



1     **Nonlinear hydro-climatic controls on an arid-region lake: Evidence**  
2                                     **from 40 years of remote sensing**

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23 **Abstract:** Accurate measurement of lake surface area is essential for understanding  
24 eco-hydrological processes in arid regions, yet long-term records are often limited by  
25 cloud contamination, seasonal ice cover, and data gaps. In this study, we developed an  
26 optimized extraction framework that integrates seasonal index selection, adaptive  
27 thresholding, maximum connectivity analysis, and mutual information – based gap  
28 filling to construct a continuous monthly lake area series for Bahannao Lake from 1984  
29 to 2024. This method effectively addressed common challenges in remote sensing water  
30 extraction and provided reliable long-term lake dynamics in a data-scarce desert region.  
31 Based on the reconstructed time series, we examined the multi-factor drivers of lake  
32 evolution using an XGBoost model combined with climatic and energy-balance  
33 variables. Results reveal pronounced interannual and seasonal variability: precipitation  
34 dominates lake expansion in spring and summer, while shortwave radiation is the main  
35 driver of evaporation in autumn and winter, even under cold conditions. Long-term  
36 trends indicate a shift in controlling mechanisms—from humidity and precipitation  
37 decline (1984 – 1999), to increased radiation and humidity variability (2000 – 2014),  
38 and finally to intensified sensible heat flux and potential evapotranspiration (2015 –  
39 2024). Our findings highlight the nonlinear and evolving interactions between hydro-  
40 climatic factors regulating arid-region lakes. The proposed framework provides a robust  
41 approach for generating long-term lake records, advancing understanding of eco-  
42 hydrological responses to climate change, and offering scientific support for water  
43 resources management and adaptation in arid regions.

44 **Keywords:** R remote sensing, lake area extraction, XGBoost, arid region, hydrology,



45 climate change

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## 48 **1 Introduction**

49 Over the past century, with the intensification of global climate change and the  
50 increasing human ability to modify nature, the impact of climate change on lake  
51 systems and the surrounding water environment has become more pronounced. The  
52 formation and disappearance, expansion and contraction of lakes, as well as changes in  
53 water and ecological environments, are the result of interactions among global, regional,  
54 and local tectonic activities, climate events, and human activities. Within these systems,  
55 a series of complex interactions drive the evolution of lake systems (Ma et al., 2020).

56 Lakes are vital natural resources that are highly sensitive to climate change  
57 (Adrian et al., 2009; Schmid et al., 2014). Globally, there are over 100 million lakes,  
58 which store 87% of the Earth's liquid surface freshwater. Climate change is one of the  
59 most severe threats to global lake ecosystems. As observed in recent decades, lake  
60 surface conditions—such as ice cover, surface temperature, evaporation, and water  
61 levels—have responded significantly to this threat (Woolway et al., 2020; Tong et al.,  
62 2023). Approximately 53% of the world's lakes have experienced a decline in water  
63 storage, with a reduction of about 22 billion tons per year. Climate change and human  
64 water use have primarily driven the net decrease in water volume in approximately 100  
65 large natural lakes worldwide. Lakes in both arid and humid regions are experiencing  
66 water loss, with drying trends being more widespread than previously understood.



67 Despite the shrinking of most lakes globally, 24% of lakes and reservoirs have shown  
68 a significant increase in water storage. These lakes and reservoirs are mostly located in  
69 sparsely populated regions, such as the Tibetan Plateau and the northern Great Plains  
70 of North America, as well as areas with newly constructed reservoirs, including the  
71 Yangtze River, Mekong River, and Nile River basins (Pickens et al., 2020).

72 China has a vast territory with an extensive network of rivers and lakes. There are  
73 2693 lakes with an area greater than 1 km<sup>2</sup>, among which 2557 lakes (95% of the total)  
74 have an area between 1 and 100 km<sup>2</sup>. Additionally, there are 10 exceptionally large  
75 lakes with an area exceeding 1000 km<sup>2</sup>. The total lake area in China has shown a  
76 significant increasing trend, expanding by approximately 7858.53 km<sup>2</sup> (11.41%) over  
77 the past 30 years (Ma et al., 2010; Ma et al., 2011). However, the spatial and temporal  
78 imbalance of water resources has intensified, with notable differences in trends across  
79 various lake regions. The lake areas in the Tibetan Plateau and Xinjiang regions have  
80 increased significantly, contributing 111.55% and 28.41% of the national lake area  
81 growth, respectively. In contrast, the lake areas in the Eastern Plain, Inner Mongolia  
82 Plateau, Northeast Plain and Mountainous Region, and Yunnan-Guizhou Plateau have  
83 declined significantly, with reductions of 24.53%, 9.30%, 6.06%, and 0.54%,  
84 respectively. Among these, the Mongolian-Xinjiang Plateau experienced the largest  
85 decline in lake numbers, with a loss of 111 lakes. Some lakes in this region have shown  
86 signs of shrinkage and salinization (Yang et al., 2010).

87 Scientists have discovered that the abrupt change timing of river and lake systems  
88 varies significantly across different latitudes and altitudes (Råman Vinnå., 2021; Zhou



89 et al., 2021). Mountain and polar lakes tend to experience abrupt changes earlier than  
90 temperate and tropical river-lake systems (Jeppesen et al, 2014). Additionally, under  
91 varying levels of human impact, the timing of abrupt changes in lakes also differs.  
92 Lakes in regions with low human impact generally experience abrupt changes earlier  
93 than those in areas with strong human influence (Preston et al., 2016). Analysis of the  
94 driving factors of lake abrupt changes indicates that the causes vary. Before the 1950s,  
95 climate change was the primary factor controlling abrupt changes in lake ecosystems.  
96 However, after the 1950s, both climate change and human disturbances became  
97 dominant factors. In temperate and tropical regions with strong human influence, lake  
98 changes are mainly driven by nutrient enrichment and pollution. In contrast, lakes  
99 located in high-altitude and high-latitude regions, which are less affected by human  
100 activities, are more vulnerable to climate change. Furthermore, the interaction of  
101 multiple drivers increases the likelihood of abrupt changes in lakes, with climate change  
102 being the most frequently interacting factor leading to transformations in river-lake  
103 ecosystems (Vincent et al., 2009.). Li et al. (2025) pointed out that seasonality is the  
104 dominant driver of lake-surface-extent variations globally

105 For example, Plug et al.(2008) investigated lake area changes in the Tuktoyaktuk  
106 Peninsula in northwest Canada. They found that from 1978 to 1992, the total lake area  
107 increased, while from 1992 to 2001, the total lake area decreased. Their study identified  
108 precipitation as the main factor driving these changes. Similarly, Carroll et al. (2011)  
109 studied the lake area changes in high-latitude northern Canada and discovered that lake  
110 areas showed a significant decline, exhibiting regional clustering characteristics, with



111 climate factors driving these changes. Labazhuoma et al. (2017) explored the expansion  
112 of Tangra Yum Co from 1977 to 2014. Their results indicated that, under the background  
113 of climate warming, the combined effects of glacier melt, precipitation increase, and  
114 evaporation changes contributed to the lake's expansion. Likewise, Li Meng et al. (2017)  
115 examined the changes in the water surface area and water storage of Nam Co from 1976  
116 to 2015. Their findings showed that the water surface area and water storage of Nam  
117 Co continued to increase, with the fastest growth in water storage occurring between  
118 1997 and 2009. The study concluded that the primary factor driving the increase in Nam  
119 Co's water volume was glacier melt, followed by increased precipitation and reduced  
120 evaporation.

121 However, the precise measurement of lake area remains a major constraint for  
122 analyzing lake changes. With advancements in science and technology, remote sensing  
123 has provided a unique and effective method for monitoring the spatiotemporal  
124 variations in surface water areas on broad geographic scales (Liu et al., 2020).

125 Currently, water extraction methods using optical sensors have been widely  
126 applied28-30 (McFeeters 1996; Yao et al., 2015; Donchyts et al., 2016). However,  
127 existing water body area products often fail to meet ideal spatial or temporal resolution  
128 requirements31-32 (Cooley et al., 2017; Huang et al., 2018). For example, the 2016  
129 Global Climate Observing System (GCOS) Implementation Plan recommended a  
130 resolution of 20 meters and a daily monitoring frequency (Secretariat, 2009). High-  
131 temporal-resolution sensors, such as the Moderate Resolution Imaging  
132 Spectroradiometer (MODIS) onboard Terra and Aqua satellites, have been used to



133 assess water body areas at time scales ranging from daily to 16-day intervals ( Bergé-  
134 Nguyen et al., 2015; Wang et al., 2018). However, many small water bodies (e.g., 10–  
135 50 km<sup>2</sup> or smaller) and irregularly shaped larger water bodies may not be accurately  
136 distinguished using coarse-resolution MODIS images (250–500 meters in the visible  
137 and near-infrared bands) (Tao et al., 2015). Compared with MODIS, Landsat images  
138 (e.g., Landsat 5 Thematic Mapper (TM), Landsat 7 Enhanced Thematic Mapper Plus  
139 (ETM+), and Landsat 8 Operational Land Imager (OLI)) offer higher spatial resolution  
140 (30 meters) and a temporal resolution of 16 days (or better when combining multiple  
141 Landsat sensors). However, due to cloud contamination (Rossow et al., 1999), the  
142 actual temporal frequency of water body mapping based on Landsat is often much lower  
143 than the nominal resolution and may extend to a year for lakes with persistent ice cover  
144 (Yao et al., 2018). The recently launched Sentinel-2A and 2B satellites, equipped with  
145 Multispectral Instruments (MSI), provide a resolution of 10 meters in the visible and  
146 near-infrared bands, with a revisit period of 5–10 days. However, their observations  
147 currently cover only the past few years (since 2015) and are not yet suitable for long-  
148 term decadal monitoring.

149 Beyond the trade-offs between spatial and temporal resolution, several other  
150 factors challenge high-resolution monitoring of long-term global surface water area  
151 changes (Klein et al., 2017). These include the inherent spectral heterogeneity of water,  
152 atmospheric influences (clouds and aerosols), topographic shadows, aquatic vegetation,  
153 and spectral contamination from ice/snow cover. In such complex conditions,  
154 integrating multiple techniques is often necessary to achieve robust water body



155 extraction.

