



# Heavy precipitation-induced Yangtze River runoff greatly regulates heterotrophic prokaryotes production and induces P-limited growth in the northern East China Sea

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**Abstract.** Although heterotrophic prokaryotes (HP) play a crucial role in biogeochemical carbon cycles, microbial oceanographic studies associated with heavy precipitation-induced large-scale freshwater runoff are understudied in the East  
 20 China Sea (ECS), the largest continental shelf in the northwest Pacific. To elucidate the impact of Yangtze River Diluted Water (YRDW) on HP production (HPP) and growth-limiting resources, we conducted comprehensive microbial oceanographic measurements in combination with analysis of satellite images and optical property analyses of dissolved organic carbon (DOC) over three consecutive years in the northern ECS. Our results revealed that the HPP and chlorophyll-*a* were consistently highest in summer due to the supply of excess DOC and nutrients via YRDW, which is intriguing considering the enhanced HPP  
 25 coupled with spring phytoplankton bloom in middle latitudes in general. However, the exceptionally great YRDW runoff induced by heavy rainfall resulted in excessive supply of terrestrial-origin recalcitrant DOC and nutrients imbalance with high N:P ratio (34), which was responsible for the limited DOC bioavailability and phosphorus-limitation for the HPP. Accordingly, the enhanced HPP to primary production ratio (> 0.5) in summer suggested enhanced carbon flow via microbial loop, which ultimately affects fishery structure and production by reducing energy efficiency in food web process. Our results  
 30 demonstrating the contrasting impact of YRDW on regulating (i.e., either stimulating or suppressing) the HPP provide new insights into the microbial responses to climate change-induced large-scale freshwater discharge, which is applicable to other ocean basins receiving great freshwater inputs (e.g., Amazon River and Arctic Ocean) accompanied by increasing precipitation.



## 1. Introduction

Due to the large quantity of dissolved organic carbon (DOC) in the ocean (~660 Pg), that is comparable to the amount of atmospheric CO<sub>2</sub>, and its wide spectrum of reactivity, it is important to understand factors controlling oceanic DOC that ultimately regulates carbon storage in the ocean (Carlson and Hansell, 2015; Dittmar et al., 2021). With their high biomass and diverse metabolic functional genes (Ferrera et al., 2015; Bar-On and Milo, 2019), heterotrophic prokaryotes (HP) are a significant biological agent controlling the dynamics of DOC and inorganic nutrients in marine environments by: (1) transferring DOC from various sources to higher trophic levels (i.e. protozoa and microzooplankton) via the microbial loop, (2) mineralizing DOC to CO<sub>2</sub> via respiration, and (3) producing and sequestering recalcitrant DOC in the deep ocean via the microbial carbon pump (Azam et al., 1983; del Giorgio et al., 1997; Jiao et al., 2010). Therefore, quantifying HP parameters (i.e., biomass, production and respiration) and elucidating its controlling factors are fundamental steps in microbial oceanography which combines marine microbiology and microbial ecology with oceanography to elucidate the role of microorganisms in the biogeochemical element cycles in marine ecosystems (Ducklow and Carlson, 1992; Karl, 2007). Of all the controlling factors, hydrographic conditions that determine the physical structures and trophic status of the water column primarily regulate the distributions and metabolic activities of the bacterioplankton, which ultimately control the importance of the microbial loop in food web process and biogeochemical carbon cycles in the water column (Hyun et al., 2005, 2009, 2016; Mann and Lazier, 2005; Baltar et al., 2007; Min et al., 2022).

The East China Sea (ECS) is the largest continental shelf ( $1.25 \times 10^6 \text{ km}^2$ ) in the northwest Pacific Ocean (Supplement Fig. S1). In the ECS, several water masses, such as Taiwan warm current (TWC), Tsushima warm water (TWW), and Yangtze River diluted water (YRDW), prevail with seasonality (Lie and Cho, 2016; Seo et al., 2022). Relative significance of each current system largely determines physico-chemical and biological oceanographic properties of the ECS (Yoon et al., 2015). In particular, the YRDW flowing into the ECS in summer supplies substantial amounts of DOC ( $1.85 \text{ Tg C yr}^{-1}$ ), together with nitrogen and phosphorus (total nitrogen;  $1.69 \text{ Tg N yr}^{-1}$ , total phosphorus;  $0.11 \text{ Tg P yr}^{-1}$ ) to the ECS (Shi et al., 2016; Wang et al., 2019). In addition, the construction of the Three-Gorges Dam on the upstream of the Yangtze River in 2006 has greatly altered the nutrient ratio in the YRDW. The mean N:P ratio in the northern ECS (nECS) increased from  $22 \pm 18$  during 2002-2009 to  $97 \pm 64$  during 2011-2022, which implied that growth of planktonic communities is likely to be limited by P-limitation (Supplement Fig. S2C, [https://www.nifs.go.kr/kodc/soo\\_list.kodc](https://www.nifs.go.kr/kodc/soo_list.kodc), last access: 05 November 2024). Therefore, this long-term increase in N:P ratio (i.e., nutrient imbalance) along with high DOC and inorganic nutrients input via the runoff of YRDW raises fundamental ecological questions that HP growth in the ECS is either stimulated by the inputs of DOC or limited by phosphorus (P) in summer. Depending on whether HP growth is stimulated by DOC supply or suppressed by P-deficiency, the dynamics of carbon and nutrients and the structure of microbial communities are greatly affected (Obernosterer et al., 2003). So far, most microbial ecological and biogeochemical research in the ECS has predominantly focused on the southern part of the ECS (Shiah et al., 2003; Chen et al., 2009, 2021). Except for a few studies with limited spatial and temporal scales (< 1 year) (Zhao et al., 2010; Chen et al., 2014), little is known about the impact of YRDW on heterotrophic prokaryotes production



(HPP) and its interaction with DOC and inorganic nutrients in the nECS that serves as the main passage delivering organic carbon and nutrients directly from the Yangtze River and the South China Sea to the adjacent East Sea (Supplement Fig. S1, Lie and Cho, 2016; Nam et al., 2022).

One of the fundamental aims of microbial oceanography is to elucidate how the balance between HPP and primary production (PP) shapes the structure of marine food webs (Berglund et al., 2007). Since PP serves as the major organic carbon source for HP, the HPP:PP ratio of 0.15 in open ocean ecosystems, meaning that about 15% of PP supports HPP, indicates a tight coupling between HP and phytoplankton (Ducklow, 2000). However, in coastal systems, the HPP:PP ratios often exceed 0.6 and even surpass 1.0, indicating that HPP is no longer sustained solely by autochthonous organic carbon from phytoplankton production (Kim et al., 2020; Fernandes and Bogati, 2022). Such high HPP:PP ratios (i.e., uncoupling between HP and phytoplankton) suggest the increasing contribution of allochthonous organic carbon, such as terrestrial inputs (Kim et al., 2020). Under these conditions, the microbial loop becomes more dominant carbon flow, resulting in reduced food web efficiency with more trophic steps (Gasol et al., 1997; Straile, 1997; Joint et al., 2002), which can influence fish community structure and fisheries yield (Dickman et al., 2008). Given the gradual increase in freshwater input into the ECS, driven by global warming and intensifying East Asian monsoon rainfall (Qiao et al., 2024), understanding the balance between HPP and PP in the nECS is essential for assessing climate-driven changes in ecosystem functioning (Rowe et al., 2025).

Here, based on comprehensive microbial oceanographic studies, combining microbiological parameters with analysis of satellite images and optical properties of DOC, conducted seasonally for three consecutive years (2020–2022) in the nECS, we report two contrasting impacts of YRDW in regulating the HPP. First, owing to the combination of large riverine input of DOC and phytoplankton blooms fueled by nutrient supply from the YRDW, HPP was consistently enhanced in summer rather than in spring, which is anomalous for the mid-latitude regions in the northern hemisphere. Secondly, when the discharge of YRDW was excessive with exceptionally high recalcitrant DOC and high N:P (ca. 34), the HPP was regulated by limited DOC bioavailability and P-limitation. Considering the increasing frequency and intensity of heavy rainfall associated with climate change in the East Asian marginal seas (Fujibe et al., 2006; Li et al., 2019b), our results showing that different responses of HPP related to the strength of the YRDW provide significant information: (1) to interpret the role of microbial loop in microbial food web process and biogeochemical carbon cycles, and (2) to predict its future variations resulting from continued expansion of the YRDW in the East Asian marginal seas, one of the most rapidly changing oceanic environments due to anthropogenic activities and climate change-induced precipitation and warming (Fujibe et al., 2006; Belkin, 2009).

## 2. Materials and methods

### 2.1 Study area

As the largest continental shelf in the northwest Pacific Ocean (i.e., east Asian marginal seas), the ECS plays a critical role as a significant carbon reservoir, absorbing atmospheric carbon dioxide at a rate of  $2.0 - 3.0 \text{ mol m}^{-2} \text{ yr}^{-1}$  (Wang et al., 2000; Kim

et al., 2013a). It is also one of the most productive fishing grounds for mackerel (*Scomber japonicus*) and anchovy (*Engraulis japonica*) and serves as a spawning ground for the Japanese flying squid (*Todarodes pacificus*) (Rebstock and Kang, 2003; Kim et al., 2013b).

