



Meta-analytical insights into organic matter enrichment in the surface

2 microlayer

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- 13 **Abstract.** The surface microlayer (SML), the uppermost ~1 mm water layer at the air-water interface, plays a critical role in
- 14 mediating Earth system processes, yet current knowledge of its composition and organic matter enrichment remains scattered
- 15 across disciplines. Here, we present the first known meta-analysis of SML studies that quantitatively assesses the distributional
- 16 characteristics of selected organic compounds, including organic carbon and nitrogen, amino acids, fatty acids, transparent
- 17 exopolymer particles, carbohydrates, lipids and proteins, through probability density estimates, central tendency metrics and
- 18 correlations analyses. Our results confirm a preferential enrichment of nitrogen-enriched, particulate organic matter in the
- 19 SML, highlighting the significance of compound-specific accumulation and selective enrichment patterns. We also observe
- 20 that the enrichment of a given compound may exhibit notable variability that depends on distinct internal and external
- 21 conditions. Our evaluation of enrichment factors (EFs) of various measurable compounds provides updated estimates for their
- 22 typical values and ranges. While delving into the ability of EFs to reflect the partitioning of organic matter within the SML,
- 23 we also critically examine their limitation in capturing trophic conditions. Based on these findings, we propose that future
- 24 SML research should incorporate both absolute concentration changes and enrichment capacities in the SML, alongside their
- 25 relative changes (as denoted by EFs), to more accurately interpret ecological implications. Additionally, our meta-analysis
- 26 demonstrates the value of logarithmic data transformations and robust central tendency estimates, as essential tools for
- 27 improving the statistical reliability, comparability, and representation of SML enrichment patterns.

1 Introduction

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- 29 Approximately 70% of the Earth's surface is covered by a hydrated gelatinous 'skin' known as the surface microlayer
- 30 (hereafter referred to as 'SML'; note that while this term is commonly used to denote the sea surface microlayer, in this study



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climate interactions.



it refers to the surface microlayer in both marine and freshwater systems), which is of a thickness of $1-1000 \mu m$ (Astrahan et 31 32 al., 2016; Hunter, 1980; Liss and Duce, 1997, 1997; Wurl et al., 2009). Situated between the surface waters of all natural water 33 bodies and the atmosphere, this uppermost multi-component layer (Astrahan et al., 2016; Carlucci et al., 1985; Cunliffe et al., 34 2013) creates a unique microhabitat, mainly consisting of neuston (i.e., living communities in the SML), a relatively enriched 35 complex of organic compounds and strong physico-chemical gradients (Cunliffe et al., 2013; Dietz et al., 1976; Engel and 36 Galgani, 2016; Hunter and Liss, 1977). The formation and the composition of the SML are governed by a number of biological, 37 physical and chemical drivers that interact under varying complex environmental conditions and time scales. As a result, the 38 SML dynamics play a pivotal role in a range of environmental processes such as air-water gas exchange, heat transfer across 39 boundary layers, biogeochemical cycling, microbial interactions and distribution of pollutants (e.g., Engel et al., 2017; Frew, 40 1997; Liss and Duce, 1997; Upstill-Goddard, 2006). Therefore, continued investigation of the compositional heterogeneity of the SML and of the processes therein is crucial to gain deeper insights into its role in ocean biogeochemistry and its potential 41

43 The primary source of compounds in the SML is the underlying waters (hereafter referred to as 'ULW'; Baastrup-Spohr and 44 Staehr, 2009; Chen et al., 2016) from where material is transported through diffusive fluxes, rising bubbles and buoyant 45 particles (Joux et al., 2006; Obernosterer et al., 2005). In addition, wet and dry atmospheric deposition as well as in situ 46 production and degradation also lead to concentration changes in the SML (Astrahan et al., 2016; Kuznetsova et al., 2004; 47 Milinković et al., 2022). Compared to the ULW, the SML is often enriched in organics (e.g., Baastrup-Spohr and Staehr, 2009; 48 Gao et al., 2012; Gašparović et al., 2007; Liss and Duce, 1997; Marty and Saliot, 1976; Yang, 1999). Many of these compounds 49 are surface active and are generally known as 'surface-active-agents' or 'surfactants' (Maki and Hermansson, 2020; Wurl and 50 Holmes, 2008). Surfactants tend to adsorb at the air-water interface (Wurl et al., 2009) due to their amphiphilic nature (i.e., 51 presence of both hydrophobic and hydrophilic structural parts; e.g., Marty and Saliot, 1976), so that they reduce surface tension 52 and can form stable interfacial films. When the SML becomes highly concentrated in surfactants, these films transform into thick surface slicks that are visible to the naked eye (Liss and Duce, 1997). Some of the naturally occurring surfactants in the 53 54 SML include fatty acids, proteins, certain polysaccharides, humic-like substances and lipids (Brinis et al., 2004; Marty and 55 Saliot, 1976). In addition, inorganic ions, which do not preferentially adsorb at the air-water interface, can be also present in the SML due to passive upward transport (Knipping et al., 2000; Petersen et al., 2004). Furthermore, sticky microgels, like 56 57 transparent exopolymer particles (TEP) that originate from bacteria and phytoplankton (Alldredge et al., 1993), are also found in the SML. Such gel-like particles can form through the coagulation of dissolved polysaccharide (Engel et al., 2004; Mari 58 59 and Burd, 1998; Schartau et al., 2007), and are capable of incorporating other compounds into a cohesive matrix (Cunliffe et 60 al., 2009; Sieburth, 1983; Wurl and Holmes, 2008), thereby enhancing the structural integrity of surface films (Cunliffe and Murrell, 2009). 61





Liss and Duce (1997) and Pereira et al., (2018) argue that the SML can restrict diffusive fluxes across the air-sea interface, 62 substantially contributing to reduced rates of ocean-atmosphere gas exchange. Surfactants can impact air-sea gas exchange of 63 64 greenhouse gases such as carbon dioxide (CO₂), methane (CH₄), nitrous oxide (N₂O) and dimethyl sulfide (DMS) (Frew, 1997; 65 Upstill-Goddard, 2006). Asher (1997), from laboratory measurements, and Tsai and Liu (2003), from global ocean observations, estimate a reduction of annual net CO₂ flux by $\sim 20\% - 50\%$ due to the presence of the SML, while Wurl et al., 66 (2016), from in situ measurements, propose that this decrease can be ~15%. Barthelmeß et al. (2021) observed that, in a newly 67 68 upwelled filament off Mauritania, surfactants can suppress CO₂ gas exchange by 12%. Both lab- and field-based experiments 69 find that natural slicks can reduce air-sea gas exchange by 50 – 60% (Goldman et al., 1988; Salter et al., 2011; Mustaffa et al., 70 2020), causing the SML to drive an overall reduction of 19% in the CO2 fluxes, as shown by in situ observations (Mustaffa et al., 2020). Supporting earlier findings of Springer and Pigford (1970), McKenna and McGillis (2004) and Sabbaghzadeh et al. 71 72 (2017), who raised concerns about the impact of the SML's surfactants on uncertainties in air-sea gas exchange models, 73 Mustaffa et al. (2020) further argue that conventional wind-based models miscalculate CO₂ exchange up to 20% in areas with high surfactant concentrations. Moreover, Kock et al. (2012) find that, in the eastern tropical North Atlantic region, offsets 74 75 between air-sea and diapycnal N₂O fluxes could be explained when surfactant effects were introduced to gas exchange models. Work of Goldman et al., (1988) find that surfactants in the SML can also suppress air-sea gas exchange of oxygen (O2). 76 77 Disparities in these studies emphasize the significance of accurately assessing the characteristics of the SML and its processes, as well as integrating this knowledge into climate relevant ocean-atmosphere models (Milinković et al., 2022) in order to 78 79 reduce uncertainties in global gas flux estimations, particularly given that SML is seldom included in gas exchange models 80 (Cen-Lin and Tzung-May, 2013; Engel et al., 2017).

