1 **Improving the accuracy in particle concentration measurements of a**
2 **balloon-borne optical particle counter UCASS**

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14 # 1 Abstract

15 For balloon-borne detection of aerosols and cloud droplets (diameter $0.4 < D_p < 40$ µm), a passive-
16 flow Universal Cloud and Aerosol Sounding System (UCASS) was used, whose sample flow rate is
17 conventionally derived from ~~GPS based~~ balloon~~'s~~ ascent rates using GPS or pressure
18 measurements. Improvements are achieved by implementing thermal flow sensors (TFS) 94 mm
19 downstream of the UCASS detection region for continuously measuring true UCASS sample flow
20 velocities. UCASS-mounted TFS were calibrated during wind tunnel experiments at up to 10 m s^{-1}
21 also under various angles-of-attack (AOA), as these vary during actual balloon ascents. It was
22 found that the TFS-calibration is determined with sufficient precision using three calibration
23 points at tunnel flows of ~ 2, 5, and 8 m s^{-1}, simplifying efficient TFS-upgrades of numerous UCASS.
24 In iso-axial alignment, UCASS flows are accelerated (by ~ 11.3 %) compared to tunnel flows (at
25 $2 – 8$ m s^{-1}). In-flight comparisons up to 7.5 km height revealed that UCASS sample flows rarely
26 match the balloon's ascent rate~~, instead,~~ Laboratory experiments show that equality ($v_{GPS} = v_{TFS}$)
27 is achieved only at AOA $\neq$ 0°, potentially affecting the UCASS-internal flow pattern and particle
28 transmission efficiency in flight. To minimise errors on calculated UCASS-based particle number
29 concentrations, real-time measurements of the true UCASS flow velocity are recommended.

2 Introduction

Weather balloon soundings are an established method to carry out in-situ measurements up to high altitudes in the atmosphere (e.g. Golden et al. (1986), Vömel and Fujiwara (2021), or Vömel and Ingleby (2023)). Balloons filled with helium or hydrogen can reach heights of up to 40 km. Due to a moderately variable ascent rate of ~ 5 - 6 m s^{-1} on average, the troposphere and stratosphere are probed with a higher vertical resolution compared to aircraft measurement due to the higher climb and descent rates of the latter (at least 6 m s^{-1} and usually more, cf. Eufar (2000)). In conventional operation, a radiosonde is used as payload deployed on a thin cord of about 50-60 m length below the ascending weather balloon. Radiosondes are used to directly measure atmospheric pressure, humidity, temperature, and wind parameters during the ascent, while the data are sent in real time via radio to the receiving station (see, e.g., Dirksen et al. (2020)).

~~In this way~~Balloon-based vertical soundings with radiosondes allow for characterising the current state of the atmosphere, from which atmospheric properties such as stability or stratification can be derived.~~; the current state of the atmosphere is profiled, which allows for deriving atmospheric characteristics such as, e.g., its stability or stratification~~. The payload of the weather balloon can be extended beyond the sole use of radiosondes, for example by including an ozone probe (cf. Smit et al. (2024) and references therein) and/or optical particle counters (Matsumura et al. (2001); Kasai et al. (2003); Smith et al. (2019); Kezoudi et al. (2021); Snels et al. (2021)). Both ozone, as an atmospheric trace gas, as well as aerosols and clouds have a major influence on the Earth system. Aerosols and clouds influence the Earth's energy balance (by absorbing and scattering short-wave radiation), the global water cycle (through the formation of precipitation) and the atmospheric dynamics (through the conversion of latent heat in the phase transitions of water). Considering, that aerosols and cloud droplets modify radiative fluxes (see, e.g., Stier et al. (2024) or Ipcc (2023)), a better understanding of their size, number and vertical distribution is required and the vertical distribution of aerosols and cloud droplets need to be frequently investigated. The University of Hertfordshire (UK) has developed an optical particle spectrometer for balloon soundings: The "Universal Cloud and Aerosol Sounding System" (UCASS, see Smith et al. (2019); Kezoudi et al. (2021); Girdwood et al. (2022); Girdwood (2023), Schön et al. (2024)) is used to detect aerosol particles and cloud droplets with diameters of 0.4 to 40 μm. The probe's measuring principle is described in detail in Sect. 3.

In general, the thought model underlies the balloon sounding, that the horizontal wind that drifts the balloon or influences its geographical position has almost no or a negligible influence in the inertial system of the balloon itself, unless gusty winds or strong wind shear prevail. Hence, regarding the horizontal wind component, there is almost no relative horizontal wind aboard the balloon or its payload. The predominant flow relative to the balloon and its payload that mainly drives the air flow through a UCASS is the vertical wind component, which in our case is determined by the balloon's lift, i.e. its ascent rate.

To calculate a particle number concentration from the particle number detected (per unit time) by a balloon-borne UCASS, the sample volume flow rate through the UCASS detection region must be known. Conventionally, the sample volume flow was determined using ~~the GPS-measured balloon~~ ascent rates ~~v_{GPS}~~ (Kezoudi et al., 2021) derived from GPS or pressure measurements. This approach has two major disadvantages: a) a zero horizontal wind velocity is inherently assumed, and b) changes in airflow caused by the instrument housing, or small fluctuations remain unconsidered. One goal of this work therefore is to modify a UCASS such that a thermal flow

76 sensor (TFS) is used to continuously determine the flow rates through a balloon-borne UCASS.
77 This enables the accurate and precise determination of particle concentrations (and inferred
78 microphysical quantities) at a higher confidence level. Beyond that, this study is a prerequisite
79 for investigations based on UCASS measurements during our balloon missions in Central Europe
80 during summer of the years 2023 and 2024. Ultimately, the proposed improvements may provide
81 support for UCASS applications by other users.

82 The present publication is structured as follows. First, the instrument is described. This is
83 followed by reports on the testing and characterization of the method of mechanically coupling
84 the TFS to the UCASS (Sect. 3.5.1). Subsequently, the experiments aiming at the optimum position
85 of the TFS within the flow through a UCASS are described (Sect. 3.5.2). The TFS are then calibrated
86 against a reference flow sensor (Prandtl pitot tube, PPt) within the flow through a UCASS (Sect.
87 3.5.3). Based on a numerical simulation, it has already been assumed that the flow velocity within
88 the iso-axially aligned UCASS would increase by 12 % as compared to the ambient air flow speed
89 of 5 m s^{-1} (Smith et al., 2019). Therefore, in this work the PPt-measured ambient flow velocities
90 and TFS-detected flow velocity inside the UCASS are compared (Sect. 4.2). The impact of a non
91 iso-axial alignment (i.e. when the angle of attack, AOA, towards the UCASS varies due to the
92 balloon-payload's pendulum motion) on the flow velocities through the UCASS and on the TFS
93 calibration curves are investigated (Sect. 4.3 and 4.4). Finally, balloon soundings with the
94 UCASS - TFS combination are used to prove the TFS performance under atmospheric conditions
95 (Sect. 5). The findings are summarised in the conclusion section.

3 Instruments and methods

3.1 Universal Cloud and Aerosol Sounding System (UCASS)

98 The UCASS is an optical particle spectrometer (nominal detection range $0.4 < D_\mathrm{p} < 40$ µm; Smith
99 et al. (2019), Girdwood (2023)). Due to its small size, low weight and comparatively low cost
100 (approx. 2700 € per unit), the UCASS is well suitable for balloon soundings. The UCASS is of
101 tubular shape with 183 mm in length and with an outer diameter of 64 mm at a weight of about
102 280 g. A hollow flow tube with quasi-elliptical cross-section of 40 mm × 30 mm diameter extends
103 along the longitudinal axis of the UCASS, through which the sample air flows and in which the
104 particles are detected. Particle detection with UCASS is carried out by optical detection of the
105 scattered light caused by particles upon crossing a diode-emitted laser beam. The optical system
106 of the UCASS defines a particle detection region of 0.5 mm^2 in size (Girdwood, 2023). In Fig. 1, the
107 principal setup of the UCASS instrument is illustrated, for more details see Smith et al. (2019),
108 Girdwood et al. (2022), or Girdwood (2023).

109 Particles are counted, which pass through the instrument's optically sensitive region along the
110 detection laser. The ratio of the scattered light generated by a penetrating particle is determined
111 by means of two annular elements in the detector optics. This signal ratio is judged according to
112 whether a detection event contributes an accepted count value or not. Based on scattered light
113 intensity, the accepted counts are categorised into size classes (16 bins) using size-based look-up
114 tables obtained by calibrations and based on Mie theory. Depending on the chemical composition
115 of detected particles, different refractive indices must be considered, for example between
116 1.31+0j (water) and 1.52+0.002j (Saharan dust) (see Girdwood (2023) for further, more specific
117 details) whilst for Saharan dust also other values are found, e.g. 1.53−0.0015j (Kandler et al.,
118 2007). Knowledge of the flow velocity and the dimensions of the sensing volume is required to
119 determining accurate particle concentrations.

3.2 The thermal flow sensor (TFS)

The thermal flow sensor (TFS) model "FLW-122" for the gaseous media by B+B Thermo-Technik GmbH was used for this study. The TFS has a length of 6.9 mm, is 2.4 mm wide, and 0.2 mm high (without electrical connections). The TFS surface consists of two platinum resistor elements, one of which is the heater and the other is the reference element. The heater is a small-sized low-resistance element ($R_H(0\ °C) = 45\ \Omega$), the reference element ($R_S(0\ °C) = 1200\ \Omega$) is of high electrical resistance. The two platinum resistors are interconnected and are adjusted via applied voltage to a specified temperature difference. The heat dissipation from the heater to the environment corresponds to the energy loss per unit of time, which in turn corresponds to the power P converted in the resistor.

The temperature of the heating resistor decreases with increasing rate of flow surrounding the TFS. As platinum is a PTC thermistor, the conductivity of the resistor increases as the temperature falls, hence the resistance of the heater decreases. To counteract this and to maintain the differential temperature between heater and reference, the voltage is increased. The higher the flow velocity, the higher is the voltage (hereafter: signal voltage) required to maintain the temperature difference. According to the sensor data sheet (B+B-Thermo-Technik, 2016), the sensor's response sensitivity is 0.01 m s^{-1} with an accuracy of better than 3 % and a temperature sensitivity of usually less than 0.1 % K^{-1}. The raw TFS data is recorded with a resolution of at least 4 Hz and then averaged to the common 1 Hz data basis. Thus, most important variabilities in the UCASS-internal flow should be captured in sufficient resolution. In addition, low-frequency influences such as the pendulum motion (order of magnitude 0.1 Hz) and the rotation (of 1 Hz at most) of the balloon payload are also temporally resolved. Further detailed information on the TFS (e.g. concerning power and sensitivity of the implemented Z-diode) may be found in the manufacturer's manual (B+B-Thermo-Technik, 2016).

