the calculated flow velocity inside the iso-axially
420 aligned UCASS with b) its internal flow velocities at AOA of (0°, 30°) and (0°, -30°) revealed a
421 relative percentage decrease in the flow velocity of around 15 % (see Table 4). For an iso-axially
422 aligned UCASS, the internal flow is accelerated by on average ~ 11.3 % (see Sect. 4.2). The
423 contrary effects of a) a flow acceleration inside the iso-axially aligned UCASS and b) the
424 deceleration inside the UCASS when deflected from the zero-position are likely superimposed.
425 Thus, with a UCASS inclination of 30° during a balloon sounding, the internal UCASS flow velocity
426 is reduced by around 4 % net compared to the external flow. In turn, at such UCASS inclination, a
427 particle number concentration calculated with the GPS-based ascent velocities underestimates
428 the prevailing trace material concentrations by only about 4 % under these conditions.

429 At a certain deflection angle (30°, 0°) a noticeable but undeterminable interference effect appears
430 to have impacted the laboratory experiments. With increased deflection of the UCASS, the inlet
431 opening of the UCASS is shifted out of the central wind tunnel flow and towards the tunnel's wall.
432 With decreasing distance to the wall of the wind tunnel, effects of flow disturbances cannot be
433 ruled out, which could influence the relative deviation from the calibration curve. Potentially,
434 signal fluctuations are also amplified by flow disturbances that occur at the leading edge of the
435 UCASS entrance when the UCASS is deflected too far from the zero-position. The TFS appears to
436 be sensitive to such fluctuations. In addition, an influence of wind gusts on the experiment cannot
437 be excluded, as the wind tunnel is operated inside a building, but has connections to the building's
438 exterior to enable enhanced wind tunnel flows.

439 **5 Ambient measurements**

440 Ambient balloon soundings were carried out with two UCASS instruments, such that two TFS were
441 operated in parallel during respective ascent. The balloons' ascent rate is determined from both
442 the static pressure measurement (v_p) and the GPS data, each of which is recorded independently.
443 The static pressure in flight, resolved at 1 Hz, is converted to barometric altitudes by the internal
444 primary data processing in the VAISALA sounding system, as recalculations (based on Sonntag
445 (1994)) confirm. GPS and pressure-derived ascent rates correlate very well (regression yields a
446 slope of one and a constant element of - 0.1 m s⁻¹ with a coefficient of determination r^2 of 0.99).

447 **5.1 Vertical profiles**

448 Figure 7 shows vertical profiles of three balloon soundings launched from Tailfingen (Germany),
449 at ~ 900 m above sea level (a.s.l.) in August 2023. The profiles allow for comparing 1) the balloon
450 ascent rate from the GPS measurements v_{GPS} , 2) the balloon ascent velocity from the static
451 pressure measurements v_p , and 3) the flow velocities through the two UCASS units (TFS°1 and
452 TFS°2) operated on each ascent. Results from other balloon soundings are shown in the electronic
453 supplement (Fig. S 10). The profiles are limited to an altitude of 7.5 km a.s.l., as at about this height
454 the ambient temperatures fell below the specified temperature limit (253 K, see B+B-Thermo-
455 Technik (2016)). Further laboratory tests are required to confirm the robustness of the TFS
456 measurements also at temperatures of 253 - 220 K or to reveal the need of further corrections. As



457 nearly all UCASS particle measurements were detected below 7.5 km, the restricted height range
458 nevertheless covers the relevant part of the flight. The data are low-pass filtered by a ten-second
459 running average.

460 A common feature of the vertical profiles is the stronger scatter of the GPS-derived ascent rates
461 compared to those based on pressure measurements. This might be connected to a larger
462 uncertainty inherent with the GPS. In general, however, both values are well-correlated. Up to
463 ~ 2 km of the balloon sounding of 12 August (Fig. 7a) the TFS measurements agree relatively well
464 with GPS and the pressure data (see also Fig. 7b). Below ~ 3 km, TFS A and TFS B deviate from the
465 pressure and GPS data by up to 30 %. Up to ~ 3.8 km, the different speed measurements from all
466 instruments are comparatively consistent. Above 3.8 km, the TFS measurements (again consistent
467 between TFS A and B) show periodically fluctuating and lower flow speeds (by up to 15 %, and
468 above 7 km altitude by up to 25 %) compared to those resulting from GPS and pressure.

469 During the earlier balloon sounding of 16 August (Fig. 7c) and up to an altitude of ~ 2.4 km, the
470 TFS-based velocities are systematically higher (in peaks by up to 45 %, cf. Fig. 7d) than
471 corresponding values from GPS and pressure. In contrast to the previous case, the measurements
472 of the four sensors are quite consistent at altitudes between 2.4 and 5.3 km with deviations of
473 seldomly more than ± 10 %. Above ~ 5.3 km, still quite consistent TFS measurements increasingly
474 deviate from the GPS and barometric data with increasing height and indicate by up to 15 % lower
475 UCASS flows compared to the external wind velocity.

476 Later balloon sounding of 16 August (Fig. 7e) shows only at the lowest heights (up to ~ 1.3 km)
477 more or less consistence of the four measurements. Between 1.3 and 2.7 km, the TFS- velocities
478 are generally higher (on average by 15 %, in peaks up to 25 %, cf. Fig. 7f) than those derived from
479 GPS and pressure. Above 3.2 km, reversely the ascent velocities from pressure and GPS slightly
480 exceed the TFS data. At altitudes of 4.5-5.5 km and above ~ 6.2 km, GPS and pressure
481 measurements commonly reveal increased vertical velocities that rise from about 6 m s^{-1} to about
482 8 m s^{-1} . Within these layers TFS-velocities are mostly 15 % (in peaks up to 25 %) slower than the
483 ambient air flow. The origin of these features in the GPS and pressure-based velocity profile
484 apparently has, if any, only minor impact on the TFS measurements.