156 Recently, Pekel et al. (Pekel et al., 2014) utilized a large training dataset, combined  
157 with expert systems and visual analysis, to identify the presence or absence of water on  
158 a monthly basis for each pixel in archival Landsat images from 1984 to 2015. This  
159 product was named the Joint Research Centre (JRC) Global Surface Water dataset  
160 (hereinafter referred to as GSW). Despite its significant achievements, GSW is based  
161 on cloud-free pixels, meaning that the mapped extent of specific water bodies is only  
162 complete when monthly composite images have minimal cloud cover. A follow-up  
163 study by Busker et al. (Buske et al., 2019) used a subset of the GSW dataset, selecting  
164 images with cloud cover below 5%, to extract the monthly area of 137 lakes/reservoirs.  
165 For nearly half of these lakes/reservoirs, the correlation between area and radar  
166 altimetry-measured water levels exceeded 0.8. However, the temporal frequency of the  
167 resulting area time series was still constrained by the availability of cloud-free images,  
168 and due to the current availability of GSW, the time series was interrupted after October  
169 2015. One potential method to increase the temporal frequency of lake mapping based  
170 on Landsat data is to estimate water surface area from contaminated images (e.g., those  
171 affected by clouds or observation gaps). Although these images are of relatively lower  
172 quality, the exposed portions of lakes within them may provide useful information for  
173 inferring the complete extent. For instance, Zhao and Gao (2018) 41 applied the  
174 monthly water mapping data from the GSW dataset to generate area time series for  
175 6,817 reservoirs worldwide from 1984 to 2015. Their method involved recovering  
176 complete reservoir extents from cloud-contaminated images by segmenting pixels





177 based on the water occurrence probability provided in the GSW dataset. Compared to  
178 the results of Busker et al., their generated area time series increased the number of  
179 observations by approximately 80%. However, the reliance on the existing GSW dataset  
180 restricted their reservoir area records to the 1984–2015 period, and the validation of  
181 their recovery method was limited to only nine reservoirs with significant water level  
182 variations.

183 Bahanao Lake is located in a semi-arid region and has long lacked systematic  
184 observational data. There are no complete records of its surface area, yet its changes  
185 are crucial to the stability of the regional ecosystem. With the intensification of global  
186 climate change, the lake's surface area has significantly shrunk, experiencing multiple  
187 abrupt shifts and exhibiting a continuous declining trend. The driving mechanisms  
188 behind these changes are complex and diverse. However, its dynamic variation  
189 characteristics and driving forces remain insufficiently studied.

190 Despite substantial progress in global lake monitoring, significant gaps remain for  
191 lakes in arid regions. First, long-term and continuous lake area records are scarce, as  
192 existing products are often interrupted by cloud contamination, seasonal ice cover, and  
193 striping artifacts. Second, the role of hydro-climatic drivers in regulating lake dynamics  
194 remains insufficiently understood, particularly regarding nonlinear interactions  
195 between precipitation, humidity, radiation, and energy fluxes in arid environments.  
196 Third, current extraction methods lack robustness across different seasons and fail to  
197 ensure accuracy in data-scarce regions.

198 To address these challenges, this study develops an optimized lake area extraction



199 framework that integrates seasonal index selection, adaptive thresholding, connectivity  
200 analysis, and mutual information – based gap filling to construct a continuous monthly  
201 record of Bahannao Lake from 1984 to 2024. By coupling the reconstructed time series  
202 with multi-factor analysis using the XGBoost model, we reveal the temporal shifts and  
203 nonlinear controls of hydro-climatic drivers on lake dynamics. This framework not only  
204 improves the reliability of long-term lake monitoring under complex conditions but also  
205 advances understanding of eco-hydrological responses to climate change and provides  
206 implications for water resource management in arid regions.

## 207 **2 Data and Methods**

### 208 **2.1 Dataset Selection**

209 This study utilizes remote sensing imagery from the Landsat 5 TM, Landsat 7 TM,  
210 and Landsat 8 OLI sensors, specifically using atmospherically corrected reflectance  
211 data (Tier 1 TOA Reflectance). Tier 1 data is selected due to its highest quality, making  
212 it suitable for time-series analysis and studies on global surface water extent and  
213 dynamics. The Landsat 5 TM imagery covers the period from 1984 to 2011, while  
214 Landsat 8 imagery spans from 2013 to 2023. Since imagery for 2012 is missing in both  
215 datasets, Landsat 7 TM is used as a supplement. However, Landsat 7 TM imagery  
216 exhibits significant striping artifacts, which were avoided as much as possible during  
217 data selection.

218 For meteorological data, this study employs the fifth-generation atmospheric  
219 reanalysis dataset from ECMWF (European Centre for Medium-Range Weather  
220 Forecasts), covering global climate data from January 1950 to the present. The dataset



221 has a temporal resolution of daily and a spatial resolution of  $0.1^{\circ} \times 0.1^{\circ}$ .

## 222 **2.2 Methods**

### 223 (1) Optimized Lake Area Extraction Method

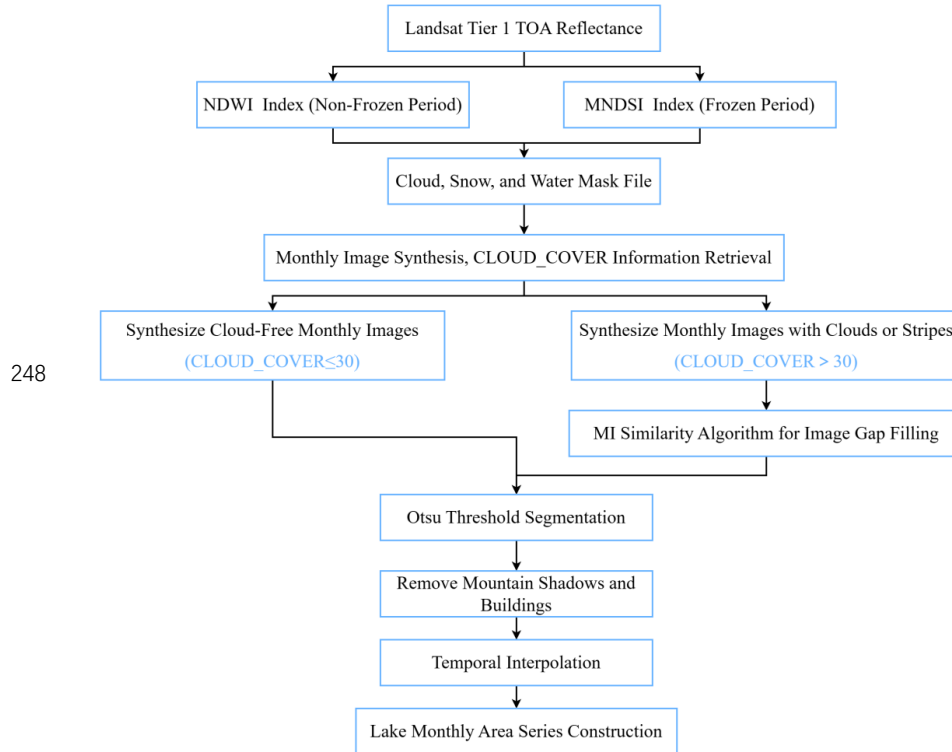
224 This study employs 30-meter full-atmosphere imagery from the Landsat 5  
225 Thematic Mapper (TM), Landsat 7 Enhanced Thematic Mapper Plus (ETM+), and  
226 Landsat 8 Operational Land Imager (OLI) satellites to derive monthly lake area  
227 estimates for the study region from January 1984 to December 2024.

228 Different lake remote sensing indices were selected for non-freezing and freezing  
229 periods, respectively. For non-freezing periods, remote sensing indices were processed  
230 to remove cloud and snow interference. Images were filtered based on cloud cover  
231 percentage (C), and monthly composite images were generated. The Otsu thresholding  
232 method was then applied to automatically determine segmentation thresholds. To  
233 distinguish between lakes and mountainous areas, a digital elevation model (DEM) was  
234 used, setting the slope ( $\theta$ ) and aspect ( $\phi$ ) thresholds to 0.

235 Considering that most lakes exhibit connectivity, this study adopts the maximum  
236 connected component analysis algorithm from the OpenCV computer vision library to  
237 delineate lake boundaries. Images were categorized based on cloud cover information  
238 ('CLOUD\_COVER'): those with cloud cover  $\leq 30\%$  were classified as cloud-free  
239 images, while the remaining images were considered cloudy. For cloudy images, the  
240 MI (Mutual Information) algorithm was used to match them with the most similar  
241 cloud-free images. The most similar image was then merged with the original cloudy  
242 image to generate a filled version.



243 For images with striping artifacts, the same filling method was applied as for  
 244 cloudy images. Clear lake boundaries from historical cloud-free images were used, and  
 245 the MI algorithm was employed to find the most similar historical cloud-free images  
 246 for filling missing water pixels in striped areas, ultimately obtaining the final lake water  
 247 extent. The specific process is shown in Figure 1.



249 Figure 1. Flowchart of Lake Area Extraction Process.

## 250 (2) XGBoost Model

251 The objective function of the XGBoost model is:

252

$$L(\theta) = \sum_{i=1}^n \ell(y_i, f(x_i)) + \sum_{k=1}^n \Omega(f_k)$$



253 Where  $L(\theta)$  represents the objective function, which measures the model's  
254 performance in prediction and consists of two parts:  $l(y_i, f(x_i))$  is the loss function,  
255 indicating the difference between the true value  $y_i$  and the predicted value  $f(x_i)$ ,  
256 while  $\Omega(f_k)$  is the regularization term used to control the model complexity.

257 The input factors  $x_i = \{x_1, x_2, \dots, x_n\}$  include various environmental variables such  
258 as temperature, precipitation, humidity, and radiation.

259

$$FI(x_j) = \frac{1}{T} \sum_{t=1}^T I(t, x_j)$$

260 Here,  $FI(x_j)$  represents the feature importance of factor  $x_j$ , while  $I(t, x_j)$   
261 denotes the contribution of factor  $x_j$  when used as a splitting point in tree  $t$ , with  $T$   
262 being the total number of trees. The generated feature importance ranking chart  
263 illustrates the contribution of various input factors (such as temperature, precipitation,  
264 and humidity) to lake area changes. This ranking chart provides an intuitive way to  
265 identify the most influential factors.