For TFS calibrations, the following parameterisation, a modified form of King's law (Guellouz and Tavoularis, 1995), is often used:

$$U^2 = A + B \cdot v_{\text{TFS}}^N\,,\qquad\qquad 1$$

where A (in V^2), B (in V^2 s m^{-1}), and N (dimensionless) are coefficients determined by calibrations, v_{TFS} is the flow velocity (in m s^{-1}) and U is the electrical output voltage (V). Rearrangement of Eq. 1 leads to the desired expression for the flow velocity (here v_{TFS}) as a function of measured voltage. King's law, a combination of Nusselt number and Reynolds number (see Cardell (1993) or Bearman (1971)), is primarily influenced by temperature fluctuations due to the temperature dependence of air's thermal conductivity and the dependence of the kinematic viscosity on the air density. Extensions of this equation by correction terms allow for considering changes of ambient temperature (see Cimbala and Park (1990)). On the one hand, these corrections apply to significantly warmer temperatures (300 – 307 K) than relevant for balloon soundings, and on the other hand require a set of temperature measurements, including the accurate temperature of the TFS surface itself. The TFS calibrations to assign a flow velocity to the output signal were carried out under laboratory conditions. To account for the dependence of the in-flight flow measurement on air density, a corresponding correction (dependent on static air pressures and ambient temperatures upon vertical sounding) is applied to measured data during post-flight analyses.

162 Based on the ideal gas law and on laboratory conditions during calibrations (p_0, T_0, and humidity
163 expressed as virtual temperature), the correction $v_{\text{TFS}}^{\text{corr}}$ is obtained by multiplying v_{TFS} (according
164 to Eq. 1) with a correction factor (at given ambient conditions p_{amb}, T_{amb}):

$$v_{\text{TFS}}^{\text{corr}} = v_{\text{TFS}} \frac{p_0}{p_{\text{amb}}} \cdot \frac{T_{\text{vi}}}{T_0} \qquad\qquad 2$$

166 The virtual temperature T_{vi} was used instead of the ambient temperature T_{amb}. Hence, any change
167 in air's molar weight, air's mass density, and heat capacity due to water vapor load is accounted
168 for. As long as no phase conversion occurs the temperature ratio also reflects the current moisture
169 conditions in the atmosphere.

170 In addition, a cold chamber test was carried out at various temperatures down to $-20°C$
171 (electronic supplement, S1) to investigate the sensitivity of the TFS system (particularly its
172 electronic compounds) to significantly colder temperatures than those under laboratory
173 conditions.

174 To mount the TFS on the UCASS, a 3D-printed polylactide case was produced. This was precisely
175 adapted to the dimensions of the inner flow tube and the outer shape of the UCASS and extends
176 the total length of the UCASS by a further 49 mm (cf. Fig. 2).

3.3 The Prandtl-Pitot tube

178 The Prandtl-Pitot tube (PPt) model "TSI 8710 plus" (re-calibrated in July 2022 for flow velocities
179 of $1 - 10$ m s^{-1}) by TSI Inc. was used as reference instrument for calibrating the TFS. Via the pitot
180 tube's entry, aligned against the direction of flow, the stagnation pressure (the sum of the dynamic
181 and static pressure components) is measured. The outer tube of the Prandtl-instrument with
182 ring-shaped perforation, allows for measuring the static pressure component. Conversion of
183 Bernoulli's theorem to resolve to the flow velocity, leads to:

$$v_{\text{PPt}} = \sqrt{\frac{2\left(p - p_{\text{stat}}\right)}{\rho}} \,, \qquad\qquad \mathbf{3}$$

185 where p_{stat} is the static pressure (in hPa), ρ is air's mass density (in kg m^{-3}), v_{PPt} is the flow velocity
186 (in m s^{-1}), and p is the stagnation pressure (in hPa). According to the PPt's calibration certificate
187 an uncertainty of generally less than 1.5 % (at 1 m s^{-1}) is to be expected, which decreases to
188 ~ 0.6 % at a flow velocity of 10 m s^{-1}.

3.4 The wind tunnel

190 The calibrations have been conducted in the horizontal wind tunnel of the JGU Mainz. This facility
191 (schematic in the electronic supplement, Fig. S 3) has a total length of 4.6 m and a circular outlet
192 opening of 0.64 m. The maximum achievable wind speed is approximately 20 m s^{-1} generated by
193 a 12-bladed impeller rotor in combination with a 14-bladed stator, both of which have an outer
194 diameter of 0.8 m. The rotor hub (0.4 m in diameter) is encased by a tapered cone downstream of
195 the stator to aerodynamically optimise the transition from the impeller housing (total length
196 1.25 m) to the flow channel (3.41 m length). The flow channel widens at 7° towards the horizontal
197 over a horizontal distance of ~ 2.0 m. In the area of the maximum channel diameter, a gauze, and
198 a honeycomb mesh with a total thickness of 0.1 m are installed to laminarise the flow. Further
199 downstream, the flow channel diameter reduces via a radiused narrowing to compress the air
200 flow. The channel's outlet with a constant diameter of 0.64 m extends over a distance of 0.35 m.

3.5 Calibration setup and procedures

Generally, all setups for the TFS calibration were placed centrally within the wind tunnel's laminar exit flow and in line with the tunnel's ring outlet edge to prevent potentially forming turbulence from affecting the calibration.

3.5.1 TFS – PPt interactions

For exploring whether an extension of the UCASS by the TFS housing (with installed TFS) causes a measurable impact on the flow at the position of the UCASS optical detection region, a 3D-printed replica of the UCASS housing was used. Holes were drilled into the housing replica at two different positions along its longitudinal axis in order to 1) place the PPt inlet at the position of the optical detection area within the flow tube and 2) place the PPt inlet inside the TFS housing at the TFS's position while replacing the TFS. The replica housing was lacking all optical elements (laser diode, mirrors or photodiodes) that an operational UCASS comprises; all bulges along the flow tube's inner wall were evened out for this experiment.

Furthermore, a thermal anemometer (TA, by TSI Inc., model TSI 8455-300-1) was used to control the ambient flow generated by the wind tunnel. The TA was calibrated against the PPt in the free tunnel flow at flow velocities of 2 – 8 m s^{-1}. Then the experimental setup of the UCASS replica with integrated PPt was aligned iso-axially in the central flow of the wind tunnel. The experiments carried out are listed in Table 1.

The experiments were conducted at ambient wind tunnel air flows of $2 \leq v < 10$ m s^{-1} in increments of ~ 1 m s^{-1}. 10~~Ten~~ individual measurements were averaged for each of the seven different wind speeds. The result of this experiments is shown in Fig. S 4 as correlations between TA-measured flow speeds (v_{TA}) against those from the PPt (v_{PPt}) within the UCASS flow tube. Notably, the UCASS tube flow velocity was generally increased compared to ambient wind speeds if the UCASS is iso-axially aligned with the ambient flow field, which was previously described by Smith et al. (2019) and confirmed during calibrations presented herein (see Sect. 4.2).

This experiment series demonstrate that applied modifications (extension of the flow tube geometry and implementation of the TFS) have hardly any measurable influence on the flow through a UCASS. At balloon ascent velocities, usually well below 10 m s^{-1}, none of the data series stood out from the statistical uncertainty. Therefore, the technical modification proposed herein is expected to have negligible impact on the measurement performance of the UCASS.

3.5.2 Cross sectional flow profile

For the following, a TFS housing shell without installed TFS was mounted on a UCASS to exclude any obstacle for the air stream through the flow tube. The PPt was attached near the outlet of the TFS housing so that the PPt inlet was iso-axially aligned and positioned as far as possible inside the flow tube along the longitudinal axis of the UCASS. Thus, along the setup's longitudinal axis, the PPt inlet was located at the point where the TFS would normally reside. The PPt was moved perpendicularly to the flow and in small increments (~1-2 mm) along a line between the two extremes of the flow tube's elliptical cross-section. The flow speed in the wind tunnel was kept constant, and for a single data point the average of 15~~fifteen~~ velocity measurements was taken at each PPt position. The profile measurements of the tube flow profile were carried out for a tunnel wind speed of about 5 m s^{-1} and 7 m s^{-1}.

Figure 3 shows corresponding results~~. where the horizontal bar indicates the maximum of the standard deviation σ of the PPt data obtained from this measurement series.~~ The maximum

244 standard deviation in PPt's ~~the~~ position ~~of the PPt~~ is estimated to be 0.2 mm and is shown together
245 with the maximum standard deviation of the PPt data obtained from this series of measurements
246 ($\sigma_{max} = 0.05$ m s^{-1}) in the bold-framed box. The position where the TFS would be aligned (if -
247 except for this experiment – installed and adjusted by means of a positioning device) is marked
248 by the grey-shaded area in Fig. 3. The flow profiles exhibit asymmetry. The boundary layer at the
249 positive end of the y-axis seems broader than at its negative end. The reason for this is most likely
250 the quasi-elliptic but asymmetric flow tube cross section of the UCASS flow tube. As the ambient
251 flow increases, an increased boundary layer thickness is visible in the UCASS internal flow profile.
252 Moreover, the flattening of the flow profile is clearly visible towards the centre of the flow tube.
253 For typical UCASS flow rates (here ~ 2-10 m s^{-1}) this demonstrates, that at intended installation
254 position (grey shaded area), the TFS flow measurement occurs in the free tube flow of the UCASS.
255 Notably, the determined cross-sectional profile applies to a straight-line flow (i.e. the AOA equals
256 zero). Under variable AOA (see Sect. 3.5.4) and at the selected TFS position any wall effects still
257 have the least influence on the TFS measurement compared to other positions along the tube's
258 cross-section.

3.5.3 Iso-axial flow calibrations

260 With the iso-axially aligned setup (see beginning of Sect. 3.5) and with the PPt inserted from the
261 rear into the UCASS/TFS housing, such that the PPt inlet is positioned close to the TFS (cf. 3.5.2
262 and Fig. 2b), the flow calibrations were performed. The TA installed in the free wind tunnel flow
263 (outside the UCASS housing, see 3.5.1) was used to control the ambient flow speed.

264 The TFS calibration comprised a series of measurements at different flow velocities. From slightly
265 more than 0 m s^{-1} stepwise increasing flow velocities were set in the wind tunnel until ~ 10 m s^{-1}
266 was reached. About 25 measured values were recorded for the calibration of a single TFS. As
267 disturbance-related fluctuations occurred in the measured values during the calibration of both
268 the PPt and the TFS, 15~~fifteen~~ measured values were recorded for each of the sensors at each
269 ambient flow speed set. Mean values and standard deviations were determined from these PPt
270 and TFS data. Each TFS was calibrated individually, and the results of these calibration series are
271 summarised in Sect. 4.1 ff. After changing the TFS, the iso-axial and centred alignment of the
272 experiment setup at the wind tunnel outlet was frequently checked and readjusted if necessary.