485 These examples suggest that over large parts of the vertical sounding (up to 7.5 km altitude) the
486 flow velocity within the UCASS (and thus through its optically sensitive particle detection region)
487 does not match the GPS or *p*-derived ambient flow velocity.

488 **5.2 Implications of observed features**

489 Influences on the UCASS-internal flow speed and pattern due to an additional installation of a TFS
490 in a housing extension, if any, are negligible (Sect. 3.5.1). The sensitive area of the implemented
491 TFS is located within the free tube flow through a UCASS, i.e. outside the boundary layer of the
492 UCASS flow tube's inner walls (Sect. 3.5.2). Moreover, with an iso-axial alignment of the UCASS,
493 the inner flow is accelerated by ~ 11.3 % when compared to the ambient flow velocity around the
494 UCASS (Sect. 4.2), which is in general agreement with earlier findings (Smith et al., 2019). As
495 discussed in Sect. 4.4, with increasing angular deflection of the UCASS from the iso-axial
496 orientation, the flow velocity inside a UCASS is decelerated towards values of the ambient flow
497 speed and below.

498 The payload as used during the field mission had a total weight of up to 3.9 kg. About 10,000 litres
499 of helium are required for the balloon to yield enough buoyancy for desired lift. Under conditions



500 with horizontal winds, the effective surface area of the filled balloon is many times larger than
501 that of the payload structure ($<0.1 \text{ m}^3$). When fully unwound, the flexible cord between the
502 balloon hull and the payload extends up to $\sim 60 \text{ m}$. During ascent from ground through the
503 boundary layer, the balloon (with larger surface area and several tens of meters above the
504 payload) is frequently exposed to different wind speeds due to (variable) wind shear as compared
505 to the payload because horizontal winds increase with increasing height and decreasing ground
506 friction. Therefore, during an ascent under any non-zero horizontal wind speed, this structure will
507 generally be inclined with respect to the vertical as indicated by the horizontal displacement
508 between two radiosondes implied at the opposite ends of one balloon-payload-ensemble of
509 $\sim 62 \text{ m}$ length in total (see Sect. S 4 in the electronic supplement).

510 Above the boundary layer, the balloon's much larger surface area may cause it to act as a sail in
511 prevailing horizontal winds, causing the payload with a much smaller surface area to be towed
512 behind. Therefore, the balloon is most times slightly dislocated with respect to the resultant wind
513 vector compared to the payload, the payload will inevitably be inclined relative to the wind vector
514 over large parts of the balloon sounding.

515 Based on the ratios of the TFS measurements compared to the ascent velocities from GPS and
516 pressure measurements, different scenarios arise over a flight, in which

- 517 1. The flow velocities through the UCASS are increased compared to the ascent velocities: as
518 if the deflection angle of the UCASS relative to the vertical was comparatively small or
519 close to an iso-axial alignment of the UCASS within the ambient flow,
- 520 2. The flow velocities through the UCASS are within the variability range of the GPS and
521 pressure measurements of the ascent velocities: for this, a deflection of the UCASS of
522 about 20° - 30° would have to be attained (cf. Fig. 6 and Table 2) while an enhanced
523 uncertainty is hereby accounted for by using the TA instead of the PPT for measuring the
524 wind tunnel flow speed, as the PPT measured at that time inside the UCASS housing,
- 525 3. A flow velocity through the UCASS that is smaller than the GPS or pressure-based ascent
526 velocities: for this, a deflection of the UCASS of about $>30^\circ$ would have to be reached.

527 For the last of these points in particular, the required deflection angles of the UCASS appear
528 extreme to clearly explain observed velocity ratios. In addition, pendulum movements of the
529 payload around a position in the inclined state (i.e. without swinging through the pendulum's
530 equilibrium, which would correspond to an iso-axial, strictly vertical UCASS alignment) could
531 generate a continuously variable orientation of the UCASS in the flow. Moreover, it was observed
532 that the payload can rotate around its vertical axis (in line with the balloon cord). The
533 superposition of these movements and others resulting from the variability of the payload's lift
534 (e.g. indicated by fluctuations in GPS and pressure-based ascent rates) may lead to various
535 influences on the flow through the UCASS, which currently are neither separable nor quantifiable.
536 As the ascent speed is mainly determined by the balloon's buoyancy, drag force, and gravitation,
537 the resulting wind situation at the payload may differ from that at the balloon due to small scale
538 atmospheric variability (e.g. turbulence).

539 During balloon soundings, the flow velocity through the UCASS is subject to complex influences
540 and is largely decoupled from the pure vertical velocity (i.e. the ascent rate) of the balloon. The
541 high variability of the flow velocity measured during ascent directly inside the UCASS emphasises
542 the importance of such a direct measurement. The frequent deviation of the UCASS flow speed
543 from the GPS or pressure-based vertical velocity is one further argument in favour of



544 implementing an independent flow sensor inside the UCASS. The GPS or pressure-based ascent
545 rates deviate by values in the range of metres per second from the UCASS internal flow velocity.
546 Based on a velocity of 6 m s^{-1} , a deviation by $\pm 0.5\text{-}2 \text{ m s}^{-1}$ results in an error of $\pm 10\text{-}30 \%$ and a
547 corresponding over- or underestimation of the resulting particle number concentration.

