# Opinion: Improved scientific discourse and quality assurance by Review of interactive open access publishing with community-based multi-stage open peer review in an open science landscape for improved scientific discourse and quality assurance

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**Abstract.** Scientific discourse and quality assurance can be improved by open science through access (OA) publishing with public peer review and community discussion of preprints on open access (OA) publishing platforms. Over 25 years, the viability and benefits of this approach have has been proven by the interactive OA journal Atmospheric Chemistry and Physics (ACP) and 18 other journals published by the European Geosciences Union (EGU) and the its scientific service provider Copernicus Publications. The success of the EGU journals employing multi-stage open peer review reflects the attractiveness and-reflects the benefits of community-driven, interactive OA publishing, including high scientific quality and impact, efficient self-regulation, low cost and financial sustainability. Since 2001, EGU has published over 50 000 journal articles, 60 000-60000 preprints, and 250 000 interactive 250000 comments, utilizing and integrating different financing models of OA OA financing models (green, gold, diamond/platinum). The EGU interactive OA journals journals with multi-stage open peer review are linked to the OA repository and interactive community platform EGUsphere and to the virtual scientific highlight magazine EGU Letters, integrating different levels of scientific communication and exchange. The EGU publications combine multiple features of open science, including different forms of open peer review and community evaluation with open access, open data, and open source elements tailored to the needs and preferences of different disciplines. Indeed, the EGU pioneering approach to transparent peer review has spread to other leading publishers, including the Nature publishing group. We review the approach, achievements and future perspectives of interactive OA publishing (including transformative/institutional agreements and AI/ML tools) and its contribution to a universal epistemic web that captures the scientific discourse and comprehensively documents what we know, how well we know it, and where the limitations are.

# 1 Introduction

Traditional forms of scientific publishing and peer review do not satisfy current demands for efficient and traceable scientific communication and quality assurance (Pöschl, 2004, 2012; Kriegeskorte, 2012; Bornmann and Haunschild, 2015; Tennant

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et al., 2017; Ross-Hellauer, 2017; Tennant, 2018; Waltman et al., 2023). To improve the publishing and review process, scientists worked with the European Geosciences Union (EGU) and its publisher Copernicus to develop and launch the first interactive open access (OA) journal Atmospheric Chemistry and Physics (ACP) in the years 2000/2001, i.e., a couple of years before the term 'open access' was formally established in the declarations of the Budapest Open Access Initiative (2002), Bethesda Statement on Open Access Publishing (2003) and Berlin Declaration on Open Access to Knowledge in the Sciences and Humanities (2003). By now, the EGU publishing portfolio comprises 19 OA journals (Table A1 and EGU journals website) covering the full spectrum of geoscientific research. These interactive journals provide OA to the published articles and allow for public peer review and discussion that is open to the scientific community and to the public. The journals employ public peer review of manuscripts that are posted as preprints or discussion papers after a rapid pre-screening or access review. The advantages of the interactive OA publishing model of ACP . EGU and Copernicus and the other EGU/Copernicus journals

- can be summarized as follows (Pöschl, 2012):
  - Free scientific speech and rapid distribution of original research results after quick pre-screening/access review to remove submissions that are clearly deficient or out of scope.
  - Documentation of critical scientific discourse and exchange of arguments, complementary information, open questions, scientific controversies or flaws.

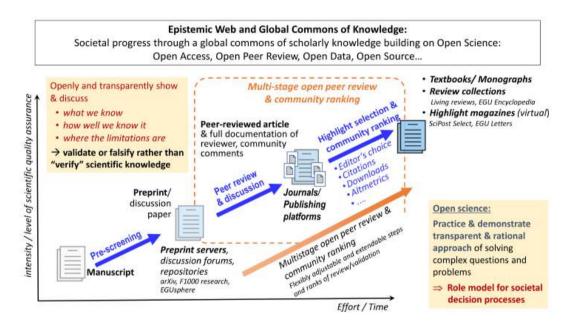
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- Traceability of quality assurance by citable reference and permanent digital object identifier (DOI) assigned to all elements elements of public review and discussion.
- Transparency in maintaining scientific integrity by facilitating the detection and reducing the risk of unethical behavior or abuse of the publication and review process (plagiarism, delay/obstruction during hidden peer review etc.).
- Public exposure, review and discussion of original manuscripts to provide public recognition that attracts high-quality 40 submissions and a permanent record of critical feedback, which, in turn, deters low-quality submissions and ultimately results in low rejection rates.
  - Public scrutiny to achieve effective self-regulation, high efficiency of scientific quality assurance and efficient use of (peer) reviewing capacities as the most limited resource in the scientific publishing process.
- Educational value of public access to scientific communication and discussion, enabling everyone to follow and learn 45 from real examples of how scientific critiques are addressed and how consensus can be reached, or how disagreement can be handled in a rational and constructive way.

The motivation, approach and design of the EGU interactive OA publishing model have been described in detail before (Pöschl, 2004, 2012; Cartlidge, 2007; Pöschl and Koop, 2008; van Edig, 2016) before (Gura, 2002; Pöschl, 2004, 2012; Cartlidge, 2007; P ; its performance and benefits have been independently evaluated and compared to other publishing models Bornmann et al. (2010, 2011, 20 (Bornmann et al., 2010, 2011, 2012; Ho et al., 2013; Bornmann and Haunschild, 2015; Kovanis et al., 2017; Tennant et al., 2017; Ross-Ho . For example, Bornmann et al. (2011) stated: "All in all, our results on the predictive validity of the ACP peer review system can support the high expectations that Pöschl (2010), chief executive editor of ACP, has of the new selection process at the journal: 'The two-stage publication process stimulates scientists to prove their competence via individual high-quality papers

and their discussion, rather than just by pushing as many papers as possible through journals with closed peer review and no direct public feedback and recognition for their work. Authors have a much stronger incentive to maximize the quality of their manuscripts prior to submission for peer review and publication, since experimental weaknesses, erroneous interpretations, and relevant but unreferenced earlier studies are more likely to be detected and pointed out in the course of interactive peer review and discussion open to the public and all colleagues with related research interests. Moreover, the transparent review process prevents authors from abusing the peer review process by delegating some of their own tasks and responsibilities to the referees during review and revision behind the scenes.'

Illustrating the big picture of interactive OA publishing, Figure 1 outlines how public review and discussion contribute to the advancement and refinement ("distillation") of scholarly knowledge and to the development of an epistemic web that displays the scholarly discourse and shows what we know, how well we know it, and where the limitations are in accordance with the scientific method and critical rationalism —(Popper, 1974; Hyman and Renn, 2012; Pöschl, 2012; MPIC Open access).



**Figure 1.** Schematic illustration of interactive open access publishing within an epistemic web and global commons of scholarly knowledge (Popper, 1974; Hyman and Renn, 2012; Pöschl, 2012; MPIC Open access)

In Section 2, we provide a brief overview of approaches and developments that evolved over the past decades to enhance the accessibility, efficiency and transparency of the scientific publishing and review process. In Sections 3 and 4, we review the key features and evolution of the interactive OA publishing approach as applied in the *EGU journals* and its related platforms including the OA repository *EGUsphere*, the *Encyclopedia of Geosciences* and the virtual highlight magazine *EGU Letters*.

# 70 2 Recent developments in scientific publishing

### 2.1 Open access (OA) publishing

### 2.1.1 Early initiatives on OA publishing

Open access, i.e., the free online availability and re-usability of scientific publications, leads to greater visibility, impact and equitable accessibility of scientific research results and knowledge for the global scientific community and interested public (Berlin Declaration on Open Access to Knowledge in the Sciences and Humanities, 2003; Eysenbach, 2006; Norris et al., 2008; SPARC Europe, 2015; Tennant et al., 2016; Langham-Putrow et al., 2021; Brainard, 2024a; Can et al., 2024; Chen et al., 2024; Huang et al., 2024). In the traditional subscription-based model of scientific publishing, most articles require payment for access, which is particularly disadvantageous for scientific exchange and for people in resource-poor institutions and regions. Early attempts to make scientific journal articles and preprints openly available on the internet began with bottom-up initiatives of scientific researchers in the 1980s and 1990s, including but not limited to the high energy physics preprint repository arXiv (Section 2.3.1), the New Journal of Physics (OA since 1998, Bodenschatz (2008)) and the Journal of Medical Internet Research (OA since 1999, Eysenbach (2019)). In the early 2000s, further OA journals were established by researchers, learned societies and innovative commercial publishers in the geosciences and life sciences, including Copernicus Publications, the European Geosciences Union (EGU) and its predecessor European Geophysical Society (1971 - 2003), the Public Library of Science (PLOS) and BioMed Central. In parallel, major scientific institutions adopted the aims and further developed the concepts of OA publishing. For example, the Berlin Declaration on Open Access to Knowledge in the Sciences and Humanities (2003) has been signed by over 800 leading scholarly organizations worldwide, whereby EGU was one of the first international learned societies among the signatories (EGU News, 2020).

The proportion of OA articles among the large number of scientific journal articles (> 2 million per year, published in > 20,000 peer-reviewed journals, e.g., *Web of Science; Scopus; Ulrich's Web*), however, increased only slowly during the first 10 years after the *Berlin Declaration*, because most funds available to cover costs of scientific journal publishing were bound in subscription contracts. Traditional publishers moved only very slowly and reluctantly towards a proper OA publishing market (Schimmer et al., 2015; Brainard, 2023; Frank et al., 2023; Kiley, 2023). To accelerate the progress and transform the corpus of traditional pay-walled subscription journals to OA, scholars, scholarly organizations and research funders developed a variety of initiatives at institutional, national and international levels (OA2020, 2016a; Pöschl, 2020; cOAlition S Blog, 2023). These and related initiatives aim at redirecting funding from subscription or paywall access to OA in scholarly oriented and cost-efficient, i.e., cost-neutral or cost-saving ways (Pöschl, 2015; Schimmer et al., 2015).

### 2.1.2 OA models: green, gold, diamond/platinum

Different approaches are employed to provide free access to scientific content. These approaches vary in terms of timing of open access, copyrights, coverage of publications costs and funding sources. The three major forms are often described by the following categories, which are, however, only loosely defined:

- Green OA (OA archiving) requires that authors self-archive their publications in repositories that are funded by their institution or by other sources. Publishers of the journals where the original article was published may impose embargo periods prior to archiving of closed-access articles, and they also may retain the copyrights for the distribution of the work. The green OA approach can help to advance OA, but it has not been proven to offer a viable alternative to traditional journal publishing and quality assurance. It can introduce ambiguities and confusion about the public availability and validity of different versions of scientific studies, where preliminary versions have been self-archived and the final validated version may be available through a subscription journal only. The open science community platform EGUsphere (Section 4.2) enables the self-archiving archiving of preprints as well as their linking and transfer to peer-reviewed scientific journals, including but not limited to the EGU interactive OA journals.

- Gold OA (OA publishing) requires the payment of article processes processing charges (APCs) that are either covered by the authors themselves or via publishing agreements with their institutions. The APCs cover the costs associated with the paper production, archiving and other publisher-related expenses (Section 4.1.7). Gold OA allows immediate and free access to the publication for all readers and, thus, removes the pay-walls that exist in many traditional journals that only allow access when readers or their institutions pay a subscription for to the journal. Many current efforts are dedicated to convert such 'closed-access' journals into OA journals via 'transformative agreements' (Section 2.1.3) or to initiate full OA journals, such as those published by EGU/Copernicus. The long-term viability of this approach has been proven for more than a couple of decades by the journals of EGU/Copernicus and other early OA publishers as outlined above and detailed below.
- Diamond/platinum OA (OA publishing) implies that the APCs are covered through funding by institutions, universities, research organizations or other external sources. Even though authors may perceive diamond OA as cost-free publishing, it relies on sustainable funding sources to support and maintain the publishing infrastructure without any charges for authors or readers. Diamond OA is offered by EGU journals during their start-up phase and for corresponding authors from countries identified by in the *Research4Life* groups as well as other authors with insufficient funding (Section 4.1.7). During the past few years, some other, newly launched geoscience journals pursue the diamond OA approach<sup>1</sup>. They are usually sponsored by individual institutions and led by an editorial team that performs all steps of the publishing process for relatively few papers without professional publisher support. Another example is the journal *Aerosol Research*, launched by Copernicus Publications in 2023. This journal is sponsored by several research institutions and scientific societies to allow for long-term financing (EIm et al., 2023). Similar schemes can be envisioned for EGU journals in the future. Depending on scientific developments, disciplinary preferences and the global publishing landscape, sponsors may include research agencies or a sufficient number of large OA publishing agreements. For diamond/platinum OA approach, journals, most of which are relatively recent and small, the large-scale viability, long-term commitment of supporting/funding bodies and scientific sustainability still remain to be proven.

<sup>&</sup>lt;sup>1</sup>Examples include Volcanica (2018), funded by Presses universitaires de Strasbourg, Seismica (2022), funded by McGill Library, Sedimentologika (2023), funded by Bibliothèque de l'Université de Genève and the Society for Sedimentary Geology, Tektonika (2023), funded by University of Aberdeen, Geomorphica (2024), Geodynamica (2025).

## 2.1.3 Transformative and full OA publishing agreements

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Transformative agreements (TAs) or 'publish-and-read' are contracts between scholarly institutions or consortia and publishers to transition publication output to open access (OA)QA; at the same time, these contracts ensure access to content that was previously covered via the institutional subscriptions to the journal. These agreements, thus, lead to OA publication for participating institutions while maintaining access to subscription-based content from other institutions or consortia that have not established OA. Such agreements offer flexible ways for publishers, institutions, consortia and countries of gradually converting subscription-based journals into OA (OA2020, 2016a; ESAC, 2014; cOAlition S Blog, 2023; Springer Nature, 2024). At the same time and as outlined in the OA2020's mission statement (OA2020, 2016b), it remains important to uphold and promote further improvements in scientific publishing at reasonable and competitive costs through innovation and competition. This involves not only traditional subscription publishers through TAs, but also innovative fully OA publishers through equivalent OA publishing agreements (e.g., institutional agreements with Copernicus Publications, Section 4.1.7).

The evolution of the number of TAs in the ESAC registry (2024) that start per year and the number of OA articles published on the basis of TAs are shown in Figure 2. Some examples of such TAs with traditional subscription publishers and of agreements with full OA publishers are listed in Table 1. Leading scholarly organizations and consortia in a growing number of countries have successfully negotiated and used TAs with major international scientific publishers to achieve high percentages of OA for their publication output during recent years (Figure S1 in the Supplement), while some national organizations continue to resist these developments due to concerns over supposed financial sustainability, perceived inequities in cost distribution, or speculative fears about future cost increases (Schuhl, 2024).

Several countries and scholarly organizations have already achieved OA for more than 90% of their scientific journal publishing output (B16 Conference, 2023), using TAs with traditional publishers, agreements with proper OA publishers and further OA publishing funds for scientists to flexibly cover OA article processing charges. For example, the German Max Planck Digital Library (MPDL) has converted more than 95% of the publication output of the Max Planck Society into OA via the German National Consortium DEAL with big traditional publishers (Elsevier, Springer, Wiley) and via individual contracts with numerous OA and learned society publishers (Dér, 2023).

This development towards a full OA landscape helps to maintain and increase bibliodiversity of scholarly scientific publications, i.e., the variety of publishing formats, models, platforms and outlets used to disseminate scientific knowledge in different disciplines, languages and regions of the world (Jussieu Call, 2016). It also includes the range of financing models and mechanisms (e.g., gold/diamond OA) to make scientific publishing and its access inclusive and equitable. This desired outcome will be reached if OA agreements do not only focus on large publishers but are extended to smaller publishers and new publishing models. Acknowledging this evolution toward full OA to the entire scientific literature, the TIME magazine recognized the advances in OA achieved by transformative agreements as one of the '13 Ways the World Got Better in 2023' (TIME, 2023).

The average fee per article in the traditional subscription publishing model is approximately 4000 €, whereas OA journals of similar quality are sustainable with article charges of 2000 € or less (Open APC, 2024). This was shown by Schimmer et al. (2015) in a comprehensive analysis of the global scholarly journal publication market with a financial annual volume

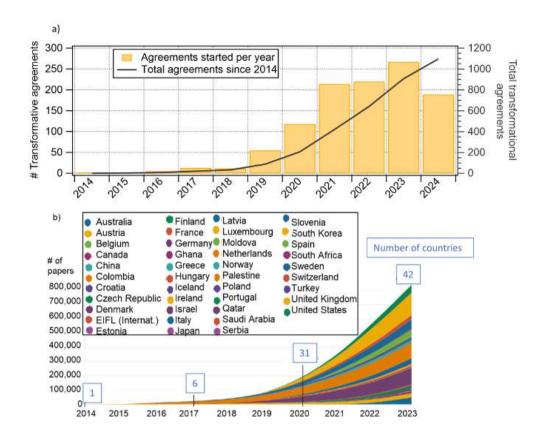


Figure 2. a) Number of transformational agreements started per year (left axis) and cumulative number (right axis) registered in the given year with the Initiative for Efficiency and Standards for Article Charges (ESAC, 2014); b) Cumulative number of published open access articles using transformational agreements (figure adapted from Dér (2023) with modifications). The data in the figure are arranged in alphabetical order by country, starting from the bottom.

of ~10 billion €, mostly paid by publicly funded academic libraries. Similar differences were found in more recent, less comprehensive studies (Björk and Solomon, 2015; Pinfield et al., 2016; Triggle and Triggle, 2017; Ross-Hellauer et al., 2018; Pollock and Michael, 2019; Grossmann and Brembs, 2021; Borrego, 2023; Haustein et al., 2024). In other words, the costs of traditional subscription journals are on average higher by a factor of 2 than required to produce high-quality publications. This is also reflected by the high profit margins of traditional journal publishers, often exceeding 35%, i.e., higher oligopoly revenues than in many other industries (Van Noorden, 2013; Larivière et al., 2015; Pöschl, 2020; Butler et al., 2023). Thus, concerns that OA threatens the financial viability of the academic publishing system, as e.g., put forward by Velterop (2003), are unfounded because much more public funding than needed for OA publishing is bound in the traditional subscription journal business. The financial benefits and savings through transformative agreements have been clearly demonstrated by the MPDL and the German DEAL consortium. For example, the MPDL managed to convert practically all scientific journal publication output

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**Table 1. Selected** Overview of selected transformative or full open access publishing agreements in various countries (ESAC, 2014; OA2020, 2016a; B16 Conference, 2023)

Country	Link to website or document <sup>1</sup>	Comment
Austria	https://at2oa.at/en/	Springer, Wiley, Elsevier
Denmark	https://pro.kb.dk/en/licensing/oa-publication-guidelines/	
	guidelines-open-access-publications	
Finland	https://finelib.fi/negotiations/using-oa/	Completed or ongoing negotiations
France	https://www.couperin.org/category/negociations/	
	accords-specifiques-so/	
Germany	https://deal-konsortium.de/en/agreements	DEAL/MPDLS agreements with Wiley, Springer Nature,
		Elsevier
	https://www.mpdl.mpg.de/21-specials/	MPG/MPDL agreements with multiple publishers
	50-open-access-publishing.html	
Netherlands	https://www.openaccess.nl/en/publishing/publisher-deals	
Norway	https://www.openscience.no/en/publisering/apen-publisering	Full or partial APC coverage, depending on agreement
Sweden	https://www.kb.se/samverkan-och-utveckling/	hybrid and full OA publishers, including PLOS, Copernicus
	oppen-tillgang-och-bibsamkonsortiet/	
	open-access-and-bibsam-consortium/bibsam-consortium/	
	open-access-in-bibsam-agreements.html	
Switzerland	https://consortium.ch/vertraege-konditionen/?lang=en	Does not cover articles in special issues
UK	https://beta.sherpa.ac.uk/tj-list	
USA	https://osc.universityofcalifornia.edu/for-authors/	University of California agreement with Elsevier
	publishing-discounts/elsevier-oa-agreement/	
	https://btaa.org/library/open-scholarship/agreements/	BTAA <sup>2</sup> agreement with Wiley
	wiley-open-access-agreement	
	https://www.cambridge.org/core/services/open-access-policies/	BBTA <sup>2</sup> agreement with Cambridge Univ Press
	read-and-publish-agreements/oa-agreement-btaa	

<sup>&</sup>lt;sup>1</sup> Last access for all links: 24 Jan 2025, <sup>2</sup> Big Ten Academic Alliance, https://btaa.org/about

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of the Max Planck Society from subscription to OA over a period of six years without any increase of expenses in spite of substantial inflation (2018 - 2024: approx 14 million Euros unchanged; see slide 13 in (Dér, 2024); (Dér, 2025). Moreover, the DEAL consortium managed to obtain OA for all research articles with corresponding authors from German institutions while reducing the overall expenses for Elsevier journals from approx 50 million Euros in 2015 to approx 30 million Euros in 2023, corresponding to a 40% cost reduction (Vogel, 2023). The opportunities and viability of cost saving through OA are confirmed by the following: While nearly 50% of new peer-reviewed articles are now published OA, 80% of publisher revenues are still bound in opaque subscription fees (B17 Conference, 2025). On average, 1–2% of total research budgets by scientific

institutions is spent on literature and information provision and publishing (German Science and Humanities Council, 2022). However, the distribution of these funds are important in the OA transition and also implies that financial flows within the institutions should be adjusted accordingly.

OA publishing models have led to valid concerns about the potential impacts of OA on the quality of scientific content (Pöschl, 2004; Björk, 2019; MacLeavy et al., 2020; Frank et al., 2023). Since APCs are levied for individual articles, unlike in subscription payments which are remunerated to entire journals or journal families, every published OA article enhances the publisher profits. As a consequence, the APC business model led to the launch of a large number of journals motivated purely by commercial interests. These journals solicit a high number of submissions that often undergo only cursory (if any) peer review, resulting in publications of low scientific quality and, thus, are frequently referred to as "predatory journals" (Beall, 2017; Grudniewicz et al., 2019; Brainard, 2023). We strongly propose that such economically-driven aberrations of OA publishing have to be counteracted by measures of quality assurance providing transparent evidence of rigorous peer review. With the goal of maintaining or even improving scholarly quality assurance, the EGU interactive OA journals and other innovative OA publishing platforms applying various forms of open and/or transparent peer review and different OA approaches (diamond/gold) were launched even prior to initiatives aimed at the OA transformation of traditional subscription journals (Sections 3 and 4).

## 2.2 Open peer review

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Balancing the needs of rapid dissemination of scientific results and publications while ensuring scientific quality can be achieved by complementing traditional publishing practices with open and transparent approaches (Pöschl, 2004, 2010, 2012; Kriegeskorte, 2012; Bornmann and Haunschild, 2015). The traditional peer review system neither allows for tracking the rigor of the peer review, nor does it lead to quick, efficient communication of scientific knowledge (Tennant et al., 2017; Tennant, 2018; Ross-Hellauer, 2017; Borrego et al., 2021; Aczel et al., 2025; Pattinson and Currie, 2025) , which may inherently bias scientific assessment and progress (Lee et al., 2013). These shortcomings were recognized in the recent 'Proposal towards responsible publishing' (cOAlitionS, 2023) to apply that suggests measures to ensure scientific quality, several of which are already implemented in the publication model by EGU/Copernicus since 2001 (Section 3). The need of

The need for transparency and the advantages of interactive public discussion during the peer review process have been discussed (Kriegeskorte, 2012; Sandewall, 2012; Walker and Rocha da Silva, 2015; Fiala and Diamandis, 2017; Horbach and Halffman, 2018; Wolfram et al., 2020). During the last decades, new ways of peer review have been suggested, including several forms of open peer review (Ross-Hellauer, 2017). The two most common ones are the disclosure of the reviewer identities to the authors and the publication of reviewer reports alongside the papers either during the peer review or after publication (or a combination of both) (Ross-Hellauer et al., 2023). Initial concerns about potential bias among reviewers in an open peer review process were shown to be unfounded (Thelwall et al., 2020); in fact, there is evidence that such reviews may be even more constructive (Ross-Hellauer and Horbach, 2024). In the EGU journals, all peer reviewer comments are immediately published, and they are subsequently archived as part of the interactive discussion, in which the referees, editors, authors and the scientific

community can participate. Therefore, we refer to it as 'public peer review' in the context of the EGU journals, which is arguably one of the longest practiced, best established and most successful forms of open peer review.

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It seems that the importance of proper archiving and citability for manuscripts and reviewer comments has been overlooked in the open peer review experiments of various publishers and societies. For example, the American Geophysical Union (AGU) started experimenting with public peer review in 2008, building on the success of the EGU interactive OA journals, which were at that time already very well established in the global geoscience community. Unlike EGU, however, AGU did not offer permanent archiving, but deleted the discussion papers and interactive comments after public review and final acceptance or rejection of a manuscript (Albarède, 2009). This line was also followed by the Journal of Advances in Modeling Earth Systems (JAMES), which had initially adopted the interactive OA publishing concept of ACP, but did not maintain the archiving of comments in their discussion forum (JAMES-D), was taken over by AGU, and eventually abandoned open peer review (Pöschl, 2012). The 2006 'peer-review trial and debate' in Nature (Nature Editorial, 2006) was also not successful, because neither authors nor their colleagues and readers had much of an incentive to participate in the public discussion (Pöschl, 2012). Articles were posted in a public discussion while the forum while they underwent closed peer review with non-public referee comments. A very high fraction (93%) of all papers are were rejected, not because of a lack of scientific quality but because they are were not deemed sufficiently exciting for the interdisciplinary audience of the magazine. For the rejected manuscripts, the previously published comments would become inaccessible. The incomplete documentation of the scientific discourse, which was an inherent a result of deleting discussion papers and comments, undermined several key aspects of the public discussion and peer review, including the documentation of controversial scientific innovations or flaws, public recognition of commentators' contributions, and deterrence of careless submissions. Such differences may appear subtle at first sight, but they may explain why several other trials of open peer review were much less successful than the approach of ACP/EGU. After all, most scientists do care what happens to manuscripts and comments, in which they have invested substantial effort, i.e., if their results and opinions voiced in a public review and discussion process remain traceable or not.

