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# Impact of present aircraft $NO_x$ and aerosol emissions on atmospheric composition and climate: results from a model intercomparison

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**Abstract.** Aircraft emissions of nitrogen oxides (NO<sub>x</sub>=NO+NO<sub>2</sub>), aerosols, and aerosol precursors provide a non-negligible contribution to the climate impact of air traffic, and the uncertainty on their Effective Radiative Forcing (ERF) of climate remains significant. This study presents results from a new model intercomparison of the impact of aircraft emissions involving five state-of-the-art global models including both tropospheric and stratospheric chemistry. Aircraft NO<sub>x</sub> increases ozone photochemical production in the free troposphere throughout the year and decreases ozone chemical loss in the high-latitude lowermost stratosphere during spring—early summer. The models generally agree on the spatial pattern of NO<sub>x</sub>, ozone, and hydroxyl radical (OH) responses. The NO<sub>x</sub> net ERF is systematically positive and ranges from 7.3 to 22.1 mW m<sup>-2</sup> among the different models (14.1–22.1 mW m<sup>-2</sup> without the least sensitive model). Estimates of the aerosol direct ERF are systematically negative and range between -6.5 and -17.8 mW m<sup>-2</sup>, with differences arising from the diversity in model aerosol parameterizations. This work shows encouraging results regarding our confidence in aviation NO<sub>x</sub>-induced ozone response because of a better model agreement. However, results also highlight areas where further modeling experiments are needed, both with more models and with dedicated sensitivity simulations to further understand the factors giving rise to the spread in model estimates of aviation emission impacts on atmospheric composition and climate.

## 1. Introduction

Air traffic emissions play a non-negligible role in climate change (Arias et al., 2021; Szopa et al., 2021) and air quality (e.g. Prashanth et al., 2022). As a long-lived species, carbon dioxide (CO<sub>2</sub>) is the main climate forcer in the long term, and its radiative effect is reasonably well-quantified (Boucher et al., 2021). Additionally, the radiative effect from aviation non-CO<sub>2</sub> emissions has recently been evaluated to account for two-thirds of the aircraft CO<sub>2</sub> effective radiative forcing from 1940 until 2018, but is characterized by uncertainties 8 times greater than for CO<sub>2</sub> (Lee et al., 2021). Consequently, when estimating the benefit of aviation mitigation strategies, it is crucial to quantify and constrain both CO<sub>2</sub> and non-CO<sub>2</sub> effects.

Non-CO<sub>2</sub> emissions include a variety of chemically reactive gaseous and particulate compounds. The emitted species include sulfur dioxide (SO<sub>2</sub>) forming sulfate (SO<sub>4</sub>) particles that tend to cool the surface by scattering the incoming solar radiation,

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and black carbon (BC) - or soot - particles that tend to warm the atmosphere by absorbing the incoming solar radiation. Aerosols also have an indirect effect on climate through aerosol-cloud interactions, but the large uncertainties do not allow for a robust estimate in the case of aviation. Among the non-CO<sub>2</sub> gaseous components, water vapor (noted hereafter as H<sub>2</sub>O) is the most abundantly emitted species. Its injection into the dry lowermost stratosphere (LMS), where its lifetime is substantially longer than in the troposphere, induces a positive radiative forcing (Lee et al., 2021). H<sub>2</sub>O, together with soot particles, also leads to the formation of contrail-cirrus, which is estimated to exert the largest individual contribution to positive RF, but with large uncertainty (e.g. Lee et al., 2021; Wilhelm et al., 2021). Another of the non-CO<sub>2</sub> effects from aviation, still surrounded by a large uncertainty, is induced by nitrogen oxides (NO<sub>x</sub> = NO + NO<sub>2</sub>), a necessary catalyzer for tropospheric ozone (O<sub>3</sub>) production. Though emitted in lesser quantities from aircraft than from other transport modes (e.g. Righi et al., 2023, Fig. 1), the injection directly into the free troposphere makes high-altitude NO<sub>x</sub> emissions more efficient in producing ozone (e.g. Finney et al, 2016). It is linked both to the longer NO<sub>x</sub> lifetime in this region, and its lower NO<sub>x</sub> background. Compared to lightning NO<sub>x</sub> emissions that likely represent 2-8 TgN/yr (Schumann and Huntrieser, 2007), aviation NO<sub>x</sub> emissions are not negligible as they are currently near 1 TgN/yr, i.e. potentially up to 50% of the lightning emissions. Ozone has been confirmed as one of the main greenhouse gases linked with anthropogenic activities (Stevenson et al., 2013). Earlier studies showed that the main ozone perturbation induced by aviation is located in the vicinity of the tropopause (e.g. Brasseur et al., 2016), where changes in ozone cause the most important positive RF (Riese et al., 2012). Changes in NO<sub>x</sub> and ozone further modify the atmospheric oxidizing capacity by promoting the formation of the hydroxyl radical (OH), impacting atmospheric chemistry and particularly methane oxidation. The increased abundance of OH in the troposphere causes the reduction of atmospheric methane (CH<sub>4</sub>) lifetime, which induces a negative radiative forcing over about a decade following the emission, thus partly counteracting the initial ozone-induced warming effect. Since methane is an ozone precursor in the troposphere and a water vapor precursor in the stratosphere, its increased sink in the short term decreases the production of these two species during the decade following the emission, thus increasing the cooling term due to methane destruction (e.g. Myhre et al., 2011). Based on the existing literature, Lee et al. (2021) estimated the net aviation NO<sub>x</sub> impact to be an effective radiative forcing (ERF) of 17.5 [0.6–28.5] mW m<sup>-2</sup> for the year 2018, resulting from a positive ERF from short-term ozone of 49.3 [33–76] mW m<sup>-2</sup> and a negative ERF from long-term methane decrease of -34.9 [-65 - -25] mW m<sup>-2</sup>, thus highlighting high uncertainties for both processes.

Several studies have conducted model intercomparisons to more robustly evaluate the impact of aircraft emissions on atmospheric composition, and its consequences for climate (Hoor et al., 2009; Hodnebrog et al., 2011; Hodnebrog et al., 2012; Olsen et al., 2013; Søvde et al., 2014; Brasseur et al., 2016). All these studies accounted for short-term ozone perturbation, methane perturbation, and long-term O<sub>3</sub> and stratospheric H<sub>2</sub>O perturbations. In the framework of the QUANTIFY project (Quantifying the Climate Impact of Global and European Transport Systems), Hoor et al. (2009) found a net RF of 2.9 +/- 2.3 mW m<sup>-2</sup> for the year 2003. Søvde et al. (2014) obtained a range of 1–8 mW m<sup>-2</sup> for the year 2006 (4–8 mW m<sup>-2</sup> without the most sensitive model regarding methane loss), with the REACT4C (Reducing Emissions from Aviation by Changing Trajectories for the benefit of Climate) emission inventory (Matthes et al., 2012). In the framework of the ACCRI program, Brasseur et al. (2016) derived a range of 6–36.5 mW m<sup>-2</sup> (resp. -12.3 – -8 mW m<sup>-2</sup>) concerning the short-term ozone response (resp. methane lifetime decrease) for the year 2006, with only one model accounting for the long-term ozone/H<sub>2</sub>O responses. These estimates remain characterized by a high uncertainty due to the differences between models (with a standard deviation greater than 50%), and/or due to chemical processes not accounted for, as in Brasseur et al. (2016).

Here, we present results from a new multi-model intercomparison conducted under the framework of the EU project ACACIA (Advancing the Science for Aviation and Climate). Simulations were performed with five up-to-date global chemistry-climate models (CCMs) or chemistry-transport models (CTMs) with a common simulation protocol, notably imposing the recent inventories used by CMIP6 for anthropogenic surface and aircraft emissions (Mc Duffie et al., 2020), and for biomass burning emissions (van Marle et al., 2017), using prescribed sea-surface temperatures (SSTs) and surface methane concentrations, and nudging or forcing the horizontal wind speeds with reanalysis output (ERA-Interim or MERRA-2). A companion paper is dedicated to the assessment of the model baseline performance using in-situ aircraft observations (Cohen et al., 2025); in the present study, the focus is on the effect of aircraft NO<sub>x</sub> and, secondarily, aerosols and aerosol precursor emissions on

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atmospheric composition and associated radiative forcings of climate. The objectives of this study are (1) to provide an



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overview of the methodology of the harmonized multi-model study, (2) to present aviation-induced changes in the concentration of reactive species and the extent to which models agree, as well as specific differences between individual models, including an evaluation of the linearity of aviation induced effects. Based on ancillary variables provided by some of the models, we suggest additional explanations for the model results. Finally, (3) to provide estimates of the radiative effects of the simulated aviation-induced ozone, methane, and aerosol changes.

Section 2 describes the models, input data, and methods, while Section 3 shows the changes in atmospheric composition due to aviation emissions as simulated by the models. Section 4 provides the associated radiative forcing of climate. In Section 5, we draw the conclusions of the current study.

# 2. The model intercomparison

# 2.1 Participating global models

Table 1 summarizes the general characteristics of the participating models, and Table 2 summarizes the aerosol parameterization for the models that provide aerosol variables. For each model described in Table 2, the pairs of mixing states correspond to the hydrophilic-hydrophobic dichotomy, and the three mixing states for EMAC-aer also include a mixted-particles category. It applies to both black carbon and organic carbon.





Table 1: Description of the participating models. The acronyms and abbreviations are explained here. In the first column, the abbreviations Horiz., Vert., Hom., Phot., Het., and BVOC denote horizontal, vertical, homogeneous, photolytic, heterogeneous, and biogenic volatile organic compounds respectively. Among the aerosol categories, SO4, NO3, NH4, BC, OC, POM, Cl, and Na represent sulfate, nitrate, ammonium, black carbon, organic carbon, primary organic matter, chlorine, and other marine components (mainly sodium), respectively. In the references, G2001 represents Grewe et al. (2001), PR92 and P1997 represent Price and Rind (1992) and Price et al. (1997), O2010 represents Ott et al. (2010), P1998 represents Pickering et al. (1998), and M2012 represents Murray et al. (2012).

Model	EMAC-NO <sub>x</sub>	LMDZ-INCA	MOZART3	OsloCTM3	GEOS-Chem	EMAC-aer
Institution (user)	DLR	LSCE (IPSL)	MMU	CICERO	TU Delft	DLR
Model type	CCM (CTM mode)	CCM (CTM mode)	CTM	CTM	CTM	CCM
Reanalysis	ERA-Interim	ERA5	ERA-Interim	OpenIFS	MERRA-2	ERA-Interim
GCM	ECHAM5	LMDZ	_	_	_	ECHAM5
Horiz. resolution	2.8° N x 2.8° E	1.3° N x 2.5° E	2.8° N x 2.8° E	2.25° N x 2.25° E	2.0° N x 2.5° E	2.8° N x 2.8° E
Vert. levels	90	39	60	60	72	41
UTLS Vert. resolution (hPa)	15–20	25–40	20–30	25–30	30–45	20
Top level (hPa)	0.010	0.012	0.10	0.10	0.010	5
Time period	2014–2018	2014–2018	2014–2018	2014–2017	2019	2006–2015
Chemistry						
Total tracers	160	174	108	190	311	119
Aerosol tracers	_	26	_	56	36	82
Hom. reactions	265	390	218	263	661	47
Phot. reactions	82	80	71	61	157	13
Het. reactions	12	39	18	18	97	0
Emissions						
Lightning	G2001	PR92; O2010	P1997; P1998	PR92; O2010	M2012	PR92
BVOCs		ORCHIDEE	POET	MEGAN- MACC	MEGAN	
Biomass burning		BB4CMIP	BB4CMIP	BB4CMIP	GFED4	BB4CMIP





Table 2: Description of the aerosol parameterization in the four models providing aerosol output.