Although the composition and the concentration of compounds within the SML are thought to be strongly correlated with those of the ULW (Basstrup-Spohr and Staehr, 2009; Chen *et al.*, 2016; Joux *et al.*, 2006; Kuznetsova *et al.*, 2004), certain substances are selectively accumulated at the air-water interface, leading to a pronounced enrichment in the SML. The accumulation of these specific compounds in the SML relative to the ULW is often described by the 'Enrichment Factor' (hereafter referred to as 'EF'). The EF of a compound 'x' is given by the following concentration ratio:

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$$EF \ of \ x = \frac{Concentration \ of \ x \ in \ SML}{Concentration \ of \ x \ in \ ULW}$$
 (1)

This equation proposes that when the x's concentration in the SML is higher than that in the ULW, the corresponding EF value becomes > 1 and vice versa, as discussed in Carlson (1983) and Garabetian *et al.* (1993). However, Basstrup-Spohr and Staehr (2009) observed that non-slick areas in which microbial degradation processes are dominant can also demonstrate higher EF values, resembling those found in slick conditions. Kuznetsova *et al.* (2004) observed that organic matter (OM) dynamics in the SML can be decoupled from the composition of the ULW due to factors such as different remineralization rates and





92 selective adsorption (as opposed to homogenous mixing between the two compartments). Hillbricht-Ilkowska and 93 Kostrzewska-Szlakowska (2004), in their work on lakes, suggest that eutrophic ULW conditions can lead to lower SML

enrichment when the waters are concentrated by autochthonous OM (i.e., originate within the same ecosystem they are found)

95 that show a lower affinity to the air-water interface. Hardy, (1997) mentioned that the processes leading to SML enrichment

are often similar in both marine and freshwater environments. Knulst et al. (1997), Münster et al. (1998) and Södergren (1987)

97 found that the freshwater SML tends to be more enriched with organic carbon and nitrogen, total phosphorous, ammonia and

98 phosphate ions, while in marine environments, SML enrichment is stronger for carbohydrates, lipids, proteins and amino acids

99 (Liss and Duce, 1997). Overall, and given the diversity of these results, it is clear that a more holistic view of the applicability

of EF as a valid and meaningful indicator of SML enrichment is warranted.

101 To address these objectives, we adopted a meta-analysis of SML-studies, and conducted a comprehensive analysis to (1) assess

102 OM enrichment in the SML, (2) review existing EF estimates and (3) investigate the relevance of EF values as accurate

indicators of SML enrichment. New and novel insights gained from these analyses are intended to establish a robust foundation

104 for future modelling efforts focused on the functions of the SML and their implications for biogeochemistry and climate.

2 Methodology

106 The work presented here synthesizes findings from multiple studies on the SML and employs a quantitative meta-analysis.

107 Such systematic reviews can provide a more precise and accurate understanding of overarching trends, even when individual

108 studies report inconsistent results (Crocetti, 2016). Mengist et al., (2020) highlight the importance of meta-analyses by stating

109 that "Systematic reviews with meta-analysis represent the gold standard for conducting reliable and transparent reviews of

110 literature."

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2.1 Data collection and compilation

112 The primary dataset consists of 2055 data points, extracted from 30 peer-reviewed publications (hereafter referred to as

113 'reference studies') identified through a comprehensive and systematic literature search of scholarly articles published between

114 1967 and 2022. From these studies, data containing simultaneously collected SML and ULW concentrations (hereafter referred

115 to as '[C]_{SML}' and '[C]_{ULW}', respectively) were extracted for twelve different observational types of organic compounds

116 (hereafter known as 'target compounds'): total organic carbon (TOC expressed in mg L⁻¹), particulate organic carbon (POC in

117 mg L⁻¹), dissolved organic carbon (DOC in mg L⁻¹), total organic nitrogen (TON in mg L⁻¹), particulate organic nitrogen (PON

in mg L⁻¹), dissolved organic nitrogen (DON in mg L⁻¹), amino acids (AA in μmol L⁻¹), fatty acids (FA in μg L⁻¹), transparent

119 exopolymer particles (TEP in μg Xeq L⁻¹), carbohydrates (CHO in μmol L⁻¹), lipids (in μmol L⁻¹) and proteins (in μmol L⁻¹).

120 TOC pool includes all forms of organic carbon, thus comprising both POC and DOC. Similarly, the TON pool combines both

121 PON and DON. In general, the particulate pool constitutes a minor fraction of the total pool. The major classes of biopolymers





- are proteins, CHO and lipids, with AA serving as the monomers of proteins. Depending on the elemental composition of these
- 123 biopolymers, they contribute to both, the organic carbon and/or organic nitrogen pool. While the ratio of these biopolymers is
- 124 higher in the particulate pool, it usually declines to only a few percent in the dissolved pool. TEP is composed of
- 125 polysaccharides (i.e., CHO) with a major fraction contributing to POC, while a minor fraction exists at the interface between
- the dissolved and particulate phases (Verdugo *et al.*, 2004).
- 127 The EF values for these target compounds were systematically calculated from corresponding [C]_{SML} [C]_{ULW} pairs, using Eq.
- 128 (1). In this study, [C]_{SML}, [C]_{ULW} and EF data are collectively referred to as 'primary data'. Auxiliary information associated
- with the primary data, (i.e., sampling factors and environmental variables) were also extracted when reported. They are referred
- to as 'secondary data'. All the data, in general, were collected either (1) directly from the source when presented, or else (2)
- 131 through digitization of graphs and plots using PlotDigitizer (https://plotdigitizer.com) and GraphClick v3.0
- 132 (https://graphclick.en.softonic.com/mac) or, (3) via author correspondence when data was not available in the published
- 133 materials. The resulting compiled database is herein referred to as 'Surface Microlayer Data (SMD)'. Supplementary Table S1
- provides an overview of the reference studies on which SMD is based.

135 **2.2 Statistical analyses**

- 136 Given that the SMD ranges over several orders of magnitudes, when the dataset is handled in linear-space (i.e. in its original
- 137 form), higher values dominate and overshadow the features associated with lower values (Feenstra, 2006). These potential
- 138 limitations of linear scaling were reduced by transforming our primary data into their logarithmic (log₁₀) counterparts.
- 139 Hereafter, the term 'linear' refers to the original, untransformed data, while the term 'log' stands for their logarithmic
- 140 equivalents. The following sections describe the subsequent analyses conducted in our work.

141 2.2.1 Probability distributions

- 142 Making inferences based on ratios such as EFs requires careful consideration, as changes in the numerator and the denominator
- often affect these ratios asymmetrically (Keene, 1995). In the context of this study, while reductions in [C]_{ULW} can lead to
- unusually high EF values that can approach infinity (i.e., stretched towards higher values), increases in [C]_{ULW} may produce
- 145 EFs decreasing down to 0 (i.e., compressed towards lower values). This results in distributions that significantly deviate from
- 146 Gaussian (i.e. normally distributed) shape. Therefore, distributional characteristics of the primary data were examined through
- 147 probability distributions.
- 148 Probability density functions (hereafter referred to as 'PDF') of the EF values were examined by applying non-parametric
- 149 Kernel Density Estimates (hereafter referred to as 'KDE'; Parzen, 1962; Silverman, 1986; Wegman, 1972). KDE employs a
- 150 normalized weighting function known as 'Gaussian kernel' which is centered at each datapoint. The sum of these kernels
- produces a smooth and continuous PDF that fits the underlying data. Selection of the width of a kernel known as 'bandwidth'



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- 152 is an integral part of the KDE approach, as bandwidths too small or too large lead to overfitting and underfitting of data,
- 153 respectively, failing to capture the true patterns in distributions. Following this, optimal bandwidths for linear KDEs were
- 154 computed based on Härdle et al. (2004). For log KDEs, a fixed optimal bandwidth was applied.
- 155 Robustness of the KDE method decreases at low sample size. Since the SMD contains variables with sample sizes as low as
- 156 16 (for proteins), a bootstrap resampling approach was adopted where 67% of the original data (i.e., 2/3 of the sample) were
- 157 randomly subsampled and an individual KDE was generated at each iteration. The process was repeated 1000 times, each time
- with a different random subsample, generating a set of KDE. These were then averaged to produce an ensemble mean, from
- 159 which the final PDFs were derived.
- 161 Additionally, cumulative distribution functions (hereafter referred to as 'CDF') were determined for [C]_{SML} and [C]_{ULW} from
- 162 the ensemble means of the bootstrapped KDEs. Appendix A provides further information on the KDE method.

2.2.2 Summarization, comparison and correlation estimates of distributions

- For describing, comparing and relating the resulting PDFs and CDFs, we used standard statistical measures. Their mathematical expressions are given in Appendix B.
 - (1) To describe the central tendencies, mode (hereafter referred to as ' x_m '), median (hereafter referred to as ' \bar{x} '), arithmetic mean (hereafter referred to as ' \bar{x}_g ') and geometric mean (hereafter referred to as ' \bar{x}_g '), were computed.
 - (2) The values at 5th and 95th percentiles of each distribution (hereafter referred to as 'upper threshold: UT' and 'lower threshold: LT', respectively) were also estimated in order to determine their central 90% range (i.e., degree of spread).
 - (3) To numerically compare the [C]_{SML} and [C]_{ULW}, Integrated Quadratic Distance (Hereafter known as 'IQD') values of their CDFs were approximated based on Eq. (B3), which measure how different the two distributions are with regard to symmetry and multimodality.
 - (4) To investigate and quantify potential relationships between [C]_{SML} and [C]_{ULW} of each target compound, their linear correlation was analysed by employing both parametric Pearson and non-parametric Spearman's tests (both methods were applied for cross-validation purposes; agreement between the two correlation coefficient values increases the confidence in the robustness of the observed relationship).

