1 Time shift between precipitation and evaporation has more

2 impact on annual streamflow variability than

- 3 evaporationHow does the time shift between precipitation
- 4 and evaporation affect annual streamflow variability? A
- 5 large sample elasticity study

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#### Abstract

One of the most basic questions asked te-of hydrologists is that of the quantification of catchment response to climatic variations, i.e. that of the variations around the average annual flow given the climatic anomaly of a giventhe quantification of catchment response to climatic variations, i.e., the variations around the average annual flow given the climatic anomaly of a particular year. This paper presents a large sample an analysis based on 4122 catchments from four continents, where we investigate how annual streamflow variability depends on climate variables - rainfall and potential evaporation - and on the season when precipitation occurs, i.e. on the synchronicity between precipitation and potential evaporation. We use catchment data to verify the existence of this link, and show that, in all countries and under the main climates represented, synchronicity anomalies in this synchronicity come as are the second most important factor to explain annual streamflow anomalies, after precipitation, -but before potential evaporation. Introducing the synchronicity between precipitation and potential evaporation as an independent variable improves the prediction of annual streamflow variability with an average additional explained variance of 6 % globally significantly.

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Keywords: annual streamflow anomalies, elasticity, sensitivity, seasonality

#### **Notations**

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- We deal in this paper with three hydrological fluxes: precipitation  $(P_n)$ , streamflow  $(Q_n)$ 34
- 35 and potential evaporation  $(E_{0n})$ . The three fluxes are computed at catchment scale,
- 36 expressed in millimeters per year, and represent annual sums-totals (index n refers to
- the year in question). We use a hydrological year from October 1<sup>st</sup> of year n-1 to 37
- 38 September 30<sup>th</sup> of year n in the Northern hemisphere and from April 1<sup>st</sup> of year n to
- March 31<sup>st</sup> of year n + 1 in the Southern hemisphere. Anomalies (of P, Q and  $E_0$ ), noted 39
- 40 Δ, are computed as the difference between the annual value and the long-term average
- 41 value, i.e.,  $\Delta Q_n = Q_n - \bar{Q}$ ,  $\Delta P_n = P_n - \bar{P}$ , etc.

#### Introduction

#### 1.1 On the climate elasticity of streamflow

- 44 To assess the impact of climate change on water resources, hydrologists need aim to
- 45 quantify the response amount of change in catchment flow when with respect to
- 46 variations in climatic conditions vary. The ratio between changes in streamflow and
- 47 climate is formally defined as For this, they estimate the climate elasticity of streamflow
- 48 (Schaake and Liu, 1989). The hydrological literature and hydrologic common sense
- 49 both suggest that the best factor explaining predictor of the changes in annual
- 50 streamflow anomaly is the annual precipitation anomaly (e.g.e.g., Pardé, 1933a;
- 51 Leopold, 1974). In addition, many elasticity studies have also considered the anomaly
- 52 of potential evaporation, although it is usually only weakly statistically significant in
- 53
- regression studies. In this paper, we focus on a third explanatory variable that
- 54 quantifies quantifying the synchronicity between precipitation and potential
- 55 evaporation within the year.

#### 1.2 Linear models to predict streamflow anomalies

- There is an abundant literature concerning elasticity studies in hydrology, and our work 57
- 58 comes in the continuation of continues the earlier empirical (i.e., measurement-based)
- 59 studies of Sankarasubramanian et al. (2001), Chiew (2006) an abundance of literature
- 60 concerning elasticity studies in hydrology, and our work builds upon the earlier

empirical (i.e., measurement-based) studies of Sankarasubramanian et al. (2001), Chiew (2006), and Andréassian et al. (2016). Here, we follow the same principle and use linear regression models based on measured annual data to evaluate the climate elasticity of streamflow. An alternative approach to estimate climate elasticities would consist in using hydrological models of variousestimating climate elasticities would involve using hydrological models of varying complexities (e.g., Koster and Suarez, 1999). However, even if models are powerful investigative tools, they also rely on restrictive assumptions that often limit their credibility outside of atheir calibration range. This can be particularly problematic in a large-scale study on the impact of climate change. Thus, we favoured an approach introducing the minimal number of hydrological assumptions, hence a linear regression that also has the advantage to be of being conceptually mathematically extremely simple.

# 1.3 The synchronicity between precipitation and potential evaporation impacts annual streamflow totals

The fact that the the synchronicity (i.e. the time shift) between precipitation and potential evaporation, hereon referred to as "climatic synchronicity", has a hydrological impact is has been known for a long time, as shown by a few precursors on this topic. For example, in 1933b

Pardé published in 1933b a classic paper dedicated to the average flow of rivers, where he <u>underlines underlined</u> that "for identical values of precipitation and temperature, everything else being equal, the runoff coefficient Q/P will be smaller where the larger part of precipitation falls during the warm season". Similarly,

Coutagne and de Martonne (1935) discussed formulas for annual streamflow, and underlined that formulas based only on the humidity ratio P/E<sub>0</sub> are deficient, because they fail to account for "the distribution of precipitations between seasons, in particular, in the temperate zone, between the warm and the cold season. Of two years of equal precipitation, the year which will receive the most part in summer will produce the less annual flow". Additional classical studies include;

Thornthwaite (1948), who proposed to classify climates initially with two indices (one characterisingcharacterizing the periods of water surplus and the other the periods of water deficiency), which he subsequently combined into a single index.; Also,

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• Turc introduced in 1954 his famous formula for long-term actual evaporation. At the very end of his paper, he wrote that "the most urgent improvement" to his actual evaporation formula should be the introduction of the "distribution of precipitations and of the temperature changes within the year."

Recent studies <a href="have">have</a> also discussed the impact of climate seasonality on water balance, based on either theoretical or empirical approaches.÷

aAmong the theoretical studies, one can cite Dooge (1992) who presented catchment yield curves where he introduced as a parameter the length of the dry season.; Milly (1994) who proposed a theoretical computation of actual evaporation based on the seasonality of the aridity index.; Yokoo et al. (2008) who made theoretical computations on the difference between in-phase and out-of-phase regimes of precipitation and potential evapotranspiration; as well as . Additionally, Roderick and Farquhar (2011), Feng et al. (2012) and Donohue et al. (2012). Berghuijs et al. (2014) and Jawitz et al. (2022) who all made notable developments. Among t;

Thhe empirical study studies of Potter et al. (2005) quantified the impact of rainfall seasonality on mean annual water balance in Australia. Hickel and Zhang (2006) discussed the antagonistic effects of climate seasonality and soil moisture storage. More recently, de Lavenne and Andréassian (2018) proposed a synchronicity index to characterize the phase difference between precipitation and potential evaporation. Finally, and Feng et al. (2019) proposed an index of asynchronicity for Mediterranean climates.

#### 1.4 Purpose of the paper

In this paper, we aim to improve the prediction of streamflow elasticity by introducing anomalies in synchronicity between precipitation and potential evaporation as a predictor, alongside variability in rainfall and potential evapotranspiration. Our study is based solely on data analysis, and uses only linear regression models. In this paper, we wish to demonstrate exclusively through data analysis, that anomalies in the seasonality of rainfall represent the second most important factor explaining annual streamflow anomalies, a (after precipitation but before potential evaporation). We also wish to show how introducing the synchronicity between precipitation and potential evaporation as an independent variable improves the prediction of annual streamflow variability.