Already in 1996, the *Journal of Interactive Media in Education (JIME)* was launched, facilitating in a first stage of the publication process a 'private open peer review' between authors and eponymous reviewers. In a second stage, the public could comment on the author and reviewer comments, after which the editor advised the authors on the revision of their manuscript (Buckingham Shum and Sumner, 2001). Finally, the editor decided which, optionally edited, parts of the interactive discussion were published alongside the final paper. This interactive review concept, however, does not seem to be applied in JIME any longer after its relaunch in 2011 (Weller, 2012). The journal *Electronic Transactions on Artificial Intelligence (ETAI)*, launched in 1997 and discontinued as of 2006, introduced a different form of an interactive two-stage publication process (Sandewall, 2012; Hachani, 2015): In a first stage, the scientific community could publicly discuss the article. In a second stage, designated peer reviewers provided reports that were available to the authors and editors only, but not to the public. In both *JIME* and *ETAI*, the discussions were restricted to interactions between specific groups, i.e., only within the scientific community —or between referees and authors, respectively, limiting the overall transparency of the review process and the traceability of the evolution of the scientific manuscript.

A variant of interactive and public peer review has been explored by *eLife*, an OA journal in the biomedical and life sciences, launched jointly in 2011 by the Howard Hughes Medical Institute, the Max Planck Society and the Wellcome Trust (Schekman et al., 2012). In the initial years of *eLife*, there was a non-public interactive discussion between reviewers and authors. As of 2021, this discussion is open to the public with full record of all reviewer comments and author responses (Eisen et al., 2020), similar to the concept as applied in the EGU journals (Figure 3). The review process in *eLife* was concluded with a public editor decision to accept or reject the paper. This latter step has been abandoned as of 2022; instead, the review process concludes with an editorial 'eLife assessment', implying that the public communication among authors, reviewers and editors is sufficient for readers to judge the research quality (Eisen et al., 2022). However, this concept has led to major controversy among the *eLife* editors (Else, 2022; Abbott, 2023) and to concerns about the rigor of peer reviewdiscussions about the interconnections between peer review, editorial policies and indexing by the Web of Science (Brainard, 2024a, b; eLife, 2024; Stern, 2024; Barbour et al., 2025) (Brainard, 2024a, b; eLife, 2024; Stern, 2024; Barbour et al., 2025) (Brainard, 2024a, b; eLife, 2024; Stern, 2024; Barbour et al., 2025) (Brainard, 2024a, b; eLife, 2024; Stern, 2024; Barbour et al., 2025)

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As a compromise between traditional closed peer review and public interactive peer review, some publishers and journals, such as the medical and biological journals by *BioMed Central*, as of 2001, provide a record of the pre-publication history of the scientific exchange between the reviewers, editors and authors after the publication of a final peer-reviewed paper (Cosgrove and Flintoft, 2017). As of 2020, more than 500 journals publish peer reviewer reports alongside the published paper, either immediately or as post-publication review history; their publication may be mandatory or upon approval by the authors and/or reviewers (Wolfram et al., 2020).

Table 2 lists several of such journals, illustrating the pioneering role of EGU in public peer review. In later years, some publishers offered to publish reviewer reports for journal families or series, e.g. PLOS, Madison (2019); Elsevier (Bravo et al., 2019; Justman, 2019), IOP publishing (Banks, 2019), Wiley (Moylan et al., 2020) and Sage Publications (Sage, 2021).

**Table 2.** Selection of journals disclosing peer review reports (optional/mandatory, after/during review) sorted by the year, in which this feature was introduced.

Journal	Publisher Sinc		Reference		
JIME	The Open University	1996	1996 Buckingham Shum and Sumner (2001);		
			Pöschl (2012)		
ETAI	Linköping University Elec-	1997 <sup>1</sup>	Pöschl (2012); Sandewall (2012);		
	tronic Press		Hachani (2015)		
Atmospheric Chemistry and Physics	EGU/Copernicus	2001	Pöschl (2004, 2012); Pöschl and Koop		
			(2008)		
BMC Public Health	BioMed Central <sup>2</sup>	2001	ReimagineReview, Moylan et al. (2014)		
Biogeosciences	EGU/Copernicus	2004	Pöschl (2012); Dingwell et al. (2011)		
Climate of the Past EGU/Copernicus		2005	Pöschl (2012); Dingwell et al. (2011);		
			Wolff et al. (2011)		

Ocean Science	EGU/Copernicus	2005	Pöschl (2012); Dingwell et al. (2011)	
Biology Direct	BioMed Central	2006	Koonin et al. (2013)	
Nature	Springer 2006		Nature Editorial (2006), trial period of <	
			6 months	
The Cryosphere	EGU/Copernicus	2007	Pöschl (2012); Dingwell et al. (2011)	
Economics e-Journal	Kiel Institute, ZBW <sup>3</sup>	2007	IFW Kiel	
Atmospheric Measurement Techniques	EGU/Copernicus	2008	Pöschl (2012); Dingwell et al. (2011)	
Geoscientific Model Development	EGU/Copernicus <sup>4</sup>	2008	Pöschl (2012); Dingwell et al. (2011);	
			GMD Executive Editors (2019)	
EMBO journal	EMBO Press 200		Pulverer (2010)	
JAMES	AGU	2008	Pöschl (2012)	
Geochemistry, Geophysics, Geosys-	AGU	2009	Albarède (2009)	
tems; Global Biogeochemical Cycles;				
JGR—Earth Surface; JGR—Planets;				
Radio Science				
Semantic Web Journal	IOS Press	2010	Janowicz and Hitzler (2012)	
PeerJ journals	PeerJ Publishing	2013	Wang et al. (2016)	
Life	MDPI	2014	Rampelotto (2014)	
Royal Society Open Science	The Royal Society Publishing	2014	Royal Society Publishing	
Journal of Negative Results in	BioMed Central/Springer	2014	Shanahan and Olsen (2014)	
BioMedicine				
Nature Communications	Springer Nature	2016	Nature Editorial (2015, 2016, 2022)	
Educational Philosophy and Theory	Taylor & Francis	2016	Peters et al. (2023)	
European Journal of Neuroscience	Wiley	2016	Bolam and Foxe (2017)	
SciPost Physics	SciPost/arXiv 2017 Caux (2017)		Caux (2017)	
Genome Biology	Springer Nature	2017	Cosgrove and Flintoft (2017)	
The Plant Cell	Oxford Academic	2017	Merchant and Eckardt (2016)	
Sci	MDPI	2018	Abdin et al. (2021)	
AGU Advances	AGU/Wiley	2019	Trumbore et al. (2020)	
RSC Chemical Biology	Royal Society of Chemistry 2020 RSC News (2020)		RSC News (2020)	
eLife	eLife Sciences Publications 2021		Eisen et al. (2020)	
ACS Central Science; The Journal of	American Chemical Society	2021	Garakyaraghi et al. (2021)	
Physical Chemistry Letters	(ACS)			
The Journal of Neuroscience	Society for Neuroscience	2023	Kastner (2023)	
European Journal of Higher Education	Taylor & Francis	2023	Seeber et al. (2023)	

Development	The Company of Biologists	2024	Briscoe and Brown (2024)
Molecular Human Reproduction	Oxford Academic	2024	Boiani and Duncan (2024)
Chinese Journal of Scientific and Tech-	Chinese Academy of Sciences	2024	Zhan et al. (2024)
nical Periodicals			

<sup>&</sup>lt;sup>1</sup> Journal discontinued in 2002; <sup>2</sup> BioMed Central was acquired by Springer Science+Business Media in 2008 (Cockerill, 2008);

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While the post-publication of the peer review history does not allow for participation of other members of the scientific community in an interactive discussion with authors and referees, it provides at least evidence of the existence and the rigor of the peer review process. Such disclosure of reviewer comments upon publication of final papers should be regarded as a minimum standard for OA publishing, in order to counteract low scientific standards of (semi-)predatory and fraudulent journals that are solely motivated by the publishers' financial interests. The mere post-publication of reviewer reports, however, inherently leads to a kind of bias and loss of information because only the reports of finally accepted papers are shown, whereas the reviews for rejected manuscripts are lost. In addition, deleting the discourse on papers that do not ultimately result in journal publication diminishes the educational value of open peer review, as these examples also provide important learning opportunities and orientation for all involved parties.

Full transparency during the review process may be only achieved by not only publishing reviewer reports but also reviewer identities. Some studies suggest that this <u>could could</u> result in fewer reviewers being willing to take on the task (van Rooyen et al., 1999; Fox, 2021) and lead to less critical reviewer reports, in particular from early career researchers who may feel intimidated about publicly <u>criticize criticizing</u> more experienced colleagues (Rodríguez-Bravo et al., 2017). However, other studies did not find any significant evidence that open identities limit criticism (van Rooyen et al., 2010; Ross-Hellauer and Horbach, 2024). In the EGU journals, a significant number of referees voluntarily reveal their names (on average 19% (10 - 63%), Section 3.3, Table S2). This number is higher than in journals with closed peer review (~6%, Fox (2021)), demonstrating self-regulation of EGU's public peer review, in which reviewers take pride in and appreciate the acknowledgment they receive inherently for their publicly available, citable reports. In addition, referee reports can be entered to platforms like ORCID or Publons, the Web of Science Reviewer Recognition tool (formerly 'Publons', an independent platform (2012 - 2017, acquired by Clarivate in 2017), providing additional recognition for these scientific contributions.

Nature reported on the EGU approach (Gura, 2002), performed an open peer review trial (Nature Editorial, 2006), and recently announced that it will publish all reviewer reports for new papers, making mandatory what had been optional since 2020 (Nature Editorial, 2025):

"Since 2020, Nature has offered authors the opportunity to have their peer-review file published alongside their paper. Our colleagues at Nature Communications have been doing so since 2016. Until now, Nature authors could opt in to this process of transparent peer review. From 16 June, however, new submissions of manuscripts that are published as research articles in Nature will automatically include a link to the reviewers' reports and author responses. It means that, over time, more Nature

<sup>&</sup>lt;sup>3</sup> The journal is owned by the publisher De Gruyter since 2020.

<sup>&</sup>lt;sup>4</sup> See Table A1 for a list of all EGU/Copernicus journals that were launched later.

papers will include a peer-review file. The identity of the reviewers will remain anonymous, unless they choose otherwise—as happens now. But the exchanges between the referees and the authors will be accessible to all. Our aim in doing so is to open up what many see as the 'black box' of science, shedding light on how a research paper is made. This serves to increase transparency and (we hope) to build trust in the scientific process.

As we have written previously, a published research paper is the result of an extensive conversation between authors and reviewers, guided by editors. These discussions, which can last for months, aim to improve a study's clarity and the robustness of its conclusions. It is a hugely important process that should receive increased recognition, including acknowledgement of the reviewers involved, if they choose to be named. For early-career researchers, there is great value in seeing inside a process that is key to their career development. Making peer-reviewer reports public also enriches science communication: it's a chance to add to the 'story' of how a result is arrived at, or a conclusion supported, even if it includes only the perspectives of authors and reviewers. The full story of a paper is, of course, more complex, involving many other contributors.

Many people think of science as something fixed and unchanging. But scientific knowledge evolves as new or more-nuanced evidence comes to light. Scientists constantly discuss their results, yet these debates are not contained in research papers and often remain unreported in wider science-communication efforts. The COVID-19 pandemic provided a brief interlude during which much of the world got to see how research works, almost in real time. It's easy to forget that, right from the start, we were continuously learning something new about the nature and behaviour of the SARS-CoV-2 virus. On television screens, in newspapers and on social media worldwide, scientists were discussing among themselves and with public audiences the nature of the virus, how it infects people and how it spreads. They were debating treatments and prevention methods, constantly adjusting everyone's knowledge as fresh evidence came to light. And then, it went mostly back to business as usual. We hope that publishing the peer-reviewer reports of all newly submitted Nature papers shows, in a small way, that this doesn't need to remain the case. Nature started mandating peer review for all published research articles only in 1973 (M. Baldwin Notes Rec. 69, 337–352; 2015). But the convention in most fields is still to keep the content of these peer-review exchanges confidential. That has meant that the wider research community, and the world, has had few opportunities to learn what is discussed. Peer review improves papers. The exchanges between authors and referees should be seen as a crucial part of the scientific record, just as they are a key part of doing and disseminating research."

This move is a major improvement for which the EGU interactive OA publishing approach and related initiatives aimed at opening up the review process and disclosing reviewer reports (Table 2) have paved the way during the past decades.

### 2.3 Publishing formats and platforms

# 2.3.1 Preprints

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The idea of sharing non-peer reviewed manuscripts, nowadays called 'preprints', within scientific communities reaches back several decades: In the 1960s, the National Institutes Institute of Health circulated manuscripts by regular mail within 'Information Exchange Groups' (Green, 1964; Cobb, 2017). At the same time, researchers in the Soviet Union were encouraged to deposit their papers on VINITI for efficient dissemination (Hammarfelt and Dahlin, 2024). Similarly, in the 1970s, Ginsparg

(1994) distributed manuscripts on physics and mathematics related physics- and mathematics-related topics prior to publication, which eventually led them to launch the first preprint server *arXiv* in 1991. By now the same concept is applied to numerous other discipline-specific servers<sup>2</sup>.

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In parallel to arXiv, publishers launched more-interdisciplinary preprint servers, e.g., SSRN (1994) (acquired by Elsevier in 2016), *Nature Precedings* (2007 - 2012) by the Nature Publishing Group, *Preprints.org* (2020) by MDPI, *ESSOAr* (2022) by Wiley/American Geophysical Union (AGU)AGU, *Research Square* (2023) (acquired by Springer Nature in 2022) or by non-profit organizations such as *WikiJournal Preprints* (2023). To facilitate access and visibility of scientific publications in developing countries, regional initiatives started even before the official open access initiatives (Basilio, 2023). They include the Scientific Electronic Library Online (SciELO, 1997) of OA journals in Latin America and South Africa that was later complemented by SciELO preprints (2020). To date, the adoption and utilization of preprints greatly varies across regions and scientific disciplines (Rzayeva et al., 2025). Other research communities started promoting the advantages and benefits of preprints such as 'Accelerating Science and Publication in Biology' (ASAPbio, 2017). In 2001, EGU/Copernicus started the interactive journal discussion forum for '*Atmospheric Chemistry and Physics*' to share papers in an interactive discussion and peer review; this concept is by now adapted in the 18 newer EGU journals (Table A1) and complemented by the interdisciplinary preprint repository *EGUsphere* (2022).

Generally, preprints are indexed in common databases (GoogleScholar, Scopus, since 2017) and, thus, are citable as non-peer-reviewed publications, sometimes also referred to as 'gray literature' gray literature. Both benefits and shortcomings of preprints became particularly obvious during the COVID-19 pandemic: On the one hand, the quick dissemination of novel scientific evidence was crucial to collaboratively develop solutions to the global crisis. On the other hand, the public and media are often not fully educated about the non-peer reviewednon-peer-reviewed, sometimes preliminary status of the results presented in preprints and how to interpret the published information, potentially leading to premature assessments or conclusions (Fraser et al., 2021; Drury, 2022; Schultz, 2023; Fleerackers et al., 2024; Brainard, 2025a).

To overcome the lack of quality assurance for preprints, Boldt (2011) proposed to extend *arXiv* by a peer review model, similar to journals; however, this idea did not succeed in the suggested form. Later, similar concepts were termed 'publish-then-review model' or peer review of preprints in 'overlay journals', in which manuscripts on preprint servers undergo peer review (Tennant et al., 2017; Rousi and Laakso, 2022) (Section 2.2). An example for successful 'overlay journals' overlay journals combining *arXiv* preprints with an interactive OA publishing concept are the *SciPost Journals* published since 2016 in physics and other fields, with a structure similar to that in the discussion forums of the EGU journals and *EGUsphere* (Sections 3 and 4.2).

When ACP was launched in 2001 as EGU's first interactive scientific OA journal, preprints posted for public review and discussion were labeled as 'discussion papers'. This labeling indicated that these papers already passed some form of basic scientific access review by an editor, optionally supported by referees, before they were accepted for public review and discussion in 'Atmospheric Chemistry and Physics Discussions (ACPD)', the discussion forum of ACP. It was clearly indicated on all

<sup>&</sup>lt;sup>2</sup>e.g., biorxiv.org (2013), socopen.org (2016), psyarxiv (2016), chemrxiv.org (2017), paleorxiv.org (2017), LawArXiv (2017-2021), eartharxiv.org (2017), metaArxiv (2017), medrxiv (2019), techrxiv (2020); all links last accessed 24 Jan 2025.

relevant web pages and through watermarks in the papers' PDF files that discussion papers are not fully peer reviewed scientific articles as opposed to final journal papers. For clarification across and beyond the field of geosciences, this was also expressed in an official 'EGU Position Statement on the Status of Discussion Papers Published in EGU Interactive Open Access Journals' (EGU News, 2010). Since ACP's launch, the community has readily embraced and accepted this distinction between discussion papers and final journal papers. In fact, ACP and EGU's interactive OA publishing initiative might not have succeeded without this clear labeling - and at that time even quite distinct type-setting typesetting - of discussion papers/preprints prior to being publicly exposed. These measures and the introduction of digital object identifiers (DOIs) and related labels effectively dispelled widespread initial concerns and misperceptions about plagiarism of preprints and introduced the novel concept of preprints with public peer review, many years prior to the launch of traditional preprint servers in the Earth sciences (Pourret et al., 2021). By now, most publishers accept submissions of previously preprinted manuscripts for peer review and possible subsequent publication in a peer-reviewed journal.

To date, the more general terms 'preprint' and 'preprint repository' for the different types of preprints (with and without peer review) on *EGUsphere* (Section 4.2) largely replace the terms 'discussion paper' and 'discussion forum' across EGU and in the wider (geo)scientific community. This adaption simplifies the terminology in the (geo)scientific publishing landscape and on the web page structures of EGU and its publisher Copernicus. We propose, however, that the term discussion paper should not be fully abandoned as a useful label for preprints that underwent a scientific preselection process by an access review by a journal editor (Section 3.2) and are undergoing full, public peer review (Section 3.3), as opposed to other traditional, standalone preprints that have not undergone and may never undergo any substantial scientific quality assurance. Such distinction is valuable for our understanding of an epistemic web as a dynamic and interconnected space to trace the creation, sharing and construction of knowledge.

### 2.3.2 Open-access publication platforms with transparent, public peer review

During the past century, different publishing formats have emerged that allow for distributing unpublished work prior to publication in scientific journals and to receive feedback by peers. An early example of discussions of unpublished work are the *Faraday Discussions*, a journal launched in 1947 by the Royal Society of Chemistry. It publishes research papers presented at 'Faraday Discussions Meetings', together with a record of the questions, discussion and debates that had occurred during the meeting. However, the discussion is limited to the meeting participants only and thus regreatly differs from today's publication interactive discussions on online platforms.

The potential of the internet for interactive discussions among much wider communities was recognized by Harnad (1992) who implemented the concept of 'scholarly skywriting' into the OA journal *Psycoloquy* (discontinued as of 2002), which allowed authors to solicit feedback by peers from all over the world on their new ideas and findings. In 2002, Berkeley Electronic Press (Bepress), in collaboration with the California Digital Library, launched the eScholarship Repository to share 'working papers' in the humanities and social sciences to allow for soliciting feedback before formal peer-reviewed publication<sup>3</sup>. Within the Economics community, several platforms and outlets exist(ed) for the early sharing of papers. The meta-data and abstracts of

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<sup>&</sup>lt;sup>3</sup>Bepress was acquired by Elsevier in 2017 (MacKenzie, 2017)

non-peer-reviewed working papers, published by individual research institutions, were compiled within the journal 'Abstracts of Working Papers in Economics' (*AWPE*, Cambridge University Press; discontinued in 2004) that was launched in 1986 and enabled researchers to discover new work from over 70 research centers. In addition, RePEc (*Research Papers in Economics*) was launched in 1997 as an OA platform where researchers and institutions share working papers, articles and related outputs.

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This early concept of an online interactive discussion in a scientific journal has further developed since then and is practiced nowadays on numerous scientific publishing platforms, as outlined below and in scientific journals, including the EGU journals. In 2012, the OA publisher F1000 launched the open research publishing platform *F1000 Research* for the peer review of preprints. Authors submit their manuscript for immediate posting and suggest potential reviewers. Upon a sufficient number of favorable reviewer recommendations, the paper status is considered final in order to be indexed in bibliographic databases (Scopus etc). Authors can upload updated versions of their manuscript at any time, even after indexing. In the same year, *PeerJ* was launched applying the same sequence of manuscript posting and peer review (PeerJ, 2012; Binfield, 2014). *F1000* and *PeerJ* initially differed in their business modelwhereas; the former was fully sponsored by research funders, institutions

membership-based model for authors which was extended in 2016 to allow for payments of individual articles. Both *F1000* and *PeerJ* were acquired by the commercial publisher Taylor&Francis in 2020 and 2024, respectively (Taylor & Francis News, 2020; PeerJ Blog, 2024).

and societies, and the latter initially financed through article processing charges (Lawrence, 2012), and the latter applied a

Since 2012, several other F1000-managed platforms were launched, e.g., Wellcome Open Research (2016), Gates Open Research (2017) and Open Research Europe (2017), the latter of which is open to all scientists funded by the European Horizon2020 program. As of 2025, the Bill & Melinda Gates Foundation requires the mandatory makes the publication of preprints mandatory when they report on studies that were funded by the foundation, followed by optional peer review on their preprint platform VeriXiv (2024). The popularity of these platforms apparently stems from their OA and publish-then-review concept without charges, with peer review rigor being a secondary criterion (Whitfield, 2012; Kirkham and Moher, 2018). These priorities frequently lead to concerns about the quality assurance on such OA publishing platforms despite the fully transparent and public peer review. In addition, Ross-Hellauer et al. (2018) raised ethical concerns with regards to funder-supported publishing platforms due to potential biases in the selection of published research results. Moreover, they warned that the quality and reputation of such platforms may decrease, if authors considered such platforms as an inferior choice and rather submit their best papers to highly prestigious journals.

Non-profit initiatives triggered the creation of funder-independent platforms. For example, the French-led *Peer Community in* (PCI, 2016) facilitates open peer review of preprints deposited on the *Episciences* platform (CCSD, 2017) or in the French 'Hyper Article en Ligne' repository (HAL, 2001) or on several other preprint servers (OSF preprints, *PaleorXiv*, *EcoEvorxiv*, 430 *AfriArxiv*, *SocArXiv*, and *bioRxiv*). Preprints posted on these servers can then be linked to one of 19 thematic PCIs for open peer review. Upon acceptance of the paper by an editor, a paper it can be either published at no-cost in the *Peer Community Journal* (PCI, 2016), or transferred to a 'PCI friendly journal' for potential publication, possibly without further peer review. However, the small number of papers in the *Peer Community Journals* (~100 per year, across a wide range of scientific disciplines) exemplifies its limited success and popularity. In 2017, about 50 preprints were submitted and also recommended;

these numbers increased to 518 and 240, respectively, in 2024, with each preprint receiving 2 - 3 reviews on average. In total, about 1800 preprints were linked to the PCI platform, 830 papers were recommended for publication in either the Peer Community Journal or in an PCI friendly journal (about 50% each) (PCI Facts & Figures, 2024).

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The platform *Review Commons* (2019) was created as a joint initiative by the European Molecular Biology Organization (EMBO) and the non-profit initiative ASAPbio and allows for open discussion and peer review of external preprints posted on *bioRxiv*, *medRxiv* and, since 2022, *SciELO preprints* (Lemberger and Pulverer, 2019). The authors may either transfer their peer-reviewed preprints to a *Review Commons* partner journal<sup>4</sup>, or just leave their archived preprints on the preprint server accompanied by the peer review reports. The same concept of preprint peer review is applied to submissions to the *JMIRx* journals that require posting a preprint as JMIR preprint or on *bioRxiv* or *medRxiv* which then undergoes discussion in a *PREreview* journal club or peer review by a *Plan-P* accredited service (JMIR, 2022). The *JMIRx* platform acts as an overlay journal and authors can select the journal, in which their preprint should be peer reviewed. On the same platform, editors can select preprints for potential peer review in their journals (Eysenbach, 2019). In 2022, the journal *Society* (2022) by the Microbiology Society was transitioned into an open publishing platform where all versions of an article are posted as preprints together with peer reviewer reports. The review process concludes with an editor decision to accept a final version of the paper that is indexed in common data bases. It recently joined *Sciety*, a platform created by eLife that provides a compilation of preprints that were peer reviewed on different platforms, including Biophysics coLab, eLife, preLights, Review Commons, ASAPbio and PeerJ.