548 Table 5 summarises the main uncertainties arising from the different methods for determining a
549 flow velocity through UCASS and which have equal quantitative impact on resulting particle
550 number concentrations. From the Gaussian error propagation of the relationship given in Eq. 1
551 and Eq. 2 an overall uncertainty $\Delta v_{\text{TFS}}^{\text{corr}}$ combines from 1) the uncertainties in T and p measured
552 with a radiosonde RS 41 SGP (Vaisala, 2024) also including uncertainties arising from the TFS
553 calibrations conditions (in p_0 and T_0) and 2) the uncertainty Δv_{TFS} (i.e. determined σ) obtained
554 from the cold chamber test at different ambient temperature conditions (cf. Sect. S 1 in the
555 electronic supplement). For three chosen temperatures (i.e. 275 K, 264 K, and 254 K) from these
556 chamber experiments the corresponding deviations of the GPS-based flow velocities (Δv_{GPS}) from
557 corresponding $v_{\text{TFS}}^{\text{corr}}$ are determined at equal temperature conditions during three vertical
558 soundings (Fig. 7 and adjacent text). Thus, the total uncertainty in $v_{\text{TFS}}^{\text{corr}}$ is fairly consistent within
559 a range between 6.9 % and 8.8 % (values marked in grey in Table 5). In a few of the conditions
560 selected here (275 K and 264 K), resulting Δv_{GPS} is comparatively low (values framed between
561 two vertical lines in Table 5), or in almost similar range of $\Delta v_{\text{TFS}}^{\text{corr}}$ (values framed between two
562 horizontal lines) however, in other cases (enclosed framed values) the offset in v_{GPS} is significant
563 and can exceed 30 % (occasionally even more as seen in Fig. 7d). In essence, v_{GPS} appears as the
564 more precise measurement, but can unpredictably become highly inaccurate upon vertical
565 sounding over large height ranges (500 - 1500 m). In contrast, $v_{\text{TFS}}^{\text{corr}}$ presumably is the more
566 accurate measurement over discussed vertical range up to $\sim 7.5 \text{ km}$, with a comparatively higher
567 though determinable imprecision.

568 6 Summary and Conclusions

569 This study indicates a possible improvement of the Universal Cloud and Aerosol Sounding
570 System (UCASS), a balloon-borne optical particle counter, to obtain aerosol and cloud droplet
571 concentration measurements with increased accuracy. The integration of a thermal flow sensor
572 (TFS) into the UCASS allows for direct, real-time and continuous flow velocity measurements
573 in the immediate vicinity of UCASS's particle detection region. This approach resolves
574 inaccuracies arising from the conventional reliance on GPS or pressure-based balloon ascent
575 velocities, which do not account for gusts or strong wind shear, payload oscillations, flow
576 distortions (caused by the UCASS housing), and particularly the deflection of the UCASS body
577 from an iso-axial alignment during ascent.

578 Wind tunnel experiments showed that the UCASS-internal flow velocity, when iso-axially aligned
579 with the ambient airflow, is on average 11.3 % faster than the surrounding external flow (between
580 $2 - 8 \text{ m s}^{-1}$), largely consistent with previous investigations. Hence, the airflow dynamics of the
581 UCASS are reproducible and predictable under controlled conditions. Further laboratory
582 experiments under variable angles-of-attack (AOA) revealed that with a deflection of the UCASS
583 by around $20^\circ - 30^\circ$, the UCASS-internal flow velocity is reduced to values approximately
584 corresponding to the external flow velocity.

585 It was found that high-resolution calibrations of a TFS provide accurate results that are
586 parameterizable according to King's Law, with deviations of no more than 1.3% from the mean



587 samples. A more efficiently implementable three-point calibration (TPC) method (using flow
588 velocities of approximately 2 m s^{-1} , 5 m s^{-1} , and 8 m s^{-1}) showed deviations of less than 2.9 %
589 compared to detailed calibrations.

590 During balloon soundings, UCASS-internal flow velocities could deviate significantly from the
591 GPS-based ascent rates, varying by up to 30 %. Thus, accurate measurements of particle
592 concentrations based solely on GPS-derived ascent rates are subject to considerable uncertainty.
593 Vertical profiles from three soundings showed that the deviations in flow velocity increased
594 with increasing altitude due to changing atmospheric conditions and balloon-payload-geometry
595 (i.e., the inclination of the payload orientation and thus the UCASS alignment). Referring to
596 the thought model of the balloon sounding (see Sect. 2), that the flight pattern of the balloon is
597 driven by the horizontal wind components (i.e. u and $v > 0$): then 1) the balloon body acts as a
598 sail with its comparatively large surface and 2) the comparatively small payload is towed. In
599 other words, even if the main wind components with respect to the payload's inertial system
600 (u_{rel} , v_{rel}) were nearly zero, this will typically result in a constellation of the balloon-payload
601 geometry which causes a flow towards UCASS with a non-zero AOA. While UCASS is aligned
602 with the cord to the balloon, it is deflected with respect to the buoyancy-induced vertical lift,
603 i.e., iso-axial flow to the UCASS is rarely given. The actual flow, which is affected by the
604 pendulum motion and rotation of the payload and their superposition, is hardly reproducible or
605 quantifiable. This ultimately underlines the need for a continuous measurement of the actual
606 internal UCASS flow during the entire flight.

607 Hence, for airborne particle measurements the calculated particle concentrations from UCASS
608 detections based on GPS ascent rates may introduce errors of up to 30 %. Real-time TFS data
609 would reduce this uncertainty by ensuring that the actual air volume of the samples is
610 determined independently of external conditions. The integration of the TFS into the UCASS
611 therefore represents an improvement in the methodology of measuring aerosol and cloud
612 droplet concentrations. By providing direct and precise flow velocity measurements, the TFS
613 avoids the limitations of GPS-based methods, including errors caused by payload movements,
614 gusts, wind shear, and flow deviations caused by the shape of the UCASS housing. Field tests
615 confirmed that this approach leads to more accurate assessments of air volumes in the samples
616 and can reduce uncertainty in calculating particle number concentrations.