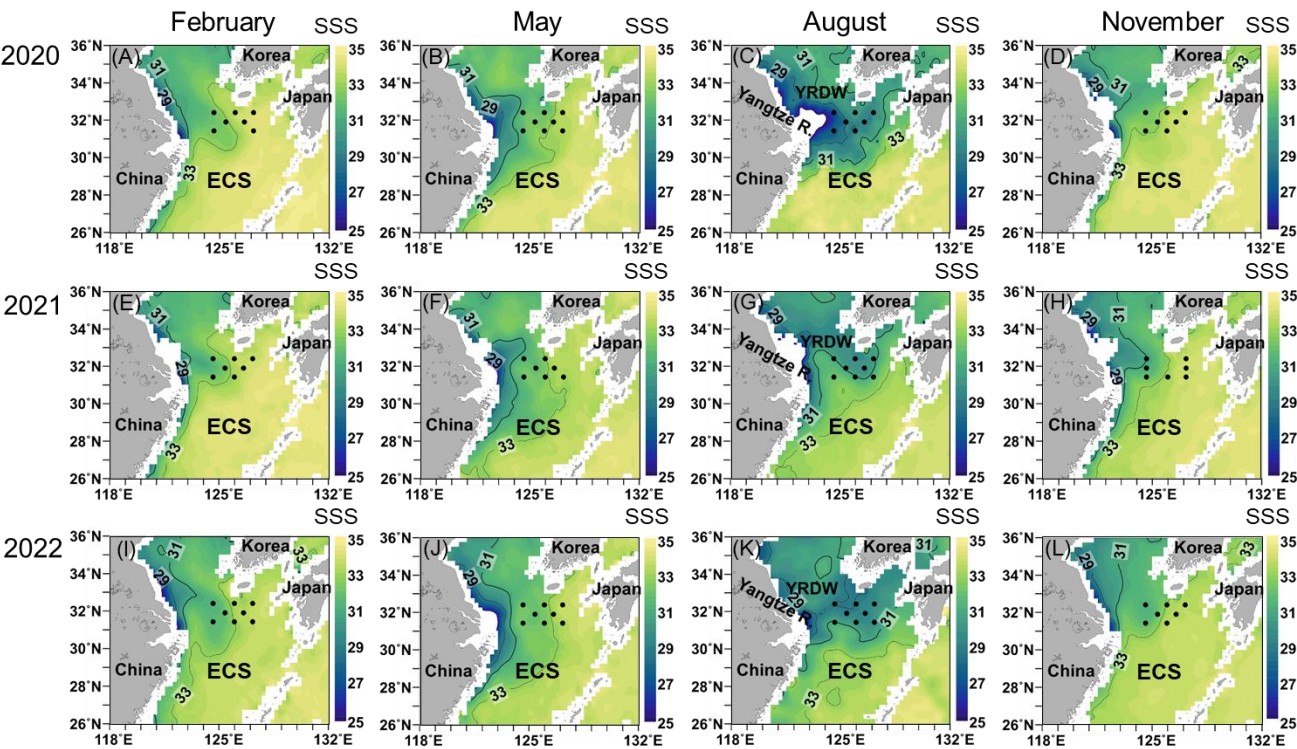
The ECS is a hydrographically dynamic system driven by the Kuroshio current and northeast Asian Monsoon (Supplement Fig. S1, Lie and Cho, 2016). Like other large marine ecosystems that receives a tremendous amount of freshwater such as the Amazon Estuary ( $\sim 6300 \text{ km}^3 \text{ yr}^{-1}$ ) and Arctic shelves ( $\sim 2000 \text{ km}^3 \text{ yr}^{-1}$ ), the ECS receives a large amount of freshwater annually ( $900 \text{ km}^3 \text{ yr}^{-1}$ ), especially in summer monsoon period, and exhibits comparable biogeochemical complexity due to intense riverine input, seasonal stratification, and anthropogenic pressures (Milliman and Farnsworth, 2013; Spielhagen and Bauch, 2015). Therefore, the ECS is not only important for its ecological and fisheries productivity but also serves as a large marine ecosystem laboratory for investigating microbial biogeochemical processes in continental shelf systems influenced by enhanced freshwater inputs associated with the increasing trend of extreme precipitation under climate change (Khadgarai et al., 2021; Qiao et al., 2024).

## 2.2 Physico-chemical parameters

### 2.2.1 Sampling and handling

Seawater samples were collected using Niskin bottles attached to a rosette sampler, seasonally (i.e., February, May, August and November) at 7 to 8 stations in the nECS, located between  $31.5^\circ\text{N}$ – $32.5^\circ\text{N}$  and  $124.5^\circ\text{E}$ – $127.1^\circ\text{E}$  (Fig. 1), aboard the R/V *Tamgu* 3 of the Korean National Institute of Fisheries Science (NIFS). The sampling bottles were pre-washed with 10% HCl and rinsed with Milli-Q water. Temperature and salinity were measured onboard using a CTD (SBE 911; Seabird Electronics, USA) attached to a rosette sampler. Seawater samples for chemical and biological analyses were collected at designated water depths of 0, 10, 20, 30, 50, 75, 100, 120 meters, as well as at the 1% light penetration depth.

For DOC and fluorescent dissolved organic matter (FDOM) samples were filtered through pre-rinsed syringe filters (GF/F,  $0.7\text{-}\mu\text{m}$  pore size, Whatman). The filters were rinsed thoroughly three times with 30 mL of the samples before filtration. Filtered samples were then transferred into 20 mL glass ampoules and 40 mL amber glass vials (both from Wheaton, Millville, USA) for DOC and FDOM samples, respectively. FDOM samples were immediately stored at  $-24^\circ\text{C}$  until analysis. For DOC samples acidified with 6 N HCl (30  $\mu\text{L}$ , final pH = 2) to inhibit microbial activity. The ampoule openings were sealed with fire to prevent external contamination. Glass ampoules and vials were combusted at  $500^\circ\text{C}$  for 5 h before use.



**Figure 1.** Variations of sea surface salinity (SSS, psu) with season for three consecutive years (2020 - 2022) and sampling sites in the northern East China Sea (black dots). RSS SMAP Level 3 Sea Surface Salinity Standard Mapped Image Monthly V4.0 Validated Dataset was used from Feb. 2020 to May 2022. JPL SMAP Level 3 CAP Sea Surface Salinity Standard Mapped Image 8-Day Running Mean V5.0 Validated Dataset was used from Aug. 2022 to Nov. 2022. The color bar indicates the salinity level.





## 2.2.2 Laboratory analysis

T-S diagram analysis was conducted using 1 m bin-averaged T-S data (Fig. 2). For remote imagery on horizontal distribution of salinity, we adopted Level-3 Soil Moisture Active Passive (SMAP) Sea Surface Salinity (SSS) standard mapped images from 2020 to 2022, with a 0.25° horizontal resolution (Fig. 1).

DOC concentrations were determined using high-temperature catalytic oxidation (HTCO) with a TOC-L analyzer (Shimadzu, Japan). Instrument precision was assessed by measuring standard seawater samples (SSR; 71–75 µM DOC, University of Miami) every ten samples, with a precision of within 4%. The detection limit was confirmed as 3 µM through more than 10 measurements using Milli-Q water.

Excitation-emission matrix spectroscopy (EEMS) was performed using a Hitachi F-7100 spectrofluorometer (Hitachi, Tokyo, Japan) to characterize FDOM in seawater samples. Spectra were recorded with excitation wavelengths of 250–500 nm and emission wavelengths of 300–500 nm at 5 nm intervals. Fluorescence intensity was normalized to Raman units using Milli-Q water, and absorbance at 254 nm was confirmed to be <0.3 to avoid inner filter effects. A three-component PARAFAC model was constructed from 460 EEM spectra using the DOMFluor toolbox in MATLAB R2024a software (MathWorks Inc, Natick, USA), identifying one humic-like and two protein-like components (Coble, 1996; Stedmon and Bro, 2008). The humification index (HIX) was also calculated (Supplement Text S1). A detailed description of the EEM–PARAFAC procedure and component identification is presented in the Supplement Text S1. Concentrations of dissolved inorganic nitrogen (DIN, NO<sub>2</sub><sup>−</sup> + NO<sub>3</sub><sup>−</sup>), dissolved inorganic phosphorus (DIP), and chlorophyll-*a* (Chl-*a*) were obtained from the NIFS. These data were collected during the same cruises aboard the R/V *Tamgu 3* ([https://www.nifs.go.kr/kodc/soo\\_list.kodc](https://www.nifs.go.kr/kodc/soo_list.kodc), last access: 05 November 2024).