Many publishing platforms have in common that authors suggest their peer reviewers to be nominated without further editorial selection. Such 'review by endorsement' was suggested to potentially make peer review more efficient (Velterop, 2015). In 2024, F1000 introduced an editorial-led-peer-reviewer-selection (F1000, 2024), mainly to speed up the review process that was often delayed by having to verify author-suggested reviewers. The platform QEIOS (2019) relies entirely on reviewers selected by an artificial intelligence (AI)-based tool to identify preprint commentators. Similar as on other (e.g., F1000-managed) platforms, the review process concludes with recommendations by the reviewers only, without any final editor decision. Although QEIOS has been referred to as an innovative new journal (Columbia University, 2022), its lack of a final editor decision does not adhere to the selection criteria for scientific journals as defined by the Web of Science (Clarivate, 2024). The examples above represent (more or less) successful platforms for peer review of preprints for potential journal publication. Several of these platforms include aspects of the concept as applied in the EGU journals and their related discussion forums and preprint repository EGUsphere (Section 4).

Moreover, publishing platforms and preprint servers offer great opportunities for various additional purposes, including the educational value for younger scientists to discuss, evaluate and constructively criticize scientific publications as recognized by several communities. Examples include *PRElights* (2018), a community initiative supported by *The Company of Biologists*, where early career scientists organize the discussion and highlighting of external preprints. Similarly, the *PREreview* (2017) initiative organizes trainings for early career scientists, including feedback to preprint authors and collaboratively written

<sup>&</sup>lt;sup>4</sup>Including journals published by EMBO Press, eLife, The American Society for Cell Biology (ASCB), The Company of Biologists, Rockefeller University Press, Public Library of Science (PLOS); all links last accessed on 24 Jan 2025.

reviewer reports on preprints in connected overlay journals. Richter et al. (2023) proposed that such journal clubs, which carry out community-based peer review might possibly help to alleviate the burden on traditional journal-based peer review by expanding the pool of reviewers, providing timely feedback and fostering a collaborative review process.

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The *PubPeer* platform, launched in 2012, differs from the concepts of the various publishing platforms since it only allows for discussion and review of peer reviewed journal articles published elsewhere. It is primarily used to point out flaws or scientific fraud. Readers of the original article, however, may not be aware of these critiques because the journal websites usually do not provide a link to the discussion on *PubPeer*. To address this gap, a notification tool has recently been developed to establish links between the platform and the original publication (Singh Chawla, 2024).

The number of different publishing platforms (with varying rigor and procedures) and, hence, also the number of peer-reviewed preprints has sharply increased over the last few years (Brainard, 2022; Avissar-Whiting et al., 2024). Sondervan et al. (2022) and Lutz et al. (2023) provide overviews of the broad range of features, including different forms of public peer review that are offered by a few of them. They also differ in the workflows how preprint metadata are stored, transferred and eventually linked to journal articles (Alves et al., 2024). Their main common feature is the fast publication and transparency in peer review, also termed 'publish, review, curate' that has recently been proposed by the OA initiative *Plan S* as the essential standard for all OA scientific publications (Liverpool, 2023). This concept has been applied in *Atmospheric Chemistry and Physics* and the since 2001 and in all other EGU journals already since 2001, that were launched subsequently.

# 2.4 Bibliometric indicators of visibility and quality: Traditional measures and new opportunities

- The quality and importance of scientific journals is often judged by means of the journal impact factor (JIF), which denotes the ratio of citations in a given year to citable articles published in a particular journal during the preceding two years. The JIF was initially developed as a guideline for librarians to select the most popular journals within a discipline (Garfield and Sher, 1963). Using the JIF as an indicator for the quality or impact of individual papers in a given journal is, thus, highly questionable and potentially even misleading because the JIF
- does not give any direct information on the quality or impact of an individual article (Seglen, 1997; Simons, 2008; Nature Editorial, 2013; Casadevall and Fang, 2014).
  - was shown to be determined predominantly by only a small number of papers (e.g., highly cited review articles), whereas
    most articles belong to the 'long tail' that have citation counts much lower than the JIF suggests (Triggle and Triggle,
    2017; Antonoyiannakis, 2020).
- is prone to be enhanced by way of coercive journal citation malpractices, such as excessive self-citations (citation stacking) (Kulczycki et al., 2021; Oviedo-García, 2021; Siler and Larivière, 2022) or citation cartels (Kojaku et al., 2021).
  - is particularly sensitive to the number of *citable* items in a journal. The denominator in the JIF calculation, normally includes only papers that are classified as 'articles' but excludes editorials, news items, commentaries, letters to the editor etc. which are, however, counted in the numerator (Hernán, 2009; McVeigh and Mann, 2009; Manley, 2022).

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- may be artificially inflated by commentaries that lack genuine scientific findings and conclusions, and can be AI-generated. These commentaries, often classified as opinion pieces, receive disproportionate weighting in the JIF calculation and are frequently encouraged by journals to include citations to their own articles (Joelving, 2024).

Given these shortcomings, the OA publisher PLOS refrains from reporting JIF and related journal indicators and limits their reporting to article-based measures (Public Library of Science (PLOS)). Similarly, EGU journals state on their start pages that the journals are indexed in the Web of Science, Scopus, Google Scholar, etc. However, the journal metrics are not prominently advertised because they do not describe importance, impact, or quality of a journal if used in isolation. Therefore, it is explicitly stated on the journal pages that the use of journal metrics is discouraged due to widely recognized limitations.

Several bibliometric measures alternative to the JIF have been suggested to account for discipline- or topic-specific citation statistics (Bornmann and Marx, 2016; Bornmann and Haunschild, 2016) (Bornmann and Haunschild, 2016; Bornmann and Marx, 2016)

10 . The limitations of such bibliometric measures for evaluating the work of individual scientists have been acknowledged over the past decade by research funders, institutions and other entities. This recognition has prompted proposals for more equitable and comprehensive assessments of scientific influence and societal impact, accounting for the entire range of research outputs, practices and scholarly activities (Pourret et al., 2022; Triggle et al., 2022; Triggle et al., 2022; Trueblood et al., 2025)

. This notion is expressed in several international declarations, including the *Declaration of Research Assessment* (DORA, 2012), the *Leiden Manifesto* (2015) and the *Coalition for Advancing Research Assessment* (CoARA, 2022)<sup>5</sup>.

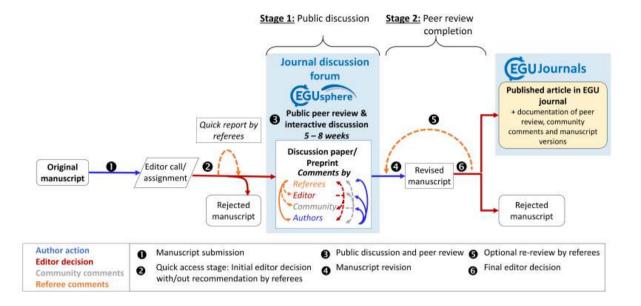
OA publishing with interactive public discussion provides further opportunities to make scientific impact beyond traditional article-level metrics. In addition to citations, *Altmetric* details are commonly reported as a measure of public engagement and feedback on scientific publications (Priem et al., 2010; Shuai et al., 2012; Taylor, 2023) as they account for data from social and traditional media, blogs and online reference managers (Altmetric, 2023).

The 'open access advantage' that was initially only shown in terms of higher citation counts of OA articles as compared to those in pay-walled publications (Eysenbach, 2006; Xie et al., 2021), can be extended to differences in *Altmetrics* (Fu and Hughey, 2019; Clayson et al., 2021; Vadhera et al., 2022; Chen et al., 2024)(Fu and Hughey, 2019; Clayson et al., 2021; Vadhera . OA articles are not only more frequently cited in the Wikipedia encyclopedia (Teplitskiy et al., 2017) and more visible to the public via social media (Schultz, 2021), but they are also more readily accessible to policy makers (Xu and Zong, 2024). The aforementioned indicators focus on the impact of scientific papers and, thus, give recognition of to their authors. However, also the participation in scientific discussions can be considered an intellectual contribution to the advancement of science. Therefore, citable peer reviewer and community comments on preprint servers and publishing platforms, as provided in the EGU journal discussion forums and on *EGUsphere*, should be considered valuable as they add to scientific discourse and debates and therefore are essential elements of the epistemic web of knowledge (Figure 1).

<sup>&</sup>lt;sup>5</sup>EGU signed both DORA and CoARA in October 2024 (EGU News, 2024b).

### 530 3 Multi-stage open peer review with public discussion

For more than two decades, the traits of publishing platforms as described in Sections 2.3.1 and 2.3.2 have been successfully applied and combined in the EGU's multi-stage interactive publication model. In the following, we outline the steps from manuscript submission to potential final publication in the 19 EGU journals (Figure 3).



**Figure 3.** Schematics of the multi-stage, interactive peer review process as applied in EGU journals; solid arrows denote mandatory steps, dashed arrows are optional actions

# 3.1 Manuscript submission

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During manuscript registration, authors provide a brief summary of their article along with a statement indicating its alignment with the journal scope. At this stage, authors select prescribed subject areas for the later editor calls. In addition, authors choose the manuscript type of their paper (Section 4.1.2) and optionally a special issue (Section 4.1.3). Once submitted, manuscripts undergo an initial basic technical check ('file validation') by the publisher's editorial support team, upon which editors of the matching subject area are informed of the submission by automatic emails and are invited on a first-come/first-served basis to handle the manuscript. If no editor agrees, editor calls are repeated several times, increasingly broadening the editorial subject areas, to finally all editorial board members. If these calls are unsuccessful, the executive editors decide on how to proceed: They either reject the paper or manually assign or nominate an editor with suitable expertise and/or with a low editorial workload. Manuscripts that do not find an editor during the automated calls are often found to be at the edge of the journal scope, for which no editor considers themselves an expert, or are weak papers not suitable for public discussion. In

EGU journals with relatively low numbers of submissions (ESurf, GC, GChron, NPG, SOIL, SE, see Table A1 for full journal names), every submission is assigned manually to an editor by an executive editor.

# 3.2 Access review

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As part of their initial decision, the handling editor evaluates whether the paper fulfills the main review criteria, such as the *ACP review criteria*:

- Scientific significance: Does the manuscript represent a substantial contribution to scientific progress within the scope of the journal (substantial new concepts, ideas, methods, or data)?
  - Scientific quality: Are the scientific approach and applied methods valid? Are the results discussed in an appropriate and balanced way (consideration of related work, including appropriate references)?
  - Presentation quality: Are the scientific results and conclusions presented in a clear, concise and well-structured way (number and quality of figures/tables, appropriate use of English language)?

Editors are expected to make the initial decision by themselves, in particular if the paper falls into their core expertise. Editors of some journals (ACP, AMT, BG, WCD) can ask referees for a 'quick report' to aid their decision. The editor and referees may provide suggestions for minor/technical corrections (e.g., typos and clarifications); any revisions beyond those are not foreseen at the access stage and lead to rejection, possibly with the option of a resubmission of a suitably revised manuscript. In the case of resubmission, the authors are asked to explain how previous criticisms were addressed. As part of their decision, the editor confirms or adjusts the manuscript category; an adjustment does not imply a rejection but may require a change of the title to include the manuscript type (e.g., technical note, measurement report or opinion in ACP, Section 4.1.2). Reasons for rejection during the quick access stage may include the recommendation to transfer the paper to another Copernicus journal, which better fits the topic of the submitted manuscript. The rejection rate in EGU journals at the access stage is ~16% (in 2024) on average with some differences between journals (Figure A1), which is higher as compared to 10% in 2009. However, a comparison of rejection rates in journals of related disciplines in 2010 showed that EGU journals had significantly lower rejection rates at that time (Schultz, 2010). The relatively low rejection rates in EGU journals suggest a form of self-regulation by the public peer review process, which encourages authors to submit high-quality manuscripts for public discussion and to refrain from submitting poor manuscripts on a trial-and-error basis, possibly because authors may want to avoid receiving bad reviews for their papers in public.

# 3.3 Public discussion and peer review

After the quick access review and acceptance for public discussion, manuscripts are posted in the discussion forum of the particular journal and on *EGUsphere* (Section 4.2). A permanent DOI is assigned to the preprint and its status is indicated by the addition of [preprint] in the citation and by the DOI 'egusphere-year-number' (or previously '[journal]-year-number', discontinued as of the beginning of 2025). The duration of the public discussion phase depends on the journal and on the manuscript type and varies from 5 to 8 weeks for regular articles and 4 weeks for letter-style articles (Section 4.3.3). The

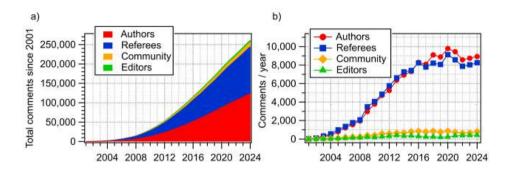
scientific community is informed about new preprints/discussion papers and invited to contribute to their public discussion via the respective journal website and the *EGUsphere* website, automated alerts (upon previous sign-up) and social media. At the beginning of the discussion phase, the editor nominates referees until at least two of them agree to provide a report. For the nomination, the editor can take advantage of various search tools provided by the publisher (Section 4.1.5); referees who provided input at the access stage are automatically re-nominated. The editor can select numerous referees initially to be successively called in the order of their choice, if one or several of them declineor miss the nomination deadline. To minimize the generation of unnecessary referee reports and conserve the valuable resource of referee time, the editor is notified once a sufficient number of referees has been secured. Nominated referees whose nomination deadline did not expire yet may still accept the nomination, unless it is manually terminated by the editor. If the quorum of 2 referee reports is not fulfilled during the primal discussion period, the discussion is automatically extended, and the editor is requested to (re)nominate additional referees. On average, each preprint receives 2 - 3 peer reviewer reports which are published (Figure A2). In addition, referees can send confidential comments to editors that are not publicly shared, allowing them to raise sensitive concerns.

Referees can optionally keep their identity anonymous ('single-blind review'), allowing them to provide critical comments without worrying about negative personal consequences, if authors or other scientists are dissatisfied with their comments. Independent studies have shown that the option of anonymity leads to more thorough and potentially more constructive reviewer comments (Khan, 2010; Shoham and Pitman, 2021). Concerns that anonymity removes the accountability of referees and, therefore, leads to hostile comments or unsubstantiated criticisms are outweighed when all reviewer comments are publicly posted and can be checked for relevance and credibility. The option to remain anonymous is reserved for referees nominated by and known to the editors.

Any member of the scientific community may post eponymous comments during the discussion phase. Such community comments contribute about 5% to all comments (3 - 17%, depending on the journal) and are about a factor of 10 less frequent than regular referee comments (Figure 4). Frequently, the discussions contain 20 or more comments from all involved parties (Table S2). For example, the discussion of the article by Hansen et al. (2016) with a total of 110 Comments comments evolved among the first author, 2 referees, the editor and 26 additional members of the scientific community (Interactive discussion: Hansen et al., 2016). Statistics of the most commented papers in EGU journals show that these papers are often not regular research articles but opinion articles, review articles or peer-reviewed comments that may be controversial and motivate the community to contribute to the public discussion (Table S3). In many cases, the interactive discussion led to significant improvements of the paper such that several highly commented papers were finally selected as highlight articles. In other cases, however, the discussion led to the identification of major flaws in the paper so that either no revised manuscript was submitted or the revised manuscript was not accepted for final publication.

This distribution of referee, author, editorial and community comments in the interactive discussion is broadly in agreement with that in other journals with interactive platforms such as PLOS (Wakeling et al., 2019). There, most comments are made by authors or editors; attributed comments are mostly related to the publication process (language, typesetting, referencing) or to scientific or technical soundness. To stimulate scientific exchange between all parties, the authors of EGU journals are encouraged to interact with the commentators during the discussion phase, rather than just posting an author response to all

comments after the end of the public discussion. Executive editors may alter or remove comments that are inappropriate, personally insulting or scientifically not relevant. However, this has been applied to a negligible number of all comments (< 0.1%) on EGU publications. This extremely low number highlights the strength of public peer review leading to more elaborated and decent comments (Bornmann et al., 2012; Ross-Hellauer and Horbach, 2024) as compared to other journals, in which up to 62% of all editors reported the need to modify reviewer reports for various reasons, including offensive or discriminating language (Hamilton et al., 2020).



**Figure 4.** a) Total number of comments on discussion papers/preprints of EGU journals since 2001; b) Number of comments per year. The distribution of comments in the individual journals in Table S2 (Supplemental information).

### 3.4 Peer review completion

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The concept of ACP, as initially developed and still applied, foresees that the editor does not interfere between the two stages of the peer review process (Figure 3). An editorial decision immediately after the interactive discussion may bias the peer review completion, for example, when the editor asks for specific changes before the authors respond to all referee and community comments and have a chance to upload a revised manuscript. Instead, ACP authors are always given the opportunity to revise their manuscript to demonstrate and enhance its quality before any editorial decision. After receiving critical feedback during the public discussion, scientists are expected to decide on their own on how to address comments and concerns. Only if necessary, e.g., if they are uncertain about whether revising their manuscript in a specific way would lead to a publishable paper, they may seek guidance from the editor. Generally, ACP authors appreciate this independence to improve their manuscript without further editorial interference. In fact, their revisions after public discussion frequently even exceed the requests and suggestions by the referees.

At present, 10 EGU journals (ANGEO, BG, CP, ESD, GC, GChron, HESS, NHESS, SOIL, TC) deviate from this original concept by imposing a mandatory editor decision after the authors have responded to the discussion comments, but prior to uploading any revised manuscript. This 'post-discussion editor decision' is often felt disrupting when author authors prepare their response to the referees and the revised manuscript simultaneously. In journals without post-discussion editor decision (ACP, AMT, ESurf, GI, GMD, NPG, OS, SE), authors are always given the chance to revise and improve their manuscript in response to the discussion, while in the other journals, papers may be rejected at this stage, even though authors potentially

may have been able to revise their manuscript satisfactorily. Such rejections prior to manuscript revision may (in part) explain the higher average post-discussion rejection rates ( $\sim$ 10% vs  $\sim$ 4%, Figure A1f) and longer processing times (Section 4.1.6) in these journals as compared to those that forgo early editor interference.

### 3.5 Optional re-review by referees

When the authors upload their documents for peer review completion, which includes a point-to-point response to all comments as well as the revised manuscript (including a version with changes tracked), the handling editor is automatically informed. The editor makes a decision with or without consulting with previous or new referees; this decision may include the request for further revisions. If required, the process of re-review and revision can be iterated multiple times. However, in the interest of processing times, the iterations should be limited and terminated, if it becomes clear that further revision will not result in a paper version that may eventually be acceptable for publication.

### 645 3.6 Final editor decision

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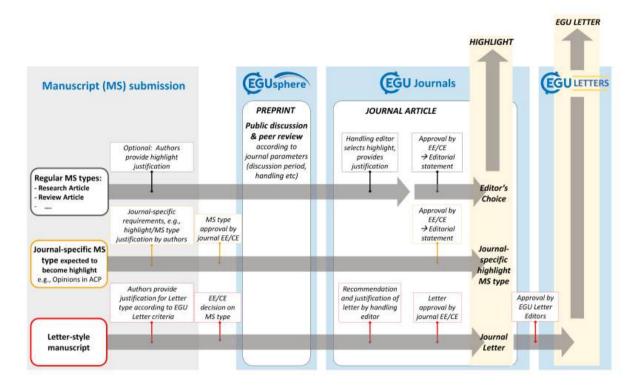
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After the revisions by the authors, the editor makes their final decision on acceptance or rejection. In the case of acceptance, all editor and referee reports, manuscript versions and author responses that were prepared during the peer review completion stage are made public alongside the final journal paper. In case of rejection, the editor is expected to post a public editor comment, in which they explain the reason(s) for their decision and, thus, preempt appeals or requests for clarification by the authors. Such public editor comments should be posted also if an editor decision overrules important referee comments, or when referees had differing views. These comments help to explain the editor decision and give public acknowledgement to the contribution of the referees during the revision process. Thus, the active editor role in making decisions on the manuscript can be transparently tracked for all published papers. In other peer review models, in which such editor reports are not made publicly available, the extent to which editors may act only in a judicial role is intransparent (Tennant and Ross-Hellauer, 2020).

The low rejection rate of manuscripts after the discussion phase in EGU journals (Figure A1b, d, f) can be attributed to two main reasons: first, the number of initial submissions of deficient manuscripts is relatively low as authors hesitate to trigger negative reviews in the public review process; second, if major deficiencies are present, they are usually either identified during the access stage or sufficiently addressed by appropriate revisions. Rejected manuscripts and their preprint DOI are permanently archived and, thus, remain to be accessible. Final published journal papers receive a new DOI, reflecting the journal (in the format *journal-year-numberjournal-year-firstpage*). The preprint and the previous interactive discussions are linked to the final journal publication and are accessible both via the *EGUsphere* and journal websites.

As part of the final decision, the handling editor can select a paper as a highlight paper. Already at manuscript submission, authors may justify why they consider their paper to belong into the highlight category. However, any paper can become a highlight, even without the authors' proposition. In either case, the editor has to explain in a short statement how the paper fulfills the highlight criteria that include (i) important discoveries, or major advances in long-standing questions in atmospheric research within the journal scope, or (ii) scientific advances of high interest that are accessible to the broad geoscience community or to the broader public and media. Building on the referee ratings and the justification by the handling editor, the

journal executive editors make the final decision whether a paper qualifies as a highlight article. A published highlight article is accompanied by an executive editor statement on the article website. This additional selection step by the executive editors was introduced in 2020 to achieve greater consistency in selection of highlights. In addition to the highlight selection as 'editor's choice' (Figure 5), articles of journal-specific manuscript types and letters qualify automatically as highlights (Sections 4.1.2 and 4.3.3).



**Figure 5.** The different routes of highlight article selection in EGU journals. All highlight articles are selected by executive editors (EE, or referred to as chief editors (CE) in some journals) and accompanied by an editorial statement alongside the final journal publication. Highlight articles by 'editor's choice' are selected after the full peer review. Some journal-specific manuscript (MS) types (e.g. ACP opinions) or letters Section 4.3.3, the MS category indicates already their highlight potential; however, they may be recategorized during the review process.

### 4 EGU publishing platforms

All preprints and peer-reviewed journal articles allow immediate free and open access to full-text PDF, HTML and XML that are distributed with the *Creative Commons Attribution License CC BY 4.0*. This license enables the wide use and sharing of work to facilitate wide use while ensuring proper credit of the work to its authors who retain the copyright of their articles. This license should be preferred over those for papers in pay-walled journals where authors may self-archive their papers only in

non-final forms (pre-final, final but not typeset or typeset) and after potential embargo times imposed by the publisher ('green OA', Section 2.1.2).

### 680 4.1 EGU journals

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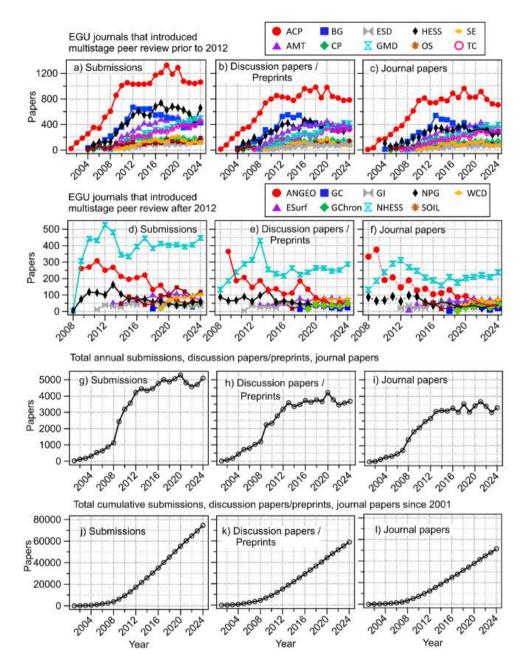
# 4.1.1 Statistics of submissions, preprints and published papers

An overview of all EGU journal titles and the year of their first publication applying the interactive publishing model is given in Table A1. Some journals were initially launched with closed access (ANGEO: 1983, NPG: 1994, NHESS: 2001) but were converted subsequently into OA journals in 2009, 2014 and 2004, and they introduced interactive, multi-stage public peer review in 2018, 2014 and 2013, respectively. From 2004 onward, all newly launched EGU journals have been full OA journals with multi-stage public peer review and interactive discussion from their start.

Figure 6 shows the number of submissions, preprints (discussion papers) and published papers in each journal (panels in the first and second rows) and the total of all EGU journals (third row), as well as the cumulative numbers since 2001 in the bottom row. In most journals, there is a continuous increase in the number of papers in each of the three categories. The downward trend in published papers for ANGEO (red lines in Figure 6f) is striking. Initially, the publication costs of ANGEO were largely covered by institutional subscriptions, before it. In 2009, ANGEO was converted into an OA journal in 2009, so that 2009. As of then, authors had to pay the APCsin most cases individual APCs, which in many cases may not have been covered by institutional agreements (Section 4.1.7). This change in the financing model may have contributed to its decreasing submission rate.

The difference between number of submissions and the number of preprints in all EGU journals is on average < 20%, reflecting the relative relatively low rejection rates of submitted manuscripts during access review (Section 3.2). The difference between the number of preprints and final published papers is even smaller ( $\sim$ 10%, Figure A1). These low overall rejection rates demonstrate the efficiency of the self-regulating concept that authors are more likely to submit high-quality papers and of the multi-step workflow that filters out weak or out-of-scope papers at the access stage (Section 3.2). Thus, manuscripts that enter the discussion stage of a journal and on *EGUsphere* have a high probability to be accepted for final publication after revision and peer review completion.