3.5.4 Flow calibrations under variable AOA

274 For balloon soundings, the payload (incl. UCASS) is usually attached approx. 60 metres (via
275 unwinder, model "UW1" by Graw GmbH & Co. KG) below the balloon on a cord. The long cord is
276 intended to dampen the pendulum motion of the payload. Due to the vertical offset between the
277 balloon and the payload, also a horizontal offset between both ballon and payload occurs
278 depending on the horizontal wind speed. The balloon may lead the payload by a certain horizontal
279 distance, unless the horizontal wind speed is zero. Hence, during the balloon's ascent and with
280 horizontal winds the UCASS is likely inclined at a certain angle relative to the direction of vertical
281 lift. In addition, pendulum motion and rotations of the payload during flight cannot be completely
282 prevented, which can lead to a variable alignment of the UCASS relative to a straight ascent due
283 to several superimposed effects. Therefore, the impact of inclinations of the UCASS with respect
284 to the direction of flow on 1) the TFS calibration and 2) on the flow velocity within the UCASS is
285 to be quantified.

286 The experimental setup was aligned and positioned as described previously (beginning of Sect.
287 3.5). The iso-axial alignment corresponds to the zero position ($\varphi = 0°$, $\vartheta = 0°$). As the UCASS flow
288 tube is mirror-symmetrical along the vertical axis, the UCASS was horizontally inclined only along

289 the positive x-axis (φ) for changing AOA. Due to the quasi-elliptical shape of the UCASS flow tube,
290 the effects of the flow angle in y-direction (ϑ) were analysed for both the positive (UCASS inlet
291 points upwards) and the negative (UCASS inlet points downwards) deviation from zero. Figure 4
292 illustrates the measurement setup and provides an example of the angular distribution under
293 which the UCASS was aligned relative to the ambient flow field. Note, that the frame for holding
294 the UCASS/TFS setup also fixes the PPt (marked in Fig. 4a), which remains aligned with the UCASS
295 longitudinal axis and is positioned as close as possible at the TFS. Any angular change in the flow
296 direction to the UCASS inlet therefore affects the entire assembly (cf. Fig. 4c). At the beginning of
297 each measurement series, data were recorded for five different ambient flow speeds in the zero
298 position (0°, 0°) to verify the calibration curve of respective TFS used. The angles were then set
299 within the angle grid shown in Fig. 4b. 15Fifteen measured values of the PPt and the TFS were
300 recorded for five different tunnel flow speeds between about 3 and 7 m s^{-1} and the mean value
301 with standard deviation were determined from PPt and TFS data. The results are summarised in
302 Sect. 4.3. An attempt was made to reproduce the set tunnel flow velocities for each measurement
303 series at varied AOA, which allowed also for investigating the relationship between the flow
304 velocities outside and inside the UCASS housing at variable AOA (see Sect. 4.4).

3.6 UCASS internal flow estimates

306 Besides the counted and classified particles, UCASS also records the particles' average beam
307 transit times (named MToF, Mean Time of Flight) in four different size ranges. In principle, these
308 beam transit times could be used to estimate the flow speed in the measurement volume and
309 therefore would provide another option for a sampling volume assessment. Such an approach is
310 used for example in connection with the particle detectors by Alphasense OPC-N2 and OPC-N3
311 (Bezantakos et al., 2020). We therefore correlated the measured TFS velocities with the inverse
312 of the different MToF values reported for each interval, when particles were detected. A
313 considerable number of apparently clipped values (piling at the apparent bottom and top end of
314 the measurement scale) were removed. Still, while there was a very weak correlation found
315 between the inverse MToF and the TFS, the huge variations, the clipped values and the scarcity of
316 data - in most 0.5 second intervals no particles are detected - prevent this approach from being
317 used as a measurement for a flow rate in UCASS.

4 Calibration results

319 To this point, it has been demonstrated that

320   1) the insertion of the TFS into the flow tube of the UCASS has no measurable impact on its
321   particle-sensitive area (Sect. 3.5.1) and
322   2) as the TFS installation is achieved by using a positioning device it is systematic for all TFSs
323   used whose measurements occur outside the tube's boundary layer in the central UCASS
324   flow.

325 Hence, the assumption seems appropriate that integrating TFS into the UCASS flow tube did not
326 generate any additional interferences for the calibrations discussed hereinafter.

4.1 High resolution (HRC) and three-point calibration (TPC) of the TFS

328 According to the functional relationship described in Eq. 1 the measured TFS output voltage is
329 calibrated versus the PPt-measured flow velocity, which is used hereafter as the calibration curve
330 of a TFS (further details in Sect. S 2 in the electronic supplement). For each measuring point, the

mean value plus (minus) the associated standard deviation - i.e. the extremes of variability - was inserted into the calibration curve function yielding a deviation from the averaged measurement point by not more than 0.08 m s^{-1} corresponding to a percentage maximum of 1.3 %. The results show that the different TFS exhibit very similar behaviour and that the parameterisation of the calibration curve function is valid for all calibrated TFS. Table S 1 (see electronic supplement) lists the parameterisation coefficients for ~~each~~the TFS analysed in the calibration results. Repeated calibration series with a larger time offset and with the same TFS after disassembly/reassembly of the setup also reproduced previous values within 1.8 % at maximum, and on average within 1 %, in the relevant range of ambient flows. However, the measurements of the calibration curves were likely influenced by the ambient temperature and pressure conditions in the laboratory as both affect air's mass density, which in turn controls the heat advection at the TFS.

In the process of these ~~relatively~~ high-resolution calibrations (calibrations with up to 25 data points and in the following denoted as HRC) and analyses of the calibration curves, it was found that only three calibration points (at ~2, 5, 8 m s^{-1}) reproduce the calibration curve with acceptable accuracy (see electronic supplement, Sect. S 2, for details). For the TFS used (here: TFS 8), and at tunnel flow speeds between 2 and 8 m s^{-1}, the three-point calibration (in the following denoted as TPC) curves did not deviate by more than 1 % from the highly resolved initial calibration curve.

4.2 Internal versus external flow velocity

The PPt was repositioned next to and slightly upstream of the UCASS inlet to measure in the free flow of the wind tunnel (setup is shown in Fig. S 5). The UCASS-integrated TFS 8 had previously been calibrated with the PPt to have a calibration curve (TFS 8.2 (TPC) see Table S1) under the same laboratory conditions as the experiment. The UCASS was aligned iso-axially to the ambient flow of the wind tunnel and centred at the annular outlet of the tunnel. The wind tunnel flows were stepwise increased from slightly more than 0 to up to 11 m s^{-1} and then decreased with a total of 17~~seventeen~~ different settings. For each of the ambient flow speeds, 15~~fifteen~~ velocity values were recorded as measured by the PPt to be averaged. In Fig. S 6, the PPt-measured flow velocity outside the UCASS is displayed in reference to the UCASS internal flow velocity determined with the TFS 8. The velocity range relevant for balloon soundings (2 - 8 m s^{-1}) is indicated by the black dashed square.

Within this range, 10~~ten~~ different values of ambient flow speed were set for each measurement of this series. The standard deviation σ of the PPt data range between 0.01 and 0.04 m s^{-1}. Only the mean value of the TFS output voltage was noted, which resulted from the automated averaging by the multimeter used. During the TFS 8 calibrations, standard deviations of at the most 0.08 m s^{-1} were obtained corresponding to a maximum relative deviation of 1.3 % (extremes of variability, cf. first paragraph in Sect. 4.1). A first-order polynomial fit was created for the recorded measurement. The resulting line-fit indicates that in an iso-axial arrangement the UCASS internal flow velocity exceeds the outside flow speed. Calculated from 10~~ten~~ data points at external wind velocities between 2 and 8 m s^{-1}, the UCASS internal flow velocity is increased by 10.7 to 11.9 %, with an average relative deviation of 11.3 %, as compared to the external flow speed.

This result quantitatively compares well with the results of Smith et al. (2019), who simulated an increase in the UCASS internal flow velocity by 12 % under an iso-axial alignment of the UCASS and at an ambient flow speed of 5 m s^{-1}. Taking into account the standard deviations of averaged

375   data points determined here may put the small deviation between previous and current results
376   into perspective.

377   However, in balloon-borne UCASS field applications, the balloon~~GPS-based~~ ascent rate is usually
378   used to determine the sample volume flow and thus the measured particle number concentrations
379   (e.g. Kezoudi et al. (2021)). So, when a UCASS was iso-axially aligned during a straight balloon
380   ascent at rates of 2 to 8 m s^{-1}, the GPS or pressure-based calculation would lead to an
381   underestimated UCASS sample volume flow by about 11-12 % and the particle number
382   concentrations would be overestimated accordingly. Therefore, from current point, a direct
383   measurement of the UCASS internal flow velocity during flight appears useful, but as will be shown
384   (Sect. 5), the conditions during balloon soundings are more complex and the necessity for a flow
385   velocity detector integrated into the UCASS gets all the more obvious.

4.3 UCASS internal flow velocity under variable AOA

387   Compared to previous experiment series, the UCASS was intentionally misaligned from the iso-
388   axial orientation (cf. Sect. 3.5.4).

389   Figure 5 shows the highly resolved calibration curve TFS 8. The mean values from experiment
390   series at five ambient flow speeds are also depicted for each AOA. The standard deviations are not
391   shown for the sake of clarity of the figure. The error in setting the UCASS deflection angle was
392   estimated to be ±0.5° in each case. In the zero position (0°, 0°), which was calibrated once at the
393   beginning and at the end of the series, the σ-values ranged between 0.002 and 0.003 V (TFS 8
394   output voltage) or between 0.02 and 0.04 m s^{-1} (PPt). With increasing AOA, the standard
395   deviations (σ) rose to a maximum of 0.025 V (TFS 8) and 0.09 m s^{-1} (PPt). Hence, the fluctuations
396   of measured variables generally increased with increasing AOA.

397   Based on the TFS 8 calibration curve, the flow velocities $v_{\mathrm{TFS}}^{a}(U)$ were determined with the mean
398   TFS output voltage values ($\overline{U}$). These are compared with the flow velocities $v_{\mathrm{TFS}}^{b}(U)$ resulting
399   from the extreme values of standard deviation at each mean output voltage (i.e. $\overline{U} \pm \sigma$). The
400   maximum standard deviation range observed was ± 0.31 m s^{-1} (8.3 %). Oscilloscope records of
401   the variations in the TFS output voltage over 20 s clearly indicated an increasing scatter intensity
402   when varying the AOA of UCASS, e.g. from (0°, 0°) to (20°, -40°). The output voltage fluctuations
403   were invariant in time (in particular non-periodic) and stationary, i.e. rather of a statistical nature.