266 To improve model performance, hyperparameters can be optimized using Grid  
267 Search or Random Search. Common hyperparameters include Learning rate, Max depth  
268 of trees and Number of trees. Adjusting these parameters affects the model's fitting  
269 ability and generalization performance.

270 Data Splitting: Divide the dataset into a training set and a test set (e.g., 80% for  
271 training, 20% for testing).

272 Train the XGBoost model on the training set. XGBoost uses the Gradient Boosting  
273 Algorithm, which iteratively improves the model by building multiple weak learners to  
274 reduce prediction errors. Each iteration refines the model by fitting the residuals (i.e.,



275 prediction errors).

276 Model Validation: Evaluate model performance using metrics such as Mean  
 277 Squared Error (MSE) and Coefficient of Determination ( $R^2$ ) to assess accuracy and  
 278 stability.

279 The formula for Mean Squared Error (MSE) is:

$$280 \quad MSE = \frac{1}{n} \sum_{i=1}^n (y_i - f(x_i))^2$$

281 The formula for the coefficient of determination  $R^2$  is:

$$282 \quad R^2 = 1 - \frac{\sum_{i=1}^n (y_i - f(x_i))^2}{\sum_{i=1}^n (y_i - \bar{y})^2}$$

283 Where  $\bar{y}$  represents the mean of the samples.

284 The lake area model is based on model training, the predicted lake area  $\hat{y}$  can be  
 285 expressed as a nonlinear combination of input factors  $x_i$ :

$$286 \quad \hat{y} = f(x_i) = \sum_{k=1}^K \omega_k h_k(x_i)$$

287 Where:  $\omega_k$  is the weight of the k tree, and  $h_k(x_i)$  is the prediction function of the  
 288 tree, represented as a set of decision rules.

### 289 3. Lake Area Time Series Construction

#### 290 3.1 Remote Sensing Interpretation and Monthly Lake Image Synthesis

##### 291 (1) Selection of Remote Sensing Indices

292 The study area is located in a high-altitude region, where lake surfaces freeze  
 293 between November and March. Since the NDWI index is less effective for frozen lakes,  
 294 different indices are used for different seasons. During the non-freezing period (May–



November), the NDWI index is applied for conventional water body extraction. During the freezing period (December–April), the Modified Normalized Difference Snow Index (MNDSI) is used to evaluate water surface area.

The NDWI index utilizes the strong absorption of water bodies in the near-infrared band and their high reflectance in the green band to enhance the distinction between water and other land cover types. However, this index may misidentify bright white buildings, clouds, snow, and mountain shadows as water bodies. Therefore, additional data quality bands and methods are integrated to remove these interferences and improve the accuracy of water body extraction.

$$NDWI = \frac{(Green - NIR)}{(Green + NIR)}$$

Where: Green band typically refers to the green portion of the visible spectrum, generally ranging from 500–570 nm. NIR band refers to the near-infrared spectrum, generally ranging from 800–900 nm.

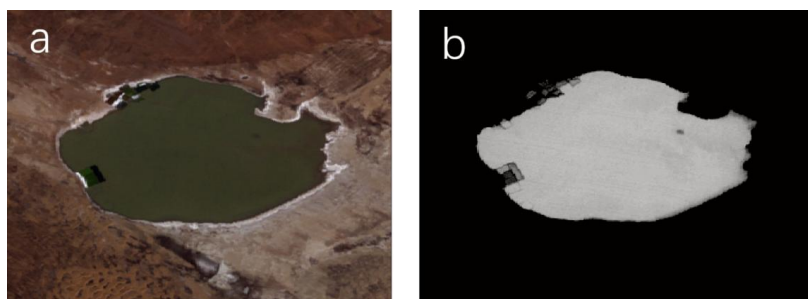


Figure 2 Original lake image during the non-freezing period (a) and NDWI-identified image (b). Source: Landsat imagery courtesy of the U.S. Geological Survey (USGS), processed and interpreted by the authors.

The Modified Normalized Difference Snow Index (MNDSI) is an index calculated

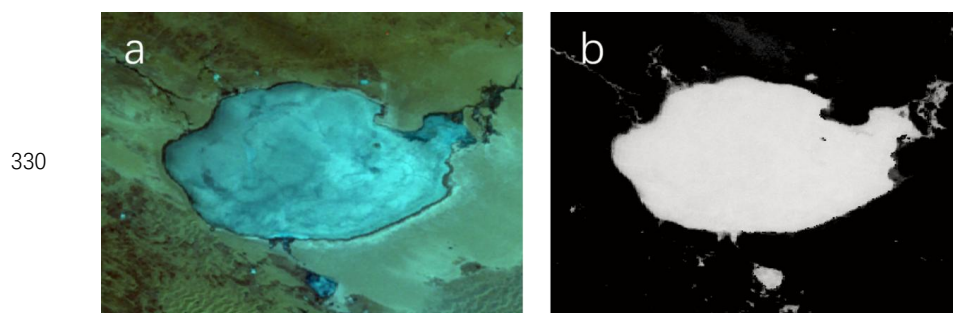


313 using the reflectance of the near-infrared (NIR) and short-wave infrared (SWIR) bands.  
 314 It is an effective method for distinguishing ice surfaces from water bodies. This index  
 315 is particularly suitable for regions with frozen water surfaces, such as lakes and rivers,  
 316 where seasonal changes are significant. Ice surfaces and water bodies have different  
 317 reflectance characteristics in various bands. Ice has higher reflectance in the SWIR band,  
 318 while water has lower reflectance. By calculating the difference between the NIR and  
 319 SWIR bands, MNDSI can effectively distinguish between ice surfaces and water bodies,  
 320 thus improving the accuracy of ice extraction. By combining these two bands, MNDSI  
 321 highlights the differences between water bodies and ice surfaces, making it easier to  
 322 differentiate between them. Similar to NDWI, MNDSI enhances the contrast between  
 323 ice and water by utilizing reflectance values from different bands.

324 MNDSI (Modified Normalized Difference Snow Index) is calculated by  
 325 combining the reflectance of the near-infrared (NIR) and short-wave infrared (SWIR)  
 326 bands. The typical formula for MNDSI is as follows:

327 
$$MNDSI = \frac{NIR - SWIR}{NIR + SWIR}$$

328 Where NIR is the reflectance in the near-infrared band (typically 800–900 nm),  
 329 SWIR is the reflectance in the short-wave infrared band (typically 1500–1700 nm).







331        Figure 3 Original image of the lake during the freezing period (a) and MNDSI  
332        recognition image (b). Source: Landsat imagery courtesy of the U.S. Geological Survey  
333        (USGS), processed and interpreted by the authors.

### 334        (3) Cloud and Snow Interference Removal

335        The cloud and snow interference removal is only applied to the NDWI of the non-  
336        freezing period from May to November. The Landsat series satellites provide their own  
337        pixel-scale data quality band (QA\_PIXEL), which can be used to eliminate noise pixels  
338        in the image.

339        The QA\_PIXEL band in the Landsat dataset provides information on various  
340        quality types, where different bits (Bit) correspond to different types of quality  
341        information. For example, Bit 3 corresponds to clouds, Bit 5 corresponds to snow, and  
342        Bit 7 corresponds to water bodies. Within the same bit, values of 0 and 1 represent  
343        different data qualities. For example, a 0 in Bit 7 indicates that the pixel has poor water  
344        body information, being land or covered by clouds, while a 1 indicates that the pixel  
345        represents water.

346        Using this pixel quality information, we selected Bit 3 (cloud), Bit 5 (snow), and  
347        Bit 7 (water body). By performing bitwise AND and OR operations, we generated a  
348        water body mask file with good data quality after cloud and snow removal. This mask  
349        file is then overlaid with the actual image to remove pixels affected by cloud or snow  
350        interference. The effect of cloud and snow removal is shown in the image below:

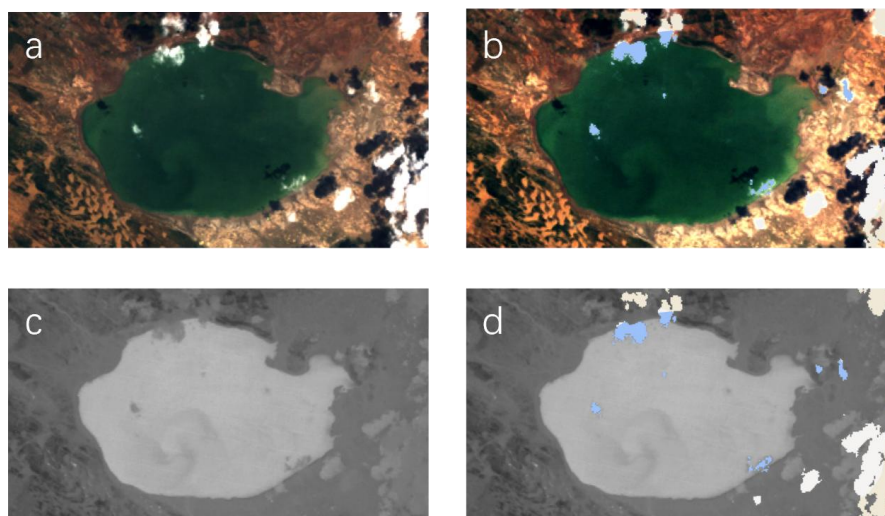


Figure 4: True color original image (a), true color image after cloud and snow removal (b), NDWI water body index calculation result of the true color original image (c), NDWI water body index calculation result of the true color image after cloud and snow removal (d). Source: Landsat imagery courtesy of the U.S. Geological Survey (USGS), processed and interpreted by the authors.

#### (4) Monthly Image Download

The NDWI, MNDSI index calculation, and cloud/snow interference removal are performed directly on the GEE platform, followed by monthly composite image downloads. Based on the cloud cover information ('CLOUD\_COVER'), which represents the cloud amount (range from 0 to 100, with larger values indicating more cloud coverage), the data is classified into three levels: 0-30, 30-60, and 60-100. If data is available in Level 1, Level 2 is not executed, and if Level 2 contains data, Level 3 is processed. All images from each year and month within the cloud cover level are selected, and the median pixel value is calculated to generate the composite monthly



366 NDWI (for 5-11 months) and MNDSI (for December to the following April) grayscale  
 367 images.

368 Data is filtered based on the cloud cover proportion  $C$ , where  $C \in [0,100]$ .

369 Composite image=Med( $S(C)$ ), where  $C=\text{CLOUD}_{\text{COVER}}$

$$370 \quad S(C) = \begin{cases} I(C) & \text{if } 0 \leq C \leq 30 \\ I(C) & \text{else if } 30 < C < 60 \\ I(C) & \text{else } 60 < C \leq 100 \end{cases}$$

371 Where  $I(C)$  is a set of image data filtered by cloud cover.

372 (5) Threshold Segmentation

373 This step applies the Otsu threshold algorithm to the downloaded NDWI and  
 374 MNDSI monthly composite grayscale images, automatically generating a segmentation  
 375 threshold. Pixels below the threshold are classified as water, and those above the  
 376 threshold are classified as other areas.