617 The knowledge gained may motivate future modifications and improvements in the further
618 development of the balloon-borne UCASS instrument. The robust three-point calibration
619 methods applied in this study facilitate the technical effort involved in integrating TFS into a
620 modified UCASS. This work can provide a basis for improved vertical profiling of aerosols and
621 cloud elements with cost-effective and flexible instruments such as UCASS.

622 **Code availability**

623 "scipy.optimize.curve_fit" ((Scipy-Community, 2023))

624 **Data availability**

625 to be announce

626 **Author contribution**

627 SJ performed the calibrations, reporting and optimised technical designs. SJ, RW, KK wrote the
628 article with contributions by JG, CS, WS, LKE, LV and HT. LV, LKE and CvG ensured the technical
629 operability of the TFS-equipped UCASS instruments (supported by JG, CS, WS) and the balloon
630 gondola during field missions. HT (forecasting), LKE (preparation and launch) and KK, SJ
631 (recovery) contributed invaluablely to successful balloon soundings.



632 **Competing interests**

633 The authors declare that they have no conflict of interest.

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650 **Figure captions**

651 **Figure 1:** Design of the UCASS and arrangement of its optical elements. Figure (a) shows the side
652 view of the UCASS, while figure (b) shows the front view. The definition of the particle detection
653 zone (df1) has been added to the figure. Adopted from Smith et al. (2019).

654 **Figure 2:** (a) The TFS housing (“c1”), which also contains the control and regulation electronics
655 of the TFS. The sensitive area of the TFS with a length of 6.9 mm is labelled as “c2”. (b) The frontal
656 view of the experimental setup. The perspective is in the direction of flow towards the UCASS front
657 and into the flow tube, looking at the TFS and the Prandtl-Pitot tube, which is located downstream
658 behind the TFS.

659 **Figure 3:** Resulting flow velocity profiles along the elliptical cross-section of the instrument’s flow
660 of the UCASS or of the TSF housing with a diameter of 40 mm × 30 mm (length × width). Along the
661 cross-section’s main axis, the flow velocity measurements were carried out; twice for an ambient
662 flow velocity of ~ 5 m s⁻¹ (forwards “a” and backwards “b”, respectively) and once for ~ 7 m s⁻¹
663 (direction “b” only). Each data point represents an average of fifteen velocity measurements. The
664 maximum standard deviation is given representatively for all data points with indicated
665 horizontal bar. The position of the TFS (if installed) is marked by the grey area.

666 **Figure 4:** Measuring setup with UCASS, mounted TFS housing, and installed PPT (from the rear
667 reaching into the TFS housing), fixed in a vertically and horizontally tiltable apparatus with scales
668 for reading the deviation angles in each direction a) Side view in horizontal orientation b) Variable
669 deviation angles, which were set in angular degrees deviating from the zero position (iso-axial
670 case) during the experiments c) View of the measuring setup under horizontal and vertical
671 displacement from the zero position.



672 **Figure 5:** Comparison of the high-resolution calibration curve (HRC) with measurements of the
673 UCASS-implemented TFS 8 at variable angles of attack. The standard deviations of individual data
674 points are not shown for the sake of clarity.

675 **Figure 6:** Comparison of the recorded flow velocities outside (v_{TA}) and inside (v_{PPt}) the UCASS at
676 variable angles of attack during the series of measurements with TFS 8. The standard deviations
677 (at the most $\pm 0.1 \text{ m s}^{-1}$ at tunnel wind speeds between 2 and 8 m s^{-1}) are not shown for reasons
678 of clarity. First-order polynomial fits were created for the flow velocities recorded under various
679 angles of attack to compare with the high-resolution calibration (HRC) at zero position ($0^\circ, 0^\circ$).

680 **Figure 7:** Vertical profiles of the vertical velocity based on measurements of changed GPS and
681 barometric altitudes per unit time (i.e. v_{GPS} and v_p) and on TFS measurements of the flow velocity
682 (v_{TFS}^{corr}) through the UCASS of selected balloon soundings during a field mission a) from 12 August,
683 launch at 14:10 (LT), c) from 16 August, launch at 11:51 (LT) and e) from 16 August, launch at
684 13:41 (LT). Ten-seconds-running average of respective data are shown. Additionally, respective
685 deviations of the differently obtained flow velocities from each other are shown for each vertical
686 profile (panels b, d, f). Note that the deviation of the ascent rates (i.e. the external flow velocity,
687 GPS or p -based) in reference to the UCASS-internal flow speed differs from zero over large parts
688 of depicted flight sections.