404   The mean values of the TFS measurements were inserted into the calibration curve function of
405   TFS 8 to determine the flow velocities (v_{TFS}) to be juxtaposed to the mean PPt-measured flow
406   velocities (v_{PPt}). The flow velocity determined with the calibration curve was used as the reference
407   and an error is considered with

408    $$\varepsilon = \frac{v_{\mathrm{TFS}} - v_{\mathrm{PPt}}}{v_{\mathrm{TFS}}} \cdot 100, \qquad\qquad 4$$

409   out of which the maximum percen~~tal~~tage error and its mean resulted for each AOA. Table 3 shows
410   the maximum and the mean of the relative percentage deviation of measured values at variable
411   AOA compared to the calibration curve TFS 8. At AOA of up to 30°, the maximum relative
412   percentage deviation was 3.5 %, and above 30°, the relative percentage deviation of measured
413   values from the calibration curve increased significantly. At such large AOA, the σ of TFS-
414   measured voltages also rose substantially. During balloon sounding, UCASS inclinations of more
415   than 30° from the vertical are not expected. In an earlier study (Smith et al., 2019), a model was
416   used to simulate the angular inclination of the UCASS in relationship to the direction of ascent.

417 Initial pendulum oscillations of up to ± 20° were assumed, which were damped to around ± 5°
418 within about 30 seconds. If these conditions occurred at an actual balloon sounding with UCASS,
419 the percentage relative deviation of measured velocities as determined here (based on the TSF 8
420 calibration curve) would be a maximum of 2.8 % under variable AOA smaller than 20°. Hence,
421 even at UCASS inclinations of up to 20°, the particle concentration is affected by this angle
422 deflection with a maximum uncertainty of less than 3 % (maximum relative deviation). To validate
423 the TFS 8 data series, the measurements were repeated with TFS 7 in the same setup.
424 Corresponding results are summarised in Sect. S 3 (electronic supplement) representing a
425 qualitative and largely quantitative confirmation of the results and conclusions made.

4.4 Internal versus external flow velocity under variable AOA
427 In Fig. 6, the velocity of TA-measured ambient wind tunnel flows (as reference) is plotted against
428 the PPt-measured flow velocity inside UCASS. Standard deviations of 0.01 to 0.10 m s^{-1} were
429 determined for the ambient flow velocity between 2 and 8 m s^{-1}. For PPt data, σ-values ranged
430 from 0.02 to 0.09 m s^{-1}, which increased with rising ambient flow velocities and AOA. With
431 increasing AOA, the UCASS-internal flow velocity decreased. For AOA of (0°, 30°) and (0°, -30°),
432 and beyond, the UCASS-internal flow was slower compared to external flow velocities.

433 The UCASS-internal flows in zero-position (0°, 0°) were used as reference and parameterised by
434 a first-order polynomial fit. The comparison of a) the calculated flow velocity inside the iso-axially
435 aligned UCASS with b) its internal flow velocities at AOA of (0°, 30°) and (0°, -30°) revealed a
436 relative percentage decrease in the flow velocity of around 15 % (see Table 4). For an iso-axially
437 aligned UCASS, the internal flow is accelerated by on average ~ 11.3 % (see Sect. 4.2). The
438 contrary effects of a) a flow acceleration inside the iso-axially aligned UCASS and b) the
439 deceleration inside the UCASS when deflected from the zero-position are likely superimposed.
440 Thus, with a UCASS inclination of 30° during a balloon sounding, the internal UCASS flow velocity
441 is reduced by around 4 % net compared to the external flow. In turn, at such UCASS inclination, a
442 particle number concentration calculated with the GPS or pressure-based ascent velocities
443 underestimates the prevailing trace material concentrations by only about 4 % under these
444 conditions.

445 At a certain deflection angle (30°, 0°) a noticeable but undeterminable interference effect appears
446 to have impacted the laboratory experiments. With increased deflection of the UCASS, the inlet
447 opening of the UCASS is shifted out of the central wind tunnel flow and towards the tunnel's wall.
448 With decreasing distance to the wall of the wind tunnel, effects of flow disturbances cannot be
449 ruled out, which could influence the relative deviation from the calibration curve. Potentially,
450 signal fluctuations are also amplified by flow disturbances that occur at the leading edge of the
451 UCASS entrance when the UCASS is deflected too far from the zero-position. The TFS appears to
452 be sensitive to such fluctuations. In addition, an influence of wind gusts on the experiment cannot
453 be excluded, as the wind tunnel is operated inside a building, but has connections to the building's
454 exterior to enable enhanced wind tunnel flows.

5 Ambient measurements
456 Ambient balloon soundings were carried out with two UCASS instruments, such that two TFS
457 were operated in parallel during respective ascent. The balloons' ascent rate is determined from
458 both the static pressure measurement (v_p) and the GPS data, each of which is recorded
459 independently. The static pressure in flight, resolved at 1 Hz, is converted to barometric altitudes

460 by the internal primary data processing in the VAISALA sounding system, as recalculations (based
461 on Sonntag (1994)) confirm. GPS and pressure-derived ascent rates correlate very well
462 (regression yields a slope of one and a constant element of - 0.1 m s^{-1} with a coefficient of
463 determination r^2 of 0.99).

5.1 Vertical profiles

465 Figure 7 shows vertical profiles of three balloon soundings launched from Tailfingen (Germany),
466 at ~ 900 m above sea level (a.s.l.) in August 2023. The profiles allow for comparing 1) the balloon
467 ascent rate from the GPS measurements v_{GPS}, 2) the balloon ascent velocity from the static
468 pressure measurements v_p, and 3) the flow velocities through the two UCASS units (TFS A°1 and
469 TFS B°2) operated on each ascent. Results from other balloon soundings are shown in the
470 electronic supplement (Fig. S 10). The TFS used for the balloon soundings and their calibration
471 coefficients are listed in Table S3. The profiles are limited to an altitude of 7.5 km a.s.l., as at about
472 this height the ambient temperatures fell below the specified temperature limit (253 K, see B+B-
473 Thermo-Technik (2016)). Further laboratory tests are required to confirm the robustness of the
474 TFS measurements also at temperatures of 253 - 220 K or to reveal the need of further
475 corrections. As nearly all UCASS particle measurements were detected below 7.5 km, the
476 restricted height range nevertheless covers the relevant part of the flight. The data are low-pass
477 filtered by a 10ten-second running average.

478 A common feature of the vertical profiles is the stronger scatter of the GPS-derived ascent rates
479 compared to those based on pressure measurements. This might be connected to a larger
480 uncertainty inherent with the GPS. In general, however, both values are well-correlated. Up to
481 ~ 2 km of the balloon sounding of 12 August (Fig. 7a) the TFS measurements agree relatively well
482 with GPS and the pressure data (see also Fig. 7b). Below ~ 3 km, TFS A and TFS B deviate from the
483 pressure and GPS data by up to 30 %. Up to ~ 3.8 km, the different speed measurements from all
484 instruments are comparatively consistent. Above 3.8 km, the TFS measurements (again consistent
485 between TFS A and B) show periodically fluctuating and lower flow speeds (by up to 15 %, and
486 above 7 km altitude by up to 25 %) compared to those resulting from GPS and pressure.

487 During the earlier balloon sounding of 16 August (Fig. 7ed) and up to an altitude of ~ 2.4 km, the
488 TFS-based velocities are systematically higher (in peaks by up to 45 %, cf. Fig. 7de) than
489 corresponding values from GPS and pressure. In contrast to the previous case, the measurements
490 of the four sensors are quite consistent at altitudes between 2.4 and 5.3 km with deviations of
491 seldomly more than ± 10 %. Above ~ 5.3 km, the two independent TFS measurements, which
492 correlate quite well with each other, both deviate increasingly from the GPS and barometric data
493 with increasing altitude and show up to 15 % lower UCASS flows compared to the balloon ascent
494 rates. Above ~ 5.3 km, still quite consistent TFS measurements increasingly deviate from the GPS
495 and barometric data with increasing hight and indicate by up to 15 % lower UCASS flows
496 compared to the external wind velocity.

497 LThe balloon sounding on the afternoon ater balloon sounding of 16 August (Fig. 7eg) shows a
498 more or less satisfactory match between all four independent measurements only at the lowest
499 altitudes (up to ~ 1.3 km). shows only at the lowest heights (up to ~ 1.3 km) more or less
500 consistence of the four measurements. Between 1.3 and 2.7 km, the TFS- velocities are generally
501 higher (on average by 15 %, in peaks up to 25 %, cf. Fig. 7fh) than those derived from GPS and
502 pressure. Above 3.2 km, reversely the ascent velocities from pressure and GPS slightly exceed the
503 TFS data. At altitudes of 4.5-5.5 km and above ~ 6.2 km, GPS and pressure measurements
504 commonly reveal increased vertical velocities that rise from about 6 m s^{-1} to about 8 m s^{-1}. Within

505 these layers TFS-velocities are mostly 15 % (in peaks up to 25 %) slower than the ambient air
506 flow. The origin of these features in the GPS and pressure-based velocity profile apparently has, if
507 any, only minor impact on the TFS measurements.

508 These examples suggest that over large parts of the vertical sounding (up to 7.5 km altitude) the
509 flow velocity within the UCASS (and thus through its optically sensitive particle detection region)
510 does not match the GPS or *p*-derived ambient flow velocity. For each of the vertical soundings
511 shown in Fig. 7, the speeds of the horizontal wind components (*u* and *v*) and the sum of both as
512 total wind speed are also displayed in vertical profiles (Fig. 7 c, f, i). Occasionally, changing wind
513 speeds appear to be reflected in changing velocity ratios (e.g., the decrease in *u* at 4 km and 6 km
514 in Fig. 7 c or the features in *u* at 5 km in Fig. 7 f and at ~ 6 km in Fig. 7 i), which would indicate a
515 certain correlation. However, a general causality between changing wind speeds and the ratio of
516 TFS-based to *p*-based flow velocities through the UCASS cannot be inferred without knowledge of
517 the actually resulting AOA at which the UCASS are sampling. Besides wind speeds, also stability
518 (Brunt-Väisälä frequency N^2), turbulence (Richardson number, *Ri*), and wind shear as function of
519 altitude (not shown herein) were investigated concerning their potential relationship with the
520 variable velocity ratios, but none of general validity could be identified.¶

5.2 Implications of observed features

522 Influences on the UCASS-internal flow speed and pattern due to an additional installation of a TFS
523 in a housing extension, if any, are negligible (Sect. 3.5.1). The sensitive area of the implemented
524 TFS is located within the free tube flow through a UCASS, i.e. outside the boundary layer of the
525 UCASS flow tube's inner walls (Sect. 3.5.2). Moreover, with an iso-axial alignment of the UCASS,
526 the inner flow is accelerated by ~ 11.3 % when compared to the ambient flow velocity around the
527 UCASS (Sect. 4.2), which is in general agreement with earlier findings (Smith et al., 2019). As
528 discussed in Sect. 4.4, with increasing angular deflection of the UCASS from the iso-axial
529 orientation, the flow velocity inside a UCASS is decelerated towards values of the ambient flow
530 speed and below.