There is a clear difference between the two journal groups without and with a post-discussion an editor decision immediately after the discussion, respectively (Section 3.4): Whereas on average 3% of papers were rejected in 2024 in the first journal group, this rate is much higher ( $\sim 10\%$ ) for the second group (Figure A1f). This suggests that the majority of authors are able to successfully improve their papers during revision to meet the expected standards. Based on this data, it appears that excessive editorial intervention during the revision process seems unnecessary and, in fact, counterproductive. The overall relatively low rejection rates in EGU journals are in agreement with findings that submissions to journals with public peer review are often of higher quality, and authors also make a larger effort to finally revise the manuscripts carefully and sufficiently since all versions are permanently archived (Horbach and Halffman, 2018). On the contrary, (very) low rejection rates in OA journals without



**Figure 6.** Number of papers in EGU journals (2001 - 2024); first column (panels a, d, g, j): Submissions; second column (panels b, e, h, k): Preprints/discussion papers; third column (panels f, i, l): Journal publications. Top row: OA EGU journals that introduced the multistage peer review model prior to 2012 (Pöschl, 2012); second row: OA EGU journals that were launched later or introduced the multistage peer review model after 2012; third row: sum of papers for all 19 EGU journals. The dashed lines in panels c) and f) denote the period when journal were open access but did not yet apply the multistage peer review model (ANGEO: 2009 - 2018; HESS: 2004 - 2014; NHESS: 2004 - 2012); bottom row: cumulative numbers since 2001 for all EGU journals.

documentation of peer review may point towards predatory practices, i.e, these journals do not necessarily focus on critical peer review, but are driven primarily by commercial interests and low standards of scientific quality assurance (Björk, 2019).

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An additional consequence of the public peer review process are the very low retraction rates in the EGU journals ( $\ll 0.1\%$  per year). In ACP, 13 papers were retracted since its launch in 2001 (compared to about 16,000 published papers). Most of them (8) were labeled as retractions during the early years of the journal, when the concept of discussion papers vs journal papers was not fully established and accepted by other publishers. These retractions were initiated by authors after unfavorable reviews or rejections of their papers, so that they could be submitted to other journals; in later years, such papers are typically labeled as 'withdrawn', consistent with the terminology as used in other journals. The remaining 5 papers (0.03%) were retracted from ACP because of invalid results as identified after publication. These trends are in line with results from a comparison of different peer review models that revealed that during the public peer review, major flaws or fraud are usually caught and either are corrected by the authors during revision or result in a rejection (Horbach and Halffman, 2019). These retraction rates are lower by at least an order of magnitude as compared to those in journals that do not provide transparency of peer review (Fang et al., 2012; Van Noorden, 2023; Retraction Watch, 2025).

ACP was not only the first, but also is by far the largest EGU journal with  $\sim$ 800 published papers annually during the past decade (Figure 6a). While the number of submissions to ACP has somewhat increased since 2016, this increase is not fully reflected in the numbers of published preprints, because concomitantly the rejection rate has slightly increased from  $\sim$ 15% to 20% (Figure A1a). To illustrate the evolution of ACP in more detail, Figure 7 shows the trend in the distribution with regards to the *ACP subject areas*, up to two of which are selected by the authors during submission.

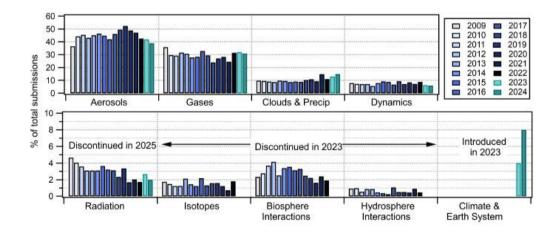


Figure 7. Percentage of manuscript submissions to *Atmospheric Chemistry and Physics* for each subject area (2009 - 2024). The subject areas isotopes, biosphere/atmosphere interactions and hydrosphere/atmosphere interactions are discontinued as of 2023, radiation as of 2025, whereas climate & Earth system was added -in 2023.

The areas 'aerosols' and 'gases' clearly dominate, representing consistently > 70% of all submissions, whereas the areas 'clouds & precipitation', 'dynamics', 'radiation', 'isotopes', 'biosphere interactions' and 'hydrosphere interactions' received a

minor share of submissions. Areas that describe interactions of the atmosphere with other Earth compartments (biosphere and hydrosphere) contributed < 5% to the total submissions; these topics are extensively covered in other EGU journals, such as BG, OS and HESS which have grown during the last two decades. To consolidate the focus of ACP, the subject areas of 'isotopes', 'biosphere interactions' and 'hydrosphere interactions' are discontinued as of 2023, 2023 and 'radiation' as of 2025. Isotopes are now covered within the areas of aerosols, gases and clouds and biosphere/hydrosphere interactions are merged with the new, more comprehensive subject area 'climate & Earth system' that is listed as primary or secondary subject area on ~8% of all submissions by now. To react to recent developments in the (geo)science community and to explicitly attract submissions, the research activity 'machine learning' was introduced in 2022, to supplement the traditional categories 'laboratory studies, 'field studies', 'atmospheric modeling' and 'remote sensing' that have existed ever since the start of ACP. Two dedicated editors with expertise in machine learning were appointed in 2022 and 18 editors in climate physics and dynamics were appointed in 2023 to handle and attract submissions in these areas.

### 4.1.2 Manuscript categories

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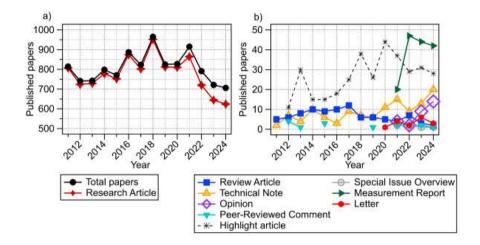
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The 19 EGU journals have several manuscript categories, i.e., different types of articles. The main category in all journals are research articles (termed 'regular papers' in ANGEO) and review articles ('review papers' or 'reviews' in some journals, Table S1 in the Supplement). Currently 8 journals accept submissions in the letter category aimed at concise articles targeted to become highlights and to be included in the virtual compilation *EGU Letters* (Section 4.3.3). The term 'virtual' is used here to describe curated, non-indexed compilations of articles that were published in EGU journals. All journals (except GC) allow for the submission and the publication of peer-reviewed comments. These comments provide the option to continue the public, interactive discussion, that occurred during the peer review process of a paper even after its publication as a final journal article. These peer-reviewed comments usually refer to papers published in an EGU journal, but could also refer to papers published in another journal. These commentaries have to present a sufficiently substantial content that can be publicly discussed, and their preprints undergo peer review and interactive discussion just as all other manuscripts. They often receive a high number of comments from the community, authors and referees (Section 3.3 and Table S2). In journals with closed, non-public peer review, such post-publication comments are the only way to point out to the scientific community any deficiencies of a paper that were not caught during the closed peer review.

Research articles and review articles comprise by far the largest group of articles as shown for the example of ACP in Figure 8; the proportions in other EGU journals are similar. The manuscript category 'Technical Notes' was introduced in ACP to distinguish regular research articles from submissions that describe mainly new measurement techniques, instruments or models without an in-depth presentation of new results and discussion of their implications for atmospheric science. The launch of the journals AMT and GMD in 2008 provided alternative platforms for such type of studies and allowed ACP to (re)focus on studies highlighting the importance of chemical and physical processes for our understanding of the state and behavior of the atmosphere and processes therein. A strong increase of ACP submissions on local or regional air pollution studies, for example in Asia and other regions with growing atmospheric research communities, triggered the introduction of the manuscript category 'Measurement Reports' in 2020. Such articles often contain valuable data sets at undersampled

locations or technical developments of interest to ACP readers, but often lack detailed analysis and scientific interpretation and discussion of implications that are required for research articles. In their initial year 2020, most published Measurement Reports were submitted as a research article and were then re-categorized by the handling editor. By now, this category is more established, and a large proportion of Measurement Reports are submitted directly in this category. Technical Notes and Measurement Reports contain their category in the paper title (e.g., "Technical note: a new instrument for the detection of ...") to clearly distinguish them from research articles.

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**Figure 8.** Number of published ACP papers by manuscript category (2011 - 2024). a) Total number and the main category 'research article'; b) All other manuscript categories. Highlight articles are not a separate category but are shown for reference (see main text). ACP Letters and Opinions are highlight articles by default; articles in other manuscript categories may be highlighted as editor's choice (Section 3.6).

Also in 2020, ACP was the first EGU journal that introduced the manuscript category 'Letters' (Section 4.3.3). Manuscripts in this category and also articles in the category 'Opinions' in ACP, are expected to be ranked as highlight articles upon acceptance for final publication. Unlike highlights selected upon the editor's choice that can be papers of any manuscript category (Section 3.6), Letters and Opinions are designated by default to become highlight papers already at the submission stage. Therefore, the executive editors check the suitability for these two manuscript categories prior to the discussion stage ('Letter manuscript' and 'journal-specific MS type expected to become highlight' in Figure 5). The decrease in the number of highlight articles since 2020 (Figure 8b) is likely due to the introduction of an additional step in that year, in which the executive editors have to approve (or reject) the handling editor's highlight recommendation (Section 3.6). The proportion of highlight papers in the EGU journals varies greatly (Table S4): On average < 10% of all papers were highlighted in 2024 in most EGU journals. However, the proportion was nearly 30% in ESD whereas none were highlighted in GI and SE. In addition to the editorial highlighting, some EGU journals give a publication award for the most outstanding papers, which are selected by the executive editors or an independent committee (e.g., ACP Crutzen Publication Award; HESS Jim Dooge Award).

## 4.1.3 Special issues and collections

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Special issues (SIs) are compilations of articles about a specific topic within the scope of the journal, such as field campaigns, conferences or research themes. Unlike in traditional journals where SIs are published as separate (hardcopy) issues, SIs in EGU journals are fully virtual and articles are listed on a dedicated SI web page, in addition to publication on the regular journal web page. SIs are typically open for submission for 1 to 2 years. This way, the publication of SI papers is not delayed by late submissions to the SI. All SI papers undergo the same process of peer review and publication as regular submissions.

Members of the scientific community can propose an SI in any EGU journal. Such requests can be made via a proposal specifying the SI's topic, duration and approximate number of papers, ideally accompanied by a preliminary list of paper titles. The executive editors of the journal check the proposal for its suitability for the journal and may request changes to the scope if needed. A large proportion of all SIs ( $\sim$ 230 of > 500 since 2001) of SIs is organized as inter-journal SIs, in which two or more journals participate (Section 4.3.1). These may include any EGU journal as well as other journals published by Copernicus.

In most EGU journals, SI submissions can be handled by dedicated SI guest editors in addition to regular editorial board members. Guest editors are usually nominated by the SI proposer but they require approval by the executive editors. In 2020, ACP introduced a change in the handling of SIs such that all submissions to SIs are handled by the regular ACP editorial board members (Section 4.1.4), and guest editors are no longer allowed. Two regular ACP editorial board members, who are not closely involved in the activities from which the special issue arises, act as SI coordinators. They oversee the SI in exchange with the executive editors but are not expected to handle the review process of all SI submissions. In addition, one to three SI co-organizers (often the proposers of the SI) are appointed to exchange with the authors and other members of the scientific community; they do not act as SI editors though.

The proportion of SI submissions, preprints and final journal papers in ACP clearly dropped during the last years from  $\sim$ 30% to  $\sim$ 10% (Figure 9). This trend may be partially ascribed to the new SI guidelines as introduced in 2020, but possibly also to the Covid-19 COVID-19 pandemic, during which time significantly fewer field studies and conferences took place that are often the scope of SIs. The percentages of preprints and final journal articles are similar, indicating comparable acceptance rates for regular and SI papers. The slightly higher proportion of SI preprints (relative to all preprints, i.e., regular and SI) compared to the proportion of submissions is likely due to the prior approval of the SI by the executive editors resulting in relatively fewer out-of-scope rejections.

The organization and the overall trend in the proportion of SI papers in EGU journals are in contrast to those in journals by some large OA publishers that actively solicit an increasing portion of submissions via SIs that are typically handled by guest editors (often > 50% of all submissions) that frequently result in SIs that contain only very few papers (Brainard, 2023; Petrou, 2023; Hanson et al., 2024). Publishers often advertise such special issues, both in terms of manuscript submissions and guest editorship; incentives for article submissions may even include the offer to publish articles at discounted rates. Such strategies, purely motivated to increase revenue, have led to a huge increase of special issues by large commercial publishers (Predatory Journals, 2023), often resulting in compilations with low scientific standards and little or no peer review. The resulting lack of scientific quality control in such SIs recently prompted the Swiss National Science Foundation recently

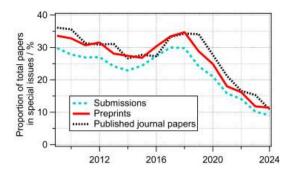


Figure 9. Percentage of papers in ACP in special issues related to total ACP papers (2009 - 2024).

to no longer fund any OA papers in special issues, in order to reduce the investment of public money on publications of low scientific quality and value (SNSF News, 2023). However, such measures seem inappropriate and unjustified for journals such as those of the EGU/Copernicus that apply and demonstrate consistent handling and transparent scientific quality control for all papers.

In 2024, the EGU journals introduced paper *collections* as an additional way to group papers on a specific topic. Similar to the SIs, collections can be organized across Copernicus journals as inter-journal collections, in which papers are (co-)listed on dedicated collection web pages, in addition to the regular journal pages. Unlike SIs that have a limited submission period (typically 1 - 2 years), collections do not have a pre-defined end date. Papers will be only included in collections upon their acceptance as final journal papers. This implies that all papers in collections have undergone the regular public peer review process handled by a regular editorial board member. The article selection for inclusion in a collection is made by the executive editors of the journal.

### 4.1.4 Editor selection and duties

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All editors appointed in EGU journals work on a purely voluntary basis without remuneration, in line with the not-for-profit philosophy of the EGU. Editorial boards are typically fairly large, so that each editor is expected to handle a comparably small number of manuscripts per year (e.g., 6 manuscripts per year in ACP). Editors are selected by the executive editors either upon nomination by colleagues or self-nomination via open calls or spontaneously. They are initially appointed for 3 years but they may stay for several terms, if they fulfill their duties over a longer period of time. In several EGU journals, outstanding dedication and performance of editors are recognized by annual awards, e.g., ACP Outstanding Editor Award; CP Editor Award. Each journal organizes editorial board meetings at least once a year and executive editor meetings as necessary. The executive editors of the EGU journals and the EGUsphere coordinator form the EGU Publications Committee, together with representatives of the EGU Executive Board and Copernicus Publications as ex officio members. Recently, a representative of the EGU Early Career Scientists (ECSs) was appointed as an additional member of the committee to allow for greater representation of early career perspectives and to enhance engagement with the ECS community.

All EGU journals apply consistent guidelines regarding EGU journal editor obligations. In addition, journals may develop specific guidelines, such as the ACP Editor Guidelines; GC Guidelines for Editors; GMD Editorial Policy and ACP author guidelines (Sections S1 and S2 in the Supplement) that are tailored to journal-specific topics and that may be frequently adjusted in response to questions or suggestions from the journal community and the global scientific community at large while following general scientific standards and codes of conduct.

The inaugural ACP executive editor committee in 2001 consisted of 5 members (U. Pöschl, T. Koop, K. Carslaw, B. Sturges, R. Sander), 3 of whom continued in this role for more than 20 years (U. Pöschl, T. Koop, K. Carslaw). In 2022, U. Pöschl and T. Koop stepped down from their editorial duties and joined the ACP advisory board. To facilitate continuous and efficient oversight of the journal, it is currently led by two executive editors (B. Ervens, since 2019, and K. Carslaw). Instead of re-creating a larger executive committee, in 2021, the role of Senior Editors was introduced as an intermediate level between the ~160 regular ACP editors and the executive editors. Eight Senior Editors were selected by the executive editors as distinguished and experienced members of the editorial board based on their outstanding commitment and excellence as previously demonstrated in their editorial work. They were selected such that each journal subject area is covered by two Senior Editors according to their scientific expertise and core interests. The Senior Editors take responsibility for the editorial coordination of their subject area(s) and carry out a series of tasks to ensure scientific quality and foster submissions, in collaboration and exchange with the executive editors (Section S3). The appointment as an ACP Senior Editor is for two years, renewable upon mutual agreement with the executive editors.

Generally, all ACP editors can flexibly choose their workload throughout the year; they are expected to react promptly to the automated editor calls or manual assignments by Senior/Executive Editors (Section 3.1). In the initial years of ACP, authors of papers, which did not find an editor via automated calls in due time, were encouraged to contact an editorial board member of their choice to request the handling of their paper. If the authors were not successful, the paper was automatically rejected. Due to the considerable growth of ACP starting in ~2015, such personal handling requests led to imbalances in the workload of some editors. To facilitate a more even distribution of the workload and to avoid potential conflicts of interest, editors are now explicitly discouraged to respond to such requests. Instead, Executive/Senior Editors assign such papers to editors with low workload, or reject them if they are out of the journal scope or of low scientific quality. As editors often hesitate to handle papers of low quality or those at the edge of the journal scope, ACP introduced in 2020 the option of anonymous editor decisions at the access stage (before the preprint is accepted and posted for the public discussion; Section 3.2), i.e., allowing paper rejections on the behalf of the journal instead of individual editors. As soon as a preprint is accepted for the discussion stage, the editor's identity is automatically revealed to the authors.

# 4.1.5 Referee selection

Peer reviewers have become the most limited resource in the entire scientific publication process due to the increase in the number of scientific submissions, publications and journals (Pöschl, 2012; Velterop, 2015; Willis, 2016; Severin and Chataway, 2021). The number of review requests per preprint in EGU journals has approximately doubled (from  $\sim$ 5 to  $\sim$ 10) since 2009 (Figure A2 b, d, f). To enhance the efficiency of referee identification and nomination, the publisher Copernicus continues to

develop and improve search tools to suggest suitable referees (Copernicus News, 2023). Currently, editors can use the following tools that are provided via their editorial interface:

- The Copernicus' in-house development cREACTS ('Copernicus REferee ACTivity Score') ranks potential referees based
  on the likelihood that they provide a referee report, guided by the referee's statistics in Copernicus journals by considering
  accepted/declined/fulfilled referee calls during the preceding 12 months.
  - The AI-based referee finder *Prophy* suggests up to 50 referees based on the semantic similarity in key expressions in the submitted manuscript to the history of publications or research proposals by the potential referee.
- The Copernicus' referee data base database lists previous referees according to their subject areas. All corresponding/contact authors of final accepted papers are automatically added to this database.
  - Recent additions to the Copernicus referee data base database are listed separately as a subset of the full data base database.
     This list often includes ECSs, i.e., scientists within less than 7 years after their last degree.
  - Editors can make custom nominations based on their own preference.
- Referees that are suggested by the authors, which is mandatory in most EGU journals.

This collection of referee identification tools provides editors with a wide range of referees at many career levels, including ECSs that represent more than half of the EGU membership. To broaden the pool of referees and to particularly increase the number of ECSs as peer reviewers, EGU organized hands-on peer review trainings in 2023 and 2024 for its members (Queiroz Alves and D'Souza, 2023); upon, drawing on the extensive collection of preprints and referee reports as practical training resources. Upon successful completion, participants are added to the referee database and labeled as 'successful EGU peer review training participants'. As an additional training opportunity, a tandem review (co-review) scheme has been implemented in 2024 which allows reviewer teams, typically composed of an experienced referee who nominates a younger-less experienced colleague to collaboratively prepare a referee report (Queiroz Alves, 2024), similar to the concepts in other journals, e.g., by Wiley/AGU (Dedej et al., 2023). In addition, interested scientists can apply to join the referee database via a form on the journal website, providing a CV and a brief statement on their publishing experience.

In several EGU journals, outstanding dedication and performance of referees are recognized by annual awards (e.g., *ACP Outstanding Referee Award*; *CP Referee Award*) in addition to the benefit of having their contribution documented by a citable report, for which they can also claim authorship by signing their comment in the public discussion (Sections 2.2 and 3.3).

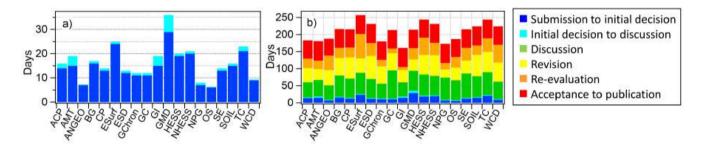
### 4.1.6 Processing times

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900 The processing times for the six steps of the multistage peer review process, as detailed in Sections 3.1 - 3.6, are displayed in Figure 10 for the 19 EGU journals. The first panel shows the time between manuscript submission and its posting on *EGUsphere* and in the journal discussion forum. The first phase from the manuscript submission to initial decision (dark blue), includes the technical file validation by the Copernicus editorial support team and the assignment of the manuscript

to a handling editor. This step takes less than two weeks on average for most journals. This time is similar for all journals, independent of whether they employ automated editor calls or use manual editor assignment, as it is done in the small journals with  $\lesssim$  150 submissions per year (ESurf, GChron, GC, NPG, SOIL, SE). The initial steps take the longest in the journal GMD (> 30 days). This may be due to the fact that its submission requirements are particularly strict as authors have to provide full codes of the underlying models that form the basis of their study (Sections 4.4 and S4.2); such checks and approval often require multiple rounds of file upload and validation. The total processing times, from submission to final paper publication, are the longest for the 10 EGU journals that apply a post-discussion editor decision between the first and second stages of the review process (ANGEO, BG, CP, ESD, GC, GChron, HESS, NHESS, SOIL, TC) with on average 215 days vs < 200 days in journals without this intermediate editor interference (12-month median, December 2024). The overall processing times in EGU journals ( $\sim$ 160 - 260 days) are comparable to journals practicing traditional peer review without public discussion (Björk and Solomon, 2013). However, unlike in journals without public peer review, the preprints intended for peer review and publication in an EGU journal are usually posted within one to two weeks at most, and their evolution and open discussion can be tracked by the public.



**Figure 10.** Processing times [days] from a) submission to preprint publication; b) manuscript submission to final publication in the EGU journals (12-month median, December 2024).

### 4.1.7 Article processing charges

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Article processing charges (APCs) are the fees that are charged for OA articles (Sections 2.1.2 and 2.1.3). They cover all costs for the production of peer-reviewed OA papers. They include the review support provided by the publisher office, online supplementary materials, typesetting, English language copy-editing, archiving and distribution of papers and interactive comments, i.e., the maintenance of websites and servers, electronic copies in open archives etc. The break-down breakdown of APCs relative to total publications costs in journals (including those by the EGU) by Copernicus Publications are of Copernicus Publications is shown in Figure 11. The publisher's business profit margin (after taxes) is ~6%. This is significantly smaller than the margin of many commercial publishers that often exceeds ~30% (Van Noorden, 2013; Larivière et al., 2015; Pöschl, 2020; Butler et al., 2023). Copernicus Publications re-invests their surplus into training of new staff, journals owned and fully

financed by the publisher, and enhancement of services and for outreach activities, operated by their non-profit association Copernicus e.V.

Until 2016, discussion papers (preprints) for EGU journals were typeset by the publisher, and authors were charged APCs upon publication of their discussion paper in a journal discussion forum. This procedure was changed in 2016, in analogy to other preprint servers and publishing platforms: No typesetting is performed and no APCs are charged at the first publication stage. Nevertheless, an initial technical file validation is applied free of charge, including a check of author names, affiliations, general formatting requirements etc. and the addition of the *EGUsphere* logo and citation to the pdf files. After successful peer review, APCs are charged for the main journal article. Supplemental information can be added free of charge as a separate file (e.g., text, tables, figures), to which no copy-editing is applied by the editorial support. Additional assets can be connected free of charge to the paper, including including video supplements or Jupyter notebooks.

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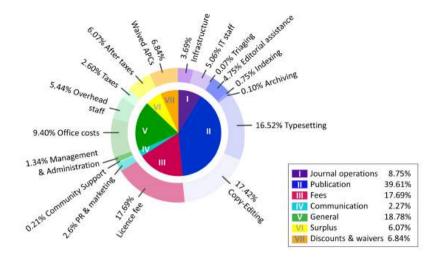
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Until the end of 2024, APCs were charged per page in most EGU journals (93 € or 77 € per page, using the publisher's packages for Word or LaTeX templates, respectively). GMD and CP started in 2021 charging fixed per-article APCs of 1600 € (net) per article; OS joined this pilot project in 2022. Since then, the article lengths in these three journals did not significantly change. As an investment, the EGU supports new journals during their start-up phase allowing for reduced APCsfull waivers in the initial period and for reduced APCs during a transition time. APC discounts (-33%) were applied to all articles in GChron and WCD until the end of 2024; full waivers are still given to GC -but full APCs are charged as of July 2025. Both GChron and WCD charge full APCs since January 2025. These three newest EGU journals, launched in 2018 and 2019 (Table A1) were accepted by Clarivate for the Web of Science in September 2023 and, thus, received their first JIF in 2024. This milestone in the journal development was used up to now as the criterion across the EGU journals to start charging APCs. An alternative criterion may be applied to journals launched in the future, given the limited relevance of the JIF (Section 2.4). Both GChron and WCD charge full APCs since January 2025.