Model	EMAC-aer	LMDZ-INCA	OsloCTM3	GEOS-Chem
Mixing states	3	2	2	2
Size bins	3 (log-normal modes)	3	BC/OC/SOA/SO <sub>4</sub> : bulk scheme NO <sub>3</sub> /NH <sub>4</sub> : 2 (fine and coarse mode)	1
Particle number	Yes	Yes	No	No
Emission mode	91 % Aitken mode; 9 % accumulation mode	Accumulation mode	Bulk scheme	Accumulation mode
Aging	Calculated explicitly based on aerosol microphysics	1.2 day	Different constant depending on latitude and season (for BC and OC)	1.15 day
Aerosol types	SO <sub>4</sub> , NO <sub>3</sub> , NH <sub>4</sub> , BC, POM, dust, Na, Cl	SO <sub>4</sub> , NO <sub>3</sub> , NH <sub>4</sub> , BC, POM, dust, sea-salt	SO <sub>4</sub> , NO <sub>3</sub> , NH <sub>4</sub> , BC, POM, SOA, dust, sea-salt	SO <sub>4</sub> , NO <sub>3</sub> , NH <sub>4</sub> , BC, POM, dust, sea-salt

## 135 **2.1.1 EMAC**

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The ECHAM/MESSy Atmospheric Chemistry (EMAC) model is a numerical chemistry and climate simulation system that includes sub-models describing tropospheric and middle atmosphere processes and their interaction with oceans, land, and human influences (Jöckel et al., 2010). It uses the second version of the Modular Earth Submodel System (MESSy2) to link multi-institutional computer codes. As described in Jöckel et al. (2016), MESSy is a software package providing a framework for a standardized, bottom-up implementation of Earth system models with flexible complexity (Modular Earth Submodel System). The core atmospheric model is the 5<sup>th</sup> generation European Centre Hamburg general circulation model (ECHAM5: Roeckner et al., 2006). The physics subroutines of the original ECHAM code have been modularized and reimplemented as MESSy submodels and have continuously been further developed. Only the spectral transform core, the flux-form semi-Lagrangian large-scale advection scheme, and the nudging routines for Newtonian relaxation remain from ECHAM. For the present study, we applied EMAC in two different configurations, for NO<sub>x</sub> and aerosol. Hereafter, we refer to them as EMAC-NO<sub>x</sub> and EMAC-aer, respectively. EMAC-NO<sub>x</sub> is based on MESSy version 2.55.2 in the T42L90MA-resolution, i.e. with a spherical truncation of T42 (corresponding to a quadratic Gaussian grid of approximately 2.8 by 2.8 degrees in latitude and longitude) with 90 vertical hybrid pressure levels up to 0.01 hPa, whereas EMAC-aer is based on MESSy version 2.54.0 in the T42L41DLR-resolution, with 41 levels up to 5 hPa (see Righi et al., 2023, for further details). In ECHAM5, the nudging applies to vorticity, temperature, logarithm of the surface pressure, and divergence with a relaxation time being 6 h, 24 h, 24 h, and 48 h respectively. The NO<sub>x</sub> configuration was run in the so-called Quasi Chemistry-Transport Model mode (QCTM: Deckert et al., 2011) enabling binary identical simulations with respect to atmospheric dynamics, so that perturbations in chemistry can be detected with a high signal-to-noise ratio. This mode was not used for the aerosol configuration, since this also includes aerosol-cloud interactions which are not compatible with this mode. Both model setups comprised the Module Efficiently Calculating the Chemistry of the Atmosphere (MECCA) used for tropospheric and stratospheric chemistry calculations with the possibility of extending to the mesosphere and oceanic chemistry (Sander et al., 2019). Reaction



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mechanisms include ozone, methane, HO<sub>x</sub>, NO<sub>x</sub>, NMHCs, halogens, and sulfur chemistry for EMAC-NO<sub>x</sub>, while a simplified chemical mechanism was used to EMAC-aer, comprising the NO<sub>x</sub>-HO<sub>x</sub>-CH<sub>4</sub>-CO-O<sub>3</sub> chemistry and the tropospheric sulfur cycle. Radiative transfer calculations are performed using the submodel RAD (Dietmüller et al., 2016). EMAC-aer uses the submodel MADE3 (Kaiser et al., 2019) for aerosol microphysics.

## 2.1.2 LMDZ-INCA

The LMDZ-INCA global chemistry-aerosol-climate model couples online the LMDZ general circulation model (Laboratoire de Météorologie Dynamique, version 6: Hourdin et al., 2020) and the INCA model (INteraction with Chemistry and Aerosols, version 6: Hauglustaine et al., 2004). In the present configuration, the model includes 39 hybrid vertical levels extending up to about 80 km. The horizontal resolution is 1.25° in latitude and 2.5° in longitude. INCA initially included a state-of-the-art CH<sub>4</sub>-NO<sub>x</sub>-CO-NMHC-O<sub>3</sub> tropospheric photochemistry (Hauglustaine et al., 2004; Folberth et al., 2006). Ammonia and nitrate aerosols are considered as described by Hauglustaine et al. (2014). The model has been extended to include an interactive chemistry in the stratosphere and mesosphere. Chemical species and reactions specific to the middle atmosphere were added to the model. A total of 31 species were added to the standard chemical scheme, mostly belonging to chlorine and bromine chemistry, with 66 gas-phase reactions and 26 photolytic reactions (Terrenoire et al., 2022; Pletzer et al., 2022). In this study, meteorological data from the European Center for Medium-Range Weather Forecasts (ECMWF) ERA5 reanalysis have been used to constrain the GCM meteorology. The relaxation of the GCM winds towards ECMWF meteorology is performed by applying at each time step a correction term to the GCM zonal and meridional wind components with a relaxation time of 3.6 h. The ECMWF fields are provided every 6 hours and interpolated onto the LMDZ grid. The lightning NO<sub>x</sub> (LNO<sub>x</sub>) parameterization is updated from Jourdain and Hauglustaine (2001). The flash frequency is determined by the cloud-top height and the surface type (land or ocean), following Price and Rind (1992). As in Cohen et al. (2023), the number of flashes is rescaled to the global mean frequency of 46.3 flash/s derived from Lightning Imaging Sensor and Optical Transient Detector (OTD/LIS: Cecil et al., 2014). The vertical profile of LNO<sub>x</sub> emissions follows the parameterization in Ott et al. (2010).

#### **2.1.3 MOZART3**

Model for OZone And Related chemical Tracers, version 3 (MOZART3) is an offline, global chemical transport model, extensively evaluated (Kinnison et al., 2007) and used for a range of various applications (Liu et al., 2009; Wuebbles et al., 2011), including studies dealing with the impact of aviation emissions on atmospheric composition (Søvde et al., 2014; Skowron et al., 2015). The horizontal resolution used in this study is T42 (2.8° x 2.8°) and vertically the model domain spans 60 layers between the surface and 0.1 hPa. The transport of chemical compounds as well as the hydrological cycle is driven by the meteorological fields from ECMWF Interim 6-h reanalysis (ERA-Interim). The model reproduces detailed chemical and physical processes from the troposphere through the stratosphere. The chemical mechanism consists of 108 species, 218 gas-phase reactions, 71 photolytic reactions including the photochemical reactions associated with organic halogen compound, and 18 heterogeneous reactions involving four aerosol types: liquid binary sulfate, supercooled ternary solution, nitric acid trihydrate, and water-ice. The kinetic and photochemical data is based on the NASA/JPL evaluation (Sander et al., 2006). MOZART3 accounts for advection based on the flux-form semi-Lagrangian scheme (Lin et al., 1996), shallow and mid-level convection (Hack et al., 1994), deep convective routine (Zhang et al., 1995), boundary layer exchanges (Holtslag et al., 1993), or wet and dry deposition (Brasseur et al., 1998; Müller et al., 1992). The parameterization of NO<sub>x</sub> emissions from lightning follows the assumption that the lightning frequency depends on the convective cloud top height and the ratio of cloud-to-cloud versus cloud-to-ground lightning depends on the cold cloud thickness (Price et al., 1997). The lightning NO<sub>x</sub> emissions are distributed vertically through the convective column according to observed profiles based on Pickering et al. (1998). The lightning source is scaled to provide a total of 4.7 Tg(N) yr<sup>-1</sup>, with daily and seasonal fluctuations based on the model meteorology. The patterns of lighting NO<sub>x</sub> distribution in MOZART3 show a general agreement with LIS and OTD climatology datasets (Skowron et al., 2021).



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#### **2.1.4 OsloCTM3**

OsloCTM3 is a global, offline chemical transport model, driven by 3-hourly meteorological forecast data from the European Centre for Medium-Range Weather Forecasts (ECMWF) Open Integrated Forecast System (OpenIFS) model (Søvde et al., 2012). The model is run in its default horizontal resolution of 2.25° x 2.25° with 60 levels, the uppermost centered at 0.1 hPa. The OsloCTM3 treats comprehensive tropospheric and stratospheric chemistry (Berntsen and Isaksen, 1997; Stordal et al., 1985), as well as the main anthropogenic and natural aerosol species (sulfate, nitrate/ammonium, black carbon, primary and secondary organic aerosol, dust, and sea salt). The kinetics are based on JPL 2006 (Sander et al., 2006), while the photodissociation coefficients are calculated online using the Fast-JX scheme (Prather, 2009). The numerical integration of chemical kinetics is done by applying the Quasi Steady State Approximation (QSSA: Hesstvedt et al., 1978), using three different integration methods depending on the chemical lifetime of the species. The aerosol schemes are described in more detail in Lund et al. (2018a). Notably, 80 % of emitted BC is considered as hydrophobic and 20 % as hydrophilic, with an aging that consists of a constant rate depending on the region and the season (Lund et al., 2012). Large-scale advection is treated by the second-order moments (SOM) scheme (Prather, 1986), convective is based on Tiedtke (1989), and boundary layer mixing is based on Holtslag et al. (1990). Scavenging covers dry deposition, i.e. uptake by soil or vegetation at the surface, and washout by convective and large-scale rain (Søvde et al., 2012).

#### 2.1.5 GEOS-Chem

GEOS-Chem is a chemistry-transport model with unified tropospheric-stratospheric oxidant-aerosol chemistry. The original gas-phase tropospheric oxidant model of GEOS-Chem is described by Bey et al. (2001). Aerosol chemistry, modeling the SO<sub>4</sub>-NO<sub>3</sub>-NH<sub>4</sub> system, is described by Park et al. (2004). The ISORROPIA II thermodynamic module is used for the aerosol model (Fountoukis and Nenes, 2007). Heterogeneous chemistry of nitrate aerosols is as described by Holmes et al. (2019). Aerosol hygroscopicity is modeled as described by Latimer and Martin (2019), and cloud water pH as described by Shah et al. (2020). The stratospheric chemistry model is described by Eastham et al. (2014). Emissions are implemented with the HEMCO module described by Keller et al. (2014).