531 The payload as used during the field mission had a total weight of up to 3.9 kg. About 10,000 litres
532 of helium are required for the balloon to yield enough buoyancy for desired lift. Under conditions
533 with horizontal winds, the effective surface area of the filled balloon is many times larger than
534 that of the payload structure (<0.1 m^3). When fully unwound, the flexible cord between the
535 balloon hull and the payload extends up to ~ 60 m. During its ascent from the ground through the
536 boundary layer, the balloon hull (with a larger surface area compared to other components of the
537 balloon assembly, which is moreover located several dozen meters above the payload) is
538 frequently exposed to varying wind speeds (cf. Fig. 7). With increasing altitude and decreasing
539 ground friction, the (variable) wind shear increases equally. Therefore, during its ascent, the
540 balloon assembly generally tilts relative to the vertical as soon as horizontal wind speeds other
541 than zero prevail, as shown by the horizontal displacement between two radiosondes at opposite
542 ends of a balloon payload ensemble with a total length of ~62 m ~~During ascent from ground~~
543 ~~through the boundary layer, the balloon (with larger surface area and several tens of meters~~
544 ~~above the payload) is frequently exposed to different wind speeds due to (variable) wind shear~~
545 ~~as compared to the payload because horizontal winds increase with increasing height and~~
546 ~~decreasing ground friction. Therefore, during an ascent under any non-zero horizontal wind~~
547 ~~speed, this structure will generally be inclined with respect to the vertical as indicated by the~~
548 ~~horizontal displacement between two radiosondes implied at the opposite ends of one balloon~~
549 ~~payload ensemble of ~ 62 m length in total~~ (see Sect. S 4 in the electronic supplement).¶

Above the boundary layer, the balloon's much larger surface area may cause it to act as a sail in prevailing horizontal winds, causing the payload with a much smaller surface area to be towed behind. Therefore, the balloon is most times slightly dislocated with respect to the resultant wind vector compared to the payload, the payload will inevitably be inclined relative to the wind vector over large parts of the balloon sounding.

Based on the ratios of the TFS measurements compared to the ascent velocities from GPS and pressure measurements, different scenarios arise over a flight, in which

1. The flow velocities through the UCASS are increased compared to the ascent velocities: as if the deflection angle of the UCASS relative to the vertical was comparatively small or close to an iso-axial alignment of the UCASS within the ambient flow,
2. The flow velocities through the UCASS are within the variability range of the GPS and pressure measurements of the ascent velocities: for this, a deflection of the UCASS of about 20°-30° would have to be attained (cf. Fig. 6 and Table 2) while an enhanced uncertainty is hereby accounted for by using the TA instead of the PPt for measuring the wind tunnel flow speed, as the PPt measured at that time inside the UCASS housing,
3. A flow velocity through the UCASS that is smaller than the GPS or pressure-based ascent velocities: for this, a deflection of the UCASS of about >30° would have to be reached.

For the last of these points in particular, the required deflection angles of the UCASS appear extreme to clearly explain observed velocity ratios. In addition, pendulum movements of the payload around a position in the inclined state (i.e. without swinging through the pendulum's equilibrium, which would correspond to an iso-axial, strictly vertical UCASS alignment) could generate a continuously variable orientation of the UCASS in the flow. Moreover, it was observed that the payload can rotate around its vertical axis (in line with the balloon cord). The superposition of these movements and others resulting from the variability of the payload's lift (e.g. indicated by fluctuations in GPS and pressure-based ascent rates) may lead to various influences on the flow through the UCASS, which currently are neither separable nor quantifiable. As the ascent speed is mainly determined by the balloon's buoyancy, drag force, and gravitation, the resulting wind situation at the payload may differ from that at the balloon due to small scale atmospheric variability (e.g. turbulence).

During balloon soundings, the flow velocity through the UCASS is subject to complex influences and is largely decoupled from the pure vertical velocity (i.e. the ascent rate) of the balloon. The high variability of the flow velocity measured during ascent directly inside the UCASS emphasises the importance of such a direct measurement. The frequent deviation of the UCASS flow speed from the GPS or pressure-based vertical velocity is one further argument in favour of implementing an independent flow sensor inside the UCASS. The GPS or pressure-based ascent rates deviate by values in the range of metres per second from the UCASS internal flow velocity. Based on a velocity of 6 m s^{-1}, a deviation by ± 0.5-2 m s^{-1} results in an error of ± 10-30 % and a corresponding over- or underestimation of the resulting particle number concentration.

Table 5 summarises the main uncertainties arising from the different methods for determining a flow velocity through UCASS and which have equal quantitative impact on resulting particle number concentrations. From the Gaussian error propagation of the relationship given in Eq. 1 and Eq. 2 an overall uncertainty $\Delta v_{\text{TFS}}^{\text{corr}}$ combines from 1) the uncertainties in T and p measured with a radiosonde RS 41 SGP (Vaisala, 2024) also including uncertainties arising from the TFS calibrations conditions (in p_0 and T_0) and 2) the uncertainty Δv_{TFS} (i.e. determined σ) obtained

from the cold chamber test at different ambient temperature conditions (cf. Sect. S 1 in the electronic supplement). For three chosen temperatures (i.e. 275 K, 264 K, and 254 K) from these chamber experiments the corresponding deviations of the GPS-based flow velocities (Δv_{GPS}) from corresponding $v_{\mathrm{TFS}}^{\mathrm{corr}}$ are determined at equal temperature conditions during three vertical soundings (Fig. 7 and adjacent text). Thus, the total uncertainty in $v_{\mathrm{TFS}}^{\mathrm{corr}}$ is fairly consistent within a range between 6.9 % and 8.8 % (values marked in grey in Table 5). In a few of the conditions selected here (275 K and 264 K), resulting Δv_{GPS} is comparatively low (values framed between two vertical lines in Table 5), or in almost similar range of $\Delta v_{\mathrm{TFS}}^{\mathrm{corr}}$ (values framed between two horizontal lines) however, in other cases (enclosed framed values) the offset in v_{GPS} is significant and can exceed 30 % (occasionally even more as seen in Fig. 7d). In essence, v_{GPS} appears as the more precise measurement, but can unpredictably become highly inaccurate upon vertical sounding over large height ranges (500 - 1500 m). In contrast, $v_{\mathrm{TFS}}^{\mathrm{corr}}$ presumably is the more accurate measurement over discussed vertical range up to ~ 7.5 km, with a comparatively higher though determinable imprecision.

6 Summary and Conclusions

This study indicates a possible improvement of the Universal Cloud and Aerosol Sounding System (UCASS), a balloon-borne optical particle counter, to obtain aerosol and cloud droplet concentration measurements with increased accuracy. The integration of a thermal flow sensor (TFS) into the UCASS allows for direct, real-time and continuous flow velocity measurements in the immediate vicinity of UCASS's particle detection region. This approach resolves inaccuracies arising from the conventional reliance on GPS or pressure-based balloon ascent velocities, which do not account for gusts or strong wind shear, payload oscillations, flow distortions (caused by the UCASS housing), and particularly the deflection of the UCASS body from an iso-axial alignment during ascent.

Wind tunnel experiments showed that the UCASS-internal flow velocity, when iso-axially aligned with the ambient airflow, is on average 11.3 % faster than the surrounding external flow (between 2 – 8 m s^{-1}), largely consistent with previous investigations. Hence, the airflow dynamics of the UCASS are reproducible and predictable under controlled conditions. Further laboratory experiments under variable angles-of-attack (AOA) revealed that with a deflection of the UCASS by around 20° - 30°, the UCASS-internal flow velocity is reduced to values approximately corresponding to the external flow velocity.

It was found that high-resolution calibrations of a TFS provide accurate results that are parameterizable according to King's Law, with deviations of no more than 1.3 % from the mean samples. A more efficiently implementable three-point calibration (TPC) method (using flow velocities of approximately 2 m s^{-1}, 5 m s^{-1}, and 8 m s^{-1}) showed deviations of less than 2.9 % compared to detailed calibrations.

During balloon soundings, UCASS-internal flow velocities could deviate significantly from the GPS or pressure-based ascent rates, varying by up to 30 %. Thus, accurate measurements of particle concentrations based solely on GPS or p-derived ascent rates are subject to considerable uncertainty. Vertical profiles from three soundings up to 7.5 km (see Sect. 5) showed that the deviations in flow velocity increased with increasing altitude due to changing atmospheric conditions and balloon-payload-geometry (i.e., the inclination of the payload orientation and thus the UCASS alignment). Referring to the thought model of the balloon

sounding (see Sect. 2), that the flight pattern of the balloon is driven by the horizontal wind components (i.e. u and $v > 0$): then 1) the balloon body acts as a sail with its comparatively large surface and 2) the comparatively small payload is towed. In other words, even if the main wind components with respect to the payload's inertial system (u_{rel}, v_{rel}) were nearly zero, this will typically result in a constellation of the balloon-payload geometry which causes a flow towards UCASS with a non-zero AOA. While UCASS is aligned with the cord to the balloon, it is deflected with respect to the buoyancy-induced vertical lift, i.e., iso-axial flow to the UCASS is rarely given. The actual flow, which is affected by the pendulum motion and rotation of the payload and their superposition, is hardly reproducible or quantifiable. This ultimately underlines the need for a continuous measurement of the actual internal UCASS flow during the entire flight.

Hence, for airborne particle measurements the calculated particle concentrations from UCASS detections based on GPS or pressure ascent rates may introduce errors of up to 30 %. Real-time TFS data would reduce this uncertainty by ensuring that the actual air volume of the samples is determined independently of external conditions. The integration of the TFS into the UCASS therefore represents an improvement in the methodology of measuring aerosol and cloud droplet concentrations up to 7.5 km. By providing direct and precise flow velocity measurements, the TFS avoids the limitations of GPS or p-based methods, including errors caused by payload movements, gusts, wind shear, and flow deviations caused by the shape of the UCASS housing. Field tests confirmed that this approach leads to more accurate assessments of air volumes in the samples and can reduce uncertainty in calculating particle number concentrations.

The knowledge gained may motivate future modifications and improvements in the further development of the balloon-borne UCASS instrument. The robust three-point calibration methods applied in this study facilitate the technical effort involved in integrating TFS into a modified UCASS. This work can provide a basis for improved vertical profiling of aerosols and cloud elements with cost-effective and flexible instruments such as UCASS.

Code and data availability ¶

Both data and the program code are available in a repository via

"https://doi.org/10.5281/zenodo.15519552".