689 **Figures**

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710 **Figure 1**

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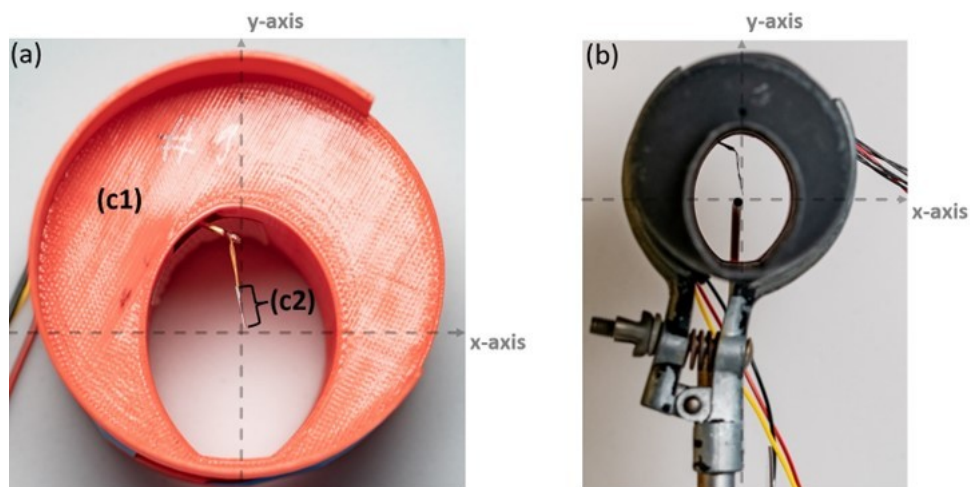
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727 Figure 2

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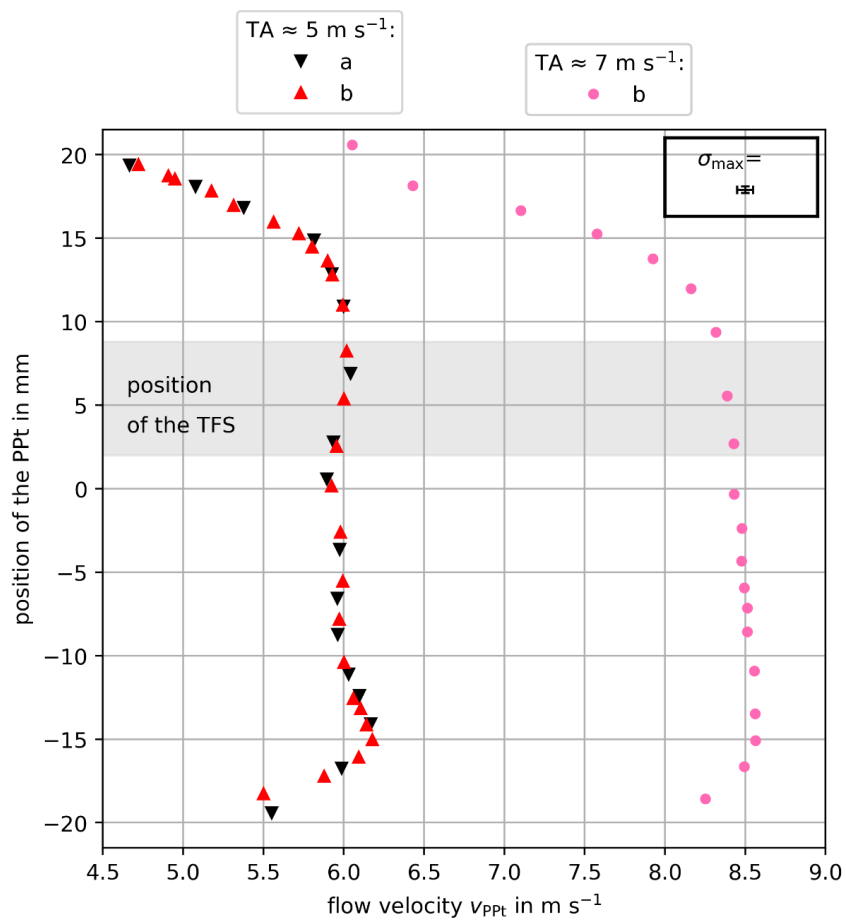
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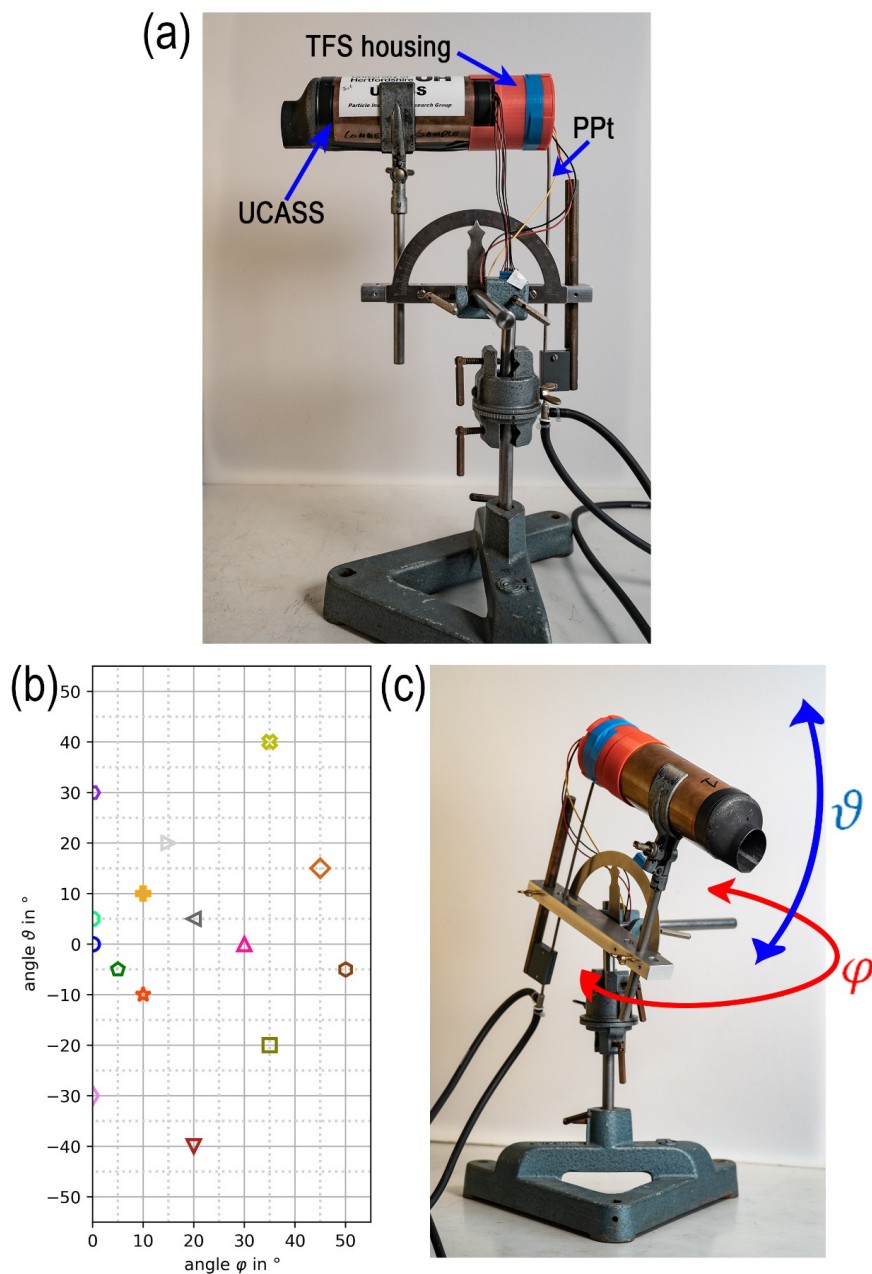
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779 Figure 4