In this study, GEOS-Chem classic v13.3 is used, driven by the MERRA-2 reanalysis product (Gelaro et al., 2017). The model's "fullchem" configuration is used, without the optional extensions for aerosol microphysics and complex SOA modeling. Meteorology and emissions are for the year 2019. Timesteps are 10 min for transport and convection, and 20 min for chemistry and emissions. The model is spun-up with runs of 21 months at 4° latitude by 5° longitude, followed by 3 months at the final resolution.

Lightning NO<sub>x</sub> emissions are as described by Murray et al. (2012) to match OTD/LIS climatological observations of lightning flashes. Biogenic VOC emissions in GEOS-Chem are from the MEGAN v2.1 inventory of Guenther et al. (2012) as implemented by Hu et al. (2015). Leaf area indices (LAIs) used in MEGAN v2.1 are from the Yuan et al. (2011) MODIS product for 2005-2020. Dependence on CO<sub>2</sub> was added by Tai et al. (2013). Acetaldehyde emissions are from Millet et al. (2010). Biogenic non-agricultural ammonia sources are from GEIA (Bouwman et al., 1997). Emissions from open fires for individual years are from the GFED4.1s inventory.

#### 240 2.2 Simulation set-up and emission inventories

Each participating model (see Sect. 2.1) generated a set of simulations following a common protocol, based on a perturbation approach. As summarized in Table 3, each model provides at least one reference run including all emission sources and one run without any aviation emission. To evaluate the linearity of the chemical and radiative response versus aviation  $NO_x$  emissions, three of the models (LMDZ-INCA, MOZART3, and OsloCTM3) also provided a run with all aviation emissions reduced by 20% (then the difference with the reference run is rescaled up to 100%). To provide a first estimate of the





dependence on the NO<sub>x</sub> background, an additional pair of runs was made by MOZART3 without lightning emissions. Three of the models (LMDZ-INCA, OsloCTM3, and GEOS-Chem) include aerosols, as well as EMAC in the EMAC-aer configuration.

- Each simulation is preceded by a 1-year spin-up and covers the period 2014–2018 (2014–2017 for OsloCTM3, and 2019 for GEOS–Chem), still considered as present-day when the protocol was designed. The wind horizontal velocities are directly taken from reanalyses for CTMs, and nudged toward a reanalysis for CCMs (ERA-I for EMAC, ERA5 for LMDZ-INCA) using a quasi-CTM mode, i.e. without any feedback between chemistry and dynamics (except for EMAC-aer).
- 255 The historical anthropogenic emissions are taken from the Community Emissions Data System inventory CEDSv2 (McDuffie et al., 2020; O'Rourke et al., 2021, regarding NOx, SO2, and BC emissions). For EMAC-NOx, LMDZ-INCA, MOZART3, and OsloCTM3, a correction (Thor et al., 2023) has been applied to the initial CEDS aviation emissions. Historical biomass burning emissions until 2014 are provided by the BB4CMIP inventory (van Marle et al., 2017), notably based on the Global Fire Emissions Database (GFED4s: van der Werf et al., 2017), followed by emissions prescribed in the SSP3-7.0 scenario until 260 2018 (Gidden et al., 2019). For these years (2015–2018), the differences between the scenarios remain small, as are the differences with the year 2014 in the CEDS inventory, given that the scenarios data sets have been harmonized with the historical data sets to ensure a consistent evolution before and after this transition year. Other emissions, primarily from natural sources, are not prescribed by the protocol and depend on the individual model. For example, biogenic volatile organic compounds (BVOC) emissions are calculated using a different module for each model. Lightning flash rate is parameterized using the commonly used scheme described in Price and Rind (1992) or Price et al. (1997) for most models (LMDZ-INCA, 265 MOZART3, OsloCTM3, EMAC-aer), or similar for EMAC-NO<sub>x</sub> (Grewe et al., 2001), and thus depends on the deep convection parameterization. The vertical distribution of LNO<sub>x</sub> emission per flash is calculated using the scheme described in Ott et al. (2010) for LMDZ-INCA and OsloCTM3, or in its former version described in Pickering et al. (1998) for MOZART3.

## Table 3: List of the different runs used in this study.

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Name	Description
REF	Present-day standard run (2014–2018)
SEN100	Same as REF, without aircraft emissions
SEN20	Same as REF, with all the aircraft emissions reduced by 20 $\%$

Among the five models included in this paper, GEOS-Chem data is from pre-existing runs made for a different publication (Quadros et al., 2025) and is thus less consistent with the protocol. For this model, the monthly-averaged aircraft emissions are calculated from a list of flights provided by Flightradar24, using the methods described by Quadros et al. (2022). Also, the runs concern only the year 2019. As only three models included aerosol chemistry, and as the complexity of the aerosol representation is substantially different through the models, we also added the aerosol output from the EMAC aerosol-climate model published in Righi et al. (2023), called EMAC-aer in the present study. It has to be noted that the latter's experimental setup is substantially different, as it spans over 10 years (2006–2015) with emissions taken constantly at the same level as 2015 (from the SSP2 scenario), and as meteorology is influenced by atmospheric chemistry. To minimize the influence of interannual variability, we average the output over the 10 years of simulation. We rescaled the EMAC-aer perturbations using the NO<sub>x</sub> emissions from CEDS for the period 2014–2018 (and the same rescaling factor for every species), which causes limited changes as the emissions in Righi et al. (2023) are taken for 2015 from the same inventory.





Apart from the current study, it is worth mentioning that other tests were designed by the same common protocol. More runs have been made available to assess specifically the present-day impact of aviation NO<sub>x</sub> on aerosols (Bellouin et al., in prep.) by reducing only NO<sub>x</sub> emissions, and the impact of future aviation emissions (Staniaszek et al., in prep.).

### 2.3 Methodology

The requested monthly output from the 5 participating models is used to derive 5-year averages for each month and for the whole year. Following the perturbation approach, we calculate the chemical composition changes as the difference between the reference run and the run without aviation emissions. For the runs with aviation emissions reduced by 20 %, we apply a factor 5 to the difference in order to make it comparable to the 100 % reduction case.

As the aviation emissions provided as output by the models can be slightly different due to vertical regridding to model native resolutions and/or due to different years, we apply a rescaling factor to each model result to ensure the same amount of aircraft emissions. For a given model M, this factor is calculated as the R<sub>M</sub> ratio following Eq. 1:

$$R_{\rm M} = E_{\rm INCA} (NO_{\rm x}) / E_{\rm M} (NO_{\rm x}) \tag{1}$$

where E<sub>M</sub>(NO<sub>x</sub>) is the global aviation NO<sub>x</sub> emissions averaged over the whole simulation period from the M model. E<sub>INCA</sub>(NO<sub>x</sub>) is the corresponding emission for the LMDZ-INCA model, with a value of 1.12 TgN yr<sup>-1</sup>. This rescaling factor based on NO<sub>x</sub> applies to the perturbation for all species, including aerosols and precursors. In most cases, this rescaling does not change the results significantly, as NO<sub>x</sub> emissions range between 0.98 (EMAC-NO<sub>x</sub>) and 1.12 TgN yr<sup>-1</sup> (LMDZ-INCA and MOZART3). We assume these differences to be small enough to neglect non-linearities in the chemical perturbation. One exception is GEOS-Chem, as its 2019 emissions are substantially higher (1.40 TgN yr<sup>-1</sup>) than the average 2014–2018, so one has to keep in mind that linear rescaling is less adequate. Last, the rescaling calculated for the EMAC-aer model is based on the 2015 emissions, as used in Righi et al. (2023).

To derive the radiative impact of aviation-induced atmospheric composition changes, we use concentration-based kernels to calculate the stratospherically adjusted ozone RF and the instantaneous top-of-the-atmosphere RF due to aerosol-radiation interactions (Skeie et al., 2020; Samset et al., 2011). To perform the RF calculations, ozone and aerosol data from all models were interpolated to the kernel resolution (2.25° x 2.25° and 60 vertical levels). For the calculation of ozone column for each model, the air mass from OsloCTM3 was used, following the method in Skeie et al. (2020). The ozone RF calculations from the kernel have been found to compare favorably against offline radiative transfer model calculations in LMDZ-INCA and OsloCTM3. More generally, kernel-based estimates of ozone RF have been found to agree with those from full radiative transfer in previous applications (Lund et al., 2021). We also calculate the effective radiative forcing (ERF) from methane, long-term ozone, and stratospheric water vapour using the modelled change in methane lifetime, the methane feedback factor from Sand et al. (2023), the efficacies from Lee et al. (2021), and the simplified equations from Etminan et al. (2016). The calculated ozone RF is converted to ERF using the ERF/RF ratio from Lee et al. (2021) and combined with the other forcings to give an estimate of the net NO<sub>x</sub> ERF. For aerosols, the kernel includes rapid adjustments for BC, thus representing the ERF, while the ERF/RF ratio is assumed to be 1 for the scattering aerosols due to lack of other information (Lee et al., 2021).

# 3. Impact of aviation emissions on atmospheric composition

#### 3.1 Gas-phase chemistry

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On the global scale, and from 150 hPa down to the surface, Table 4 synthesizes the global burden perturbation for several species, normalized by aircraft  $NO_x$  emissions. The global  $NO_x$  perturbation ranges between 0.60 % (EMAC- $NO_x$ ) and 0.85 % (MOZART3) of the emitted  $NO_x$ , in terms of nitrogen mass. Including the  $NO_x$  reservoir species, the  $NO_y$  perturbation spreads between 3.20 % and 4.51 %, the main contributor being HNO<sub>3</sub> (1.97–3.22 %), representing ~ 66–75 % of the  $NO_y$ 



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perturbation. Normalized to 1 TgN/yr of emitted NO<sub>x</sub>, the ozone perturbation ranges between 0.51 DU (EMAC-NO<sub>x</sub>) and 0.90 DU (GEOS-Chem), i.e. between 5.6 and 9.9 TgO<sub>3</sub>. The values are similar between LMDZ-INCA and MOZART3. OsloCTM3 shows a higher mean sensitivity compared to EMAC-NO<sub>x</sub> though the EMAC-NO<sub>x</sub> mixing ratio reaches higher values, which is due to the lower altitude of the OsloCTM3 response (hence a greater mass perturbation) and to its wider vertical range. In comparison, Olsen et al. (2013) and Brasseur et al. (2016) present the ozone burden sensitivity in 2006 to the NO<sub>x</sub> emissions from the AEDT inventory, with a spread between 2.8 and 11.2 Tg/(TgN.yr<sup>-1</sup>) from both offline and online models, and 6.7, 9.0 and 11.2 Tg/(TgN.yr<sup>-1</sup>) from the three CTMs exclusively (respectively CAM5, CAM4, and GEOS-Chem). Compared to the current study (5.6–9.9 Tg/(TgN.yr<sup>-1</sup>)), the inter-model range is similar. The ozone sensitivity is lower in the current study, but the aviation NO<sub>x</sub> emission is 36% greater in 2014–2018 than in 2006, thus leading the chemical conditions closer to the NO<sub>x</sub>-saturated regime. Last, in both studies, GEOS-Chem is characterized by the highest response, with a higher ozone sensitivity than the current study (9.9 Tg/(TgN.yr<sup>-1</sup>)).