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# 32 Test catchments

# 127 3.12.1 Origin of the dataset

- 128 As presented in Table 1, we use catchments from nine countries to base our analysis
- 129 on a wide range of climates.
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Table 1. Origin of the catchments used in this paper

Country	Number of catchments selected	Number of catchments available in the original dataset	Dataset	Reference
Australia	546	561	Camels-AUS	Fowler et al. (2024)
Brazil	636	734	Cabra	Almagro et al. (2021)
Denmark	202	304	Camels-DK	Liu et al. (2024)
France	628	654	Camels-FR	Delaigue et al. (2024)
Germany	1094	1555	Camels-DE	Loritz et al. (2024)
Sweden	152	158	Selection by G. Lindström	de Lavenne et al. (2022)
Switzerland	73	331	Camels-CH	Höge et al. (2023)
United Kingdom	136	670	Camels-UK	Coxon et al. (2020)
USA	655	672	Camels-US	Addor et al. (2017)

The total number of catchments is 4122, for a total of 162,005 station-years (the average length of catchment time series is 39 years). We use hydrological years as defined in the Notations section.

#### 3.22.2 Catchment selection

The catchments used in this paper <u>are-were</u> selected from several datasets indicated in Table 1 and represent approximately 75% of the original catchments. Our catchment selection <u>is-was</u> based on <u>(i)three criteria:</u> ÷

1. \_\_record length, catchment memory and regulation degree. First, we only selected: selected catchments have allthat had more than 20 annual complete hydrological years. Second, wevalues; (ii)

2. <u>catchment memory:</u> selected catchments that exhibit no or littleminimal interannual memory ("memory" as defined by as per de Lavenne et al., 2022). This criterion was needed because the equation used <a href="here">here</a> to estimate streamflow elasticity is only hydrologically warranted for those catchments displaying no or littleminimum interannual memory, thus allowing (we wished to warrant a straightforward computation of the annual elasticity coefficients, based only on annual average values. Finally, only);

3. <u>(iii)</u> regulations: we removed the catchments that the authors of the datasets identified as significantly regulated by reservoirs were removed. This identification was done by either (we either asked asking the datasets authors, or, where the information was available, by setting a limit equal to 10 mm equivalent volume storage in dams).

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For Switzerland, we considered the list of almost natural catchments published by Muelchi et al. (2022) was utilized.

#### 3.32.3 Climatic inputs

Where several precipitation products were available in the original dataset, we used the product recommended by dataset authors as being of <a href="the-best">the-best</a> quality, <a href="while-wh

In the original datasets, Because potential evaporation was computed with a variety of different formulas in the different (Makkink, Morton, FAO-56, Penman-Monteith datasets, Hargreaves, Oudin, etc.). For the sake of homogeneity, we recomputed it (at the daily time step) for all catchments using the formula proposed by Oudin et al. (2005), which requires only extraterrestrial radiation and air temperature only. This formula was selected for two reasons: firstOn one hand, this formula, it could be computed, given the available data, for all datasets, on the other hand it has been widely used worldwide and appears to be appropriate (while of course not perfect) to for describeing the atmospheric evaporative demand.

#### 3.42.4 Characteristics of the catchment set

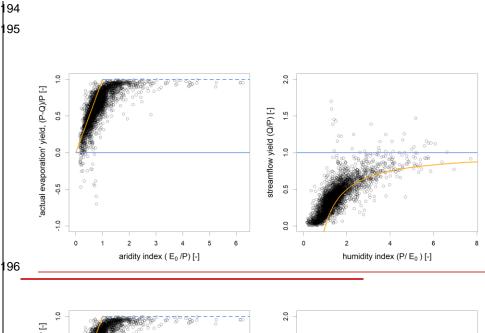
In our dataset, the aridity indices index, computed as  $E_0/P$ , ranges from 0.1 to 6.3, with a first quartile equal of to 0.6 and a third quartile equal toof 1.0. The mean and the median of the aridity index are equal both 0.8. In order to assess the generality of the results, we will discuss them at the country scale and also by climatic classes following the Köppen-Geiger classification (see e.g., Peel et al. 2007 and Table 2Table 2Table 2). Note that we only give numerical results for because we did not consider the climatic zones with less more than 100 catchments, the 384 catchments belonging to the less represented zones are left out of the Koppen-Köppen-Geiger-based Koppen-Geiger based analysis.

Table 2. Main climatic zones (in the sense of the Köppen-Geiger classification) represented in our dataset (we-only present the zones counting more than 100 catchments)

Köppen-Geiger	Name	Number of catchments
zone		
Aw	Tropical savanna climate with dry winter	344
Cfa	Temperate climate without dry season	364

Cfb	Temperate climate without dry season with warm summer	1746
Csa	Temperate climate with dry and hot summers	196
Dfb	Continental climate without dry season with warm summer	956
Dfc	Continental climate without dry season with cold summer	132

Last, we present in Finally. Figure 1 presents the 4122 catchments of our dataset in with two variants of the Turc-Budyko non-dimensional graph. On the left-hand graph, each catchment corresponds to one point, whose with coordinates correspond to the average aridity on the x-axis and 'actual evaporation' yield, computed as ((P-Q)/P)-(P-Q)/P, on the y-axis. On the right-hand graph, each catchment corresponds to one point, whose with coordinates correspond to representing the average humidity on the x-axis and is represented by a single point, with coordinates indicating the average humidity on the x-axis and the average streamflow yield, computed as Q/P, Q/P on the y-axis.



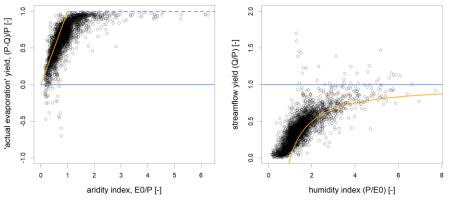


Figure 1: representation Representation of the 4122 catchments in two equivalent forms of the Turc-Budyko non-dimensional space. The solid blue line corresponds to the water limit (Q=P), and the orange line corresponds to the energy limit (Q=P-E<sub>0</sub>). On the left, an additional limit (dotted blue line) is sometimes improperly referred to as "water limit" in the literature, but it only corresponds to the physical limit (Q=0), when one estimates the actual evaporation as the difference between discharge and precipitation. The catchments that are beyond the orange line (i.e., above on the left and below on the right) are "leaky" (in the sense that they contribute to the recharge of a regional aquifer) and those which are beyond the blue line (i.e., below on the left and above on the right) are "gaining" in the sense of a karstic catchment which would drain a larger than specified catchment (note that, in a few cases, data uncertainties might also cause catchments to be beyond the limits).