377 The core of the Otsu thresholding method is to divide the image into two classes  
 378 (foreground and background) by maximizing the between-class variance, thereby  
 379 achieving the optimal threshold segmentation. Specifically, it involves iterating through  
 380 all possible thresholds, and the optimal threshold is determined when the between-class  
 381 variance is maximized while the variance within both the foreground and background  
 382 is minimized. Compared to other methods, this algorithm maximizes the inclusion of  
 383 the target feature while excluding other interfering factors.

384 The Otsu thresholding method is used to automatically generate the segmentation  
 385 threshold, dividing the image into water and other regions:

$$386 \quad T = \arg \max_{\tau} \max(\sigma_B^2(\tau))$$



Where,  $\sigma_B^2(\tau)$  is the between-class variance, defined as:

$$\sigma_B^2(\tau) = \omega_1(\tau)\omega_2(\tau)(\mu_1(\tau) - \mu_2(\tau))^2$$

Where  $\omega_1(\tau)$  and  $\omega_2(\tau)$  are the weights of the foreground and background at the threshold  $\tau$ , and  $\mu_1(\tau)$  and  $\mu_2(\tau)$  are the mean gray values of the foreground and background, respectively.

The portion smaller than the threshold T is classified as water, symbolized as water pixels, while the portion greater than the threshold is classified as other categories.

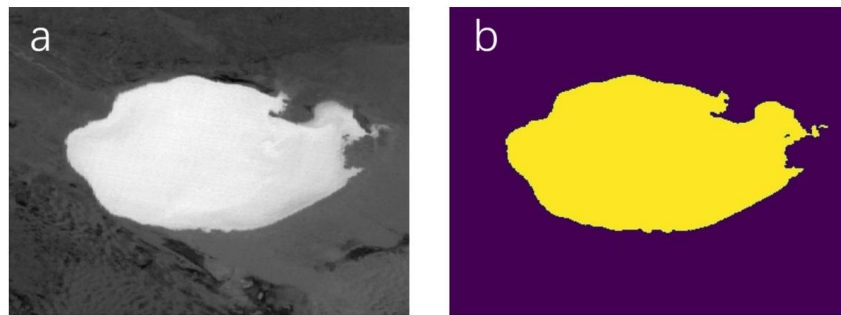


Figure 5 NDWI water index recognition result (a), and the effect of Otsu threshold method automatically separating water (yellow area) and background (purple area) based on NDWI recognition result (b). Source: Landsat imagery courtesy of the U.S. Geological Survey (USGS), processed and interpreted by the authors.

#### (6) Mountain Shadow Removal

Since the lake surface typically exhibits a flat state without significant slope and aspect features, digital elevation models (DEM) can be used to distinguish lakes from mountainous regions by utilizing slope and aspect information. By setting threshold values of 0 for slope and aspect, the distinction between lakes and mountainous areas can be made. However, the current frequency of elevation data updates does not align



405 with real-time imagery, leading to an inability to accurately reflect seasonal changes in  
 406 lake water levels within the elevation data. This limitation affects the precision of water  
 407 body area extraction using the data. Given that most lakes are interconnected, this study  
 408 employs the maximum connected component analysis algorithm from the Open-CV  
 409 vision field to define the boundaries of lakes and extract their areas.

410 By setting the thresholds for slope  $\theta$  and aspect  $\phi$  to 0 in the digital elevation model  
 411 (DEM), lakes are distinguished from mountainous areas:

$$412 \quad \theta(x, y) = 0, \quad \phi(x, y) = 0$$

413 Where  $\theta(x, y)$  and  $\phi(x, y)$  represent the slope and aspect values at a given  
 414 point  $(x, y)$ , respectively. By setting  $\theta(x, y) = 0$  and  $\phi(x, y) = 0$  as threshold  
 415 conditions for the lake area, the lake region is defined as the area where both the slope  
 416 and aspect are equal to 0.

$$417 \quad L = \max_i \left( \sum_{(x,y) \in C_i} I(x, y) \right)$$

418 Where  $L$  represents the total number of pixels in the largest lake area,  $C_i$   
 419 represents the  $i$ -th connected component in the image, the function  $\sum$  denotes the  
 420 summation of pixel points, and  $\max_i$  indicates the selection of the largest connected  
 421 component as the lake area.

## 422 (7) Buildings Removal

423 The construction of the building index currently mainly relies on the fact that the  
 424 surface temperature of buildings is usually higher than that of surrounding land cover,  
 425 and the mid-infrared band can effectively reflect surface temperature differences.



426 However, in previous land cover classification studies, the extraction results using this  
427 algorithm were not ideal. Considering that most buildings in the study area are not  
428 distributed along lakes, the maximum connected component algorithm can effectively  
429 exclude parts where buildings are misidentified as water bodies.

430 Based on the NDWI (Normalized Difference Water Index), a threshold  $T$  is used  
431 to binarize the image, separating water bodies from non-water bodies.

432

$$I(x, y) = \begin{cases} 1, & \text{if } NDVI(x, y) > T \\ 0, & \text{if } NDVI(x, y) \leq T \end{cases}$$

433 Connected Component Calculation: In the binarized image, the Connected  
434 Components Labeling (CCL) algorithm is used to identify all connected regions. A  
435 connected component is determined by scanning the neighboring pixels in the image  
436 (up, down, left, right, or diagonally). The formula is expressed as:

437

$$C_i = \sum_{(x,y) \in R} I(x, y)$$

438 Where  $R$  represents the connected regions in the image, and  $C_i$  denotes the  
439 connected components.

440 To eliminate interference from buildings, a threshold condition  $\tau$  is set, retaining  
441 only connected components with an area greater than  $\tau$ . Since buildings typically have  
442 smaller areas, while lakes exhibit larger connected components, the lake regions can be  
443 filtered using the following condition:

444

$$C_i > \tau$$

445 The lake boundary is extracted using a boundary detection algorithm (e.g., the  
446 Canny edge detection algorithm) applied to the selected largest connected region.



447

$$B = \text{Canny}(C_i)$$

448

449

450

451

As shown in the figure 6, the white areas in the original image include both lakes and buildings. When using threshold segmentation to extract water bodies, buildings may also be mistakenly identified as water. By applying the maximum connected component method, buildings can be effectively separated.

452



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457

Figure 6: The white areas in the original image include both lakes and buildings (a), water bodies extracted using threshold segmentation (b), and buildings separated using the maximum connected component method (c). Source: Landsat imagery courtesy of the U.S. Geological Survey (USGS), processed and interpreted by the authors.

458

#### (8) Cloudy Image Filling Processing

459

460

The processing steps (5)–(7) are applied to cloud-free images. For cloudy images, cloud-free images are used for filling before executing steps (5)–(7).

461

462

463

464

The filling approach is as follows: Based on the cloud coverage information (CLOUD\_COVER), images with cloud cover less than or equal to 30% ( $\text{CLOUD\_COVER} \leq 30\%$ ) are classified as cloud-free images, while others are considered cloudy images. The formula is as follows:



$$\begin{aligned} \text{Cloudy Image} &= \{\text{Image} \mid \text{CLOUD}_{\text{COVER}} \leq 30\%\} \\ \text{Cloud-Free Image} &= \{\text{Image} \mid \text{CLOUD}_{\text{COVER}} > 30\%\} \end{aligned}$$

Then, the Mutual Information (MI) algorithm is used to perform the most similar matching between the cloudy image and all cloud-free images. Next, the most similar image is combined with the original cloudy image through a union operation to obtain the filled cloudy image. Finally, steps (5)-(7) from the cloud-free image processing are executed, resulting in the final water body area. The specific steps are as follows:

**Candidate Cloud-Free Image Set:** In the time periods before and after the cloudy image, select images with low cloud coverage ( $\text{CLOUD\_COVER} \leq 30\%$ ) as the candidate image set.

**Mutual Information Algorithm:** Use the MI algorithm to calculate the similarity between the cloudy image and the candidate cloud-free images. The formula is as follows:

$$I(I_{\text{cloudy}}, I_{\text{clear}}) = \sum_{i,j} p(I_{\text{cloudy}} = i, I_{\text{clear}} = j) \log \left( \frac{p(I_{\text{cloudy}} = i, I_{\text{clear}} = j)}{p(I_{\text{cloudy}} = i) \cdot p(I_{\text{clear}} = j)} \right)$$

Where  $I_{\text{cloudy}}$  represents the cloudy image,  $I_{\text{clear}}$  represents the candidate cloud-free image, and  $p$  is the joint probability distribution of the pixel grayscale values.  $I$  denotes mutual information, which measures the correlation between the cloudy image and the cloud-free image.

**Selecting the Most Similar Image:** Based on the mutual information value, the cloud-free image most similar to the cloudy image is selected.