Table 4: Ratios between the global burden perturbation and the  $NO_x$  annual emissions. The aviation  $NO_x$  emission unit (NEU) is defined here as 1 NEU = 1 TgN yr<sup>-1</sup>.

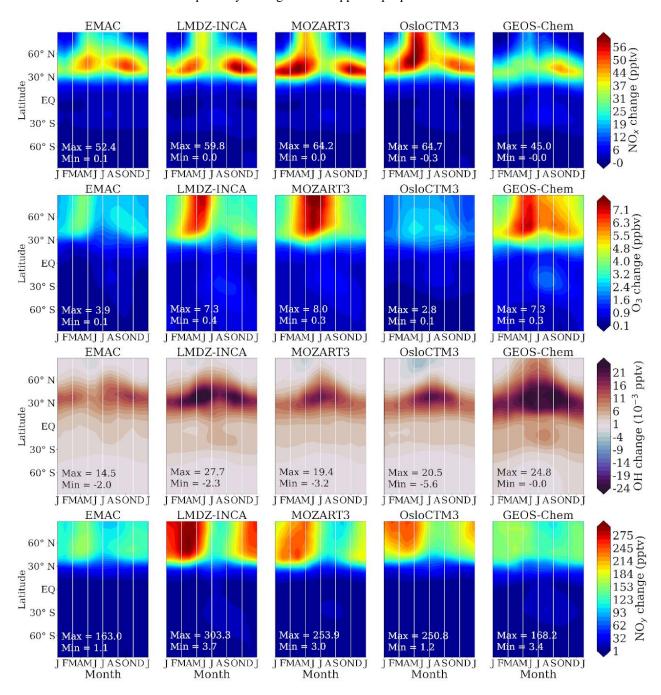
	Unit	EMAC-NO <sub>x</sub>	LMDZ-INCA	MOZART3	OsloCTM3	GEOS-Chem
$ENO_x$	NEU	0.982	1.119	1.105	1.084	1.402
$\Delta O_3 / ENO_x$	Tg/NEU	5.59	8.32	7.84	6.71	10.0
$\Delta O_3/ENO_x$	DU/NEU	0.51	0.76	0.73	0.61	0.92
$\Delta NO_y/ENO_x$	TgN/NEU	3.20 10-2	4.42 10-2	3.75 10-2	3.94 10-2	4.51 10-2
$\Delta NO_x/ENO_x$	TgN/NEU	5.97 10-3	7.22 10-3	8.47 10-3	7.17 10-3	7.32 10-3
$\Delta HNO_3/ENO_x$	TgN/NEU	1.97 10-2	3.16 10-2	2.41 10-2	3.03 10-2	3.22 10-2
Aerosols		EMAC-aer				
ΔBC/ENO <sub>x</sub>	Gg/NEU	1.36	0.335	-	0.184	0.331
$\Delta SO_4/ENO_x$	GgS/NEU	10.2	5.64	-	4.32	5.10
ΔNO <sub>3</sub> /ENO <sub>x</sub>	GgN/NEU	1.77	0.492	-	6.93	2.85

Figure 1 displays Hovmöller diagrams for several gaseous compounds. In order to capture as much of the response for each model as possible, the vertical average is made between 150 and 350 hPa. This section first describes the overall features, then focuses on the model differences. The NO<sub>x</sub> response generally shows two seasonal maxima at northern midlatitudes: a springtime maximum characterized by an impact extending northward into the Arctic, and a fall maximum. It is worth noting that it contrasts with NO<sub>x</sub> emissions (Fig. S6 in Supplement) with a winter minimum and a summer maximum on average in the mid-latitudes. Depending on the model, the aviation-induced ozone response peaks between mid-spring and early summer. Contrary to NO<sub>x</sub>, the mid-latitude OH response peaks during summer, which is consistent with the ozone response convoluted with moister conditions in the extratropical upper troposphere—lower stratosphere (Ex-UTLS) during this season (e.g. Zahn et al., 2014; Cohen et al., 2025), and with more sunlight. At high latitudes, almost all the models show a negative OH response concurrent with the poleward extent of the NO<sub>x</sub> response. The NO<sub>y</sub> response shows a springtime maximum and a minimum





during the end of summer. As for the global budget, the  $HNO_3$  response (not shown) contributes the most to this  $NO_y$  behavior, and, as a  $NO_x$  reservoir, it might explain the summertime decrease in the  $NO_x$  perturbation: as the OH concentration reaches its maximum in summer, more  $NO_x$  is converted into  $HNO_3$ . The latter has a short lifetime against scavenging, a sink likely increased in the lowermost stratosphere by mixing with the upper troposphere.





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Figure 1: Hovmöller diagrams synthesizing the mean response of NO<sub>x</sub>, O<sub>3</sub>, OH and NO<sub>y</sub> (from top to bottom), for the five models (from left to right). Each diagram consists of a vertical average between 150 and 350 hPa, the x and y axis displaying the months of the year and the latitude, respectively. Please note the diverging colorbar for OH, as there are both positive and negative changes.

Further details on the geographical distribution are available in Figs. 2 and 3, displaying the perturbations averaged between 150 and 350 hPa as in Fig. 1. We choose to display all the months that correspond to the minimum or maximum ozone perturbation for at least one model, as shown in Fig. 1 where the ozone response is minimized in January for every model and is maximized from April until June depending on the model. In the northern extratropics, the ozone perturbation is more important in April for EMAC-NO<sub>x</sub>, in May for LMDZ-INCA, and in June for MOZART3, OsloCTM3, and GEOS-Chem. Consistently between the five models, Fig. 2 shows that the NO<sub>x</sub> perturbation is located near the main emission zone above North America, Europe, and the North Atlantic corridor, with a similar spatial pattern expected from the use of a similar emission inventory, but with different magnitudes reflecting the intermodel variability in the chemical and physical background conditions. These three areas mainly contribute to the midlatitude maximum highlighted in Fig. 1. The NO<sub>x</sub> perturbation propagates eastward through the westerlies and/or the subtropical jet. As expected, Fig. 3 shows a more homogeneous ozone perturbation. We still notice a geographical maximum above midlatitude Eurasia, downwind from the main NO<sub>x</sub> emission area. During May, the perturbation generally spreads northward to the pole. The magnitude is stronger in LMDZ-INCA, MOZART3, and GEOS-Chem (on average: ~ 7 ppb, 8 ppb, and 7.5 ppb respectively) than in EMAC-NO<sub>x</sub> (~ 4 ppb) and OsloCTM3 (~ 2.5 ppb) despite similar emission magnitudes, which is discussed later. In April and May, the magnitude and distribution are particularly similar between LMDZ-INCA and MOZART3 (with the same local maximum near the Eurasian subtropical jet in April), then the responses diverge in June when the magnitude keeps increasing for MOZART3 and decreases for LMDZ-INCA. The maximum response in OsloCTM3 is more localized and peaks around 30°N above the Atlantic.





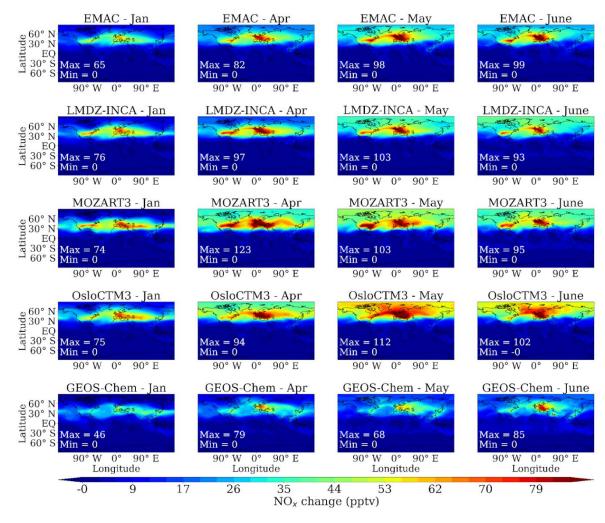
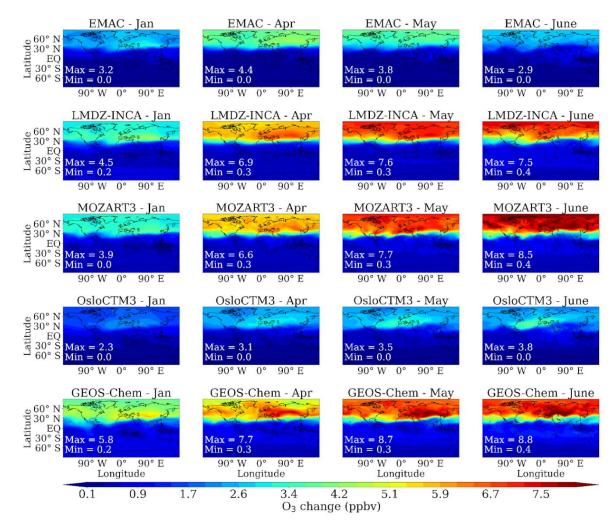


Figure 2: Mean geographical distribution of NO<sub>x</sub> response to the aviation emissions averaged between 150 and 350 hPa, for January, April, May and June (from left to right), for the models EMAC-NO<sub>x</sub>, LMDZ-INCA, MOZART3, OsloCTM3, and GEOS-Chem (from top to bottom). Geographical extrema are indicated in the bottom-left corner of each panel. The perturbation is rescaled with respect to global aircraft NO<sub>x</sub> emissions for each model.







385 Figure 3: Same as Fig. 2 for ozone.

Vertical information is provided in Figs. 4, 5, and 6, which display the mean zonal cross section for NO<sub>x</sub>, ozone, and OH perturbations respectively. In every species, the main perturbation takes place near the climatological altitude of the model lapse-rate tropopause. In January, when the aviation-induced response is weakest, the perturbation is constrained around 40°N, while in spring and summer months, the response includes the higher latitudes. For ozone, the perturbation even peaks north from 40°N for ozone, with the highest values generally in the lowermost stratosphere. Due to stratospheric intrusions, an extension of the mean ozone perturbation is visible at low latitudes, downward and equatorward.





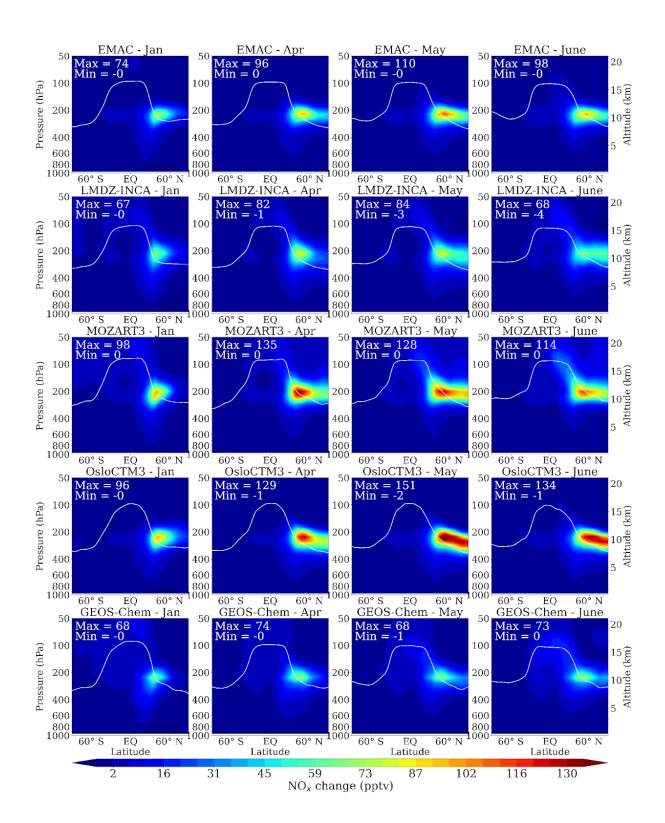






Figure 4: Mean zonal cross sections of  $NO_x$  response to the aviation emissions during January, April, May, and June (from left to right), for each model (from top to bottom). The white line represents the position of the climatological thermal tropopause. The extremes are indicated in the top-left corner of each panel. The perturbation is rescaled with respect to global aircraft  $NO_x$  emissions for each model.