Since January 2025, the APCs in all EGU journals are charged on a per-article basis to make the APC structure more transparent for all authors. The current APC scheme is structured into three journal groups with per-article net APCs of 1800 €, 1350 € and 1980 €, respectively (Table 3). Given that typesetting and copy-editing are major components in the paper production process (Figure 11), the journal groups were created based on the average article length using the statistics during the last three years. Short articles such as letters or peer-reviewed comments have approximately the same length in all EGU journals. Therefore, their standard net price is 900 € in all journals. As a benefit for its members, EGU, as the journal owner, grants a 10% APC discount for papers by corresponding authors (CAs) who are EGU members at the time of manuscript submission. This discount strengthens EGU's commitment to open science as a member-led, community-driven learned society, distinguishing it from profit-driven interests by commercial publishers. As the largest European geoscientific society, EGU particularly aims at encouraging scientists from all regions in Europe to participate in the Union's activities, including publishing. Therefore, CAs affiliated in European economically disadvantaged (EED) countries<sup>6</sup> receive an automatic 50% APC discount on the standard or EGU membership APCs. CAs with affiliations in countries classified by *Research4Life* 

<sup>&</sup>lt;sup>6</sup> The classification is based on the list of 46 member states of the *Council of Europe* as well as Kosovo. EED countries are defined as European countries with a most recent gross national income per capita (GNI, World Bank) that is less than 25% of the maximum value of all European GNIs. The list is



**Figure 11.** APC breakdown as an average of all journals published by Copernicus, based on the recommendations by the FAIR Open Access Alliance (FOAA, de Vries (2019)). Figure adapted from https://publications.copernicus.org/apc\_information.html (last access: 24 Jan 2025).

(Groups A & B) <u>automatically</u> receive a full APC waiver. These discounts/waivers <u>may likely</u> further promote equality, diversity and inclusivity across the global geoscience community. By automatically applying them, the waivers/discounts reduce and hopefully diminish the geographical APC barriers of OA publishing as discussed by Klebel and Ross-Hellauer (2023).

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In case authors affiliated in any country cannot afford the APCs in EGU journals, they can apply for a full or partial APC waiver during manuscript submission. Authors are asked to provide a brief justification for their request. Such discount and waiver requests are forwarded to the handling editor, who makes a recommendation, upon which an executive editor makes a final decision. Requests from any authors are considered, independently of their affiliation, unlike in many other journals, where waivers and discounts are reserved for authors from low/middle-income countries (Lawson, 2015). The total budget allocated for such waivers/discounts upon request, in addition to the automatic discount/waivers for EED and *Research4Life* is 10% of the previous year's total publication volume of all EGU journals; however, the actual amount spent on such discounts/waivers across all journals are usually well below this threshold. Links to the APC scheme and discount/waiver options are clearly displayed on all journal homepages through prominent buttons labeled as "Moderate Article Processing Charges" and "Financial Support".

Copernicus Publications has signed numerous institutional agreements (IAs) with institutions, universities, funders and libraries for the centralized settlement of APCs. Unlike TAs, these IAs do not require giving access to subscription-based content since Copernicus has been a full OA publisher since 2004 (Section 2.1.3). Most of these IAs are based on a per-article basis, implying that the institution settles the invoice for the articles published by their authors in a given year. The underlying updated quarterly and currently includes Albania, Armenia, Azerbaijan, Bosnia and Herzegovina, Bulgaria, Croatia, Georgia, Greece, Hungary, Kosovo, Latvia, Lithuania, Moldova, Montenegro, North Macedonia, Poland, Romania, Serbia, Slovakia, Türkiye and Ukraine.

**Table 3.** Net article processing charges (APCs) in EGU journals as of January 2025. Numbers in parentheses denote APCs after a 10% discount for corresponding authors who are EGU members; the same discount is applied as a basis for institutional agreements. Corresponding authors from European economically disadvantaged countries receive a 50% discount on APCs, while authors from countries classified under Groups A & B by *Research4Life* are eligible for a full waiver of APCs.

Journal groups		APC (-10% for EGU members or institutional agreement)				
		Any country	EED	Research4Life		
Regular articles						
I.	ACP, AMT, BG, ESD, ESurf, GChron, HESS, NHESS,	1800€ (1680€)	900€ (810€)	0€		
	OS, SE, SOIL, TC					
II.	ANGEO, GC, GI, NPG, WCD	1350€ (1215€)	675€ (607.5€)	0€		
III.	CP, GMD	1980€ (1782€)	990€ (972€)	0€		
Short manuscripts: e.g., letters, peer-reviewed comments and some other journal-specific manuscript categories						
All EGU journals (I III.)		900€ (810€)	450€ (405€)	0€		

per-article APCs correspond to those as for EGU members in Table 3, i.e., applying a discount of 10% compared to the full prices. In the last years, a substantial proportion (24% in 2024) of APCs in EGU journals were settled via such IAs.

The business contract between the EGU as the journal owner and its service provider Copernicus Publications states that EGU receives the income from the APCs for all published papers in EGU journals after Copernicus covered the costs of its services (mostly working hours). The resulting difference between APC-generated income and the Copernicus expenditures is on the order of ~20 - 30%, which represents a significant source of income for the EGU as a non-profit learned society. This income is invested into activities of the Union (e.g., outreach, education, topical events and equality, diversity, inclusion), in accordance with the non-profit status of EGU. Thus, the EGU/Copernicus publication model is economically sustainable and able to finance a substantial part of the non-profit activities of a large scientific society such as the EGU, countering recent concerns that open access publishing may jeopardize the financial sustainability of such organizations (Brainard, 2025b).

The APCs in Table 3 are comparable to fees applied on publishing platforms, such as those managed by *F1000* (F1000 APCs; Open Research Europe (2020), Section 2.3.2). However, on these platforms, no type-setting and copy-editing is applied unlike for final articles in EGU journals. In comparison to journal APCs by many other publishers (Table S6), the APCs in the EGU journals are also much lower, independently of the sort of publication model and financing scheme (subscription-based closed access, hybrid or full OA, Section 2.1) (Schimmer et al., 2015; Pourret et al., 2021; Borrego, 2023).

## 4.2 The interactive community platform *EGUsphere*

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# 4.2.1 Discussion papers, preprints, and conference contributions

Initially, manuscripts under peer review and discussion in an EGU interactive OA journal were termed 'discussion papers', such as in *Atmospheric Chemistry and Physics Discussion (ACPD)* that started in 2001 (Section 2.3.1). Initially, these These

papers were paginated in a regular volume, issue, page structure and had a DOI that included the journal and pagination. Form From 2016 onwards, discussion papers were no longer typeset, and had a DOI with the structure 'journalD-year-number' (with the D to reflect the discussion stage), disclosing that they had been under review for a journal. When such papers were rejected after being posted and discussed in a journal discussion forum, publishers outside the EGU journal family sometimes declined them for peer review in their journals since the DOI implied "previously rejected" or, falsely as "already published" journal papers. With the increasing popularity of preprints and publishing platforms for peer review of preprints across the scientific community, the term 'preprint' was adopted also for the EGU discussion papers as it more clearly indicates the status of a manuscript prior to potential publication in a journal (Section 2.3.1).

The interdisciplinary repository *EGUsphere* was launched in 2022, which merges the features of preprint servers with those of the established, well-functioning EGU journals with interactive multi-stage peer review. For the geosciences community, *EGUsphere* serves as a repository of non-peer-reviewed preprints and preprints that undergo peer review with the intention of publication in one of the EGU journals, as well as conference abstracts and presentations. Unlike on traditional preprint servers, the complete peer review process of preprints intended for eventual journal publication is guided by a journal editor. Preprints that do not undergo peer review are not handled by journal editors but by preprint moderators. This differs from many other publishing platforms where editorial duties may only include the nomination of reviewers but no other editorial decisions. On such platforms, preprints may only receive reviewer ratings such as 'approved' or 'non-non-'approved' non-approved' without a final editor decision (Section 2.3.2).

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All preprints on *EGUsphere* are assigned a DOI with the structure 'egusphere-year-number', regardless of whether they undergo peer review or not. Such a DOI clearly reflects that they are not (yet) peer reviewed papers but are preprints that may or may not undergo peer review. This change to the DOI setting, as compared to the initial format 'journalD-year-number' that included the journal name, eliminates previous problems faced by authors whose papers were rejected and subsequently declined submission to other journals. Only after successful revision and peer review completion, papers are published in the respective EGU journal with a DOI 'journal-year-firstpage'. During a transition period until the end of 2024, some EGU journals still offered both options, i.e., preprints were either posted on *EGUsphere* (DOI 'egusphere-year-number') and linked to the journal or only be posted on the journal website with a DOI 'journalD-year-number'. As of January 2025, the latter route has been abandoned, i.e., all submissions to EGU journals are automatically labelled as an *EGUsphere* preprint. Journals indicate the journal relation during the open discussion on *EGUsphere* stating that the preprint is 'under review for journal'. This label is removed when a preprint reaches its final status (i.e., rejection or journal publication). Final journal publications are ultimately linked to the initial preprint.

Figure 12 displays the full concept of EGUsphere, including the three preprint options and conference contributions:

**Preprint Option I** corresponds to the former discussion papers that undergo the six steps of the interactive, public peer review process as described in Figure 3. Such preprints aimed at publication in an EGU journal undergo peer review, handled by a journal editor, according to the requirements and principles of the individual journals (discussion period, additional items during submission, etc). Also referees are expected to apply the standards of the target journal. At submission, authors have to choose *EGUsphere topics* in addition to journal subject areas. These keywords may be eventually used for an interdisciplinary,

searchable index for the *EGUsphere* content. Preprints in the discussion phase are posted on the *EGUsphere* website and linked to the journal website to enhance their visibility. Upon publication of a paper in an EGU journal, the *EGUsphere* preprint and the full documentation of its public peer review and discussion are linked. Preprints submitted with the intention of journal publication that are, however, rejected at the access stage, may be recommended by the handling journal editor to become a stand-alone preprint (Option III). If authors refuse this option, there will not be any trace of the submission, neither on the journal website nor on *EGUsphere*.

**Preprint Option II** allows authors to seek peer review of preprints posted on other preprint servers that provide a DOI (e.g., *arXiv*). Such 'external preprints' maintain their original DOI while they undergo public discussion and peer review on *EGUsphere*, thereby avoiding double-preprinting, i.e., an assignment of two different DOIs to an essentially identical preprint. If authors would like to make changes to their original external preprint prior to the public discussion on *EGUsphere*, they are asked to create an updated version of their preprint on the external server. If their updates lead to a manuscript with similarity of less than 60% as compared to the latest preprint version, their manuscript may be considered sufficiently different and treated according to Option I.

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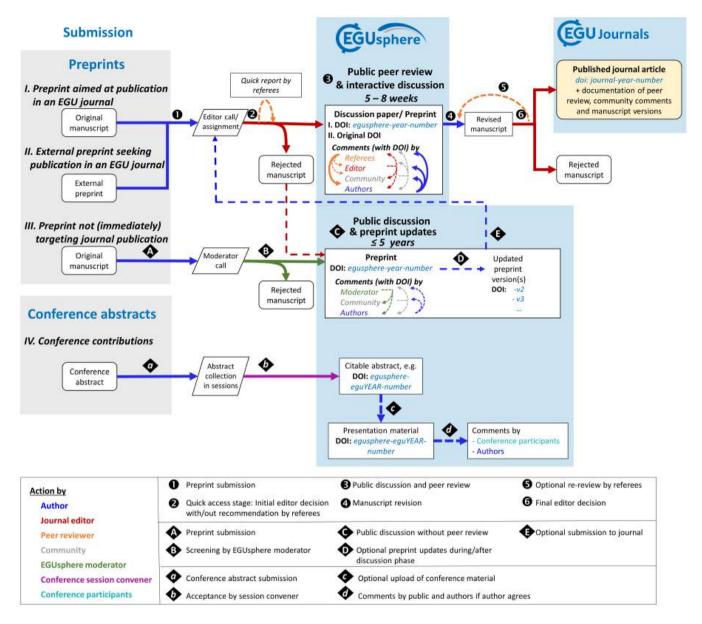
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**Preprint Option III** are stand-alone preprints, i.e., they are posted without a journal relation and, thus, are equivalent to preprints on traditional preprint servers (e.g., arXiv). They undergo basic checks regarding their relevance for the Earth, planetary and space science community and concerning general standards of scientific quality, originality, civil discourse and common decency. These checks are performed by EGUsphere preprint moderators, who are often early career scientists with little experience in publishing. The role as EGUsphere moderator gives them the opportunity to get involved in OA publishing beyond the author role, but without the comparably high responsibilities as expected for journal editors, making such a role a valuable training opportunity. EGUsphere moderators are automatically called upon submission of new stand-alone preprints, similarly to editor calls in journals, but on shorter time scales. If no moderator responds after 2 calls, the EGU Editorial Manager contacts moderators of the matching topics so that all preprints are usually posted within  $\sim$ 5 days after submission. The public discussion of a preprint is limited to 5 years, during which authors can post updated preprint versions, with the version number reflected in the DOI, with versions indicated by a suffix  $\sim$ 2,  $\sim$ 2, etc. Both the EGUsphere coordinator and moderator are informed of any new community comments, but they are not obliged to actively guide the discussion.

At any time during the discussion period, the authors can change their stand-alone preprint (Option III) into a preprint of Option I. Authors of stand-alone preprints are encouraged to actively solicit feedback from the community, which may help them to prepare a more elaborate manuscript for potential journal publication if they receive encouraging and constructive community comments on the technical soundness and relevance of the article. If authors choose to submit their manuscript for peer review to a journal outside the EGU family, eventually a link to the external journal article will be included on the page of the original *EGUsphere* preprint (Option III).

Currently, 98% of all submissions to *EGUsphere* are preprints aimed at journal publication (Option I, discussion papers), reflecting the high standing and popularity of the EGU journals. This preference is expected, because trends on other preprints servers show that  $\gtrsim 70\%$  of all preprints initially posted there are eventually published in a journal (Larivière et al., 2014; Abdill and Blekhman, 2019). While peer review of preprints is practiced on other publishing platforms with varying success,



**Figure 12.** Schematic of the interactive platform *EGUsphere* and its linkage to the EGU journals. The blue-shaded part indicates all options of the repository *EGUsphere*. The upper part (steps 1 - 6) corresponds to the journal workflow of EGU's interactive public peer review model (Figure 3, Section 3). External preprints (II) that are posted on preprint servers other than *EGUsphere* can undergo peer review on *EGUsphere* for consideration in one of the EGU journals. Stand-alone preprints (III) do not seek (immediate) peer review for an EGU journal; authors may decide to convert them into a preprint I at any time. Preprints (I) that are rejected from peer review by a journal editor may be posted as stand-alone preprint. Option IV denotes the collection of abstracts and presentation material for *EGU conferences*. Solid arrows denote mandatory actions, dashed arrows optional actions.

rigor and popularity (Section 2.3), *EGUsphere* takes advantage of the journal infrastructure, including experienced academic editors and a well-functioning, efficient workflow to ensure high scientific quality and the high reputation of the EGU journals in the geoscience community. Unlike other publishing platforms, *EGUsphere* combines the various preprint options and their straightforward conversion into each other, the documentation of their public peer review and discussion and the distillation of highlight articles in a single publishing framework. This structure avoids the need of overlay journals (Tennant et al., 2017) or external peer review platforms that lead to a disconnection of the preprints, their public discussion and peer review and final journal publication, which often results in low popularity and efficiency (Sandewall, 2012; Tennant et al., 2017).

In 2020, one part of *EGUsphere* was already launched as a repository for **conference material**, including conference abstracts and presentations for conferences organized by EGU, such as the annual *EGU General Assembly* (more than 20,000 participants in 2024). All conference abstracts submitted since 2015 have been retroactively included in *EGUsphere*. Before the conference, attendees can upload additional documents as supplemental material to their abstracts that can then be commented on by the conference participants until a limited period after the conference. The material is then permanently archived as a supplement to the conference abstract if the authors agreed on a CC BY or CC Zero license.

In summary, *EGUsphere* is an interdisciplinary repository for preprints and conference contributions in the geosciences. Its preprint repository hosts traditional preprints (Option III), but predominantly preprints with interactive discussion and peer review that are intended to lead to publication in an EGU journal (Options I and II).

#### 4.2.2 Open science beyond OA and future perspectives for AI/ML

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Going beyond other repositories and preprint servers, *EGUsphere* provides a seamless connection from preprints to journal articles and highlight selections as well as conference contributions. It offers functionalities for community-based commenting, discussion, and public peer review as well as other forms of open peer review and open science, including open data and open source as outlined below (Section 4.4).

Additional features from other open science initiatives and publishing platforms can be easily implemented. For example, informal community review can be introduced for stand-alone preprints that are not undergoing peer review in an interactive OA journal. Such preprints can be ranked in a similar way as, e.g., on publishing platforms managed by *F1000*, using labels such as approved/approved with reservations/not approved to reflect recommendations by community members engaging as reviewers without having been formally appointed by an editor. The outcome of such self-organized community review may encourage or discourage authors to pursue formal peer review in one of the EGU interactive OA journals or any other journal, which can build on the community ratings and comments already available on EGUsphere (\*overlay journals\*overlay journals, Section 2.3). Beyond that, *EGUsphere* offers opportunities to explore the benefits and shortcomings of new technologies for scientific review and quality assurance, in particular related to the rapid recent evolution of artificial intelligence and machine learning (AI/ML) tools. EGU recently published a statement on the use of AI-based tools for the presentation and publication of research results in Earth, planetary and space science (EGU News, 2024a). Concerns have been raised that AI-generated papers may undermine the integrity of scientific publishing by introducing content that lacks originality and quality (Leung et al., 2023; Májovský et al., 2023; Májovský et al., 2023; Resnik and Hosseini, 2024)

. In this regard, the publication of preprints and their public peer review on *EGUsphere* enables the identification and disclosure of potential misuse of AI-generated content in the scientific discourse, including manuscripts and review reports.

The interactive OA publishing approach (publish-then-review) effectively removes reduces ethical and legal concerns regarding the upload of unpublished material into AI/ML tools such as large language models (LLMs) for reviewing purposes (Resnik and Hosseini, 2024) because preprints posted on *EGUsphere* are freely available for re-use under the CC BY license. AI tools may be applied to produce summary reports aimed to identify deficiencies of manuscripts such as unclear or incomplete descriptions of methodologies, results, and conclusions (Zhuang et al., 2025). Such reports, clearly labeled as AI-generated, could accompany preprints on *EGUsphere* to provide optional input for community and peer review, whereby community members and references referees can decide to use or discard the AI-generated information. If properly applied, such use of AI may benefit and enhance the efficiency and rigor of scientific quality assurance (Hosseini et al., 2024) (Hosseini et al., 2025). Note, however, that the involvement of AI in the peer review process cannot replace human referees because human scientists must remain the actual "peers" of human authors.

## 4.3 Interdisciplinary exchange and virtual compilations

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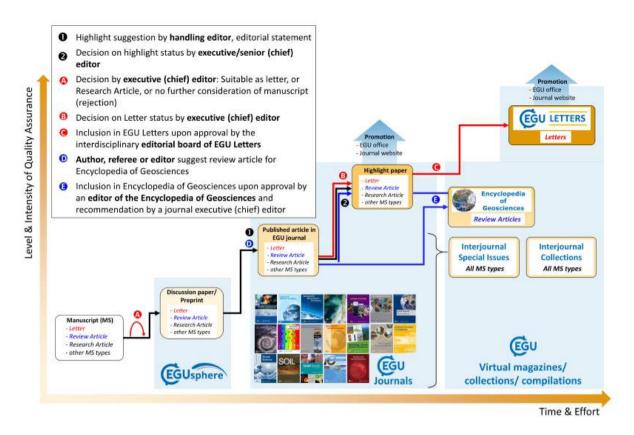
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As outlined in the previous section, *EGUsphere* in connection with the EGU journals provides a structure for a wide spectrum of non-peer-reviewed and peer-reviewed scientific articles in terms of manuscript types and geoscientific topics. The consistent handling of manuscripts within the 19 journals therefore allows the creation of interdisciplinary virtual compilations that group articles from different journals. Such grouping can take place by topic in form of inter-journal special issues or collections (Section 4.1.3) or by manuscript type, such as review articles or letters. The extension of the two-stage publication process to virtual compilations takes advantage of the established journal infrastructure and does not require the creation of new journals that may compete with the existing ones for the best articles. Since all editorial decisions are published as part of the documentation of the public peer review in the individual journals, each article in the compilations has undergone the same rigorous scientific quality assurance as any other article published in EGU journals.

Figure 13 shows how the four virtual compilations that emerged from the EGU journals are connected to the two-stage publication process. It also illustrates the level and intensity of quality assurance ("distillation") that is applied to the papers in each of these compilations: Whereas articles in inter-journal special issues are published upon the approval by the handling editor only, the inclusion of review articles and letters in the *Encyclopedia of Geosciences* or the *EGU Letters*, respectively, requires an additional executive editor decision step.

#### 4.3.1 Inter-journal special issues and collections

inter-journal Inter-journal special issues (SIs) are organized across two or more journals on topics that are within the scope of these journals. Any EGU journal but also other journals by Copernicus Publications may be part of an inter-journal SI. The handling of SI manuscripts in each of the journals is determined by the journal-specific SI guidelines (Section 4.1.3). Averaged over all EGU journals, nearly half (46%) of all special issues are inter-journal SIs whereas in some EGU journals (e.g., ACP, AMT), they represent the majority of special issues (Figure 14a and Table S5). About 60% of all inter-journal SIs are organized



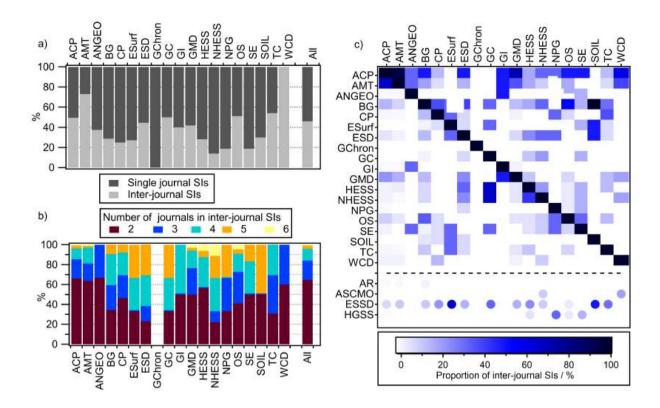
**Figure 13.** Extension of the the two-stage publication process in EGU journals (Section 3) by a third step to populate the virtual compilations. Details on inter-journal special issues and collections, the *Encyclopedia of Geosciences* and *EGU Letters* are given in Sections 4.3.1, 4.3.2 and 4.3.3, respectively.

between 2 journals, but the number of journals participating in an inter-journal SI has been (so far) as high as 6 (Figure 14b). All papers, i.e., preprints and final journal papers, of inter-journal SIs are listed on the websites of every journal that participate in the SI thereby enhancing the visibility and interdisciplinary character. The strong journal overlap in special issues is illustrated in Figure 14c. A similar concept of inter-journal special issues or special collections was introduced in AGU journals in 2012 (Hanson and van der Hilst, 2017), i.e. several years after the EGU journals started this concept.

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Some journal combinations, e.g., ACP and AMT, are quite frequent due to the complementary and overlapping journal scopes: 95% of all inter-journal SIs in AMT (72 of 76) are co-organized with ACP. Since ACP has organized more inter-journal SIs in total (104), these SIs correspond to 69% of all inter-journal SIs in ACP. The wide variety of journal combinations demonstrates that the inter-journal SIs provide an efficient and popular way to create interdisciplinary compilations, in which all articles undergo the same transparent peer review process. The linking of papers to SIs across journals is not only applied to EGU journals but extends also to some other Copernicus journals, including *Aerosol Research (AR)*, *Advances in Statistical Climatology, Meteorology and Oceanography (ASCMO)*, *Earth System Science Data (ESSD)* and *History of Geo- and Space* 



**Figure 14.** a) Fraction of total single-journals and inter-journal special issues; b) number of journals involved in inter-journal SIs for each EGU journal; c) portion [%] of inter-journal SIs in the journals in the columns (top axis) paired with journals in the rows (left axis). The color-coded pattern of rectangles is not symmetric as the relative proportions [%] are shown and different journals have different absolute numbers of inter-journal special issues. In addition to EGU journals (Table A1), other Copernicus OA journals are included: *Aerosol Research* (AR), Advances in Statistical Climatology, Meteorology and Oceanography (ASCMO), Earth System Science Data (ESSD) and History of Geo- and Space Sciences (HGSS).

Sciences (HGSS). The particular role of ESSD (launched in 2009) is evident since it has inter-journal SIs with nearly all EGU journals. ESSD focuses on publication of comprehensive data documentation, including their sources, codes and algorithms (Carlson and Oda (2018) and Section 4.4) while the interpretation or discussion of their implications are included in the articles of the discipline-specific EGU journals.

SIs allow submissions only during a limited time period (typically 1 to 2 years). The inclusion to a particular SI is performed upon request by the authors during manuscript submission. As of 2025, theme collections can be organized on specific topics with the scopes of one or more journals, in addition to special issues. Such collections are also organized as inter-journal compilations and are open without defined end date to group papers on specific topics. The linking of papers to collections is performed upon final paper acceptance; thus, no preprints are included in collections.

## 4.3.2 Encyclopedia of Geosciences - A collection of scientific review articles

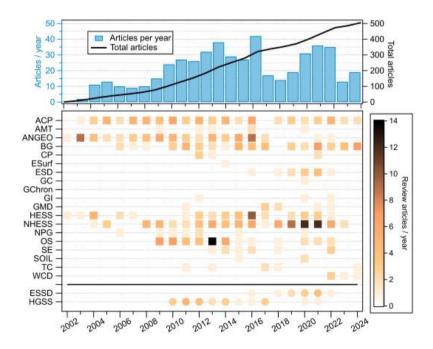
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The *Encyclopedia of Geosciences* was established in 2017 and comprises 507 review articles (as of December 2024) that are published in one of the 19 EGU or other Copernicus journals since 2001 (Figure 15). All 19 EGU journals consider the manuscript type review articles (Table S1); review articles in EGU journals are expected to summarize the status of knowledge on a particular topic and outline future directions of research within the scope of the journal. Occasionally also other manuscript types may be considered for inclusion in the *Encyclopedia of Geosciences* if they contain a sufficiently broad overview of a relevant topic (e.g., some ACP Opinion articles and NHESS Invited Perspective articles). Articles that fulfill these criteria are added to the *Encyclopedia of Geosciences* after their public peer review and acceptance for publication according to the criteria in the given EGU journal (Section 3). During or after the peer review process, authors interested in having their review article included in the Encyclopedia are requested to contact the editors of the Encyclopedia. Alternatively, the handling journal editor or possibly a referee can also make the recommendation to the editors of the Encyclopedia.