## 2.3 Microbiological parameters

### 2.3.1 Primary production

The PP was assessed using stable carbon isotope (<sup>13</sup>C) analysis, following the methodology outlined by Hama et al., (1983). Water samples were obtained from six distinct photic depths, representing 100%, 50%, 30%, 12%, 5%, and 1% penetration of surface photosynthetically active radiation (PAR), determined through the conversion of Secchi disc depth measurements at each sampling station. Samples were incubated under simulated in situ light and temperature conditions, and <sup>13</sup>C incorporation into particulate organic carbon was analyzed using isotope ratio mass spectrometry. A detailed description of incubation procedures, filtration, isotope analysis, and productivity calculation is provided in the Supplement Text S2.

### 2.3.2 Prokaryotes abundance

Samples for enumerating the prokaryotes abundance (PA) were preserved with glutaraldehyde at a final concentration of 1% and stored in a freezer at −20 °C (Hyun and Yang, 2003). The PA was measured using the DAPI-staining method (Porter and



Feig, 1980). More than 20 microscopic fields were examined using an epifluorescence microscope (Nikon, Eclipse 80i) equipped with a mercury lamp (HB-10101 AF), an ultraviolet (UV) excitation filter, and a BA 420 barrier filter.

### 2.3.3 Heterotrophic prokaryotes production

The HPP was determined by measuring the incorporation of  $^3\text{H}$ -leucine into protein, following the method of Smith and Azam, (1992). Seawater samples (1.5 mL) were incubated with  $^3\text{H}$ -leucine (final concentration, 10 nM, Perkin Elmer, NET1166005) at in situ temperature for one hour in the dark, and radioactivity in the synthesized protein was measured using a liquid scintillation counter (LKB, Rack Beta II). The calculated protein synthesis rate of  $^3\text{H}$ -leu ( $\text{pmol leu L}^{-1} \text{ h}^{-1}$ ) was converted to HPP ( $\mu\text{g C L}^{-1} \text{ d}^{-1}$ ) using a conversion factor ( $\text{CF} = 1.5 \text{ kg C mol leucine}^{-1}$ ; Kirchman, 1993). A detailed description of incubation, extraction, and counting procedures is presented in the Supplement Text S3.

### 2.3.4 HP growth limiting resources assay

To investigate the limiting resources for HP growth in different water masses, factorial nutrient addition bioassays (Rivkin and Anderson, 1997) were conducted in the nECS and the East Sea sites on 16 August 2020 and 11 August 2020, respectively, and additionally on 27 April 2021 in the nECS site. Sea water samples were collected at a depth of 10 m at each site, and filtered through polycarbonate filter (0.2  $\mu\text{m}$  pore size) to remove protozoan grazers. The filtered water was mixed with unfiltered sea water in polycarbonate (PC) bottles at 9:1 ratio, and then dispensed into one liter PC bottles. Glucose (final concentration, 10  $\mu\text{M}$ ) as organic C source,  $\text{NH}_4\text{Cl}$  (final concentration, 3  $\mu\text{M}$ ) as N source, and  $\text{NaH}_2\text{PO}_4$  (final concentration, 1  $\mu\text{M}$ ) as P source were added alone or in combination (i.e., unamended control, +C, +N, +P, +CN, +CP and +NP). The bottles were incubated in a non-transparent on-deck incubator with circulating sea surface water during the incubation period. Subsamples for measuring HPP in triplicate were taken after 12 hours of incubation in August 2020 and after 26.5 hours in April 2021. Subsampling for the initial HPP was conducted on the unamended control bottle.

## 2.4 Data analysis and statistics

The mixed layer depth (MLD) was defined as the depth immediately above the onset of the thermocline. The euphotic depth was determined based on Lambert–Beer’s law described in Poole and Atkins, (1929) using a Secchi disk. The Reimann sum method was applied to integrate the parameters within the mixed layer depths and euphotic depths. Statistical analyses were conducted using IBM SPSS Statistics 27. Detailed processes on statistical analysis are presented in Supplement Text S4.



### 3. Results

#### 3.1 Hydrographic conditions

Based on T-S diagrams, the current systems in the study area were defined by 2-4 water masses, varying seasonally (Fig. 2). Kuroshio source water (KSW) is the convergence of TWW and TWC in the nECS, characterized by high temperature and salinity. Shelf mixed water (SMW) is a mixture of KSW and CC, characterized by low temperature and salinity. During February (winter), May (spring), and November (autumn), the nECS consisted of KSW (February:  $8.4^{\circ}\text{C} < T < 24.5^{\circ}\text{C}$ ,  $33.6 \text{ psu} < S < 35.2 \text{ psu}$ ; May:  $11.5^{\circ}\text{C} < T < 29.4^{\circ}\text{C}$ ,  $33.5 \text{ psu} < S < 35.2 \text{ psu}$ ; November:  $11.8^{\circ}\text{C} < T < 27.3^{\circ}\text{C}$ ,  $33.4 \text{ psu} < S < 34.9 \text{ psu}$ ) and SMW (February:  $8.8^{\circ}\text{C} < T < 15^{\circ}\text{C}$ ,  $32.4 \text{ psu} < S < 33.6 \text{ psu}$ ; May:  $12^{\circ}\text{C} < T < 21^{\circ}\text{C}$ ,  $31.2 \text{ psu} < S < 33.5 \text{ psu}$ ; November:  $14.3^{\circ}\text{C} < T < 24.2^{\circ}\text{C}$ ,  $31.9 \text{ psu} < S < 33.4 \text{ psu}$ ) (Fig. 2A, B, D, E, F, H, I, J, L). However, during August (summer), the nECS exhibited a more complex water mass distribution: TWC ( $23^{\circ}\text{C} < T$ ,  $31 \text{ psu} < S < 34.2 \text{ psu}$ ) and YRDW ( $23^{\circ}\text{C} < T$ ,  $S < 31 \text{ psu}$ ) were observed near the surface, while TWW ( $14^{\circ}\text{C} < T$ ,  $34 \text{ psu} < S$ ) and SMW ( $14^{\circ}\text{C} < T < 23^{\circ}\text{C}$ ,  $31 \text{ psu} < S < 34 \text{ psu}$ ) were observed in the mid to lower layers, which differed from other seasons (Fig. 2C, G, K). The satellite images on sea surface salinity (Fig. 1), together with the T-S diagrams (Fig. 2), clearly revealed that the YRDW ( $< 31 \text{ psu}$ , the blue-green color in Fig. 1C, G, K) originating from the Yangtze River in August expands northeastward to the nECS located approximately 300 km away from the Yangtze River estuary. The YRDW expansion to the nECS was greatest in August 2020 and followed by August 2022 and 2021 respectively (Fig. 1; Fig. 2).