177 3 Results

- 178 Unless otherwise stated, all analyses were performed on log scale. Nevertheless, to avoid potential misinterpretation of log
- 179 scales in data presentation, primarily due to their limited readability among non-expert audiences (e.g., Menge et al., 2018),
- all results are presented on linear scale (unless otherwise stated).





3.1 Characterizing EF distributions

Figure 1 compares the KDE-derived PDFs of the EF values for the carbon-enriched (in blue) and nitrogen-enriched (in orange) organic compounds (Hereafter known as 'PDF_C' and 'PDF_N', respectively). PDF_C was derived from EF values for TOC, DOC, POC, FA, TEP and CHO. The remaining target compounds derive PDF_N. In their linear version (Figure 1(a)), both PDFs demonstrate positive skewness (i.e., right-skewness) with the two x_m values being 1.10 and 1.15, respectively. Nevertheless, the peak probability density of the PDF_C (i.e., the height of the PDF = ~1) is more than twice that of the PDF_N (~0.4). \tilde{x} of the two PDFs vary substantially, with PDF_C and PDF_N yielding values of 1.25 and 1.75, respectively. The values for $\overline{x_a}$ (2.60 and 5.39, respectively) and $\overline{x_g}$ (1.51 and 2.34, respectively) further reflect this divergence. In contrast, their log-transformed versions (Fig. 1(b)) approximate normal distributions, with PDF_C estimating the (exponentials of) $x_m = 1.11$; $\tilde{x} = 1.24$ and $\overline{x_a} = 1.51$. The PDF_N yields corresponding values of 1.33, 1.76 and 2.34. Their peak probability densities also reflect that the PDF_C (~2.6) is twice as high as that of PDF_N (~1.5).

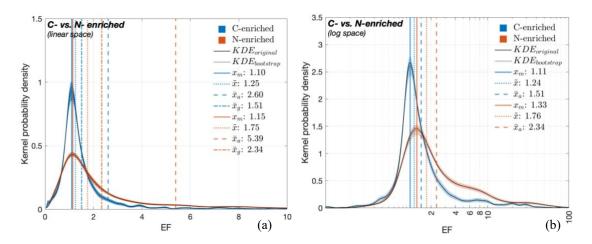


Figure 1: PDFs of the EF values for carbon-enriched (blue) and nitrogen-enriched (orange) compounds. PDFs of the (a) untransformed (i.e., linear) and (b) log-transformed EF values. The solid black line indicates the KDEs derived from original data while the dashed black line represents the ensemble mean of bootstrapped KDEs. Central tendency metrics (mode $[x_m]$, median $[\tilde{x}]$, arithmetic mean $[\overline{x_a}]$, geometric mean $[\overline{x_a}]$) given in panel (b) are the exponentials of the corresponding estimates on the log scale.

We also compared EF-based PDFs (Figure 2) for dissolved (PDF_D, in purple) and particulate (PDF_P, in green) OM where we refer to a filter size of 0.22 µm (Gao *et al.*, 2012). At a linear scale (Figure 2(a)), the PDFs are again right-skewed for the two clusters, with characteristics: (1) 1.20 (both PDF_D and PDF_P) for x_m ; (2) 1.50 and 2.25 for \tilde{x} ; (3) 4.39 and 4.41 for $\overline{x_a}$ and, (4) 1.91 and 2.53 for $\overline{x_g}$, respectively. The peak probability density of the PDF_D (~0.6) exceeds that of the PDF_P (~0.3) by nearly a factor of two. The log PDF_D and PDF_P (Fig. 2(b)) approximate normal distributions alongside the following exponentiated central values, respectively: (1) $x_m = 1.20$ and 1.88; (2) $\tilde{x} = 1.48$ and 2.29; (3) $\overline{x_a} = 1.91$ and 2.53. Their peak probabilities compare between ~1.8 (for PDF_D) and ~1.0 (for PDF_P).





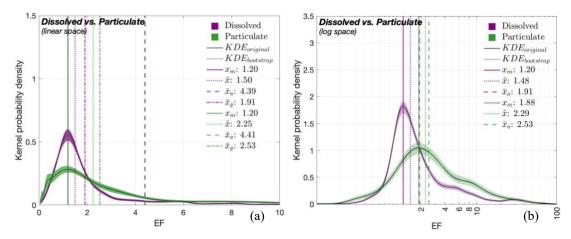


Figure 2: PDFs of the EF values for dissolved (purple) and particulate (green) compounds. PDFs of (a) linear and (b) log EF values. See Fig. 1 caption for details on KDEs and central tendency metrics.

Figure 3 displays the PDFs of the EF values for the target compounds. All the distributions exhibit nearly log-normal characteristics, nevertheless they vary in their degrees of spread. Here, only \tilde{x} and $\overline{x_a}$ values estimate the central tendency of each distribution (the rationale for this approach is discussed in section 4.2). The values of \tilde{x} (dotted pink line) and $\overline{x_a}$ (dashed pink line) are closely aligned in magnitude. According to these derived estimates, median and geometric mean EFs are largest for POC (Fig. 3(b): $\tilde{x} = 3.09$; $\overline{x_a} = 3.22$) across all the target compounds, with PON (Fig. 3(e)) and DON (Fig. 3(f)) following closely, each exhibiting \tilde{x} and $\overline{x_a}$ values > 2. Although proteins (Fig. 3(l)) also show higher central tendency estimates, it should be noted that they have the smallest sample size (= 16), followed by lipids (sample size = 20). Therefore, the results of these two compounds should be interpreted with caution due to their lower statistical robustness. A comparison of threshold metrics (i.e., LT and UT; see section 2.2.2) reveals that the EF distributions for FA (Fig. 3(h)) and POC (Fig. 3(b)), exhibit the highest UT values (14.5 and 13.3, respectively) along with the greatest distributional variability. TOC (Fig. 3(a)) and TON (Fig. 3(d)) show the least variability among all target compounds. While some compounds exhibit well-defined unimodal EF distributions (e.g., POC, PON), few others (e.g., TON, AA) display polymodal patterns.





3.2 Comparing SML and ULW concentrations

Figure 4 presents the CDFs of the ULW (in red) and SML (in blue) concentrations for the target compounds. A CDF exhibits how probability accumulates across a range of values (in the current context, [C]_{SML} and [C]_{ULW} data). All CDFs (both [C]_{ULW} and [C]_{SML}) exhibit a characteristic sigmoidal shape: a slow initial rise (i.e., lag phase), followed by a steep rise (i.e., exponential phase), eventually reaching a plateau (i.e., stationary phase). CDFs for TOC display two distinctive plateaus indicating bimodal concentration distributions for both SML and ULW.

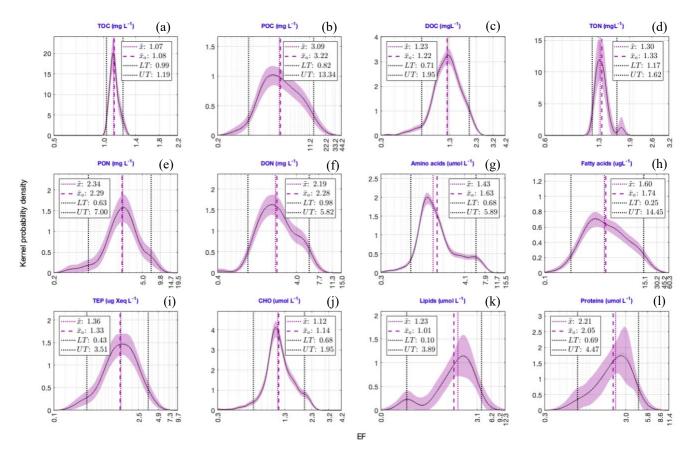


Figure 3: PDFs of the EF values for the twelve target compounds. The lower and upper thresholds of each distribution (dashed black lines) are defined by 5th and 95th percentiles of each PDF (see section 2.2.2). The values of these thresholds, along with the central tendency metrics given in each panel, are the exponentials of the corresponding estimates in the log space.