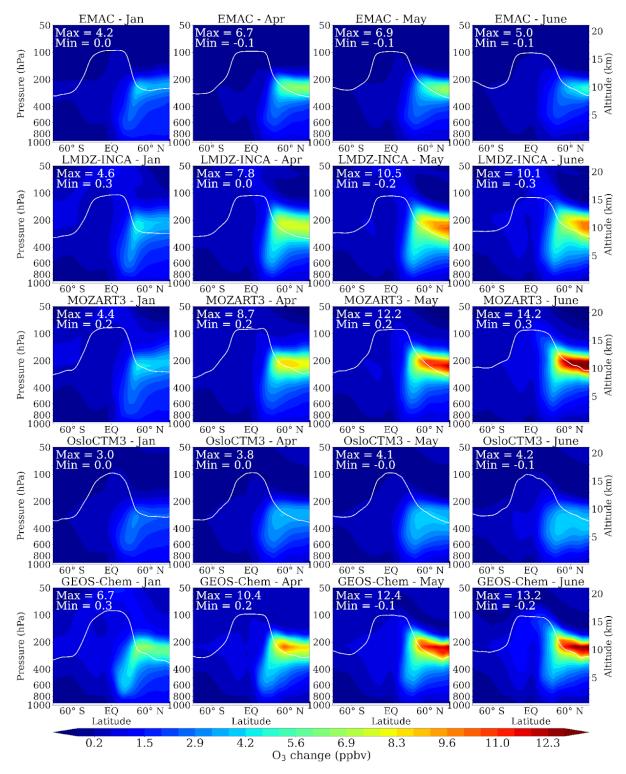


Figure 5: Same as Fig. 4, but for ozone.





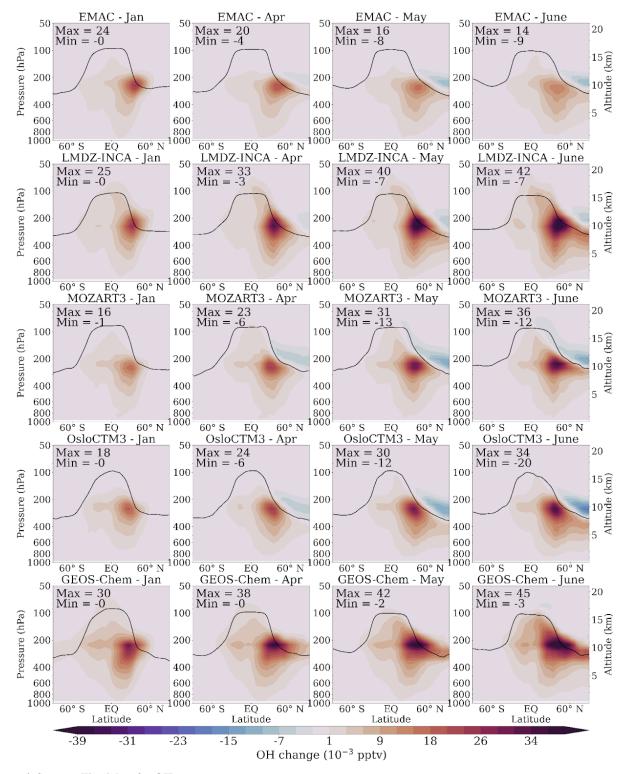


Figure 6: Same as Fig. 4, but for OH.





Some differences are well visible between the models. Contrasting with the ozone perturbation generally in the altitude range of 8-12 km, the OsloCTM3 ozone response is located lower in the troposphere, in the range  $\sim 5-10$  km. In June, these lower altitudes in ozone perturbation are characterized by the strongest NO<sub>x</sub> perturbation in the LMS, with a zonal mean above 100 ppt at all latitudes beyond 45° N. By contrast, the other models do not reach 100 ppt except MOZART3, but very locally. In terms of mixing ratio, the maximum value of the ozone response ranges between 3.0 and 6.6 ppb in January, with three models relatively similar to each other (EMAC-NO<sub>x</sub>, LMDZ-INCA, and MOZART3, within a range of 4.2–4.6 ppb). During the seasonal peak, the maximum value exhibits more discrepancies, with two models having lower maxima (4.2 and 6.7 ppb for 410 OsloCTM3 and EMAC-NO<sub>x</sub> respectively), two models having higher maxima (14.2 and 13.0 ppb for MOZART3 and GEOS-Chem), and one model having intermediate values (10.5 ppb for LMDZ-INCA). In Fig. 6, each model shows a positive wintertime OH response centered at 30°N and located mainly below the tropopause. In spring, the models generally exhibit a dipole structure with positive values centered near 40°N, mostly below the tropopause as well, and a negative response at high latitudes in the LMS. The negative values are more pronounced with OsloCTM3, less pronounced with EMAC-NO<sub>x</sub>, and insignificant with GEOS-Chem. A stronger summertime ozone perturbation with MOZART3 compared to EMAC-NO<sub>x</sub> and OsloCTM3 has also been reported in Søvde et al. (2014), with REACT4C 2006 emissions. Compared to the zonal cross sections shown in Fig. 5, we can compare the ozone sensitivities between the two studies, after rescaling linearly the ozone perturbation in the former study to equalize the NO<sub>x</sub> emissions with the CEDS emissions during 2014–2018. With MOZART3, the maximum ozone response during JJA remains similar between the two studies, and 16 % lesser in DJF. With EMAC-NO<sub>x</sub> and OsloCTM3 however, the maximum ozone response is substantially weaker in both seasons (-38 % and -47 % in summer, 420 and -39 % and -51 % in winter). Also, in the current study, the GEOS-Chem winter and summer perturbations reach ~7 ppb in DJF and ~12 ppb in JJA, which compares well with Eastham et al. (2024).

Concerning the studies that focus on the past decade, one has to keep in mind that the ozone perturbation does not increase linearly with the NO<sub>x</sub> emissions. This non-linearity can be explored with ancillary runs from three models (LMDZ-INCA, MOZART3, and OsloCTM3) based on the same protocol, using a new background run with 20% less aviation NO<sub>x</sub> emissions as described in Section 2.1. Table S2 in Supplement indicates the ratio between the perturbation due to 100% of aviation emissions and to the upper 20% of aviation emissions, i.e. in the context of a poorer and a richer NO<sub>x</sub> background, respectively. The ratio in the NO<sub>x</sub> response varies from 0.92 (MOZART3) up to 1.04 (OsloCTM3), but the ratio for ozone is greater than 1 for the three models. It denotes a stronger sensitivity of about 10 – 20% of O<sub>3</sub> to NO<sub>x</sub> emissions with the lower NO<sub>x</sub> background, which is consistent with a mostly NO<sub>x</sub>-limited regime. As a consequence, the perturbation in methane lifetime is also affected by a factor of 5% for OsloCTM3 and 9% for both LMDZ-INCA and MOZART3.

The causes for the spatial distribution of the ozone response have been investigated using the chemical production and loss 435 terms for ozone provided as diagnostic output by EMAC-NO<sub>x</sub> and LMDZ-INCA. This paragraph sums up the characteristics shown by most species represented in this study to discuss the processes that might explain the ozone response. Figure S1 in Supplement shows the ozone production term reaching its maximum in the midlatitude UT where the NO<sub>x</sub> emissions are the most important, and extending northward in spring-summer, but only in the UT. It excludes local photochemical production as the source of the main O<sub>3</sub> perturbation in the LMS, and suggests two other factors to explain this pattern. First, the enhanced 440 photochemical production in the UT tends to reduce the ozone vertical gradient and, subsequently, the LMS O<sub>3</sub> loss by crosstropopause exchange through turbulent mixing. Second, the chemical loss term (Fig. S2, in Supplement) increases in the UT as ozone increases, but decreases in the high-latitude LMS during spring-early summer. The spatial correlation with OH (Fig. 6) suggests that the ozone perturbation in the LMS is rather linked to lessened ozone destruction from the reaction O<sub>3</sub> + OH  $\rightarrow$  HO<sub>2</sub> + O<sub>2</sub>. Concerning this OH decrease in the LMS, the main cause is the reaction NO<sub>2</sub> + OH  $\rightarrow$  HNO<sub>3</sub>, with enhanced NO<sub>x</sub> levels extending into the polar LMS, and as HNO<sub>3</sub> increases substantially (not shown). As the primary pollutants emitted mainly in the midlatitudes have their response extending into the LMS in winter as well (BC in Fig. 8, next section; SO<sub>2</sub> in Fig. S4, in Supplement), the wintertime confinement of the NO<sub>x</sub> response cannot be due to transport only, which suggests a particularly short NO<sub>x</sub> chemical lifetime compared to the poleward transport duration.





450 To explore the diversity in model responses to aviation NO<sub>x</sub> emissions further, the scatterplots shown in Fig. 7 display the changes in ozone and OH versus the background concentrations of NO<sub>x</sub>, CH<sub>4</sub>, ozone, NO<sub>y</sub>, and H<sub>2</sub>O for each model. It appears that the responses in ozone and OH in the UTLS are generally stronger in models with lower NO<sub>x</sub> UTLS background, though this is not the only factor controlling O<sub>3</sub> and OH sensitivities to NO<sub>x</sub> emissions. The OH response increases with H<sub>2</sub>O background and is correlated with the ozone response. It is higher in GEOS-Chem notably because this model specifically 455 does not show an OH negative response in the polar LMS; it is lower with EMAC-NO<sub>x</sub> as both the ozone response and the H<sub>2</sub>O background are relatively low. The OH response is comparable between MOZART3 and OsloCTM3, because of their strong negative response in the LMS, as seen in Fig. 6. The net OH response is higher with LMDZ-INCA (as expected from Fig. 6), characterized by a stronger positive response in the mid-latitudes and a weaker negative response in the high-latitude LMS. This positive OH response is consistent with the H<sub>2</sub>O tropospheric background being the greatest in LMDZ-INCA. Last, we do not see any clear signal linking the perturbations to the background in methane or ozone, at least with our method, as the interpretation of these scatterplots remains limited. Concerning EMAC-NOx, we notice that, as indicated in the companion paper (Cohen et al., 2025), the UTLS is the driest compared to the other models, though the LMS is the moistest. As done in Cohen et al. (2025), treating the UT and LMS separately with a daily resolution could highlight some links between these chemical species.