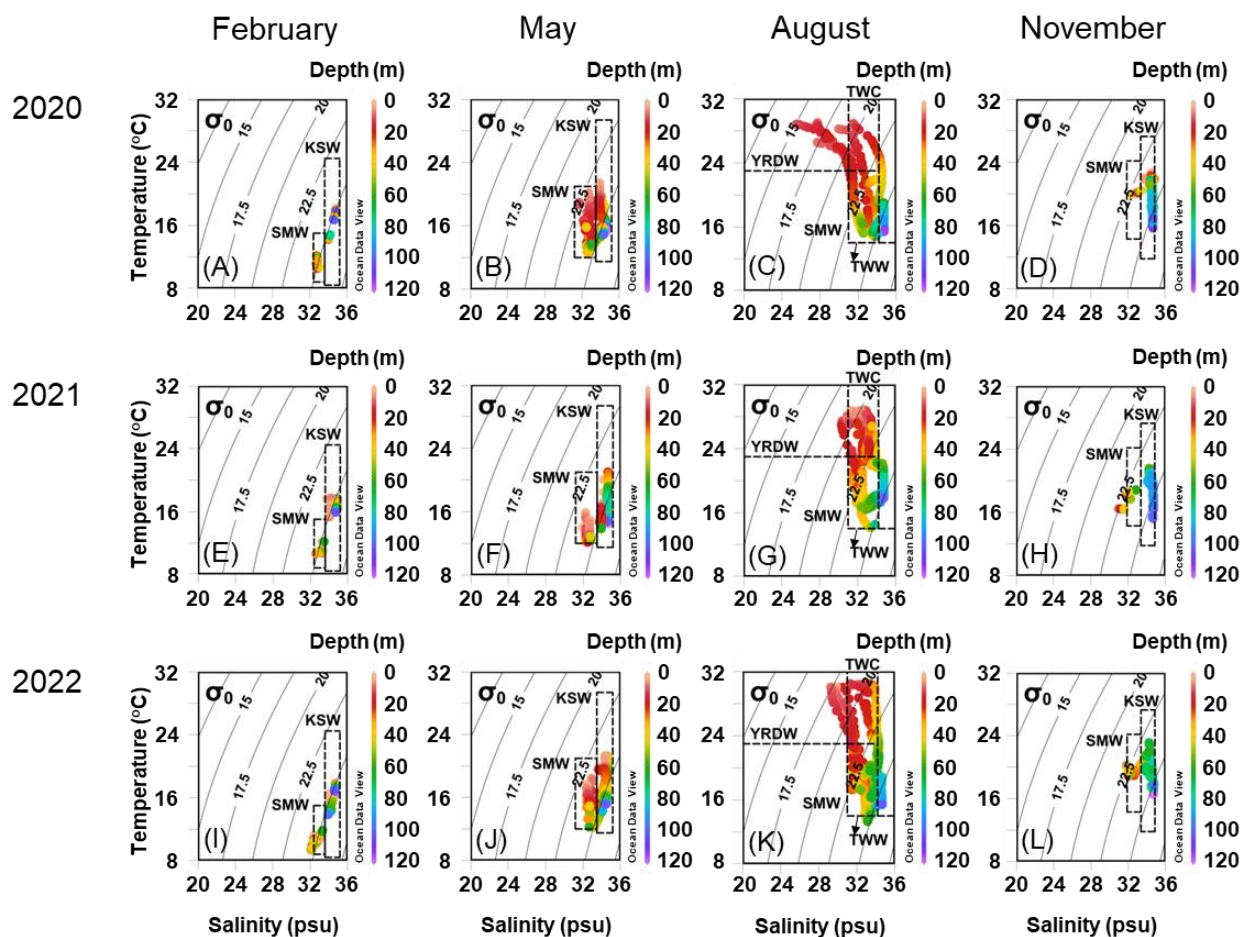


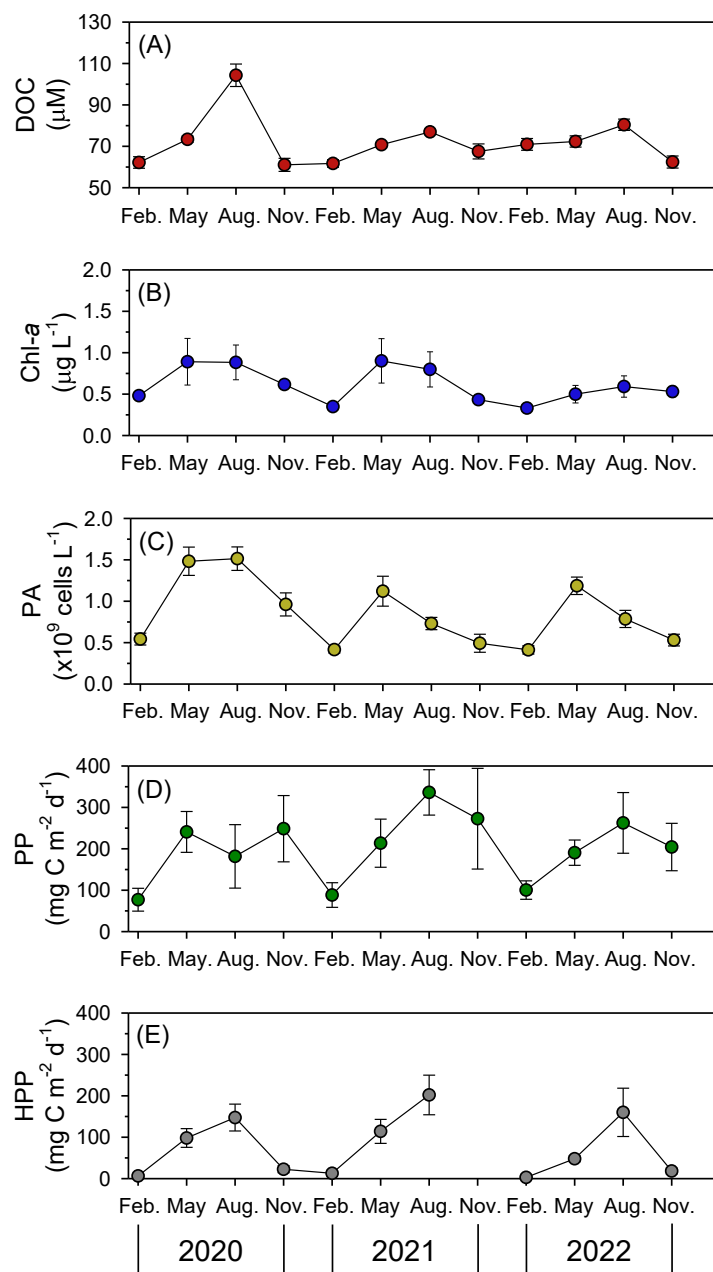
Figure 2. Seasonal T-S diagrams of the East China Sea (ECS) in 2020 (A, B, C, D), 2021 (E, F, G, H), and 2022 (I, J, K, L). The dashed box indicates the defined water masses in this study observed in the northern ECS (Gong et al., 1996; Hur et al., 1999; Kim and Youn, 2023). Grey contour lines represent the sigma-t. The color bar indicates the depth of the water column.



### 3.2 Seasonal pattern of chemical and microbiological parameters

Seasonal variations in DOC, Chl-*a*, and PP consistently showed elevated values in summer across the three-year observation period (Fig. 3). DOC concentrations within the mixed layer depth (MLD) increased steadily from winter to summer, reaching their highest values in August (Fig. 3A). In particular, August 2020 exhibited an abnormally elevated DOC concentration ( $104 \pm 5 \mu\text{M}$ ), distinct from the August values in 2021 and 2022 ( $77 \pm 1 \mu\text{M}$  and  $80 \pm 3 \mu\text{M}$ , respectively), likely due to enhanced YRDW intrusion (Fig. 1C, 2).

Chl-*a* concentrations averaged within the euphotic depth remained high from spring to summer, with comparable values between May ( $0.89 \pm 0.28 \mu\text{g L}^{-1}$ ) and August ( $0.88 \pm 0.21 \mu\text{g L}^{-1}$ ) in 2020, May ( $0.90 \pm 0.27 \mu\text{g L}^{-1}$ ) and August ( $0.80 \pm 0.21 \mu\text{g L}^{-1}$ ) in 2021, May ( $0.50 \pm 0.11 \mu\text{g L}^{-1}$ ) and August ( $0.59 \pm 0.13 \mu\text{g L}^{-1}$ ) in 2022 indicating a sustained phytoplankton presence throughout the stratified season (Fig. 3B). The PP, integrated within the euphotic depth, followed a similar seasonal pattern. It increased from spring to summer, peaking in August at  $336 \pm 55 \text{ mg C m}^{-2} \text{ d}^{-1}$  in 2021 and  $263 \pm 73 \text{ mg C m}^{-2} \text{ d}^{-1}$  in 2022 (Fig. 3D). Notably, the PP in August 2020 ( $182 \pm 77 \text{ mg C m}^{-2} \text{ d}^{-1}$ ) was not stimulated compared to other years, despite the high YRDW input, suggesting a potential suppression of phytoplankton productivity under extreme YRDW conditions (Fig. 3D).



**Figure 3.** Seasonal variations of dissolved organic carbon (DOC) concentrations with values averaged to the mixed layer depth (A), chlorophyll-*a* (Chl-*a*) concentrations (B), and prokaryotes abundance (PA) (C) averaged within the euphotic depth, primary production (PP) (D), and heterotrophic prokaryotes production (HPP) (E) values integrated within the euphotic depth in the northern East China Sea. Error bars represent standard errors.



### 3.3 Prokaryotes abundance and production

Prokaryotes abundance (PA) within the euphotic zone was highest in spring (May) decreased moderately in summer (August) in both 2021 and 2022, with values of  $1.12 \pm 0.18 \times 10^9$  cells  $L^{-1}$  in May and  $0.73 \pm 0.07 \times 10^9$  cells  $L^{-1}$  in August for 2021, and  $1.19 \pm 0.11 \times 10^9$  cells  $L^{-1}$  in May and  $0.79 \pm 0.10 \times 10^9$  cells  $L^{-1}$  in August for 2022. In contrast, in 2020, when YRDW input was greatest, PA remained consistently high from May to August, with values of  $1.48 \pm 0.17$  and  $1.51 \pm 0.14 \times 10^9$  cells  $L^{-1}$ , respectively (Fig. 3C).

HPP, integrated over the euphotic depth, showed a clear seasonal cycle. It was lowest in winter (February) and began to rise in spring (May), with values of  $98 \pm 23$  mg C  $m^{-2} d^{-1}$  in 2020,  $114 \pm 29$  mg C  $m^{-2} d^{-1}$  in 2021, and  $48 \pm 7$  mg C  $m^{-2} d^{-1}$  in 2022, and reached a consistent summer peak in August across all three years with values of  $152 \pm 32$  mg C  $m^{-2} d^{-1}$  in 2020,  $202 \pm 48$  mg C  $m^{-2} d^{-1}$  in 2021,  $147 \pm 46$  mg C  $m^{-2} d^{-1}$  in 2022 (Fig. 3E). This summer peak coincided with elevated DOC and Chl-*a*, suggesting enhanced microbial activity under the combined influence of YRDW input and seasonal phytoplankton production.