Additionally, despite the homogeneity in the general shape and trend of these CDFs, their corresponding IQDs (Fig. 5) reveal that the magnitudes of the offsets between [C]_{ULW} and [C]_{SML} distributions vary substantially across the target compounds. Lower IQD values indicate greater similarities between the CDFs, while higher values document clear distinguishability and thus also document a more robust enrichment signal. The lowest IQD is reported for the CDFs of TOC and CHO (0.005) while



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that of DON yield the highest in value (0.184). In addition, lower CDFs (i.e. IQD < 0.05) are observed for lipids (0.008), DOC (0.012), TEP (0.018), AA (0.039) and TON (0.041), whereas POC (0.18) and proteins (0.15) exhibit a greater divergence (i.e. IQD > 0.15) between [C]_{ULW} and [C]_{SML}. The color intensity of each bar reflects the sample size (n) of each target compound (i.e., smaller the sample, lighter the color).

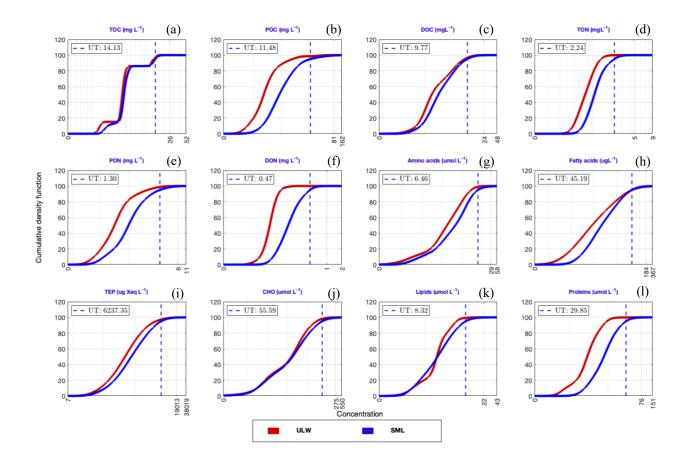


Figure 4: CDFs of the ULW (red) and SML (blue) concentrations for the target compounds. The upper thresholds for [C]_{SML} (UT; given by blue dashed lines) are defined by 95th percentiles of the corresponding CDF. The values of these thresholds are the exponentials of the corresponding estimates in the log space. Their corresponding IQDs are given in Figure 5.

Correlations between $[C]_{ULW}$ and $[C]_{SML}$ of the target compounds were statistically estimated using liner correlation, as presented in Figure 6. The coefficients ' ρ ' and 'r' stand for the correlation values derived from non-parametric Spearman's and parametric Pearson correlation tests, respectively. For all target compounds, except for DON, lipids and proteins, we found strong correlations between their SML and ULW concentrations (ρ and r > 0.5) with robust positive relationships. Individual datapoints for TOC, DOC and CHO (Figs. 6(a), (c) and (j)) closely fall on the 1:1 reference line where $[C]_{SML} = [C]_{ULW}$ (dashed





black line). In contrast, those for POC, TON, AA and FA are notably shifted towards the y-axis, suggesting higher $[C]_{SML}$ values relative to $[C]_{ULW}$ that corresponds to potentially enriched (depleted) SML (ULW) concentrations against ULW (SML) concentrations (see inset plot in Fig. 6(a)). Although TEP shows a slight enrichment in the SML, the effect is not particularly pronounced (Figure 6(i)). In addition, all the datapoints (regardless of whether they display copulation or not) were further color-coded according to their respective EFs. The results reveal an overall consistency in EFs across concentration ranges irrespective of their magnitudes. For example, in Fig. 6(c), EF values remain below 5, both when $[C]_{ULW}$ and $[C]_{SML}$ are < 0.5 mg L^{-1} and > 5 mg L^{-1} . This pattern holds across nearly all the target compounds.

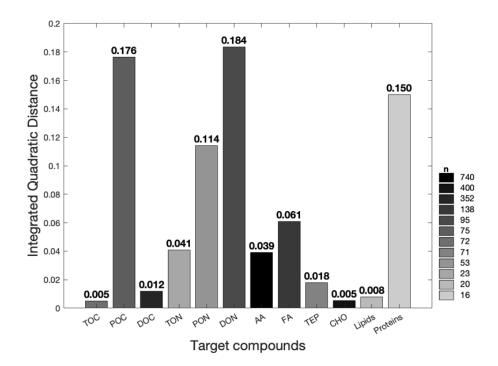


Figure 5: IQD values quantifying the divergence between ULW and SML concentrations for each target compound. The IQD represents the squared difference between ULW- and SML-based CDFs shown in Figure 4. Higher IQD indicates greater divergence between the two distributions and vice versa. Bar color intensity corresponds with the sample sizes.





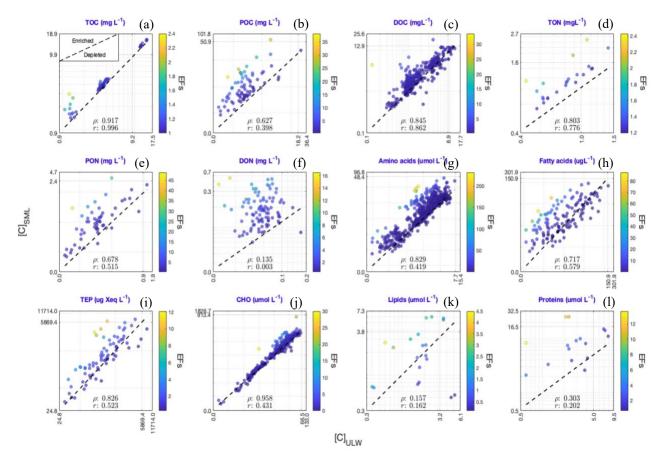


Figure 6: Linear correlation between $[C]_{ULW}$ and $[C]_{SML}$ for the target compounds. The datapoints are color-coded based on their corresponding EFs. Dashed black line indicates 1:1 line when $[C]_{ULW}$ (x-axis) = $[C]_{SML}$ (y-axis). Inset plot in panel (a) exhibits the relevant implications of the figure: Correlations above the 1:1 line correspond to selective SML enrichment and vice versa. The values of ' ρ ' and 'r' give Spearman's and Pearson correlation coefficients, respectively.

3.3 Investigating concentration-dependent enrichment dynamics

Informed by the observations drawn from Fig. 6, Fig. 7 presents a more detailed investigation into the interrelationships among $[C]_{SML}$, $[C]_{ULW}$ and EFs in the environment. The analysis is restricted to $[C]_{SML}$ values (x-axis) that exceed the \tilde{x} (i.e., median) of their respective distributions (median is the most stable central tendency metric of a distribution. Discussed further in section 4.2). These elevated $[C]_{SML}$ are compared against the corresponding $[C]_{ULW}$ (color scale) and EF (y-axis) values. The results reveal following covariation trends:

(i) TOC reports a generally low range of EF values comparable at both low and high concentrations of SML and ULW (Fig. 7(a))



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- 264 (ii) DOC displays relatively consistent EF values regardless the magnitudes of [C]_{SML} and [C]_{ULW} (Fig. 7(c)), but 265 also slightly points towards higher EF values in association with low [C]_{ULW}
 - (iii) DON presents an ascending EF gradient, positively correlated with [C]_{SML} (Fig. 7(f)), revealing more enrichment to be well reflected in the concentrations found in the SML
 - (iv) AA shows a similar correlation dependence to that of DON, but also reveals a much clearer trend toward higher EF values to be found at lower [C]_{ULW} concentrations (Fig. 7(g))
- 270 FA (Fig. 7(h)), despite their larger sample sizes, fail to display conspicuous consistent trends in the [C]_{SML} [C]_{ULW} EF triad.

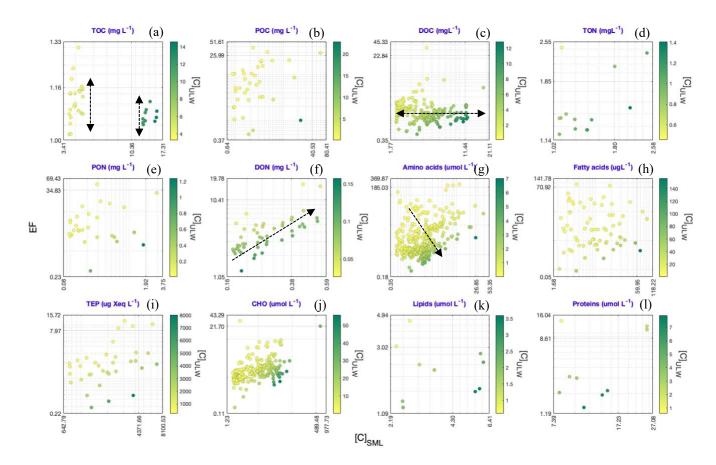


Figure 7: Interdependent relationship of [C]_{SML} values with the corresponding [C]_{ULW} and EF values. The analysis is restricted to [C]_{SML} values that exceed the corresponding \tilde{x} values. The x-axes give the observed [C]_{SML} values against their corresponding EF values on y-axes. Datapoints are color-coded based on corresponding [C]_{ULW} values. The black arrows indicate identified enrichment patterns.