## 43 Method

# 210 4.13.1 Computation of the synchronicity of precipitation and potential evaporation

In this paper, we useutilise a modified version of the synchronous precipitationseasonality index  $\lambda_n$ , as in dethat we introduced by (de Lavenne and Andréassian-( :=2018):, and a detailed discussion of the reasons for this change is given provided in the Appendix. The objective of this index ( $\Lambda$ ) -:in orderis to characterize the synchronicity between precipitation P and potential evapotranspir ation  $E_0$  at the annual time step is measured for each hydrological year n as follows. :-For each year n, we define the part of annual precipitation that is the most easily accessible to evaporation (i.e., neutralizable by evaporation) as in Eq. 1 and Figure 2:

 $\lambda_{\mathbf{n}}$  synchronous  $P - E_0$  amount easily evaporated precipitation

$$=\sum_{m=1}^{12}\min\left(P_{m,n}\,,E_{0\,m,n}\right)\frac{\sum_{m=1}^{12}\left(P_{m,n}\cap E_{0\,m,n}\right)}{\sum_{m=1}^{12}\left(P_{m,n}\cup E_{0\,m,n}\right)}$$
 Eq. 1

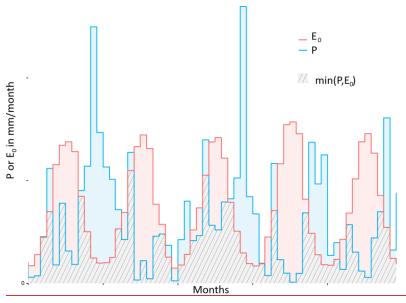
where the the index m refers to the calendar month and the symbol  $\alpha$  denotes the following operation in this paper:

$$x \cap y = \max(x - y, 0)$$

Eq. 1

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Figure 2. two series of precipitation and potential evaporation at catchment scale: the part of precipitation that is the most easily accessible to evaporation is illustrated in hatched pattern

We define the percentage of easily neutralizable precipitation is then defined as Eq. 2, and the percentage of easily neutralizable potential evaporation as that is easily neutralizable as Eq. 3.

$$\lambda_{1,n} = \frac{\sum_{m=1}^{12} \min\left(P_{m,n} , E_{0_{m,n}}\right) \left(P_{m,n} \cap E_{0_{m,n}}\right)}{P_n}$$
 Eq. 2

and the percentage of potential evaporation that is easily neutralizable as

$$\lambda_{2,n} = \frac{\sum_{m=1}^{12} \min \left( P_{m,n} \,, E_{0\,m,n} \right) \left( P_{m,n} \, \cap E_{0\,m,n} \right)}{E_{0\,n}} \tag{Eq. 3}$$

Because both ratios belong to the interval [0,1], their geometric average will also belong to [0,1] be within the same range (Eq. 4).

$$\lambda_{3,n} = \sqrt{\lambda_{1,n}\lambda_{2,n}} = \frac{\sum_{m=1}^{12} \min\left(P_{m,n}, E_{0_{m,n}}\right) \underbrace{\left(P_{m,n} \cap E_{0_{m,n}}\right)}_{\sqrt{P_n} \neq E_{0_n}} \\ \underline{\text{Eq. 4}}$$

Finally, the index  $\Lambda$  rescales and combines  $\lambda_1$  and  $\lambda_2$  into a single quantity, expressed in mm/yr, representing the average ratio of neutralizable precipitation and neutralizable potential evaporation as shown in Eq. 5. For two years with the same annual amounts

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240 of precipitation and potential evaporation,  $\Lambda$  will reach higher values when P and  $E_0$ 241 are synchronous, and lower values when they are out of phase.

$$\varLambda_n = \lambda_{3,n} * \bar{P} = \frac{\sum_{m=1}^{12} \min\left(P_{m,n} , E_{0m,n}\right) \underbrace{\left(P_{m,n} \cap E_{0m,n}\right)}_{\sqrt{P_n} * E_{0n}}}{\sqrt{P_n * E_{0n}}} * \bar{P}$$
 Eq. 5

242 Because  $\lambda_n$  is nondimensional, we then rescale  $\lambda_n$  using the long-term average of the 243 denominator of Eq. 1:

$$A_{n} = \lambda_{n} * \sum_{m=1}^{12} \frac{P_{m,n} \cup E_{0,m,n}}{P_{m,n} \cup E_{0,m,n}}$$

Note that:

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- while An is an annual value, its computation requires the knowledge of the climate forcing at the monthly time step;
- dividing  $\sum_{m=1}^{12} \left( P_{m,n} \cap E_{0_{m,n}} \right)$  by  $\sum_{m=1}^{12} \left( P_{m,n} \cup E_{0_{m,n}} \right)$  is a necessity for regression analysis, because we cannot (or at least should not) introduce in a regression independent explanatory variables which are significantly correlated;
- An represents the percentage of annual precipitation that is the most easily accessible to evaporation, and An (in mm/y) can be interpreted as representing the corresponding annual precipitation amount: for two years with the same annual amounts of precipitation and potential evaporation, A will reach higher values when P and En are synchronous, and lower values when they are out of phase.

#### 4.23.2 Computation of streamflow elasticities

256 To compute the streamflow elasticities, we will solve here the two following linear equations given by Eq. 6 and Eq. 7.:

$$\Delta Q_n = e_{Q/P} \Delta P_n + e_{Q/E_0} \Delta E_{0_n}$$
 Eq.  $\underline{663}$ 

$$\Delta Q_n = e_{Q/E_nP} \Delta P_n + e_{Q/E_0} \Delta E_{0n} + e_{Q/A} \Delta \Lambda_n \qquad \qquad \text{Eq. } \frac{774}{2}$$

- 258 Where  $\Delta \Psi Q_n$  (respectively  $\Delta P_n$ ,  $\Delta E_{0n}$ ,  $\Delta \Lambda_n$ ) is represents the deviation from the mean-
- 259 annual value (anomaly) for variable  $\Psi Q$  (respectively P,  $E_0$ ,  $\Lambda$ ) (in mm/y) and  $e_{Q/\Psi P}$ ,
- 260  $e_{O/E_0}$  and  $e_{O/A}$  is represent the elasticity of streamflow against with respect to  $P_1$   $E_{01}$
- 261 and 1 ¥-(dimensionless).
- 262 Eq. 6Eq. 6Eq. 3 represents the classical approach to elasticity computation (see e.g.
- 263 Andréassian et al., 2016), while- Eq. 7Eq. 7Eq. 4 represents the original contribution

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of this paper, and aims at determining how far climatic synchronicity explains annual streamflow variability.

#### Note that:

- the estimation of The elasticities in Eq. 6Eq. 6Eq. 3 and Eq. 7Eq. 7Eq. 4 are obtained estimated via ordinary least squares (OLS). More complex statistical models such as generalized least squares are not required because the selected catchments do not exhibit a interannual memory longer than a year, as explained in the data section. T(this guarantees the absence of interannual memory guarantees the lack of streamflow autocorrelation in annual streamflow, which is a keyan important statistical assumption behind for OLS). Also,;
- when presenting the results, one has to decide of an appropriate statistical\* significance *p*-value threshold (which is of course a matter of convention). For this paper Additionally, we chose a <u>p-value</u> threshold of 0.05 for all the discussion of results. In addition, ;
- wWe compute elasticity coefficients between anomalies of equal dimensions (in mm/y), and not between relative anomalies (in %) because with the anomalies expressed in mm/y it allows a directthe physically-plausible interpretation-range of the values is known: [0,1] for  $e_{Q/P}$ , [-1,0] for  $e_{Q/E_0}$  and  $e_{Q/\Lambda}$  of the coefficients. LastFinally,
- Eq. 6Eq. 3 and Eq. 7Eq. 4 were solved on a catchment-by-catchment basis, i.e.i.e., we computed 4122 distinct regressions.
- We show below (Figure 3Figure 2) an illustration of illustrates this catchment-based computation enusing the example of the Meurthe River @at Raon-l'Étape (727 km²). For: en- this catchment, we see that annual streamflow anomalies have exhibit a well-defined dependency teon both precipitation and synchronicity anomalies, with the dependency teon potential evaporation anomaly being very weak.