## 4. Discussion

### 4.1 Exceptionally enhanced HPP in summer

In the present study, one of the most prominent findings in oceanographic and microbiological properties was that the HPP consistently reached its peak in August (summer) (Fig. 3E), coinciding with the expansion of YRDW (Fig. 1; Table 1). Similarly, other related chemical and biological parameters, such as DOC, Chl-*a*, PA and PP, appeared to be high in summer as well (Fig. 3; Table 1). Considering the typically enhanced HPP coupled with phytoplankton bloom in spring in the middle latitudes of the northern hemisphere (Amon and Benner, 1998; Lemée et al., 2002; Gomes et al., 2015), our results showing consistently high summer peaks in microbiological parameters are intriguing. Since the expansion of YRDW in summer was the most notable observation in the nECS (Fig. 2), we further analyzed the impact of YRDW in regulating the HPP to better interpret the cause-effect relationship on the high HPP in summer. Firstly, the correlation analysis between salinity and DOC for three years (2020-2022) consistently revealed a significant negative relationship (Fig. 4A – C). Especially higher concentrations of DOC were observed in August with low salinity conditions ( $< 31$  psu), which indicated a substantial input of DOC into the nECS via the inflow of YRDW. Secondly, the HPP showed a significantly positive relationship with DOC in the summer of all three years, which suggested that the high HPP in summer is greatly stimulated by the DOC supply (Fig. 4D–F). Moreover, the three-year pooled summer Chl-*a* data also showed a significant correlation with HPP, indicating combined effect of DOC from terrestrial and phytoplankton sources (Fig. 5A). Consequently, these correlation analyses between salinity-DOC-HPP, along with the tight coupling between Chl-*a* and HPP, clearly demonstrated that the high HPP in summer is intrinsically stimulated by the input of DOC-rich YRDW and enhanced summer phytoplankton bloom driven by nutrient input (Fig. 4, 5A). Similar findings have been reported in the southern ECS ( $25.4 - 31.6^\circ N$ ,  $120.5 - 127.0^\circ E$ ), where HPP in the euphotic depth was highest during the summer months, coinciding with the wider distribution of YRDW in summer



periods (Shiah et al., 2003; Chen et al., 2009, 2021). Our results, combining extensive satellite images analyses, variations of hydrographic conditions, concentrations of DOC and Chl-*a*, and HPP variations with season, provide comprehensive and direct evidence on the impact of YRDW in stimulating consistently high HPP in summer.

Recent climate modeling studies suggest that the intensity of precipitation in East Asia during summer monsoon period will be increasing with global warming (Li et al., 2019b, Khadgarai et al., 2021; Qiao et al., 2024). In this regard, the high HPP in summer in the nECS has a significant ecological implication to the microbial oceanography of the adjacent seas (i.e., Yellow Sea and East Sea) where high HPP still prevails in spring. To investigate further the potential impact of YRDW on HPP in summer in the adjacent seas, we assembled available HPP data: (1) from the East Sea that is affected by the intrusion of YRDW in summer, and (2) from the Yellow Sea, relatively less affected by the YRDW (Supplement Fig. S1, S3). Indeed, the average HPP in summer ( $62 \pm 72 \text{ mg C m}^{-2} \text{ d}^{-1}$ ) of the East Sea was not significantly different from that measured in spring ( $77 \pm 94 \text{ mg C m}^{-2} \text{ d}^{-1}$ ) (Supplement Fig. S3B,  $p = 0.518$ ). This relationship suggests that the YRDW directly flowing into the East Sea via nECS (Chang and Isobe, 2003) stimulates the HPP in summer. In contrast, the average HPP in summer ( $22 \pm 9 \text{ mg C m}^{-2} \text{ d}^{-1}$ ) of the Yellow Sea remained significantly lower than that measured in spring ( $75 \pm 58 \text{ mg C m}^{-2} \text{ d}^{-1}$ ) (Supplement Fig. S3C,  $p < 0.001$ ). Our findings of elevated HPP in summer of the nECS and the East Sea highlight the importance of sustained ecological monitoring to better understand the extensive influence of climate change-induced precipitation on the HPP in the nECS and its adjacent seas (Chen et al., 2021).

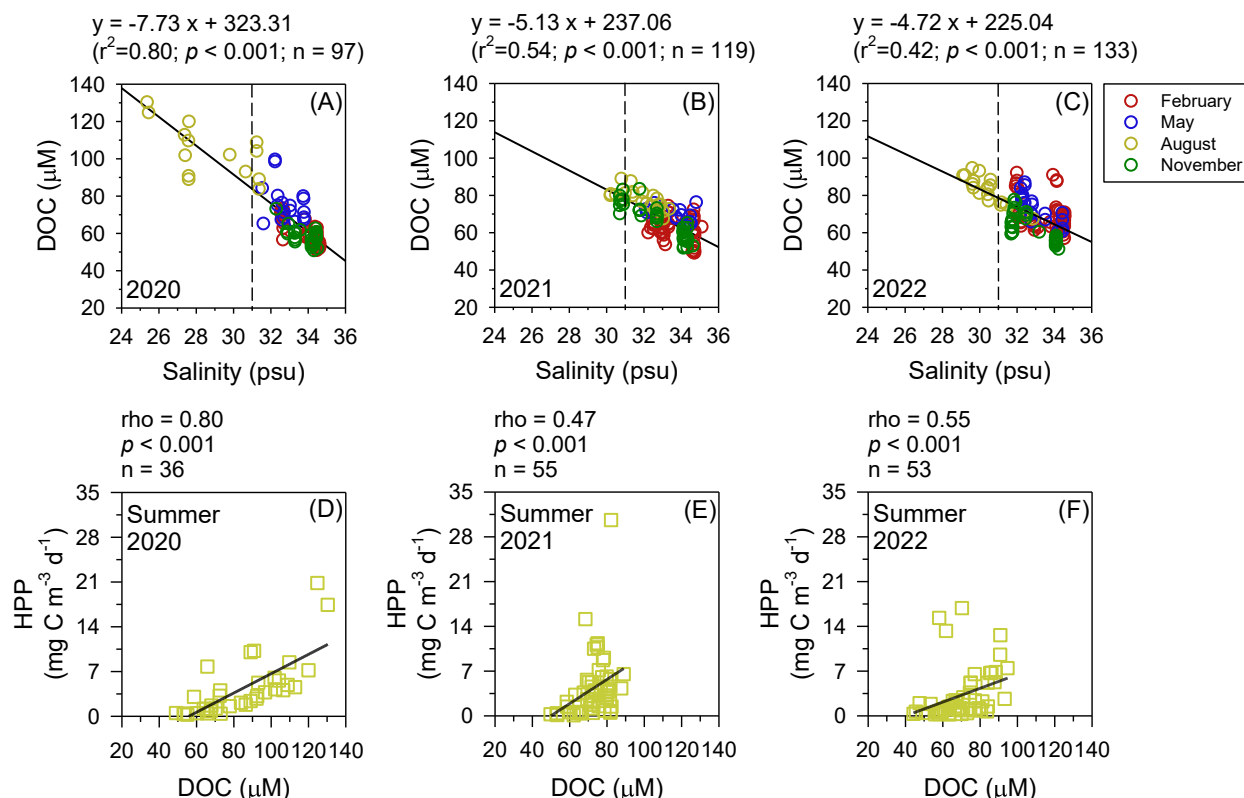


**Table 1. Average ( $\pm$  1SE) microbial oceanographic parameters chlorophyll-*a* (Chl-*a*), prokaryotes abundance (PA), primary production (PP), and heterotrophic prokaryotes production (HPP) within the euphotic layer; other variables are averaged within the mixed layer depth across four seasons in 2020 - 2022 in the northern East China Sea.**

Season	Water depth (m)	Euphotic depth (m)	Mixed layer depth (m)	Temp (°C)	Salinity (psu)	DOC ( $\mu$ M)	Chl- <i>a</i> ( $\mu$ g L <sup>-1</sup> )	PA ( $\times 10^9$ cells L <sup>-1</sup> )	PP ( $\mu$ g C L <sup>-1</sup> d <sup>-1</sup> )	HPP ( $\mu$ g C L <sup>-1</sup> d <sup>-1</sup> )
Spring	80 $\pm$ 28	23 $\pm$ 8	16 $\pm$ 10	18.1 $\pm$ 2.1	33.1 $\pm$ 0.9	72 $\pm$ 1	0.75 $\pm$ 0.13	1.28 $\pm$ 0.09	216 $\pm$ 26	84 $\pm$ 13
Summer	77 $\pm$ 29	31 $\pm$ 8	14 $\pm$ 6	28.3 $\pm$ 1.1	30.4 $\pm$ 1.9	86 $\pm$ 3	0.75 $\pm$ 0.11	0.99 $\pm$ 0.09	264 $\pm$ 40	168 $\pm$ 25
Autumn	75 $\pm$ 30	21 $\pm$ 16	51 $\pm$ 23	20.4 $\pm$ 1.7	33.1 $\pm$ 1.2	64 $\pm$ 2	0.53 $\pm$ 0.04	0.66 $\pm$ 0.08	242 $\pm$ 50	15 $\pm$ 4
Winter	78 $\pm$ 29	17 $\pm$ 13	76 $\pm$ 32	13.6 $\pm$ 3	33.5 $\pm$ 1	65 $\pm$ 2	0.38 $\pm$ 0.02	0.46 $\pm$ 0.03	89 $\pm$ 15	9 $\pm$ 1