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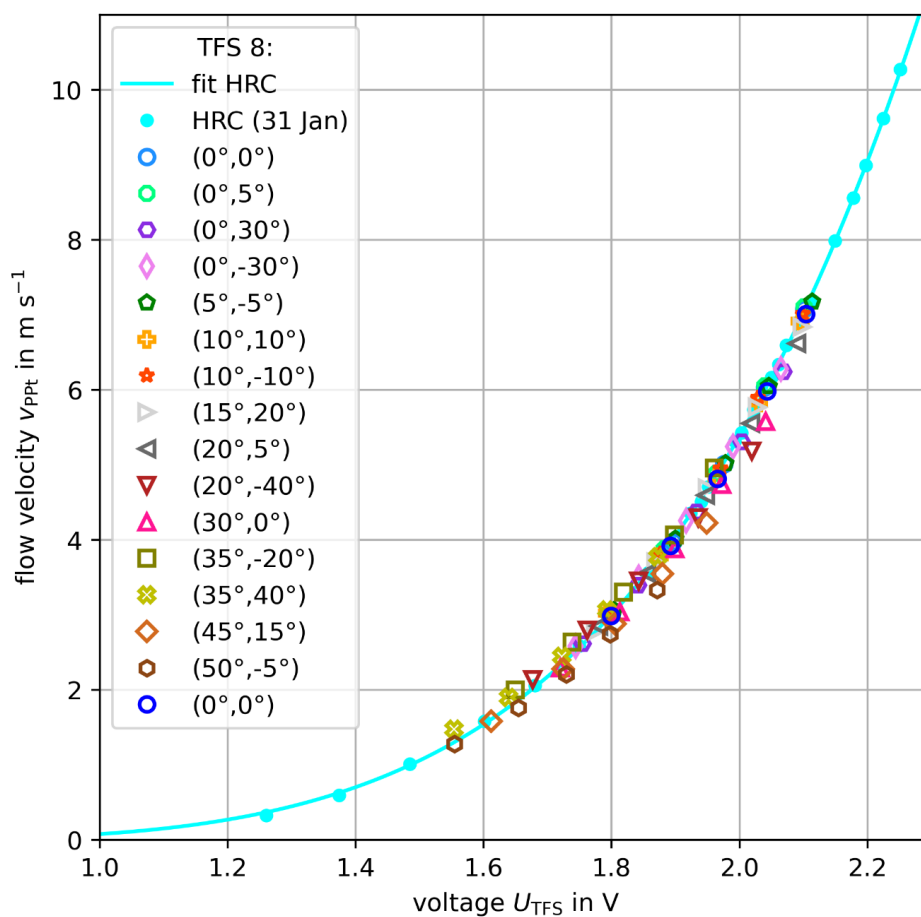


Figure 5



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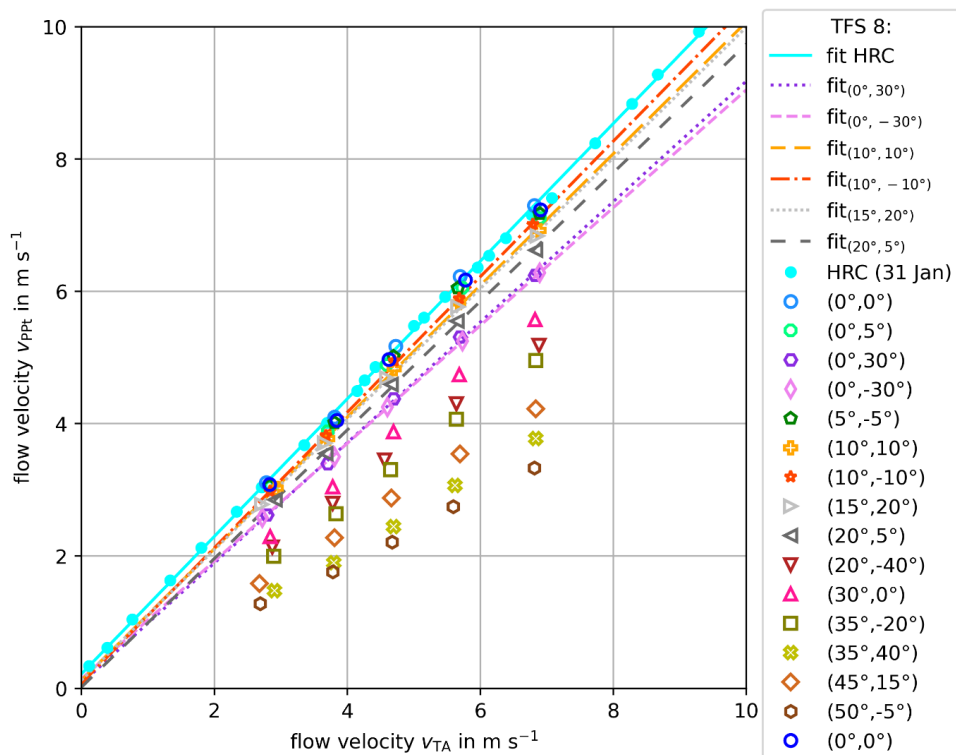
824 Figure 6