The editorial board of the *Encyclopedia of Geosciences* is composed of one editor from each contributing journal. Articles in the Encyclopedia keep the DOI of their original journal article. This way, they are linked to the full documentation of their review process and also benefit from the journal community and reputation. To emphasize its interdisciplinary encyclopedia character, searches can be performed based on 11 *EG topics* and 183 *EG index terms*. In addition, readers can also find articles based on author names, title or words in the abstract, just like for any EGU journal.

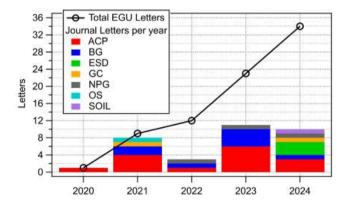


**Figure 15.** Top: Number of articles added to the Encyclopedia of Geosciences per year (blue) and total articles included (black), bottom: number of articles added per journal and year.

# 4.3.3 EGU Letters - The EGU highlight magazine

- More than a decade ago, Pöschl (2012) laid out the potential of EGU's multi-stage, public peer review concept for a third stage to create virtual interdisciplinary compilations of specific journal articles of highest quality and particular significance, as opposed to (potentially) high JIF journals where such selection criteria are often hidden. EGU Letters, launched in 2023, is a compilation of letter-style articles that underwent peer review in one of the 8 EGU journals (ACP, BG, ESurf, ESD, GC, NPG, OS, SOIL) that currently consider the manuscript category Letters (Section 4.1.2). Letters are concise, engaging articles (≤ 2500 words) reporting exceptionally important results and major significant scientific advances in geoscientific research that are of high general interest to the entire geoscientific community and/or to the broader public (and media). Letters include both of the following characteristic features:
  - Important discoveries and research highlights in geoscientific research.
  - Solutions to or progress with long-standing and important questions in their research area.

At submission, authors select the manuscript type *Letter* and have to explain how their manuscript meets these criteria. During the review process, Letters are expected to be rated as outstanding/excellent in the three principal review criteria, i.e. scientific significance, scientific quality and presentation quality (Section 3.2). If not accepted as a letter, the manuscript may still be accepted for final publication as a regular research article and possibly be ranked as a highlight article ('editor's choice', Figure 5). The decision on the highlight and letter status is made by the journal executive editors, upon recommendation by the handling editor. The editorial board of *EGU Letters* is informed of each letter acceptance in a journal. This board is coordinated by a chair person and is comprised of the executive editors of the eight participating EGU journals that currently consider the manuscript type letters. Upon favorable decision by the *EGU Letters* editorial board, the paper is displayed on the *EGU Letters* website, thus, providing an even higher visibility to a broader (geoscience) community than being published on the individual journal website only. Articles included in *EGU Letters* keep the DOI of their original journal publication.



**Figure 16.** Evolution of *EGU Letters* since its launch in 2020. Colored bars show the number of letters from individual journals per year; the black line is the total number of *EGU Letters* (2020 - 2024).

The first letter was published in 2020; as of December 2024, 34 journal letters are included in *EGU Letters* that are published in ACP, BG, ESD, GC, NPG, OS or SOIL, respectively (Figure 16). While also ESurf had introduced the letter category, they did not publish any letter so far. The first ESurf letter was pblished in May 2025. The 11 letters published in 2023 correspond to about 0.4% of all EGU publications and about 1% of papers published in the 5 journals that published letters in that year whereas these numbers are 0.3% and 0.8% in 2024, respectively, indicating that these articles represent a very exclusive fraction of all published papers. Implementing this third step as an upward process upon the publication of papers in one of the EGU journals allows the efficient selection of outstanding articles of particular interest to the full geoscience community, without compromising scientific completeness and quality assurance. After their transparent three-tier selection process, *EGU Letters* are expected to be of comparable quality and higher credibility than articles of similar formats in other high-impact, interdisciplinary journals.

## 4.4 Interactive OA publishing and EGU/Copernicus in the global landscape of open science

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Interactive OA publishing with public peer review and discussion as practiced in the journals, virtual compilations and community platform of EGU/Copernicus integrates multiple aspects and forms of open science, including open access, open data, open source, and open peer review to enhance the accessibility, traceability, and quality assurance of scientific knowledge. As widely acknowledged by national and international declarations (e.g., Berlin Declaration on Open Access to Knowledge in the Sciences and Humanities (2003); Science Europe Strategy Plan 2021-2026 (2021); Second French Plan for Open Science (2021); SPARC Europe 2025-2028 (2025)) and in the *Data Policy of EGU/Copernicus*, the output of research consists not only of journal articles but also of data sets and model code etc.

Only a comprehensive account of relevant information can guarantee integrity, transparency, re-use and reproducibility of scientific findings. Moreover, all of these resources provide great additional value in their own right. The *Declaration of Research Assessment* (DORA, 2012) and the *Coalition of Advancing Research Assessment* (CoARA, 2022), signed by EGU in 2024 (EGU News, 2024b), recognize the importance of this wide array of research output for scientific quality and impact. It is desirable that data, code and other information underpinning the research findings are "findable, accessible, interoperable, and reusable" (FAIR Principles (2016), Wilkinson et al. (2016)) not only for humans but also for machines.

As a signatory of the Commitment statement by the Coalition on Publishing Data in the Earth and Space Sciences (COPDESS) (2014) and the Enabling FAIR data Commitment Statement in the Earth, Space, and Environmental Sciences (2018), Copernicus Publications adheres to such best practices in sharing open data to advance reproducibility, transparency and innovation in Earth and space sciences. Their practices follow the recommendations by the Future of Research Communication and e-Scholarship (FORCE11, 2011) initiative regarding Data Citation Principles (Martone, 2014) and Software Citation Principles (Smith et al., 2016) through the effective use of information technology, with an emphasis on improving knowledge creation and sharing in digital publications.

The *Data Policy of EGU/Copernicus* (Section S4.1) requests depositing data that correspond to journal articles in reliable (public) data repositories, assigning digital object identifiers, and properly citing data sets as individual contributions. In addition, data sets, software, algorithms, model code, video supplements, video abstracts, International Geo Sample Numbers

and other digital material should be linked to the article through DOIs. Authors are requested to list citations to the data and assets in a data availability statement at the end of each article. If data are not publicly accessible at the time of publication, the data statement must specify when and where they will become available and how readers can access them until then. Authors should provide embargoed data to referees during review to ensure reproducibility, labeled as "reviewer-only access" which implies that referees agree not to copy, share or reuse the data. If public deposition is not possible (e.g., due to commercial constraints), a detailed justification must be provided.

To meet the needs and preferences of the scientific communities served by the EGU/Copernicus journals, the data policy can be flexibly adapted to align with requirements and practices in different fields, while ensuring transparency, openness, accessibility and re-usability for all as far as practicable. This is achieved by journals that are solely focused on the publication of datasets or codes or by individual requirements within individual journals. Examples include:

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- Geoscientific Model Development (GMD) is an EGU/Copernicus journal dedicated to the publication of the description, development, and evaluation of numerical models of the Earth system and its components. Its journal data policy requests precise versions of all code and data associated with the paper to be deposited in persistent public archives (Section S4.2).
   Information on access to other versions of the code and data as well as the licence of the code, according to Open Source Definitions should also be provided.
- Earth System Science Data (ESSD), a Copernicus journal, publishes data papers, including peer review of original research data (sets) to foster the re-use of high-quality data through easy, free, and open exchange of high quality datasets in the Earth sciences (Carlson and Oda, 2018). An ESSD "product" consists of a detailed description published in ESSD, linked to a dataset archived in a reliable data repository with a permanent identifier (Section S4.3). ESSD is efficiently linked to publications in EGU/Copernicus OA journals or on EGUsphere, e.g., through inter-journal special issues (Section 4.1.3, Figure 14).
- Atmospheric Chemistry and Physics (ACP) offers various manuscript types (Section 4.1.2, Table S1) that have different requirements in terms of data reporting. Measurement Reports strictly request that all underlying data are made openly accessible and citable with a functional DOI. In other manuscript types exceptions from the data policy can be made, if authors convincingly justify why data cannot be publicly shared.

Generally, it is strongly recommended for all papers though to share data needed to replicate figures in the published articles, ideally in a public repository or, alternatively, in a supplement to the paper. These practices and policies contribute to the overall goal of open science by promoting reproducibility, accessibility and scientific quality assurance to ultimately contribute to the epistemic web of knowledge through transparent knowledge sharing. At the same time, EGU and Copernicus respect the preferences of different scientific communities, where the disclosure and re-use of data and source code may progress under different conditions, at different levels, and at different rates.

#### 1255 5 Summary and conclusions

Over the past decades, individual scholars, scholarly organizations, learned societies and commercial publishers have experimented with new models of scholarly publishing, including open access and hybrid journals, open repositories and publishing platforms, and various forms of open peer review and other elements of open science. The interactive OA publishing approach of the European Geosciences Union (EGU)/Copernicus was among the first and most successful initiatives combining open access and public open peer review with community discussions in top quality scientific journals. Since 2001, more than 50 000 journal articles and 60 000 preprints/discussion papers accompanied by more than 250 000 comments by reviewers, authors, editors and the broader scientific community have been published in the 19 interactive open access journals by the European Geoscience Geosciences Union (EGU) and Copernicus Publications. Today, the EGU publication portfolio extends beyond the EGU journals and includes the preprint repository EGUsphere and virtual compilations of published journal articles, including the Encyclopedia of Geosciences for review articles and EGU Letters for concise highlight articles.

The average costs and charges of article processing were below 1000 € per article at the start of ACP in 2001 and following years. Currently, the article processing charges (APC) of EGU/Copernicus are still below 2000 € per article. Moreover, 10% of the total publication volume are available for optional APC discounts and waivers in addition to automatic waivers for papers ffrom countries classified by rom countries classified by Research4Life. The difference between APC-generated income and publisher expenditures is on the order of ~20 - 30%, which represents a significant source of income for the EGU as a non-profit learned society. This income is invested into activities of the Union (e.g., outreach, education, topical events and equality, diversity, inclusion), in accordance with the non-profit status of the EGU. Thus, the interactive OA publishing model of EGU/Copernicus is economically sustainable and able to finance a substantial part of the non-profit activities of a large scientific society such as the EGU. Overall, the interactive OA publishing approach developed and practiced by ACP, EGU, and Copernicus results in a unique combination of achievements, which has not been reported for any other scientific publishing approach: top scientific quality and visibility/impact in combination with low rejection rates, moderate costs, and long-term financial sustainability. Twenty-five years of experience with interactive OA publishing by the EGU demonstrate that learned societies can financially benefit from OA publishing in similar ways as from subscription publishing, but generate much more benefit for science and humanity overall.

In spite of a growing number and volume of successful OA journals and publishing platforms - including those of EGU/Copernicus, PLOS, BioMed Central, SciPost and other scholarly initiatives and commercial publishers - the overall transition from traditional subscription journal publishing to OA proceeded initially very slowly. Although hundreds of leading scholarly organizations around the world committed to OA by signing the *Berlin Declaration on Open Access to Knowledge in the Sciences and Humanities* (2003), only about 10% of all articles among the large number of scientific journal articles (> 2 million per year, published in > 20,000 peer-reviewed journals) were OA in 2013. This growth rate of 1% per year would have implied many more decades to achieve OA to a majority of scholarly research publications. This slow movement was largely due to a preference of researchers to publish in traditional journals, and to the reluctance of large traditional publishers to change their highly profitable subscription business with profit margins of 30% and more, and to offer OA without high

extra charges (thousands of Euros per OA article), in addition to the already excessively high subscription income (approx. 4000 € per journal article). To accelerate this progress, leading scholarly organizations united in the global initiative *OA2020* to replace traditional subscription contracts by transformative agreements. These agreements provide cost-neutral or even cost-saving ways to establish OA to articles from authors of the participating institutions/consortia, while maintaining access to subscription-based content from other institutions/consortia that have not yet established OA to articles from their authors. Transformative agreements boosted the proportion of OA to articles from some institutions and countries to 90% and more. Nevertheless, and beyond the transformation from subscription to open access, it remains crucially important to uphold and promote further improvements in scientific publishing by innovative OA publishers.

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Thus, we propose to include the following measures in the ongoing and future development of open access publishing and open science:

- Complement transformative OA agreements with traditional publishers by equivalent OA publishing agreements with new and innovative OA publishers and platforms. Community-based, non-profit/not-for-profit initiatives have proven to drive innovation and are intrinsically motivated to improve scholarly development rather than just maximizing economic profits like some (semi-)predatory commercial publishers. As learned societies are generally motivated by scientific quality rather than by commercial interests, they should be enabled and encouraged to offer and sustain high-quality publishing platforms and journals, with financial support via proper OA publishing agreements that may be analogous to or build on well-established and functioning elements of existing transformative OA publishing agreements.
  - Introduce appropriate elements of transparency and open peer review in all peer-reviewed OA publications to counteract (semi-)predatory publishing and deterioration in scientific quality assurance that undermine scientific quality and reliability. This can be done in form of public peer review and interactive discussion as practice practiced by EGU/Copernicus, or by partial/full disclosure of the pre-publication history (review reports) upon publication of the accepted paper. Such transparency also Such transparency provides valuable insights into the scientific discourse and evolution of scientific ideas and knowledge(epistemic web). EGU/Copernicus journals have been publishing reviewer reports for over 25 years, and more recently other journals, such as Nature, have also made this practice mandatory (Nature Editorial, 2025), which are major steps towards an epistemic web (Figure 1). Public reviewer and community comments should be appreciated and counted as valuable major contributions to the scientific discourse, e.g., in scientific assessments and evaluations related to recruitment, promotions, grants and honors/awards.
  - Utilize interactive OA publishing platforms to explore the potential of alternative forms and new technologies for scientific review and quality assurance. Such features include different types of reviews and ratings from community members, reviewers, moderators, and editors. The scientific community should be encouraged to take advantage of such platforms or other outlets for early sharing and discussion of their ideas and results.
  - Test and utilize AI/ML tools to support and complement human reviewers in the process of scientific review and quality assurance. For example, we suggest to include reports, clearly labeled as AI generated, that may aid in identifying

deficiencies of specific aspects or sections of a manuscript (methodologies, references etc.). Such AI-generated reports, however, should not replace the assessment by human peers (proper peer review). The benefits, risks and development of such tools should be explored and monitored following clear guidelines and standards of scientific integrity and ethics.

• Integrate OA with other forms of open science such as open data and open source according to the FAIR Principles ('findable, accessible, interoperable, and reusable'). The rate and extent of advancing these principles in various fields can be adjusted according to the needs and preferences of different disciplines and communities.

Overall, the interactive OA publishing approach has greatly advanced open science and scientific quality assurance. It provides a basis to develop an epistemic web of knowledge that displays the scholarly discourse by showing what we know, how well we know it, and where the limitations are.

**Table A1.** OA publications of the European Geosciences Union (EGU). Upper part: Journals and the year when they implemented the multistage interactive publishing model. Lower part: Additional OA EGU publications

EGU open-access journals		Acronym	Multistage open	Website https://www. <sup>6</sup>	
			peer review since		
Annales Geophysicae		ANGEO	2018 1	annales-geophysicae.net	
Atmospheric Chemistry and Physics		ACP	2001	atmospheric-chemistry-and-physics.net	
Atmospheric Measurement Techniques		AMT	2008	atmospheric-measurement-techniques.net	
Biogeosciences		BG	2004	biogeosciences.net	
Climate of the Past		CP	2005	climate-of-the-past.net	
Earth Surface Dynamics		ESurf	2013	earth-surface-dynamics.net	
Earth System Dynamics		ESD	2010	earth-system-dynamics.net	
Geochronology		GChron	2019	geochronology.net	
Geoscience Communication		GC	2018	geoscience-communication.net	
Geoscientific Instrumentation, Methods and		GI	2011	geoscientific-instrumentation-methods-and-data-systems.net	
Data Systems					
Geoscientific Model Development		GMD 2008 geoscientific-model-development.net		geoscientific-model-development.net	
Hydrology and Earth System Sciences		HESS	$2004^{2}$	hydrology-and-earth-system-sciences.net	
Natural Hazards and Earth System Sciences		NHESS	$2013^{3}$	natural-hazards-and-earth-system-sciences.net	
Nonlinear Processes in Geophysics		NPG	2014 4	nonlinear-processes-in-geophysics.net	
Ocean Science		OS	2005	ocean-science.net	
Solid Earth		SE	2009	solid-earth.net	
SOIL		SOIL	2014	soil-journal.net	
The Cryosphere		TC	2007	the-cryosphere.net	
Weather and Climate Dynamics		WCD	2019	weather-climate-dynamics.net	
Other EGU publications	Acronym	Description		Launch Website https://www. 6	
Advances in Geosciences	ADGEO	Proceedings		2003 advances-in-geosciences.net	
Encyclopedia of Geosciences EG		Virtual compi	ilation of review artic	cles 2017 encyclopedia-of-geosciences.net	
EGU Letters		Virtual EGU highlight magazine		egu-letters.net	
				<del>2022</del> 2020	
EGUsphere		Preprint and o	community platform	2022 <sup>5</sup> egusphere.net	

<sup>&</sup>lt;sup>1</sup>ANGEO was launched in 1983 by the European Geophysical Society; between 1994 and 2000 (volume 19), it was published by Springer; since then (volume 19) it is an EGU/Copernicus journal that became OA in 2009.

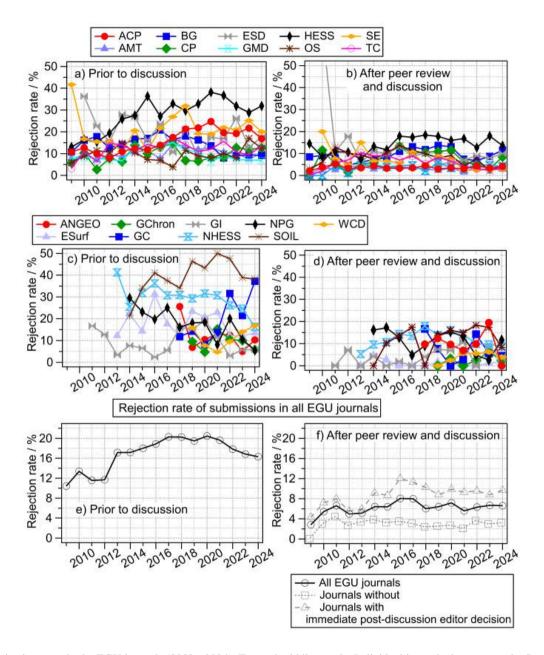
<sup>&</sup>lt;sup>2</sup> HESS was launched in 1997, became OA in 2004.

<sup>&</sup>lt;sup>3</sup> NHESS was launched in 2001, became OA in 2004.

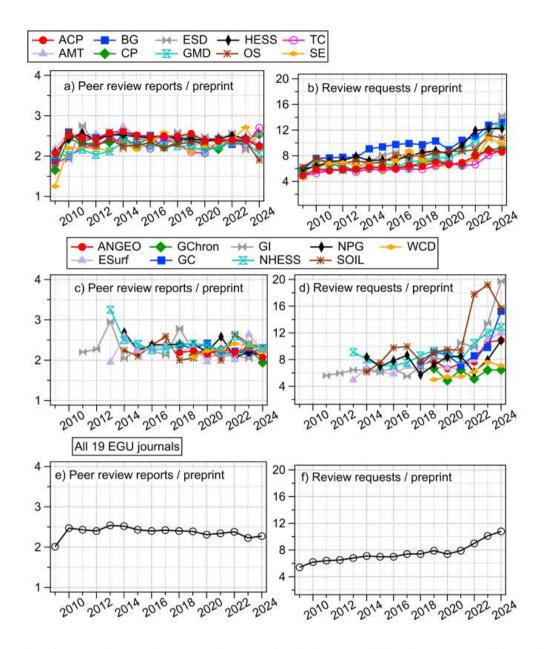
<sup>&</sup>lt;sup>4</sup> NPG was launched in 1994, became OA in 2004.

<sup>&</sup>lt;sup>5</sup> EGUsphere was launched as a repository for conference abstracts in 2020; conference contributions since 2015 were retroactively included.

<sup>&</sup>lt;sup>6</sup> All links were last accessed on 24 Jan 2025.



**Figure A1.** Rejection rates in the EGU journals (2009 - 2024). Top and middle panels: Individual journals; bottom panels: Sum of all EGU journals. a, c, e) at the access stage, prior to public discussion and open peer review; b, d, f) after discussion and peer review. The grey symbols in f) show the average rejection rates for journals with *vs* without editor decision immediately after the discussion (Section 3.4)



**Figure A2.** Overview of requested and received peer review reports in EGU journals (2009 - 2024). Top and middle panels: Individual journals; bottom panels: Sum of all EGU journals. a, c, e) Number of peer review reports per preprint, b, d, f) Number of peer review requests per preprint.

Data availability. All data are either publicly available on the websites of the journals (https://www.egu.eu/publications/open-access-journals/) or they were kindly provided by the publisher Copernicus (https://publications.copernicus.org/). Data for Figures 4, 6 - 10, 14, A1 and A2 are available at https://zenodo.org/records/14713159, Ervens (2025).

1335 *Author contributions.* BE and UP developed the idea of the paper and wrote the manuscript. KC and TK contributed by means of critical review and commentaries to all versions of the manuscript.

Competing interests. Two authors are members of the ACP editorial board; two authors are members of the ACP advisory board.

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- 1355 ACP Crutzen Publication Award: Atmospheric Chemistry and Physics, https://www.atmospheric-chemistry-and-physics.net/awards/paul-crutzen-publication-award.html, last access: 12 June 2025.
  - ACP Editor Guidelines: https://www.atmospheric-chemistry-and-physics.net/policies/guidelines\_for\_editors.html, last access: 12 June 2025.
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  - ACP Outstanding Referee Award: Atmospheric Chemistry and Physics, https://www.atmospheric-chemistry-and-physics.net/awards/outstanding-referee-awards.html, last access: 12 June 2025.
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# **Figures**

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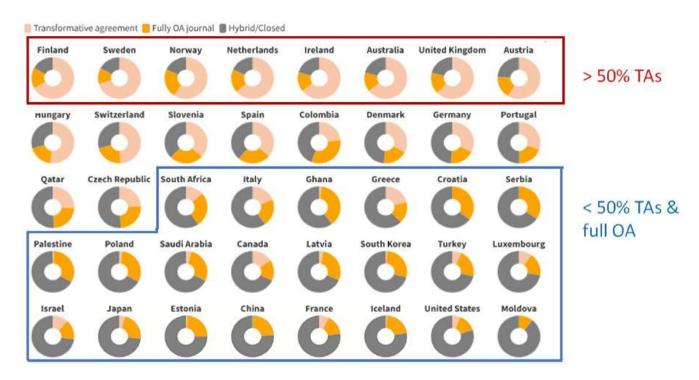


Figure S1. Article output per country by publication model (Dér, 2023)

**Table S1.** Manuscript types in the EGU journals. The journal acronyms in the first column are linked to the journal web pages with the definitions of the manuscript types.