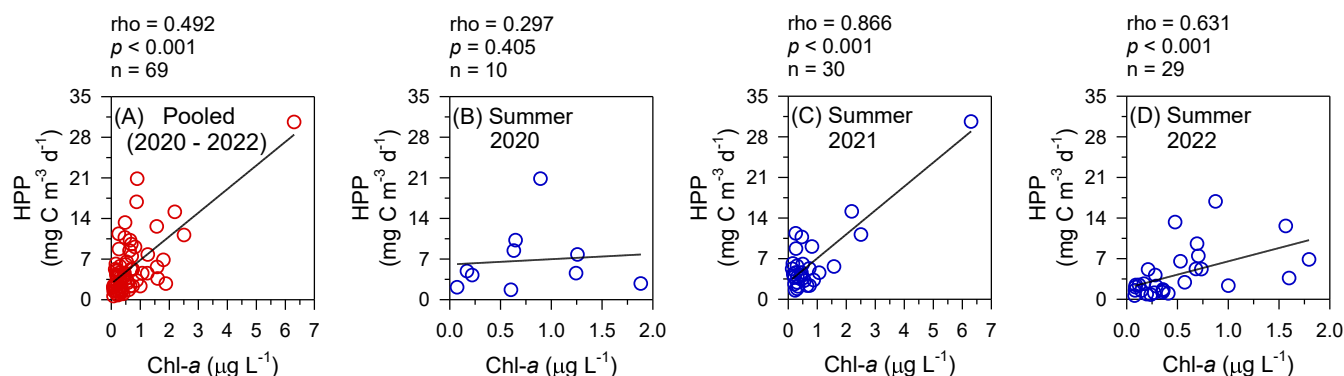
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**Figure 4.** Interrelationships between salinity and DOC (A, B, and C) within mixed layer depth in 2020, 2021 and 2022, and Spearman's correlation analysis between DOC and HPP (D, E, and F) during the summers of 2020, 2021, and 2022 in the northern East China Sea. The dashed lines indicate a salinity < 31 psu, which represents the salinity of Yangtze River Diluted Water.

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**Figure 5.** Relationships between Chl-a and HPP within the euphotic depth in August of the three-year pooled data (A), 2020 (B), 2021 (C), 2022 (D) in the northern East China Sea. Spearman's rank correlation analysis was conducted for the pooled data (A), 2020 (B), and 2022 (D), while Pearson correlation analysis was applied to 2021 (C).

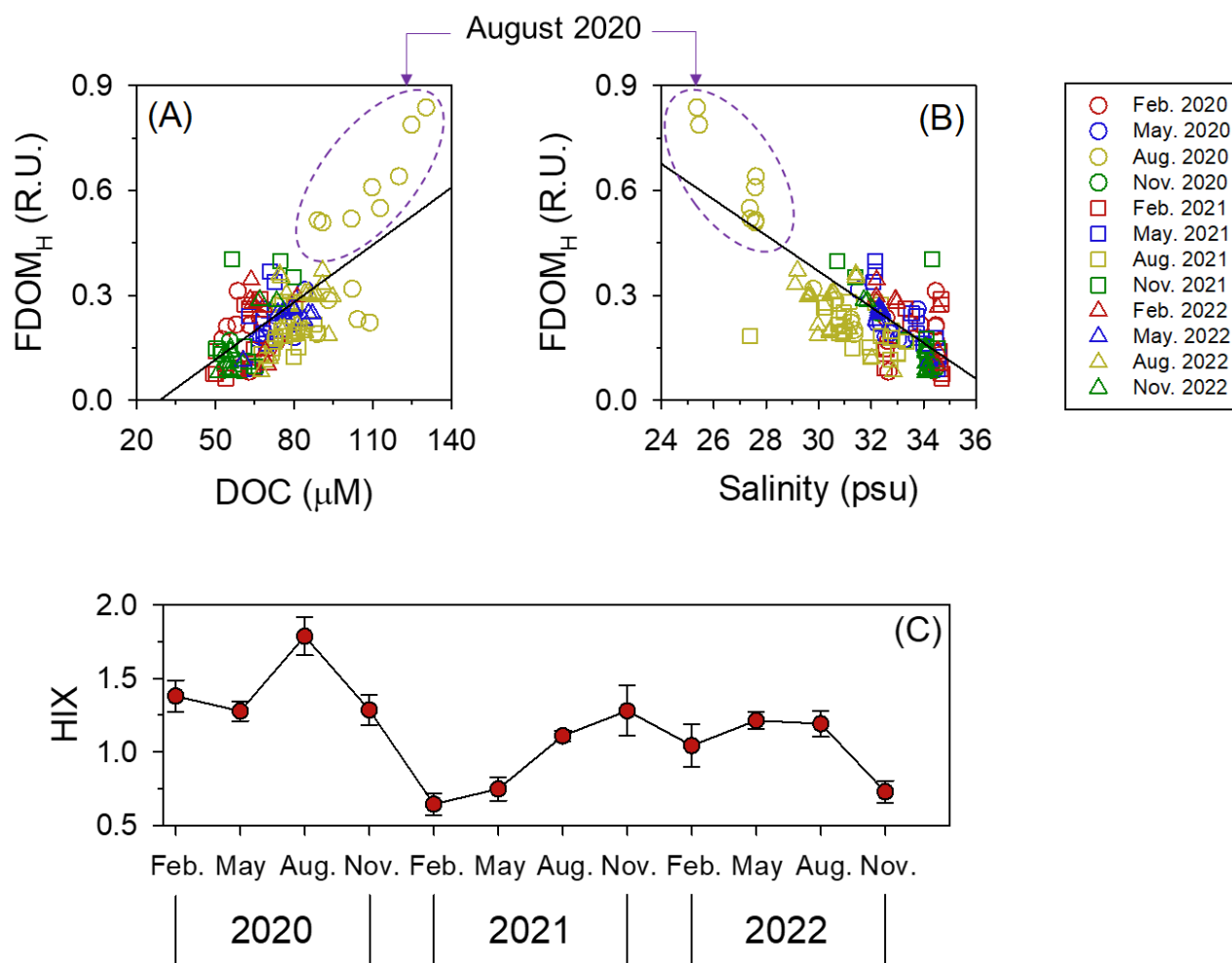


## 285 4.2 Suppression of HPP during greater YRDW intrusion

Despite the extraordinarily enhanced DOC input in August 2020 (Fig. 3A, 4A; Supplement Fig. S4A) resulting from the unusually heavy rainfall compared to that measured in August 2021 and 2022 (Fig. 1C, 2C, 3A), the HPP value in 2020 remained similar with that of 2021 and 2022 (Fig. 3E; Supplement Fig. S4B). This result suggests that a substantial fraction of the DOC transported to the nECS via YRDW in summer 2020 contained much more recalcitrant DOM for HP metabolism  
 290 (Guo et al., 2014; Li et al., 2020; Chen et al., 2024). Indeed, the fluorescence intensity of the FDOM<sub>H</sub> (i.e., humic-like FDOM, Coble, 1996) was highest in the high DOC range ( $> 90 \mu\text{M}$ ) (Fig. 6A) within the YRDW fraction ( $< 28 \text{ psu}$ ) (Fig. 6B) in August 2020. Accordingly, the humification index (HIX), used as a proxy for the refractory nature of DOM (Hansen et al., 2016; Li et al., 2019a), also reached its highest level ( $1.79 \pm 0.36$ ) in August 2020 (Fig. 6C). In environments where refractory organic matter is predominant, HP tend to utilize the organic matter more for cell maintenance (i.e., for energy-yielding  
 295 respiration) rather than for biomass synthesis (i.e., production) (Carlson et al., 2007; Fasching et al., 2014).

A plausible explanation for maintaining a low bioavailable DOC pool in August 2020 is the reduced PP observed during that period (Fig. 3D). The Yangtze River carries a large amount of sediment into the estuary (ca.  $4.86 \times 10^8$  tons per year), making it one of the most turbid estuaries in the world (Guo et al., 2014). This high turbidity can result in limited photodegradation of humic substances in the Yangtze River Estuary (Li et al., 2015). The YRDW, which contains abundant terrestrial humic  
 300 substances, can reach the nECS after passing through this highly disturbed area, driven by southeasterly winds (Supplement Fig. S1). The strong signals of FDOM<sub>H</sub> in August 2020, when YRDW input was greatest, suggest both elevated terrestrial input and limited photodegradation (Fig. 6B). This implies that a more turbid water plume reached the nECS in 2020 than in 2021 and 2022. Indeed, the average euphotic depth in August 2020 was only  $26 \pm 4 \text{ m}$ , compared to  $33 \pm 6 \text{ m}$  and  $34 \pm 11 \text{ m}$  in 2021 and 2022, respectively. This higher turbidity likely limited light penetration, resulting in reduced PP and a diminished  
 305 supply of labile DOC (Huguet et al., 2009; Jiang et al., 2016). As a result, the DOM pool in August 2020 was characterized by a higher relative contribution of refractory DOC, as reflected in the elevated HIX values (Fig. 6C).