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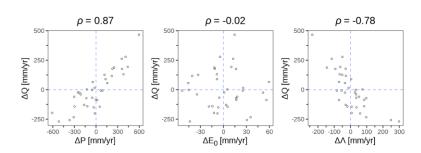


Figure 3. Example of an elasticity plot for the Meurthe River @at Raon-l'Étape (A615103001): each point corresponds to one hydrological year (for this catchment, 36 hydrological years were available, from 1975 to 2021). The Pearson correlations of  $\Delta Q$  with  $\Delta P$ ,  $\Delta E_0$  and  $\Delta \Lambda$  are respectively 0.87, -0.02 and -0.78

The visual impression of Figure 3 is confirmed by the results of the linear regressions of Eq. 6Eq. 6 and Eq. 7Eq. 7 in(see Table 3). —with vValues of the Student's t-test indicateing that precipitation has a very significant contribution, while the contribution of potential evaporation is not statistically significant. The introduction of the synchronicity increases the R<sup>2</sup> (from 0.75 to 0.80).

Table 3. Climate elasticity coefficients computed with and without the inclusion of the synchronicity variable Λ for the example catchment (La Meurthe @at Raon-l'Étape)

<u>Formulation</u>	$e_{Q/P}$ [-]	$\frac{p\text{-value}}{\text{for }e_{Q/P}}$	$e_{Q/E_0}$ [-]	$\frac{p\text{-}value}{for}e_{Q/E_0}$	$e_{Q/\Lambda}$ [-]	$\frac{p\text{-}value}{for}e_{Q/\Lambda}$	R <sup>2</sup>
$\Delta Q = f(\Delta P, \Delta E_0)$	0.52	< 0.001	0.00	<u>0.99</u>	=	=	0.75
$\Delta O = f(\Delta P, \Delta E_0, \Delta \Lambda)$	0.38	< 0.001	-0.25	0.59	-0.56	< 0.01	0.80

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#### 64 Results

## 6.14.1 Graphical analysis of anomalies by country

To give provide a general picture overview of the correlation between streamflow anomalies and climatic anomalies, Figure 4 and Figure 5Figure 3Figure 2 presents an aggregated plot for each of the country and for each main climate class datasets, where we combine ing the anomalies of all catchments. At this scale, only general trends are apparent.:

aAs expected, streamflow anomaly is clearly positively correlated with precipitation anomaly in all countries;

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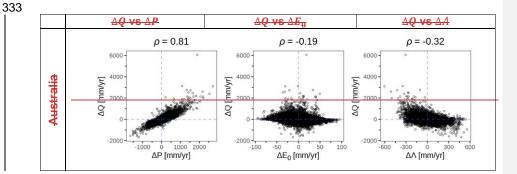
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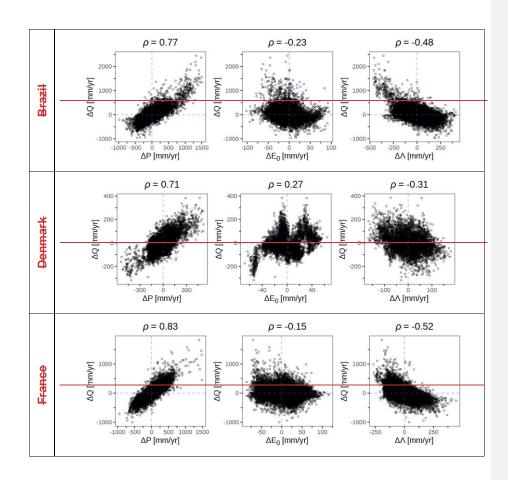
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- streamflow anomaly is, overall, very weakly negatively correlated with potential evaporation anomaly, Denmark being the only outlier <a href="where-with-where-with
- streamflow anomaly is clearly negatively correlated to the synchronicity index anomaly (Λ) for all countries. The This negative correlation means indicates that years with a lower Λ (i.e., when precipitation and potential evaporation are more out of phase) yield more greater streamflow: This seems observation is perfectly hydro-logical, and conforms to the general observations already previously identified by Pardé (1933a). In the case of Australia, where streamflow anomalies are clearly negatively correlated to the synchronicity index anomaly (Λ) on Figure 4, it is interesting to mention the opposite conclusion of Potter et al. (2005) who wrote that "the inclusion of seasonally varying forcing alone was not sufficient to explain variability in the mean annual water balance". This surprising conclusion may be an artefact of the index chosen by the authors to describe seasonality.
- Oeverall, the mosterly surprising fact is that streamflow anomaly appears clearly more strongly correlated to with the synchronicity index anomaly than to with the potential evaporation anomaly.





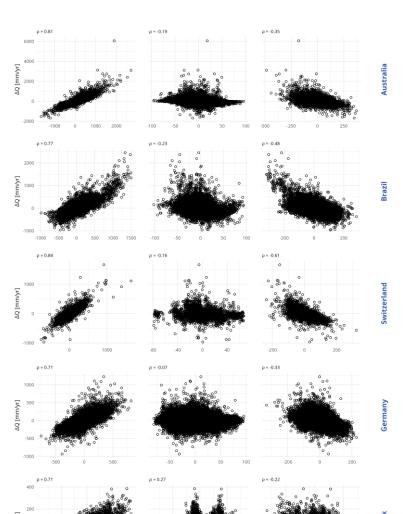
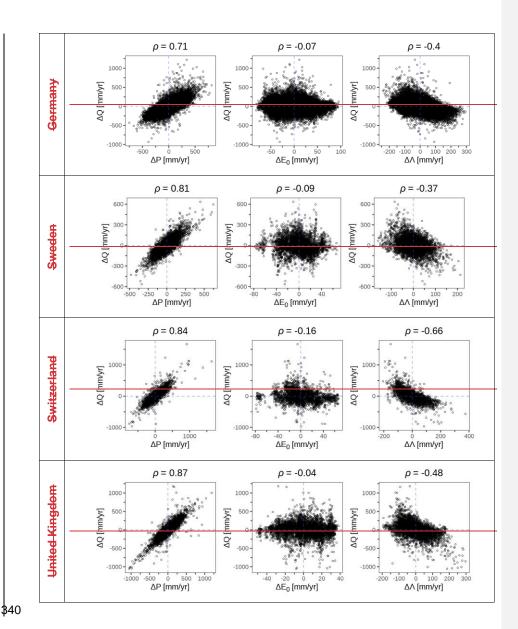


Figure 4 Scatter plots, for each country, between streamflow anomalies  $\Delta Q$ , and: precipitation anomalies  $\Delta P$  (left), potential evaporation anomalies  $\Delta E_0$  (middle) and synchronicity index anomalies  $\Delta A$  (right). Each point represents one station-year. Above each scatter plot, we provide the corresponding Pearson correlation