Journal	Research papers	Review articles (Section 4.1.2)	Letters (Section 4.3.3)	Journal-specific manuscript types	Peer-reviewed comments
ANGEO	Regular papers	Review papers		ANGEO Communicates	Peer-reviewed comments
ACP	Research articles	Review article	ACP Letters	Measurement Reports, Opinions, Technical Notes, SI Overview articles	Commentaries
AMT	Research articles	Review articles			Peer-reviewed comments
BG	Research articles	Reviews and syn- theses	BG Letters	Ideas and perspectives, Technical notes, SI Overview articles	Peer-reviewed comments and replies
CP	Research articles	Review articles		Rapid communications, Opinions, Technical notes	Commentaries
ESurf	Research articles	Review articles	ESurf Letters	Short communication	Comments
ESD	Research articles	Reviews	ESD Letters	ESD ideas, Perspectives, Overview article, Editorials	Peer-reviewed comments
GChron	Research articles	Review articles		Short communication/technical notes	Comments
GC	Research articles	Review articles	GC Letters	GC insights, Introduction/Overview articles	
GI	Research articles	Review articles			Peer-reviewed comments
GMD		Reviews and per-		Model description papers, Development and	
		spective papers		technical papers, methods for assessment of models, model evaluation papers	
HESS	Research articles	Review articles		Opinion articles, Technical notes, Education and communication	Comments
NHESS	Research articles	Review articles		Brief communications, Invited perspectives, Book reviews	
NPG	Research articles	Review articles	NPG Letters	Brief communications, Book reviews	Comments & Replies
OS	Research articles	Review articles	OS Letters	Technical Notes	Peer-reviewed comments and replies
SE	Research articles	Review articles		Short communications, Method articles	Peer-reviewed comments
SOIL	Original research articles	Review articles	SOIL Letters	Short communications, Letters to the editors, Forum articles	Comments
TC	Research articles	Review articles		Brief communications, Invited perspective articles, Special Issue editorial, Book reviews	Comments
WCD	Research articles	Review articles		Meeting summaries, WCD ideas	Peer-reviewed comments

**Table S2.** Number of total author, referee, editor and community comments in EGU journals.

	Total comments		Proportion of	of commen	ts by	Referee comme	nts (Nov 2022 - 2023)
	since 2001	Authors	Referees	Editors	Community	eponymous	anonymous
All EGU journals	261,469	47%	46%	2%	5%	19%	81%
ACP	70,454	46%	49%	1%	4%	10%	90%
AMT	24,740	49%	47%	1%	3%	13%	87%
ANGEO	2,672	53%	42%	1%	4%	20%	80%
BG	32,326	48%	48%	1%	4%	18%	82%
CP	12,356	45%	43%	6%	6%	30%	70%
ESD	5,019	48%	43%	2%	7%	19%	81%
ESurf	3,333	43%	47%	6%	5%	37%	63%
GChron	1,070	49%	42%	3%	5%	63%	37%
GC	964	51%	41%	2%	7%	63%	37%
GI	1,947	44%	48%	2%	5%	20%	80%
GMD	20,102	46%	43%	5%	7%	20%	80%
HESS	33,241	48%	44%	3%	5%	17%	83%
NHESS	14,592	49%	45%	1%	5%	21%	79%
NPG	2,446	47%	46%	3%	3%	25%	75%
OS	8,105	47%	45%	3%	5%	14%	86%
SE	2,712	50%	41%	3%	5%	45%	55%
SOIL	6,821	48%	43%	4%	5%	20%	80%
TC	17,000	47%	46%	2%	5%	29%	71%
WCD	1,567	41%	52%	4%	3%	63%	37%

**Table S3.** Most highly commented papers in EGU journals (as of December 2024); # denotes the total number of comments by referees, authors, the handling and/or executive editor, and community members in the linked discussions.

Journal	#	Link to interactive discussion	Reference to paper	Article type		
ACP	110	https://acp.copernicus.org/articles/16/3761/2016/	Hansen et al. (2016)	Highlight		
		acp-16-3761-2016-discussion.html				
	33	https://acp.copernicus.org/articles/21/12909/2021/	Monks et al. (2021)	Opinion, Highlight		
		acp-21-12909-2021-discussion.html				
	33	https://acp.copernicus.org/preprints/	Makarieva et al. (2008)	Revised MS not ac-		
		acpd-2008-0250/		cepted for publication		
	27	https://acp.copernicus.org/articles/15/10263/2015/	Vali et al. (2015)	Technical Note		
		acp-15-10263-2015-discussion.html				
	27	https://acp.copernicus.org/articles/13/31/2013/	Lelieveld et al. (2013)	Peer reviewed comment		
		acp-13-31-2013-discussion.html				
	22	https://acp.copernicus.org/articles/14/317/2014/	Baklanov et al. (2014)	Review article		
		acp-14-317-2014-discussion.html				
	21	https://acp.copernicus.org/articles/12/2313/2012/	Stohl et al. (2012)	Research article		
		acp-12-2313-2012-discussion.html				
	20	https://acp.copernicus.org/articles/16/2285/2016/	Wang et al. (2016)	Research article		
		acp-16-2285-2016-discussion.html				
	20	https://acp.copernicus.org/articles/13/1039/2013/	Makarieva et al. (2013)	Research article		
		acp-13-1039-2013-discussion.html				
	20	https://acp.copernicus.org/articles/10/9059/2010/	Crowley et al. (2010)	Review article		
		acp-10-9059-2010-discussion.html				
AMT	18	https://amt.copernicus.org/articles/5/2095/2012/	Burns et al. (2012)	Research article		
		amt-5-2095-2012-discussion.html				
	18	https://amt.copernicus.org/articles/4/975/2011/	Dubovik et al. (2011)	Research article		
		amt-4-975-2011-discussion.html				
	17	https://amt.copernicus.org/articles/14/7199/2021/	Volz et al. (2021)	Research article		
		amt-14-7199-2021-discussion.html				
	17	https://amt.copernicus.org/articles/5/2081/2012/	Frankenberg et al. (2012)	Research article		
		amt-5-2081-2012-discussion.html				
	16	https://amt.copernicus.org/articles/16/727/2023/	Forgan et al. (2023)	Research article		
		amt-16-727-2023-discussion.html				
BG	18	https://bg.copernicus.org/articles/19/5707/2022/	Boonman et al. (2022)	Research article		
		bg-19-5707-2022-discussion.html				
	18	https://bg.copernicus.org/articles/19/1013/2022/	Björn (2022)	Peer reviewed comment		
		bg-19-1013-2022-discussion.html				
	18	https://bg.copernicus.org/articles/15/4955/2018/	Liu et al. (2018)	Research article		
		bg-15-4955-2018-discussion.html				
	16	https://bg.copernicus.org/articles/21/1/2024/	Zhang et al. (2024)	Research article		
		bg-21-1-2024-discussion.html				

	16	https://bg.copernicus.org/articles/14/3239/2017/bg-14-3239-2017-discussion.html	Axelsson and Hanan (2017)	Research article		
СР	27	https://cp.copernicus.org/articles/20/2587/2024/ cp-20-2587-2024-discussion.html	Kaufman and Masson- Delmotte (2024)	Opinion		
	20	https://cp.copernicus.org/articles/14/593/2018/ cp-14-593-2018-discussion.html	Kaufman and Specialissue editorial team (2018)	Technical Note, High- light		
	20	https://cp.copernicus.org/articles/7/603/2011/ cp-7-603-2011-discussion.html	Huber and Caballero (2011)	Research article		
	20	https://cp.copernicus.org/articles/3/591/2007/ cp-3-591-2007-discussion.html	Juckes et al. (2007)	Research article		
	17	https://cp.copernicus.org/articles/7/831/2011/ cp-7-831-2011-discussion.html	Dickens (2011)	Research article		
Esurf	19	https://esurf.copernicus.org/articles/9/1153/2021/ esurf-9-1153-2021-discussion.html	Willett et al. (2021)	Research article		
	17	https://esurf.copernicus.org/articles/8/123/2020/esurf-8-123-2020-discussion.html	Beeson and McCoy (2020)	Research article		
	12	https://esurf.copernicus.org/articles/11/681/2023/esurf-11-681-2023-discussion.html	Hosseiny et al. (2023)	Research article		
	12	https://esurf.copernicus.org/articles/8/1021/2020/esurf-8-1021-2020-discussion.html	Svennevig et al. (2020)	Research article, High- light		
	12	https://esurf.copernicus.org/articles/7/411/2019/esurf-7-411-2019-discussion.html	van Woerkom et al. (2019)	Research article		
ESD	27	https://esd.copernicus.org/articles/9/1155/2018/ esd-9-1155-2018-discussion.html	Sutton (2018)	ESD Ideas		
	25	https://esd.copernicus.org/articles/4/51/2013/esd-4-51-2013-discussion.html	Torre and Selicato (2013)	Research article		
	20	https://esd.copernicus.org/articles/7/597/2016/ esd-7-597-2016-discussion.html	Rypdal and Rypdal (2016)	Peer reviewed comment		
	20	https://esd.copernicus.org/articles/3/1/2012/ esd-3-1-2012-discussion.html	Garrett (2012)	Research article		
	19	https://esd.copernicus.org/articles/13/1145/2022/esd-13-1145-2022-discussion.html	López-Corona et al. (2022)	ESD ideas		
GChron	14	https://gchron.copernicus.org/articles/3/433/2021/gchron-3-433-2021-discussion.html	Ketcham and Tamer (2021)	Research article		
	13	https://gchron.copernicus.org/articles/4/373/2022/gchron-4-373-2022-discussion.html	Issler et al. (2022)	Research article		
	11	https://gchron.copernicus.org/articles/6/409/2024/gchron-6-409-2024-discussion.html	Prince et al. (2024)	Research article		
	11	https://gchron.copernicus.org/articles/6/107/2024/gchron-6-107-2024-discussion.html	Trayler et al. (2024)	Research article		
	11	https://gchron.copernicus.org/articles/6/89/2024/gchron-6-89-2024-discussion.html	Donaghy et al. (2024)	Research article, High- light		

GC	15	https://gc.copernicus.org/articles/1/25/2018/ gc-1-25-2018-discussion.html	Beggan and Marple (2018)	Research article, High- light
	14	https://gc.copernicus.org/articles/4/1/2021/ gc-4-1-2021-discussion.html	Negrete (2021)	Research article
	12	https://gc.copernicus.org/articles/4/147/2021/ gc-4-147-2021-discussion.html	Archer et al. (2021)	Research article
	12	https://gc.copernicus.org/preprints/gc-2024-5/	Turton et al. (2024)	Research article
	10	https://gc.copernicus.org/articles/5/275/2022/ gc-5-275-2022-discussion.html	Hall et al. (2022)	GC Insights, Highlight
GI	17	https://gi.copernicus.org/articles/7/235/2018/ gi-7-235-2018-discussion.html	Lin et al. (2018)	Research article
	12	https://gi.copernicus.org/articles/10/183/2021/ gi-10-183-2021-discussion.html	Bociarska et al. (2021)	Research article
	12	https://gi.copernicus.org/articles/6/193/2017/ gi-6-193-2017-discussion.html	Singh and Singh (2017)	Research article
	10	https://gi.copernicus.org/articles/13/75/2024/ gi-13-75-2024-discussion.html	Lu et al. (2024)	Research article
	10	https://gi.copernicus.org/articles/12/71/2023/ gi-12-71-2023-discussion.html	Yamauchi and Brändström (2023)	Research article
GMD	27	https://gmd.copernicus.org/articles/17/7751/2024/gmd-17-7751-2024-discussion.html	Swatridge et al. (2024)	Model experiment description paper
	22	https://gmd.copernicus.org/articles/9/1937/2016/ gmd-9-1937-2016-discussion.html	Eyring et al. (2016)	Model experiment description paper
	19	https://gmd.copernicus.org/articles/16/2277/2023/gmd-16-2277-2023-discussion.html	Gardner et al. (2023)	Model description paper
	18	https://gmd.copernicus.org/articles/7/1945/2014/gmd-7-1945-2014-discussion.html	Parkinson et al. (2014)	Development and technical paper
	16	https://gmd.copernicus.org/preprints/gmd-2024-58/	Oldford et al. (2024)	Model description paper
HESS	31	https://hess.copernicus.org/articles/26/5373/2022/hess-26-5373-2022-discussion.html	Sadri et al. (2022)	Research article
	26	https://hess.copernicus.org/articles/28/4187/2024/hess-28-4187-2024-discussion.html	Kratzert et al. (2024)	HESS Opinions
	24	https://hess.copernicus.org/articles/17/4389/2013/hess-17-4389-2013-discussion.html	Wetterhall et al. (2013)	HESS Opinions
	24	https://hess.copernicus.org/articles/11/1013/2007/hess-11-1013-2007-discussion.html	Makarieva and Gorshkov (2007)	Research article
	20	https://hess.copernicus.org/articles/22/3965/2018/hess-22-3965-2018-discussion.html	Sprenger et al. (2018)	Research article
	20	https://hess.copernicus.org/articles/22/581/2018/hess-22-581-2018-discussion.html	Tarigan et al. (2018)	Research article, High- light
	20	https://hess.copernicus.org/articles/13/1299/2009/	Meesters et al. (2009)	Peer reviewed comment

	19	https://hess.copernicus.org/articles/21/879/2017/hess-21-879-2017-discussion.html	Roy et al. (2017)	Research article
	19	https://hess.copernicus.org/articles/19/4257/2015/ hess-19-4257-2015-discussion.html	Fox et al. (2015)	Research article
	19	https://hess.copernicus.org/articles/13/491/2009/	Viglizzo et al. (2009)	no revised MS submitted
NHESS	22	https://nhess.copernicus.org/articles/21/363/2021/ nhess-21-363-2021-discussion.html	Tylkowski et al. (2021)	Research article
	20	https://nhess.copernicus.org/articles/15/1873/2015/nhess-15-1873-2015-discussion.html	Scoville et al. (2015)	Research article
	19	https://nhess.copernicus.org/articles/22/2201/2022/nhess-22-2201-2022-discussion.html	Blauhut et al. (2022)	Research article, High- light
	18	https://nhess.copernicus.org/articles/24/397/2024/ nhess-24-397-2024-discussion.html	Inan et al. (2024)	Research article
	16	https://nhess.copernicus.org/preprints/ nhess-2024-102/	Titos et al. (2024)	Research article
NPG	14	https://npg.copernicus.org/articles/22/53/2015/ npg-22-53-2015-discussion.html	Adib et al. (2015)	Research article
	13	https://npg.copernicus.org/articles/25/497/2018/ npg-25-497-2018-discussion.html	Matcharashvili et al. (2018)	Research article
	12	https://npg.copernicus.org/preprints/npg-2024-11/	Samuelsberg and Jakobsen (2024)	Research article
	12	https://npg.copernicus.org/preprints/npg-2024-9/	Guarnieri et al. (2024)	Research article
	10	https://npg.copernicus.org/articles/30/63/2023/ npg-30-63-2023-discussion.html	Deser and Phillips (2023)	Research article, High- light
OS	18	https://os.copernicus.org/articles/14/1085/2018/ os-14-1085-2018-discussion.html	Tripathy et al. (2018)	Research article
	17	https://os.copernicus.org/articles/6/461/2010/ os-6-461-2010-discussion.html	Miladinova and Stips (2010)	Research article
	15	https://os.copernicus.org/articles/16/997/2020/ os-16-997-2020-discussion.html	Ezer and Dangendorf (2020)	Research article
	14	https://os.copernicus.org/articles/7/793/2011/ os-7-793-2011-discussion.html	Kordzadze and Demetrashvili (2011)	Research article
	13	https://os.copernicus.org/articles/20/1457/2024/ os-20-1457-2024-discussion.html	Hagemann et al. (2024)	Research article
SE	40	https://se.copernicus.org/preprints/se-2015-134/	Smythe (2016)	no revised MS submitted
	20	https://se.copernicus.org/articles/14/1169/2023/se-14-1169-2023-discussion.html	Cao et al. (2023)	Method article
	18	https://se.copernicus.org/articles/11/2047/2020/se-11-2047-2020-discussion.html	Sizov et al. (2020)	Research article
	18	https://se.copernicus.org/articles/9/669/2018/ se-9-669-2018-discussion.html	Shamilishvily et al. (2018)	Research article

	15	https://se.copernicus.org/articles/15/617/2024/se-15-617-2024-discussion.html	Frasson et al. (2024)	Research article
SOIL	21	https://soil.copernicus.org/articles/8/655/2022/ soil-8-655-2022-discussion.html	Aldaz-Lusarreta et al. (2022)	Research article
	19	https://soil.copernicus.org/articles/1/131/2015/ soil-1-131-2015-discussion.html	Bochet (2015)	Review article, High- light
	17	https://soil.copernicus.org/articles/10/887/2024/soil-10-887-2024-discussion.html	Namwanyi et al. (2024)	Research article
	17	https://soil.copernicus.org/articles/10/189/2024/soil-10-189-2024-discussion.html	Ali et al. (2024)	Research article
	16	https://soil.copernicus.org/articles/2/523/2016/ soil-2-523-2016-discussion.html	Thompson et al. (2016)	Research article
TC	20	https://tc.copernicus.org/articles/17/3251/2023/ tc-17-3251-2023-discussion.html	Brun et al. (2023)	Research article
	19	https://tc.copernicus.org/articles/5/341/2011/ tc-5-341-2011-discussion.html	Mernild et al. (2011)	Research article
	18	https://tc.copernicus.org/articles/15/5473/2021/tc-15-5473-2021-discussion.html	Chen et al. (2021)	Research article
	15	https://tc.copernicus.org/articles/13/1187/2019/tc-13-1187-2019-discussion.html	SSallila et al. (2019)	Research article
	14	https://tc.copernicus.org/articles/7/1769/2013/ tc-7-1769-2013-discussion.html	Wagnon et al. (2013)	Research article
WCD	10	https://wcd.copernicus.org/articles/2/181/2021/ wcd-2-181-2021-discussion.html	Strandberg and Lind (2021)	Research article
	9	https://wcd.copernicus.org/articles/4/511/2023/wcd-4-511-2023-discussion.html	Worou et al. (2023)	Research article
	9	https://wcd.copernicus.org/articles/4/1087/2023/wcd-4-1087-2023-discussion.html	Boljka et al. (2023)	Research article
	9	https://wcd.copernicus.org/articles/3/777/2022/wcd-3-777-2022-discussion.html	Boljka et al. (2023)	Research article, High- light
	9	https://wcd.copernicus.org/articles/2/311/2021/ wcd-2-311-2021-discussion.html	White and Aiyyer (2021)	Research article, High- light

Table S4. Number of highlight articles in EGU journals (editor's choice, letters and journal-specific manuscript types, 2024

Journal	Total published articles	Highight articles	Percentage
All EGU journals	3152	161	5.1%
ACP	673	21	3.1%
AMT	361	16	4.4%
ANGEO	31	2	6.5%
BG	274	24	8.8%
CP	129	10	7.8%
Esurf	66	9	13.6%
ESD	77	22	28.6%
Gchron	37	2	5.4%
Gchron	19	4	21.1%
GI	26	0	0.0%
GMD	384	7	1.8%
HESS	255	9	3.5%
NHESS	228	14	6.1%
NPG	37	5	13.5%
OS	82	2	2.4%
SE	70	0	0.0%
SOIL	50	4	8.0%
TC	284	8	2.8%
WCD	69	2	2.9%

**Table S5.** Number of special issues in the EGU journals. Numbers in brackets denote the interjournal special issues (Figure 14)

Year	ANGEO	ACP	AMT	BG	CP	ESurf	ESD	GChron	GC	GI
2002		2								
2003		3								
2004		8		1						
2005		11		3						
2006		3		7	4					
2007		11		7	1					
2008		8 (1)	1(1)	3	3					
2009		12(1)	1 (0)	8	2					
2010		11(1)	4(1)	6	3					
2011		15 (4)	6 (2)	8 (2)	0		1 (0)			
2012		14 (7)	7 (5)	9 (3)	8 (1)		2(0)			1 (0)
2013		9 (8)	9 (8)	13 (2)	5 (2)	1(0)	3 (2)			1 (0)
2014		17 (14)	12 (10)	8 (2)	1(0)	2(0)	0			0
2015		16 (9)	8 (7)	10(3)	4(0)	1(0)	3 (0)			2(1)
2016		10(7)	5 (5)	5 (3)	7 (4)	1(1)	1(1)			0
2017		6 (6)	9 (5)	7(1)	1(1)	2 (0)	5 (1)			1(1)
2018	1	14 (12)	13 (9)	5 (3)	4(1)	1(1)	3 (2)			0
2019	4	7 (6)	5 (5)	1(1)	1(1)	0	0	1 (0)		0
2020	1	16 (15)	11 (11)	6 (5)	3 (0)	0	5 (4)	0	1(0)	0
2021	1	8 (4)	3 (3)	5 (4)	1(1)	0	1 (0)	0	2(1)	0
2022	0	5 (4)	3 (3)	1 (0)	0	0	0	0	1 (0)	0
2023	1	6 (5)	6 (3)	8 (3)	4(2)	2(1)	4(1)	0	1(1)	0
2024	2	3 (3)	1(1)	2(1)	1(1)	1 (0)	3 (2)	1(0)	2(1)	1 (0)
	GMD	HESS	NHESS	NPG	OS	SE	SOIL	TC	WCD	
2002		1 (0)		3 (0)						
2003		4(0)		4(0)						
2004		5 (0)		8 (0)						
2005		2(0)		3 (0)						
2006		4(0)		3 (0)	1					
2007		5 (0)		3 (0)	0					
2008	1 (0)	3 (0)		5 (0)	2(0)					
2009	0	5 (0)		1(0)	3 (1)					
2010	2(1)	8 (0)		5 (0)	0			3 (0)		
2011	3 (0)	6 (0)		5 (0)	5 (3)	2(0)		2(1)		
2012	3 (0)	6 (0)		3 (0)	2(1)	1 (0)		1(1)		
2013	8 (4)	6 (2)	9(1)	5 (0)	3 (2)	2(0)		3 (2)		
2014	6 (3)	5 (0)	4(0)	4(0)	2(2)	3 (0)	1 (0)	0		
2015	10 (3)	4(2)	6(1)	0	3 (1)	0	2(0)	2(2)		
2016	4 (4)	11 (1)	3 (1)	3 (0)	3 (2)	3 (1)	2(0)	5 (2)		
2017	2 (0)	6 (2)	7 (2)	3 (0)	0	2(1)	0	0		
2018	3 (3)	3 (2)	4(0)	2(1)	5 (2)	3 (2)	3 (2)	1 (0)		
2019	4(1)	2(0)	3 (0)	2(0)	2(2)	2(0)	0	0	1(1)	
2020	6 (5)	7 (3)	10(3)	0	3 (3)	9 (1)	1 (0)	1(1)	1(1)	
2021	5 (3)	5 (1)	8 (0)	2(1)	4(2)	3 (1)	1 (0)	1(1)	0	
2022	1(1)	3 (0)	5 (1)	0	1(1)	2 (0)	0	0	2(2)	
2023	4 (4)	4(2)	6(1)	1(0)	4(1)	0	1 (0)	3 (3)	0	
2024	5 (3)	2(1)	5 (1)	4(0)	4(0)	1 (0)	1 (0)	3 (1)	2(2)	

**Table S6.** Open access article processing charges (June 2025) by various publishers and learned societies. Fees for regular research articles are listed.

Publisher/Society & Journals (examples)	APC	OA option
Wiley, APCs 2025		
Journal of Geophysical Research, Reviews of Geophysics	\$4070	Hybrid
AGU Advances	\$3460	Full OA
Geophysical Research Letters	\$3190	Full OA
Journal of Advances in Modeling Earth Systems (JAMES)	\$2650	Full OA
Elsevier, APCs 2025		
Atmospheric Environment	2720 €	Hybrid
Atmospheric Environment X	1740 €	Full OA
Atmospheric Research	3100 €	Hybrid
Springer, Full OA 2025, Hybrid		
Nature Communications	5890 €	Full OA
npj Climate and Atmospheric Science	3090 €	Full OA
Nature (Climate Change, Geoscience,)	10,690 €	Hybrid
Scientific Reports	2390 €	Full OA
Taylor & Francis, Open Access Cost Finder 2025		1~~~~
Atmosphere-Ocean	3170 €	Hybrid
Environmental Hazards	3840 €	Hybrid
Hydrological Sciences Journal	3505 €	Hybrid
MDPI, Journal-specific APCs		· ~~~
Atmosphere	CHF 2400	Full OA
Hydrology	CHF 1800	Full OA
Oceans	CHF 1600	Full OA
Soil Systems	CHF 1000	Full OA
Frontiers, Journal-group-specific APCs		
Journals in fields that have lower research budgets	CHF 1175	Full OA
Journals in fields that support open access	CHF 1950	Full OA
Mature and impactful journals that are well-established and in fields that support open access	CHF 2550	Full OA
Sustainable and impactful journals in fields that support open access	CHF 3150	Full OA
EGU/Copernicus, Table 3 in main text	1,0000000	1 700000
ACP, AMT, BG, ESD, ESurf, GChron, HESS, NHESS, OS, SE, SOIL, TC	1800 €	Full OA
ANGEO, GC, GI, NPG, WCD	1350 €	Full OA
CP, GMD	1980 €	Full OA
PLoS, PLoS Publication Fees		
PLOS One	\$1046	Full OA
PLOS Climate	\$2496	Full OA
eLife Sciences Publications, Publication Fees, 2024		1 ~~~~
eLife	\$2500	Full OA
American Association for the Advancement of Science (AAAS), APCs 2025		
Science Advances	\$5450	Full OA
National Academy of Sciences USA, APCs 2025		
Proceedings of the National Academy of Sciences (PNAS)	\$5495	Immediate full OA
American Chemical Society (ACS), Journal APCs 2025		
Full OA	\$3500	
Hybrid	\$4500	
Royal Society of Chemistry (RSC), APCs 2025		1
Environmental Science: Atmospheres	2100 £	Full OA
All Hybrid journals	3000 €	
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# S1 ACP guidelines for editors

https://www.atmospheric-chemistry-and-physics.net/policies/guidelines\_for\_editors.html last access: 2025-01-19

Version: January 2024

#### S1.1 General guidelines

- Handle at least 6 manuscripts per year and help to achieve short manuscript processing times as well as a fairly even distribution of editorial workload.
- Please respond to the editor calls and also consider handling submissions outside your personal subject areas.
- You can view the list of unassigned manuscripts at <a href="https://editor.copernicus.org/ACP/my\_manuscript\_overview">https://editor.copernicus.org/ACP/my\_manuscript\_overview</a> (also sent regularly to editors).
- Please remember to indicate your absence time at https://administrator.copernicus.org/define\_absence.

### S1.2 Manuscript handling

#### S1.2.1 Agreement to handle manuscripts

All preprints under review for ACP are posted on EGUsphere and receive an egusphere doi. They undergo the review for ACP, applying the journal review criteria.

If you have any questions about ACP's manuscript handling procedures, our Senior and Executive editors are always happy to help. See editorial board or send an email to (mailto:acp-executive-editors@copernicus.org

- Please react promptly to editor assignments by the Executive or Senior editors for manuscripts that were not picked up
  in the regular editor calls.
- Do not accept requests from authors to handle their manuscript. The Executive or Senior editors will make a decision on how to proceed when it will not be picked up during the regular editor calls.