274 4. Discussion

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By employing a meta-analytical approach, our study presents the first comprehensive overview of the enrichment dynamics in 275 276 the SML, based on existing literature. A quantitative assessment of the distribution of the reference studies (i.e., the studies on which the SMD is based) across key domains of SML research provides insights into the most frequently studied aspects 277 278 (Supplementary Figure S1); research on the SML has increased from about 15 publications per year in the early 2000s to 279 approximately 50 per year by 2016 (Engel et al., 2017). However, our work highlights the potential understudied areas in SML research that call for more in-depth analysis. For instance, majority of the reference studies have been conducted in oceanic 280 281 and coastal regions (~76% of data) and predominantly during warmer months (~77% of data) with a significant mismatch observed for data collected under low and high wind regimes (~81% vs. 19%, respectively). In light of these research gaps, 282 the following sections interpret the main findings revealed by our analysis and discuss their implications for understanding 283 284 SML enrichment.

285 4.1 Overarching trends in SML enrichment

4.1.1 Generalized enrichment patterns

- 287 Comparison of KDE-derived PDFs for the EF values of (1) carbon-enriched vs. nitrogen-enriched organic compounds (Fig. 1)
- and (2) dissolved vs. particulate organic compounds (Fig. 2) yield the following key implications:
- 289 (1) All the estimated original (i.e., linear scale) PDFs (Figs. 1(a) and 2(a)) display higher probability densities for lower 290 EF values and extended tails towards higher EF values (i.e., right-skewness), suggesting that under natural conditions, 291 modest SML enrichment is far more common in general, while extreme enrichment events are rare.
 - (2) Nevertheless, variation in the peak probability densities among the PDFs indicate that extreme SML enrichment events are relatively more frequent in nitrogen-enriched compounds (Fig.1: orange PDF) and particulate forms (Fig. 2: green PDF), compared to carbon-enriched compounds (Fig.1: blue PDF) and dissolved forms (Fig.2: purple PDF)
 - (3) Nitrogen-enriched compounds and particulate forms exhibit a broader EF variability (i.e., higher mode, median, mean values) compared to carbon-enriched compounds and dissolved forms with a relatively more consistent spread (i.e., lower central tendency metrics)
- 298 These differences in peaks and central tendency metrics persist in log-transformed PDFs as well (Figs 1(b) and 2(b)). This
- 299 validates that these variations are not caused by statistical artifacts but reflect real, natural variability in enrichment behavior.
- 300 Overall, these findings from our meta-analysis indicate that the OM accumulation in the SML is more effective for (1) nitrogen-
- 301 enriched than for carbon-enriched compounds and (2) particulate than for dissolved forms.



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Our findings contradict some earlier works including Baastrup-Spohr and Staehr (2009), Liss and Duce (1997), Yang (1999) who suggest that the SML is similarly enriched for both particulate and dissolved organic (and inorganic) compounds, but align with other studies that report opposing results: Dietz *et al.* (1976) provide evidence for enhanced accumulations of particulate matter in the SML through particle aggregation at the surface. The work further links high abundances of living bacteria in the near-surface to higher availability of POC in the SML. Studies of Carlucci *et al.* (1985), Henrichs and Williams, (1985), Kuznetsova *et al.* (2004), Kuznetsova and Lee (2002) and Reinthaler *et al.* (2008) report that POC and PON tend to be more enriched in the SML than DOC. Engel *et al.* (2017) state that the SML has been shown to be enriched in particulate organic matter, particularly in proteinaceous compounds. These disparities, highlight potential uncertainties in employing carbon-based parameterization (instead of nitrogen-based) to estimate surfactant-suppressed CO₂ fluxes (e.g., Barthelmeß *et al.*, 2021; Li *et al.*, 2024). Overall, these overarching trends of SML enrichment underscore the importance of resolving compound-specific accumulation in the SML, while distinguishing between selective and non-selective enrichment. Cumulative probability comparison results for the [C]_{ULW} and [C]_{SML} (Figs. 4 and 5) and their corresponding linear correlations (Fig. 6) provide a meta-analytical perspective on how compounds are distributed and accumulated between the two compartments. Here, results concerning lipids and proteins are excluded due to apparent randomness in their distributions, potentially caused by smaller sample sizes.

4.1.2 Compound-specific enrichment patterns

318 Significant correlations between $[C]_{ULW}$ and $[C]_{SML}$ of nearly all the target compounds (ρ and r > 0.5) are consistent with the overall understanding that the SML's composition is linked to the availability of material in the underlying sub-surface waters 319 (Chen et al., 2016; Joux et al., 2006; Kuznetsova et al., 2004). Contrary to this general pattern, Kuznetsova et al. (2004) 320 321 suggest that certain OM fractions in the SML and ULW may show lack of correlation, potentially due to constraints such as 322 varying mineralization rates between the two layers and surface adsorption processes. Consistent with this view, linear 323 correlation results for DON indicate such decoupling (Fig. 6(f)), though the underlying causes remain unexplored in this study. Early studies also suggested that the variations in the SML concentrations are typically larger than those in the ULW 324 (Reinthaler et al., 2008). In agreement, CDFs of the [C]_{ULW} and [C]_{SML} demonstrate faster probability accumulation for ULW 325 326 than SML (Fig. 4), implying generally smaller magnitudes and lower variability in ULW concentrations compared to SML concentrations. Conversely, Carlson (1983) argues that, in certain occasions, OM variability in the SML and ULW may not 327 328 significantly differ across temporal and spatial scales. The CDFs for TOC, DOC, TEP and CHO which exhibit the lowest IQD 329 values (Fig. 5), support this but is contradicted by those of the other compounds, with higher IQD values (indicating substantial differences between the two concentrations). 330

Works of Hunter and Liss (1977) and Kurata *et al.* (2016) discuss the selective enrichment of surfactants in the SML, mainly driven by microbial processes. Hydrophobic compounds tend to show more affinity to the surface compared to hydrophilic substances (Marty and Saliot, 1976). In agreement, our linear correlation results reveal preferential accumulation of AA (Fig.



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6(g)) and FA (Fig. 6(h)), often parts of biosurfactants (e.g., lipopeptides or polypeptides), in the SML. Linear correlation results 334 335 shown in Fig. 6(i) provide evidence to the view that TEP is generally enriched in the SML compared to the ULW (Cunliffe 336 and Murrell, 2009; Cunliffe et al., 2009; Wurl and Holmes, 2008), although this enrichment is not strongly pronounced in our 337 dataset. Additionally, the nearly overlapping CDFs for TEP in SML and ULW (Fig. 4(i)) along with its low IQD value (= 0.081; Fig. 5) further suggest a surprisingly weak enrichment. However, concentration trend of TEP observed in our data 338 closely aligns with that of CHO (Figs. 4(j) and 5), supporting the prevailing hypothesis that TEP is formed through coagulation 339 of dissolved polysaccharides (Passow, 2000). Thornton et al. (2016) observe that TEP and dissolved polysaccharides do not 340 341 always exhibit significant enrichment in the SML as anticipated.

POC and PON correlation patterns (Figs. 6(b) and 6(e), respectively) where [C]_{SML} significantly exceed [C]_{ULW}, and that of 342 343 DOC (Fig. 6(c)) where [C]_{SML} is nearly equal to [C]_{ULW}, provide strong meta-analytical evidence to earlier works that discuss the selective enrichment of POC and PON in the SML over DOC (e.g., Carlucci et al., 1992; Henrichs and Williams, 1985; 344 Kuznetsova and Lee, 2002; Kuznetsova et al., 2004 and Reinthaler et al., 2008). Carlson (1983) suggests that the distribution 345 346 of some organic fractions between the SML and the ULW may be governed by specific partitioning processes. For instance, while Chen et al. (2016) point out the significant role of the ULW in DOC and CHO accumulation in the SML, Dietz et al. 347 348 (1976) observe fairly consistent abundances for these compounds between the two layers. Our experiments also show strong 1:1 correlation for DOC (Fig. 6(c)) and CHO (Fig. 6(j)), suggesting an absence of preferential affinity towards the SML (unlike 349 surfactants), which further indicates that their enrichment is predominantly controlled by the ULW. Although CHO, AA and 350 FA are identified to be the key constituents of the organic carbon pool (Hedges et al., 1994), our correlation results reveal that 351 352 their partitioning between the SML and the ULW and, their eventual enrichment patterns, may not be consistent (Figure 6).