Consequently, although the intrusion of YRDW supplying a substantial amount of bioavailable DOC in summer significantly stimulates HPP (Fig. 4), exceptionally great YRDW input, as it was observed in August 2020 (Fig. 4A), likely altered DOC quality by oversupplying terrestrial recalcitrant DOM and reduced PP by limiting light availability in turbid water column,  
 310 thereby limiting the additional stimulation of HPP (Fig. 3E).



**Figure 6.** Relationships of dissolved organic carbon (DOC) and salinity with humic-like fluorescent dissolved organic matter (FDOM<sub>H</sub>) (A and B), and the seasonal variations of the humification index (HIX) (C) within the mixed layer depth over three consecutive years across four seasons in the northern East China Sea. Error bars represent standard errors.

### 315 4.3 Impact of YRDW on phosphorus limited HPP

The Yangtze River has been reported to change the nutrient balance in the ECS by excessive DIN influx and relatively low DIP influx (Chen et al., 2020). In the turbid estuary, the DIP is generally removed by suspended particles (Lopez et al., 1996), which consequently triggers a nutrient imbalance (i.e., high N:P ratio) in the Yangtze River estuary. In general, DOC is recognized as the major limiting substrate for HP growth in the ocean. However, since pico-sized prokaryotes have a high surface area-to-volume ratio that requires more phospholipids to make up cell membranes and contain higher nucleic acids (P-rich) fractions than phytoplankton on a per-cell basis, they have relatively high P demand for growth (Goldman et al., 1987;

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Fagerbakke et al., 1996). This frequently results in a P-limited growth of HP in various marine environments (Thingstad et al., 2005; Yuan et al., 2011).

To directly demonstrate the impact of YRDW in regulating HP growth, we conducted three incubation experiments under different DOC and P conditions (Fig. 7; Table 2). The first experiment was carried out in August 2020 at the nECS site (Fig. 7A; Exp. 1 in Table 2) where the hydrographic condition was characterized by the expansion of the YRDW with high N:P ratio (34) and exceptionally high DOC containing high humic-like FDOM (Fig. 6). The second experiment was conducted during the same summer as the first experiment in the East Sea where the water mass was dominated by the high T-S TWW with N:P ratio of 15.09 (Fig. 7B; Exp. 2 in Table 2). The HPP was stimulated in P-amended samples exclusively at the YRDW-prevailing nECS site (Fig. 7A), while HPP was stimulated in glucose amended samples at TWW-prevailing East Sea site (Fig. 7B). Considering the highest DOC concentration (91  $\mu\text{M}$ ) and an abnormally high N:P ratio (34) (Table 2), the results indicated that intrusion of P-depleted YRDW was primarily limiting the HPP in the nECS in summer (Fig. 7A; Table 2). Based on the two experiments, we further hypothesized that if YRDW is not prevailing in the nECS, the HPP is not likely to be limited by the P deficiency. To confirm the hypothesis, we conducted the third experiment at the nECS site in April 2021 when the water mass was dominated by the Kuroshio source current (TWW and TWC) with high salinity (Fig. 7C; Exp. 3 in Table 2). Indeed, the HPP was stimulated only in the samples amended by glucose (+C, +CN and +CP). Combining these three growth-limiting resource experiments conducted at different water regimes in time and space demonstrates that the HPP in the nECS is closely regulated by the hydrographic conditions varying with season. It is primarily limited by P availability in summer when YRDW prevails, whereas organic carbon limitation is more significant in other seasons when Kuroshio source current (TWC and TWW) dominates (Supplement Fig. S1).

This P deficiency may have also influenced phytoplankton composition and their production of bioavailable organic matter, ultimately affecting HPP. In August 2020, despite the tight coupling between HPP and DOC ( $p < 0.001$ , Fig. 4D), there was no significant correlation between HPP and Chl-*a* ( $p = 0.405$ , Fig. 5B), unlike the patterns observed in other years (Fig. 5C, D). This uncoupling between HPP and phytoplankton-derived organic matter suggests a possible shift toward smaller phytoplankton communities under P limitation (Fig. 5B). Previous studies in the ECS have reported that elevated N:P ratios associated with YRDW inputs can drive shifts in phytoplankton community structure, transitioning from larger diatom dominance to smaller phytoplankton such as cyanobacteria, small dinoflagellates, and cryptophytes that prefer environments with low DIP availability (Gomes et al., 2018; Park et al., 2022). Such DIP limitation on phytoplankton growth may be further intensified by competition with HP that can be more efficient in acquiring phosphorus under high-DOC and low-P conditions driven by YRDW (Drakare, 2002). Moreover, exudates released from P-depleted phytoplankton tend to be less bioavailable for HP growth by supplying more recalcitrant organic carbon that is poorly suited for bacterial uptake (Kragh and Søndergaard, 2009; Puddu et al., 2003). Therefore, severe P deficiency induced by the excessive input of YRDW results in uncoupling between HPP and phytoplankton-derived organic matter (i.e. P-depleted exudates), leading HPP in August 2020 to rely primarily on terrestrial DOC (Fig. 4D).



Together with the refractory properties of the DOC and the reduced PP driven by high turbidity (Fig. 6), this P-limited HPP (Fig. 7A) and its uncoupling with phytoplankton (Fig. 5B) in August 2020 provide further evidence explaining why HPP was not so great even in summer 2020 with exceptionally high DOC compared to that measured in summer 2021 and 2022 (Fig. 3E). In a P-starved condition, HP is unable to synthesize nucleic acids, protein, and ATP, which limits their ability to replicate cells and metabolize (i.e., degrade and uptake) organic carbon (Obernosterer et al., 2003; Kritzberg et al., 2010).

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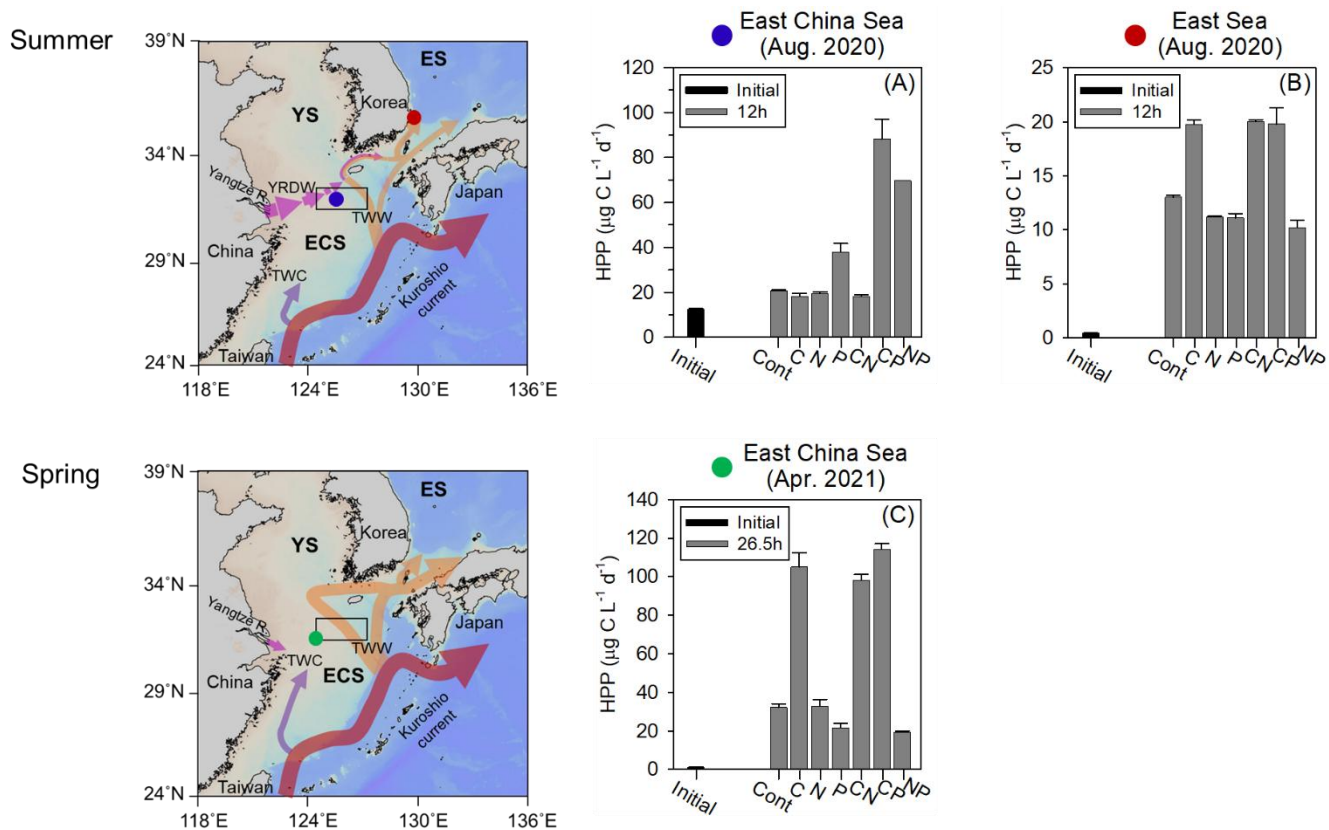


Figure 7. Maps of sampling sites where limiting resource experiments were conducted, and limiting resources for heterotrophic prokaryotes production (HPP) in the East China Sea (blue circle, A) and the East Sea (red circle, B) in August 2020, and the East China Sea (green circle, C) in April 2021. Error bars represent standard deviations. YS, Yellow Sea; ES, East Sea, ECS, East China Sea; YRDW, Yangtze River Diluted Water; TWC, Taiwan Warm Current; TWW, Tsushima Warm Water.