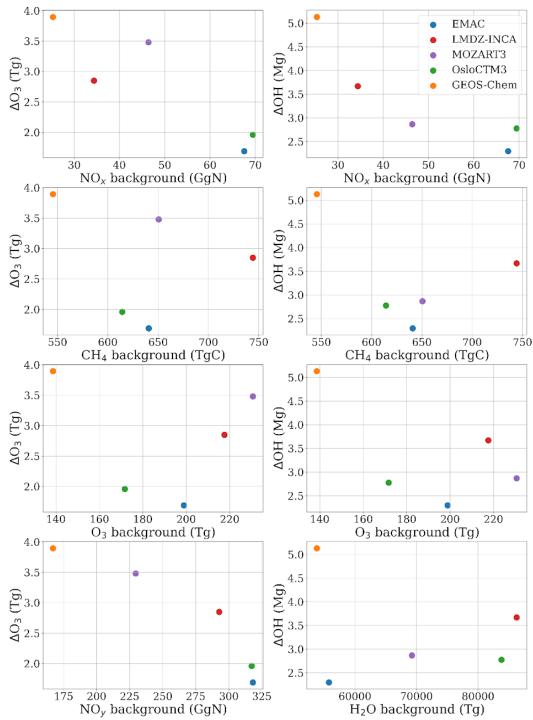


Figure 7: Perturbations in  $O_3$  and OH mass burdens between 150 and 350 hPa (y-axis) versus the backgrounds in  $NO_x$ , CH<sub>4</sub>, O<sub>3</sub>, and  $NO_y$  for ozone and H<sub>2</sub>O for OH, between 150 and 300 hPa. The perturbations are normalized to the  $NO_x$  emissions. Each color represents a model, as indicated in the legend in the top right panel.





#### 3.2 Aerosols

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Four models investigated the impact of aircraft emissions on aerosols. The LMDZ-INCA and OsloCTM3 models share the common protocol, GEOS-Chem follows a similar set-up (Quadros et al., 2025), while the EMAC-aer model is represented by the output from Righi et al. (2023) with a substantially different simulation set-up (see Section 2.2). The contribution of aviation to atmospheric aerosols is shown in Figs. 8-10. It is worth reminding that the EMAC-aer model is more accurate (as it is equipped with a detailed two-moment aerosol microphysical scheme) than the other models (characterized by simpler aerosol representations). Also, the EMAC-aer model is not used with a QCTM mode, hence the existence of negative values in BC and SO<sub>4</sub> due to changes in dynamics and physical processes. For these two species, the models generally show a maximum in the UTLS as for NO<sub>x</sub>, in terms of zonal cross sections. OsloCTM3 has a much weaker response in the UTLS. For BC, all the models exhibit a local maximum at the mid-latitude surface, due to the take-off and landing phases (in Northeast America, Europe, and East Asia), and to subsidence. The LMDZ-INCA and GEOS-Chem models show similar responses, with a maximum in April. The sulfate perturbation reaches its maximum at high latitudes in May with LMDZ-INCA and EMAC-aer, and at midlatitudes with GEOS-Chem. The seasonality is the same as for ozone, as photochemistry increases and promotes further the conversion of sulfur dioxide (SO<sub>2</sub>) into SO<sub>4</sub>, thus enhancing the formation of sulfate aerosol, as explained in Terrenoire et al. (2022) and Prashanth et al. (2022). For both BC and SO<sub>4</sub>, the EMAC-aer model has a much stronger response, in the UTLS but also in the whole free troposphere. The maximum shifts from the lower free troposphere in winter up to the UTLS in summer. The differences between EMAC-aer and the other models are consistent as EMAC-aer is the only model including the Aitken mode in the aerosol size distribution of aircraft emissions, and with an important proportion (91 % of emitted soot, and of primary sulfate particles, as supported by observations: Petzold et al., 1999; Mahnke et al., 2024).

490 The model diversity in aviation-induced aerosol abundances can be caused by a number of factors, as the BC lifetime. The global mean BC lifetime we calculate in this study (Table S1) is comparable between EMAC-aer (7.7 days) and LMDZ-INCA (8.0 days). It is shorter in GEOS-Chem (5.1 days), and in OsloCTM3 (4.6 days), closer to the estimation of 5.5 days proposed in Lund et al. (2018b) to minimize the bias in BC concentration in the Arctic, though it remains a first-order metric which does not account for the important regional disparities, or the emission source. In the UTLS specifically, OsloCTM3 has the lowest 495 background in BC, and also ammonia and SO<sub>2</sub> (Table S1), which tends to decrease further the SO<sub>4</sub> and NO<sub>3</sub> responses with this model. As OsloCTM3 performs well in reproducing CO and water vapour in the UT against IAGOS measurements (Cohen et al., 2025) while the other models are generally biased low, transport from the surface is unlikely to explain this discrepancy. It is rather linked to a stronger scavenging at high latitudes (Lund et al., 2018a). The global BC background in the UTLS shown in Table S1 is one order of magnitude higher with LMDZ-INCA, with 38 Gg compared to 1.54–3.95 Gg, whereas the BC 500 response is similar between LMDZ-INCA and GEOS-Chem, and lesser than EMAC-aer. This discrepancy between LMDZ-INCA and the other models in the UTLS burden might be due to different parameterizations regarding convection and precipitation for BC emitted at the surface, as well as different representations of the BC solubility and size distribution, that control the BC transport up to the upper troposphere and deposition. The total burden is however similar between EMAC-aer (0.166 Tg) and LMDZ-INCA (0.169 Tg), hence their comparable BC lifetimes. It is characterized by a stronger burden in the UTLS and in the stratosphere for LMDZ-INCA compensated by a stronger burden in the lower troposphere for EMAC-aer. Compared to observations from aircraft campaigns, most models participating to the AEROCOM intercomparison projet overestimate BC mass mixing ratios in the UTLS (Koch et al., 2009; Schwarz et al., 2013). Schwarz et al. (2013) notably showed an overestimation by a factor 6–20 above 300 hPa, in global average, for the models participating to the second phase of AEROCOM. Kaiser et al. (2019) concluded that EMAC with MADE3 (here EMAC-aer) was closer to the observations in 510 the UT than the AEROCOM II model average, though the ultrafine particle number concentration tends to be overestimated at these altitudes.

For NO<sub>3</sub>, three models (LMDZ-INCA, GEOS-Chem, and EMAC-aer) show a positive response with a vertical shape in the midlatitude, along the whole tropospheric column, and with a peak in May, in the free troposphere. OsloCTM3 also exhibits a positive NO<sub>3</sub> perturbation at the same latitudes, but only in the lowermost troposphere, and with a vertically broad peak in January, centered on the middle troposphere. For LMDZ-INCA and EMAC-aer, the perturbation is characterized by a dipolar



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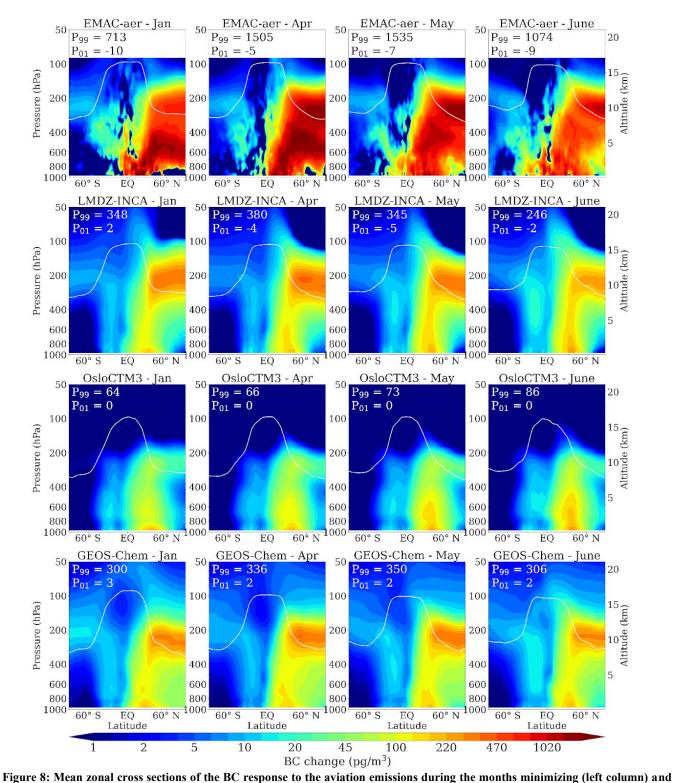
structure, with negative values in the high-latitude lowermost stratosphere. According to the explanation provided by Terrenoire et al. (2022) and Righi et al. (2023), both sulfate and nitrate combine with the background gaseous ammonia to form aerosol, respectively via the formation of ammonium sulfate and ammonium nitrate. Given that NH<sub>3</sub> is limited in the UTLS, and that SO<sub>4</sub> reacts faster with ammonia than NO<sub>3</sub>, the NH<sub>4</sub>SO<sub>4</sub> formation in this region results in a decrease in ammonium nitrate aerosols. On the contrary, in the lower troposphere, ammonia is abundant such that the SO<sub>4</sub> perturbation is not sufficient to compete with NO<sub>3</sub> in the aerosol formation.

In the literature, studies including aerosol perturbations from aviation are fewer. As in our study, Unger et al. (2013) present the same spatial pattern in annual means (in their Fig. S2(a)), with a SO<sub>4</sub> perturbation maximum in the UTLS and visible effects from subsidence, and a dipole in the NO<sub>3</sub> perturbation. Once rescaled up to 2014–2018, the values are higher than most of our models, with a cruise maximum between 14 and 28 ng m<sup>-3</sup> for SO<sub>4</sub> (6, 11, 13, and 23 ng m<sup>-3</sup> in our study) and between 70 and 140 ng m<sup>-3</sup> (-70 and -140 ng m<sup>-3</sup>) for NO<sub>3</sub> in the extratropical UT (in the high-latitude LMS). Concerning sulfur, Kapadia et al. (2016) uses the TOMCAT CTM and shows that the impact of sulfur content in aircraft fuel increases SO<sub>4</sub> in the high-latitude UTLS up to 6–7 ng m<sup>-3</sup> averaged over the year 2000, which would correspond to 7.9–9.2 ng m<sup>-3</sup> once rescaled up to the NO<sub>x</sub> emissions used in our study. It is comparable to our intermodel range, in the lower part, but does not include the SO<sub>4</sub> produced from non-aviation SO<sub>2</sub>.

It is worth mentioning the aviation impact on surface concentrations as represented by these models. On average in the period of interest, the BC seasonal maxima at the surface remain below 5 ng m<sup>-3</sup> for OsloCTM3 and the CCMs in the QCTM mode, and reach 20 ng m<sup>-3</sup> for EMAC-aer in winter (in East Asia). The increase in the other two aerosol compounds are more significant. SO<sub>4</sub> perturbation takes place in western Europe, US, and the subsidence regions as North Africa–Middle East with a summertime average of ~35–45 ng m<sup>-3</sup> (70–90 ng m<sup>-3</sup> for EMAC-aer). For NO<sub>3</sub>, the impact is generally stronger in winter in North America, western Europe, South Asia, and East Asia. The latter reaches wintertime NO<sub>3</sub> perturbations of 100–460 ng m<sup>-3</sup> (650 ng m<sup>-3</sup> for EMAC-aer). As for the UTLS, the differences between EMAC-aer and the other models are large, which suggests an important sensitivity of both climate and air quality impacts to the size of emitted aerosols (as discussed in Gettelman and Chen, 2013; Righi et al., 2013), and highlights the need for another model intercomparison with a more accurate aerosol parameterization in the model ensemble.







maximizing the ozone response (right columns), for each model (from top to bottom: EMAC-aer, LMDZ-INCA, OsloCTM3, and





GEOS-Chem). The white line represents the climatological position of the thermal tropopause (WMO, 1957). The percentiles 1 and 99 are indicated in the top-left corner of each panel. Please note the logarithmic scale in the color bar.