#### S1.2.2 Initial decision

"Initial decision" means the editor's decision whether to proceed with peer review in ACP, including whether the manuscript type is appropriate.

- Your initial decision is anonymous during the access review. Your name is not revealed to the authors in the automatic
  notification emails about editor assignment and rejection before/after quick reports. Editor names are disclosed to authors
  only upon approval of a manuscript for peer review.
- Quick reports or "access reviews" from referees can be used to help guide your decision whether to proceed to peer review. These slow down the overall handling of manuscripts, so should be used only when necessary (e.g., if the manuscript is at the edge of your expertise.)
- The fit to the journal scope should be assessed entirely by the handling editor, not by the referees. See http://www.atmospheric-chemistry-and-physics.net/about/aims\_and\_scope.html. Manuscripts outside the scope should be rejected prior to public review and discussion. Articles with a local focus must clearly explain how the results extend and compare with current knowledge. After this stage, it is normally not appropriate to reject a manuscript for being out of scope.

- Recategorization of Research Articles into Measurement Reports or Technical Notes should be considered. See http://www.atmospheric-chemistry-and-physics.net/about/manuscript\_types.html; as part of your initial decision, you have the option to confirm the initial manuscript type or change it using the dropdown list.
- Resubmission to an alternative EGU journal (e.g., AMT, GMD) can be recommended by rejecting the manuscript as being out of scope and selecting the alternative journal from the drop-down list. The authors will receive a corresponding link in the email informing about 'rejection, out-of-scope'.
- Check compliance with the guidelines for authors regarding the title, abstract, and concluding section.
- Adherence to the EGU data policy must be checked to ensure that the data presented are openly accessible in accordance with Copernicus' data policy and fulfil the requirements as detailed in the manuscript types. In Measurement Reports, data availability statements such as 'upon request from the authors' are not acceptable.
- If you handle a manuscript submitted to a special issue, please check whether it is in the scope of the SI: if you are unsure, please contact the SI coordinator(s).
- The short summary should be checked to ensure that it is immediately publishable and provides an accurate, accessible, and non-technical summary of the article.
- The similarity report should be checked, but do not base your decision on the similarity index alone. In case of a high index, carefully check the actual overlap with the sources listed in the similarity report. Reasonable self-referencing in the introduction and method sections should be regarded as less critical than extensive similarities in the results, discussion and/or conclusions sections. Note that theses and documents are not peer-reviewed publications and, thus, similarity to documents by the same authors should not be considered plagiarized. If you notice high similarity to a preprint posted on another preprint server, let the authors know that double preprinting is not permitted. Contact the editorial support team to initiate linking of the external preprint to EGUsphere.

#### S1.2.3 Review process

- Remind the referees of the manuscript type specific guidelines in the personal message during referee nomination. This
  refers to all but regular Research Articles (refer referees to https://www.atmospheric-chemistry-and-physics.net/about/
  manuscript\_types.html).
- Ensure that at least two referees post their comments in the interactive public discussion of every preprint. If necessary, please complement automated emails by personal emails.
- You may ask the authors for suitable referee suggestions if necessary. In case of significant delays in the review process
  or other rare and unusual cases, you may substitute a referee report by an editor comment or make a decision based on a
  single report if appropriate.
- Consider further review rounds to evaluate revised manuscripts only if necessary. Usually, the recommendation of minor revisions does not require contacting the same referee again.
- Ensure that authors post their response to all relevant referee and public comments in the interactive discussion before considering a revised manuscript for publication in ACP.
- Outstanding referee nominations recognizing particularly helpful and constructive contributions during a review process
  can be made by sending an email to the Executive/Senior Editors with the name of the referee, manuscript number, and
  brief justification. Nominations will be considered for the annual ACP outstanding reviewer award.

– Mail archive: Please cc editor@mailarchive.copernicus.org and add the manuscript number in the subject line in all personal emails to referees, authors, and the editorial support team. This way, the full correspondence regarding a paper is accessible for Copernicus and the executive/senior editors. These emails will be deleted some time after the final status of the paper.

#### S1.2.4 Final decision

- Enforce high quality standards for final revised papers in ACP by iteration of review and revision or rejection of deficient manuscripts. See the criteria at <a href="http://www.atmospheric-chemistry-and-physics.net/peer\_review/review\_criteria.html">http://www.atmospheric-chemistry-and-physics.net/peer\_review/review\_criteria.html</a>.
   Posting and public review of a preprint does not guarantee acceptance for ACP: As is the case with other preprint repositories, submitted preprints will remain permanently accessible on the EGU Preprint Repository, EGUsphere. Upon rejection by an ACP editor, any relation to ACP (both in EGUsphere and in ACP) is removed, therefore rejection does not prevent resubmission to an alternative journal.
- Substantial and scientifically useful but non-public exchange between authors and referees or other commentators can
  be published as an editor comment (provided that all involved parties agree to the publication). See for example:
   https://acp.copernicus.org/preprints/acpd-2008-0250/

https://acp.copernicus.org/preprints/8/S12406/2009/acpd-8-S12406-2009.pdf https://acp.copernicus.org/preprints/8/S12426/2009/acpd-8-S12426-2009.pdf

- Nominate a paper as a Highlight Article, if appropriate, by selecting this option during the final decision phase. Please
  write a short justification of why the article meets the criteria (see <a href="https://www.atmospheric-chemistry-and-physics.net/about/manuscript\_types.html">https://www.atmospheric-chemistry-and-physics.net/about/manuscript\_types.html</a>). Highlight articles are accompanied by an executive editor statement, which will be based
  on your written justification.
- If you decide to accept a critically reviewed manuscript, please post an Editor Comment in the discussion. In such cases, you should also write a personal email to the critical referees, briefly explaining your decision and thanking them for their effort. Otherwise, referees might get the impression that their input is not valued. When the majority of referees were very critical and after extensive discussions, the editor should normally conclude with a public editor comment.

#### S1.2.5 Further information on interactive open access publishing

Further general guidelines and background information are given on the journal web pages

http://www.atmospheric-chemistry-and-physics.net/for\_reviewers/obligations\_for\_editors.html

http://www.atmospheric-chemistry-and-physics.net/about/publication ethics.html

http://www.atmospheric-chemistry-and-physics.net/about/general\_terms.html

and on EGUsphere

https://www.egusphere.net/preprints/.

# S2 ACP guidelines for authors

https://www.atmospheric-chemistry-and-physics.net/policies/guidelines for authors.html last access: 2025-01-19

Version: September 2023

ACP allows some flexibility in the structure of articles. Nevertheless, we ask all authors to adhere to the following guidelines

for particular components of the article. To be considered for publication, all articles must convincingly demonstrate important implications for our understanding of the state and behaviour of the atmosphere and climate, in particular with appropriate text in the concluding section.

#### S2.1 Article title

Titles should be concise and consistent with the content and purpose of the article. For research articles, ACP prefers titles that highlight the scientific results/findings or implications of the study. Examples of preferred result- and implication-based titles:

Observed relationship between aerosol emissions and cloud albedo over the Atlantic (neutral)

- Increases in aerosol enhance cloud albedo over the Atlantic (definite)
- Increases in aerosol since 2010 enhanced global mean cloud albedo by 20% (quantitative)
- Aerosol-cloud brightening and the implications for climate sensitivity (neutral)
- Recent changes in aerosol-cloud brightening imply reduced climate sensitivity (definite)

Examples of less-preferred titles that highlight only the topic or method are given below. Authors may be asked to convert articles with a methodological title to a Technical Note:

- An exploration of the effect of aerosol on cloud properties
- Machine learning to understand aerosol effects on clouds
- Aerosol effects on clouds in the European climate model

#### S2.2 Abstract

Abstracts should have fewer than 250 words and provide a concise and accessible summary of the purpose, results, and implications of the research. ACP expects that abstracts will normally include the following components:

- 1. The topic of the article and why it is important;
- 2. The status of scientific understanding;
- 3. The gap in knowledge being addressed;
- 4. The objectives, questions, or hypotheses of the study;
- 5. The approach such as modelling, measurements, machine learning, etc.;
- 6. The main results with important quantitative information, if appropriate;
- 7. The importance and implications of the results.

An example abstract based on this structure is given below (numbers not to be included). Any of the components can be expanded as appropriate while keeping a balance of all components:

1) Aerosol radiative forcing of climate is one of the largest uncertainties in historical climate change, and this uncertainty affects future climate projections. 2) Previous modelling studies have shown that the forcing depends almost entirely on the magnitude of anthropogenic emissions. 3) However, this result fails to explain why similar emissions cause different forcings in the 1980s compared to the present day. 4) Here, we aim to explain the cause of this difference by accounting for the effects

of temperature on aerosol processes. 5) We use a global climate model driven by the latest emission dataset and constrained by global satellite measurements of aerosol optical depth. 6) The results show that an increase in global mean temperature of 1 K causes a reduction in aerosol lifetime in the atmosphere of 30% due to an increased removal rate by precipitation. 7) This sensitivity means that future changes in climate are unlikely to respond linearly to changes in aerosol emissions.

#### S2.3 Concluding section

Every article must have a final section where the overall advances are concisely summarized and put in context. Although the results section may include some discussion, a synthesis and interpretation must appear in the final section. ACP expects that the concluding section will normally include the following components, although not necessarily in separate paragraphs:

- **Summary**: Summarize the main results and relate them to the objectives, questions, or hypotheses of the study. The summary should include the main quantitative results.
- Synthesis/interpretation: Explain and interpret the results concisely to enable readers to make sense of them as a whole.
- Comparison and context: Compare the results with previous studies to put them in context. Explain consistencies, inconsistencies, and advances in knowledge.
- Caveats and limitations: State how these affect confidence in the overall results, and where future work is needed.
- Implications: Discuss what the results mean for our understanding of the state and/or behaviour of the atmosphere
  and climate, which is the main requirement for publication in ACP. The editor's acceptance/rejection decision will be
  strongly guided by this component of the concluding section.

# S3 ACP Senior Editor Job Description

Version: May 2021

ACP Senior Editors are distinguished and experienced members of the ACP editorial board. They are selected and appointed by the Executive Editors based on their outstanding commitment and excellence in their editorial work and handling of manuscripts. Each Senior Editor serves as subject area coordinator for one or more of the eight ACP subject areas according to their scientific expertise and core interests. <a href="https://www.atmospheric-chemistry-and-physics.net/about/subject\_areas.html">https://www.atmospheric-chemistry-and-physics.net/about/subject\_areas.html</a>.

The names of Senior Editors and their subject areas of coordination will be highlighted on the ACP editorial board page (with the Executive Editors): <a href="https://www.atmospheric-chemistry-and-physics.net/editorial\_board.html">https://www.atmospheric-chemistry-and-physics.net/editorial\_board.html</a> . The Senior Editors take responsibility for the editorial coordination of their subject area(s) and carry out the following tasks in collaboration and exchange with the Executive Editors:

- Be a point of contact for editors within the subject area to provide guidance and feedback
- Handle ACP Letters and Opinions or assign appropriate editors
- Solicit high profile articles, in particular Opinions and Review Articles
- Invite or provide public comments on ACP Letters, Opinions, or other articles
- Approve or initiate the highlighting of outstanding papers in the EGU highlight article selection and in the EGU Encyclopedia of Geosciences
- Approve special issue proposals

- Oversee and shape the editorial board within the subject area to maintain high editorial standards
- Nominate candidates for outstanding editor and reviewer awards
- Assign editors to unassigned manuscripts or reject/recategorize them

The tasks may be adjusted and shared with the Executive Editors as needed. The appointment as ACP Senior Editor is for two years, renewable upon mutual agreement with the Executive Editors.

## S4 Copernicus data policy

https://publications.copernicus.org/services/data\_policy.html last access: 2025-01-19

#### S4.1 Data policy for all EGU/Copernicus journals

The output of research is not only journal articles but also data sets, model code, samples, etc. Only the entire network of interconnected information can guarantee integrity, transparency, reuse, and reproducibility of scientific findings. Moreover, all of these resources provide great additional value in their own right. Hence, it is particularly important that data and other information underpinning the research findings are "findable, accessible, interoperable, and reusable" (FAIR Principles (2016), Wilkinson et al. (2016)) not only for humans but also for machines.

Therefore, Copernicus Publications requests depositing data that correspond to journal articles in reliable (public) data repositories, assigning digital object identifiers, and properly citing data sets as individual contributions. Please find your appropriate data repository in the registry for research data repositories: *re3data.org* (last access: 2025-01-19). A data citation in a publication resembles a bibliographic citation and needs to be included in the publication's reference list. To foster the accessibility as well as the proper citation of data, Copernicus Publications requires all authors to provide a statement on the availability of underlying data as the last paragraph of each article (see section data availability). In addition, data sets, model code, video supplements, video abstracts, International Geo Sample Numbers, and other digital assets should be linked to the article through DOIs in the assets tab. With *Earth System Science Data (ESSD)* Copernicus Publications provides a journal dedicated to the publication of data papers, including peer review of data sets. Authors should consider submitting a data paper to ESSD in addition to their research paper in another journal published by Copernicus Publications.

Best practice following the *Joint Declaration of Data Citation Principles* initiated by FORCE 11 (Martone, 2014):

#### S4.1.1 Preamble

Sound, reproducible scholarship rests upon a foundation of robust, accessible data. For this to be so in practice as well as theory, data must be accorded due importance in the practice of scholarship and in the enduring scholarly record. In other words, data should be considered legitimate, citable products of research. Data citation, like the citation of other evidence and sources, is good research practice and is part of the scholarly ecosystem supporting data reuse. In support of this assertion, and to encourage good practice, we offer a set of guiding principles for data within scholarly literature, another dataset, or any other research object.

#### S4.1.2 Principles

The Data Citation Principles cover purpose, function and attributes of citations. These principles recognize the dual necessity of creating citation practices that are both human understandable and machine-actionable. These citation principles are not

comprehensive recommendations for data stewardship. And, as practices vary across communities and technologies will evolve over time, we do not include recommendations for specific implementations, but encourage communities to develop practices and tools that embody these principles.

The principles are grouped so as to facilitate understanding, rather than according to any perceived criteria of importance.

- **1. Importance:** Data should be considered legitimate, citable products of research. Data citations should be accorded the same importance in the scholarly record as citations of other research objects, such as publications.
- **2. Credit and attribution:** Data citations should facilitate giving scholarly credit and normative and legal attribution to all contributors to the data, recognizing that a single style or mechanism of attribution may not be applicable to all data.
- **3. Evidence:** In scholarly literature, whenever and wherever a claim relies upon data, the corresponding data should be cited.
- **4. Unique identification:** A data citation should include a persistent method for identification that is machine actionable, globally unique, and widely used by a community.
- **5.** Access: Data citations should facilitate access to the data themselves and to such associated metadata, documentation, code, and other materials, as are necessary for both humans and machines to make informed use of the referenced data.
- **6. Persistence:** Unique identifiers, and metadata describing the data, and its disposition, should persist even beyond the lifespan of the data they describe.
- 7. Specificity and verifiability: Data citations should facilitate identification of, access to, and verification of the specific data that support a claim. Citations or citation metadata should include information about provenance and fixity sufficient to facilitate verifying that the specific timeslice, version and/or granular portion of data retrieved subsequently is the same as was originally cited.
- **8. Interoperability and flexibility:** Data citation methods should be sufficiently flexible to accommodate the variant practices among communities, but should not differ so much that they compromise interoperability of data citation practices across communities.

#### S4.1.3 COPDESS

In addition to promoting these data citation principles, Copernicus Publications is a signatory of the *Commitment statement by the Coalition on Publishing Data in the Earth and Space Sciences (COPDESS) (2014)* and the *Enabling FAIR data Commitment Statement in the Earth, Space, and Environmental Sciences (2018).* 

#### S4.1.4 Statement on the availability of underlying data

Authors are required to provide a statement on how their underlying research data can be accessed. This must be placed as the section "Data availability" at the end of the manuscript. Please see the manuscript preparation guidelines for authors for the correct sequence. The best way to provide access to data is by depositing them (as well as related metadata) in FAIR-aligned reliable public data repositories, assigning digital object identifiers, and properly citing data sets as individual contributions. If different data sets are deposited in different repositories, this needs to be indicated in the data availability section. If data from a third party were used, this needs to be explained (including a reference to these data). Data Cite recommends the following elements for a data citation:

creators:

title, publisher/repository, identifier, publication year (e.g. Loew, A., Bennartz, R., Fell, F., Lattanzio, A., Doutriaux-Boucher, M., and Schulz, J.: Surface Albedo Validation Sites, EUMETSAT [data set], http://dx.doi.org/10.15770/EUM\_SEC\_CLM\_1001,2015).

If the data are not publicly accessible at the time of final publication, the data statement should describe where and when they will appear, and provide information on how readers can obtain the data until then. Nevertheless, authors should make such embargoed data available to reviewers during the review process in order to foster reproducibility. The Copernicus review system allows to define such assets as 'access limited to reviewers' and reviewers must then sign that they will use such data only for the purpose of reviewing without making copies, sharing, or reusing.

In rare cases where the data cannot be deposited publicly (e.g., because of commercial constraints), a detailed explanation of why this is the case is required. The data needed to replicate figures in a paper should in any case be publicly available, either in a public database (strongly recommended), or in a supplement to the paper.

#### S4.1.5 Other underlying material

Data do not comprise the only information which is important in the context of reproducibility. Therefore, Copernicus Publications encourages authors to also deposit software, algorithms, model code, video supplements, video abstracts, International Geo Sample Numbers, and other underlying material on suitable FAIR-aligned repositories/archives whenever possible. These materials should be referenced in the article and cited via a persistent identifier such as a DOI. With regard to software citation, please refer to the FORCE11 Software Citation Principles (Smith et al., 2016).

#### S4.2 Geoscientific Model Development (GMD) code and data policy

https://www.geoscientific-model-development.net/policies/code and data policy.html last access: 2025-01-19

The GMD code and data policy is fully compliant with the Copernicus data policy. Here we explain in particular the requirements in the context of GMD's focus on code and data directly related to numerical model development. In the policy document, code refers to computer instructions and algorithms made available as plain text. Here, data refers to any other information that is found outside of the main body of the manuscript and is required to either fully appreciate or reproduce the results presented in the manuscript.

#### S4.2.1 Core principles

Every paper must include a section at the end of the paper before the "Acknowledgements" entitled "Code and data availability". This section must include citations for the persistent public archives of the precise versions of all of the code and data associated with the paper. The generic means to access other versions of the code and data as well as the licence of the code should also be explained. The licence should conform to the Open Source Definition1. Suitable licences2 are for example GPL3 or MIT4. Where the authors cannot, for reasons beyond their control, publicly archive part or all of the code and data associated with a paper, they must clearly state the restrictions. They must also provide confidential access to the code and data for the editor and reviewers in order to enable peer review. The arrangements for this access must not compromise the anonymity of the reviewers. All manuscripts which do not make code and data available at this level are to be rejected. Where only part of the code or data is subject to these restrictions, the remaining code and/or data must still be publicly archived. In particular, authors must make every endeavour to publish any code whose development is described in the manuscript. Code and data access must

be provided at the time that the preprint is submitted. Embargoes, whether pending acceptance or for a defined period, are not acceptable.

#### **S4.2.2** Scope

The code and data associated with a paper which are subject to the above requirements include, depending on the paper type, the following:

- the source code for the complete model or module or other coded product described in the paper (must be provided for model description, development and technical, and methods for assessment paper types);
- the manual and any other model documentation (applies to model description, development and technical, and methods for assessment, to the extent the editor considers applicable);
- all configuration files, boundary conditions, and input data (must be provided for experiment description papers and any other papers in which results from model runs are reported);
- data sets for forcing of models or comparison with model output (must be provided for papers describing such data sets
  or for papers in which model output are compared with such data);
- preprocessing, run control and postprocessing scripts covering every data processing action for all the results reported in the paper (applies for all papers, to the extent the editor considers applicable).

In every case, the citation from the paper must identify the exact version of the code and/or data used.

Although the code and data will not be reviewed formally, the editor and reviewers are free to make general comments on any code or data, if they so wish. During the review process, the ease of model download, compilation, and running of test cases may be assessed.

#### S4.2.3 Archive standards

A frozen version of the code and data as developed in the paper must be archived. Usually, a third-party archive is preferable. In some cases, such as when the code is a fragment from a larger model, authors may include the code in the supplement to the paper. Third-party archives must have the following:

- institutional support providing reasonable confidence that the material will remain available for many years/decades
- mechanisms preventing the depositor of the material from unilaterally removing it from the archive
- mechanisms for identifying the precise version of the material referred to in a persistent way. This will usually be a DOI.

Where code and data change during the revision process of the manuscript, the updated versions must also be archived. Authors must take care that the results in revised manuscripts are correctly associated with the corresponding archived data (with different DOIs referenced in the submitted and final manuscripts in cases where data have changed).

Many GMD authors find Zenodo5 a suitable archival location. Zenodo's GitHub integration6 makes archiving particularly easy for the large proportion of authors who manage their code using Git. Authors who need to archive a single documentation file, such as a technical report, may find the arXiv suitable7. Authors whose data are too large to be archived at Zenodo will need to identify a suitable alternative. Appropriate choices may depend on the topic of the paper, the funder of the research, and the country where the research was conducted. One of the repositories listed by Springer Nature8, PLOS9 or ESSD10 may be suitable. In any case, the requirements above must be satisfied.

Project or institution websites and online revision control sites such as GitHub11, GitLab12 or Bitbucket13 are made for code development but not suitable for archiving frozen code versions. Authors are encouraged to provide links to a website or revision control system as a preferred download location, so long as this is in addition to, and not instead of, the citation of an archive.

#### S4.2.4 Template for code and data availability section

The following code and data availability section meets the requirements of this policy for papers focussed on development of models or development of methods for assessment of models. Other wordings are, of course, possible so long as the required information is all present. For larger models it is very helpful if authors can identify the location of the main parts of the code that are discussed in the manuscript. For experiment description papers, evaluation papers, and some technical and development papers where details for a variety of different data sets or models are required, the section will be considerably longer.

The current version of model is available from the project website: url under the licence name licence. The exact version of the model used to produce the results used in this paper is archived on Zenodo (citation), as are input data and scripts to run the model and produce the plots for all the simulations presented in this paper (citation).

In line with the FORCE11 Joint Declaration of Data Citation Principles, the data citations should appear in the bibliography and be referenced in the text in the same way as other publications (Martone, 2014).

#### S4.3 Earth System Science Data (ESSD) data policy

https://www.earth-system-science-data.net/policies/data\_policy.html last access: 2025-01-19

The ESSD data policy is fully compliant with the Copernicus data policy. In the following, "must" means that the stated actions are required, and the paper cannot be published without them; "should" means that the action is strongly encouraged, and consultation with ESSD editors is advised if these conditions are not met.

#### S4.3.1 Core principles

ESSD adheres to its goal to promote access, documentation, (re-)usability, and transparency of original data related to Earth system science. Please also see the section manuscript types to understand what types of data are acceptable.

Fundamentally, ESSD seeks to stimulate and facilitate an easy, free, and open exchange between researcher A who describes and provides a fresh, useful Earth system data product and researcher X who uses that product unhindered. Researcher A gains publication and distribution credit through citation and permanent identifiers. Researcher X gains confidence from ESSD-assured availability and quality. "Easy" applies to providing, archiving, downloading, and using. "Free" means without fees or other forms of payment, for providers or users. "Open" means without registration steps, password requests, access agreements, or other log-in barriers or tracking mechanisms. An ESSD "product" consists of a detailed description published in ESSD, linked to a dataset archived in a reliable data repository.

Before submitting a regular data description paper to ESSD, please consider the following:

- upon submission, all data must possess either a functional digital object identifier (DOI) or some form of preliminary access (review links) at the chosen repository; a data citation is mandatory in both cases;
- upon submission, all data must directly be accessible through link(s) in the manuscript;
- upon submission, authors must certify some form of fully anonymous review access, directly at the chosen repository (i.e. no registration, name, email or other information is required of reviewers as they access the data);

- upon submission, all data should be stored in non-proprietary community-established formats and include at least basic usage information;
- upon publication, all data must possess a functional DOI and citation;
- data should be available under a non-restrictive license such as CC0 or CC BY;
- data must not be tied to any additional coercive/unethical usage agreements (e.g. co-authorship requirements upon usage).
- Individuals, institutions, or nations may impose a variety of overarching open-access standards and licenses; ESSD intends its processes and products to meet or exceed expectations of those mandates or guidelines.

#### S4.3.2 Data availability statement

ESSD adheres to the Joint Declaration of Data Citation Principles initiated by FORCE11, as well as DataCite recommendations. Authors are required to provide a statement on how their underlying research data can be accessed. This must be placed in a "Data availability" section at the end of the manuscript. Please see the manuscript preparation guidelines for the correct sequence. The following is a blueprint of the data availability statement:

Data described in this manuscript can be accessed at repository under data doi (data citation).

A data citation resembles a bibliographic citation. Like any bibliographic citation, the full reference must be located in the publication's list of references following this style:

creators: title, publisher/repository, identifier, publication year.

Example:

Loew, A., Bennartz, R., Fell, F., Lattanzio, A., Doutriaux-Boucher, M., and Schulz, J.: Surface Albedo Validation Sites, EU-METSAT [data set], http://doi.org/10.15770/EUM\_SEC\_CLM\_1001, 2015.

This is also the section that holds review links or other access tokens to your data set if necessary for the review. Optionally, overarching project URLs or other meta-information can be included. The reader must gain direct access to the data by the means described in this section.

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