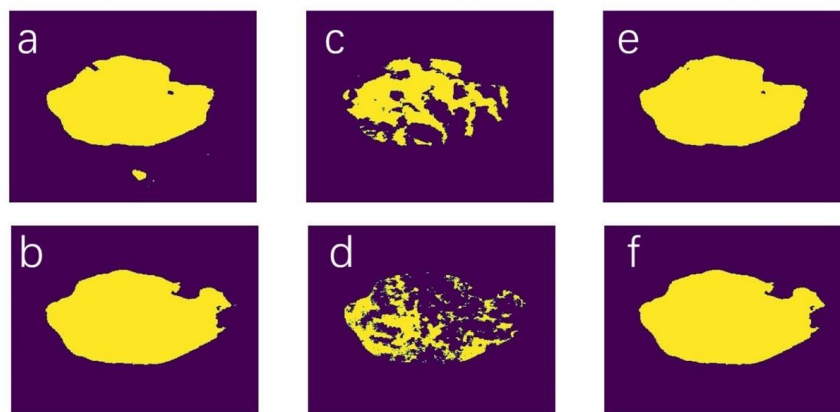


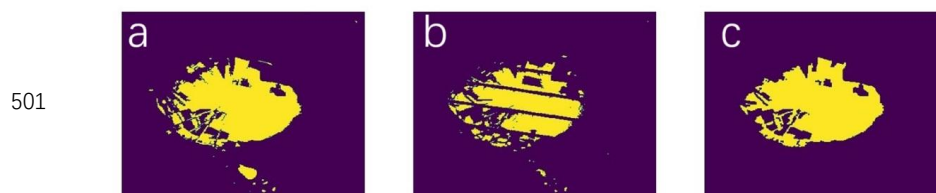
Figure 7: Image a and b show the cloud-free images found to be most similar to the cloudy images images c and d are the cloudy images, and images e and f show the result after cloud-filled processing of the cloudy images. Source: Landsat imagery courtesy of the U.S. Geological Survey (USGS), processed and interpreted by the authors.

#### (9) Striped Image Filling

The previously mentioned dataset indicates that Landsat 7 TM images have significant striping interference. Additionally, Landsat 5 TM and Landsat 8 OLI images also experience striping interference in certain months, such as Landsat 5 TM from 2001 to 2003 and Landsat 8 in 2008. To more accurately obtain the temporal changes in lake area, it is necessary to fill the missing portions of striped images. The method is the same as for cloud-filled images. By utilizing the clear contours of historical cloud-free images and applying the MI algorithm, the most similar historical cloud-free images are searched to fill the water pixels in the striped regions. The method for filling striped images is the same as that for cloud-filled images. Afterward, steps (5)-(7) are



500 executed to obtain the final water area extent.



502 Figure 8: The image found from the cloud-free images that is most similar to the  
 503 striped interference image (a); The striped interference image(b); The effect after filling  
 504 the striped interference(c). Source: Landsat imagery courtesy of the U.S. Geological  
 505 Survey (USGS), processed and interpreted by the authors.

506 (10) Water Area Extraction

507 After applying the maximum connectivity component processing to the image, the  
 508 number of water pixels is counted. Then, based on the spatial resolution of the pixels  
 509 (30m \* 30m), the actual area is calculated.

510 (11) Interpolation Processing

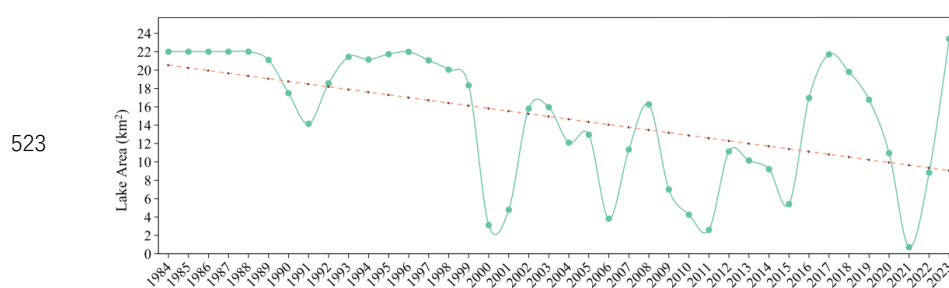
511 Collect all known lake area data for specific time points, where  $t_i$  dots represent  
 512 time points with available data. For each missing data point  $t_{\text{missing}}$ , use the known data  
 513 points  $t_{\text{missing}-1}$  and  $t_{\text{missing}+1}$ , and apply the selected interpolation method to calculate  
 514 the lake area  $A(t_{\text{missing}})$  at time.

### 515 3.2 Lake Area Time Series Construction

516 The interannual variation of Bahannao is quite drastic, but the overall trend is  
 517 declining, though not significantly. Before 1999, the changes were relatively stable. In  
 518 2000, the lake area shrank severely, decreasing by 82.98% compared to 1999, leaving  
 519 only 3.12 km<sup>2</sup>. Since then, the lake has exhibited a cyclical fluctuation pattern with a



520 period of approximately 5–6 years. In 2021, the lake area reached its minimum value  
 521 of just 0.71 km<sup>2</sup>, followed by a rapid increase, reaching its maximum of 23.38 km<sup>2</sup> in  
 522 2023.



524 Figure 9 Interannual Variation of Lake Area

525 Due to its location in the Mu Us Desert and the lack of long-term observational  
 526 data, this study references the lake area interpreted via remote sensing in the  
 527 *Comprehensive Lake Water Ecological Management Plan of Uxin Banner*. This report  
 528 provides remote sensing imagery data for 24 years from 1988 to 2018 (with six years  
 529 lacking clear images suitable for analysis).

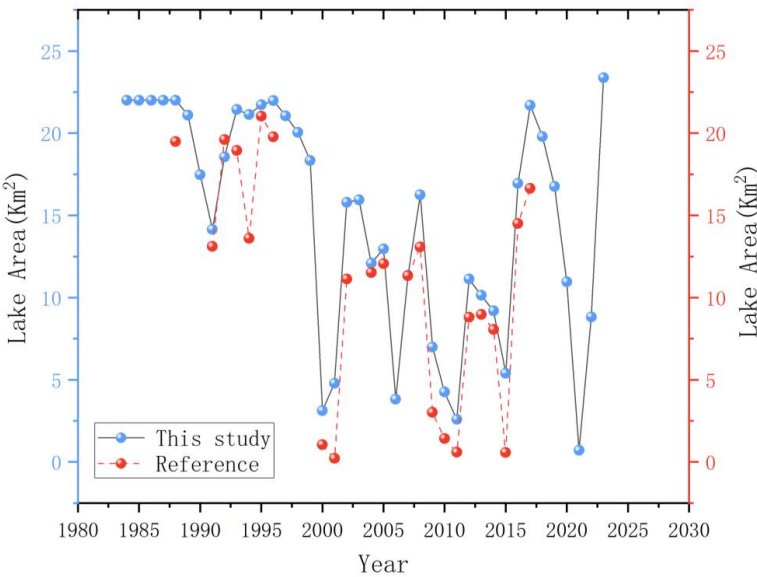
530 A comparison of the data (Figure 10) shows that the lake area interpreted in this  
 531 study aligns with the trend reported in the management plan. Over the 23 years of  
 532 overlapping interpretation, the error remains within 15% for 12 years. However, in  
 533 years when the lake area was smaller, the error was relatively larger, such as in 2000,  
 534 2001, 2009, 2010, 2011, and 2015. According to records, Bahannao Lake shrank  
 535 significantly during these years but did not completely dry up until 2021, which is  
 536 consistent with the results of this study.

537 The interpreted lake area in this study also indicates (Figure 11) that the annual



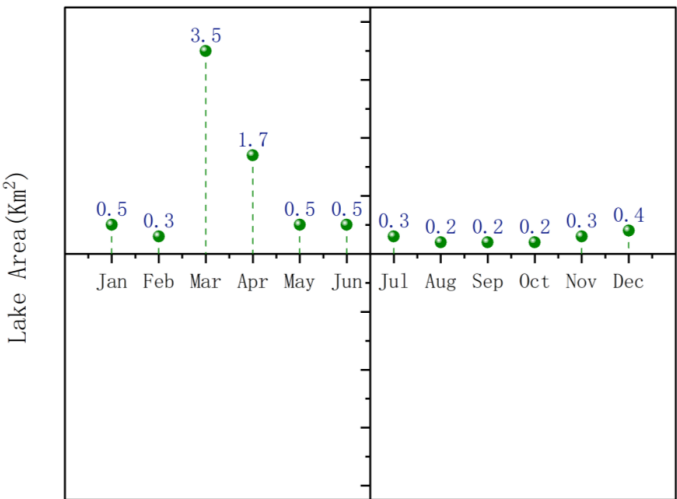
538 average area of Bahannao Lake in 2021 was only 0.71 km<sup>2</sup> . The lake area was at its  
539 smallest in August, September, and October, reaching only 0.2 km<sup>2</sup> , while the largest  
540 area was recorded in March at 3.5 km<sup>2</sup> .