"scipy.optimise.curve_fit" ((Scipy Community, 2023))¶

Data availability ¶

to be announce ¶

Author contribution

SJ performed the calibrations, reporting and optimised technical designs. SJ, RW, KK wrote the article with contributions by JG, CS, WS, LKE, LV and HT. LV, LKE and CvG ensured the technical operability of the TFS-equipped UCASS instruments (supported by JG, CS, WS) and the balloon gondola during field missions. HT (forecasting), LKE (preparation and launch) and KK, SJ (recovery) contributed invaluably to successful balloon soundings.

Competing interests

The authors declare that they have no conflict of interest.

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694 **Figure captions**
695 **Figure** 1: Design of the UCASS and arrangement of its optical elements. Figure (a) shows the side
696 view of the UCASS, while figure (b) shows the front view. The definition of the particle detection
697 zone (df1) has been added to the figure. Adopted from Smith et al. (2019).

698 **Figure** 2: (a) The TFS housing ("c1"), which also contains the control and regulation electronics
699 of the TFS. The sensitive area of the TFS with a length of 6.9 mm is labelled as "c2". (b) The frontal
700 view of the experimental setup. The perspective is in the direction of flow towards the UCASS
701 front and into the flow tube, looking at the TFS and the Prandtl-Pitot tube, which is located
702 downstream behind the TFS.

703 **Figure** 3: Resulting flow velocity profiles along the elliptical cross-section of the instrument's
704 flow of the UCASS or of the TSF housing with a diameter of 40 mm × 30 mm (length × width).
705 Along the cross-section's main axis, the flow velocity measurements were carried out; twice for
706 an ambient flow velocity of ~ 5 m s^{-1} (forwards "a" and backwards "b", respectively) and once for
707 ~ 7 m s^{-1} (direction "b" only). Each data point represents an average of 15~~fifteen~~ velocity
708 measurements. ~~The maximum standard deviation is given representatively for all data points~~
709 ~~with indicated horizontal bar~~. The error bars, which are shown representatively in the bold-
710 framed box, show the maximum standard deviation for PPt's ~~the~~ position ~~of the PPt~~
711 (σ_{max} = 0.2 mm) and for all flow velocities measured with the PPt (σ_{max} = 0.05 m s^{-1}). The position
712 of the TFS (if installed) is marked by the grey area.

713 **Figure** 4: Measuring setup with UCASS, mounted TFS housing, and installed PPt (from the rear
714 reaching into the TFS housing), fixed in a vertically and horizontally tiltable apparatus with scales
715 for reading the deviation angles in each direction a) Side view in horizontal orientation b) Variable
716 deviation angles, which were set in angular degrees deviating from the zero position (iso-axial
717 case) during the experiments c) View of the measuring setup under horizontal and vertical
718 displacement from the zero position.

719 **Figure** 5: Comparison of the high-resolution calibration curve (HRC) with measurements of the
720 UCASS-implemented TFS 8 at variable angles of attack. The standard deviations of individual data
721 points are not shown for the sake of clarity.

722 **Figure** 6: Comparison of the recorded flow velocities outside (v_{TA}) and inside (v_{PPt}) the UCASS at
723 variable angles of attack during the series of measurements with TFS 8. The standard deviations

724   (at the most ± 0.1 m s^{-1} at tunnel wind speeds between 2 and 8 m s^{-1}) are not shown for reasons

725   of clarity. First-order polynomial fits were created for the flow velocities recorded under various

726   angles of attack to compare with the high-resolution calibration (HRC) at zero position (0°,0°).

727   **Figure** 7: Vertical profiles of the vertical velocity based on measurements of changed GPS and

728   barometric altitudes per unit time (i.e. v_{GPS} and v_p) and on TFS measurements of the flow velocity

729   (v_{TFS}^{corr}) through the UCASS of selected balloon soundings during a field mission together with

730   observed horizontal wind data (u and v) and the total wind speed ($u + v$) a-c) from 12 August,

731   launch at 14:10 (LT), d-fe) from 16 August, launch at 11:51 (LT) and eg-i) from 16 August, launch

732   at 13:41 (LT). 10Ten-seconds-running average of respective data are shown. Additionally,

733   respective deviations of the differently obtained flow velocities from each other are shown for

734   each vertical profile (panels b, de, fh). Note that the deviation of the ascent rates (i.e. the external

735   flow velocity, GPS or p-based) in reference to the UCASS-internal flow speed differs from zero

736   over large parts of depicted flight sections. Occasional correlations of changing velocity ratios

737   with changes in wind speeds are recognizable, but a general causality cannot be identified.