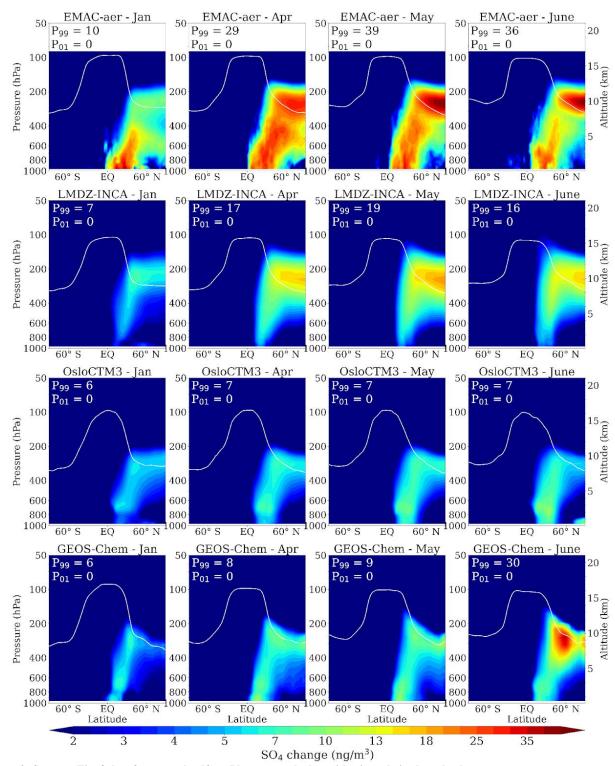


Figure 9: Same as Fig. 8, but for aerosol sulfate. Please note the logarithmic scale in the color bar.





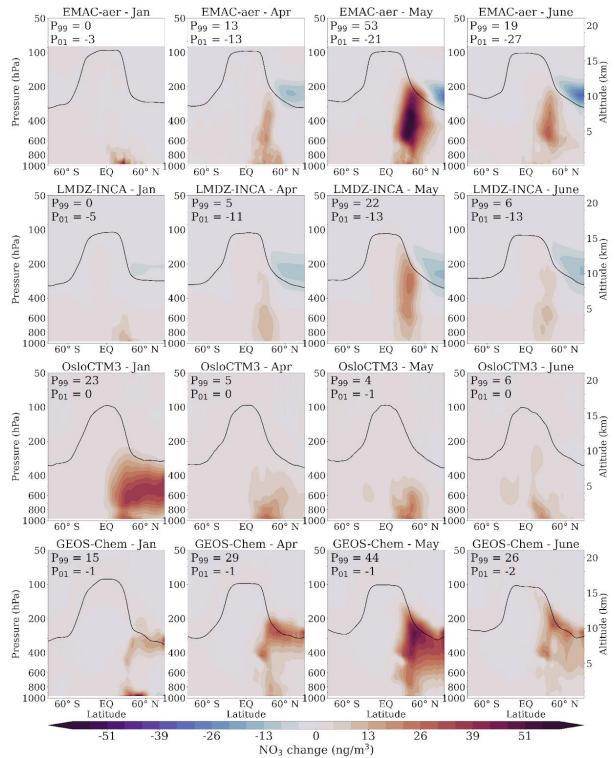


Figure 10: Same as Fig. 8, but for aerosol nitrate.





## 4. Radiative impact of aviation emissions

Finally, we show the estimated radiative impact of the atmospheric composition changes described in Section 3. The sensitivity of the radiative forcing to mixing ratio changes at different altitudes and locations is heterogeneous for both ozone and aerosols, for example with the highest RF per DU change for ozone in the tropical upper troposphere (Skeie et al., 2020, Fig. S1). Fig 11 shows the vertical profiles of the aerosol (aerosol-radiation interaction only) and ozone instantaneous RFs (i.e. without ERF scaling). This provides additional information about how the changes in concentration (e.g. Fig. 1) relate to the resulting radiative forcing. For ozone, the models show a similar vertical profile, but with different magnitudes and small variations in the altitude of the peak ozone response (Fig. 11f). The high RF sensitivity to ozone change in the upper troposphere (compared to the lowermost stratosphere) means that although the magnitude of ozone concentration change in OsloCTM3 is lower than in EMAC-NO<sub>x</sub> (Fig. 5), the resulting RF is larger for OsloCTM3 since the change occurs in a more sensitive region. Similarly, while the peak (June) ozone concentration changes are similar in GEOS-Chem and MOZART, GEOS-Chem exhibits a stronger response to aviation emissions in most other months (Fig. 1) resulting in a substantially stronger ozone forcing in the peak ozone response region.

For aerosols, there are substantial differences not only in magnitude but also in the relative role of individual aerosol species across the models, as seen in the vertical profiles in Fig. 11a–e. These largely follow the model differences in underlying aerosol concentrations, with large SO<sub>4</sub> changes in EMAC-aer and NO<sub>3</sub> changes in OsloCTM3. The net NO<sub>3</sub> changes in EMAC-aer and LMDZ-INCA are smaller, likely due to a negative response in the high-latitude lowermost stratosphere that compensates part of the NO<sub>3</sub> production. While the spread in ozone RF mainly arises from differences in the UTLS region, there are important contributions to aerosol forcing and thus model diversity extending through the troposphere, particularly for SO<sub>4</sub> and NO<sub>3</sub>. The RFs shown in Fig. 11 and discussed in this section are converted to ERFs to enable comparison with other studies in the following paragraphs (see Sect. 2.3).



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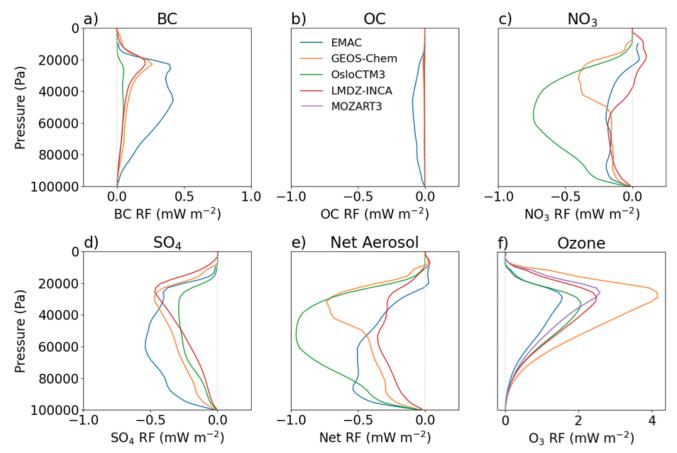


Figure 11: Vertical distributions of radiative forcing from aviation emissions from aerosols (aerosol-radiation interaction only) and short-term ozone response. a) black carbon, b) organic carbon c) nitrate, d) sulfate, e) net aerosol and f) short-term ozone. Each color corresponds to a model. EMAC-aer is represented in panels a-e) and EMAC-NO<sub>x</sub> in panel f).

We estimate a global mean aviation NO<sub>x</sub>-induced ozone ERF between 28 and 55 mW m<sup>-2</sup> (Fig. 12a, Table S3). GEOS-Chem shows the strongest response, while EMAC-NO<sub>x</sub> shows the weakest, which is consistent with the ozone concentration changes shown in Figs. 1 and 5. Figure 12a also shows the global mean net NO<sub>x</sub> ERF due to the longer-term decreases in CH<sub>4</sub>, ozone, and stratospheric H<sub>2</sub>O. The relative response of these forcers between models is similar to that for short-term ozone, with the strongest ERF found for GEOS-Chem, although OsloCTM3, EMAC-NO<sub>x</sub>, and MOZART3 simulate more similar long-term net NO<sub>x</sub> ERFs than for the short-term ozone. The estimated ERF due to aviation NO<sub>x</sub>-induced changes in CH4 ranges from -25 to -13 mW m<sup>-2</sup>. The spread reflects differences in the modeled methane lifetime and mean OH concentration (Table 5). The results from EMAC-NO<sub>x</sub>, MOZART3, and OsloCTM3 are close to each other, with a range of 1.22–1.26 % (TgN yr<sup>-1</sup>)<sup>-1</sup> in methane lifetime. The sensitivity is ~30% higher with LMDZ-INCA, both because of a substantially greater background in CH<sub>4</sub> (744 TgC compared to 614–651 TgC from these three models, see Table S1 in Supplement) and a stronger OH sensitivity (see Fig. 7). The GEOS-Chem sensitivity is ~190 % greater, with a lower methane background outweighed by more OH production.

Overall, we estimate a positive net aviation  $NO_x$  ERF in these model experiments, with a multi-model mean value of 16 mW m<sup>-2</sup> and a range from 7 to 22 mW m<sup>-2</sup>. The strongest ERF is estimated by MOZART3, despite the individual ozone and CH<sub>4</sub>



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contributions being strongest in GEOS-Chem, due to these effects partially cancelling each other. EMAC-NO<sub>x</sub> simulates the weakest net NO<sub>x</sub> ERF, followed by OsloCTM3 and LMDZ-INCA.

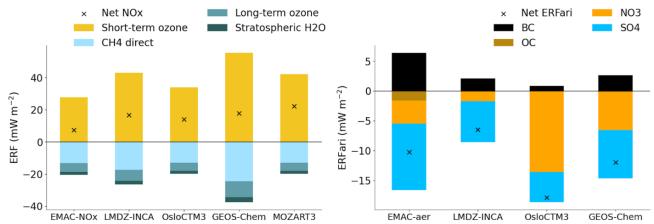


Figure 12: Global mean ERF from aviation emissions in the present day for a) net  $NO_x$  emissions, comprising short and long-term ozone, methane and stratospheric water vapour, and b) aerosol-radiation interactions (ERF<sub>ari</sub>), comprising contributions from BC, OC,  $NO_3$  and  $SO_4$ .

Myhre et al. (2011) calculated a net NO<sub>x</sub> ERF of  $+6 \pm 5$  mW m<sup>-2</sup> in the AIR experiment (100% reduction of year 2000 aviation, four models), compared to our estimate of  $15.6 \pm 4.9$  mW m<sup>-2</sup>. This is lower than the estimate of 17.5 mW m<sup>-2</sup> (90% likelihood range [0.6, 29] mW m<sup>-2</sup>) from Lee et al. (2021) based on 18 models from 20 studies, normalized to 2018 levels. When normalized to account for differences in NO<sub>x</sub> emissions, the corresponding values from Myhre et al. (2011) and Lee et al. (2021) are  $8 \pm 7$  mW m<sup>-2</sup> and 13.7 [0.5, 23] mW m<sup>-2</sup> respectively. The modeled range of net NO<sub>x</sub> ERF in this study is smaller than found by Myhre et al. (2011) and Lee et al. (2021), indicating that our five models may not represent the full spread of model diversity, though implementing a common protocol contributes to the reduction of this variability by eliminating some degrees of freedom. Also, normalizing with respect to the NO<sub>x</sub> emissions does not account for nonlinearities in the NO<sub>x</sub> ERF, which might be significant between two years with substantially different emissions (e.g. 2018 vs 2000).