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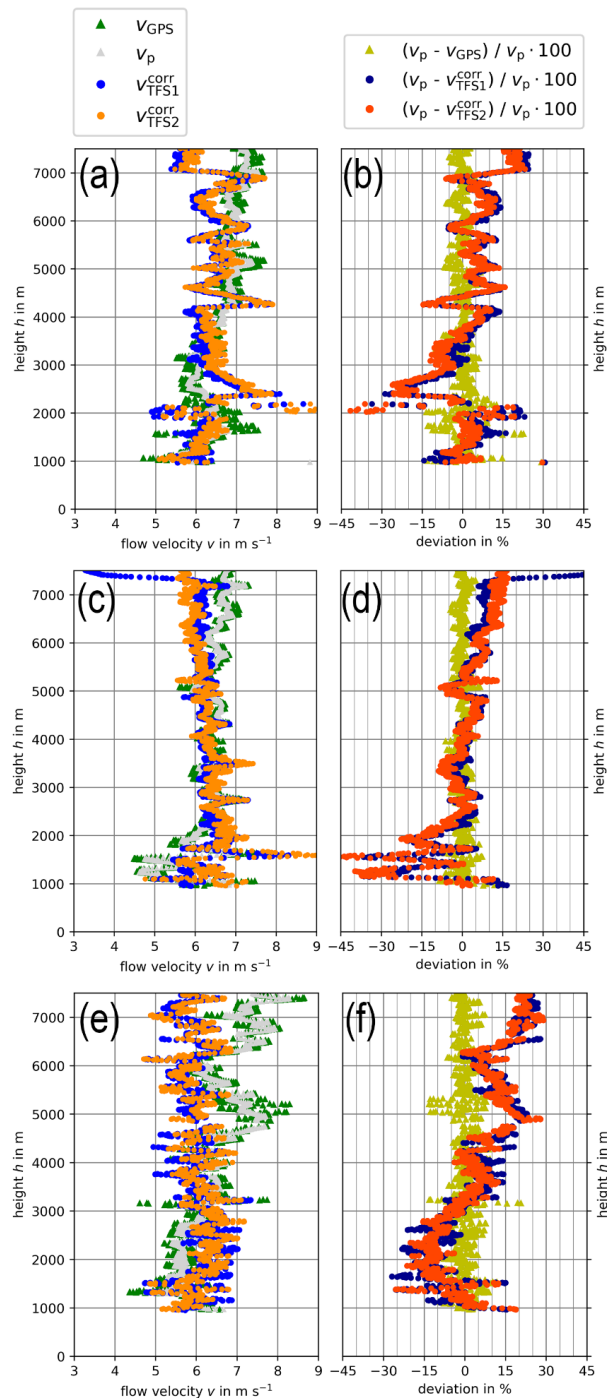
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851 Figure 7





852 **Tables**

853

Exp. #	PPt	TFS/housing	description
1	yes	no/no	PPt in UCASS housing at position of detection region
2	yes	no/no	"_"
3	yes	yes/yes	PPt at detection region + attached TFS inside its housing
4	yes	yes/yes	"_"
5	yes	no/yes	PPt at TFS position inside TFS housing

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855 **Table 1**

856 List of experiments (Exp.) conducted with the PPt at various positions inside the UCASS and TFS
857 housing during wind tunnel flow calibrations.

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fit	<i>a</i>	<i>b</i>
(0°,0°) HRC	1.040	0.216
(10°,10°)	0.995	0.119
(10°, -10°)	1.024	0.075
(15°,20°)	0.987	0.120
(20°,5°)	0.972	0.017
(0°, 30°)	0.910	0.073
(0°, -30°)	0.890	0.142

868

869 **Table 2**

870 Parameters of the linear fit function ($v_{PPt} = a \cdot v_{TA} + b$) to selected UCASS-internal flow velocities
871 measured under variable angle of attack (AOA) in reference to the main wind tunnel flow speed.

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angle (φ, ϑ)	deviation Δv_{rel}^{max} in %	deviation $\Delta \bar{v}_{rel}$ in %
(0°,0°)	1.1	0.6
(0°,5°)	1.7	1.0
(0°,30°)	3.5	1.8
(0°, -30°)	2.6	1.5
(5°, -5°)	1.5	1.0
(10°,10°)	2.8	0.9
(10°, -10°)	1.6	0.7
(15°,20°)	2.1	0.7
(20°,5°)	3.3	1.5
(20°, -40°)	8.6	4.9
(30°,0°)	7.3	4.1
(35°, -20°)	9.0	4.6
(35°,40°)	13.4	6.1
(45°,15°)	9.5	5.5
(50°, -5°)	10.6	6.7
(0°,0°)	1.4	1.0

875 **Table 3**

876 Maximum (Δv_{rel}^{max}) and mean values ($\Delta \bar{v}_{rel}$) of the percentual relative deviation of measured flow
 877 velocities under variable angle of attack (AOA) for the calibration curve of TFS 8 (cf. Fig. 5).