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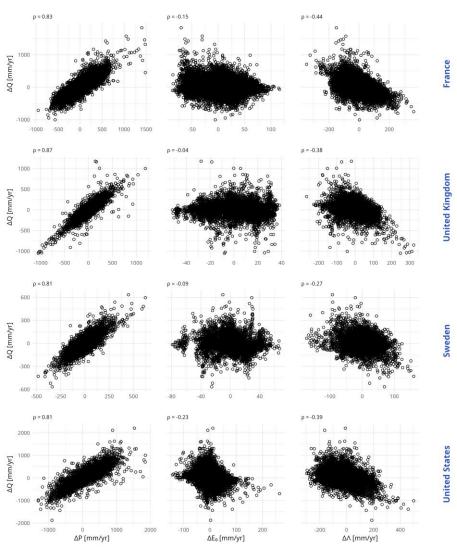
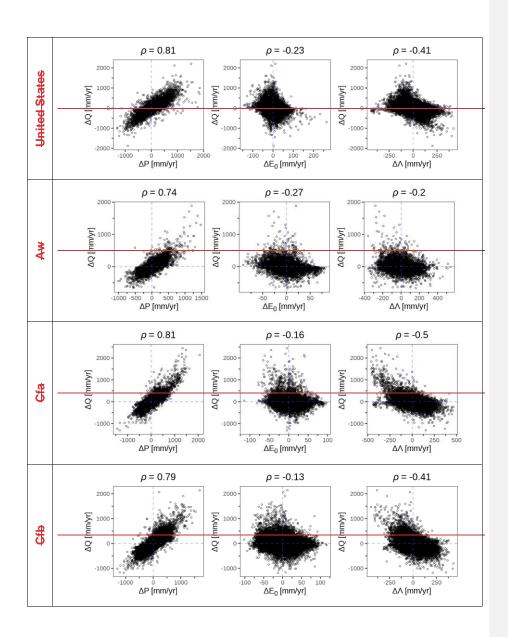
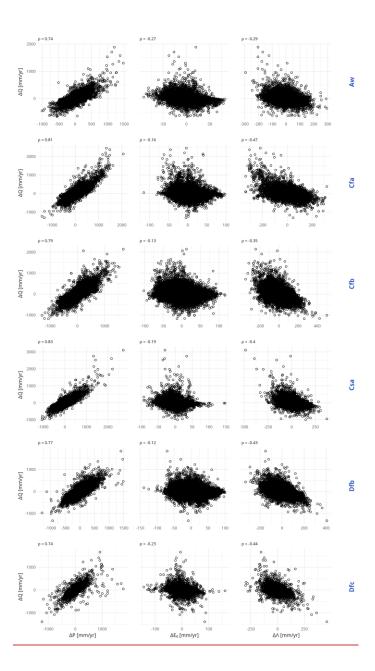


Figure 4. (continuation)





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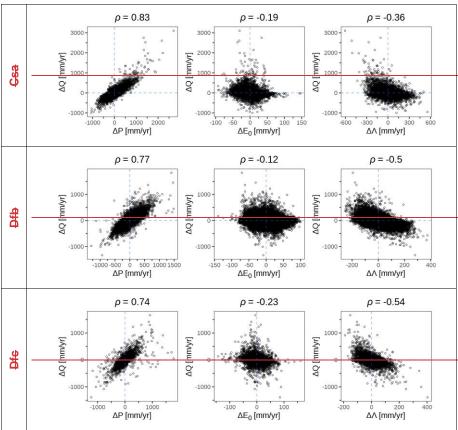


Figure  $\underline{532}$ . Scatter plots, for each country and for the main climate classes, between streamflow anomalies  $\Delta Q$ , and: precipitation anomalies  $\Delta P$  (left), potential evaporation anomalies  $\Delta E_0$  (middle) and synchronicity index anomalies  $\Delta A$  (right). Each point represents one station-year. Above the grapheach scatter plot, we have computed provide the corresponding Pearson correlation

### 6.2 An example at catchment scale: the Meurthe River @ Raon-l'Étape

We now show one example chosen in France, the Meurthe River (727 km²): on this catchment, with Köppen climate Cfb, annual streamflow anomalies show (Figure 3) a well-defined dependency to both precipitation and synchronicity anomalies, the dependency to potential evaporation anomaly being very weak.

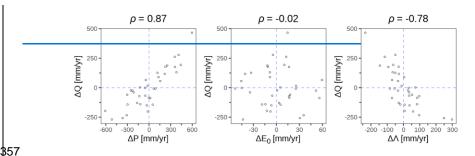


Figure 3. Example of an elasticity plot for the Meurthe River @ Raon-l'Étape (A615103001): each point corresponds to one hydrological year (for this catchment, 36 hydrological years were available, from 1975 to 2021). The Pearson correlations of  $\Delta Q$  with  $\Delta P$ ,  $\Delta E_{ij}$  and  $\Delta A$  are respectively 0.87, -0.02 and -0.78

The visual impression is confirmed by the results of the linear regressions of Eq. 3 and Eq. 4 (Table 3), with values of the Student t-test indicating that precipitation has a very significant contribution, while the contribution of potential evaporation is not significant. The introduction of the synchronicity increases the R<sup>2</sup> (from 0.75 to 0.80) but the potential evaporation elasticity estimate remains not significant.

Table 3. Climate elasticity coefficients computed with and without the inclusion of the synchronicity variable A for the example catchment (La Meurthe @ Raon-l'Étape)

Formulation	e <sub>Q/P</sub> [-]	<del>p-value</del> for e <sub>Q/P</sub>	e <sub>Q/E0</sub> [-]	p-value for e <sub>Q/E0</sub>	e <sub>Q/A</sub> [-]	<del>p-value</del> for e <sub>Q/A</sub>	R <sup>2</sup>
$\Delta Q = f(\Delta P, \Delta E_0)$	0.52	< 0.001	0.00	0.99	_	-	0.75
$\Delta Q = f(\Delta P, \Delta E_0, \Delta A)$	0.38	< 0.001	<del>-0.25</del>	<del>0.59</del>	<del>-0.56</del>	< 0.01	0.80

#### 6.384.2 Overall results by catchment

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We now <u>analyseanalyze</u> the results obtained for <u>each of</u> the 4122 catchments. <u>Table 4Table 4</u> shows the statistics of the individual regressions, <u>for the classical case (i.e.,</u> when no synchronicity is <u>not included in the regressionas a predictor)</u>. <u>This analysis reveals that used (the classical case)</u>. It shows that for all the countries and all the climate groups, :

the value of the precipitation elasticity of streamflow is almost always significant at the 0.05 level. On the other hand, ;

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- the value of the potential evaporation elasticity of streamflow is not frequently significant at the 0.05 level. In addition, the;
- regression allows identifying identifies values of physically realistic precipitation elasticity\_values (between 0 and 1) which are almost always (i.e.for almost all catchments (for 93% of the catchments worldwide, and a minimum at the minimum forof 80% of the cases foracross the different groupings), physically-realistic, i.e. comprised between 0 and 1 whereas potential evapotranspiration elasticity is frequently physically unrealistic with only 6% of values in the range [0, 1] globally.-

Table 4. Linear regression results by country for Eq. 6Eq. 6Eq. 3 when regression uses two independent variables P and E0 to explain streamflow anomaly

Region or climate	Total number of	catchmer	tage of nts where	Percen catchmer	Mean adjusted R <sup>2</sup>	
class	catchments	$e_{Q/P}$ significant at the	was significant and in	$e_{Q/E_0}$		
By country		0.05 level	the range [0,1]	0.05 level	the range [-1,0]	
Australia	546	100%	97%	18%	9%	0.6867
Brazil	636	95%	86%	12%	4%	0.6461
Denmark	202	100%	100%	9%	0%	0. <del>55</del> 51
France	628	100%	93%	21%	7%	0. <del>73</del> 71
Germany	1094	94%	93%	18%	9%	0. <del>50</del> 47
Sweden	152	100%	87%	20%	7%	0.6865
Switzerland.	73	100%	86%	8%	0%	0. <del>77</del> 75
UK	136	99%	89%	25%	2%	0. <del>76</del> 75
USA	655	99%	95%	9%	4%	0. <del>67</del> 65
By climate of	lass					
Aw	344	93%	91%	16%	7%	0. <del>62</del> 60
Cfa	364	100%	90%	3%	0%	0. <del>69</del> 66
Cfb	1746	98%	94%	18%	7%	0. <del>63</del> 60
Csa	196	99%	96%	7%	1%	0. <del>69</del> 67
Dfb	956	96%	94%	21%	9%	0. <del>58</del> 56
Dfc	132	99%	80%	29%	10%	0. <del>73</del> 71
World	4122	97%	93%	16%	6%	0. <del>63</del> 61