Figure 12b shows the global mean component and net RF from aerosol-radiation interactions in the four models that provide aerosol information (with values provided in Table S4). We estimate a multi-model mean ERF from aerosol-radiation interactions (ERF<sub>ari</sub>) of 2.9, -0.4, -7.8, and -6.3 mW m<sup>-2</sup> for BC, OC, SO<sub>4</sub> and NO<sub>3</sub>, respectively. The mean net ERF<sub>ari</sub> from these simulations is -11.6 mW m<sup>-2</sup>, with large model diversity in magnitude and dominant aerosol species, as shown in Fig. 11. Lee et al. (2021) gave a best estimate of aviation BC ERF<sub>ari</sub> of 0.94 mW m<sup>-2</sup>, with a 90% likelihood range of 0.1–4.0 for 2018 emissions. This is close to our weakest estimate of 0.82 mW m<sup>-2</sup> (OsloCTM3), with our multi-model mean within their range. Our estimated SO<sub>4</sub> ERF<sub>ari</sub> is close to the best estimate of -7.4 mW m<sup>-2</sup> from Lee et al. (2021). Fewer studies have considered the effect of aviation nitrate. With a similar set-up as in the current work, Prashanth et al. (2021) calculated a net RF of -0.67 mWm<sup>-2</sup> with GEOS-Chem, and Terrenoire et al. (2022) a net RF of 0.14 mWm<sup>-2</sup> with LMDZ-INCA. Among the two studies mentioned in Brasseur et al. (2016) regarding NO<sub>3</sub> RF in 2006 (that we rescale up to 2014–2018), Unger et al. (2013) calculate a net RF of -4.0 ±1 mW m<sup>-2</sup> (-5.5 ±1.4 mW m<sup>-2</sup>) with the GISS-E2 model, and the IGSM model calculated a net RF of -7.5 mW m<sup>-2</sup> (-10.3 mW m<sup>-2</sup>). This low number of estimations and their high variability highlight the need for additional modelling experiments, with the most recent model versions, and with a constraining protocol for the simulation setup.



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We note that these are not complete estimates of aerosol radiative forcing: aerosol-cloud interactions are not included here. Aerosol-cloud ERF currently has no best estimate due to high uncertainty in the underlying process representation in the models. For the impact of aircraft particles on low-level clouds, Righi et al. (2013) found an RF range from -70 to -15 mW m<sup>-2</sup>, depending on the assumptions on the size of the particles. A similar dependency was also found by Gettelman and Chen (2013) who reported a range from -164 to -23 mW m<sup>-2</sup>. This effect also depends on sulfur emission altitudes (Kapadia et al., 2016; Matthes et al., 2021). For soot-cloud interactions, uncertainties are even larger, with a wide range of values reported by different studies, with disagreement both in magnitude and sign, including several studies reporting a statistically non-significant effect (see Righi et al., 2021 and references therein). This depends on the models used, with different representation of the ice formation process and different assumptions on the ice-nucleating properties of aviation soot. In a very recent model study supported by laboratory measurements on the ice-nucleating properties of aviation soot, Righi et al. (2025) found a non-statistically significant ERF for aviation soot-cloud interactions. Last, the other major component of the ERF from aviation emissions not modeled here is contrail cirrus formation, estimated by Lee et al. (2021) as 57.4 [17, 98] mW m<sup>-2</sup>.

Table 5: Background values and perturbations (both absolute and normalized to the aviation  $NO_x$  emissions) in the tropospheric methane lifetime (TCH<sub>4</sub>) and the OH concentration.

Model	EMAC-NO <sub>x</sub>	LMDZ-INCA	MOZART3	OsloCTM3	GEOS-Chem
TCH <sub>4</sub> (year), REF	7.8	8.0	8.3	7.5	9.1
$\Delta TCH_4$ (month)	-1.31	-1.75	-1.36	-1.23	-2.88
$\Delta$ TCH <sub>4</sub> /ENO <sub>x</sub> (% (TgN/a) <sup>-1</sup> )	-1.25	-1.62	-1.22	-1.22	-2.35
ΔΟΗ (%) (P > 50 hPa)	1.6	2.7	1.8	1.7	3.4

## 5. Summary and conclusion

In light of increasing air traffic, we perform and document a new multi-model assessment of the atmospheric composition response to aviation  $NO_x$  and aerosol/aerosol precursors emissions, and the associated radiative forcing of climate. We present a model intercomparison involving five state-of-the-art chemistry-transport models (CTM) or chemistry-climate models (CCM). For this study, each participating model provides a set of present-day runs, including at least one reference run with all the anthropogenic emissions, and one perturbation run without aviation emissions, using the same anthropogenic and biomass-burning emission inventories.

Several similarities between the models are encouraging regarding our understanding of the chemical sensitivity to aircraft emissions. For both gaseous and aerosol species, the main perturbation generally occurs at flight cruise altitude, in the extratropical UTLS, around 10 km above sea level. For gaseous species, the NO<sub>x</sub> and ozone responses show a good agreement across the models in terms of both seasonal and spatial patterns. Seasonally, the models show lower values in January and higher values in spring—early summer, though the latter can take place in April, May, or June, depending on the model. Geographically, all the models represent a NO<sub>x</sub> response maximum (85–112 ppt) near the area of highest flight density (Europe,



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North Atlantic corridor, and eastern US), and a moderate perturbation (> 40 ppt) confined to the northern midlatitudes in 660 winter, and expanding horizontally into the polar LMS in spring-early summer. They also show an ozone response maximum (2.3-5.8 ppb in January, 3.8-8.8 ppb in April-June) following the NO<sub>x</sub> perturbation, though the links between NO<sub>x</sub> and ozone are different in the upper troposphere and in the lowermost stratosphere. For each TgN of emitted NO<sub>x</sub>, the response of global ozone burden ranges between 5.6 and 10.0 Tg, and the methane lifetime perturbation ranges between -1.2 and -2.9 months (-1.75 month without the most sensitive model). Our results suggest that the different background composition at cruise altitudes 665 across the models, notably in NO<sub>x</sub> and humidity, is a relevant factor for explaining the different magnitudes of the model responses in OH concentration and methane lifetime. Based on these experiments, we estimate a multi-model mean net aviation NO<sub>x</sub> ERF of 15 mW m<sup>-2</sup>, with a range from 7.3 and 22.1 mW m<sup>-2</sup> (four of the models ranging between 14.1 and 22.1 mW m<sup>-2</sup> <sup>2</sup>, i.e. in the upper half of this interval). Normalized to present-day emissions, our net NO<sub>x</sub> ERF estimate and range, as well as the relative distribution between individual contributions from ozone, methane, and water vapor changes to the net NO<sub>x</sub> ERF, 670 is similar to previous studies. Thus, despite several studies and model development, robust assessment of the effects of aviation NO<sub>x</sub> emissions remains challenging and in need of novel strategies. The multimodel approach remains particularly important as the model assessment in Cohen et al. (2025) emphasizes that no single model shows best skill in all the species and regions.

Aerosol species show a larger variability across models than is the case for gaseous species, in terms of global burden changes 675 and distributions. It can be explained with the significantly larger spread in model complexity compared to the NOx experiments, as most of the models are characterized by a relatively simple aerosol scheme. The contribution from aviation emissions to black carbon (BC) differs by up to a factor 8 across the models, and sulfate (SO<sub>4</sub>) varies by up to a factor of 2. The combined radiative effects from these two species remain similar across the models, each species compensating for the difference of the other one. Last, nitrate (NO<sub>3</sub>) varies substantially with a factor of 14 between the most and the least sensitive 680 models (reduced to a factor ~2 if excluding the model with the strongest response to aviation emissions). While the spatial patterns of BC and SO<sub>4</sub> tend to be similar across models, with a noticeable impact on the UTLS (except one model), the NO<sub>3</sub> patterns can differ radically across the models and, notably, only two of four models simulate a negative perturbation in the polar LMS. A noticeable impact is identified on air quality for SO<sub>4</sub> and NO<sub>3</sub> for all the models, at least during one season. The net aerosol ERF<sub>ari</sub> varies between -6.5 and -17.8 mW m<sup>-2</sup> (multi-model mean of -11.6 mW m<sup>-2</sup>), characterized by an important 685 contribution from NO<sub>3</sub>. However, we also note that there is a factor 8 difference between the highest and lowest NO<sub>3</sub> forcing estimated. Moreover, relatively few studies have so far explored the role of aviation nitrate aerosols. While the direct effects of aerosols from aviation is sometimes argued to be small, our multi-model mean estimate of aerosol forcing is close to but of opposite sign to the net NO<sub>x</sub> ERF. Further work to increase the amount of data and improve the understanding of the spread in simulated aerosol distributions is needed to constrain knowledge of the contribution from aerosols to the climate effect of 690 aviation.

The discrepancies shown in this study highlight the need for a better understanding of gaseous components involving NO<sub>y</sub> partitioning as it differs substantially through the models (Cohen et al., 2025), crucial to understand the role of oxidized nitrogen in atmospheric chemistry and climate (Wei et al., 2025). It also highlights the need for further modeling experiments on the aerosol parameterization as scavenging (depending on solubility and precipitation), their size distribution, the mixing state, and heterogeneous chemistry that also involves scavenging, as nitric acid (HNO<sub>3</sub>) is a soluble precursor of nitrate aerosol. Further observations are needed for an assessment of the background aerosol properties. Understanding and reducing spread in modeled atmospheric concentrations is also a key step in constraining estimates of the present-day aviation-induced climate effects. This is increasingly important as proposed mitigation measures for the sector, such as alternative fuels, will affect not only CO<sub>2</sub> but also non-CO<sub>2</sub> emissions. Understanding the current impact is critical for assessing the net effect of future mitigation. Last, the future responses following several scenarios, along with sensitivity to the background chemical composition, will be investigated in a companion paper (Staniaszek et al., in prep.).





# Code and data availability

The output of the simulations from the models EMAC-NO<sub>x</sub>, LMDZ-INCA, MOZART3, and OsloCTM3 is available at <a href="https://doi.org/10.5281/zenodo.16949722">https://doi.org/10.5281/zenodo.16949722</a> (Cohen, 2025). The output from EMAC-aer is available at <a href="https://doi.org/10.5281/zenodo.8134336">https://doi.org/10.5281/zenodo.8134336</a> (Righi, 2023).

## **Author contributions**

YC designed the study, and DH designed the modelling protocol shared by the models. The simulation output was provided by SM and RT for EMAC-NO<sub>x</sub>, YC and DH for LMDZ-INCA, AS for MOZART3, MTL for OsloCTM3, MR for EMAC-aer, and FQ and ID for GEOS-Chem. YC created the multimodel product used in this study. YC calculated the chemical perturbations, and ZS calculated the associated effective radiative forcings. The paper was mainly written by YC (Section 4 was written by ZS) and reviewed, commented upon, edited, and approved by all co-authors.

# **Competing interests**

715 The contact author has declared that none of the authors has any competing interests.

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