4.1.3 Influencing factors and current uncertainties

Baier et al. (1974), Hunter and Liss (1981) and MacIntyre (1974) argue that the compositional diversity of the SML prevents 354 single compounds from fully representing the dissolved OM class, which further emphasizes the importance of assessing 355 356 compound-specific accumulation in the SML. Such investigations could shed light on selective and non-selective enrichment 357 dynamics of OM. An analysis of EF-based PDFs for various AA fractions (Figure 8(a)) - Total AA (TAA), Dissolved Free AA (DFAA), Dissolved Combined AA (DCAA) and Particulate AA (PAA) - revealed notable heterogeneity within this 358 compound class, reflecting the chemical diversity and complexity of OM enrichment in the SML. Additionally, consistent with 359 previous studies that investigated the influence on environmental drivers on the enrichment dynamics in the SML (e.g., Asher, 360 361 1997; Barthelmeß et al., 2021; Knulst et al., 1997; Kuznetsova et al., 2004; Liu and Dickhut, 1998; Obernosterer et al., 2008; Reinthaler et al., 2008; Tsai and Liu, 2003), our analysis demonstrates that factors such as sampling location (for DOC), 362 sampling season (for CHO) and sampling method (for DOC) (Figs. 8(b) – (d)) play key roles in modulating the enrichment 363 variability of the OM (it should be noted that these specific target compounds are chosen as representative examples because 364 365 they span all subcategories of secondary data considered in the study (see Supplementary Table S1), and therefore enable a



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and validity of these findings.



more robust comparison among different settings). Moreover, bimodal CDFs of TOC for the SML and ULW concentrations 366 (Fig. 4(a)) along with the distinct separation of three data clusters in their correlation patterns (Fig. 6(a)), further highlight the 367 significant role of external environmental factors in shaping SML composition. The origins of TOC data used in this study 368 369 illustrates this variability: Data from (1) a heavily polluted urban lake (concentration range: 12 – 16 mg L⁻¹; Baastrup-Spohr and Staehr, 2009), (2) a forested lake (concentration range: 3 – 5 mg L⁻¹; Baastrup-Spohr and Staehr, 2009), (3) the Arctic 370 371 Ocean (concentration range: 1 – 3 mg L⁻¹; Gao et al., 2012) and (4) an upwelling filament (concentration range: 3 – 4 mg L⁻¹; 372 Barthelmeß et al., 2021). 373 The influence on wind speed on SML enrichment remains ambiguous; our comparison of EF values under calm (< 6.6 ms⁻¹; Reinthaler et al., 2008) and rough (> 6.6 ms⁻¹) wind conditions yield inconclusive results (Supplementary Figure S2) with 374 wind speed appearing to have little/no effect on the SML enrichment (e.g., Basstrup-Spohr and Staehr, 2009 and Sabbaghzadeh 375 et al., 2017) or with enrichment persisting even under rough sea conditions (e.g., Kuznetsova et al., 2004; Reinthaler et al., 376 2008), opposing the general understanding that turbulent conditions may reduce the concentration in the SML (e.g., Carlson, 377 378 1983). Nevertheless, it is important to note that imbalanced sampling efforts among these categories, (Supplementary Figure 379 S1), specially with regards to wind speed (Supplementary Figure S2; see the sample sizes), may compromise the robustness





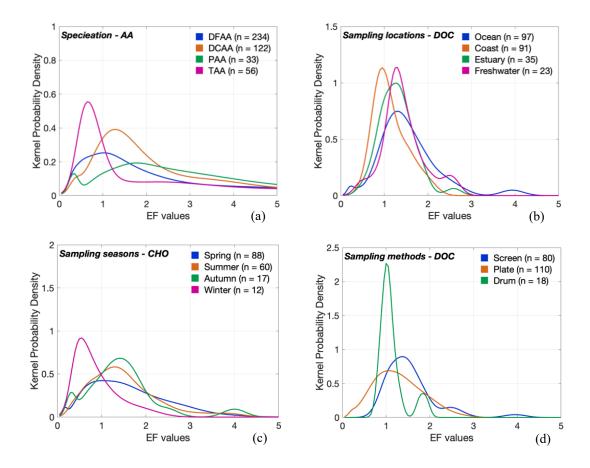


Figure 8: Factor-specific enrichment variability in the SML. PDFs illustrating varying enrichment patterns for (a) AA across chemical forms, (b) DOC across sampling locations, (c) CHO across sampling seasons and (d) DOC across sampling methods. 'n' gives the sample size of each category. Supplementary Table S1 summarizes different sampling locations, sampling seasons and sampling methods observed for the investigated target compounds.

Another major source of uncertainty arises from the variability in sampling depths of the ULW (Supplementary Table S1), which can affect the comparability of different data across multiple studies that would eventually introduce bias into the interpretation of overarching trends. Additional biases which are beyond the scope of this study include the potential influence of diurnal cycles (López-Puertas *et al.*, 2025); OM can be rapidly removed from the SML through photochemical degradation (Obernosterer *et al.*, 2008) and also be affected by reduced bacterial metabolism due to solar radiation (Dietz *et al.*, 1976). Therefore, taken together, our meta-data analysis suggests that, investigating SML enrichment without accounting for these influencing factors may mask true enrichment patterns, limiting the ability to derive meaningful insights. In light of these considerations, our work highlights the need for conducting species-specific and condition-dependent analyses in future SML research that also focus on subsequent environmental parameters, as also proposed by Pereira *et al.* (2018).





4.2 Scale-related biases in EF estimates

Accurate data interpretation is essential to gain precise insights and arrive at substantiated conclusions (Isles, 2020; Menge *et al.*, 2018). This is particularly true for meta-analyses involving continuous environmental data where values may vary by several orders of magnitude (e.g., Vitousek, 2004). In our study, when the PDF_C vs. PDF_N (Fig. 1) and PDF_D vs. PDF_P (Fig. 2) are evaluated on a linear scale (panels (a)), they exhibit right-skewness, whereas their log-transformed versions approximate normal distributions (panels (b)). Comparisons between highly skewed distributions raise uncertainties as their offsets are often dominated by extreme values/outliers. In contrast, when log transformation is applied, the distributions tend to exhibit more symmetric, normalized patterns which enable direct comparisons in shape and spread across different categories (Zuur *et al.*, 2007). Therefore the normality assumption for EF is inappropriate and the computation of an arithmetic mean, a conventional practice adopted in many earlier works (e.g., Gašparović *et al.*, 2007; Gao *et al.*, 2012; Kuznetsova *et al.*, 2005; Williams *et al.*, 1986; Wurl and Homes, 2008; Wurl *et al.*, 2009), can be misleading, likely providing a biased general picture of OM enrichment in the SML.

The here constructed PDFs given in Figures 1 and 2 reveal that both mode $(x_m;$ shown by solid straight lines) and arithmetic mean $(\overline{x_a};$ shown by dashed straight lines) differ between the two scales: The mode reflects the peak of a distribution and is sensitive to the shape of its respective density curve. It varies depending on whether a dataset is in 'skewed' linear space or 'normalized' log space and becomes ambiguous in polymodal distributions (regardless of the scale: e.g. Fig. 3). As a consequence, the mode in general provides an unreliable measure of central tendency. While the linear-arithmetic mean, which is influenced by outliers, result in biases that exaggerate the corresponding central tendency, the log-arithmetic mean prevents the extreme values from being dominant through balanced averaging and hence provides a reliable estimation of central tendency. Nevertheless, geometric mean in linear space $(\overline{x_g};$ straight lines with alternating dots and dashes) is a meaningful measure given that it is equivalent to the exponential of the arithmetic mean in logarithmic space (See Eqs. (B1) and (B2)). Median $(\tilde{x};$ dotted straight lines), on the other hand, remains relatively consistent across both scales as it is a rank-based measure of central tendency that is unaffected by the magnitude of outliers. Accordingly, we suggest that future SML enrichment studies employ a logarithmic scale for data analyses, and adopt either geometric mean and/or median on linear scale or arithmetic mean and/or median on logarithmic scale for reliable trend analysis.