Aw - Tropical savanna climate with dry winter, Cfa - Temperate climate without dry season with hot summer, Cfb - Temperate climate without dry season with warm summer, Csa - Temperate climate with dry and hot summers, Dfb - Continental climate without dry season with warm summer, Dfc - Continental climate without dry season with cold summer

Table 5Table 5 shows presents the same statistics, when the anomaly of synchronicity anomaly  $(-\Delta \Lambda_n)$  is introduced into the elasticity equation regression (Eq. 7). It-This analysis shows that :

-the average efficiency of the regression equation-rises visibly increases feacross all the countries and all the climate groups (see also Figure 6Figure 4Figure

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400 4). Naturally While, an increase is expected when one adds an independent additional 401 variable predictor is added toin a regression, please note that we are presenting adjusted R<sup>2</sup> values, which are designed to take that issue into account. T-he average 402 403 additional explained variance lies in the range but depending on the groups, the 404 average additional explained variance varies between 3 % %-and 10 % (7-6 % 405 globally), depending on the group, which and we consider it as significant noticeable 406 improvement. Additionally, ; 407 for 64 % of the catchments, the anomaly of synchronicity anomaly  $(\Delta I_n)$  provides is a 408 significant contribution to the regression for 64 % of the catchments, (to b,e compared 409 to only 23 % for potential evaporation). 410 AlsoMore important, 411 introducing the anomaly of the introduction of the synchronicity anomaly  $(\Delta \Lambda_n)$ 412 does not modify the significance of the two other two elasticity coefficients  $e_{\mathcal{O}/P}$  and 413 e<sub>0/E<sub>0</sub></sub>:-. A slight increasewe even have a slight increase is observed in the of the 414 proportion of catchments where  $e_{Q/E_0}$  coefficient is significant at the 0.05 level (from 415 16 % to 23 %).÷ 416 • Moreover, the utilization introducing the anomaly of synchronicity of  $\Delta \Lambda_n$  does 417 not degrade the physical realism of the elasticity coefficients  $e_{Q/P}$  and  $e_{Q/E_0}$ : we even

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421  $e_{U/P}$  coefficient is significant and in the physical range [0,1] (from 93 % to 94 %) and where  $e_{Q/P_0}$ 422 coefficient is significant at the 0.05 level and in the physical range [-1,0] (from 6 % to 423 424 Finally, there are only two countries (Switzerland and Brazil) and one climate type (Dfc 425 - Continental climate without dry season with cold summer) which differ from the others 426 by the showed lower relevance of the synchronicity index compared to other regions. 427 We attribute this reduced relevance in Switzerland and climate zone Dfc to the Our 428 interpretation of this lesser relevance is as follows: for Switzerland and climate zone 429 Dfc we attribute it to the essentially energy-limited nature of the catchments as our 430 selection criteria for Switzerland prioritized high-elevation catchments with minimal 431 anthropogenic impact (see also the Discussion section and Figure 9). Last, note that

in all groupings except Dfc, the number of catchments where e0/Ais significant at the

hav. Once again, ae a slight increase is observed inef the proportion of catchments

where  $e_{O/P}$  coefficient is significant and in the physical range [0,1] (from 93 % to 94 %),

where

0.05	level	exceeds	that whe	re the	$e_{Q/E_0}$	coefficient	is	significant	at the	same	level. <del>y-</del>
limite	d nat	ure of the	a catchme	nte							

• (because we wanted catchments with minimal anthropogenic impact, we have selected in Switzerland essentially catchments at high elevations). Note however that in all groupings except Dfc, there are more catchments where  $e_{Q/E_{\mathbb{R}}}$  is significant at the 0.05 level than catchments where  $e_{Q/E_{\mathbb{R}}}$  coefficient is significant at the same level.

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Table 5. Linear regression results by country for  $\underline{\text{Eq. 7Eq. 4}}$  when regression uses three independent variables to explain streamflow anomaly (to allow for comparison, the last column reports the mean R<sup>2</sup> of  $\underline{\text{Table 4Table 4}}$ )

Country	Total number of catchme	Percentage of catchments where $e_{Q/P}$ was		Percentage of catchments where $e_{Q/E_0}$ was		Percentage of catchments where $e_{Q/\Lambda}$ was		Mean adj. R²	Mean adj. R <sup>2</sup> from Table 4Table
	nts	significant at the 0.05 level	significant and in the range [0,1]	significan t at the 0.05 level	significan t and in the range [-1,0]	significan t at the 0.05 level	significan t and in the range [-1,0]		4Table 4
By country	1								
Australia	546	100%	<del>97</del> 98 %	41 <u>38</u> %	<del>24</del> <u>20</u> %	87%	<del>87</del> 83 %	0. <del>78</del> <u>77</u> 6	<u>,0.67<del>0.</del></u> <del>68</del>
Brazil	636		<del>83</del> 84			<del>26</del> 25	<del>25</del> 22	_	0.61 <del>0.</del>
Denmark	202	90% 98 <u>100</u>	% 98 <u>100</u>	13%	5%	% 43 <u>44</u>	% 43 <u>44</u>	0. <u>6864</u> 0. <u>6061</u>	<u>.0.51</u> 0.
France	628	% <del>99</del> 100	% <del>97</del> 96	<del>7</del> 6% <del>27</del> 30	0% <del>12</del> 13	%	% <u>79</u> 80	<u>56</u>	<u>0.71</u> 0.
	1094	%	% <del>96</del> 97	% <del>26</del> 27	%	82%	% <del>78</del> 76	0. <del>79</del> <u>77</u>	<del>73</del> <u>0.47</u> 0.
Germany	152	97%	%	% <del>23</del> 24	16%	79% 4041	%	0. <del>60</del> <u>57</u>	50 0.650.
Sweden	-	100%	94 <u>0</u> %	<del>23</del> <u>24</u> %	5%	%	40 <u>38</u> %	0. <del>72</del> 69	68
Switzer <u>l.la</u> <u>nd</u>	73	<del>90</del> 96 %	<del>75</del> 82 %	<del>5</del> <u>8</u> %	0%	<del>21</del> 22 %	<del>19</del> 21 %	0. <del>78</del> <u>76</u>	<u>,0.75</u> 0. <del>77</del>
UK	136	99%	90%	4138 %	11%	62%	<del>58</del> <u>59</u> %	0.8281	<u>.0.75</u> 0. <del>.76</del>
USA	655	<del>98</del> 99 %	96%	11%	45%	57%	52%	0. <del>73</del> 71	<u>.0.65</u> 0. 68
By climate	class	/0	3070	1170	<del>40</del> /0	31 /0	JZ /0	0. <del>10</del> 11	
Aw	<del>359</del> 344	91 <u>90</u> %	<del>90</del> 88 %	<del>19</del> 18 %	41 <u>10</u> %	44 <u>42</u> %	44 <u>40</u> %	0.6867	0. <del>62</del> <u>60</u>
Cfa	364	97 <u>98</u> %	90 <u>91</u> %	9%	<del>2</del> 1%	52 <u>51</u> %	50 <u>47</u> %	0. <del>75</del> 74	0. <del>69</del> <u>66</u>
Cfb	1746	99%	96%	27 <u>28</u> %	45 <u>14</u> %	76%	75 <u>74</u> %	0.71	0.6260
Csa	197	9899 %	96% 96 <u>97</u> %	<del>19</del> 17 %	42%	46 <u>43</u> %	46 <u>37</u> %	0.71	0. <del>69</del> <u>67</u>
Dfb	956	97 <u>98</u> %	96%	25 <u>27</u> %	4 <u>2</u> % 13 <u>14</u> %	68%	65%	0.4473	0. <del>5</del> 8 <u>56</u>
Dfc	132	96 <u>98</u> %	81 <u>82</u> %	<del>28</del> 30 %	<del>6</del> 8%	27 <u>30</u> %	26 <u>29</u> %	0.66	0. <del>73<u>71</u></del>
World	4122	97%	94%	23%	11%	64%	62 <u>61</u> %	0.7067	0. <del>63<u>61</u></del>