739   Figures

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759   Figure 1

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Figure 2

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Figure 3

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828   Figure   4

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Figure 5

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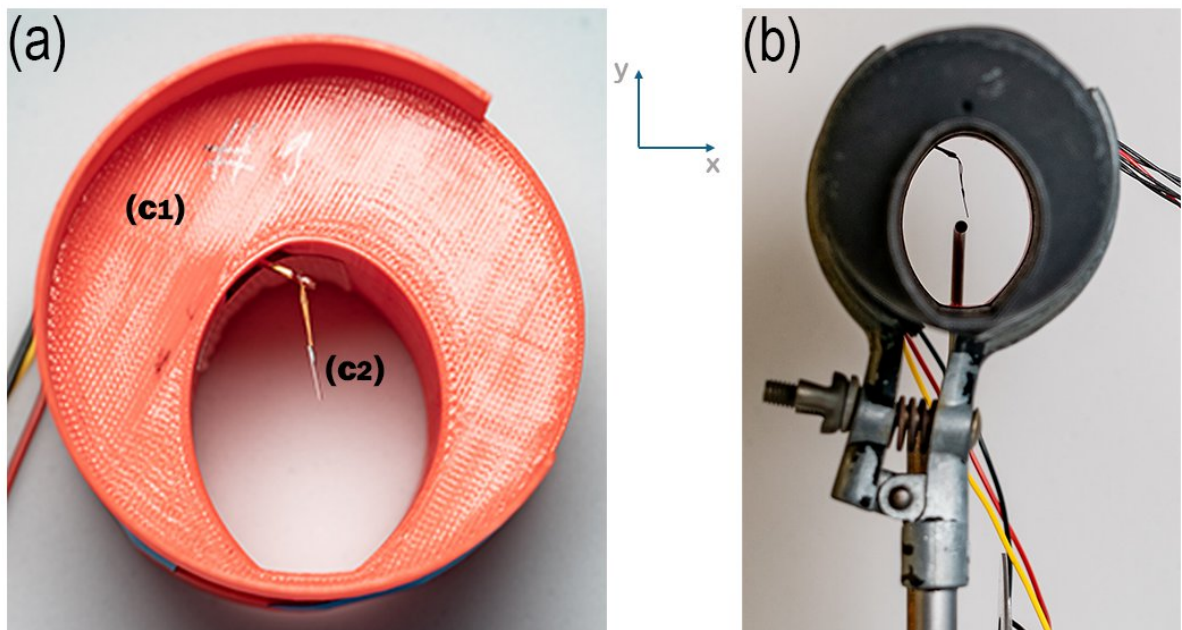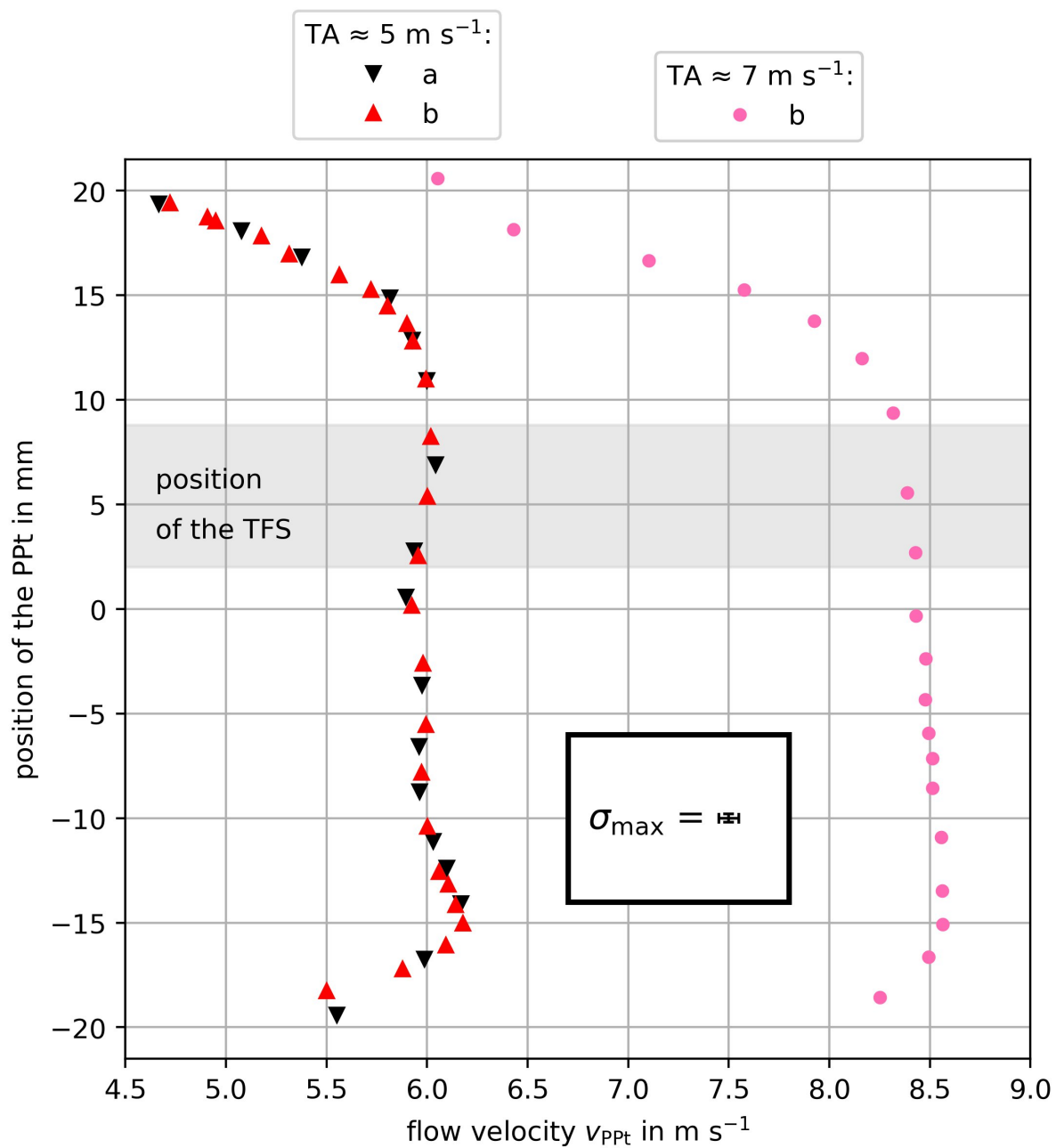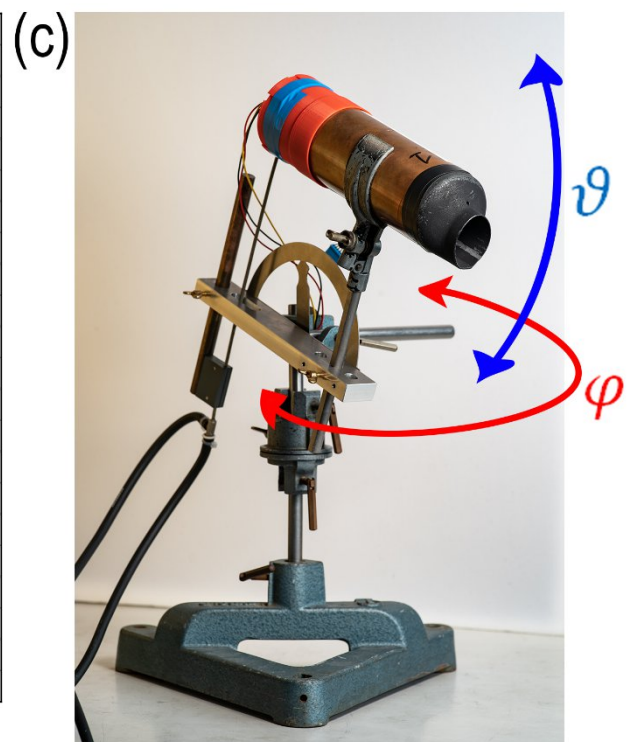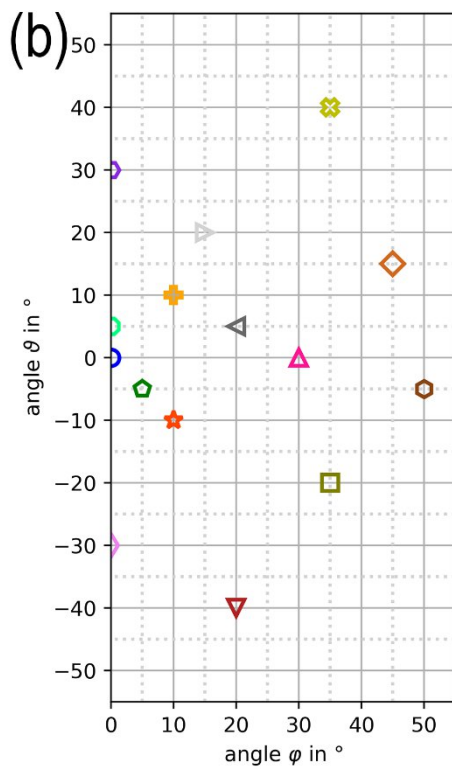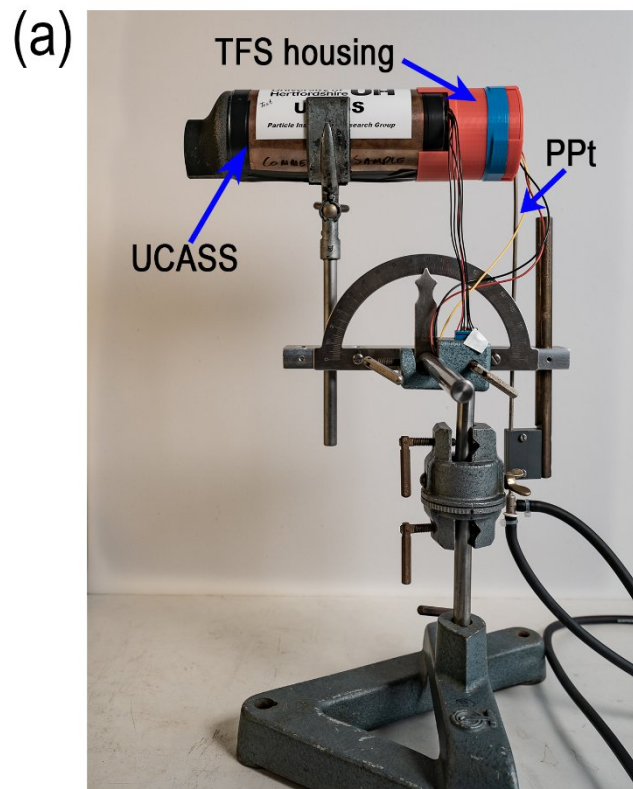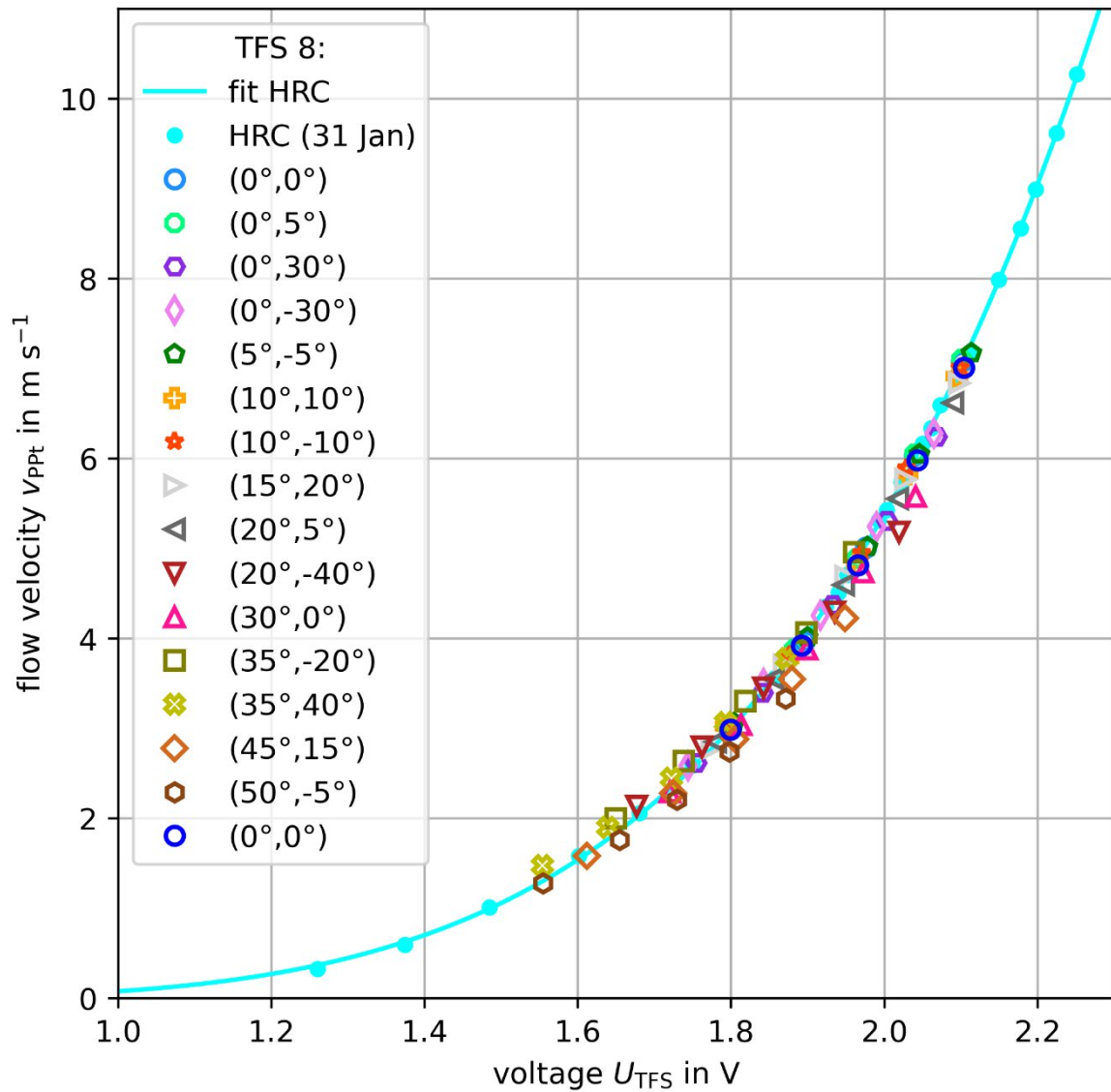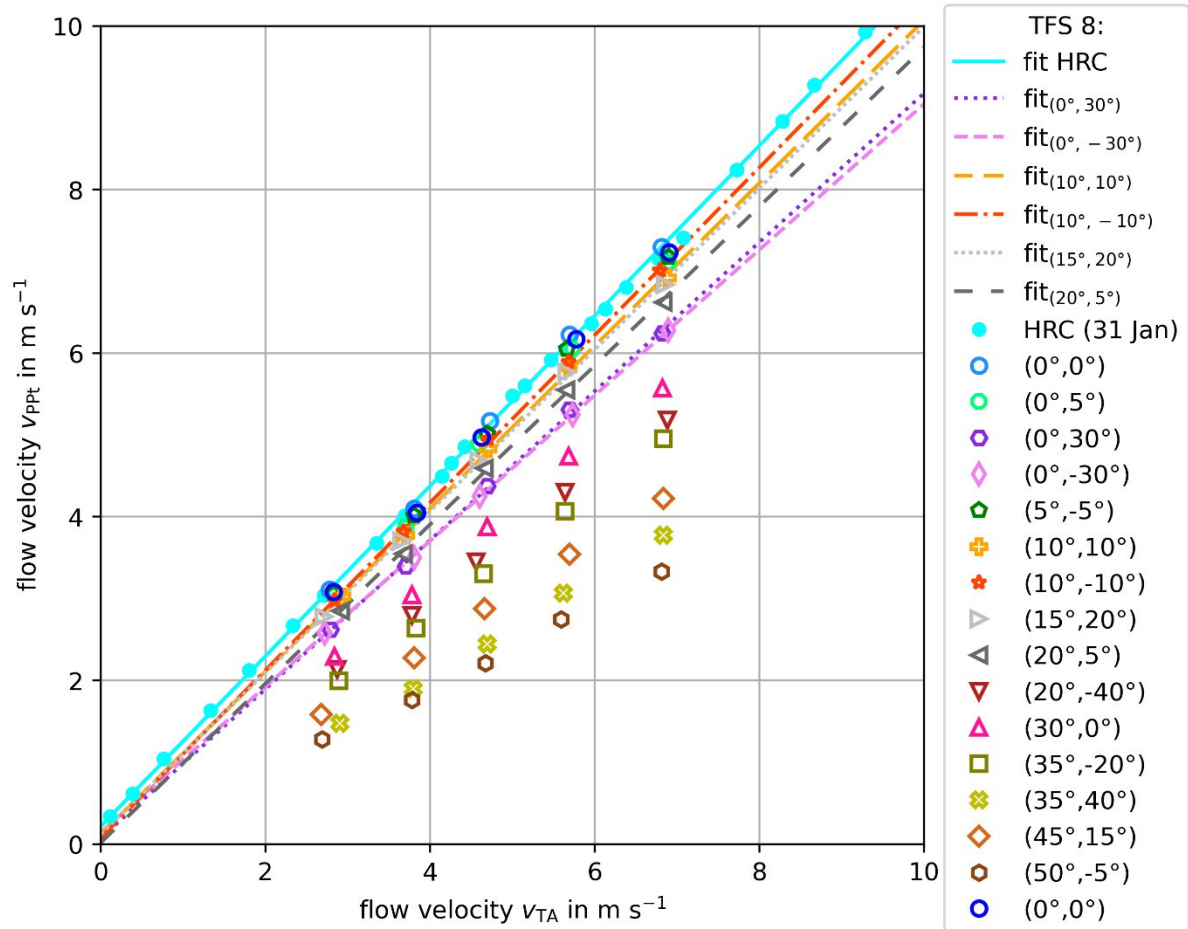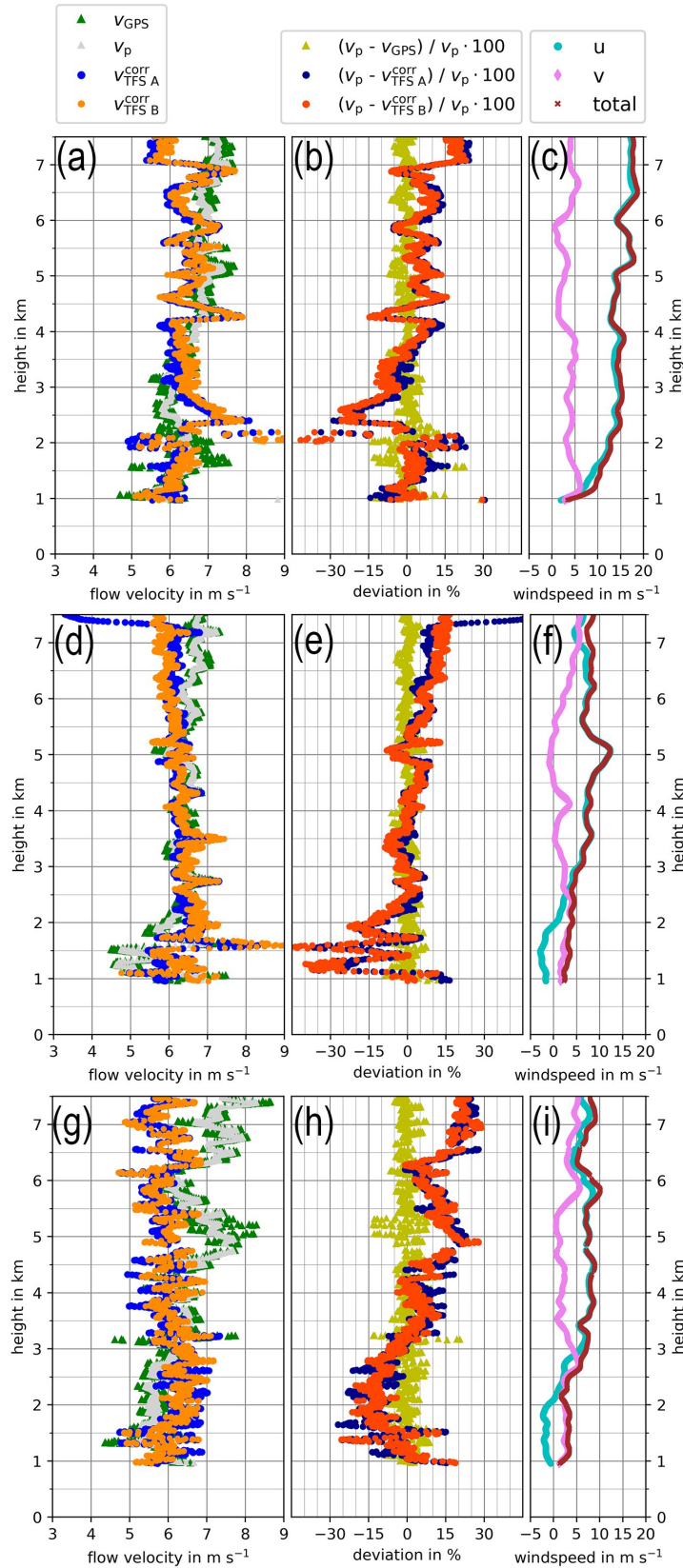
Figure 6