Based on these new insights on scale transformations and central tendency metric considerations, we have redefined the typical EF values of the studied target compounds and their degrees of spread from a meta-analytical perspective, from the estimated \tilde{x} , \bar{x}_a and thresholds (i.e. UT and LT) of their PDFs (Fig. 3). To re-establish these EF ranges as generally observed estimates under common conditions, 'the box plot method' (introduced by Tukey, 1977) was applied to the data to detect and remove potentially extreme EF values that rarely occur in nature. This method considers the values at 25th and 75th percentiles (also known as 'Q₁' and 'Q₃', respectively) of each distribution and computes a statistical estimate – Interquartile Range (also known



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- 425 as 'IQR) by the difference between Q_3 and Q_1 (i.e., $IQR = Q_3 Q_1$). If a datapoint falls outsides the range of Q_3 +
- 426 $IQR \times 1.5$ (also known as 'upper bound') and $Q_1 IQR \times 1.5$ (also known as 'lower bound'), it is considered atypical and
- 427 therefore excluded from the analysis. This outlier detection approach is widely used across a broad range of research (e.g.,
- 428 Barbato et al., 2011; Carling, 2000; Hoaglin et al., 1986).

4.3 Role of EF in reflecting SML enrichment

- 430 While the metric of EF offers a convenient way to assess the accumulation trends in the SML and therefore serves as the basis
- 431 for many established insights and inferences in existing SML research (see Introduction), its ability to accurately and robustly
- 432 express the 'true' enrichment nature of the SML has constantly been a question of interest (e.g., Basstrup-Spohr and Staehr,
- 433 2009; Hillbricht-Ilkowska & Kostrzewska-Szlakowska, 2004; Knulst et al., 1997; Kuznetsova et al., 2004; Liss and Duce,
- 434 1997; Münster et al., 1998; Södergren, 1987). The EF is a ratio that expresses the 'relative' changes in [C]_{SML} with respect to
- 435 [C]_{ULW} (Eq. (1)), and hence is sensitive to the variations in either layer. Ideally, to effectively reflect conditions of growing
- 436 SML enrichment, EF values should gradually rise in response to increasing [C]_{SML} and decreasing [C]_{ULW}, which can be visibly
- 437 observed for DON (Fig. 7(f)), AA (Fig. 7(g)) and CHO (Fig. 7(j)). Nevertheless, our meta-analysis highlights several
- 438 inconsistencies that challenge the relevance of the EF values as indicators of 'true' SML enrichment. For instance, on the one
- 439 hand similar EF values can be observed for both oligotrophic and eutrophic environments (referring to the EFs of TOC: Fig.
- 440 7(a)), which limits the ability to distinguish the differences in their trophic status, despite them being conspicuous in TOC's
- absolute concentration range (bimodal CDFs; Fig. 4(a)). On the other hand, high (low) EF values may occur under oligotrophic
- 442 (eutrophic) conditions leading to over- (under-) estimation of ecological setting (Fig. 7(g)). Furthermore, symmetrical changes
- 443 in SML and ULW yield near-constant EF values across a wide range of concentrations (Fig. 7(c)), which could cause
- 444 misinterpretations in key ecosystem shifts. We have also observed consistent EF values, even when SML and ULW
- ,
- 445 concentrations vary over several orders of magnitudes (Figs. 6(g) (j)), which further raise concerns over the metric's
- 446 robustness. Therefore, although widely used, EF values should be interpreted with caution and, combined with additional
- parameters that provide more accurate information about the true enrichment behaviour of the SML.
- 448 A complementary parameter would be the typical upper limit of a [C]_{SML} distribution which may reflect the maximum
- 449 concentration capacity of the SML. Such a measure can serve as a robust concentration estimate of such maximum capacity if
- 450 approximated from a meta-data derived distribution that includes observations across all diverse environmental conditions.
- Table 1 summarizes the upper [C]_{SML} threshold estimates (i.e., UT; at 95th percentile) for the target compounds, based on their
- 452 CDFs (Fig. 4). Although the robustness of these values largely depends on the quality and the scope of the underlying metadata,
- our bootstrapping approach addresses these potential limitations. Nevertheless, we acknowledge that these estimates remain
- 454 data-constrained and therefore can improve with the inclusion of more comprehensive, high-resolution datasets across diverse
- environmental conditions. Measured concentrations beyond these thresholds within the SML must be regarded as exceptionally





high and should therefore find special attention, in particular with regard to the associated biogeochemical, oceanographic and weather conditions.

Table 1: A summary of estimated UT values (upper threshold; concentration at 95th percentile) for [C]_{SML} distributions of the target compounds. This metric represents the maximum accumulation capacity of a certain compound in the SML. The values are rounded to the

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Compound	TOC	POC	DOC	TON	PON	DON	AA	FA	TEP	СНО	Lipids	Proteins
	mg L ⁻¹	μmol L ⁻¹	μg L ⁻¹	μg Xeq L ⁻¹	μmol L ⁻¹	μmol L ⁻¹	μmol L ⁻¹					
UT value	14.0	11.0	10.0	2.0	1.0	0.5	6.0	46.0	6166.0	56.0	8.0	30.0

461 Considering absolute changes in the SML concentrations (rather than relative changes) – calculated as the magnitude difference between corresponding SML and ULW concentrations (i.e., $[C]_{SML} - [C]_{ULW}$) – provides complementary insights into the 462 SML's enrichment dynamics. When this metric is compared against the EF values for DOC data where EF $> \tilde{x}$ (= 1.2), resulting 463 Spearman's correlation coefficients (ρ) reveal a stronger relationship (Figure 9(c); $\rho = 0.32$) relative to EF vs. [C]_{SML} (Figure 464 465 9(a); $\rho = 0.08$) and EF vs. [C]_{ULW} (Figure 9(c); $\rho = -0.12$) correlations. This implies that although the EFs may have a limited capacity to represent the absolute concentrations of either SML or ULW, they are more responsive to the absolute concentration 466 'changes' in the two compartments. This analysis reveals that although 'enrichment factor' obscures accurately interpreting 467 the trophic status or the actual enrichment in the SML, it may still hold value as a proxy that reflects the degree of partitioning 468 469 between the surface microlayer and underlying waters. 470 Furthermore, normalization of $[C]_{SML} - [C]_{ULW}$ metric to corresponding $[C]_{SML}$ values (i.e., $([C]_{SML} - [C]_{ULW})/[C]_{SML})$ ultimately yields an EF-based metric: $1 - \frac{1}{EF}$. This expresses how much of the SML concentration is above the ULW baseline. 471

ultimately yields an EF-based metric: $1 - \frac{1}{EF}$. This expresses how much of the SML concentration is above the ULW baseline. Unlike conventional EF values, $1 - \frac{1}{EF}$ only ranges between 0 and 1. It rescales compound-specific variability in EF and is therefore better suited for comparison across all the different observational types; normalization of EF onto a common scale allows direct evaluations without bias from different units, magnitudes or concentration ranges. This better captures true trends, rather than artifacts/effects of scale, while enhancing visualization and communication of results. In addition, when compared against the absolute changes, this metric exhibits stronger correlation (($\rho = 0.52$; Fig. 9(d)), likely due to increased robustness to concentration variability obtained through its scale-dependent nature. As a result, when incorporated into modelling efforts, the normalized EF metric can offer distinct advantages such as integration of heterogenous datasets, consistent parameterization, easier comparisons of model predictions and robust sensitivity analyses. Together, these benefits contribute to more reliable and generalizable models of SML processes.





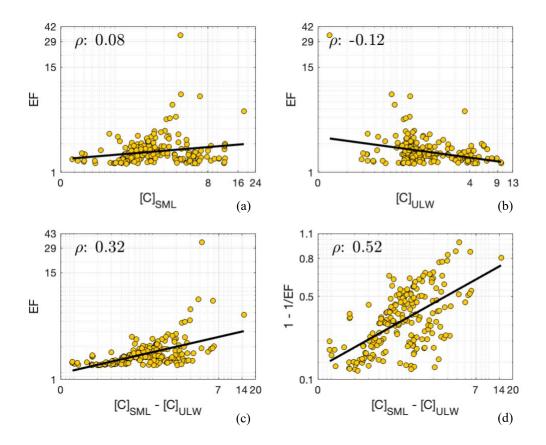


Figure 9: Correlations between EF and (a) [C]smL, (b) [C]uLw, (c) [C]smL – [C]uLw and, (d) correlation between 1 – 1/EF and [C]smL – [C]uLw. These plots were generated for DOC data, in order to investigate the observed lack of correlation among EF – [C]smL – [C]uLw, as shown by Fig. 7(c).