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879

angle (φ, ϑ)	deviation Δv_{rel}^{max} in %	deviation $\Delta \bar{v}_{rel}$ in %
(0°, 0°)	1.8	0.8
(0°, 5°)	4.6	3.3
(0°, 30°)	16.5	15.0
(0°, -30°)	16.1	15.3
(5°, -5°)	3.9	2.5
(10°, 10°)	7.3	6.2
(10°, -10°)	6.0	4.5
(15°, 20°)	8.4	7.0
(20°, 5°)	12.3	10.5
(20°, -40°)	33.4	31.1
(30°, 0°)	27.7	24.9
(35°, -20°)	38.1	35.1
(35°, 40°)	54.5	51.8
(45°, 15°)	47.2	44.1
(50°, -5°)	57.5	56.1
(0°, 0°)	3.8	2.1

880 **Table 4**

881 Maximum (Δv_{rel}^{max}) and mean relative deviation ($\Delta \bar{v}_{rel}$) of in-UCASS measured flow velocity versus
 882 the wind tunnel flow speed as a function of the UCASS's angle of attack (AOA) in reference to
 883 velocity ratio in zero-position (in correspondence to measurements shown in Fig. 6).



884

T_{amb} and		$275 \pm 0.3 \text{ K}$	$264 \pm 0.3 \text{ K}$	$254 \pm 0.3 \text{ K}$
corresponding	h (Fig. 7a)	$\sim 3930\text{-}3990 \text{ m}$	$\sim 5700\text{-}5790 \text{ m}$	$\sim 7250\text{-}7310 \text{ m}$
heights	h (Fig. 7c)	$\sim 3690\text{-}3740 \text{ m}$	$\sim 5490\text{-}5560 \text{ m}$	$\sim 7250\text{-}7320 \text{ m}$
in soundings	h (Fig. 7e)	$\sim 3700\text{-}3770 \text{ m}$	$\sim 5400\text{-}5490 \text{ m}$	$\sim 7230\text{-}7300 \text{ m}$
RS41 SGP	Δp	—	$\pm 1.0 \text{ hPa}$	—
	ΔT	—	$\pm 0.3 \text{ K}$	—
	Δh	—	$\pm 10.0 \text{ gpm}$	—
TFS	$v_{\text{TFS}}^{\text{COFF}}$ (Fig. 7a)	$6.1 - 6.3 \text{ m s}^{-1}$	$6.7 - 6.9 \text{ m s}^{-1}$	$5.9 - 6.0 \text{ m s}^{-1}$
	$v_{\text{TFS}}^{\text{COFF}}$ (Fig. 7c)	$6.3 - 6.4 \text{ m s}^{-1}$	$6.1 - 6.3 \text{ m s}^{-1}$	5.8 m s^{-1}
	$v_{\text{TFS}}^{\text{COFF}}$ (Fig. 7e)	$5.0 - 5.5 \text{ m s}^{-1}$	$6.3 - 6.4 \text{ m s}^{-1}$	$5.4 - 5.7 \text{ m s}^{-1}$
	Δv_{TFS}	$\pm 0.4 \%$	$\pm 0.4 \%$	$\pm 0.4 \%$
	$\Delta v_{\text{TFS}}^{\text{COFF}}$	$\pm 7.9 \%$	$\pm 8.8 \%$	$\pm 6.9 \%$
GPS	v_{GPS} (Fig. 7a)	6.7 m s^{-1}	6.7 m s^{-1}	7.6 m s^{-1}
	Δv_{GPS}	$-8.8 - -6.0 \%$	$0.0 - 3.5 \%$	$-29.3 - -27.7 \%$
	v_{GPS} (Fig. 7c)	6.6 m s^{-1}	6.4 m s^{-1}	6.5 m s^{-1}
	Δv_{GPS}	$-4.4 - -2.9 \%$	$-4.5 - -1.4 \%$	$-14.2 - -12.5 \%$
	v_{GPS} (Fig. 7e)	5.7 m s^{-1}	7.1 m s^{-1}	7.0 m s^{-1}
	Δv_{GPS}	$-14.1 - -4.2 \%$	$-12.8 - -10.8 \%$	$-30.8 - -23.2 \%$

885 **Table 5**

886 Summarised uncertainties 1) ΔT , Δp , and Δh (GPS-altitude) from RS41 SGP radiosondes, 2) the
 887 overall uncertainty $\Delta v_{\text{TFS}}^{\text{COFF}}$ that result from Gaussian error propagation (Eq. 1 and Eq. 2) and that
 888 combine uncertainties from the RS41 SGP data and conditions during TFS calibrations
 889 (considering only ΔT , Δp , ΔT_0 , and Δp_0) yielding Δv_{TFS} and b) the uncertainty (σ) in v_{TFS} obtained
 890 from cold chamber experiments (electronic supplement, Sect. S 1). 3) For three selected ambient
 891 temperature conditions (T_{amb} of 275 K, 264 K, and 254 K, from cold chamber experiments) the
 892 resulting deviations (Δv_{GPS}) of absolute v_{GPS} in reference to absolute $v_{\text{TFS}}^{\text{COFF}}$ from three vertical
 893 soundings (see Fig. 7 and adjacent text) are provided for comparison.

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