541



542 Figure 10 Comparison of the lake area interpreted in this study with the reference  
543 data

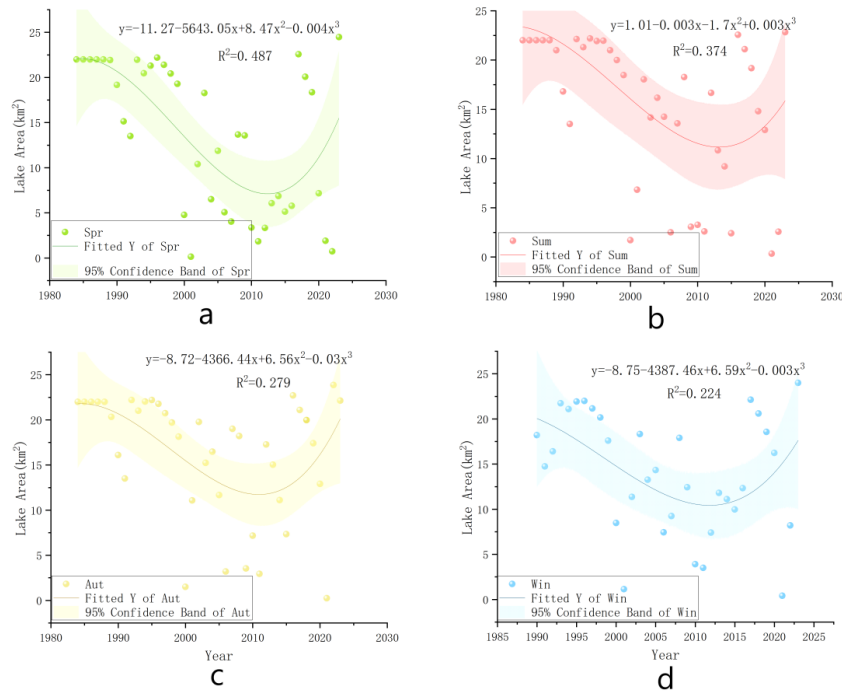
544





545

Figure 11 Monthly and seasonal variation of lake area in 2021



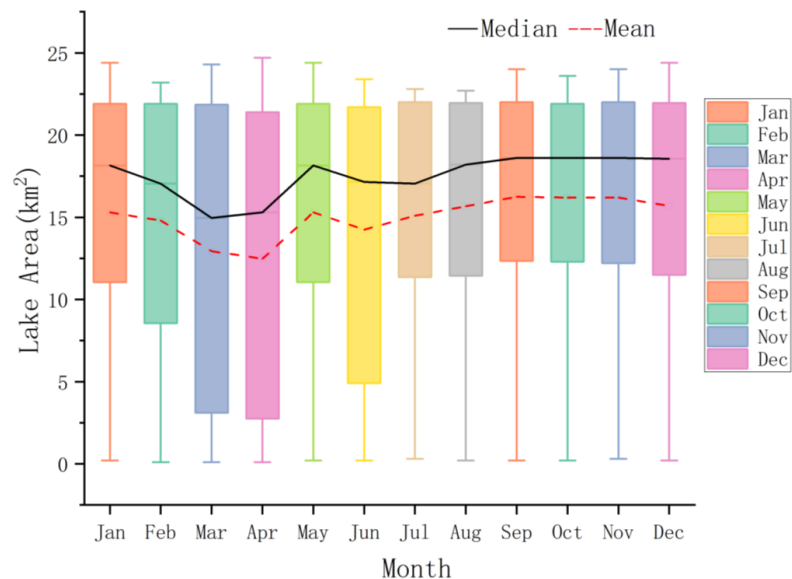
546

547

Figure 12 Seasonal Variation of Lake Area



548



549

Figure 13 Monthly Variation of Lake Area

550

From the perspective of seasonal and monthly variation characteristics, Bahannao

551

exhibits significant seasonal differences. The lake area in summer, autumn, and winter

552

is noticeably larger than in spring, with autumn having the largest lake area, averaging

553

16.21 square kilometers and reaching a peak of 16.24 square kilometers in September.

554

In contrast, spring has the smallest lake area, averaging only 13.57 square kilometers,

555

with the lowest value of 12.48 square kilometers occurring in April.

556

### 3.3 Impact of Climate Change

557

#### (1) Temperature Variation

558

##### 1) Temperature

559

The rise in air temperature directly affects the evaporation rate of the lake. The

560

warming rate is 0.0429°C per year, leading to an increase in the lake surface

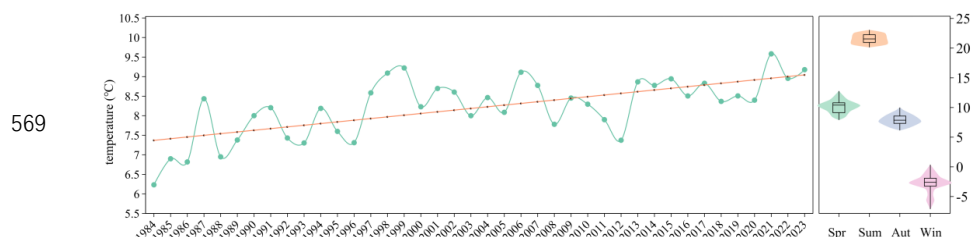
561

temperature and, consequently, higher evaporation. High temperatures intensify water



562 evaporation, reducing the lake's water volume and causing a gradual decrease in lake  
 563 area over the years.

564 The increase in air temperature enhances heat input into the water body,  
 565 accelerating evaporation. As more heat is absorbed, surface water transforms more  
 566 easily into water vapor, leading to a decline in lake water levels. Although the influence  
 567 of temperature on lake area varies across different time periods, its continuous upward  
 568 trend has a long-term impact on the reduction of lake area.



570 Figure 14 Regional Air Temperature Variation

## 571 2) 2m Dew Point Temperature

572 The 2m dew point temperature increases at a rate of  $0.0095^{\circ}\text{C/a}$ , indicating  
 573 changes in atmospheric humidity. A rising dew point temperature suggests an increase  
 574 in water vapor content in the air, typically associated with higher humidity. However,  
 575 humidity changes do not always directly impact lake area; instead, they influence lake  
 576 water volume indirectly by affecting evaporation and precipitation. While an increase  
 577 in dew point temperature usually indicates higher humidity, if precipitation is  
 578 insufficient or evaporation rates are too high, this increase in humidity may not  
 579 effectively replenish lake water. Instead, it could contribute to lake shrinkage. The  
 580 varying influence of the 2m dew point temperature over different periods suggests a



complex relationship with lake area changes, requiring a comprehensive analysis alongside other climatic factors.

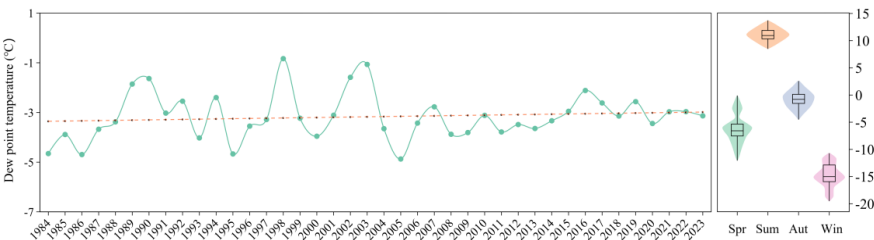


Figure 15 Regional 2m Dew Point Temperature Changes

(2) Changes in Precipitation

The total precipitation is decreasing at a rate of 1.7355 mm per year. Precipitation is one of the primary sources of lake water. A reduction in precipitation leads to insufficient water replenishment for the lake, resulting in a decline in water levels and a reduction in lake area.

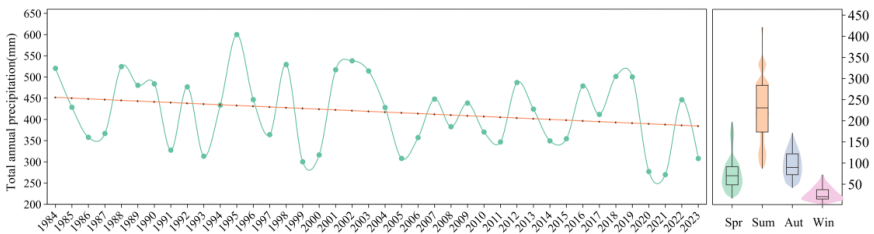


Figure 16 Regional Precipitation Changes

(3) Radiation and Energy Exchange

1) Net Longwave Radiation at the Surface

Net longwave radiation at the surface decreases by 0.0843 W/m<sup>2</sup> per year. The reduction in longwave radiation means that the lake receives less radiative heat, which theoretically could reduce evaporation. However, this effect is overshadowed by other





factors such as reduced precipitation and rising temperatures. While the decrease in longwave radiation could reduce heat loss from the lake, in conditions of drought and high evaporation, the impact of this reduction is likely limited.

2) Net Shortwave Radiation at the Surface

Net shortwave radiation at the surface increases by 0.0653 W/m<sup>2</sup> per year. The increase in shortwave radiation enhances the evaporation process, thereby reducing the lake's surface area. The rise in shortwave radiation leads to an increase in surface temperature, which accelerates evaporation. The intensified evaporation exacerbates the loss of water from the lake. The effect of increased shortwave radiation on the lake's area is significant during all periods, especially under drought and high-temperature conditions, where its impact is particularly pronounced.

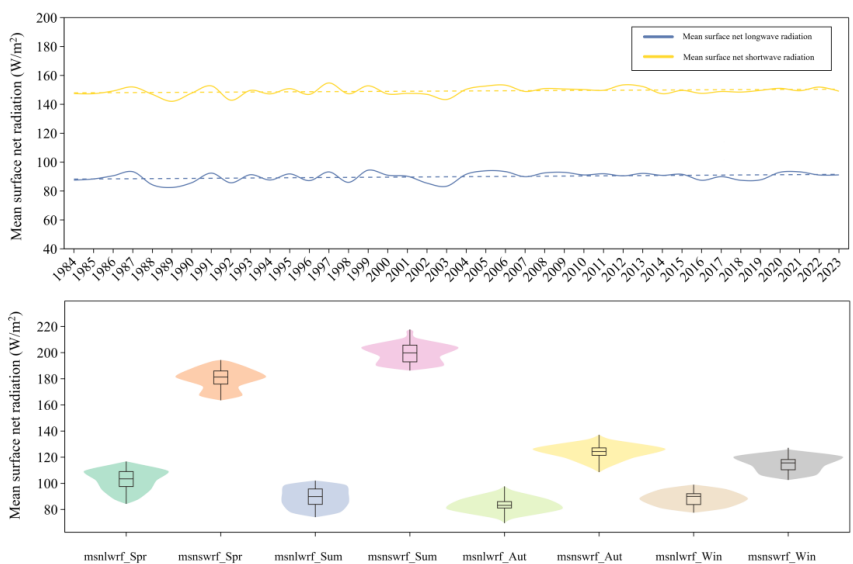


Figure 17 Regional Mean Surface Net Radiation

3)Mean Surface Latent Heat Flux



he latent heat flux decreases at a rate of  $0.1343 \text{ W/m}^2$  per year. The decrease in latent heat flux indicates a reduction in the moisture carried by the air, possibly as a result of decreased humidity, which further intensifies evaporation from the water.

4)Mean Surface Sensible Heat Flux

The sensible heat flux increases by  $0.0693 \text{ W/m}^2$  per year, meaning that the heat exchange between the surface and the atmosphere is enhanced. This leads to more evaporation, particularly during the summer when temperatures are higher.