5. Conclusion

The here presented extensive data collection of [C]_{SML} and [C]_{ULW} measurements of various observational types of organic matter compounds is unique. Although the physical and biogeochemical properties of the SML have been studied in detail across diverse disciplines of aquatic science, to our knowledge, this study is the first to adopt a meta-analytical approach to bridge between insights of individual findings documented in the literature. Our quantitative assessment on the distributional characteristics of 12 organic compounds in the SML yielded statistically robust results owing to the use of the KDE method as the primary analytical technique, which enabled a coherent comparison of multivariate data.

Our results indicate that nitrogen-rich compounds and particulate OM tend to be more enriched in the SML compared to carbon-rich compounds and dissolved OM. These findings underscore the need for future SML research to focus on the species-specific chemistry of OM, specifically surfactants, along with the variability of external influencing factors, in order to better





understand and approximate their role in global gas flux estimates. Informed by this, we explore EF values by re-evaluating the typical ranges, previously defined in individual studies, through a meta-analytical perspective. Our assessment also inquired into the suitability of EF values as indicators of true SML enrichment and suggests that, while the EF, which expresses relative changes in the SML compared to the ULW, can reflect the partition variability between the two layers, it falls short in capturing trophic variability. The latter plays a crucial role in determining ecosystem structure. In light of the foregoing, we propose that EF estimates be complemented with additional parameters such as the absolute concentration differences between the SML and the ULW and the maximum concentration capacities within the SML, to provide a more in-depth understanding of enrichment dynamics.

In addition to the primary outcomes, a noteworthy secondary insight from this study is the importance of selecting an appropriate data transformation scale (i.e. linear or logarithmic) and a robust measure of central tendency (i.e. mode, median, arithmetic mean or geometric mean) to ensure accurate data representation and reliable inference in future SML research. Our analysis provides strong evidence for the following advantages of transforming data into logarithmic scale: (1) it facilitates meaningful ratio comparisons such as the EF by converting multiplicative relationships into additive ones; (2) it better reflects the log-normality characteristics of the dependencies between [C]_{SML} and [C]_{ULW}, which improves statistical model performance; (3) it was shown to promote homoscedasticity and (4) it enhances robustness, accuracy and interpretability of central tendency metrics.

Appendix A: KDE method – additional information

Although the most basic non-parametric method to derive a probability distribution is histograms, they present two key limitations for comparative studies: (1) unequal sample sizes across comparative groups restrict the use of uniform binning and, (2) imposing uniform bin sizes potentially mask important distributional characteristics. In contrast, KDE circumvents these issues by accounting a datapoint's exact value rather than assigning it to a particular bin of a certain width. This describes the true underlying distribution of the data and allows more consistent and detailed comparisons of distributions. In this analysis, we use Gaussian kernels – smooth, bell-shaped functions based on normal distribution – that weight observations based on their distance. Chen (2017) and the references therein provide a comprehensive review of the KDE and its recent advances.

In Gaussian kernels, bandwidth is analogous to standard deviation. In this study, the bandwidths for the linear KDEs were computed based on an approach that includes a bias-variance trade-off. Briefly, the bias-variance trade-off represents kernels that have a bandwidth that avoids too much variance in the estimates (i.e., bandwidths are not too small) while it does not introduce too much bias for ranges that actually exhibit no data points (i.e., bandwidths are not too large). Calculations of optimal bandwidth applied herein and an example of a bias-variance trade-off are described in Schartau *et al.*, (2010).





- 524 Nevertheless, in log-space, unlike in linear-space, data are more evenly distributed and hence fixed bandwidths avoid over-
- smoothing of low values and under-smoothing of high values.
- 526 The selection of an optimal bandwidth for KDEs is influenced by sample size; smaller sample sizes lead to sparse and noisy
- 527 distributions which require more smoothing and therefore larger bandwidths. Excessively large bandwidths can result in
- 528 underfitting. In contrast, larger sample sizes may allow excessively smaller bandwidths that can lead to overfitting. Bootstrap
- 529 resampling addresses these potential uncertainties in our analysis and, ensures the robustness and precision of the estimated
- density distributions. Deviations between the bootstrapped KDEs and their ensemble mean were found to approximate a
- 531 normal distribution (consistent with Central Limit Theorem). Therefore, these ensemble means can be regarded as reliable
- 532 representations of the underlying data, supporting valid comparisons of probability distributions across different groups or
- 533 clusters.

534 Appendix B: Mathematical expressions of distributional characteristics

- 535 If a dataset contains values of ' x_i ' with a sample size of 'n', mode (x_m) is the most frequently occurring value in the dataset
- and therefore, the point where a PDF reaches its highest density. A distribution appears to be the most concentrated at x_m .
- 537 Median (\tilde{x}) returns the value at the 50th percentile of an ascending dataset. It divides the area under a PDF into two equal
- halves. The arithmetic mean $(\overline{x_a})$, is the average of a distribution, given by the following equation:

539
$$\sum_{i=1}^{n} = \frac{x_i}{n}$$
 (B1)

- $\overline{x_a}$ gives the point where weighted sum of a PDF is balanced. However, in the case of datasets that range over several orders
- of magnitudes, the geometric mean (hereafter referred to as ' $\overline{x_g}$ ') is the more preferred central tendency estimate, as it accounts
- for the relative proportions of values (as opposed to their absolute magnitudes as is the case in $\overline{x_a}$) and hence, is less sensitive
- 543 to outliers. $\overline{x_g}$ is calculated by the following equation:

544
$$\left(\prod_{i=1}^{n} x_{i}\right)^{\frac{1}{n}}$$
 (B2)

- 545 $\overline{x_g}$ of a linear distribution is mathematically equal to the exponentiated $\overline{x_a}$ of the log-transformed version of the same
- 546 distribution.
- 547 In addition, the following equation, which accounts for the squared differences across all the datapoints of the corresponding
- 548 CDFs, estimates the discrete form of the integrated quadratic distance (*IQD*, explained in section 2.2.2), with $\Delta x_i = x_i x_{i-1}$:

549
$$IQD = \sum_{i=1}^{n} \left(\left(CDF_{[C]_{SML}(x_i)} - CDF_{[C]_{ULW}(x_i)} \right)^2 \times \Delta x_i \right)$$
 (B3)

550 A higher IQD value implies that the divergence is greater and therefore the corresponding CDFs are more different.





551 Code availability

552 The computational codes used in this study are available upon request to A.S.

553 Data availability

- 554 All data used in this study were extracted from previously published peer-reviewed sources. Full citations for all the datasets
- 555 are provided in supplementary information. No new data were generated for this study.

556 Author contribution

- 557 A. S. Data Curation, Conceptualization, Methodology, Formal Analysis, Visualization, Writing Original Draft, Writing –
- 558 Review & Editing
- 559 S. N. Data Curation, Writing Review & Editing
- 560 T. B. Data Provision, Writing Review & Editing
- 561 A. E. Funding Acquisition, Data Provision, Writing Review & Editing
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- 567 Review & Editing

568 Competing interests

- Authors A. S., T. B., A. E. and M. S. are affiliated with the same institution as H. B., who serves as an overseeing editor for
- 570 the special issue "Biogeochemical processes and air-sea exchange in the sea-surface microlayer". Authors A. S., T. B., A.
- 571 E., H. H., M. P., O. W. and M. S. are collaborators with H. B. on an ongoing research project. These potential competing
- 572 interests have been fully disclosed to the journal. The authors declare no other competing interests relevant to the submitted
- 573 work.

574 Acknowledgements

- 575 The authors gratefully acknowledge the support and collaboration of colleagues and institutions involved in this work. We also
- acknowledge the valuable contributions of the authors of previously published datasets that were used in this study. Their work





- 577 provided essential input for our analysis. This study was conducted as part of the project "Biogeochemical processes and air-
- sea exchange in the sea-surface microlayer (BASS)", and we thank all project partners for their support and scientific exchange.
- 579 Data compilation had been initiated through the large integrated project Surface Ocean Processes in the Anthropocene
- 580 (SOPRAN, 03F0662A), funded by the German Federal Ministry of Education and Research (BMBF).

581 Financial support

- 582 This work is supported by the project "Biogeochemical processes and air-sea exchange in the sea-surface microlayer (BASS)",
- 583 which is funded by the German Research Foundation (DFG) under Grant No 451574234.

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