91% 94% 23% 11% 64% % 0.4967

Aw - Tropical savanna climate with dry winter, Cfa - Temperate climate without dry season with hot summer, Cfb - Temperate climate without dry season with warm summer, Csa - Temperate climate with dry and hot summers, Dfb - Continental climate without dry season with warm summer, Dfc - Continental climate without dry season with cold summer

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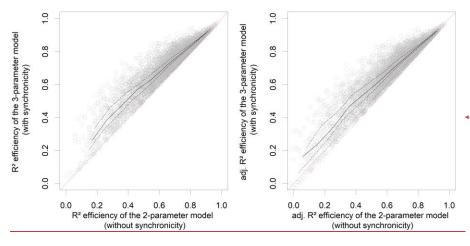
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# 75 Discussion

Figure 4Figure 4 illustrates the improvement inef explanatory capacity in of the regressions due to the introduction of the synchronicity anomalies. While considerable variability exists, and some catchments show equivalent performance between the two regression models (indicated by points on the 1:1 line), the graph confirms that for many catchments (approximately 66 % of the dataset, where  $e_{Q/\Lambda}$  was significant at the 0.05 level), accounting for synchronicity anomalies visibly improves the linear regression's efficiency of the linear regression. Because the adjusted R² shows the same trend as the classical R², this is clearly not a simple effect of the increase of independent variables in the regression. There is of course a lot of variability, as well as catchments for which the two regression models are equivalent (points on the 1:1 line) but overall the graph confirms visually that for many catchments (cf. the two third of the dataset where  $e_{Q/A}$  was significant at the 0.05 level), accounting for synchronicity anomalies brings a visible improvement in the efficiency of the linear regression.





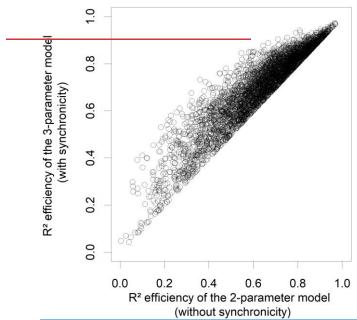


Figure  $\underline{64}$ . Comparison of the performances of the 2-parameter streamflow elasticity model ( $\underline{Eq}$ .  $\underline{6Eq}$ .  $\underline{6Eq}$ .  $\underline{6Eq}$ .  $\underline{6}$  which does not account for P- $E_0$  synchronicity) and the 3-parameter model ( $\underline{Eq}$ .  $\underline{7Eq}$ .  $\underline{7Eq}$ .  $\underline{4}$ , which does). Each point represents one of the 4122 catchments of our dataset. The solid line represents the median, and the dashed lines represent the first and the third quartiles. As measure of efficiency, we use the  $R^2$  on the left plot and the adjusted  $R^2$  on the right one

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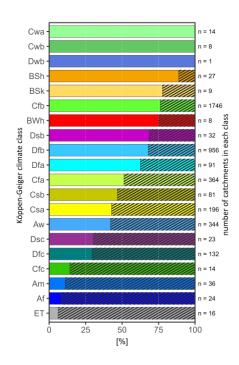


Figure 7. significativity of the P-E<sub>0</sub> synchronicity anomalies by Köppen climate class: the dashed area represents the proportion of catchments for which synchronicity was not deemed significant

Also, Figure 8 Figure 5 shows the geographic distribution of the catchments where the P-E $_{\rm p}$  synchronicity had a significant contribution to explain streamflow anomalies (with a p-value threshold of 0.05). The map brings some further elements to Table 5 and illustrate that there are sub-regions where the coefficient  $e_{Q/A}$  is mostly not significant at the 0.05 level. Based on our knowledge of the climatic specificities of each country, this seems to be possibly correlated to higher rainfall (cf. the Danish dataset, with the particular behavior of the West of Jutland, the case of Florida in the US, the case of the Scottish catchments in Great Britain) and/or to colder areas (cf. the Swiss, Swedish and US datasets).

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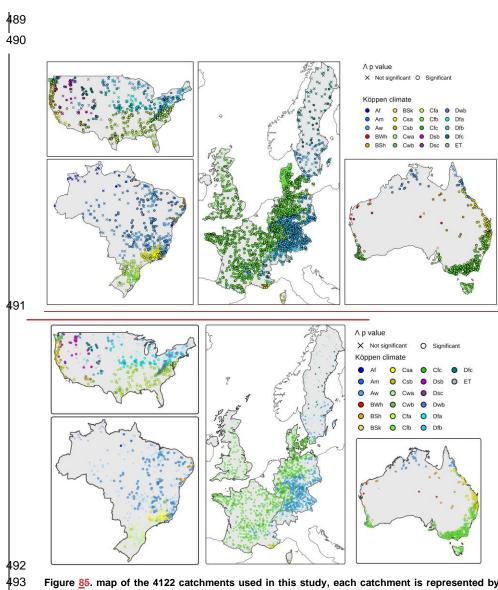
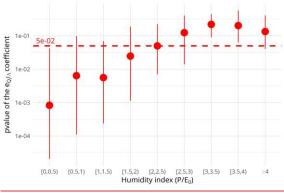


Figure <u>85</u>. map of the 4122 catchments used in this study, each catchment is represented by either a circle (where the P-E<sub>0</sub> synchronicity anomalies had a significant contribution to explain streamflow anomalies) or a cross (where it was not significant at the 0.05 level). The color of circles and crosses corresponds to the Köppen climate classes

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To verify this hypothesis, we have plotted in-Figure 9-Figure 6 presents the p-values of the 4122  $e_{Q/\Lambda}$  coefficients as a function of the humidity index P/E<sub>0</sub>. T: this graph clearly indicates that most of the humid catchments (Humidity index > 2) lack sensitivity to the P-E<sub>0</sub> seasonality, and this pattern is probably likely the main explaneation of for the geographical patterns observed visible in Figure 8-Figure 5.

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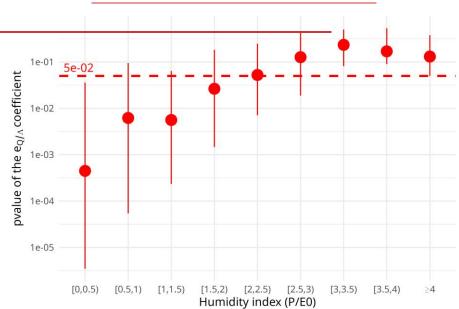


Figure  $\underline{96}$ : distribution of the p-vavalues of the 4122  $e_{Q/A}$  coefficients as a function of the humidity index P/E0. The red points represent the median, the bar represent the interquartile range, and the dashed line represents the 0.05 threshold.