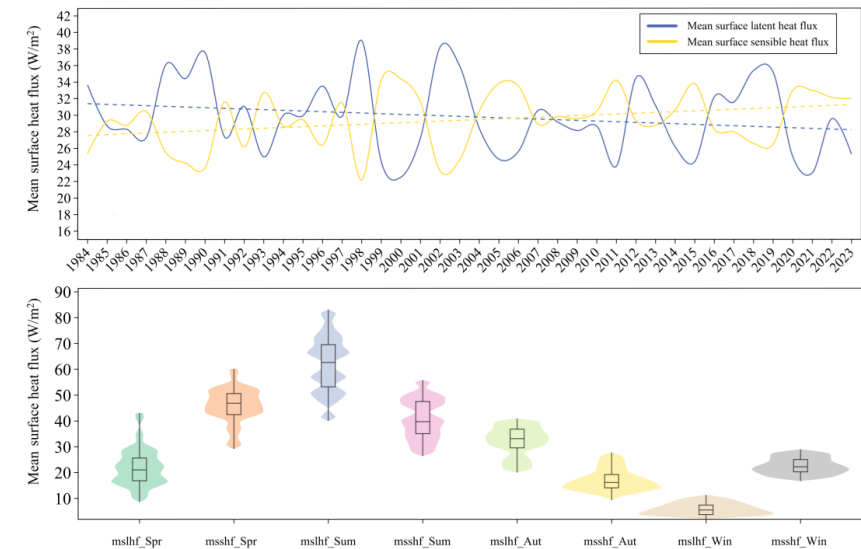


Figure 18 Regional Mean Surface Heat Flux

(4) Humidity and Evapotranspiration

1) Relative Humidity

The relative humidity decreases at a rate of  $0.0987$  per year. A decrease in humidity typically accelerates evaporation from the lake, leading to a reduction in lake area. The decrease in humidity means that the air becomes drier, and the evaporation rate increases. This accelerates the evaporation of lake water, resulting in a decline in both



lake water levels and area, intensifying the process of lake desiccation.

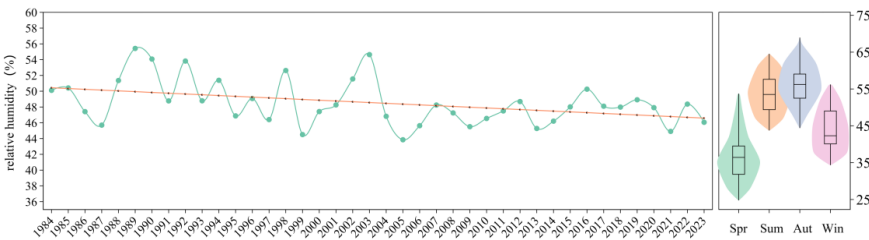


Figure 19 Regional Relative Humidity

2) Potential Evapotranspiration

Potential evapotranspiration increases at a rate of 1.9369 mm per year. The increase in evapotranspiration directly leads to the loss of water from the lake, making it an important factor contributing to the reduction in lake area. The rise in potential evapotranspiration indicates that both evaporation and plant transpiration in the lake area are increasing, further reducing the water volume of the lake. The increase in potential evapotranspiration has a significant impact on the lake area in all time periods, especially under drought and high-temperature conditions, where its effect is even more pronounced.

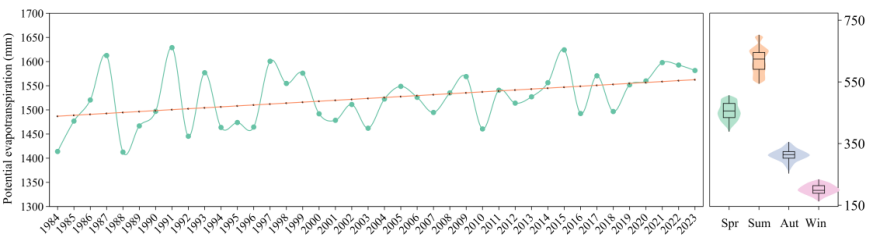


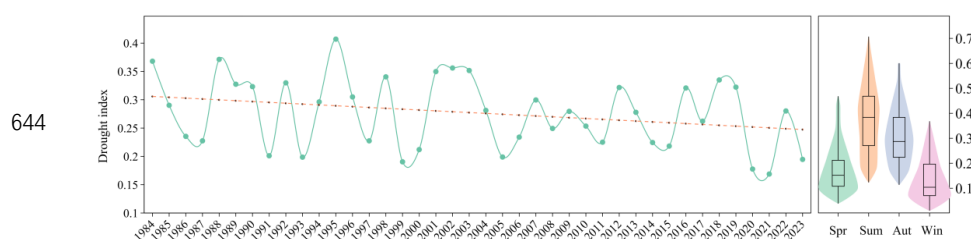
Figure 20 Regional Potential Evapotranspiration

(5) Drought

The drought index decreases at a rate of 0.0019 per year, indicating that the

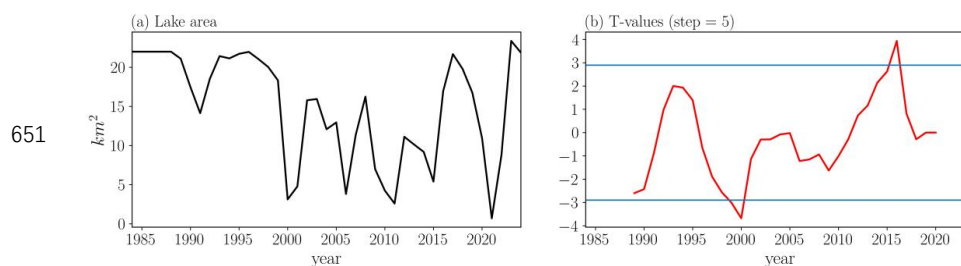


642 drought conditions in the region are intensifying, further contributing to the shrinkage  
 643 of the lake.



645 **Figure 21 Regional Drought Index**

646 A sliding T-test on the lake area reveals two turning points in the lake's area change,  
 647 specifically in 2000 and 2015. Therefore, we divide the study period into three time  
 648 segments: the first period from January 1984 to December 1999, the second period from  
 649 January 2000 to December 2014, and the third period from January 2015 to July 2024,  
 650 to investigate the causes of the changes in lake area.



652 **Figure 22 Sliding T-test**

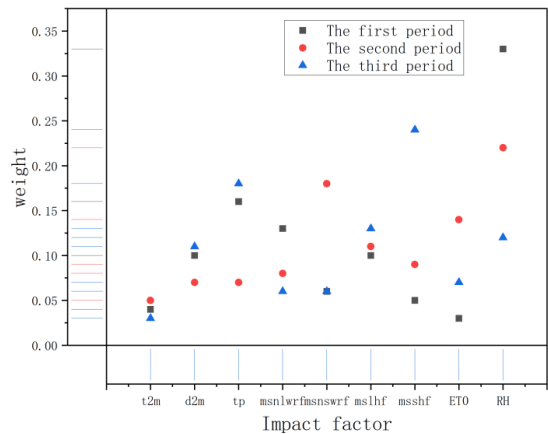


Figure 23 Factor Weight Diagram (Three Time Periods)

This paper conducts a factor analysis for three time periods, as shown in the figure 23. To better understand the causes of the change in the Bahai Nao lake area, we can explore several aspects in detail, including the direct and indirect effects of climate change, the roles of precipitation and evaporation, the effects of radiation and energy exchanges, and the combined effects of humidity and drought.

From 1984 to 1999, the change in Bahai Nao lake area was mainly driven by a decrease in precipitation and a decline in humidity. During this period, the main factors affecting lake area were humidity and precipitation. The weight of humidity reached 0.33, and that of precipitation was 0.16. This suggests that during this period, the decline in humidity significantly increased evaporation, leading to a reduction in lake area. Precipitation also decreased at a rate of 1.7355 mm per year, further exacerbating the loss of lake water. Although temperature rose (at a rate of 0.0429°C per year), its impact on lake area was relatively small (weight of 0.04).

From January 2000 to December 2014, the main influencing factors were humidity



669 (weight of 0.22) and surface net longwave radiation (weight of 0.18). The decline in  
670 humidity intensified evaporation, and the increase in surface net shortwave radiation  
671 (at a rate of 0.0653 W/m<sup>2</sup> per year) also significantly influenced evaporation (weight of  
672 0.08). The continuous decrease in precipitation (weight of 0.07) and changes in latent  
673 heat flux (weight of 0.09) gradually reduced their impact on lake area.

674 From January 2015 to July 2024, the impact of sensible heat flux significantly  
675 increased (weight of 0.24), reflecting an increased effect of surface heat exchange on  
676 water evaporation. Meanwhile, the increase in potential evapotranspiration (weight of  
677 0.13, with a rate of 1.9369 mm per year) indicated a sustained rise in water loss in the  
678 region. Although temperature continued to rise (at a rate of 0.0429°C per year), its direct  
679 impact on lake area was relatively limited (weight of 0.03). Additionally, the ongoing  
680 decrease in precipitation (at a rate of 1.7355 mm per year) continued to contribute to  
681 the shrinking of the lake area, and the decline in humidity (at a rate of 0.0987) further  
682 exacerbated evaporation (weight of 0.07).

683 The driving factors of the Bahai Nao lake area changes show significant  
684 differences in different time periods. From 1984 to 1999, humidity and precipitation  
685 were the primary factors determining lake area change. Over time, from 2000 to 2014,  
686 the impact of declining humidity and increasing shortwave radiation gradually  
687 strengthened, while the effects of reduced precipitation and changes in latent heat flux  
688 weakened. From 2015 to 2024, the rise in temperature, increase in sensible heat flux,  
689 and the increase in potential evapotranspiration became the major drivers, making the  
690 trend of lake area shrinkage more significant.



Overall, the reduction in the Bahai Nao lake area is primarily driven by the combined effects of climate warming, enhanced evaporation, and reduced precipitation. Particularly under the changes in humidity and evapotranspiration, the evaporation rate of the lake has notably accelerated.

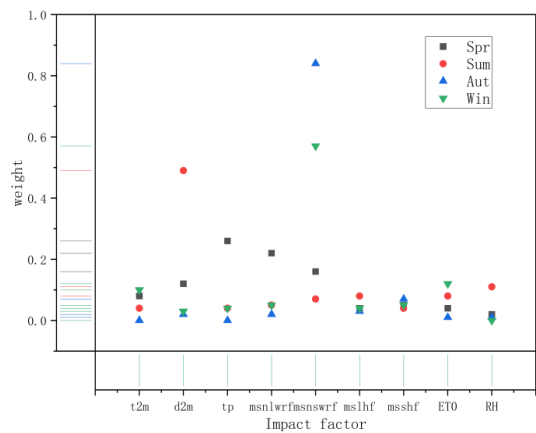


Figure 24 Weight of Influencing Factors by Season

Subsequently, a seasonal analysis of the influencing factors on the lake area of Bahai Nao Lake in spring, summer, autumn, and winter was conducted, as shown in Figure 24.

From the previous analysis, the climate conditions in spring were: temperature 10.16°C, 2-meter dew point temperature 6.28°C, rainfall 76.14 mm, average net long-wave radiation 103.13 W/m<sup>2</sup>, potential evapotranspiration 456.37 mm, and humidity 36.62%.