Figure 7

Tables

Exp. #	PPt	TFS/housing	description
1	yes	no/no	PPt in UCASS housing at position of detection region
2	yes	no/no	"—"
3	yes	yes/yes	PPt at detection region + attached TFS inside its housing
4	yes	yes/yes	"—"
5	yes	no/yes	PPt at TFS position inside TFS housing

Table 1

List of experiments (Exp.) conducted with the PPt at various positions inside the UCASS and TFS housing during wind tunnel flow calibrations.

fit	a	b
(0°,0°) HRC	1.040	0.216
(10°,10°)	0.995	0.119
(10°, -10°)	1.024	0.075
(15°,20°)	0.987	0.120
(20°,5°)	0.972	0.017
(0°, 30°)	0.910	0.073
(0°, -30°)	0.890	0.142

Table 2

Parameters of the linear fit function ($v_{PPt} = a \cdot v_{TA} + b$) to selected UCASS-internal flow velocities measured under variable angle of attack (AOA) in reference to the main wind tunnel flow speed.

angle (φ, ϑ)	deviation $\triangle v_{\text{rel}}^{\max}$ in %	deviation $\triangle \bar{v}_{\text{rel}}$ in %
(0°,0°)	1.1	0.6
(0°,5°)	1.7	1.0
(0°,30°)	3.5	1.8
(0°, -30°)	2.6	1.5
(5°, -5°)	1.5	1.0
(10°,10°)	2.8	0.9
(10°, -10°)	1.6	0.7
(15°,20°)	2.1	0.7
(20°,5°)	3.3	1.5
(20°, -40°)	8.6	4.9
(30°,0°)	7.3	4.1
(35°, -20°)	9.0	4.6
(35°,40°)	13.4	6.1
(45°,15°)	9.5	5.5
(50°, -5°)	10.6	6.7
(0°,0°)	1.4	1.0

924 **Table 3**

925 Maximum ($\triangle v_{\text{rel}}^{\max}$) and mean values ($\triangle \bar{v}_{\text{rel}}$) of the percentual relative deviation of measured flow

926 velocities under variable angle of attack (AOA) for the calibration curve of TFS 8 (cf. Fig. 5).

927

928

angle (φ, ϑ)	deviation $\triangle v_{\text{rel}}^{\max}$ in %	deviation $\triangle \bar{v}_{\text{rel}}$ in %
(0°, 0°)	1.8	0.8
(0°, 5°)	4.6	3.3
(0°, 30°)	16.5	15.0
(0°, -30°)	16.1	15.3
(5°, -5°)	3.9	2.5
(10°, 10°)	7.3	6.2
(10°, -10°)	6.0	4.5
(15°, 20°)	8.4	7.0
(20°, 5°)	12.3	10.5
(20°, -40°)	33.4	31.1
(30°, 0°)	27.7	24.9
(35°, -20°)	38.1	35.1
(35°, 40°)	54.5	51.8
(45°, 15°)	47.2	44.1
(50°, -5°)	57.5	56.1
(0°, 0°)	3.8	2.1

929 **Table 4**

930 Maximum ($\triangle v_{\text{rel}}^{\max}$) and mean relative deviation ($\triangle \bar{v}_{\text{rel}}$) of in-UCASS measured flow velocity versus

931 the wind tunnel flow speed as a function of the UCASS's angle of attack (AOA) in reference to

932 velocity ratio in zero-position (in correspondence to measurements shown in Fig. 6).

		275 ± 0.3 K	264 ± 0.3 K	254 ± 0.3 K
T_{amb} and corresponding heights in soundings	h (Fig. 7a)	~3930-3990 m	~5700-5790 m	~7250-7310 m
	h (Fig. 7e~~d~~)	~3690-3740 m	~5490-5560 m	~7250-7320 m
	h (Fig. 7e~~g~~)	~3700-3770 m	~5400-5490 m	~7230-7300 m
RS41 SGP	Δp		± 1.0 hPa	
	ΔT	—	± 0.3 K	—
	Δh		± 10.0 gpm	
TFS	v_{TFS}^{corr} (Fig. 7a)	6.1 – 6.3 m s^{-1}	6.7 – 6.9 m s^{-1}	5.9 – 6.0 m s^{-1}
	v_{TFS}^{corr} (Fig. 7e~~d~~)	6.3 – 6.4 m s^{-1}	6.1 – 6.3 m s^{-1}	5.8 m s^{-1}
	v_{TFS}^{corr} (Fig. 7e~~g~~)	5.0 – 5.5 m s^{-1}	6.3 – 6.4 m s^{-1}	5.4 – 5.7 m s^{-1}
	Δv_{TFS}	± 0.4 %	± 0.4 %	± 0.4 %
	Δv_{TFS}^{corr}	**± 7.9 %**	**± 8.8 %**	**± 6.9 %**
GPS	v_{GPS} (Fig. 7a)	6.7 m s^{-1}	6.7 m s^{-1}	7.6 m s^{-1}
	Δv_{GPS}	**-8.8 – -6.0 %**	| **0.0 – 3.5 %** |	**-29.3 – -27.7 %**
	v_{GPS} (Fig. 7~~d~~e)	6.6 m s^{-1}	6.4 m s^{-1}	6.5 m s^{-1}
	Δv_{GPS}	| **-4.4 – -2.9 %** |	| **-4.5 – -1.4 %** |	**-14.2 – -12.5 %**
	v_{GPS} (Fig. 7e~~g~~)	5.7 m s^{-1}	7.1 m s^{-1}	7.0 m s^{-1}
	Δv_{GPS}	**-14.1 – -4.2 %**	**-12.8 – -10.8 %**	**-30.8 – -23.2 %**

Table 5

Summarised uncertainties 1) ΔT, Δp, and Δh (GPS-altitude) from RS41 SGP radiosondes, 2) the overall uncertainty Δv_{TFS}^{corr} that result from Gaussian error propagation (Eq. 1 and Eq. 2) and that combine uncertainties from the RS41 SGP data and conditions during TFS calibrations (considering only ΔT, Δp, ΔT_0, and Δp_0) yielding Δv_{TFS} and b) the uncertainty (σ) in v_{TFS} obtained from cold chamber experiments (electronic supplement, Sect. S 1). 3) For three selected ambient temperature conditions (T_{amb} of 275 K, 264 K, and 254 K, from cold chamber experiments) the resulting deviations (Δv_{GPS}) of absolute v_{GPS} in reference to absolute v_{TFS}^{corr} from three vertical soundings (see Fig. 7 and adjacent text) are provided for comparison.

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