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#### Conclusion

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#### 8.1<u>6.1</u> Synthesis

In this paper, we investigated the dependency between streamflow elasticity and the synchronicity of precipitation and potential evaporation, using a dataset of 4122 catchments located in Europe, Australia, North America and South America. Our analysis provided three main findings. First, we empirically verified the strong correlation among streamflow anomalies, annual precipitation anomalies, and synchronous P- $E_0$  anomalies. Second, we demonstrated that the role of the synchronicity between P and  $E_0$  in explaining streamflow anomalies is significantly more important than that of  $E_0$  anomalies. Finally, we showed that introducing synchronicity between precipitation and potential evaporation as an independent additional predictor-variable in the linear regression clearly improves the prediction of annual streamflow variability.

In this paper, we investigated the dependency between streamflow elasticity and the synchronicity of precipitation and potential evaporation, using a dataset of 4122 catchments located in Europe, Australia, North America and South America.

- we verified empirically the good correlation existing between streamflow anomalies, the anomalies of annual precipitation, and the anomalies of synchronous *P-E<sub>0</sub>* amounts;
- we demonstrated that the role of the synchronicity between *P* and *E*<sub>0</sub> is far more important to explain streamflow anomalies than the role of the anomalies of *E*<sub>0</sub>;
- we showed that introducing the synchronicity between precipitation and potential evaporation as an independent variable in the linear regression clearly improves the prediction of annual streamflow variability.

#### 8.66.2 Perspectives

Notwithstanding these positive results, some estimated elasticity values remain outside of their physically acceptable domain (i.e., [0,1] for  $e_{Q/P}$  and [-1,0] for  $e_{Q/E_0}$  and  $e_{Q/A}$ ). For precipitation elasticity ( $e_{Q/P}$ ), 93% of the catchments were within the physical range, out of a total of 97% where precipitation elasticity was significant. For potential evaporation elasticity ( $e_{Q/E_0}$ ), a lack of physical realism occurs in most of the cases (i.e., only 11% of the catchments were within the physical range, out of a total of 23% where potential evaporation elasticity was significant). This is very likely due to a

sensitivity problem in the regression, which contributes to the difficulty in obtaining realistic elasticity coefficients. Finally, for synchronicity elasticity ( $e_{Q/A}$ ), 61% of the catchments were within the physical range out of a total of 64% where synchronicity elasticity was significant. In the future, we aim to investigate alternative statistical models that could better constrain the elasticity coefficients within their physically realistic domain. Notwithstanding with the above positive results, some estimated elasticity values remain outside of their physically acceptable domain (i.e. [0,1] for equip and [-1,0] for  $e_{\theta/E_{\pi}}$  and  $e_{\theta/A}$ ). For precipitation elasticity  $e_{\theta/F}$ , we had 9493% of the catchments in the physical range for a total of 97% of the catchments where precipitation elasticity was significant. For potential evaporation elasticity  $e_{q_{f \to h}}$ , lack of physical realism occurs in most of the cases (i.e. we had only 11% of the catchments in the physical range for a total of 23% of the catchments where potential evaporation elasticity was significant), very likely a problem of sensitivity in the regression, which causes this difficulty in obtaining realistic elasticity coefficients. Last for synchronicity elasticity  $e_{\theta \neq A}$ , we had 621% of the catchments in the physical range for a total of 64% of the catchments where synchronicity elasticity was significant. In the future, we wish to investigate more

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alternative statistical models that could better constrain the identification of the

elasticity coefficients within their physically realistic domain.

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574	1310 Competing interests
575	The authors declare that they have no conflict of interest.
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Author contributions

Code de champ modifié

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# 1612 Appendix: further details to justify our choice for the synchronicity index

There is no unique solution for choosing a measure of synchronicity between Precipitation and Potential Evaporation. In a previous paper (de Lavenne & Andréassian, 2018) we had presented a non-dimensional index (λ), defined as follows see (Eq. 8Eq. 1) which had the desirable properties:

$$\lambda = \frac{\sum_{m=1}^{12} \min\left(P_{m,n} + E_{0_{m,n}}\right)}{\sum_{m=1}^{12} \max\left(P_{m,n} + E_{0_{m,n}}\right)}$$
 Eq. 8

A reviewer of this paper remarked that our interpretation of this index did not hold inextreme cases. Thus, we modified it in order to improve its interpretability and this index proved again to be adapted in this study. We did however tryalso tried to replace it with simpler versions, and we would like to present these alternatives in order to save time and effort for those who would like to keep working on this topic.

The first simplification which was tested (called here S1) consisted in using directly the synchronous numerator of the  $\lambda$  index as  $P - E_0$  amount follows:

$$\underline{S1_{m}}S1(n) = \sum_{m=1}^{12} \min\left(P_{m,n} + E_{0m,n}\right)$$
 Eq.  $\underline{995}$ 

S1 was an interesting solution because it yielded directly a value in [mm/y], without the need for rescaling, and it clearly represented the precipitation volume that was the most easily accessible to evaporation. In the linear regression, it did give very high average adjusted R² (world average of 0.700.67, the same as for the solution retained). The reason why we did not consider this solution was that there was a correlation between  $\Delta S1$  and  $\Delta P$  for many catchments (average correlation of +0.58 over the 4122 catchments, reaching +0.74 over the Australian catchments), and introducing two correlated variables in a regression equation is clearly bad statistical practice.

To avoid this high correlation, we tested a <u>simplified version of the  $\lambda$  indexnormalization</u> <u>using annual precipitation</u>, which we redimensionalized using the average interannual precipitation as in <u>Eq. 10Eq. 10Eq. 6</u> below:

$$S2(n) = \frac{\sum_{m=1}^{12} \min\left(P_{m,n} + E_{0_{m,n}}\right)}{P_n} * \bar{P}$$
 Eq. 10106

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The problem we found with S2 was that it yielded a constant value (equal to  $\bar{P}$ ) for

727 many arid catchments, where for most of the years  $\frac{\sum_{m=1}^{12} \left( P_{m,n} \cap E_{0,m,n} \right)}{P_m} = 1$  because

728  $P_{m,n} \ll E_{0m,n}$ .

729 We also tested a normalization using annual potential evaporation, which we

redimensionalized using the average interannual potential evaporation as in Eq. 11

731 <u>below:</u>

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$$S3(n) = \frac{\sum_{m=1}^{12} \min\left(P_{m,n} + E_{0_{m,n}}\right)}{E_{0_n}} * \overline{E_0}$$

<u>But S3</u> behaved similarly as S1 (clearly because the  $\frac{\overline{E_0}}{E_{0,n}}$  ratio is always close to 1), and

the issue of having highly correlated values of ΔS3 and ΔP reappeared.

This is why we finally opted for a combination of S2 and S3 using a geometric average (using the original  $\lambda$  index (which never reaches 1, and which correlation with the annual P is low: -0.18-10 on average), which weas then redimensionalized using the average interannual precipitation. Redimensionalizing  $\lambda$  was logically made by multiplying it by  $\overline{\Sigma_{m=1}^{12}}\left(P_{m,n}\cup E_{0_{m,n}}\right)$ , which is a constant value for each catchment and

does not modify the correlation with  $P_n$ . This yielded  $\Lambda_n$ , which has the desired dimension (mm/y), and was used throughout this paper.

$$\varLambda_{n} = \frac{\sum_{m=1}^{12} min\left(P_{m,n}, E_{0_{m,n}}\right) \left[\sum_{m=1}^{12} \left(P_{m,n} \cap E_{0_{m,n}}\right)\right]}{\sqrt{P_{n}} E_{0_{n}}} * \bar{P}$$

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Eq.4