Spring is the main replenishment period for rainfall, with a weight of 0.26, significantly higher than the other seasons. Therefore, although the 76.14 mm of rainfall is not as abundant as in summer, it still plays an important role in replenishing the lake's



707 water volume. At the same time, radiation energy ( $103.13 \text{ W/m}^2$ ) and relatively low  
708 humidity lead to significant evaporation. The high potential evapotranspiration of  
709  $456.37 \text{ mm}$  also indicates that the evaporation potential in spring is high, which could  
710 partly offset the water replenishment brought by the rainfall. This is why the increase  
711 in lake water volume is slow in spring.

712 In summer, the climate conditions were: temperature  $21.58^\circ\text{C}$ , 2-meter dew point  
713 temperature  $11.06^\circ\text{C}$ , rainfall  $229.87 \text{ mm}$ , average net long-wave radiation  $89.14 \text{ W/m}^2$ ,  
714 potential evapotranspiration  $620.36 \text{ mm}$ , and humidity  $53.58\%$ .

715 Summer is the season with the most abundant rainfall ( $229.87 \text{ mm}$ ), which is a key  
716 replenishment period for the lake's water volume. However, the high weight of the 2-  
717 meter dew point temperature ( $0.49$ ) indicates that humidity controls the evaporation of  
718 the water body. Due to the high humidity ( $53.58\%$ ), the evaporation rate of the lake is  
719 relatively low. Despite the very high potential evapotranspiration ( $620.36 \text{ mm}$ ), the  
720 impact of humidity significantly slows down the evaporation of moisture, allowing the  
721 lake area to maintain relatively well during the summer.

722 In autumn, the climate conditions were: temperature  $7.86^\circ\text{C}$ , 2-meter dew point  
723 temperature  $0.78^\circ\text{C}$ , rainfall  $96.58 \text{ mm}$ , average net long-wave radiation  $83.52 \text{ W/m}^2$ ,  
724 average net short-wave radiation  $124.12 \text{ W/m}^2$ , potential evapotranspiration  $314.29 \text{ mm}$ ,  
725 and humidity  $55.91\%$ .

726 The variation in lake water volume in autumn is mainly driven by solar short-wave  
727 radiation, with a weight of  $0.84$ . This indicates that although the rainfall in autumn is  
728 moderate ( $96.58 \text{ mm}$ ), the higher short-wave radiation ( $124.12 \text{ W/m}^2$ ) leads to intense





729 evaporation. The potential evapotranspiration is 314.29 mm, showing that the lake  
730 evaporation is large, and although the relative humidity is relatively high (55.91%), it  
731 is insufficient to prevent the reduction of the lake water volume. The low temperature  
732 in autumn (7.86°C) further indicates that although the temperature impact is minimal,  
733 the radiation intensity still determines the seasonal reduction in lake area.

734 in winter, the climate conditions were: temperature 2.79°C, 2-meter dew point  
735 temperature 14.66°C, rainfall 26.54 mm, average net long-wave radiation 88.52 W/m<sup>2</sup>,  
736 average net short-wave radiation 114.66 W/m<sup>2</sup>, potential evapotranspiration 200.32 mm,  
737 and humidity 44.03%.

738 Winter sees a significant decrease in temperature (2.79°C), and evaporation is  
739 suppressed. However, the surface short-wave radiation remains the main influencing  
740 factor in winter, with a weight of 0.57. This suggests that, despite the lower rainfall  
741 (26.54 mm) in winter, radiation still plays a role in moisture evaporation. The potential  
742 evapotranspiration is 200.32 mm, which is lower compared to other seasons, but still  
743 enough to affect the lake's water volume. The temperature has a small contribution to  
744 the lake water volume change (0.10), indicating that in winter, the main evaporation  
745 driving force is solar radiation.

746 In summary, the seasonal variation of Bahai Nao Lake's water volume is mainly  
747 affected by rainfall, radiation, humidity, and evapotranspiration. In spring, the change  
748 in lake area is primarily determined by rainfall replenishment, but higher radiation and  
749 potential evapotranspiration weaken the accumulation of water. In summer, humidity  
750 and dew point temperature are the dominant factors affecting the lake area. Despite



751 abundant rainfall, high humidity slows down evaporation, maintaining the lake's water  
752 volume. In autumn, due to intense solar short-wave radiation, the lake water volume  
753 decreases significantly, with evaporation being the dominant factor. In winter, despite  
754 the low temperature, radiation remains the main driving factor for evaporation, leading  
755 to a continued reduction in the lake's water volume.

756 This seasonal hydrological change in the lake suggests that different seasonal  
757 factors influencing the lake area focus on the interaction between rainfall and radiation,  
758 as well as the regulatory effect of humidity on evaporation.

#### 759 **4. Discussion**

760 This study established a continuous monthly record of Bahannao Lake from 1984  
761 to 2024 using an optimized extraction framework that integrates seasonal index  
762 selection, maximum connectivity analysis, and mutual information – based gap filling.  
763 Unlike previous long-term products such as the JRC Global Surface Water dataset,  
764 which are often constrained by cloud contamination and temporal discontinuity, our  
765 framework ensures both higher temporal continuity and robustness under complex  
766 environmental conditions.

767 The methodological improvements provide several advantages. First, the seasonal  
768 use of NDWI and MNDSI effectively distinguishes water bodies under freezing and  
769 non-freezing conditions, outperforming traditional single-index approaches (McFeeters,  
770 1996; Yao et al., 2015). Second, the combination of Otsu thresholding with DEM  
771 constraints reduces misclassification from shadows and topography, a common issue in  
772 arid-region lakes with irregular terrain. Third, the MI-based filling strategy reconstructs



773 cloud- and stripe-contaminated images, extending the applicability of Landsat data and  
774 providing a longer, more reliable time series compared with interpolation-only methods  
775 (Zhao and Gao, 2018). Together, these innovations establish a transferable framework  
776 for dynamic lake monitoring, particularly suited for arid and data-scarce regions where  
777 conventional products often fail. Importantly, these improvements systematically  
778 address the common challenges highlighted in earlier studies, including cloud  
779 contamination, seasonal variations, topographic interference, and spectral complexity  
780 in inland waters (Mouw et al., 2015; Palmer et al., 2015; Shen et al., 2017; Cao et al.,  
781 2019).

782       Beyond methodological advances, the long-term record reveals important insights  
783 into the hydro-climatic controls of arid-region lakes. Precipitation was identified as the  
784 dominant driver of lake expansion in spring and summer, while shortwave radiation  
785 governed evaporation in autumn and winter. This seasonal contrast aligns with findings  
786 from other arid and high-altitude regions, such as Nam Co on the Tibetan Plateau (Li et  
787 al., 2017) and lakes on the Mongolian Plateau (Tao et al., 2015), where radiation and  
788 humidity strongly modulate evaporation under limited precipitation inputs. However,  
789 our results also highlight a pronounced nonlinear shift in dominant drivers over time:  
790 humidity and precipitation before 2000, radiation and humidity variability during  
791 2000 – 2014, and energy flux intensification after 2015. This temporal evolution differs  
792 from some humid-region lakes, where nutrient enrichment or human disturbance  
793 dominate changes (Jeppesen et al., 2014), suggesting that climatic forcing plays a more  
794 persistent role in arid environments.



795        These findings carry broader implications for ecohydrological research and water  
796        resource management. By quantifying the nonlinear interactions of multiple climatic  
797        factors, our study demonstrates that lake dynamics in arid regions cannot be attributed  
798        to a single driver but emerge from the shifting balance of precipitation, radiation, and  
799        evapotranspiration. This highlights the vulnerability of arid-region lakes to climate  
800        change, where even moderate increases in radiation or evapotranspiration can outweigh  
801        precipitation recovery. Such insights are crucial for improving hydrological models,  
802        projecting future lake dynamics, and informing adaptive management strategies under  
803        intensified drought risk.

804        Several limitations should be acknowledged. First, while remote sensing provides  
805        a robust record of surface area, subsurface processes such as groundwater inflow and  
806        outflow were not explicitly considered, which may contribute to lake water balance.  
807        Second, the spatial resolution of Landsat (30 m) limits the detection of small-scale  
808        shoreline changes, and higher-resolution sensors (e.g., Sentinel-2) could improve  
809        accuracy in future studies. Third, although XGBoost effectively captured nonlinear  
810        relationships, its “black-box” nature limits interpretability compared with process-  
811        based hydrological models. Future research could combine machine learning with  
812        ecohydrological modeling and socioeconomic datasets to better quantify the combined  
813        impacts of climate variability and human activities on arid-region lakes.

## 814    **5. Conclusion**

815        This study developed an optimized lake area extraction framework and applied it  
816        to construct a continuous monthly record of Bahannao Lake from 1984 to 2024. The



817 method integrates seasonal index selection, adaptive thresholding, maximum  
818 connectivity analysis, and mutual information – based gap filling, effectively  
819 addressing cloud contamination, seasonal freezing, and data gaps in remote sensing  
820 images.

821 The long-term record reveals both significant interannual variability and clear  
822 seasonal differences in lake dynamics. Precipitation was the dominant driver of lake  
823 expansion in spring and summer, whereas shortwave radiation controlled evaporation  
824 in autumn and winter. Factor weights further demonstrate a temporal shift in dominant  
825 mechanisms: humidity decline and precipitation reduction before 2000; enhanced  
826 radiation and humidity variability during 2000 – 2014; and intensified sensible heat  
827 flux and potential evapotranspiration after 2015.

828 These findings highlight the nonlinear and evolving interactions of hydro-climatic  
829 drivers regulating arid-region lakes. The proposed framework not only improves the  
830 reliability of long-term lake monitoring but also provides actionable insights for  
831 ecohydrological research, water resource management, and climate change adaptation  
832 in arid environments.

### 833 **Competing interests**

834 The authors declare that they have no conflict of interest.

### 835 **Code/Data availability**

836 The data and code that support the findings of this study are available from the  
837 corresponding author upon reasonable request.



### 838 **Author contribution**

839 R Z and X W conceived and designed the study, developed the methodology,  
 840 curated the data, and performed the formal analysis. R Z was responsible for  
 841 visualization. R Z and X W prepared the original draft of the manuscript, and all authors  
 842 contributed to reviewing and editing the paper. X W provided overall supervision.

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