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**Table 2. Physico-chemical variables at the sampling depth where water samples for conducting limitation resources experiments were collected.**

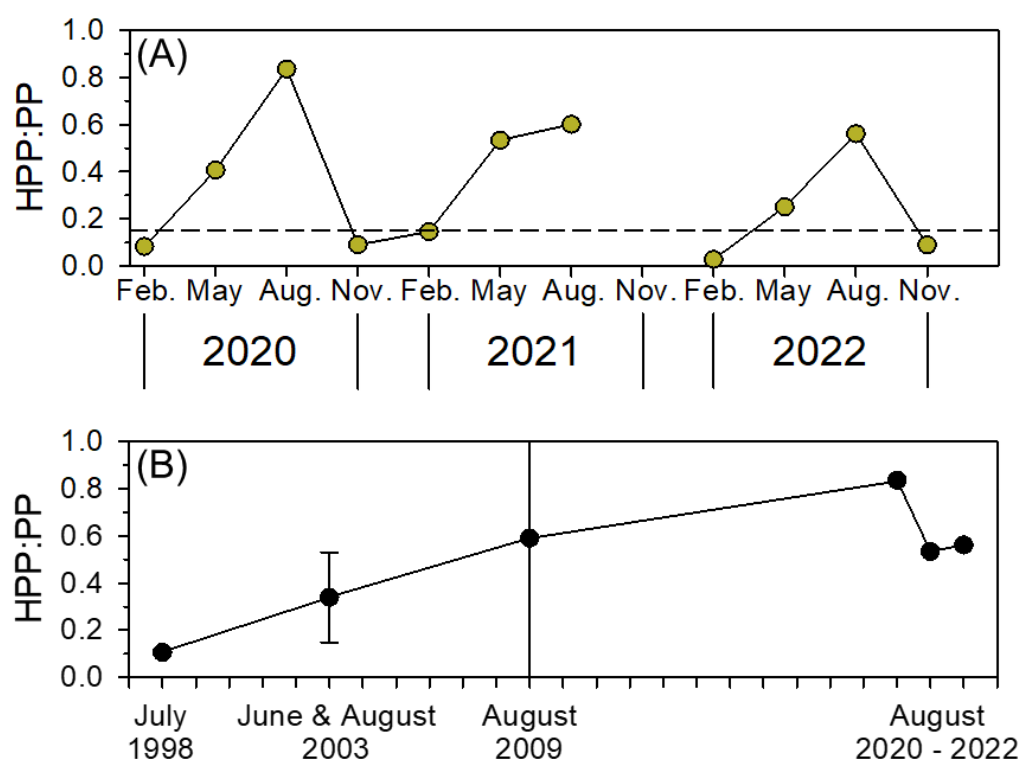
	Station	Water mass	Season	Depth (m)	Temp (°C)	Salinity (psu)	DIN (μM)	DIP (μM)	N:P	DOC (μM)
Experiment 1	Northern East China Sea	YRDW	August 2020	10	28	28	4.07	0.12	33.92	91
Experiment 2	East Sea	TWW	August 2020	10	15.6	33.5	8.45	0.56	15.09	69
Experiment 3	Northern East China Sea	Kuroshio source water	April 2021	10	12.9	32.6	-	0.40	-	65

#### 4.4 Impacts of YRDW in intensifying microbial loop

Given that the YRDW delivers high DOC but low phosphorus to the continental shelves of the ECS, it may alter substrate availability and affect the structure of both heterotrophic and autotrophic communities (Gomes et al., 2018; Kim et al., 2022), potentially leading to shifts in the relative contributions of basal producers (i.e., HP and phytoplankton) to food web dynamics and ecosystem carbon cycling. To evaluate the carbon flow through the microbial loop, we calculated the ratio of HPP to PP, representing the proportion of carbon flowing through HPP relative to PP (Fig. 8). The HPP:PP ratios observed in February and November fell within the global average 0.15 (Fig. 8A, Ducklow, 2000). However, in May, HPP:PP ranged from 0.25 to 0.53, and notably, it consistently exceeded 0.5 in August (Fig. 8A). This result indicates that the microbial food web is significantly enhanced in the nECS, especially in summer, supporting a comparable amount of carbon flow through the microbial loop (Rowe et al., 2025). Notably, in August 2020, when the influence of YRDW was particularly strong, the high HPP:PP ratio (up to 0.84) is likely due to the stimulated HPP and reduced PP, resulted from increased DOC input and high turbidity, respectively (Fig. 3D, E). This suggests that climate change-induced precipitation may increasingly deliver turbid, DOC-rich, but nutrient-imbalanced freshwater to the ECS, ultimately resulting in basal production being more strongly sustained by HP than by phytoplankton, thereby driving the ECS toward a more microbially dominated food web. Such a shift could reduce the efficiency of energy transfer to higher trophic levels, potentially affecting fishery resources (Berglund et al.,



2007; Rowe et al., 2025). Supporting this trend, compiled historical summer data over the past two decades revealed a gradual increase in HPP:PP ratios in the ECS (Fig. 8B). This finding suggests ongoing environmental changes, including warming and intensified precipitation events (Belkin, 2009; Khadgarai et al., 2021; Qiao et al., 2024), may increasingly shift carbon flow toward the microbial food web rather than the classical grazing food chain. Therefore, our results highlight that YRDW runoff driven by climate change can significantly alter the trophic structure and energy transfer efficiency in the ECS, ultimately affecting fisheries by favoring smaller-sized consumers. To better understand these dynamics, further microbial oceanographic studies are needed to elucidate how YRDW influences interactions between HP parameters (i.e., respiration and carbon demand) and phytoplankton parameters (i.e., biomass and production). Such efforts are essential for assessing current ecosystem functioning and predicting future shifts in microbially mediated carbon cycling and metabolic balance (i.e., heterotrophy vs. autotrophy) in the nECS (Baek et al., in prep.).



**Figure 8. Seasonal variations in the ratio of heterotrophic prokaryotes production to primary production (HPP:PP) in the northern East China Sea (A), and long-term variations in HPP:PP in the ECS (B) (data adapted from Shiah et al., 2003; Chen et al., 2006; Chen et al., 2014). The horizontal dashed line indicates the global average HPP:PP ratio of 0.15 (Ducklow, 2000).**



## 410 5. Conclusion

For the first time in the nECS, to our knowledge, we present that the YRDW greatly alters the concentrations and bioavailability of DOC and nutrient regimes, and thus has a significant impact in either stimulating or suppressing the HPP. In general, the YRDW was primarily responsible for the enhanced HPP in the nECS in summer by supplying DOC and stimulating high phytoplankton biomass (Fig. 4D, 5A). However, an exceptionally large-scale YRDW runoff with P-deficiency and limited  
415 DOC bioavailability rather suppressed the HPP (Fig. 6, 7). These dual contrasting impacts of YRDW, either stimulating or suppressing the HPP, partially modify the generally applicable conventional understanding that the YRDW stimulates HP activity in the ECS (Shiah et al., 2003; Chen et al., 2009).

The current ECS is undergoing drastic environmental changes resulting from rapid increases in sea surface temperature and heavy rainfall, as well as dam construction from human activities. These factors are transforming the current ECS into a  
420 different environment from what it was 10 or 20 years ago (Supplement Fig. S2, Qiao et al., 2024). For example, the P-limited condition under high YRDW input would enhance the biomass of smaller-sized phytoplankton such as cyanobacteria, small dinoflagellates, and cryptophytes (Gomes et al., 2018; Park et al., 2022), which might reduce the PP in the ECS (Gong et al., 2006; Lee et al., 2024; Fig. 3D). Consistent with this, the HPP:PP ratio has gradually increased over the past two decades (Fig. 8B), suggesting an intensifying microbial food web and shifts in ecosystem structure in the ECS. These changes imply that  
425 food web efficiency may decline, with more carbon cycled through microbial pathways rather than transferred to higher trophic levels. Such restructuring can ultimately impact the composition and productivity of fisheries. These results are also applicable to other ocean basins that receive large terrestrial DOM inputs, and are experiencing climate warming along with increased precipitation and riverine inputs (e.g., Amazon River and Arctic Ocean).

## 6. Data availability

430 Data collected and used in this study can be accessed at ZENODO

## 7. Authors contributions:

J-HH, S-HL and S-HY conceptualized and supervised the study. Y-JB, H-KJ and BK collected samples and performed onboard experiments, as well as laboratory analyses. Y-JB, HWD, S-HK, HH and J-HH conducted data analysis and drafted the manuscript.

## 435 8. Competing interest

The contact author has declared that none of the authors has any competing interests.



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